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Passivating Nucleobases Bring Charge Transfer Character to Optically Active Transitions in Small Silver Nanoclusters

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Abstract:

DNA wrapped silver nanoclusters (DNA-AgNCs) are known for their efficient luminescence. However, their emission is highly sensitive to the DNA sequence, the cluster size, and its charge state. To get better insights into photophysics of these hybrid systems, simulations based on density functional theory (DFT) are performed to elucidate the effect of the structural conformations, charges, solvent polarity, and passivating bases on optical spectra of DNA-AgNCs containing 5 and 6 Ag atoms. It is found that inclusion of water in calculations as a polar solvent media results in stabilization of non-planar conformations of base-passivated clusters, while their planar conformations are more stable in vacuum, similar to the bare Ag₅ and Ag₆ clusters. Cytosines and guanines interact with the cluster twice stronger than thymines, due to their larger dipole moments. In addition to the base-cluster interactions, hydrogen bonds between bases notably contribute to the structure stabilization. While the relative intensity, line width, and the energy of absorption peaks are slightly changing depending on the cluster charge, conformations, and base types, the overall spectral shape with five well-resolved bands at 2.5-5.5 eV is consistent for all structures. Independent on the passivating bases and the cluster size and charge, the low energy optical transitions at 2.5-3.5 eV exhibit a metal to ligand charge transfer (MLCT) character with the main contribution emerging from Ag-core to the bases. Cytosines facilitate the MLCT character to a larger degree comparing to the other bases. However, the doublet transitions in clusters with the open shell electronic structure (Ag₅ and Ag₆⁺) result in appearance of additional red-shifted (< 2.5 eV) and optically weak band with negligible MLCT character. Nonetheless, the passivated clusters with the closed shell electronic structure (Ag₅⁺ and Ag₆) exhibit higher optical intensity of their lowest transitions with much higher MLCT contribution, thus having better potential for emission, than their open shell counterparts.

Introduction

Silver clusters of a few atoms (< 25) in size behave as fluorescent emitters when coordinated and stabilized by a single stranded DNA.¹ Oligonucleotides typically with 10–30 bases locally concentrate Ag^+ cations² and facilitate assembling of chemically reduced silver in a form of nanoclusters encapsulated in DNA (DNA–AgNCs).^{3–9} Such DNA–AgNCs demonstrate emission at the range from visible to near infrared wavelength with high quantum yields^{2, 10} up to 90 % and lifetimes of 1–5 ns.^{1, 11–14} These data demonstrate that DNA–AgNCs have excellent optical properties, accompanied by high photostability and low toxicity, which make them even more appealing in biological applications compared to organic dyes and semiconductor quantum dots.^{15–19} Technological applications of DNA–AgNCs include their use as highly sensitive sensors,^{20–22} fluorophores in bio-imaging^{23–24} (especially beneficial at high background interferences²⁵), and *in vitro* and *in vivo* nanothermometry.²⁶

However, the structural and optical properties of DNA–AgNCs have proven to be challenging to control, as they can adopt a wide variety of shapes, sizes, charges, and conformations, which change with the DNA sequence¹ and its secondary structure.^{12, 27–31} The molecule-like (discrete) electronic structure of DNA–AgNCs is very sensitive to variations in their conformations, oxidation state, and binding sites interacting with DNA bases leading to significantly different optical and catalytic properties of specific clusters.^{32–33} In particular, DNA–AgNCs usually exhibit multiple absorption and emission peaks that do not well correlate to structured continuum features.^{27, 34} The variations in emission wavelength upon changes in the excitation wavelength together with the increase of the average decay time as a function of wavelength in DNA–AgNCs,³⁵ have been related to different emitters due to inhomogeneous changes of the conformation of the AgNC or the DNA scaffold.^{36–37} Nonetheless, it was also found that certain shapes and sizes of AgNCs are more stable at the given DNA length,^{38–40}

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3 sequence,^{1-2, 4, 10, 13, 27, 29, 41-42} and synthesis conditions, including variations in Ag⁺
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5 concentrations,^{3, 43} pH,⁴³⁻⁴⁴ and types of oxidizing-reducing agents.^{1, 45}
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7 As such, the stoichiometry of AgNC and, consequently, their electronic structure and
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9 optical spectra can be controlled to some degree by altering the DNA sequences. For instance, it
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11 is reported that Watson-Crick type pairing through Ag⁺ bridging can stabilize the double-
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13 stranded DNA and form elongated nanorod clusters.^{27, 46-47} Single-stranded DNA typically
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15 results in brighter DNA-AgNCs by forming loops around the cluster.^{1, 48} It was recently detected
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17 that different lengths of repeated DNA strands form a minimal (C₂X)₆ (where C=cytosine and
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19 X=guanine, adenine, or thymine) scaffold that stabilizes the (Ag₁₀)⁶⁺ chromophore absorbing
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21 between 400–450 nm.⁴⁹ This suggests that AgNCs of a certain stable stoichiometry can be
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23 coordinated via multiple nucleobases.
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28 In the case of homo-oligonucleotide, cytosine (C)^{13, 43, 50} and guanine (G)⁵⁰ strands have
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30 been reported to form highly emissive DNA-AgNCs. Clusters comprised with thymine (T)
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32 strands emit only at the controlled oxidation state,^{45, 50} while adenine (A) strands do not result in
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34 emissive clusters.^{39, 50} Therefore, adenine (A) is typically used as a spacer between nucleotide
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36 sequences. Calculations also support these results predicting higher affinity of the cationic silver
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38 to C⁵¹ and G⁵² nucleotides forming coordinated bonds with their nitrogens.⁵³ Similar to the
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40 emission energies, the intensity of the emission also depends on cluster-base interactions. Due to
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42 highest reduction potential among nucleotide bases, G-Ag interaction could increase the
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44 hybridized charge transfer character of the lowest energy transitions, which is expected to
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46 improve the intensity of the emission.^{39, 54} Despite these extensive studies, identification and
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48 controllable manipulation of the structure, size, and shape of DNA-AgNCs is still on dispute.
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51 Overall, the rigorous relationships between structural conformations and optical response of
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53 DNA-AgNCs are yet to be established.
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3 Complementing experiments, quantum chemistry calculations based on Density
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5 Functional Theory (DFT) are able to provide important insights into the interaction mechanisms
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7 between the DNA bases and AgNCs and their effect on the geometry, electronic structure, and
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9 optical response of a hybrid system. It is well understood that the symmetry of the metal
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11 clusters⁵⁶ is modified significantly by polar solvents and DNA-metal interactions. However,
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13 literature reports on such simulations are still incomplete. Reported studies are mainly focused
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15 on the impact of a charge and/or a size of small AgNCs (of 2 to 6 atoms in size) on the
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17 interaction with a specific DNA base (either C⁵¹ or G^{52, 55}). The effect of a base type on the
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19 interaction with AgNCs has been also studied computationally.^{51, 52} In all cases, however, the
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21 stability and optical spectra of DNA-AgNC have been modeled for clusters bound to only one
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23 type or a pair of bases in vacuum, rather than clusters completely encapsulated by different bases
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25 in a polar media, as it takes place in experiments. Samanta et al.⁵⁷ have reported the importance
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27 of inclusion of complete nucleotide model, where a single stranded DNA consisted of only
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29 identical nucleobases encapsulating Ag₁₂ clusters. However, in this study optical spectra are
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31 obtained based on the ground state density matrix. An accuracy of this simplistic approach is in
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33 question when the electronic correlation effects such as the exciton binding energy, are large as
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35 in small metal clusters.⁵⁸

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42 Motivated by the idea of improving the DNA-AgNCs models to better suit to realistic
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44 structures, here we present DFT and time dependent DFT (TDDFT) calculations of AgNCs fully
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46 passivated by various DNA bases, including their mixtures, in a polar environment and compare
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48 results to the vacuum calculations. We focus on small AgNCs models of 5 and 6 atoms in size,
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50 which have been already simulated.⁵⁹ Complementing previous studies, we include complete
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52 encapsulation of clusters with different combinations of C, G, and T passivating agents, as
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54 illustrated in Figure. 1. We also elucidate the effect of conformations, charges, and a polar
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3 solvent on the optical spectra of DNA-AgNCs. We have focused only on neutral and +1 charged
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5 Ag_5 and Ag_6 clusters, since they reproduce two cases for spin multiplicity: singlets for Ag_6 and
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7 Ag_5^+ versus doublets for Ag_5 and Ag_6^+ . Thus, these cases allow us for comparison between
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9 nearly the same size clusters with different charges and spin multiplicity. Our calculations have
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11 shown that the spin multiplicity stronger affects the lowest energy transitions, compared to
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13 effects of the charge or the cluster size. Inclusion of a polar media, such as water, drastically
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15 changes both the cluster shape and optical spectra of DNA-AgNCs. The lowest optical
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17 transitions mainly have a charge transfer (CT) character with a dominant contribution from Ag-
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19 core to the bases, with C facilitating the CT character to a larger degree compared to G and T
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21 bases, including the mixed nucleobase passivation. While the relative intensity, line width, and
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23 the energy of the optical peaks are slightly changing depending on the cluster charge,
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25 conformations, and base types, the overall spectral shape with five well-resolved bands is
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27 consistent for all structures.
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35 **Methodology and Computational Details.**

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37 **Models of AgNC structures.** Initial geometries of our DNA-AgNCs are created using several
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39 low energy conformations of bare Ag_5 and Ag_6 clusters reported in literature.⁶⁰ The lowest
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41 energy conformations of bare AgNCs smaller than 7 atoms in size have been proven to have
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43 planar geometries.^{56, 59-60} To check the effect of planarity of DNA-AgNCs, we also model non-
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45 planar low energy conformations of Ag_6 and Ag_5 as initial structures (Table 1). Since all Ag
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47 atoms in such clusters can be considered as surface atoms, the DNA bases are then added to each
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49 Ag atom of the cluster and optimized with (+1 e) and without charge (neutral). Using this
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51 approach, we have constructed clusters passivated by only C, G, or T (**5X-Ag₅** and **6X-Ag₆**, with
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3 X = C, G, or T) and by their combinations of $(n-2)C2X-Ag_n$ and $2C(n-2)T-Ag_n$, where $n = 5$ or 6
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5 and $X = G$ or T .

