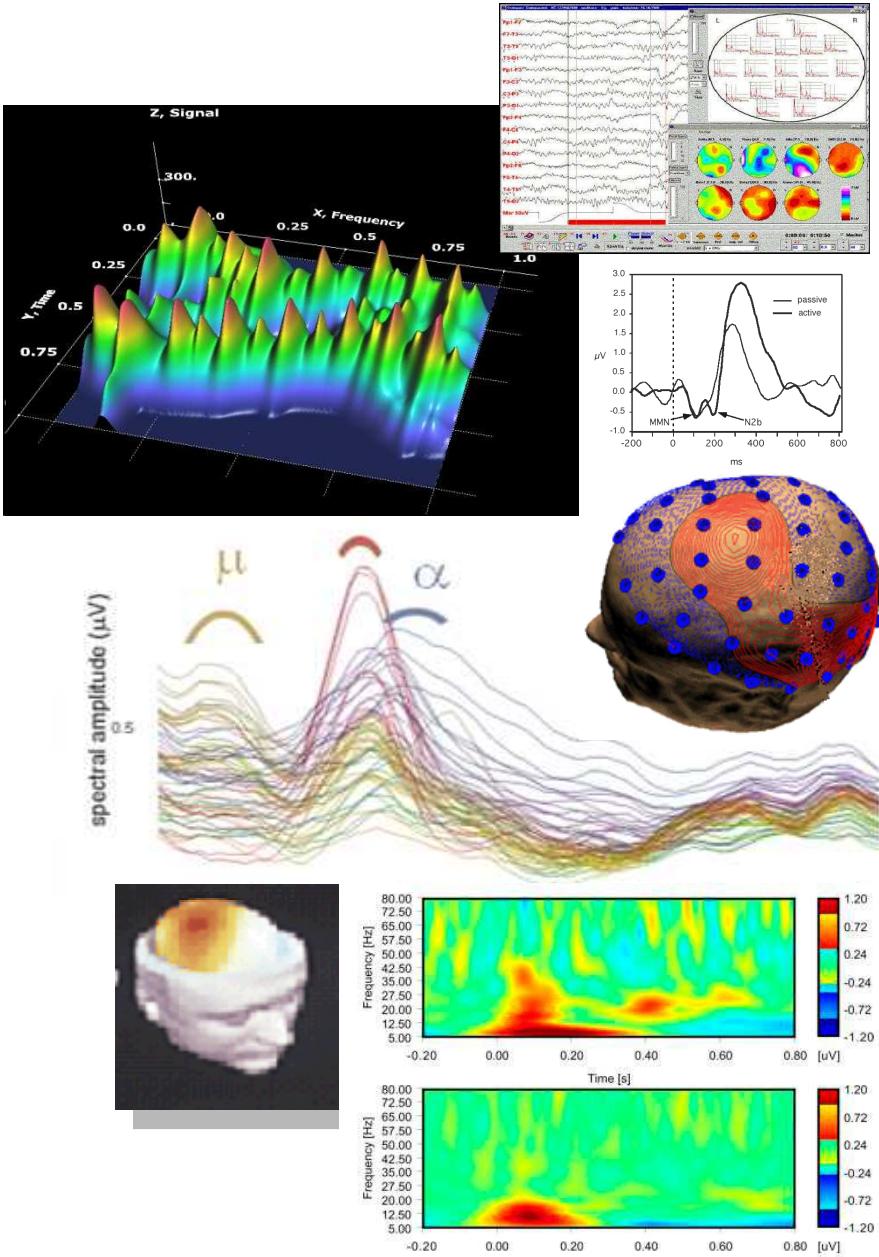
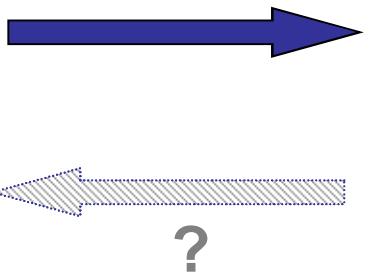


From Sensing to Enhancing Brain Processes

PI: Laura Matzen, 1434

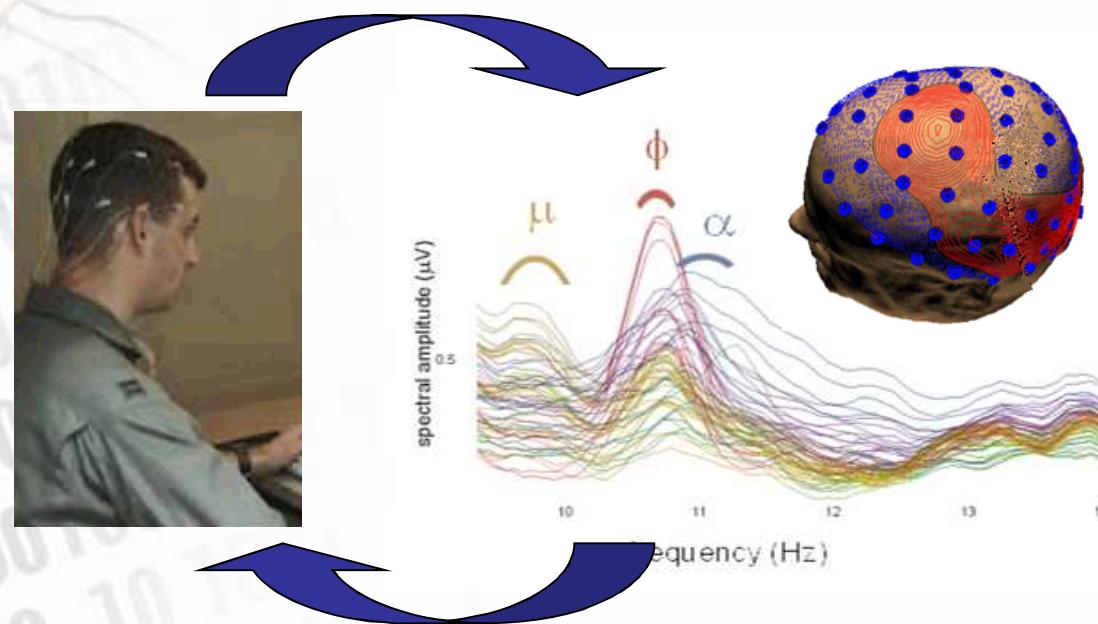






Project Goals

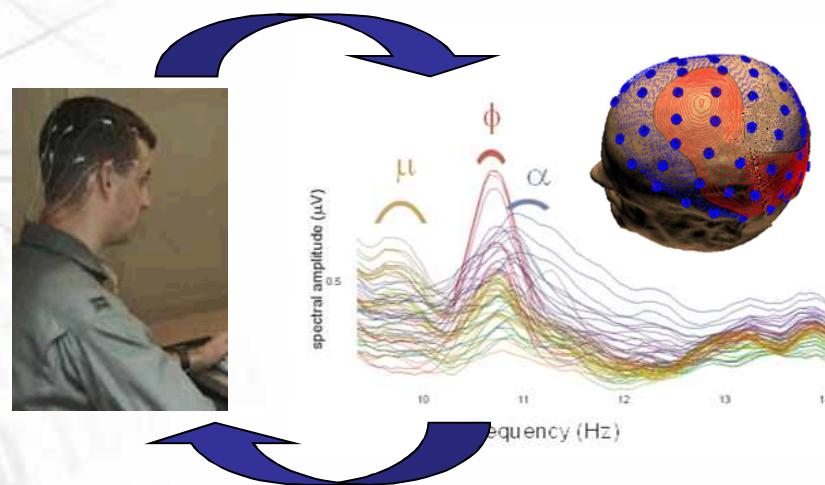
- Develop scientific basis for designing systems that apply recorded brain activity to improving human performance





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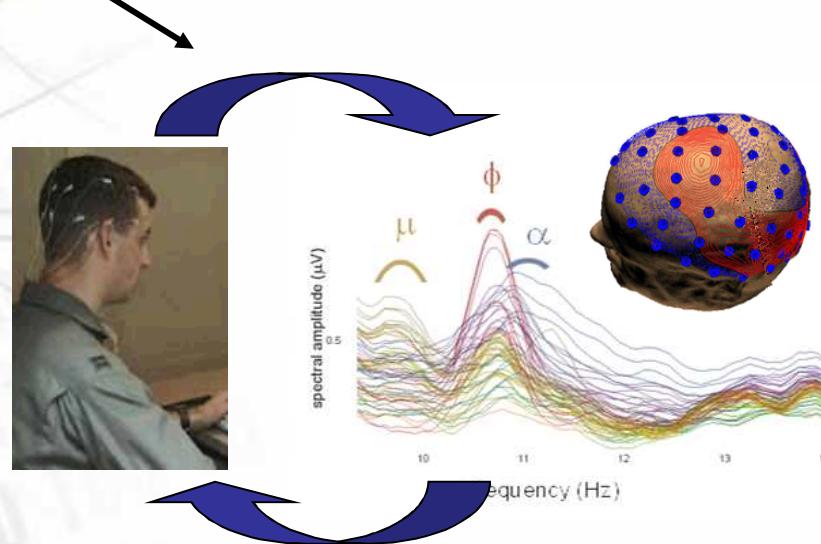
Scientific Goals





Scientific Goals

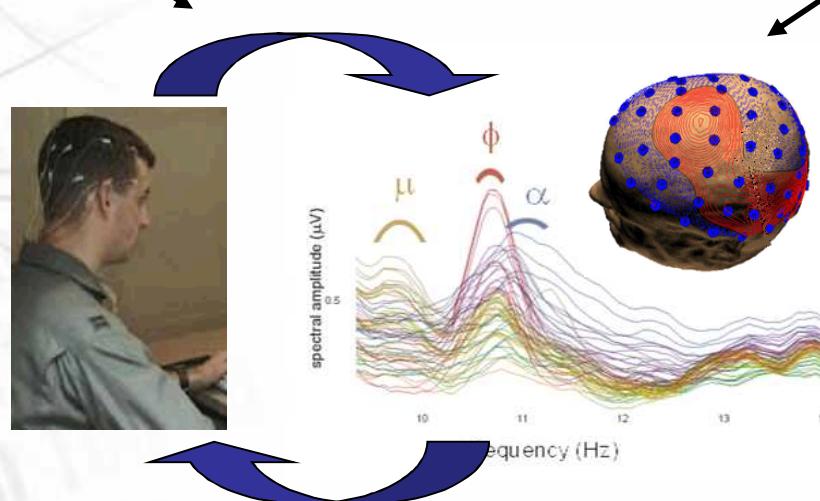
**Test hypotheses about
relationship between
task performance and
brain activity**





Scientific Goals

**Test hypotheses about
relationship between
task performance and
brain activity**

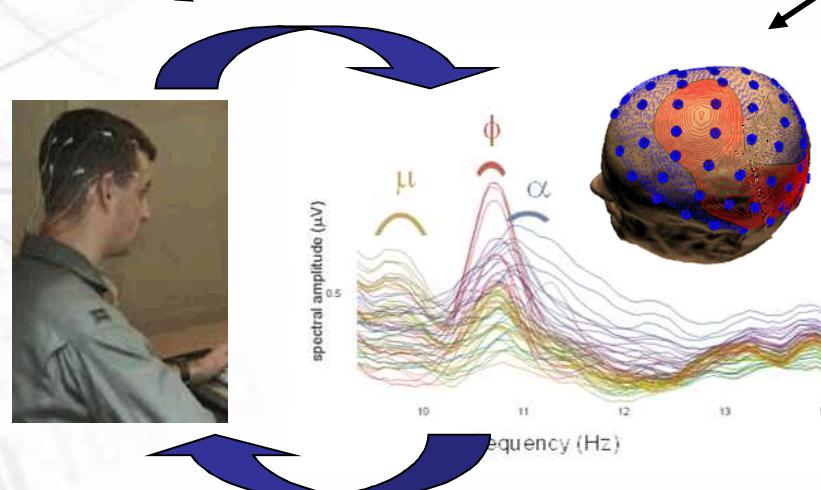


**Use computational
modeling to
characterize brain
activity associated
with good and
poor performance**



Scientific Goals

Test hypotheses about relationship between task performance and brain activity



Use computational modeling to characterize brain activity associated with good and poor performance

