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6 **Cut and chip harvester material capacity and fuel performance on commercial-scale willow fields for**
7 **varying ground and crop conditions**

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9 **Mark H Eisenbies**, Research Scientist, SUNY ESF, Syracuse, NY 13224, mheisenb@esf.edu; **Timothy A**
10 **Volk**, Senior Research Associate, SUNY ESF, Syracuse, NY 13224, tavolk@esf.edu, **Daniel P.L. de Souza**,
11 Postdoctoral Scholar, SUNY ESF, Syracuse, NY 13224, dpegoret@syr.edu ; **Karl W. Hallen**, Senior
12 Research Support Specialist, SUNY ESF, Syracuse, NY 13224, khallen@esf.edu

13

14 **Abstract**

15 Shrub willow (*Salix* spp) is capable of producing commercially attractive amounts of biomass in
16 short rotations, but harvesting costs and logistics remain a concern. There is a particular need for
17 information about harvesting operations on larger, commercial short rotation woody crop systems.

18 Another recent issue on commercial fields in northern New York is commercial growers conducting
19 harvests during the growing season rather than the recommended dormant season when fields may be
20 too wet to harvest. This study evaluated and modeled the in-field performance of a cut and chip
21 harvester for almost 700 wagonloads of chips operating in commercial willow fields in a wider array of
22 crop and field conditions than have been previously reported. Analysis indicated that the time of
23 harvest (leaf-on or leaf-off) and whether site conditions were wet or dry affected the harvester's
24 material capacity. Mean material capacity was greatest for leaf-off, dry conditions (71.8 Mg h^{-1}) and
25 lowest for leaf-on harvests, which were similar for wet (30.4 Mg h^{-1}) and dry conditions (29.7 Mg h^{-1}).

26 Mean crop specific fuel consumption ranged between 1.3 and 3.3 L Mg^{-1} , but can get considerably
27 higher for standing biomasses below 40 Mg ha^{-1} . Wet ground conditions and leaf-on harvests tend to
28 decrease material capacity and increase fuel consumption as the harvester has to divert power to
29 forward movement and material processing. Relationships for material capacity and fuel consumption
30 based on standing biomass, time of harvest and ground conditions will be essential for evaluating and
31 modeling the economic and environmental impacts of commercial scale willow operations.

32

33 **Keywords:** Short Rotation Woody Crops; Willow; Harvesting Logistics; Material Capacity; Fuel
34 Consumption; Wet weather harvesting; Leaf-on harvesting

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36 **Cut and chip harvester material capacity and fuel performance on commercial-scale fields for varying
37 ground and crop conditions**

38 **1. Introduction**

39 *1.1. Background*

40 Sources of biomass for energy or bioproducts include forests, short rotation woody crops
41 (SRWC), herbaceous perennial crops, and other various residue streams (El Bassam, 2010; U.S.
42 Department of Energy, 2016). Projected demand will have to be met by multiple feedstocks; thus, a key
43 challenge will be to create supply systems that deliver large quantities of consistent quality biomass
44 efficiently and cost effectively (U.S. Department of Energy, 2016). Woody biomass in the form of
45 dedicated crops, forest residues, or waste products (e.g. milling and/or construction) could contribute
46 certain benefits such as their availability through much of the year, and generally consistent quality
47 (Volk et al., 2016). Short rotation woody crops (SRWC), such as shrub willow (*Salix* spp) and hybrid
48 poplar (*Populus* spp), are being developed in North America and Europe for bioenergy (Mola-Yudego,
49 2010; Volk, Heavey, & Eisenbies, 2016) and eucalyptus (*Eucalyptus* spp) in other regions (D. Rockwood,
50 Rudie, Ralph, Zhu, & Winandy, 2008; Sims, Hastings, Schlamadinger, Taylor, & Smith, 2006). SRWC had
51 been scaled up in parts of the United States (Berguson et al., 2010; Owens, Karlen, & Lacey, 2016), and
52 they have the potential to provide ecosystem and environmental benefits in addition to energy
53 production (D. L. Rockwood et al., 2004; Volk et al., 2016; Zalesny et al., 2016).

54 Willow biomass crops are managed using a combination of techniques and knowledge drawn
55 from both agriculture and forestry. Current willow systems use a coppice management system that
56 allows multiple harvests from a single planting of improved shrub willow cultivars; current
57 recommendations suggest every 3-4 years with the crop being replaced after 20-25 years (Abrahamson,
58 Volk, & Smart, 2010). These also typically have higher planting densities and more intensive
59 management than natural or more conventional plantation forest systems in North America. Shrub
60 willow biomass crops may be grown low or high quality sites, but marginal agricultural land is often
61 chosen due to the limitations growing other crops. One advantage is the ability to regenerate SRWC as
62 coppice rather than replanting using new stock with each harvest (Dickmann, 2006). The reported range
63 for above-ground yield for short-rotation willow ranges between 8 and 12 Mg ha⁻¹ yr⁻¹ of oven dried
64 biomass depending on site characteristics, soil properties, climate, and cultivar, but most yields range
65 between 20 and 100 Mg ha⁻¹ (Sleight et al., 2016).

66 In spite of the attributed benefits to shrub willow biomass crops systems, their expansion and
67 deployment has been constrained by higher production costs and lower market acceptance (Volk,

68 Castellano, & Abrahamson, 2010). Harvesting is the largest single cost factor for willow biomass
69 comprising about one third of the final delivered cost; harvesting, handling, and transportation
70 combined accounts for 45-60% of its delivered cost (Buchholz & Volk, 2011). Since harvesting costs are
71 so significant, understanding variation is essential for devising and evaluating the type of harvesting
72 systems expected to supply large-scale end users (Griffiths et al., 2019; Kenney, Smith, Gresham, &
73 Westover, 2013).

74 A number of specialized harvesters exist for SRWC, but systems are still being developed due to
75 the limited scale of SRWC deployment, evolving technology, differing operational scales, management
76 objectives, and need for continued improvement (Vanbeveren et al., 2017; Westover, Howe, &
77 Carpenter, 2016). Currently, systems that cut and chip the material in a single operation appear to be
78 the most economical (Ehlert & Pecenka, 2013; Savoie, Herbert, & Robert, 2013, 2014; Van der Meijden
79 & Gigler, 1995) and generate material that is of consistent quality (M. H. Eisenbies, Volk, Posselius, Shi,
80 & Patel, 2014). The potential to generate usable chipped material in the field during harvesting
81 operations could complement other woody biomass supply chains because advanced uniform format
82 feedstock systems project significant cost savings if preprocessing steps are performed as close to
83 harvesting and collection steps as possible (Hess, Wright, Kenney, & Searcy, 2009).

84 Properly matching harvesting equipment to a production system will have a significant impact
85 on the costs and efficiency of a production system (Berhongaray, El Kasmoui, & Ceulemans, 2013; Miao,
86 Shastri, Grift, Hansen, & Ting, 2012). There is a need to understand the sources of uncertainty and
87 variation associated with the different components of harvesting systems (Kenney et al., 2013; Sharma,
88 Ingalls, Jones, & Khanchi, 2013; Stanturf et al., 2019), and applying this knowledge to adequately select,
89 model, or improve these harvesting systems and feedstock supply chains, particularly at commercial
90 scales (Caputo et al., 2014; Crawford et al., 2016; Johnson, Willis, Curtright, Samaras, & Skone, 2011).
91 Modeling based on limited field operations showed that cost reductions can be achieved by
92 consideration of factors such as crop yields, distance to short term storage, and collection systems
93 (Ebadian et al., 2018); however, the amount of variability that exists suggests opportunities for further
94 improvement.

95 Eisenbies et al. (2014) hypothesized that the upper bounds of material capacities (C_m) for
96 harvesters relative to standing biomass delivered (BM_D) in short rotation crops such as willow may be
97 ground, vegetation, or mechanically limited. When standing biomass is low (i.e. < 30 or 40 $Mg_{wet} ha^{-1}$),
98 ground conditions permit a maximum speed; thus, material capacity (C_m in $Mg h^{-1}$) increases linearly
99 with BM_D . Once standing biomass reaches a high enough amount, the harvester's ability to process

100 material becomes the limiting factor; thus, C_m plateaus. It was additionally hypothesized that C_m and/or
101 harvester efficiency (EFF_H) would fall off once standing biomass exceeded the mechanical limits of the
102 machine; and further, the pattern would be affected by ground and crop conditions, machine
103 horsepower, operator experience, and other factors. Other related work on harvester performance in
104 willow biomass crops has often been based on relatively small trials over short periods of time
105 (Vanbeveren et al., 2017). The variation caused by different willow crop, field, weather and operational
106 conditions have not been fully explored or captured. This type of information will be of particular
107 interest to management decisions and necessary for modelers working to evaluate logistics and costs of
108 these systems.

109 *1.2. Justification and Objective*

110 As willow and other SRWC systems are scaled up, growers will face situations requiring
111 pragmatic choices about when and where to harvest that may conflict with timing that is biologically
112 optimal. The general recommendation for willow is to harvest in late fall or early winter after leaf fall
113 (Abrahamson et al., 2010; Dimitriou & Rutz, 2015). However, experience in some regions has shown
114 that recommendation can result in only a narrow window of opportunity with unpredictable or
115 inconsistent ground conditions. This is especially true when willow occupies lower quality land with
116 somewhat poorly to very poorly drained soil. In addition, difficulties securing and mobilizing equipment
117 on short notice have caused some commercial growers to start harvesting in the late summer or early
118 fall (M. H. Eisenbies, Volk, Therasme, & Hallen, 2019; Therasme, Eisenbies, & Volk, 2019). In other
119 words, they are placing more importance on ground conditions and being able to complete harvests
120 than on concerns about potential impacts of harvesting during the growing season. The economic and
121 biological outcome of these choices remains an open question that requires additional fundamental
122 data to evaluate their potential (Griffiths et al., 2019).

123 Should an expanded harvest window become the norm, harvests will be conducted during parts
124 of the growing season and/or under a wider range of crop, ground and weather conditions than has
125 been reflected in previous work. In addition, the size of the harvest window will influence equipment
126 needs and deployments, and ultimately may have biological implications for crop health and
127 regeneration. Although data is available from other trials, most are of a smaller scale and fail to capture
128 the variability necessary to for models that can assess the economic and environmental benefits and
129 impacts of commercial scale systems for biorefineries that may requires hundreds or thousands of Mg of
130 feedstock per day. The objective of this study is to conduct a broad evaluation of harvester

131 performance in willow crops (e.g. material capacity, fuel use) at a commercial scale over multiple years
132 and harvests that captures variation in crop and weather conditions.

133 **2. Methods**

134 *2.1. Study Plan*

135 The study plan for this work entailed collecting harvester performance data from commercial-
136 scale harvests in varied conditions over a period of several years. The key variables of interest are
137 material capacity and crop specific fuel consumption (M. H. Eisenbies et al., 2017) for independent loads
138 summarized down the row (excluding headland turns and activities). For the purposes of this paper, the
139 collection systems (i.e. tractors and wagons, silage trucks etc.) will not be evaluated because the
140 machine types, operators, and were variable which make comparisons difficult. In addition, the number
141 of collection vehicles available was sometimes below the optimum recommended number which may
142 have affected system logistics (Ebadian et al., 2018). Loads were initially categorized using cluster
143 analysis and harvester performance in these resultant groups was evaluated further by employing
144 regression analysis using standing biomass, rainfall, and season as independent variables. Cofactors
145 such as harvester efficiency were also included where applicable.

