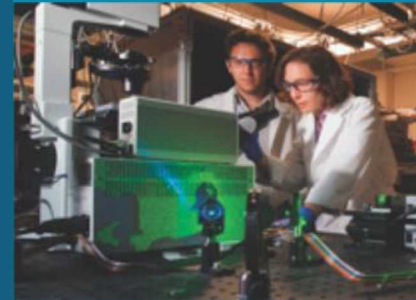


# Time-Dependent Density Functional Theory for High Energy Density Physics



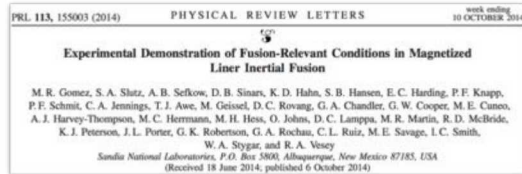
## Stopping Power\* in Warm Dense Targets from First Principles

\* and more

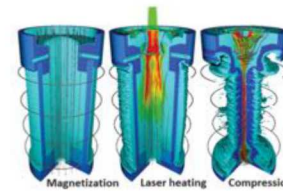
PRESENTED BY

Attila Cangi, D. S. Jensen, S. B. Hansen, A. D. Baczewski

*16<sup>th</sup> International Conference on the Physics of Non-Ideal Plasmas, Saint-Malo, France, September 28, 2018*



**HED  
experiments**



Magneto-hydrodynamics  
simulations support design  
of HED experiments.

HED experiments and  
diagnostics provide data  
to benchmark theory.

**Magneto-  
hydrodynamics  
simulations**

**AMO/Electronic  
structure  
theory**

Atom/Electronic structure  
theory provides data on  
microscopic scale (electronic  
transport properties) as  
input to magneto-  
hydrodynamics simulations.



# Electronic Structure and Condensed Matter Theory

DFT

TDDFT

AMO  
PhysicsEquation of  
state

Shock physics

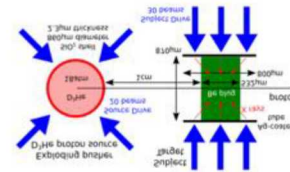
Stopping  
Power

Conductivities

Dynamic  
Structure  
Factor

## Measurement of Charged-Particle Stopping in Warm Dense Plasma

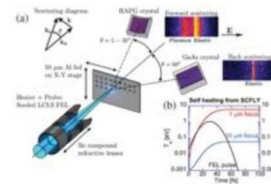
A. B. Zylstra, J. A. Frenje, P. E. Grabowski, C. K. Li, G. W. Collins, P. Fitzsimmons, S. Glenzer, F. Graziani, S. B. Hansen, S. X. Hu, M. Gatu Johnson, P. Keiter, H. Reynolds, J. R. Rygg, F. H. Séguin, and R. D. Petrasso  
Phys. Rev. Lett. **114**, 215002 – Published 27 May 2015



$$\frac{\partial E}{\partial x} = \frac{1}{v} \frac{\partial}{\partial t} \langle E \rangle$$

## Free-Electron X-Ray Laser Measurements of Collisional-Damped Plasmons in Isochorically Heated Warm Dense Matter

P. Sperling, E. J. Gamboa, H. J. Lee, H. K. Chung, E. Galtier, Y. Omarbakiyeva, H. Reinholz, G. Röpke, U. Zastrau, J. Hastings, L. B. Fletcher, and S. H. Glenzer  
Phys. Rev. Lett. **115**, 115001 – Published 9 September 2015



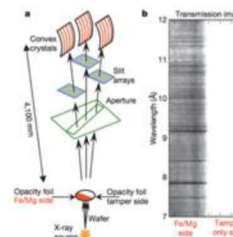
$$\sigma(\omega) = \frac{\mathbf{J}(\omega)}{\mathbf{E}(\omega)}$$

## LETTER

doi:10.1038/nature14048

## A higher-than-predicted measurement of iron opacity at solar interior temperatures

J. E. Bailey<sup>1</sup>, T. Nagayama<sup>1</sup>, G. P. Loisel<sup>1</sup>, G. A. Rochau<sup>1</sup>, C. Blancard<sup>2</sup>, J. Colgan<sup>3</sup>, Ph. Cosse<sup>2</sup>, G. Fausserier<sup>2</sup>, C. J. Fontes<sup>3</sup>, F. Gillieron<sup>4</sup>, I. Golovkin<sup>5</sup>, S. B. Hansen<sup>6</sup>, C. A. Iglesias<sup>5</sup>, D. P. Kikrease<sup>5</sup>, J. J. MacFarlane<sup>6</sup>, R. C. Mancini<sup>6</sup>, S. N. Nahar<sup>7</sup>, C. Orban<sup>2</sup>, J.-C. Pain<sup>1</sup>, A. K. Pradhan<sup>1</sup>, M. Sherrill<sup>8</sup> & B. G. Wilson<sup>1</sup>



$$S(\mathbf{q}, \omega) = -\frac{1}{\pi} \frac{\Im[\chi(\mathbf{q}, -\mathbf{q}, \omega)]}{1 - e^{-\omega/k_B T}}$$



Time-dependent Schrödinger equation for the many-particle (molecular) Hamiltonian.

$$i\hbar \frac{\partial}{\partial t} \Psi(\{\mathbf{R}\}, \{\mathbf{r}\}, t) = \hat{H} \Psi(\{\mathbf{R}\}, \{\mathbf{r}\}, t)$$

$$\hat{H} = \hat{T}^n + \hat{W}^{nn} + \hat{T}^e + \hat{W}^{ee} + \hat{W}^{en} + \hat{V}^n + \hat{V}^e$$

Kinetic, interaction, and external potential terms.

$$\begin{aligned} \hat{T}^n &= \sum_{\alpha}^{N^n} -\frac{\hbar^2}{2M_{\alpha}} \nabla_{\alpha}^2 & \hat{T}^e &= \sum_i^{N^e} -\frac{\hbar^2}{2m} \nabla_i^2 \\ \hat{W}^{nn} &= \frac{1}{2} \sum_{\alpha, \beta, \alpha \neq \beta}^{N^n} \frac{e^2 Z_{\alpha} Z_{\beta}}{4\pi\epsilon_0 |\mathbf{R}_{\alpha} - \mathbf{R}_{\beta}|} & \hat{W}^{ee} &= \frac{1}{2} \sum_{i, j, i \neq j}^{N^e} \frac{e^2}{4\pi\epsilon_0 |\mathbf{r}_i - \mathbf{r}_j|} & \hat{W}^{en} &= -\sum_i^{N^e} \sum_{\alpha}^{N^n} \frac{e^2 Z_{\alpha}}{4\pi\epsilon_0 |\mathbf{r}_i - \mathbf{R}_{\alpha}|} \\ \hat{V}^n &= \sum_{\alpha}^{N^n} v^n(\mathbf{R}_{\alpha}) & \hat{V}^e &= \sum_i^{N^e} v^e(\mathbf{r}_i) \end{aligned}$$



We reduce the complexity to a one-body problem (somewhat at the cost of accuracy).

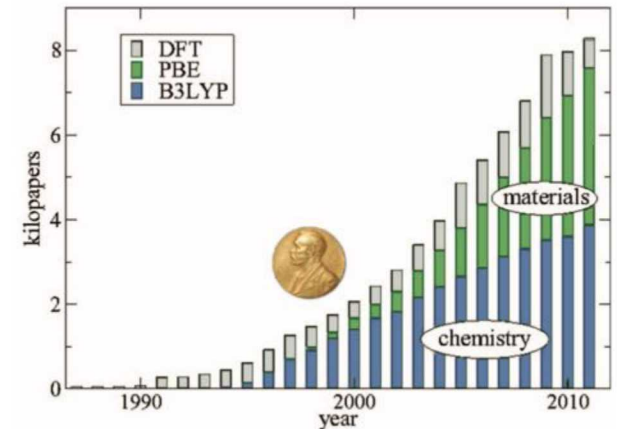
Two coupled equations of motion

- TD Kohn-Sham equations

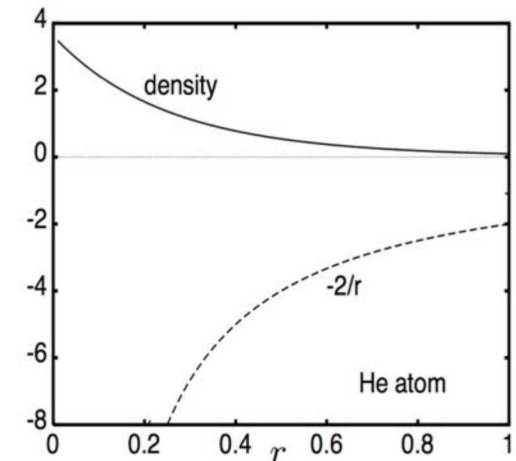
$$i \frac{\partial}{\partial t} \phi_i(\mathbf{r}, t) = \left\{ -\frac{1}{2} \nabla^2 + v_S(\mathbf{r}, t) \right\} \phi_i(\mathbf{r}, t)$$

- Ehrenfest MD

$$M_\alpha \frac{\partial^2 \mathbf{R}_\alpha}{\partial t^2} = -\nabla_{\mathbf{R}_\alpha} E[\mathbf{R}_\alpha, n(\mathbf{r}, t)]$$



K. Burke, J. Chem. Phys. **136**, 150901 (2012).



The ABC of DFT ([dft.uci.edu/doc/g1.pdf](http://dft.uci.edu/doc/g1.pdf)).





## Sandia implementation of TDDFT-Ehrenfest MD in VASP

- Andrew D. Baczewski et al., PRL **116**, 115004 (2016)
- Plane wave basis
- Projector-augmented wave (PAW) formalism
- Crank-Nicolson time integration (unitary)
- Generalized minimal residual method

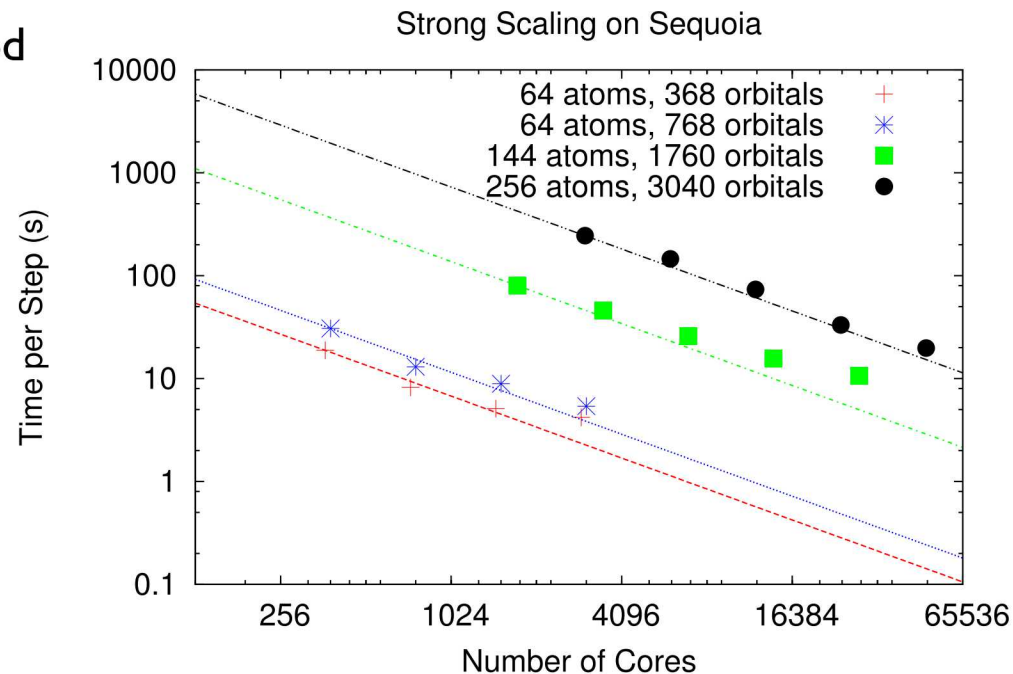
## Scales well on DOE machines

- Typically 100s of cores, a few hours
- No “free” parameters
  - takes mass density
  - # of electrons
  - exchange-correlation functional

$$i \frac{\partial}{\partial t} \phi_i(\mathbf{r}, t) = \left\{ -\frac{1}{2} \nabla^2 + v_s(\mathbf{r}, t) \right\} \phi_i(\mathbf{r}, t)$$

