

Development of Friction Models and Solid Lubricant Formulations for Extreme Environments

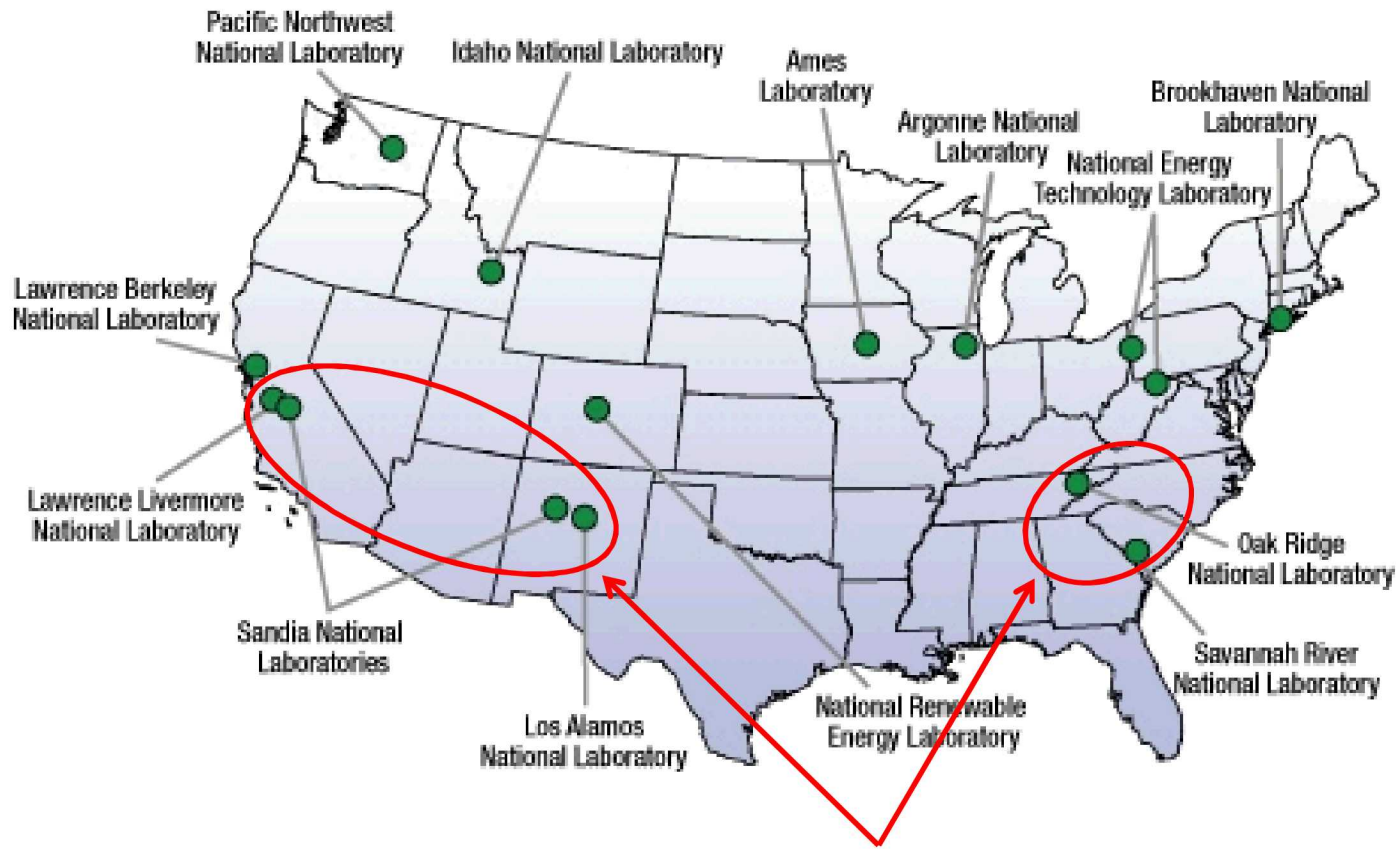
M.T. Dugger¹, J. Curry¹, A. Hinkle¹, N. Argibay¹, M.E. Chandross¹,
B.L. Nation¹, and A. Korenyi-Both²

¹Sandia National Laboratories, Albuquerque, NM; ²Tribologix Inc, Golden, CO

MTSE Department Seminar, University of North Texas
16 November 2018

Sandia National Laboratories

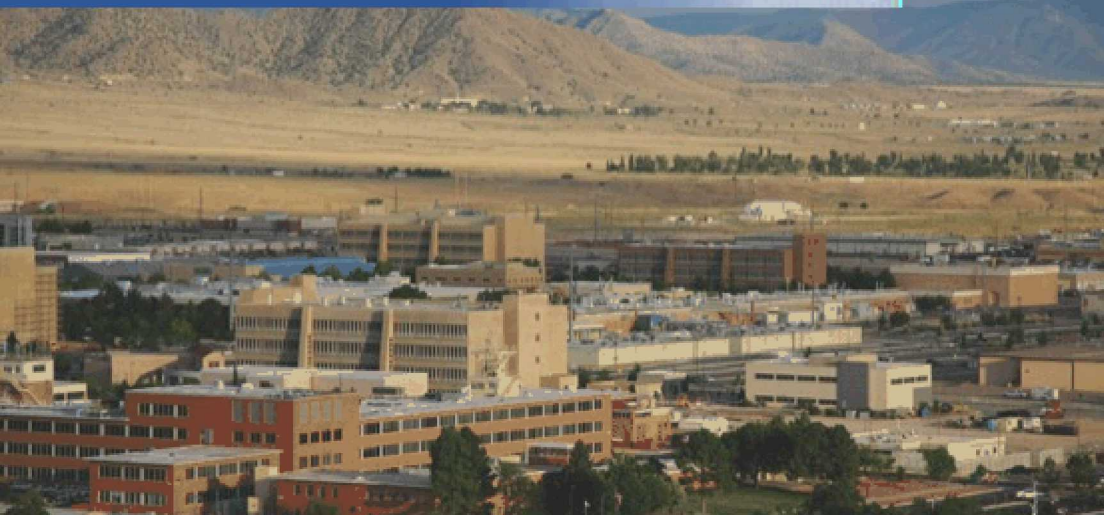
A U.S. Department of Energy multiprogram laboratory, with responsibility for the safety, security and reliability of the nation's nuclear deterrent.



Nuclear Security Laboratories

Sandia's Sites

Albuquerque, New Mexico



Livermore, California



Kauai, Hawaii



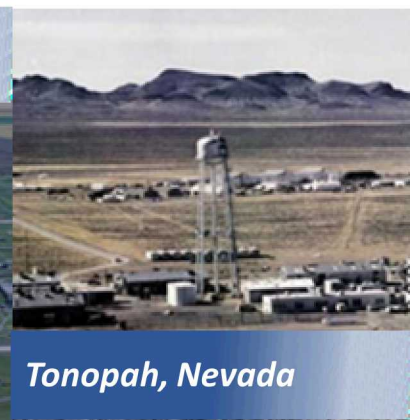
*Waste Isolation Pilot Plant,
Carlsbad, New Mexico*



*Pantex Plant,
Amarillo, Texas*



Tonopah, Nevada



Sandia's National Security Mission

Nuclear Weapons

- ensure a safe, secure and reliable nuclear deterrent

Defense Systems & Assessments

- maintain U.S. military weapon systems superiority

Energy & Climate

- ensure clean, abundant and affordable energy and water

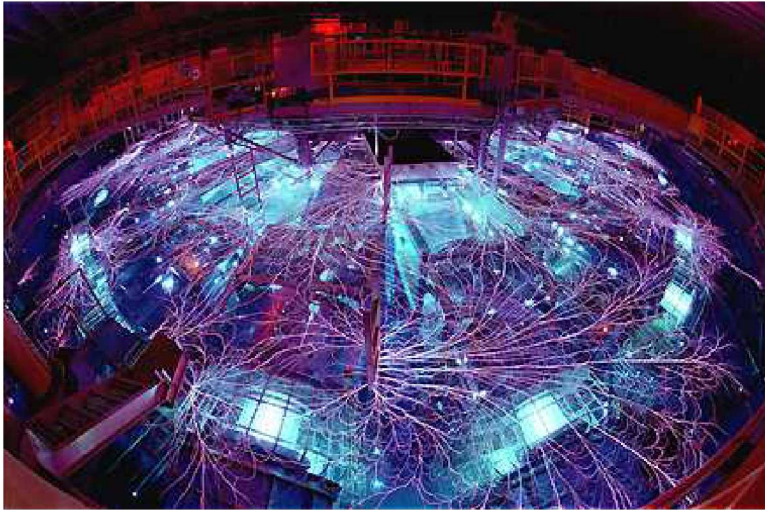
International, Homeland & Nuclear Security

- protect against terrorism through advanced technology

Science and Engineering Foundations

- R&D to support all national security missions

Sandia National Labs Programs



pulsed power and fusion research



DoD systems design and testing

renewable
energy



Plus a host of other fundamental to applied sciences

- physical and chemical sciences
- high performance computing
- radar and guidance
- satellite systems
- geological sciences
- biotechnology...etc.

Sandia's R&D Organization

Division

Directorate

Department

**Science &
Engineering
Foundations**

Computing Research

Engineering Sciences

**Materials, Physical &
Chemical Sciences**

Microsystems S&T

Nuclear Weapons S&T

Pulsed Power

Radiation Science

Computational Mat. Sci.

Optical & Electronic Matls

Advanced Ceramics

Materials Characterization

Metallurgy & Joining

Coatings & Additive Mfr.

Mechanics & Tribology

Organic Materials Science

Materials Aging & Reliability

2019 Tribology Portfolio

Research

- High J Electrical Contacts
- Solid Lubricant Aging and Performance
- Mechanical Stability of Nanocrystalline Metals
- Fluid Degradation at Sliding Surfaces

Work for Other Federal Agencies

- Reliability of Electromagnetic Launch System Components

Engineering Support

- Impact Resistant Hard Coatings
- High Current Electrical Contacts
- Tribological Coatings for Brayton Cycle Components
- Environmentally Robust Solid Lubricants for Miniature Mechanisms
- Damping Fluids for Accelerometers
- Reliability of Electromechanical Device Electrical Contacts

