

1 **Biomass, spacing and planting design influence cut-and-chip harvesting in hybrid poplar**

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10 **Abstract**

11 Hybrid poplar is a woody crop grown for the production of bioenergy, biofuels and

12 bioproducts. Harvesting is often the largest single cost in the production system and the

13 development and optimization of equipment is evolving. The objective of this study was to

14 evaluate the performance of a single-pass, cut-and-chip harvesting operation in commercial

15 plantings that included four cultivars, two spacing treatments, and two coppice planting designs

16 (dedicated, and interplanted with sawtimber). Approximately 15 hours of harvesting using a

17 New Holland 9080 forage harvester equipped with a purpose-built coppice header was

18 monitored over four days. Stand biomass ranged between 34 and 78Mg ha⁻¹ of fresh biomass

19 and effective material capacity (C_m) of the harvester ranged from 10 to 78 Mg h⁻¹ of fresh

20 biomass excluding headland activities. Tree spacing had a significant effect on C_m but cultivar

21 and planting design did not. The treatments did not have discernible effects on machine fuel

22 consumption (mean 83 L h⁻¹; σ 16.4) or crop-specific fuel consumption for fresh biomass (mean

23 1.34 L Mg⁻¹; σ 0.31). Crop-specific fuel consumption was positively correlated with engine load,
24 and negatively correlated with standing biomass; this result was statistically significant but
25 negligible (< 1%) in terms of liters of fuel used for each additional Mg ha⁻¹ of stand biomass for
26 engine loads ranging between 30% and 110%.

27

28 **HIGHLIGHTS**

29 • Harvesting operations are among the largest single costs in SRWC production systems
30 • Planting design affected poplar yield and harvester throughput by over 25%
31 • Higher plant density affected poplar yield and harvester throughput by over 10%
32 • The effect of planting design and spacing on fuel use may be minor at small scales
33 • There may be risks delaying harvests where tree sizes approach machine capacity

34

35 **Keywords:** Short-rotation Woody Crops; Biomass Harvesting; Hybrid Poplar; Fuel Consumption;
36 Effective Material Capacity; Effective Field Capacity

37

38 **Abbreviations**

39 C_m, Effective Material Capacity; C_f, Effective Field Capacity; GPS, global positioning system; LLC,
40 limited liability company; SPCC, single pass cut and chip; SRWC, short-rotation woody crop

41

42 **Biomass, spacing and planting design influence cut-and-chip harvesting in hybrid poplar**

43 **1. Introduction**

44 Sources of biomass for bioproducts and bioenergy include forests, agricultural crops,
45 various residue and waste streams, and dedicated woody or herbaceous crops [1,2]. However,
46 an important challenge is to create supply systems that are cost effective and efficiently deliver
47 large quantities of biomass while maintaining quality [1]. Additionally, there are concerns about
48 the environmental impact of these sources, their sustained performance, the technical
49 constraints for conversion, as well as a stable and predictable policy environment [3–6]. It is
50 unlikely that any one source of feedstock will dominate since supplies of dedicated crops as
51 well as agricultural and forest residuals are subject to a variety of market forces and prices [1].
52 Short-rotation woody crops (SRWC) have had some commercial success in the United States
53 [7,8], and they have the potential to provide ecosystem and environmental benefits in addition
54 to energy production [9–11].

55 SRWC are managed using a combination of techniques and knowledge from both
56 agriculture and forestry. These systems typically have higher planting densities and more
57 intensive management than most forest systems. In many cases, stands are regenerated by
58 coppice rather than planting [12]. The reported range for above-ground yield for short-rotation
59 poplar ranges between 2 and 19 Mg ha⁻¹ yr⁻¹ of oven dried biomass depending on site
60 characteristics, soil properties, climate, and cultivar, but most yields range between 9 and 13
61 Mg ha⁻¹ yr⁻¹ [7,13,14]. Although SRWC systems might include agronomic practices such as
62 irrigation, management strategies are still grounded on silvicultural practices used in forestry.

63 The timing of weed control and fertilization rates are similar to forest plantation systems, and
64 growth, yield, and stem form can be highly influenced by spacing [12,15,16].

65 The Northwestern United States is an important region with substantial lands and
66 infrastructure devoted to the production of wood and wood fiber [1]. One potentially
67 important crop includes dedicated *Populus* grown as SRWC [17]. One of the principal
68 advantages of poplar is the ability to vegetatively propagate from hardwood cuttings and
69 coppice under field conditions [18]. This method of crop establishment takes full advantage of
70 clonal selection and substantially reduces nursery and establishment costs. Clonal plantings
71 create uniform stands that are favorable for machine operations during harvesting.

72 Harvesting of SRWC can be accomplished with a variety of machines and systems
73 [19,20]. Dedicated systems have been in development since the early 1980's [21–24], and
74 continue to be refined and improved [25–28]. There are two general approaches to harvesting
75 in these systems. The first is cutting and chipping the material with a piece of equipment in a
76 single pass across the field (Single Pass Cut and Chip – SPCC). The second is harvesting the
77 material as whole stems and chipping or processing it as a separate operation. Both systems
78 have advantages and disadvantages, but due to their efficiency completing multiple steps in
79 one process, SPCC systems have generally been shown to minimize harvesting costs [29].
80 Newer cut-and-chip systems address many of the hurdles faced by previous equipment; namely
81 slower machine and material harvesting rates in the field, lower durability, inconsistent feeding
82 and cutting, and quality issues associated with shredded or oversized chips [30]. The vision of
83 advanced uniform feedstock supply systems is to incorporate needed preprocessing steps in
84 advance of the biorefinery gate; the goal to deliver feedstock with consistent quality

85 characteristics and cost advantages that allow easier integration with other woody biomass
86 supply chains [31]. Additionally, improvements in providing feedstock that meet end-user
87 specifications could lead to cost improvements elsewhere in the system [32,33]. These SPCC
88 systems have been deployed on a range of short-rotation woody crops in many countries
89 [32,34–36].

