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A Hybrid Quantum Optimization Algorithm Incorporating Classical Heuristics

Jaimie S. Stephens, William Bolden, and
Ojas Parekh



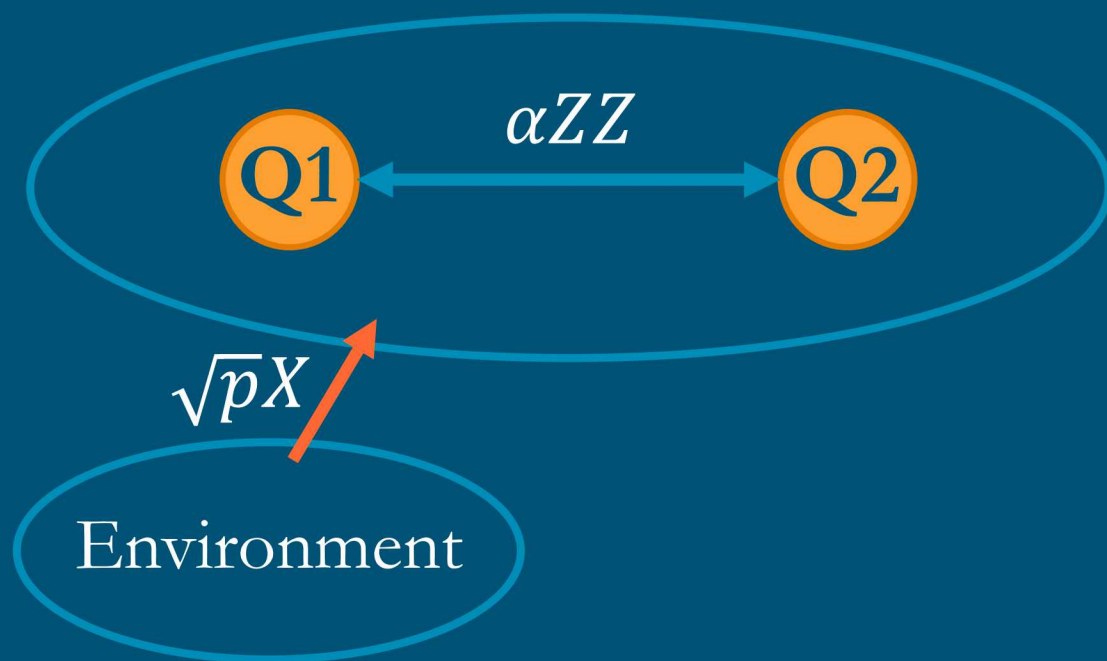
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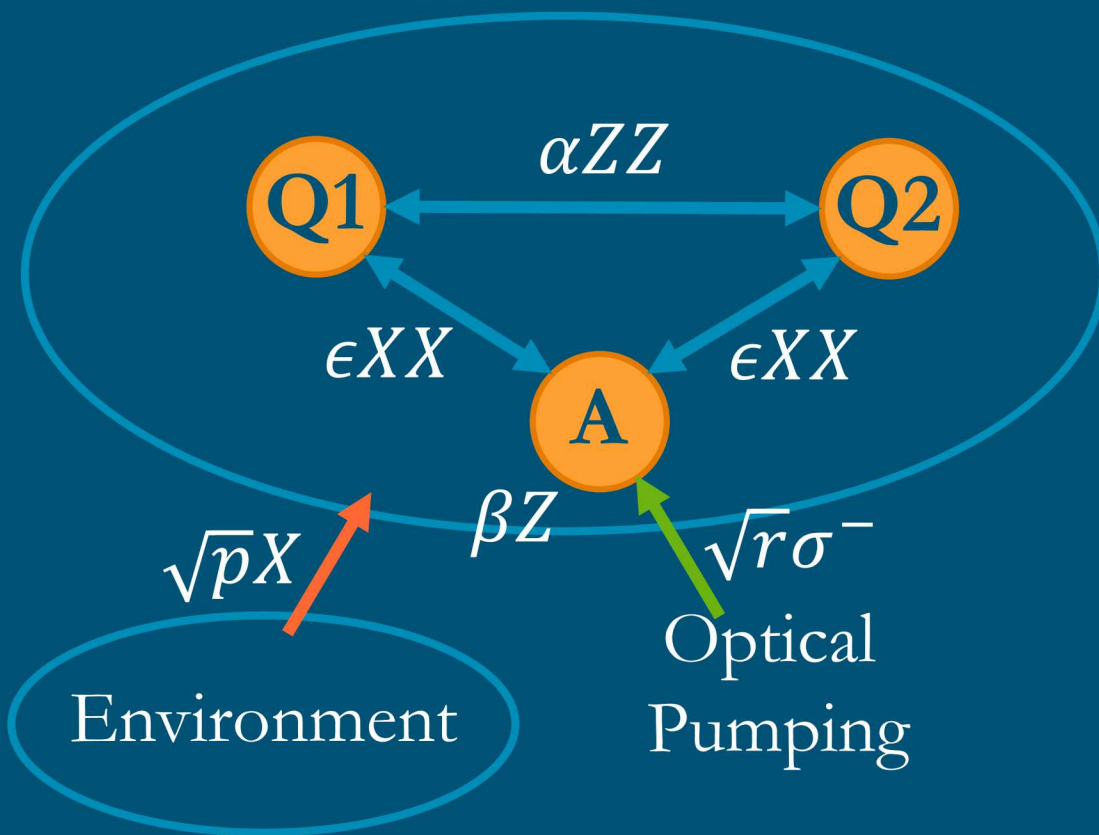
First an Appetizer: Logical Cooling

J.S.S., Robin Blume-Kohout, Kevin Young, Craig W. Hogle, and Susan Clark

- Analogue quantum simulations can teach us about fundamental quantum physics in regimes that are difficult to simulate classically such as quantum phase transitions near the critical point.
- Can't use fault-tolerant error correction due to continuous operations.
- Calculation length is limited by decoherence time, but error mitigation can lengthen the decoherence time.



- Find ground state of
$$H = \alpha Z_1 Z_2.$$
- Decoherence from environment
$$L_\mu \in \{\sqrt{p}X_1, \sqrt{p}X_2\}$$



- Evolve under:

$$H = \alpha Z_1 Z_2 + \beta Z_A + \epsilon(X_1 + X_2)X_A$$
- 1st term: Hamiltonian in question
- 2nd term: ancilla energy splitting
- 3rd term: transfer heat from system to the ancilla
- Decoherence:

$$L_\mu \in \{\sqrt{p}X_1, \sqrt{p}X_2, \sqrt{p}X_A\}$$
- Cooling the ancilla:

$$L_\mu \in \{\sqrt{r}\sigma_A^-\}$$

Master Equation



$$\frac{d\rho}{dt} = -i[(\alpha Z_1 Z_2 - \beta Z_A), \rho]$$

$$-i\epsilon[(X_1 + X_2)X_A, \rho]$$

$$-3p\rho + p(X_1\rho X_1 + X_2\rho X_2 + X_A\rho X_A)$$

$$-\frac{r}{4}(\sigma_A^- \sigma_A^+ \rho + \rho \sigma_A^- \sigma_A^+ + \sigma_A^- \rho \sigma_A^+)$$

Energy splittings

Transfer heat to ancilla

Decoherence

Optical pumping

Why Should Logical Cooling Work?



Interaction picture:

$$\begin{aligned}
 X_S X_A &\rightarrow (e^{i\alpha t} \sigma_S^+ + e^{-i\alpha t} \sigma_S^-) (e^{i\beta t} \sigma_A^+ + e^{-i\beta t} \sigma_A^-) \\
 &= e^{it(\alpha+\beta)} \sigma_S^+ \sigma_A^+ + e^{it(\alpha-\beta)} \sigma_S^+ \sigma_A^- + e^{-it(\alpha-\beta)} \sigma_S^- \sigma_A^+ + e^{-it(\alpha+\beta)} \sigma_S^- \sigma_A^- \\
 &= e^{it2\alpha} \sigma_S^+ \sigma_A^+ + \underbrace{\sigma_S^+ \sigma_A^- + \sigma_S^- \sigma_A^+}_{\text{Dominating term swaps ancilla and system qubit}} + e^{-it2\alpha} \sigma_S^- \sigma_A^-
 \end{aligned}$$

$\alpha = \beta$

Dominating term swaps
ancilla and system qubit

Rapidly rotating terms
approximate to nothing



Now the Main Course: Hybrid QAOA Incorporating Classical Heuristics

J.S.S., William Bolden, and Ojas Parekh

Motivation



- QAOA can approximate the solution to NP-Hard problems.
- Can QAOA yield a better **approximation ratio** than classical algorithms with equal time complexities?
- QAOA circuits are low in depth. \rightarrow QAOA could be a good NISQ application.
- We know that QAOA even for $p = 1$ prepares a state that is classically hard to sample from [1].

$$\Gamma \equiv \frac{C(x^*)}{\min_x C(x)}, \text{ or } \Gamma \equiv \frac{C(x^*)}{\max_x C(x)}$$

If the objective function $C(x)$ is being **minimized**, then $\Gamma \geq 1$.

If the objective function $C(x)$ is being **maximized**, then $\Gamma \leq 1$.



The Quantum Approximate Optimization Algorithm (QAOA)

[1] E. Farhi, *et. al.* (2014)

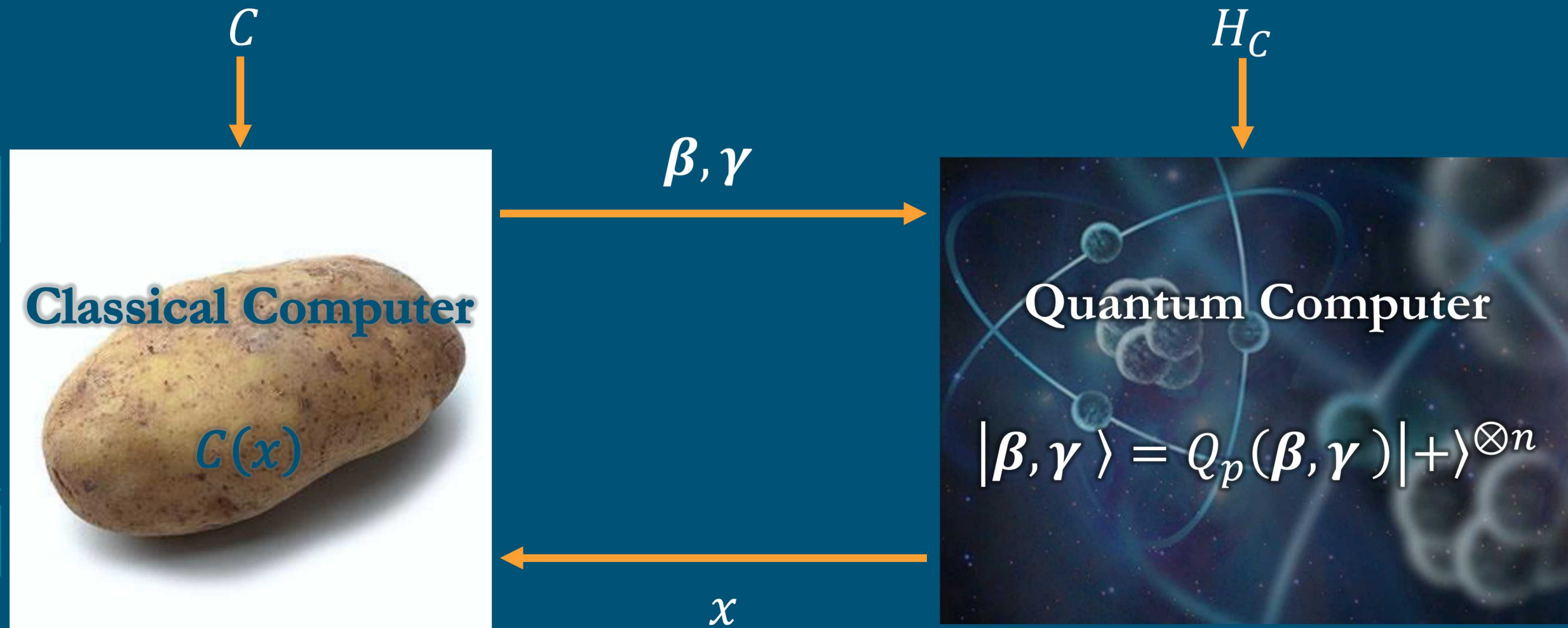
- Given $C: \{0, 1\}^n \rightarrow \mathbb{R}$, find $x \in \{0, 1\}^n$ s.t. $C(x)$ is a minimum.
- We can represent $C(x)$ as an objective Hamiltonian,
$$H_C |x\rangle = C(x) |x\rangle.$$
- Let the mixing Hamiltonian be

$$H_M = \sum_{j=1}^n X_j .$$

Let $\boldsymbol{\beta} = (\beta_1, \beta_2, \dots, \beta_p)$ and $\boldsymbol{\gamma} = (\gamma_1, \gamma_2, \dots, \gamma_p)$ be free parameters.
Then, the Q_p operator is defined as

$$Q_p(\boldsymbol{\beta}, \boldsymbol{\gamma}) \equiv \prod_{k=1}^p e^{-i\beta_k H_M} e^{-i\gamma_k H_C} .$$

QAOA is a Variational Algorithm



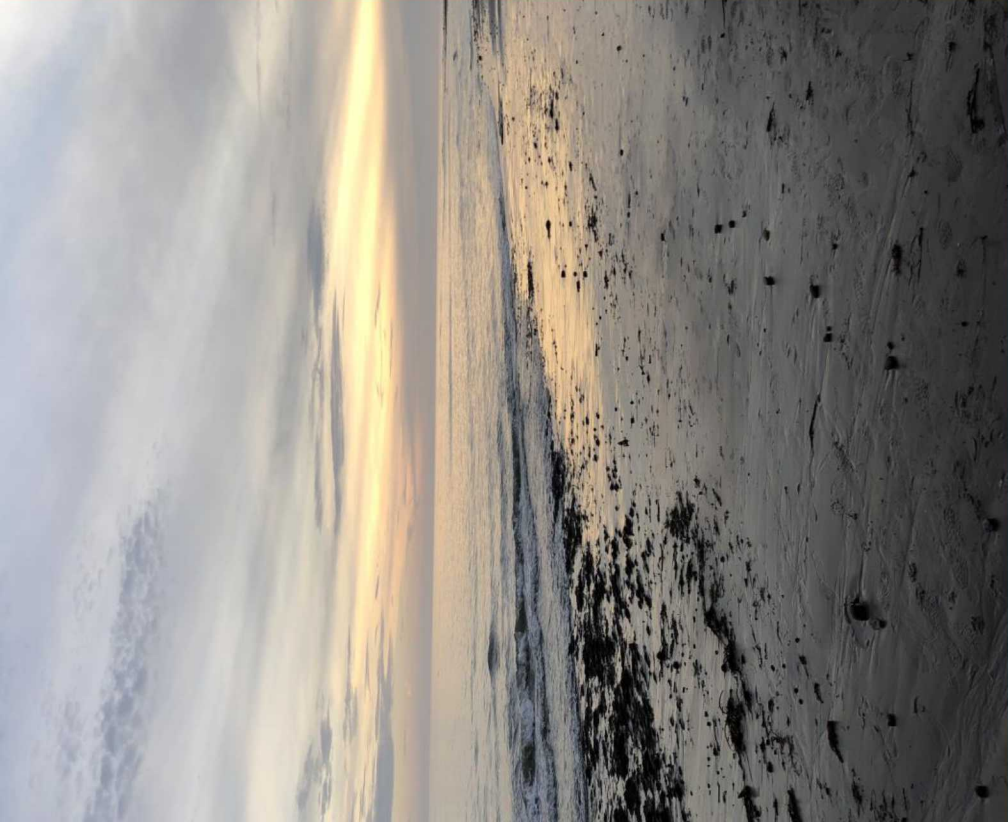
- Find good $\boldsymbol{\beta}$ and $\boldsymbol{\gamma}$ for some number of iterations p such that the expectation value of the problem Hamiltonian is close to the minimum.
- i.e. The approximation ratio is close to 1.

$$\Gamma = \frac{\min_{\boldsymbol{\beta}, \boldsymbol{\gamma}} \langle \boldsymbol{\beta}, \boldsymbol{\gamma} | H_C | \boldsymbol{\beta}, \boldsymbol{\gamma} \rangle}{\min_x C(x)}$$

Does The QAOA Work?