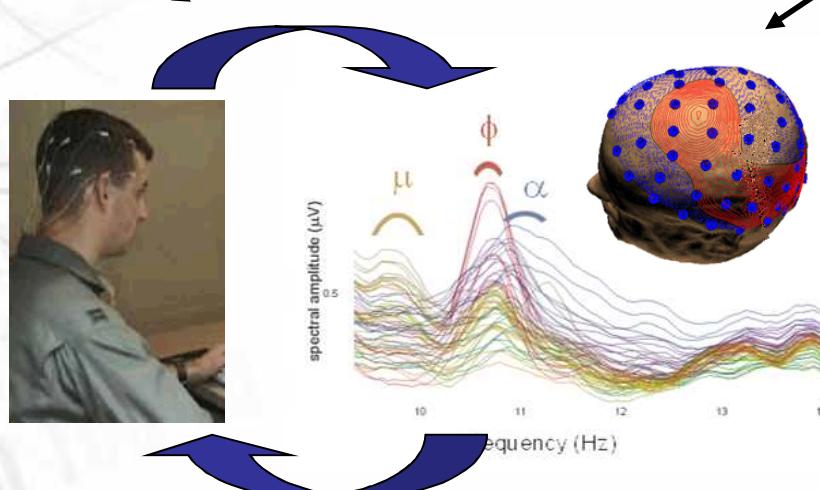
Test effectiveness of methods for optimizing neural performance





Scientific Goals

Test hypotheses about relationship between task performance and brain activity



Test effects of optimizing neural performance on task performance

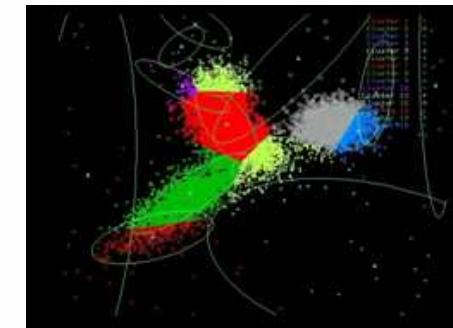
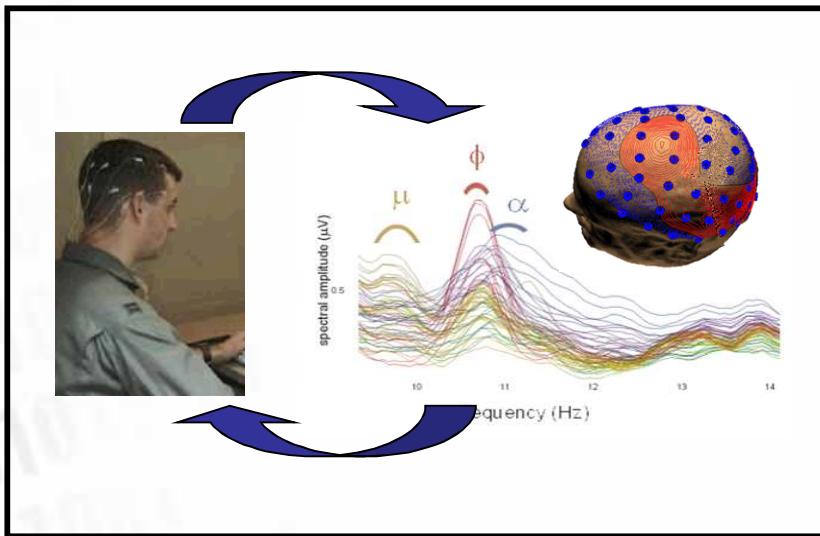
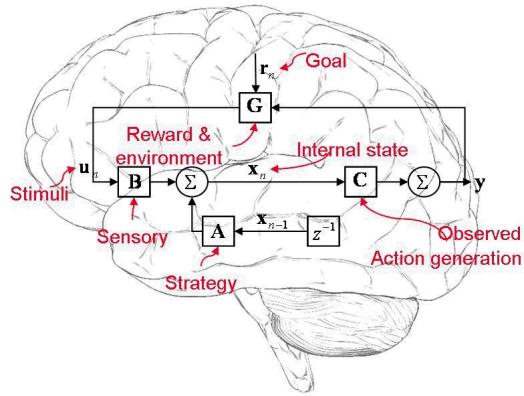
Use computational modeling to characterize brain activity associated with good and poor performance

Test effectiveness of methods for optimizing neural performance



Technological Goals

- Create framework for engineering cutting-edge neurotechnologies
- Develop novel applications of computer modeling and machine learning techniques to processing and interpreting brain activity





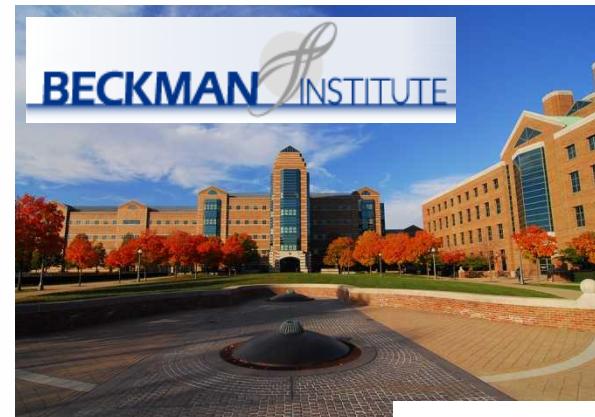
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Programmatic Goals

Establish electrophysiology lab at Sandia



**Develop relationships with
Beckman Institute and
Mind Research Network**



**The Mind
RESEARCH NETWORK**
FOR NEURODIAGNOSTIC DISCOVERY

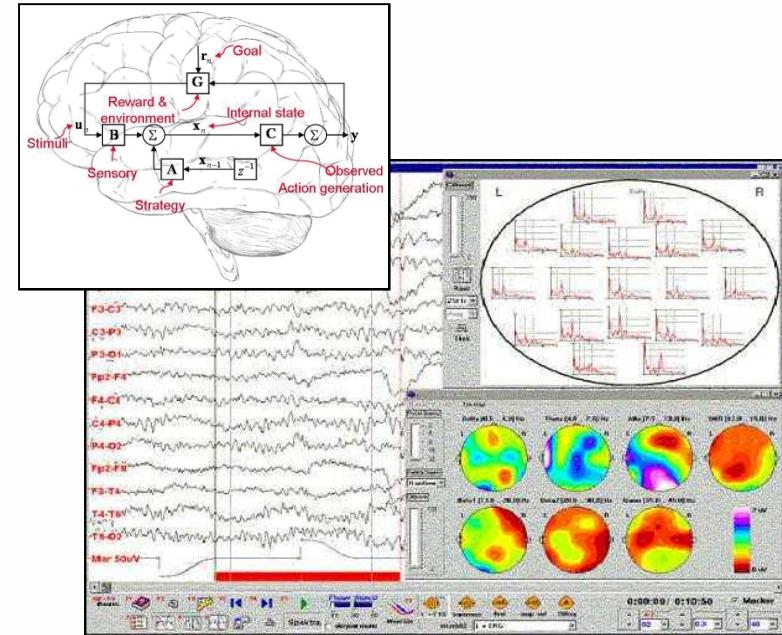


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Experimental Hypotheses

- 1) We can characterize the causal relationship between neural activity and good/poor task performance



- 2) We can implement neural interventions to optimize task performance



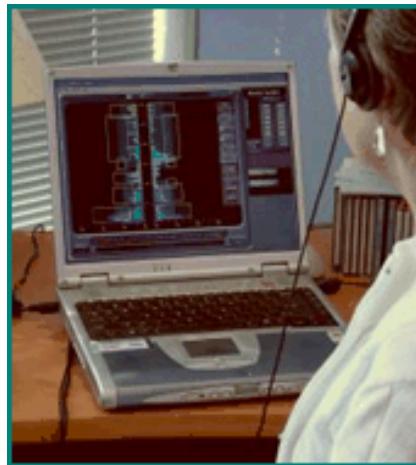


Intervention Techniques

Cognitive Training



Neurofeedback



Transcranial direct current stimulation (tDCS)

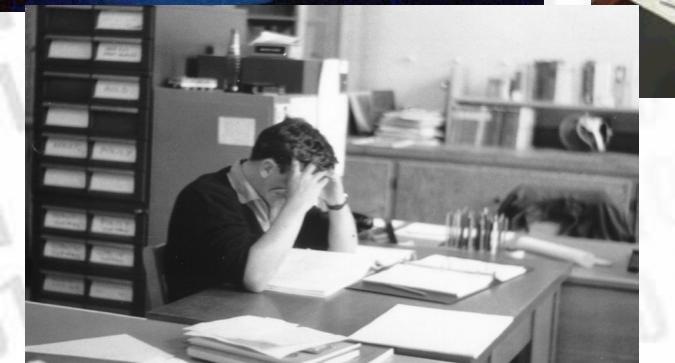


Techniques that could be used to close the loop between recording brain activity and enhancing performance





Improving Decision Making by Enhancing Memory





Improving Decision Making by Enhancing Memory

- Enhance memory for decision-relevant information
 - 1) Increase amount of information remembered
 - 2) Reduce memory errors





Improving Decision Making by Enhancing Memory

- Underlying meaning (gist) vs. surface form



Match List for "Mao Zedong"

ماو تسي تونغ
Mao Tse Tung
毛泽东
モウ タクトウ
마오쩌둥
もう たくとう
毛澤東

- Different relevance to different tasks
- Processed differently in the brain





Examples of gist and surface form information

NYEWIL
SHRIMPS
ROST - BEFE -
BUTER
PRETSILS
BURD FUDE
MAUL - FYLE
CHARCO LITER
FRESER BAGS
CLENE X TP-PT
HARE SOPE
WYPES
DRIER SHETES
KONDRI SOPE
MSG
KRAKERS -
SOTA POP
BIRF DAY KARD
COKS KORNER



Examples of gist and surface form information

- **Gist:**
 - Grocery list
 - Bad spelling
- **Surface forms**
 - Exact items
 - Exact spellings

NYEWIL
SHRIMPS
ROST - BEFE -
BUTER
PRETSILS
BURD FUDE
MAUL - FYLE
CHARCO LITER
FRESER BAGS
CLENE X TP-PT
HARE SOPE
WYPES
DRIER SHETES
KONDRI SOPE
MSG
KRAKERS -
SOTA POP
BIRFDAYKARD
COKS KORNER





Examples of gist and surface form information



Gist

Surface form

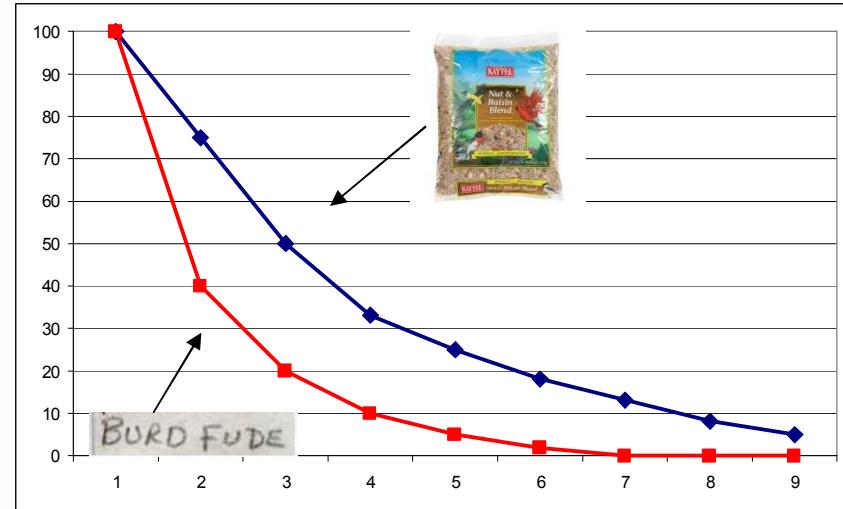
BURD FUDE

NYEWIL
SHRIMPS
ROST - BEFE -
BUTER
PRETSILS
BURD FUDE
MAUL - FYLE
CHARCO LITER
FRESER BAGS
CLENE x TP-PT
HARE SOPE
WYPES
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KRAKERS -
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BIRF DAY KARD
COKS KORNER



