



# Science-Based Understanding of Ceramic Powder Compaction Through Characterization & Modeling

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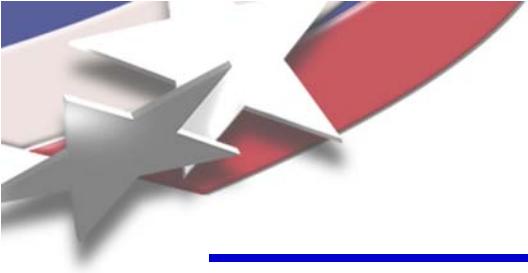
National Institute of Advanced Industrial Science and Technology (AIST)

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Nagoya, Japan

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# Dry Pressing Is A Common Net-Shape Manufacturing Process

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## Net-Shape Manufacturing by Dry Pressing

### Objective

Produce Net-Shape, Defect-Free Powder Compacts

### Problems

Warping, Cracking, Capping, Laminations, Density Gradients

Problems Often Related to Powder Physical Characteristics & Properties

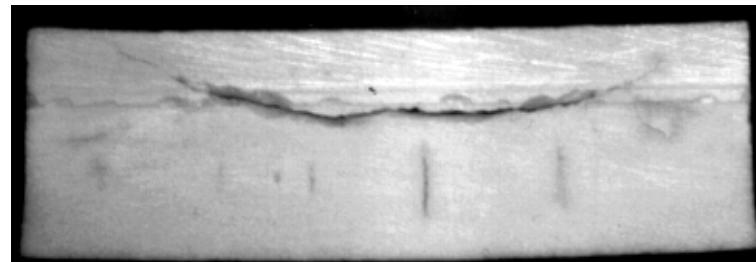
### Solution

We use Characterization & Modeling to Develop  
Science-Based Understanding & Control  
of Ceramic Powders & Powder Compaction

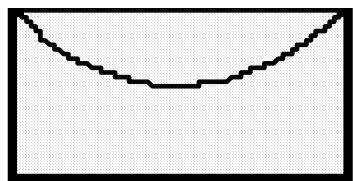


# Excessive Pressure, Springback, & Compaction Ratio Produce Defects

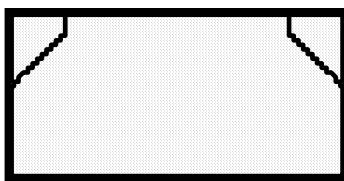
Pressed & Sintered  
PNZT



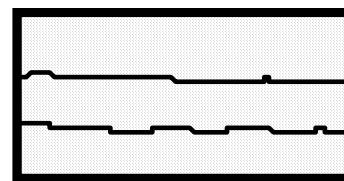
0.25 in



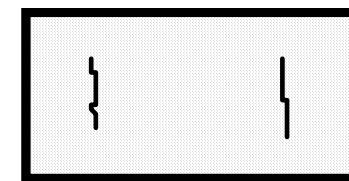
End Capping



Ring Capping



Laminations

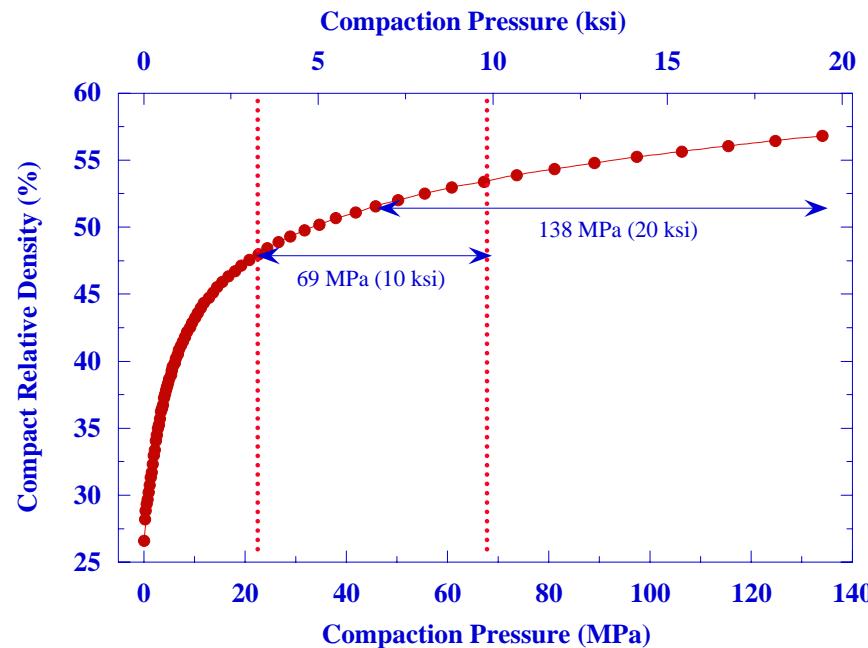


Vertical Cracks

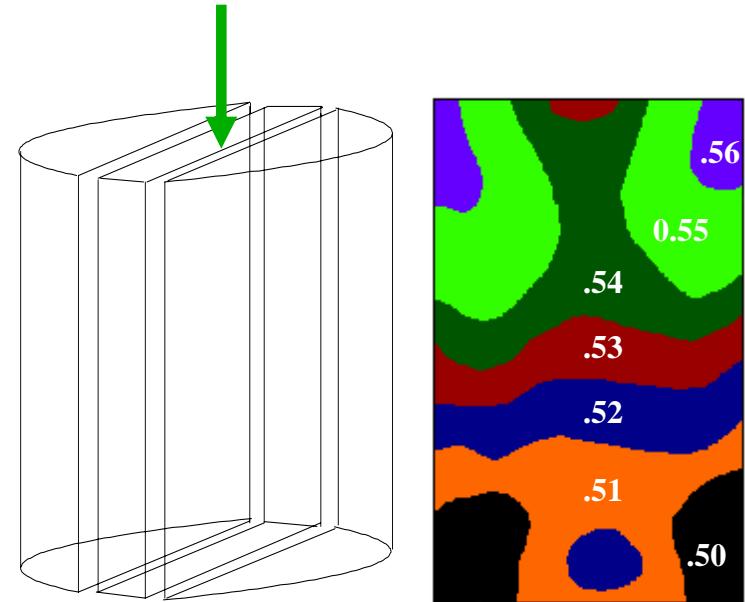


# Density Gradients In A Powder Compact Result From Pressure

Gradients  
94 wt% Alumina • 69 MPa (10 ksi)



Measured Powder Compaction Curve

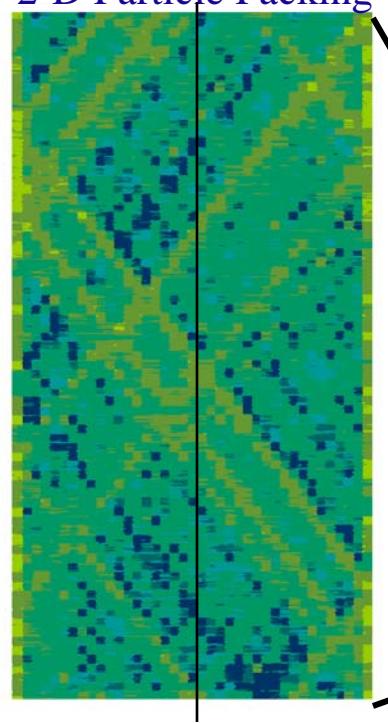


Measured Density Gradients  
2.2 cm Diameter x 3.5 cm Tall



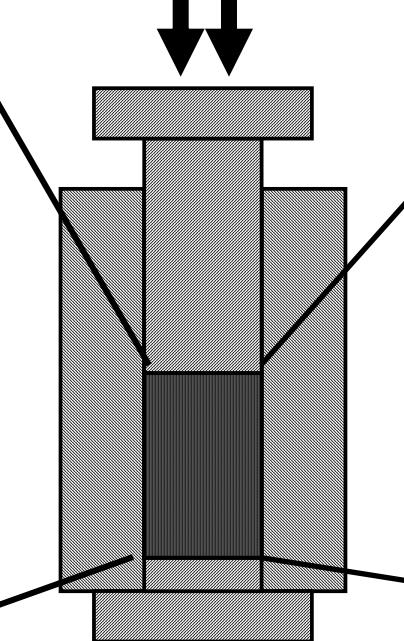
# Variations In Compact Density Result From Packing

Calculated Density Gradients  
Computer-Simulated  
2-D Particle Packing

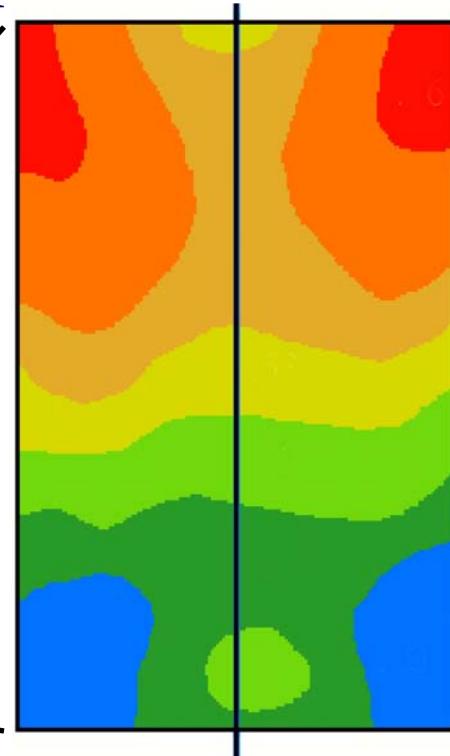


0.75                    0.90  
As-Filled  
67 mm x 22.2 mm  
Aspect Ratio (AR) = 3.0

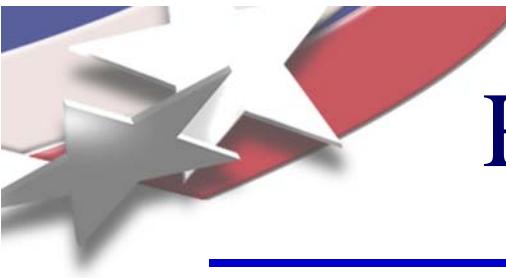
## Heterogeneity



Compacted  
35.1 mm x 22.2 mm  
Aspect Ratio = 1.6



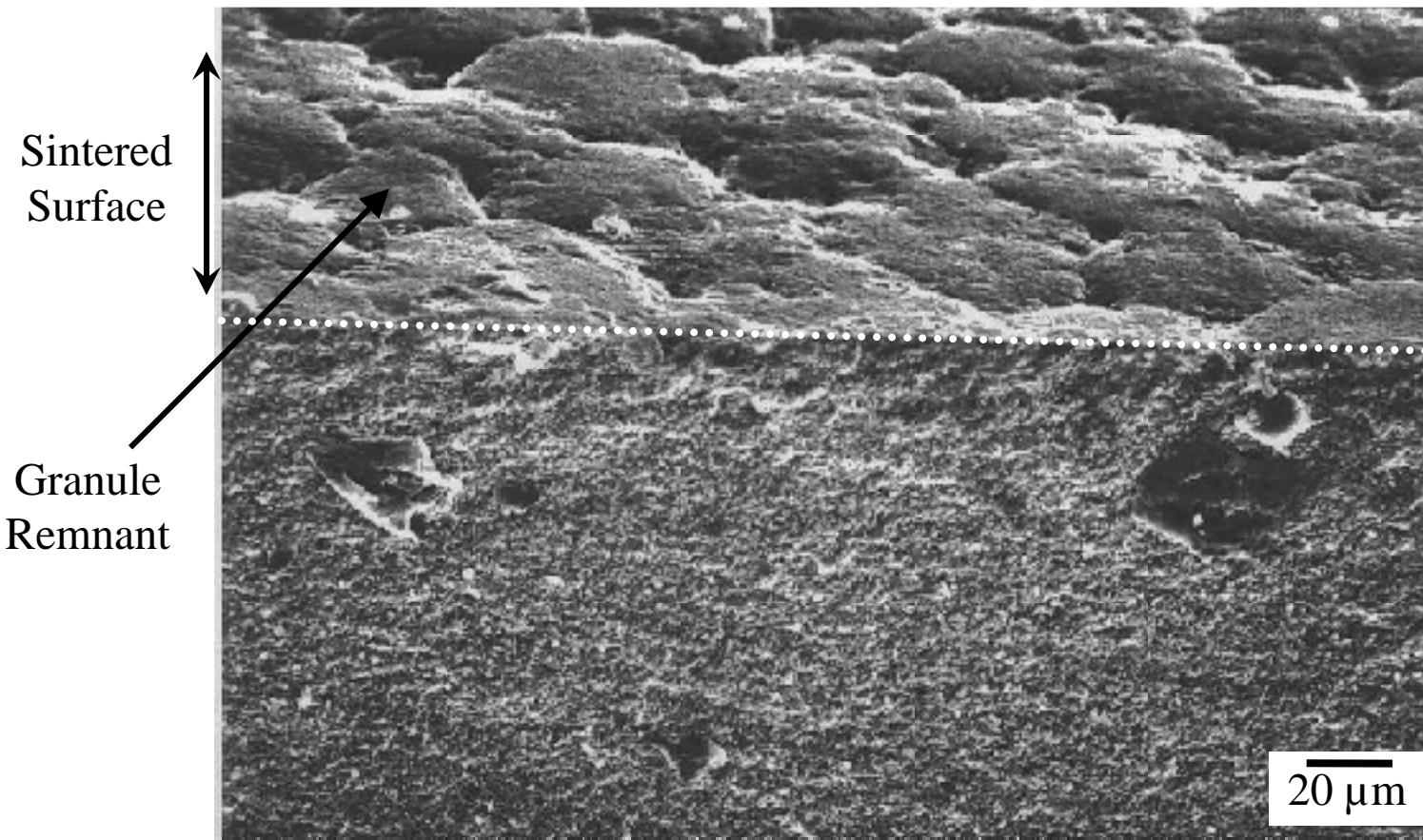
Measured  
Density Gradients  
in 94% Al<sub>2</sub>O<sub>3</sub>



# How Aggregates Leave Remnants After Pressing And Sintering

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Zirconia

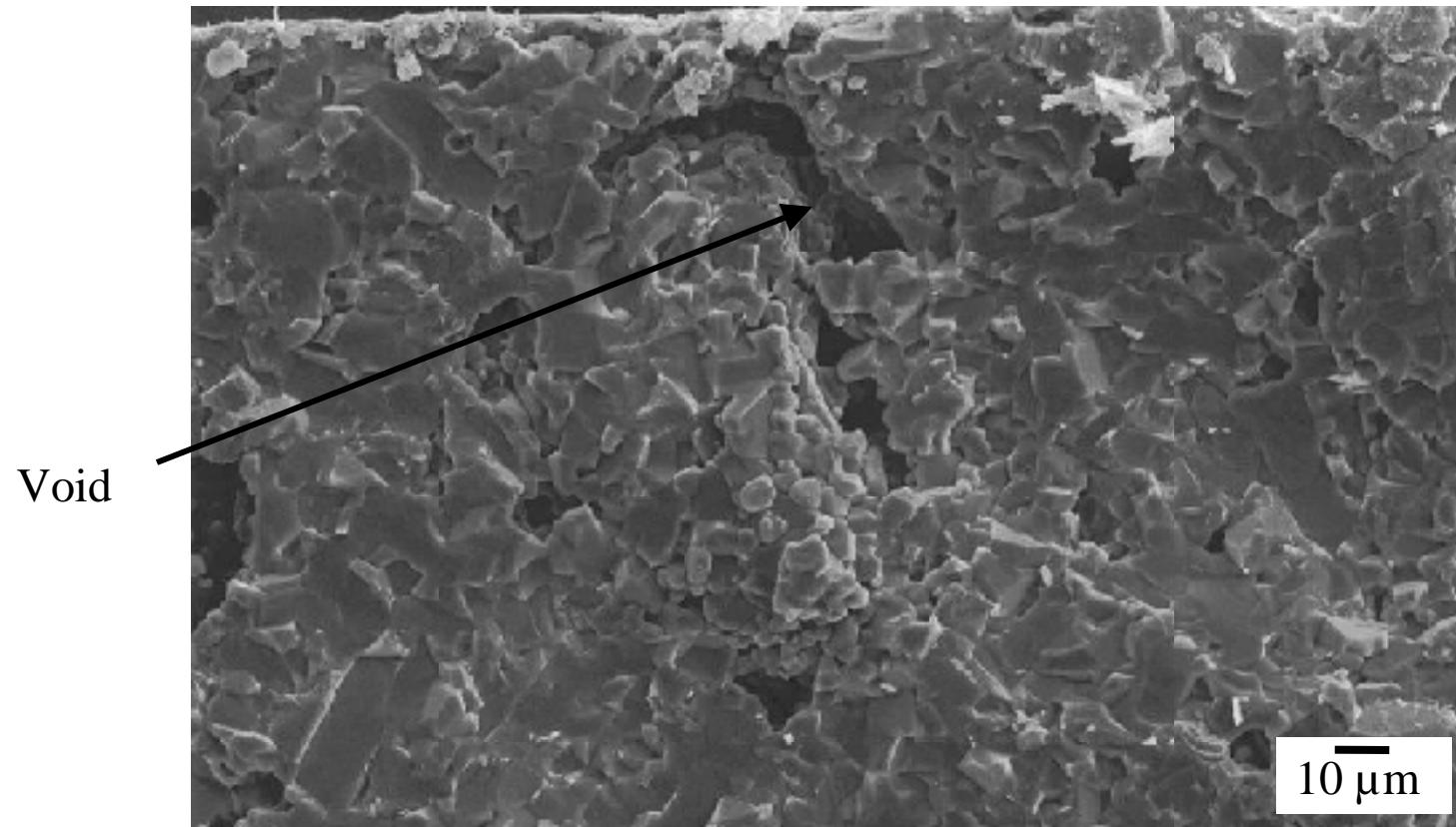




# Agglomerates/Packing Can Result In Differential Sintering & Defects

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Sintered 94%  $\text{Al}_2\text{O}_3$





# Powder Characteristics Influence All Three Stages Of Powder Pressing

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## 1) Die Filling => Powder Flow & Packing

Particle/Granule Size Distribution & Shape

## 2) Pressing => Compaction Response & Density

Granule Density

Granule Deformation

Die Wall & Interparticle Friction

## 3. Ejection => Defects

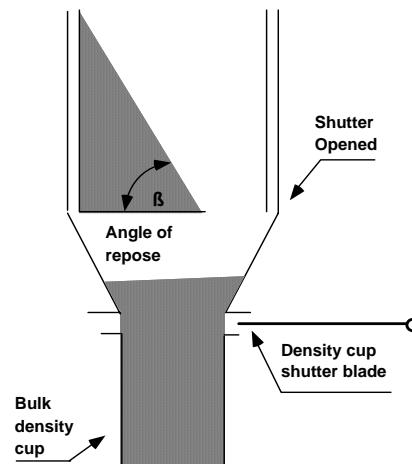
Springback

Ejection Pressure/Compact Strength



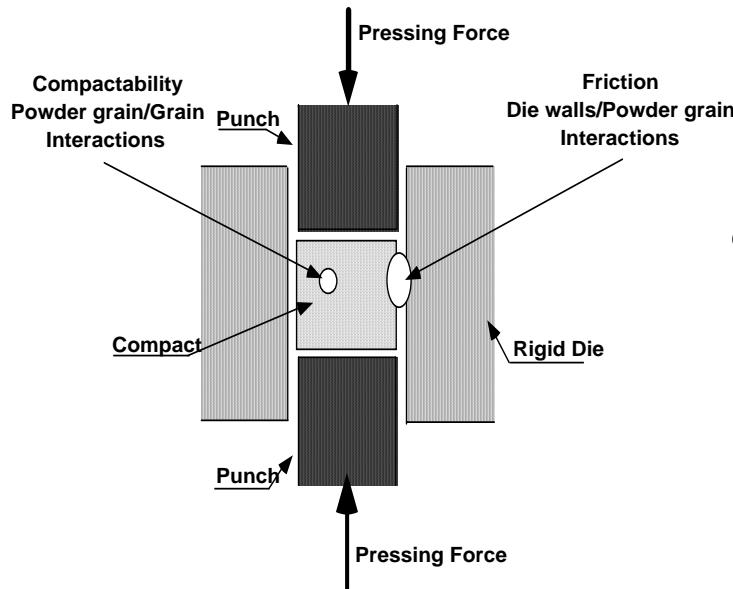
# A PTC Was Used To Characterize Powder Flow, Pressing, & Ejection

