

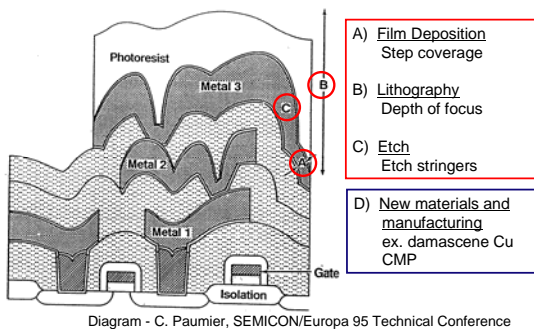
CMP Integration Issues

David J. Stein, Ph.D.
Sandia National Laboratories

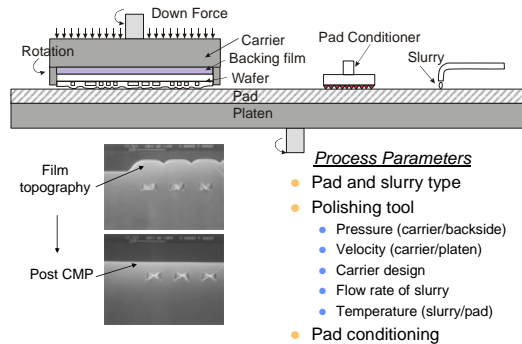
Outline

- Introduction
 - What is CMP?
 - History and Future
 - Brief description of consumables
- CMP processes and applications
 - Glass polishing basics
 - Dielectric CMP
 - ILD, STI
 - Issues related to layout
 - Common STI defects caused by integration
 - Cu CMP
 - Process cycle time issue
 - Layout-generated issues
 - Replacement gates

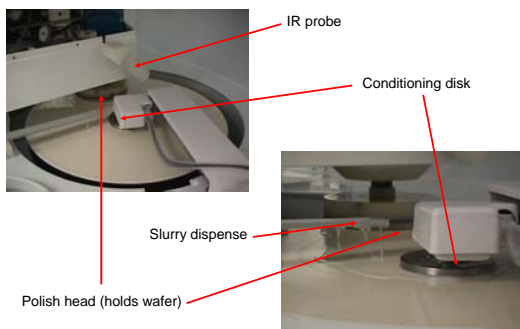
Driving Forces Behind Planarization



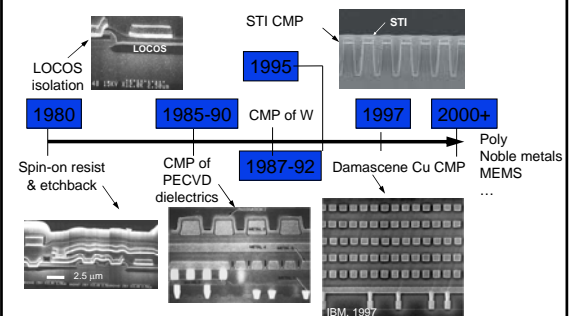
Chemical-Mechanical Polishing (CMP)



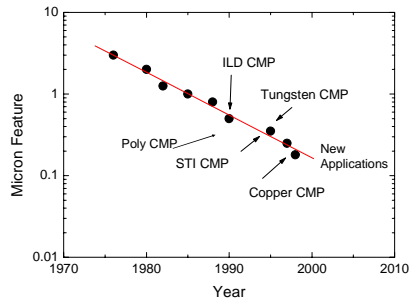
CMP



Technology Enabled by CMP

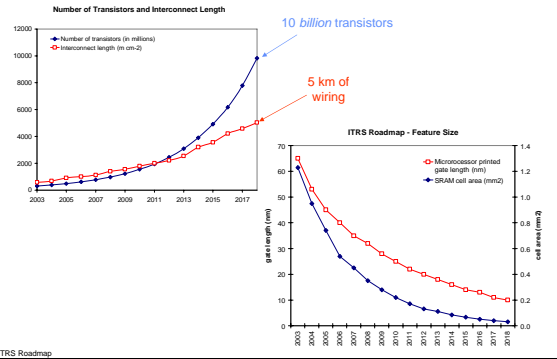


Moore's Law and CMP Applications



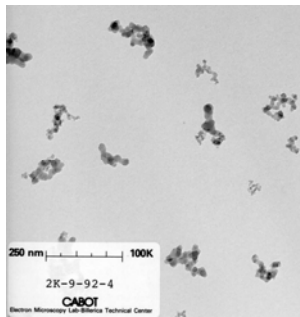
Minimum Feature Size Data: Hetherington, IEDM Short Course, 1999