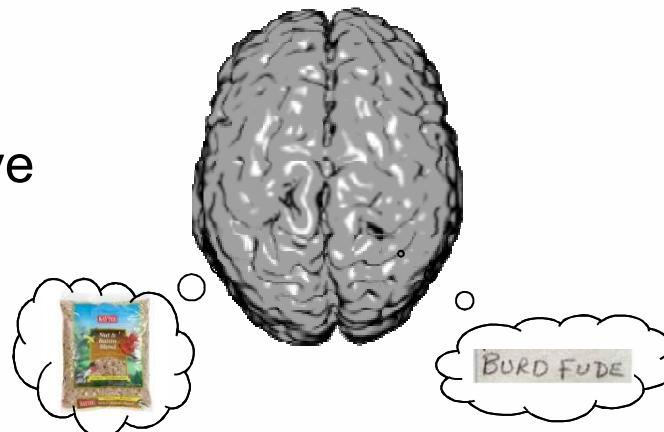
Gist and surface form are processed differently in the brain

- Gist and surface information are forgotten at different rates
 - Prone to different types of errors over time



- Hemispheric processing differences
 - Leverage differences to improve memory/reduce errors

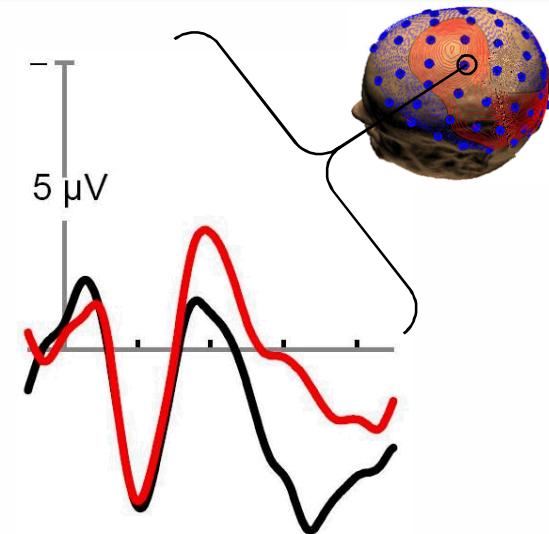
What did you study?





Why focus on this problem?

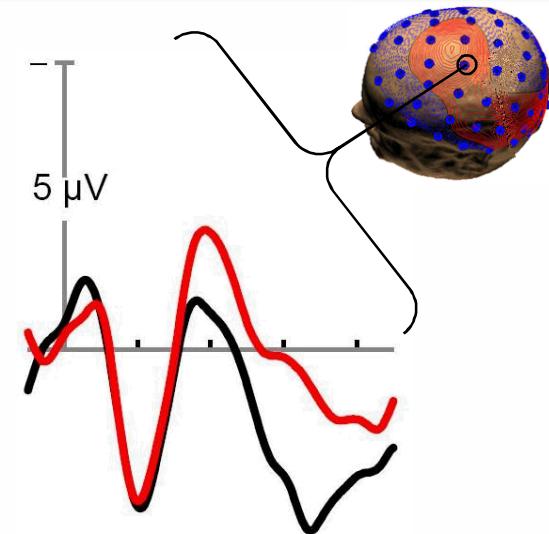
- Memory
 - Underlies decision making
 - Neural signals can potentially predict good and poor memory performance



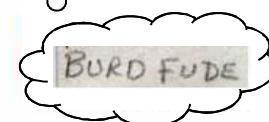
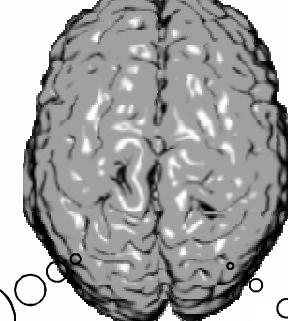


Why focus on this problem?

- Memory
 - Underlies decision making
 - Neural signals can potentially predict good and poor memory performance
- Gist vs. surface form
 - Components of all information
 - Processed differently in brain



BURD FUDÉ

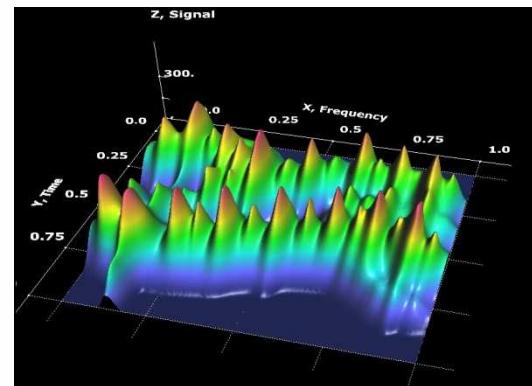
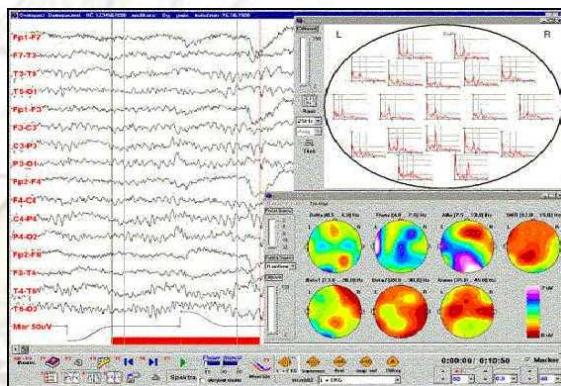




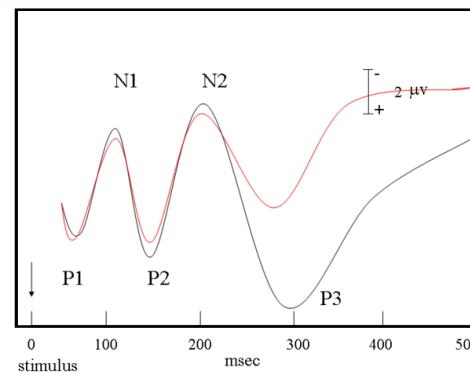
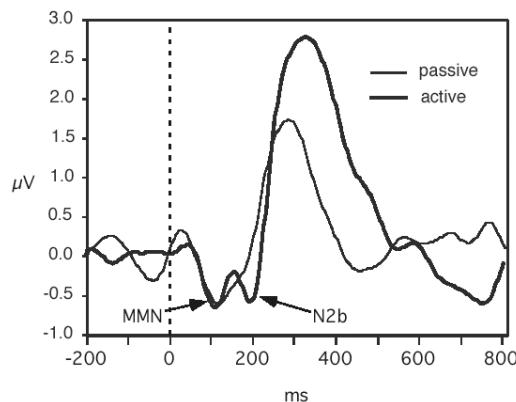
Methods – EEG and ERPs

Provide real-time info about brain activity

- **Electroencephalography (EEG)**



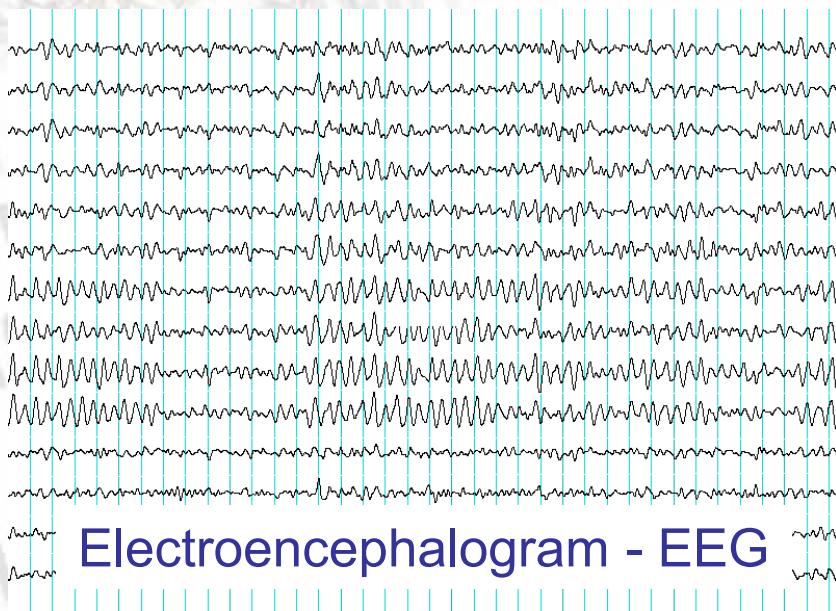
- **Event-related potentials (ERPs)**





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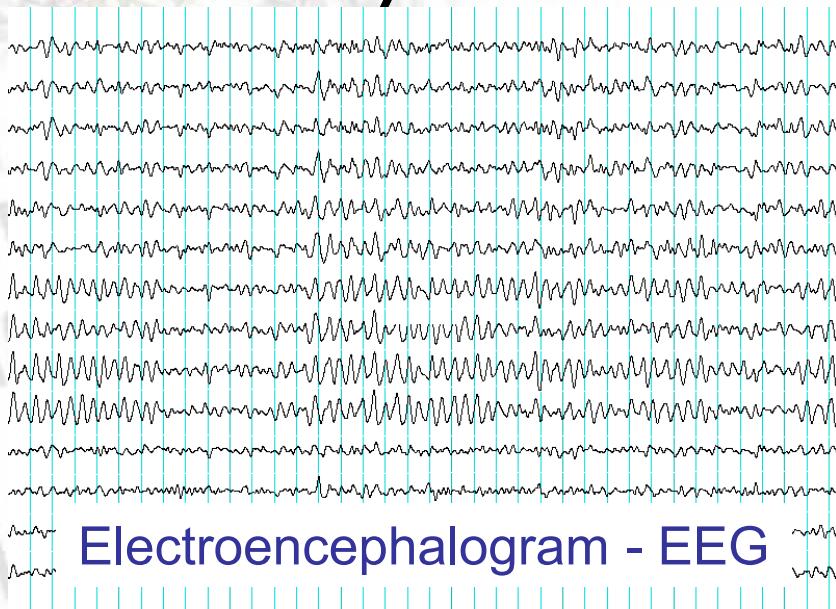
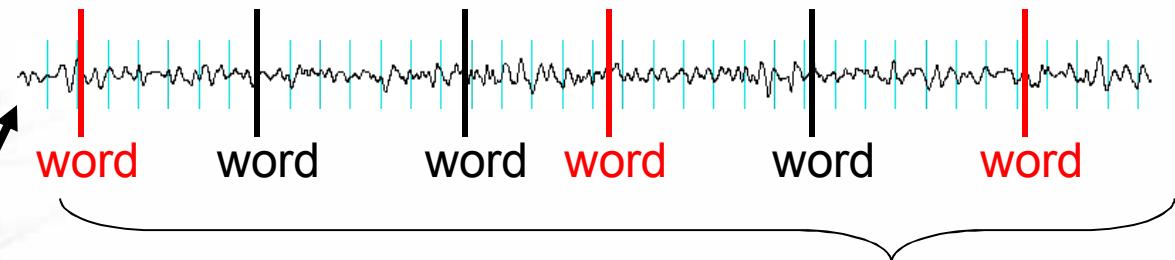
Background on EEG and ERPs