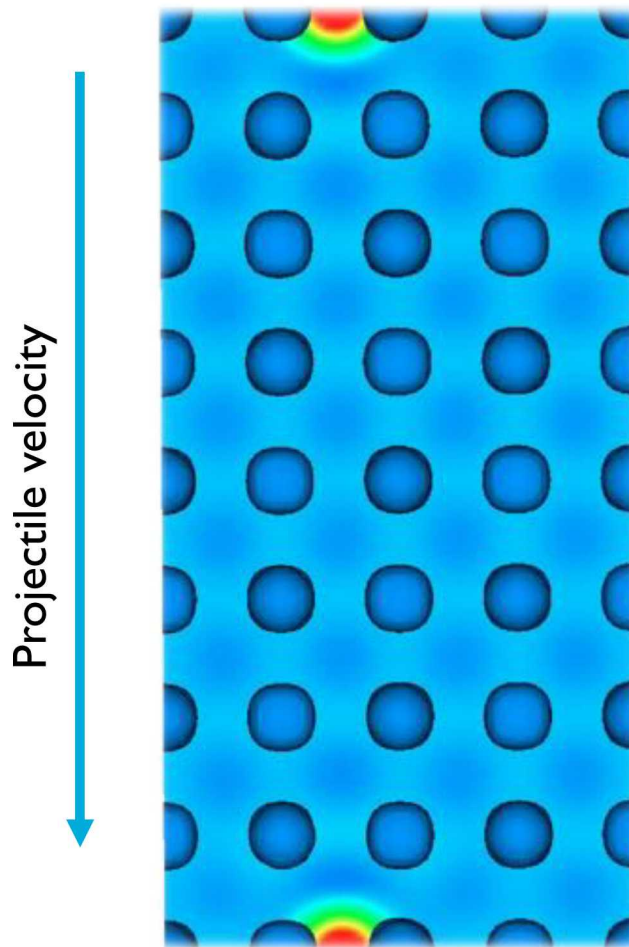
$$M_\alpha \frac{\partial^2 \mathbf{R}_\alpha}{\partial t^2} = -\nabla_{R_\alpha} E[R_\alpha, n(\mathbf{r}, t)]$$

$$\vec{F}_\alpha = \sum_{nqq'} f_n \mathbf{C}_{nq'}^*(t) [\nabla_{R_\alpha} \mathbf{H}_S - \epsilon_n \mathbf{S}] \mathbf{C}_{nq}(t)$$



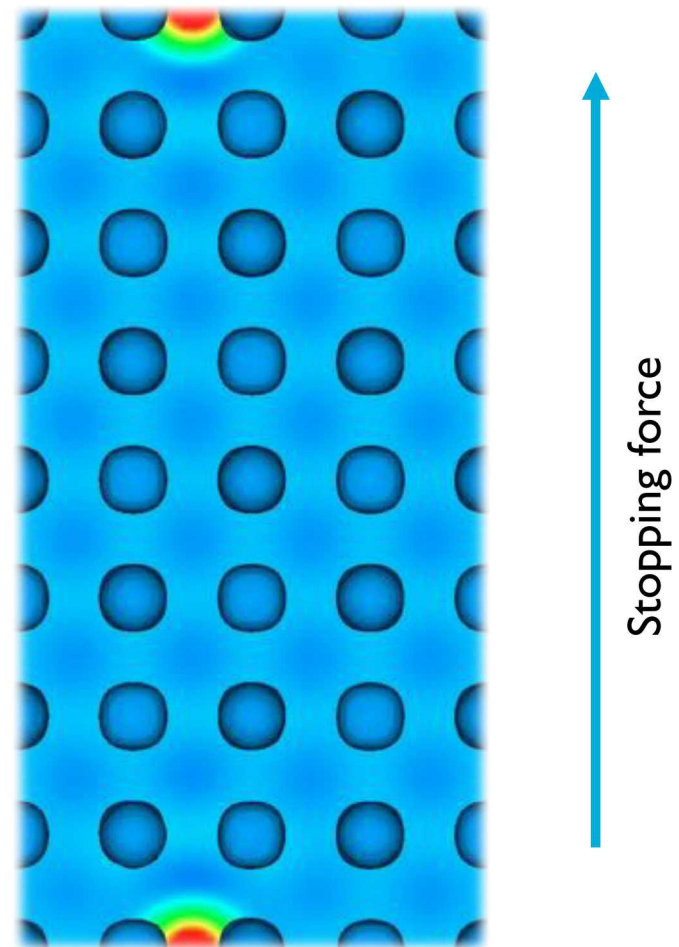
Hydrogen moving through cold, bulk aluminum in a channeling trajectory

Born-Oppenheimer MD

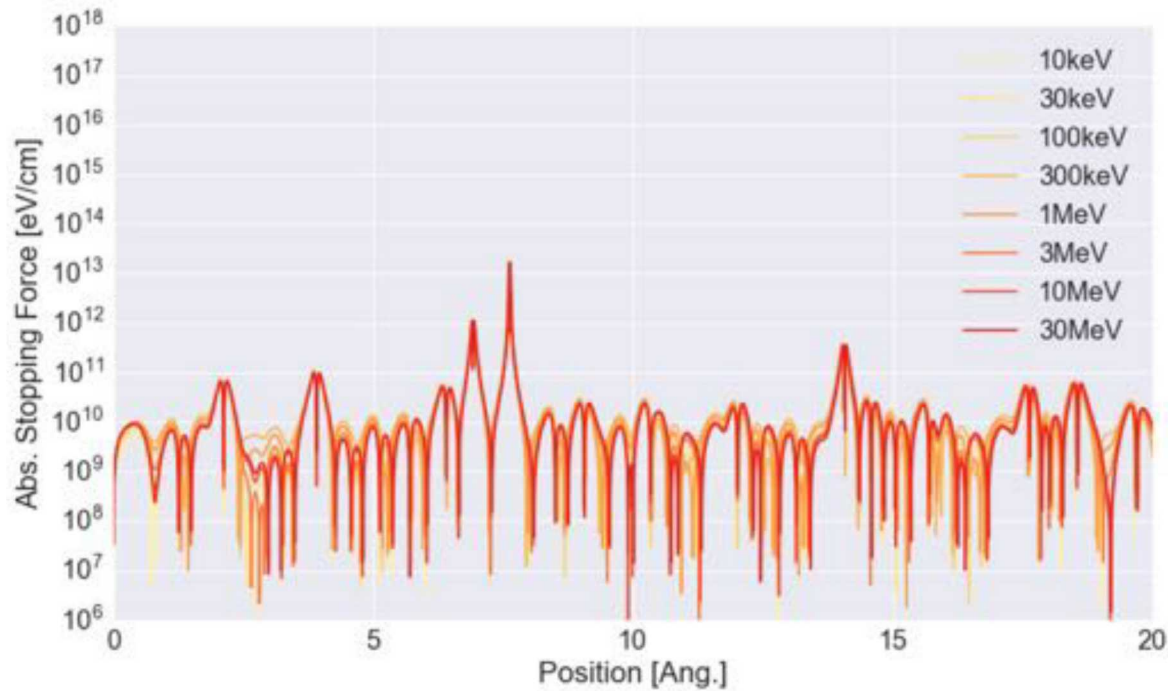


No stopping power  
(unphysical)

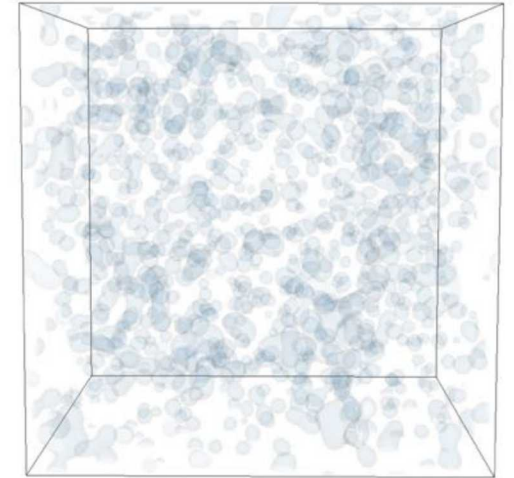
Ehrenfest MD



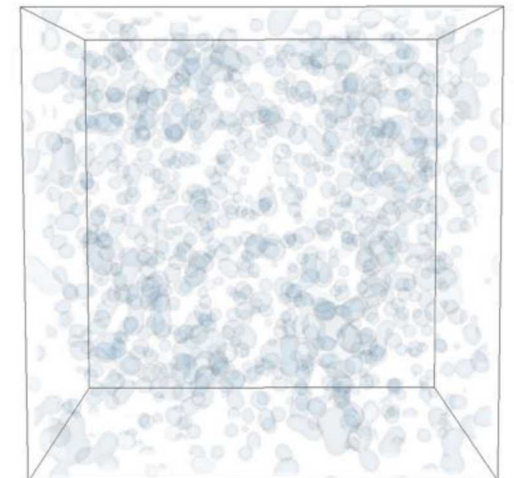
Matches experimental  
stopping power



Projectile at 300 keV



Projectile at 30 MeV

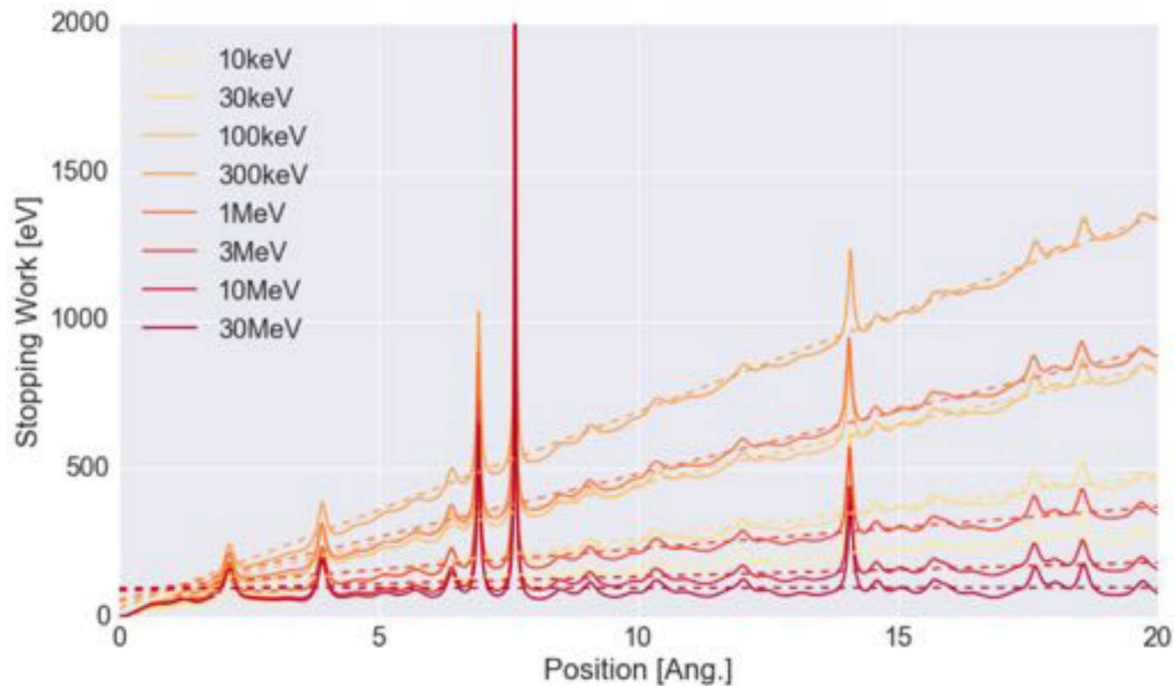


- Stopping at 10 g/cc (mass density) and 2 eV (temperature)
- **Force vs. projectile distance:** Similar across velocities