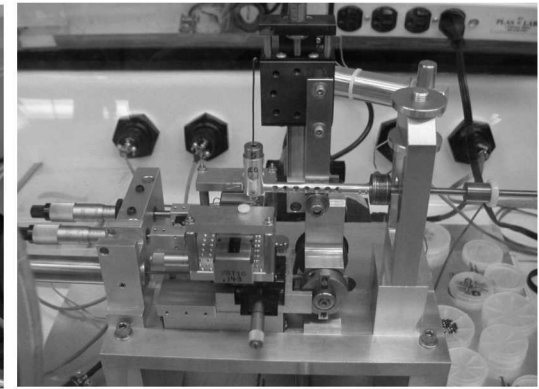
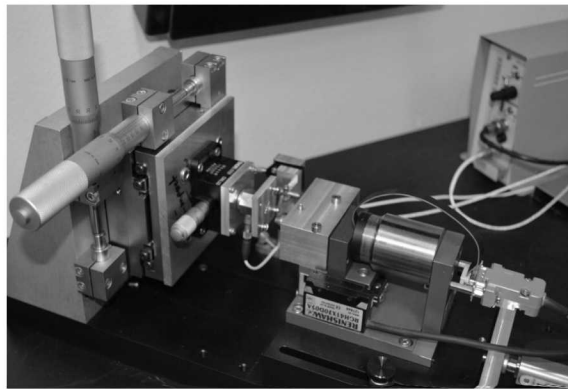
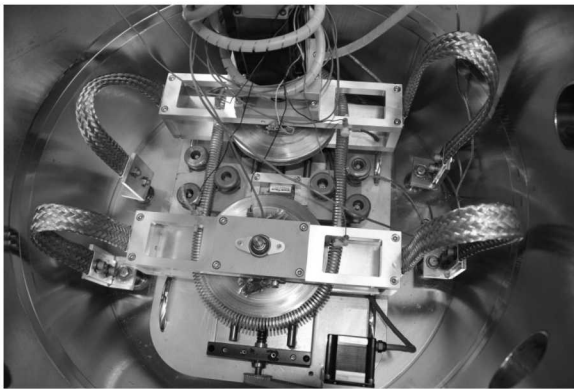
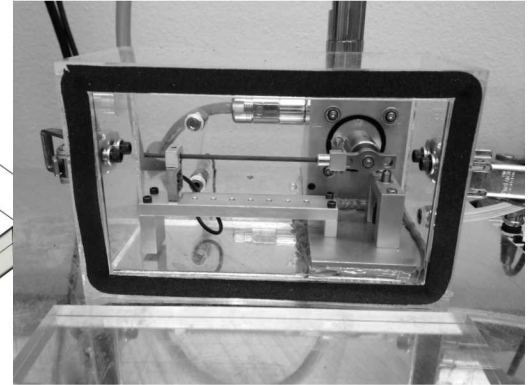
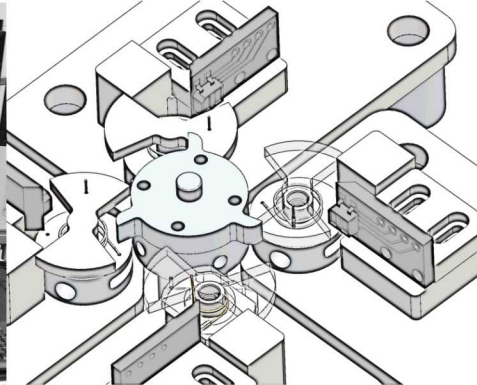
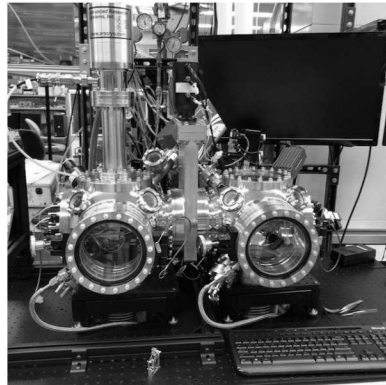
Tribology Team

3 Technical Staff

3 Technologists

1 Post Doc

1 Student Intern



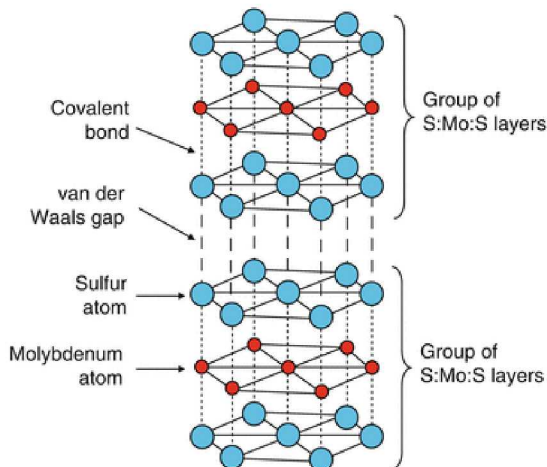
Liquid vs Solid Lubricants

Liquids

- prevent surface contact at sufficient speed (hydrodynamic)
- replenishable at real contact area
- provide some vibration damping
- remove heat and wear debris from contact

Solids

- wide operating temperature range
- friction less sensitive than liquids to shear rate or contact pressure
- generally not replenishable
- most exhibit sensitivity of friction coefficient to operating atmosphere



- Lamellar solids such as MoS₂ have weak bonding between layers, creating a low shear direction
- Shear causes orientation of the low shear plane parallel to motion
- Forms a “transfer film” on the mating body
- Sites at edges of crystallites are particularly reactive (oxidation, adsorption)

Extreme Environments

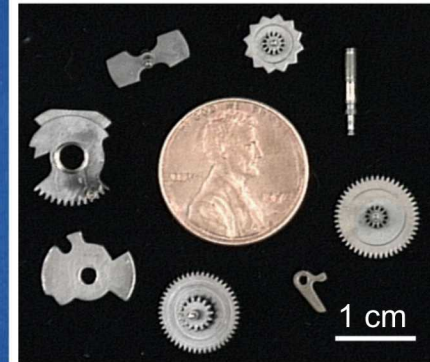
Space:

- operate in vacuum (+atomic oxygen in low earth orbit)
- store months – years before use; generally non-serviceable
- operating temperatures from 50 – 300K, depending on location
- large investments of time and money



Precision Mechanisms:

- inert gas near P_{atm} , trace O_2 , H_2O , outgassing species
- store for decades; non-serviceable
- operating temperatures 200 – 350K
- large investments of time and money
- consequences (political, societal) of failure are unacceptable

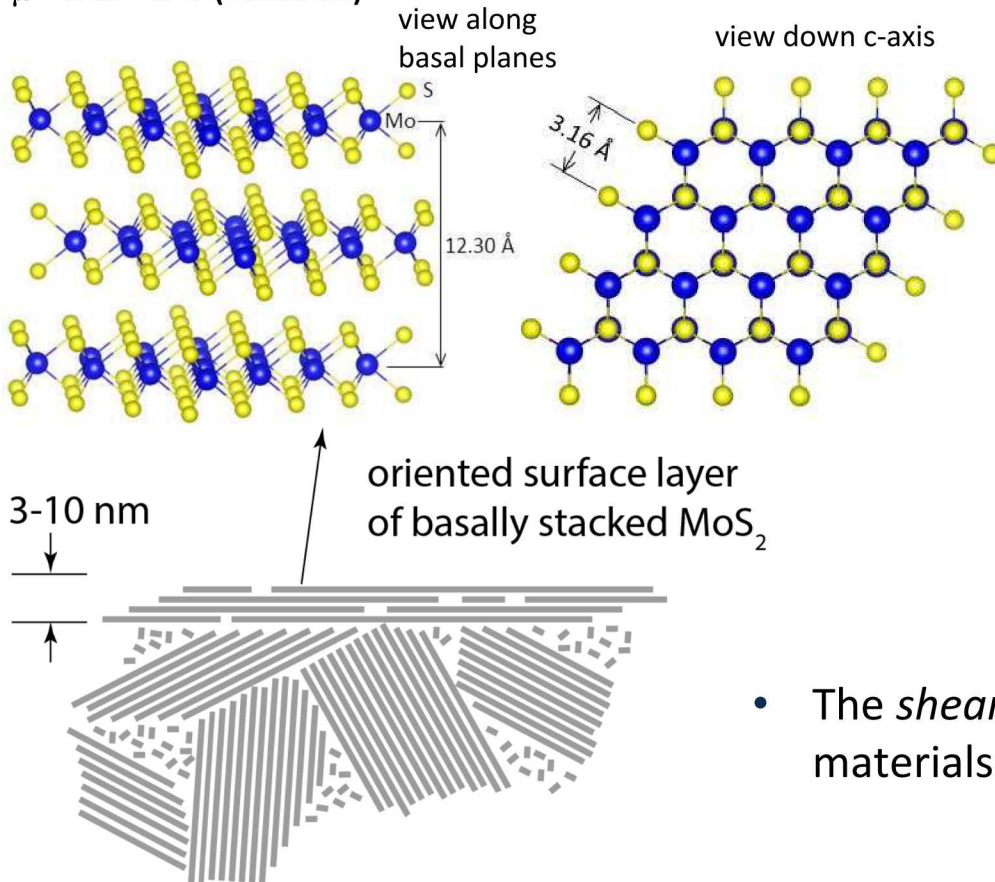


MoS₂ Lubrication Mechanism

molybdenum disulfide

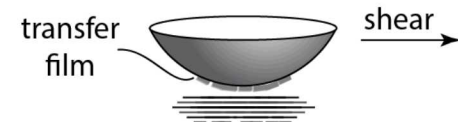
$\mu = 0.02 - 0.06$ (inert gas/vacuum)

$\mu = 0.15 - 0.25$ (humid air)

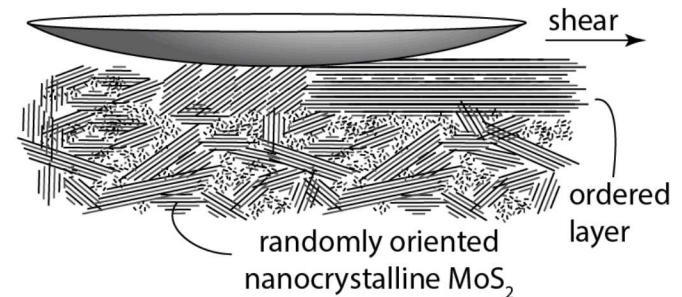


Run-In Processes:

1) Transfer Film Formation



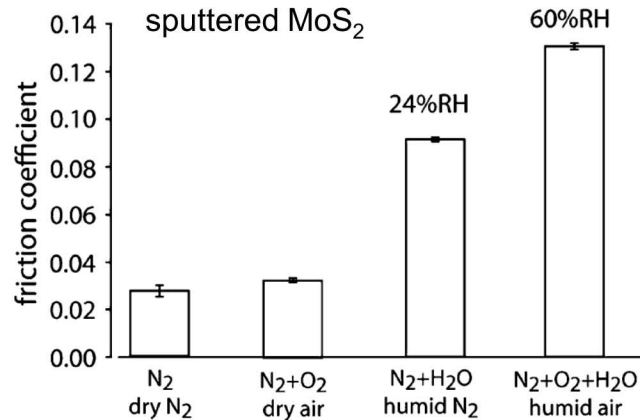
2) Shear-induced re-orientation and coalescence



- The *shear accommodation mechanism* of these materials is inter-lamellar sliding

Sliding occurs between relatively weakly bonded basal planes in MoS₂ and related lamellar solids

Effects of MoS₂ Aging

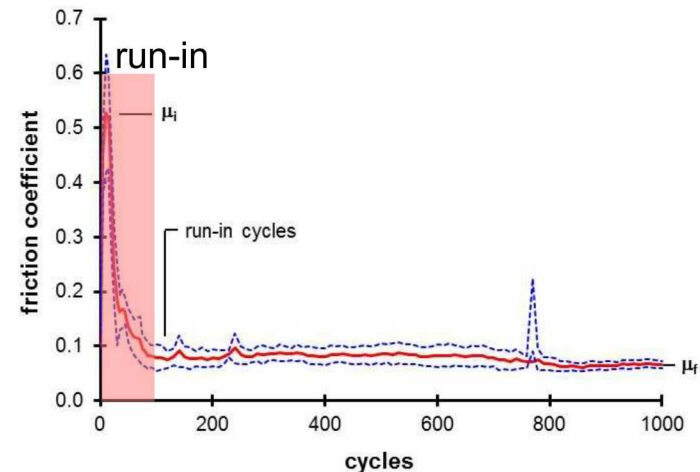


- Steady-state friction coefficient at 30°C of sputtered MoS₂
 - significant friction increase with water vapor increase in the environment
 - far less sensitive to oxygen