Electroencephalogram - EEG

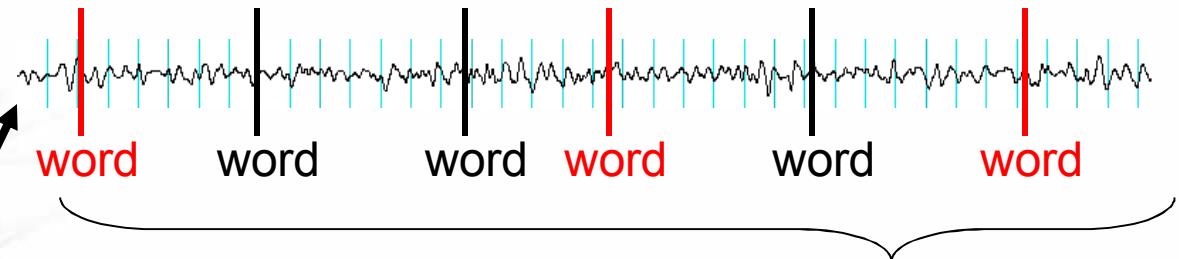


Background on EEG and ERPs

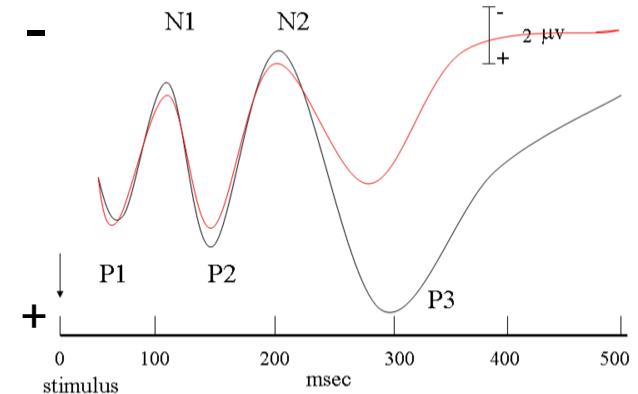




Background on EEG and ERPs



Time-locked
signal
averaging



Electroencephalogram - EEG

Event-related potential (ERP)



ERPs enable prediction of what will be remembered or forgotten

- Dm effect = difference related to subsequent memory





ERPs enable prediction of what will be remembered or forgotten

- Dm effect = difference related to subsequent memory
- Words studied while EEG is recorded:
shrimp, roast beef, butter, pretzels, bird food...





ERPs enable prediction of what will be remembered or forgotten

- Dm effect = difference related to subsequent memory
- Later test: words **REMEMBERED** or **FORGOTTEN**
shrimp, roast beef, butter, pretzels, bird food...





ERPs enable prediction of what will be remembered or forgotten

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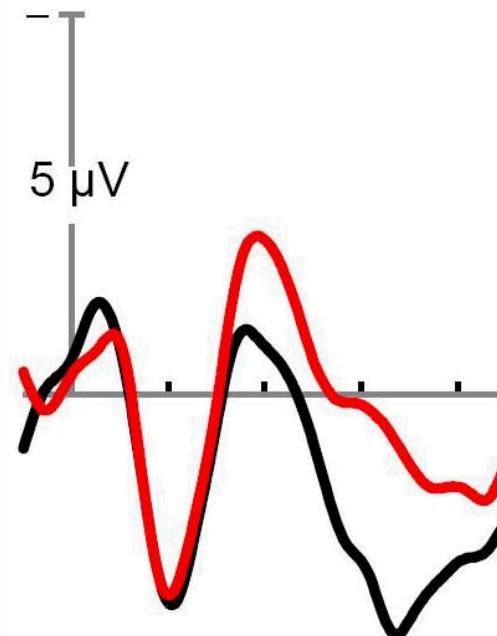




ERPs enable prediction of what will be remembered or forgotten

- Dm effect = difference related to subsequent memory
- Later test: words **REMEMBERED** or **FORGOTTEN**
shrimp, **roast beef**, butter, **pretzels**, bird food...

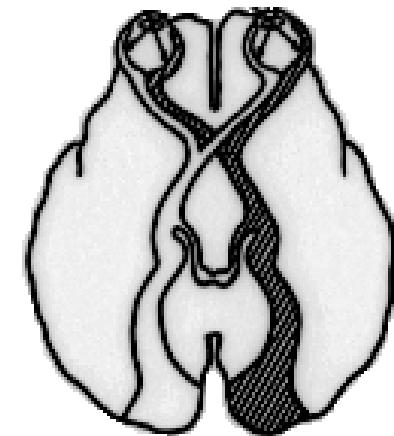
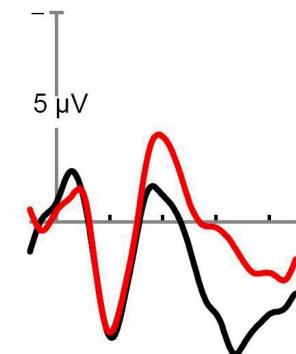
Brain activity
DURING STUDY:
Words that will be remembered later more positive than words that will be forgotten





Existing technique for influencing memory in the brain

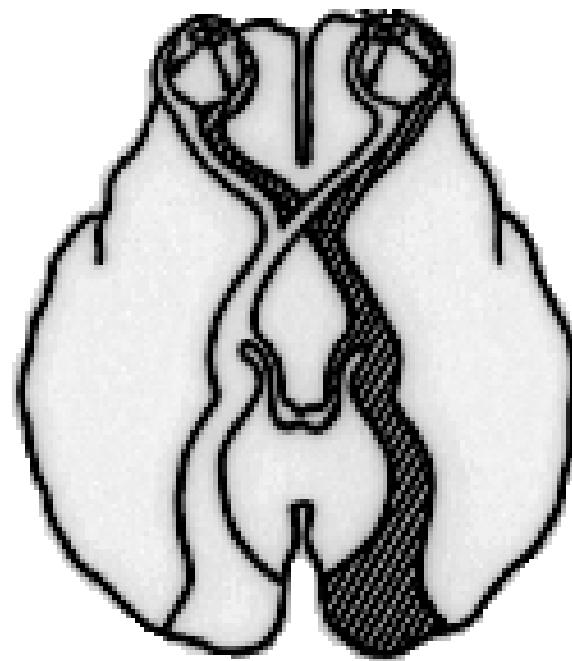
- Brain activity can predict memory performance
- Brain structure can bias memory performance
 - Hemispheric differences in memory
 - Visual half-field paradigm





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Visual half-field paradigm



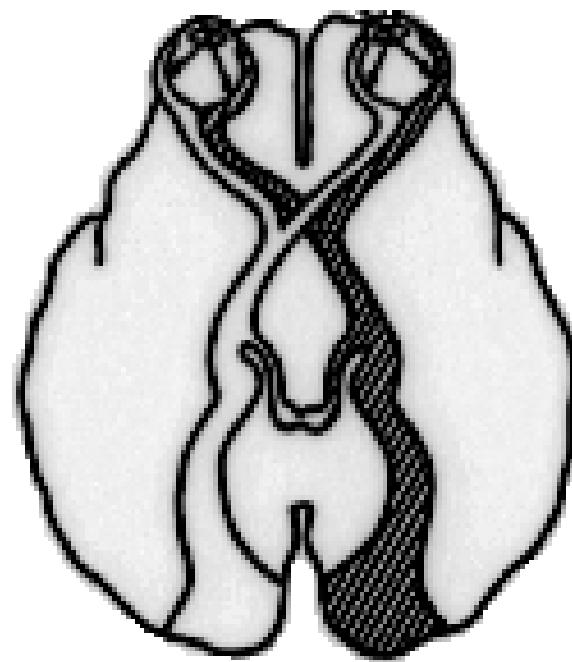
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Visual half-field paradigm

BURD FUDE +

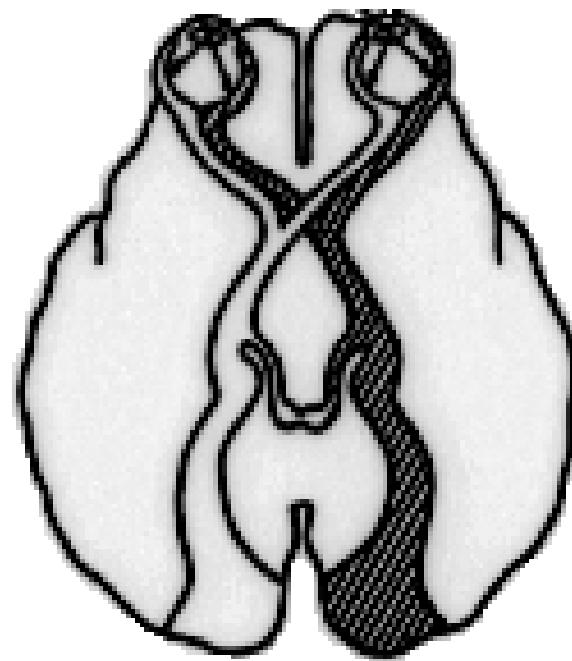




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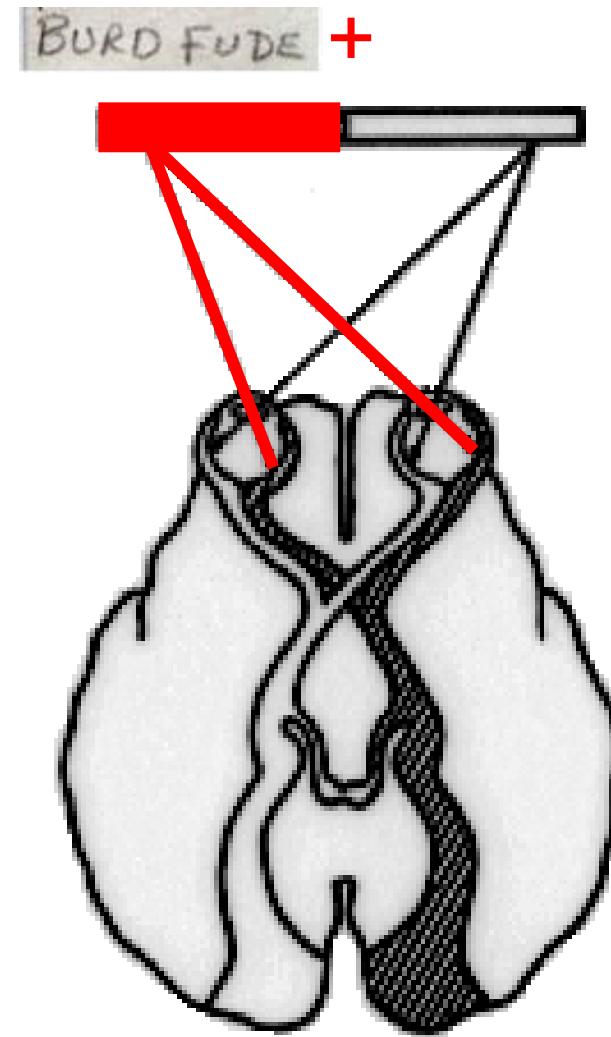
Visual half-field paradigm