90 Harvesting operations are one of the largest single costs in most of these production
91 systems due to the cost of equipment and amount of fuel used during operations. Properly
92 matching harvesting equipment to a production system can significantly impact costs and
93 efficiency of a production system [37,38]. Given competitiveness of the energy market, and the
94 frequent occurrence of harvesting operations, especially in systems using coppice management,
95 finding ways of optimizing them is critical [39,40]. Furthermore, there is a need to understand
96 the sources of uncertainty in the harvesting process and removing variation associated with
97 bioenergy production systems and crops so that efficiency can be improved and costs can be
98 reduced [41,42].

99 Although there are some examples in the literature evaluating aspects of SPCC cut-and-
100 chip, harvester performance, variability is common. Generally, maximum observed effective
101 material capacity (C_m) for fresh biomass has increased steadily with advances in machine
102 technology from about 20 Mg h^{-1} two decades ago to over 60 Mg h^{-1} in recent years; however,
103 even among contemporary studies minimum C_m has not increased appreciably [36]. The
104 variation in harvester performance (i.e. C_m and effective field capacity (C_f)) is related to a v
105 ariety of factors (machine configuration, operator experience, crop and site conditions, etc.)
106 [32,34]. In SRWC the variability due to these factors becomes particularly important from a

107 planning perspective given how potential interactions could impact harvesting. For example, in
108 crops with a high standing biomass there is a need for most of the machines power to be used
109 for cutting and chipping but power might be diverted to compensate for poor soil conditions or
110 an inexperienced operator [43]. The influence of cultivar selection, spacing and planting design
111 in SRWC, and in particular poplar, on harvester performance is not well understood. The
112 objective of this study is to evaluate the performance of a single-pass, cut-and-chip harvesting
113 operation in hybrid poplar plantings managed on two year coppice cycles, and to relate
114 performance to cultivar and silvicultural prescriptions while controlling, to the degree possible,
115 machine setup, operators, weather, and site conditions.

116 **2. Materials and Methods**

117 *2.1. Site description*

118 The study site was located at the former Boardman Tree Farm (45°45'12. 43"N,
119 119°37'4.32"W), a 10,000 ha facility established in the 1990's in Morrow County, OR, USA and
120 operated by GreenWood Resources LLC (GWR) to grow poplar for products including bioenergy
121 and sawtimber. The sandy sites reside on rolling, excessively drained grassland soils of the
122 Columbia Plateau about 230 km east of Portland, OR on the east side of the Pacific Coast
123 Ranges. The soils are mapped as Quincy loamy fine sands, which are categorized as mixed mesic
124 Xeric Torripsamments [44]. In order to successfully grow trees on the site, GWR maintained a
125 drip irrigation system that supplied water to individual trees.

126 The SRWC trees were planted in the spring of 2010 and harvested the first time after the
127 2011 growing seasons so the plants in this 2014 trial were two years old on a four-year-old root
128 system. The 15 ha research area included three factors: poplar cultivar (four levels), planting

129 design (two levels), and spacing (two levels). The crop consisted of three proprietary hybrid
130 poplar cultivars from *P. xgenerosa* (TD) (PC4 and BC78) and *P. xcanadensis* (DN) (BC79), and one
131 nonproprietary cultivar from *P. xcanadensis* (DN) (OP367) on 390 m long rows. For each
132 cultivar, planting designs included (1) a dedicated short-rotation poplar and (2) interplanted
133 short-rotation poplar alternating with rows of sawtimber. Spacing for the dedicated rows were
134 3.05 m between the rows and either 1.22 m or 0.61 m along the row. Along the row, poplars
135 were planted alternating 0.3 m to the left and right of the center line (zig-zag) along the row to
136 accommodate the drip irrigation line. For dedicated crops each row contained poplar being
137 coppiced on two year rotations. In the interplanted treatment rows alternated between SRWC
138 and sawtimber rows and spaced 3.05m apart. As a result the dedicated poplar was planted at
139 two spacings, 6.1 m between SRWC rows and either 1.22 m or 0.61 m along the row. The
140 sawtimber crop was planted between these SRWC rows at a spacing of 6.1 m and 3.05 m along
141 the row. Sawtimber crops were established at the same time as the short-rotation rows, but
142 intended to be harvested after 10-12 years of growth. For the purposes of this paper, the 0.61
143 m and 1.22m down-the-row spacings will be referred to as S6 and S12 respectively for
144 simplicity. Tree diameter and heights were measured on three randomly located plots (3m x
145 9m) per treatment combination.

146 2. 2. *Harvest Activities*

147 Harvest activities were monitored between November 18-21 and December 10-11,
148 2014. Mean temperatures ranged between -8 and 1 °C in November and was between 11 and
149 13 °C in December. Ground conditions were good and sufficiently firm to operate. The
150 harvester platform tested was a New Holland FR9080 harvester, equipped with a New Holland

151 130FB coppice header fitted with saw blades that were specifically selected for harvesting
152 poplar as opposed to willow. Poplar blades are comparatively smaller diameter and have larger
153 tips that are better suited for larger-diameter poplar stems. The harvests were managed by an
154 experienced operator with hundreds of hours harvesting short term woody crops using this
155 equipment, and supported by a locally sourced crew and collection vehicles. Various three-axle,
156 10 to 15 Mg capacity dump trucks were used to collect chips from the harvester. The length of
157 cut selected by the operator was the largest setting ("33mm"), which satisfied end user chip
158 size specifications. Priority was given to harvesting contiguous plantings of each cultivar over
159 more efficient harvesting patterns. Ground speed varied across the field and was adjusted by
160 the operator to maximize production while limiting potential problems with material jams or
161 equipment breakdowns. Headland efficiency was low due to the collection vehicle
162 operators' lack of familiarity with SRWC and the need to cut the entire block of a single cultivar
163 at a time; thus, results focus on in-field harvester performance excluding headland activities in
164 order to assess the influence of cultivar, spacing and planting design. Collection system
165 efficiency is not formally assessed, but chips were hauled 5.3 km where each load was weighed
166 to the nearest 0.1 Mg and unloaded for short-term storage.