146 *2.2. Sites*

147 Seven commercial-scale willow harvests monitored in New York between 2012 and 2019
148 representing a wide range of stand and seasonal conditions are included (Table 1). Four sites (“Auburn”,
149 “Groveland”, “Solvay”, and “Rockview”) consisted of homogeneous plantings of common, commercial
150 willow cultivars (e.g. Canastota, Fish Creek, Millbrook, Oneida, Owego, Owesco, S365, Sherburne, SV1,
151 SX61, SX64, SX67, and Tully Champion); the other sites were non-contiguous, mixed plantings using the
152 same cultivars. For the Auburn and Groveland harvests, sites were planted with a recommended
153 spacing was 0.61-m intervals in 0.76-m wide double rows which were spaced 2.29-m on center per the
154 recommendations made in Abrahamson et al. (2010); the between row spacing was increased to 2.59 m
155 on center on other sites to better accommodate harvesting equipment. These being short rotation
156 woody crops, stool and stem density are not related to stocking and standing biomass as long as survival
157 is adequate ($\approx 80\%$) because other trees fill in.

158 Data is comprised of a compilation of 694 monitored loads, with 192 machine hours down the
159 row. A load is comprised of the biomass cut by the harvester and blown into a collection vehicle (either
160 truck or wagon) carried to short-term storage or to the end user. Over 4,300 Mg of willow biomass was
161 collected from 110 ha on fields or field sections ranging from 4 to 39 ha. At the sites Auburn (2012),
162 Groveland (2012), Buffalo (2016), Jacobs (2017), and Masons (2017) the primary objective was to

163 manage the harvests in an operationally realistic way; specifically, rational decisions concerning the time
164 of harvest, workday, personnel, harvesting patterns, type and deployment of support vehicles, weather
165 dependent decisions etc. were left to the vested parties (i.e. land-owners, growers, and operators) with
166 minimal input from researchers. In the case of the Solvay (2017) and Rockview (2019) sites, the harvest
167 planning accommodated some input from researchers in order to achieve parallel objectives (i.e.
168 harvesting individual cultivars as independent loads, limiting loads to individual rows). An analysis of the
169 2012 Auburn and Groveland harvest systems are found in Eisenbies et al (2014); this paper expands on
170 components of that data set and other sites to evaluate questions raised in that initial study as well as
171 report data on fuel usage. There were two principal harvester operators (A, 21.7% of loads; B, 75.3%)
172 used for these harvests, both with hundreds of hours of experience and knowledge harvesting SRWC
173 using this equipment and thousands of hours in forage equipment in general. A capable third operator
174 (C, 3.0% of loads) collected a subset of loads at the Jacobs site in order to evaluate operator effects on
175 the same days, in the same machine, field sections, and conditions.

176 *2.3. Data collection*

177 Operations were conducted as a single-pass, cut-and-chip process using a New Holland FR-9080
178 (94.8% of loads; approximately 250/75 engine/cutter hours for initial sites, 1,800/1,040 hours at the end
179 of the project) or FR-9090 (5.2%; approximately 3,000/2,000 engine/cutter hours at the Jacobs site)
180 forage harvester equipped with a New Holland 130FB coppice header using blades recommended for
181 willow (760 mm diameter, 4 mm thick with 6 mm Stellite™ tips). Material was cut, chipped and blown
182 into locally hired collection vehicles that ranged between generic silage trucks, tractor-drawn dump
183 wagons or carts, and self-propelled dump wagons; these vehicles carried loads anywhere from 3 to 12
184 Mg of fresh material. The length of cut selected by the operator was the largest setting ("33-mm") in
185 order to maximize fuel economy and harvesting rate (Guerra, Oguri, & Spinelli, 2016); this chip size was
186 also preferred by end users of the material. Harvest and collection equipment operations were
187 subsequently monitored using Trimble GPS devices (GeoXM, Geo 7, Juno SB, and Juno 3B series), using
188 the methodology described in Eisenbies et al (2017; 2014). Both loaded and empty collection vehicles
189 from 694 individual loads were weighed with portable scales (Cardinal Scale Manufacturing Company,
190 Webb City, MO) or at registered truck scale installations, if available, to obtain the fresh weight of
191 biomass. Biomass weight was coupled with GPS and on-board harvester CAN bus diagnostics data (e.g.
192 position, speed, engine load, engine power, fuel use, header engagement, and biomass estimates) to
193 calculate standing biomass amounts, in-row material capacities, and in-row fuel use (Table 2). The key
194 variables for the reader to remember that will recur throughout the rest of the paper are standing

195 biomass delivered (BM_D), material capacity (C_m), aerial fuel consumption (FC_A), and crop specific fuel
196 consumption (FC_C). All calculations in this paper are based on a fresh-weight basis as it is the most
197 relevant to the movement of material (M. H. Eisenbies, Volk, Posselius, Foster, et al., 2014).

198 Per-second fuel consumption data from the harvester used to calculate other measures of fuel
199 consumption (fuel consumption rate (FC_R), aerial fuel consumption (FC_A), and crop specific fuel
200 consumption (FC_C)) were only available from the CAN bus for 303 of 694 loads. However, the time in an
201 active or delayed state were known for all 694 loads. For the 303 loads with full-information, the mean
202 FC_R when the harvester was actively cutting and chipping the crop ($\geq 0.64 \text{ km h}^{-1}$) and the mean FC_R
203 when the harvester was delayed ($<0.64 \text{ km h}^{-1}$) were determined. Thus, indexes of fuel consumption
204 (FC_{Ri} , FC_{Ai} , and FC_{Ci}) for all 694 loads were calculated as a weighted mean based on time to serve as a
205 surrogate. The adequacy of these indexes is specifically tested in data analysis and reported as results.

206 Daily rainfall amounts were obtained from the nearest available NOAA weather station provided
207 by the National Centers for Environmental Information, climate data online (CB Baker, Eischeid, & Diaz,
208 1995). The amounts of precipitation that occurred for multi-day intervals (2 and 5 days) before each
209 load was harvested were determined. Longer antecedent periods were initially considered (up to 30
210 days), but as a predictive factor, the two-day rainfall period was chosen because one-day rainfall may
211 occur after harvesting operations ended or cause them to cease; the five-day reflects available long
212 range forecasts.

213 Season was described using two methods. First, a simple leaf-on/leaf-off designation to
214 indicate season based on tree dormancy. In an attempt to consider whether a continuous
215 representation of season was more useful in the subsequent data analyses described below, a second
216 method using a “Julian wave” calculation that converts Julian dates using a sine function (Equation 1).
217 For the Julian wave, harvests occurring near the summer solstice would approach a value of +1, and
218 harvests approaching the winter solstice would be assigned a value of -1.

219 Equation 1:

$$220 JW = \sin\left(\frac{2\pi(264 - J)}{365}\right)$$

221

222 Where: JW = Julian Wave value

223 J = Julian Date

224 *2.4. Data analysis*

225 Statistical analysis occurred in four stages: (1) establish the suitability of FC_{ci} as a surrogate for
226 FC_c ; (2) conduct a cluster analysis to identify unbiased groups as a basis for developing regression
227 models; (3) conduct regression analysis to model key response variables; and (4) post hoc analyses.

228 *2.4.1. Evaluation of Crop Specific Fuel Consumption Index (FC_{ci})*

229 Establishing a crop specific fuel consumption index (FC_{ci}) as an acceptable surrogate for FC_c was
230 accomplished using 303 loads where both FC_c and FC_{ci} values were available. A paired t-test was used
231 to evaluate whether the difference between FC_c and FC_{ci} was significantly different than zero
232 (alpha=0.05) using the TTEST procedure in SAS 9.4. Additionally a zero-intercept regression model was
233 used to test whether the slope of FC_c vs FC_{ci} was significantly different than 1 (alpha=0.05) using the REG
234 procedure (SAS 9.4).

235 *2.4.2. Cluster Analysis*

236 Data was initially sorted using a cluster analysis as an unbiased approach to create groups using
237 the CLUSTER procedure in SAS (SAS 9.4). Variables for the clustering included FC_{ci} , BM_D , load area (ha),
238 field speed (km h^{-1}), C_m , EFF_H , delay counts (number ha^{-1}), delay rate ($h ha^{-1}$), cumulative precipitation
239 summed over 2, and 5 days, whether trees were leaf-on or -off, and Julian wave. The “single”,
240 “average”, “complete” and “ward” methods were assessed; the ward method with the “noeigen”,
241 “nonorm”, “std”, and “nosquare” options was ultimately selected because it yielded results with
242 minimal chaining (SAS Institute, 2009).

243 As a preview to results described below, but necessary to frame the regression methods, four
244 categories were identified: leaf-on and leaf-off, combined with wet- and dry-weather. Although leaves
245 were the primary indicator of dormancy, some willow cultivars do not easily shed leaves in fall; in those
246 cases the determination based on other physiological factors such as leaf color or persistence. While
247 leaf senescence is a readily apparent observation to make, it is difficult to objectively differentiate
248 between “wet” and “dry” weather categories since ground conditions can be affected for many days
249 after a rainfall; rainfall amounts alone were not helpful and/or significant when used as a continuous
250 variable in preliminary models; a logistic regression model for making this determination is described
251 below.

252 *2.4.3. Regression Modeling*

253 Results from the cluster analysis suggested two main groupings based on dormancy (leaf-on and
254 leaf-off), and two secondary groups based on rainfall (wet and dry-weather); this result guided
255 subsequent regression modeling. Multiple linear regression models were developed to evaluate the
256 effect of leaf and rainfall on C_m and FC_{ai} at an alpha = 0.05 level (Montgomery, Peck, & Vining, 2001).

257 The full models for each incorporated standing biomass as a continuous variable, and leaf on/off and
258 rainfall/no-rainfall as categorical values; the C_m model included harvester efficiency (EFF_H ; the
259 proportion of time the harvester was actively cutting in the row to the total time in the row) (Equations
260 2 and 3). Four to six candidate models were initially identified using the REG procedure using the
261 correlation coefficient (R^2) and the Mallows Cp Statistic as initial selection criteria (Mallows, 1973).
262 Colinearity of main effects was accessed using the Variance Inflation Factor statistic and a threshold of
263 5.0 (Montgomery, Peck, & Vining, 2001).

264

265 Equation 2:

$$266 \quad C_m = \beta_0 + (\beta_1 \cdot Leaf) + (\beta_2 \cdot Rain) + (\beta_3 \cdot Leaf \cdot Rain) + (\beta_4 \cdot BM) + (\beta_5 \cdot BM \cdot Leaf) \\ 267 \quad + (\beta_6 \cdot BM \cdot Rain) + (\beta_7 \cdot BM \cdot Leaf \cdot Rain) + (\beta_8 \cdot BM^2) + (\beta_9 \cdot BM^2 \cdot Leaf) \\ 268 \quad + (\beta_{10} \cdot BM^2 \cdot Rain) + (\beta_{11} \cdot BM^2 \cdot Leaf \cdot Rain) + (\beta_{12} \cdot EFF_H) \\ 269 \quad + (\beta_{13} \cdot EFF_H \cdot Leaf) + (\beta_{14} \cdot EFF_H \cdot Rain) + (\beta_{15} \cdot EFF_H \cdot Leaf \cdot Rain) \\ 270 \quad + (\beta_{16} \cdot BM \cdot EFF_H) + (\beta_{17} \cdot BM^2 \cdot EFF_H) \\ 271$$

272 Equation 3:

$$273 \quad FC_{Ai} = \beta_0 + (\beta_1 \cdot Leaf) + (\beta_2 \cdot Rain) + (\beta_3 \cdot Leaf \cdot Rain) + (\beta_4 \cdot BM) + (\beta_5 \cdot BM \cdot Leaf) \\ 274 \quad + (\beta_6 \cdot BM \cdot Rain) + (\beta_7 \cdot BM \cdot Leaf \cdot Rain) + (\beta_8 \cdot BM^2) + (\beta_9 \cdot BM^2 \cdot Leaf) \\ 275 \quad + (\beta_{10} \cdot BM^2 \cdot Rain) + (\beta_{11} \cdot BM^2 \cdot Leaf \cdot Rain) \\ 276 \quad \text{Where}$$

277 Leaf = Tree dormancy based on leaf fall (1,0)

278 Rain = Categorical variable based on cluster analysis (1,0)

279

280 Final model selection from the pool of candidates was conducted in the GLIMMIX procedure
281 (SAS 9.4) using the lowest AIC and BIC scores as the final criteria to compare the models' performance.
282 Due to the size (N=694) and variability inherent to this data set, many observations were flagged by
283 outlier and leverage statistics. In addition, there were patterns in the residual plots, but they could not
284 be satisfactorily corrected by the standard Box Cox transformations (Box & Cox, 1964). Thus, final
285 model coefficients were determined using a weighted least squares approach in the ROBUSTREG
286 procedure and utilizing a Least Trimmed Squares (lts) estimation method (SAS 9.4)(SAS Institute, 2009).
287 FC_{Ci} was finally estimated by dividing the predicted FC_{Ai} result by BM_D for that observation (Table 2); this
288 transformation essentially projects a linear result onto a nonlinear surface, but avoids the complexity
289 and assumptions that would be necessary to perform nonlinear modeling approaches (Equation 4).