- From Trotterization, it follows that we obtain the optimal solution when $p \rightarrow \infty$. But, we want to run on NISQ devices. \Rightarrow Circuit depth $\propto p$ should be small.
- Historically, it is a pattern to show the QAOA does better on a problem only for a better classical algorithm to be discovered [1], [2], [3], and [4].
 - **We look at a way to improve QAOA's performance.**

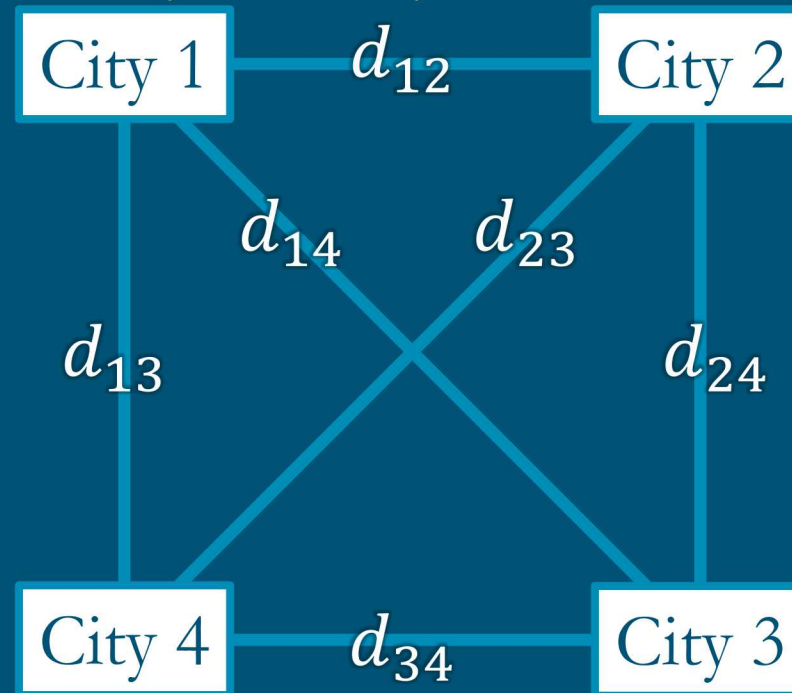


New: QAOA with Classical Heuristics

Example Classical Heuristic: Local Search



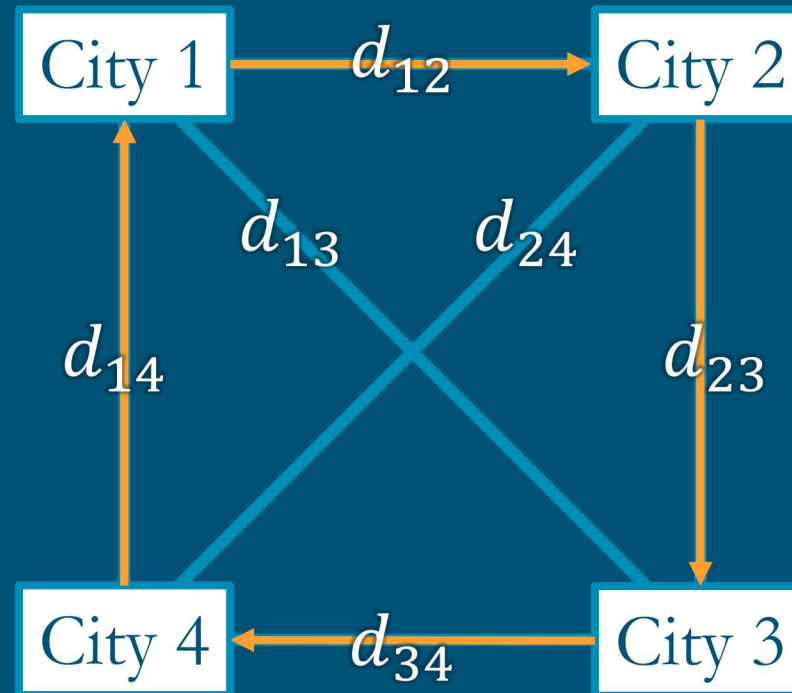
- In general, local search makes small changes to the input solution to see if the objective can be improved.
- Traveling Sales Person (TSP) example: Find the shortest distance one can travel to visit each city exactly once.



Example Classical Heuristic: Local Search



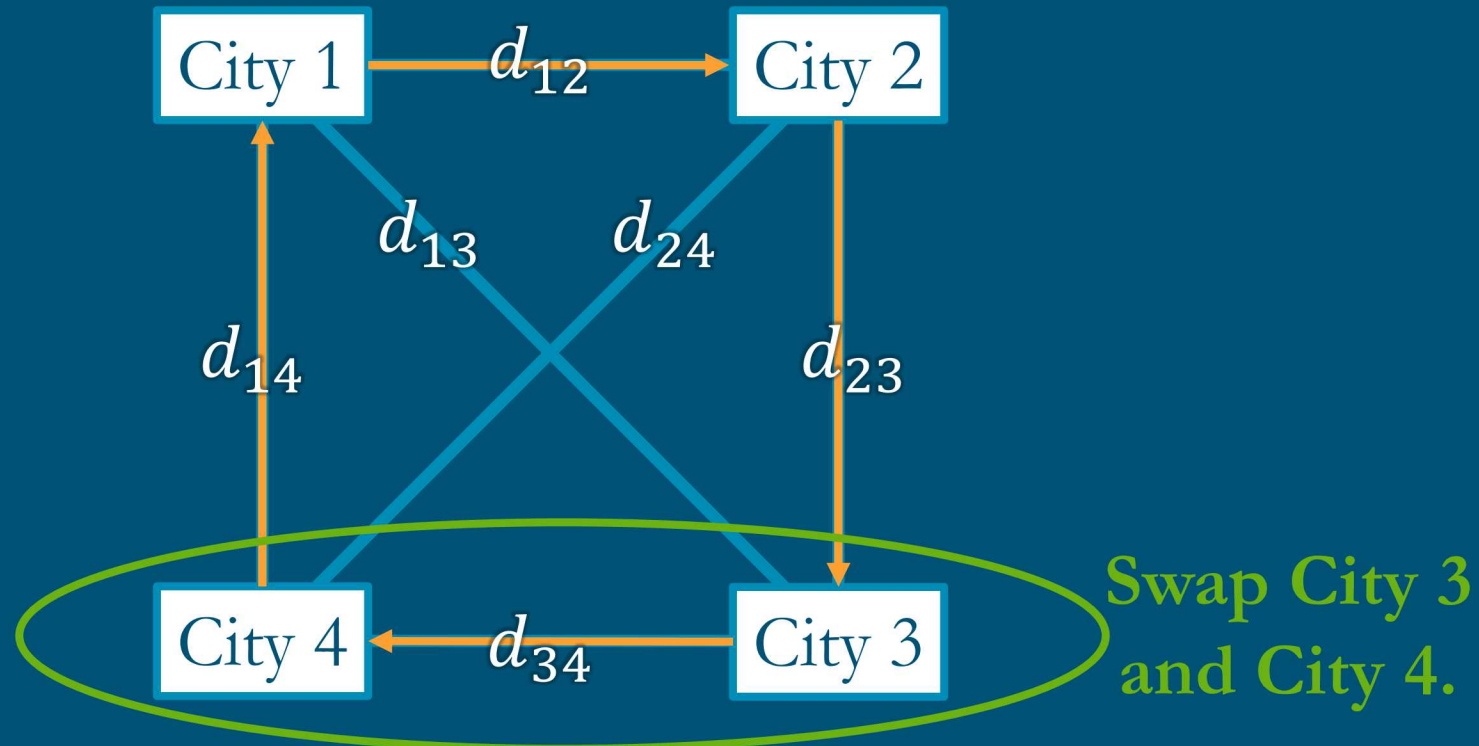
- In general, local search makes small changes to the input solution to see if the objective can be improved.
- Traveling Sales Person (TSP) example: $D = d_{12} + d_{23} + d_{34} + d_{14}$



Example Classical Heuristic: Local Search



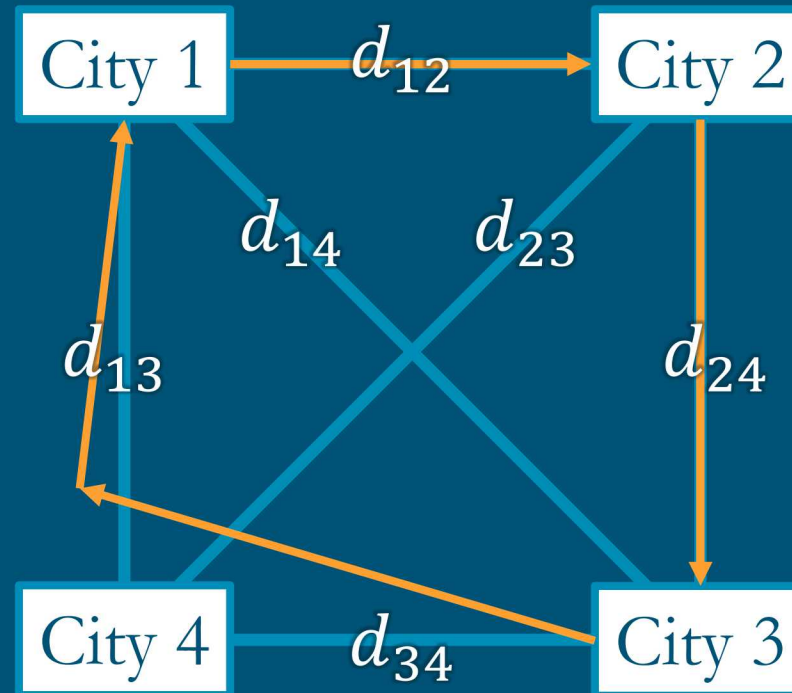
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Example Classical Heuristic: Local Search



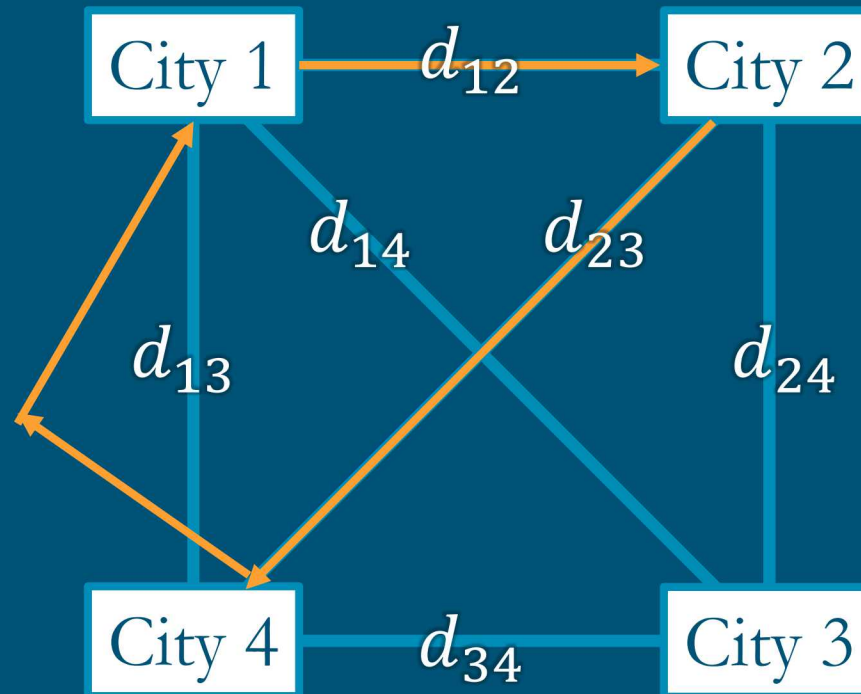
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Example Classical Heuristic: Local Search



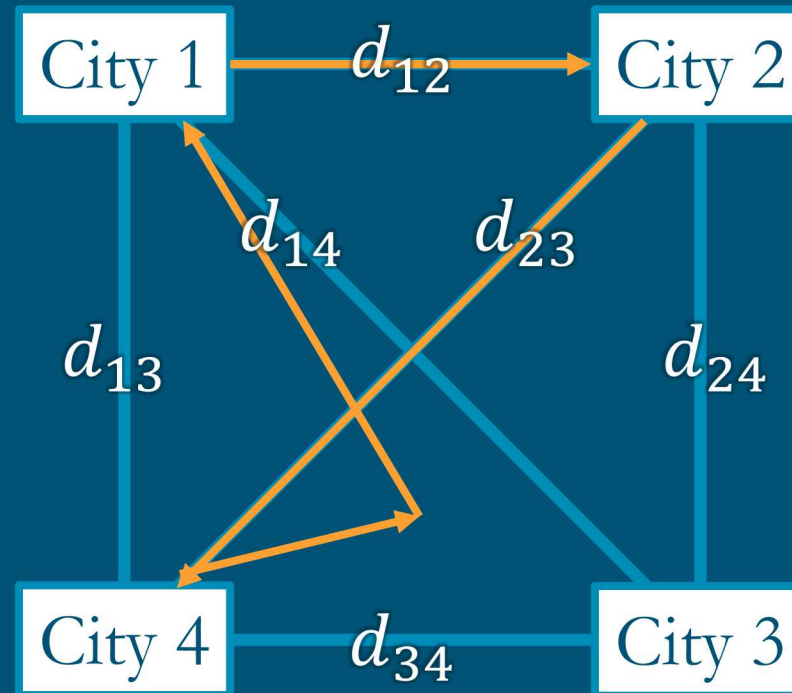
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- Traveling Sales Person (TSP) example:



Example Classical Heuristic: Local Search



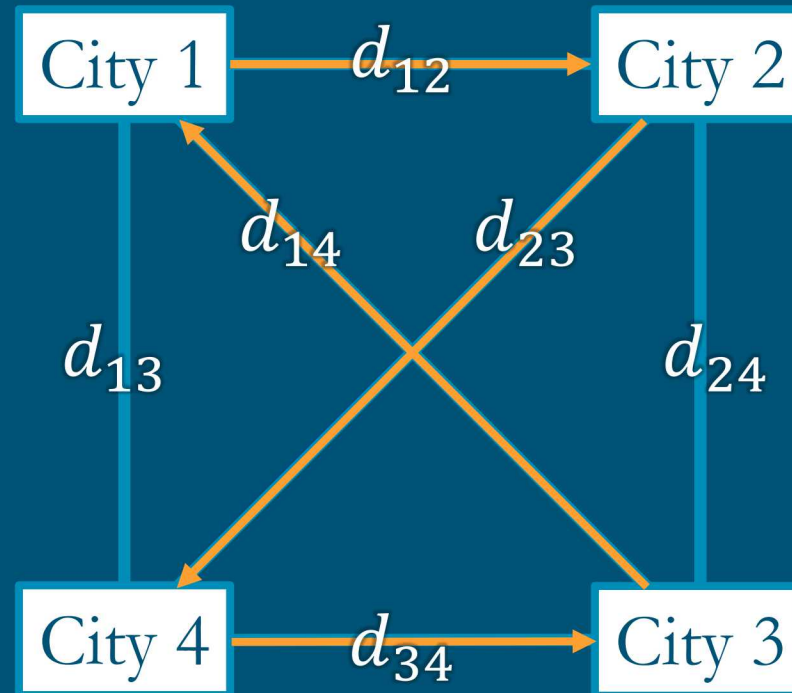
- In general, local search makes small changes to the input solution to see if the objective can be improved.
- Traveling Sales Person (TSP) example:



Example Classical Heuristic: Local Search



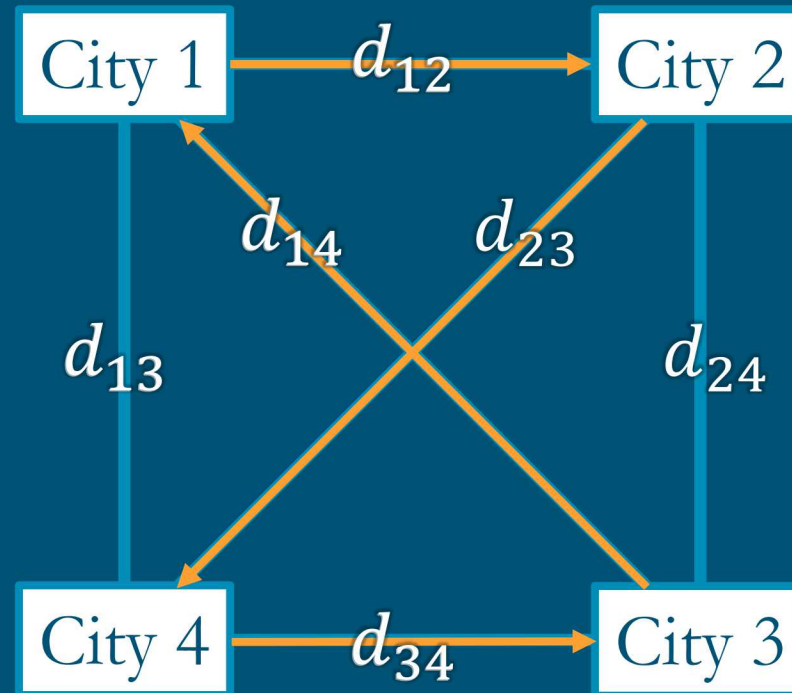
- In general, local search makes small changes to the input solution to see if the objective can be improved.
- Traveling Sales Person (TSP) example: $D_2 = d_{12} + d_{23} + d_{34} + d_{14}$



Example Classical Heuristic: Local Search



- In general, local search makes small changes to the input solution to see if the objective can be improved.
- Traveling Sales Person (TSP) example: If $D_2 < D_1$, change solution.



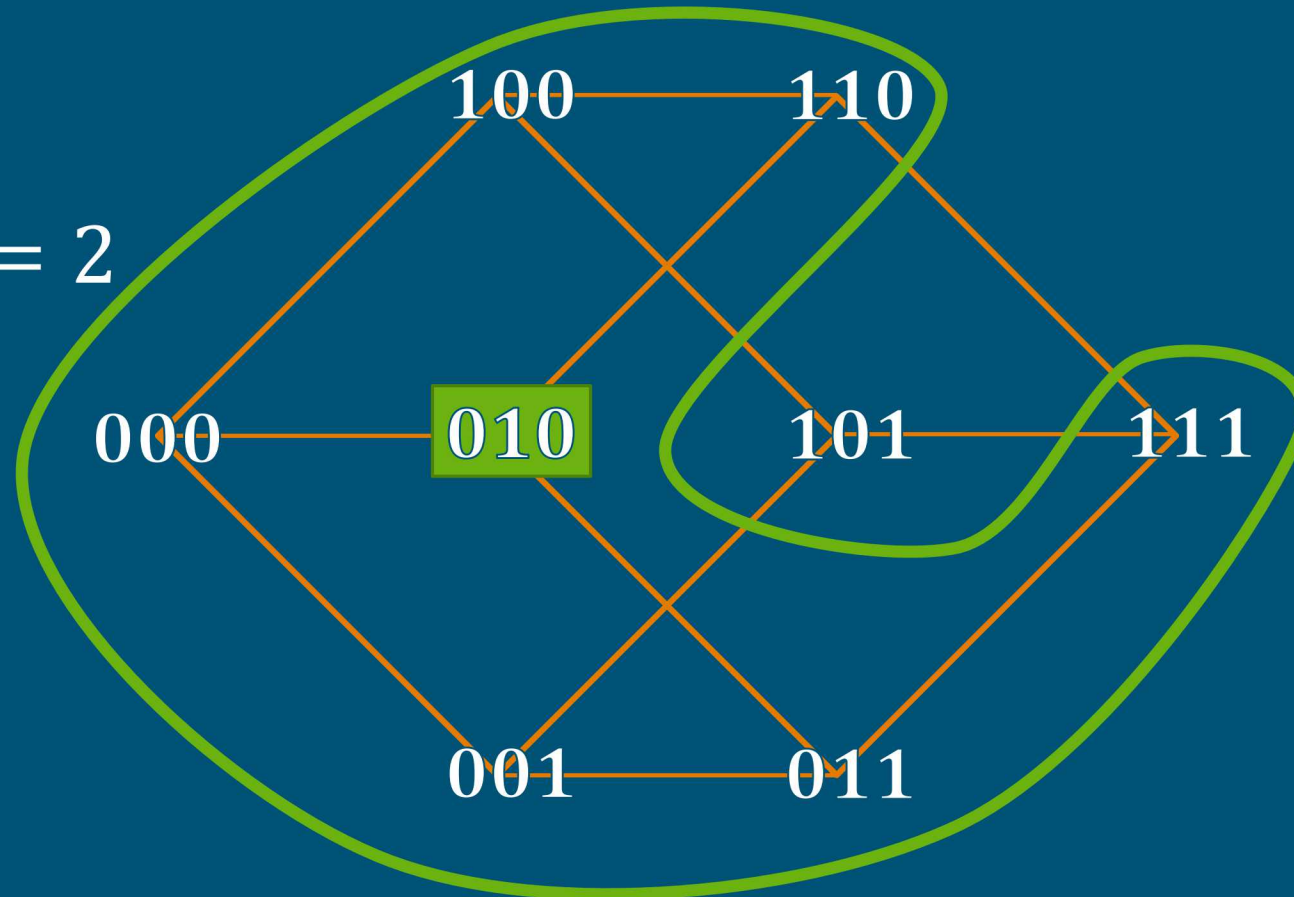
Local Search on Bit Strings



Local search of depth k searches all bit strings within hamming distance k of the input string.

Input: $x = 010$

Search depth: $k = 2$



Why Heuristics Instead of Approximation Algorithms?



Heuristics

- Intuitive
- Perform well on practical instances
- Difficult to prove bounds on approximation ratio

Approximation Algorithms

- May perform poorly compared to heuristics
- Rigorous bound on worst-case performance
- Designed by performance proof → Can be less intuitive

Hybrid QAOA Classical Heuristic



- Let $h: \{0, 1\}^{\otimes n} \rightarrow \{0, 1\}^{\otimes n}$ be a classical heuristic.
- For **unconstrained** problems, we choose a heuristic that **improves the output of objective function**.
- For **constrained** problems, we choose a heuristic that **maps infeasible states to feasible states**.

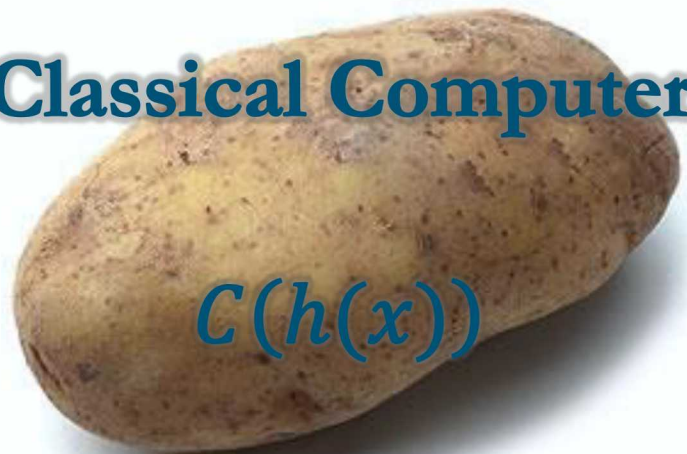
Now define our new objective Hamiltonian,

$$H'_C = \sum_{x=0}^{2^n-1} C(h(x)) |x\rangle\langle x|.$$

Hybrid QAOA Classical Heuristics

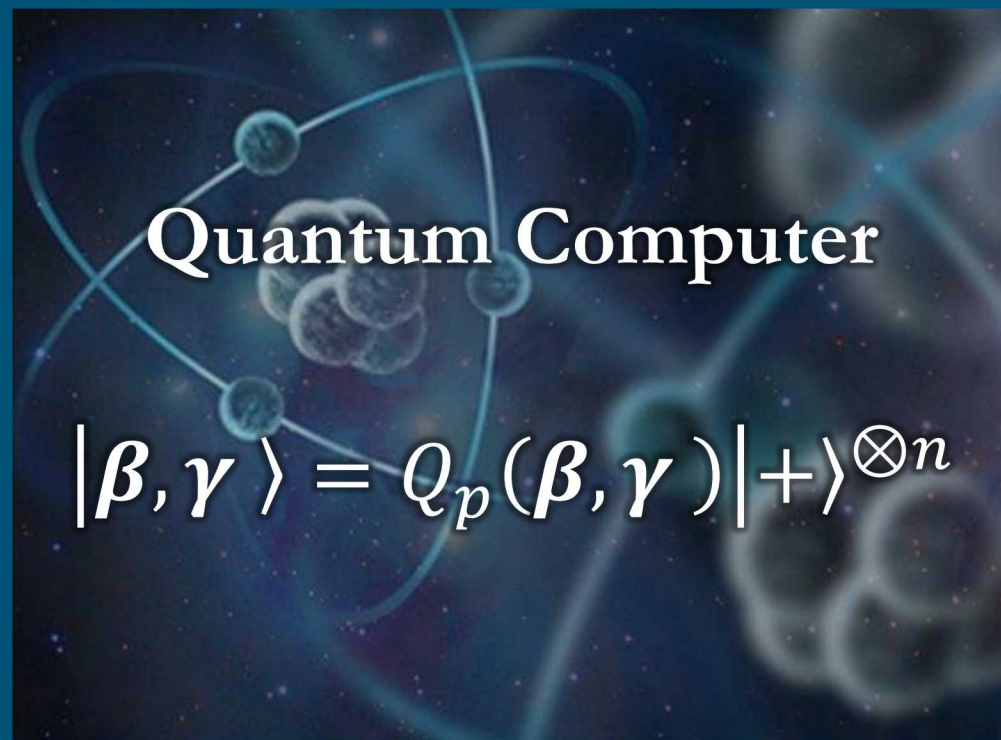
 C, h 

Classical Computer

 $C(h(x))$  β, γ  H'_C 

Quantum Computer

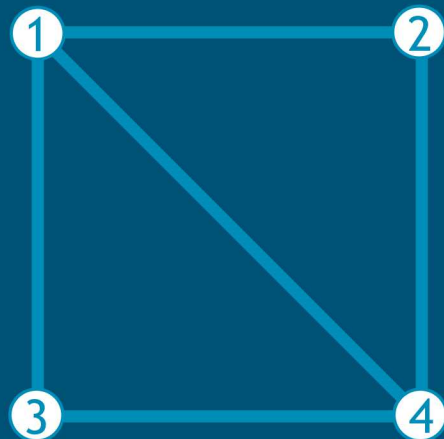
$$|\beta, \gamma\rangle = Q_p(\beta, \gamma)|+\rangle^{\otimes n}$$

 x 

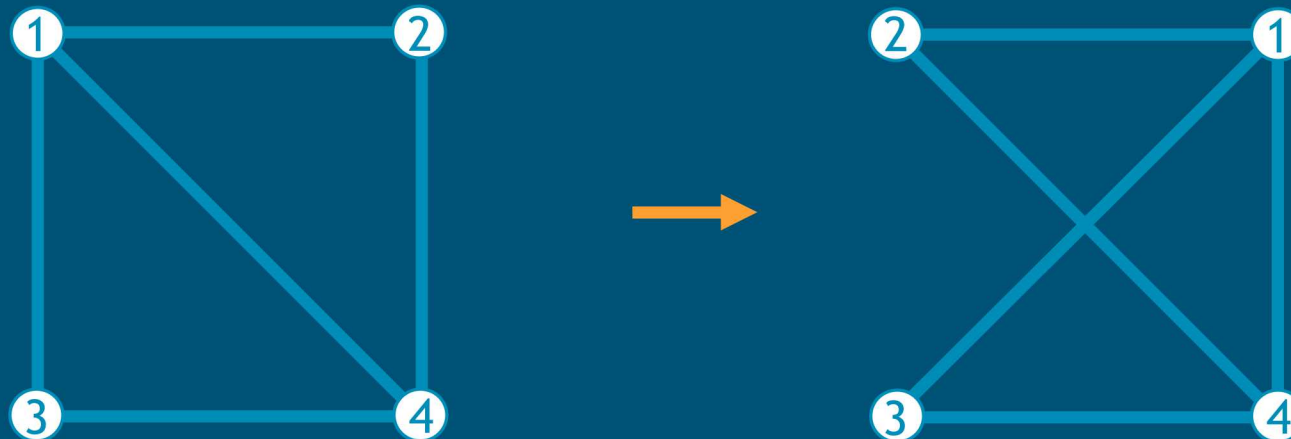


MAXCUT Example

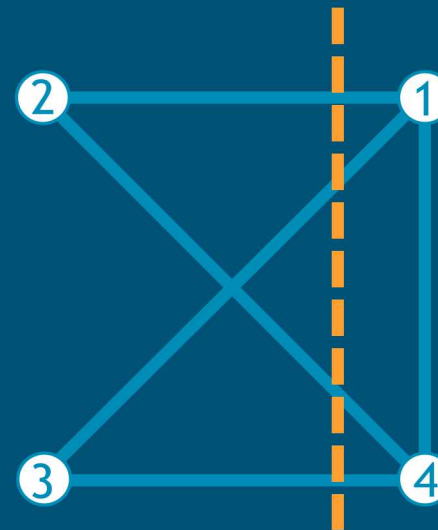
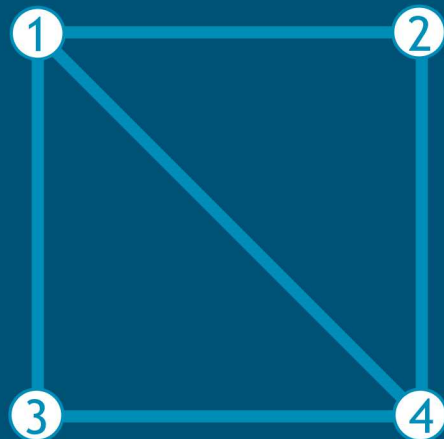
- Given a graph $G = (V, E)$, we want to partition the vertices into two sets $V' \subseteq V$ and $V \setminus V'$, s.t. the number of edges **cut** is maximized.
- An edge, $\{u, v\} \in E$, is **cut** if $u \in V'$ and $v \in V \setminus V'$ or vice versa.
- Example: MAXCUT = ?



- Given a graph $G = (V, E)$, we want to partition the vertices into two sets $V' \subseteq V$ and $V \setminus V'$, s.t. the number of edges **cut** is maximized.
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- Example: MAXCUT = ?

