

Exceptional service in the national interest



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Sandia's Grid Modernization Programs

General Briefing

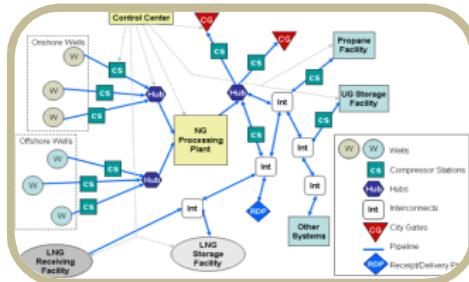
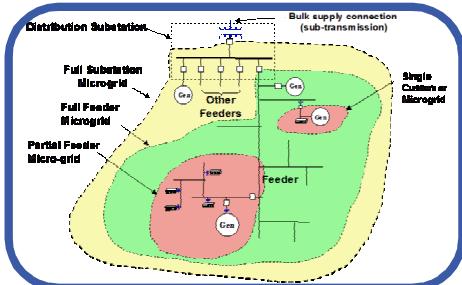
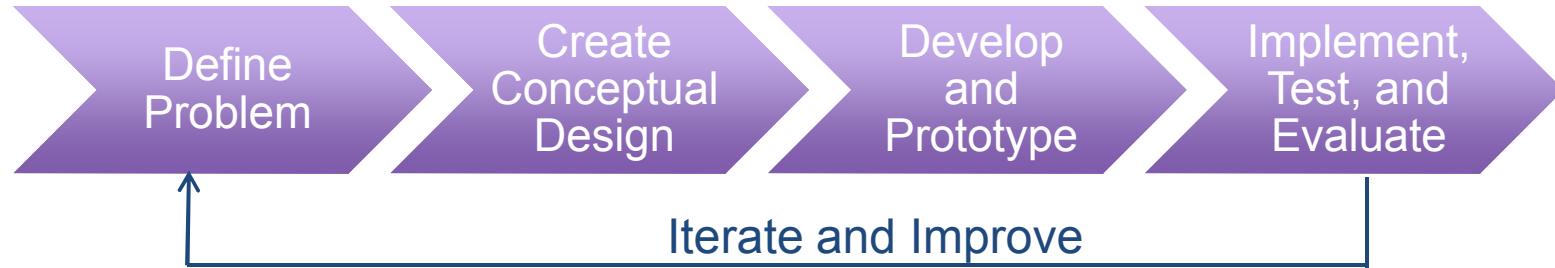
15 April, 2014



Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000

Our Value Proposition:

Integrated Capabilities for Solving The Most Difficult Energy Surety Challenges

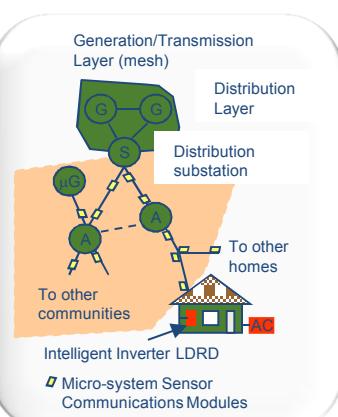
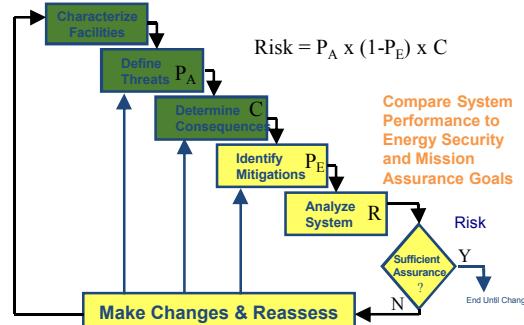


Sandia applies a **comprehensive modeling, analysis, design, development, test and evaluation approach** to Energy Surety Systems solutions

Addressing Energy Surety Microgrid Challenges

Energy Surety Assessment

A risk based approach to assess energy surety (safety, security, reliability, sustainability, and cost effectiveness)



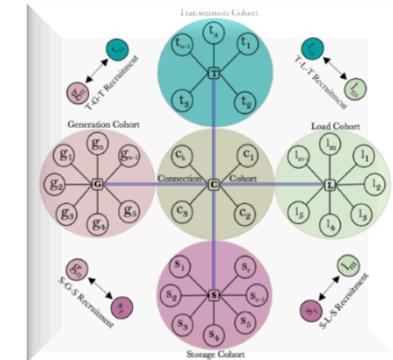
Secure Scalable Microgrids with High Penetration Renewables

Non-linear component and system models; distributed agent-based control algorithms, software and architectures with secure communications



Agent Based Microgrid Modeling

Control techniques are applied using agent based system of system model techniques. Emergent and complex behaviors can be modeled in microgrid systems.



Intelligent Power Controllers for Self Organizing Microgrids

Modular Macro Inverter hardware to support agent based control of generators and load devices in microgrids



Addressing High Penetration of Renewable Energy on our Electric Infrastructure

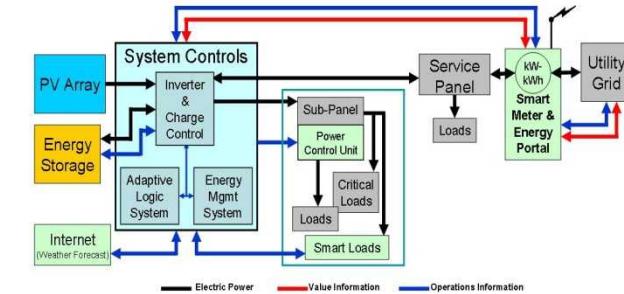
Increasing penetration of variable renewable generation can overstress our current electric grid



80MW Solar Farm in Ontario, Canada



Near-term product concepts to address tomorrow's needs: "Solar Energy Grid Integrated Systems (SEGIS)"



Product developments are verified and optimized at Sandia's DETL

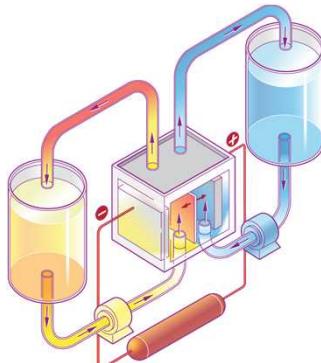
New system controllers and inverter technologies can mitigate negative impacts while providing added value



Addressing High-Energy Storage Challenges

Grid Variability Caused by Renewable Energy Integration

- Energy Storage Solutions Offers Clean and reliable solution
- Energy Capacities are limited in existing battery technologies



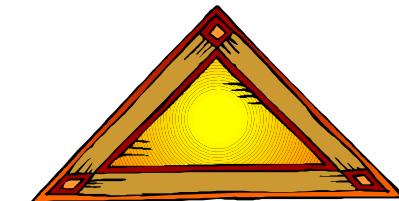
Flow Battery Development

- Potential for low cost
- Long cycle life
- Deep discharge capability
- Separate power, energy requirements



Energy Storage Systems Model Development (Coordinated Approach)

Storage Cost/
Performance Models



Technology
Development

Policy/Regulatory
Drivers



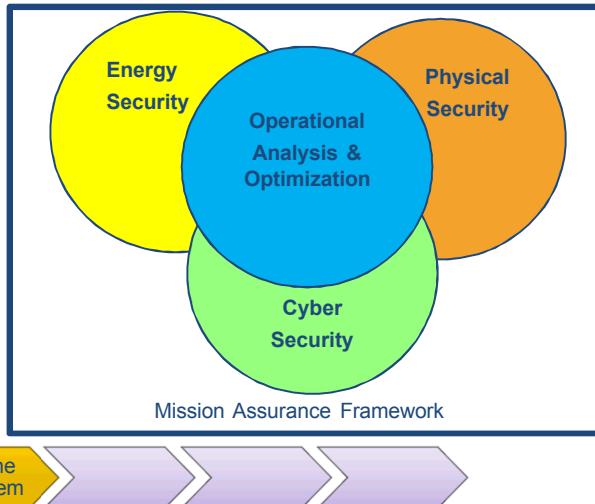
Energy Storage Systems Testing

- 100KW - 1MW
- Grid and Island Mode
- Testing with renewable sources at DETL



Forward Operating Base Challenges

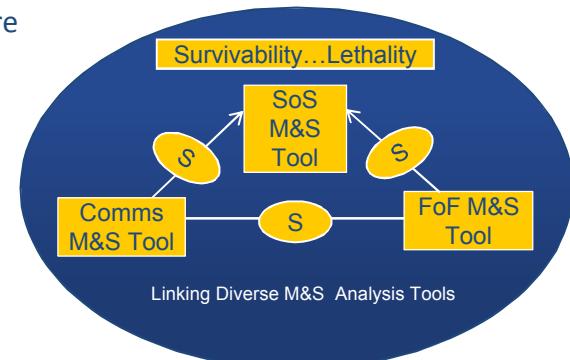
Addressing Forward Operating Base System of Systems Complexity and Overarching Integration & Technology Needs to Effectively Assure National Defense Missions