H. Khare and D. Burris, Tribology Letters **53** (2014) p.329-336

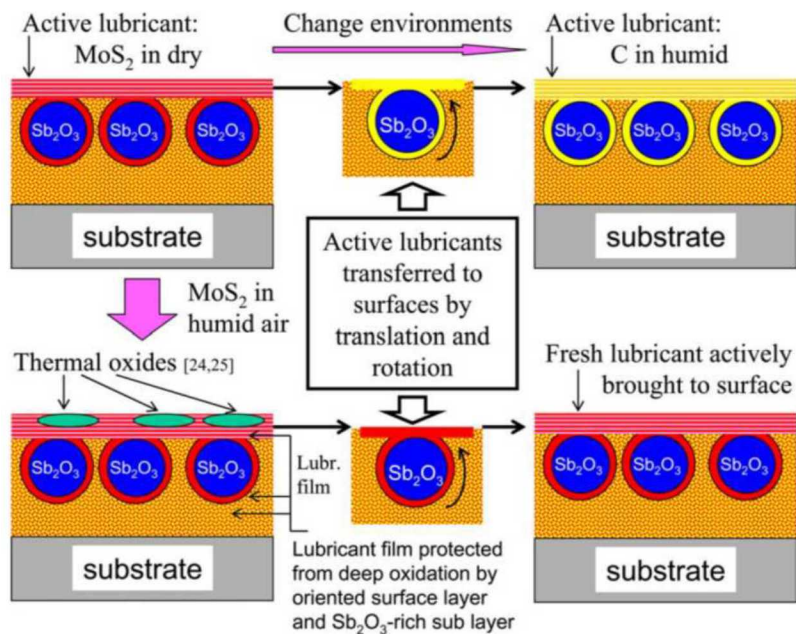
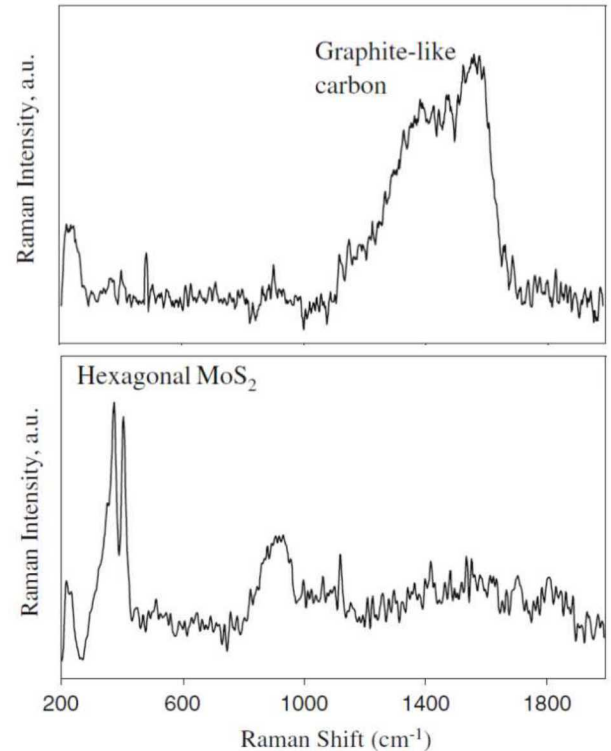
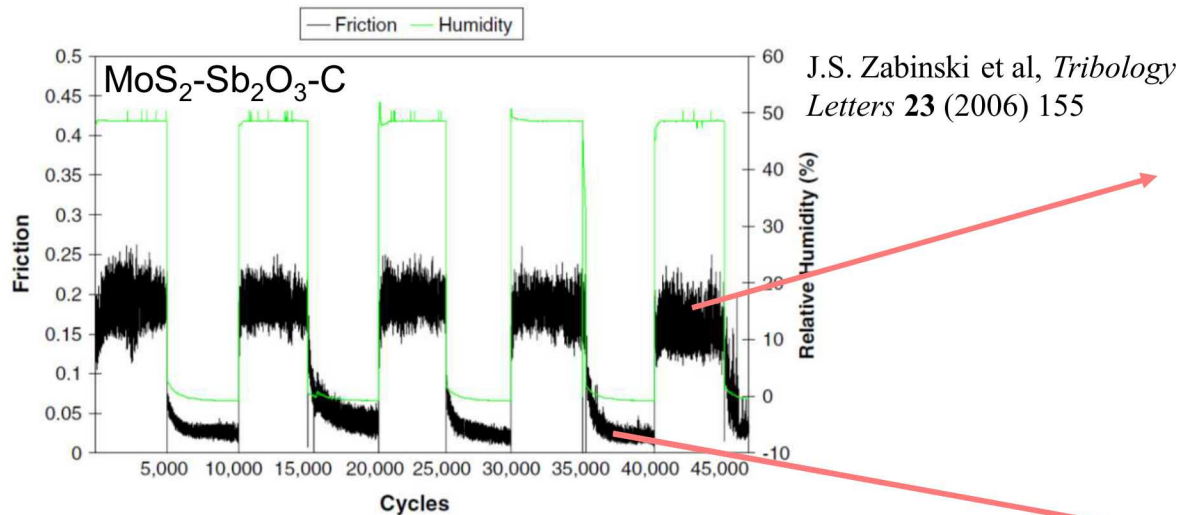
- Surface oxidation can dramatically increase the initial friction coefficient
 - atomic oxygen exposure resulted in oxidation of the top 100 nm of film

M.T. Dugger, T.W. Scharf and S.V. Prasad, *Adv. Mat. and Processes* **172** (2014) p.35-38



Some precision mechanisms' entire service life is spent in run-in
We desire a low and consistent friction response after years of dormancy

MoS₂ Composites: Burnished Films



Phenomenological explanation:

- *oriented, low shear, non-reactive surface adaptively created by sliding*
- *sequester a reservoir of solid lubricant below the reinforcement phase*

Legacy Solid Lubricants and Processes

Resin-bonded films

- blast surface with Al_2O_3
- steps: clean, mask, spray, cure, burnish, clean
- few parts at a time
- highly volatile organic solvents; carcinogens
- $2.5\text{ }\mu\text{m}$ minimum thickness



N_2 Sprayed MoS_2

- steps: clean, mask, spray, clean
- one to few parts at a time
- 200 nm maximum thickness



Spray MoS_2 +
C with polymer
binder

MoS_2 powder
sprayed with N_2

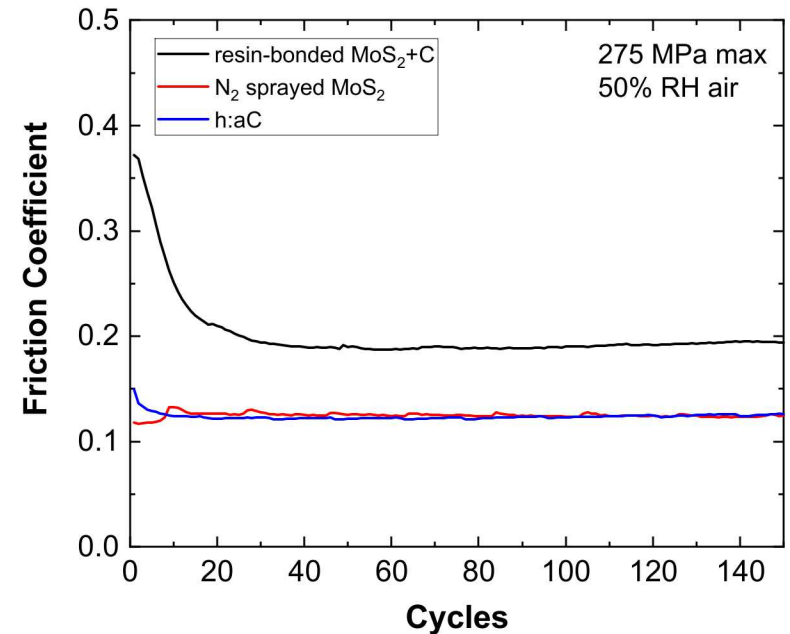
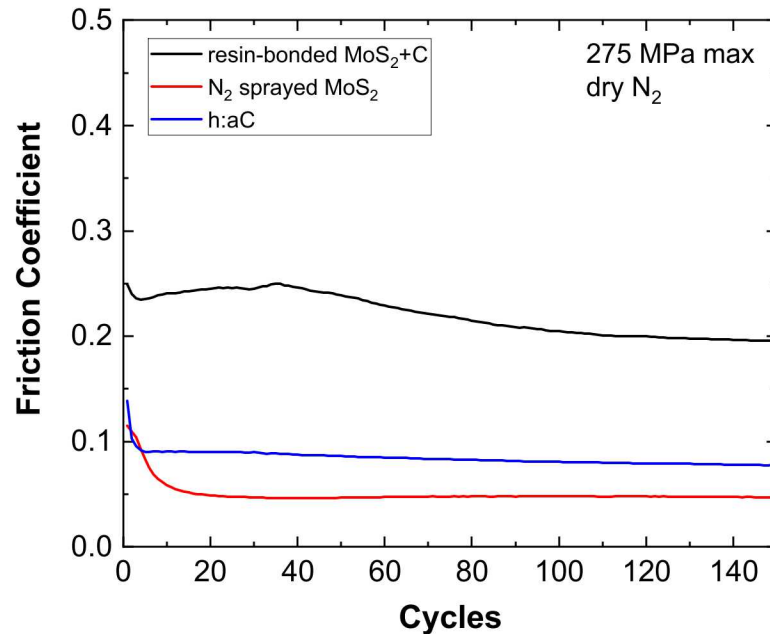
Mechanically Impinged MoS_2

- steps: clean, mask, tumble, clean
- batch process
- $\sim 500\text{ nm}$ thickness (highly variable)
- can change part geometry



Parts tumbled in
drum with MoS_2

Friction Response of Legacy Materials



Resin-bonded MoS₂+C

- higher SS friction than impingement or DLC; process not suitable for high precision parts

N₂ Sprayed MoS₂

- environmentally sensitive; limited thickness yields low wear life; oxidizes in storage

DLC

- lower friction coefficient in all atmospheres is desirable
- brittle, subject to delamination in some impact environments
- can exhibit fluctuations in friction response, likely due to transfer film dynamics

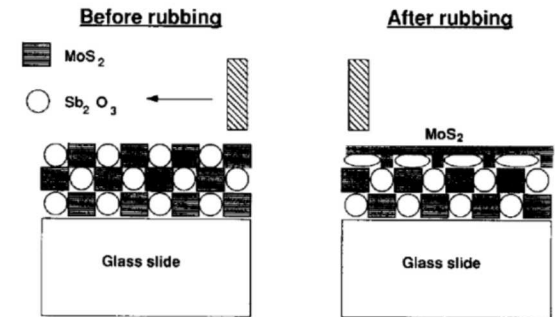
Mitigation of MoS₂ Environmental Effects

Strategies

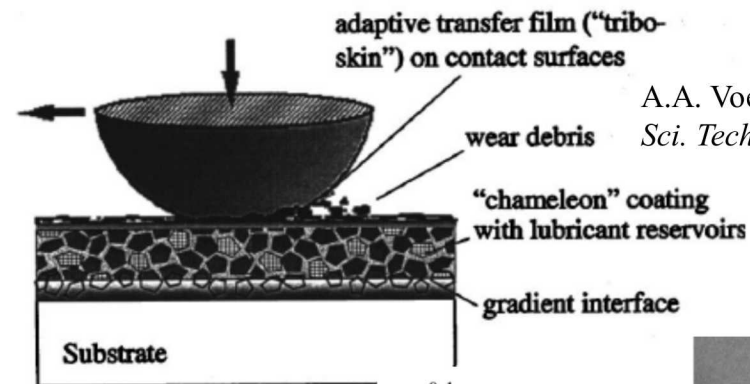
- dopants (Ni, Ti, Au, ...)
- compositing - multilayers, multiple phases (Sb₂O₃, Ni, AuPd, ...)
- ion bombardment during growth

Proposed Mechanisms

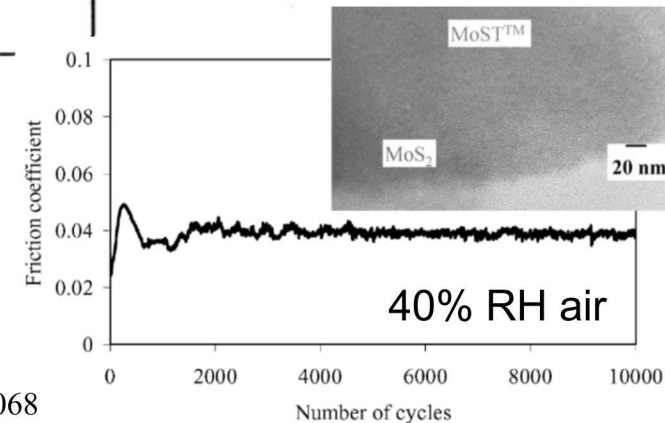
- densification
- increased hardness
- preferential orientation
- sacrificial oxidation of dopants
- passivation of MoS₂ edge sites
- crack arresting