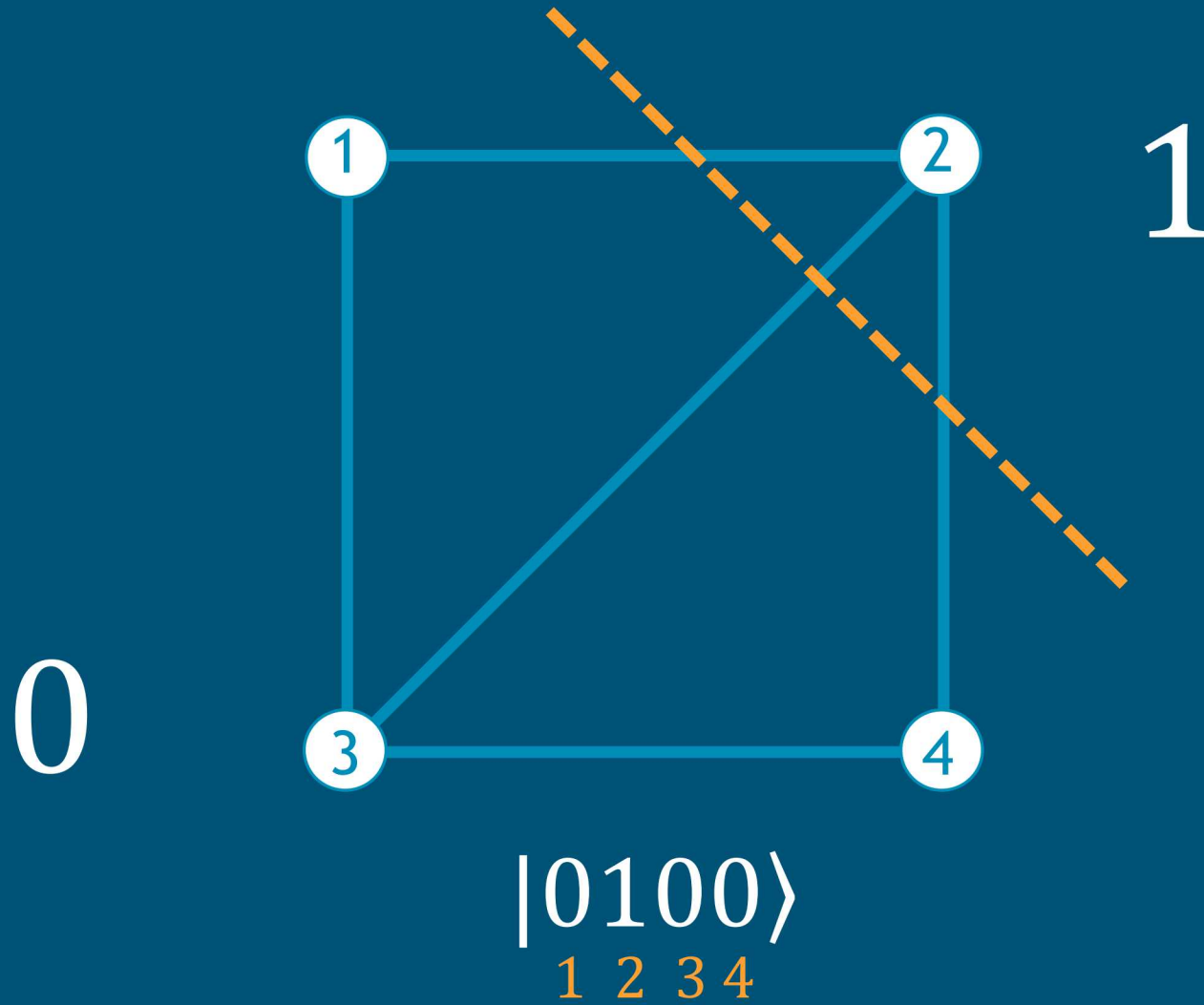


- Given a graph $G = (V, E)$, we want to partition the vertices into two sets $V' \subseteq V$ and $V \setminus V'$, s.t. the number of edges **cut** is maximized.
- An edge, $\{u, v\} \in E$, is **cut** if $u \in V'$ and $v \in V \setminus V'$ or vice versa.
- Example: MAXCUT = ?



- Decision problem related to MAXCUT is NP-complete. [1]
- MAXCUT is APX-complete. It can be approximated in polynomial time to within a constant factor. [2]
- It is NP-Hard to approximate MAXCUT with an approximation ratio $\Gamma > \frac{16}{17} \approx 0.9412$. [3]

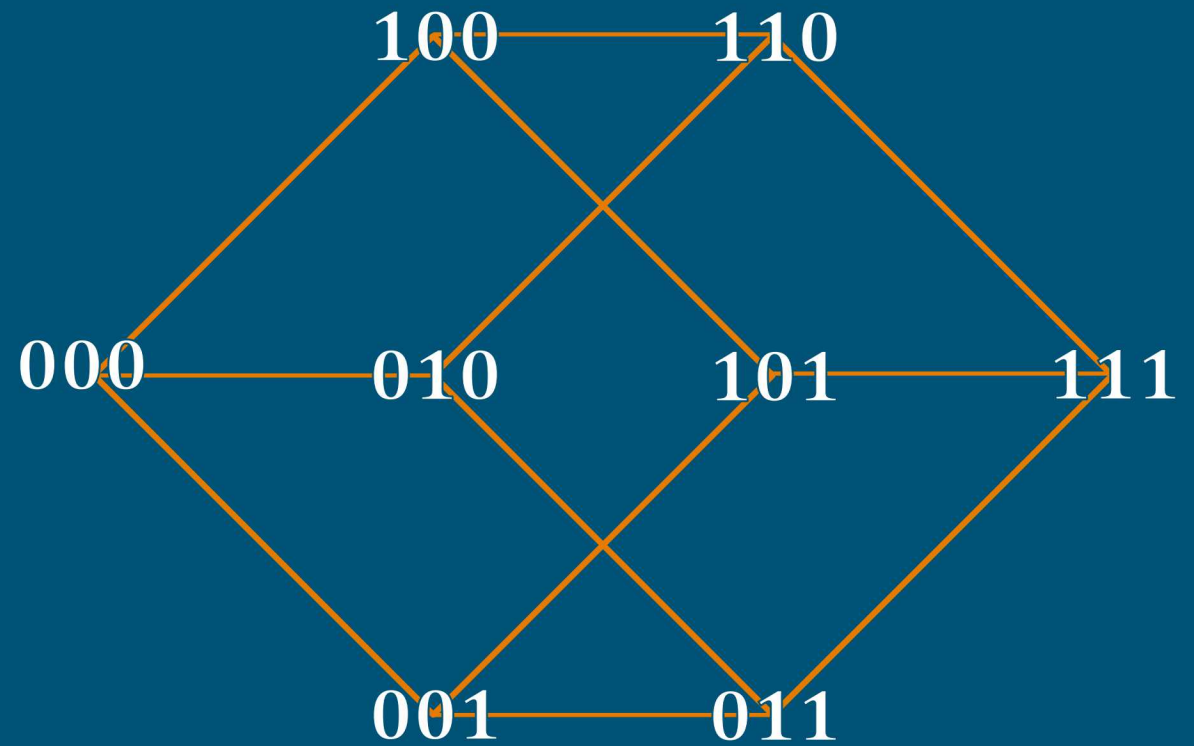
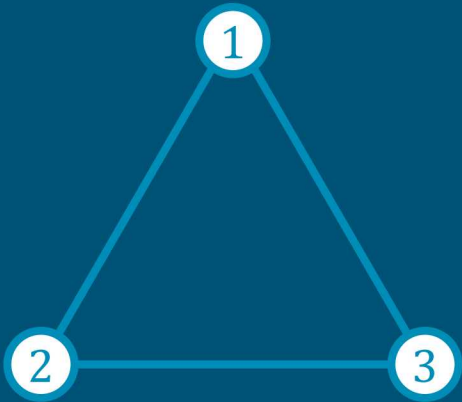
Mapping to Qubits



Local Search Heuristic for MAXCUT



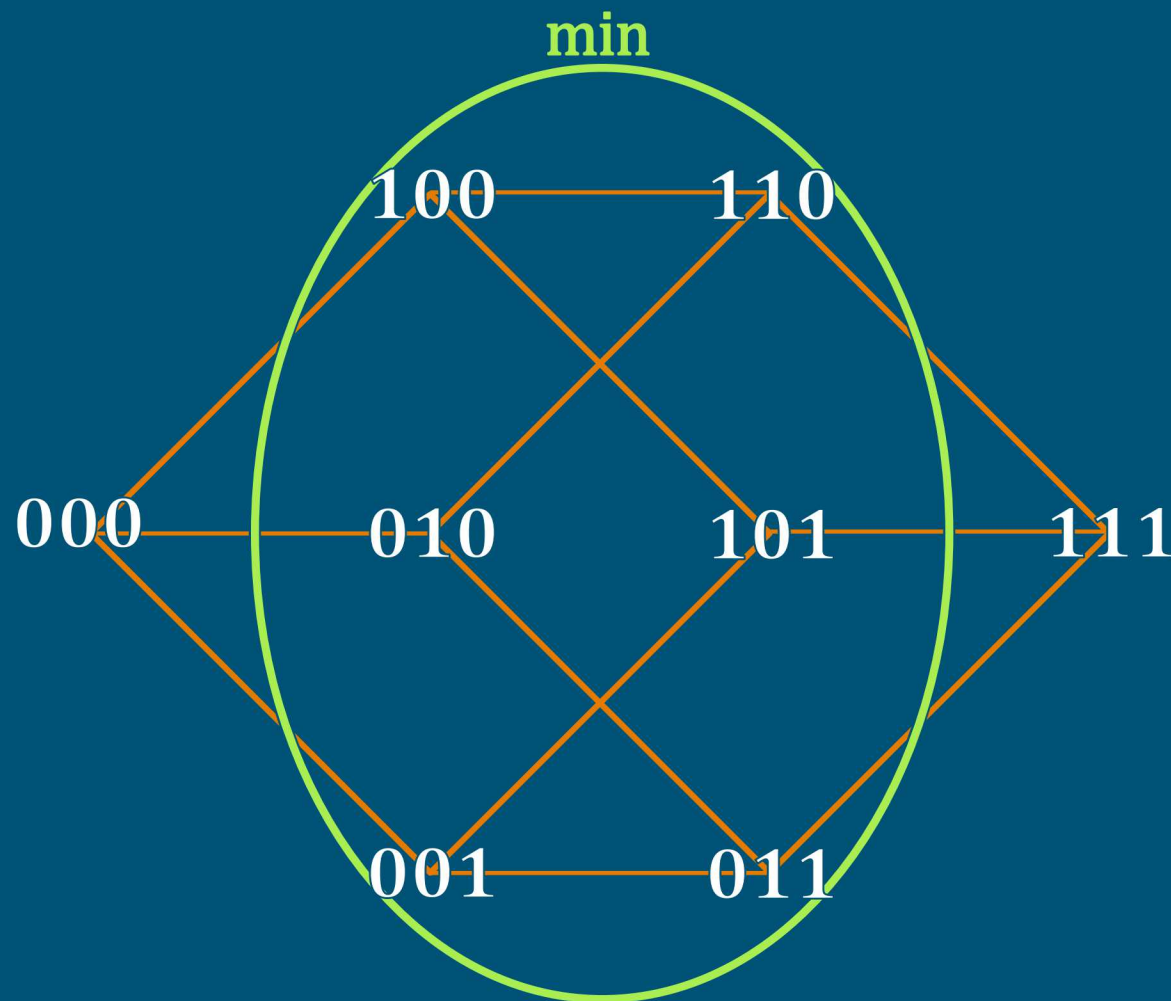
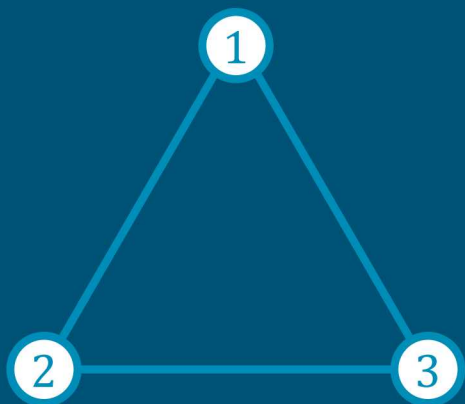
- $C(x) = \sum_{(\mu, \nu) \in E} (1 - x_\mu x_\nu)$
- $k = 1$



Local Search Heuristic for MAXCUT



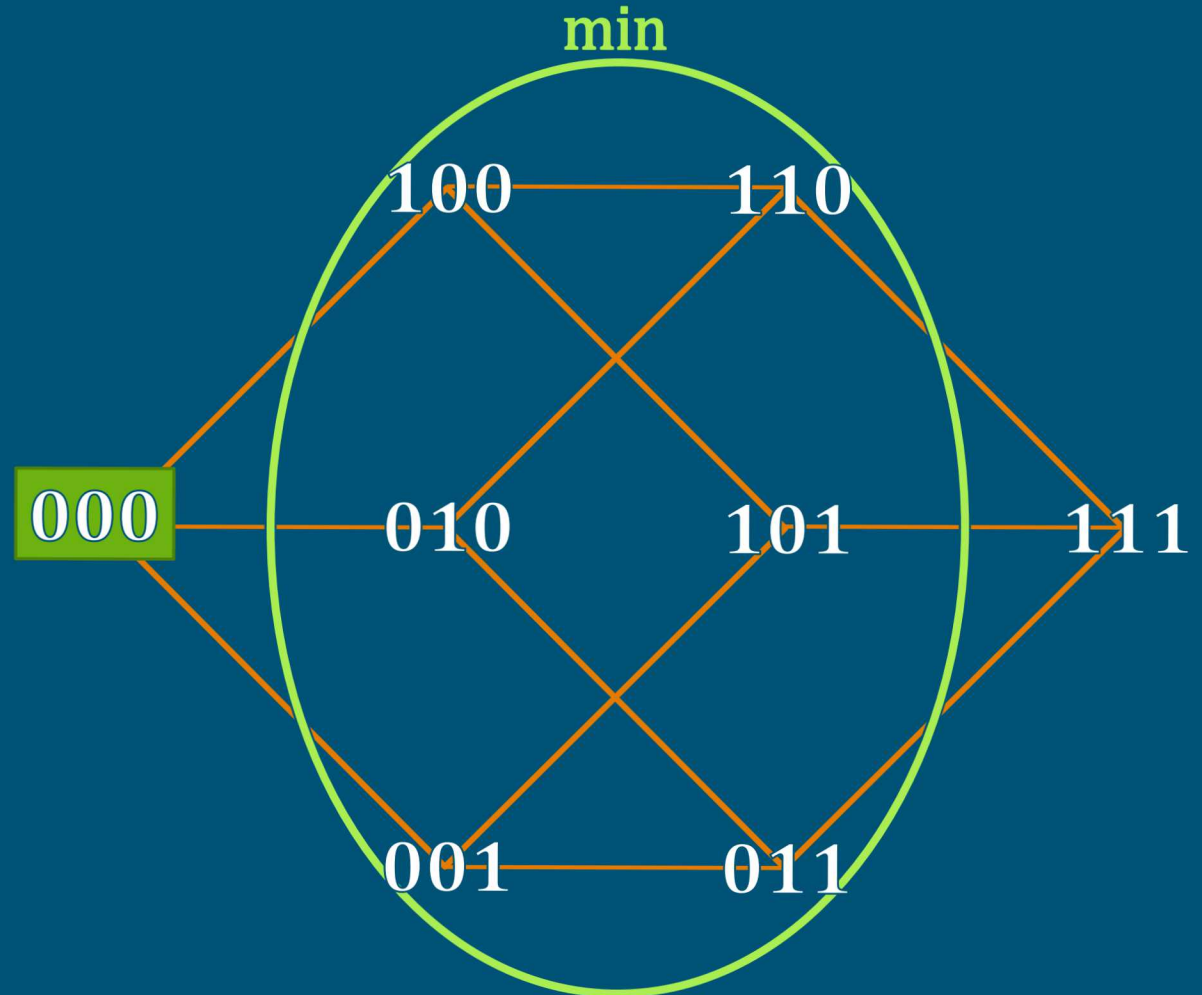
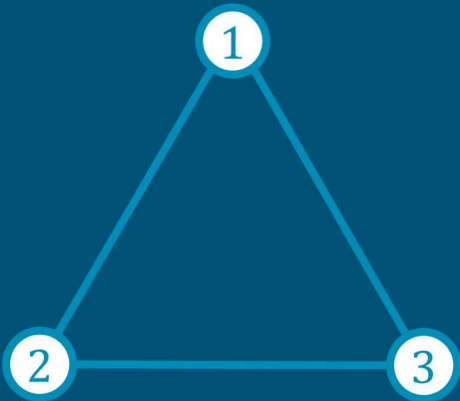
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Local Search Heuristic for MAXCUT