Develop and Prototype Operational Energy Systems to Address Needs and Capability Gaps



Developing Architecture and Methods for more Effectively Leveraging Analyses and Information Between Heterogeneous Modeling and Simulation Tools



Developed Live, Virtual, and Constructive Environment for Test and Evaluation of Complex System of Systems Solutions



Field demonstrations drive analytical activities: PNM Smart Grid Project Example

- Full integration of a Renewable Energy and Smart Grid concepts into utility operations
 - PV, Wind, Energy Storage and Demand Response, other DER
 - Residential/Building/Utility scale resources and systems
 - Layers of controls
- Large-scale testbed fully accessible for research, testing & demonstration
- Implemented at Mesa del Sol in Albuquerque



Graphic: EPRI / PNM

Key Customers

DOE



- OE
- EERE
- EPSA

DoD



- Air Force
- Army
- Navy
- PACOM
- NORTHCOM

DHS



- S&T
- NPPD
- FEMA

Partnerships are Key to Strategy



Sandia Grid Modernization Strategy



Sandia National Laboratories

Pillars

Renewables Integration



Energy, Climate, & Infrastructure Security

Renewable Energy Grid Integration

Sandia's renewable energy grid integration program is broad and multidisciplinary, recognizing that future evolution of the grid is influenced by multiple factors and technologies.

Sandia draws upon its expertise in a number of areas and supporting disciplines to develop a variety of complex energy systems, and renewable energy and enabling technologies such as storage and transmission. Sandia has performed work in renewable energy since the 1970s, with a significant increase in focus on grid integration over the last decade. This work has led to increased competitiveness and greater penetration of renewable energy technologies.

In 2007, Sandia developed the Renewable Systems Interconnection (RSI) study. DOE's research activities on solar grid integration. The 15-report identified the main issues related to high solar energy penetrations, solar and wind energy distribution and transmission system, cybersecurity, technology development, consumer behavior, and new policy and utility requirements for governments and utility.

Advanced Modeling and Optimization

Sandia established and continues to lead industry efforts on grid integration research, including the Western Electricity Coordinating Council (WECC) Renewables Energy Modeling Task Force (REMT). REMT has developed a technical framework and PV power modeling, producing guidelines, formerly adopted by WECC and models prioritized in commercial simulation software, including the FDS® and General Electric PSL® programs.

Currently, Sandia is working with Bonneville Power Administration and leading universities on transmission and system-wide studies on the impact of interconnected systems (WECC) and the potential mitigation role of wide-

area and local controls using power electronics. This has been one of the major areas identified in the large-scale integrations studies.

Sandia and its collaborators have played a leading role in solar variability characterization and modeling, producing data sets that are now a key study impact dataset for utilities. The techniques were successfully applied in the North American West as well as Mexico, Australia, and Hawaii, as well as ongoing studies in the Western U.S.

The prospect of high-penetration solar and wind generation has revived research in probabilistic methods in power systems. Sandia has developed and applied advanced methods to determine the source adequacy with variable generation. Currently, Sandia leads a national-level research effort to develop a framework for an adapting advanced optimization for unit commitment and economic dispatch in the real time environment.

Power Electronics for a Modern Grid

Sandia and DOE developed and launched the Solar Energy Grid Integration System (SEGIS) initiative, a significant public-private partnership to develop PV inverter standards and technologies for the SEGIS project, a three-year effort that started in 2004, to produce advanced inverters, controllers, and energy-management systems, and the interconnected system (WECC), and the potential mitigation role of wide-

Vision

To enhance the nation's security and prosperity through sustainable, transformative approaches to our most challenging energy, climate, and infrastructure problems.



EXCEPTIONAL SERVICE IN THE NATIONAL INTEREST

Grid Resiliency



Energy, Climate, & Infrastructure Security

A National Grid Resiliency Initiative: Securing Our Nation's Electric Grid

The Need for Grid Resiliency

Our nation's rise to prominence as one of the world's most productive and innovative economies reflects the broad access to abundant, reliable, and cheap energy. Today it is our electric power system that also similarly drives our digital economy and elevates our health, safety, and overall standard of living. Without a functioning electric grid, nearly every other aspect of our lives in the U.S.—from banking to water to telecommunications—would grind to a halt.

Unfortunately, the threats to our grid, both natural and man-made, continue to grow. Weather-related and other natural disasters, which cause the bulk of outages, are projected to increase in intensity and frequency, with a hotter, moister atmosphere primed to trigger disasters. "Frightening studies by the National Security Agency and others have shown that the grid continues to evolve and grow." As a consequence, our nation faces significant risk from prolonged electric outages, particularly because of storms, have been steadily increasing in frequency since 1995.¹

The time has come, therefore, to find new ways to plan, manage, and safeguard our nation's electric grid. Much as a massive

blackout in 1965 prompted the U.S. Congress to enact the Electric Power Reliability Act of 1963, last year the formation of the National Energy Reliability Council (NERC) and a standard definition of reliability, the natural disasters of the past few years (including Hurricane Sandy and Sandy) should prompt a new paradigm for securing the grid against its increased exposure to high-consequence events.

Simply put, a grid defined only by reliability is no longer adequate in our 21st Century world. We must move beyond reliability to a grid that can adapt to both large-scale environmental and manufactured events, and remain operational in the face of such challenges as the catastrophic consequences that affect quality of life, economic activity, national security, and critical-infrastructure operations. Specifically, the need for reliability must be replaced with a resiliency approach, one that looks at the grid not strictly as a flow of electrons but as a grid that services, interfaces with, and impacts people and societies.

Put another way, it is the consequences, not the outages per se, that matter.

Why Reliability Is Not Enough

Grid reliability, which is defined by NERC as the ability of the grid to adequately (i.e., having sufficient generation to meet load) and grid security (having the ability to withstand disturbances), is

Vision

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EXCEPTIONAL SERVICE IN THE NATIONAL INTEREST

Grid Cybersecurity



Energy, Climate, & Infrastructure Security

Cybersecurity for the Electric Grid

The electric power sector is experiencing rapid growth in controls, telemetry, and automation that necessitates increased awareness of emerging cyber threats that can negatively impact the power grid.

Emerging Cyber Threats

Better static and dynamic models, widespread deployment of phasor measurement units, high-speed communication networks, and real-time control strategies are all enabling technologies that promise to increase reliability and resiliency of the power grid. However, these technologies also pose significant risk for malicious agents pose significant risk to the continuity of delivered power. As such, deploying cybersecurity controls must be commensurate with the deployment of these enabling technologies and mitigate the associated risks. The following text highlights recent developments in grid technology that represent increased cyber-attack surfaces.

