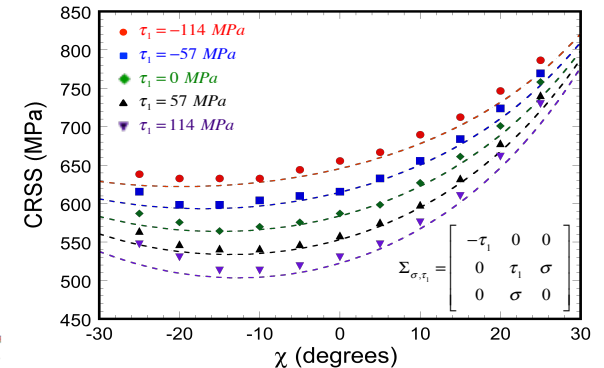
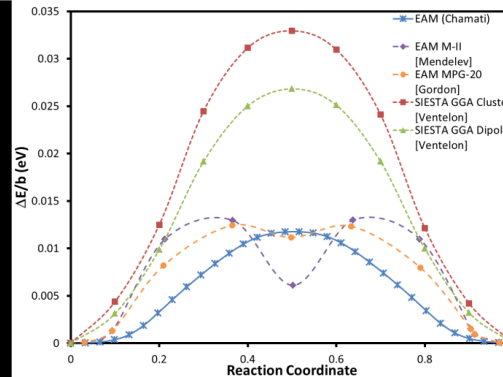
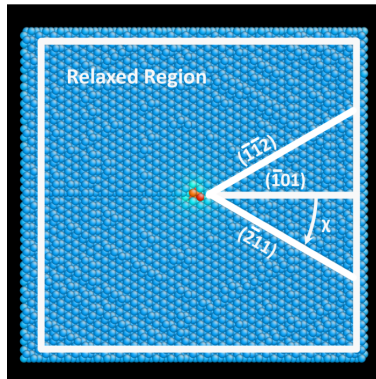
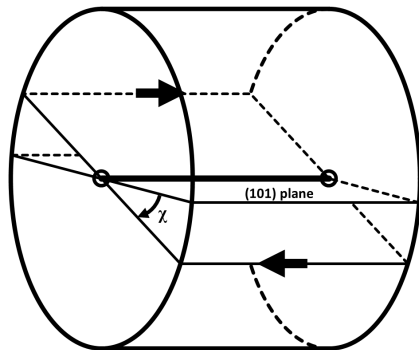


*Exceptional service in the national interest*



# Atomistic Modeling of Dislocation Slip in $\alpha$ -Fe

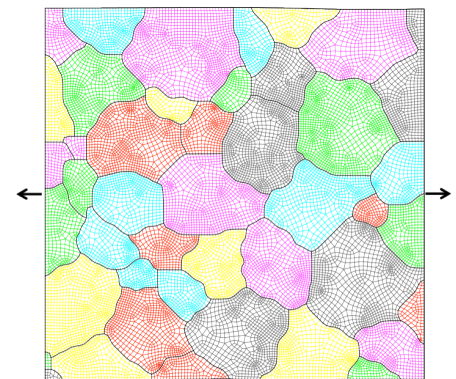
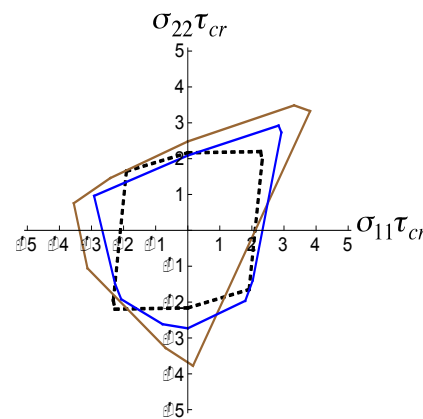
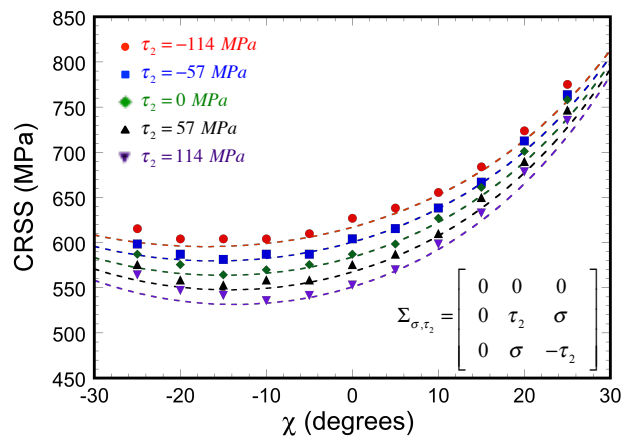
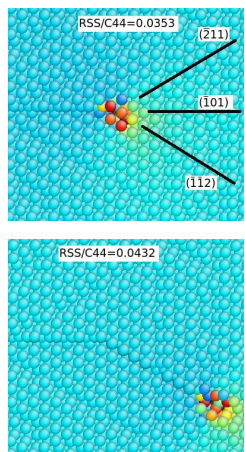
Jon Zimmerman, Lucas Hale – Mechanics of Materials