290

291 Equation 4:

$$292 \quad FC_{Ci} = FC_{Ai} \left(\frac{1}{BM} \right) = \left(\frac{L}{ha} \right) \left(\frac{ha}{Mg} \right) = \frac{L}{Mg}$$

293 Where:

294 L = liters of diesel fuel used down the row (excludes fuel used in headlands)

295

296 2.4.4. Post Hoc Analyses

297 Summary statistics for the cluster analysis groups were conducted using the MEANS procedure
298 (SAS 9.4). In addition, mean engine load was modeled using multiple linear regression using biomass
299 and its square as the only regressors (Equation 5). Engine load on the New Holland harvester is
300 expressed as a percent of the recommended engine load. The harvester tolerates engine loads in excess
301 of 100 percent for short periods of time. Engine load is related to fuel consumption (M. H. Eisenbies et
302 al., 2017), and it provides additional insight about the use of FC_{CI} as a surrogate for FC_C .

303

304 Equation 5:

305
$$Engine\ Load = \beta_0 + (\beta_1 \cdot BM) + (\beta_2 \cdot BM^2)$$

306

307 Harvester efficiency modeled as a beta regression using the GLIMMIX procedure (SAS 9.4) and a
308 logit link function (Equation 6). The relationship shows the general effect of standing biomass,
309 dormancy, and rain on harvester efficiency. The purpose of this analysis is to examine the hypotheses
310 stated in Eisenbies et al. (2014) that suggested that harvester performance might be affected by
311 excessive biomass by increasing the incidents of delays as biomass increases.

312

313 Equation 6:

314
$$EFF_H = \frac{1}{1 + e^{-(\beta_0 + (\beta_1 \cdot BM) + (\beta_2 \cdot Leaf) + (\beta_3 \cdot Rain))}}$$

315

316 Membership in the wet- and dry-weather groups for this data set in this paper was determined
317 by the cluster analysis. In order to generalize the rainfall conditions that would determine group
318 membership for similar sites that typify willow fields, a logistic model was created based on dormancy,
319 and 2- and 5-day rainfall totals (Equation 5). Logistic regression was conducted in the GLIMMIX
320 procedure (SAS 9.4) using a logit link function. Model performance for logistic and beta regressions
321 were accessed using the area under the ROC curve (SAS Institute, 2009).

322

323 Equation 7:

324

325
$$\text{Rain Group Probability} = \frac{1}{1 + e^{-(\beta_0 + (\beta_1 \cdot \text{Leaf}) + (\beta_2 \cdot \text{pp2}) + (\beta_3 \cdot \text{pp5}))}}$$

326 Where:

327 pp2 = 2-day rainfall total in mm

328 pp5 = 5-day rainfall total in mm

329

330 **3. Results and Discussion**

331 *3.1. Adequacy of Crop Specific Fuel Consumption Index (FC_{ci})*

332 The mean difference between FC_c and FC_{ci} was 0.025 L Mg⁻¹ (s=0.376) based on the paired t-test
 333 for 303 loads and not significantly different from zero (P=0.2432). The slope estimate from the zero
 334 intercept regression model was 0.991±0.013 (P<0.0001; R²=0.9865) and not significantly different than
 335 1. The zero intercept model intercepts the 1:1 line and the standard intercept model (slope=0.85758;
 336 intercept=0.45885) between FC_{ci} values of 3 and 3.5 L Mg⁻¹; this suggests that FC_{ci} begins to slightly over
 337 predict FC_c above that range. Eisenbies et al. (2017) showed that FC_R for this machine is approximately
 338 115 L h⁻¹ at 100% engine load, and decreased to about 70 L h⁻¹ at engine loads around 50%. In the case
 339 of these data there is a significant but variable relationship between standing biomass and engine load
 340 (R²=0.25); however, mean engine load is approximately 85% at biomass values of 20 Mg ha⁻¹ and
 341 increases as biomass increases.

342 While these results may seem unremarkable, the relationships suggest that the FC_{ci} may slightly
 343 over-estimate FC_c at lower standing biomass (e.g. < 20 Mg ha⁻¹). By extension, while the indexes of fuel
 344 consumption based on time (e.g. FC_{ri}, FC_{ai}, and FC_{ci}) may not be adequate replacements for measured
 345 fuel consumption (e.g. FC_R, FC_A, and FC_c) for individual loads, they are entirely suitable as a long-run
 346 surrogate to evaluate fuel consumption in the context of the stated objectives for this paper.

347 *3.2. Cluster Analysis*

348 Results from the cluster analysis identified four main groups based on dormancy (leaf-on, leaf-
 349 off) and rainfall (wet, dry) and (Figure 1, Table 3). Overall, leaf-off harvests had higher speeds and
 350 material capacities, utilized less fuel per Mg harvested, and fewer delays. Although there was no
 351 chaining, the next level of between-cluster sums of squares was based on less consistent in-group
 352 divisions tied to standing biomass, material capacity, and delays. Despite the large N for this data set,
 353 there were constraints on the number of coefficients that could be reliably introduced into regression
 354 models, particularly due to latent variables and inherent site factors. Thus, subsequent analyses were
 355 limited to rainfall and dormancy as they relate to standing biomass and harvester efficiency, which

356 previous work have shown to be important factors in the performance of this system (M. H. Eisenbies et
357 al., 2017; M. H. Eisenbies, Volk, Posselius, Foster, et al., 2014).

358 *3.3. Material Capacity (C_m)*

359 Regression modeling yielded a model that predicts C_m as function of standing biomass and
360 harvester efficiency, and includes the rainfall and dormancy factors or their interactions (Table 4). As
361 described, this final model was ultimately chosen based on the lowest AIC score, but other candidate
362 models bear little practical difference in terms of their implications. The final model's betas for the four
363 combinations of fixed effects based on dormancy and rain conditions are presented as simplified
364 equation using standing biomass and harvester efficiency as inputs (Equation 8; Table 5; Figure 2).

365

366 Equation 8:

367
$$C_m = \beta_0 + (\beta_1 \cdot BM) + (\beta_2 \cdot BM^2) + (\beta_3 \cdot EFF_H) + (\beta_4 \cdot BM \cdot EFF_H) + (\beta_5 \cdot BM^2 \cdot EFF_H)$$

368

369 The model broadly indicates that C_m is highest (mean 71.8 Mg h^{-1} ; peaking near 80 Mg h^{-1}) when
370 there are no leaves on the willow and when there has been a limited amount of rainfall, which
371 corresponds to ground conditions that are favorable for operating equipment. When there is heavy
372 enough rainfall during the dormant season, There is approximately a 40% reduction in C_m (mean 42.4 Mg h^{-1}). A 2-day rainfall amount in excess of 5 mm is sufficient to increase the risk that ground
374 conditions will impair vehicles and impact the entire operation. During harvesting, the operator must
375 manage the vehicle as it distributes engine power to forward motion, cutting and feeding trees into the
376 header, and processing material through the chipper and blower. When ground conditions are poor,
377 more power is needed for forward motion and less is available for processing material.

378 Willow crop management guides indicate that harvesting willow during the dormant season
379 (after leaf fall) results in the most vigorous regrowth of the plants, increases the quality of the chips, and
380 greater amounts of nutrients are retained as litter cover (Abrahamson et al., 2010; Dimitriou & Rutz,
381 2015). However, a large portion of the lower quality land in the northeast US that is available for willow
382 or other energy crops are often poorly drained (Stoof et al., 2015), which are difficult to access in the
383 winter if the ground does not freeze. As a result, landowners are intentionally harvesting willow in the
384 late summer and early fall while willow still retains its foliage.

385 Peak predicted material capacities (C_m) drop from approximately 80 to 40 Mg h^{-1} when
386 harvesting occurs with leaves on compared to leaf off in good ground conditions; suggesting that leaf-on
387 harvests are as or possibly more impactful on C_m as wet ground. In the case of wet ground, peak

388 predicted C_m drops from approximately 55 Mg h^{-1} to 30 Mg h^{-1} between leaf-off and leaf-on harvests. A
389 small group of loads can also be observed to deviate from the model line for the leaf-on, wet weather.
390 These observations were identified as leverage points during model development and given less weight
391 by the weighted least squares procedures utilized used for the final model. The specific group of loads
392 were related to a malfunctioning turbo unit on the harvester that affected performance. However, the
393 observed separation only seemed to manifest on the leaf-on wet-weather loads, but not the leaf-on dry-
394 weather loads. The flow of material into the throat of the harvester after it is cut is generally more
395 variable and slower than for leaf on material, which results in lower ground speeds so that flow of
396 material is maintained and jams are minimized. Harvester operators describe leaf-on material as
397 "heavy", "sticky", and "similar to that of alfalfa", which can be felt in the machine as the blower draws
398 more power from the engine. This is opposed to leaf off materials which are "like corn silage" and
399 easier for the forage harvester to feed into the harvester, chip and blow into collection vehicles.
400 Moisture content in the leaf-on material was 52.5 to 57.1% compared to leaf-off material which was
401 44.4 to 45% (Table 3).

402 Overall, harvests that have occurred since 2012 confirm the hypothesis made about C_m by
403 Eisenbies et al. (2014); specifically, that C_m increases with standing biomass and plateaus, the plateau
404 varies based on crop and weather conditions (Figure 2). What is less apparent is the distinct chine that
405 was observed in the previous work. Studies that include willow, poplar, eucalyptus have also suggested
406 that the transition from ground-limited to crop limited C_m may not be as abrupt as was previously
407 suggested (M. H. Eisenbies et al., 2017; Guerra et al., 2016; Vanbeveren et al., 2017). The data in this
408 study covers a wider range of willow crops in terms of standing biomass, stem density, stem diameter
409 and height and cultivars; the review by Vanbeveren et al. (2017) describes cut and chip harvesting for 26
410 willow studies with a median study area of 2 ha. In the case of this work data is obtained from a number
411 of different locations where ground conditions vary and the operators of tractors and wagons to collect
412 the chips had different amounts of experience working in willow crops. Most of the sites were
413 harvested on fine-textured, frozen or unfrozen ground with somewhat or poorly drained soils (Table 1).
414 All of these factors contributed to the scatter of the data and the less defined break in the C_m as
415 standing biomass increases.

416 3.4. Crop Specific Fuel Consumption (FC_c)

417 Several significant candidate models were identified that predict FC_{Ai} as function of standing
418 biomass and include the rainfall and dormancy factors or their interactions with the final model being
419 selected based on the lowest AIC score (Table 4). As with C_m , the candidate models bear little practical

420 difference from each other in terms of their implications. The final model's betas for the four
421 combinations of fixed effects based on dormancy and rain conditions are presented in as simplified
422 equation using standing biomass as a continuous variables; as previously described, results for FC_{Ai} were
423 scaled to an FC_{Ci} basis using BM_D (Equation 9; Table 6; Figure 3).