Phasor Measurement Units

Phasor measurement units (PMUs) provide time-synchronized measurements at remote points on the grid, using GPS or network timing system as a common time source. Time-synchronized measurements at remote points on the grid provide utilities with improved situational awareness and enable the grid operator to better manage the grid. As such, the grid operator can make better decisions in real-time to manage the grid. However, due to the intermittent nature of renewables, the effective load seen by the utility can be more dynamic and volatile than ever before, making frequency regulation, voltage

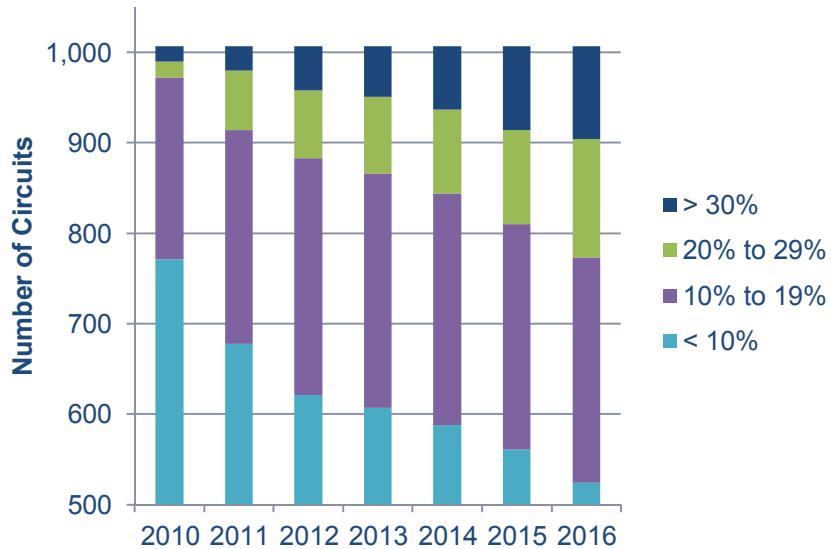
reliability in real-time applications but can provide supplemental information as inputs to static state estimation tools to increase the accuracy and reliability of results. Therefore, PMUs themselves can compromise existing estimation tools in addition to the real-time dynamic tools they were designed for. Using PMUs for automated control, for example, enables fast, real-time corrective actions to occur but these control schemes are on very short time scales (e.g., minutes and hours). Therefore, PMUs themselves have proven suboptimal, resulting in inappropriate control actions. Additionally, real-time state measurement of the grid might provide valuable information for adversaries to better time attacks during periods of poor grid health. The utility can be forced to shutdown or possibly increasing the consequences of an attack (e.g., more customers without power).

Distributed Energy Resources

Distributed energy resources, particularly renewables, are an increasing source of generated power. The power grid was initially designed for large centralized power generation facilities to provide one-way flow of power from generation to load. Today, increased renewable energy penetration changes this paradigm, forcing distributed generation to provide excess power back to the grid. However, due to the intermittent nature of renewables, the effective load seen by the utility can be more dynamic and volatile than ever before, making frequency regulation, voltage

High Penetration of Variable Generators Driving Changes

SDG&E PV Penetration by Circuit



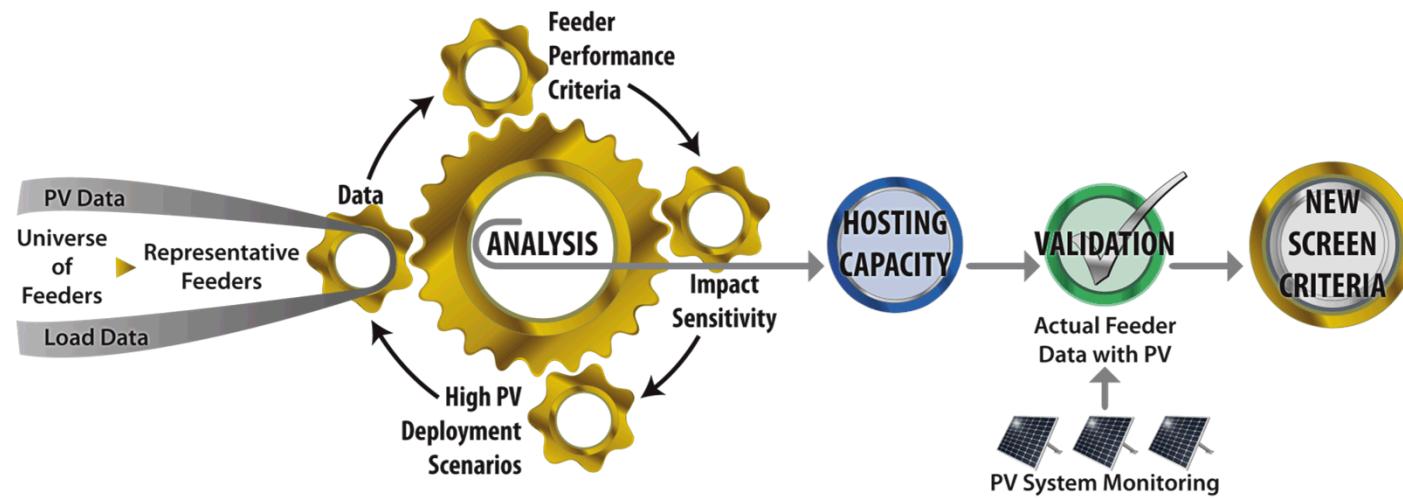
Predictions show a need for resilience to variability and opportunities for enhance grid functionality.

Sandia's Distributed Energy Technologies Laboratory provides technology solutions for integrated systems

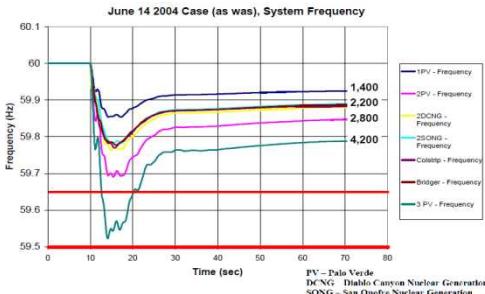


Sandia Developing New Screens to Simplify Distribution-Interconnection Processes

- Effort co-funded by USDOE and CA Solar Initiative
- Working with EPRI, CA utilities to develop data-driven technical foundation to support revision to Small Generator Interconnection Procedure (SGIP) screens
- Resulted in revised FERC-approved screening process through scenario analysis



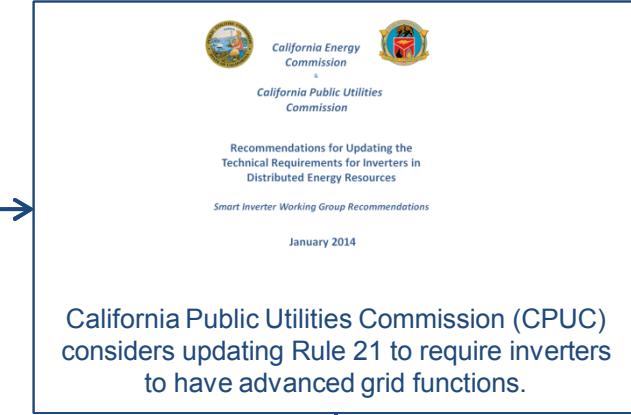
Sandia Leads Incorporation of CA Rule 21 Revisions in National Standards



High PV penetrations are leading to grid voltage and frequency stability concerns.



Based on EPRI and SNL research, advanced interoperability DER functions are standardized in IEC 61850-90-7.



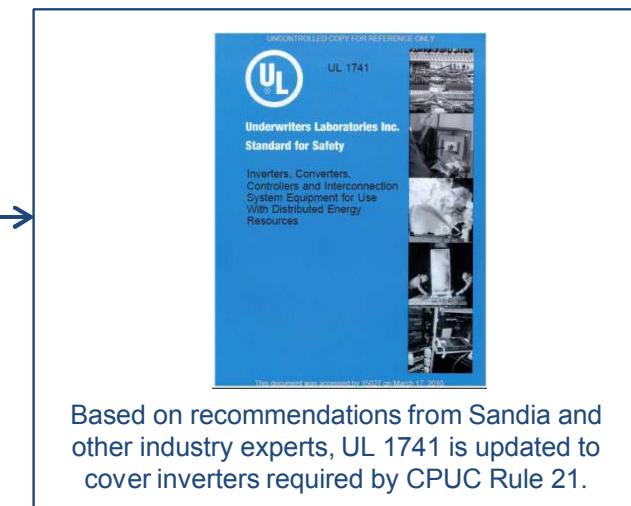
California Public Utilities Commission (CPUC) considers updating Rule 21 to require inverters to have advanced grid functions.



In Nov 2013, Sandia releases the Advance Interoperability Test Protocols matching the IEC functions.



Sandia and other laboratories begin exercising the test protocols to refine the procedures, parameter selection, and number of tests.



Based on recommendations from Sandia and other industry experts, UL 1741 is updated to cover inverters required by CPUC Rule 21.