Where We Are Going



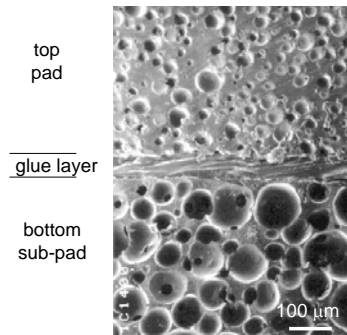
ITRS Roadmap

TEM of Fumed Silica Particles*



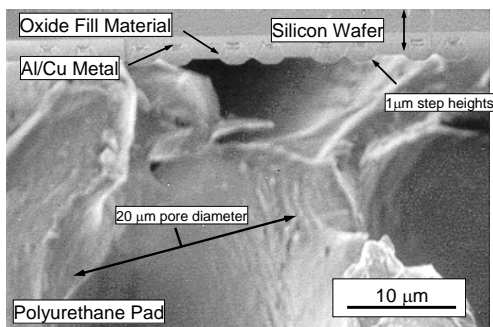
* Courtesy of Cabot Microelectronics

Closed Cell Foam Pads - IC1400 (Class III)



IC1400 pad is manufactured by Rohm and Haas.

Relative Scale of Asperities



Dielectric CMP

Preston - Removal rate⁽¹⁾

- Empirical wear equation

$$PR = k_p \cdot P \cdot v$$

PR - Glass polish rate
 k_p - Preston coefficient
 (process dependent)
 P - Applied pressure
 v - Linear velocity

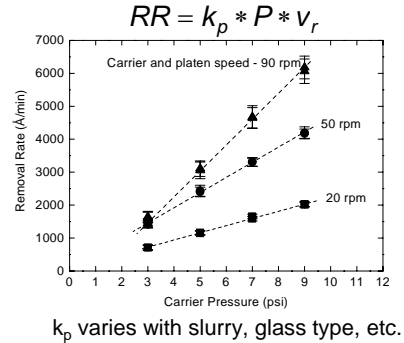
- Brown⁽²⁾ and Cook⁽³⁾ showed derivation $PR = \frac{Pv}{2E}$
 - elastic Hertzian indentation
 - material removed equals indentation cross-sectional area * distance traveled
 - where E = Young's modulus
- Cook⁽³⁾ noted experimental k_p and $(2E)^{-1}$ don't agree

(1) F. Preston, J. Soc. Glass Tech. 11 (1927) 214.

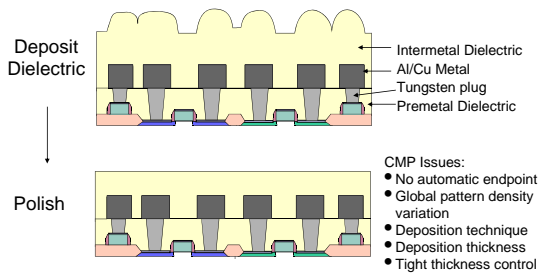
(2) N. J. Brown, P. C. Baker, and R. T. Maney, *SPIE 306 Contemporary Methods of Optical Fabrication*, 1981, 42.

(3) L. M. Cook, *J. Non-Cryst. Solids* 120, 1990, 152.