424 This study showed that mean crop specific fuel consumption ranged between 1.3 and 3.3 L Mg^{-1}
425 (Table 3), but can be higher for individual loads if conditions are sub-optimal or there is low biomass
426 (Figure 3). Congruent with the results for C_m , the harvesters were most fuel efficient in stands that were
427 harvested leaf off in dry weather with a mean FC_C of 1.3 L Mg^{-1} (Table 3). Harvesting with leaves or in
428 wet weather increased mean fuel consumption and the patterns were higher especially for low
429 harvested biomass load and were more variable (Figure 3). In all cases, mean FC_{Ci} approaches a
430 minimum that lies approximately between 1 and 2.5 L Mg^{-1} once standing biomass exceeds 40 Mg ha^{-1} .

431 Previous work in SRWC suggested that FC_C ranges between 1.2 and 2.2 L Mg^{-1} , but in each of
432 these cases the field conditions were consistent and quite good and the areas harvested relatively small
433 (M. H. Eisenbies et al., 2017; Guerra et al., 2016). All observations for SRWC appear higher than those
434 for common agricultural silage which may range between 0.45 and 1.2 L Mg^{-1} (Downs & Hansen, 1998;
435 Ramos, Lanças, Lyra, & Sandi, 2016; Wild & Walther, 2011). Fuel use for these machines is relative to
436 engine load (M. H. Eisenbies et al., 2017; Guerra et al., 2016; Špokas & Steponavi, 2009). Harvester
437 operators maximize engine load while balancing harvester speed, C_m , and other factors. Since engine
438 load drops off less rapidly than C_m in stands with low biomass (Figure 3), more fuel is expected to be
439 required per Mg of material processed. Ultimately these results suggest that when conditions are
440 optimal (leaf-off, dry weather), harvesters will likely run more consistently, and that fuel consumption
441 aligns with other studies. When conditions are sub-optimal (leaf-on and/or wet-weather), compounded
442 by decreased standing biomass, harvester performance and fuel consumption may be impacted by
443 several factors.

444

445 Equation 9:

$$446 \quad FC_{Ci} = FC_{Ai} \left(\frac{1}{BM} \right) = \frac{\beta_0 + (\beta_1 \cdot BM) + (\beta_8 \cdot BM^2)}{BM}$$

447

448 3.5. Harvester Efficiency (EFF_H)

449 Harvester efficiency (EFF_H) was a factor that affected material capacity as shown in previous
450 sections. The area under the ROC curve for the beta regression model developed did not indicate a
451 strong fit (ROC = 0.1810) (SAS Institute, 2009), and as evidenced by the distribution of low-efficiency

452 loads (Figure 4). However, overall the incidence of low-efficiency loads increases, 453 and EFF_H is negatively correlated to increasing BM_D (Equation 10; Figure 4). Willow dormancy and 454 ground conditions were also significant components of the model, but there does not appear to be a 455 great deal of practical significance between them with regards to the regressions due to the weak fit.

456 The proportion of loads with in-field EFF_H values exceeding 0.8 were 0.99 and 0.93 for Leaf-off, 457 dry- and wet-weather respectively, and 0.86 and 0.77 for leaf-on, dry-weather and wet-weather.

458 Concurrently in-field delays were also longer on average for leaf-on and wet-weather harvests.

459 Excluding excessive delays (> 10 minutes), the mean in-field delay for leaf-off, dry- and wet-weather 460 loads was 33 and 96 seconds respectively, while the delays for leaf-on, dry- and wet-weather loads 75 461 and 107 seconds. Finally, the duration of excessive in-field delays was significantly greater for wet- 462 weather harvests (29.8 minutes) compared to dry-weather harvests (15.4 minutes). Thus, the impact of 463 leaf-on and wet-weather harvesting appears to increase the number and length of delays.

464 Reasons for work stoppages during in-field operations may include blockages of stems and plant 465 material flowing into the header's feed rolls, activation of the automatic metal detection system which 466 protects the chipping blades, maintenance that requiring immediate attention to prevent damage to the 467 harvester, waiting for collection vehicles in the field, phone calls, etc. A more comprehensive 468 examination of the distribution and circumstances of harvester delays are being prepared in a separate 469 study and are beyond the scope of this paper.

470

471 Equation 10:

$$472 \quad EFF_H = \frac{1}{1 + e^{-(2.8699 + (-0.01018 \cdot BM) + (-0.2314 \cdot Leaf) + (-0.3286 \cdot Rain))}}$$

473

474 *3.6. Rainfall Group*

475 Given that the C_m and FC_{Cl} models utilize a categorical variable for rainfall and ground 476 conditions, a model is needed characterize these groups in order to extend these results to other data or 477 incorporate them in simulation models. Probability of membership to each rainfall group was 478 significantly influenced by a combination of 2-day and 5-day rainfalls amounts, and willow dormancy 479 (Equation 11, Figure 5). All model components had P-values less than 0.0001 and the area under the 480 ROC curve was 0.98, suggesting a strong fit (Table 4) (SAS Institute, 2009); thus this model is very 481 efficient discriminating between wet- and dry- conditions in this data.

482 For leaf-off harvests, as long as these sites were free of precipitation for at least 5 days prior to 483 operations the probability is greater than 0.5 that they will fall into the dry-weather category.

484 Additionally, if conditions had been dry for at 3 to 5 days prior to operations, these sites were more
485 tolerant to precipitation of approximately 5 mm within the previous two days. Based on these
486 observations, rainfalls exceeding approximately 10 mm at any time within the past 5 days are likely
487 sufficient to tip the probability toward a wet-weather classification.

488 Leaf-on harvests were more tolerant of rainfall due to evapotranspiration. If cumulative
489 precipitation did not exceed approximately 25 mm over 5 days, the probability of being classified as a
490 wet-weather harvest does not exceed 0.5 (Figure 5). Transpiration rates for willow are considerably
491 higher than other woody species, and can often exceed 3 to 10 mm per day during wet periods in the
492 within the growing season depending also on site and management variables (Frédette, Labrecque,
493 Comeau, & Brisson, 2019; Mirck & Volk, 2009). It may be argued that time periods exceeding 5-days or
494 increased resolution could be useful for classifying loads based on wetness, but in terms of predicting
495 when harvests windows might open for an active harvests, forecasts beyond five days may not be
496 considered actionable by operators. This is a complicated question with many decision factors that are
497 beyond the scope of the data collected. However, a considerable amount of variability likely exists
498 among appropriate sites with regards to the degree antecedent moisture conditions affect harvesting
499 operations because of factors such as soil types, drainage, slope, aspect, previous land use compaction
500 etc.

501

502 Equation 11:

$$503 Rain\ Group\ Probability = \frac{1}{1 + e^{(-2.9596 + (-13.2492 \cdot Leaf) + (0.4279 \cdot pp2) + (0.4968 \cdot pp5))}}$$

504

505 *3.7. Uncertainty and Sources of Variation*

506 The objective of this work was to examine data from willow harvests across a range of crop
507 conditions and sites in order to draw insights about factors that impact harvesting operations. It is
508 understood that there are or may be latent factors associated with the loads in this study that could
509 explain additional variation: multiple sites, multiple operators, two machines, different collection
510 systems and those operators, differing crop layouts, and other attributes. Unfortunately, the dynamics
511 of commercial scale harvests are such that these effects are difficult to control and it was our intention
512 to collect data from harvests where operators where making the key decisions about how to proceed
513 with minimal interference. A brief discussion of potential factors is necessary and helpful in guiding
514 future research and analyses.

515 An obvious concern are differences between operators. There is no way to compare the two
516 most experienced operators (A and B) because they worked on different sites and in harvesting seasons.
517 They were both considerably experienced harvesting willow, each with hundreds hours cutting this crop,
518 but each had different operating styles. There are almost no observations where the crop, ground,
519 weather and soil conditions were known to be similar enough to make a defendable comparison. They
520 were both capable of achieving material capacities above 60 Mg h^{-1} , but operator A had the benefit of
521 running almost exclusively in excellent ground conditions. Additionally, operator B had maintenance
522 and repair duties that may have influenced his tendency not to push the equipment's limits. A limited
523 number of observations ($N=34$) were available for operators B and C on the same site, on the same days,
524 in the same sub fields, using the same machine; C being the less-experienced operator. Operator B
525 harvested 15 loads with a mean C_m of 40.4 Mg h^{-1} , and operator C harvested 19 loads with a mean C_m of
526 33.4 Mg h^{-1} ($P<0.0001$); BM_D was not a significant covariate ($P=0.4441$) because these loads were
527 collected on stands with a mean standing biomass of 55.8 Mg ha^{-1} ($stddev=13.5$), which is in the range
528 where C_m tends to plateau. Thus, a decrease in C_m associated with a less experienced operator appears
529 to have been around 15% based on these limited observations.

530 A loss of boost pressure between one of the turbos and intercooler on the FR9080 harvester
531 was discovered after Sep 18, 2019, but before the last two harvesting days in October, resulting in
532 diminished horsepower. This issue certainly affected the harvests at the higher-biomass Jacobs site ($\bar{x} =$
533 49.8 Mg ha^{-1}) and lower-biomass Masons site ($\bar{x} = 31.8 \text{ Mg ha}^{-1}$), but it is unknown precisely how long
534 before the discovery that the engine was impaired and whether it had affected earlier willow harvests.
535 However, it is also true that the impairment only caused a noticeable group of observations above the
536 modeled mean in wet-weather observations and was not evident in the dry weather observations at the
537 same harvest location (Figure 2). This suggests that the combination of issues (e.g. wet ground and low
538 horsepower) magnify impacts. The effect of the broken turbo was 3.5 Mg h^{-1} overall ($P<0.0001$) with
539 standing biomass as a significant covariate. However, standing biomass was considerably more
540 influential than the turbo status (F value 101.3 vs 21.1).

541 The final concern was the possible difference between the loads generated by the FR9080 and
542 the small number of loads produced by the FR9090. A comparison of these two machines occurred on a
543 limited number of loads on the same days with the same operator (B) in the same field sections on the
544 Jacobs site. There was no significant difference in C_m (34.2 Mg h^{-1}) between the harvester models
545 ($P=0.1379$) when standing biomass was used as a covariate ($P<0.0001$).

546 **4. Conclusions**

547 The objective of this study was to conduct an evaluation of a large data set of harvester
548 performance in willow biomass crops (e.g. material capacity, fuel use) at a commercial scale over
549 multiple years and harvests capturing varied crop and weather conditions. Factors including standing
550 biomass, presence or absence of leaves, recent rainfall as an indicator of ground conditions, and
551 harvester efficiency all impact material capacity and fuel consumption. The extent of this data set also
552 captures the variability that occurs with different operators and equipment. The equations developed
553 for material capacity and fuel consumption are an important improvement in understanding the
554 dynamics associated with harvesting willow biomass crops and will be useful in assessments of
555 economic and environmental impacts of these systems.

556 Overall, these results show that harvests in stands greater than 30 or 40 Mg ha⁻¹ with leaf-off
557 material on ideal ground result in the highest material capacities (> 60 Mg hr⁻¹) and best fuel efficiency
558 for the harvester (1.3 L Mg⁻¹). Harvesting in low-biomass stands and when conditions are not optimal
559 (e.g. foliage present or during wet field conditions) material capacity and fuel consumption were
560 observed to degrade. Wet ground and harvesting during the growing season when leaves are still on the
561 willow both tend to reduce material capacity by approximately 30 to 50% and/or increase variability.
562 Fuel use increases exponentially in low biomass stands, in this cases stands below 30 Mg ha⁻¹. The
563 simple explanation is that the fixed amount of power available to the harvester must be allocated to
564 forward motion while cutting and processing material; rainfall and leaf-on material appear to decrease
565 the amount of power available to for chipping and blowing the material into collection vehicles. This
566 study also suggests that the harvest system studied may become more limited where standing biomass
567 exceeds the range observed as evidenced by the trend where harvester efficiency decreases with
568 increased standing biomass. There remain many unknowns for how this system functions in stands with
569 biomass greater than 80 to 100 Mg ha⁻¹.