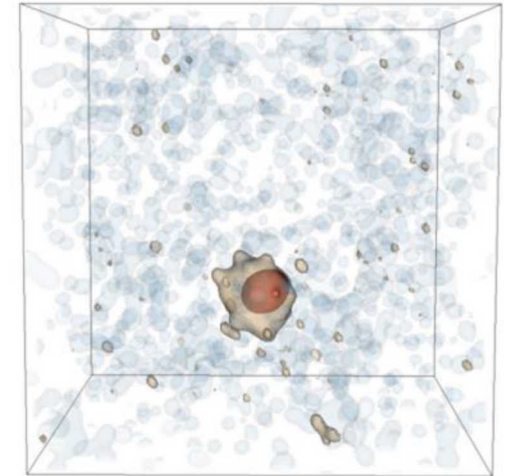


# Stopping Power

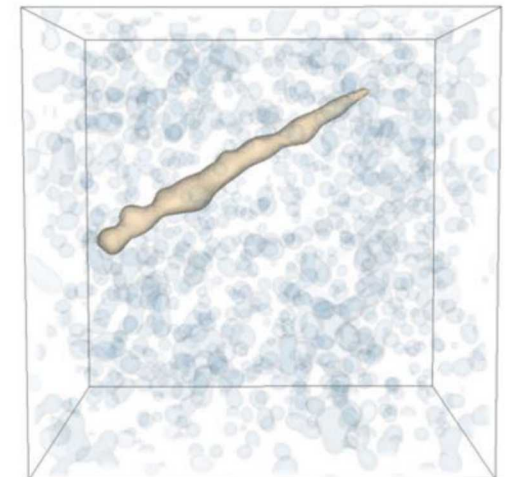
## Warm Dense Deuterium



Projectile at 300 keV



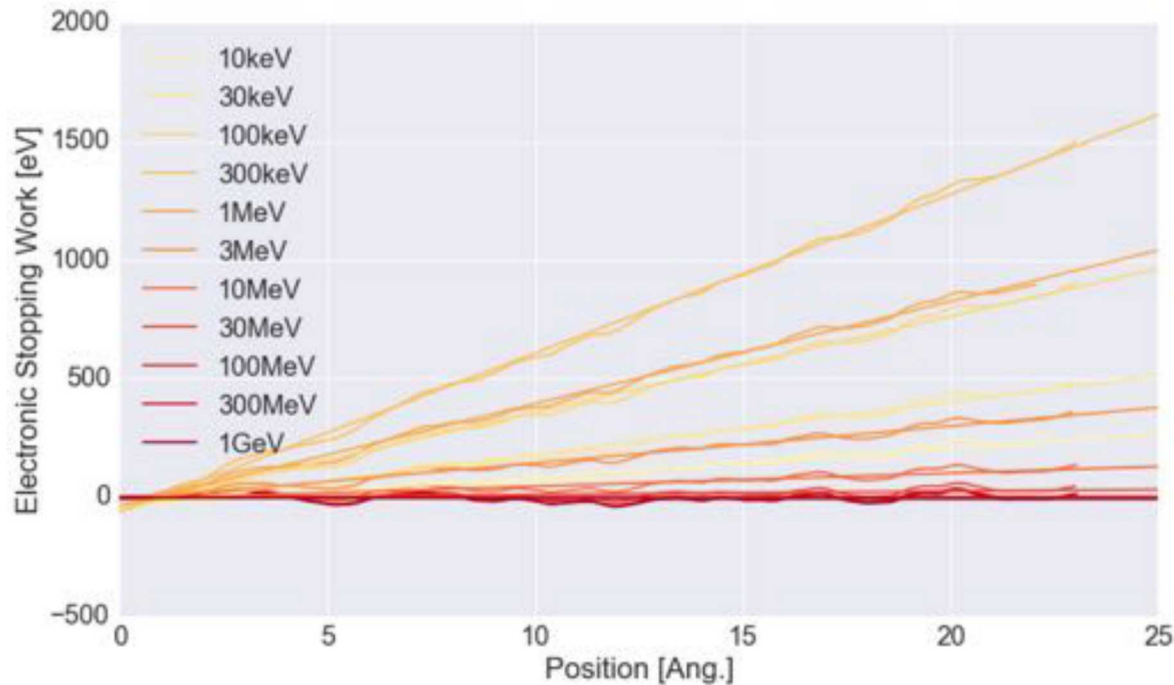
Projectile at 30 MeV



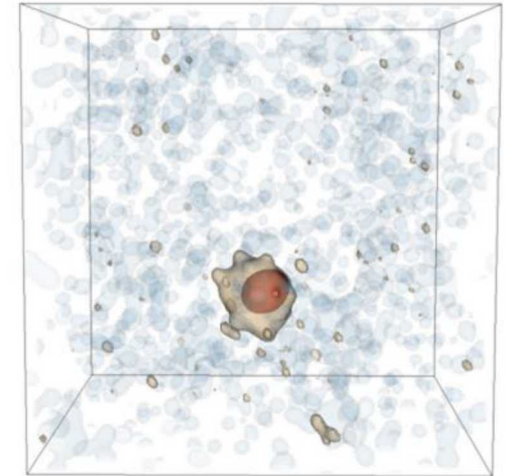
- Stopping at 10 g/cc (mass density) and 2 eV (temperature)
- Force vs. projectile distance:** Similar across velocities
- Work vs. projectile distance:** Spikes represent ions

# Stopping Power

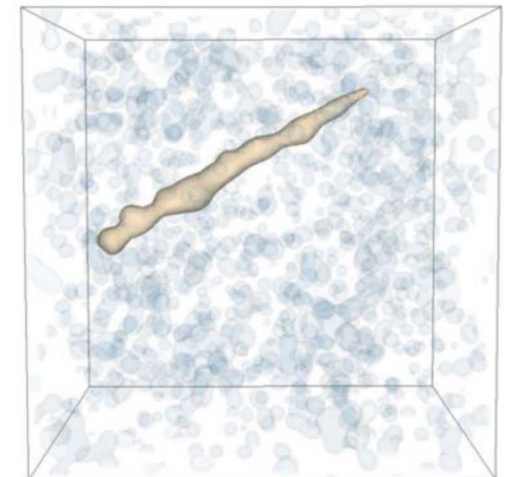
## Warm Dense Deuterium



Projectile at 300 keV



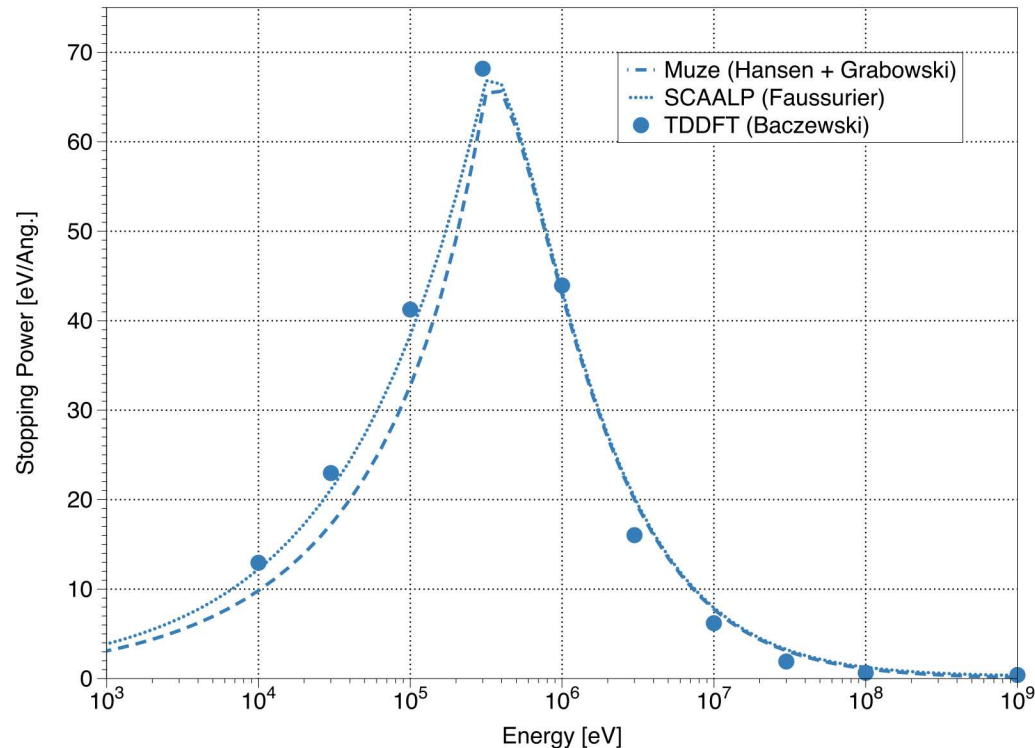
Projectile at 30 MeV



- Stopping at 10 g/cc (mass density) and 2 eV (temperature)
- **Force vs. projectile distance:** Similar across velocities
- **Work vs. projectile distance:** Spikes represent ions
- **Electronic work vs. projectile distance:** Slope represents stopping power

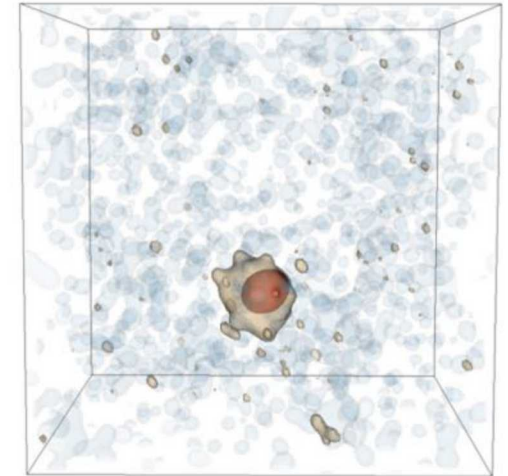
# Stopping Power

## Warm Dense Deuterium

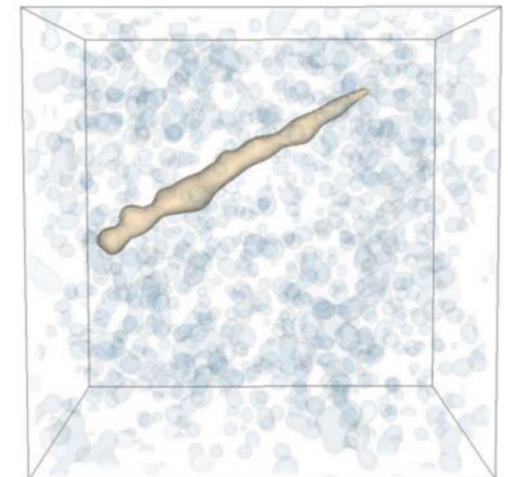


- Stopping at 10 g/cc (mass density) and 2 eV (temperature)
- Force vs. projectile distance:** Similar across velocities
- Work vs. projectile distance:** Spikes represent ions
- Electronic work vs. projectile distance:** Slope represents stopping power

Projectile at 300 keV



Projectile at 30 MeV





J. Phys. F: Met. Phys. 17 (1987) 295–304.

### **Difference in x-ray scattering between metallic and non-metallic liquids due to conduction electrons**

Junzo Chihara

Department of Physics, Japan Atomic Energy Research Institute, Tokai, Ibaraki 319-11,  
Japan

Starting point for most models of the dynamic structure factor

- Partition electrons into bound and free and model each contribution

$$S(\mathbf{q}, \omega) = |f_I(\mathbf{q}) + n(\mathbf{q})|^2 S_{ii}(\mathbf{q}, \omega) + Z_f S_{ee}(\mathbf{q}, \omega) + S_{bf}(\mathbf{q}, \omega)$$

In principle, this is fine.

