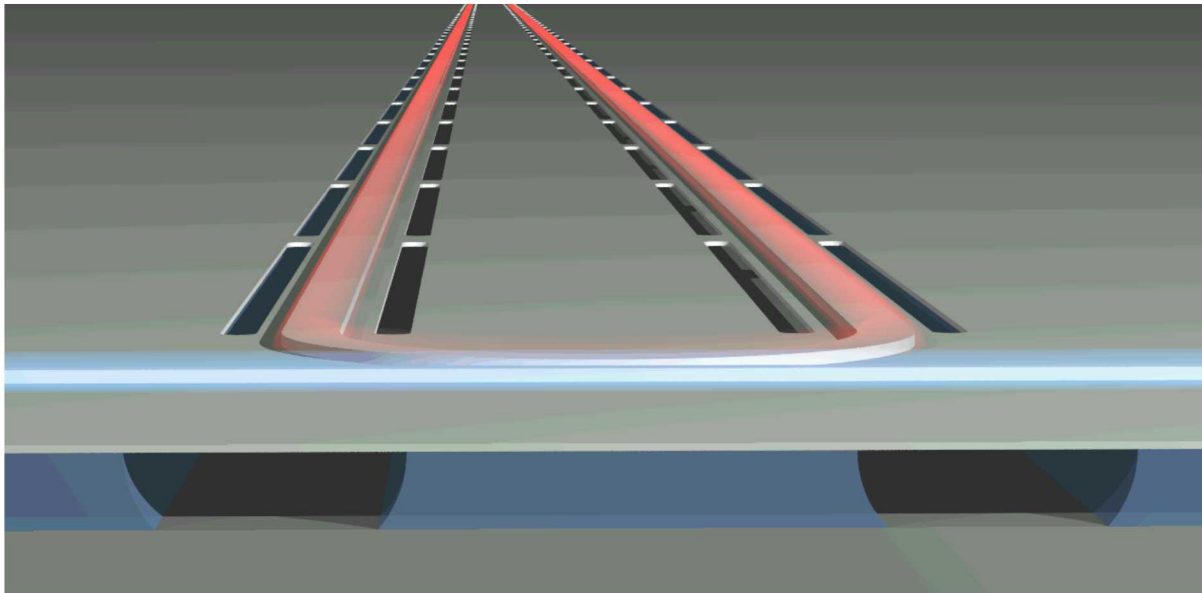


# Unidirectional injection-locked Brillouin laser in silicon

CLEO 2020



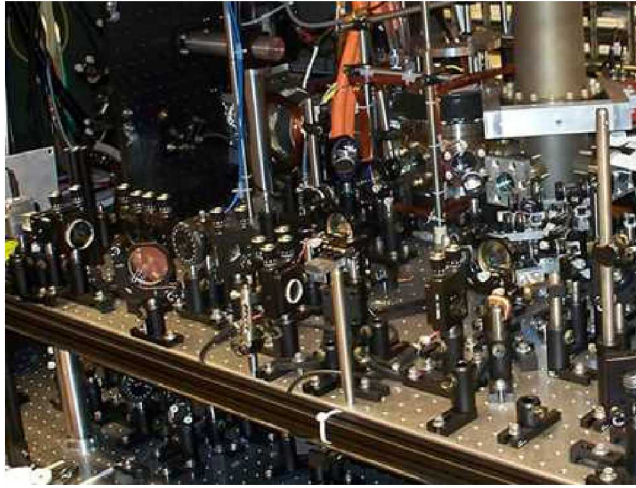
Nils Otterstrom  
Shai Gertler  
Yishu Zhou  
Eric Kittlaus  
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Michael Gehl  
Andrew Starbuck  
Christina Dallo  
Andrew Pomerene  
Douglas Trotter  
Anthony Lentine  
Peter Rakich

Yale

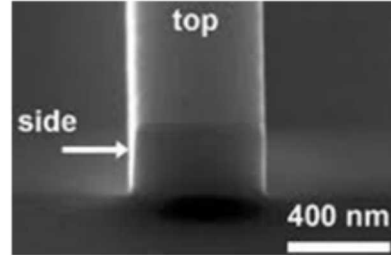
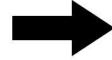


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Department of Applied Physics Yale University

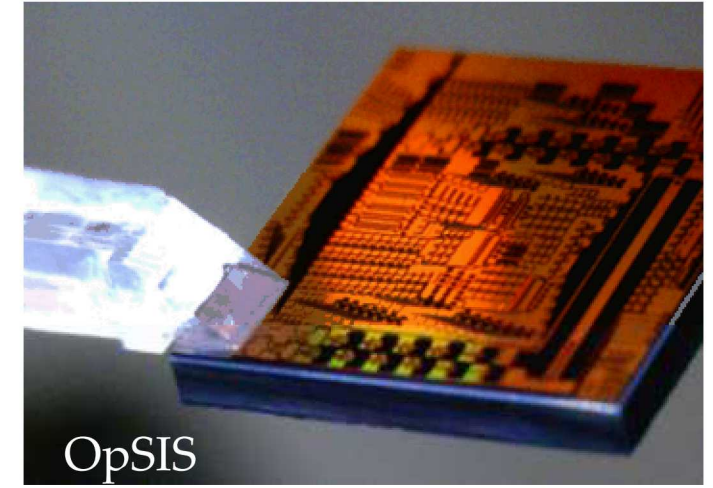
# Integrated silicon photonics: power of light on a chip



Benchtop optical setup



Light can be routed in sub-wavelength optical waveguides



Photonic integrated circuit (PIC)

- **Goal:** integrate multiple optical components into complex photonic circuits
- **Advantages:** cost, scalability, performance, efficiency

How can we develop new on-chip technologies to meet these needs?

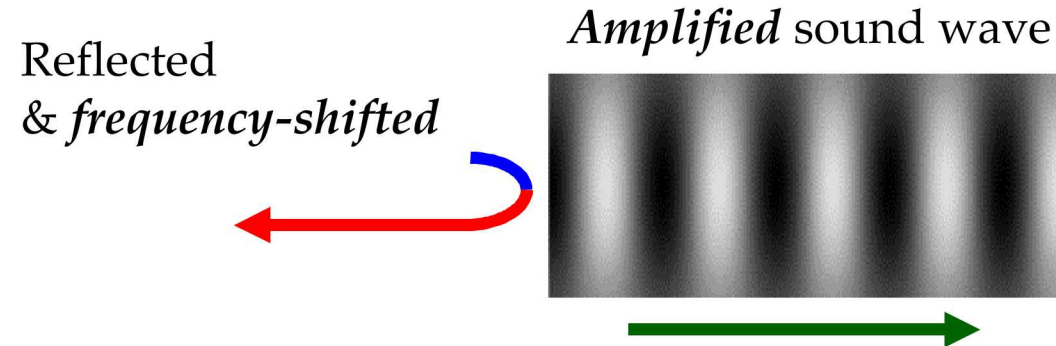
- **Challenges:** Silicon-based lasers, amplifiers, and non-reciprocal devices

1. Engineer phase-matched nonlinearities to create **new lasers**
2. **Manipulate dynamics** to achieve a broad range of functionalities

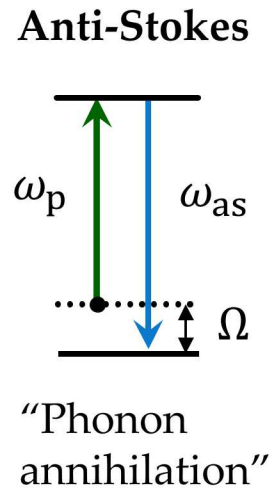
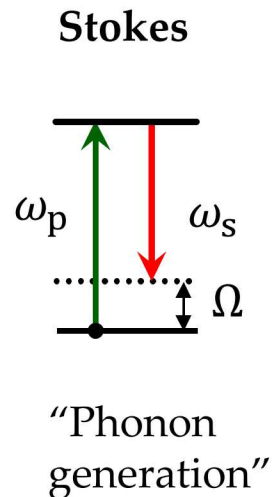
# Brillouin interactions: nonlinearity mediated by sound

## Brillouin processes:

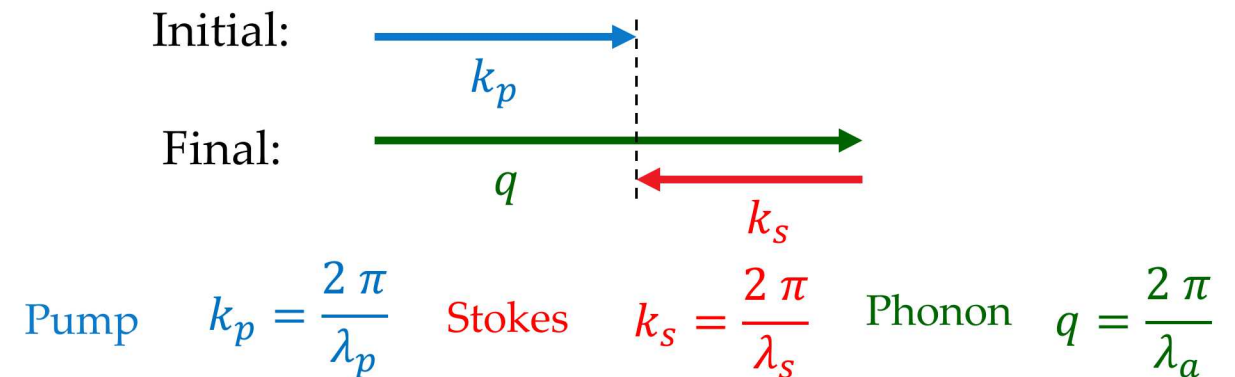
- Strong optical nonlinearity
- Mediated by sound
- Arises from optical forces and photo-elasticity
- Highly tailorable
- **Net result:** stimulated optical gain