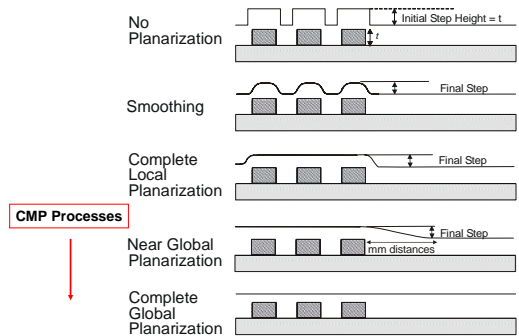
Polishing Kinetics



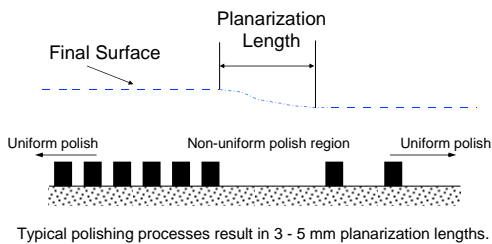
Back End of Line Dielectric CMP



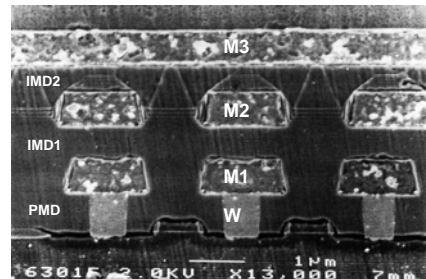
Degrees of Planarization



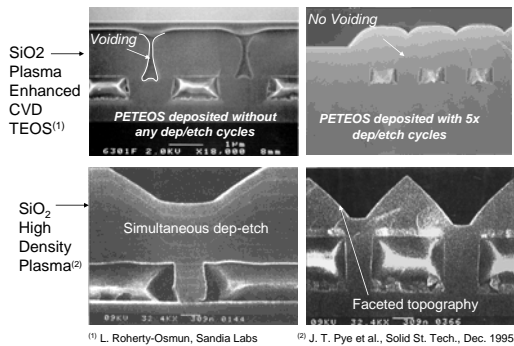
Planarization Length



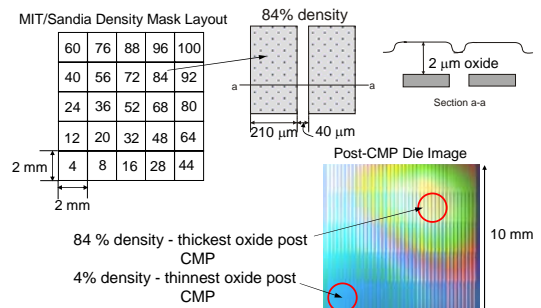
Back-end Dielectric CMP



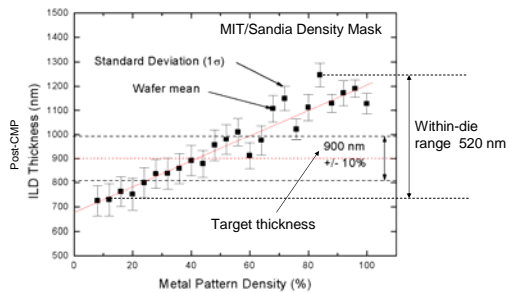
Surface Profile and Gap-fill Technique



Pattern Density Variations - Measurement

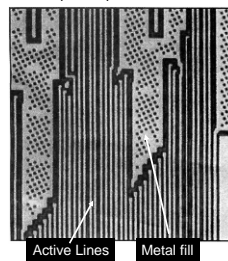


Final Dielectric Thickness Vs. Pattern Density

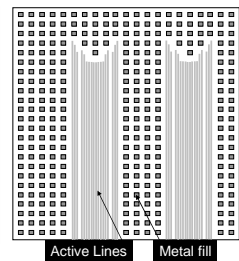


Examples of Dummy Metal-Fill

Grounded metal-fill for DEC 600 MHz Alpha chip^(a)



Floating metal-fill

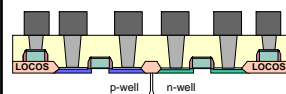


^(a) P. Gronowski, et al., IEEE J. Solid-State Circuits, vol. 33, 5, p. 676, (1998).

Shallow Trench Isolation CMP

Device Isolation Techniques - LOCOS vs. STI

Lateral isolation candidates



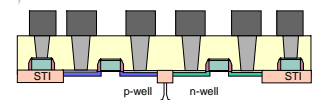
Shallow Trench Isolation with Chemical Mechanical Polishing

- + Small active area pitch possible
- + Denser device packing
- + Superior planarity!

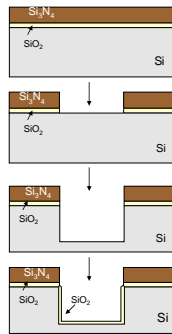
LOCOS-based techniques in 0.25 μm technology

- + Manufacturable, simple, good know-how
- Large 'bird's beak'
- Deep submicron scalability

Further down-scaling



STI Process Flow



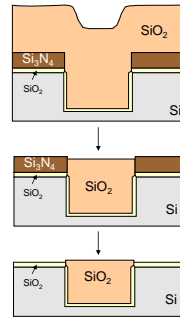
Isolation stack :
Thermal oxide growth (pad oxide)
Active protecting nitride

Pattern and etch of
 Si_3N_4 and SiO_2

Trench etch into Si

Thermal oxidation of trench sidewall,
corner rounding

STI Process Flow

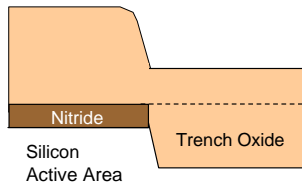


Deposition of trench filling
oxide (f.ex. HDP-CVD)

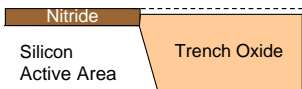
Oxide CMP Polish step with
stop on nitride

Removal of nitride layer
(wet etch in phosphoric acid)

CMP STI Polishing Regimes



I. Removing step
height and oxide
over the active
area



II. Over-polish to ensure
that all oxide is
removed over active
area everywhere on
the die.

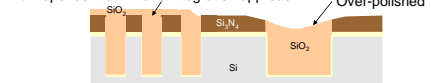
STI-CMP Issues

• Pattern layout effects

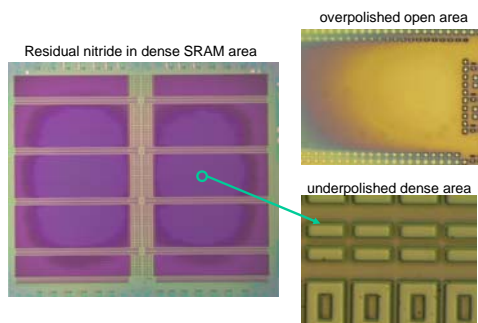
- local removal rate \sim local pressure $\sim \frac{1}{\text{pattern density}}$
- variations in the active area to field step height after nitride strip
 - problems at subsequent lithography steps!
- dishing in large field areas influence on surface planarity!