570 This study confirms previous work that contended that harvester performance is tied to
571 standing biomass, ground conditions, operator effects, and to a limited extent machine effects.
572 However, it also seems to contradict previous conclusions in willow that the transition between ground-
573 limited and crop-limited harvester performance was more abrupt. It also provides better context with
574 observations for harvester performance from a wide array of small-scale studies from around the world.

575 In the past, recommendations were to harvest these sites after leaf fall on dry or frozen ground
576 conditions. In recent years, winter conditions in the northeast US have changed and the ground often
577 does not freeze. As a result, commercial growers cannot rely on a long, dormant harvesting window in
578 which to conduct their work. In practice, commercial growers have chosen to expand the windows

579 when they harvest to include late summer and fall when leaves are still on the trees to take advantage
580 of better ground conditions. These results are of crucial importance to scaling up these systems where
581 managers and modelers will need to consider a wider array of weather and crop conditions in harvest
582 planning. There will be tradeoffs coming out of the necessity of operating in non-ideal conditions. As
583 these harvesting systems remain in development, improvements to current equipment or methods are
584 still needed. Systems or approaches that are more tolerant of non-ideal ground conditions and leaf-on
585 material would be beneficial from a logistical and biological perspective.

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772

1 Table 1: Site names and locations of harvests of willow biomass crops with dates, monitored number of loads and areas, and collected biomass, cultivars, ages, operator ID's and harvester used.

2

Site	Harvest Dates	Lat/Long	Information on Predominant Soils				Monitored Loads	Monitored Biomass (Mg)	Monitored Area (ha)	Plantings	Stem/Root Age at Harvest (y)	Operator	Harvester
			NCRS Soil Survey Series Names (90% of area)	Drainage Classes	Slope Classes (%)	Water Table (m)							
Auburn, NY	Nov/Dec 2012	42°55'11.4"N 76°40'14.7"W	Ovid silt loam (56%) Lakemont silty clay loam (26%) Odessa silt loam (12%)	Somewhat to very poorly drained	0-6	0.15-0.60	81	838	19.8	Cultivar Blocks	4/5	A	FR9080
Groveland, NY	Dec 2012	42°42'12.8"N 77°44'48.1"W	Conesus silt loam (66%) Appleton silt loam (25%)	Well to poorly drained	0-8	0.15-0.60	70	571	8.7	Cultivar Blocks	5/6	A	FR9080
Buffalo P., NY	Oct 2016	44°03'05.8"N 76°16'55.6"W	Kingsbury silty clay (34%) Wilpoint silty clay loam (30%) Chaumont silty clay (29%)	Somewhat to very poorly drained	0-8	0.15-0.45	30	188	10.6	Mixed Plantings	3/4	B	FR9080
Solvay, NY	Jan 2017 Jun 2017	43°03'57.6"N 76°15'43.0"W	Industrial byproducts of the solvay process and organic amendments (Qiu, 2017)	Poorly drained	0-2	0.15+	64	218	3.7	Cultivar Blocks	3/4	B	FR9080
Jacobs, NY	Sep 2017 Oct 2017	44°07'32.8"N 76°18'59.7"W	Kingsbury silty clay (42%) Chaumont silty clay (16%) Covington silty clay (15%) Hudson silt loam (12%) Madalin silt loam (6%)	Moderately Well to Very Poorly drained	0-8	0.15-0.45	199	1207	27.7	Mixed Plantings	3/4	B and C	FR9080 FR9090
Masons, NY	Oct 2017	44°06'44.3"N 76°16'11.0"W	Kingsbury silty clay (48%) Chaumont silty clay (30%) Wilpoint silty clay loam (12%)	Somewhat poorly drained	0-8	0.15-0.45	142	842	28.6	Mixed Plantings	3/4	B	FR9080
Rockview, PA	Mar 2019	40°51'33.1"N 77°47'47.1"W	Hagerstown Silt Loam (72%) Hublers Silt Loam (19%)	Well drained	0-8	2+	108	41	10.6	Cultivar Blocks	3/7	B	FR9080
TOTAL							694	3905	109.7				

3

1 Table 2: Primary machine variables and how they are measured or determined. All values reflect
2 performance in-crop, down the row, including any delays in the row but excluding any activity in
3 headlands.

4

Variable		Units	Source or Determination
Material Capacity	C_m	$Mg\ h^{-1}$	Load weight fresh biomass (scale), time in crop (GPS)
Standing Biomass Delivered	BM_D	$Mg\ ha^{-1}$	Load weight fresh biomass (scale), row length (GPS), row width (distance between row centers)*
Fuel Consumption Rate	FC_R	$L\ h^{-1}$	Harvester CAN bus (liters fuel recorded per second), time in crop (GPS)
Areal Fuel Consumption	FC_A	$L\ ha^{-1}$	FC_L (calculated), time in crop (GPS), row length (GPS), row width (distance between row centers)*
Crop Specific Fuel Consumption	FC_C	$L\ Mg^{-1}$	FC_A / BM_D
Harvester Efficiency	EFF_H	proportion	Ratio of Time working in crop:Total time in crop

5 * because each row is harvested discretely effective and theoretical field capacity are the same in these
6 systems (ASABE, 2011)

7

8

1 Table 3. Mean values (stddev in parentheses) of key parameters based on the cluster analysis of 694 loads of harvested willow biomass crops
 2 during the growing season and dormancy under wet and dry weather conditions.

3

Category	N	Material Capacity (C _m)	Standing Biomass Delivered (BM _D)	Harvested Willow Moisture Content	Effective Field Capacity (C _a)	Speed	Crop Specific Fuel Consumption Index (FC _{ci})	Harvester Efficiency (EFF _H)*	Delay Count	Delays Rate	Cumulative Rainfall	Julian Wave**
		Mg h ⁻¹	Mg ha ⁻¹	%	ha h ⁻¹	km h ⁻¹	L Mg ⁻¹	%	count ha ⁻¹	h ha ⁻¹	2-day mm	5-day mm
Leaf Off												
Dry Weather	141	71.8 (12.1)	55.0 (16.6)	44.4 (0.02)	1.37 (0.29)	6.0 (1.3)	1.31 (0.27)	0.98 (0.04)	3.2	0.32	0.4	1.9 -0.94 (0.06)
Wet Weather	136	42.4 (14.7)	44.1 (12.7)	45.0 (0.02)	0.96 (0.30)	4.2 (1.3)	2.41 (1.08)	0.95 (0.10)	10.0	0.93	4.5	9.0 -0.46 (0.24)
Leaf On												
Dry Weather	310	29.7 (10.1)	42.4 (20.0)	57.1 (1.40)	0.68 (0.26)	3.0 (1.0)	3.26 (1.19)	0.92 (0.14)	15.1	0.75	1.7	6.8 0.08 (0.41)
Wet Weather	107	30.4 (12.5)	47.2 (18.9)	52.5 (0.02)	0.62 (0.27)	2.7 (1.0)	3.26 (1.48)	0.88 (0.15)	30.9	0.92	19.5	36.1 0.01 (0.26)

4 * Excluding headland turns

5 ** Julian wave expresses the winter solstice (-1), summer solstice (+1) and equinoxes (0) as a sine function

6

1 Table 4: Regression results and significant model components identified for regression models developed to describe harvesting operations
 2 with a single pass cut and chip system operating in willow biomass crops.

	Material Capacity (C_m)	Aerial Fuel Consumption (FC_{Ai})	Harvester Efficiency (EFF_H)	Rain Group
Full Model	Equation 2	Equation 3	Equation 6	Equation 7
Final Model	Equation 8	Equation 9	Equation 10	Equation 11
ROBUSTREG R ²	0.8512	0.7407		
Area Under ROC Curve			0.1810	0.9897
	P-value (F-value)	F-Value	P-value	F-Value
Leaf			<0.0001	15.6
	0.0301	4.7	<0.0001	50.5
Rain				0.0004
Leaf*Rain	<0.0001	232.1	<0.0001	54.8
BM_D			<0.0001	33.6
BM_D^2			<0.0001	<0.0001
BM_D^2*Leaf	<0.0001	83.2	<0.0001	32.7
BM_D^2*Rain	0.0036	8.56	0.0193	5.5
$BM_D^2*Leaf*Rain$				
BM_D^2				
BM_D^2*Leaf	<0.0001	28.2		
BM_D^2*Rain				
$BM_D^2*Leaf*Rain$				
EFF_H				
EFF_H*Leaf	<0.0001	33.78		
EFF_H*Rain	0.0125	6.28		
$EFF_H*Leaf*Rain$				
BM_D*EFF_H	<0.0001	328.6		
$BM_D^2*EFF_H$	<0.0001	87.9		
pp2				<0.0001
pp5				<0.0001

1 Table 5: Combined regression betas for use with Equation 8 to predict material capacity (C_m) of a single
2 pass cut and chip harvester operating in willow biomass crops in different season and under different
3 precipitation conditions.

		β_0	β_1	β_2	β_3	β_4	β_5
Leaf Off	Dry Weather	21.2756	0	0	0	1.5548	-0.0098
	Wet Weather	35.8646	-0.1690	0	-31.0962	1.5548	-0.0098
Leaf On	Dry Weather	21.2756	-0.7223	0.0051	-14.4187	1.5548	-0.0098
	Wet Weather	51.7320	-0.8913	0.0051	-45.5149	1.5548	-0.0098

4

5

1 Table 6: Combined regression betas for use with Equation 9 to predict areal fuel consumption (FC_{Ai}) and
2 crop specific fuel consumption (FC_{Ci}) for a single pass cut and chip harvester operating in willow biomass
3 crops.

		β_0	β_1	β_2
Leaf Off	Dry Weather	20.8959	0.8582	0
	Wet Weather	59.1828	0.4842	0
Leaf On	Dry Weather	64.1803	1.1559	0.0006
	Wet Weather	67.2424	0.7819	0.0006

4
5

1 Figure 1: A dendrogram showing the assignment of observations of monitored willow harvesting
2 (N=694) into leaf-on and leaf-off, as well as dry-weather and wet-weather groups from cluster analysis
3 based on methods described in text.

4

5 Figure 2. Change in the material capacity (C_m) of a single pass cut and chip harvester in willow biomass
6 crops as standing biomass delivered (BM_D) varies when the plants have leaves on or not and with wetter
7 or drier conditions based on the incidence of recent rainfall.

8

9 Figure 3. Change in the crop specific fuel consumption index (FC_{CI}) of single pass cut and chip harvester
10 in willow crops as standing biomass delivered (BM_D) varies when the plants have leaves on or not and for
11 the wet and dry categories for ground conditions.

12

13 Figure 4: Change in harvester efficiency (EFF_H) of single pass cut and chip harvester in willow crops as
14 standing biomass delivered (BM_D) varies when the plants have leaves on or not and with the incidence
15 of recent rainfall.