7 ***Calculations of Optimized Geometries and Optical Spectra.*** DFT is applied for geometry
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9 optimization of all considered DNA-AgNCs. TDDFT⁶¹⁻⁶² is used for all excited state
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11 calculations, as implemented in Gaussian-09 software package.⁶³ Long-range corrected
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13 exchange-correlation functional CAM-B3LYP⁶⁴ and mixed basis set LANL2DZ⁶⁵ (for Ag atoms)
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15 and 6-31G*⁶⁶ (for N, O, C and H atoms) are used for both the ground and excited state
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17 calculations. Long range corrected CAM-B3LYP functional have shown more accurate
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19 description of charge transfer transitions in DNA-AgNCs, compared to pure GGA or hybrid
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21 functionals, despite of consistent blue-shifts in optical transitions.⁶⁷⁻⁶⁹ These findings define our
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23 choice of the functionals and basis sets. In addition to vacuum calculations, we have also
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25 performed calculations in water utilizing a conductor-like polarizable continuum model
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27 (CPCM)⁷⁰ for simulating solvent environment for the ground and excited state calculations.
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29 Starting with three different initial geometries (Table 1) for optimization helps to chose the
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31 confirmation with the lowest energy that is then used for calculations of optical spectra.
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Ninety optical transitions are obtained from TDDFT calculations to reproduce the
absorption spectra in the range of 1.00-5.50 eV. The profile of the spectra is modeled using the
Gaussian function with the width of 0.08 eV to reproduce a thermal broadening of spectral
bands. To visualize the charge density distribution of calculated excited states, Natural Transition
Orbitals (NTOs)⁷¹ are analyzed for photoexcited electron-hole pair based on transition densities
obtained from TDDFT, as implemented in Gaussian-09⁶³ software. VMD⁷² software has been
used for visualization of NTOs. To better understand the nature of the transitions, we have
decomposed the excited state wavefunction contributed from the Ag and the base parts of the

DNA-AgNCs, and plotted it as a difference between the electron and hole states, as explained in Supplemental Information (Eqs. *i - vii*).

Calculations of AgNC-base Binding Energies. To estimate the strength of interaction between the specific type of the base ($L_1=C$, G, or T) and the AgNC, the binding energy is calculated using the following formulae:

$$E_{b,L_1} = \frac{(E_{Ag_n L_1 p L_2 q} - E_{Ag_n L_2 q} - p * E_{L_1})}{p}, \quad (1)$$

where n is the number of the silver atoms, p and q are the number of the base types L_1 and L_2 , respectively, with $n = p + q$. The energy $E_{Ag_n L_2 q}$ is calculated for an optimized DNA-AgNCs fragment with the bases of type L_1 removed from the AgNC. The energy E_{L_1} is calculated for the isolated optimized base of the type L_1 . For clusters passivated only by cytosines, the average cluster-base binding energy, $\langle Ag - N \rangle$, is calculated as the difference between the total energies of the passivated cluster and its pristine counterparts (i.e., the bare silver cluster and the pristine cytosine multiplied by the number of cytosines at the cluster) with all structures optimized to their minimal energies. To obtain the average binding energy per base, the final result is divided by the total number of cytosines passivating the cluster. The negative value of binding energy means stabilization favoring hybrid species formation due to binding.

Results and Discussion

Structural Features of Clusters Passivated by Cytosines. Due to a high degree of flexibility of the nucleotides passivating DNA-AgNCs, different structural conformations of clusters likely coexist in experimental samples. To address the diversity in DNA-AgNC conformations, we consider both planar and non-planar geometries of Ag_5 and Ag_6 clusters that have been shown as one of the most stable conformers of bare silver clusters of these sizes.^{60, 73} DNA-AgNCs of 5C-

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3 Ag_5 and 6C-Ag_6 initially constructed from these bare clusters are used to study the effect of a
4 polar solvent, charge, and passivating bases on the structural conformations of clusters, with
5 results presented in Table 1. In vacuum, coordination of Ag atoms by cytosines noticeably
6 changes the geometry of non-planar neutral clusters (Geom-2 and Geom-3), while only slightly
7 distorts the initially planar conformation (Geom-1). The cluster 6C-Ag_6 stays planar preserving
8 its $^1\text{D}_{3h}$ symmetry resulting in the lowest energy conformation among its isomers. However, a
9 slight distortion results in breaking a perfect planarity of the cluster 5C-Ag_5 raising its energy by
10 ~ 0.3 eV, compared to its non-planar isomer (Geom-2). In vacuum, charging the clusters (i.e.,
11 switching to cationic species with +1 charge) results in a significant stabilization of the nearly
12 planar Geom-1 isomer of 5C-Ag_5^+ , while it breaks initial planarity and the symmetry of Geom-1
13 6C-Ag_6^+ destabilizing this structure, compared to other charged isomers considered.

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Several competing factors influence the overall stability of the cluster. It was shown that closed shell small metal clusters are more stable in their symmetric planar structure.^{56, 74} This agrees with our findings that the planar geometries of the 6C-Ag_6 and 5C-Ag_5^+ are more stable compared to their non-planar 3D isomers, Table 1. However, for all structures in vacuum, cytosines are not equally coordinated with Ag atoms, as evidenced from the significant variations in the Ag-N bond lengths mainly correlated to the strength of the binding energy between the base and the cluster, as presented in Table 2 for 6C-Ag_6 and Table S1 in SI for 5C-Ag_5 clusters. This behavior is the most pronounced for 6C-Ag_6 isomers in vacuum. For instance, only three bases create strong coordinate bonds with Ag at the edges of the planar Geom-1 structure, while the other three bases are much weaker coordinated with Ag at the sides (see Table 1 and 2). However, weakly coordinated bases form the hydrogen bond (H-bond) with the oxygen from the nearest base, thus, encapsulating the cluster and minimizing the total energy of Geom-1 isomer of 6C-Ag_6 . Non-planar geometry of the clusters breaks some of hydrogen bonds raising the total