167 *2. 3. Harvester Monitoring*

168 Between November 18 and 21, 2014 machinery activities were tracked during the
169 harvests using a combination of GPS data loggers recording positions every second and field
170 observations. Harvester performance was monitored based on GPS techniques outlined by
171 Eisenbies et al [34]. A GeoXM GPS unit (Trimble Navigation Ltd.) was used to monitor the
172 harvester; equipped with an external antenna the unit is capable of sub-meter accuracy after

173 differential corrections. Juno SB GPS or GeoXH units (Trimble Navigation Ltd.) were used to
174 monitor the collection system vehicles; equipped with external antennas, they are capable of
175 sub-meter to 3 m accuracy. Control points were defined any time conditions changed (e. g. the
176 harvester enters or leaves the field at then end of the row, a collection vehicle is filled and
177 separates from the harvester to depart for the landing, or a new collection vehicle arrives and
178 engages with the harvester, delays, or any state as deemed necessary by the observer). The
179 distance between two control points was identified as a leg. GPS data for the harvester and
180 associated collection vehicle were separated into legs and combined into complete loads; loads
181 being the experimental replication [34]. Delays/holds are defined as the period of time where
182 the harvester's speed drops below 0.64 km h^{-1} (a speed where position changes became
183 indistinguishable from GPS noise) twice within 5 seconds, for 5 seconds or more, and separated
184 by at least 5 seconds from any other delay. The observational unit was individually weighed
185 loads, each of which may be comprised of one or two rows. The average number of loads for
186 each treatment combination was between six and seven.

187 Observers were positioned in the harvester cab and at the harvest landing and short
188 term storage sites to record times for row entries, exits, collection vehicle exchanges, dump
189 times, load weights and truck tares, and other harvest activities. Cultivars and treatment
190 spacings were identified beforehand using unique colored flagging at the ends of each row.
191 Field notes included flag colors, harvester entry and exit times, rendezvous time and serial
192 number of collection vehicles, truck weights, and delivery times. UTC time to the nearest
193 second was the variable key used to link data sets which was collected by observers using hand
194 held GPS units. Operational data were supplemented using the manufacturer's onboard

195 IntelliView(tm) system that records engine load (%) and fuel consumption ($L\ h^{-1}$) each second as
196 well as a variety of other parameters (e. g. time, GPS position, engine load, C_m). Between
197 December 10 and 12, only field notes and data from the IntelliView system were collected;
198 however, row lengths were fixed thus all metrics could still be determined with the exception of
199 field delays and delay times.

200 Harvester speed ($km\ h^{-1}$), C_f ($ha\ h^{-1}$), fresh standing biomass ($Mg\ ha^{-1}$), and C_m of fresh
201 biomass ($Mg\ h^{-1}$) [45–47] are calculated on a load basis based on the GPS methods described in
202 Eisenbies et al [34]. For the interplanted sites, standing biomass and C_f were calculated using
203 3.05 m spacing (excluding the timber rows) in order to make the calculated performance
204 parameters directly comparable to the dedicated rows. For standing biomass, harvest losses to
205 the ground (drops) were not monitored; thus, load weights represent delivered biomass. Fresh
206 weights, as opposed to oven-dry weights, are reported given that fresh weights drive harvesting
207 and delivery costs. Moisture content was determined using ASABE method s [48].

208 *2. 4. Statistical analysis*

209 Individually weighed loads with in-field efficiencies greater than 80% were the
210 experimental replicate; loads with efficiencies less than 80% are reported but not utilized in the
211 statistical analyses since the nature and length of field delays were associated with equipment
212 faults (e. g. tire punctures, header faults, metal detections) rather than treatments. The 80%
213 cutoff is a means of defining the inference space rather than biased determinations of
214 individual observations' "validity". Statistical comparisons of performance metrics associated
215 each loadrelative to crop treatments were made in SAS 9.2 (SAS Institute) using the GLIMMIX
216 procedure. Normality assumptions were tested using the UNIVARIATE procedure. Crop

217 treatments comprised of a factorial design featuring four cultivars, two spacings (S6 and S12),
218 and two planting designs (dedicated and interplanted). Significant differences were evaluated
219 by pairwise comparisons of least squares means using the PDIFF option in the LSMEANS
220 statement. Relationships between continuous performance factors (i.e. engine load, standing
221 biomass, C_m , fuel consumption, and crop-specific fuel consumption ($L \text{ Mg}^{-1}$) were made with
222 multiple linear regression methods in the REG procedure in SAS 9.2. The full model consisted of
223 standing biomass, engine load, and C_m and each of these terms squared. Candidate and final
224 model selection was obtained using both backwards selection and the Mallow's Cp statistic
225 [49].

226 **3. Results and Discussion**

227 *3. 1. Biomass yields*

228 Mean standing fresh biomass among the cultivars ranged between 42 and 64 Mg ha^{-1} ,
229 and mean moisture content was between 43 and 44%; as stated previously, a 3.05 m spacing
230 was used for both the interplanted and dedicated treatments to make them comparable. Main
231 model effects indicated that standing biomass from interplanted stands was approximately 10
232 Mg ha^{-1} greater than dedicated stands ($P<0.0001$), and the higher planting density (S6) resulted
233 in about 5 Mg ha^{-1} greater biomass ($P=0.0026$) (Figure 1). Cultivars performed similarly in the
234 dedicated plots, but OP367 had significantly higher productivity ($P=0.0091$) in the interplanted
235 sites yielding about 5 to 10 Mg ha^{-1} more than other cultivars. Neither the two-way interaction
236 of spacing and cultivar ($P=0.6021$), or the three-way interaction of cultivar, spacing, and
237 planting design ($P=0.1377$) were significant. However, the interactions between planting design
238 and cultivar ($P=0.0045$) and planting design and spacing ($P=0.0109$) were both significant.

239 Essentially, the statistically higher yields observed by cultivars occurred primarily in the
240 interplanted plots (range 48.8 to 63.5 Mg ha⁻¹), with less separation between cultivars observed
241 on dedicated sites (range 41.3 to 48.5 Mg ha⁻¹). Similarly, there was no real separation in
242 production due to spacing on the dedicated plots (range 44.7 to 45.6 Mg ha⁻¹), but there were
243 significant differences between the S6 and S12 spacing on the interplanted sites (range 51.4 to
244 60.3 Mg ha⁻¹) largely due to the higher productivity observed for OP367 (Figure 1). Mean
245 heights on productivity plots ranged between 7.6 and 10.2 m, mean diameters ranged between
246 47 and 65 mm, and survival in treatment combinations was 94% or greater.