## Energy conservation:



## Phase matching (momentum conservation):

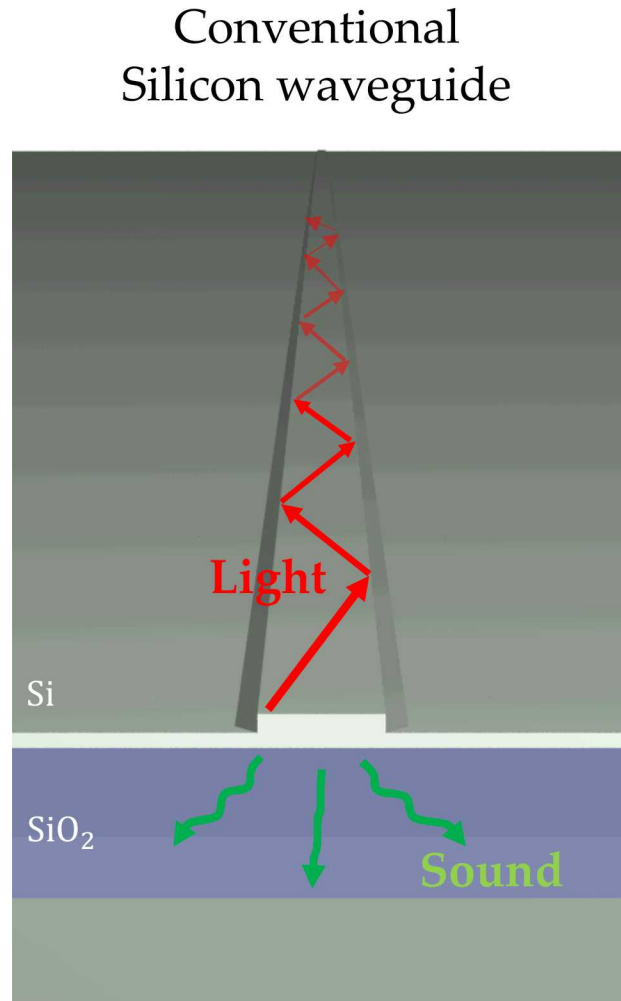


Phase matching allows us to shape the dynamics

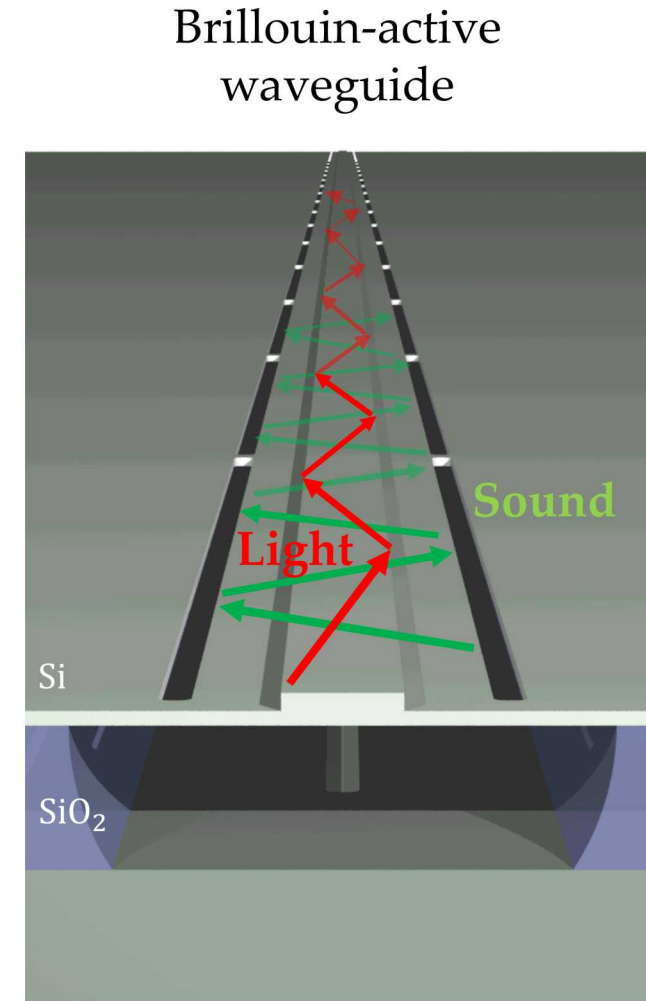


# Problem #1: Brillouin interactions absent from conventional silicon waveguides

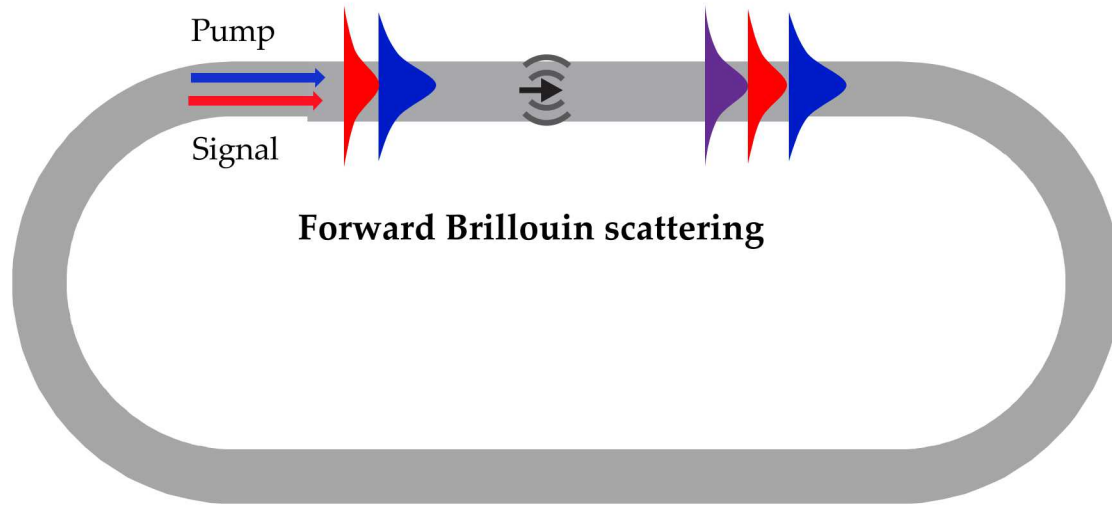
**Problem:**  
sound quickly  
dissipates into  
substrate



**Solution:** create  
structure that  
confines both  
light and sound



# Problem #2: dynamics prevent amplification and lasing



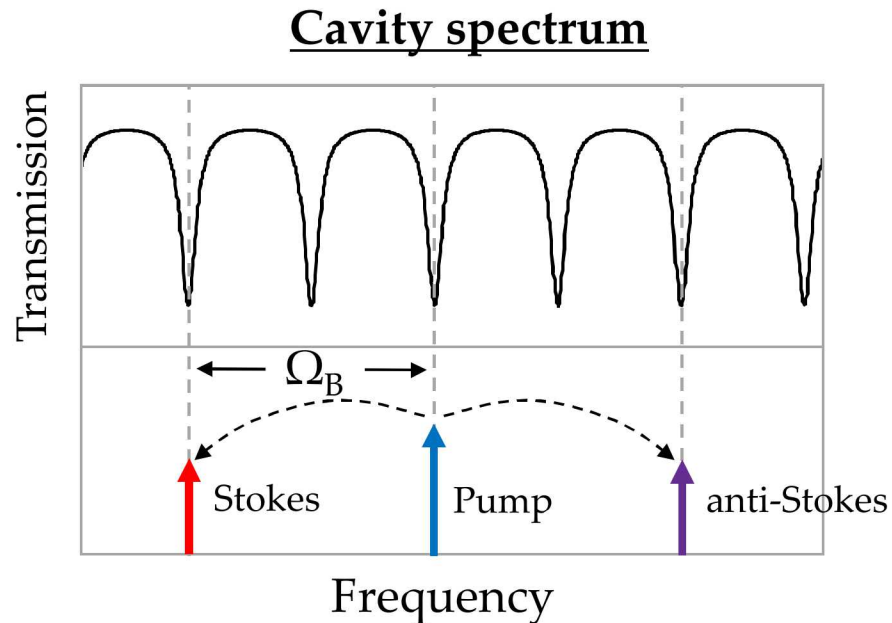
## Forward Brillouin scattering:

- Pump and Stokes co-propagate
- Nontrivial dynamics prevents lasing

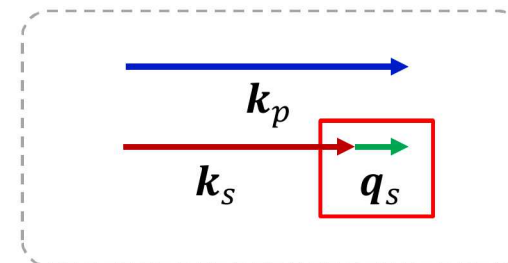
Stokes (phonon creation) and anti-Stokes (phonon annihilation) balanced

**Result:** no stimulated gain, no lasing!

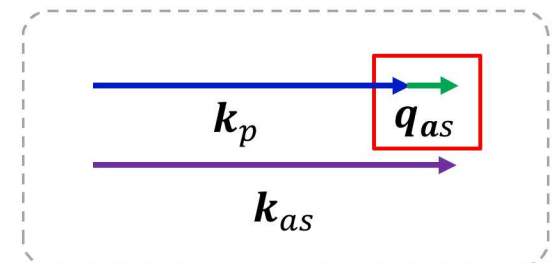
Need to inhibit the **anti-Stokes** process:  
**Symmetry breaking!**



## Phase Matching: Stokes Process

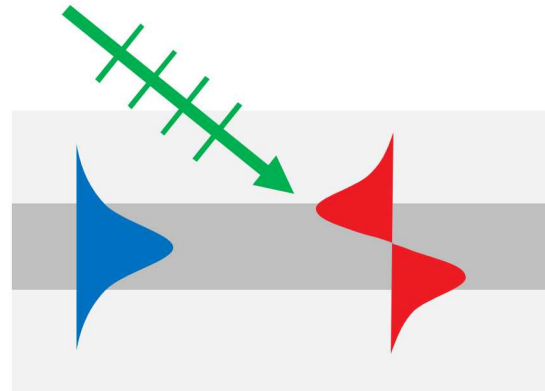


## Phase Matching: Anti-Stokes

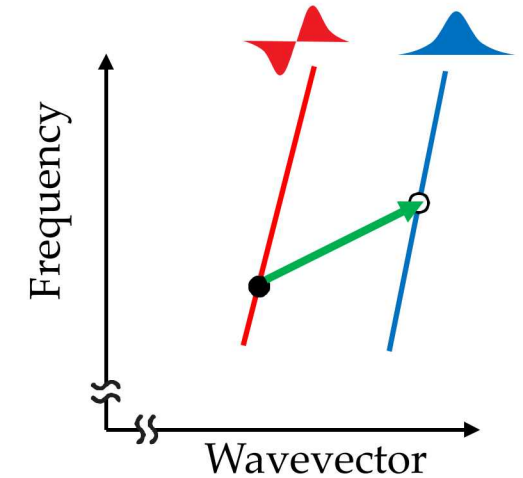


# Solution: engineer inter-modal nonlinearities

**Strategy:** Shape dynamics by engineering inter-modal nonlinearities

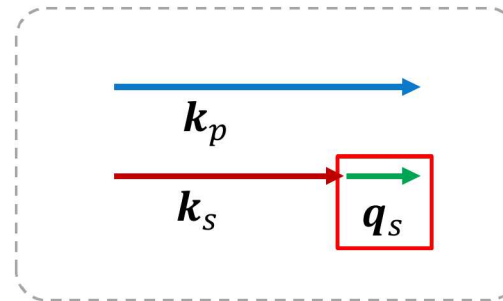


Multimode waveguide

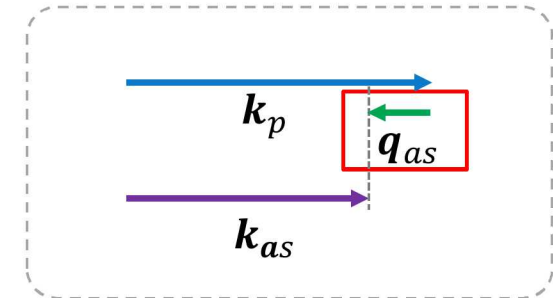


Now, Stokes and anti-Stokes processes talk to different phonons! Symmetry breaking!