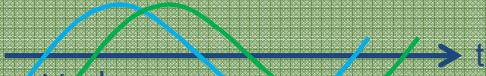
Same effort underway for updates to IEEE 1547

Types of Advanced Inverter Functions

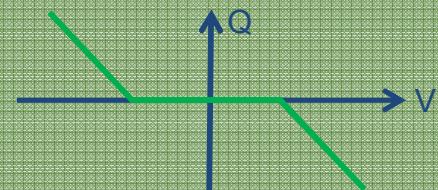
Advanced functions defined in IEC Technical Report 61850-90-7:

Voltage Support

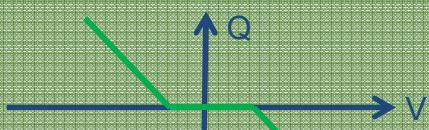
- Adjust Power Factor (INV3)



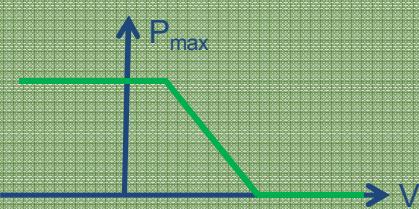
- Volt-Var Mode (VV11, VV12, VV13)



- Dynamic Reactive Power (TV31)

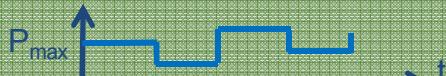


- Volt-Watt Mode (VW51; VW52)



Frequency Support

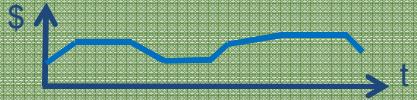
- Adjust Maximum Active Power (INV2)



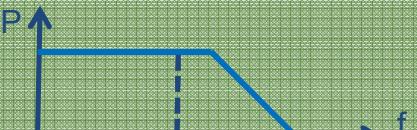
- Request Active Power from Storage (INV4)



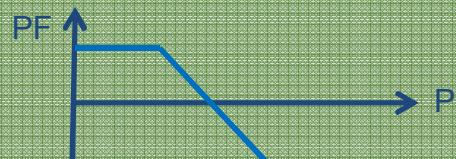
- Signal for Charge/Discharge (INV5)



- Frequency-Watt Mode (FW21, FW22)

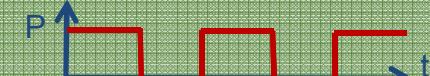


- Watt-Power Factor (WP41, WP42)

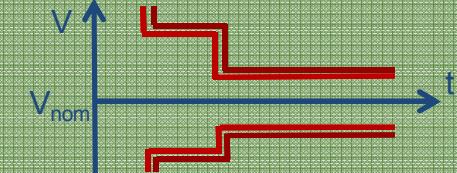


Grid Protection (Response to Disturbances)

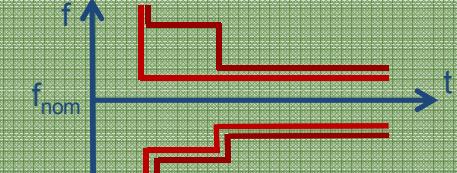
- Connect/Disconnect (INV1)



- Low and High Voltage Ride Through (L/HVRT)



- Low and High Frequency Ride Through (L/HFRT)*



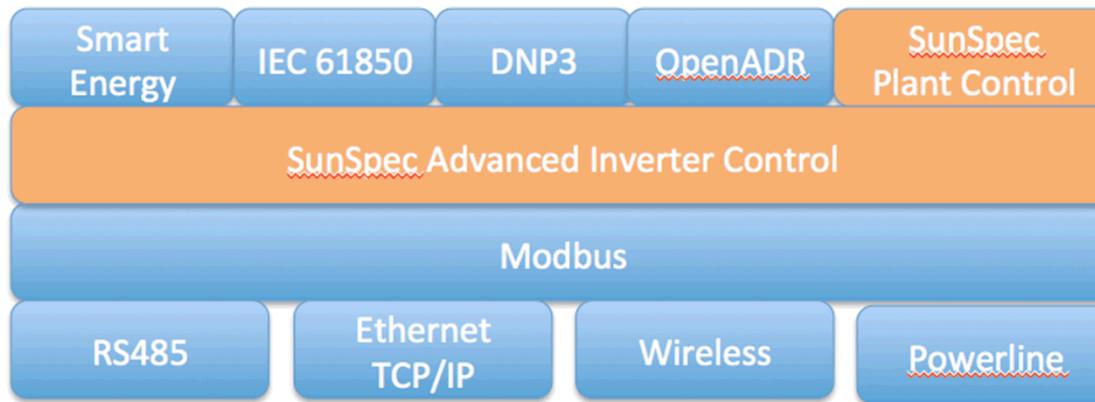
- Temperature Mode Behavior (TMP)



Current California Solar Initiative (CSI)

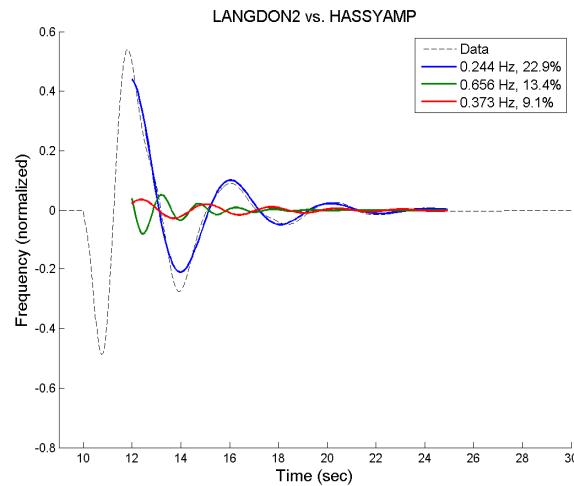
Proposal* Increases Impacts

- Adapt test protocols for the certification/conformance of CA Rule 21 inverter control and interoperability requirements.
- Address cybersecurity concerns by establishing the underlying rules for the utility-to-DER interoperability.
- Partnered effort with EPRI, SMA, Fronius, SCE, SMUD, and SunSpec Alliance

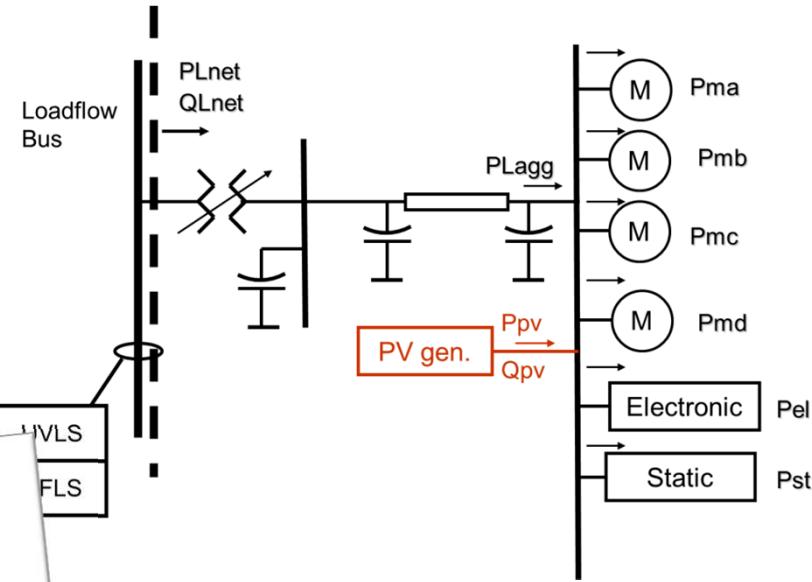
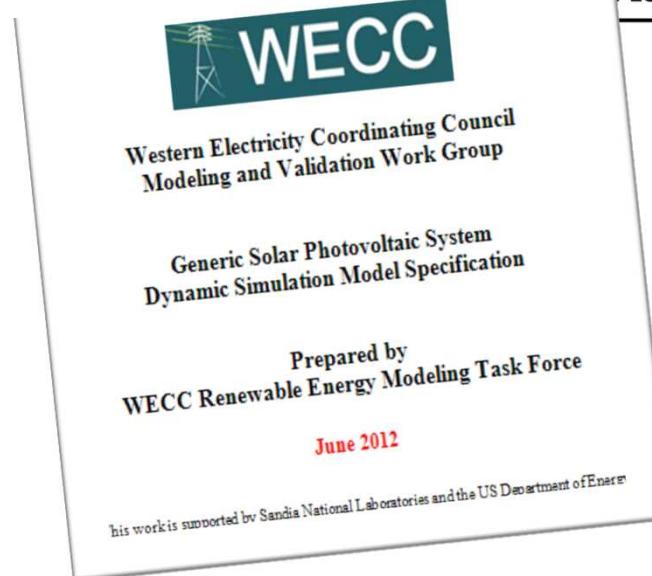


*“Standard Communication Interface and Certification Test Program” to develop communications specifications for the utility-to-DER interchange over Modbus, SEP 2.0, and Zigbee gateways.