## KZK Powder Testing Center (PTC)

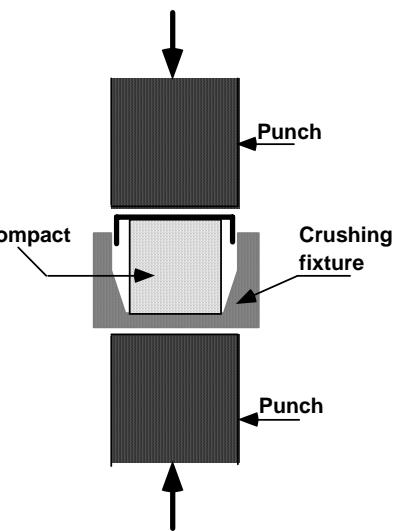


**Powder Flow & Packing**  
Angle of Repose  
Bulk & Tap Density

<http://kzkptc.com/>



**Pressing & Ejection**  
Compaction Response  
Ejection Pressure  
Springback

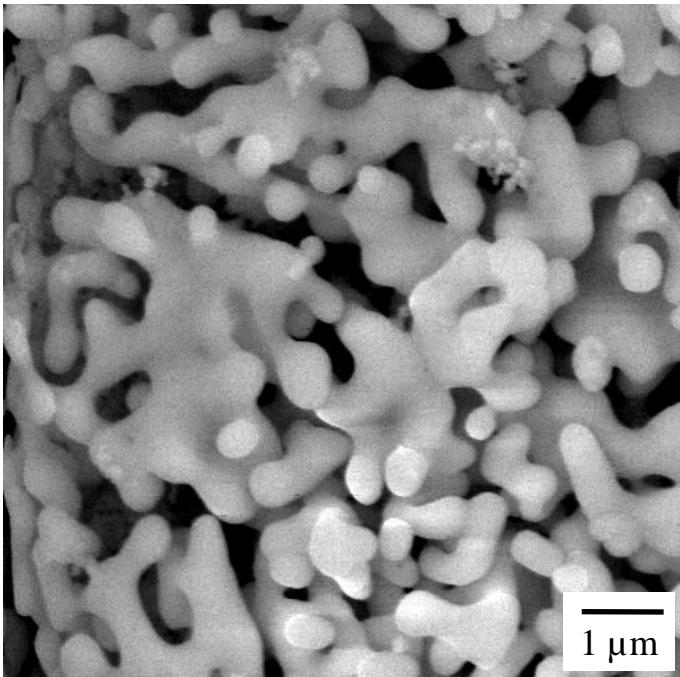


**Compact Properties**  
Compact Strength



# Particle Size & Shape, And Granulation Affect Flow & Packing

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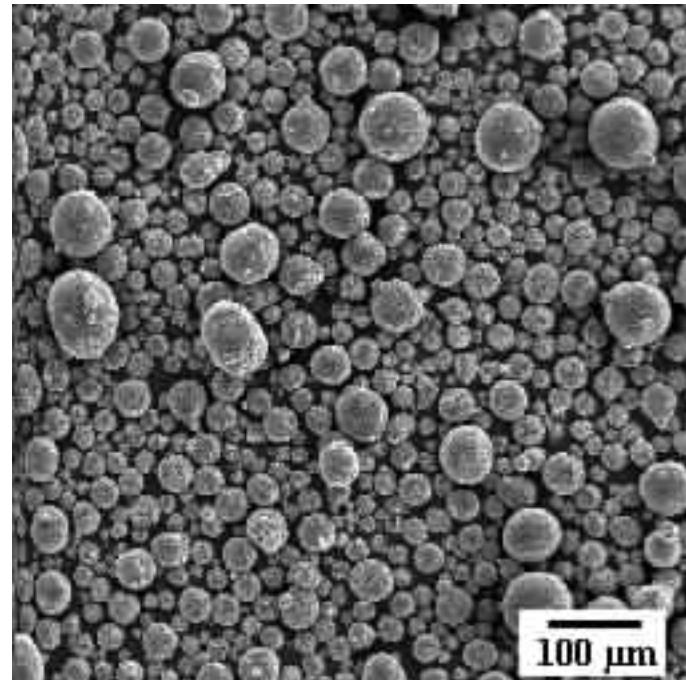


## Chemically Synthesized PZT

Angle of Repose =  $83^\circ$

Bulk Density = 12.5%

Tap Density = 15.4%



## Spray-Dried 94% Alumina

Angle of Repose =  $34^\circ$

Bulk Density = 27.1%

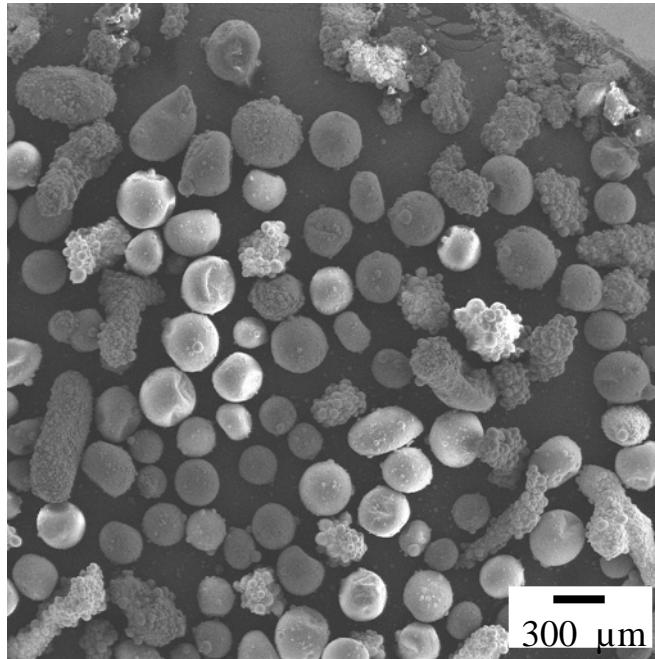
Tap Density = 29.2%



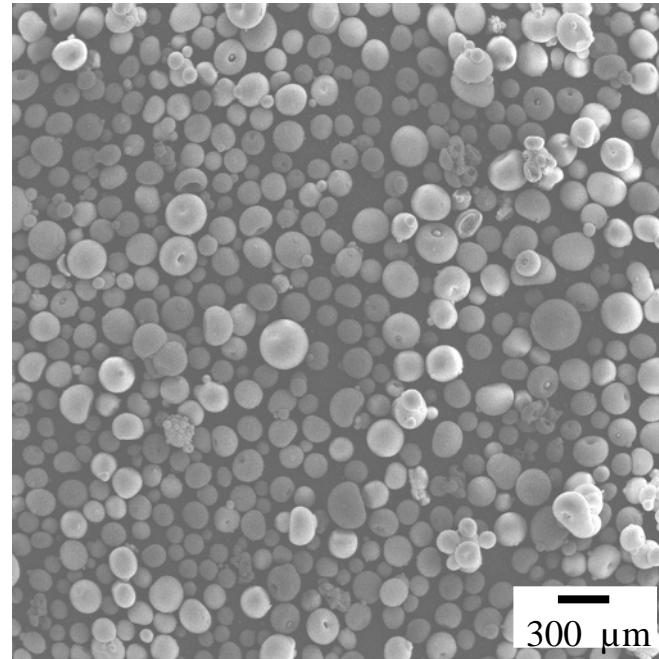
# Smooth Spherical Granules Flow and Pack Better

Powder	Angle of Repose (degrees)	Bulk Density (%)	Tap Density (%)	Hausner Ratio
94% $\text{Al}_2\text{O}_3$	37±4	27.94±0.19	29.82±0.18	1.07±0.01
99.5% $\text{Al}_2\text{O}_3$	28±1	32.48±0.31	34.43±0.29	1.06±0.01

94%  $\text{Al}_2\text{O}_3$



99.5 %  $\text{Al}_2\text{O}_3$



$$113 = \text{Agglomerate Size } (\mu\text{m}) = 122$$

$$4.2 = \text{Particle Size } (\mu\text{m}) = 2.0$$

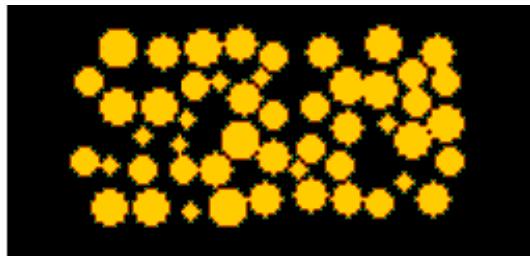
$$1.85 = \text{Surface Area } (\text{m}^2/\text{g}) = 3.20$$



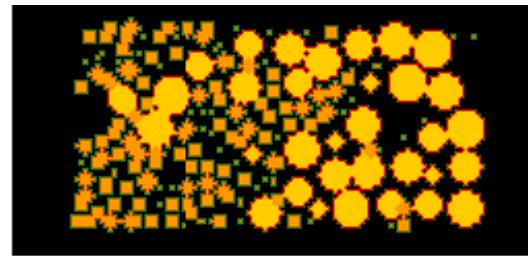
# Particle/Agglomerate Size And Distribution Affect Packing & Defects

## Nuclear Magnetic Resonance Imaging (NMRI) of Particle Packing

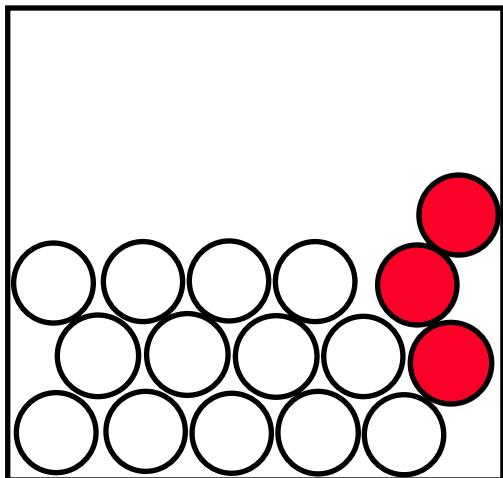
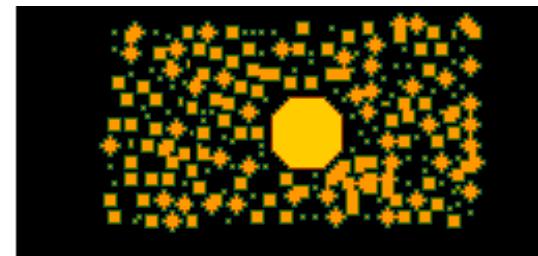
Monosized



3.2 & 6.3 mm



3.2 & 12.7 mm



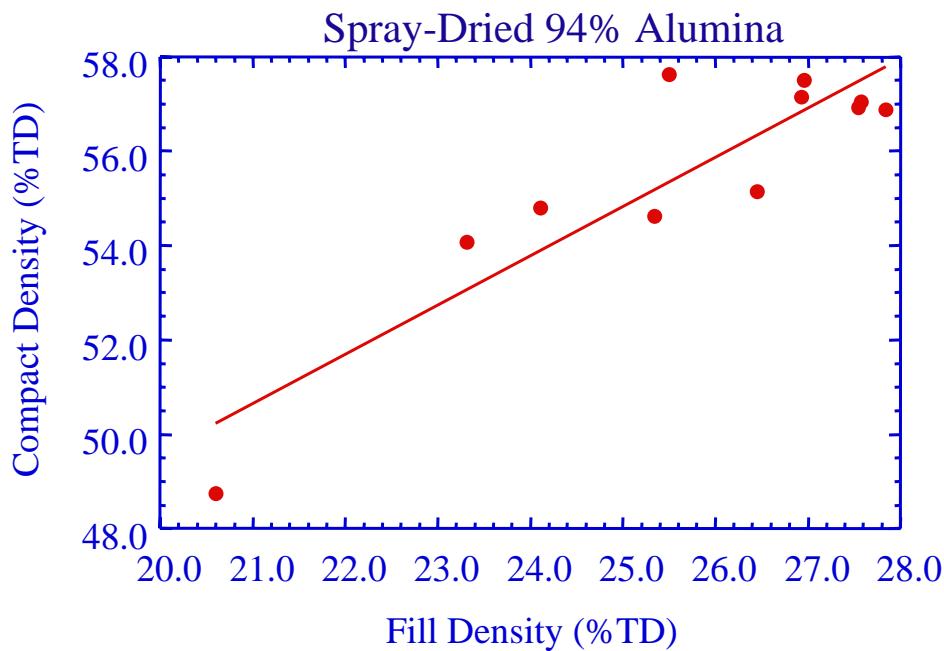
Larger particles =  
larger packing defects

Packing defects are created by:

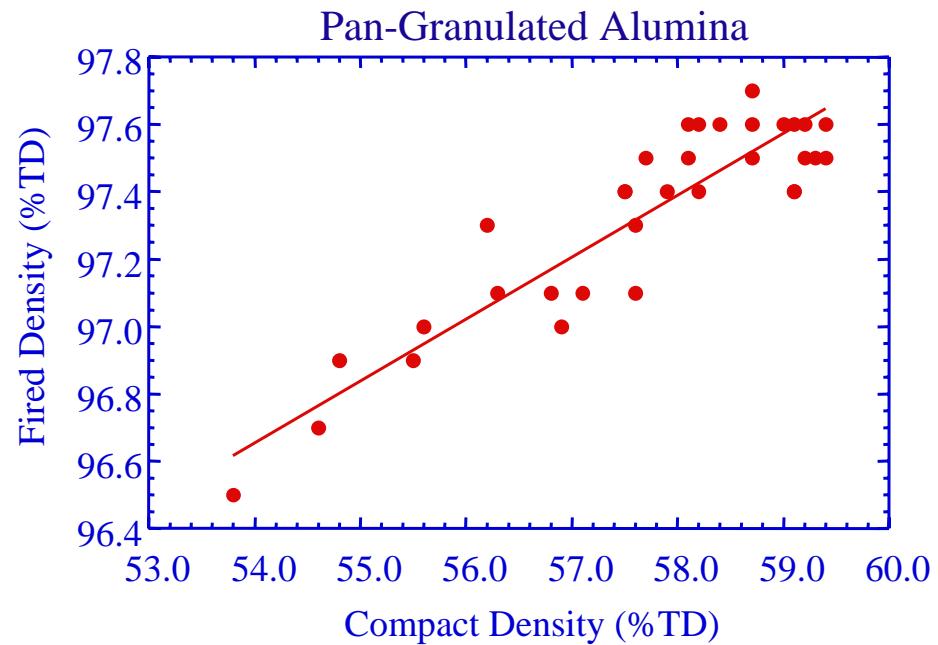
- forming die walls
- large particles
- agglomerates



# Packing Defects Introduced During Die Filling Persist Throughout Processing



Compact Density Increases  
With Fill Density

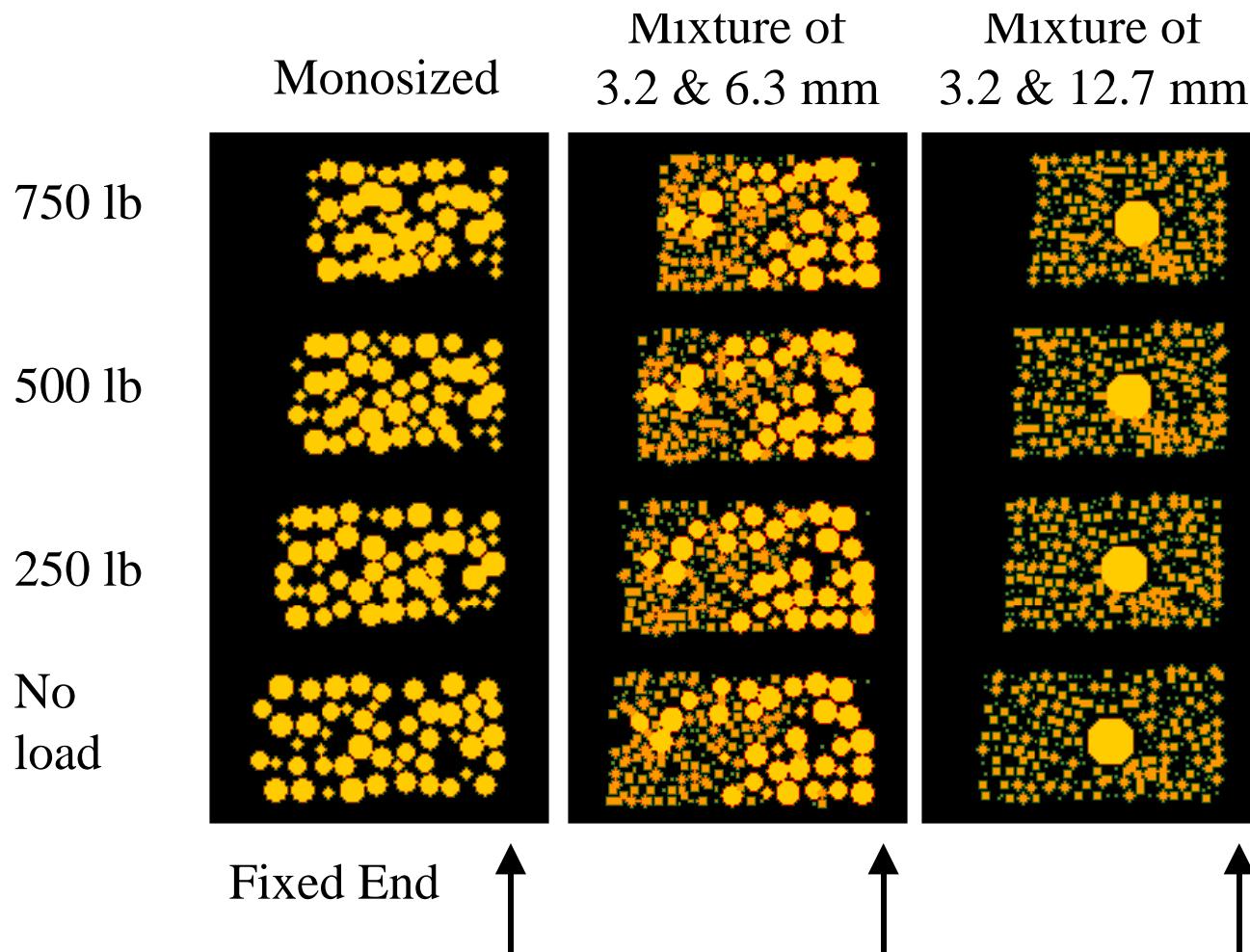


Sintered Density Increases  
With Compact Density



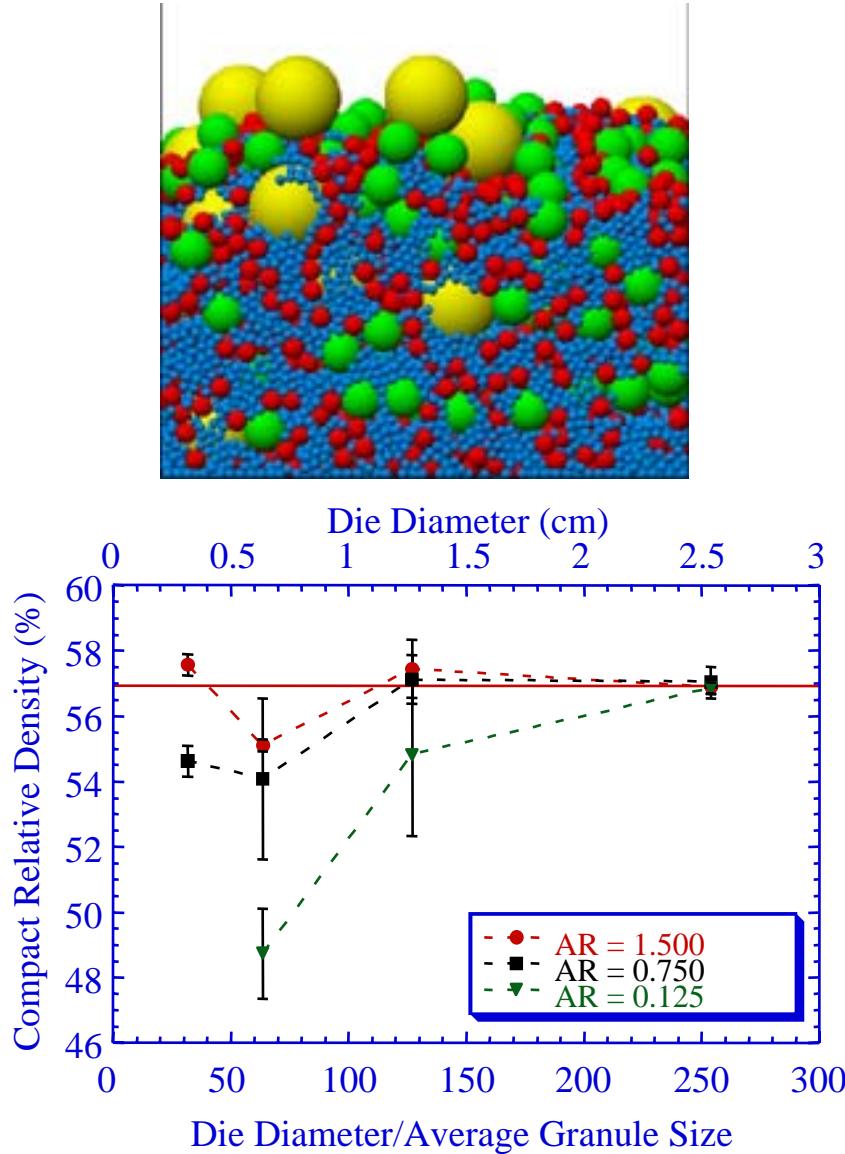
# Defects Introduced In Die Filling Are Not Eliminated During Pressing