Incomplete Polish or Erosion?

→ Dependent on fill and integration approach !



The Problem



Trench Fill Method

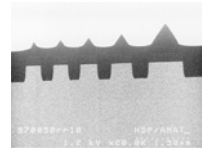
Local planarization as a function of active area width:

TEOS-Ozone

HDP-CVD Oxide



+/- Same oxide thickness
everywhere



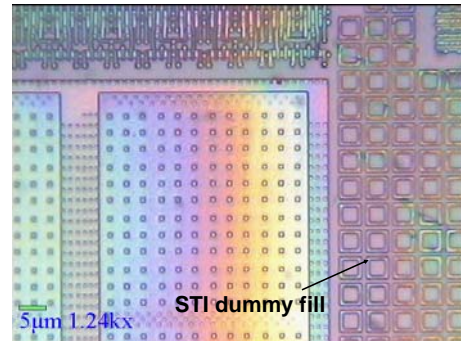
Different pyramids dependent
on active area width

→ Difference in CMP behavior!
(pattern density effects)

Use of Dummy Structures

- Dummies widely used in oxide CMP BEOL
 - easiest way to solve pattern density variations
- Similar idea for STI level
 - Large field areas around isolated active areas can be filled up with *dummy active areas*
 - risk of overpolishing is reduced
- Dummies have a lot of design issues
 - designers don't like them
 - mixed signal technologies are especially sensitive to dummy structures
 - capacitive coupling and noise

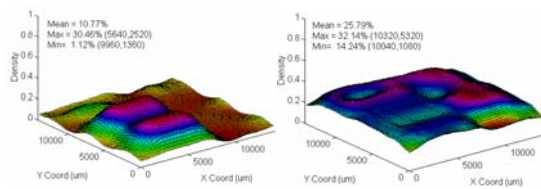
STI Dummy Fill



Courtesy of J. Soden Sandia National Labs

Modifying Existing Layouts

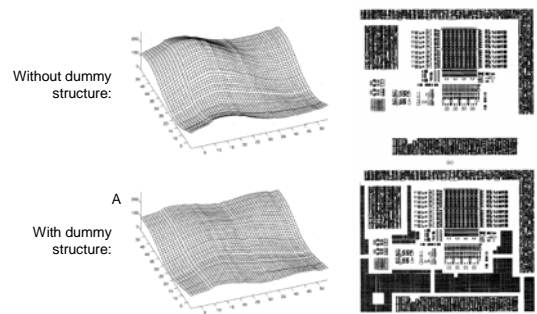
- Requires
 - analysis of within-chip layout density variations
 - dummy fill optimization



Optimized fill structure reduces density variation from 29% to 18%

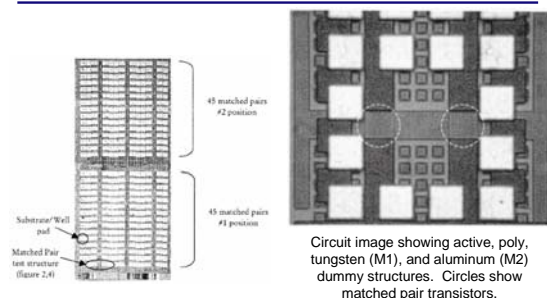
Dummy Fill

Physical process model-based dummy structure design:



R. Tan and X. Tang, IEEE TRANSACTIONS ON COMPUTER-AIDED DESIGN OF INTEGRATED CIRCUITS AND SYSTEMS, VOL. 21, NO. 1, JANUARY 2002

Dummy Structures: Relative Transistor Mismatch

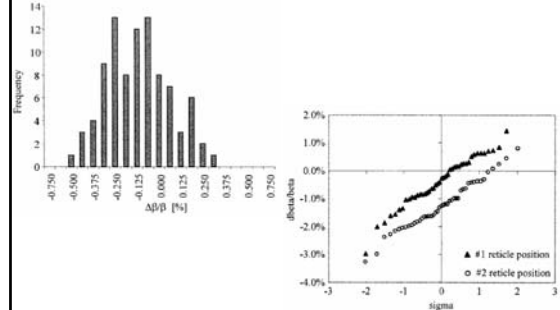


Circuit image showing active, poly, tungsten (M1), and aluminum (M2) dummy structures. Circles show matched pair transistors.