Hojun Lim, Chris Weinberger – Computational Materials & Data Science

# Motivation

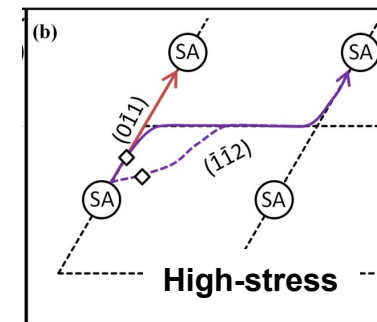
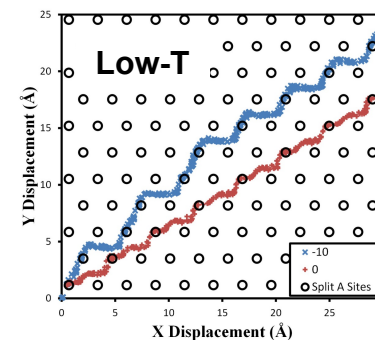
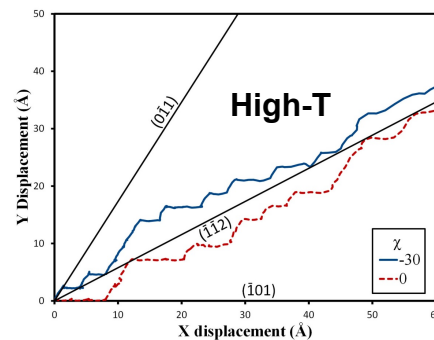
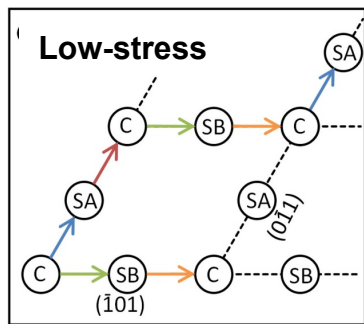
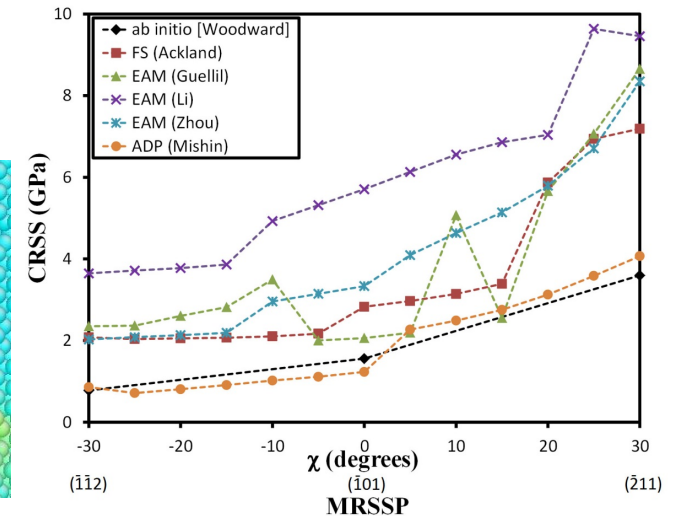
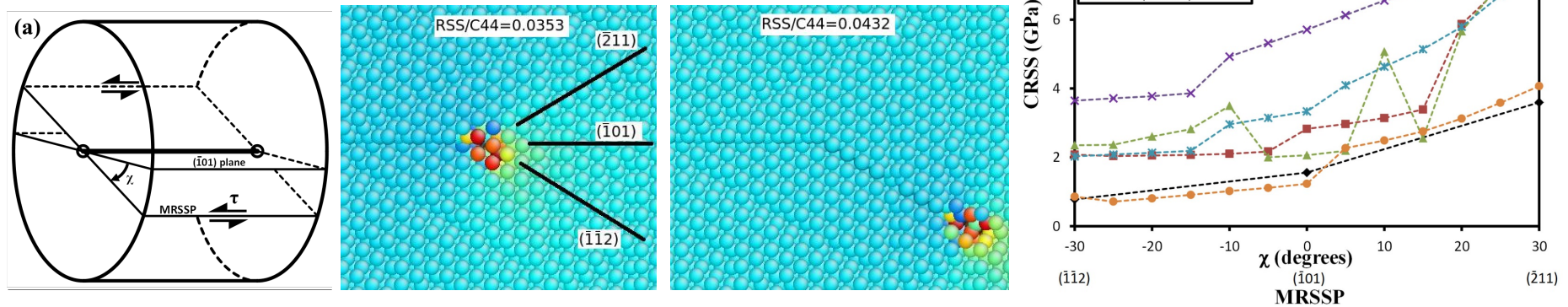
- Gain a fundamental understanding on the atomic-level mechanisms and dominant defects relevant to incipient plastic deformation and ductile fracture of BCC metals.
- Dislocation slip in BCC metals is orientation and stress-state dependent, producing “non-Schmid” single crystal yield.
- Use atomistic simulation to quantify these dependencies, then map onto grains in polycrystal geometries to predict overall mechanical response.

Atoms-up: Develop physics-based models to provide scientific insight



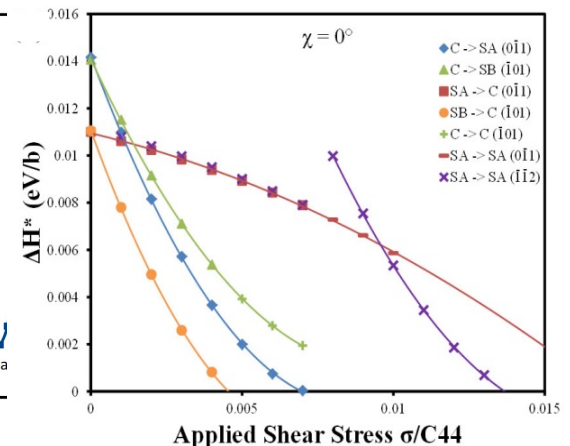
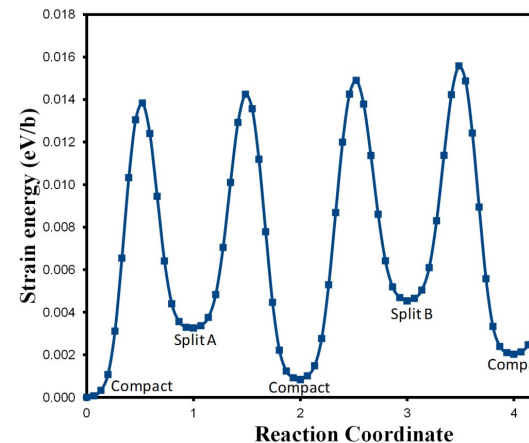
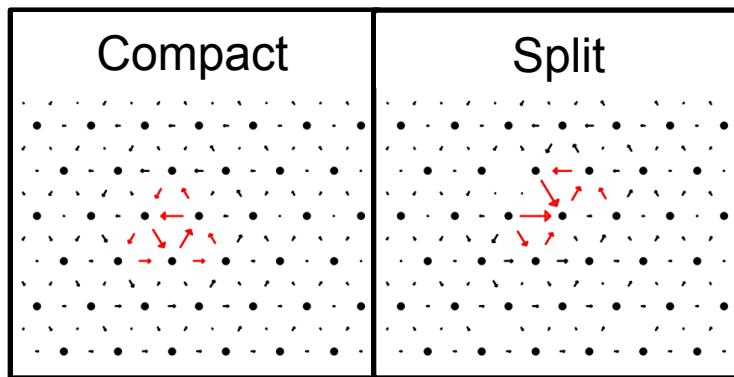
# Motivation

- FY11-13 atomistic simulations to establish the fundamental slip planes of BCC Ta showed  $\{112\}$  dominant for a variety of inter-atomic potentials and conditions.