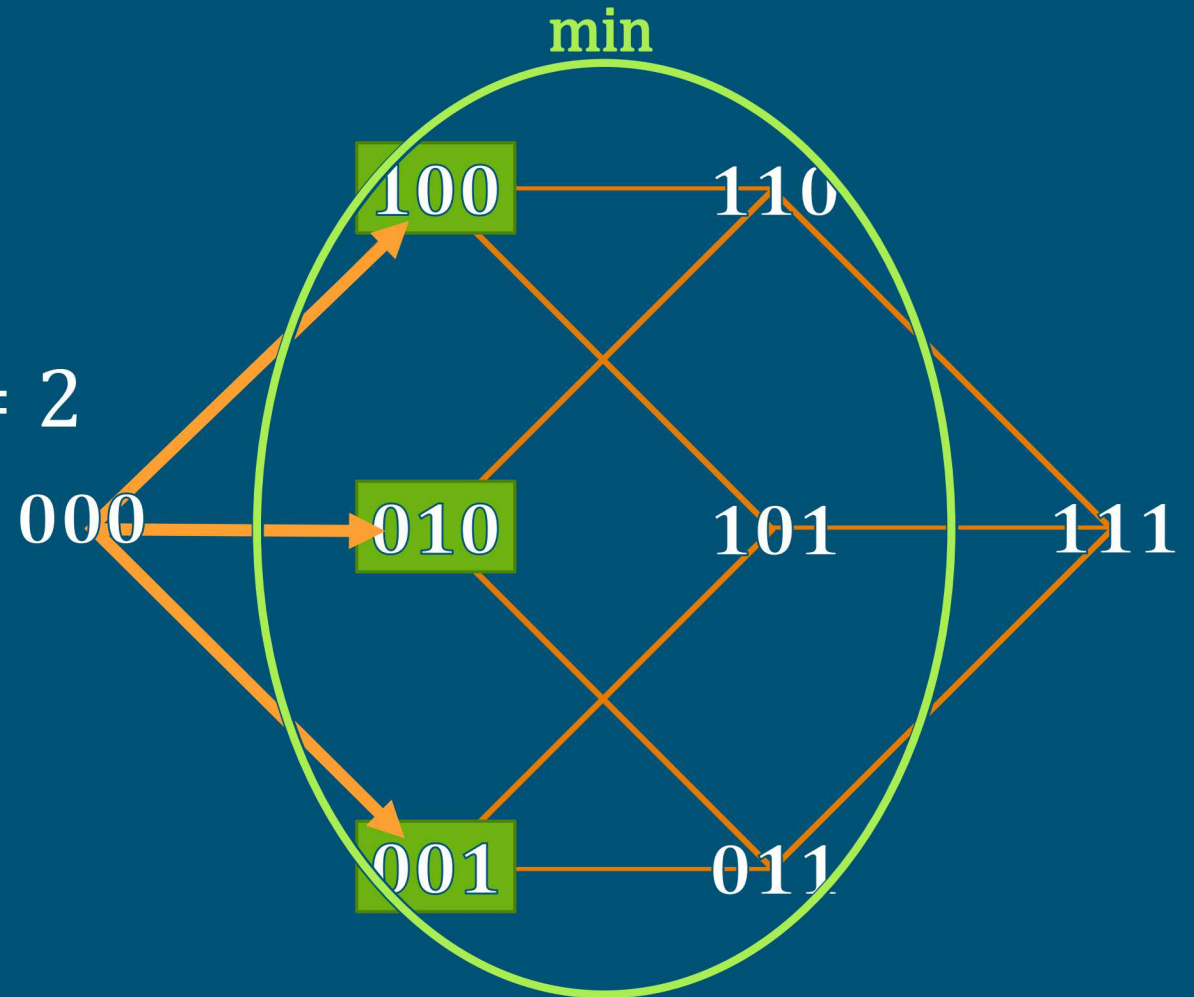
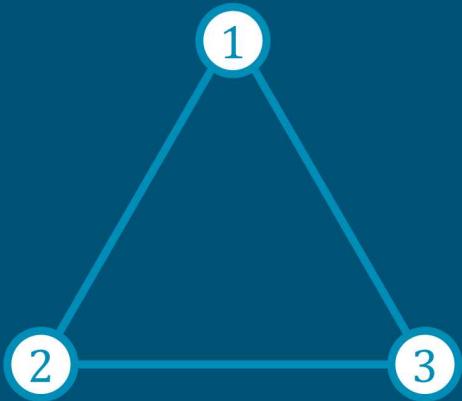
- $C(x) = \sum_{(\mu, \nu) \in E} (1 - x_\mu x_\nu)$
- $k = 1$
- $x = 000$
- $C(000) = 0$



Local Search Heuristic for MAXCUT



- $C(x) = \sum_{(\mu, \nu) \in E} (1 - x_\mu x_\nu)$
- $k = 1$
- $x = 000$
- $C(100) = C(010) = C(001) = 2$

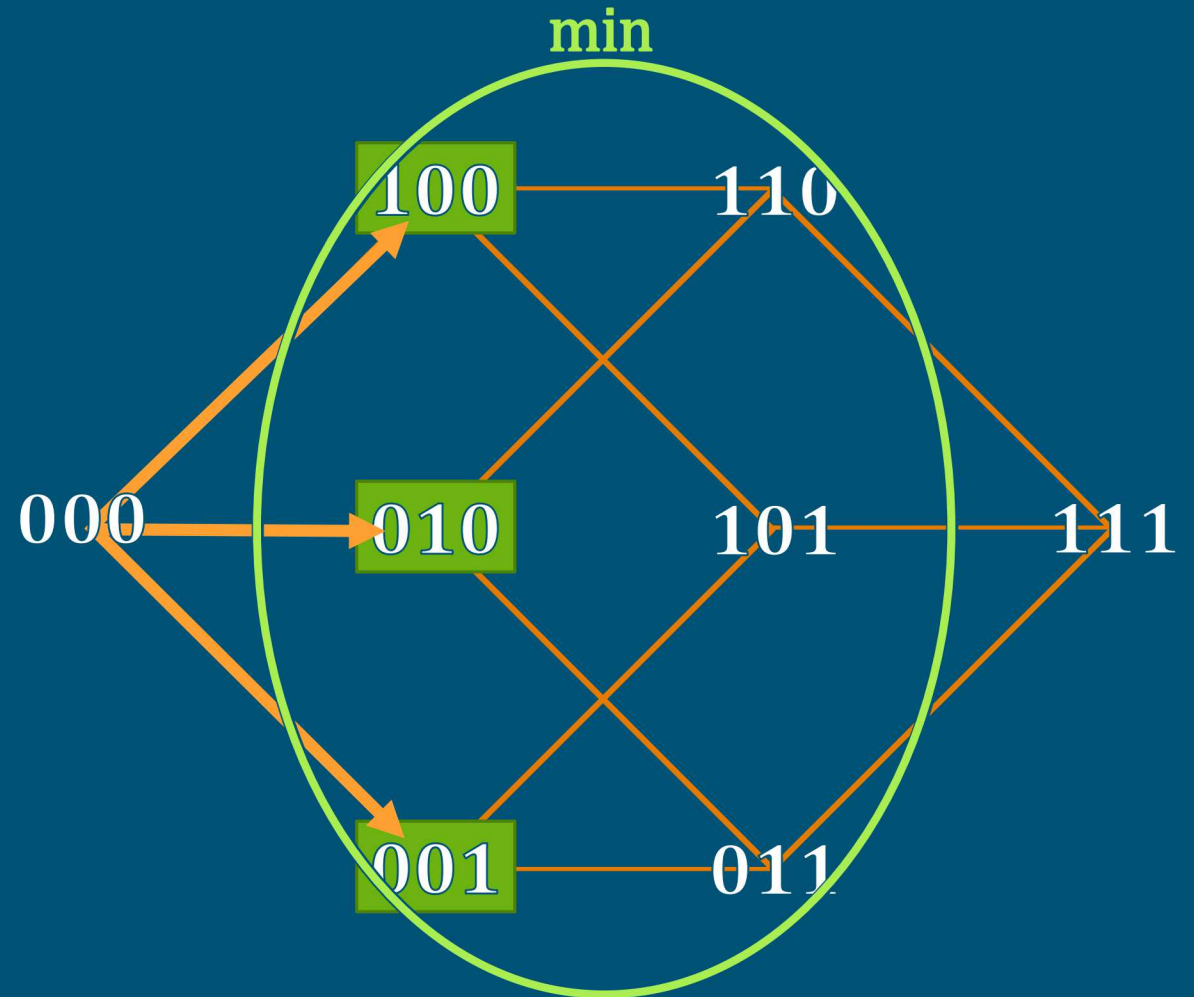
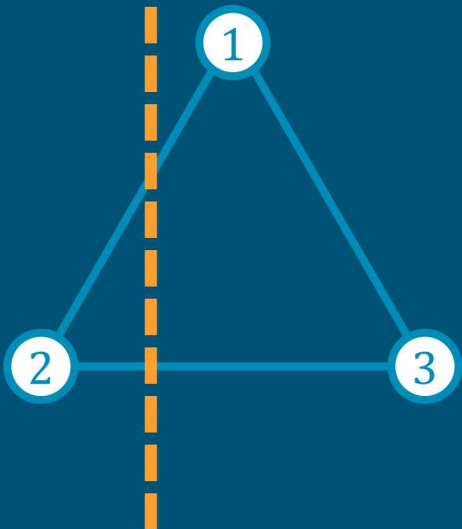