J.S. Zabinski et al, *Wear* **165** (1993) 103



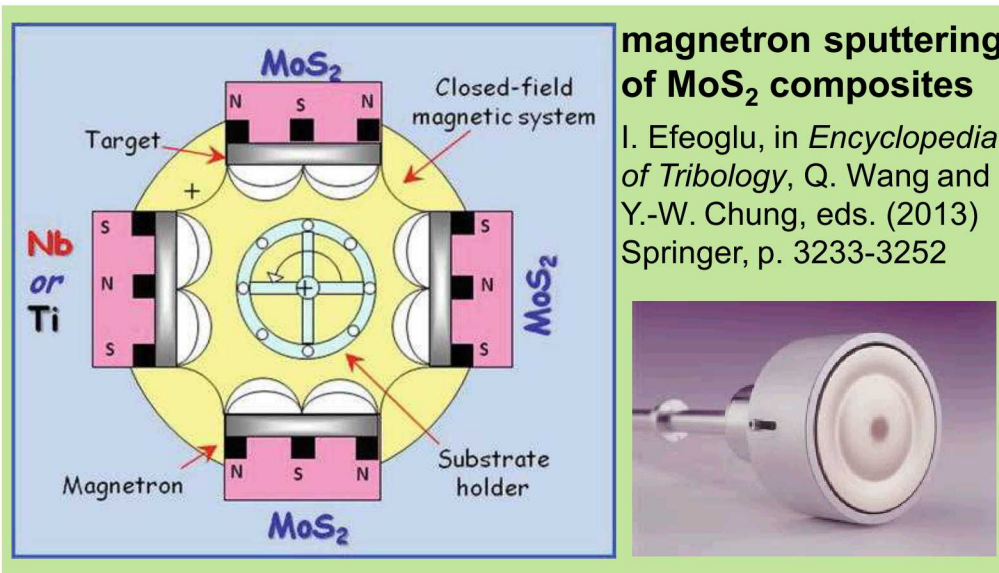
A.A. Voevodin et al, *J. Vac. Sci. Tech. A* **20** (2002) 1434



D.G. Teer, *Wear* **251** (2001) 1068

MoS₂ Composites: Sputtered Films

- Physical Vapor Deposition (PVD) is compatible with precise, small, complex parts, and facilitates quantitative quality assurance monitoring



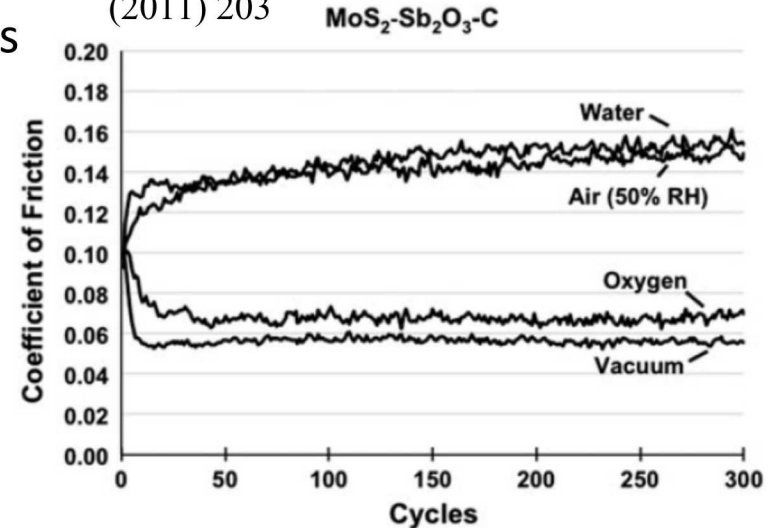
magnetron sputtering of MoS₂ composites

I. Efeoglu, in *Encyclopedia of Tribology*, Q. Wang and Y.-W. Chung, eds. (2013) Springer, p. 3233-3252

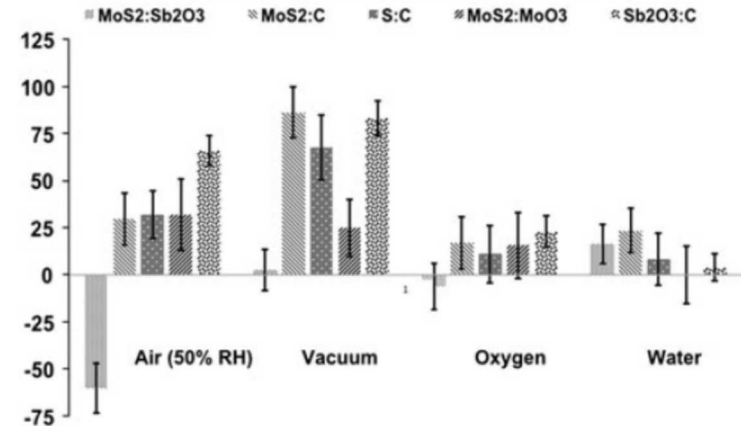


Examine variants of sputtered MoS₂-Sb₂O₃ and MoS₂-Sb₂O₃-C films for precision mechanisms

G. Dudder et al, *Tribology Letters* 42 (2011) 203



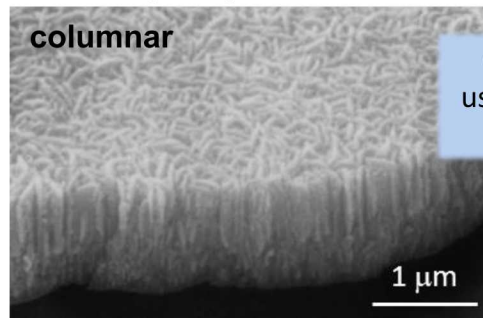
Percentage Change of Species Ratios Under Different Environmental Conditions



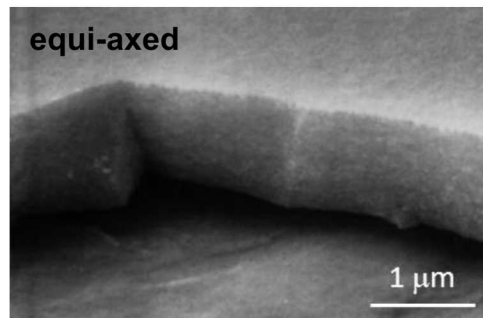
Sputtered Composites Facilitate Control of Film Structure and Chemistry

- Tailored film structures improve performance in a range of atmospheres
- Wear rates are improved by densification and inclusion of hard, load-supporting phases

M.R. Hilton, et al., *Surf. Coatings Tech.* **53** (1992) p.13-23

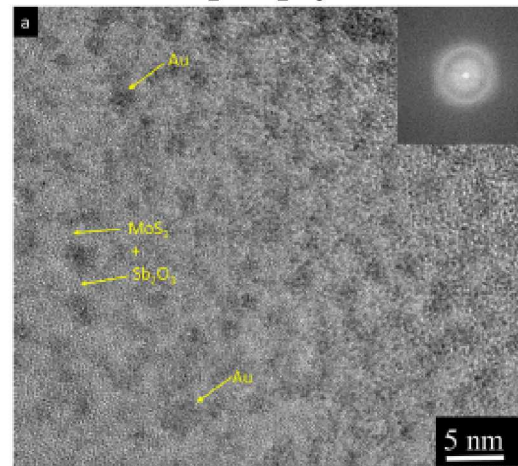


densification using deposition parameters



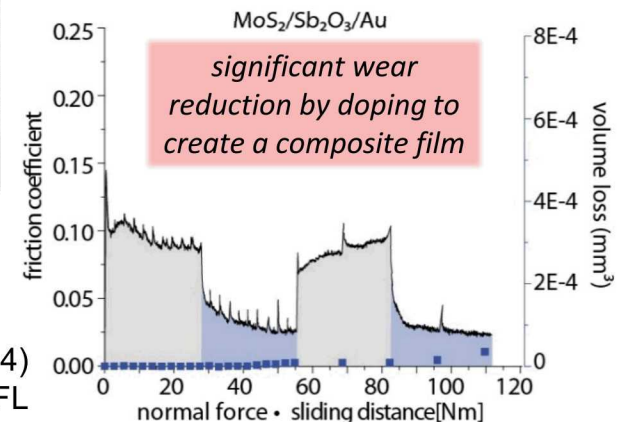
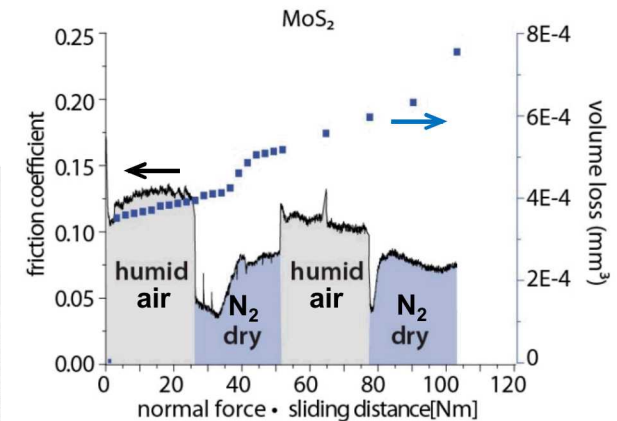
densification through composition control

$\text{MoS}_2 + \text{Sb}_2\text{O}_3 + \text{Au}$



H. Singh et al., *Surf. Coating Tech.* **284** (2015) p. 281-289

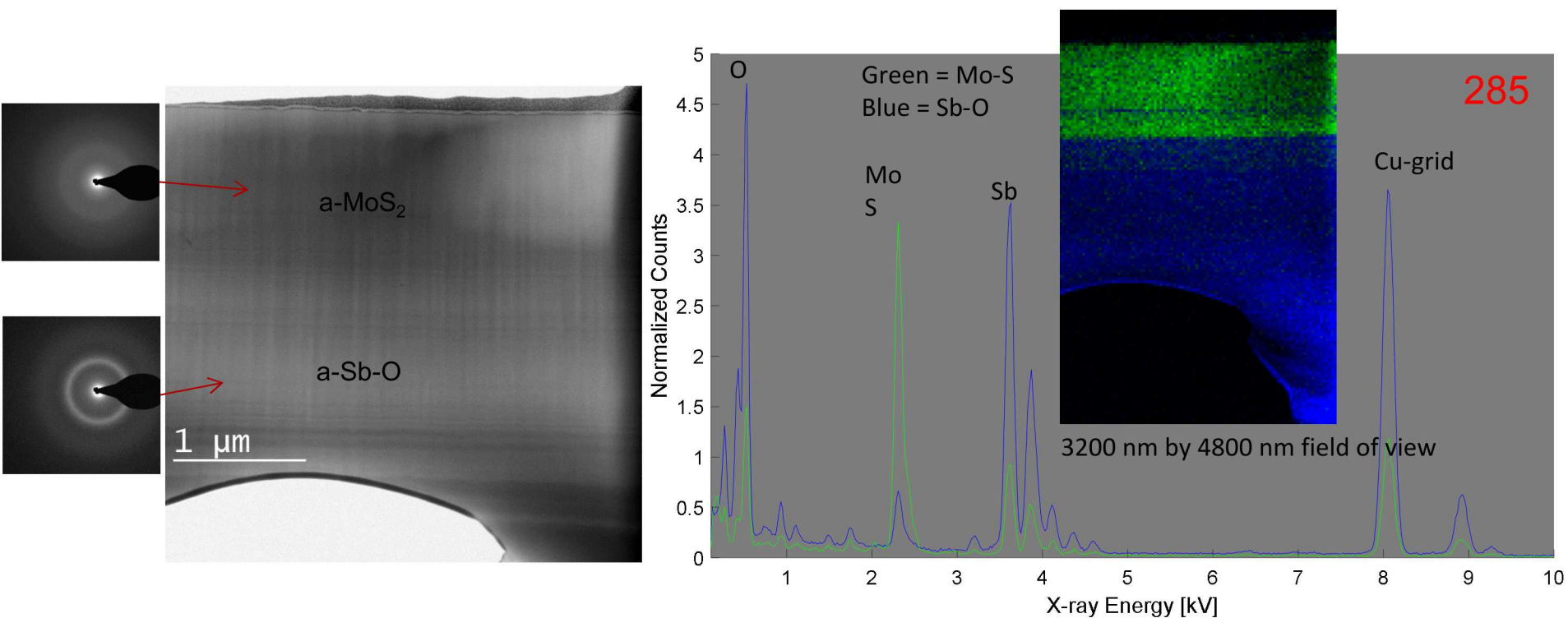
R.S. Colbert, *Ph.D. Dissertation* (2014)
U. of Florida, Gainesville, FL