NMRI *in-situ* compaction experiments  
relative particle motion  $< 1$  particle radius





# Modeling Provides Guidance On How To Minimize Packing Defects

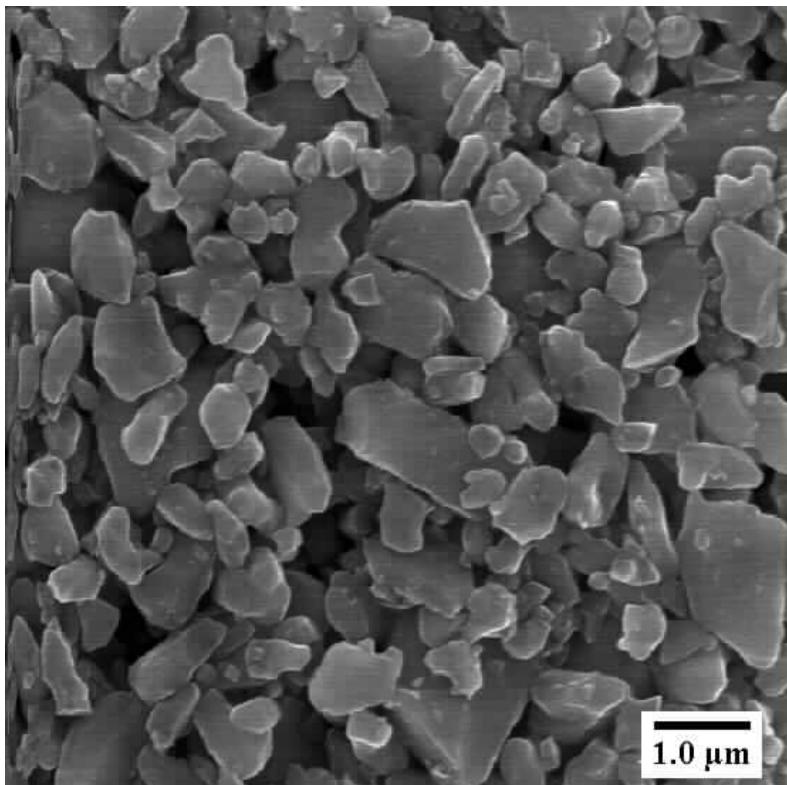


- There is a critical component size to granule size ratio of 250:1
- The ratio is important for small parts with a large surface area
- Granule sizing improve yields of small parts
- Packing models can be used to tailor granule size distributions

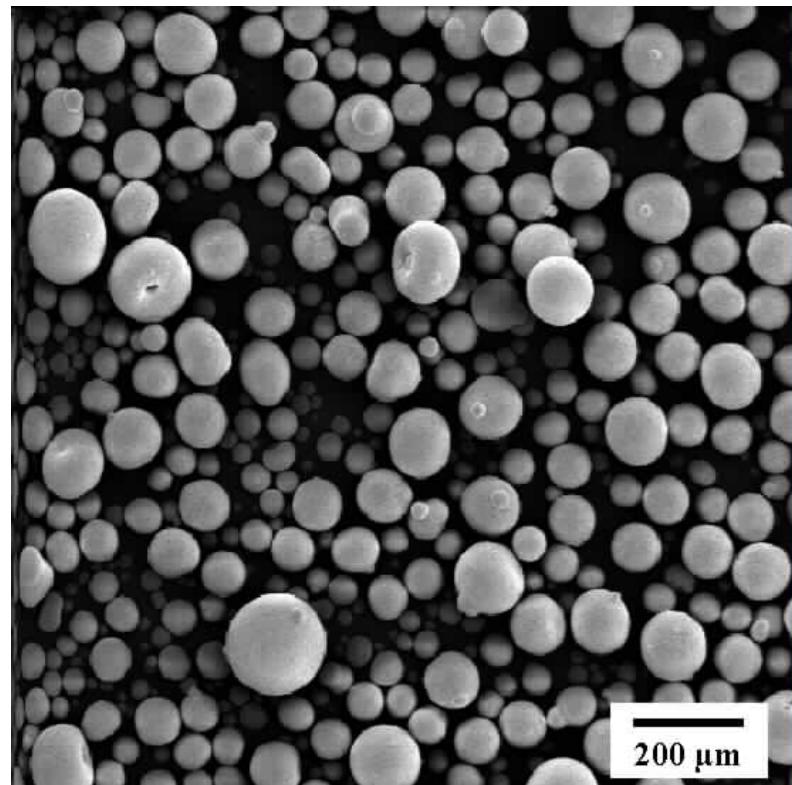


# Ceramic Powders Are Granulated To Improve Flow, Packing, & Compaction

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Alumina Powder

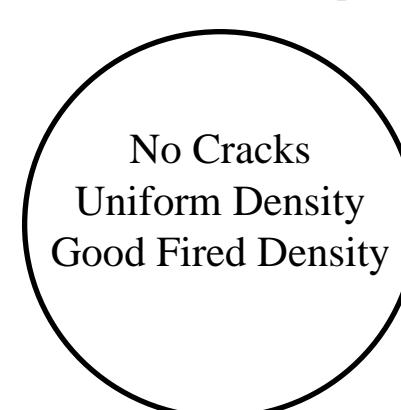
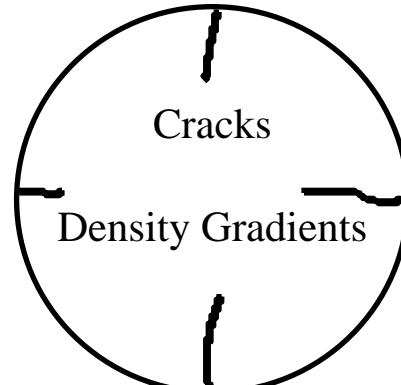
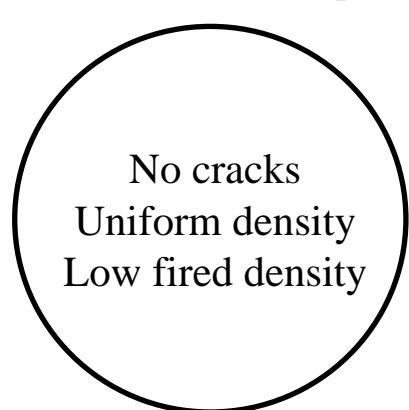
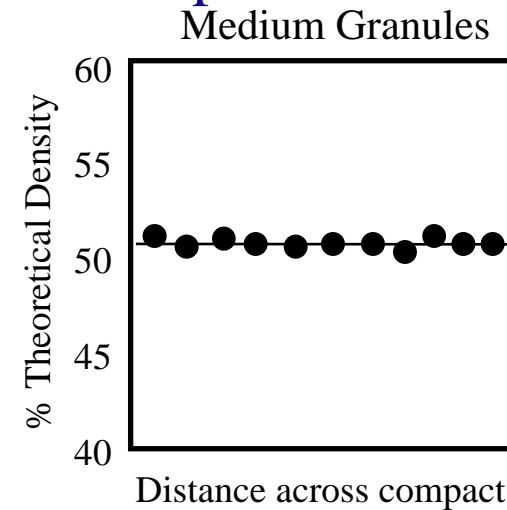
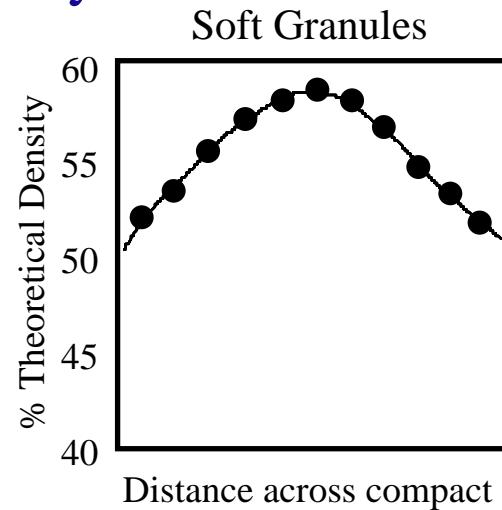
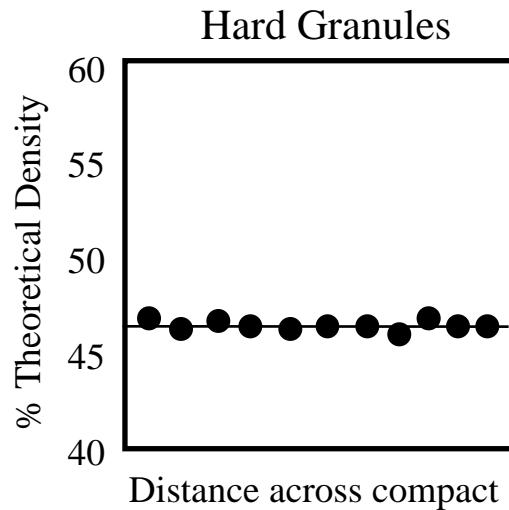


Spray-Dried  
Alumina Granules



# Granule Hardness Influences Flow, Packing, & Compaction

## Green Density vs. Distance Across Compact

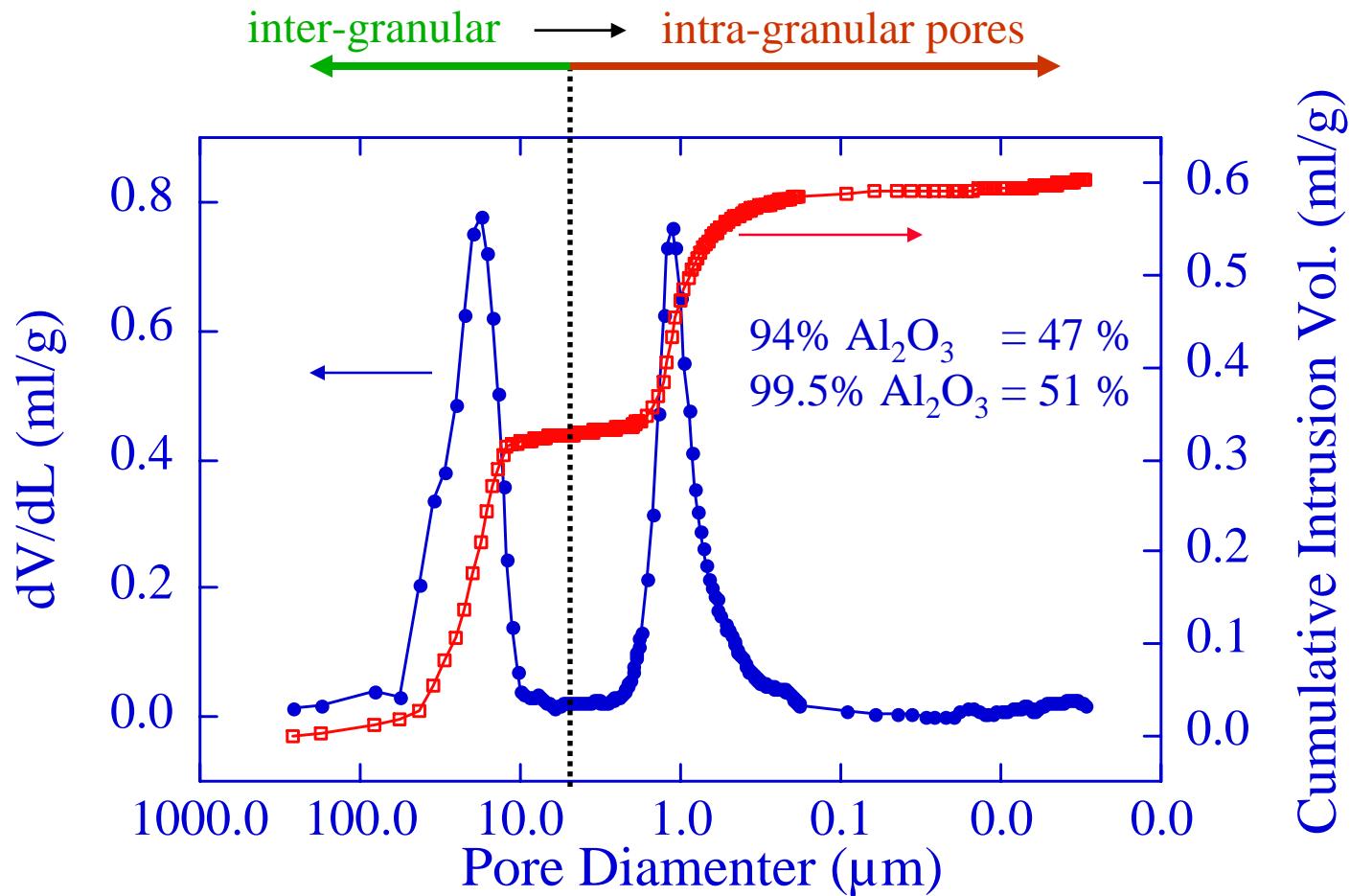


Onoda (1995)

Disk Appearance After Sintering



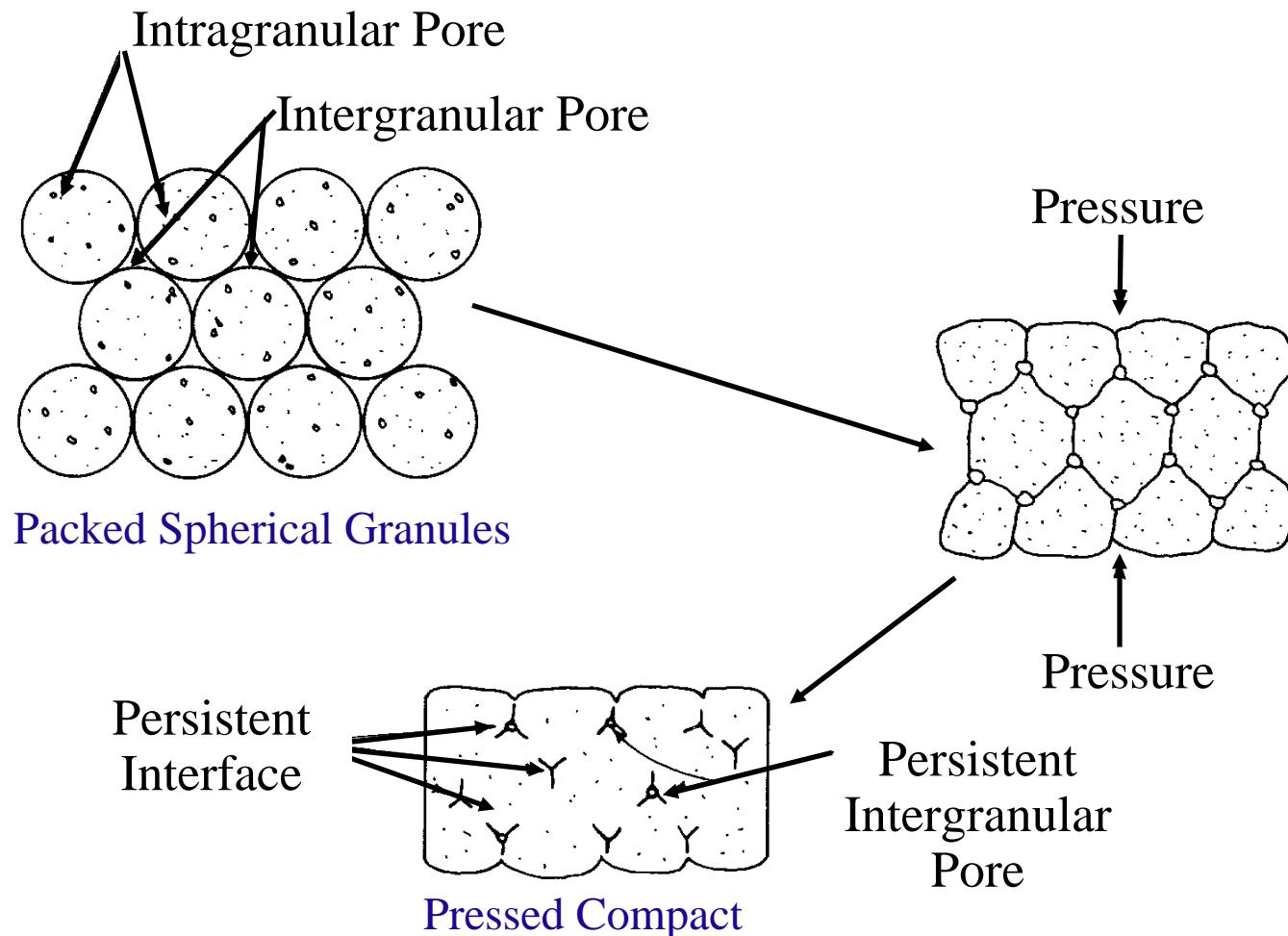
# Granule Density Can Be Measured Using Mercury Intrusion Porosimetry