Phase Matching: Stokes Process



Phase Matching: Anti-Stokes

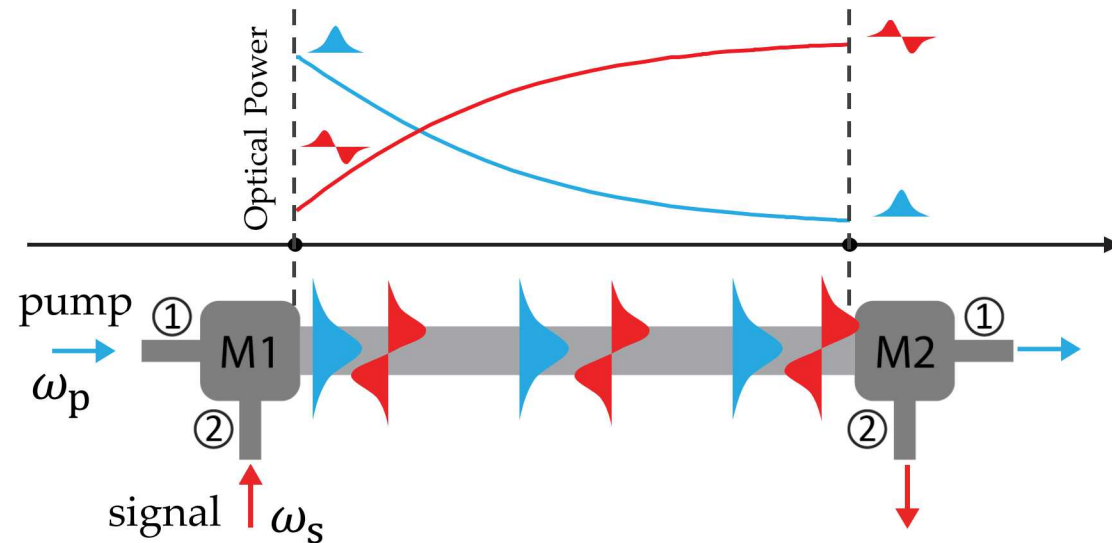
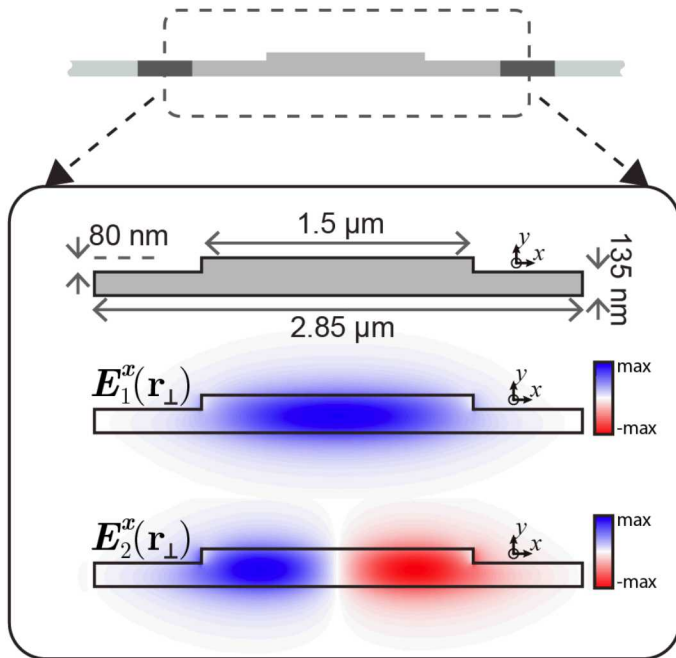
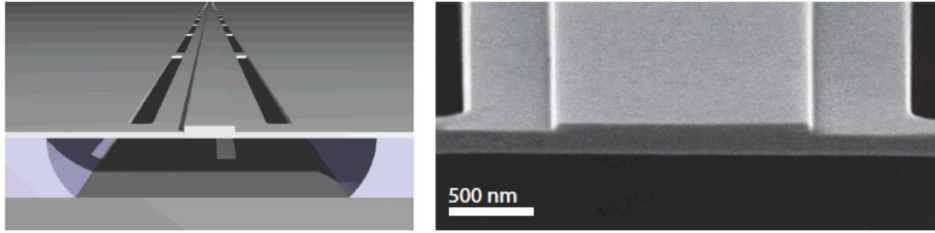


Can we do this on a silicon chip?

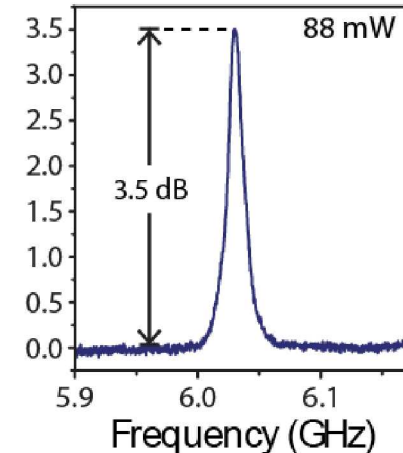
If so, we could make new lasers, amplifiers, and non-reciprocal devices

# Inter-modal system: Brillouin-active waveguide

Integrated mode multiplexers couple light into two **distinct modes** of a Brillouin-active waveguide