Film Compositions Investigated

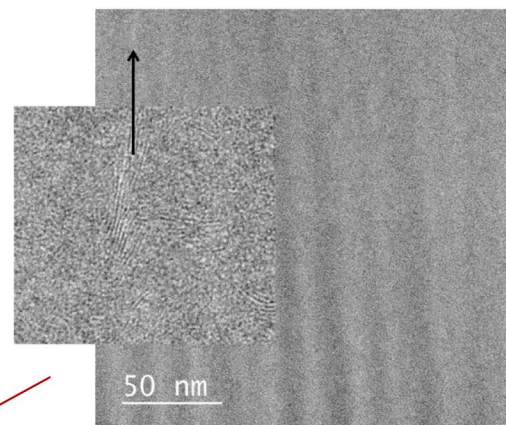
- MoS₂-Sb₂O₃, I (285)
- MoS₂-Sb₂O₃, II (2032)
- MoS₂-Sb₂O₃-C, Bias
- MoS₂-Sb₂O₃-C, Bias II
- MoS₂-Sb₂O₃-C, Bias III
- MoS₂-Sb₂O₃-C, Ion Assist
- MoS₂-Sb₂O₃-C, Ion Assist II (282)
- MoS₂-Sb₂O₃-C, Ti Bond (275)

RF magnetron sputtering

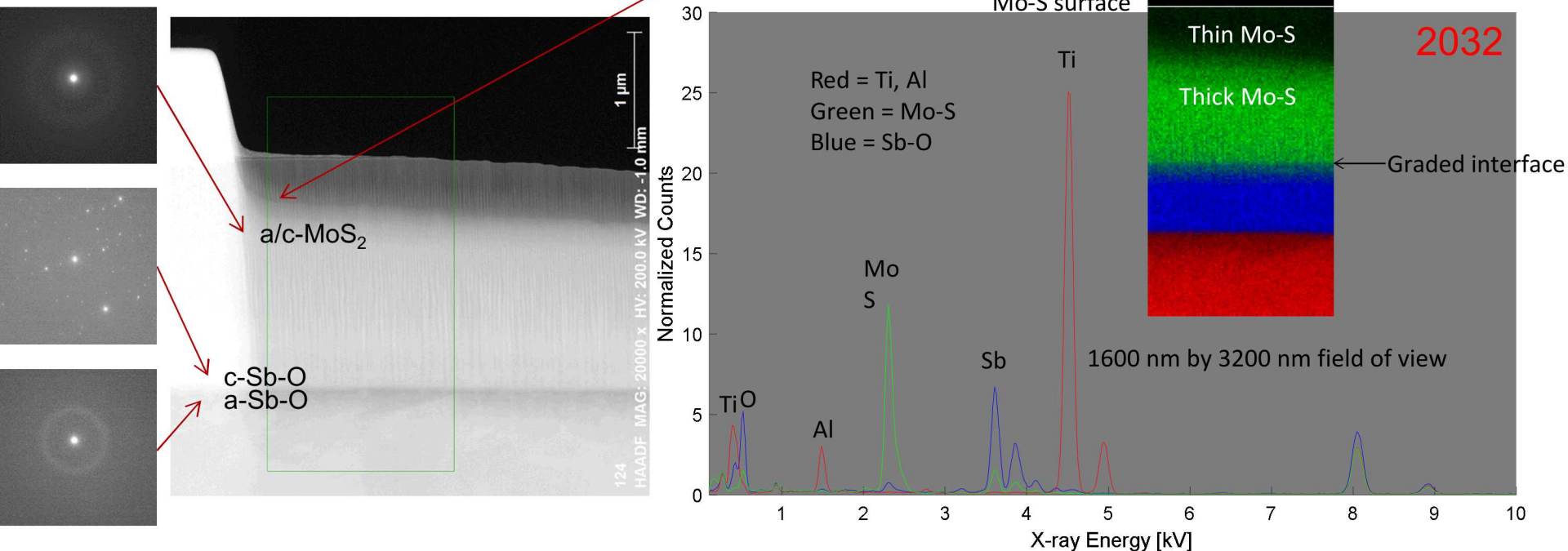


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- MoS₂-Sb₂O₃-C, Ion Assist II (282)
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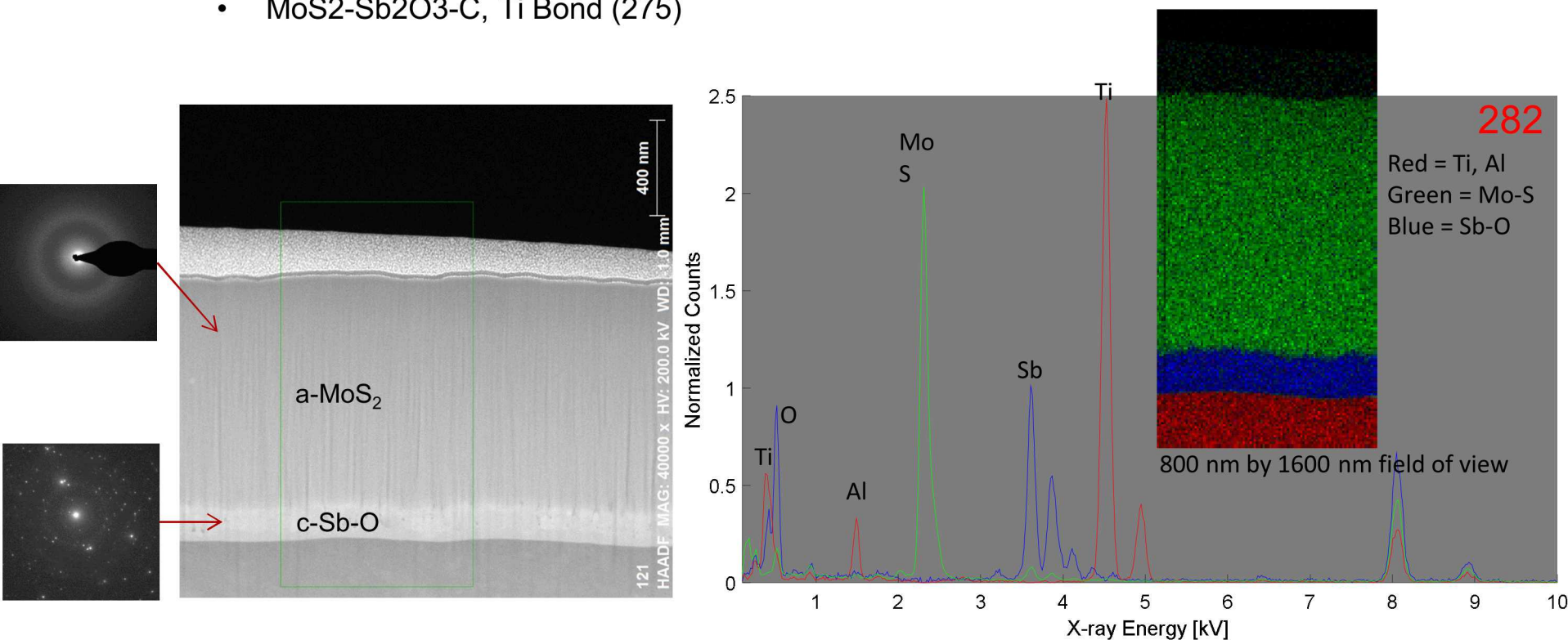


isolated local crystalline regions in amorphous matrix



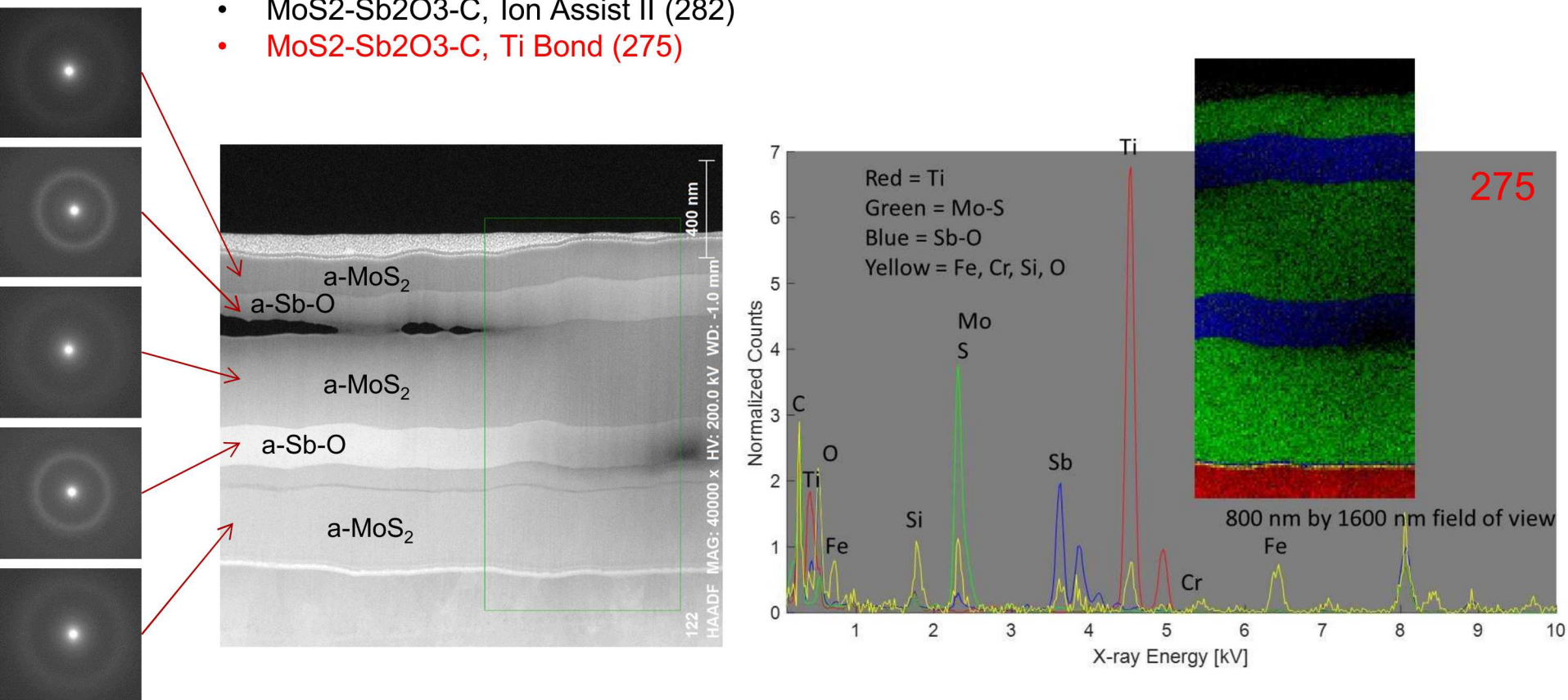
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- MoS₂-Sb₂O₃-C, Ti Bond (275)