A good granule density is  $\sim 45\text{-}50\%$



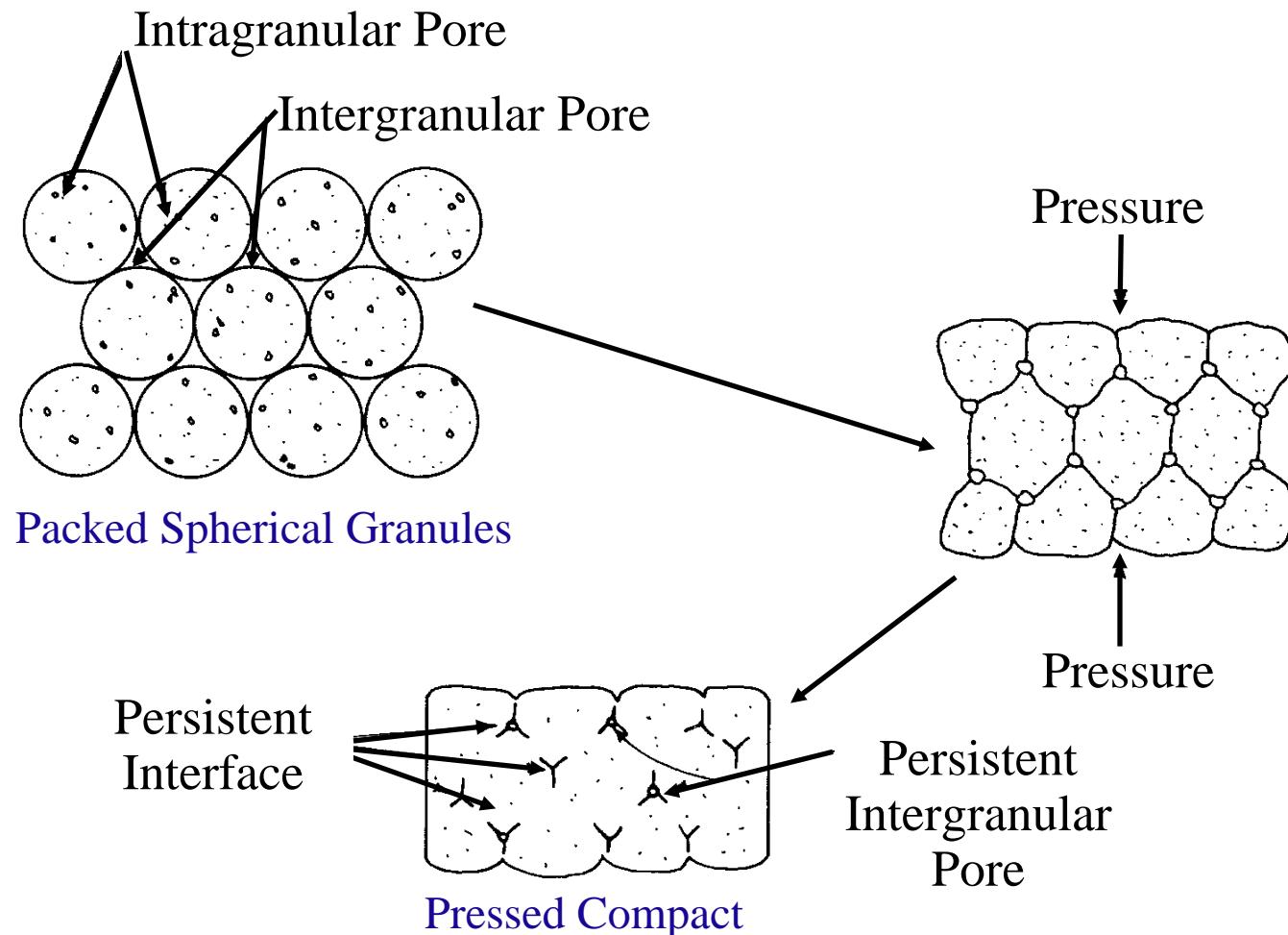
# Granule Packing & Deformation Influence Compaction & Density



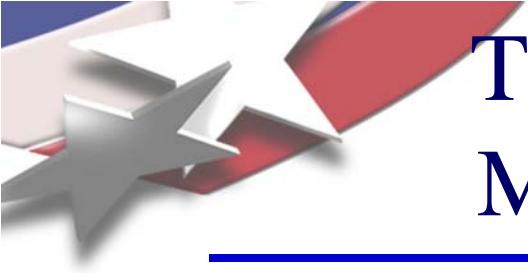
Reed (1988, 1995)



# Granule Packing & Deformation Influence Compaction & Density



Reed (1988, 1995)



# The Compaction Curve Provides A Measure Of Compaction Response

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## Region I

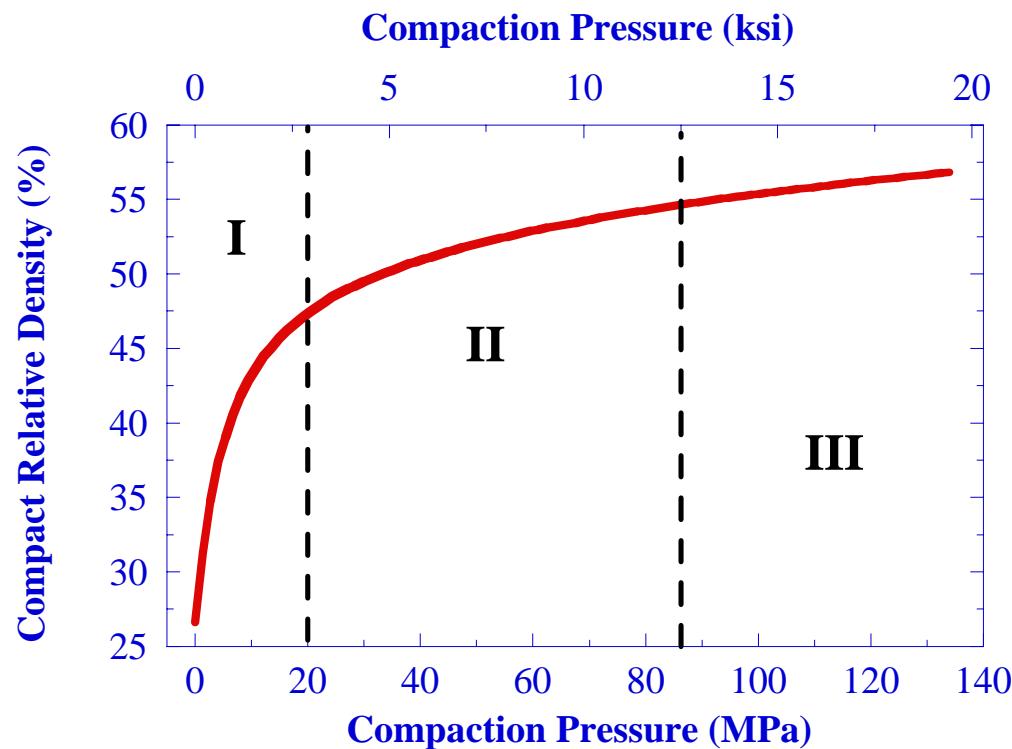
Compact density is extremely sensitive to variations in pressing pressure.

## Region II

Most desirable region for manufacturing.

## Region III

Compact density relatively insensitive to forming pressure.

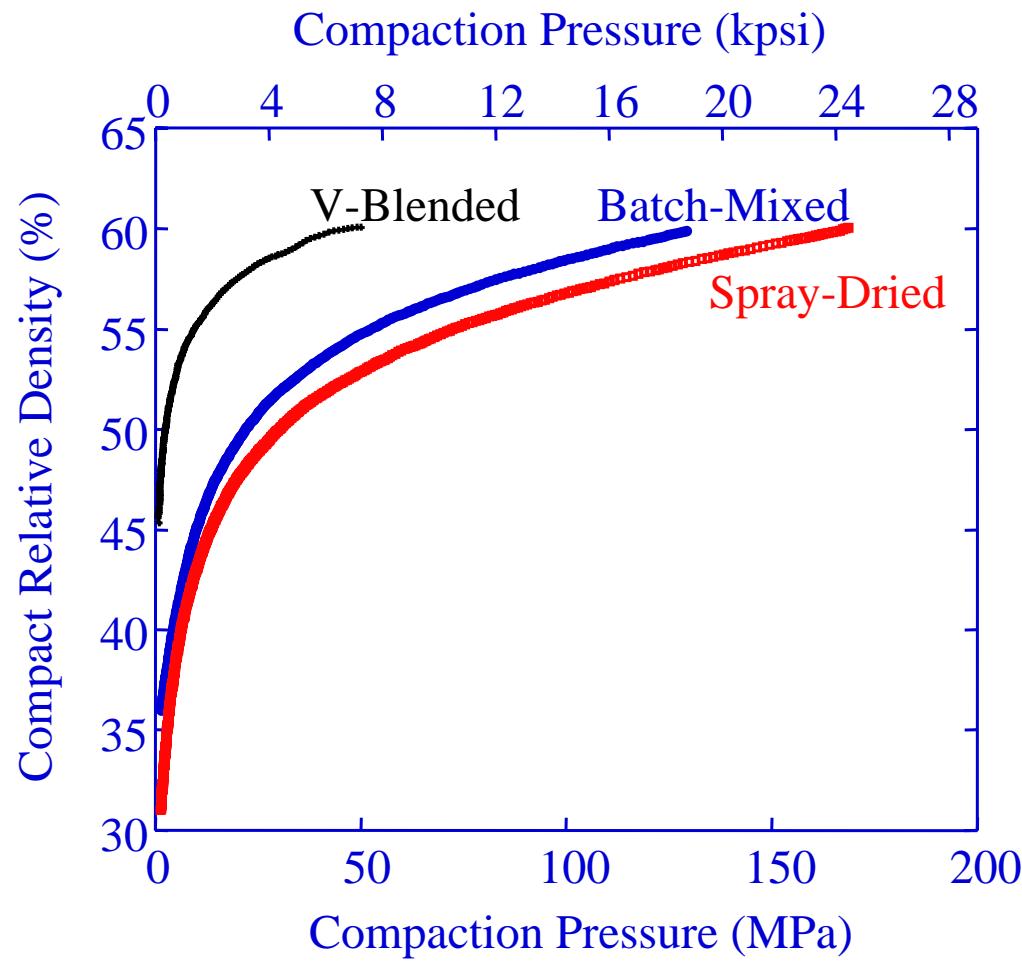




# Compaction Response Can Vary Appreciably With Granulation

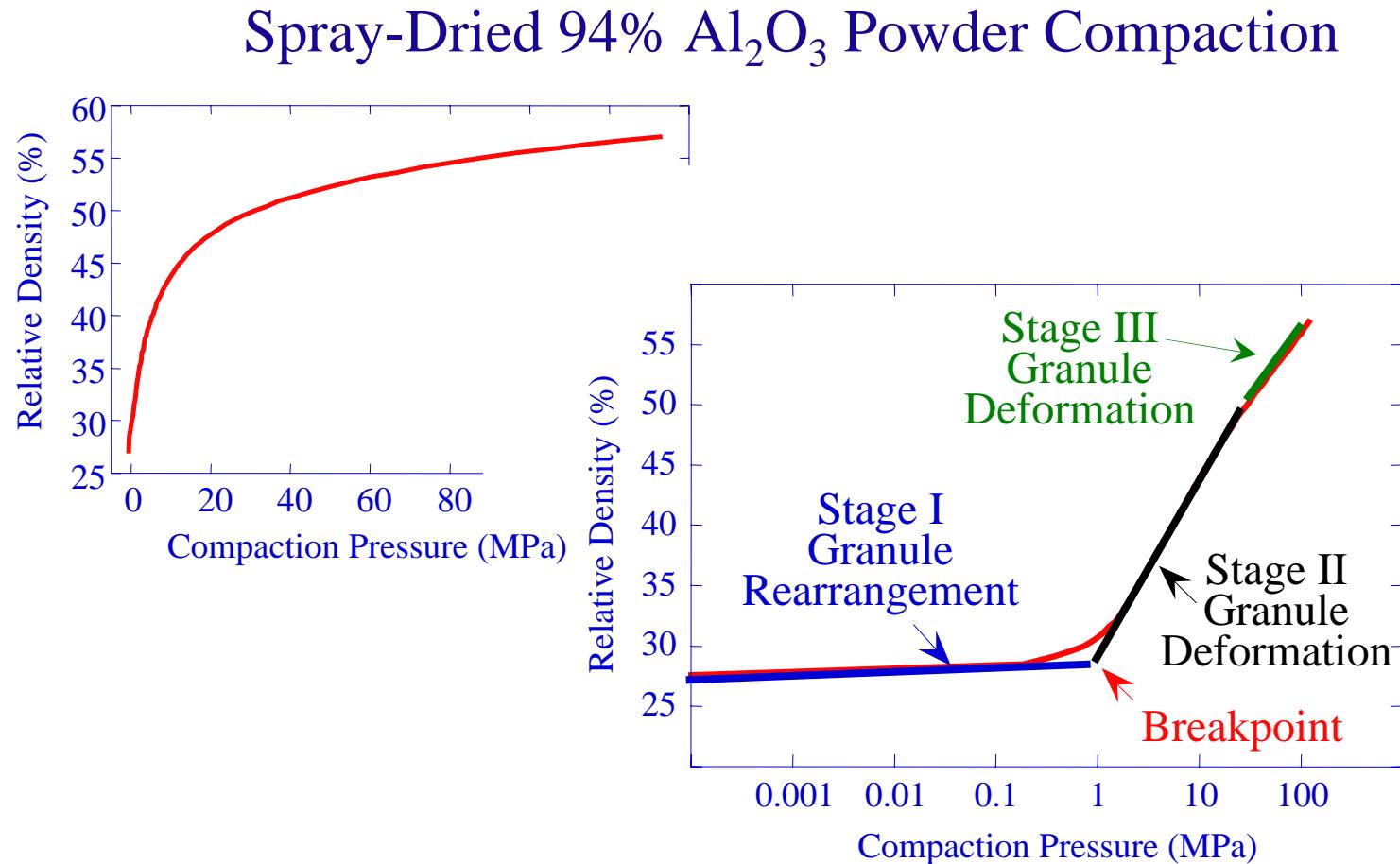
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Granulated 94%  $\text{Al}_2\text{O}_3$  Powder Compaction





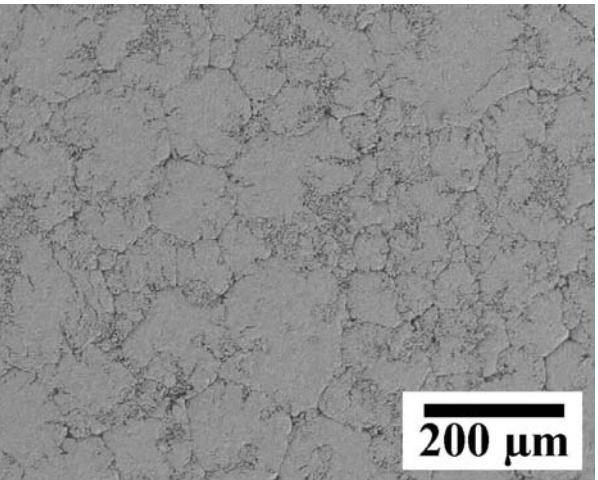
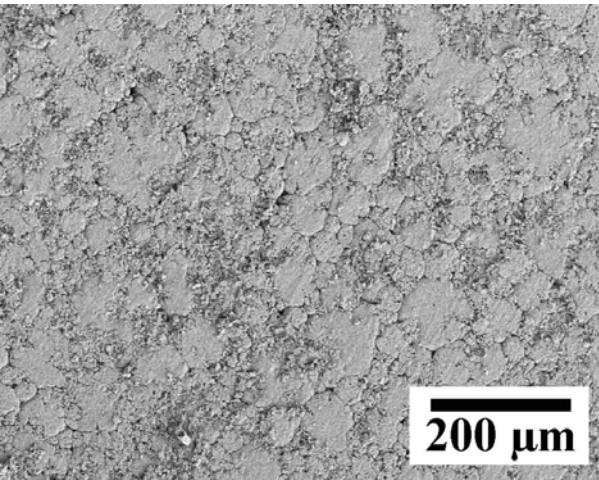
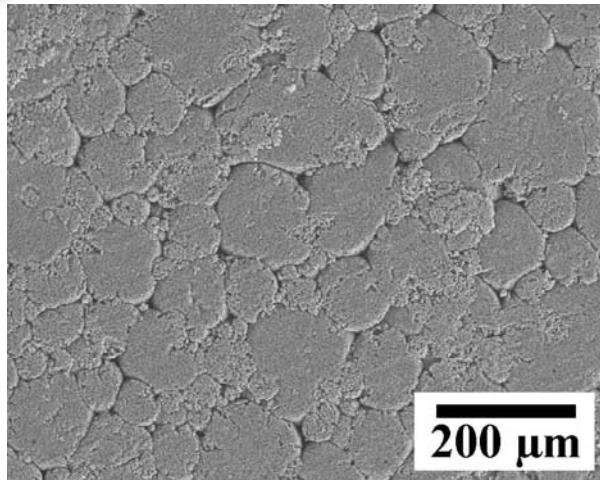
# Density vs. Log Pressure Reveals Three Compaction Stages & A Breakpoint



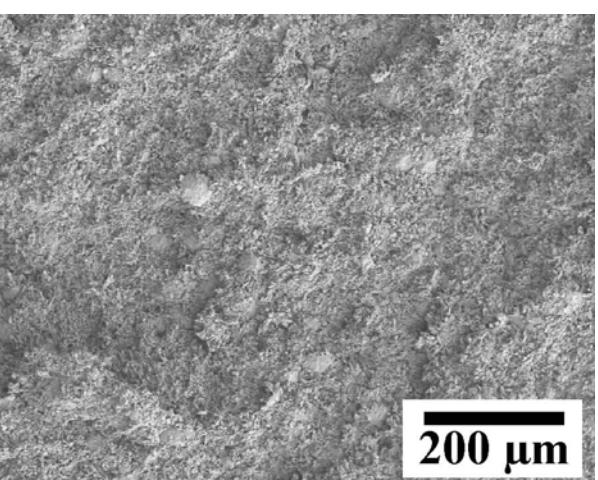
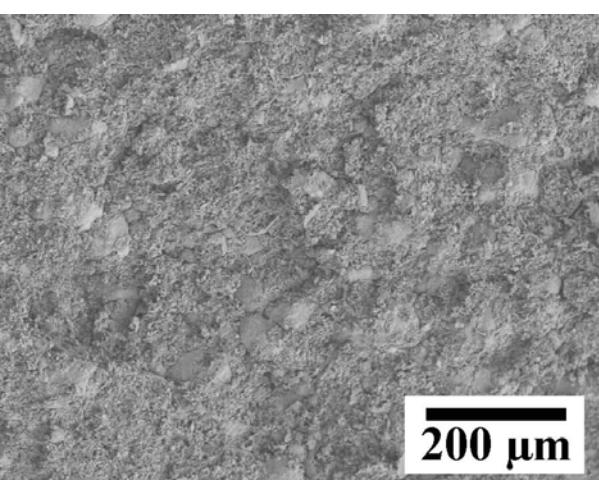
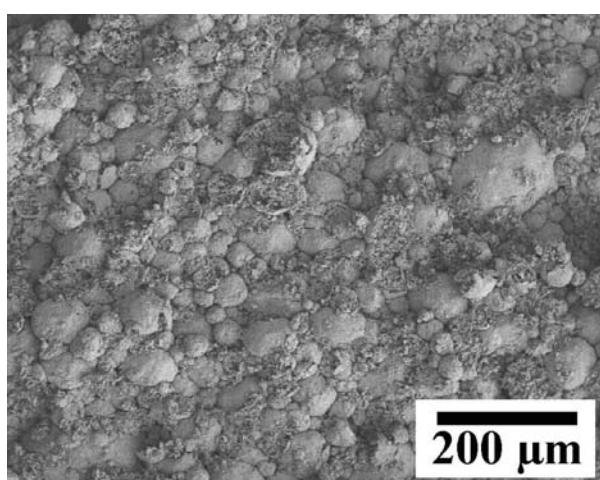