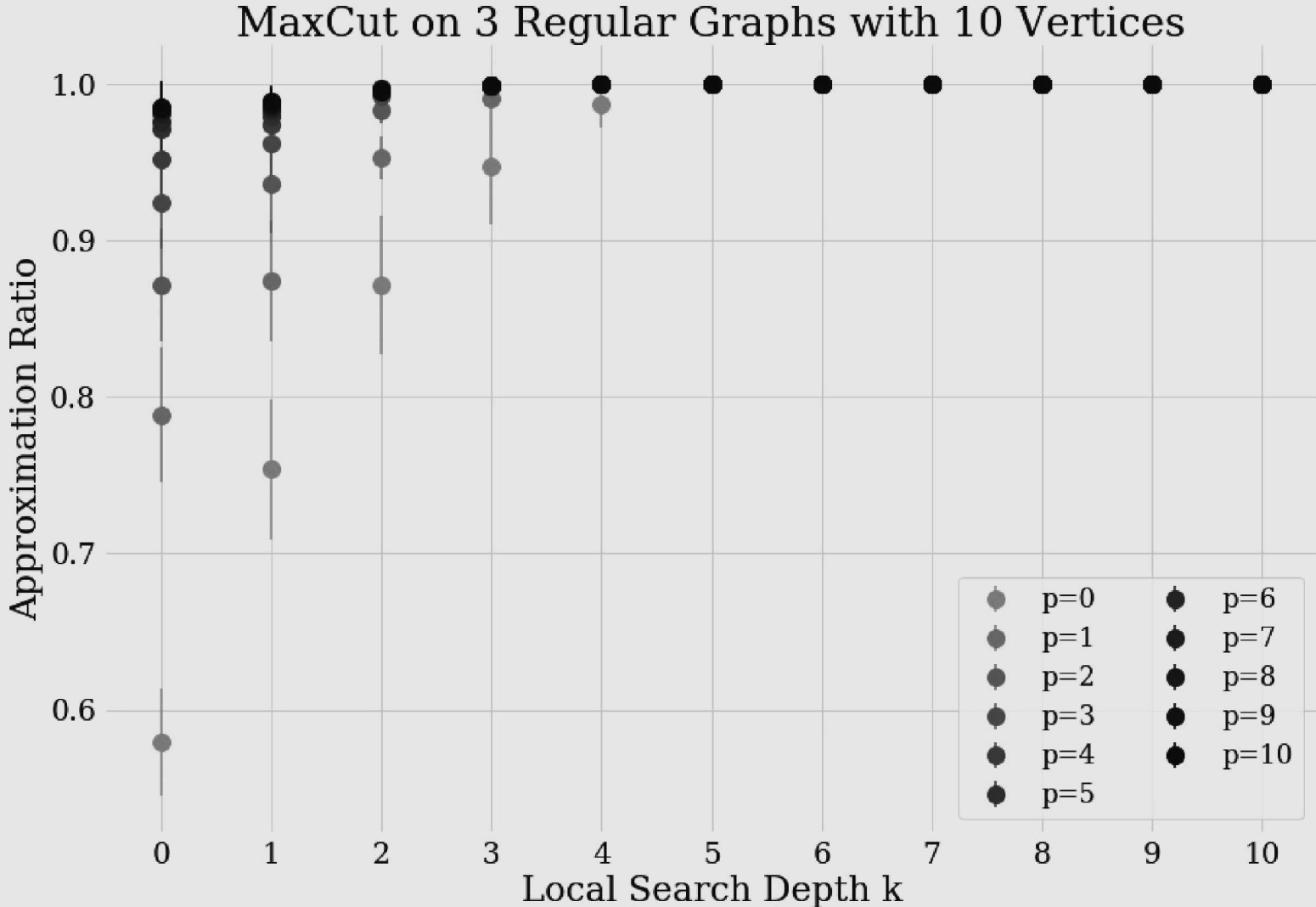
Local Search Heuristic for MAXCUT

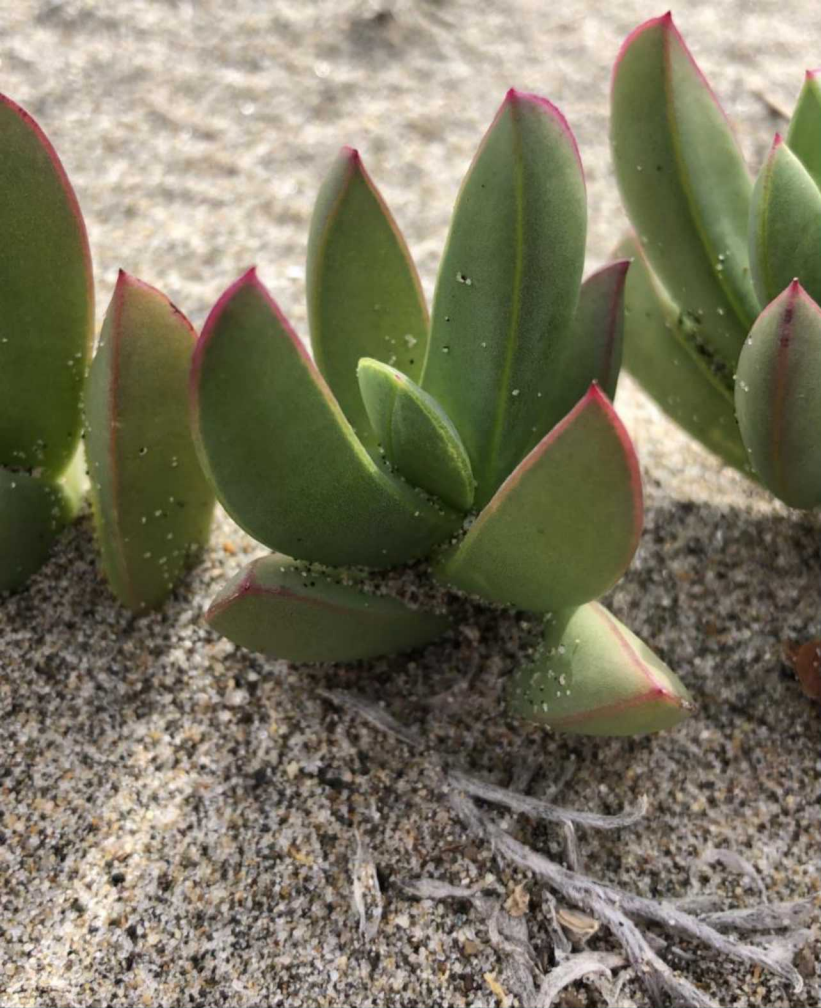


- $C(x) = \sum_{(\mu, \nu) \in E} (1 - x_\mu x_\nu)$
- $k = 1$
- $x = 000$

$$\Rightarrow \max_x C(x) = 2$$

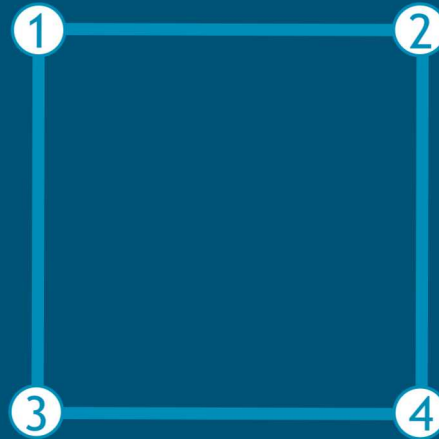




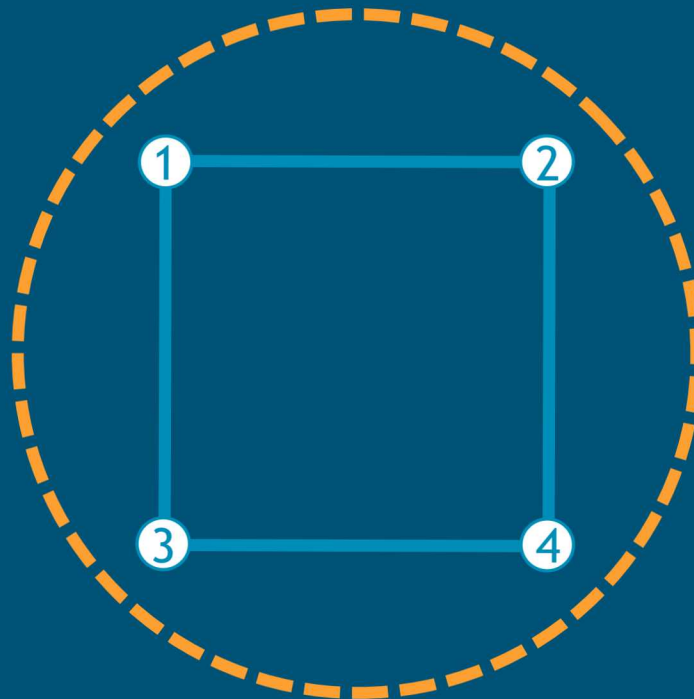


MINVERTEXCOVER Example

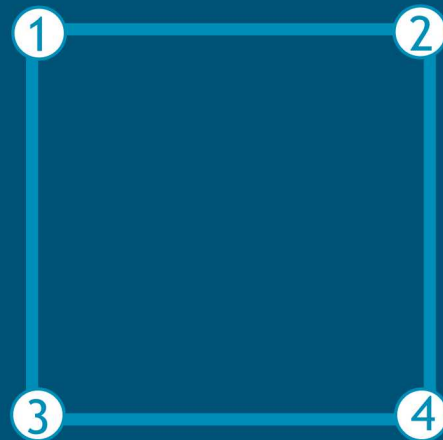
- Given a graph $G = (V, E)$, we want to find a subset of vertices $V' \subseteq V$, s.t. \forall edges are **covered**.
- An edge, $\{u, v\} \in E$, is **covered** if $u \in V'$ or $v \in V'$.
- Example:



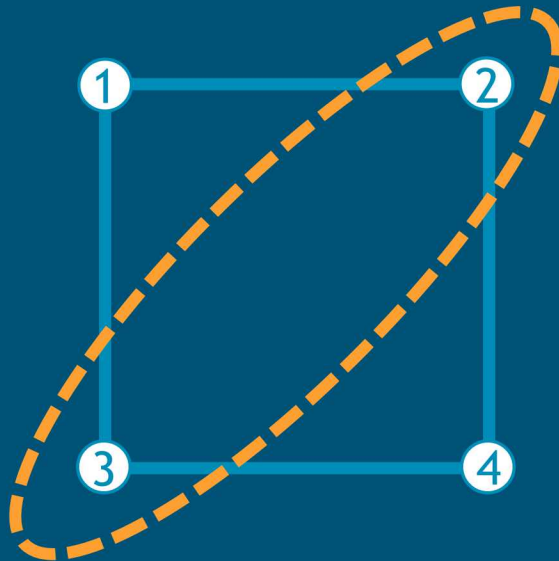
- Given a graph $G = (V, E)$, we want to find a subset of vertices $V' \subseteq V$, s.t. \forall edges are **covered**.
- An edge, $\{u, v\} \in E$, is **covered** if $u \in V'$ or $v \in V'$.
- Example: Trivial VC



- Given a graph $G = (V, E)$, we want to find a minimum subset of vertices $V' \subseteq V$, s.t. \forall edges $\{u, v\} \in E$ are covered.
- Example: MINVERTEXCOVER = ?

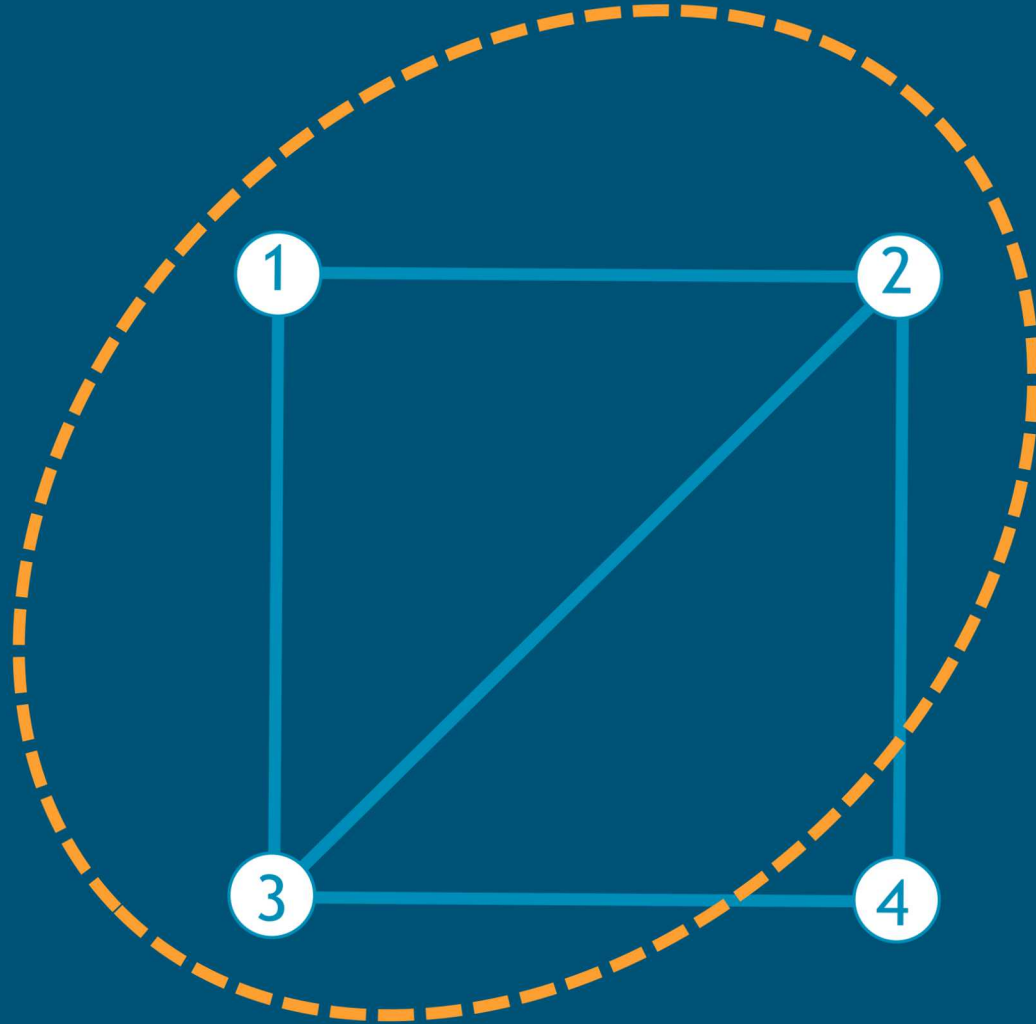


- Given a graph $G = (V, E)$, we want to find a minimum subset of vertices $V' \subseteq V$, s.t. \forall edges $\{u, v\} \in E$ are covered.
- Example: $\text{MINVERTEXCOVER} = 2$



- Decision problem related to MINVERTEXCOVER is NP-complete. [1]
- MINVERTEXCOVER is APX-complete. It can be approximated in polynomial time to within a constant factor. [2]
- MINVERTEXCOVER has a $(2 - O(1/\log n))$ -approximation. [3]
- It is NP-Hard to approximate MINVERTEXCOVER with an approximation ratio $\Gamma > 1.3606$. [4]

Mapping to Qubits

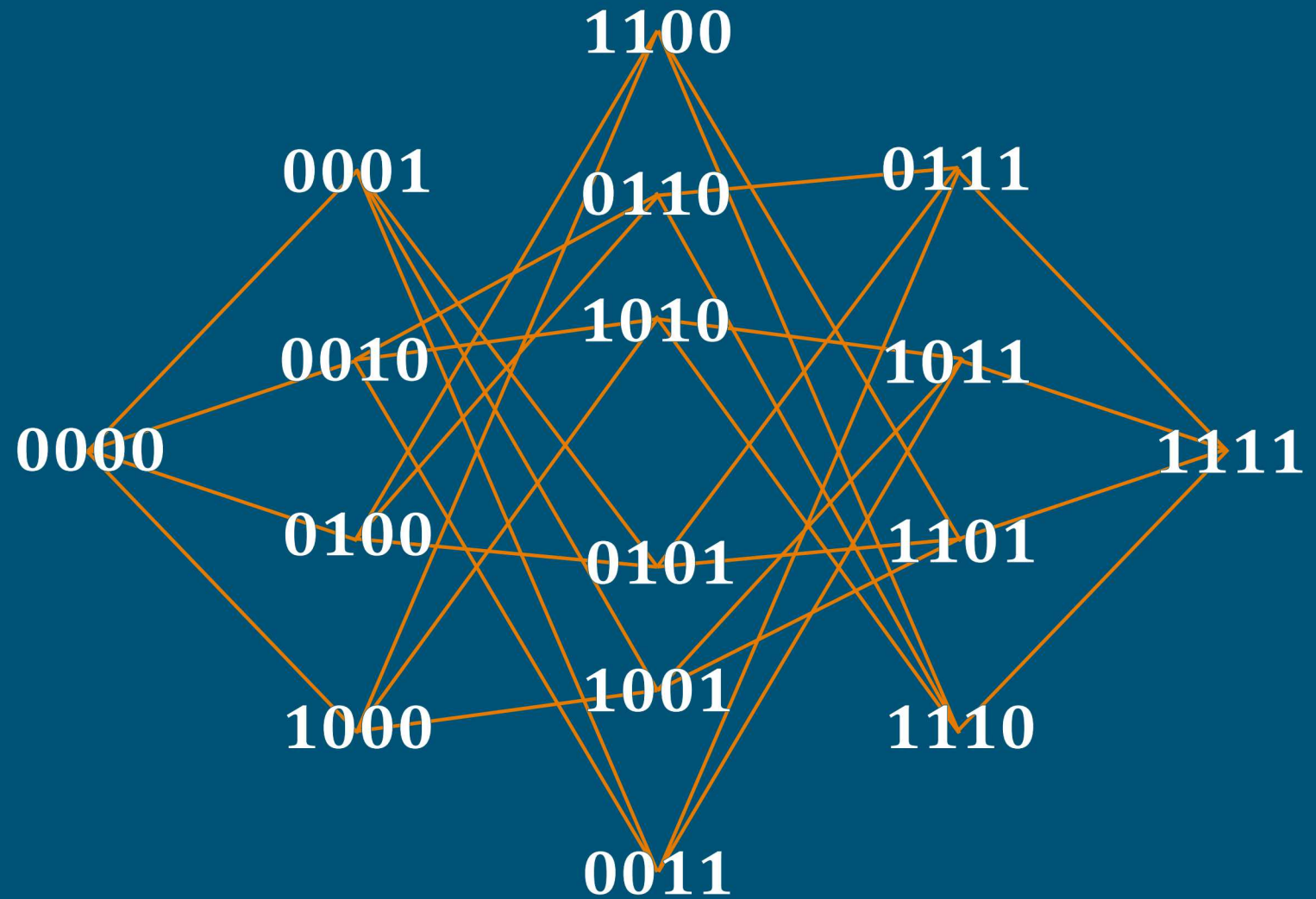
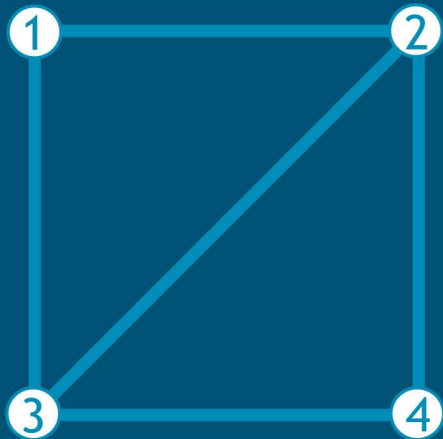