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3 energy of 3-D **6C**-Ag₆ isomers in vacuum. In contrast, the energy of 3-D **6C**-Ag₆⁺ is reduced for
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5 Geom-3 due to a larger number of hydrogen bonds and also decreased Ag-N bond length for all
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7 capping bases associated with the strongest base-cluster interactions. Similar trends are observed
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9 for Ag₅ in vacuum, where both non-planar structure (Geom-2) of **5C**-Ag₅ and nearly planar
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11 structure (Geom-1) of **5C**-Ag₅⁺ feature the maximum number of hydrogen bonds and the
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13 strongest base-cluster interactions resulting in the most stable conformations, Table S1 in SI.
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17 It is important to note that the shortest Ag-N bond does not always correspond to the
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19 strongest binding energy between the base and the cluster, Table 2. This discrepancy originates
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21 from a strong structural reconstruction of the cluster after removal of the base, when used in
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23 calculations of the binding energy according to Eq. 1. For instance, losing one of bases from the
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25 planar isomer (Geom-1) of **6C**-Ag₆ results in a new **5C**-Ag₆ structure, where the weakly
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27 interacting base is strongly coordinated to the side Ag atom via the nitrogen and also to the edge
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29 Ag atom via the oxygen, Figure S1 in SI. As such, the obtained base-cluster binding energy is
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31 ‘contaminated’ by the additional interaction via the oxygen that is not presented in the initial
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33 structure (also see an extended discussion on this effect in SI). Due to strong structural variations
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35 upon removal of one of cytosines, trends in the base-cluster binding energies are not well
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37 correlated with the trends in the Ag-N bond lengths for some isomers. On the other hand, the
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39 obtained **5C**-Ag₆ structure demonstrates that cytosines can be coordinated to AgNC via either
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41 nitrogen or oxygen or both, if there is a limiting number of bases available for the cluster
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43 capping. This result agrees with computational findings for Ag₁₂ clusters encapsulated in a
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45 single-stranded DNA scaffold consisted of 12 nucleobases.⁵⁷
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51 A polar solvent, such as water, significantly reduces the number of hydrogen bonds in the
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53 DNA-AgNCs due to the dipole-dipole screening effect. As a result, all optimized structures in
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55 water are not planar and their initial structural symmetry is also significantly distorted, Table 1.
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3 Similar to the vacuum calculations, the most stable isomer is the one preserving the largest
4 number of hydrogen bonds and the strongest base-cluster interactions associated with nearly
5 similar Ag-N bond length for all bases. In water, these conditions are satisfied for Geom-3
6 isomer of **6C-Ag₆** and **6C-Ag₆⁺** (Table 1 and 2) and Geom-1 isomer of **5C-Ag₅** and **5C-Ag₅⁺**
7 (Table S1 in SI).
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14 Calculated structures point to three main trends. First, our results are commensurate with
15 the previous studies of larger clusters (> 10 atoms) confirming non-planar 3-D structures of
16 DNA-AgNCs in polar solvents.^{53, 75-76} Moreover, our results reveal that a polar solvent governs
17 the planar to non-planar 3-D structural transition for energy stabilization even for DNA-AgNC
18 isomers with less than 7 atoms in sizes. Second, inclusion of a polar solvent significantly
19 changes the conformation of the DNA-AgNCs by reducing the number of hydrogen bonds
20 between the neighboring bases and making all bases nearly equally interacting with Ag atoms.
21 Both conditions play a key role in stabilization of a particular isomer. Third, several isomers of
22 DNA-AgNCs are likely coexist in polar solvents due to the relatively small energy differences
23 between their conformations (0.1 – 0.2 eV). In contrast, closed shell **6C-Ag₆** and **5C-Ag₅⁺**
24 clusters in vacuum (or non-polar solvents) have distinct preferential planar conformations, with
25 larger differences in their total energies (up to ~1 eV) compared to non-planar isomers, which
26 agrees with computational predictions obtained for the bare Ag clusters.^{56, 74,77}
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44 ***Dependence of Optical Properties on Conformations of Clusters Passivated by***
45 ***Cytosines.*** Absorption spectra of all considered isomers calculated in vacuum and water are
46 shown in Figure 2 for **6C-Ag₆** and in Figure S2 in SI for **5C-Ag₅** clusters. The oscillator strength
47 of the lowest energy transition of **6C-Ag₆** clusters is strongly dependent on the isomer
48 conformation, resulting in a completely optically forbidden (dark) first transition of the Geom-1
49 isomer and optically allowed (bright) first transition of other neutral isomers both in vacuum and
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3 water, Figure 2a and b. Interestingly, Geom-3 – the most stable neutral isomer in water – has the
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5 bright lowest energy transition, which is expected to provide favorite conditions for high
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7 emission of $6C-Ag_6$ clusters in water. In contrast, the Geom-3 isomer of the charged $6C-Ag_6^+$
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9 cluster has the optically dark first transition in water for the most stable structure, while the
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11 Geom-1 isomer features the bright first transition both in vacuum and water, Figure 2c and d.
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13 These results suggest that emissive $6C-Ag_6$ clusters in polar solvents are likely charge-neutral. In
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15 contrast, both isomers of the charged $5C-Ag_5^+$ cluster demonstrate the narrow and highly
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17 intensive lowest peak with optically active first transitions in water, compared to broader and
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19 much less intensive first peak of neutral $5C-Ag_5$ clusters, Figure S2b and S2d in SI. This
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21 suggests that charged $5C-Ag_5^+$ clusters (with the closed shell electronic structure) are expected to
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23 be more emissive in polar solvents compared to their neutral counterparts (open shell structures).
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28 The overall line-shape of absorption spectra of clusters, however, is not very sensitive to
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30 the geometry of isomers, with less pronounced changes in spectral features for both charged and
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32 neutral $6C-Ag_6$ and $5C-Ag_5$ isomers in water, compared to those in vacuum. Despite some
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34 variations in energies and intensities of spectral peaks of different isomers, the overall number of
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36 peaks and the spectral profile are quite similar for all isomers in water. This is evidenced by the
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38 shape of the spectrum averaged over considered isomers, which well coincides with each
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40 individual spectrum of an isomer (dashed magenta lines in Figure 2b and d). As such, the
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42 absorption spectra of various DNA-AgNC conformations unlikely result in distinct spectral
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44 fingerprints of a specific isomer. On the other hand, presence of different DNA-AgNC isomers in
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46 experimental samples is expected to only increase an inhomogeneous broadening of the
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48 absorption spectra, while the main absorption features stay nearly the same.
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53 ***Dependence of Base-Cluster Interactions on the Base Type.*** Since Geom-1 and Geom-
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55 3 isomers of charged and neutral $6C-Ag_6$ clusters represent two limiting cases for the lowest
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3 energy transition being either optically bright or dark, we use these structures as a starting
4 conformation and substitute all six, four, or two cytosines by either guanines (G) or thymines (T)
5 (Table S2 and Figure S3). Figure 3 shows the average binding energy between each base type
6 and Ag₅ or Ag₆ clusters calculated by Eq. 1 for the most stable isomers of the DNA-AgNCs
7 optimized in water. Both Ag₅ and Ag₆ clusters demonstrate very similar trends in their
8 interactions with nucleobases. For cationic species, the positive charge is distributed over the
9 metal atoms, which increases the interaction between electron-donating Ag and electronegative
10 N and O atoms of the bases.⁷⁸⁻⁷⁹ As a result, the base-AgNC binding energy is typically stronger
11 in charged clusters, compared to the respective neutral counterparts, Figure 3 and Figure S3. This
12 trend is mostly consistent for cytosines, due to its largest electrostatic dipole moment.⁸⁰ Among
13 the considered bases, T-AgNC interaction is the weakest both for neutral and charged clusters,
14 which is rationalized by the smallest dipole moment of T.⁸⁰ For the charged clusters, the
15 presence of other base types noticeably reduces the T-AgNC interactions, changing the binding
16 energy from -0.3 eV to -0.05 eV. Such a weak binding energy together with a high flexibility due
17 to a small size of the thymine suggests that thymines are likely weakly contributing to the
18 coordination of AgNC, when strongly interacting cytosines and guanines are present in a DNA
19 strand.

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42 Strongly interacting cytosines also show some decrease in their interactions with the
43 AgNC (changing E_b from -0.6 to -0.5 eV) when other bases passivate the charged cluster, Fig. 3.
44 This trend can be rationalized by a reduced number of effective hydrogen bonds formed between
45 bases of different types, which have larger contributions for charged systems compared to neutral
46 clusters in water, Table 2. In contrast, for neutral clusters, the C-AgNC and G-AgNC interactions
47 are enhanced due to other bases. It is known that the dipole moment of a base noticeably changes
48 depending on its location in the DNA sequence or codons⁸⁰ and the ionization potential of the
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3 nucleotide base pairs.⁷⁵ Thus the dipole moment of G significantly increases when it is paired
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5 with C, CC, or CT, compared to GGG; the dipole moment of C also increases when it is paired
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7 with T, G, TT, or GG, compared to CCC.⁸⁰ By analogy, a presence of other bases at the surface
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9 of the AgNC in a close proximity to C or G increases the dipole moment of these bases resulting
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11 in their stronger interactions with the cluster. Overall, the strength of interactions of cytosines
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13 and guanines with AgNCs is comparable, with a very slight enhancement for guanines. It is
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15 important to note that for charged clusters **6G-Ag₆⁺** and **4C2G-Ag₆⁺**, a strong dipole moment
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17 along with a relatively large size of guanines results in a formation of two Ag₃ sub-clusters that
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19 are held together by weak base-base interactions via hydrogen bonds, Figure 1. This result
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21 suggests that despite a strong G-AgNC binding energy, the charged clusters coordinated by
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23 guanines are less stable compared to those coordinated by cytosines and likely dissociate into
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25 several smaller clusters under thermal fluctuations.
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30 ***Effect of Different Bases on Optical Spectra of Clusters.*** Absorption spectra of Ag₆ and
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32 Ag₆⁺ clusters passivated by various bases for the most stable isomers in water are shown in
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34 Figure 4. The spectra for all calculated structures are plotted in Figures S4-S6. For all neutral
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36 clusters, one can resolve five main absorption bands: (I) a weak peak (or a shoulder) at
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38 2.0-2.9 eV, (II) an intensive peak at ~3 eV, (III) another intensive peak at ~3.5 eV, which tends
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40 to overlap with the neighboring peak depending on the passivating bases, (IV) a less intensive
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42 and broader band at 4.0-4.7 eV, and (V) a highly intensive peak at ~5.2 eV, Figures 4a, 4b, and
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44 S4. While the relative intensity, width, and the energy of the peak maxima are slightly changing
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46 depending on the passivating bases and conformations, the overall spectral shape with 5 well-
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48 resolved bands is consistent for all structures. Interestingly, experimental spectra of bigger
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50 Ag₁₀⁶⁺ cluster formed by repeated CCX sequences where X ≠ C show similar lower energy
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52 absorption bands with a weak band at 2.25-2.60 eV followed by a strong sub-structured band at
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3 2.75-3.50 eV, with the intensity of the first peak being more sensitive to the sequences and the
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5 length of the encapsulated strand.⁴⁹
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7 All these bands are also well pronounced in the calculated spectra of charged Ag_6^+
8 clusters, being almost independent on the passivated bases and conformations. However, for
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10 charged clusters, an additional optically weak band appears at the lower energy range of 1-2 eV,
11
12 Figures 4c, 4d, and S5. Similar bands nearly at the same energy ranges are observed for spectra
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14 of Ag_5 and Ag_5^+ clusters passivated by various bases, but with the opposite trend for neutral and
15
16 charged clusters: Ag_5 exhibits additional red-shifted weak peak at 1-2 eV, while the spectra of
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18 Ag_5^+ do not have this band, Figure S6. This opposite trend of spectra for charged and neutral Ag_5
19
20 and Ag_6 clusters is rationalized by the difference in spin multiplicity of transitions contributing to
21
22 these optical bands. The absorption spectra of the closed shell Ag_5^+ and Ag_6 systems are
23
24 governed by singlet transitions, while doublet transitions contribute to spectra of the open shell
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26 Ag_5 and Ag_6^+ clusters. Thus, the doublet transitions of clusters with open shell electronic
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28 structure results in red-shifted optically weak band at the energy < 2.5 eV, almost independent on
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30 the cluster size (5 or 6 atoms), its conformation and the passivating bases. However, its intensity
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32 and the energy of the peak maximum are sensitive to the base type.
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39 ***Charge Transfer Character of Optical Transitions of Clusters passivated by Different***
40 ***Bases.*** It has been computationally predicted and experimentally detected that the lowest excited
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42 states in small emissive AuNCs^{81, 82} and AgNCs^{79, 83, 84} passivated by various ligands often have
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44 the ligand-to-metal charge transfer (LMCT) and metal-to-ligand charge transfer (MLCT)
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46 characters. Our calculations demonstrate that the stable isomer of **6C**- Ag_6 has the most intensive
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48 first optical transition compared to other stable structures of Ag_6 passivated by different bases,
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50 which is expected to lead to enhanced emission. Such a high intensity is rationalized by the
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52 largest MLCT character of this transition, with the hole mainly originating from the metal, while
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3 the electron density is locating on both metal atoms and cytosines, as evidenced from NTOs
4 depicted in Table 3 (Ag_6 clusters) and Table S3 (Ag_5 clusters). Changing all or several cytosines
5 to guanines or thymines reduces the ligand contributions to the lowest transition; this in turn
6 decreases the MLCT character resulting in weaker optical intensities of these transitions. For
7 higher energy optical transitions contributing to the second and third peaks at the energy range of
8 2.5-3.5 eV, this trend is the same: Optically active transitions with the largest oscillator strengths
9 exhibit stronger MLCT character with a significant portion of the electron density distributed
10 over the base bearing π^* character, Table 3 and Tables S4 and S5.