H. Tuinhout and M. Vertregt, IEEE Transactions on Semiconductor Manufacturing, 14, 4, 2001, 302-310.

Test Transistor Layout and Matching Results

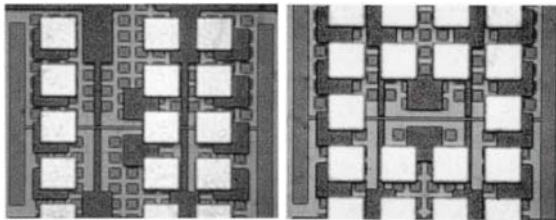
median mismatch across wafer = -0.15%



H. Tuinhout and M. Vertregt, IEEE Transactions on Semiconductor Manufacturing, 14, 4, 2001, 302-310.

Dummy Placement Differences

M2 dummy placement varied between the two positions of matched transistors. The authors attributed variation in dummy placement to variation in mismatch between supposedly matched transistors.



reticle position 1

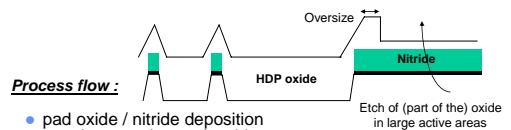
reticle position 2

Note that dielectric thickness was not studied.

H. Tuijnhou and M. Vertregt, IEEE Transactions on Semiconductor Manufacturing, 14.4, 2001, 302-310.

Oxide Reverse Etch Approach

Oxide Reverse Etch (ORE), followed by CMP :



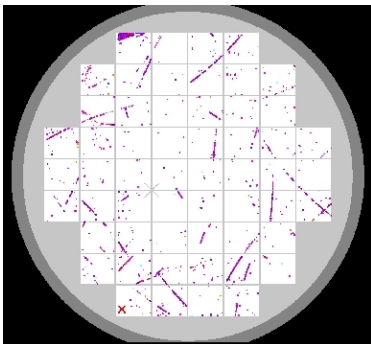
Process flow :

- pad oxide / nitride deposition
- trench patterning and etching
- sidewall oxidation / deposition of trench filling oxide
- remove (most of) the oxide on large active areas with additional litho and etch step
- remove remaining oxide from the active areas during the CMP step
- nitride removed during etch step

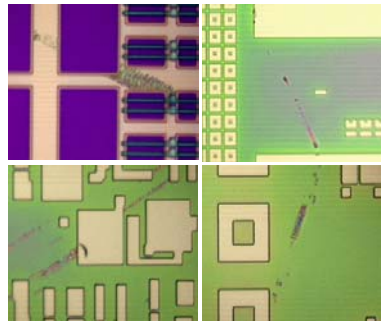
Parameters to be optimized:

- Oversize (litho misalignment)
- Amount of oxide etched back?

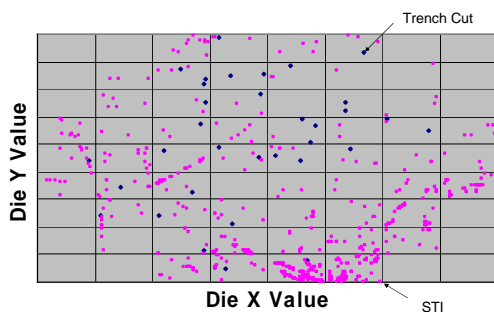
STI Defects



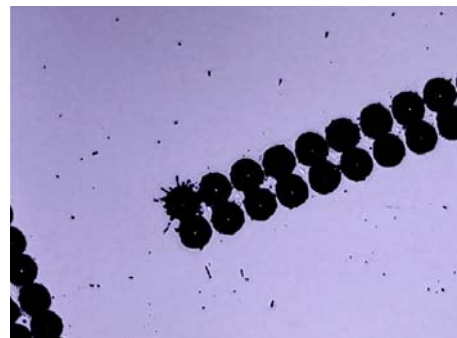
Defects



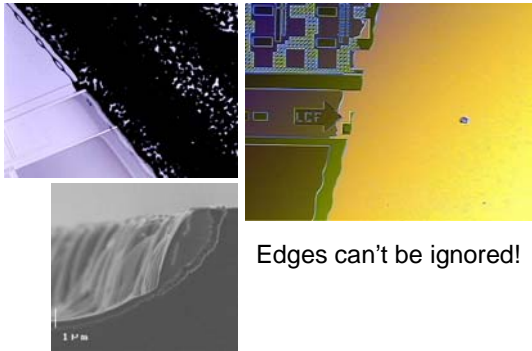
Defect Wafer Map



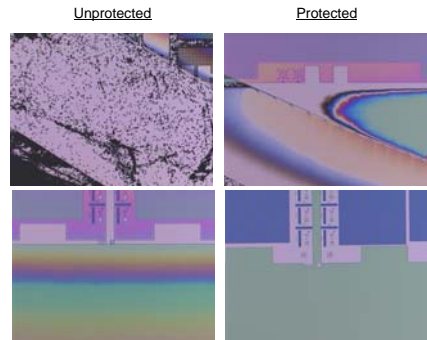
Defect Source



Wafer Edges



Edge Comparison

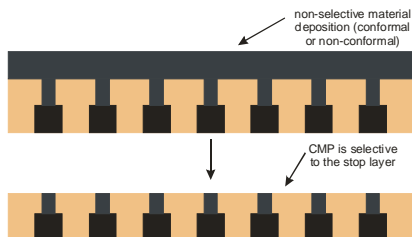


Summary - Dielectric CMP

- Pattern density effects are the key problem to solve for dielectric CMP
- Defectivity is also important
- Slurry and pad engineering is focused on solutions for improving planarity and minimizing defects
- Chip layout can be optimized for CMP process