Film Compositions Investigated

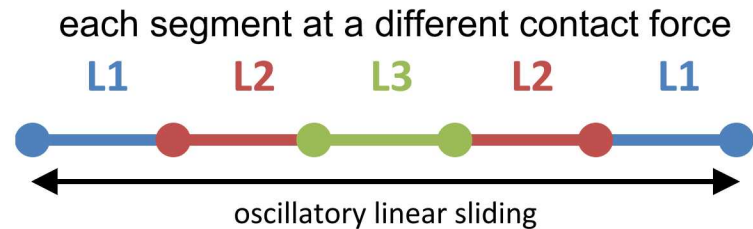
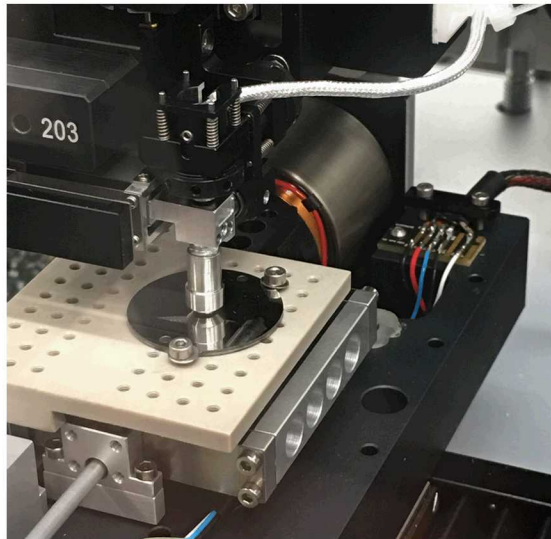
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- MoS₂-Sb₂O₃-C, Bias III
- MoS₂-Sb₂O₃-C, Ion Assist
- MoS₂-Sb₂O₃-C, Ion Assist II (282)
- **MoS₂-Sb₂O₃-C, Ti Bond (275)**



Friction Measurements: “Stripe” Tests



Load, mN	Max Pressure, MPa	Track Length, mm	Test Sequence	Cycles	Total Distance, mm
21	275	5	L1	300	1500
149	530	3	L2	500	3000
484	785	1	L3	1500	4500

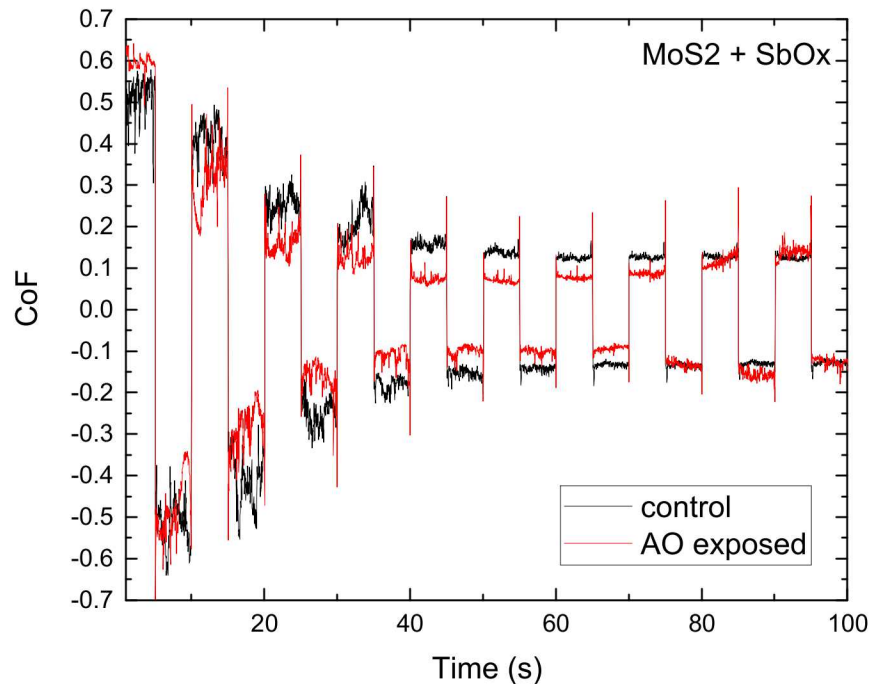


Test parameters:

- 440C ball, 3.2 mm diameter
- 1 mm/s sliding speed
- Controlled atmospheres:
 - dry N₂ (<10 ppm O₂, <50 ppm H₂O)
 - 50% RH air

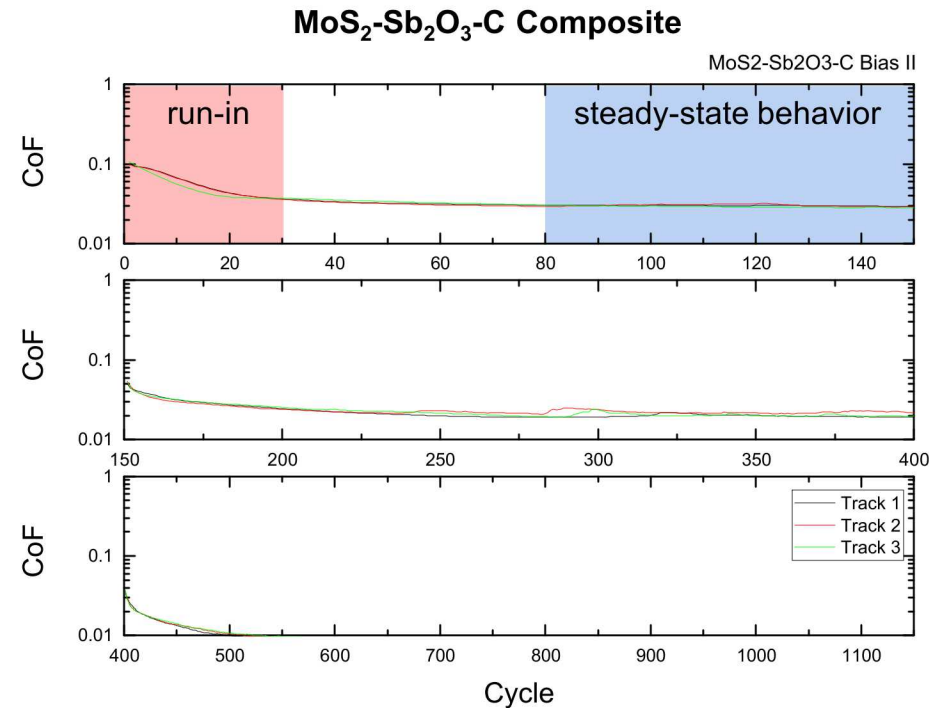
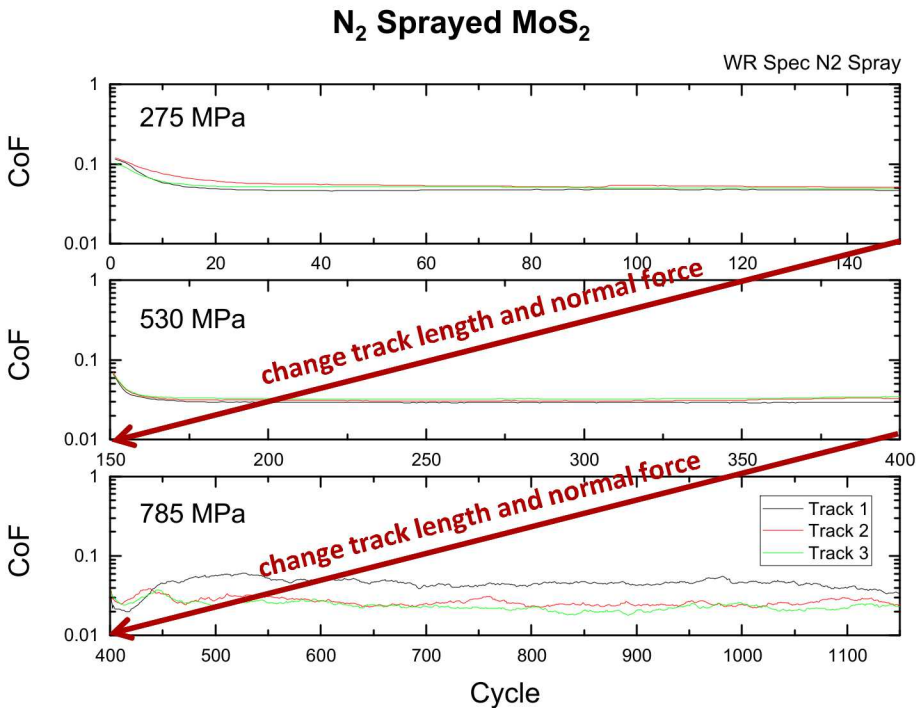
Permits performance assessment over a range of contact pressures

Raw Friction Data and Processing



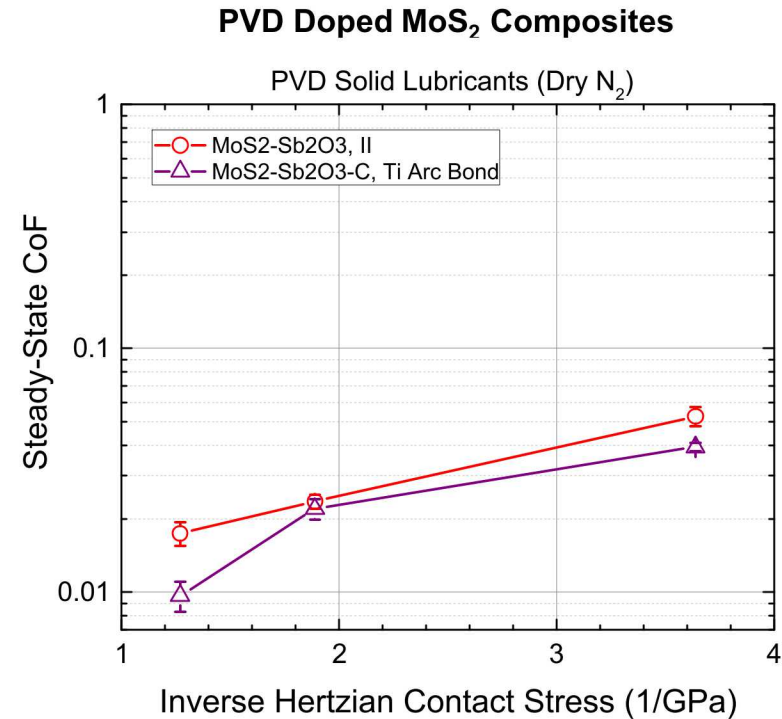
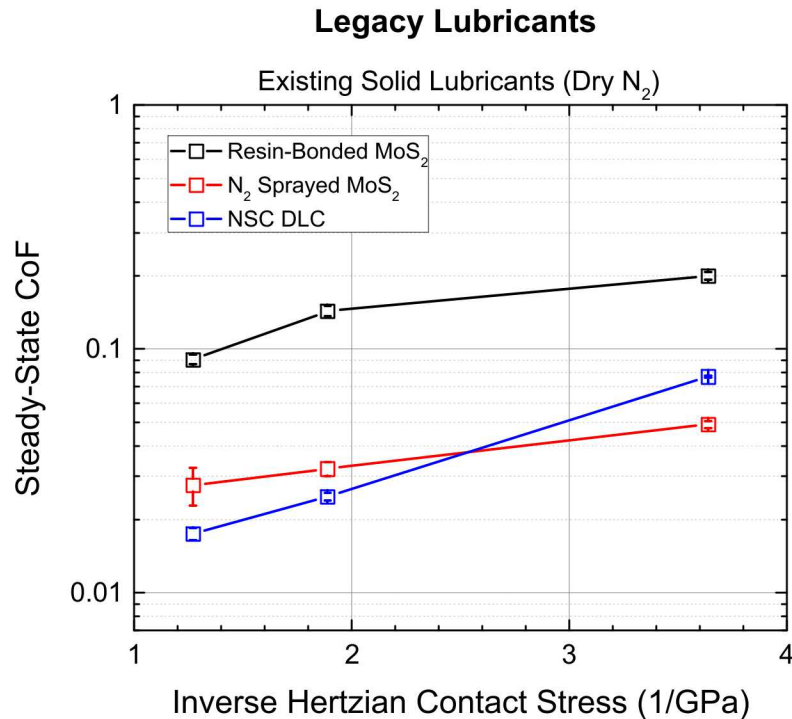
- Acquisition rate allows capture of run-in and friction variation within a single sliding cycle
- Bidirectional tests permit accurate measurement without drift
 - mask turnaround points and average adjacent bidirectional segments to get offset
 - difference between adjacent bidirectional segment averages is 2x friction coefficient