In practice, there can be problems:

- Distinction between bound and free electrons might break down for WDM
- Inconsistent treatment of various terms
- More parameters





Probe system with x-ray

$$v(\mathbf{r}, t) = v_0 e^{i\mathbf{q} \cdot \mathbf{r}} f(t)$$

$$|\mathbf{q}| = \frac{4\pi \sin(\theta/2)}{\lambda_0}$$

$\lambda_0$  : probe wavelength (2Å)

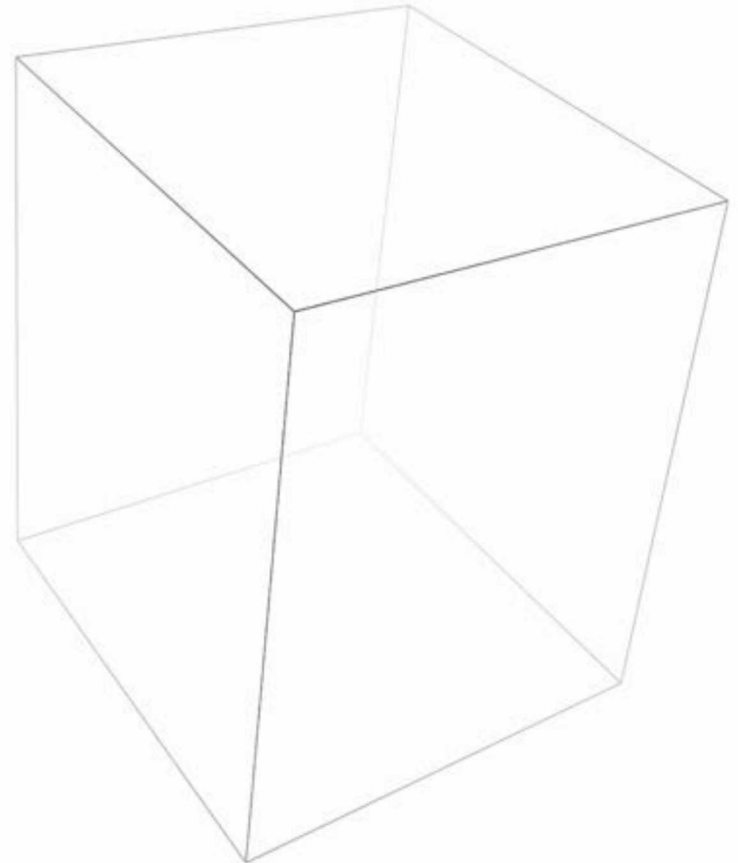
Record density response

$$\delta n(\mathbf{q}, t) = \int_0^\infty d\tau \chi(\mathbf{q}, -\mathbf{q}, \tau) v_0 f(t - \tau)$$

Apply dissipation-fluctuation theorem

$$\chi(\mathbf{q}, -\mathbf{q}, \omega) = \frac{\delta n(\mathbf{q}, \omega)}{v_0 f(\omega)}$$

$$S(\mathbf{q}, \omega) = -\frac{1}{\pi} \frac{\Im [\chi(\mathbf{q}, -\mathbf{q}, \omega)]}{1 - e^{-\omega/k_B T}}$$



Probe system with x-ray

$$v(\mathbf{r}, t) = v_0 e^{i\mathbf{q} \cdot \mathbf{r}} f(t)$$

$$|\mathbf{q}| = \frac{4\pi \sin(\theta/2)}{\lambda_0}$$

$\lambda_0$  : probe wavelength (2Å)

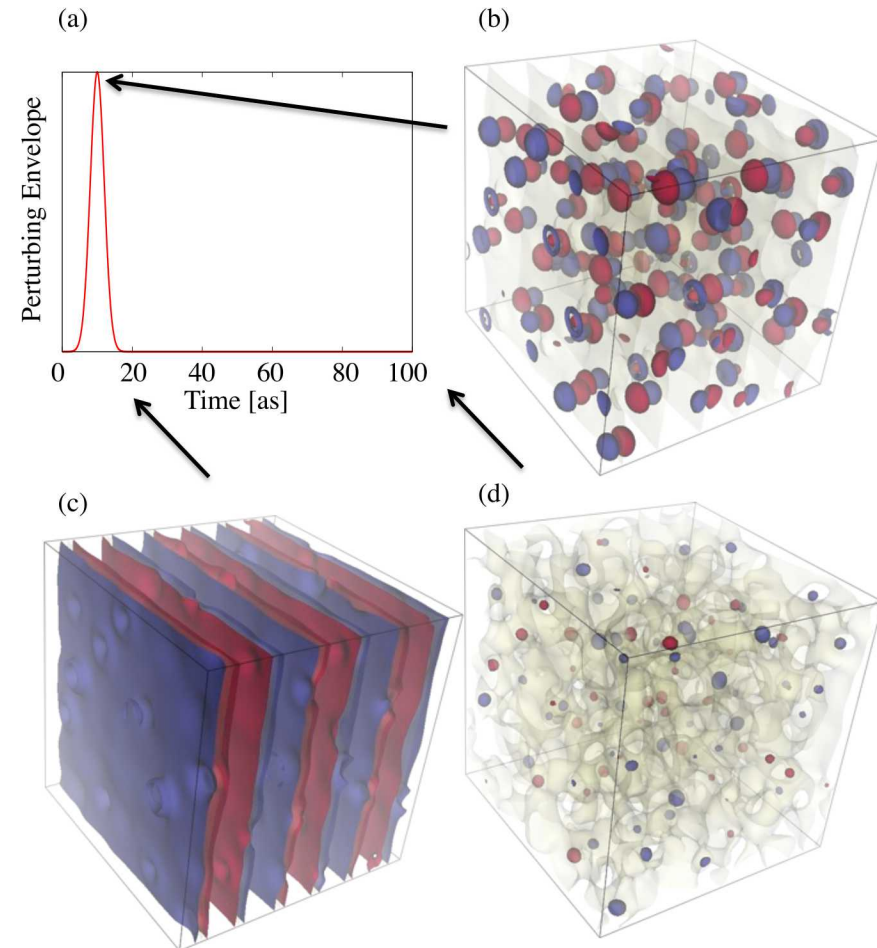
Record density response

$$\delta n(\mathbf{q}, t) = \int_0^\infty d\tau \chi(\mathbf{q}, -\mathbf{q}, \tau) v_0 f(t - \tau)$$

Apply dissipation-fluctuation theorem

$$\chi(\mathbf{q}, -\mathbf{q}, \omega) = \frac{\delta n(\mathbf{q}, \omega)}{v_0 f(\omega)}$$

$$S(\mathbf{q}, \omega) = -\frac{1}{\pi} \frac{\Im [\chi(\mathbf{q}, -\mathbf{q}, \omega)]}{1 - e^{-\omega/k_B T}}$$





Comparison of TDDFT (solid lines) to state-of-the-art models

- Free electron feature**

RPA dielectric function and Mermin approximation (dashed)

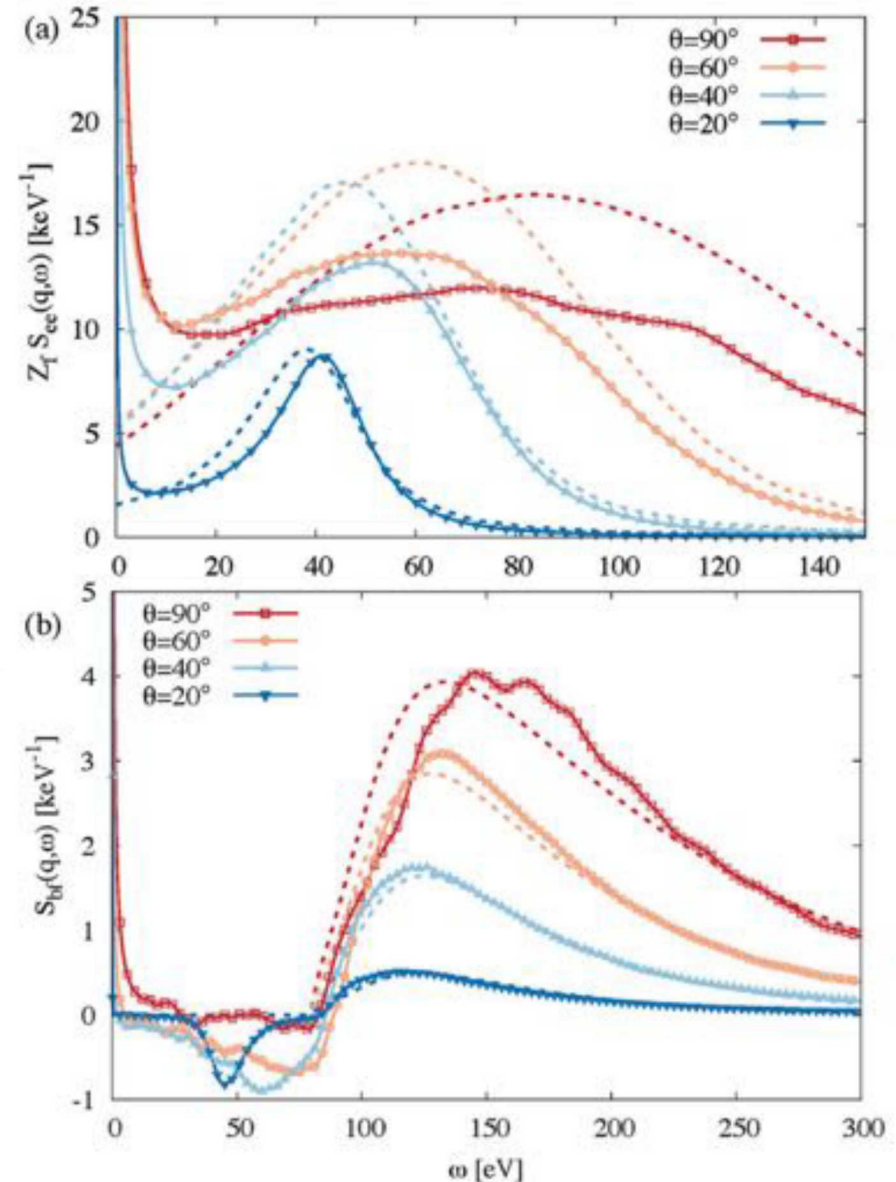
$$\frac{d^2\sigma}{d\Omega d\omega} = \sigma_T \frac{q_s}{q_i} S(q, \omega)$$

$$S(q, \omega) = -\frac{k^2}{4\pi^2 n_e} \frac{\text{Im} [\epsilon^{-1}(q, \omega)]}{1 - \exp(-\omega/T_e)}$$

$$\epsilon^M(q, \omega) - 1 = \frac{\left(1 + i\frac{\nu(\omega)}{\omega}\right) [\epsilon^{RPA}(q, \omega + i\nu(\omega)) - 1]}{1 + i\frac{\nu(\omega)}{\omega} \frac{\epsilon^{RPA}(q, \omega + i\nu(\omega)) - 1}{\epsilon^{RPA}(q, 0) - 1}}$$