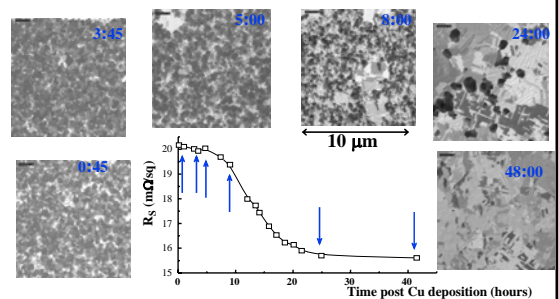
Cu CMP

CMP Removal to Stop Layer



- Material removal and planarization
 - W plugs, copper dual damascene, aluminum (dual) damascene, STI, polysilicon-filled trenches

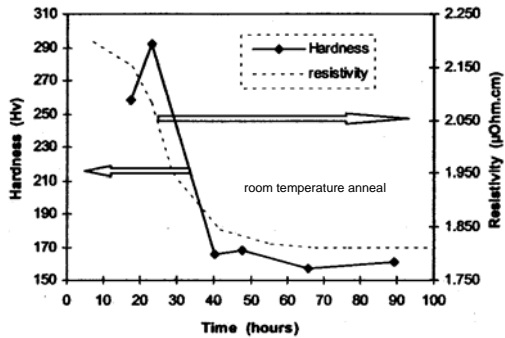
Grain Structure Evolution



Similar time dependence as R_S !

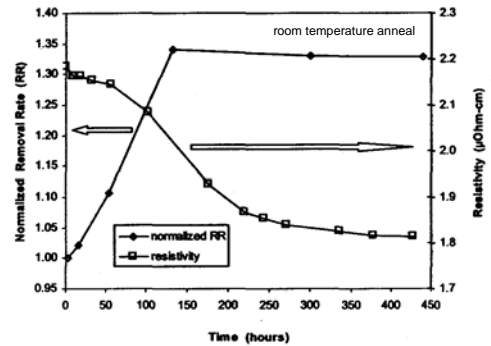
Source: S. Brongersma (Imec)

Hardness and Resistivity vs. Anneal Time



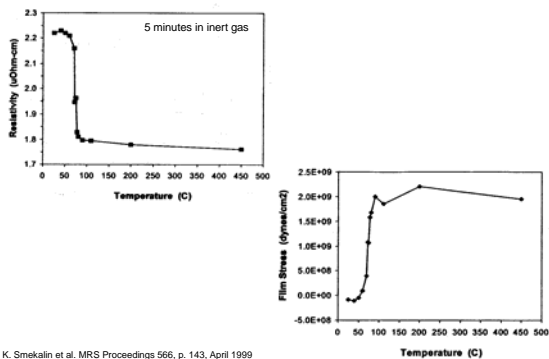
K. Smekalin et al. MRS Proceedings 566, p. 143, April 1999

PR and Resistivity vs. Anneal Time



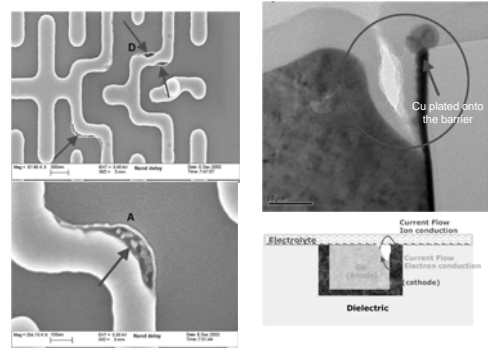
K. Smekalin et al. MRS Proceedings 566, p. 143, April 1999

Elevated Temperature Anneals



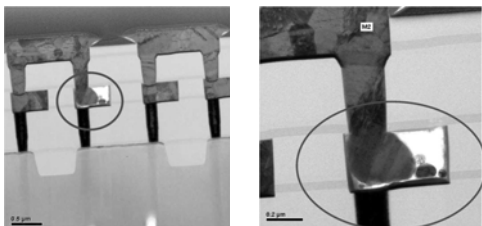
K. Smekalin et al. MRS Proceedings 566, p. 143, April 1999