21 Figures 5, S7, and S8 quantitatively represent the degree of calculated charge transfer, for
22 each transition obtained for the most stable conformations of the charged and neutral Ag_6
23 clusters passivated by different bases. The degree of charge transfer, $D_m = P_e - P_h$, is identified as
24 a difference between electron and hole orbitals contributing to the excited state m and integrated
25 over the base or the AgNC fragments, as defined by Eqs. (vi) and (vii) in SI. Negative values of
26 D_m indicate the charge transfer from the fragment and positive values of D_m indicate the charge
27 transfer to the fragment. As a result, D_m presented as vertical sticks in Figures 5, S7, and S8 are
28 mirror images of positive and negative values. Very small $|D_m|$ values indicate that transitions
29 have negligible CT character and are mainly metal-to-metal or base-to-base (π - π^*) transitions.

41 For all structures, according to this analysis, optical transitions with energies < 4 eV
42 exhibit a noticeable degree of MLCT character ranging from 20% to 60%. The lowest energy
43 transitions have the largest degree of MLCT (up to 40%) for **6C**- Ag_6 and the smallest for **6G**-
44 Ag_6 (~10 %), Figure 5a-d. The absorption bands at ~3.5 eV have the largest MLCT character up
45 to 55% for all structures, except **6G**- Ag_6 that has the MLCT degree $< 20\%$ for all transitions at
46 this energy range, Figure S7. In contrast to all other neutral clusters, the optical bands at
47 2.5-3.5 eV of **6G**- Ag_6 are predominantly metal-to-metal transitions, which is also confirmed by
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3 NTOs depicted in Table 3. We associate such a low degree of MLCT with the bulkiness of
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5 guanines, which size is larger than the cluster size, hindering the hybridization of orbitals
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7 between guanines and the cluster. It is important to note that the MLCT character of the lowest
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9 transitions increases when guanines or thymines are accompanied by cytosines (**4C2G-** and
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11 **4C2T-Ag₆**), Figure S7. This increase in a MLCT character is reflected by higher intensities of
12
13 these transitions compared to those of **6G-**, **6T-**, and **2C4T-Ag₆**, Figure 4a and b. Nonetheless,
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15 the MLCT character mainly originates from cytosines (~30%), with less than 10% contributions
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17 from the other bases. Thus, cytosines maintain the MLCT character of the lowest energy
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19 transitions to a higher degree compared to the other bases.
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24 We also compare the absorption spectra of the passivated Ag₆ clusters and the bare
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26 clusters in which the passivated bases are deleted, while the cluster is preserving the same
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28 geometry as it has in the passivated arrangement, Figures 5e-h and S7. Interestingly, the lowest
29
30 energy transitions of the neutral bare clusters are optically active, in contrast to those of the
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32 passivated clusters, except **6C-Ag₆**. For the latter, the passivated cluster has optically active first
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34 transition, while it is optically inactive for the bare cluster. These opposite trends point to a
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36 change in the optical selection rules of the lowest transitions of AgNCs due to delocalization of
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38 the excited state both over the cluster and the bases underpinning the MLCT character. In
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40 contrast, highly intensive absorption peaks at 3.0-3.5 eV almost coincide between the bare and
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42 the passivated clusters especially in **6G-**, **6T-** and **2C4T-Ag₆**, despite the admixture of MLCT
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44 character of transitions contributing to these peaks, Figures 5a-d and S7. As such, transitions at
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46 this energy range exhibit similar optical selection rules for both pure metal-to-metal and mixed
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48 MLCT states.
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54 The consistency in the behavior of transitions at 3.0-3.5 eV between the bare and ligated
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56 clusters can be rationalized by similarities in contributions of *s*, *p*, and *d*-orbitals of Ag atoms to
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3 the optical transitions for both ligated and bare clusters, Table 4 and Fig. S9. At this energy
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5 range, both the bare and ligated clusters demonstrate the predominant *s*-character (60-85%) of
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7 holes and mainly *p*-character of electrons, with variations of 55-80% for the bare Ag₆ and 25-
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9 45% for the ligated clusters. A small admixture of *d*-orbitals (5-10%) is also observed for both
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11 electron and hole states contributing to the optical bands at 3.0-3.5 eV. Note that the values
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13 corresponding to electrons are significantly smaller for the ligated clusters, compared to their
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15 bare counterparts, due to the redistribution of some portion of the orbital to the bases. Relatively
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17 delocalized character of *p*-orbitals distributed over more Ag atoms facilitates the delocalization
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19 of electrons to the passivating bases, while more localized nature of *s*-orbitals locates the hole at
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21 the metal center, thus facilitating strong MLCT character of this spectral band.
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26 Similar to transitions at 3.0-3.5 eV, the lowest energy transitions contributing to the 2.5-
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28 3.0 eV band of the ligated clusters also exhibit the *s*-character of holes (50-70%) and *p*-character
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30 of electrons (30-50%), but with a more pronounced admixture of *s*-orbitals in the electron states,
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32 Table 4. In contrast, the lowest transitions in the bare clusters show smaller contributions of *p*-
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34 orbitals to electrons resulting in a predominant *s*-character of both electrons (60-70%) and holes
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36 (80-85%), Table 4 and Fig. S9. These results agree with literature reports showing that the lower
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38 energy transitions in bare silver clusters with less than 8 atoms in size mainly involve *s*-orbitals,
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40 where the hole has predominantly *s*-orbital contribution and the electron has a more hybridized
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42 *s+p* character.⁸⁶⁻⁸⁷ However, a significantly stronger degree of *s+p* character of electrons in the
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44 ligated clusters, compared to their bare counterparts, is responsible for differences in their optical
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46 selection rules defining the lowest transition intensities for the 2.0-3.0 eV band.
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51 The absorption band at 4.0-4.5 eV has the smallest MLCT contribution (< 20 %) for all
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53 ligated structures, Figure 5a-c and S7. The relevant NTOs demonstrate either π - π^* transitions
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55 originated from bases or metal originated transitions with predominant *s*-character of holes and
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3 *p*-character with a very small admixture of *s*-orbitals of electrons, similar to the bare clusters,
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5 Table 4. Notably, there are no *d*-orbital contributions to the electron states for both ligated and
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7 bare clusters, Table 4 and Figure S9. A lack of *d*-character also reflects on the reduced MLCT
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9 character of these transitions in the ligated systems. Due to the reduced hybridization of the
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11 electron-hole pairs, the intensity of these transitions is noticeably smaller compared to those in
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13 the 3.0-3.5 eV range. Comparing the bare and ligated structures, there is a significant splitting
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15 between optical peaks at ~4.0 eV in the bare clusters, which is not present in the ligated clusters.
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17 This is a result of minimizing the energy splitting between the bonding and antibonding Ag-
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19 associated *p* orbitals due to the perturbation by nucleobases' electrostatic dipoles,⁸⁷ despite a
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21 minimal direct contribution of base orbitals to these states. This explains significant deviations
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23 between this band in the bare and passivated clusters, Figures 5e-h and S7.
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28 For the band at the energy > 4.5 eV, the CT degree increases to 20%-40% for most
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30 structures, but exhibiting mainly LMCT, rather than MLCT character. For these transitions, the
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32 *d*-orbital contribution to holes becomes significant (40-80%), Table 4 and Figure S9. These
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34 results agree well with previously reported calculations of DNA-Ag₄ clusters, showing that the
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36 absorption peaks from red to blue wavelengths are predominantly of MLCT character, while
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38 absorption in the blue-violet range are mostly represented by transitions with a mixed character
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40 of LMCT or *d-d** nature.⁶⁸ The similar trends in CT contributions to all absorption bands are
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42 observed for charged passivated and bare Ag₆⁺ clusters, with the difference that the lowest
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44 energy optically inactive transition (at ~1.5 eV) has a small contribution of MLCT with a
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46 dominant metal-to-metal character associated with *s*-orbitals of both electrons and holes, Figures
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48 S8 and S10.
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54 **Conclusions**