- Bound-free feature**

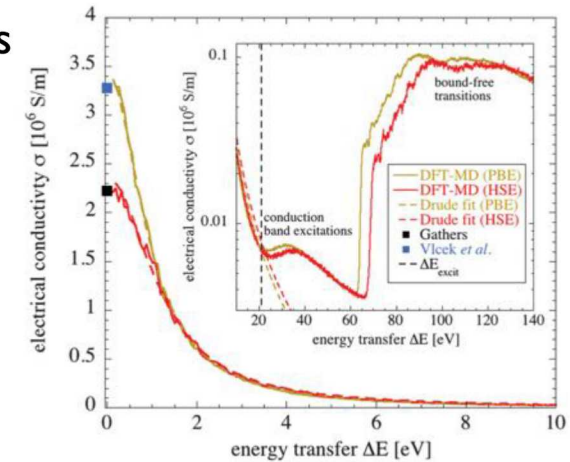
Average-atom ion-sphere model with Slater exchange (dashed lines)





State-of-the art conductivities from static approaches (average-atom methods or DFT with Kubo-Greenwood formula)

$$\sigma_{\mathbf{k}}(\omega) = \frac{2\pi}{3\omega\Omega} \sum_{i,j} [f(\epsilon_{i,\mathbf{k}}) - f(\epsilon_{j,\mathbf{k}})] \times |\langle \phi_{j,\mathbf{k}} | \nabla | \phi_{i,\mathbf{k}} \rangle|^2 \delta(\epsilon_{j,\mathbf{k}} - \epsilon_{i,\mathbf{k}} - \omega)$$



B. B. L. Witte et al., Phys. Rev. Lett. **118**, 225001 (2017).

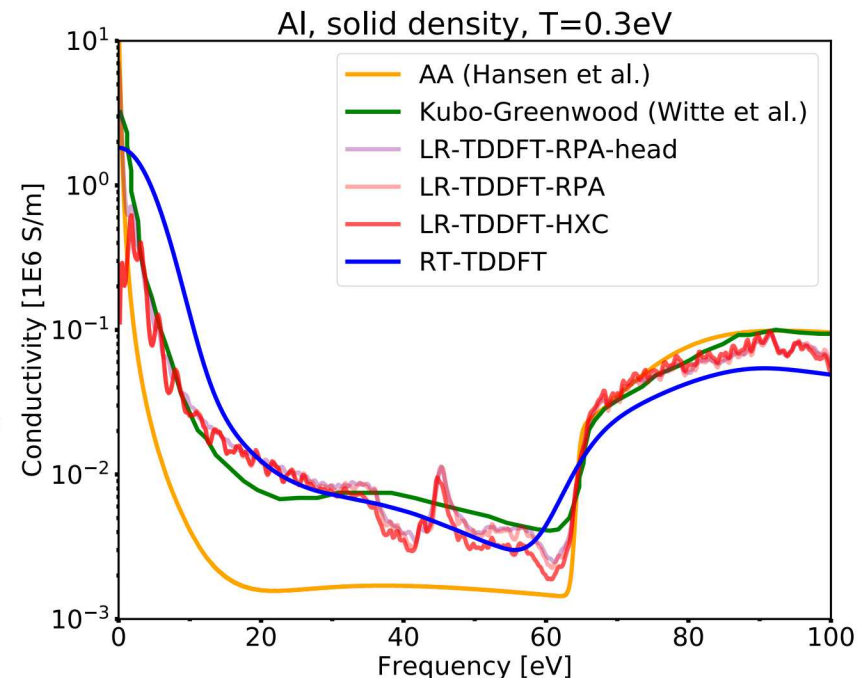
Going beyond static approaches with TDDFT

- No abuse of KS quantities
- Better scaling than in the energy domain
- Beyond linear response

$$\mathbf{E}(t) = -\frac{1}{c} \frac{\partial \mathbf{A}(t)}{\partial t}$$

$$\mathbf{J}(t) = -\frac{i}{\Omega} \int d^3r \sum_j \phi_j^*(\mathbf{r}, t) [\hat{H}(t), \hat{\mathbf{r}}] \phi_j(\mathbf{r}, t)$$

$$\mathbf{J}(\omega) = \sigma(\omega) \mathbf{E}(\omega)$$



A. Cangini et al., in prep. (2018).





**First-principle TDDFT calculations provide microscopic data and help constrain experiments and other state-of-the-art models.**

## **Stopping power**

- Capability to improve databases of stopping curves for a wide range of warm dense targets (mixtures of target materials, different types of projectiles, statistical data)

## **X-ray Thompson scattering / Conductivities**

- Capability to help with diagnostics and serve as input to magneto-hydrodynamics simulations.

## **Future developments**

- Need for a self-consistent model for HED physics
- Need for improvements in computational efficiency and accuracy
- Computationally efficient stopping power with TDDFT on average-atom models
- Thermal conductivity from TDDFT
- More accurate inclusion of non-adiabatic effects from the exact factorization method
- Non-equilibrium many-body perturbation theory

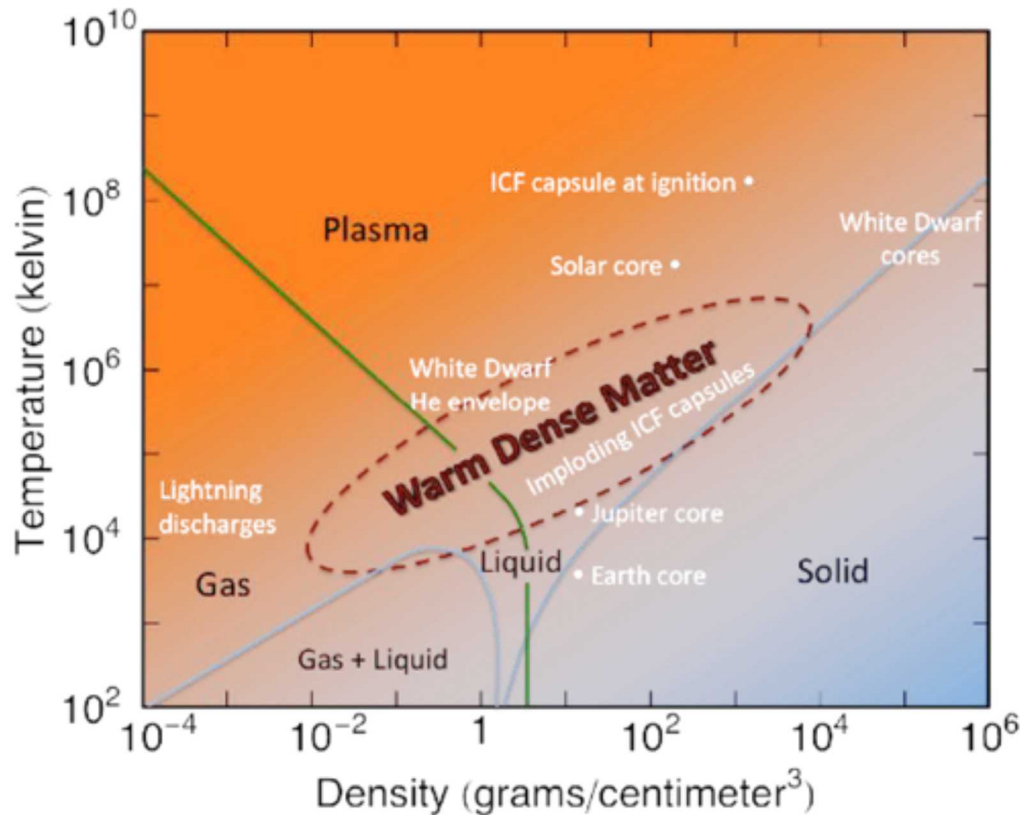


## Backup slides



# Introduction

What are HED and WDM conditions?



Basic Research Needs for HEDLP, Report of the Workshop on HEDLP Research Needs, DOE (2008).