247

248 [INSERT FIGURE 1]

249

250 Developing silvicultural prescriptions for SRWC is an evolving process, but controlling
251 growing conditions using spacing or other methods is a well established principle in the
252 production of woody crops [17,18,50]. The higher production observed in the interplanted
253 stands may be in part due to less overall competition due to the lower planting density in the
254 sawtimber rows as compared to the dedicated plots. In subsequent harvests, the sawtimber
255 rows would be expected to have a greater impact on interplanted rows and eventually the
256 bioenergy rows phased out for the remainder of the sawtimber rotation.

257 *3. 2. Harvester Performance*

258 The mean in-field C_m for loads where in-field delays included less than 20% downtime
259 (62 of 78 loads) was 63.6 Mg h⁻¹ and the range for these observations was between 50 and 78
260 Mg h⁻¹ (Table 1). A mean engine load of 65% and ranging between 32 and 110 % of rated power

261 are indicative of the operator's effort to balance between productivity and avoiding mechanical
262 issues.

263

264 [INSERT TABLE 1]

265

266 As standing biomass increases C_m begins to level off as larger harvested plants are more
267 resistant to machine progress [32,34]. Thus, regarding crop treatment effects on C_m the primary
268 influencers were spacing and planting design (Table 2 and 3) but the main effect of cultivar was
269 not significant ($P=0.3515$). C_m was significantly higher on interplanted stands (66.9 Mg h^{-1})
270 compared to dedicated plots (59.7 Mg h^{-1}) ($P<0.0001$) (Table 3). Similarly C_m for S6 spacing (64.1
271 Mg h^{-1}) was higher than stands where a S12 spacing (60.8 Mg h^{-1}) was used ($P=0.0265$). Riding
272 as an observer in the harvester during operations gave the impression that the S12 spacing was
273 more jarring on the machine and the flow of material into the harvester did not feel as smooth
274 and consistent down the row. In this wider spacing the machine would cut and process most of
275 a stem before the next one was encountered resulting in stems feeding in slugs rather than an
276 even stream, and there was audible variability in engine loading. Conversely, the harvester's
277 progress on the S6 spacing was much smoother and predictable which is exhibited in wider
278 instantaneous power distributions among randomly selected segments of the two spacings
279 (Figure 2); effectively, the operator was able to more consistently apply power at a higher level
280 and the machine ran more smoothly.

281

282 [INSERT FIGURE 2]

283

284 [INSERT TABLE 2]

285

286 [INSERT TABLE 3]

287

288 Work in poplar, willow, eucalyptus SRWC has suggested that the relationship between
289 C_m and standing biomass is not linear [32,34,51]. Specifically, in stands with low standing
290 biomass C_m is limited by the maximum harvester speed allowed by ground conditions. In the
291 case of the stands in this study the maximum speed was slightly above 5.0 km h^{-1} which
292 translates to just over 2.8 ha h^{-1} .

293 As standing biomass increases, the crop presents greater resistance to the harvester and
294 C_m plateaus. In some cases, the transition between the linear and curvilinear portions of the C_m
295 response defined by harvester speed has appeared to be abrupt [34], or even to increase
296 asymptotically [32]. These harvests also suggested an asymptotic relationship, but the range of
297 standing biomass was not sufficient to ascertain if there was a defined transition below 30 Mg
298 ha $^{-1}$ or where the maximum C_m was over 80 Mg ha $^{-1}$ (Figure 3). The slope and level of the
299 plateau is likely defined by a combination of factors including harvester power, site conditions,
300 crop architecture, and operator behavior. Poplar stools often produce multiple stems but not as
301 numerous as willow and tend to be taller and have larger diameters, although not as large as
302 eucalyptus. This could explain the less abrupt transition to the plateau observed on other
303 harvests and presents an interesting research question for future work.

304

305 [INSERT FIGURE 3]

306

307 Mean C_f was 1.3 ha h^{-1} on dedicated plantings compared to 1.2 ha h^{-1} on interplanted
308 sites ($P=0.0180$) due to the differences in standing biomass. An interesting dichotomy on the
309 interplanted sites was the higher growth and performance of the cultivars, perhaps attributed
310 to the amount of overall competition between rows, coupled with the challenges with
311 collecting biomass. Specifically, the collection vehicles had to follow behind the harvester on
312 interplanted sites which kept operators out of visual contact and increased the difficulty for
313 communications and coordinated movements between them.

314 The 9080 harvester consumed fuel at a mean rate of 83.7 L h^{-1} and had a mean crop-
315 specific fuel consumption of 1.35 L Mg^{-1} ; the mean engine load was 64.9% (Table 2). There were
316 no effects on these variables observed for cultivar, spacing or planting design. Fuel use
317 increases asymptotically with engine load consuming fuel at a rate of 114 L h^{-1} while operating
318 at 100% engine load. Regression models for fuel use based on engine load was significant
319 (adjusted $R^2=0.9134$; Table 4) and was asymptotically related to engine load (top panel; Figure
320 4). In the case of crop-specific fuel consumption mean fuel use per Mg chips produced was 1.34
321 L Mg^{-1} . Regression analysis (adjusted $R^2=0.7256$; Table 4) suggests that less fuel is consumed
322 per unit of biomass as standing biomass increases (bottom panel; Figure 4). According to these
323 results, each 10 Mg ha^{-1} increase in standing biomass improved fuel economy by 0.11 L Mg^{-1} .
324 Thus, given the means and ranges of data observed, fuel economy improves about 3 to 5 % for
325 each 10 Mg ha^{-1} increase depending on engine load. This study did not evaluate the effect of
326 cut length on fuel consumption. Guerra et al. [32] reported a 20 to 30% reduction in C_m and an

327 approximately 20% increase (weighted average) in crop-specific fuel consumption when chip
328 size is reduced from 30 to 20 mm using a New Holland FR9060 harvester. Thus, chip size
329 selection and harvester model selection may be several times more influential on overall fuel
330 use.