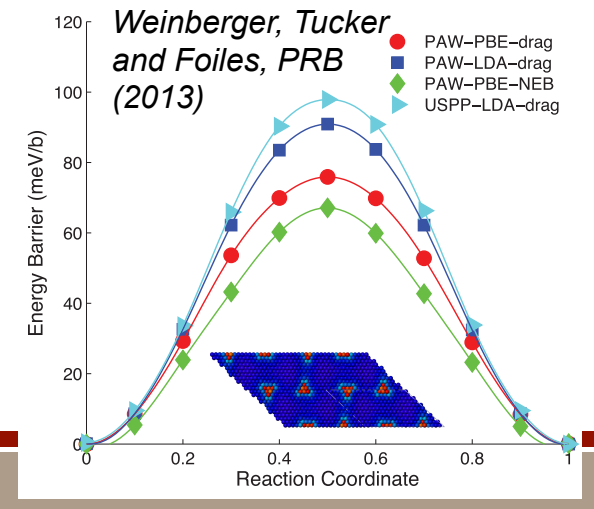


# Stability of split-core structure causes $\{112\}$ slip

- The split-core structure has a sufficiently low energy to be a preferred state once stress is applied.
- The barrier between compact and split-core structures is sufficiently low to ensure that this is the dominant transition.

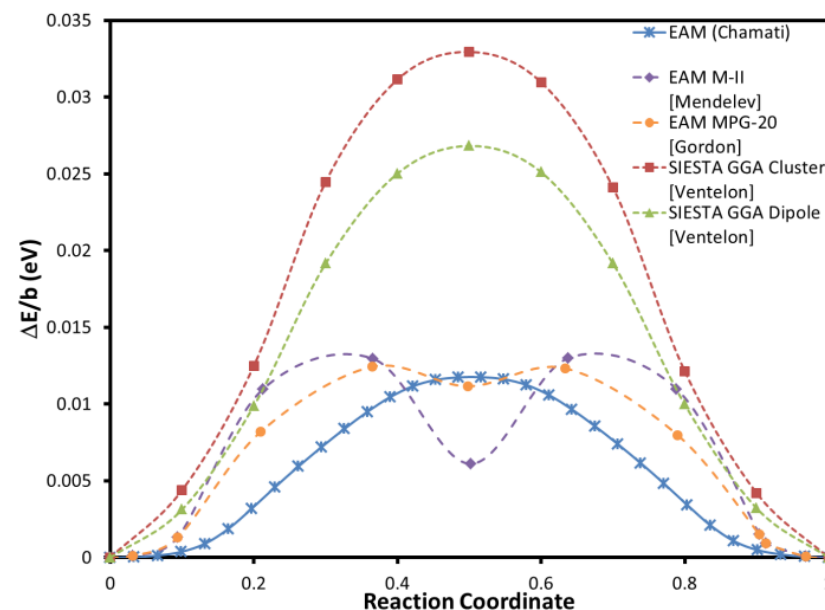


- Experimental work found in the literature, and done by PPM-Task 1 staff show  $\{110\}$  slip actually occurs (especially at low temperatures).
- DFT calculations confirm that the Peierls potential should be camel hump-shaped, indicating a compact-to-compact transition.



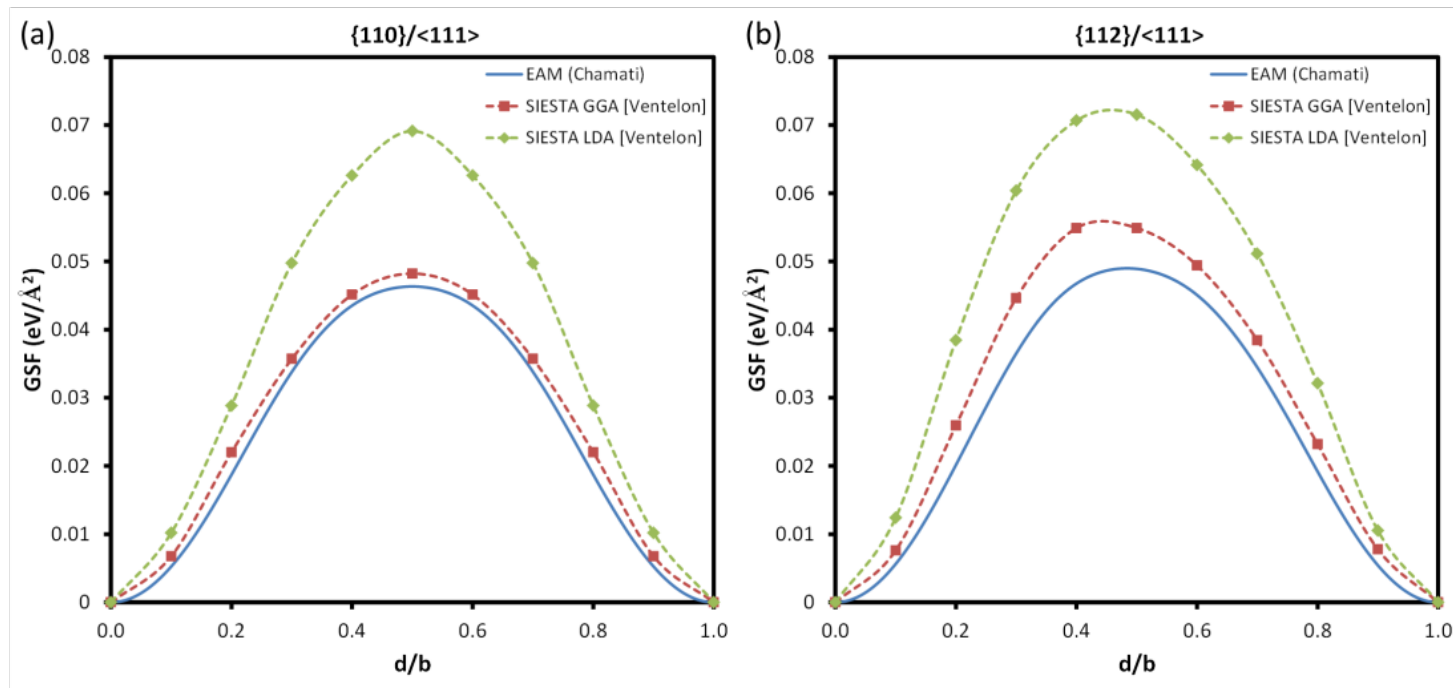
# Paths for FY13

- Find/develop an inter-atomic potential for Ta that shows the correct Peierls potential behavior.
  - SNAP (Spectral Neighbor Analysis Potential) under development in LDRD by A. Thompson; some theoretical issues and large computational cost.
  - MGPT (Model of Generalized Pseudopotential Theory) being developed by LLNL; potential not yet released and large computational cost.
  