Galvanic Cu Corrosion



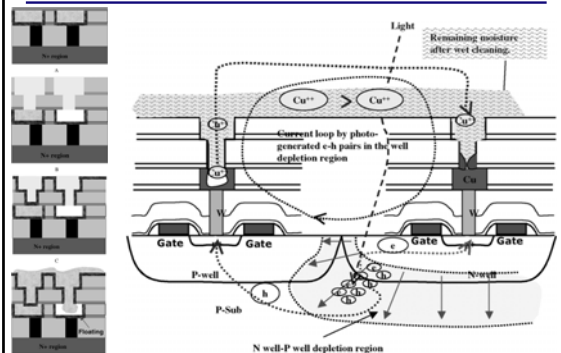
Z. Song et al., IEEE Transactions on Device and Materials Reliability 5 (2), 206, June 2005

Light-assisted Cu Corrosion



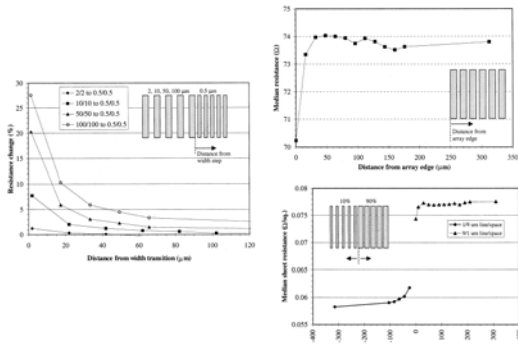
Z. Song et al., IEEE Transactions on Device and Materials Reliability 5 (2), 206, June 2005

Light-assisted Cu Corrosion



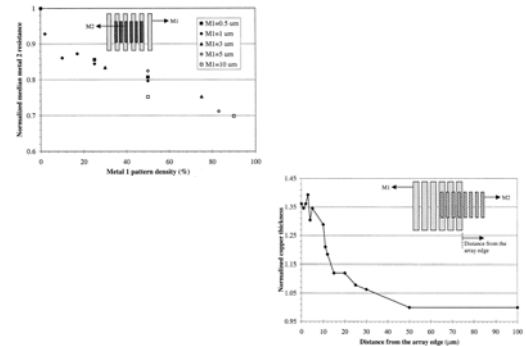
Z. Song et al., IEEE Transactions on Device and Materials Reliability 5 (2), 206, June 2005

Determination of Cu Design Window



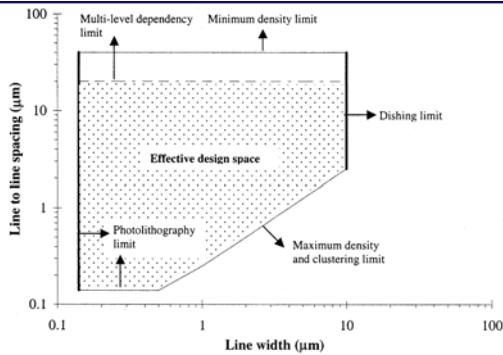
S. Lakshminarayanan et al., IEEE Transactions on Semiconductor Manufacturing 16 (4), 668, 2003

Determination of Cu Design Window



S. Lakshminarayanan et al., IEEE Transactions on Semiconductor Manufacturing 16 (4), 668, 2003

Determination of Cu Design Window



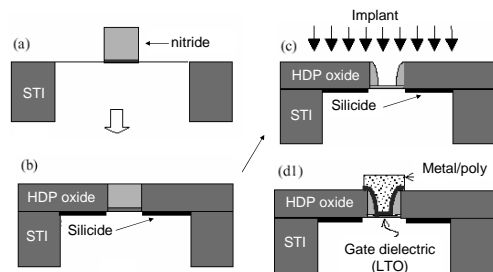
S. Lakshminarayanan et al., IEEE Transactions on Semiconductor Manufacturing 16 (4), 668, 2003

Novel Gate Structures

Front End - Replacement Gate Technology

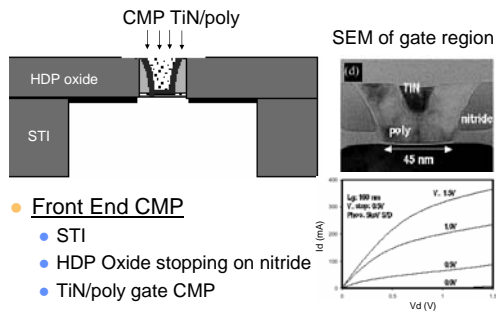
- Oxide CMP stopping on polysilicon (high selectivity required)
- Silicon nitride CMP stopping on oxide (high selectivity required)
- Dishing and erosion issues due to pattern density must be tightly controlled.

Replacement Gate Process for Sub-50 nm CMOS



C.P. Chang, et al. Proc. IEDM Dec. 2000

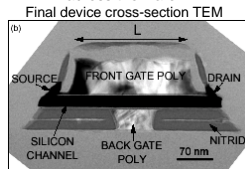
Replacement Gate Process for Sub-50 nm CMOS



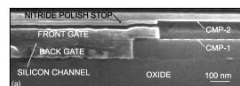
C.P. Chang, et al., Proc. IEDM, Dec. 2000.