BURD FUDE +





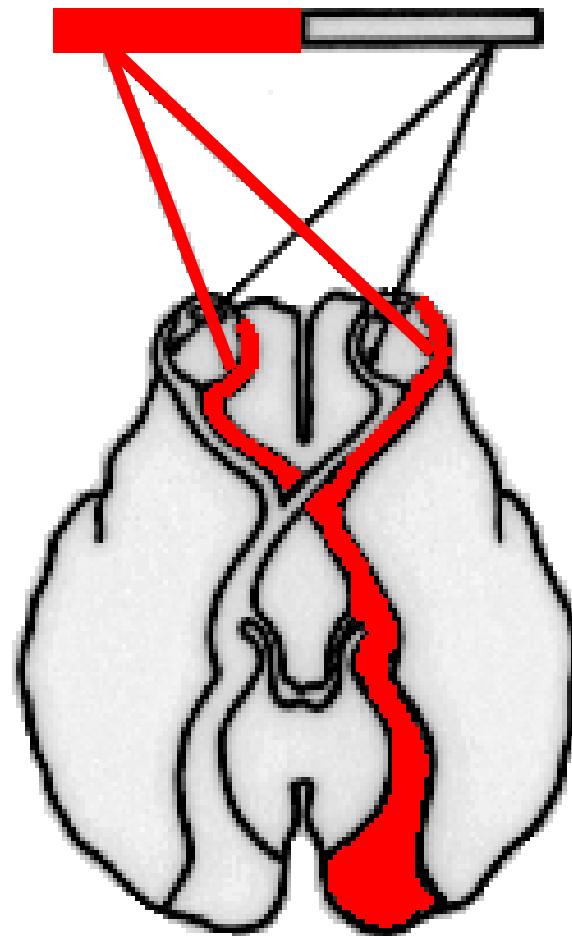
Visual half-field paradigm





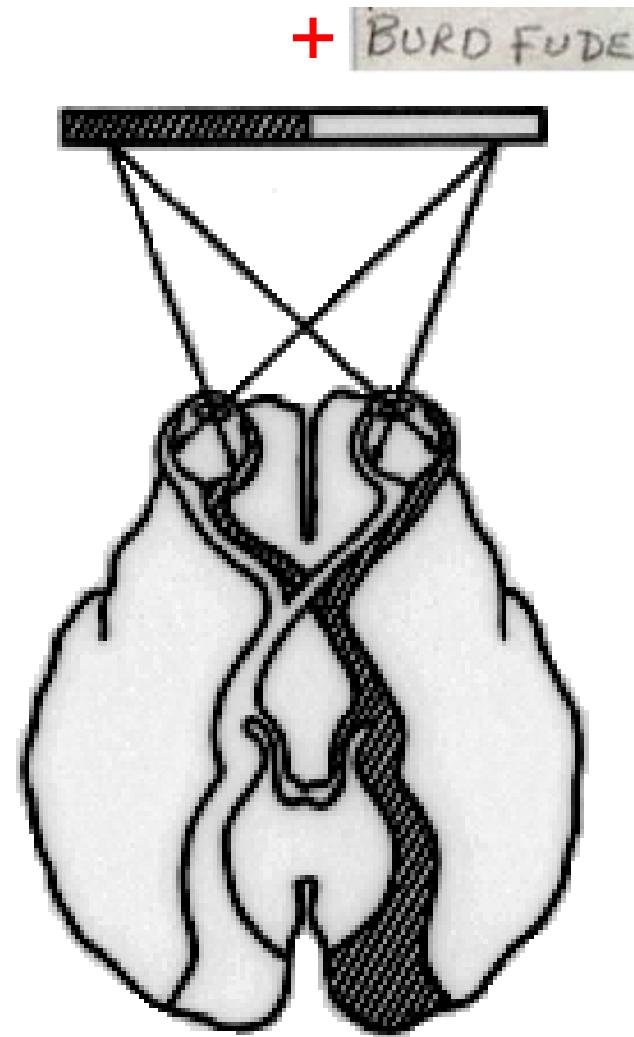
Visual half-field paradigm

BURD FUDE +



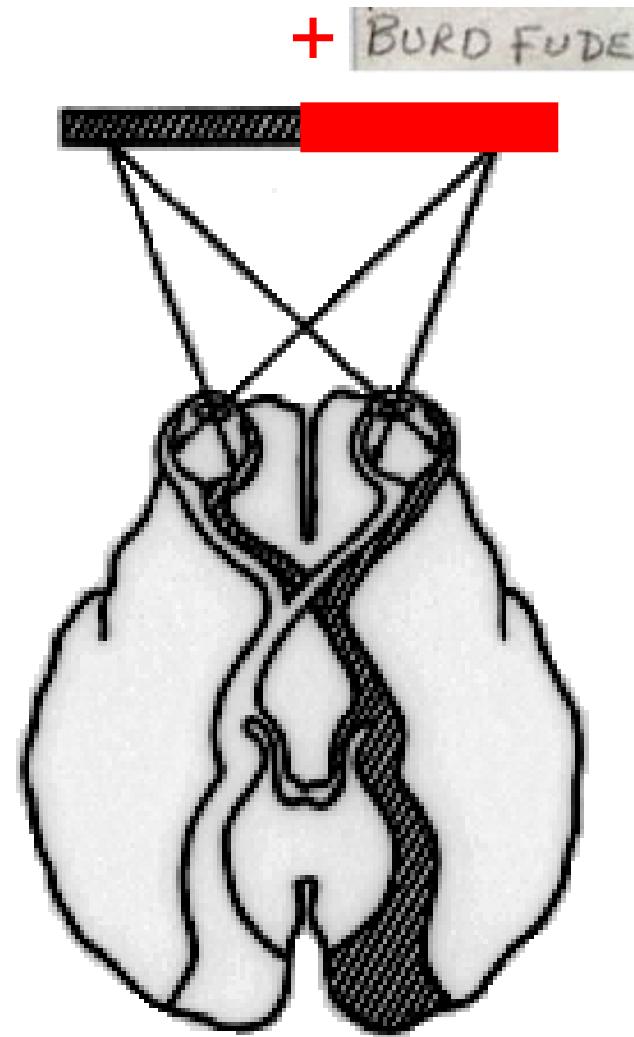


Visual half-field paradigm



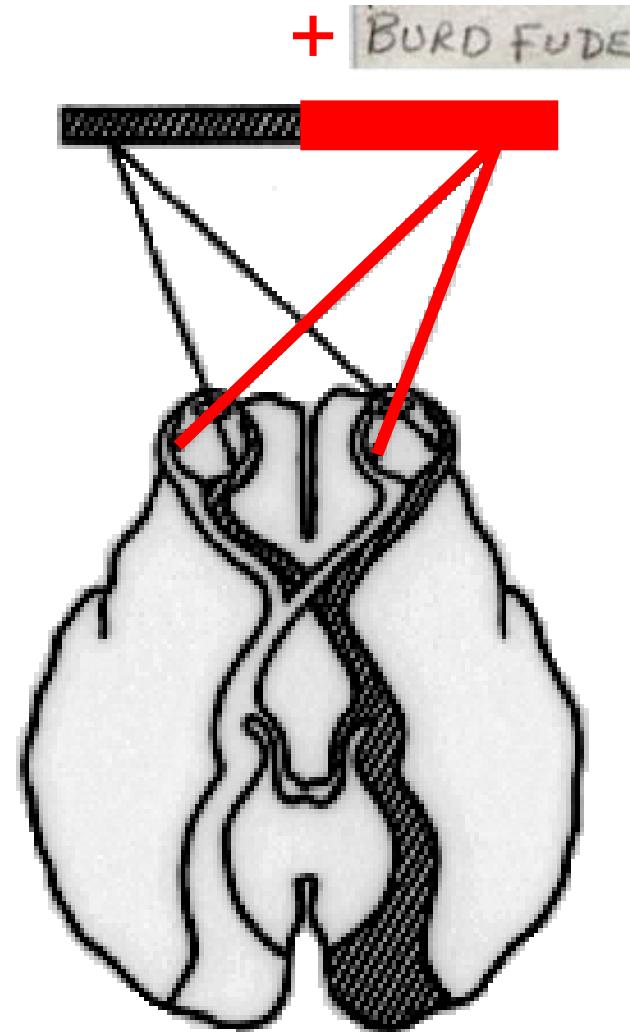


Visual half-field paradigm



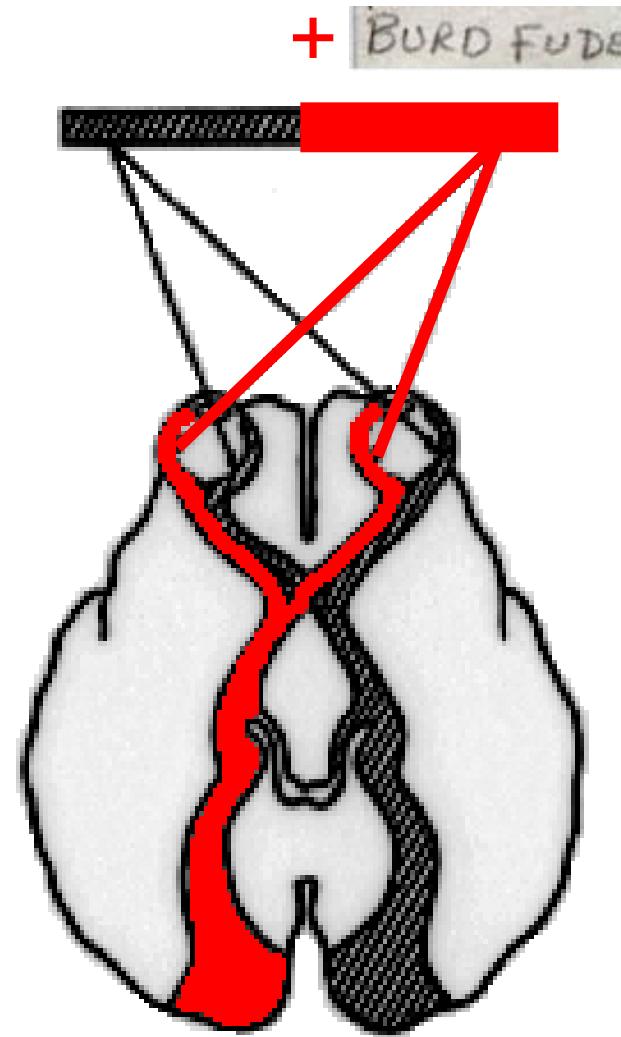


Visual half-field paradigm





Visual half-field paradigm





Characterization of Neural Activity

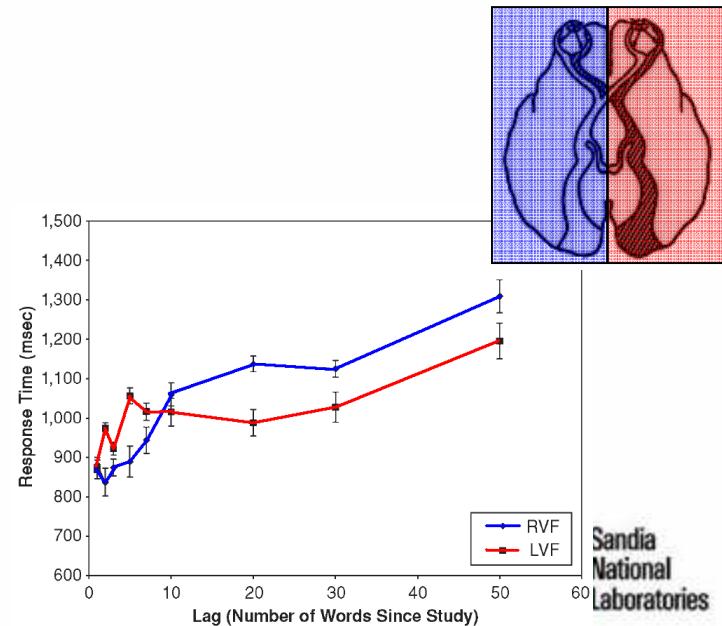
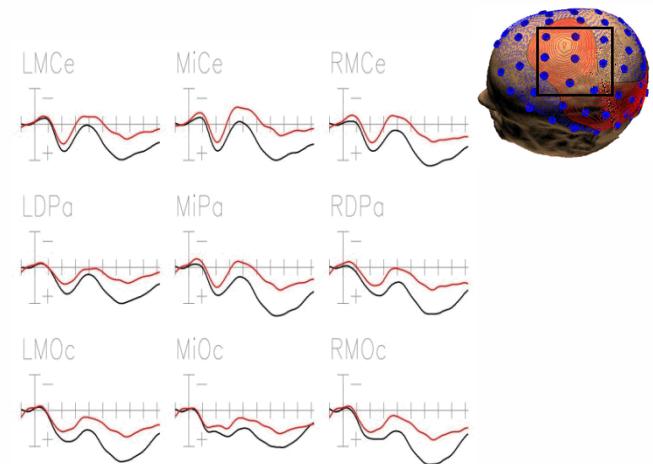
- Brain activity during study is predictive of future memory performance
 - Test ways of optimizing processing during study
→ *Increase amount of information remembered*
- Visual half-field presentation can influence memory, memory errors (but inefficient)
 - Test other methods for biasing processing to one hemisphere
→ *Reduce number of memory errors*