- As PPM is also interested in Fe (i.e. ferrite phases in austenitic stainless steels), can we find a suitable Fe potential that displays the correct dislocation core structure and slip behavior? Yes – Embedded Atom Method potential by Chamati *et al.*, *Surface Science* (2006).



# Characterization of Fe potential

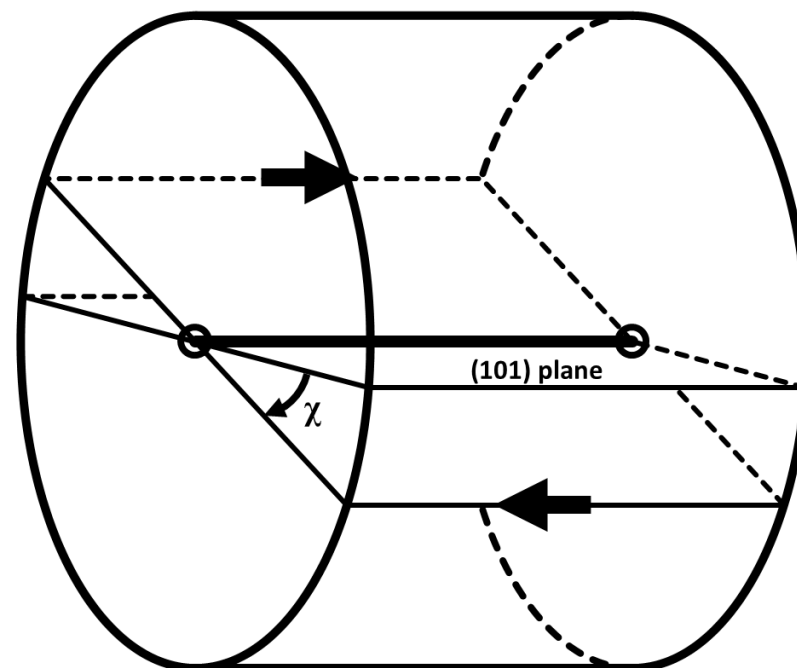
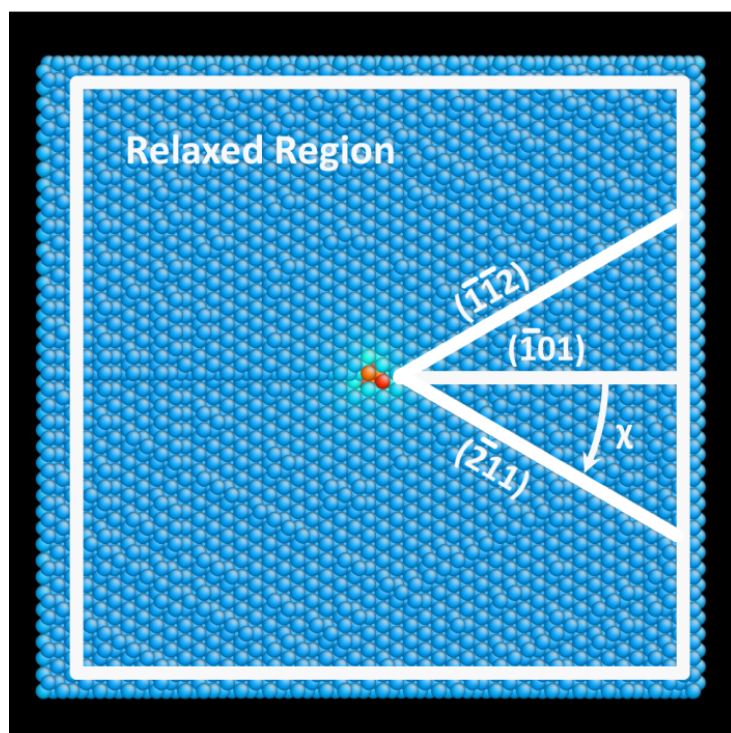
- We computed the generalized stacking fault curves for  $\{110\}$   $\langle 111 \rangle$  and  $\{112\}$   $\langle 111 \rangle$  shearing and compared with DFT by Ventelon and Willaime (*Philos. Mag.*, 2010).



- Potential agrees qualitatively with DFT calculations, and quantitatively close to GGA-type calculations.

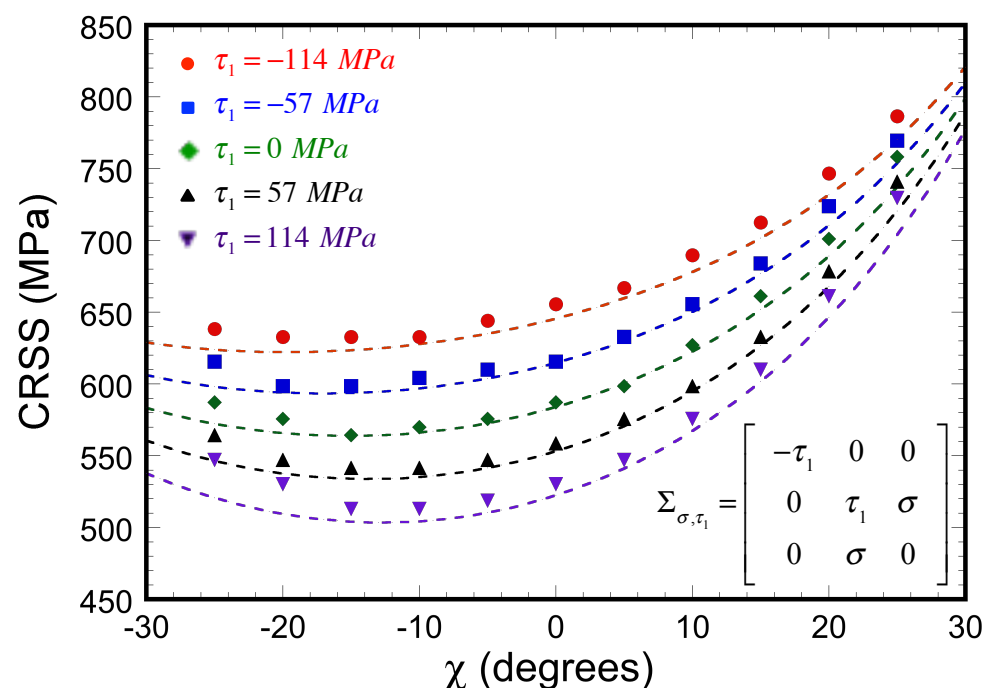
# Calculation of CRSS

- Single dislocation in  $168 \text{ \AA} \times 162 \text{ \AA} \times 20/238 \text{ \AA}$  systems
- Strain applied according to anisotropic elasticity solution
- Middle of system relaxed with a force minimization



# Calculation of CRSS

- Values of critical resolved shear stress (CRSS) estimated using both quasi-static simulations showing the onset of slip, and from Nudged Elastic Band (NEB) calculations extrapolated to the zero energy barrier case.