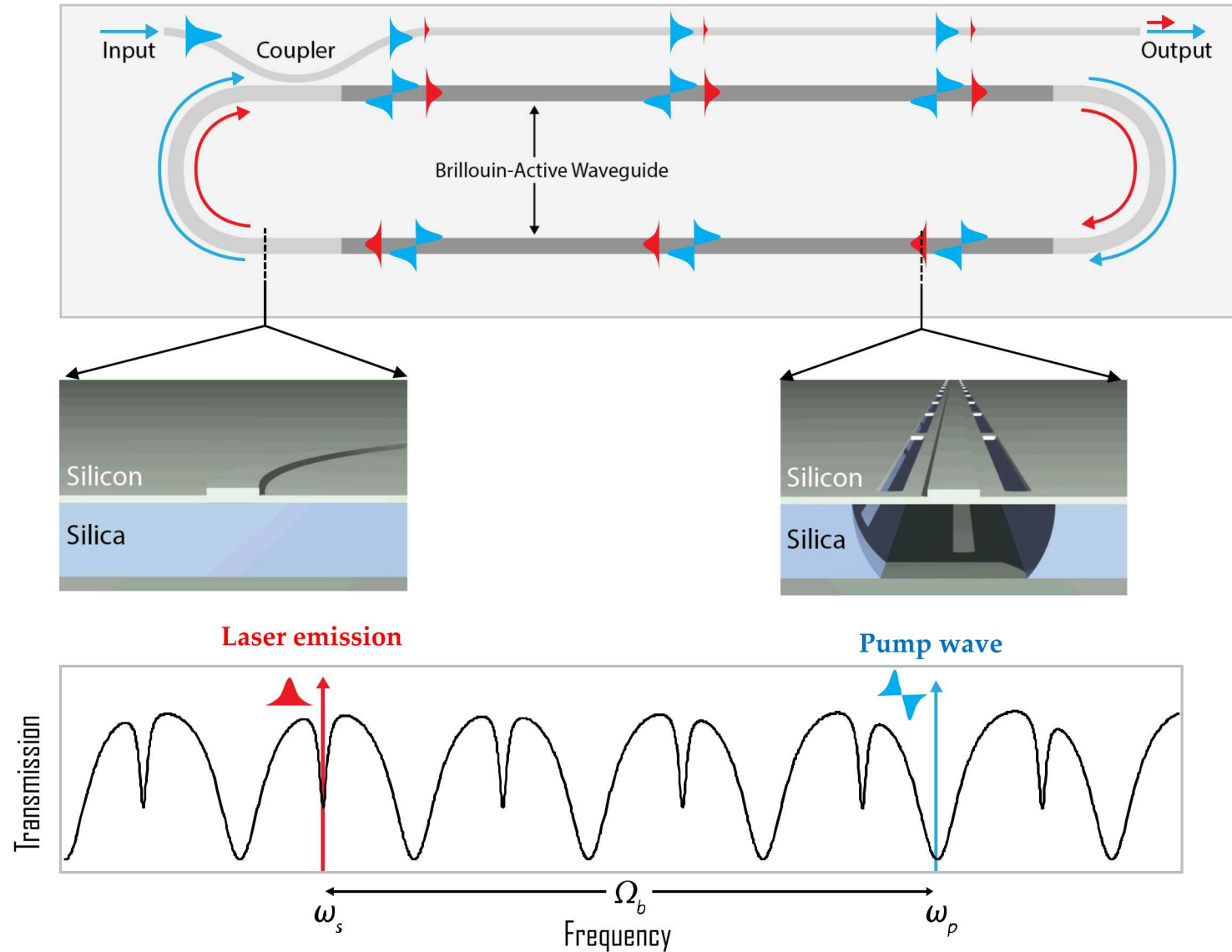


- 3.5 dB of single-sideband gain
- 2.3 dB of net amplification





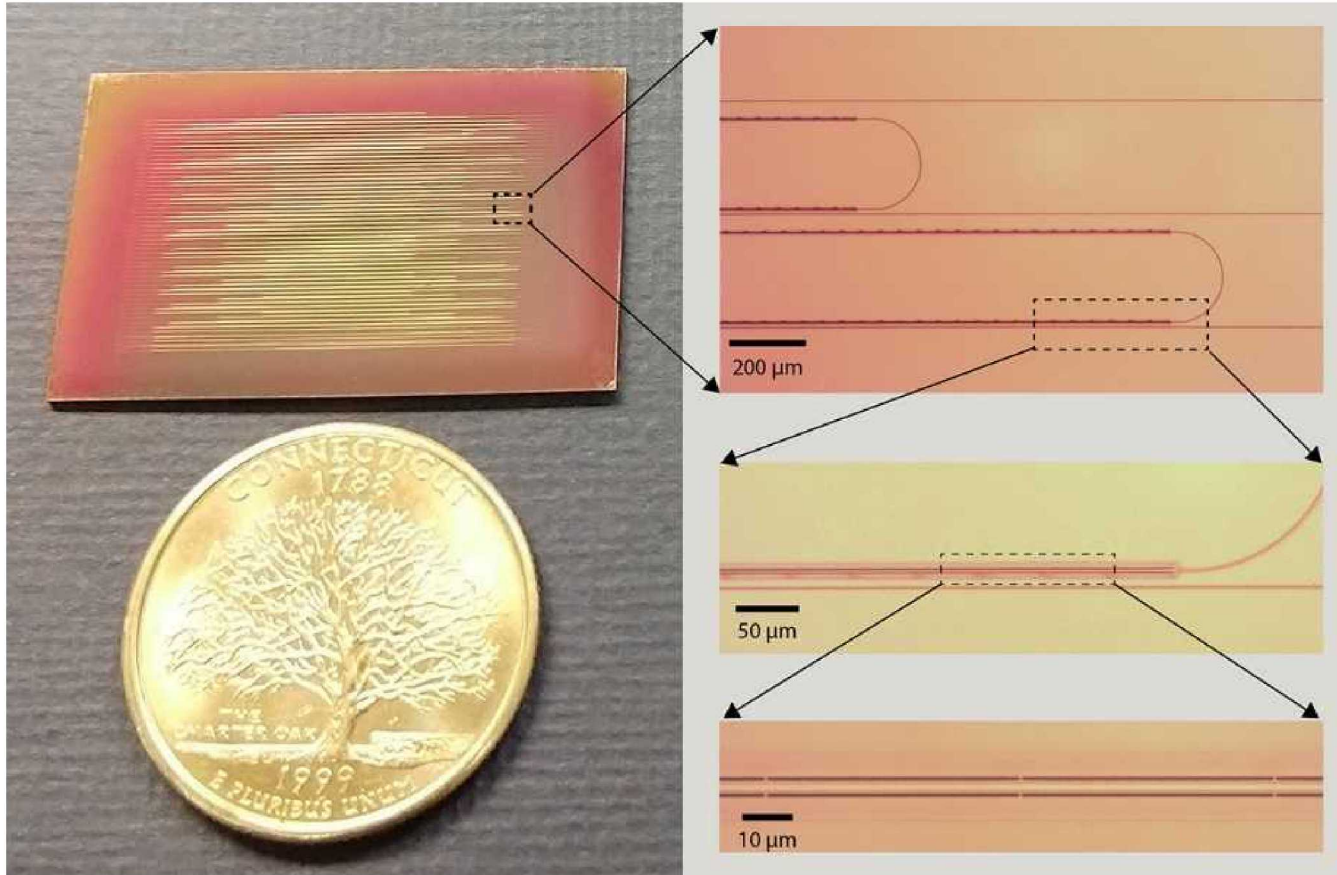
## 2. Silicon inter-modal Brillouin laser



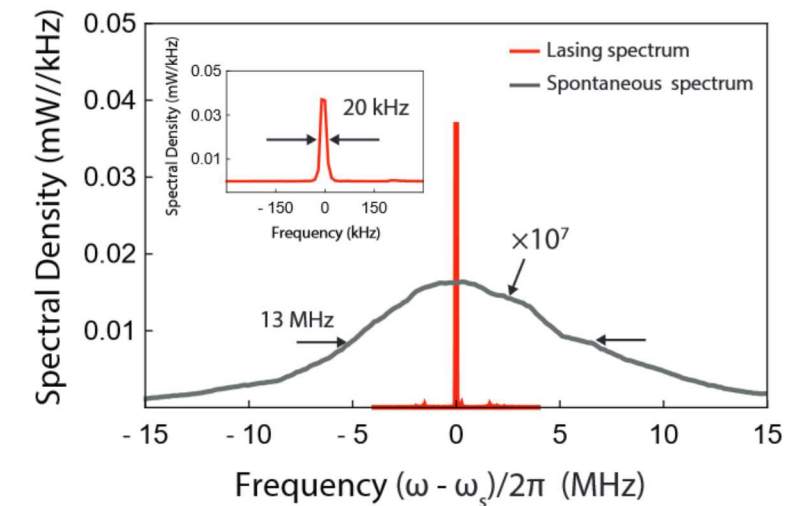
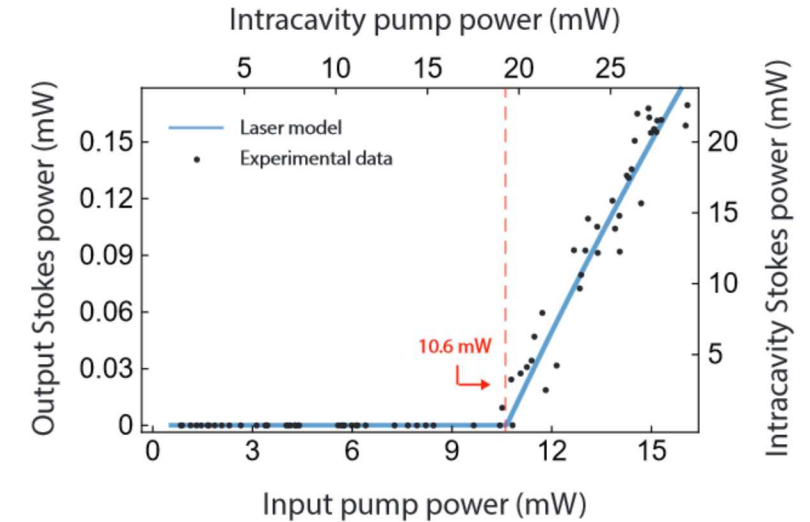
- High-Q multimode racetrack resonator
- Two distinct sets of resonances
- Brillouin-active segment suspended to support 6 GHz elastic wave
- Couple pump into antisymmetric mode satisfying the Brillouin condition
- Stokes can self-oscillate in a symmetric mode



# Observation of laser oscillation



How can we harness these laser dynamics for practical on-chip technologies?

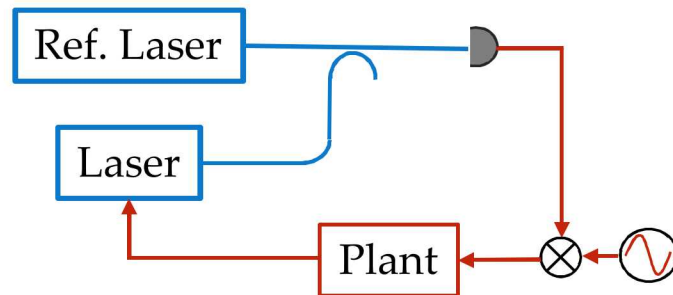


$\sim 10^3$  compression factor

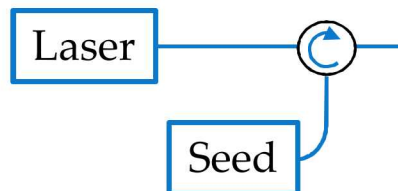
# Injection locking

**Goal:** precisely *control* frequency/phase of a laser

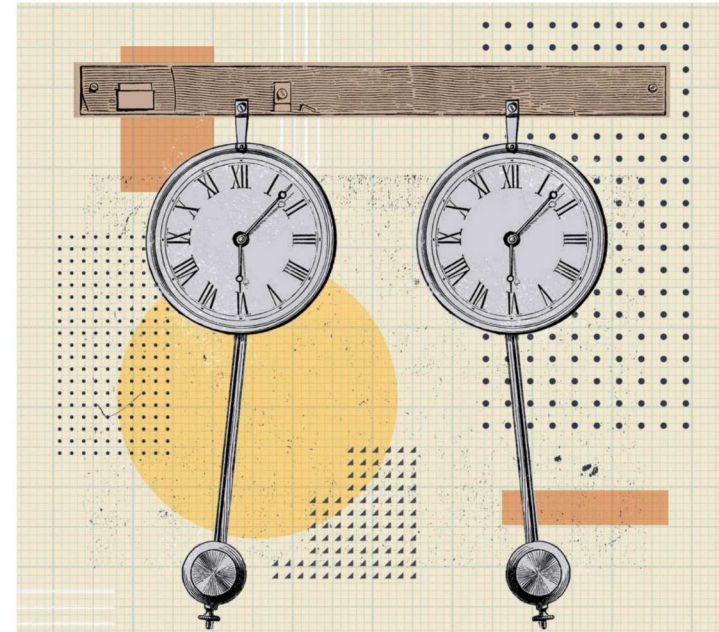
**Option 1:** Use active, external feedback such as an phase-locked loop



**Option 2:** Injection lock the laser through nonlinear synchronization



What is synchronization?