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3 We have performed computational study aiming to clarify how the differences in conformations,
4 charges, solvent polarity, and passivating bases impact optical spectra of DNA-AgNCs systems
5 containing 5 and 6 Ag atoms. Our calculations demonstrate that a polar solvent like water is an
6 important factor that transforms the geometries of clusters passivated by cytosines from planar
7 2-D to non-planar 3-D structures, while the planar conformations are the most stable for the non-
8 passivated Ag₅ and Ag₆ clusters.⁵⁶ In addition to the cluster-base interactions, the hydrogen bond
9 network between passivating bases significantly impacts the cluster geometry and its stability.
10 Therefore, the most stable isomer is characterized by the largest number of hydrogen bonds and
11 the strongest base-cluster interactions. It is likely that several isomers of base-passivated clusters
12 coexist in polar solvents due to the relatively small ground state energy differences between their
13 conformations (< 0.2 eV). Comparing the cluster-base binding energies, cytosines and guanines
14 interact much stronger than thymines even in mixed passivations, due to their larger electrostatic
15 dipole moments. These interactions are increasing in cationic clusters. Almost twice weaker
16 binding energy of T, compared to C and G, suggests that thymines likely contribute a little to the
17 coordination of AgNCs, when cytosines and guanines are present in a DNA strand.
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37 Despite some variations in energies and intensities of spectral peaks of clusters with
38 different conformations, passivation bases, and charges, the spectral absorption profile with well
39 resolved five main peaks at the range of 2.5-5.5 eV is common for all studied structures. As
40 such, the optical spectrum of various DNA-AgNC species will unlikely result in distinct spectral
41 fingerprints defined by a specific conformation or a base-type passivation. The exception is
42 observed for clusters with the open shell electronic structure. Our calculations reveal that the
43 doublet transitions in base-passivated clusters with the open shell electronic structure (Ag₅ and
44 Ag₆⁺) result in an additional red-shifted (< 2.5 eV) and optically weak band. Transitions
45 contributing to this band show a small MLCT character with a dominant metal-to-metal nature
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3 associated with *s*-orbitals for both electrons and holes. However the passivated clusters with the
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5 closed shell electronic structure (Ag_5^+ and Ag_6) exhibit higher optical intensity of their lowest
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7 transitions with a high MLCT contribution, thus having superior potential for emission, than
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9 their open shell counterparts.
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12 For all considered structures, optical transitions at 2.5-3.5 eV exhibit a strong MLCT
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14 character with the main contribution stemming from the Ag-core to the bases. Cytosines
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16 facilitate the MLCT character to a larger degree comparing to guanines and thymines, including
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18 the mixed nucleobase passivation. The MLCT character of these transitions is rationalized by the
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20 *s+p+d* hybridized nature of the excited electron, which is distributed over multiple Ag atoms
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22 facilitating the delocalization of the electron density to the passivating bases; in contrast, more
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24 localized nature of *s*-orbitals mainly contributing to the hole state, locates the hole density at the
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26 metal center. The most optically active transitions expose the largest degree of MLCT with a
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28 significant portion of the electron density distributed over the base bearing π^* character. In
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30 contrast, the higher energy absorption band at 4.0-4.5 eV, has a weak optical intensity, which we
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32 attribute to a lower degree of MLCT character to these transitions. This is also rationalized by a
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34 reduced hybridization of electron states lacking *d*-orbital contributions, while dominated by *p*-
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36 orbitals. For the optically intensive band at the energy > 5.0 eV, the charge transfer character
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38 increases, while retaining mainly LMCT, rather than MLCT features. This change is dictated by
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40 significantly increased contributions of *d*-orbitals to hole states leading to delocalization of the
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42 hole between metal center and bases. Overall, our results facilitate better understanding of the
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44 intrinsic properties of DNA-AgNCs chromophores and provide guidelines to new experimental
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46 studies targeting specific optical functions of silver-based biologically compatible nano-systems.
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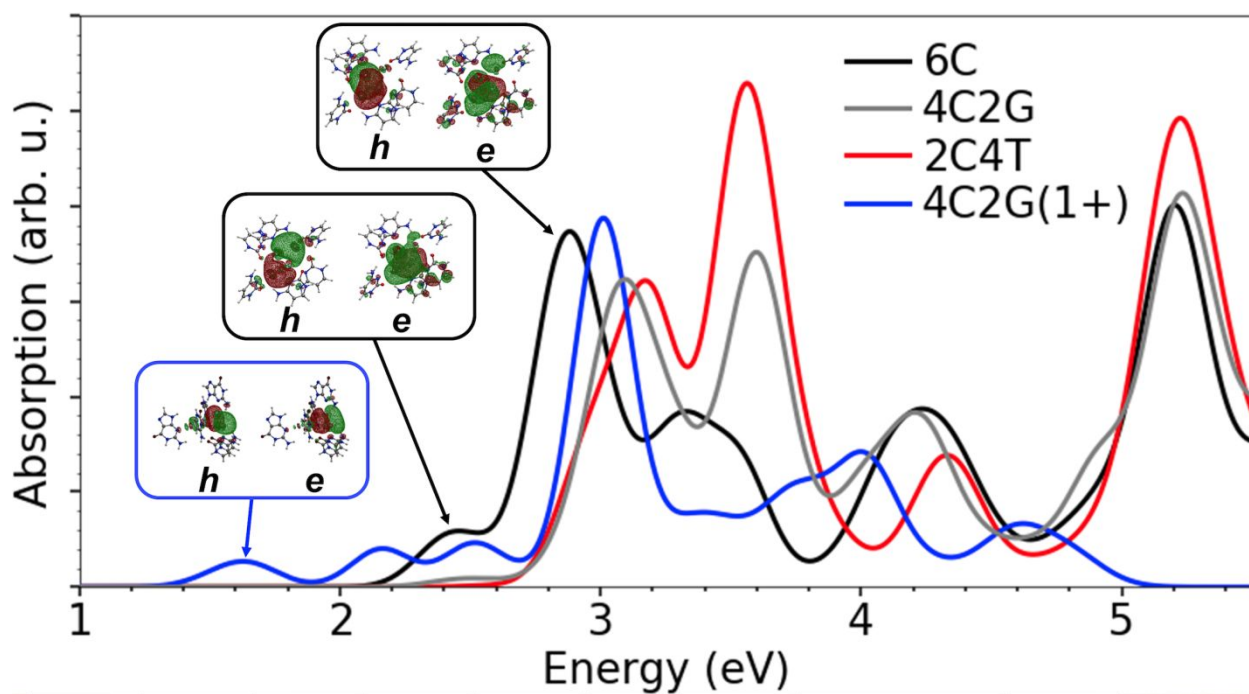
Supporting Information:

Structural parameters, binding energy and its discussion, absorption spectra of 5C-Ag₅ isomers, binding energies of Ag₅ and Ag₆ clusters in water, absorption spectra of the conformations of mixed nucleobase, NTOs, degree of charge transfer, angular momentum contributions.

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Graphical Abstract



TABLES AND FIGURES

Table 1: The most stable Ag₆ and Ag₅ clusters used as initial structures for related isomers of 6C-Ag₆ and 5C-Ag₅ DNA-AgNCs optimized in vacuum and water with no charge (neutral) and +1 charge. Zero energy is assigned to structures with the lowest energy of their bare AgNC counterparts. The red font depicts the optimized final structures with the lowest energies among considered isomers.