**Coulomb coupling parameter**

$$\Gamma = \frac{V}{T} = \frac{Ze^2}{r_S k_B \tau}$$

**Electron degeneracy parameter**

$$\Theta = \frac{k_B \tau}{E_F}$$

**Warm dense matter regime**

$$\Gamma \approx 1, \quad \Theta \approx 1$$



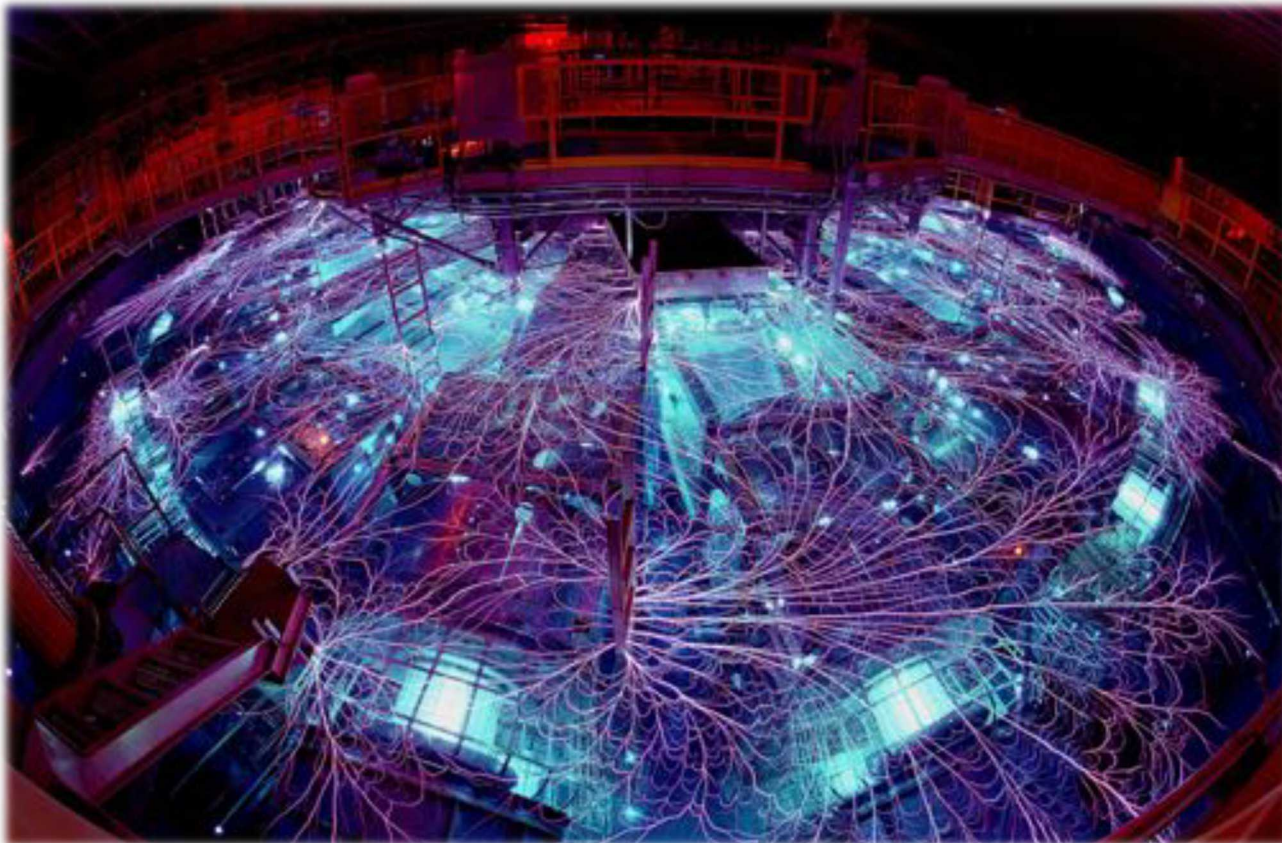
# Introduction

## High energy density (HED) conditions



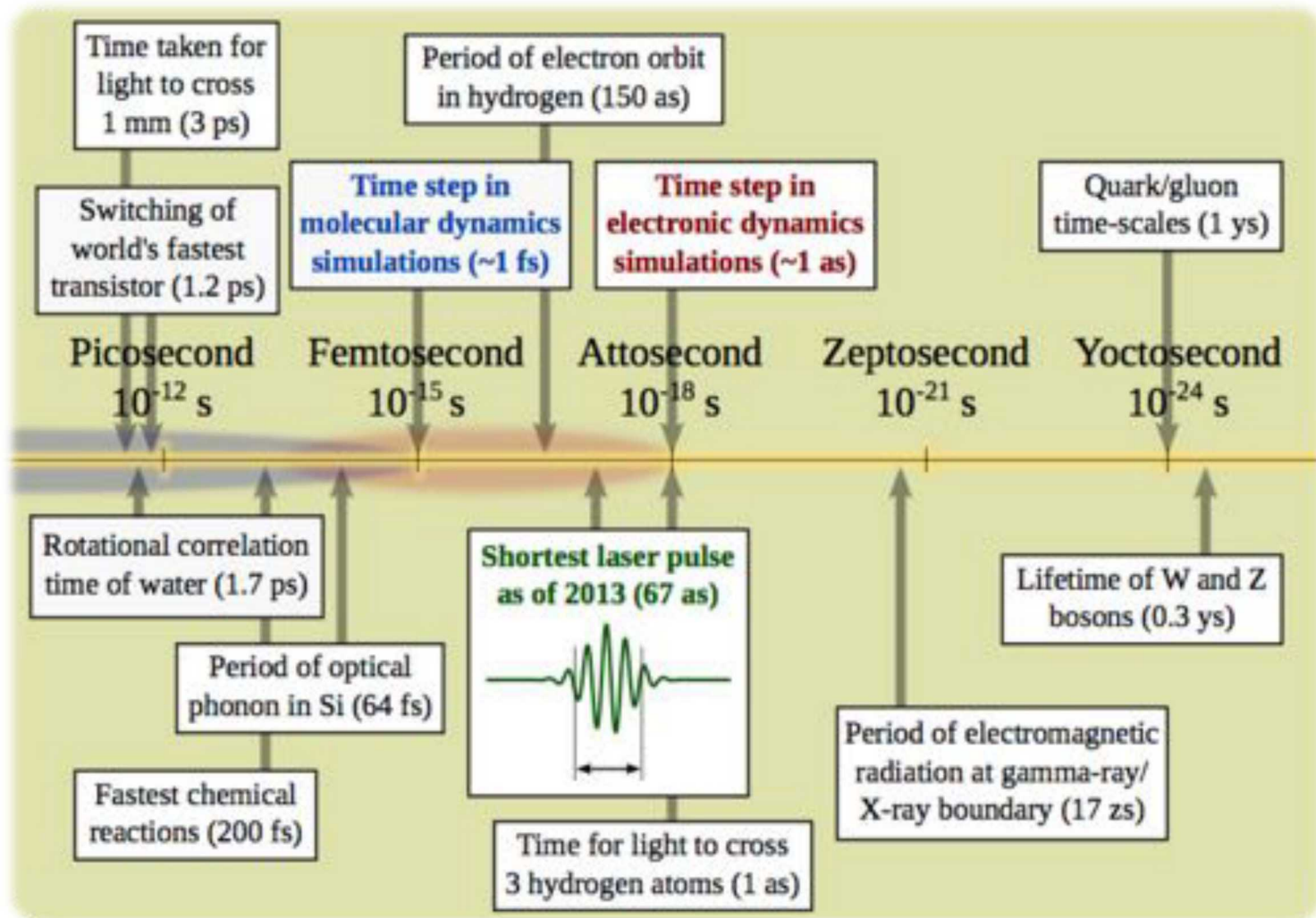
Creating HED conditions requires transferring an enormous amount of energy to a target in a very short period of time.

Compression of energy in time and space in pulsed power facilities (Z machine) enables exciting science (astrophysics, planetary science, inertial confinement fusion).



Z Machine, Sandia National Laboratories.





Courtesy of Kay Dewhurst, Max Planck Institute of Microstructure Physics (2015).



Electronic Hamiltonian

$$\hat{H} = \hat{T} + \hat{V}_{\text{ee}} + \hat{V}$$

Hohenberg-Kohn functional

$$F[n] = \min_{\hat{\Psi} \rightarrow n} \langle \Psi | \hat{T} + \hat{V}_{\text{ee}} | \Psi \rangle$$

$$E_v = \min_n \left\{ F[n] + \int d\mathbf{r} \, n(\mathbf{r}) v(\mathbf{r}) \right\}$$

Kohn-Sham scheme

$$\left\{ -\frac{\nabla^2}{2} + v_{\text{S}}(\mathbf{r}) \right\} \phi_i(\mathbf{r}) = \epsilon_i \phi_i(\mathbf{r})$$

$$n(\mathbf{r}) = \sum_i^N \phi_i^*(\mathbf{r}) \phi_i(\mathbf{r})$$

$$F[n] = T_{\text{S}}[n] + U[n] + E_{\text{XC}}[n]$$

$$v_{\text{S}}(\mathbf{r}) = v(\mathbf{r}) + \frac{\delta U[n]}{\delta n(\mathbf{r})} + \frac{\delta E_{\text{XC}}[n]}{\delta n(\mathbf{r})}$$

# Theoretical Background

## Density Functional Theory (at finite temperature)



$$\hat{H} = \hat{T} + \hat{V}_{\text{ee}} + \hat{V}$$

Electronic Hamiltonian

$$\hat{\Gamma} = \sum_{N,i} w_{N,i} |\Psi_{N,i}\rangle \langle \Psi_{N,i}|$$

Grand potential

$$\hat{\Omega} = \hat{H} + \tau \hat{S} + \mu \hat{N}$$

$$F^\tau[n] = \min_{\hat{\Gamma} \rightarrow n} \left\{ T[\hat{\Gamma}] + V_{\text{ee}}[\hat{\Gamma}] - \tau S[\hat{\Gamma}] \right\}$$

Mermin generalization

$$\Omega_{v-\mu}^\tau = \min_n \left\{ F^\tau[n] + \int d\mathbf{r} \, n(\mathbf{r}) (v(\mathbf{r}) - \mu) \right\}$$

$$\left\{ -\frac{\nabla^2}{2} + v_s^\tau(\mathbf{r}) \right\} \phi_i(\mathbf{r}) = \epsilon_i^\tau \phi_i(\mathbf{r})$$

$$n(\mathbf{r}) = \sum_i^N f_i^\tau \phi_i^*(\mathbf{r}) \phi_i(\mathbf{r}), \quad f_i^\tau : \text{FD}$$

Kohn-Sham scheme

$$F^\tau[n] = T_s^\tau[n] - \tau S^\tau[n] + U^\tau[n] + E_{\text{xc}}^\tau[n]$$

$$v_s^\tau(\mathbf{r}) = v(\mathbf{r}) + \frac{\delta U^\tau[n]}{\delta n(\mathbf{r})} + \frac{\delta E_{\text{xc}}^\tau[n]}{\delta n(\mathbf{r})}$$

# Application I: Stopping Power

## Electronic Stopping in Warm Dense Targets

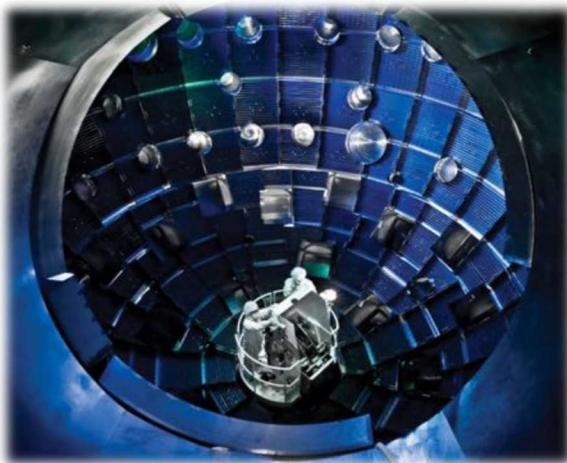


### Stopping mechanisms

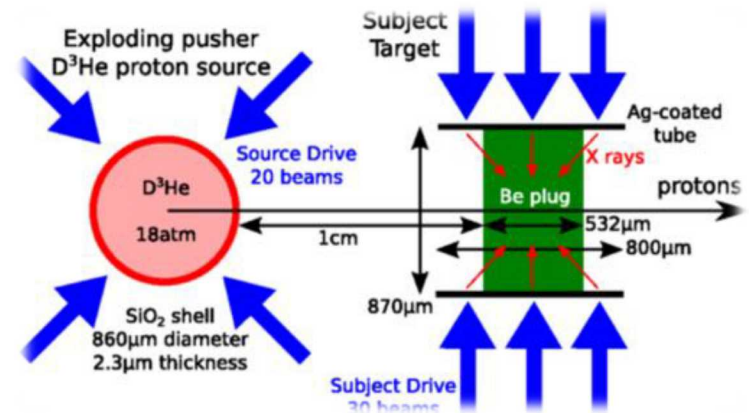
- Nuclear stopping (lattice vibrations)
- Electronic stopping (electronic excitations)

### Large body of literature for cold targets

- Empirical approximations (*Rutherford, Thomson, Bohr, Bethe*)
- Parameter-free atomistic simulations
- Electronic structure coupled to molecular dynamics
- Cold stopping power (*Echenique, Correa, Artacho, Schleife*)



Target chamber, National Ignition Facility,  
Lawrence Livermore National Laboratories.



Zylstra et al., Phys. Rev. Lett. **114**, 215002 (2015).



# Application I: Stopping Power

## H/Be Stopping Simulation

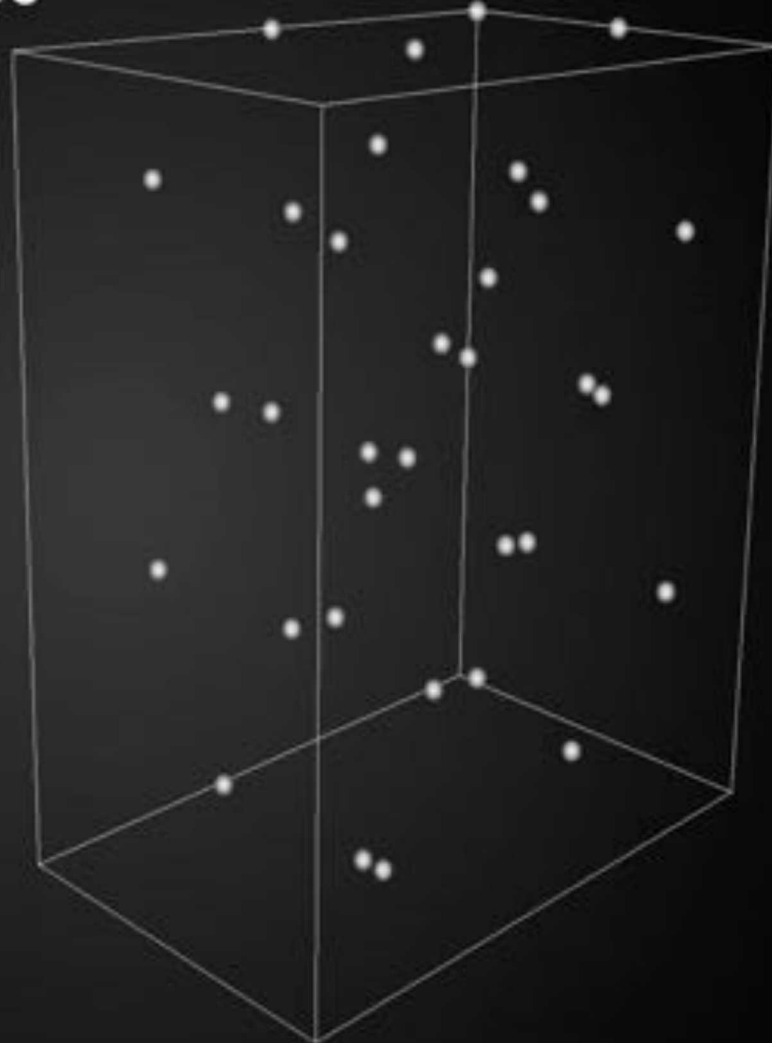


### Stopping power in cold Be

Hydrogen projectile  
 $v = 40.0 \text{ keV}$  (27.7 Angstrom/fs)