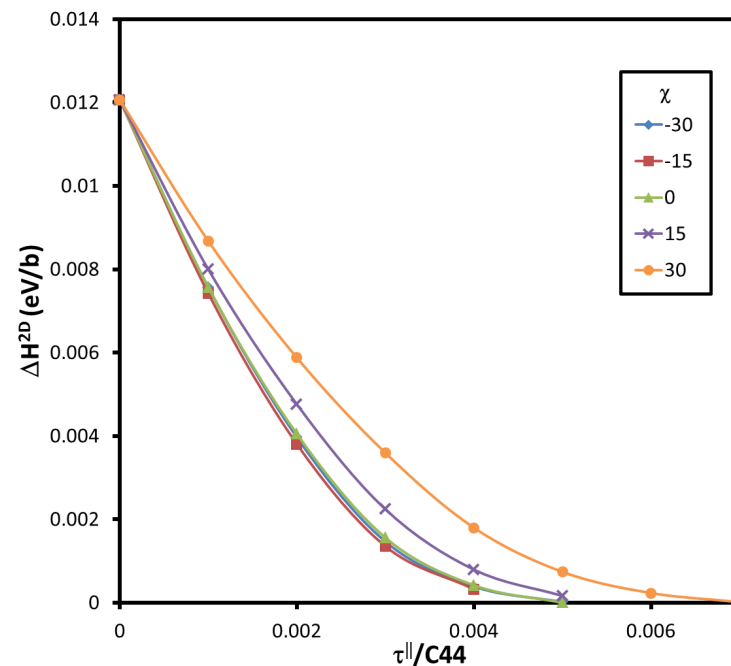
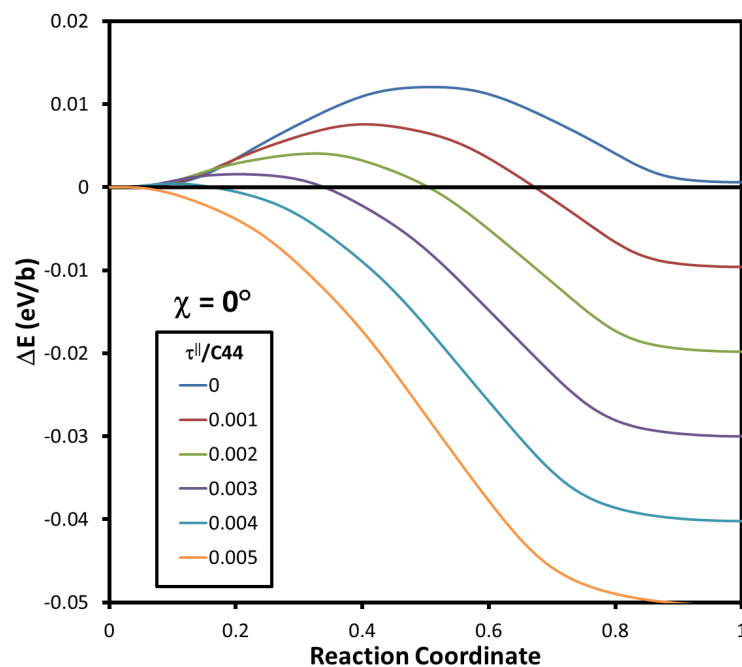


*Atomistic simulations show dislocation slip that is always fundamentally on {110} planes.*

*{112} slip occurs only when resolve shear aligns with {112} planes (and even then, slip is resolvable as alternating {110} slip on two different planes).*

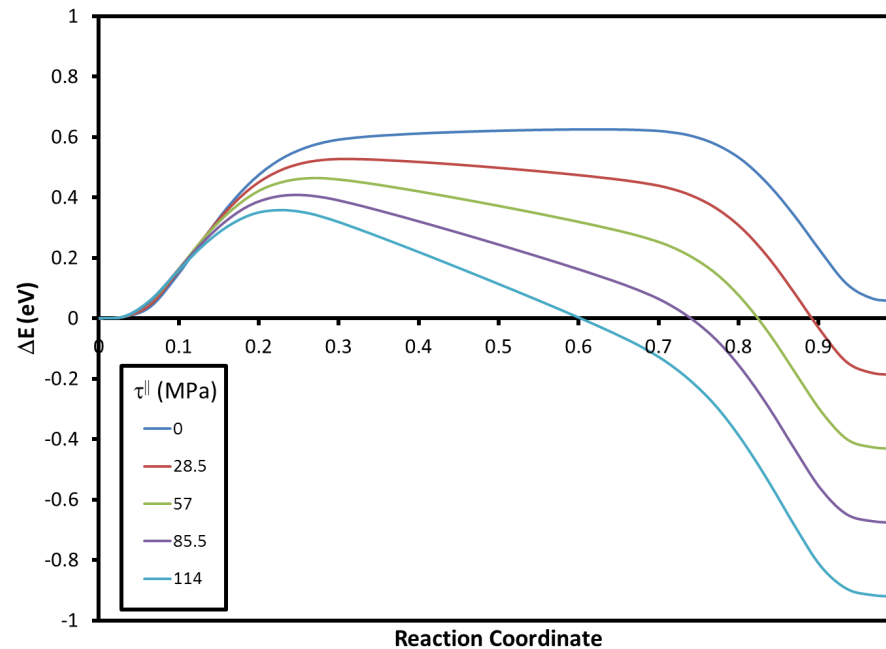
# Exploration of Energy Landscape

- NEB calculations for a 2D slip pathway show no evidence of the metastable/split core, even at high stress.
- 2D activation enthalpy is a single curve for a given loading orientation.