331

332 [INSERT TABLE 4]

333

334 [INSERT FIGURE 4]

335

336 *3. 3. Harvest timing and field delays*

337 Timing and delay information are presented for the purpose of providing information on
338 issues specific to this harvest and provide context. Approximately 20 hours of harvester
339 operations were monitored on 15.0 ha of crops. Although not a specific focus of harvest
340 monitoring, delays attributed to the harvester accounted for 19% of the in-field run time for all
341 loads, but for the loads where efficiency was greater than 80% the mean efficiency was 95.6%.

342 In recent willow and eucalyptus SRWC studies in-field delays were also less than 10% [32,34].

343 Specific causes of in-field delays in this study primarily included collection vehicle tire
344 punctures, and operator reaction to machine feedback. The harvester and header were slightly
345 overdue for scheduled maintenance. One lengthy stoppage was to troubleshoot the hydraulic
346 system. Additionally, the field was located next to open grassland, which caused the unique
347 problem of large clusters of tumbleweeds gathering in the rows and collecting in front of the

348 header for 5-10 m or more; this limited operator visibility and required regular clearing for the
349 10-15 rows adjacent to the grassland.

350 *3. 4. Implications*

351 The implication of this study is that cultivar, spacing and planting design may influence
352 standing biomass, which is the primary factor affecting C_m . However, such a conclusion is
353 tempered by the need for more data and direct studies that focus on maintenance,
354 breakdowns, and loading issues in regular commercial operations. It could be tempting to
355 conclude based on the lower panel of Figure 4 that running the harvester at a lower engine load
356 would incur a fuel savings; however, other factors such as C_m and labor costs would also have to
357 be considered. Ultimately, the harvester operator must balance many variables so that the
358 machine and operation proceed consistently and reliably, which stresses the importance of
359 operator experience.

360 Generally speaking, the more biomass that is being harvested, and the more material
361 passing through the machine will result in more efficient use of harvester fuel. Although we did
362 not detect a relationship between C_m and fuel consumption ($P=0.0896$; $R^2 = 0.03$), Guerra et al
363 [32] did show such a relationship. SRWC are also less fuel efficient to harvest than silage crops
364 which require only 0.5 to 0.6 L Mg⁻¹, but wood is harder and there has been considerably more
365 harvest-system development for silage crops. Our range of 1.2 to 1.5 L Mg⁻¹ are comparable to
366 the range of 1.3 to 2.2 L Mg⁻¹ reported by the Guerra et al. [32] stocking and chip size study.
367 However, woody biomass cut and chipped by forage harvesters can often be utilized by end
368 users without additional processing (e. g. drying, densification, etc); crop-specific fuel
369 consumption alone is not the only consideration.

370 Previous work has shown that costs decrease precipitously when operating in crops with
371 increasing standing biomass [29]. One way to increase standing biomass is to extend the
372 rotation length a year or two. However, there may also be a risk associated with delaying
373 harvests since site variation may cause sections of the field to produce stems that exceed the
374 header's design specifications and increase costs by increased downtime and repairs.

375 Conversely, there may be unnecessary fuel costs associated with deploying harvesting
376 machines that are over-sized for the standing crop [38]. Other options to improve economy at
377 the time of harvest would be to increase chip size, but this may affect conversion efficacy or
378 incur processing costs elsewhere in the supply chain [31,32].

379 Finally, it should be reiterated, the data utilized in this paper only reflect machine
380 performance as it works in the field. The study does not consider the effect of headland
381 activities where maneuvers and collection system configuration can have large effects on
382 overall C_m , but are largely independent of silvicultural choices, site topography, or operator
383 experience [29,52–54].

384 **4. Conclusions**

385 SRWC growers are faced with an array of management decisions throughout the crop
386 cycle that have repercussions on subsequent activities. The planting patterns used during crop
387 establishment will influence plant growth form and yields that will ultimately be reflected in
388 machine performance, which dictates harvesting logistics. Research is needed to address these
389 issues in order to collectively optimize the various parts of SRWC systems rather than just a
390 single factor at a time.

391 This study evaluated the influence that four commercially-interesting planting designs and
392 spacing combinations had on cultivar yield and harvester performance in a commercial-scaled
393 short-rotation poplar stand. Overall, SRWC interplanted with sawtimber had a mean crop yield
394 over 25% greater than the dedicated SRWC plots. C_m , or throughput, was over 10% higher on
395 interplanted stands. However, interplanted sites present problems with collection system
396 logistics. Narrower spacing along the row improved both crop yield per ha and C_m by about 5%,
397 and was more desirable from an operator perspective.

398 Results were consistent with recent studies in other SRWC. The relationship between C_m
399 and standing biomass is not linear and tends to plateau as standing biomass increases. Crop-
400 specific fuel consumption may range between 1.2 and 2.2 L Mg⁻¹, which is almost twice that of
401 other forage crops. However, SRWC biomass in cut-and-chip systems requires less or no
402 preprocessing by end users. The benefits of planting design and spacing on fuel consumption
403 were 4 to 6 times less impactful than chip size settings that have been tested in other trials.
404 Although the effect on fuel consumption may be comparatively minor, given the cost of
405 harvesting these silvicultural choices may still be impactful once SRWC systems are more widely
406 deployed. The selection of equipment that properly sized for the crop being harvest will be a
407 critical factor in managing and optimizing the costs for SRWC systems.

408

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412

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577

578 Table 1. Harvester performance for poplar cultivars harvested using a single pass cut and chip harvester where field efficiency was
 579 greater than 80 percent.