# Remnants of Spray-Dried $\text{Al}_2\text{O}_3$ Granules Disappear At $\sim 69$ MPa

Pressed Surface



Fracture Surface



17.2 MPa  
2,500 psi

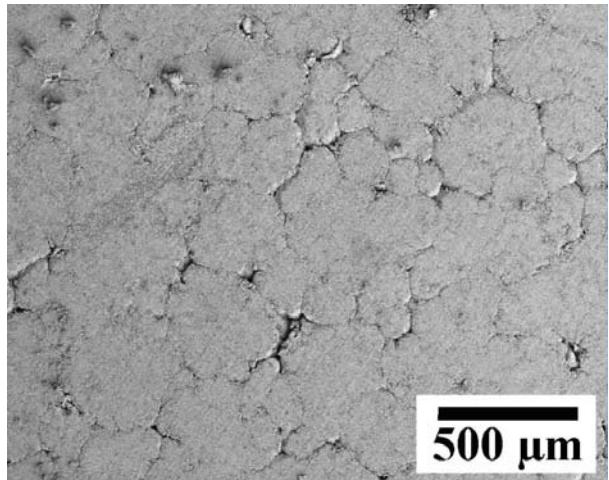
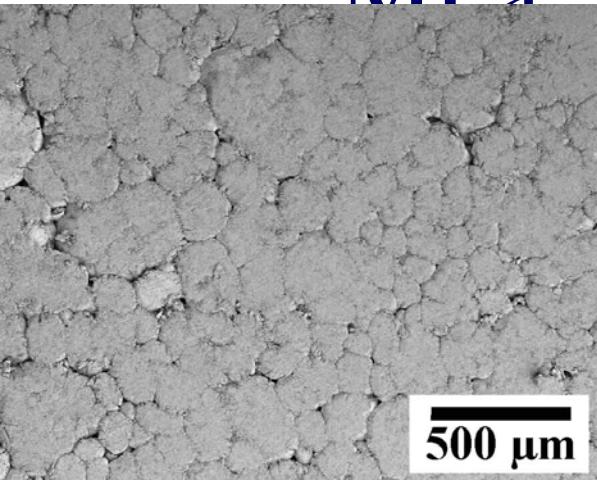
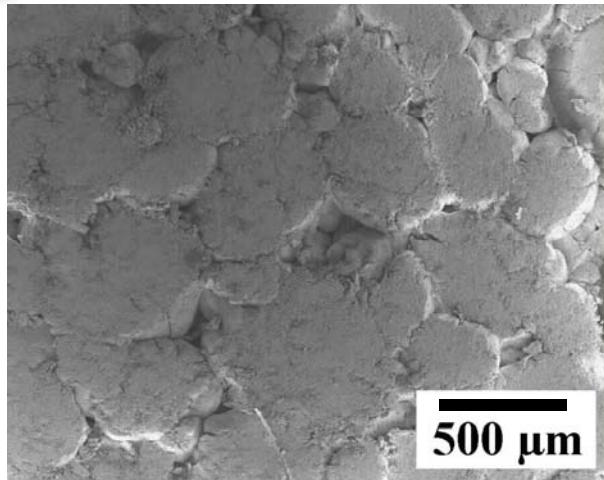
68.9 MPa  
10,000 psi

137.9 MPa  
20,000 psi

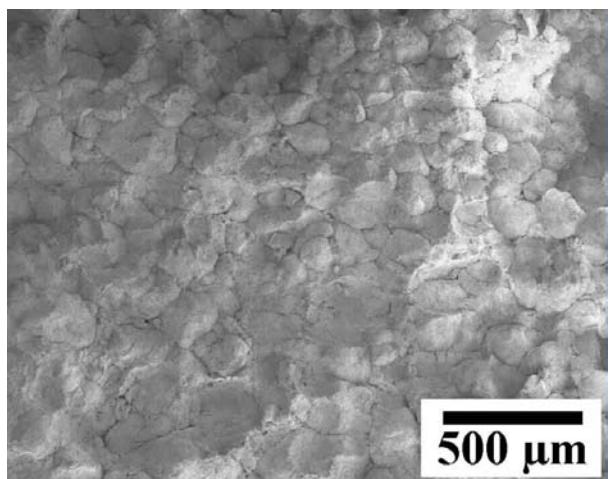
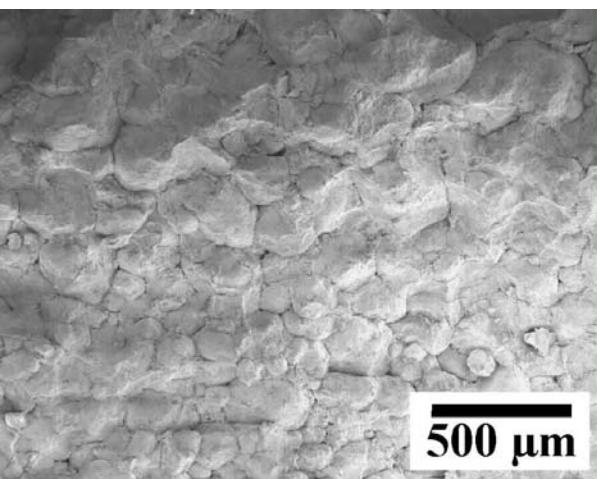
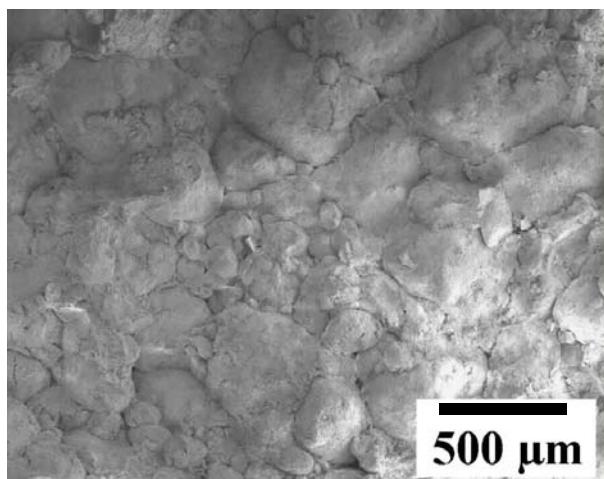


# Remnants of spray-granulated $\text{Al}_2\text{O}_3$ Granules Persist At 137.8 MPa

Pressed Surface



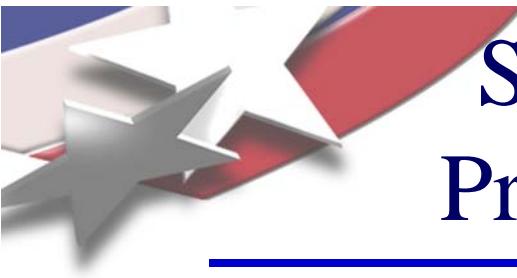
Fracture Surface



17.2 MPa  
2,500 psi

68.9 MPa  
10,000 psi

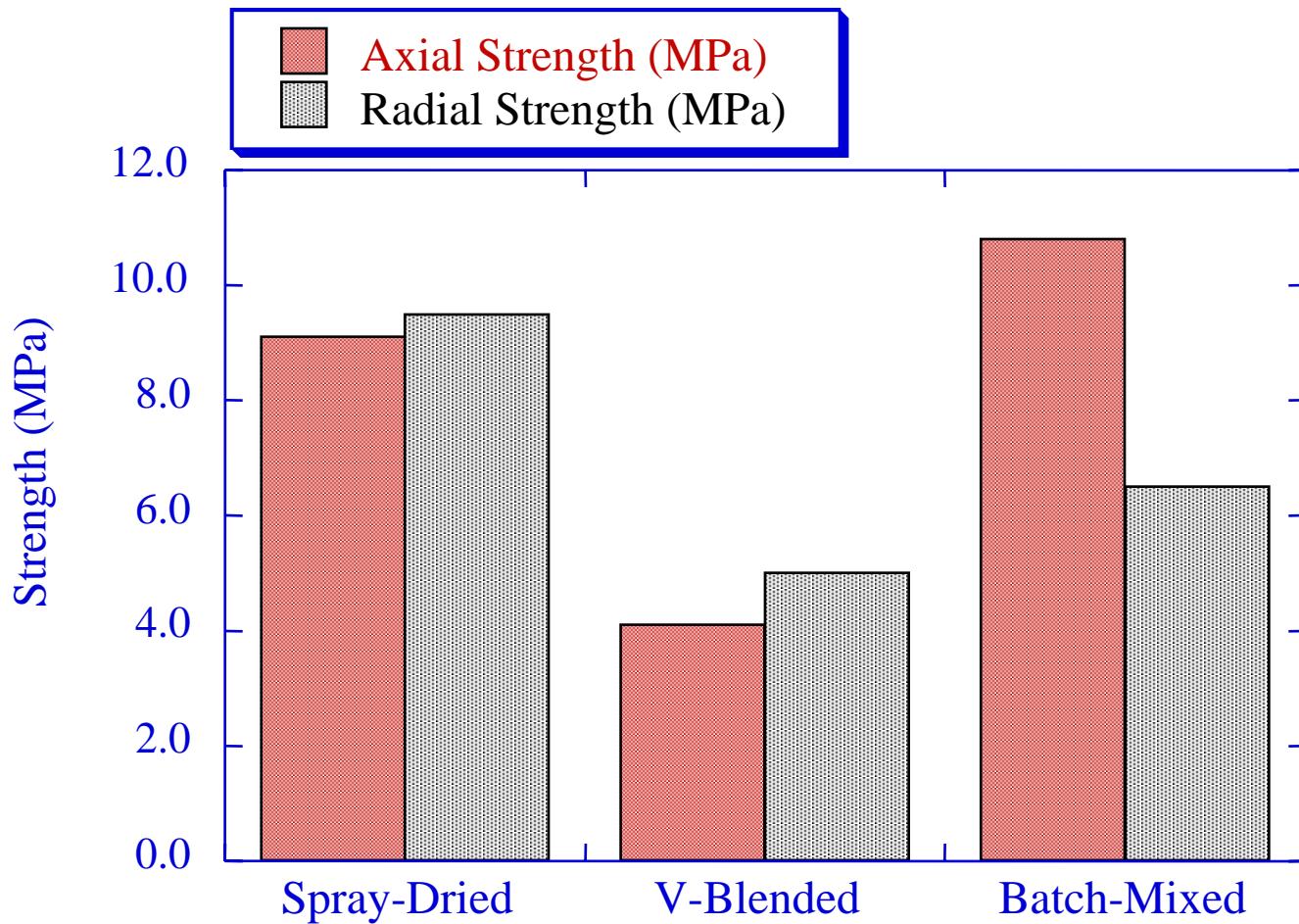
137.8 MPa  
20,000 psi



# Softer More Deformable Granules Produce Stronger Powder Compacts

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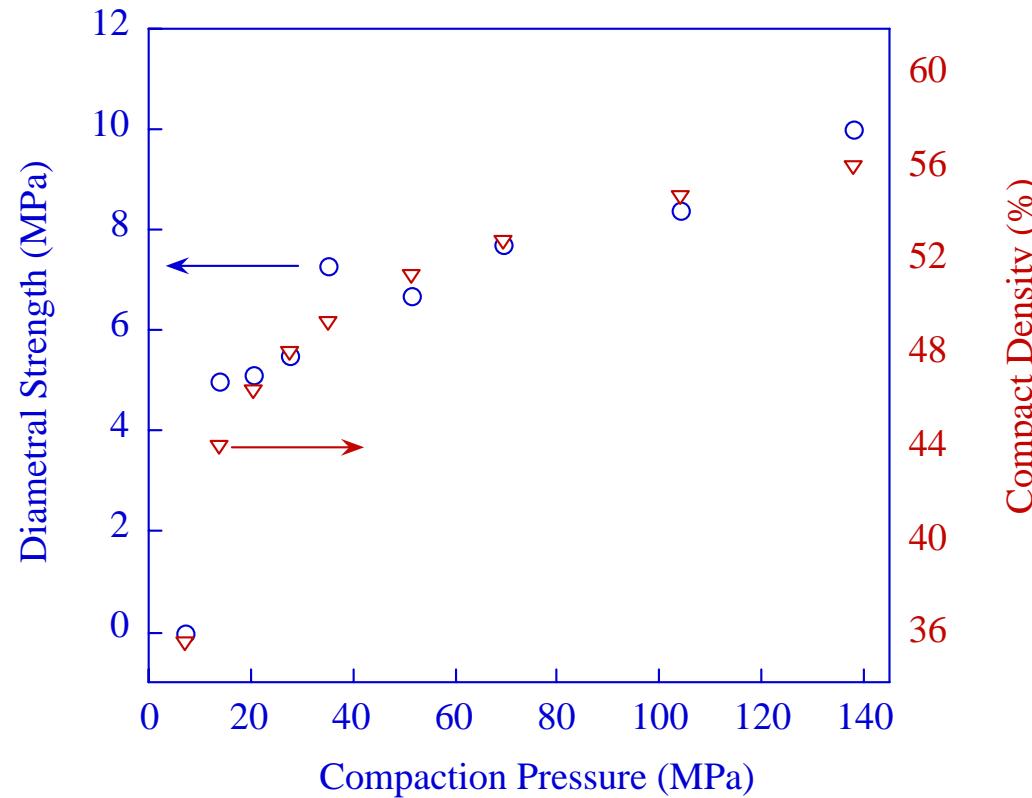
Granulated 94%  $\text{Al}_2\text{O}_3$  Powder Compact Strength





# Strength Increases With Compact Density & Compaction Pressure

Powder	Axial Strength (MPa)	Tensile Strength (MPa)
94% $\text{Al}_2\text{O}_3$	$1.5 \pm 0.1$	$6.4 \pm 0.2$
99.5% $\text{Al}_2\text{O}_3$	$6.4 \pm 0.2$	$4.4 \pm 0.0$

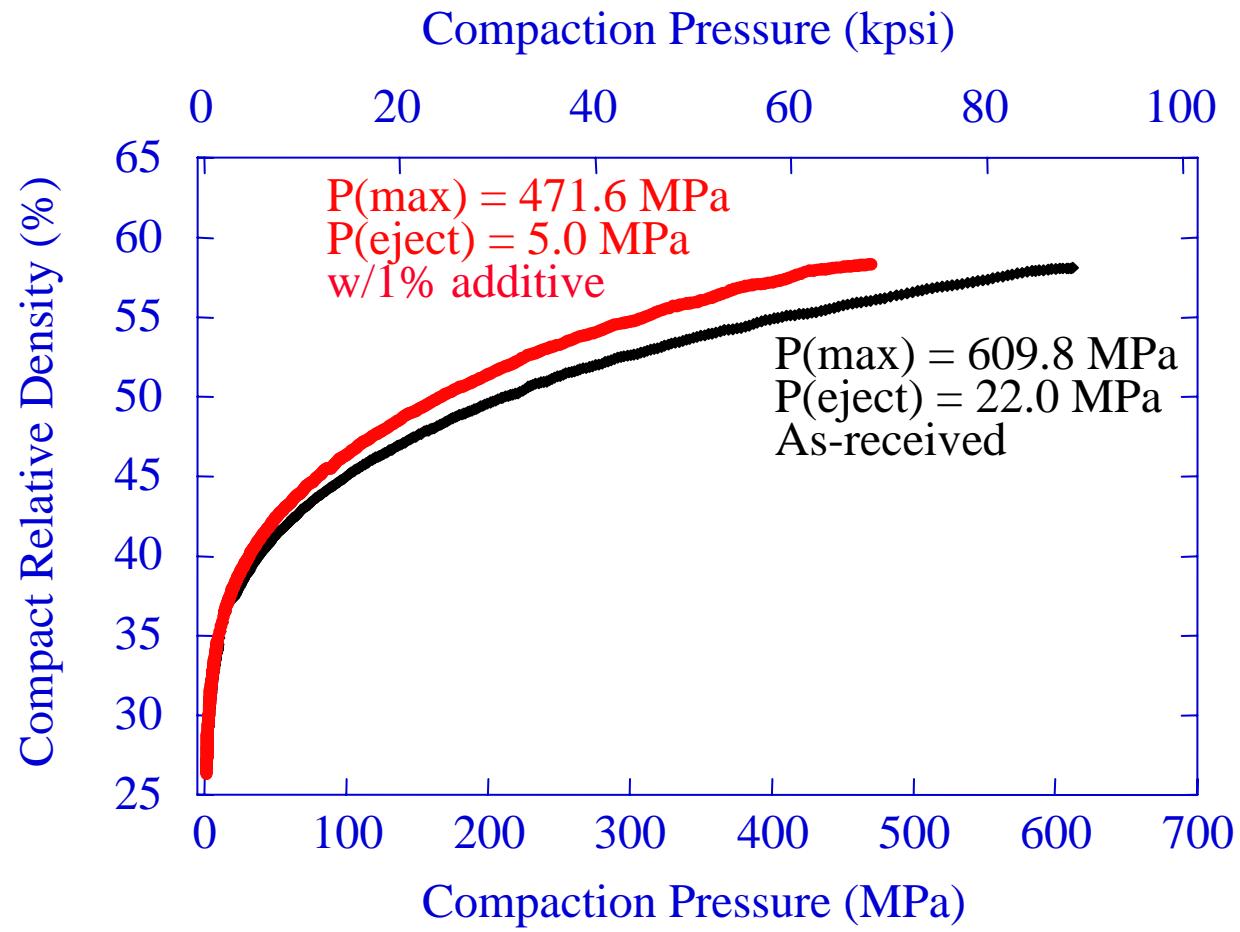




# Ejection Force & Springback Increase With Pressing Pressure

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## Ceramic Powder Compaction Response



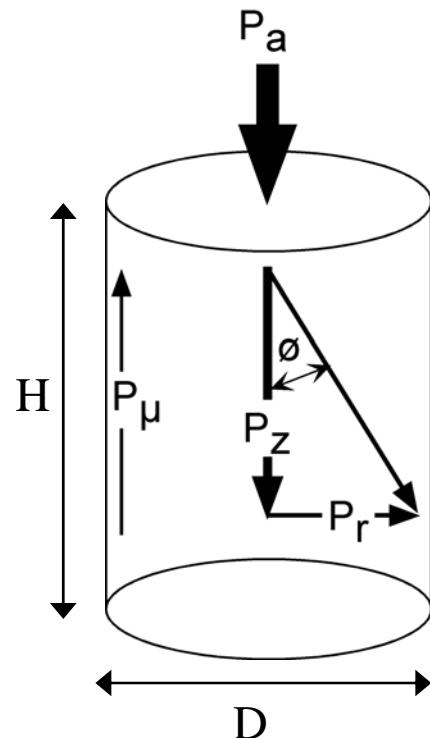


# Friction Determines How Pressure Is Transmitted Through The Compact

$$P_z = P_a \exp(-4/D \cdot z \cdot \mu \cdot \alpha)$$

$$\mu \cdot \alpha = \ln(P_z/P_a) / (-2/r \cdot z)$$

$$\alpha = \tan \phi$$



H/D =	0.1	1	4
P <sub>a</sub> =	10,000 psi	10,000 psi	10,000 psi
P <sub>H</sub> =	9,510 psi	6,065 psi	1,350 psi