Sandia Addressing Transmission-Level Variability



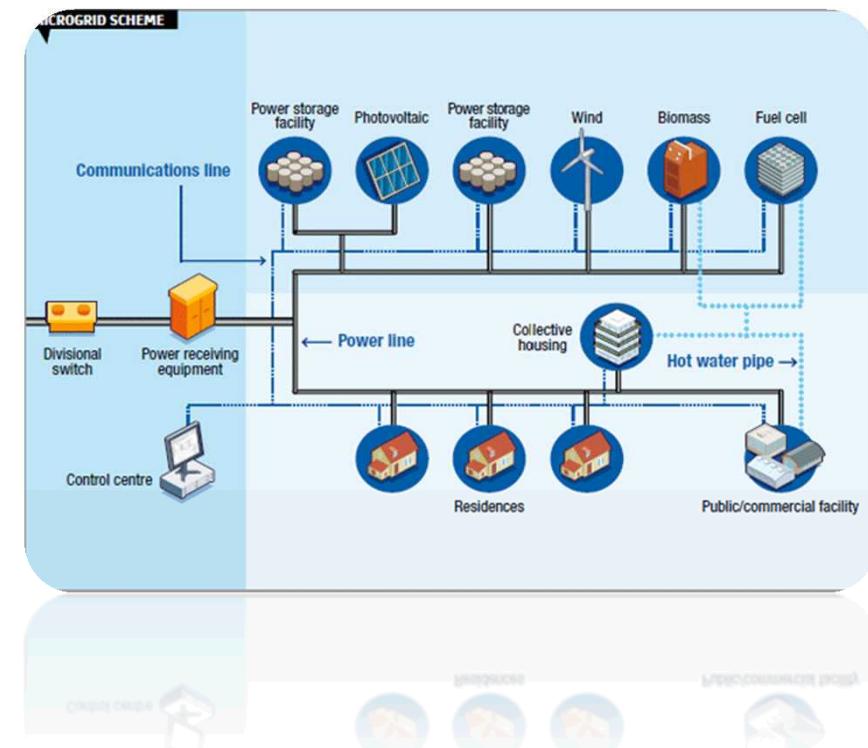
Small Signal
Stability analysis
covers Western
US



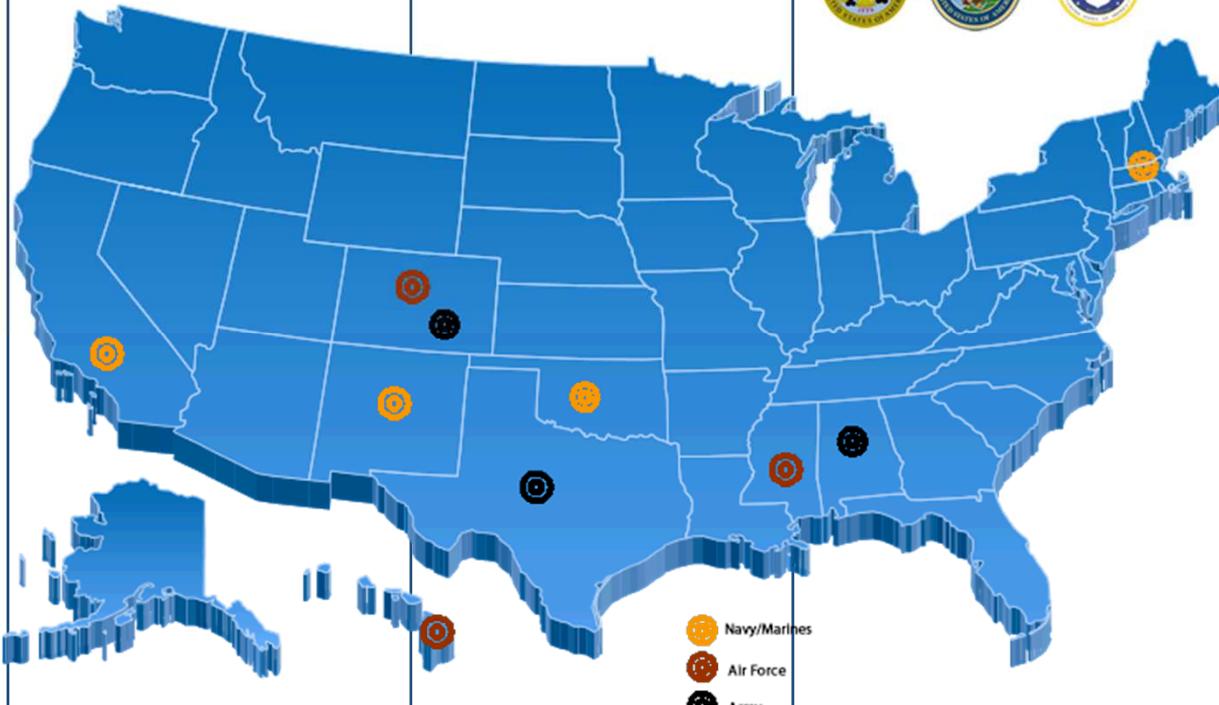
New Models: PV as a
Generation Source for
Utility Engineers – in use
by over 90% of market.

Grid Modernization via Sandia's “Energy Surety Design Methodology”

- Optimized integration of source, load, storage and sensing technologies
- Microgrids are a key component
- Advanced control systems
- Enhanced cyber security architecture
- “Single entity” view to bulk grid – ability to island



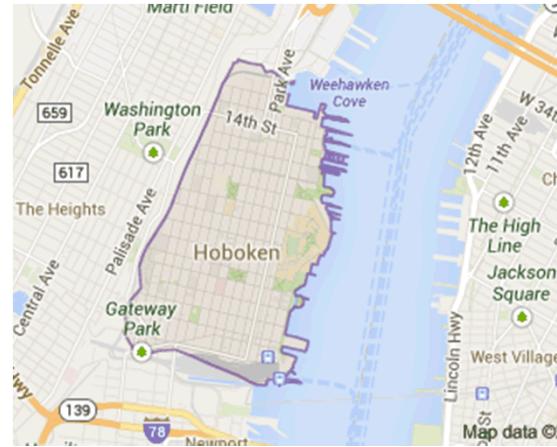
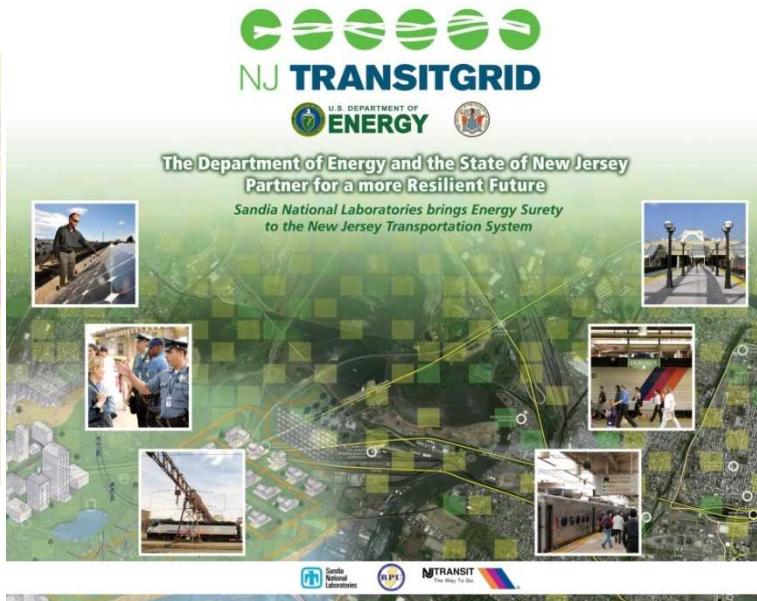
DoD Energy Surety Microgrid R&D