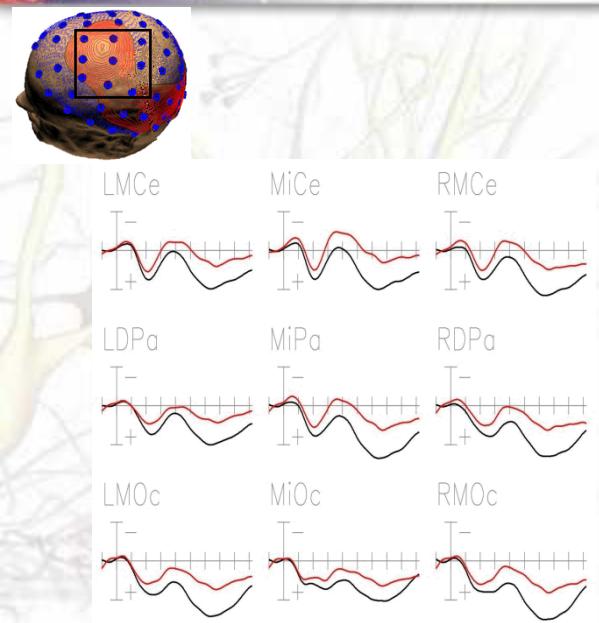
Characterization of Neural Activity

- Characterize Dm effect for both gist and surface form information
- Map forgetting functions for gist and surface form information in left and right hemispheres



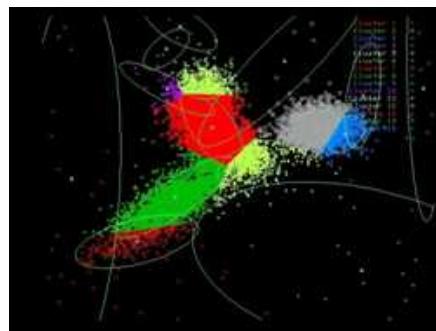


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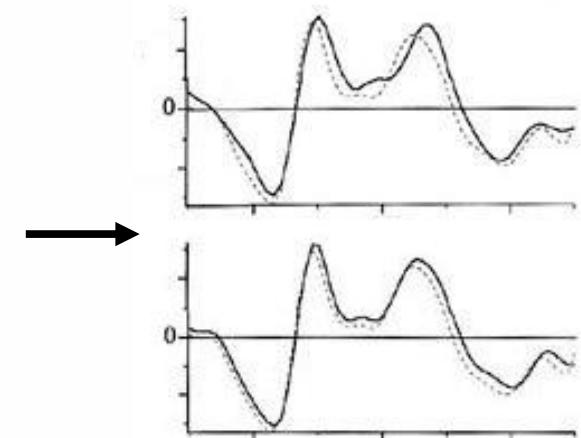


Recorded brain activity sorted by task performance

Characterization of Neural Activity



Use machine learning techniques to characterize good and poor neural performance



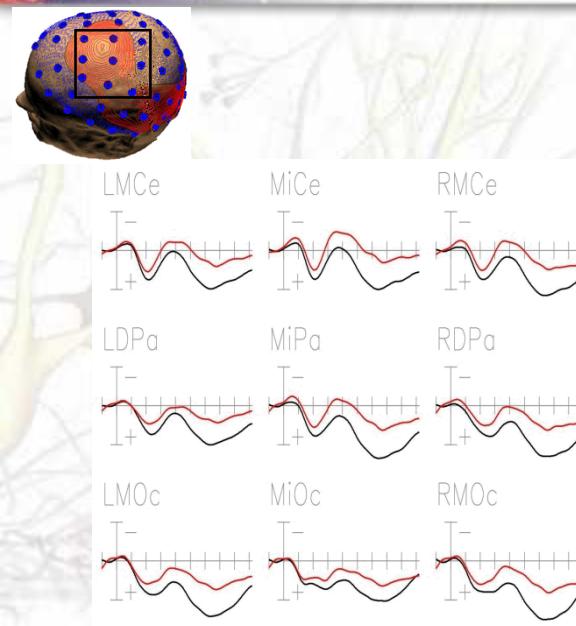
Use matched filtering to quantify neural performance



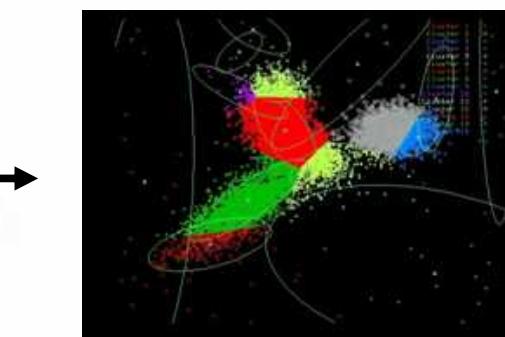
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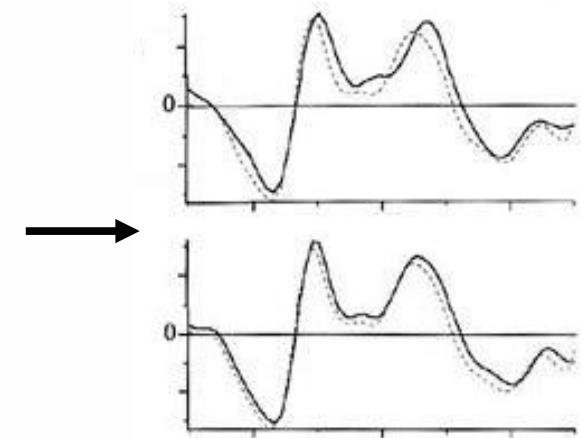
Characterization of Neural Activity



Recorded brain activity sorted by task performance



Use machine learning techniques to characterize good and poor neural performance



Use matched filtering to quantify neural performance

Use task performance and neural performance to assess intervention techniques





Intervention Techniques

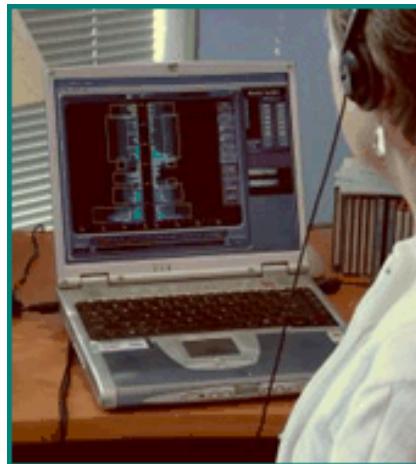
Cognitive Training



Learn memory
strategies

Test effects on Dm,
hemi differences

Neurofeedback



Learn to enhance Dm
positivity, bias processing
to LH or RH

Test relationships between
task performance and
neural performance

Transcranial direct current
stimulation (tDCS)



Enhance Dm positivity,
bias processing to LH/RH

Test relationships
between task
performance and neural
performance





Surety Analysis

- Use surety engineering framework developed for cognitive systems (Peercy et al., 2008)
- Assess surety and maturity of each component of system as research progresses
 - Outline:
 - Year 1 – Develop requirements and use cases
 - Year 2 – Fault analysis, develop controls, assess adequacy of controls
 - Year 3 – Iterations on process, final maturity analysis





Project Plan

FY10

FY11

FY12

Characterization Studies



Computational Model



EEG lab operational
at Sandia

Cognitive Training Studies



Neurofeedback Studies



tDCS Studies



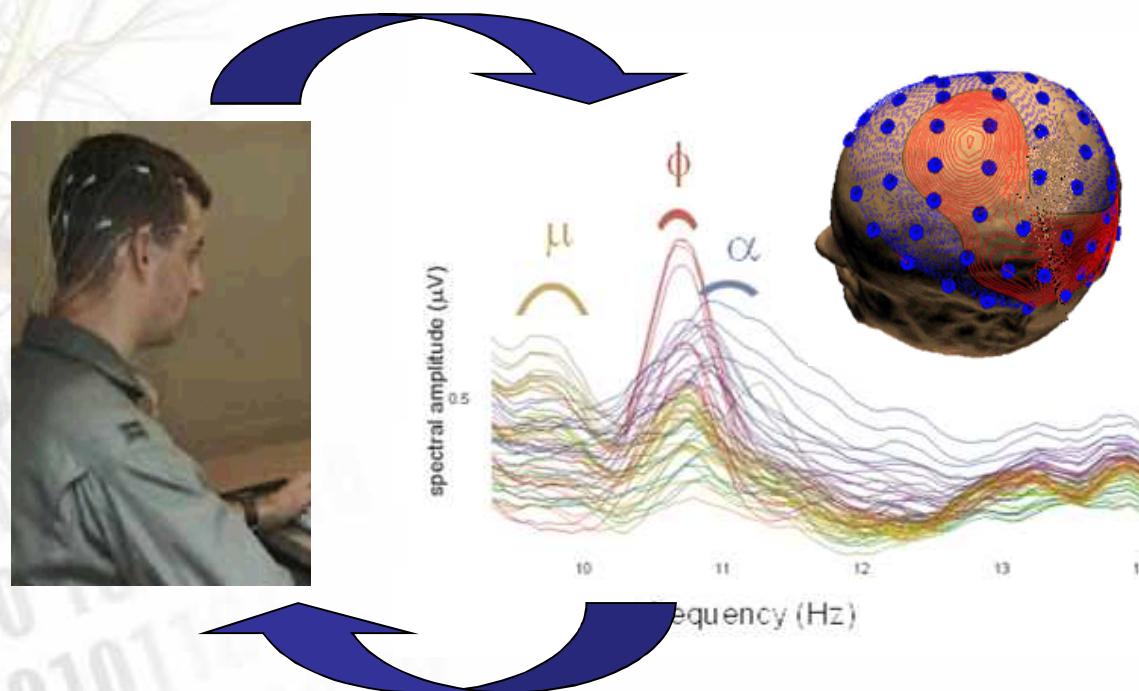
Surety Analysis





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Summary





Characterization studies

Modeling of brain activity

Use model and brain data to
design targeted interventions

Assess effects of
interventions on brain activity

Assess effects of changes in
brain activity on task
performance





Characterization studies

Modeling of brain activity

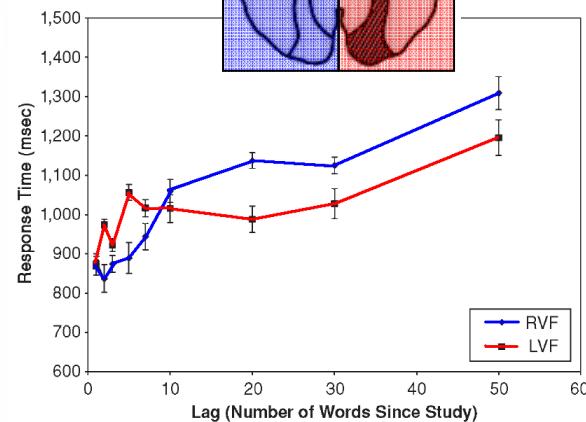
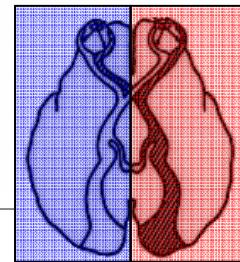
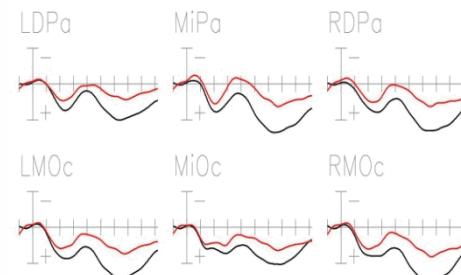
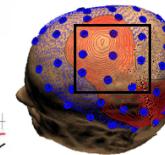
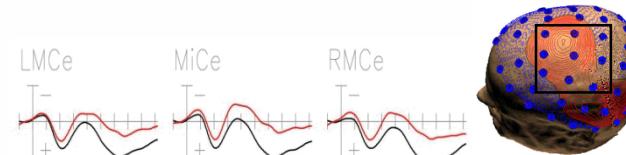
Use model and brain data to design targeted interventions