# Activation enthalpy for slip via kinks

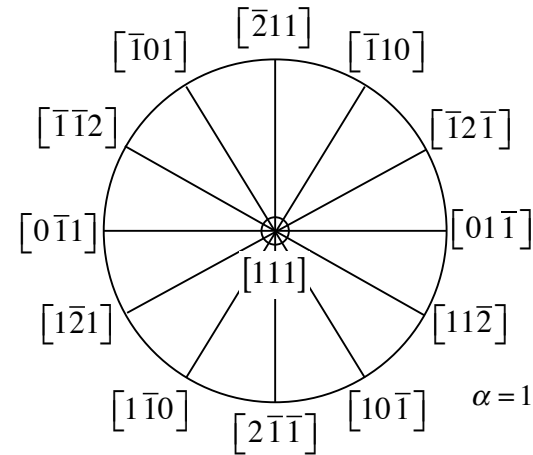
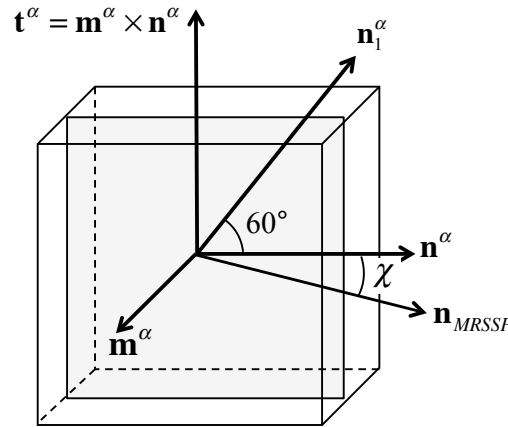
- NEB calculations show that activation enthalpy for stressed systems is associated with the formation of kinks. Once formed, the stress naturally drives the kinks to easily move.



# Generalized Non-Schmid Yield Criteria

$\mathbf{m}^\alpha$  : slip direction

$\mathbf{n}^\alpha$  : slip plane normal



The resistance to slip on a slip system  $\alpha$ :

$$\tau_{cr}^\alpha = \mathbf{P}_{tot}^\alpha : \boldsymbol{\sigma} = \underbrace{\mathbf{P}_s^\alpha : \boldsymbol{\sigma}}_{\text{Schmid}} + \underbrace{\mathbf{P}_{ns}^\alpha : \boldsymbol{\sigma}}_{\text{Non-Schmid}}$$

$$\mathbf{P}_s^\alpha = c_0 \frac{1}{2} (\mathbf{m}^\alpha \otimes \mathbf{n}^\alpha + \mathbf{n}^\alpha \otimes \mathbf{m}^\alpha)$$

$$\mathbf{P}_{ns}^\alpha = c_1 \mathbf{t}^\alpha \otimes \mathbf{m}^\alpha + c_2 \mathbf{t}^\alpha \otimes \mathbf{n}^\alpha + c_3 \mathbf{n}^\alpha \otimes \mathbf{n}^\alpha + c_4 \mathbf{t}^\alpha \otimes \mathbf{t}^\alpha + c_5 \mathbf{m}^\alpha \otimes \mathbf{m}^\alpha$$

If yield function is independent of hydrostatic stress,  $c_3 + c_4 + c_5 = 0$

$$\mathbf{P}_{ns}^\alpha = c_1 \mathbf{t}^\alpha \otimes \mathbf{m}^\alpha + c_2 \mathbf{t}^\alpha \otimes \mathbf{n}^\alpha + c_3 \mathbf{n}^\alpha \otimes \mathbf{n}^\alpha + c_4 \mathbf{t}^\alpha \otimes \mathbf{t}^\alpha - (c_3 + c_4) \mathbf{m}^\alpha \otimes \mathbf{m}^\alpha$$

# Fitting on non-Schmid constants

$$\mathbf{P}_{\text{tot}}^{\alpha} = c_0 \frac{1}{2} (\mathbf{m}^{\alpha} \otimes \mathbf{n}^{\alpha} + \mathbf{n}^{\alpha} \otimes \mathbf{m}^{\alpha}) + c_1 \mathbf{t}^{\alpha} \otimes \mathbf{m}^{\alpha} + c_2 \mathbf{t}^{\alpha} \otimes \mathbf{n}^{\alpha} + c_3 \mathbf{n}^{\alpha} \otimes \mathbf{n}^{\alpha} + c_4 \mathbf{t}^{\alpha} \otimes \mathbf{t}^{\alpha} + c_5 \mathbf{m}^{\alpha} \otimes \mathbf{m}^{\alpha}$$

$$\Sigma_{\sigma} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & \sigma \\ 0 & \sigma & 0 \end{bmatrix}$$

$$\text{CRSS}(\chi) = \frac{\tau_{\text{cr}}^*}{\cos \chi - c_1 \sin(\chi)}$$

$$\Sigma_{\tau, \sigma}^1 = \begin{bmatrix} -\tau & 0 & 0 \\ 0 & \tau & \sigma \\ 0 & \sigma & 0 \end{bmatrix}$$

$$\text{CRSS}(\chi, \tau) = \frac{\tau_{\text{cr}}^* - \tau(-c_2 \sin 2\chi + (c_3 - c_4) \cos 2\chi)}{\cos \chi - c_1 \sin(\chi)}$$