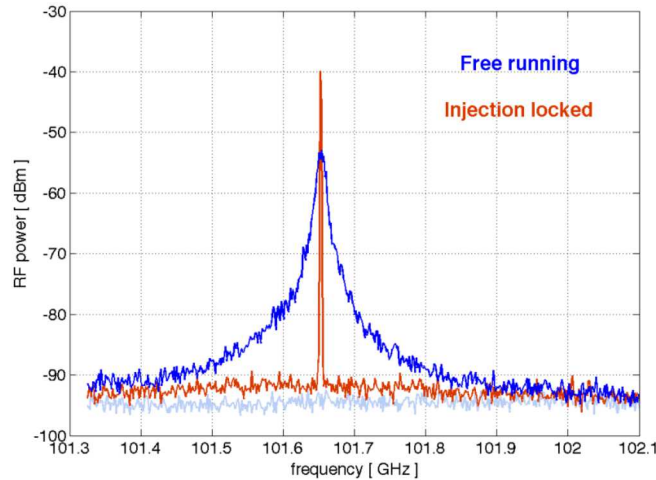
physicsworld.com/a/the-secret-of-the-synchronized-pendulums/

**Synchronization requires**

- Self-sustained oscillation
- Nonlinearity

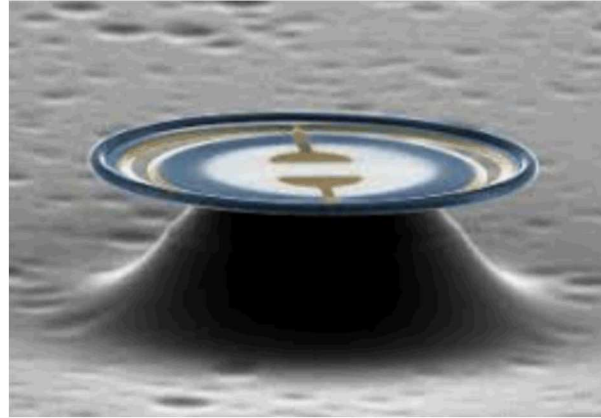
# Injection locking

## Semiconductor lasers



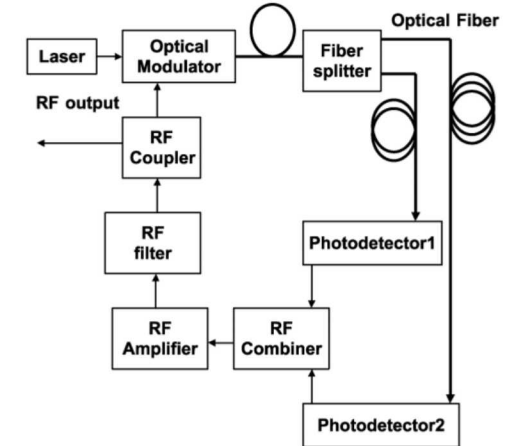
Balakier, Katarzyna, et al. *Opt. Express* (2014)

## Electro-optomechanical devices



Bekker, Christiaan, et al. *Optica* (2017)

## Opto-electronic oscillators



Zhou, Weimin, and Gregory Blasche. *IEEE Trans. Microw. Theory Tech.* (2005)

## Injection locking benefits:

- Simple approach that requires no detector, complex locking electronics
- Favorable phase noise properties
- Uses: efficient, low-noise amplification, carrier recovery, microwave synthesis

Can we do this with a Brillouin laser?



# Injection-locked operation of Brillouin lasers?

## Conventional backward Brillouin lasers



Lee et al. *Nat. Photonics* (2012)

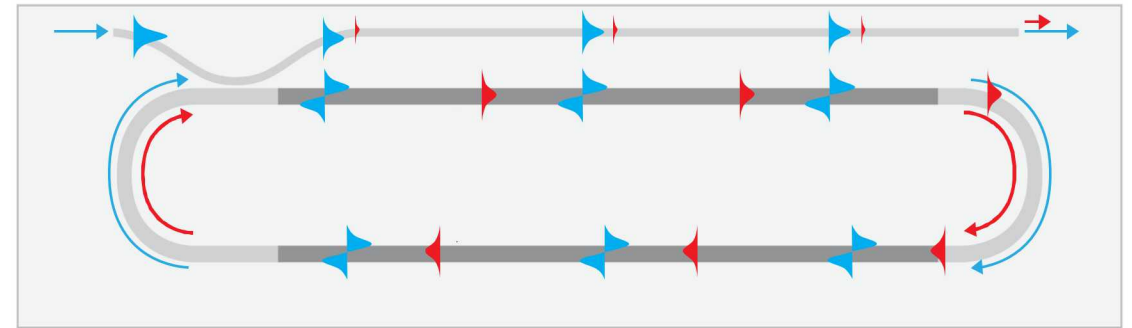
Attractive for  
**low-noise**  
properties

However, it has not been demonstrated in conventional Brillouin lasers....

### Challenges:

- Backward configuration requires circulators or isolators
- Time dynamics may not be suited to injection locking

## Inter-modal silicon Brillouin laser

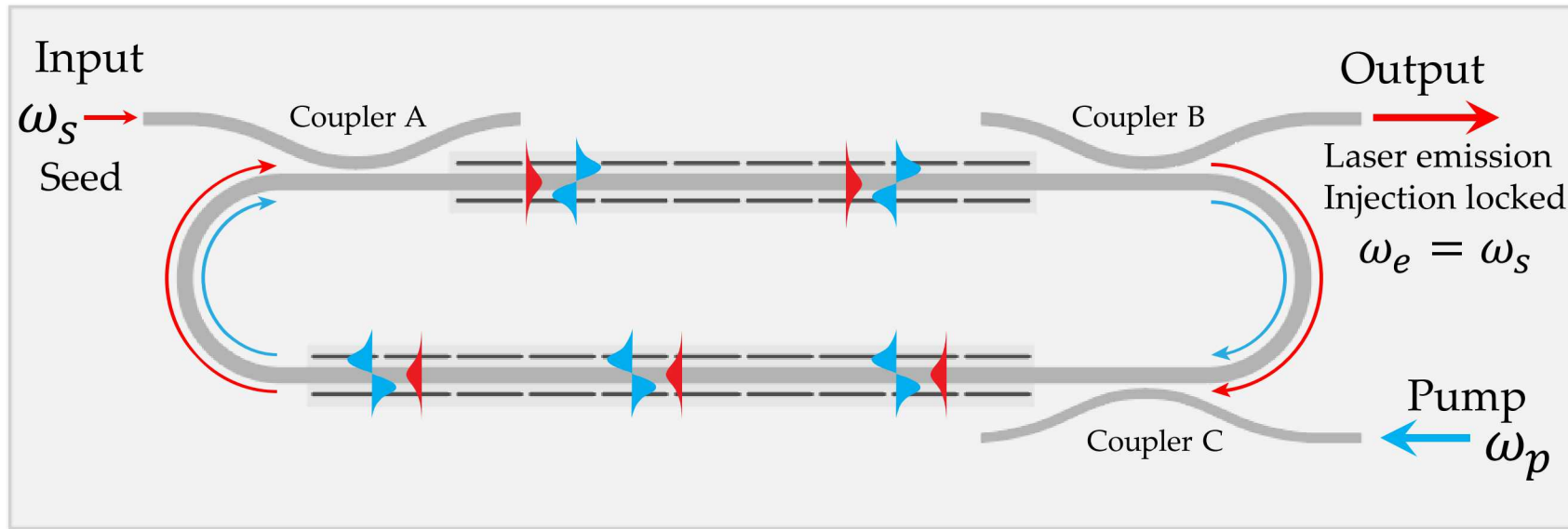


- New modal degrees of freedom
  - Independent control of pump and laser emission
  - No cascading
- Unique regime of spatio-temporal dynamics
  - Yields high degree of coherence between pump and laser emission
- Phase-matched forward gain mechanism
- CMOS-foundry compatible

**Silicon Brillouin lasers ideally suited to injection locking**

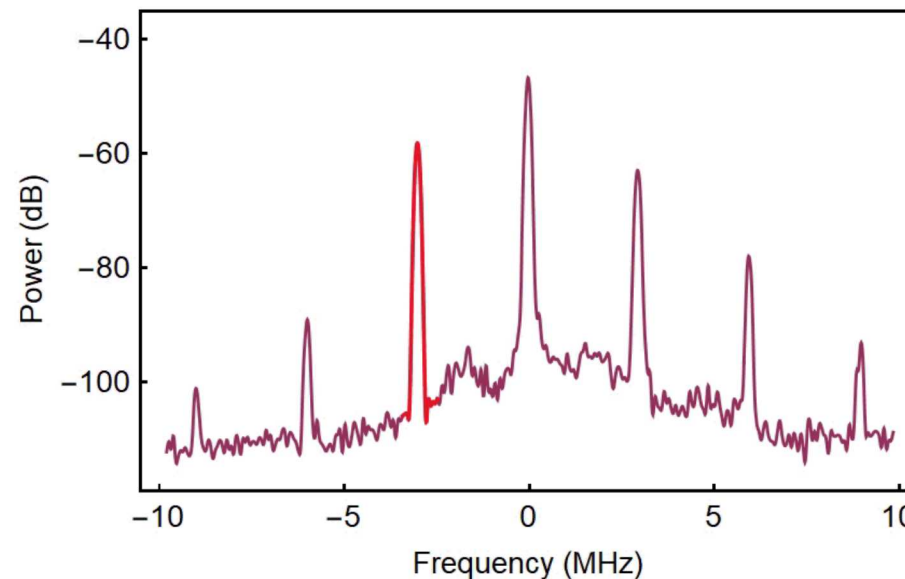
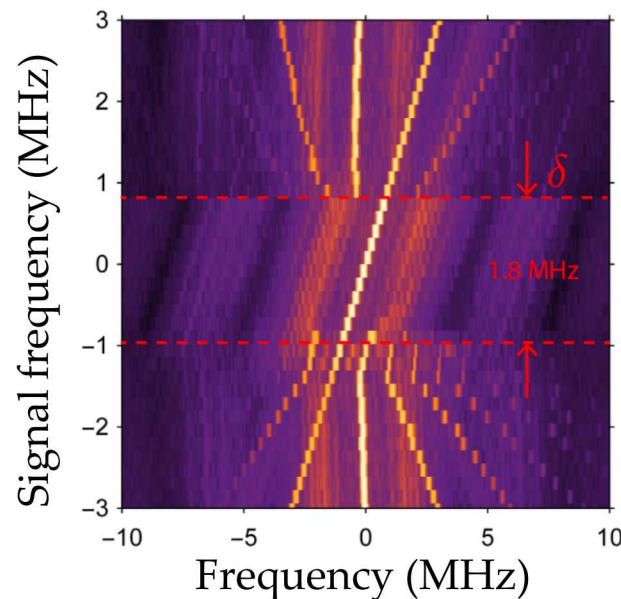