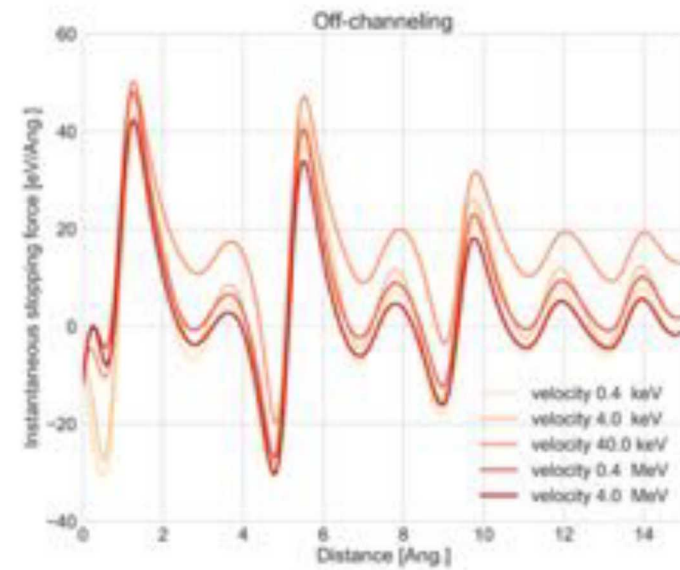
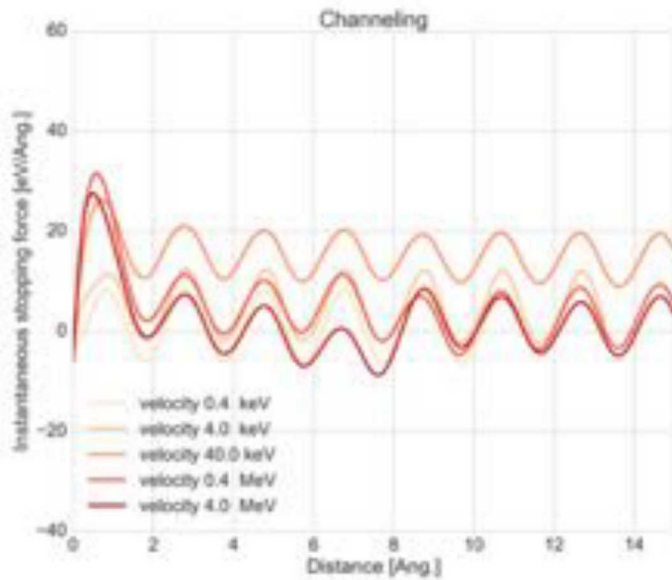
Time step: 0.13 as

Total simulation time: 730 as



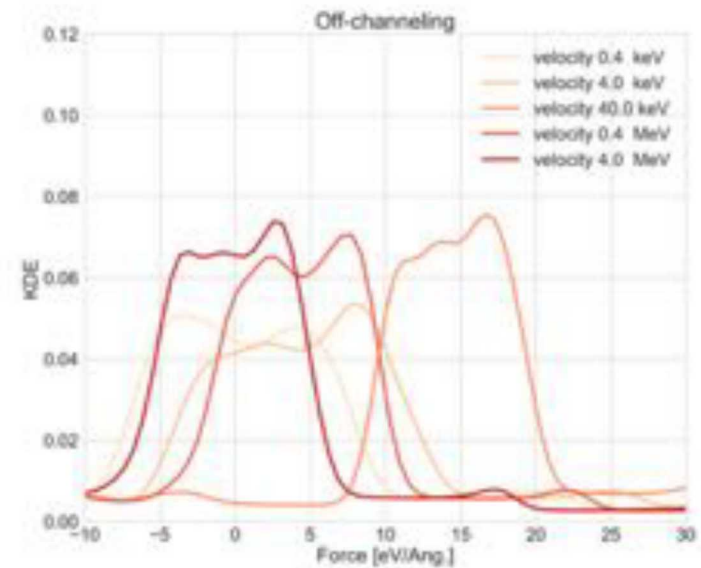
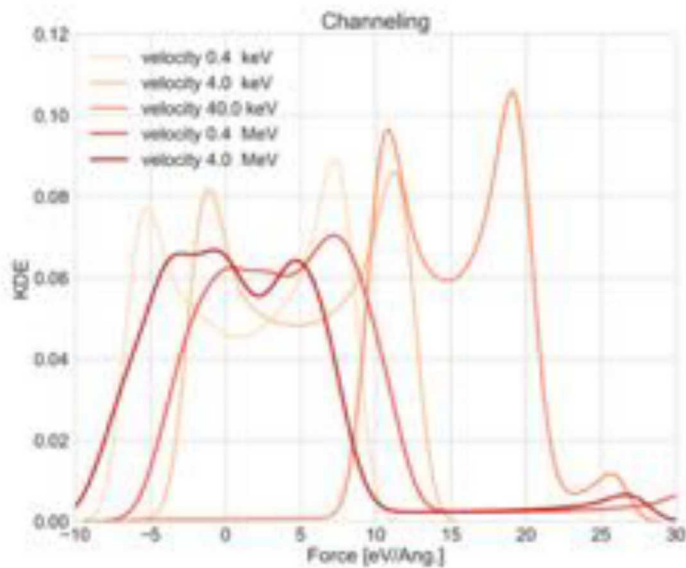
# Application I: Stopping Power

## H/Be Stopping Simulation: Electronic Stopping Force



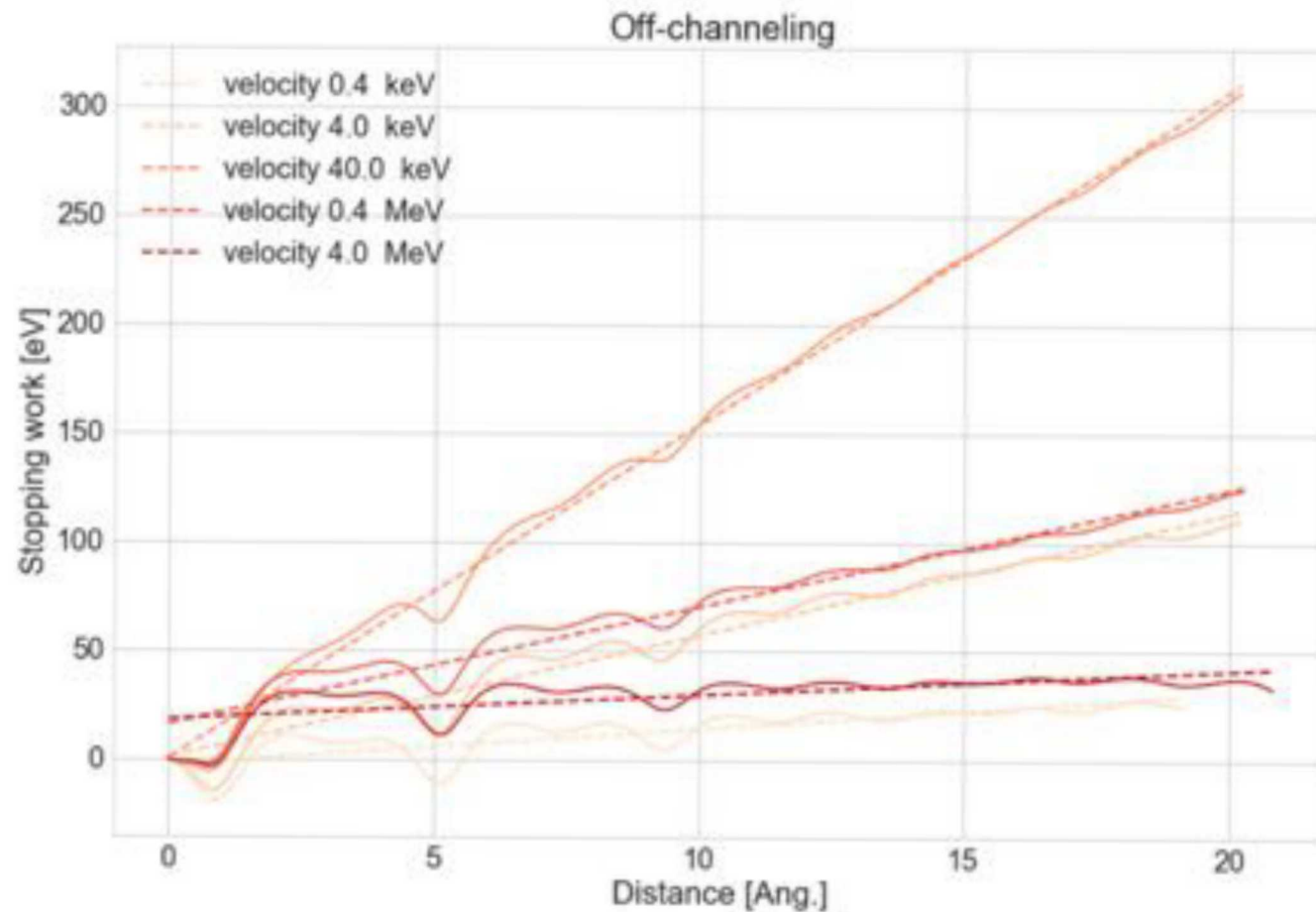
# Application I: Stopping Power

## Microscopic Statistics of the Electronic Stopping Force



# Application I: Stopping Power

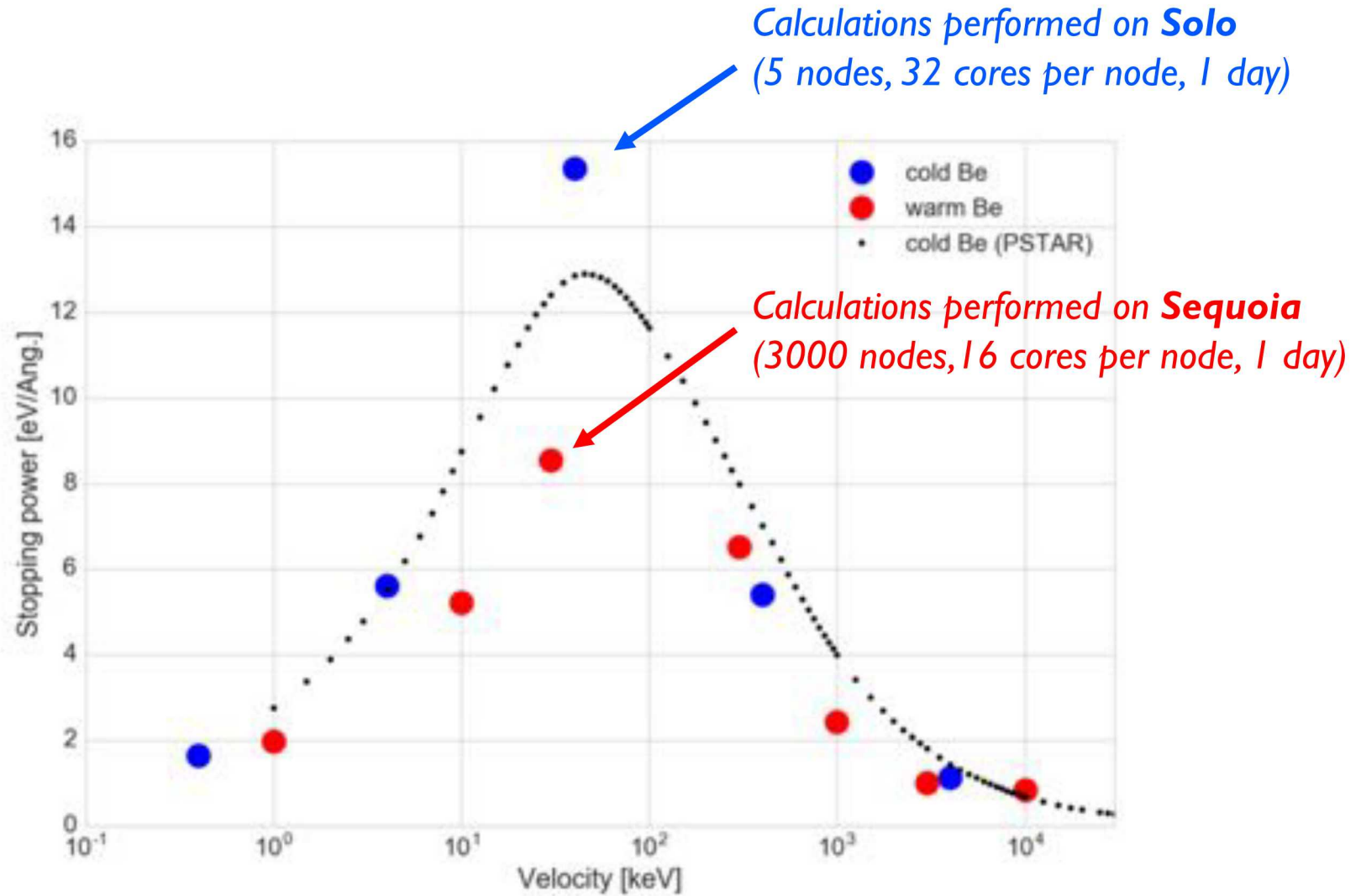
## H/Be Stopping Simulation: Electronic Stopping Work