580

Cultivar		BC78		BC79		OP367		PC4	
Number of Loads	n	17		12		18		17	
		mean (standard error)							
Effective Material Capacity (C_m)	$Mg\ h^{-1}$	68.3	(2.1)	61.1	(2.7)	61.6	(2.2)	62.7	(1.5)
Effective Field Capacity (C_f)	$ha\ h^{-1}$	1.86	(0.17)	1.74	(0.22)	1.44	(0.12)	1.6	(0.13)
Speed	$km\ h^{-1}$	4.5	(0.12)	4.2	(0.10)	3.8	(0.14)	4.2	(0.15)
Field Efficiency		96 (0.8)							
Engine Load	%	64	(4.6)	67	(2.8)	65	(3.7)	64	(4.2)
Fuel consumption	$L\ h^{-1}$	83	(4.4)	88	(2.6)	85	(4.1)	81	(4.6)
Crop-specific fuel consumption	$L\ Mg^{-1}$	1.22	(0.061)	1.46	(0.055)	1.40	(0.077)	1.30	(0.088)

581

582

583 Table 2. Harvester performance for poplar spacing treatments harvested using a single pass cut and chip harvester for loads where
 584 field efficiency was greater than 80 percent. Final column are combined low efficiency runs where field efficiency was less than 80%.
 585

Planting Design		Dedicated			Interplanted			Low Efficiency	
Spacing		S6	S12	S6	S12	S6 & S12			
Number of Loads	n	25	10	14	13	16			
mean (standard error)									
Biomass	Mg ha ⁻¹	45.5	(1.01)	42.9	(2.10)	60.9	(2.19)	52.1	(1.99)
Effective Material Capacity (C _m)	Mg h ⁻¹	60.4	(1.09)	58.1	(2.17)	70.7	(1.02)	62.9	(2.17)
Effective Field Capacity (C _f)	ha h ⁻¹	1.29	(0.04)	1.35	(0.04)	1.17	(0.04)	1.22	(0.06)
Speed	km h ⁻¹	4.2	(0.13)	4.4	(0.12)	3.8	(0.12)	4.0	(0.19)
Field Efficiency		96	(0.1)	94	(0.2)	96	(0.1)	94	(0.2)
Engine Load	%	64.9 (2.0)							53 (3.1)
Fuel consumption	L h ⁻¹	83.7 (2.09)							70.6 (3.16)
Crop-specific fuel consumption	L Mg ⁻¹	1.34(0.039)							2.66 (0.356)

586

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589

590 Table 3. Linear model results for poplar spacing trials using a single pass cut and chip harvester for loads with less than 20% in field
591 delays.

592

	Standing Biomass	Effective Material Capacity (C_m)	Effective Field Capacity (C_f)	Engine Load	Fuel consumption	Crop-specific fuel consumption
	Mg ha ⁻¹	Mg h ⁻¹	ha h ⁻¹	%	Lh ⁻¹	lMg ⁻¹
Effect		Pr> F				
Interplant (int)	<0.0001	<0.0001	0.0180	0.3676	0.6315	0.2891
Spacing (spc)	0.0026	0.0265	0.1116	0.1544	0.2962	0.0580
Cultivar (cul)	0.0091	0.3515	0.0073	0.7699	0.6273	0.4279
spc*cul	0.6021	0.2455	0.8695	0.9811	0.9928	0.8167
int*spc	0.0109	0.0204	0.9549	0.2189	0.4764	0.6773
int*cul	0.0045	0.2913	0.4152	0.2796	0.3060	0.4728
int*spc*cul	0.1377	0.0409	0.0204	0.7597	0.6407	0.2490

593

594

595 Table 4. Model diagnostics for fuel consumption and crop-specific fuel consumption (fresh biomass) based on engine loading for
596 regressions presented in Figure 4.

597

Parameter	Regression models			
	Fuel consumption (L h ⁻¹)		Crop-specific fuel consumption (L Mg ⁻¹)	
	Beta	Pr> t	Beta	Pr> t
Intercept	-11.80644		0.34621	
Engine Load	1.96523	<0.0001	-0.01158	<0.0001
Engine Load ²	-0.00707	0.0001	0.03241	0.0001
Standing Biomass			-0.0001207	0.0316

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Figure Captions

Figure 1. Standing biomass for freshly harvested chips delivered to short term storage based on cultivar (top panel) and spacing (bottom panel) for two planting designs. Harvest losses on the ground (drops) were not monitored.

Figure 2. Sample distribution of engine power (second by second basis) in S6 and S12 spacing illustrating the smoother performance of the harvester in the narrower spacing.

Figure 3. Relationship between standing biomass and effective material capacity for delivered loads above and below 80% efficiency in a poplar stand.

Figure 4. Fuel consumption ($L h^{-1}$) and crop-specific fuel consumption ($L Mg^{-1}$) for fresh biomass relative to engine load for poplar harvested using a single pass cut and chip harvester. For regression models, X_1 = Engine Load as a percent and X_2 = Standing fresh biomass in $Mg ha^{-1}$.