# Injection-locked Brillouin laser (Sandia collaboration)



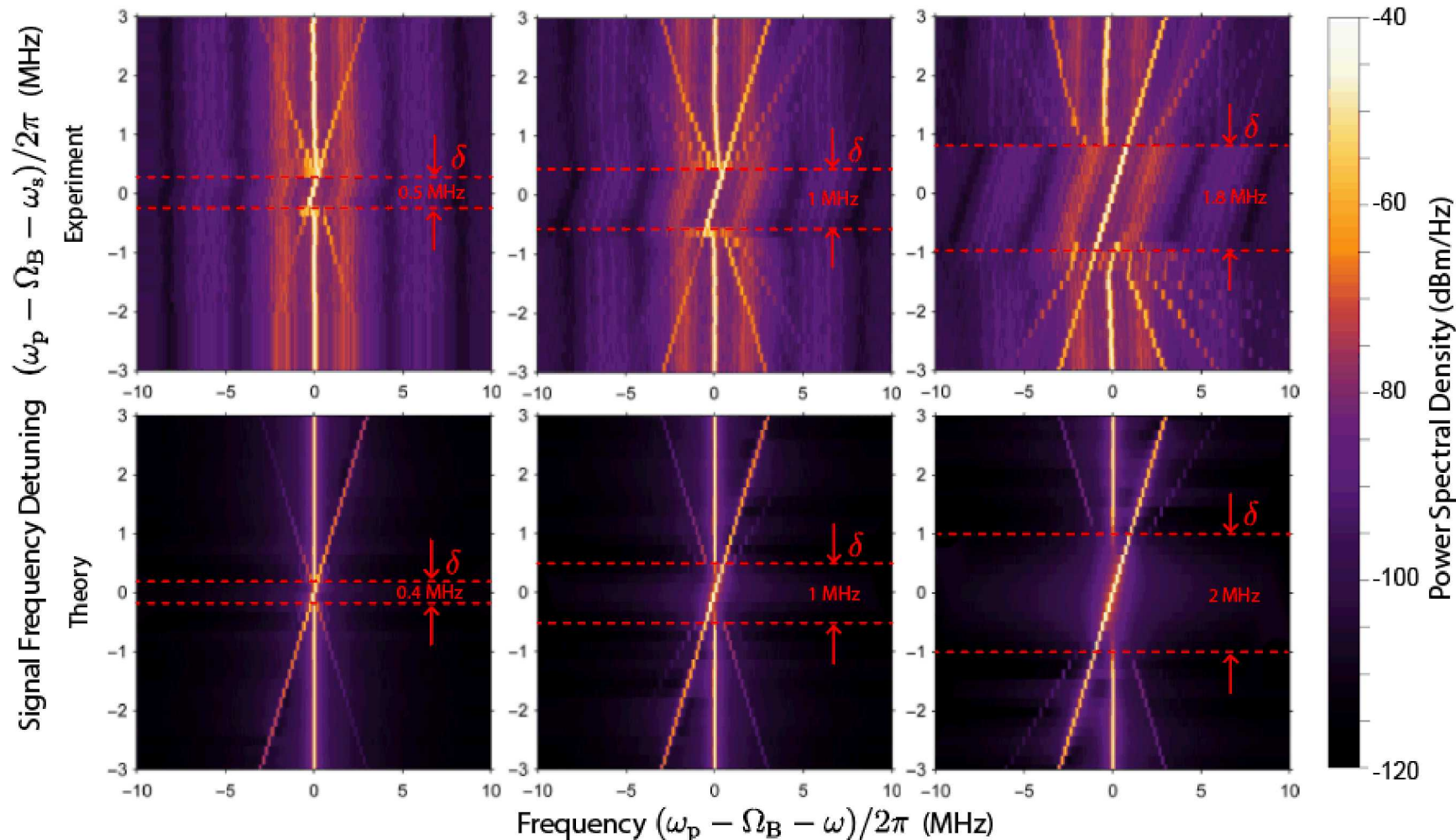
## Characterizing lock range

- Inject pump wave into the antisymmetric mode that satisfies the Brillouin condition
- Once above threshold, inject a low-power seed into the symmetric spatial mode
- Sweep seed through the laser emission frequency to determine **lock range**.



What happens when we inject light in the opposite direction?

# Lock range and amplification



## Characterizing lock range

- Lock range expands as the seed power is increased
- Crescendo of phonon-mediated FWM outside lock range
- Stochastic simulations show good agreement

Up to 23 dB of **effective net amplification!**

What about the noise properties of the system?

# Phase noise reduction properties

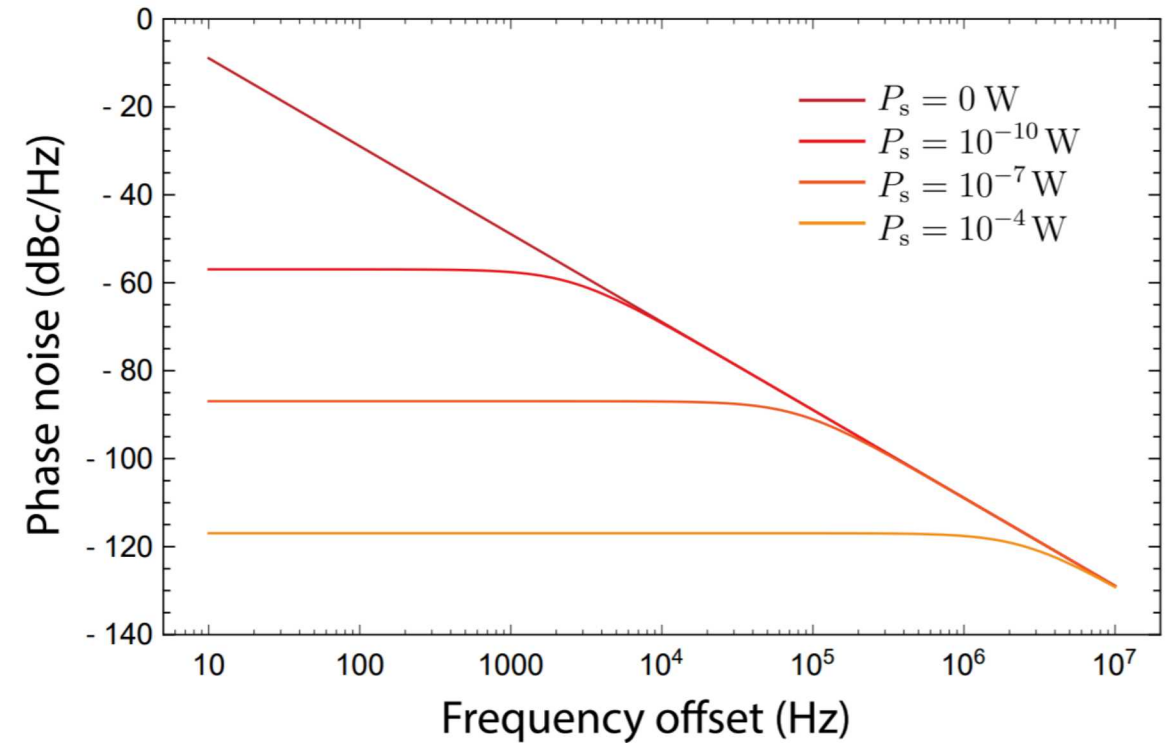
Driven silicon Brillouin laser dynamics:

Separate into  
amplitude  $\delta\beta(t)$   
and phase  $\phi(t)$   
fluctuations

Thermal phonons

$$\mathcal{L}(f) = \frac{\Gamma(2n_{\text{th}} + 1)}{4\beta^2} \frac{1}{(\pi\delta)^2 + (2\pi f)^2}$$

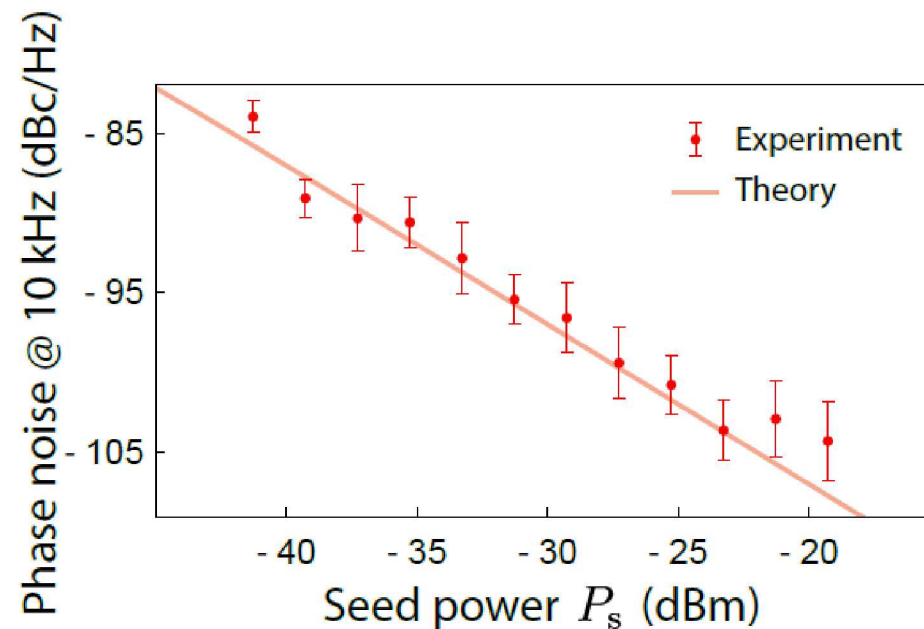
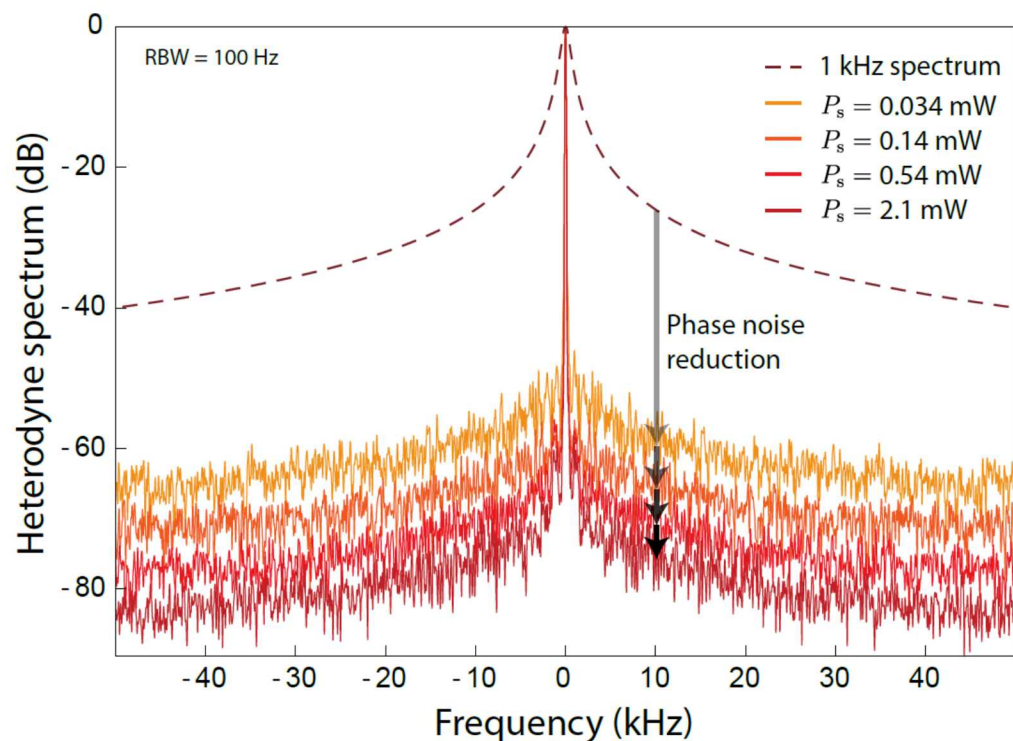
Coherent number      Lock range      Offset freq.