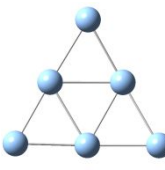
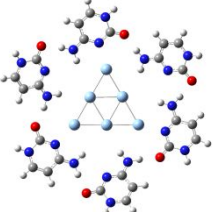
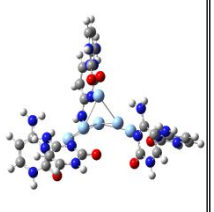
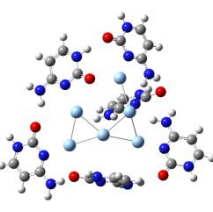
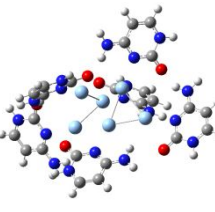
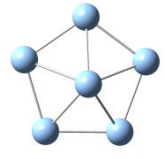
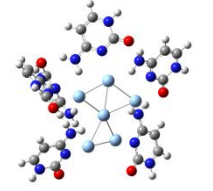
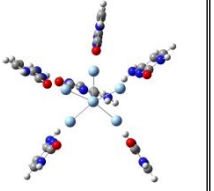
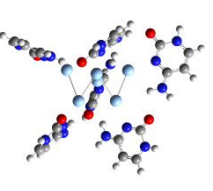
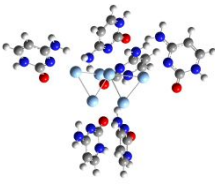
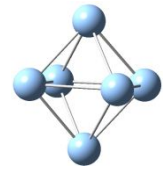
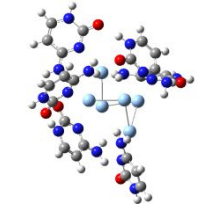
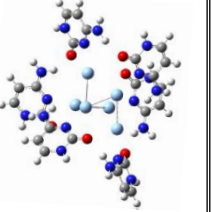
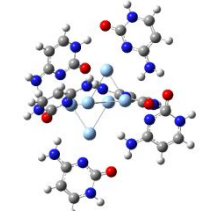
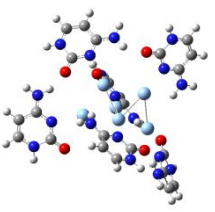
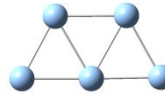
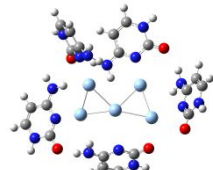
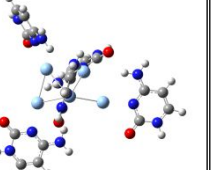
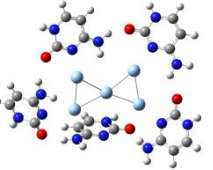
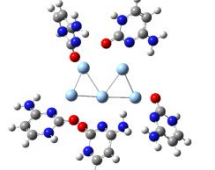
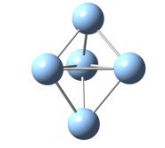
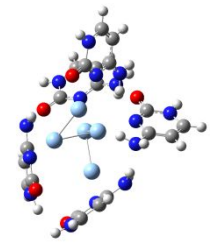
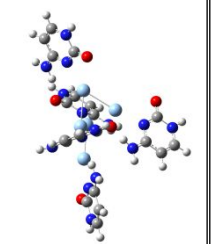
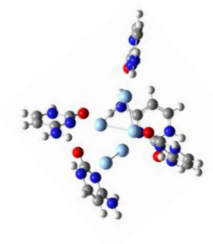
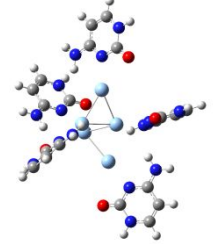
	Initial AgNC structure	Optimized Neutral		Optimized Charged	
		Vacuum	Water	Vacuum	Water
Ag ₆ clusters	 Geom-1 <i>0.00 eV</i>	 <i>0.00 eV</i>	 <i>0.00 eV</i>	 <i>0.00 eV</i>	 <i>0.00 eV</i>
	 Geom-2 <i>0.20 eV</i>	 <i>0.88 eV</i>	 <i>0.13 eV</i>	 <i>0.01 eV</i>	 <i>0.26 eV</i>
	 Geom-3 <i>0.84 eV</i>	 <i>1.29 eV</i>	 <i>-0.12 eV</i>	 <i>-0.18 eV</i>	 <i>-0.11 eV</i>
Ag ₅ clusters	 Geom-1 <i>0.00 eV</i>	 <i>0.00 eV</i>	 <i>0.00 eV</i>	 <i>0.00 eV</i>	 <i>0.00 eV</i>
	 Geom-2 <i>0.99 eV</i>	 <i>-0.31 eV</i>	 <i>-0.13 eV</i>	 <i>0.41 eV</i>	 <i>0.01 eV</i>

Table 2: Structural parameters of the **6C-Ag₆** cluster. For the average silver-silver bond length, $\langle Ag-Ag \rangle$, only Ag-Ag bond lengths less than 3 Å are taken into account. For the average Ag-N bond length between the cluster and the base, $\langle Ag-N \rangle$, all six bonds are taken into account. The bond between the oxygen and hydrogen of two adjacent cytosines shorter than 2 Å is considered as the hydrogen bond (H-bond). The cluster-base binding energies (E_b) are calculated using Eq. 1 in the main text, where L_1 is the cytosine with either the shortest or the longest Ag-N bond length and $p=1$.

6C-Ag ₆ isomers			Vacuum			Solvent			
			Geom-1	Geom-2	Geom-3	Geom-1	Geom-2	Geom-3	
Neutral	Bond length, Å	$\langle Ag-Ag \rangle$	2.78±0.02	2.77±0.03	2.86±0.17	2.81±0.05	2.82±0.12	2.85±0.12	
		$\langle Ag-N \rangle$	3.45±1.17	2.97±0.81	2.71±0.60	2.45±0.07	2.61±0.55	2.44±0.03	
		(Ag-N) _{Long}	4.52	4.03	3.92	2.57	3.75	2.48	
		(Ag-N) _{Short}	2.38	2.33	2.42	2.39	2.36	2.39	
	# Bonds	Ag-N	3	4	5	6	5	6	
		H-bond	6	2	4	1	1	4	
	E_b , eV	$\langle E_{Ag-N} \rangle$	-0.81	-0.77	-0.80	-0.31	-0.98	-0.55	
		$E_{Ag-N, Short}$	-1.21	-1.28	-0.45	-0.19	-0.81	-0.29	
		$E_{Ag-N, Long}$	-1.21	-0.75	-0.33	-0.16	-1.074	-0.28	
	Charged (+1)	Bond length, Å	$\langle Ag-Ag \rangle$	2.77±0.06	2.86±0.10	2.84±0.09	2.85±0.09	2.83±0.11	2.87±0.10
			$\langle Ag-N \rangle$	2.63±0.55	2.40±0.08	2.36±0.02	2.39±0.09	2.35±0.03	2.37±0.04
			(Ag-N) _{Long}	3.74	2.56	2.39	2.55	2.40	2.43
(Ag-N) _{Short}			2.30	2.32	2.34	2.30	2.33	2.32	
# Bonds		Ag-N	5	6	6	6	6	6	
		H-bond	4	4	5	3	2	4	
E_b , eV		$\langle E_{Ag-N} \rangle$	-1.19	-1.21	-1.22	-0.57	-1.11	-0.61	
		$E_{Ag-N, Short}$	-0.52	-0.92	-0.97	-0.35	-0.63	-0.56	
		$E_{Ag-N, Long}$	-1.20	-0.85	-0.56	-0.21	-0.76	-0.34	

Table 3: Natural transition orbitals showing the contributions of the electron-hole pair to the transitions with the lowest energy (S_1) and with the largest oscillator strength (*Os. Str.*, italic font) at the energy range of 2.5-3.5 eV of the neutral Ag_6 clusters passivated by different bases in water with the most stable conformations.

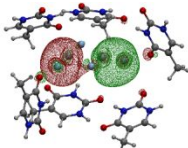
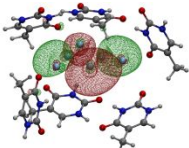
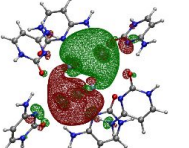
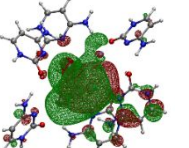
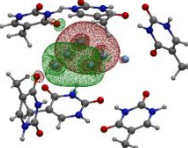
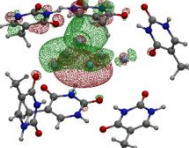
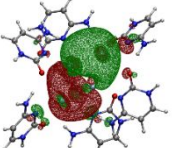
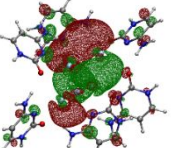
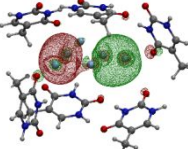
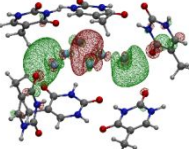
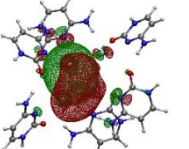
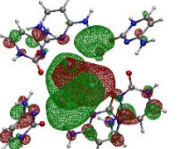
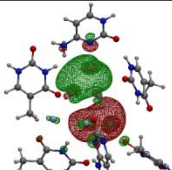
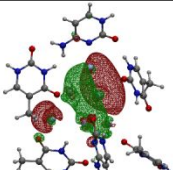
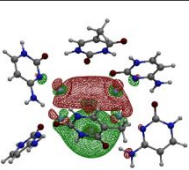
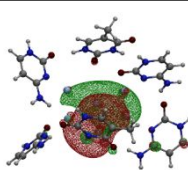
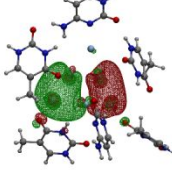
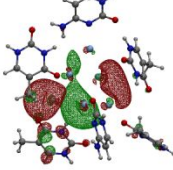
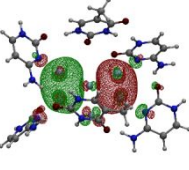
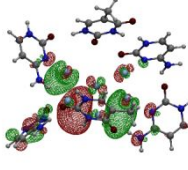
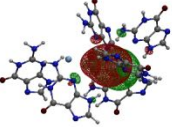
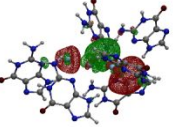
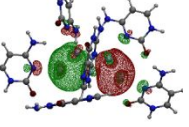
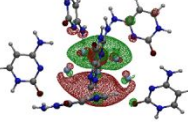
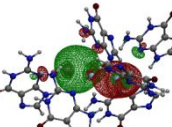
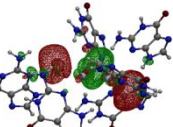
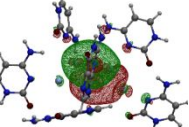
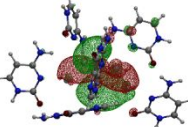
State E (eV) <i>Os. Str.</i>	Hole	Electron	State E (eV) <i>f_{os}</i>	Hole	Electron
6T			6C		
S_1 2.64 eV <i>0.0014</i>			S_1 2.38 eV <i>0.0935</i>		
S_6 3.18 eV <i>0.6339</i>			S_2 2.50 eV <i>0.0922</i>		
S_8 3.33 eV <i>1.1471</i>			S_5 2.82 eV <i>0.4517</i>		
2C4T			4C2T		
S_1 2.56 eV <i>0.0024</i>			S_1 2.41 eV <i>0.0300</i>		
S_6 3.20 eV <i>0.7731</i>			S_8 3.30 eV <i>0.4403</i>		
6G			4C2G		
S_1 2.51 eV <i>0.0171</i>			S_1 2.42 eV <i>0.0126</i>		
S_4 2.98 eV <i>0.7135</i>			S_6 3.09 eV <i>0.7158</i>		