# Application I: Stopping Power

## Cold and Warm Dense Beryllium

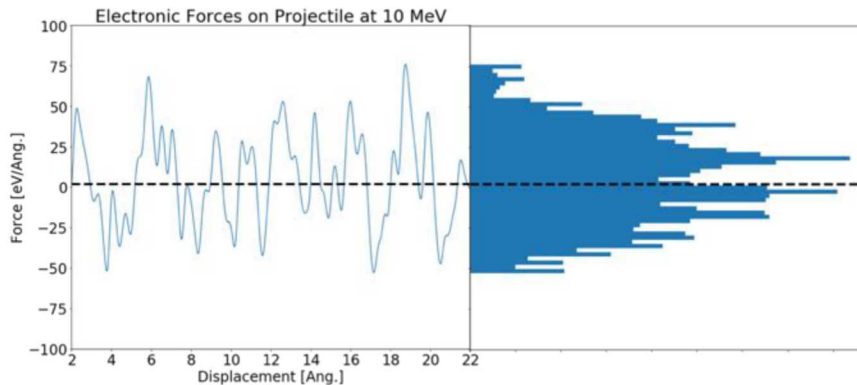
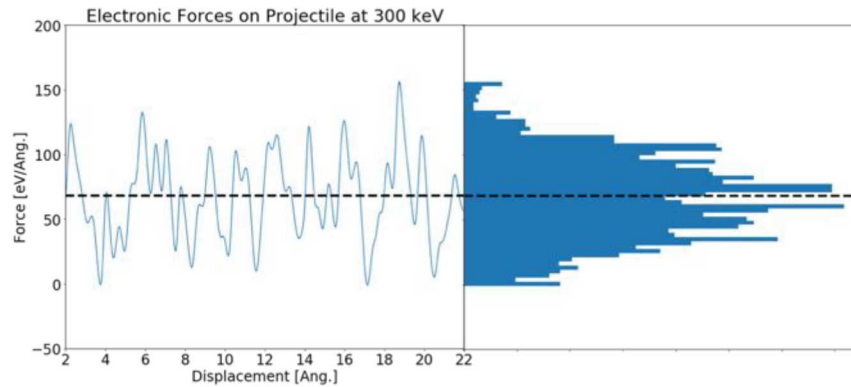


# Application I: Stopping Power

## Stopping in Warm Dense Deuterium: A Closer Look



Projectile at 300 keV

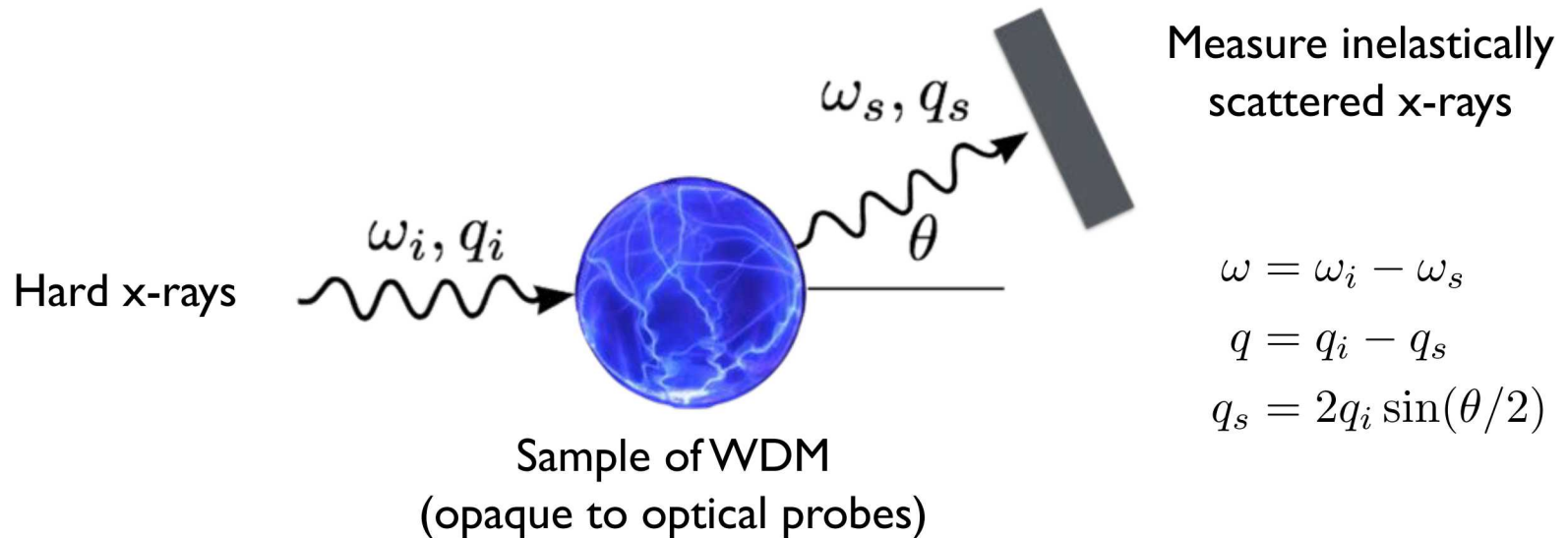


Projectile at 30 MeV

- Stopping at 10 g/cc (mass density) and 2 eV (temperature)
- **Force vs. projectile distance:** Similar across velocities
- **Microscopic distribution** of forces: More data

# Application 2: X-Ray Thompson Scattering

## A Concise Introduction



- X-ray Thomson scattering probes density, ionization state, structure, temperature, etc.

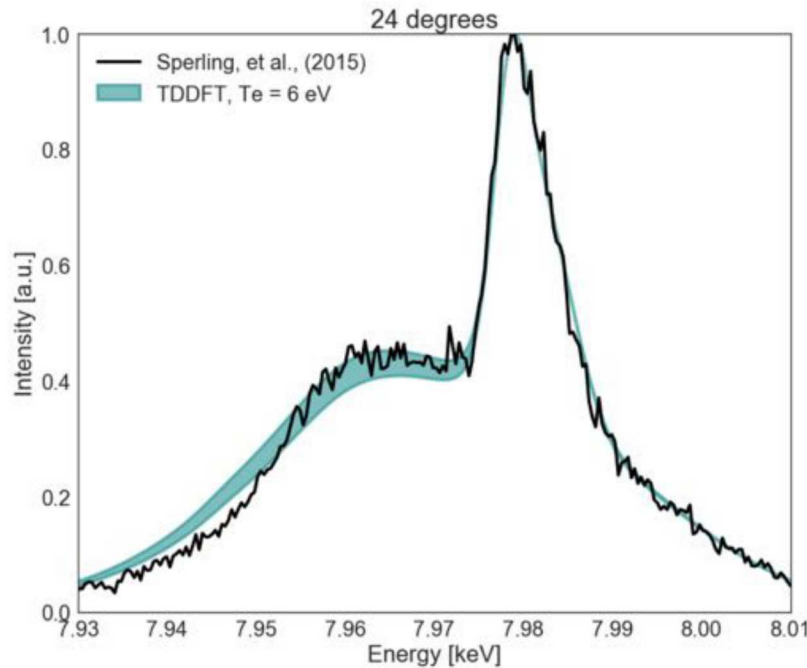
X-ray Thomson scattering in high energy density plasmas

Siegfried H. Glenzer and Ronald Redmer  
Rev. Mod. Phys. **81**, 1625 – Published 1 December 2009

- Cross section is proportional to dynamic structure factor
- $$\frac{d^2\sigma}{d\Omega d\omega} = \sigma_T \frac{q_s}{q_i} S(q, \omega)$$

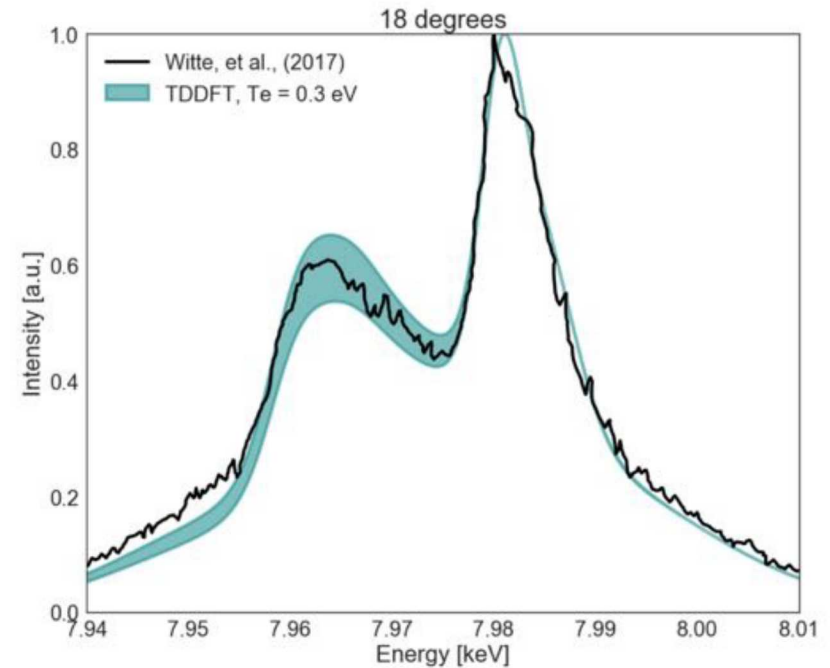
# Application 2: X-Ray Thompson Scattering

## Supporting diagnostics of LCLS with TDDFT



Free-Electron X-Ray Laser Measurements of Collisional-Damped Plasmons in Isochorically Heated Warm Dense Matter

P. Sperling, E. J. Gamboa, H. J. Lee, H. K. Chung, E. Galtier, Y. Omarbakiyeva, H. Reinholz, G. Röpke, U. Zastrau, J. Hastings, L. B. Fletcher, and S. H. Glenzer  
Phys. Rev. Lett. **115**, 115001 – Published 9 September 2015



Warm Dense Matter Demonstrating Non-Drude Conductivity from Observations of Nonlinear Plasmon Damping

B. B. L. Witte, L. B. Fletcher, E. Galtier, E. Gamboa, H. J. Lee, U. Zastrau, R. Redmer, S. H. Glenzer, and P. Sperling  
Phys. Rev. Lett. **118**, 225001 – Published 31 May 2017