Conceptual Designs/Assessments	Small Scale Microgrid Demos	Large Scale Microgrid Demos	Operational Prototypes
<ul style="list-style-type: none"> Philadelphia Navy Yard – FY11, DOE OE/PIDC Camp Smith – FY10, DOE FEMP West Point FY12, DoD/DOE/DOE Indian Head NWC – FY09, DOE OE/DoD Ft. Sill – FY08, Sandia LDRD Ft. Bliss – FY10, DOE FEMP Ft. Carson – FY10, DOE FEMP Ft. Devens (99th ANG) – FY09, DOE OE/DoD Ft. Belvoir – FY09, FY12 DOE OE/FEMP Cannon AFB – FY11, DOE OE/DoD Vandenberg AFB – FY11, DOE FEMP Kirtland AFB – FY10, DOE OE/DoD Manwell AFB – FY09, DoD/DOE Osan AFB – FY11, DoD Soto Cano – FY12, DoD Creech AFB – FY12 DoD Alaska Villages – FY12 DOE 	<ul style="list-style-type: none"> Manwell AFB – FY09, DoD Ft. Sill – FY09, DoD w/ SNL serving as advisor 	<ul style="list-style-type: none"> SPIDERS JCTD – FY11, DOE/DoD <ul style="list-style-type: none"> ○ Camp Smith ○ Ft Carson ○ Hickam AFB 	<ul style="list-style-type: none"> H.R. 5136 National Defense Authorization Act 

Sandia Addresses Resilience in Post-Sandy Rebuilding

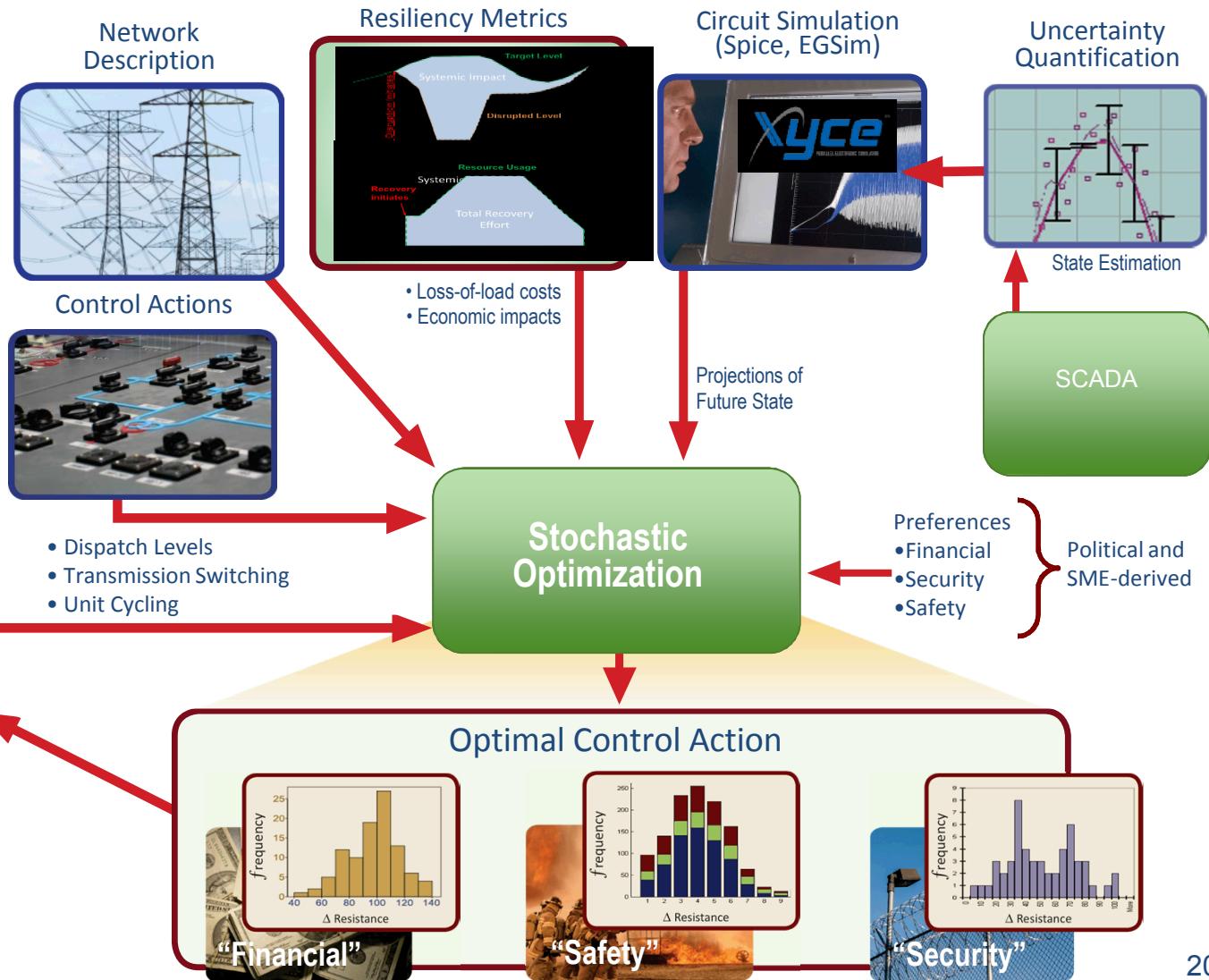


- Resilient ESDM microgrid designs were developed for NJ Transit and Hoboken
- Broad partnerships include transit; utilities; municipal, state, and federal government
- Approach is being applied to other municipalities, islands, nationally



Lab-Directed Research and Development - Advanced Metrics and Control Strategies for Grid Resiliency

2014: Driver for Resiliency portion of DOE's Quadrennial Energy Review



Grid Cyber Vulnerability and Assessments



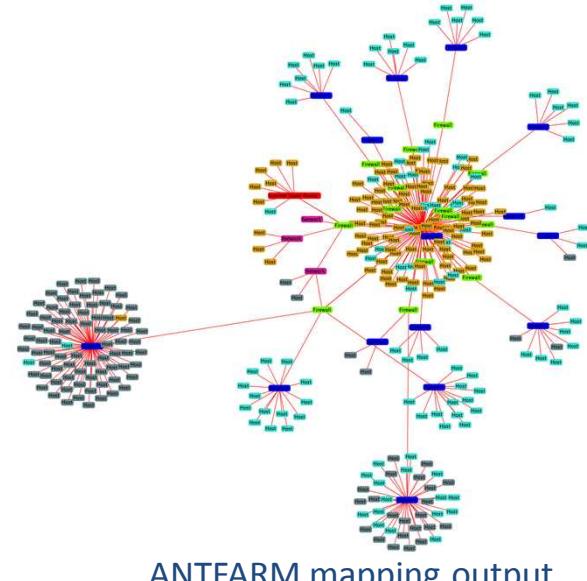
- Leverage a \$150M classified program to investigate cyber security for the electric grid
 - Have built upon Sandia's NW assessment heritage to conduct vulnerability assessments for the grid
 - Developed Information Design Assurance Red Team (IDART) methodology and custom assessment tools
- Use IDART to perform cyber security vulnerability / risk assessments on power grid control systems and networks
 - Including transmission, distribution, and campus level grids for utilities across the country
- Conduct cyber security vulnerability and risk assessments on power grid hardware (e.g., smart meters, PLCs and PMUs)



Information Design Assurance Red Team

Grid Cyber Vulnerability and Assessments (Cont.)

- Ant Farm passively maps existing grid control system networks for vulnerability assessments
- OPSAID provides a design basis for vendors to build add-on security devices for legacy power control systems
- Cyber tools have been employed in multiple smart grid and microgrid deployments via several Sandia programs (e.g., SPIDERS)