Friction Coefficient Traces from “Stripe” Tests



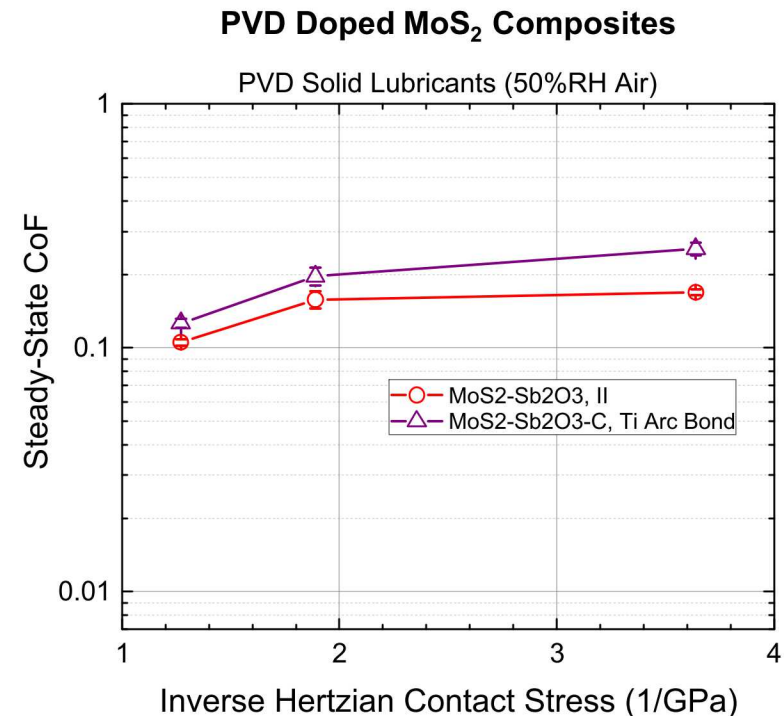
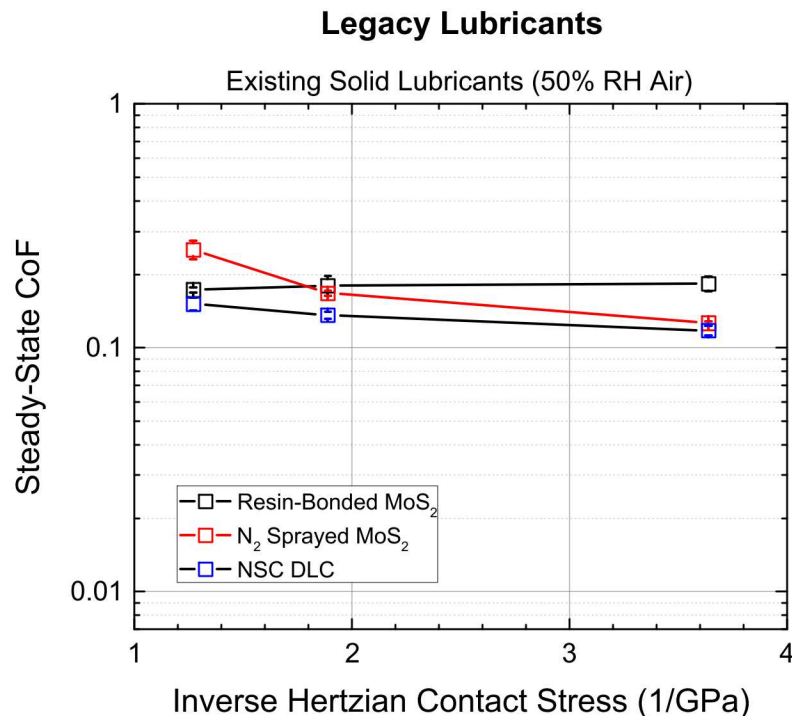
- Friction coefficient decreases as stress increases, typical of solid lubricant behavior
- Consider steady-state performance at each stress

Friction vs Contact Stress in Dry N₂



- Impingement pure MoS₂ exhibits steady-state friction ≤ 0.05 at all stresses
- All doped MoS₂ composites exhibit lower friction coefficient than resin-bonded legacy coatings
 - several also exhibit lower friction than N₂ sprayed MoS₂ and DLC

Friction vs Contact Stress in 50% RH Air

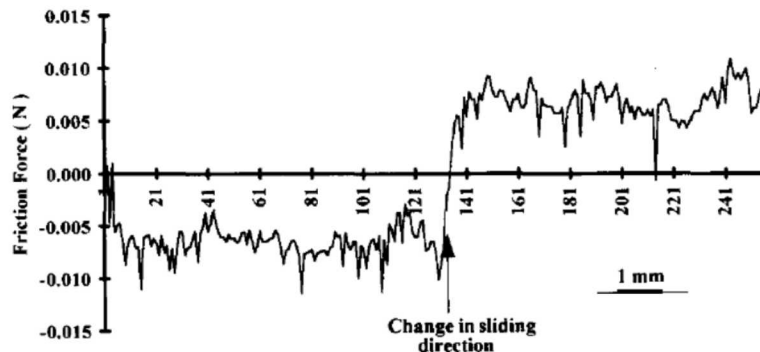


- All films exhibit increased friction compared to that in inert gas
 - the resin-bonded legacy film is the least impacted
- Several PVD coatings ($\text{MoS}_2\text{+Sb}_2\text{O}_3\text{+C}$) exhibit friction coefficient comparable to that of the legacy coatings

Superlubricity in Pure and Composite MoS₂

- Friction coefficient of pure (no C, O contaminants) MoS₂ synthesized and tested in UHV without exposure to air, ~0.002-0.005

C. Donnet, Th. Le Mogne and J.M. Martin,
Surf. Coat. Technol. **62** (1993) 406

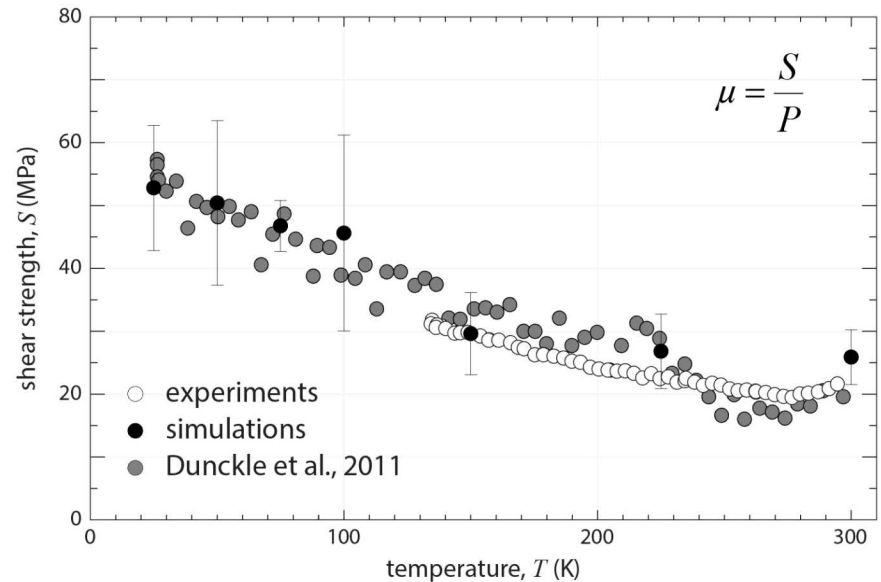
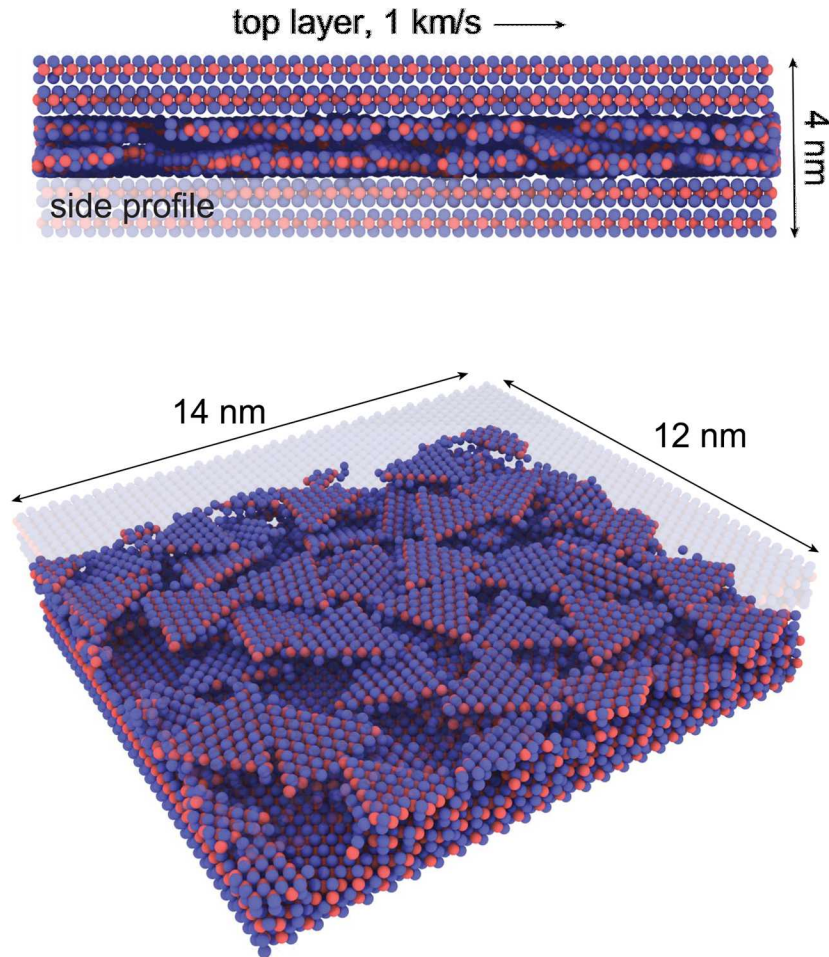


C. Donnet, et al., *Tribology International*
629 (1996) 123

Atmosphere during friction	Average friction coefficient at cycle $N = 100$	Calculated shear strength S (MPa)
UHV	0.002	0.7
HV	0.013	4.9
d-N ₂	0.003	1.1
at-Air	0.150	56.0

- In the present work, RF sputtered MoS₂-Sb₂O₃-C exhibited friction coefficients below 0.01 at similar stress, after shipping and testing in N₂
 - friction coefficient remains ~0.1 in atmospheres containing water vapor; not truly “environmentally agnostic”
 - characterization of aging behavior is ongoing