16

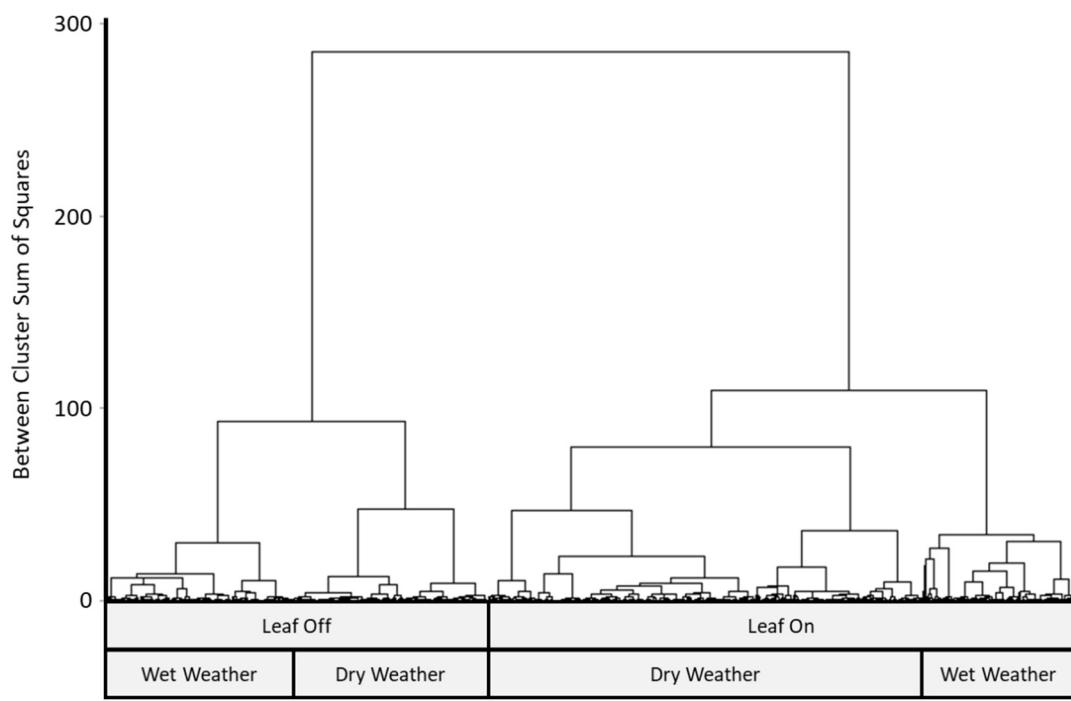
17 Figure 5: Probability that a load is classified as wet-weather based on 2-day (x-axis) and 5-day (curves)
18 cumulative rainfall totals for leaf-on and leaf-off harvests.

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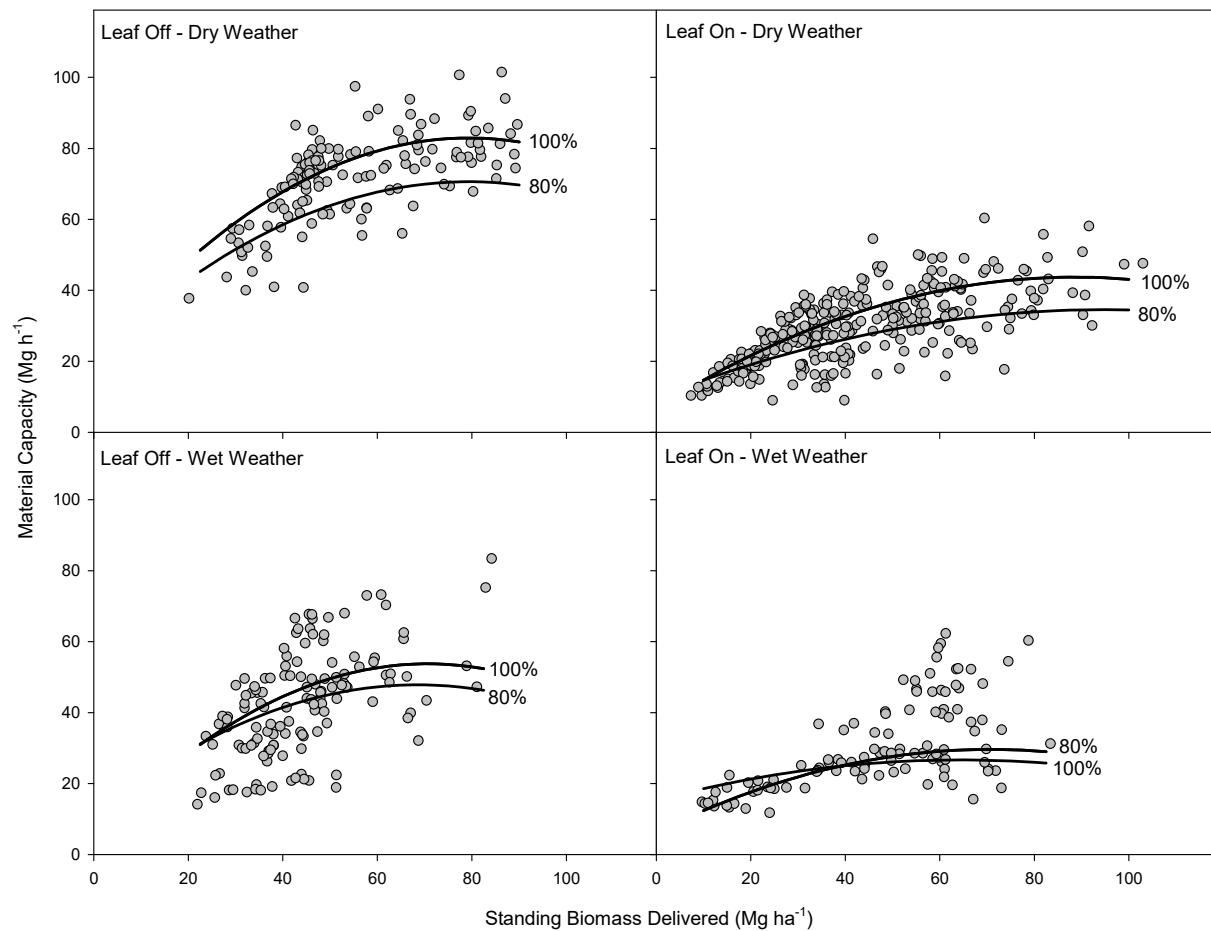
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5 Figure 1: A dendrogram showing the assignment of observations of monitored willow harvesting
6 ($N=694$) into leaf-on and leaf-off, as well as dry-weather and wet-weather groups from cluster analysis
7 based on methods described in text.

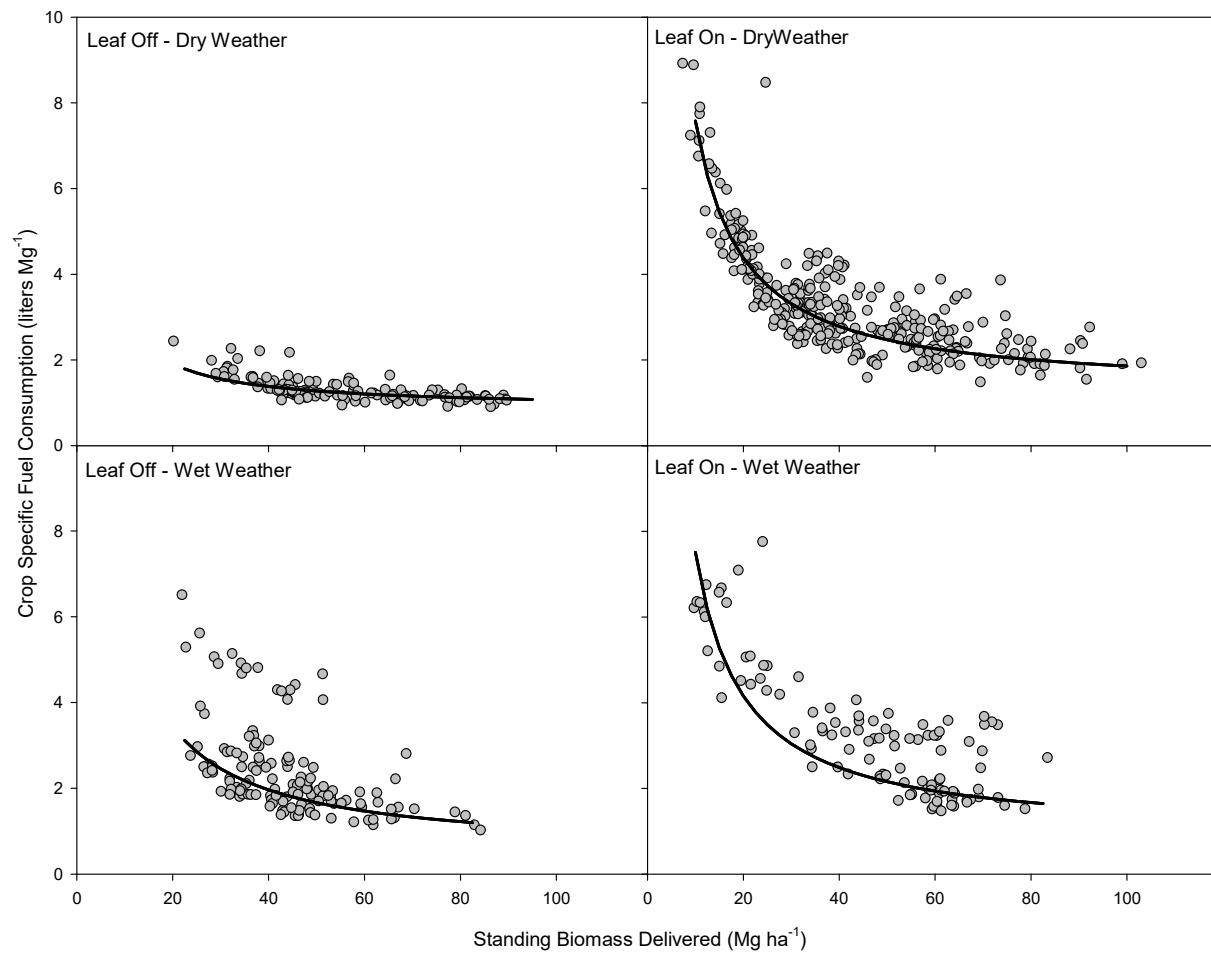
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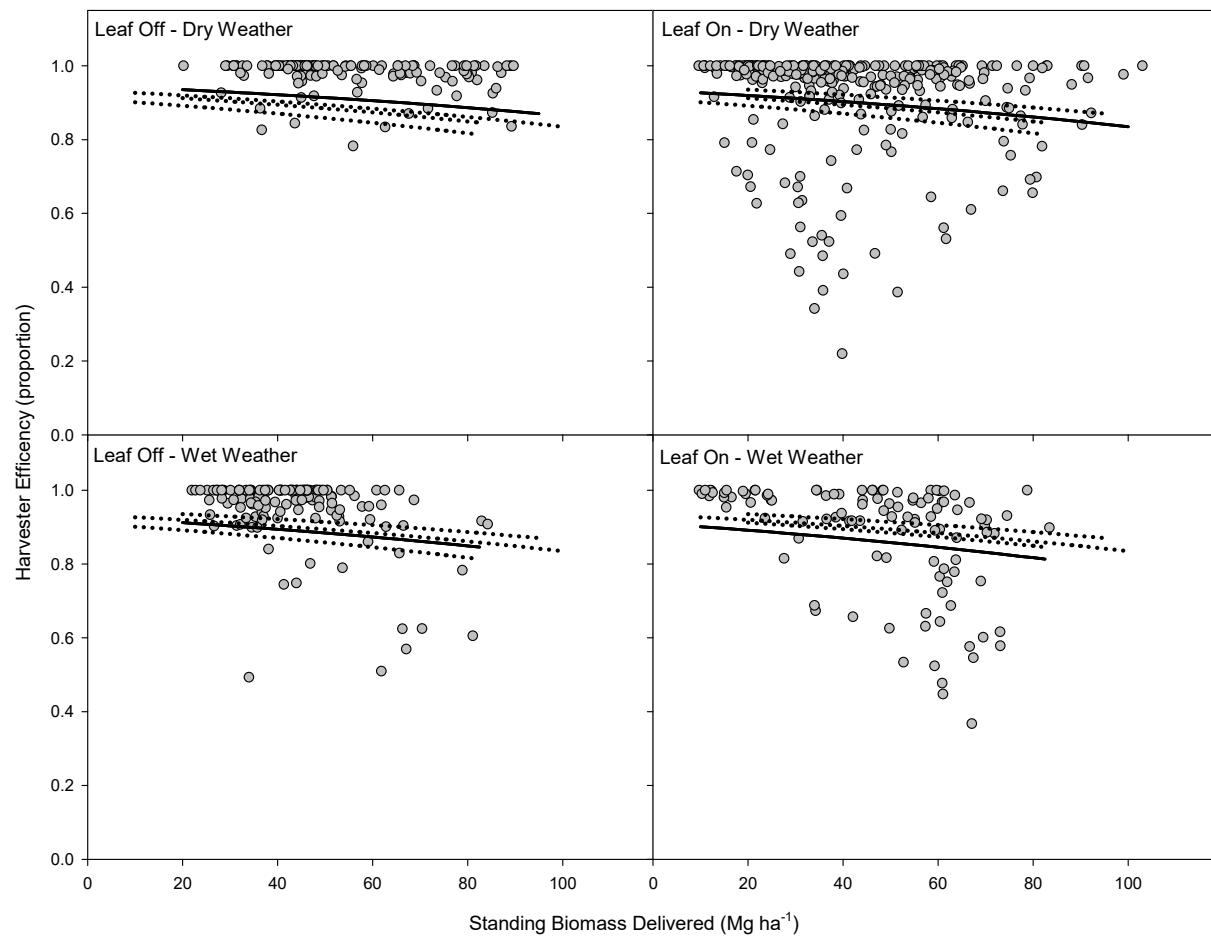


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Figure 2. Change in the material capacity (C_m) of a single pass cut and chip harvester in willow biomass crops as standing biomass delivered (BM_D) varies when the plants have leaves on or not and with wetter or drier conditions based on the incidence of recent rainfall.