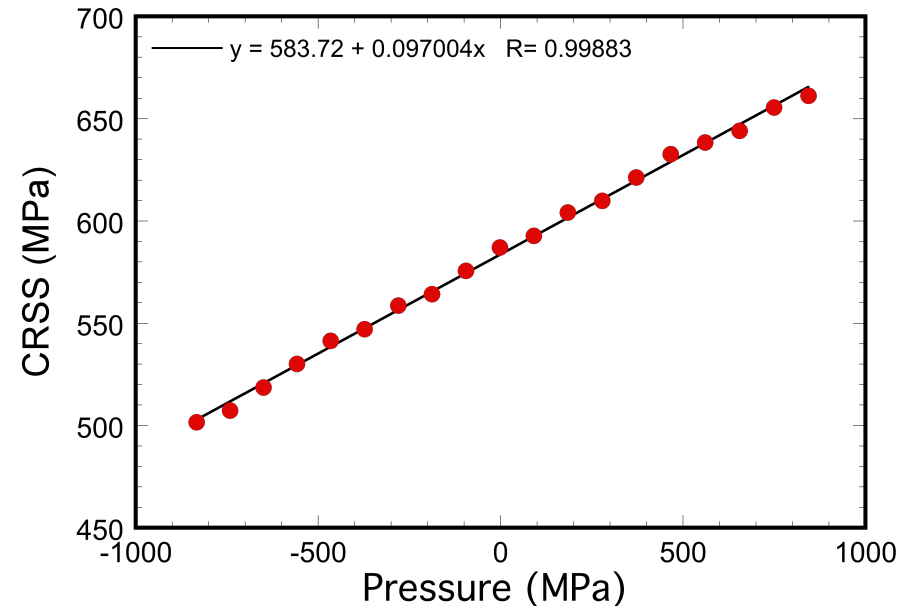
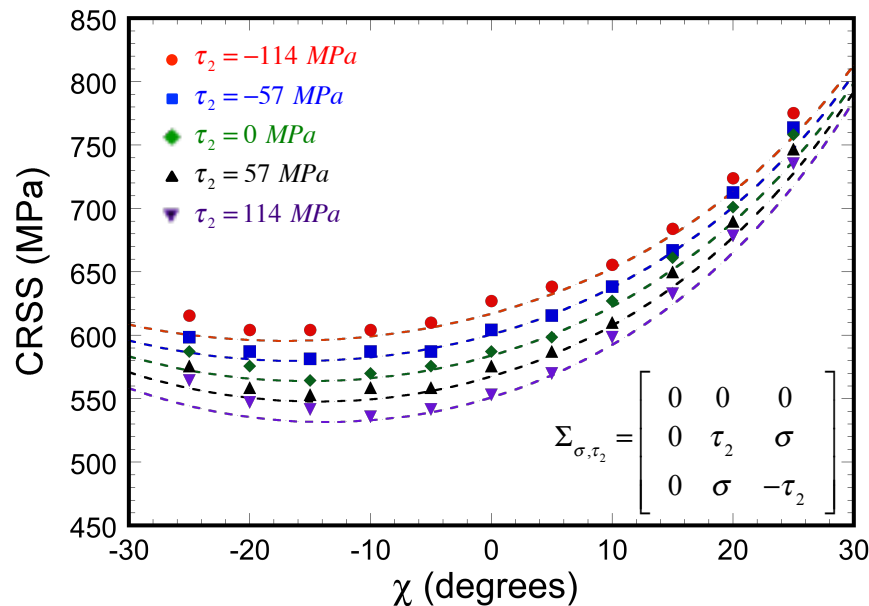
$$\Sigma_{\tau, \sigma}^2 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & \tau & \sigma \\ 0 & \sigma & -\tau \end{bmatrix}$$

$$\text{CRSS}(\chi, \tau) = \frac{\tau_{\text{cr}}^* - \tau(-c_2 \sin \chi \cos \chi + c_3 \cos^2 \chi + c_4 \sin^2 \chi - c_5)}{\cos \chi - c_1 \sin(\chi)}$$

$$c_3 + c_4 + c_5 = 0.097$$

$\tau_{\text{cr}} \text{ (MPa)}$	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$
584	0.27	0.15	0.31	-0.23	0.02

# Dependence on non-resolved shear and normal stresses



- CRSS shows dependencies on shear stresses along planes perpendicular to the slip direction, and on the system pressure.
- Comparison shows fitted model possesses same trends as atomistic data.

# Normalization of activation enthalpy

- Given this dependency, we questioned underlying assumptions used for the Kocks model in relating activation enthalpy to shear stress:

$$\Delta H = H_0 (1 - \Theta^p)^q$$

- In classic slip theory (for fcc metals), the ratio  $\Theta$  represents the ratio of resolved shear stress to a known resistance:

$$\Theta = \frac{\tau^\alpha}{\tau_R^\alpha} = \frac{\mathbf{P}_s^\alpha : \sigma}{\tau_R^\alpha}$$

This expression does not incorporate non-Schmid yield, i.e. this known stress-dependence for bcc metals. Thus, we considered 3 alternative possibilities for  $\Theta$ :

$$\Theta = \frac{\mathbf{P}_s^\alpha : \sigma + \mathbf{P}_{ns}^\alpha : \sigma}{\tau_{cr}}$$

$$\Theta = \frac{\mathbf{P}_s^\alpha : \sigma}{\tau_{cr} - \mathbf{P}_{ns}^\alpha : \sigma}$$

$$\Theta = \frac{\tau^{MRSSP}}{CRSS(\chi, \sigma)}$$

# Interpretation of the 3<sup>rd</sup> expression

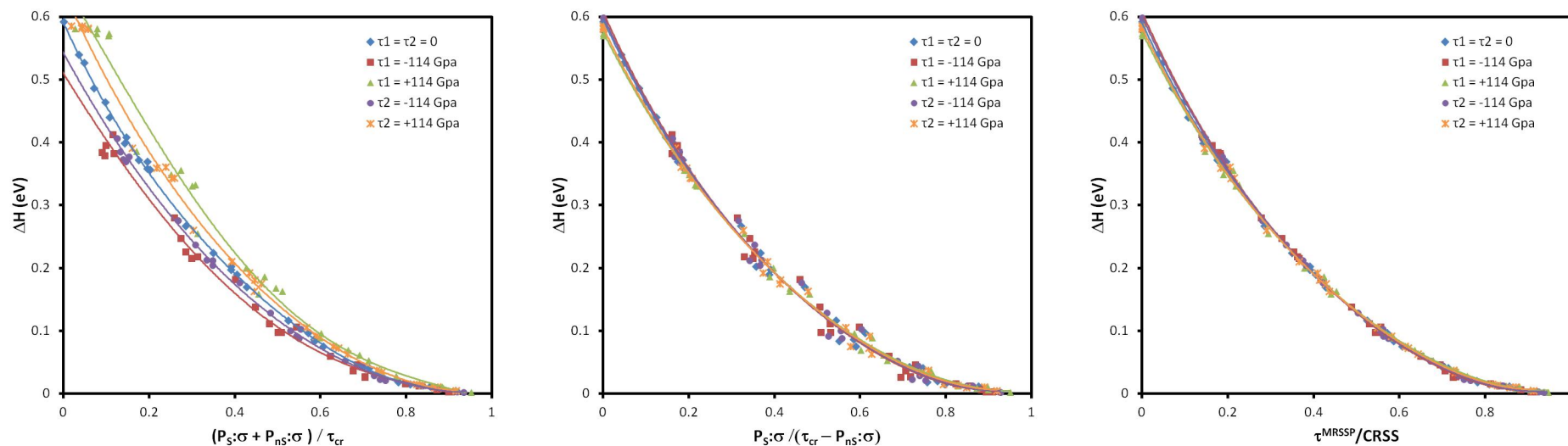
- $\tau^{MRSSP}$  is the maximum resolved shear stress that can be found on any plane, acting in the slip direction. It can be simply represented by the expression:  $\tau^{MRSSP} = |\sigma \cdot \mathbf{m}|$
- $CRSS$  is the critical value of  $\tau^{MRSSP}$  that activates slip. To ensure that it is independent of  $\tau^{MRSSP}$ , we use relationships between  $\tau^{MRSSP}$  and the Schmid and non-Schmid Projectors to arrive at the expression:

$$CRSS(\chi, \sigma) = \frac{\tau_{cr} - c_2 \mathbf{P}_{ns}^{tn} : \sigma - c_3 \mathbf{P}_{ns}^{nn} : \sigma - c_4 \mathbf{P}_{ns}^{tt} : \sigma - c_5 \mathbf{P}_{ns}^{mm} : \sigma}{\cos(\chi) + c_1 \sin(\chi)}$$