Table 4: Decomposition of the NTO electron-hole pair over the Ag-associated *s*-, *p*-, and *d*-angular momentum contributions to the excited state of the most stable Ag₆ clusters ligated by different bases and their bare Ag₆ counterparts. The percent of *s*, *p*, and *d* in hole (h) and electron (e) states are integrated over the energy range corresponding to the main optical bands in Fig. 4. The bold font highlights the dominant percent of the orbital type in the hole/electron pair.

Cluster	h/e pairs at 2.0-3.0 eV			h/e pairs at 3.0-3.5 eV			h/e pairs at 3.5-4.5 eV		
	s	p	d	s	p	d	s	p	d
6C	50/25	20/30	15/5	60/15	10/25	10/5	55/35	20/55	10/0
bare	80/60	10/30	10/10	80/15	10/80	10/5	80/25	10/80	10/0
6T	65/35	15/35	5/5	70/20	15/35	5/5	70/40	15/50	5/0
bare	85/70	10/20	5/5	85/40	10/55	5/5	80/30	10/70	5/0
6G	60/20	15/50	10/5	60/25	15/45	10/5	60/15	15/75	10/0
bare	85/70	10/30	5/5	85/40	10/70	5/5	85/20	10/80	5/0
4C2G	55/25	20/30	5/5	60/15	15/30	10/5	60/35	20/60	10/0
bare	80/70	10/20	10/5	80/45	15/50	5/5	80/20	10/80	10/0
4C2T	65/15	20/30	5/5	60/15	15/30	10/5	60/30	20/55	10/0
bare	80/70	10/25	10/5	80/45	10/50	5/5	80/25	10/75	10/0
2C4T	65/25	15/35	10/5	60/20	15/30	10/5	65/15	15/55	10/0
bare	80/70	10/25	5/5	80/30	10/65	5/5	80/15	10/85	5/0

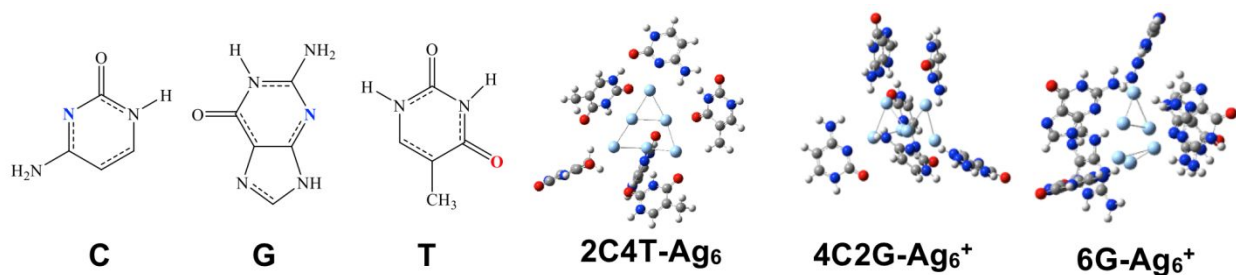


Figure 1: Chemical structures of three DNA bases: cytosine (C), guanine (G), and thymine (T) and examples of optimized geometries of charged and neutral Ag₆ clusters passivated by a different number of C, G and T bases. The colored atoms in schematic representation of bases indicate the atoms coordinated with silver atoms in DNA-AgNCs.

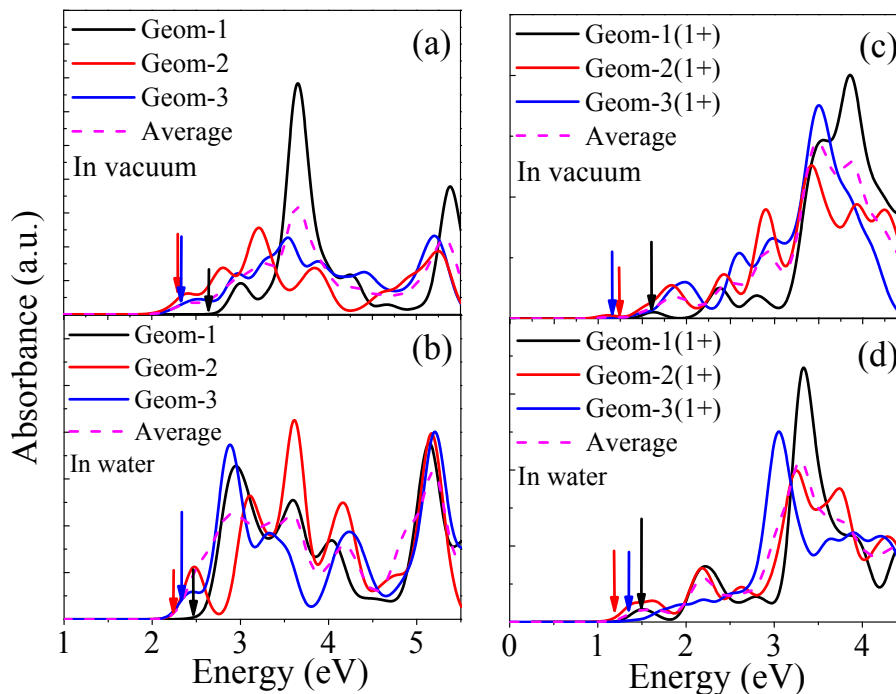


Figure 2: Absorption spectra of 6C-Ag_6 isomers: Neutral 6C-Ag_6 in vacuum (a) and water (b) and charged 6C-Ag_6^+ in vacuum (c) and water (d). The names of the isomers correspond to those in Table 1. Dashed magenta lines represent the absorption spectra averaged over three isomers. Vertical arrows correspond to the lowest energy optical transition, with the arrow size corresponding to the oscillator strength of the transition.

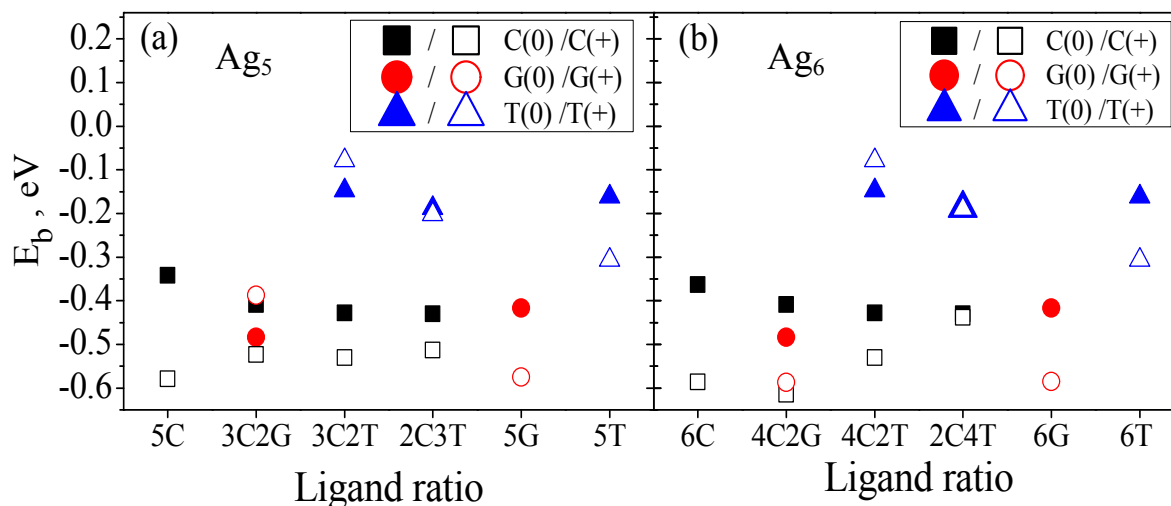


Figure 3: The binding energy of cytosine, guanine and thymine to Ag_5 (a) and Ag_6 (b) clusters in water. The most stable isomer of each DNA-AgNC is chosen from the optimized geometry started with either the Geom-3 or Geom-1 structures of neutral and charged 6C-Ag_6 and 5C-Ag_5 in water with all or several C substituted by T or G. Neutral clusters are depicted by solid symbols and charged clusters by empty symbols. X-axis indicates the number and types of bases passivating the cluster.