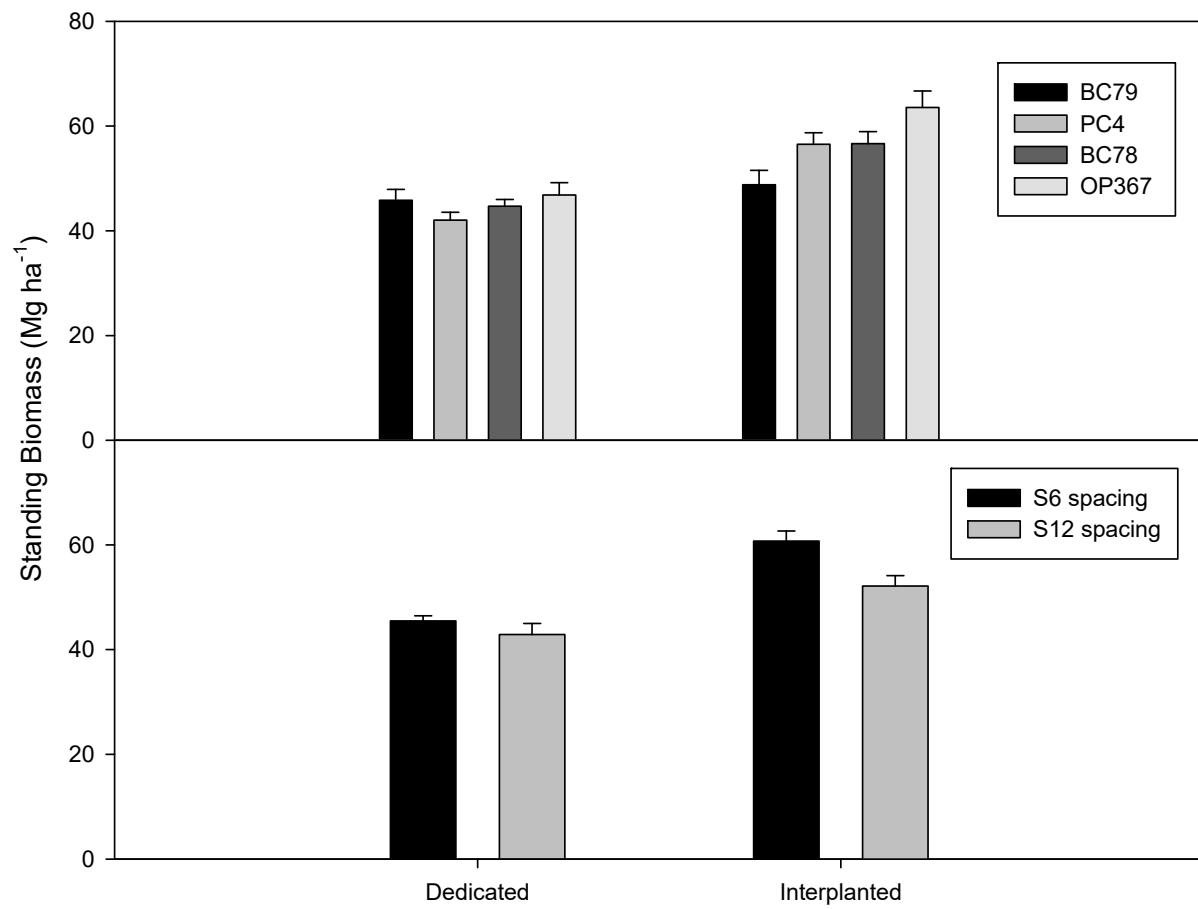


Figure 1. Standing biomass (mean \pm standard error) for freshly harvested chips delivered to short term storage based on cultivar (top panel) and spacing (bottom panel) for two planting designs. Harvest losses on the ground (drops) were not monitored.

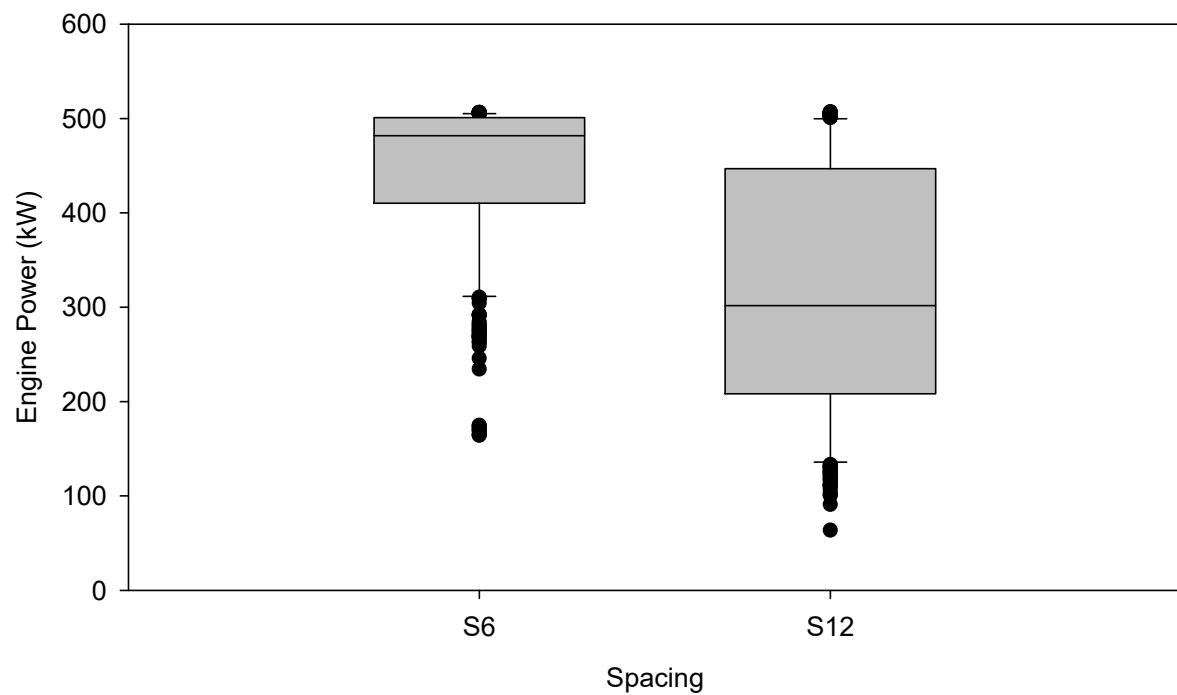


Figure 2. Sample distribution of engine power (second by second basis) in S6 (n=400) and S12 (n=400) spacing illustrating the smoother performance of the harvester in the narrower spacing.