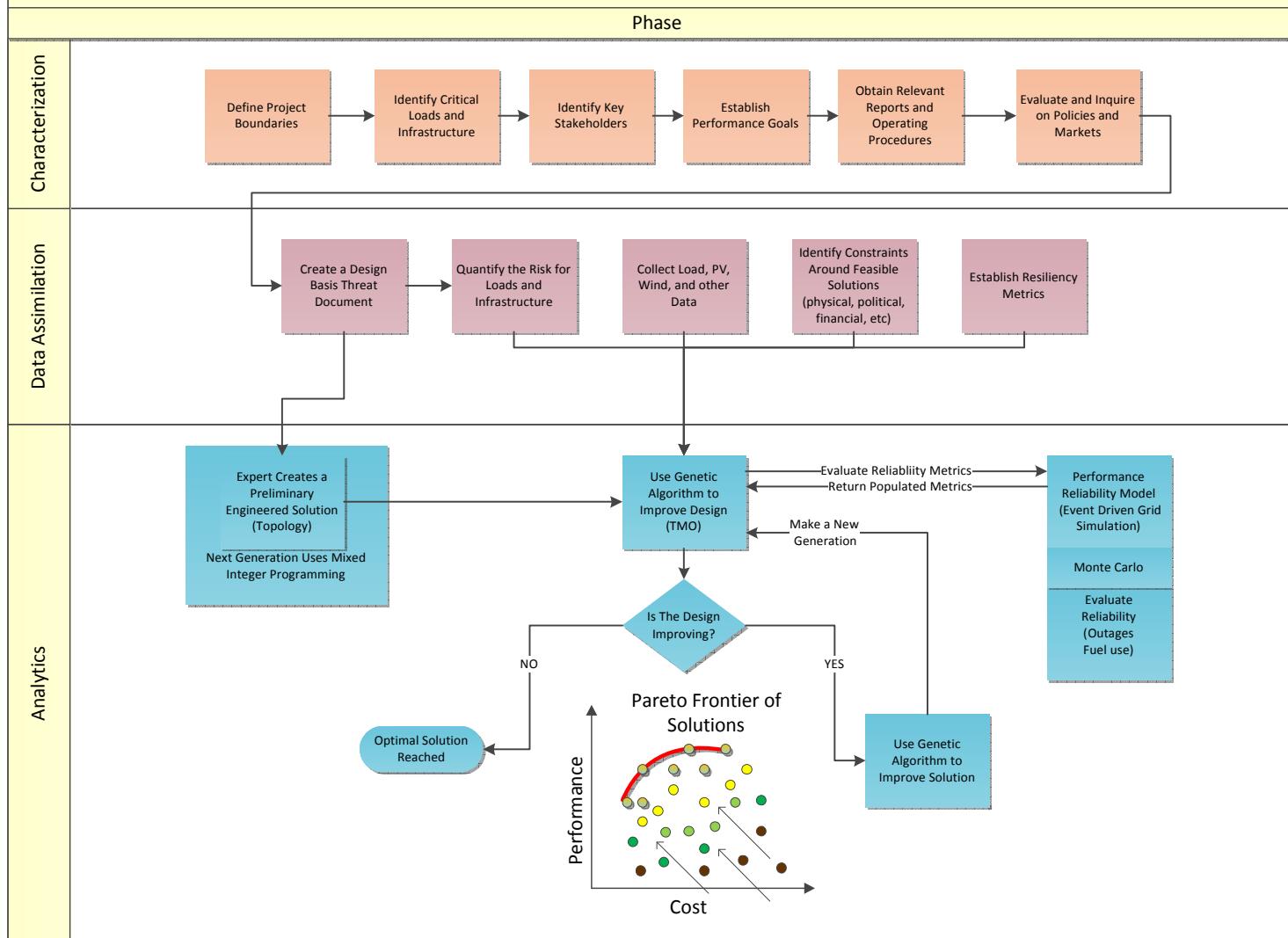


ANTFARM mapping output



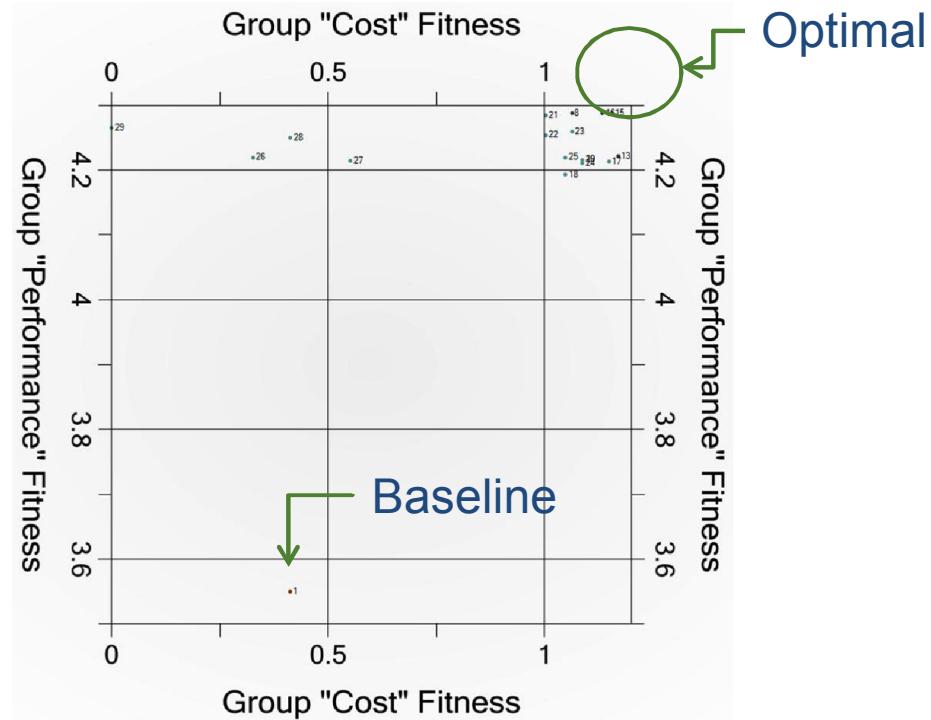
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Energy Surety Design Methodology



TMO-PRM: Smith

Pareto Chart



Availability:

	Tier 1A	0.995805
Baseline	Tier 1B	0.995341
Baseline	Tier 2	0.000000
With Tier 2	Tier 1A	0.999861
With Tier 2	Tier 1B	0.999844
With Tier 2	Tier 2	0.999808
Without Tier 2	Tier 1A	0.999998
Without Tier 2	Tier 1B	0.999976
Without Tier 2	Tier 2	0.000000

Performance:

Option	Variable Cost	Avg. Diesel Consumption (gal/hr)	Avg. Gen Efficiency	Average Tier 1 A Not Served (Tier 1 A Outages) (kWh/h of outage)	% of Outages where Tier 1 A Not Served > 0	Average Tier 1 B Not Served, (Tier 1 B Outages) (kWh/h of outage)	% of Outages (Post-startup) where Tier 1 B Not Served > 0	Tier 2 Load Served (kWh/h of outage)
Base Case	\$0	75.25	0.318	49.25	0.04167	37.83	0.05984	0.0
Option 6 (Highest fitness Solution w/Tier 2)	\$1.1M	111.58	0.367	17.95	0.00378	16.60	0.00392	1275.0
Option 13 (Highest fitness Solution w/o Tier 2)	\$1.1M	56.34	0.348	0.68	0.00109	1.57	0.00045	0.0

PV Technology Validation Facilities



PV Systems Evaluation & Optimization Lab (PSEL)



- Full-scale cell and module performance characterization laboratory
- Controlled side-by-side system and component characterization
 - PV Arrays
 - All other BOS components
- Fully configurable test platforms for indoor, outdoor and long-term testing

Distributed Energy Technology Lab (DETL)



- Simulate micro-grid, commercial, and community-scale energy systems, including
 - PV
 - Storage
 - Fuel Cells
 - Microturbines
 - Diesel gensets
- R&D testbed for advanced power conversion hardware, controls (including EMS)