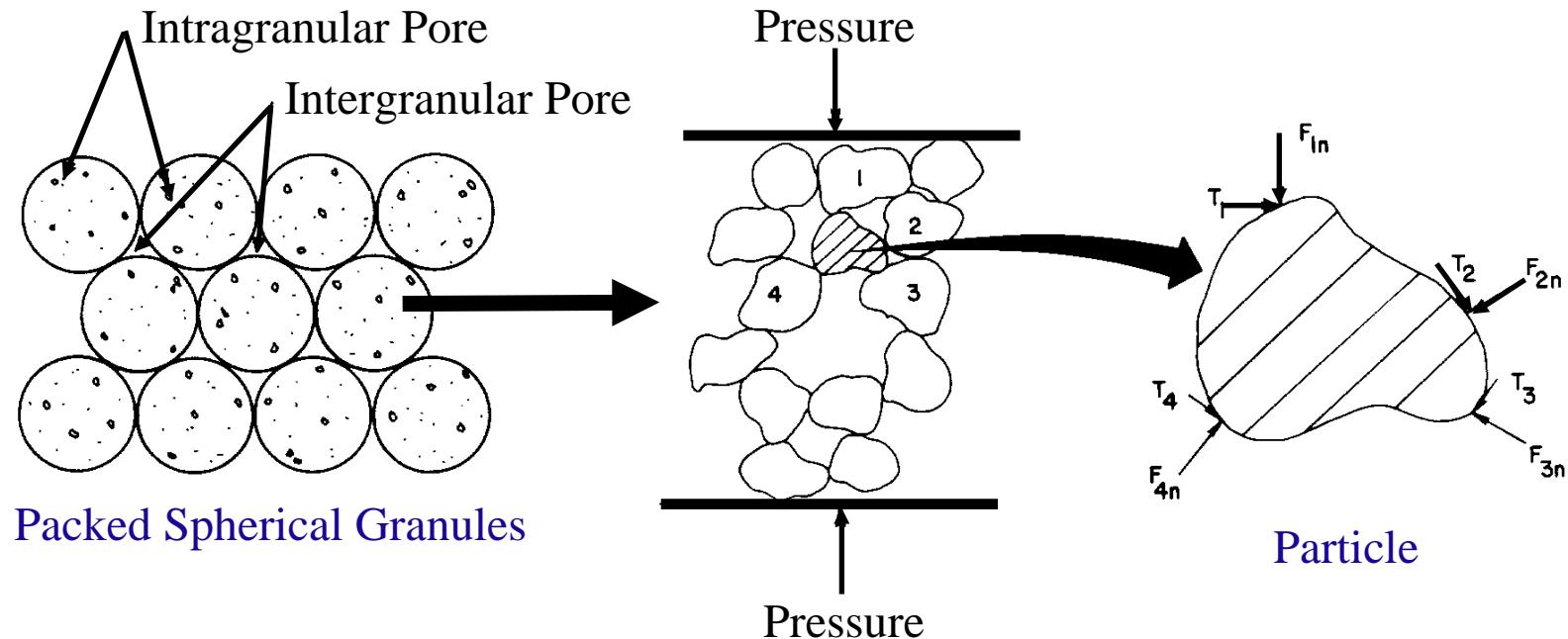
Transmitted pressure calculated for different H/D values ( $\mu = 0.25$  and  $\alpha = 0.5$ )

Janssen (1895)



# Particle Size & Shape Influence (Powder) Internal Friction

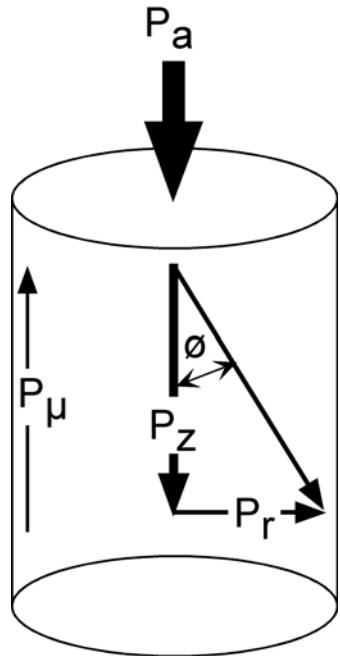
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Reed & Runk (1976)



# The Applied & Transmitted Pressure Provide Information on $\mu$ & $\alpha$



$$P_r = \alpha \cdot P_z$$

$$P_\mu = \mu \cdot \alpha \cdot P_z$$

$\mu$  ~ die wall friction

## Jansen's Analysis

$$P_z = P_a \exp(-2/r \cdot z \cdot \mu \cdot \alpha)$$

$$\mu \cdot \alpha = \ln(P_z/P_a) / (-2/r \cdot z)$$

$$\alpha = \tan \phi$$

## The PTC Slide Coefficient, $\eta$

$$\ln \eta = 2r/z \cdot \ln (P_z/P_a)$$

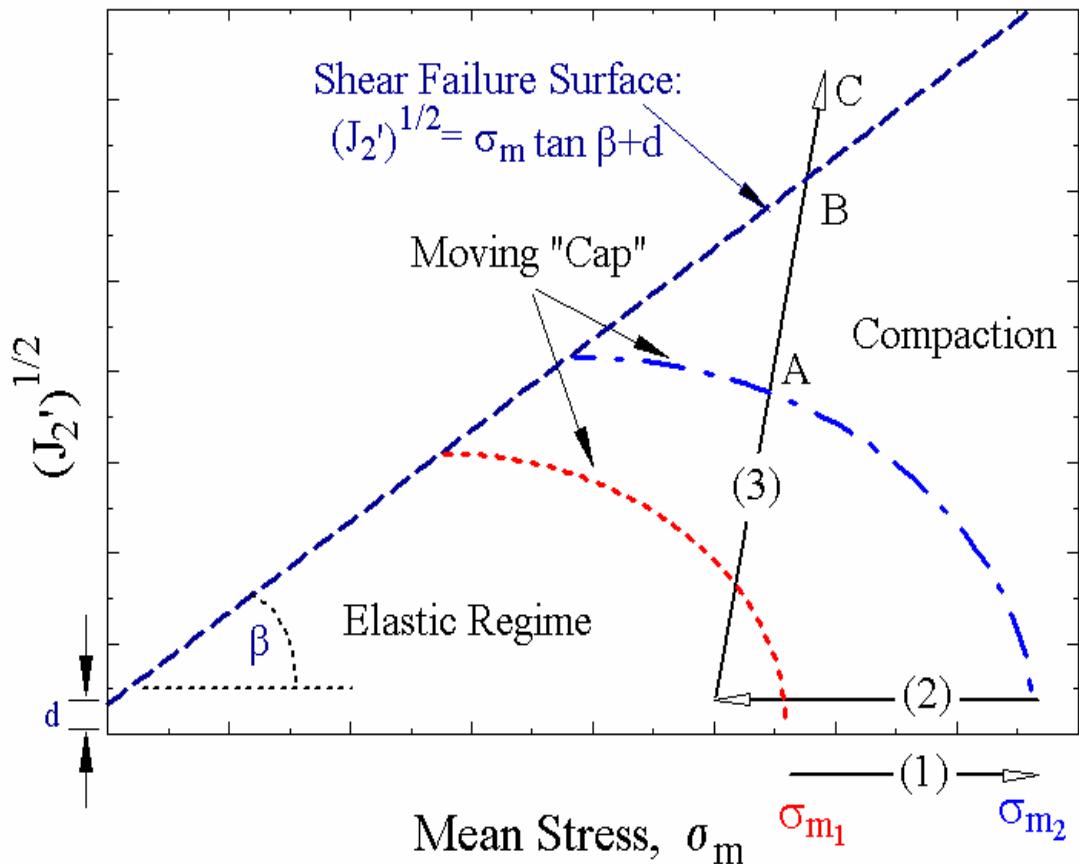
$$\ln \eta / -4 = \mu \cdot \alpha$$

$\alpha$  ~ powder friction



# Ceramic Powder Compaction Is Described By A Cap-Plasticity Model

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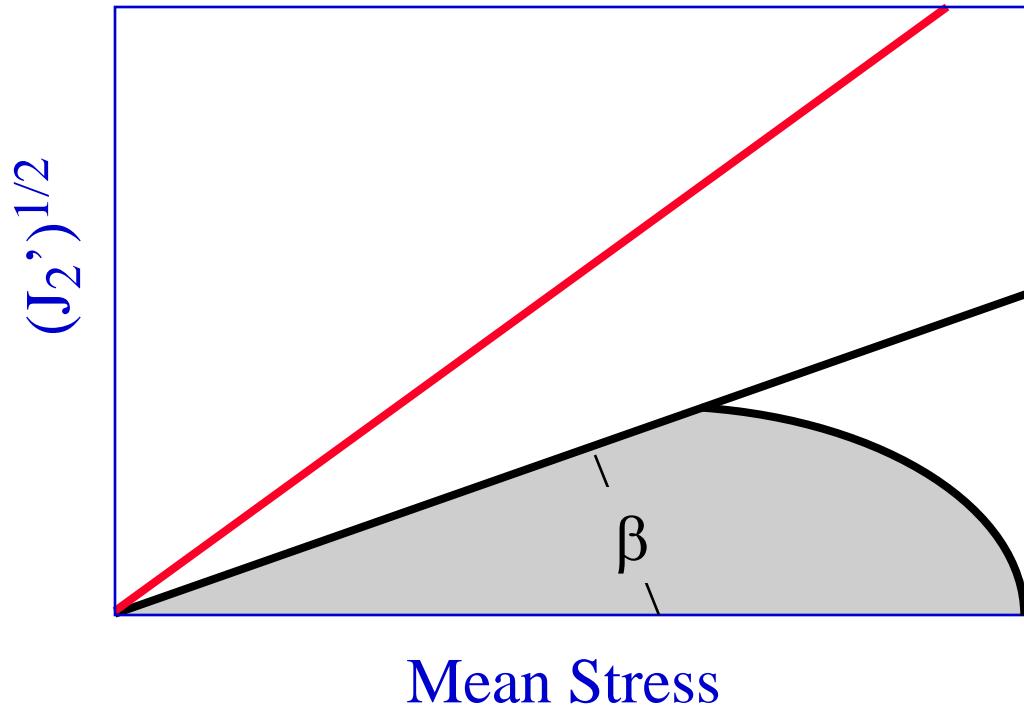


## Model Features

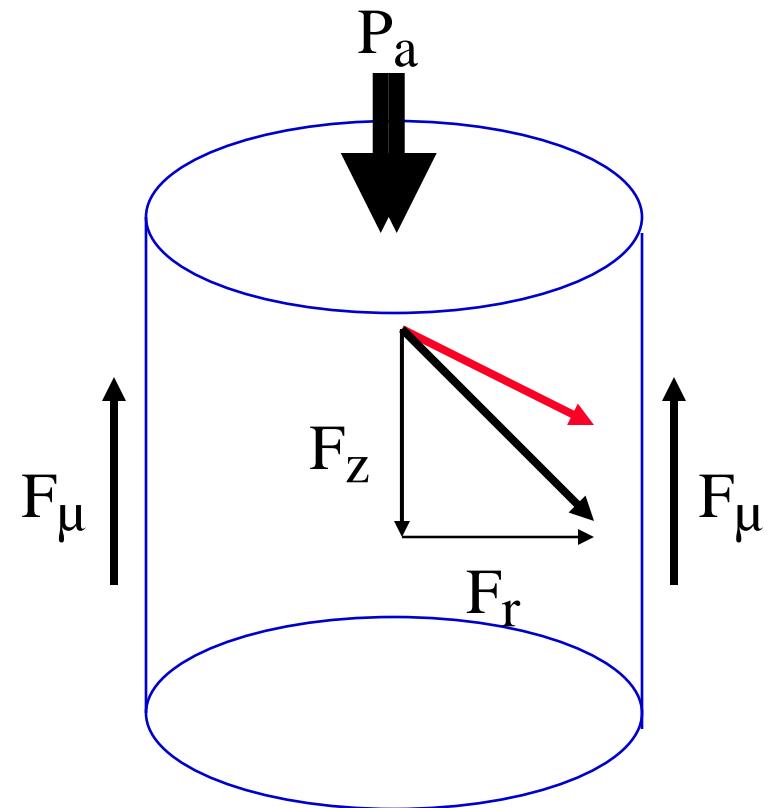
- Stationary shear failure surface
  - cohesion,  $d$
  - angle of internal friction,  $\beta$ .
- Elliptical Cap that moves
- An elastic regime circumscribed by the two foregoing surfaces



# Powders Having A Larger Friction Angle $\beta$ Are More Difficult To Press



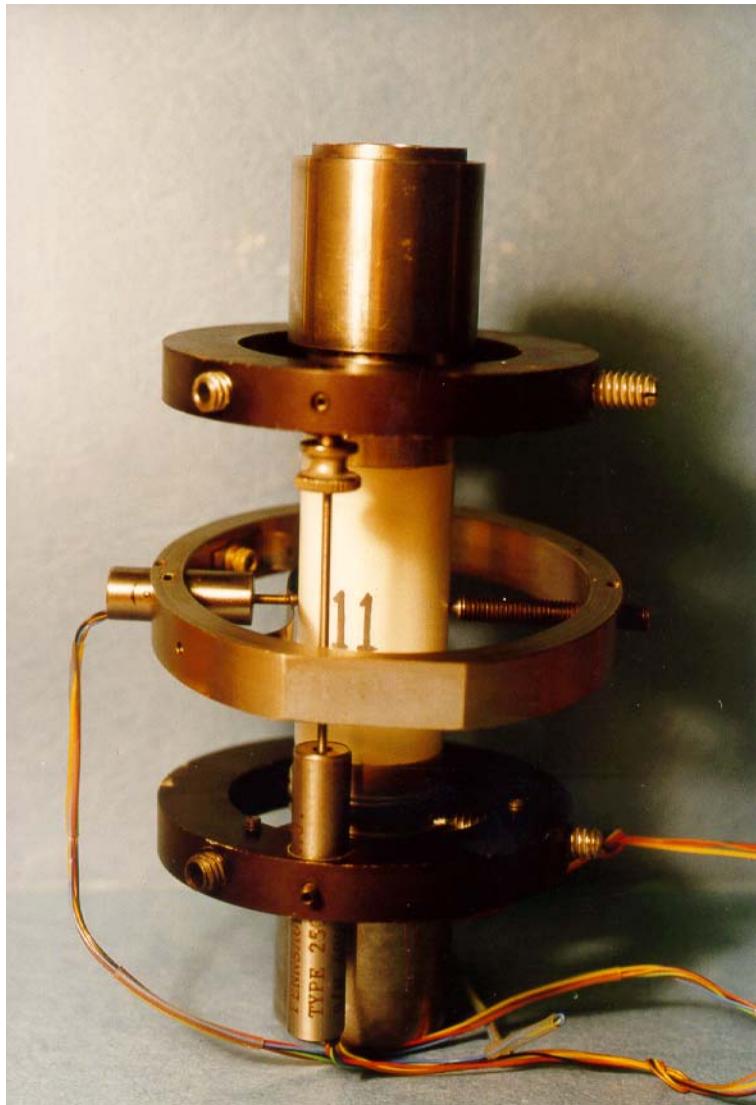
Cap Model



Jansen Model



# Powders Were Characterized In Hydrostatic & Triaxial Compression

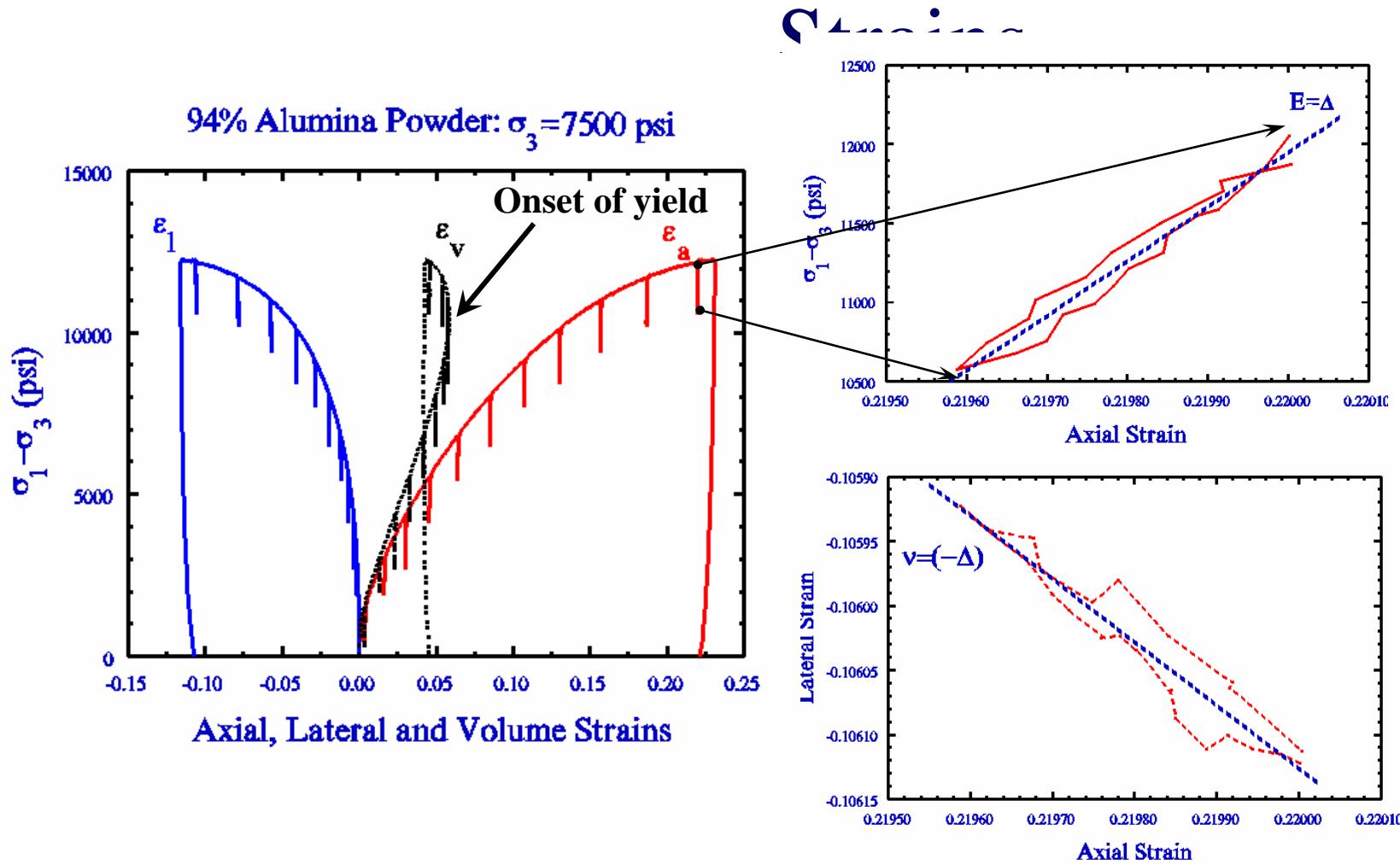


## Triaxial Compression Tests

- 2.54 cm dia. by 5.08 cm tall compacts
- Pressurized to target pressure (i.e., the hydrostatic forming pressure)
  - Monitored sample strains
- Hydrostatic pressure looped at target pressure
  - Determined K
- Loaded axially (i.e., deviatoric stress)
- Completed periodic, axial unload/reload loops
  - Determined E,  $\nu$
  - Calculated G from K, E, &  $\nu$



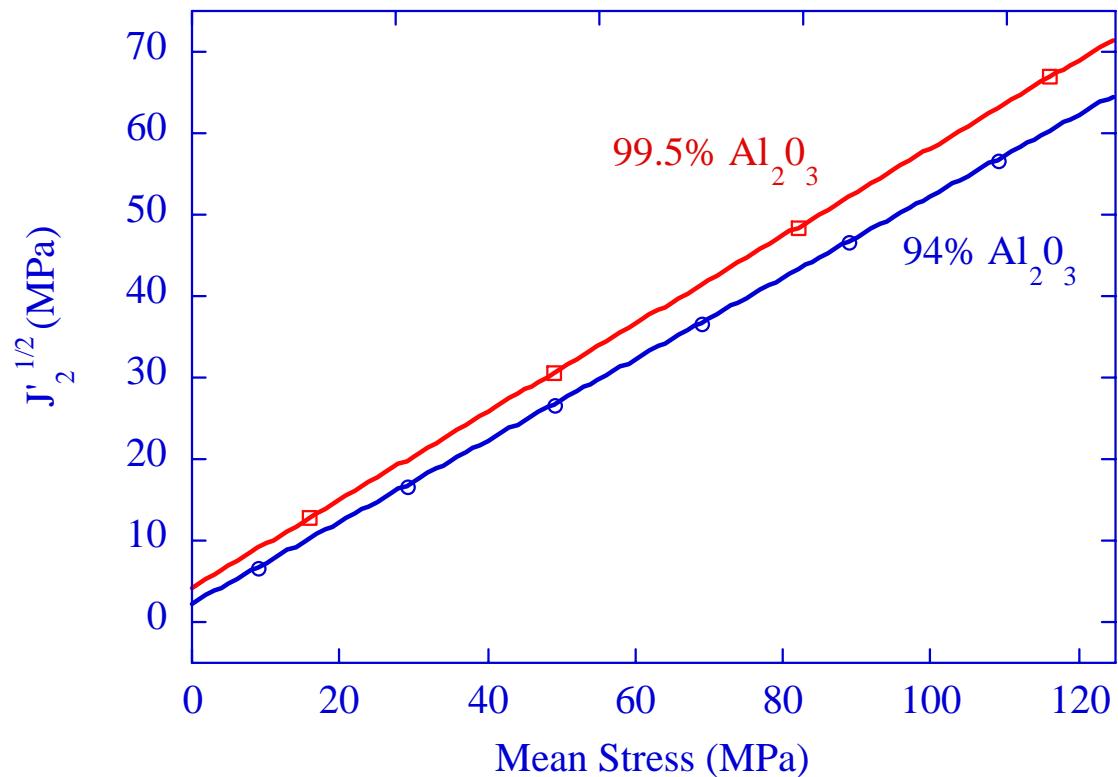
# Mechanical Properties Were Determined From Stresses &