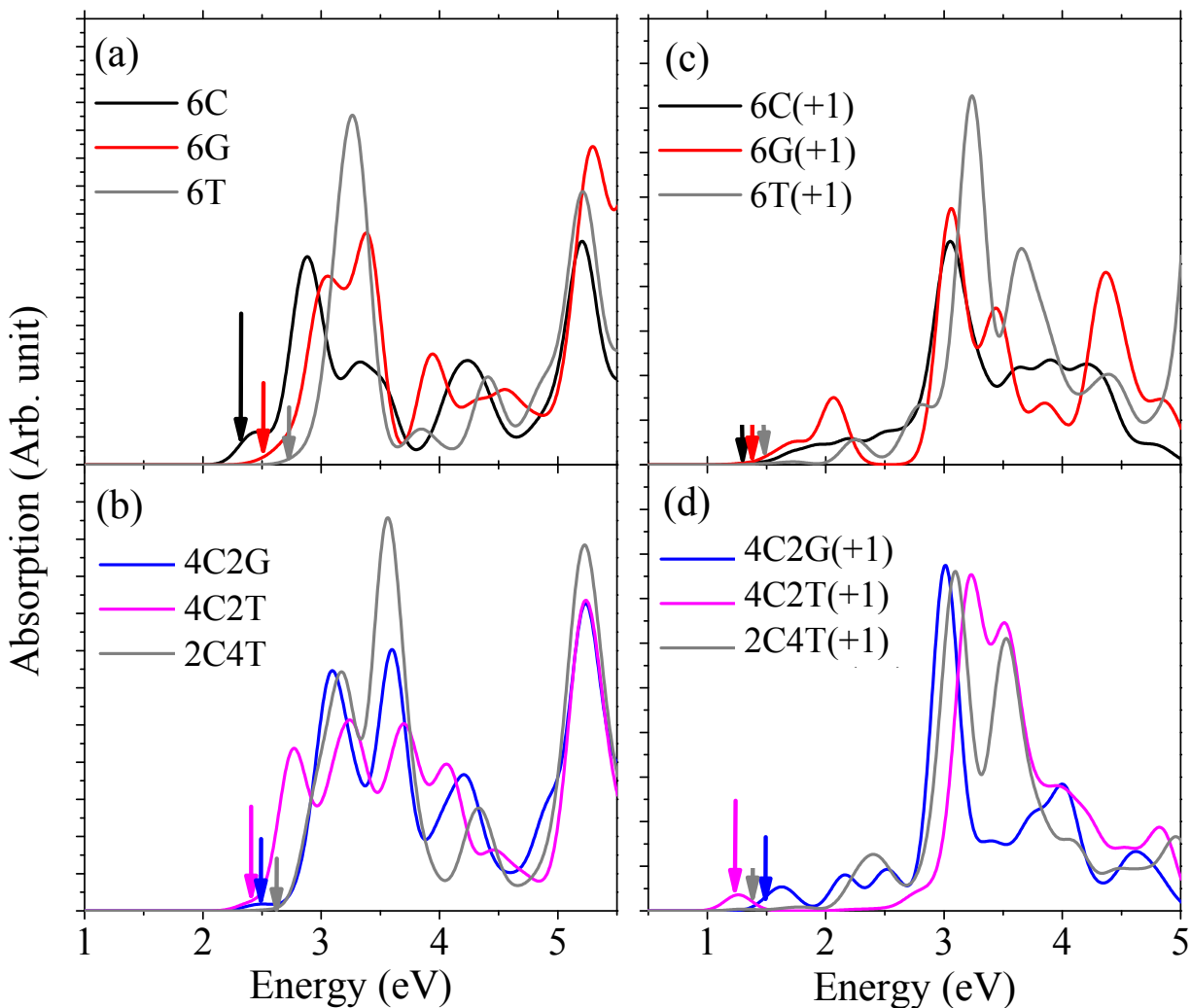


Figure 4: Absorption spectra of Ag_6 and Ag_6^+ clusters passivated by various bases in water. For these spectra, the most stable isomer is chosen from the optimized geometry started with either the Geom-3 or Geom-1 structures of 6C-Ag_6 (a) and (b) and 6C-Ag_6^+ (c) and (d) in water with all (a) and (c) or several C (b) and (d) substituted by T or G. Vertical arrows indicate the lowest energy optical transition. The height of arrows represents the relative intensity of these transitions.

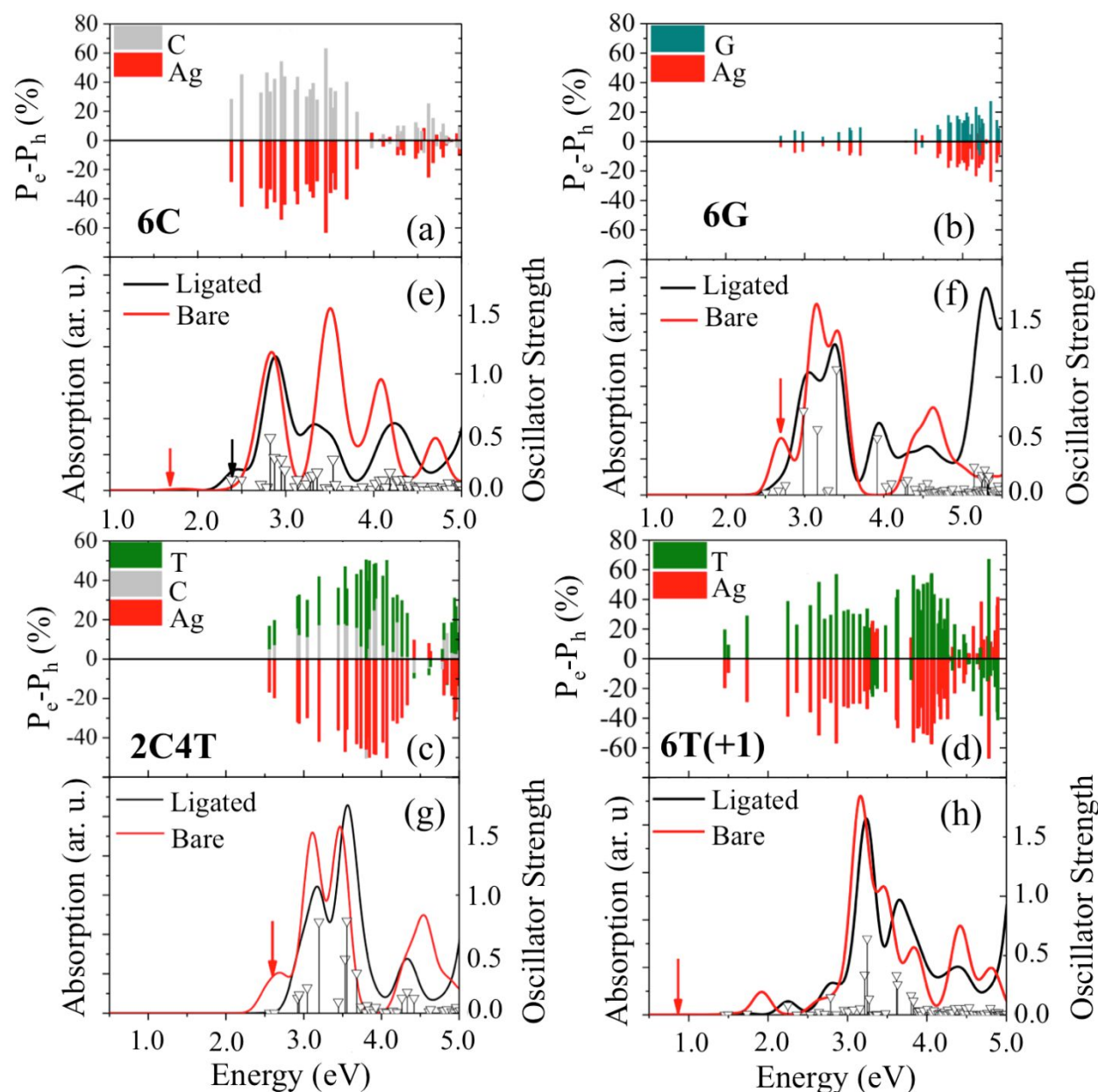


Figure 5: Absorption spectra and percent of charge transfer in each optical transition of the neutral Ag₆ and charged Ag₆⁺ clusters passivated by different bases in water with the most stable conformations. **(a)-(d)** The degree of charge transfer, P_e-P_h, for each optical transition defined as a difference between projections of unoccupied (electron) and occupied (hole) orbitals projected to the base C (grey), G (teal), or T (green) and the Ag cluster (red). **(e)-(h)** Absorption spectra of the optimized clusters passivated by bases (black lines) and the bare cluster with eliminated bases but preserving the same geometry as it has in the passivated structures (red line). Vertical black lines with triangle heads identify the oscillator strength of each optical transition contributing to the absorption spectra of the passivated clusters. The values of the oscillator strength are represented at the right Y-axis. Red arrows indicate the lowest energy transition of the cluster with removed bases.

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