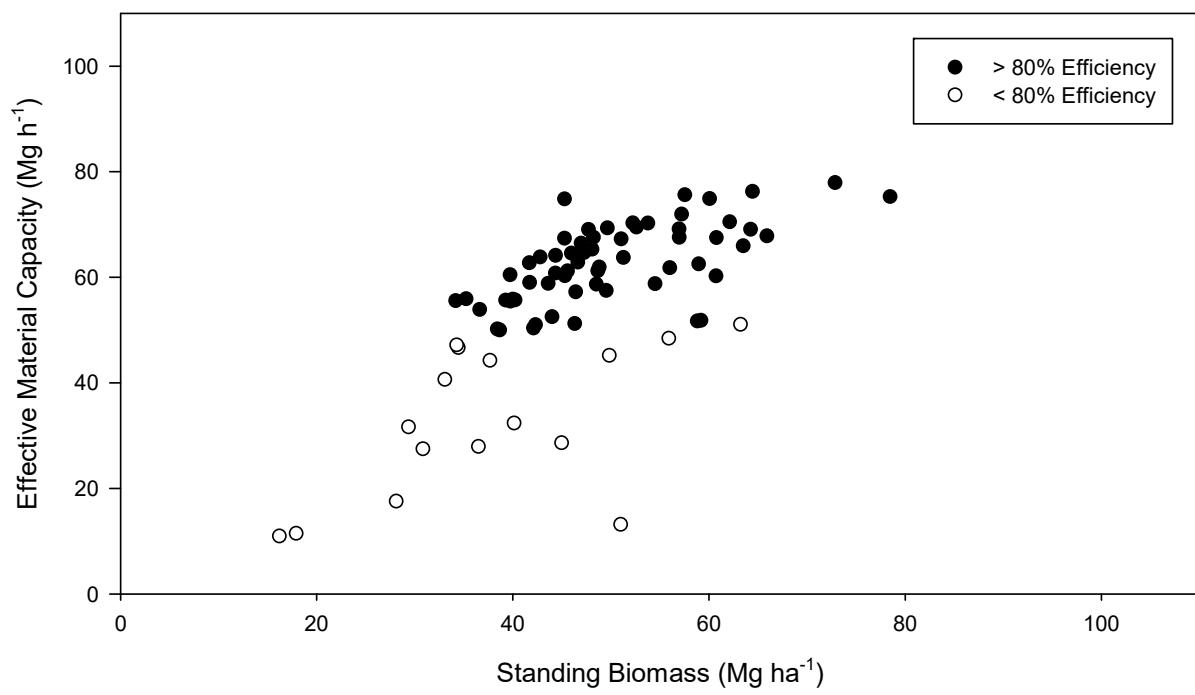


Figure 3. Relationship between standing biomass and effective material capacity for freshly harvested chip loads above and below 80% efficiency in a poplar stand. Harvest losses on the ground (drops) were not monitored.

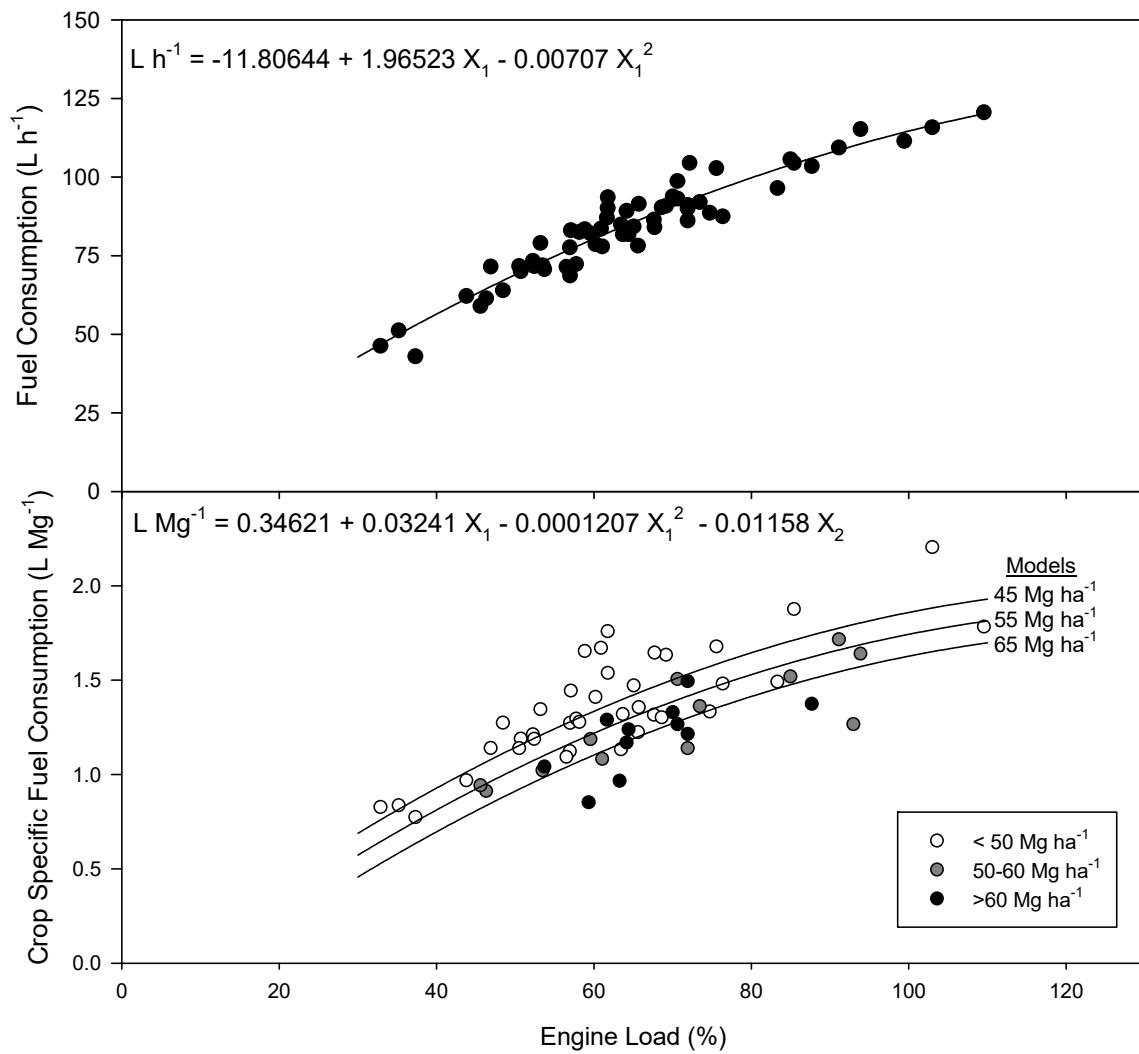


Figure 4. Fuel consumption (L h^{-1}) and crop-specific fuel consumption (L Mg^{-1}) for fresh biomass relative to engine load for poplar harvested using a single pass cut and chip harvester. For regression models, X_1 = Engine Load as a percent and X_2 = Standing fresh biomass in Mg ha^{-1} .