Assess effects of interventions on brain activity

Assess effects of changes in brain activity on task performance

Characterize Dm effect for gist and surface form information

Map forgetting functions of gist and surface form info in LH and RH





Characterization studies

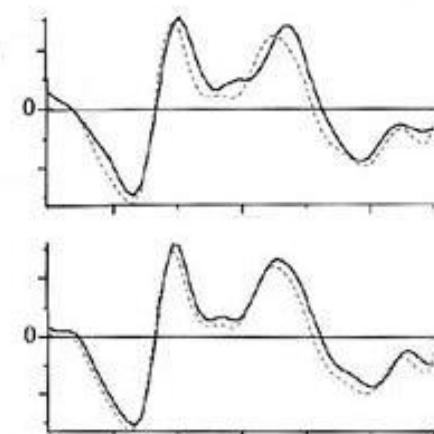
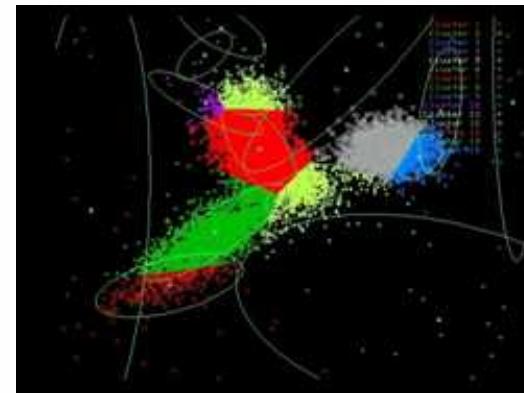
Modeling of brain activity

Use model and brain data to design targeted interventions

Assess effects of interventions on brain activity

Assess effects of changes in brain activity on task performance

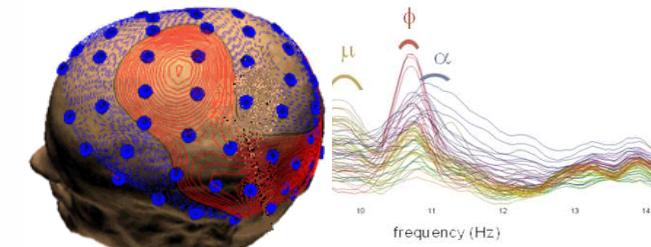
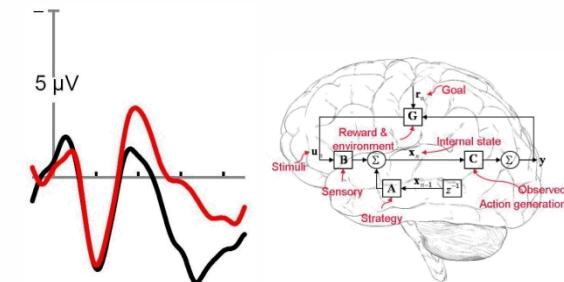
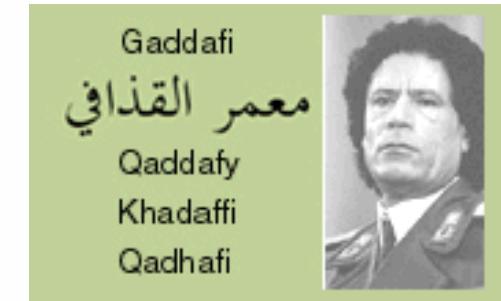
Use machine learning techniques to characterize good and poor performance
Develop templates for use in matched filtering





Summary: Memory and Decision Making

- Enhancing memory
 - Decision making tasks requiring memory for gist or surface form information
 - Characterize good and poor performance
 - Use interventions to optimize brain's processing of gist or surface form info





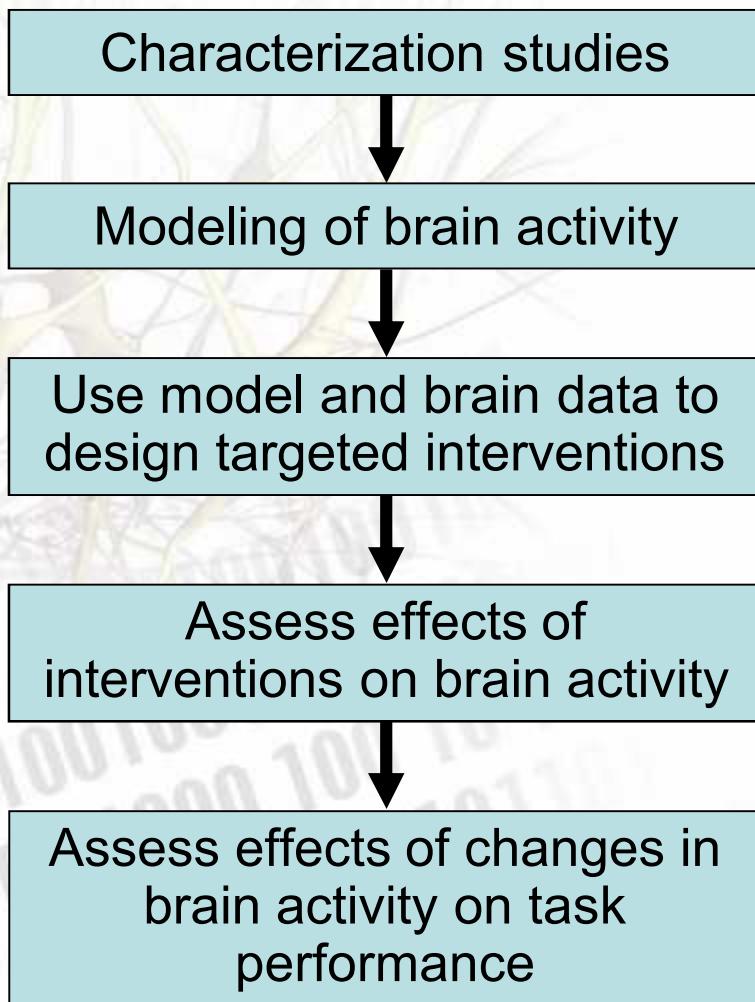
Summary

- Scientific contributions:
 - Novel, causal data about relationship between brain activity and task performance
 - Dm effect, hemispheric processing differences
 - Deeper understanding of processes fundamental to decision making
 - Assessment of effectiveness of different types of intervention techniques
 - Individual differences
 - Foundation for system that can detect suboptimal neural performance and design an individualized intervention to mitigate it





Research Plan



Year 1 (FY10):

- Set up EEG lab at Sandia
- Characterize brain activity (event-related potentials) associated with good and poor memory performance
- Model brain activity associated with good and poor memory





Lab Setup

- Set up EEG lab at Sandia (899/2222)
 - 128-channel EEG system from Advanced Neuro Technologies

Includes electrode caps and amplifier with active shielding, specialized software for stimulus presentation, recording, EEG and ERP signal analysis, and source modeling



- 16-channel BrainVision portable EEG system

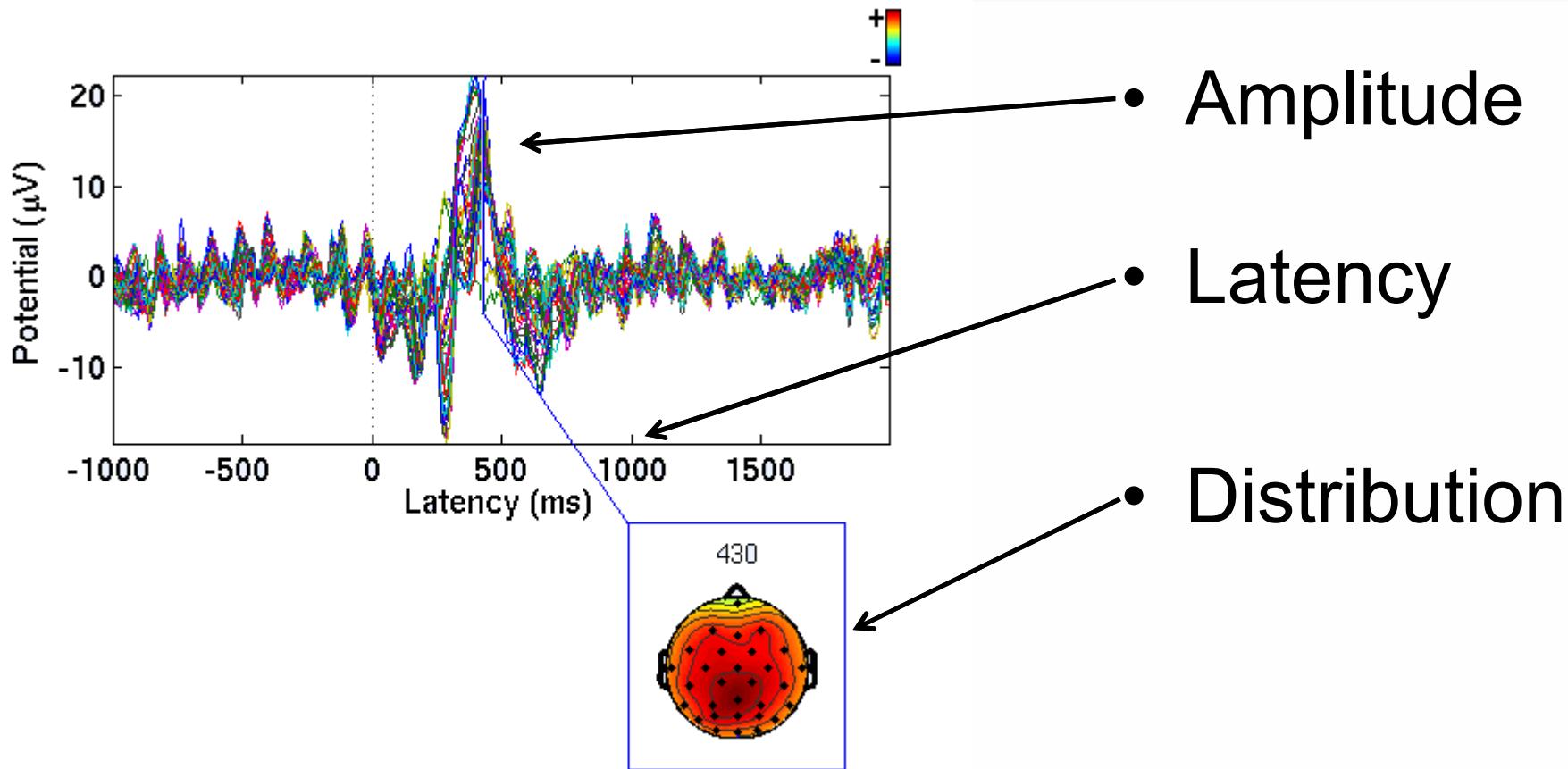
Characterization Studies

- Experiment 1:
 - Characterize brain activity for good memory performance
 - Data collection completed
- Experiment 2:
 - Characterize brain activity for memory errors
 - Data collection May-July at Univ. of Illinois
- Experiment 3:
 - Map time course of memory/memory errors in left and right hemispheres
 - Data collection June-August at Sandia





Properties of ERP Components



ERPs are named based on their latency and direction of the peak (ex: P300 = positive-going peak at 300 ms)