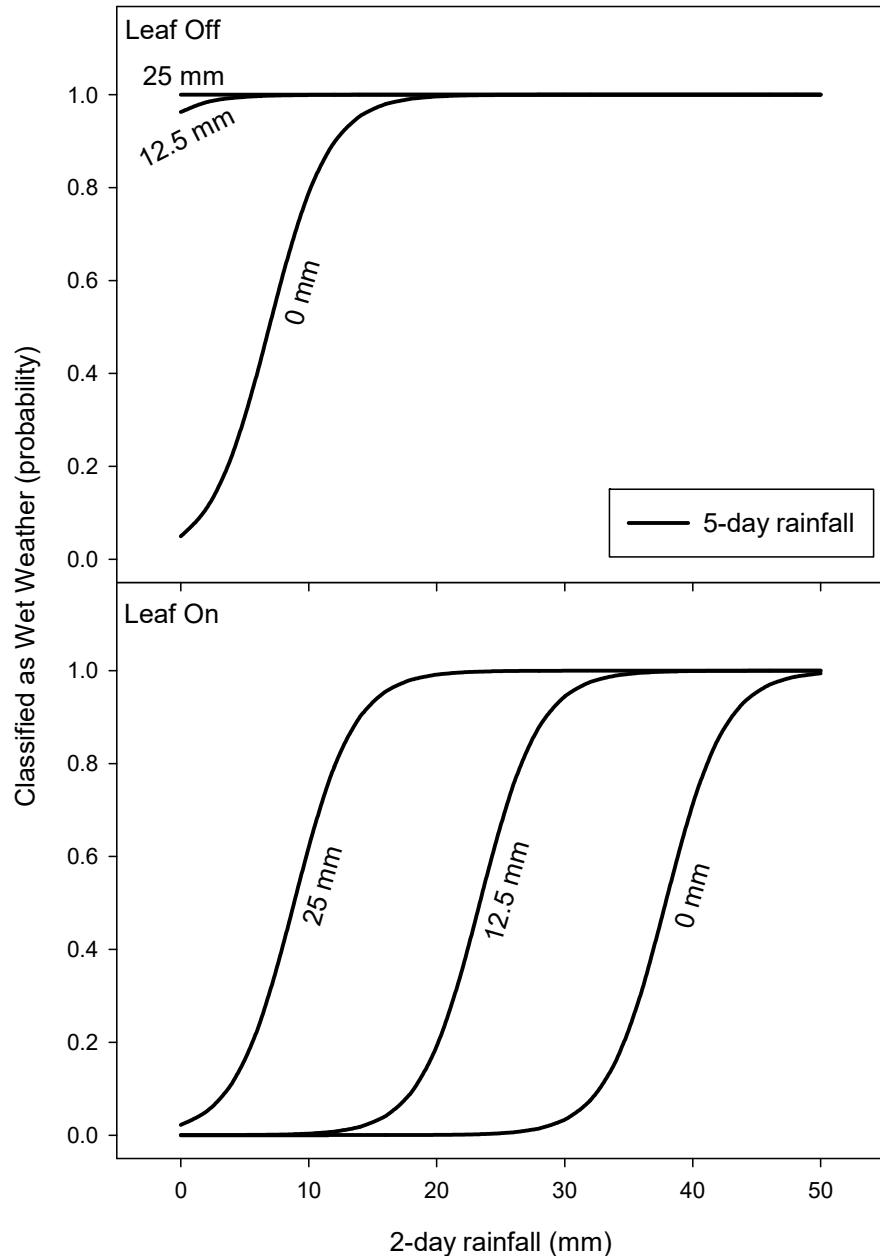


1
2
3 Figure 3. Change in the crop specific fuel consumption index (FC_{ci}) of single pass cut and chip harvester
4 in willow crops as standing biomass delivered (BM_D) varies when the plants have leaves on or not and for
5 the wet and dry categories for ground conditions.
6
7



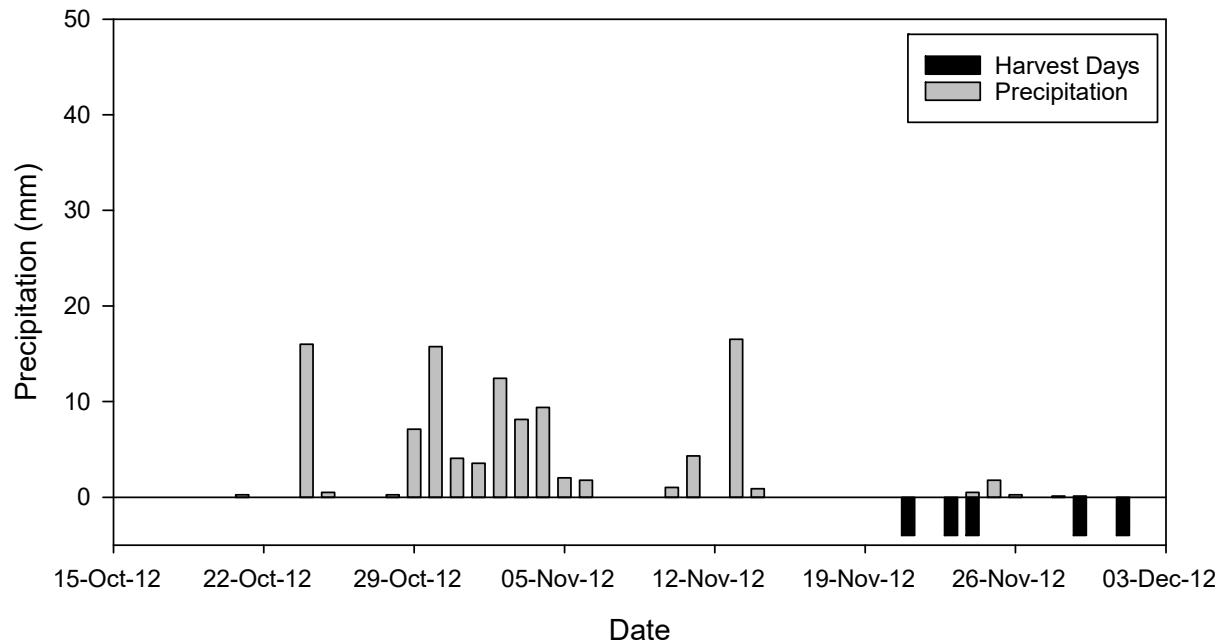
1
2
3 Figure 4: Change in harvester efficiency (EFF_H) of single pass cut and chip harvester in willow crops as
4 standing biomass delivered (BM_D) varies when the plants have leaves on or not and with the incidence of
5 recent rainfall.

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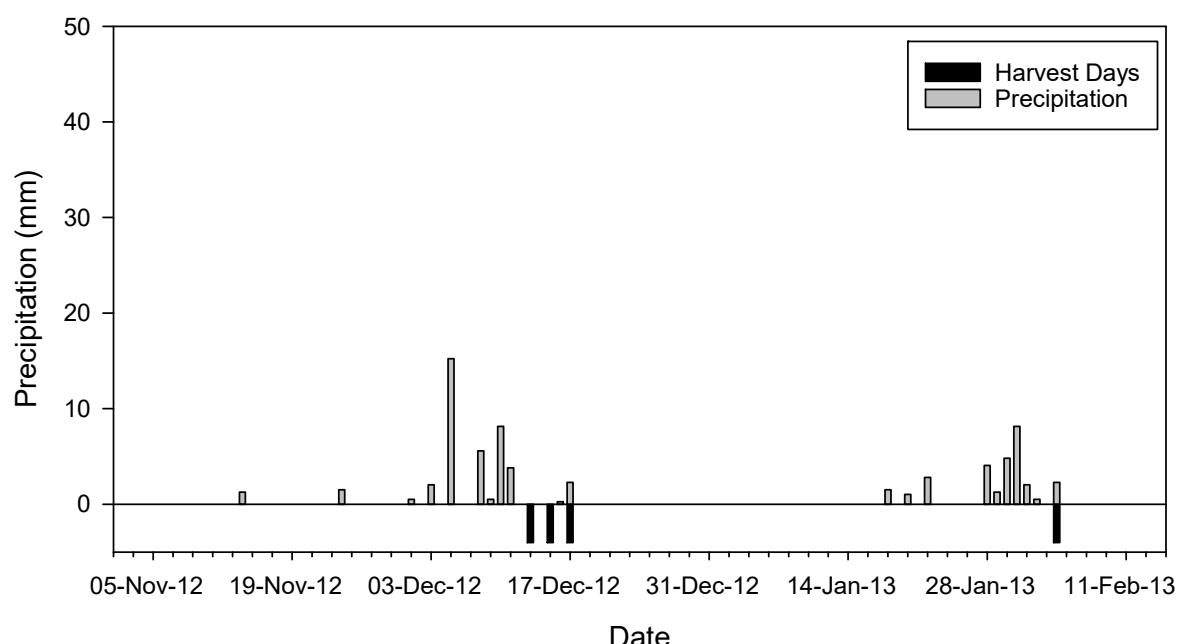


1
2
3 Figure 5: Probability that a load is classified as wet-weather based on 2-day (x-axis) and 5-day (curves)
4 cumulative rainfall totals for leaf-on and leaf-off harvests.
5