Injection of a coherent seed should dramatically reduce the phase-noise beyond Schawlow-Townes limit!



# Phase noise reduction properties



Thermal phonons

↓

$$\mathcal{L}(f) = \frac{\Gamma(2n_{th} + 1)}{4\beta^2} \frac{1}{(\pi\delta)^2 + (2\pi f)^2}$$

Coherent number      Lock range      Offset freq.

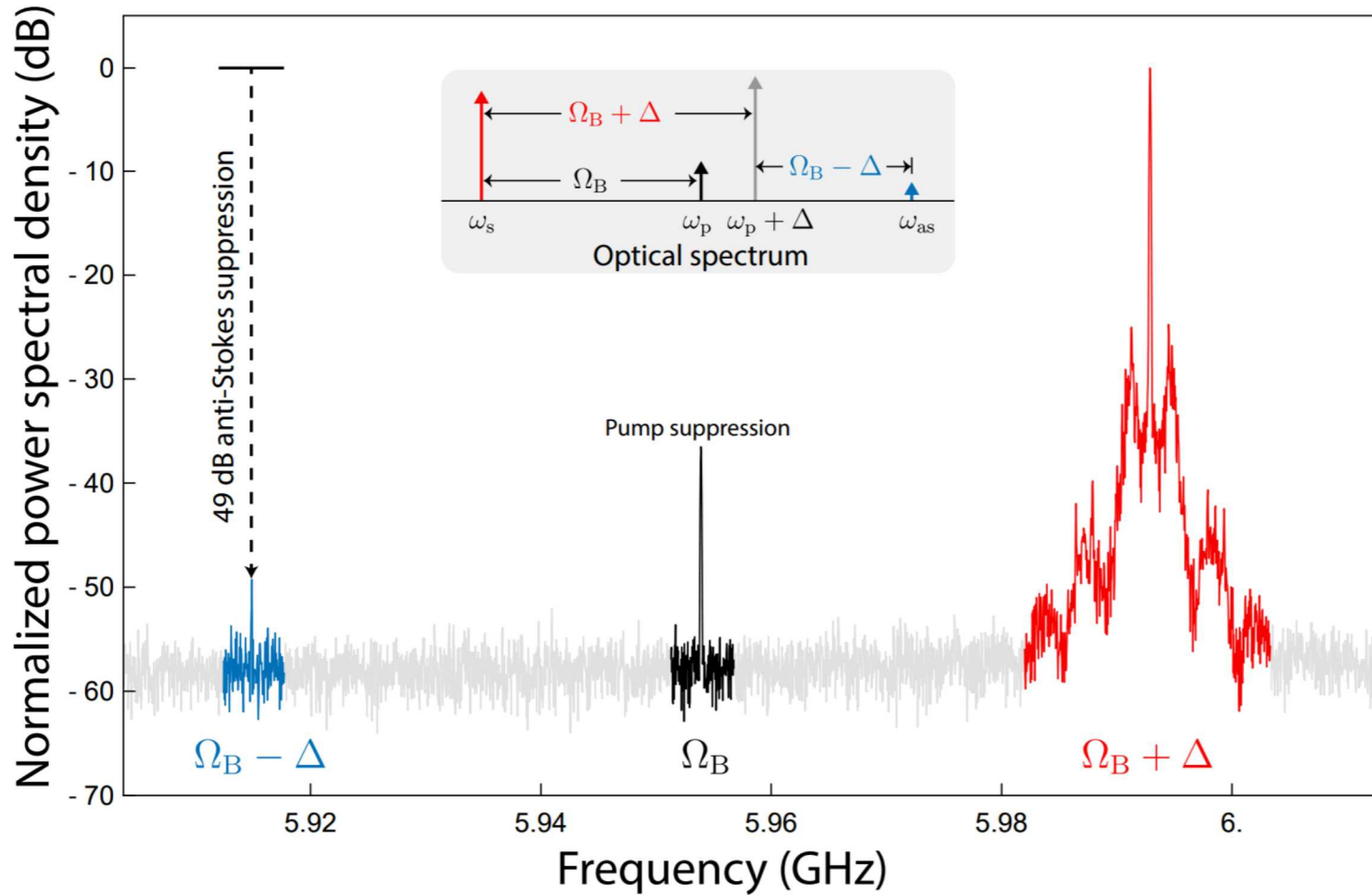
The equation shows the phase noise spectral density  $\mathcal{L}(f)$  as a function of frequency  $f$ . The parameters are labeled:  $n_{th}$  is the coherent number (indicated by a green arrow),  $\beta$  is the lock range (indicated by a blue arrow), and  $\delta$  is the offset frequency (indicated by a blue arrow). The thermal phonons (indicated by a red arrow) are related to  $n_{th}$ .

- ~70 dB of phase noise reduction @ 10 kHz
- <-100 dBc/Hz @10 kHz

Improve phase noise by nearly 7 orders of magnitude at low frequencies!



# Single sideband characteristics and pump suppression



- 49 dB of anti-Stokes suppression
- Nearly 50 dB of pump suppression

## Highly single-sideband process

- Thanks to phase-matching and mode-selective couplers

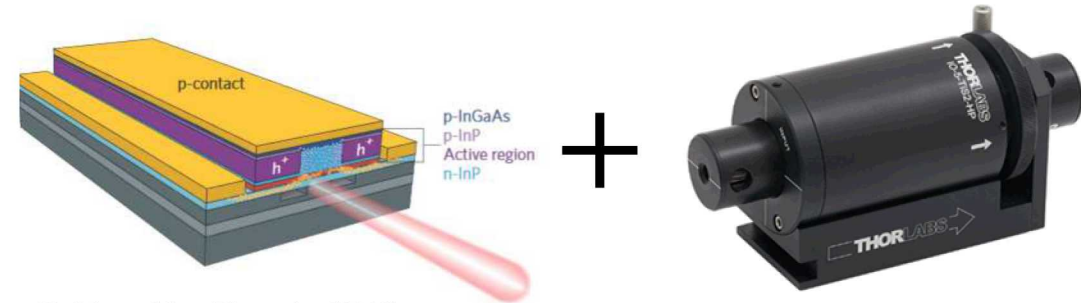
Opens the door to simple, single-sideband modulator technologies in silicon

# Back-scatter immunity and non-reciprocity

**Challenge:** Susceptibility to feedback disrupts laser performance => need isolators

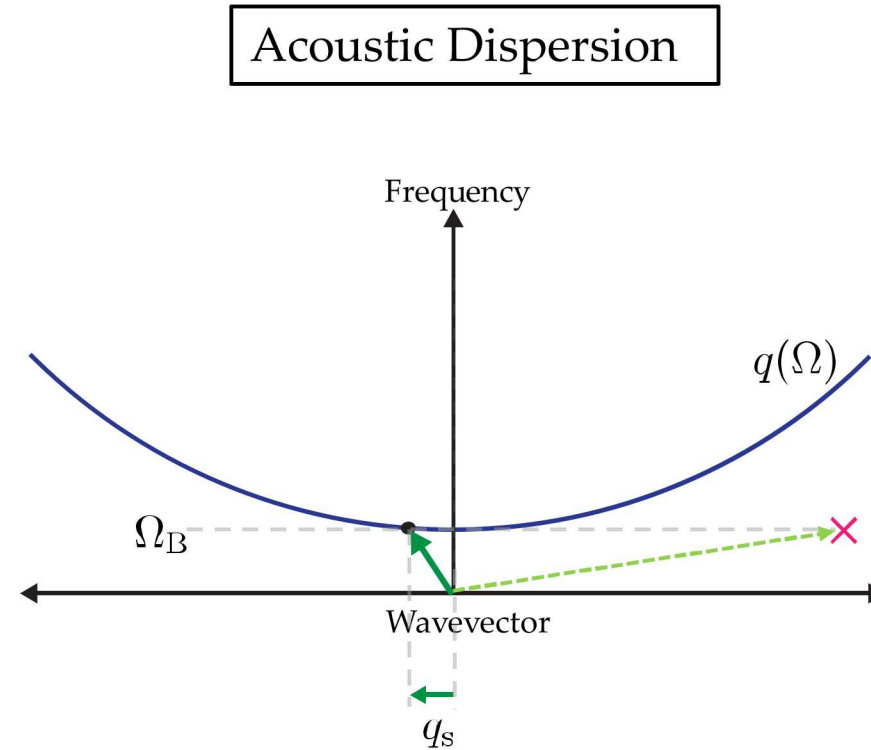
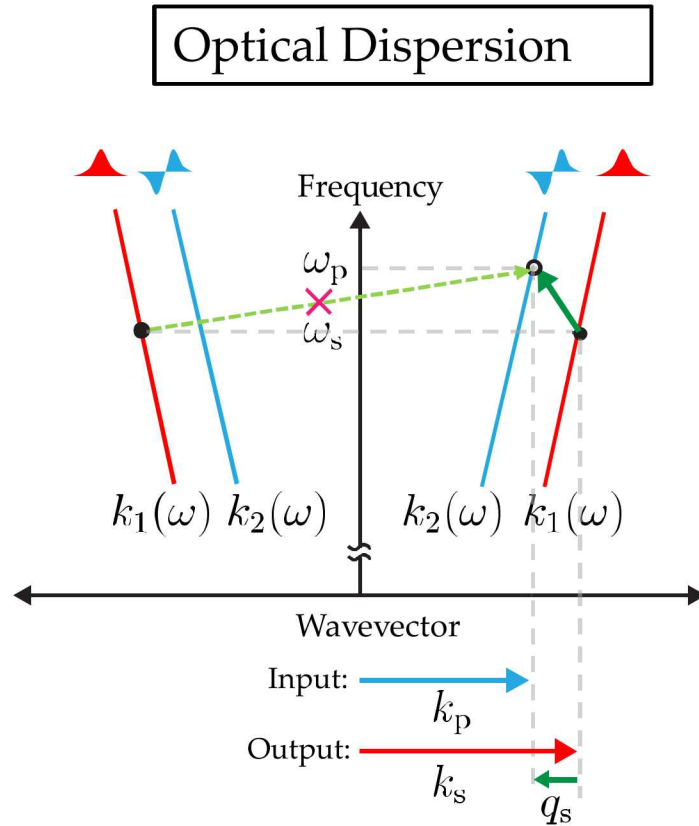
**Conventional strategy:** assumes laser and isolator *are distinct*.