- Using these expressions, the ratio  $\Theta$  can be simplified to:

$$\Theta = \frac{\mathbf{P}_s : \sigma + c_1 \mathbf{P}_{ns}^{mt} : \sigma}{\tau_{cr} - c_2 \mathbf{P}_{ns}^{tn} : \sigma - c_3 \mathbf{P}_{ns}^{nn} : \sigma - c_4 \mathbf{P}_{ns}^{tt} : \sigma - c_5 \mathbf{P}_{ns}^{mm} : \sigma}$$

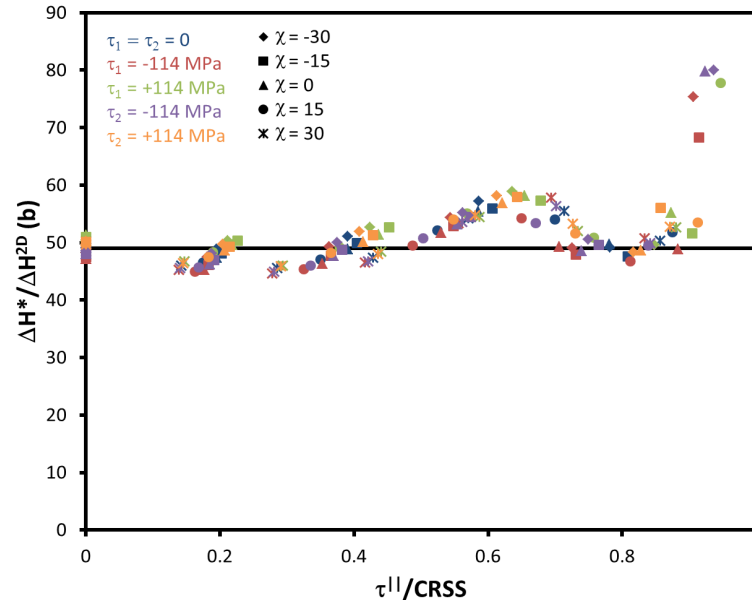
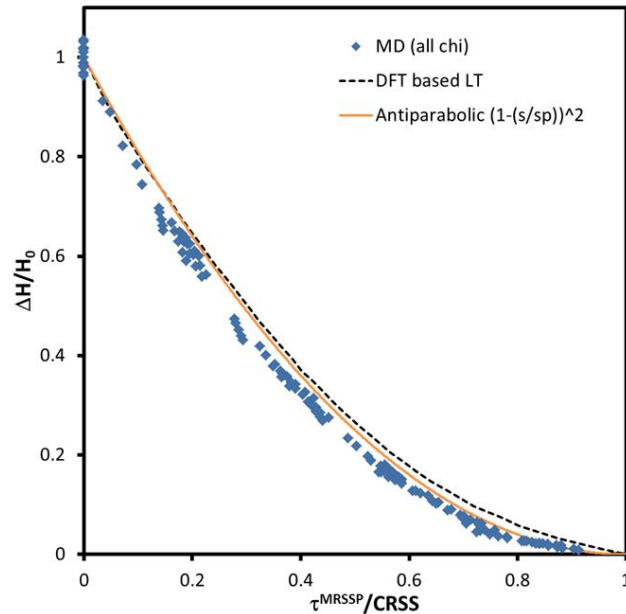
# Comparison of stress ratios



- Our simulation results for all orientations and combinations of stress show that our 3<sup>rd</sup> expression offers the best agreement with data as a normalization ratio for the activation enthalpy.
- This result also indicates that it is the total driving force acting on the dislocation that predicts slip activation, a conceptually different description of slip than the traditional slip system concept. This conclusion is consistent with the high temperature observation of pencil glide.

# Activation enthalpy for 3D kinks

- Similar behavior of enthalpy for thick system with (3D) kinks as compared with thin (2D) system.
- Activation enthalpy curve falls close to both anti-parabolic ( $p = 2, q = 1$ ) and DFT-based line tension models.
- Ratio of 3D-to-2D shows that, to a first approximation, the 3D can be represented by a scaled 2D.



# Publications, Presentations & Symposia



## ■ Publications

- “Understanding {112} Slip in Tantalum” – In Review, *Computational Materials Science*
- “Molecular dynamics study of deformation and fracture in a tantalum nano-crystalline thin film.” – In Review, *Modell. Simul. Mater. Sci. Eng.*
- “*Insights on Activation Enthalpy for Non-Schmid Slip in BCC Metals*” – In Preparation for *Physical Review Letters*
- “A Multi-scale Model of Dislocation Plasticity in Alpha-Iron: Incorporating Temperature, Strain Rate and Non-Schmid Effects” – In Preparation for *International Journal of Plasticity*

## ■ Presentations

- ASME/IMECE-2012 (Zimmerman, Weinberger)
- TMS 2013 Annual Meeting (Zimmerman, Weinberger, Hale, Smith)
- 2013 LAMMPS Workshop (Zimmerman)
- MS&T’13 (Zimmerman, Hattar, Buchheit)
- ASME/IMECE-2013 (Zimmerman)

## ■ Symposia

- ASME/IMECE-2012 – Multiscale Perspectives on Plasticity in Metals
- USNCCM12 – Continuum Theories for Modeling Atomic Scale Physics
- MS&T’13 – Multiscale Perspectives on Plasticity in BCC Metals
- TMS 2014 Annual Meeting – Algorithm Developments in Computational MS&E

# FY14 focus: dislocation-defect interactions

- Dislocation interactions with other types of crystal defects, including voids and grain boundaries.
- Includes validation of atomistic models of GBs using TEM data by Medlin and Hattar.