$|1110\rangle$
1 2 3 4

Local Search Heuristic for MINVERTEXCOVER



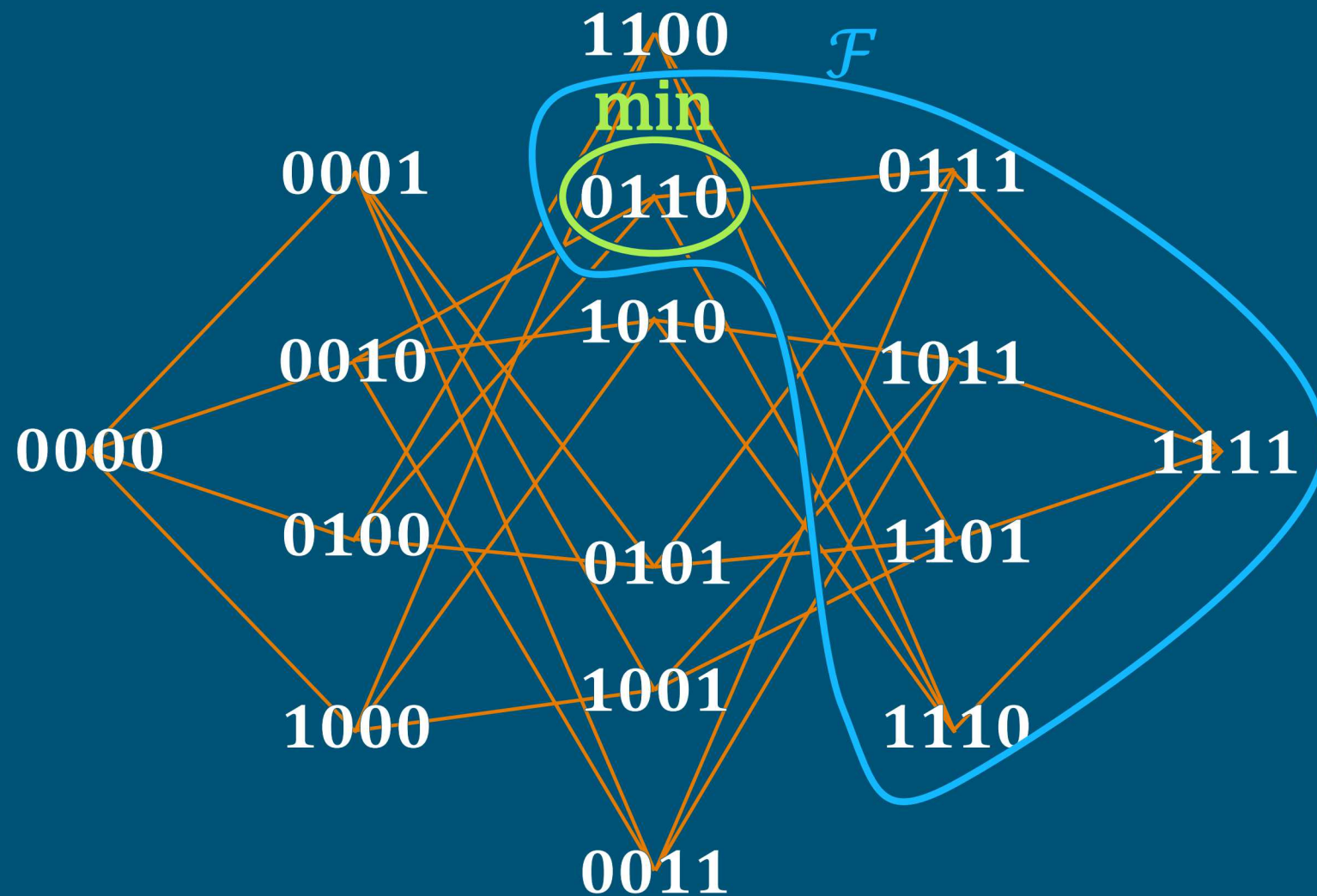
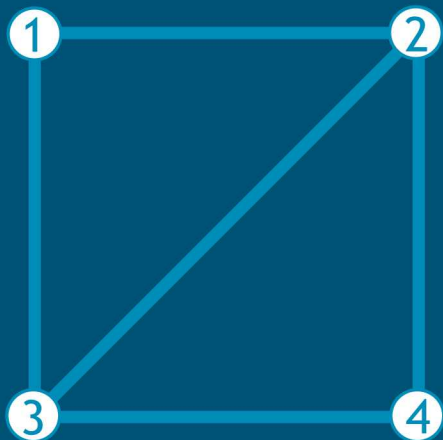
- $C(x) = \sum_{\mu \in V} x_{\mu}$
- $k = 3$



Local Search Heuristic for MINVERTEXCOVER



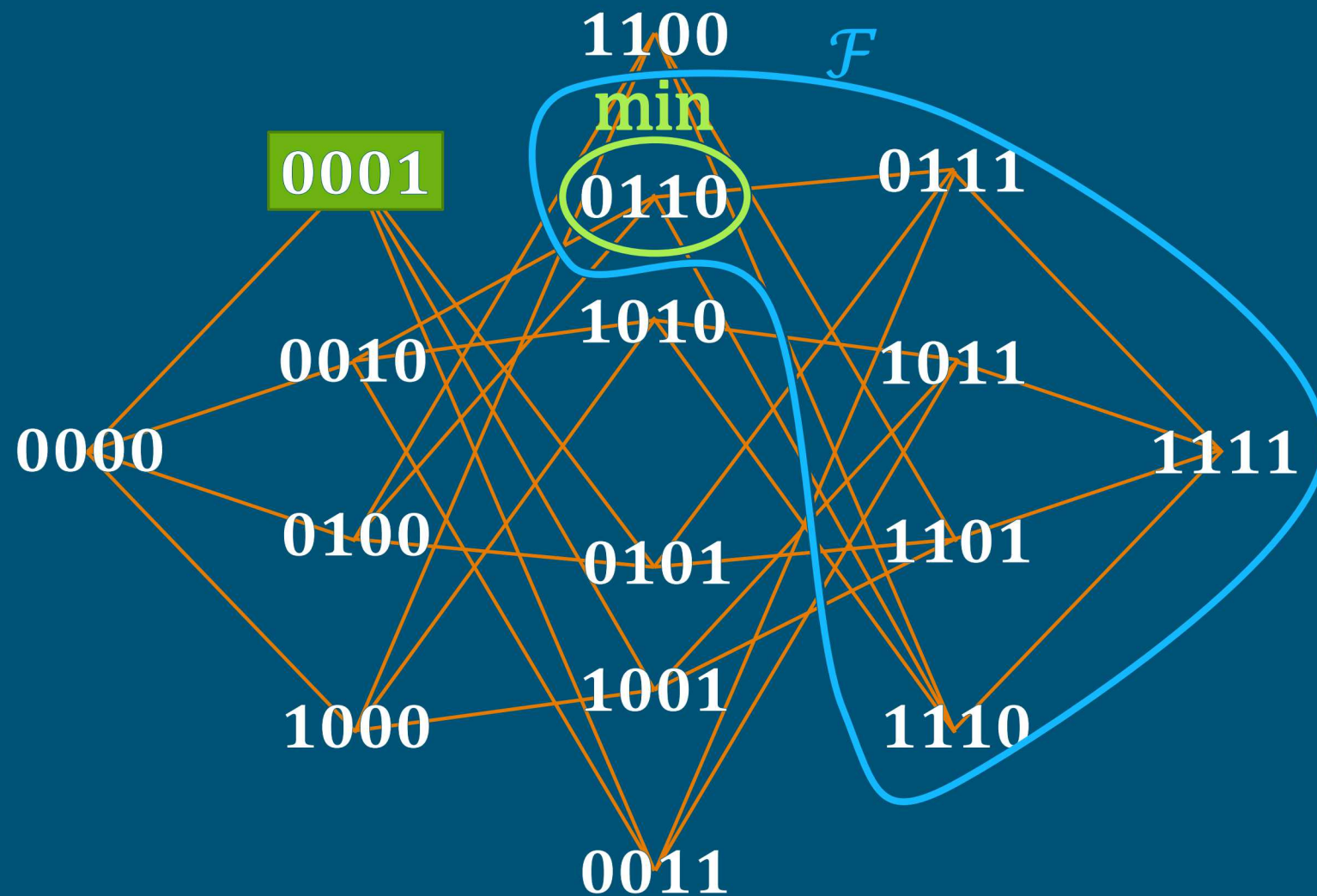
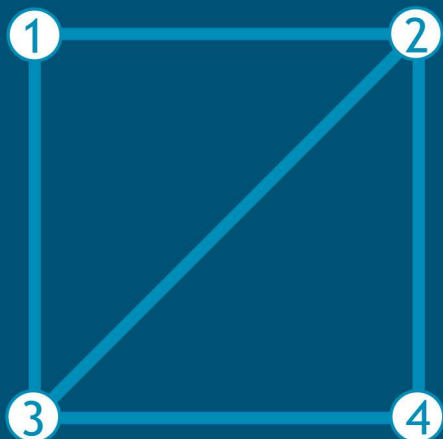
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Local Search Heuristic for MINVERTEXCOVER



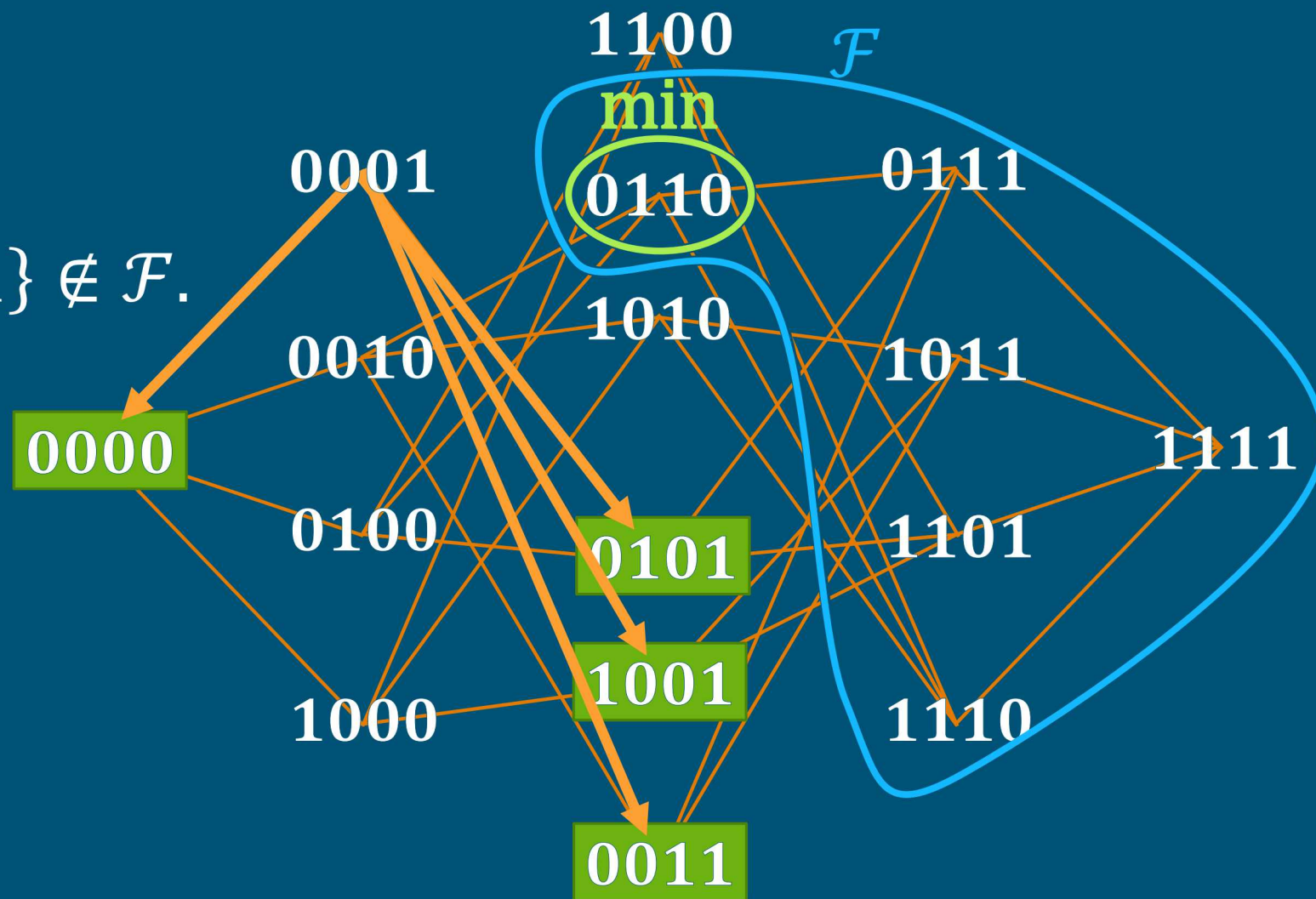
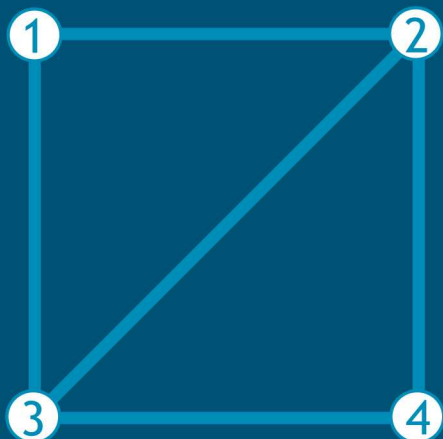
- $C(x) = \sum_{\mu \in V} x_{\mu}$
- $k = 3$
- $x = 0001$
- $0001 \notin \mathcal{F}$



Local Search Heuristic for MINVERTEXCOVER



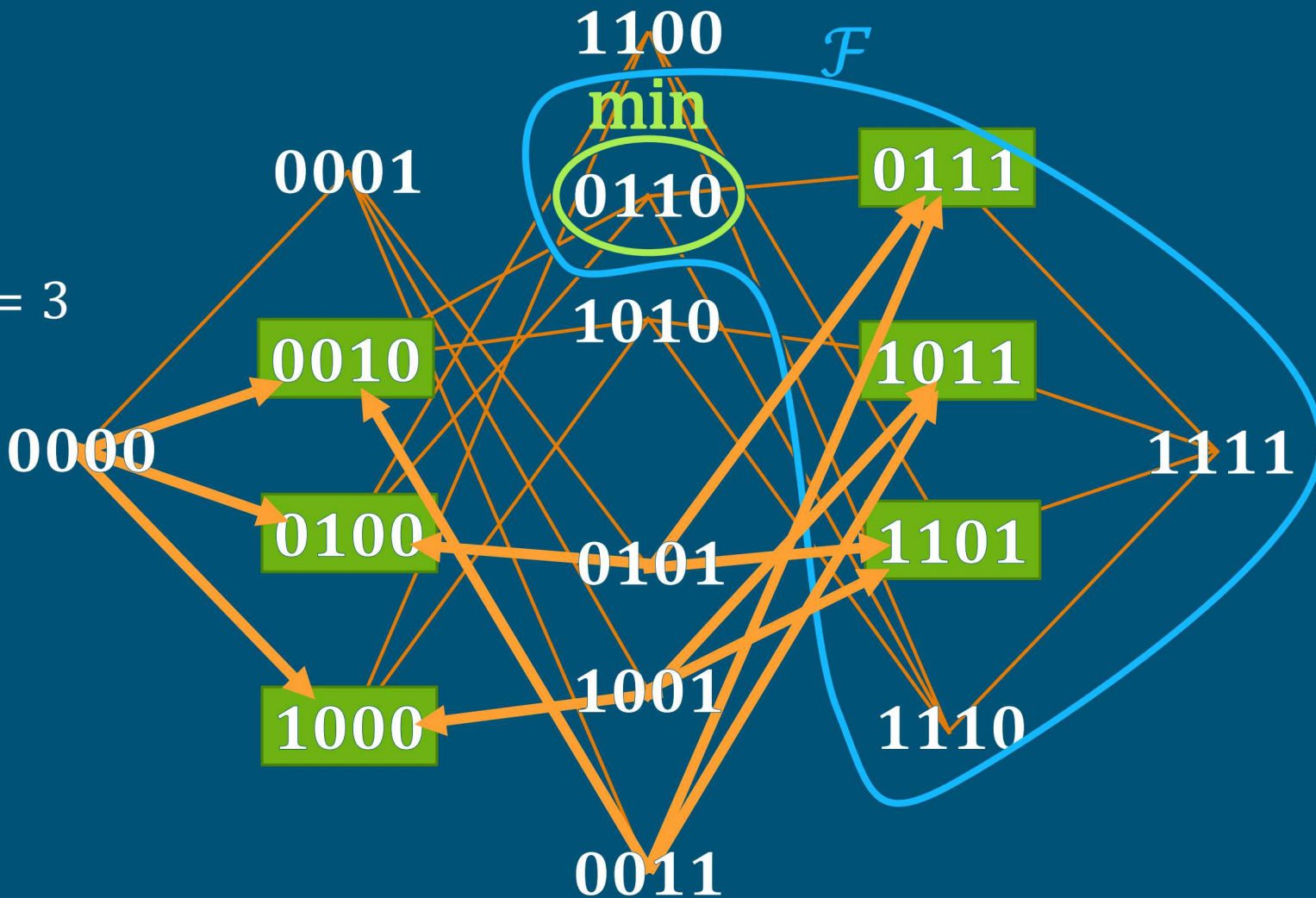
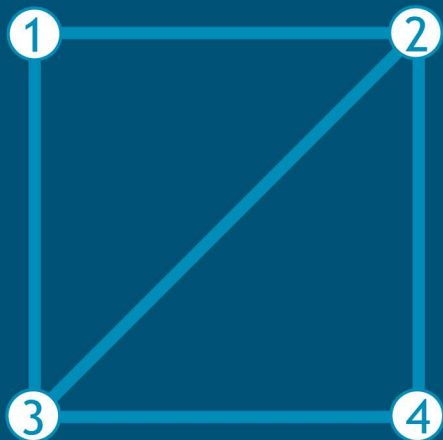
- $C(x) = \sum_{\mu \in V} x_{\mu}$
- $k = 3$
- $x = 0001$
- $\{0000, 0011, 1001, 0101\} \notin \mathcal{F}$.