**Our new approach:** Create a laser that *is itself* a non-reciprocal element



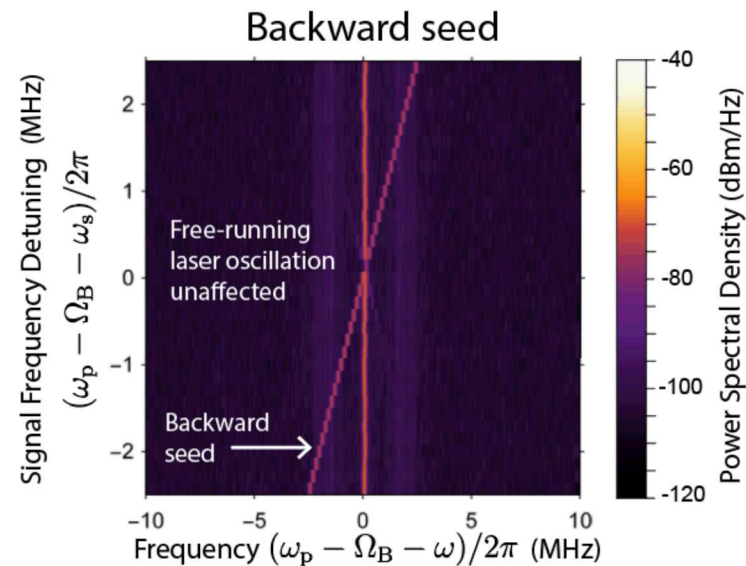
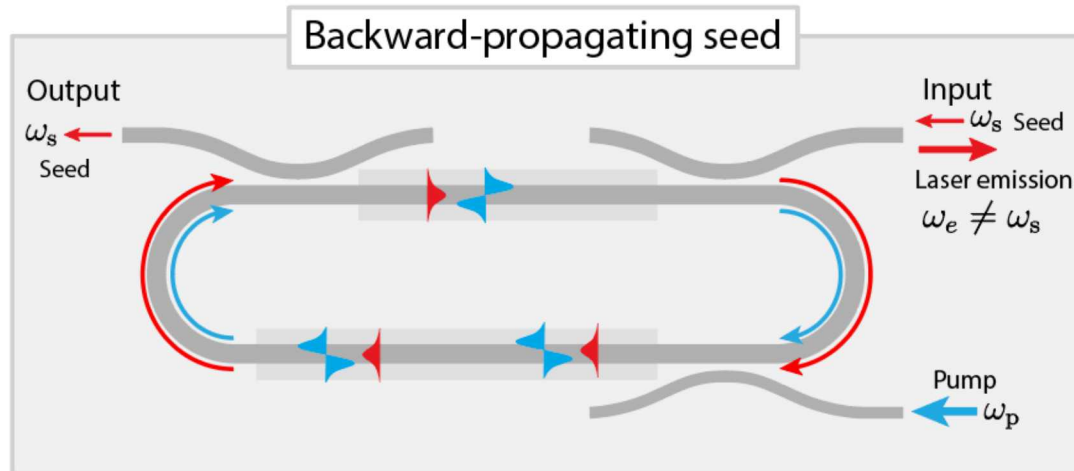
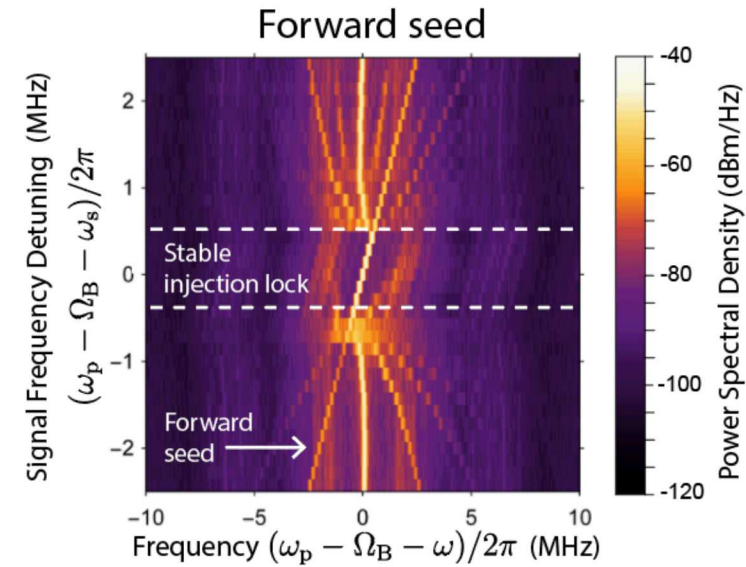
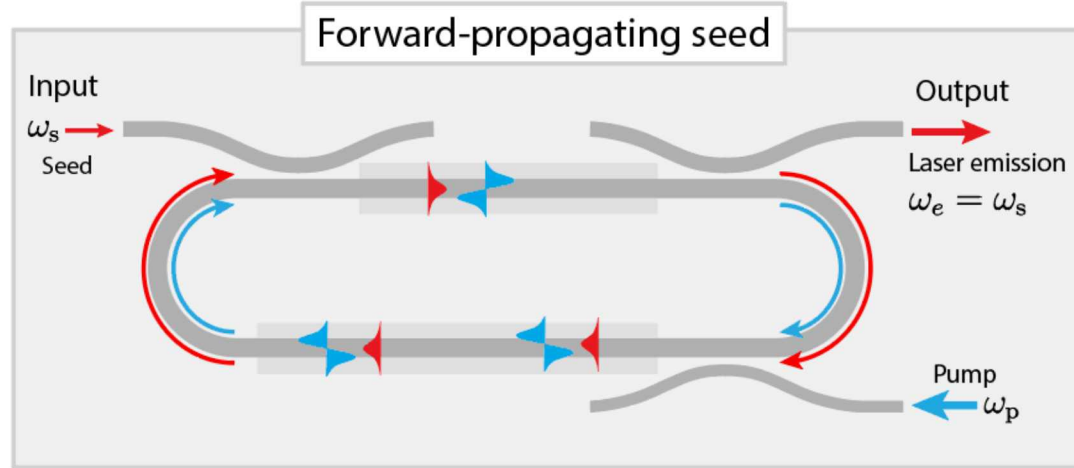
D. Liang *Nat. Photonics* (2010)

# Unidirection gain mechanism



Phonon **does not phase-match** to Brillouin in the **backward** direction! => **Unidirectional gain**

# Back-scatter immunity and non-reciprocity



## Nonreciprocity

- Forward propagating seed injection locks the laser
- Unwanted backward propagating interferer leaves the laser unaffected
- Nonreciprocal control and back-scatter immunity.



# Conclusions

1. Engineer phase-matched nonlinearities to create a silicon Brillouin laser
2. Manipulate dynamics through injection locking to achieve a broad range of functionalities

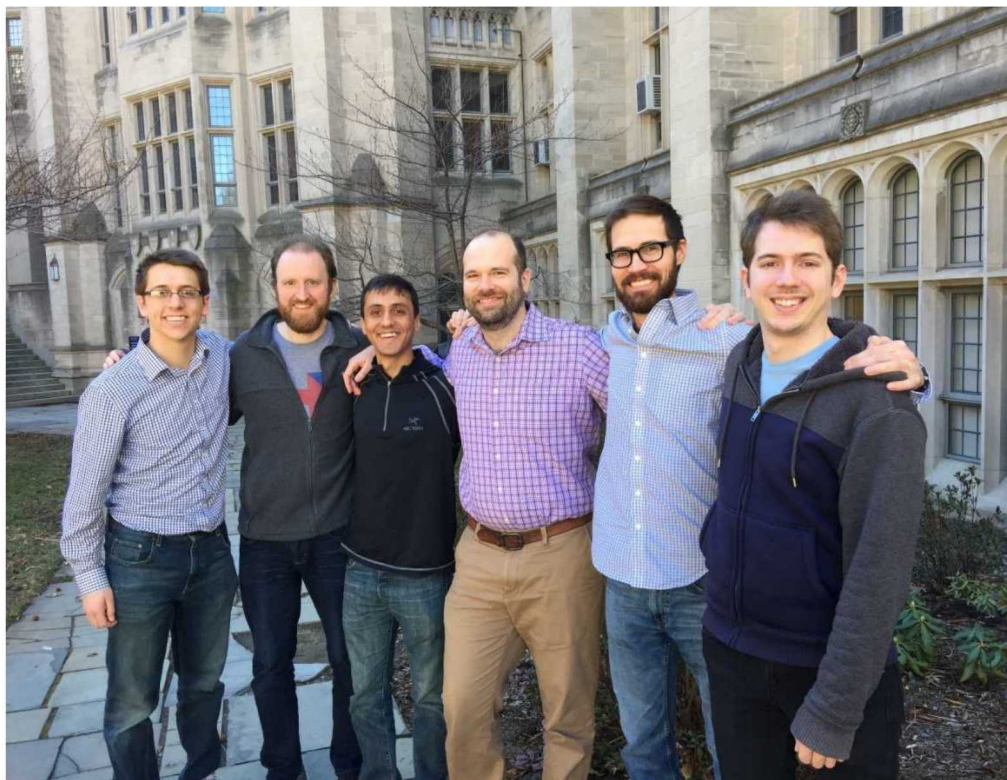


- Record high amplification (23 dB)
- Phase noise reduction (~70 dB)
- Single-sideband amplification
- Back-scatter immunity
  - New paradigm for non-reciprocal devices in silicon
- CMOS-foundry compatible

Otterstrom, Gertler, Zhou, Kittlaus, Behunin, Gehl, Starbuck, Dallo, Pomerene, Trotter, Lentine, and Rakich. "Back-scatter immune injection-locked Brillouin laser in silicon." arXiv preprint arXiv:2001.04871 (2020)

Powerful new devices physics for silicon-based amplifiers, low-noise lasers, and non-reciprocal devices

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Prof. William Renninger      Prof. Peter Rakich

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**Yale**



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**Graduate  
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Dr. David Mason  
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Michael Gehl (Sandia)  
Sandia MESA fabrication team  
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