Triple-self-aligned Double-gate Structure

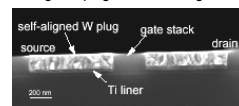
- CMP used for planarization at multiple stages during front-end processing
- High selectivity to nitride polish stop layer
- Planarity to within 5 nm across the wafer



Multiple oxide CMP steps stopping on nitride

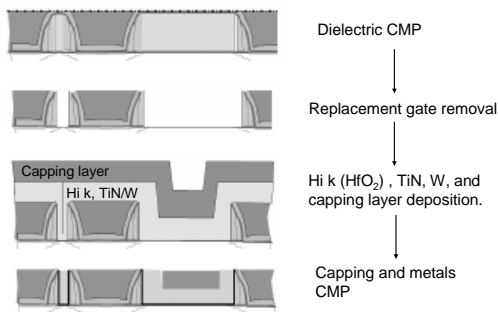


Tungsten plugs formed using CMP



K. W. Guen, et al., IEDM 2001, p. 425.

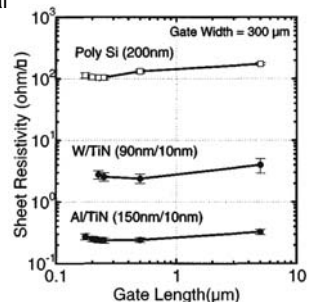
75 nm Damascene Metal Gate



B. Guillaumont, et al., IEDM Tech Digest, Dec. 2002.

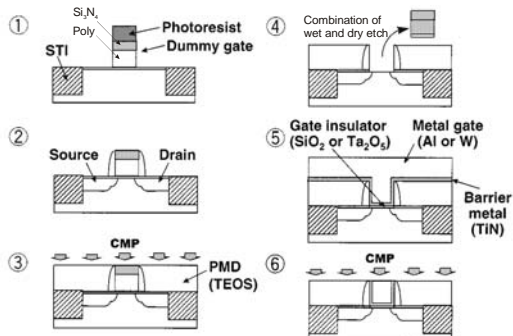
Damascene Metal Gate

- Why damascene metal gates instead of poly?
 - high poly gate resistance limits speed
 - boron penetration from doped poly changes threshold V
 - gate leakage degrades device reliability
 - threshold voltage deviation



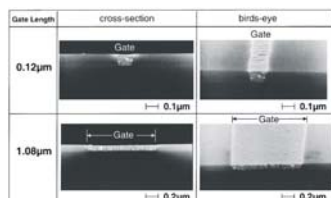
A. Yagishita et al., IEEE Transactions on Electron Devices 47 (5), 1028.

Damascene Metal Gate

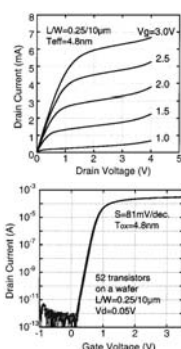


A. Yagishita et al., IEEE Transactions on Electron Devices 47 (5), 1028.

Damascene Metal Gate

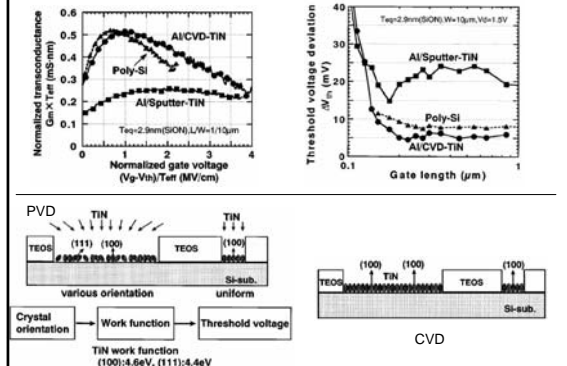


- Damascene metal gates
 - allow for high-K gate dielectrics
 - Minimizes plasma damage
 - complete planarity and high scalability
 - low sheet resistivity thus lower RC time delay
 - no depletion
 - dramatic improvement in GOI

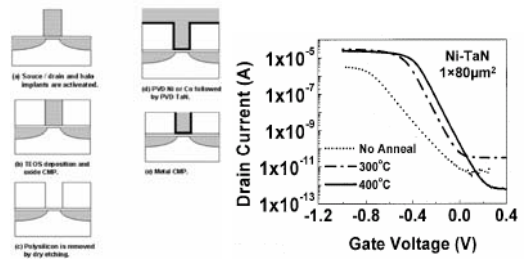


A. Yagishita et al., IEEE Transactions on Electron Devices 47 (5), 1028.

Material Issues with Replacement Gates



Ni-TaN Replacement gate



J. Pan et al., IEEE Transactions on Electron Devices, 50, 12, 2003, 2456-2460.

Acknowledgements

- Sandia National Labs
 - Dale Hetherington, Rich Dondero
- IMEC
 - Katia Devriendt
- Mike Oliver
- Cabot
 - Paul Feeney
- Sharp Microelectronics USA
 - Dave Evans
- Laredo Technologies
 - Tom Tucker