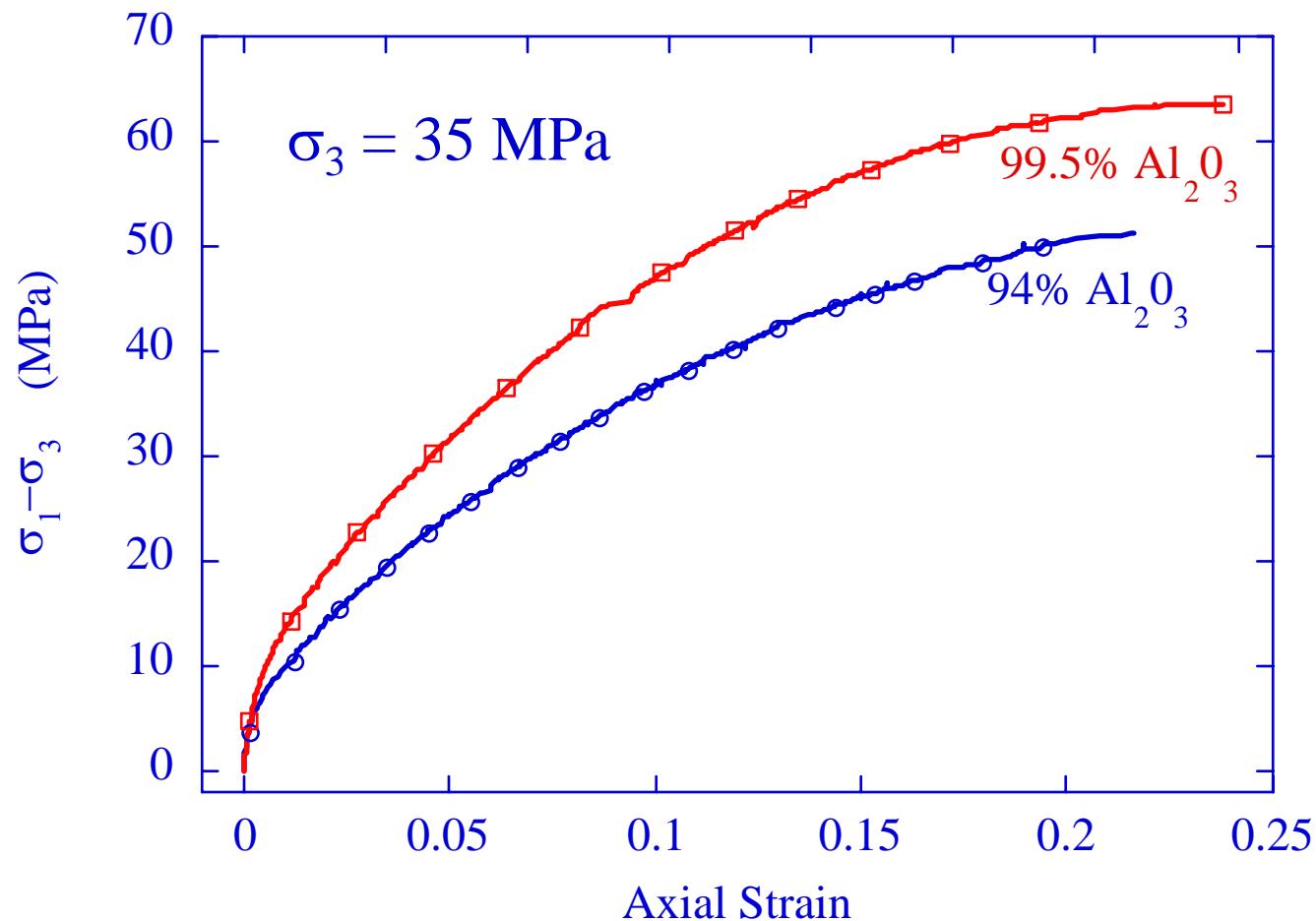
# More Pressable 94% $\text{Al}_2\text{O}_3$ Has A Smaller Angle of Internal Friction, $\beta$

Powder	Cohesion (MPa)	Angle of internal friction (degrees)	Tan $\beta$
94% $\text{Al}_2\text{O}_3$	2.3	26.6	0.50
99.5% $\text{Al}_2\text{O}_3$	4.2	28.4	0.54



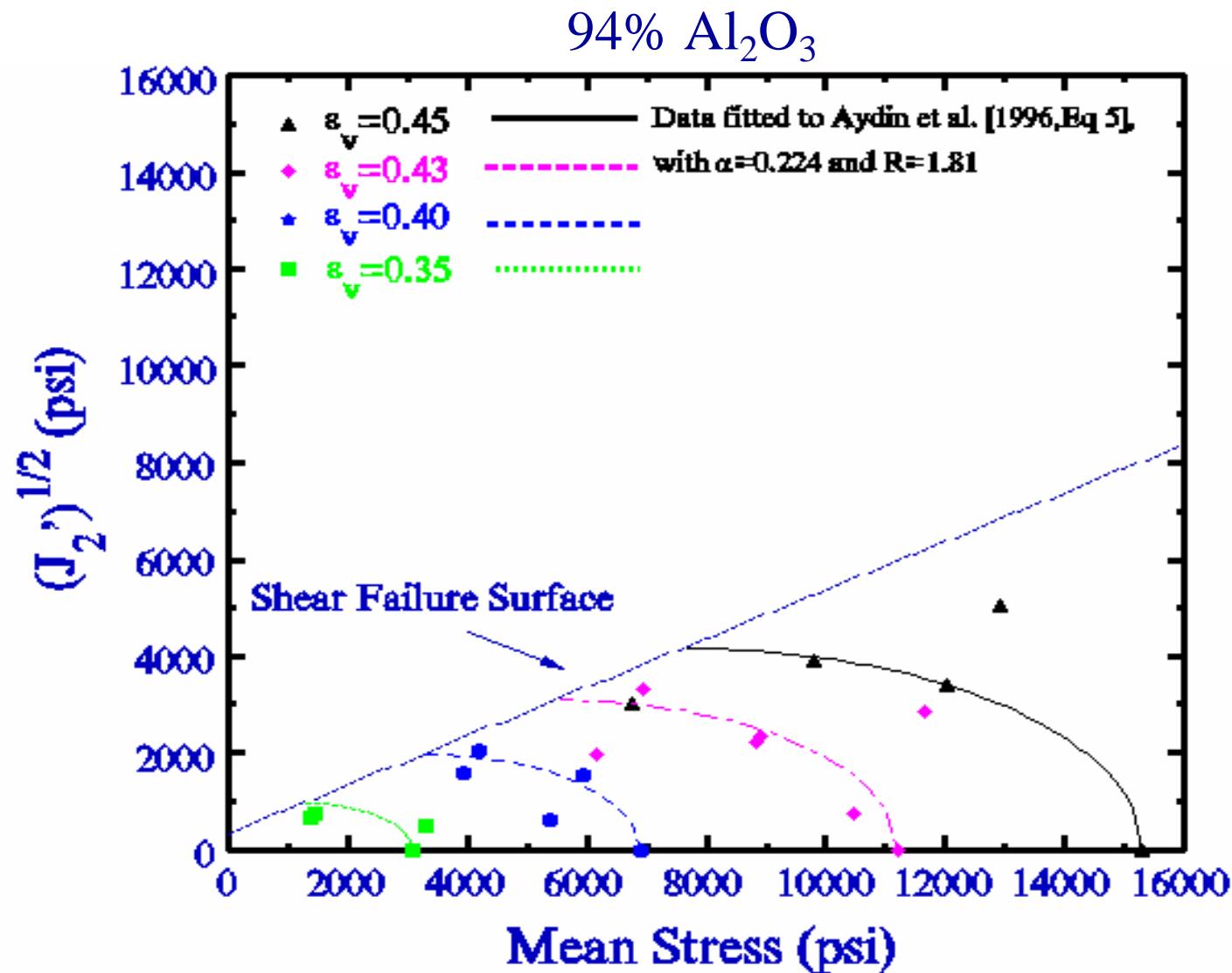


# Harder To Press 99.5% $\text{Al}_2\text{O}_3$ Is Stronger In Triaxial Compression





# Drucker-Prager Parameters Were Determined To Support Modeling

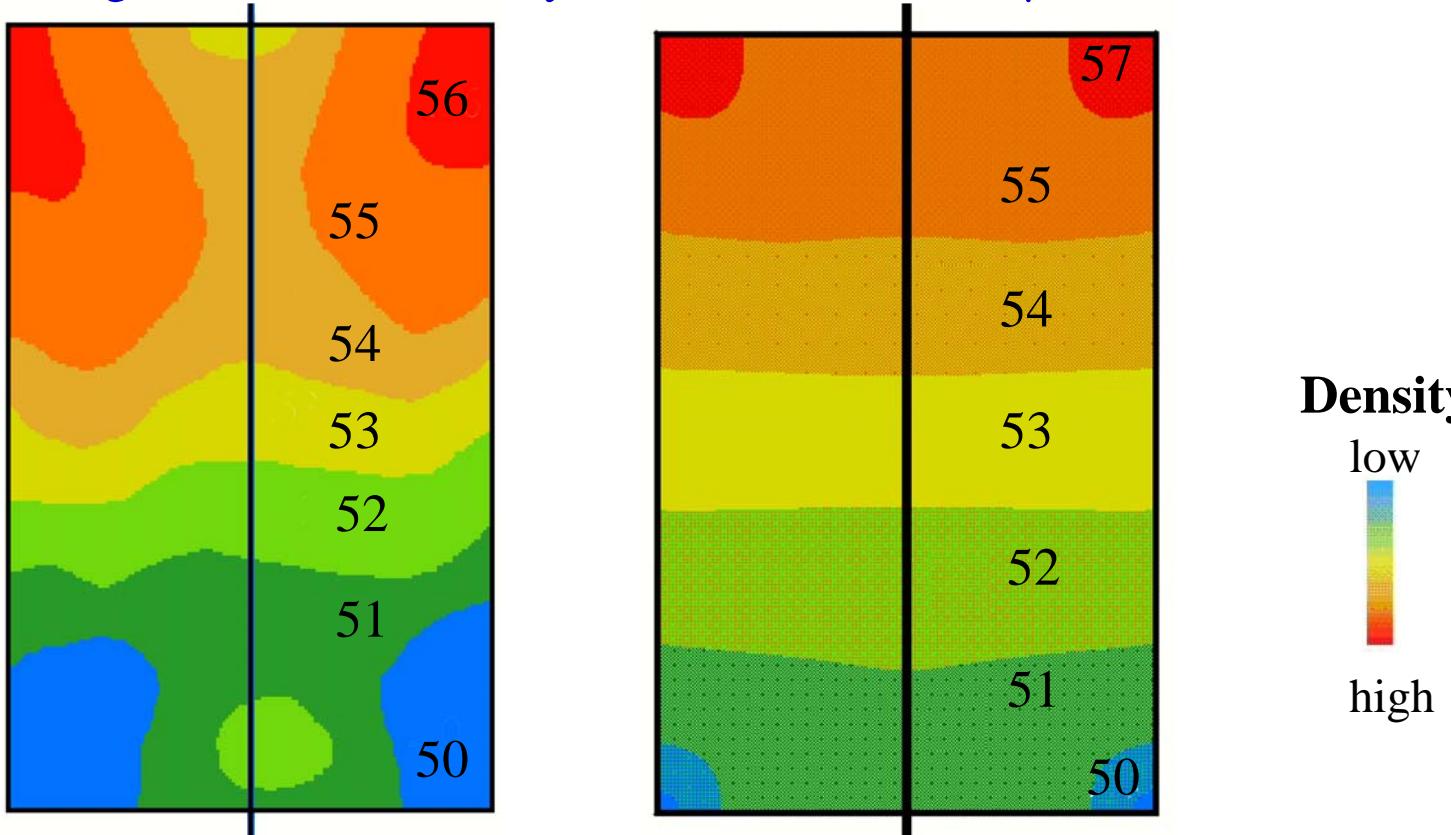




# Model Simulations Show Excellent Agreement With Experimental Results

94% Alumina

Single-Action Uniaxially Pressed at 69 MPa •  $\mu = 0.2$

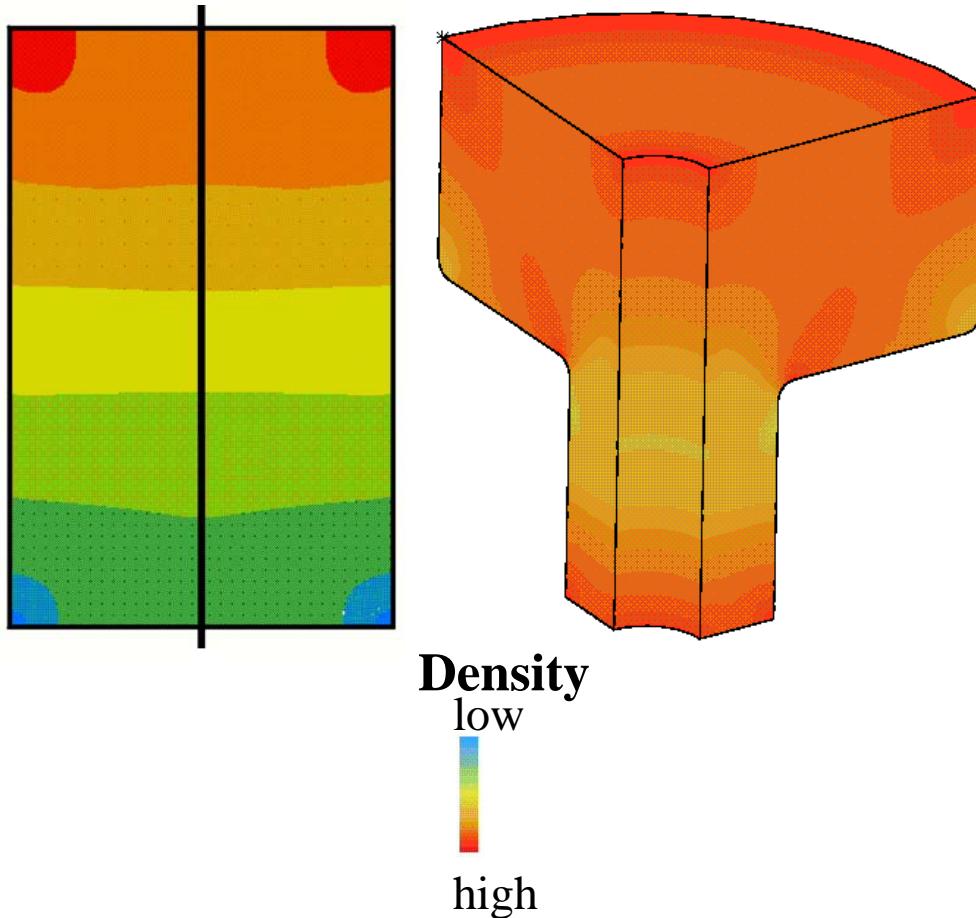


Ultrasound Measured  
Density Gradients

FE Model Predicted  
Density Gradients



# The Simulation (CPU) Time Also Provides A Measure Of Pressability



## FE Compaction Simulations

### Cylindrical Compact

- 2.2 cm Dia x 6.7 compacted to 3.5 cm
- Single-Action, Top-Down Pressing
  - 94% alumina - 747 CPU s - 1.0 X
  - 99.5% alumina - 9919 CPU s - 13.3X

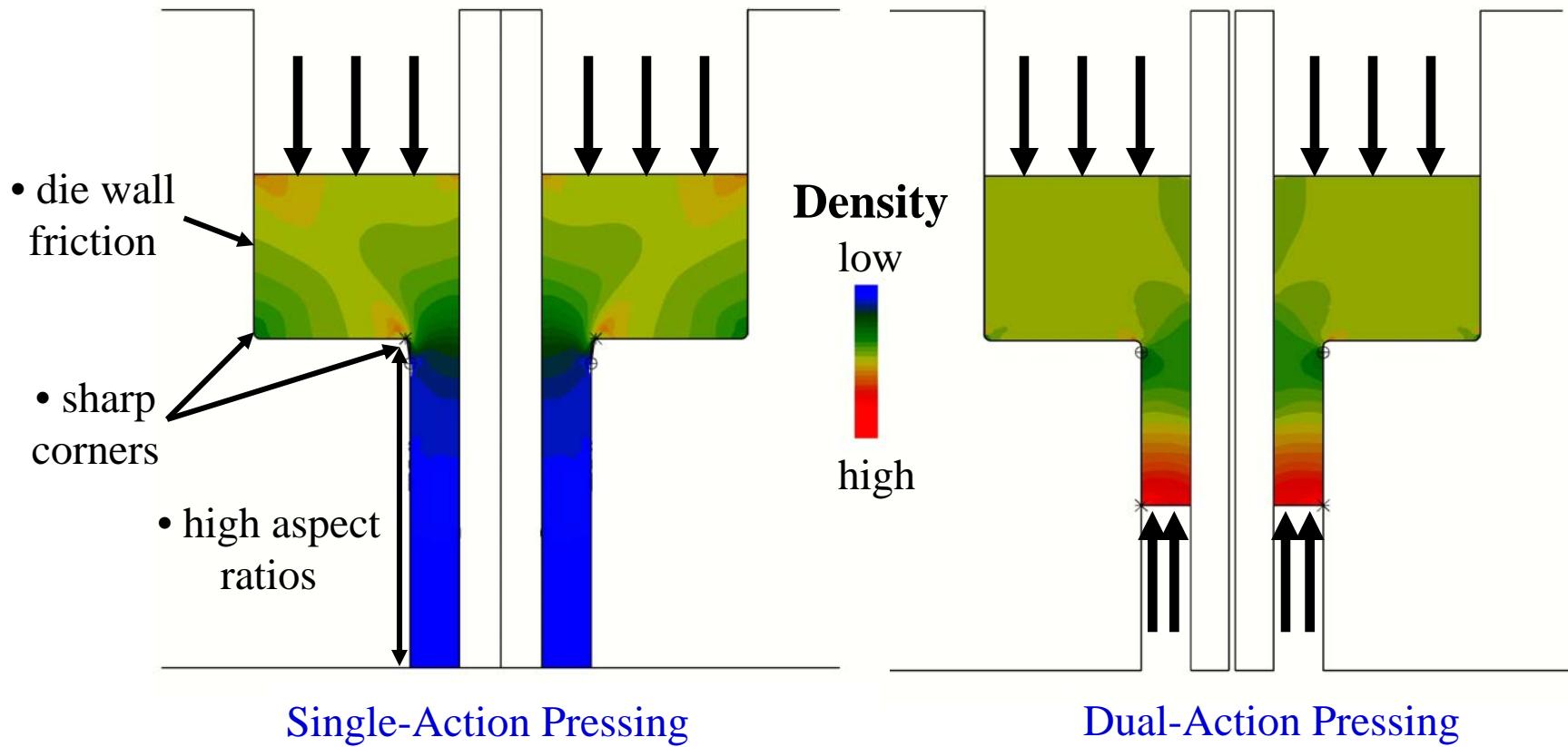
### Bushing Compact

- Balanced, Dual-Action Pressing
  - 94% alumina - 1297 CPU s - 1.7X
  - 99.5% alumina - 154,200 CPU s - 206X