Physics-Based Friction Model for MoS₂

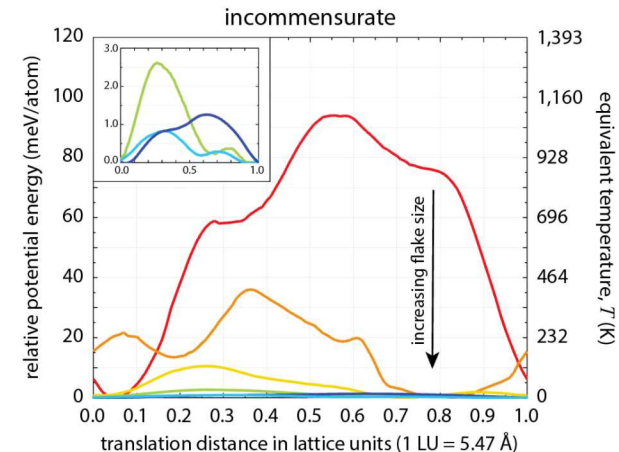
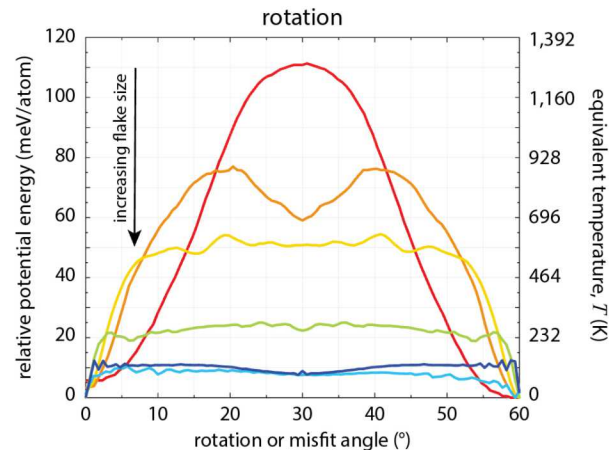
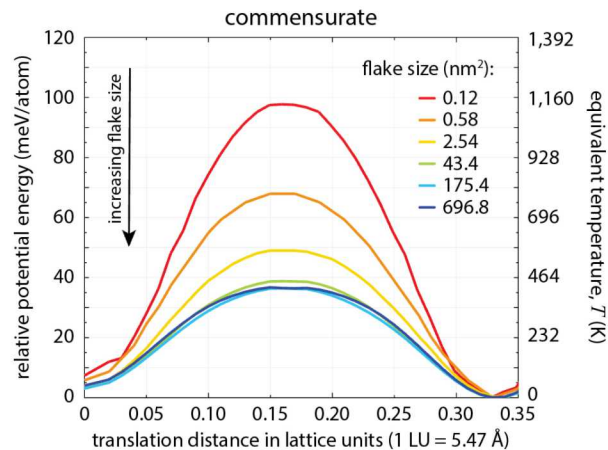
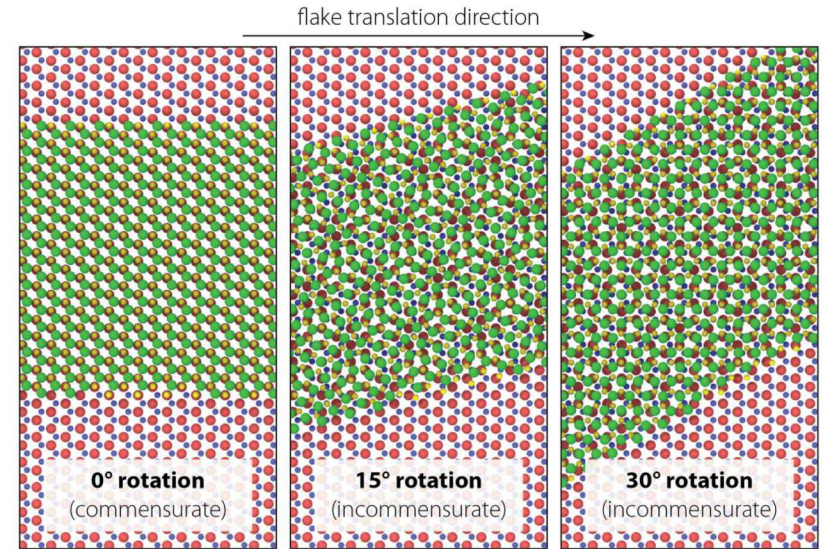


- Excellent agreement between experimental & simulation data for shear strength vs temperature
- Studying motion of individual flakes may provide insight into fundamental mechanisms

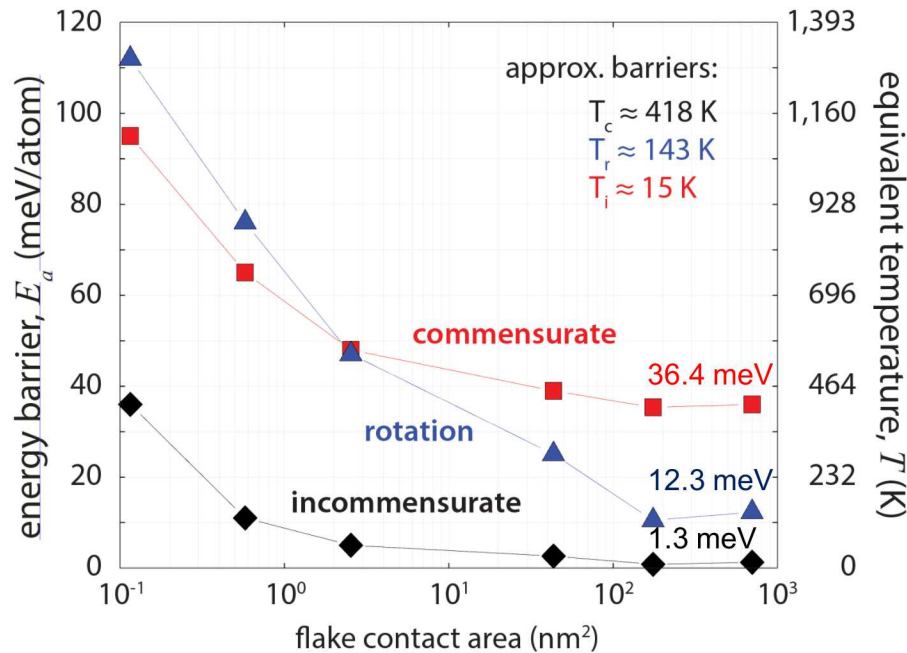
A basic understanding of the origins of friction in lamellar solids may permit compositing/doping to be used to mitigate environment and aging effects

NEB Calculation of Energy Barriers

- Flakes of increasing size forced to translate/rotate and calculated required energies
- Energy barriers converge at larger flake sizes
- Commensurate sliding most energetically expensive route; incommensurate sliding **28X** less expensive



Converged Barriers & Analytical Model



The probability (p_n) and failure (f_n) to overcome a barrier:

$$p_n = A \exp\left(\frac{-\Delta E_n}{k_B T}\right)$$

$$f_n = 1 - p_n$$

The probability to slide and fail to slide (friction):

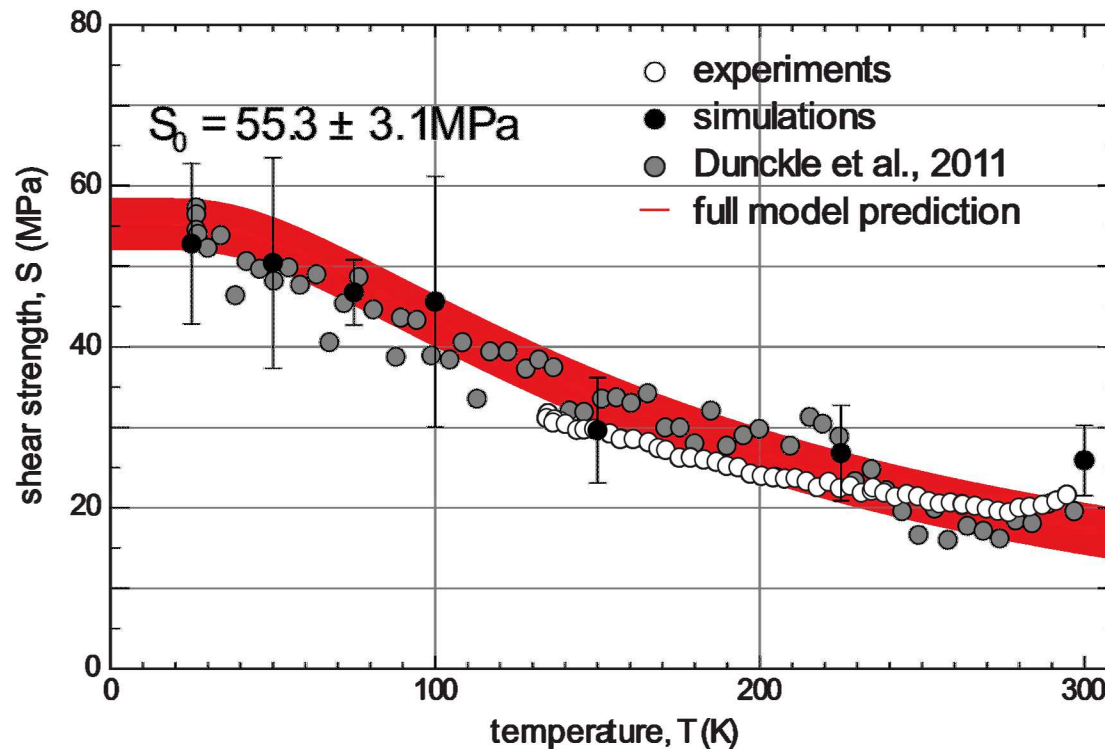
$$p_{slide} = p_r p_i + f_r p_c$$

$$f_{slide} = 1 - p_{slide}$$

$$= 1 - (p_r p_i + f_r p_c)$$

- Model based on probability to overcome energy barriers to translation & rotation (Arrhenius)
- Expressed as inverse (1-exp) due to failure to thermally diffuse and slide under shear

Shear Strength via Barriers to Sliding



full model prediction:

$$S(T) = S_0 \left(\underbrace{1 - \exp\left(-\frac{\Delta E_i + \Delta E_r}{k_B T}\right)}_{\text{successfully rotate; slide incommensurately}} - \underbrace{\exp\left(\frac{-\Delta E_c}{k_B T}\right) + \exp\left(-\frac{\Delta E_c + \Delta E_r}{k_B T}\right)}_{\text{failure to rotate; slide commensurately}} \right)$$

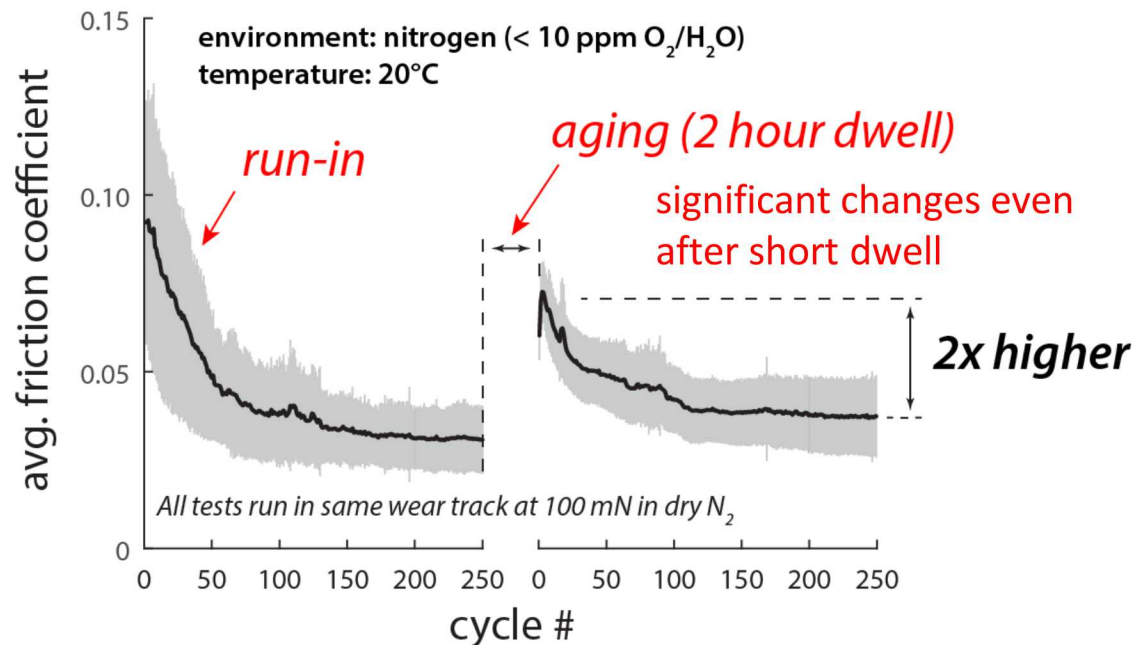
zero kelvin
shear strength, $S_0(T=0\text{K})$

successfully rotate;
slide incommensurately

failure to rotate;
slide commensurately

Concern after aging...*"re-run-in"*

Pure MoS₂ films **after** operating in inert atmospheres, **still** exhibit altered friction response after aging



A basic understanding of the origins of friction in lamellar solids may permit compositing/doping to be used to mitigate this and other aging effects

Conclusions

MoS₂–based solid lubricants exhibit environmental sensitivity and aging

- Requires robust mechanism designs to accommodate variability

Opportunities exist for improvements to solid lubricants for precision mechanisms in extreme environments

- PVD tools allow in-situ cleaning, thickness control, and tailoring of coating structure and chemistry on precision parts of complex shape
- It may be possible to synthesize new materials that minimize environmental heterogeneity, run-in, and susceptibility to aging

Phenomenological understanding of MoS₂ shear and alloying effects have already enabled design of superior materials

Physics-based shear models will enable optimization of solid lubricant structure/composition, and performance predictions

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