Local Search Heuristic for MINVERTEXCOVER



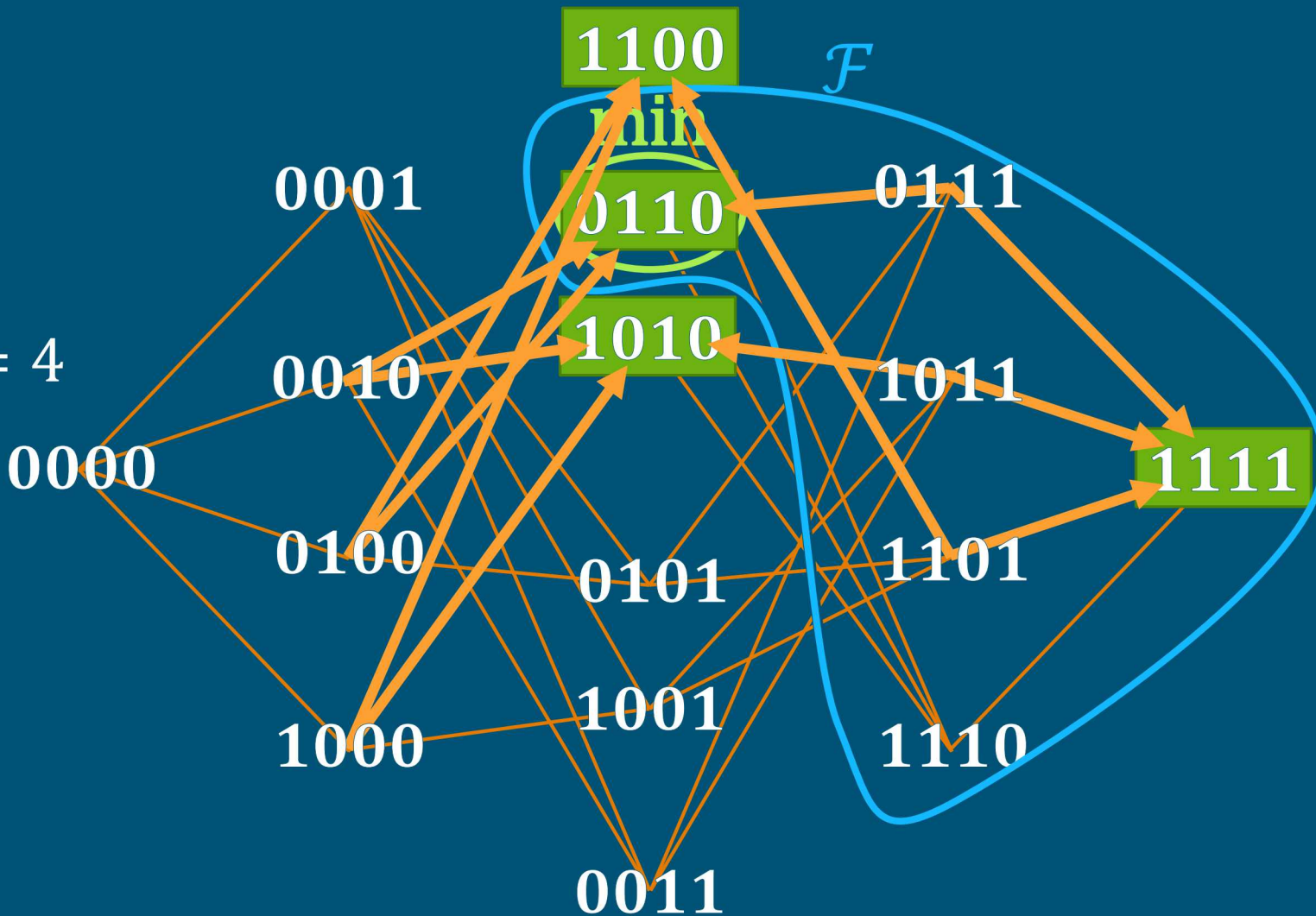
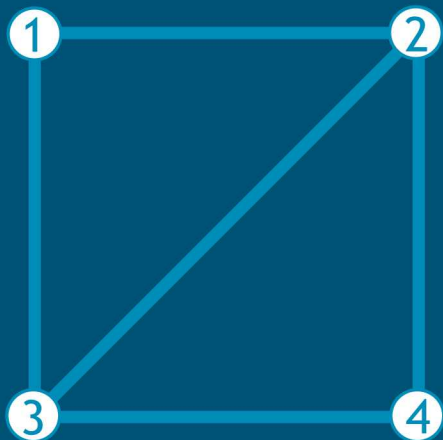
- $C(x) = \sum_{\mu \in V} x_{\mu}$
- $k = 3$
- $x = 0001$
- $\{0010, 0100, 1000\} \notin \mathcal{F}$
- $C(0111) = C(1011) = C(1101) = 3$



Local Search Heuristic for MINVERTEXCOVER



- $C(x) = \sum_{\mu \in V} x_{\mu}$
- $k = 3$
- $x = 0001$
- $\{0010, 0100\} \notin \mathcal{F}$
- $C(0110) = 2$ and $C(1111) = 4$

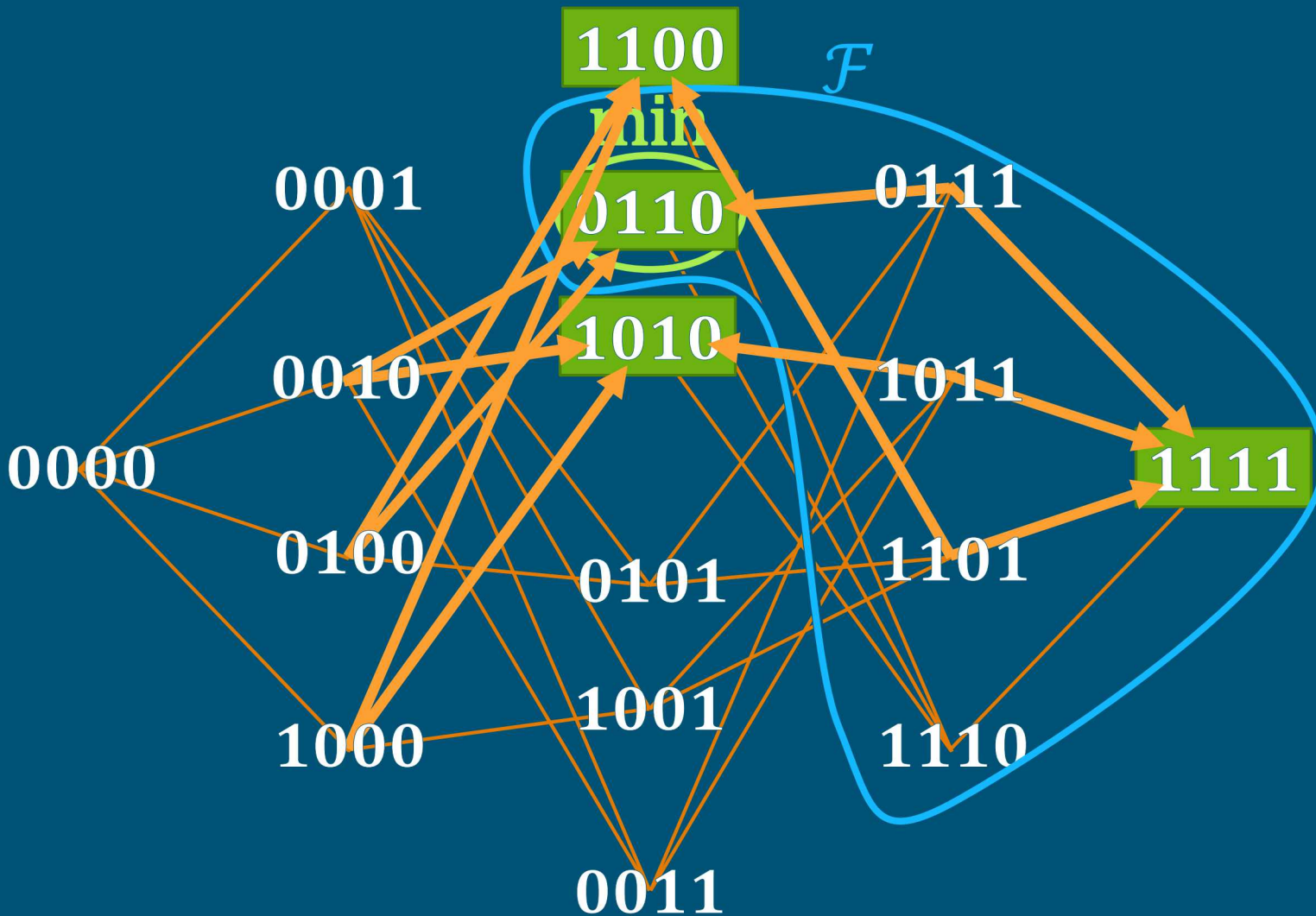
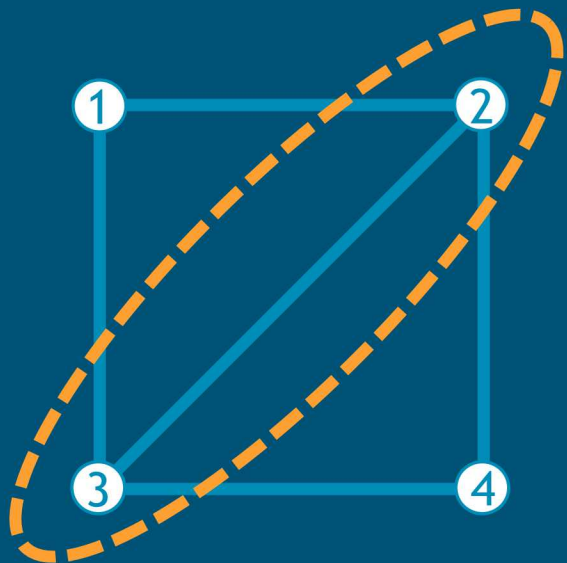


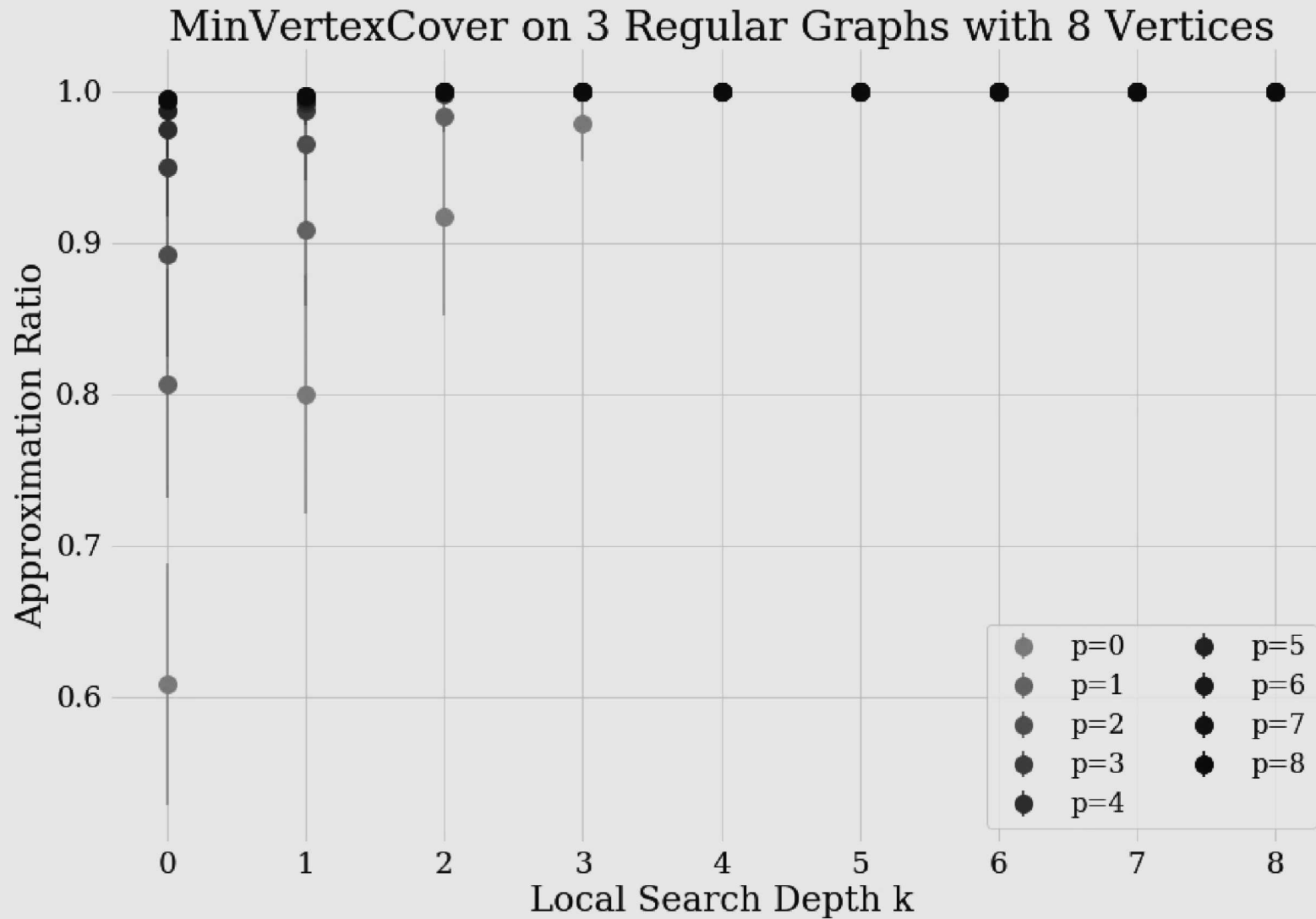
Local Search Heuristic for MINVERTEXCOVER



- $C(x) = \sum_{\mu \in V} x_{\mu}$
- $k = 3$
- $x = 0001$

$\Rightarrow \min_{x \in \mathcal{F}} C(x) = 2$





Summary



- The approximation ratio can be improved by increasing the local search depth or the QAOA circuit depth.
- Combining QAOA with classical heuristics allows us to get a good approximation ratio with a shorter circuit depth.
- This allows QAOA to be ran on NISQ devices.

- Look at how our hybrid QAOA with local search performs on TSP (Traveling Sales Person).
- Analyze QAOA's performance with other classical heuristics.
- Run our hybrid QAOA on Rigetti.



Thanks!