# Modeling & Simulation Can Guide Die Design & Pressing

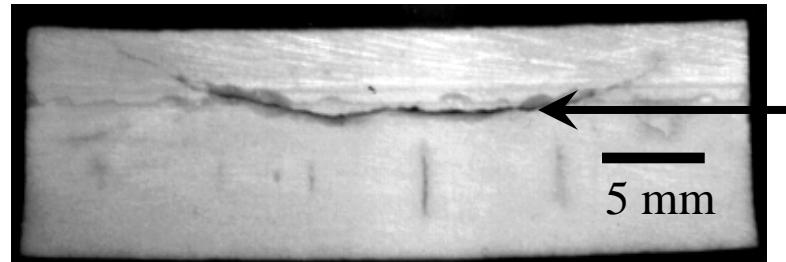
Cross sections of 3D Finite Element Method (FEM) compaction model simulations predict the density gradients in a complex component geometry





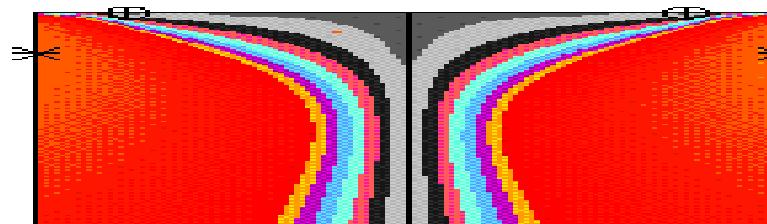
# Defects Are Produced If The Stress Path Intersects The Shear Failure Surface

Pressed & Sintered  
Lead Zirconate Titanate

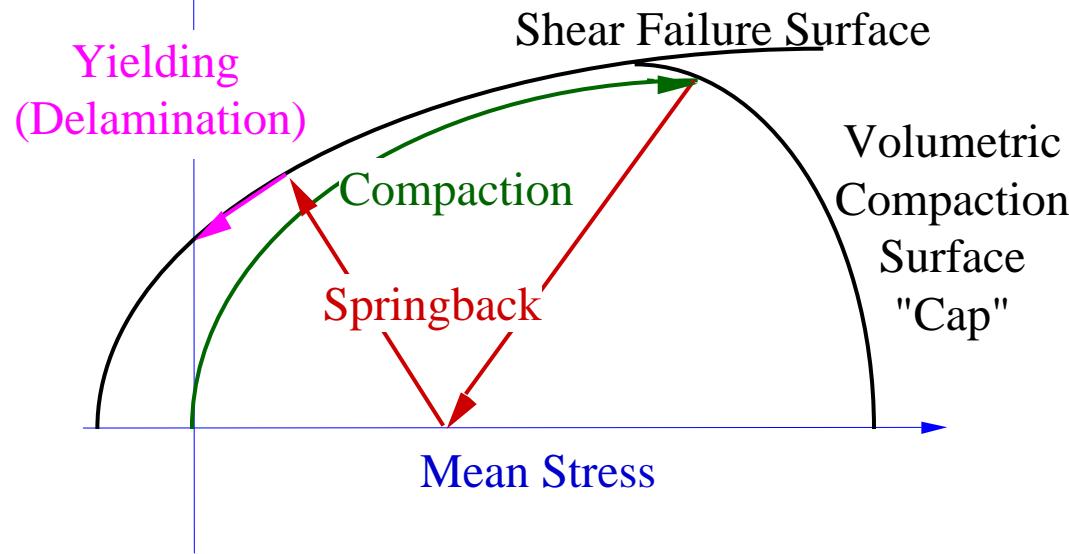
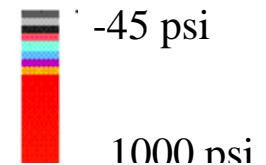


End Cap  
Defect

FEM Simulation

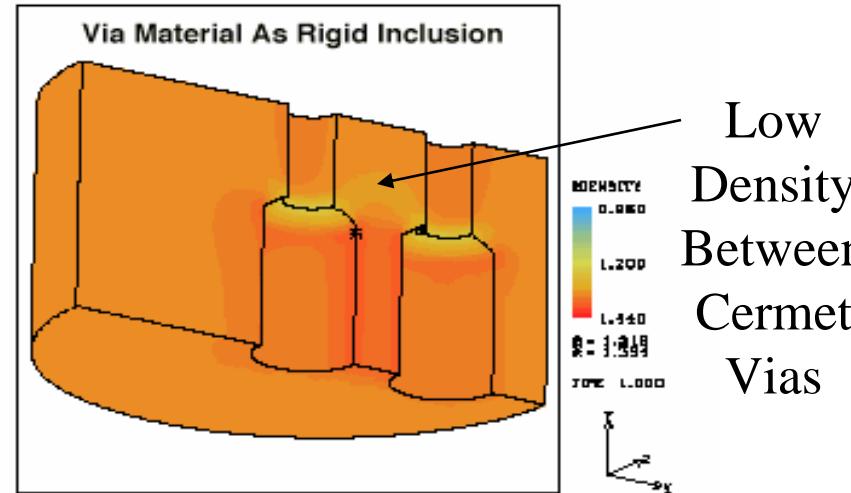
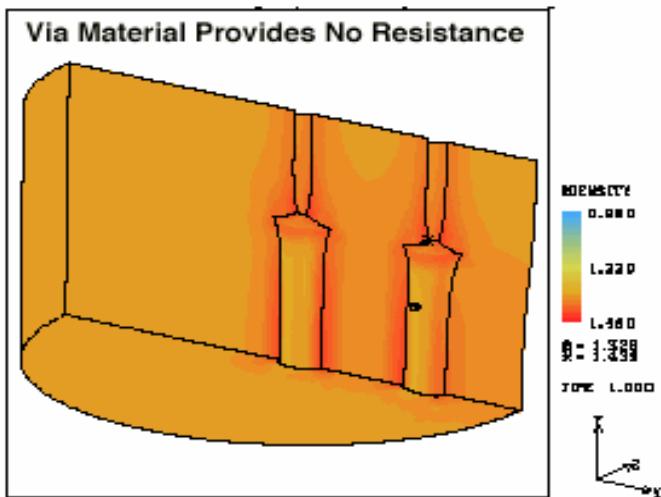
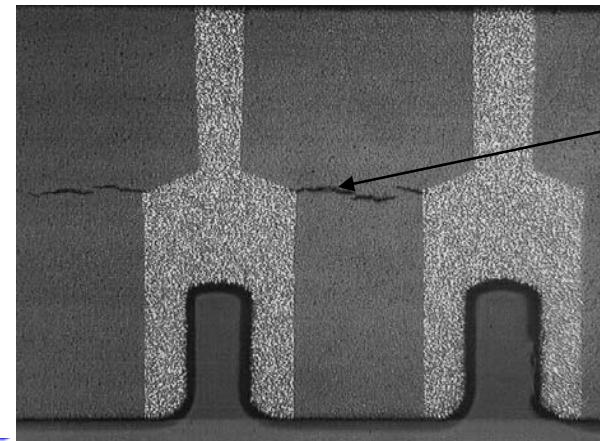
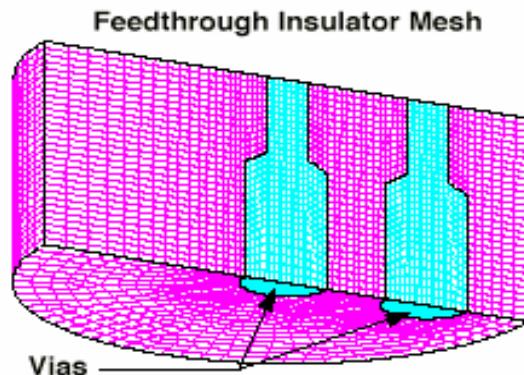


Shear Stress Map



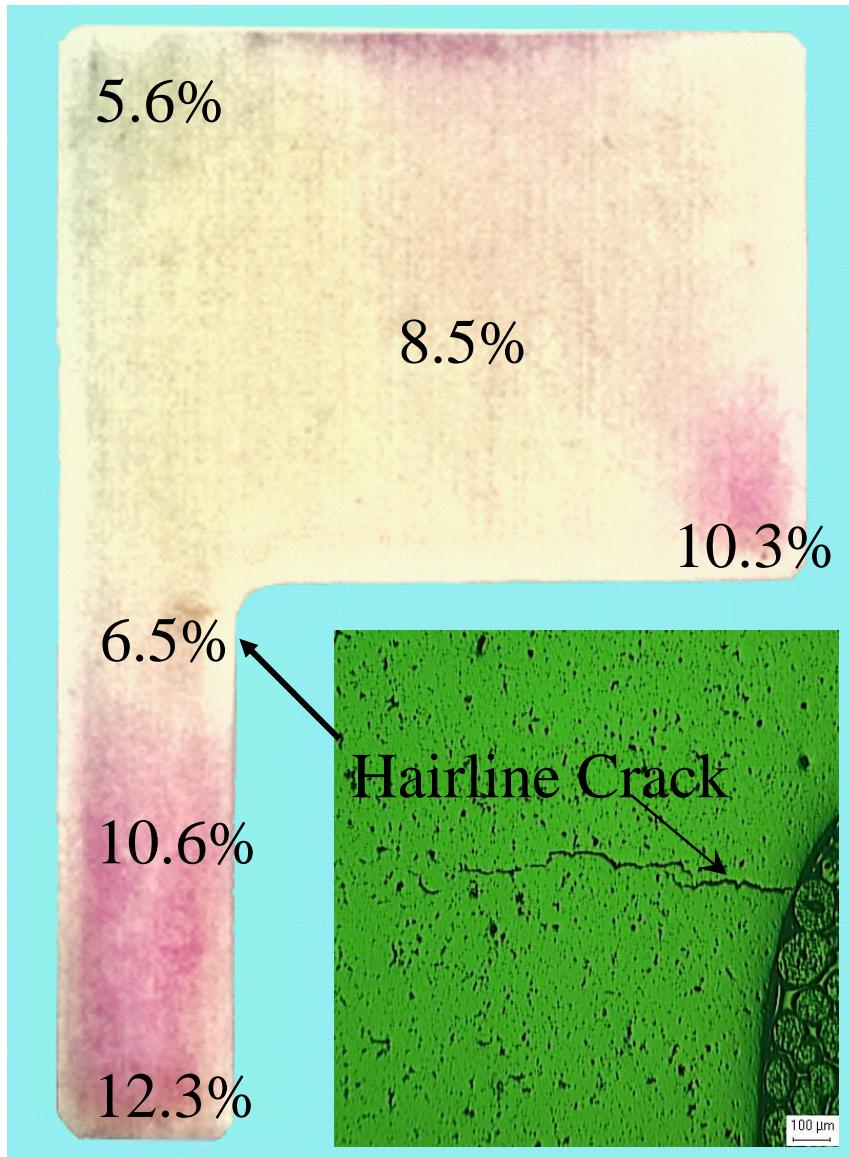


# Compaction Simulations Have Linked Defects To Processing

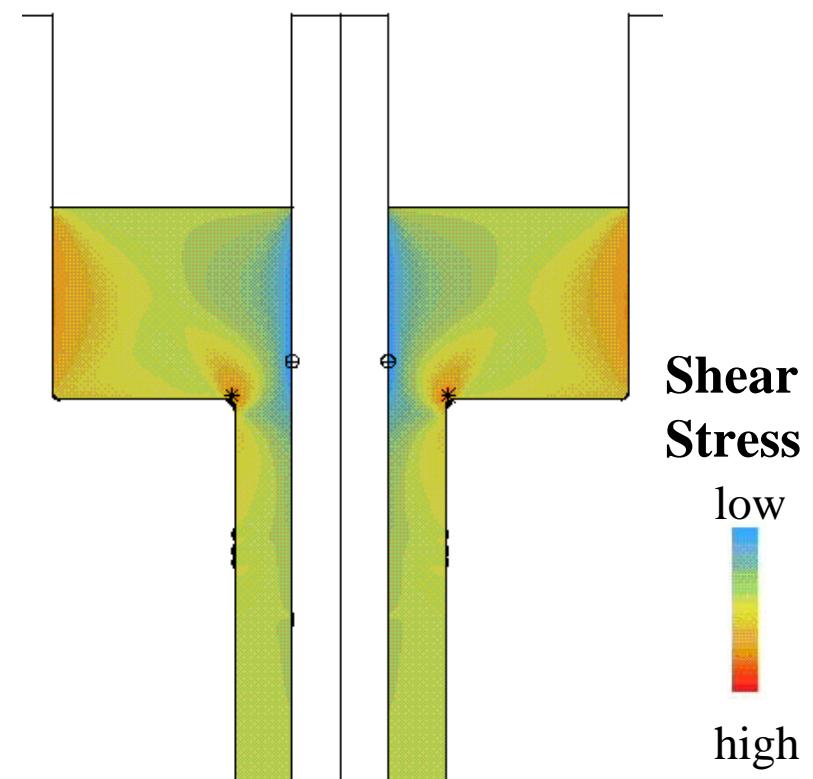




# Simulations Predict Stress And Density Gradients That Result In Cracks



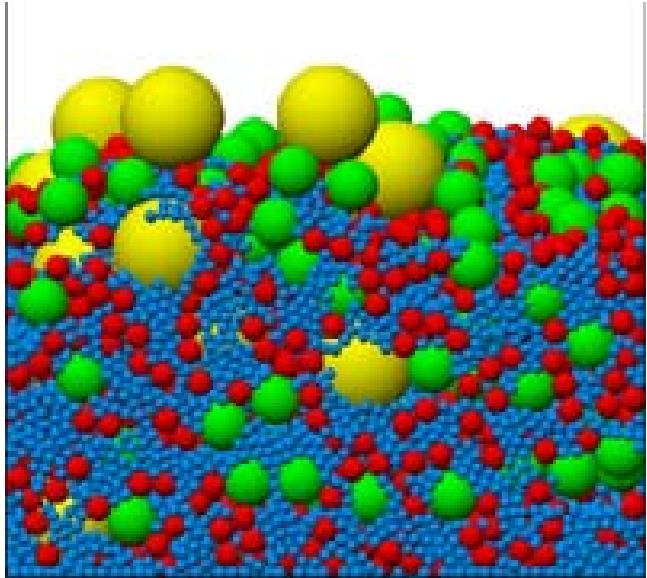
94%  $\text{Al}_2\text{O}_3$   
Dual-Action,  
Uniaxial Pressing





# Defects Persist Throughout Processing

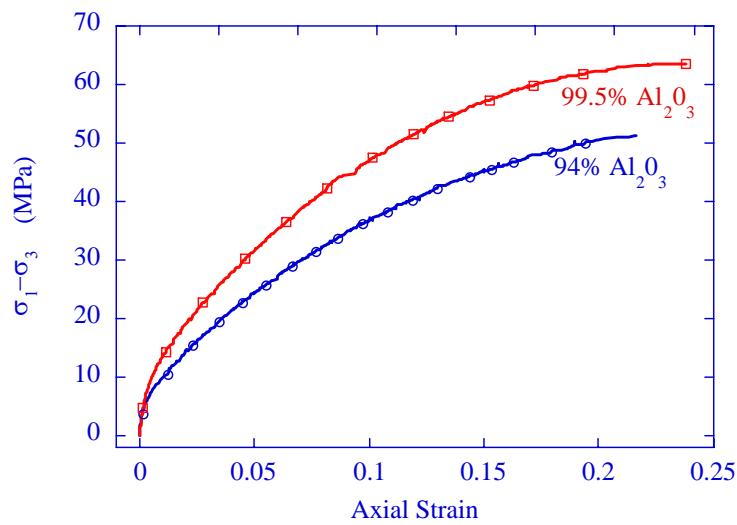
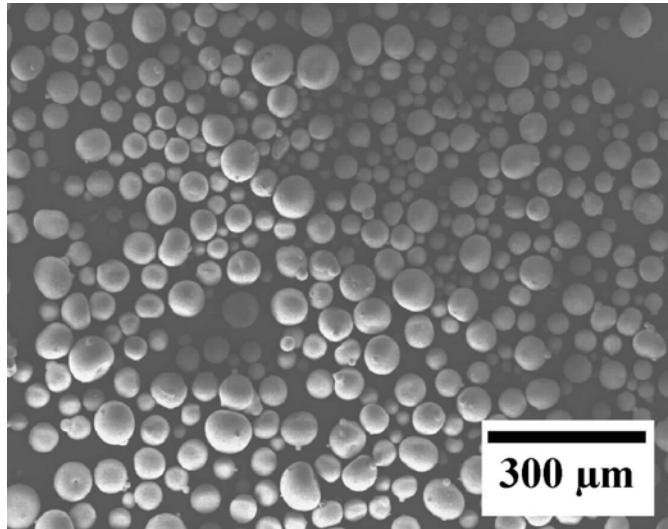
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- Defects introduced during die filling persist through compaction & Sintering
  - control stickiness to optimize packing
  - minimize bulk & tap density difference
- Particle packing models can be used to anticipate & avoid packing defects
  - 250:1 critical die size to granule size
  - critical ratio is important for small parts
  - granule sizing can improve yields
  - use models to tailor size distributions



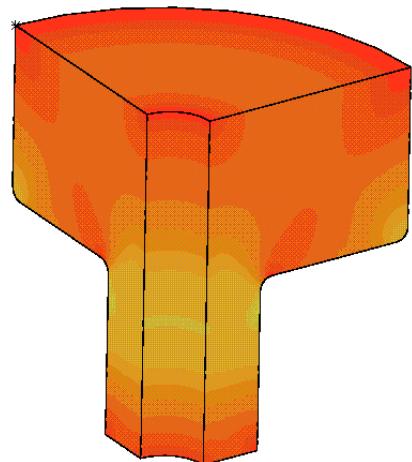
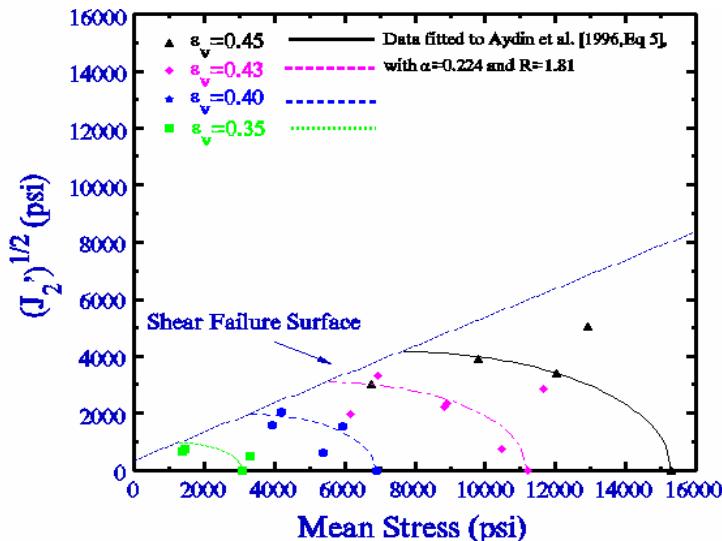
# Powder Pressibility Is Related To Powder Characteristics/Properties



- Granule size, shape, & density affect powder flow, packing, & compaction
  - size ~ 50-400  $\mu\text{m}$
  - spherical
  - angle of repose < 40°
  - granule density ~ 45-50%
- A combination of techniques is required to assess compaction response
  - compaction response curve
  - green compact strength
  - green compact microstructure
- Powder pressibility can be assessed from compaction response and from powder mechanical properties
  - $\mu \cdot \alpha = \ln (P_z/P_a) / (-2/r \cdot z)$
  - angle of internal friction,  $\beta$
  - strength in triaxial compression



# Compaction Response Can Be Characterized, Predicted, & Controlled



- Ceramic Powder Compaction is Described by a Cap Plasticity Model
- FE Modeling Accurately Predicts Density Gradients From Pressing
- Modeling has been used to Establish Guidelines to Improve Pressing
- Improved Understanding and Control of Materials and Processing Will Result in More Reproducible Manufacturing