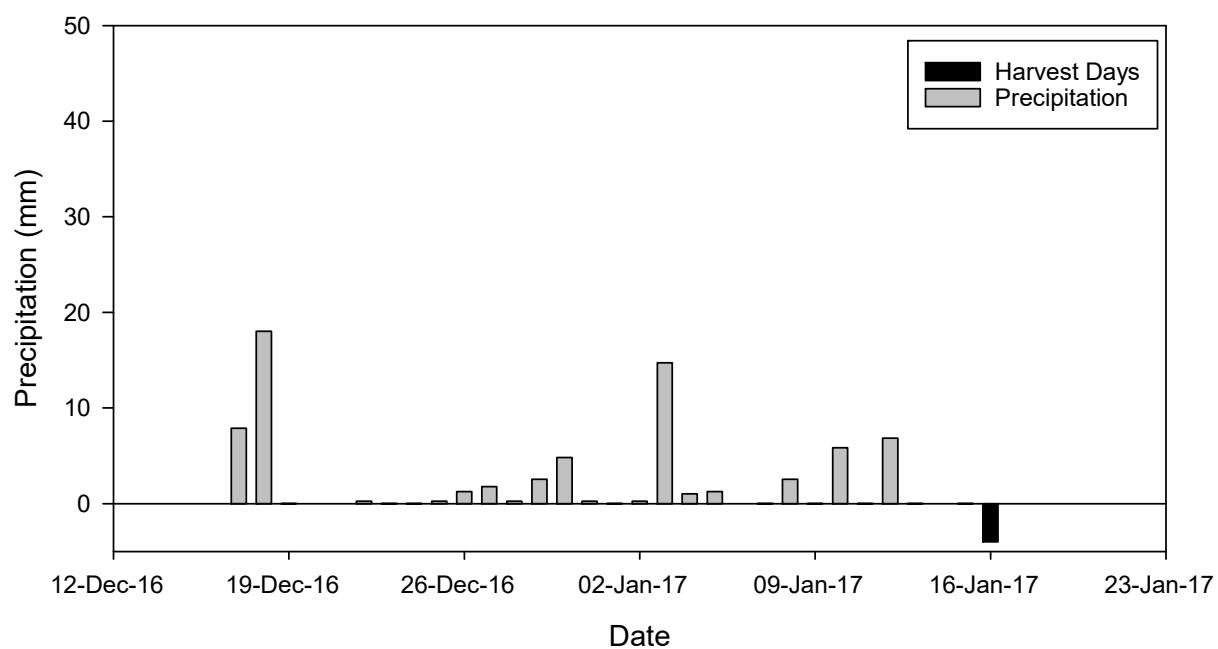
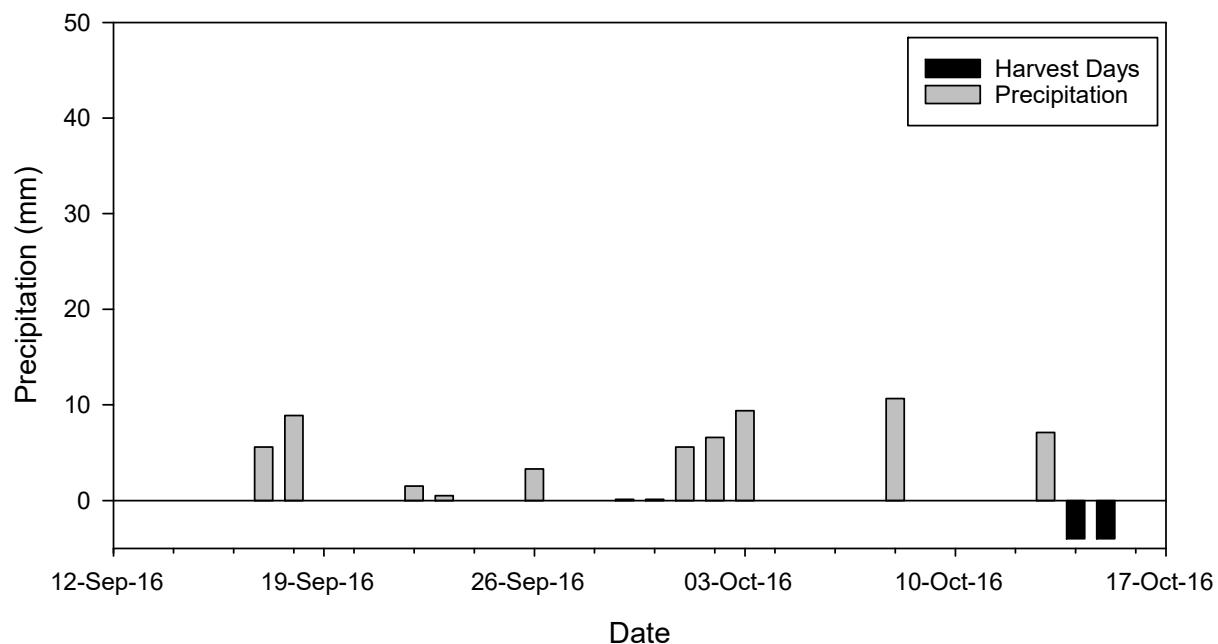
1 SUPPLEMENTAL MATERIAL

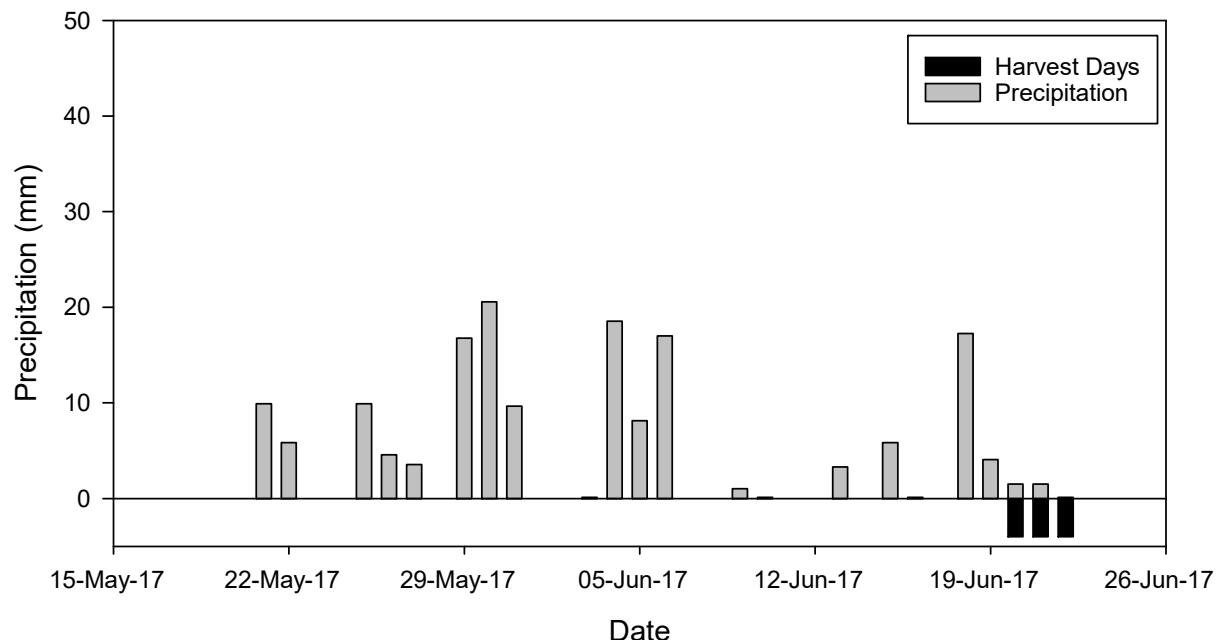


2
3 Figure A1: Antecedent precipitation 30 days prior to harvests at the Auburn site in New York State.
4

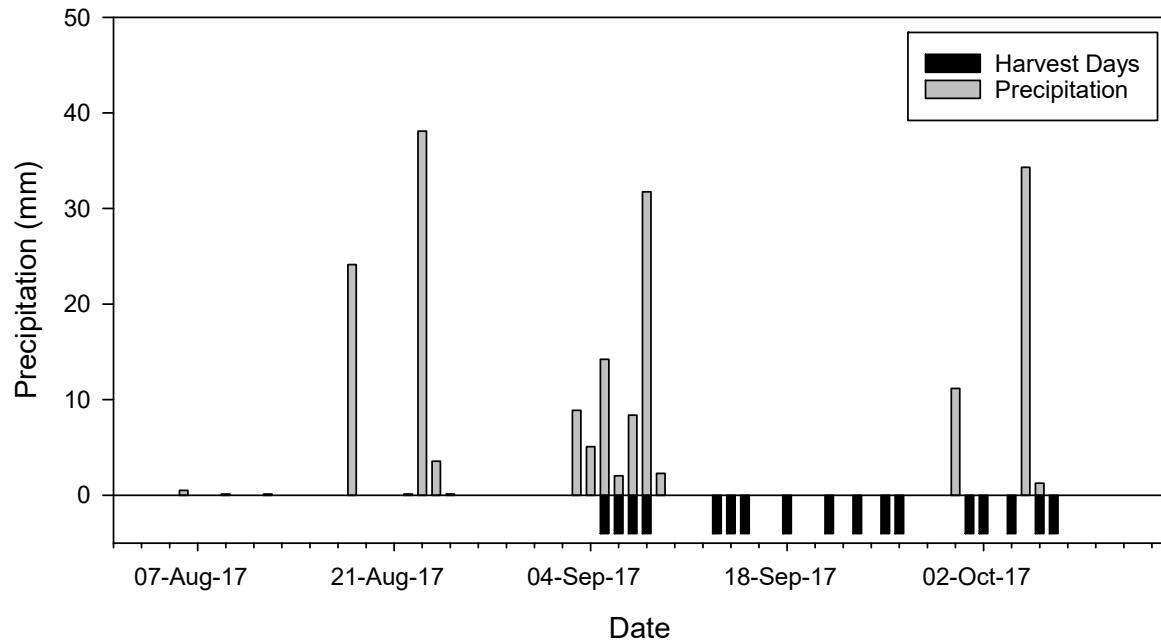


5
6 Figure A2: Antecedent precipitation 30 days prior to harvests at the Groveland site in New York State.
7

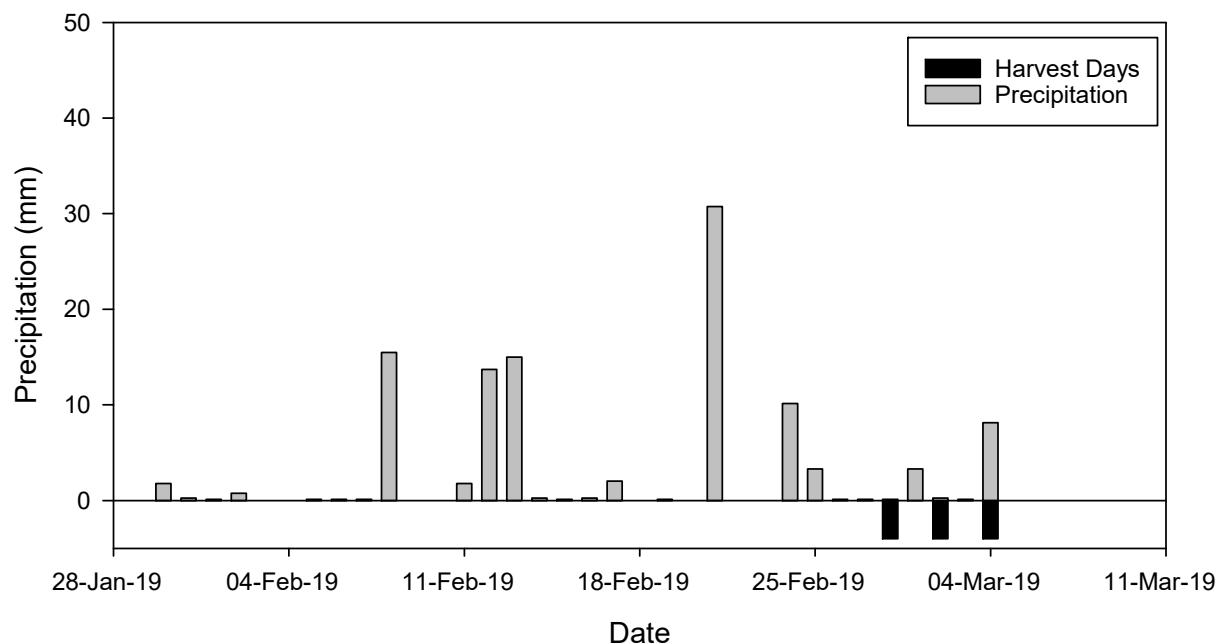




1
2 Figure A5: Antecedent precipitation 30 days prior to the summer harvest at the Solvay site in New York
3 State.
4



5
6 Figure A6: Antecedent precipitation 30 days prior to the harvests at the Jacobs and Masons sites in New
7 York State.
8



1
2 Figure A7: Antecedent precipitation 30 days prior to the harvests at the Rockview site in Pennsylvania.
3
4