PV Regional Test Centers: Large-Scale System Evaluation at SNL

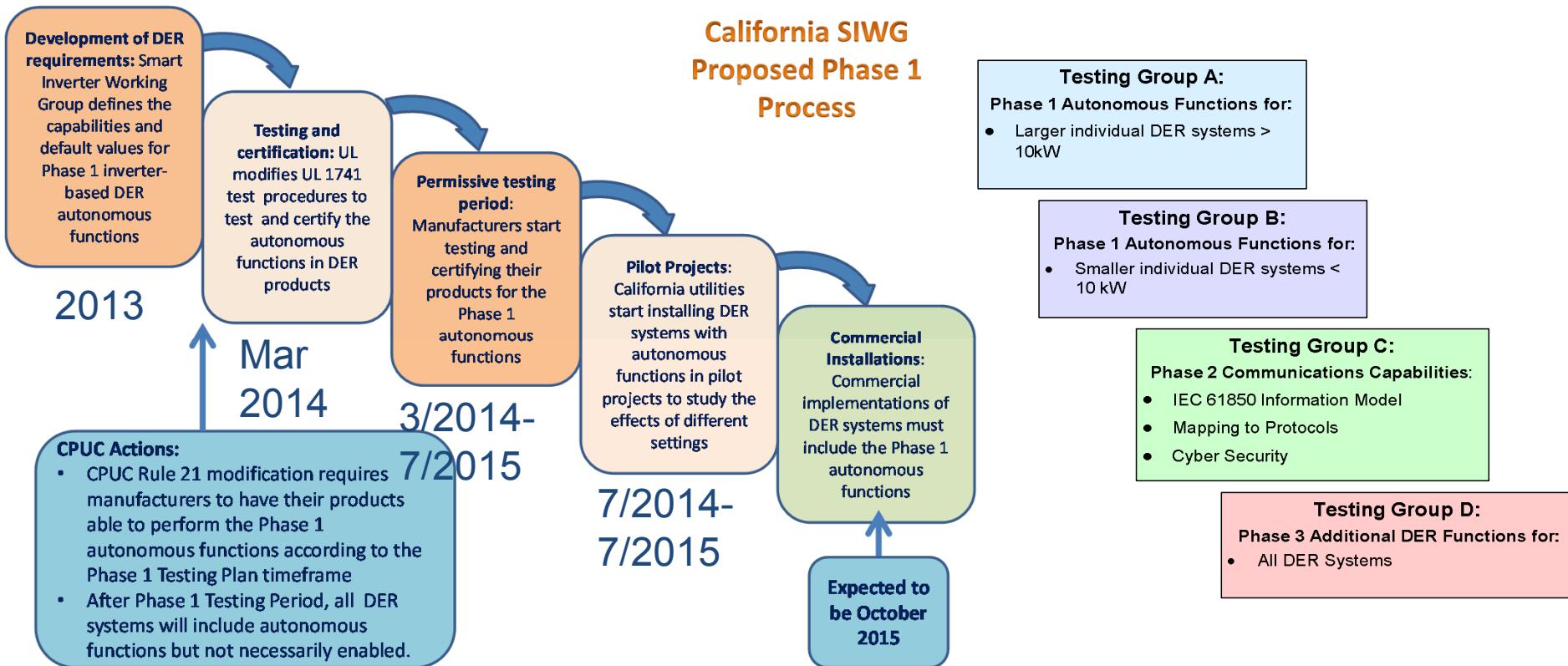


Conceptual Rendition of Sandia PV Regional Test Center, Located at the So

- Up to 2MW of systems to be studied in different climates, in partnership with industry
- 5 Locations: Sandia's Solar Tower, Florida, Vermont (smart grid), Nevada (CPV), NREL
- Strong partnership with industry
- Focus on “bankability” of large PV systems for finance community
- SNL leading effort on infrastructure and analysis
- Opportunity for international replication

CPUC Proposed Rule 21 Rollout

- CPUC Smart Inverter Working Group (SIWG) recommendations for gradually phasing in the advanced functions.



IEEE 1547 undergoes Revisions -- California (CPUC) introduces Paradigm shift to Rule 21

IEEE 1547 Interconnection Standard Revisions target

- Voltage and frequency regulation
- Voltage and frequency ride-through capabilities

Revision **issues** include: (1) Level of ride-through, (2) method of implementation, (3) effectiveness of utility support functions, (4) effect on anti-islanding

Multiple CA Programs aimed at achieving the 12,000 MW of DER by 2020:

- High level of DER has resulted in significant changes to interconnection requirements and practices.
 - Requires V/F RT capabilities for new installations
 - Requires voltage support function (VV11– volt-VAr function that has watt priority)

Concerns about effects on protection and loss of utility detection

Revisions to IEEE 1547 and CPUC Rule



21

require rational implementation

Sandia Sponsors Ride-Through Study : *Implementation Voltage and Frequency Ride- Through Requirements in Distributed Energy Resources Interconnection Standards*

- V/FRT should be based on rational needs for BES security
- not unnecessarily onerous on industry and allowing compliance

Propose minimum voltage ride-through requirements with allowances to meet distribution compatibility requirements

Sandia leading anti-islanding revision to UL test procedure for Rule 21 smart inverter working group (SIWG)

- Develop anti-islanding test plan per California R21 Phase 1
- Evaluate inverters response per AI test procedure
- Assess support function criteria for compliance

Sandia sponsors studies for Recommendation for interconnection standard revision

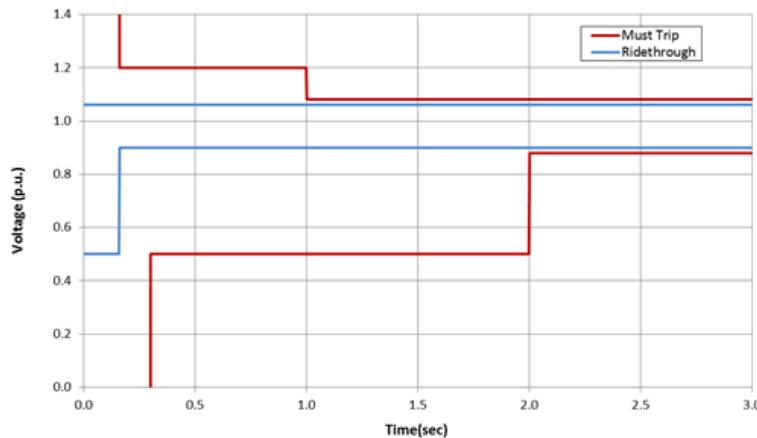
Proposed VRT are Excessive[6]

- LVRT-- 0 volts (.16-2 sec)
- LVRT-- .5-.7 (10 sec)
- HVRT – 1.2 (12 sec)

These requirements will/can cause:

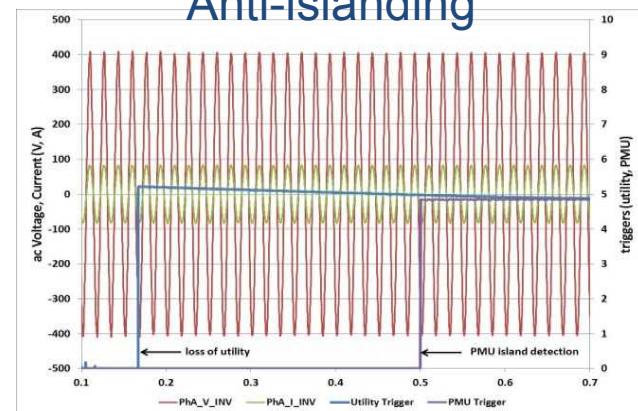
- Loss of auxiliary power (controls, fans, pumps, contactors)
- Activation of surge arresters and varistors used to protect equipment

Imposition of unduly onerous VRT requirements on DR could exceed the capabilities of current designs, increasing equipment costs.



IVGTF 1-7 Recommended Ride-Through and Must-Trip Requirements for DER [7]

Communication based Anti-Islanding
Sandia investigating low cost PLC and SP
Communication based Anti-Islanding
Sandia investigating methods to meet reliability interconnection requirements while preserving the utility stabilizing and utility support functions to be
Synchrophasor-based Anti-islanding



CPUC Smart Inverter Working Group Functions

- Sandia leading the mapping of functions into a Certification Test Standard for implementation in California. Testing will be performed at UL using the SunSpec Advanced Inverter Control Tool.

Phase 1 Autonomous Functions

	Function	Description
1	Anti-Islanding Protection	Modified L/HVRT and L/HFRT settings.
2	Low/High Voltage Ride-Through	Defines "Stay Connected Until" and "Disconnect By" areas.
3	Low/High Frequency Ride-Through	Expands frequency range for remaining connected over WECC settings
4	Dynamic Volt/Var Operations	Default Volt-Var curve with dead-band
5	Ramp Rates	Establishes default ramp up and ramp down rates for: a. Normal b. Emergency c. Soft disconnect
6	Fixed Power Factor	New allowed PF ranges.
7	Reconnect by "Soft Start"	Ramp up and/or random start time after 15 seconds of V&F in range.

Phase 2 Communication-Related Functions

	Function	Description
1	Control	Adjustment of default parameters associated with the functions identified in Phase 1 above.
2	Monitoring	Ability to read the identified set of parameters (see SWIG document)
3	Functionality upgradeability	Ability to download new firmware to inverter
4	Emergency direct control	Ability to directly set functions

Future Phase 3 Functions

Not yet defined. Could include:

- Emergency Alarms
- Current status
- Commanded Max power limit
- Connect/disconnect
- Optional/alternative settings configurations
- Self-test of new software
- Dynamic frequency-watt
- Dynamic volt-var
- Preset Max power limit
- Volt-watt curves
- Set power level
- Schedule power level
- Dynamic frequency-watt³²
- Schedules for energy and ancillary services