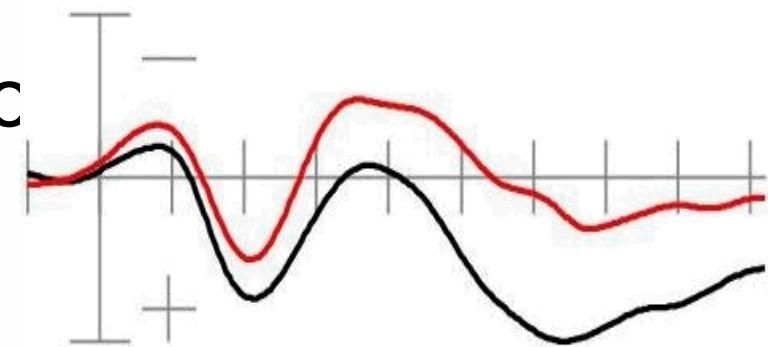
ERPs of Interest

- Dm Effect
 - Difference related to subsequent memory
 - Items that will be remembered later have a more positive waveform than items that will be forgotten
 - Dm represents the modulation of several ERP components (P200, N400, LPC)



ERPs of Interest

- P200
 - High-level visual processing
 - May reflect comparisons of sensory inputs to information stored in memory
- N400
 - Semantic access, primarily language
 - Amplitude decreases when access is facilitated
- Late Positive Comp
 - Explicit recognition memory





Characterization Strategy

- Experimental characterization:
 - Elicit D_m under novel experimental conditions
 - Acquire new evidence about underlying neural processes
- Computational characterization:
 - Use modeling approaches to create templates of “optimal” neural performance
 - Explore individual differences
 - Improve signal-to-noise ratio, move toward greater predictability of later performance



- Typical Dm Effect:
 - Paradigm: Each item studied once, tested once
 - Broad positivity across P200, N400, and LPC time windows
- Our experimental strategy:
 - Varied study and test conditions
 - Characterize differences in Dm morphology
 - Relate morphology to behavioral memory performance across conditions



Experimental Design

- Study conditions:
 - Words studied once
 - Words studied twice (at short and long intervals)
 - Words studied and then tested (at short and long intervals)
- Analysis:
 - Compare conditions with varying degrees of memory performance
 - Analyze ERPs at study (P200, N400, LPC), ERPs at test, Dm effects for studied words and reminding cues

→ What processes lead to Dm Effect?

Increasing memory accuracy





Study List

fight
alarm
cut
nation
cut
nation
storm
assent
fire
noon
fight
alarm





Experimental Design

Study List

fight

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm

← Words studied once



Experimental Design

Study List



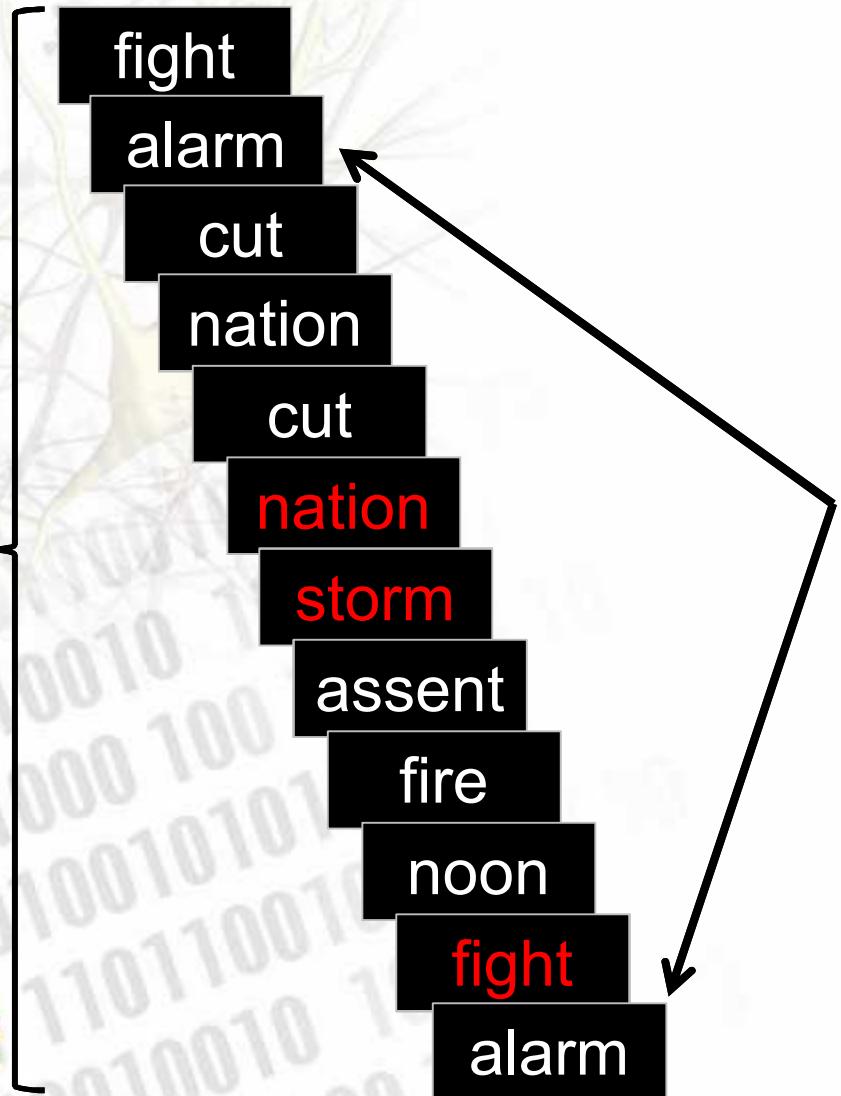
Words studied twice, short lag
(One intervening word)





Experimental Design

Study List



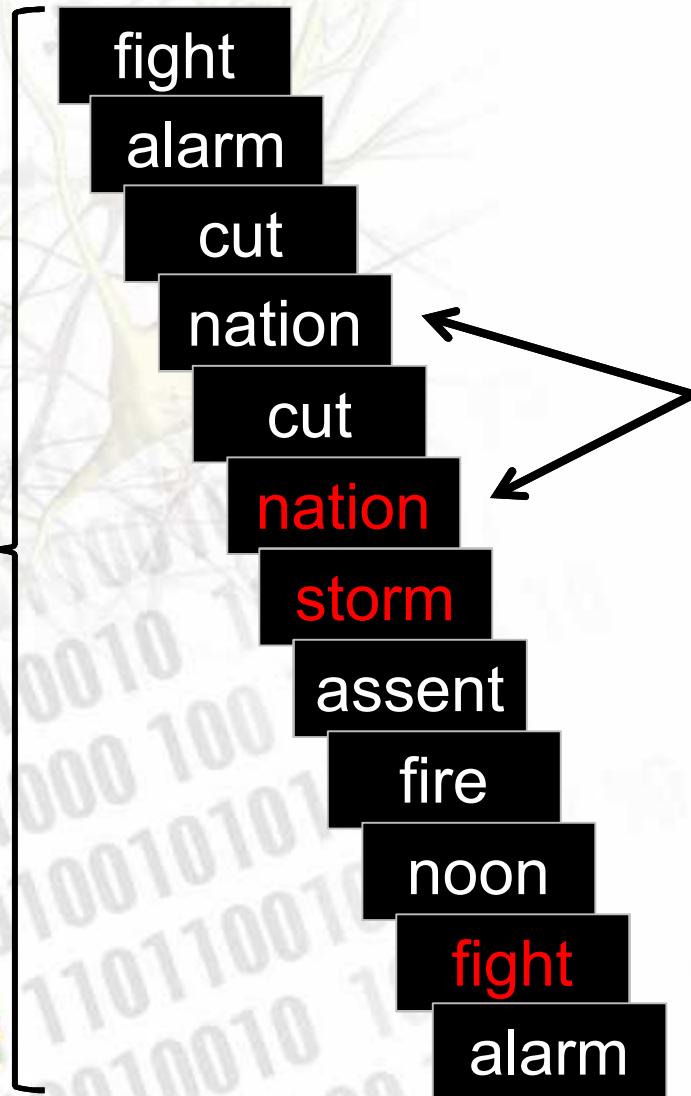
Words studied twice, long lag
(Nine intervening words)





Experimental Design

Study List

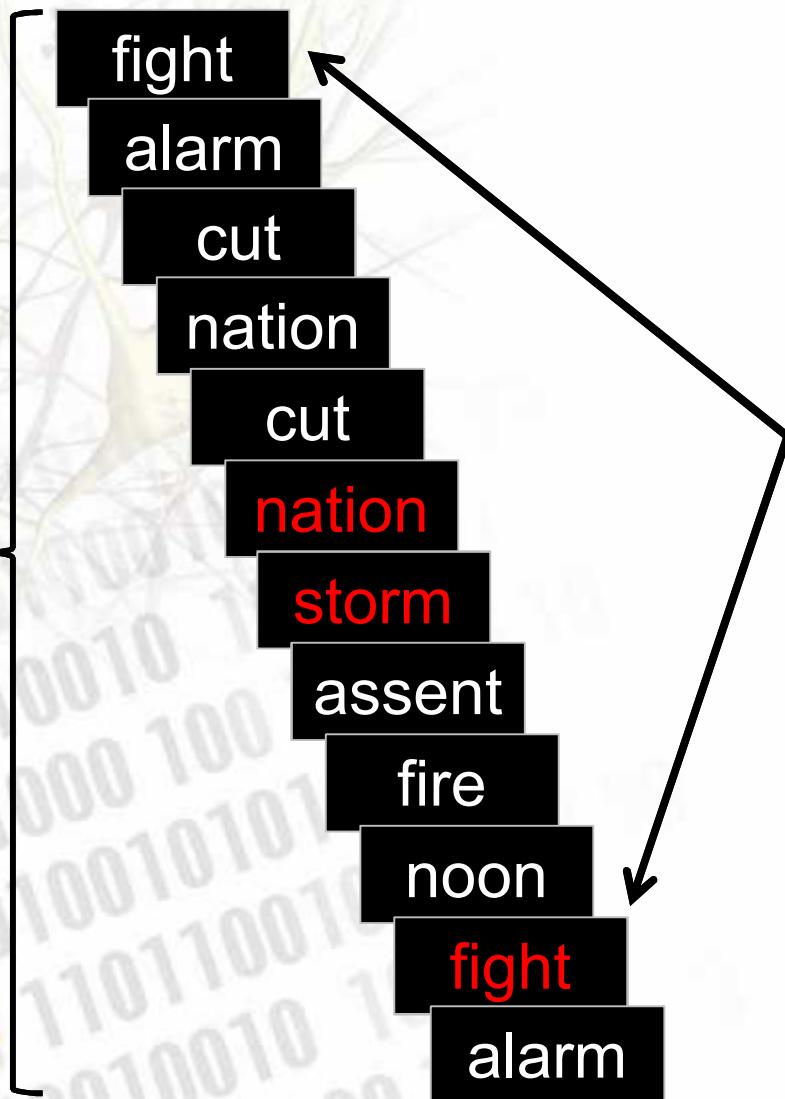


Words studied and tested, short lag
(One intervening word)



Experimental Design

Study List



**Words studied and tested, long lag
(Nine intervening words)**





Experimental Design

Study List

fight
alarm
cut
nation
cut
nation
storm
assent
fire
noon
fight
alarm

Subsequent Test

- Items from all conditions tested (or retested), along with an equal number of new, unstudied items





Stimulus Presentation Parameters

- Four study blocks, each followed by a test block
- 80 words presented per study block
 - 20 studied once
 - 20 studied twice (half short, half long lag)
 - 20 tested during study block (half short, half long lag)
 - 20 paired with synonyms (half studied, half tested)
- 160 words per test block
 - 80 from study block
 - 80 new, unstudied items





Stimulus Presentation Parameters

- Cue indicating condition (study or test) presented for 1000 ms
- Word presented for 1000 ms
- Test words followed by response period (participants answer “yes” or “no” via button press)
- 500 ms interstimulus interval





#

fight



#

alarm



#

cut



#

nation



#

cut



#

nation

?



Participants

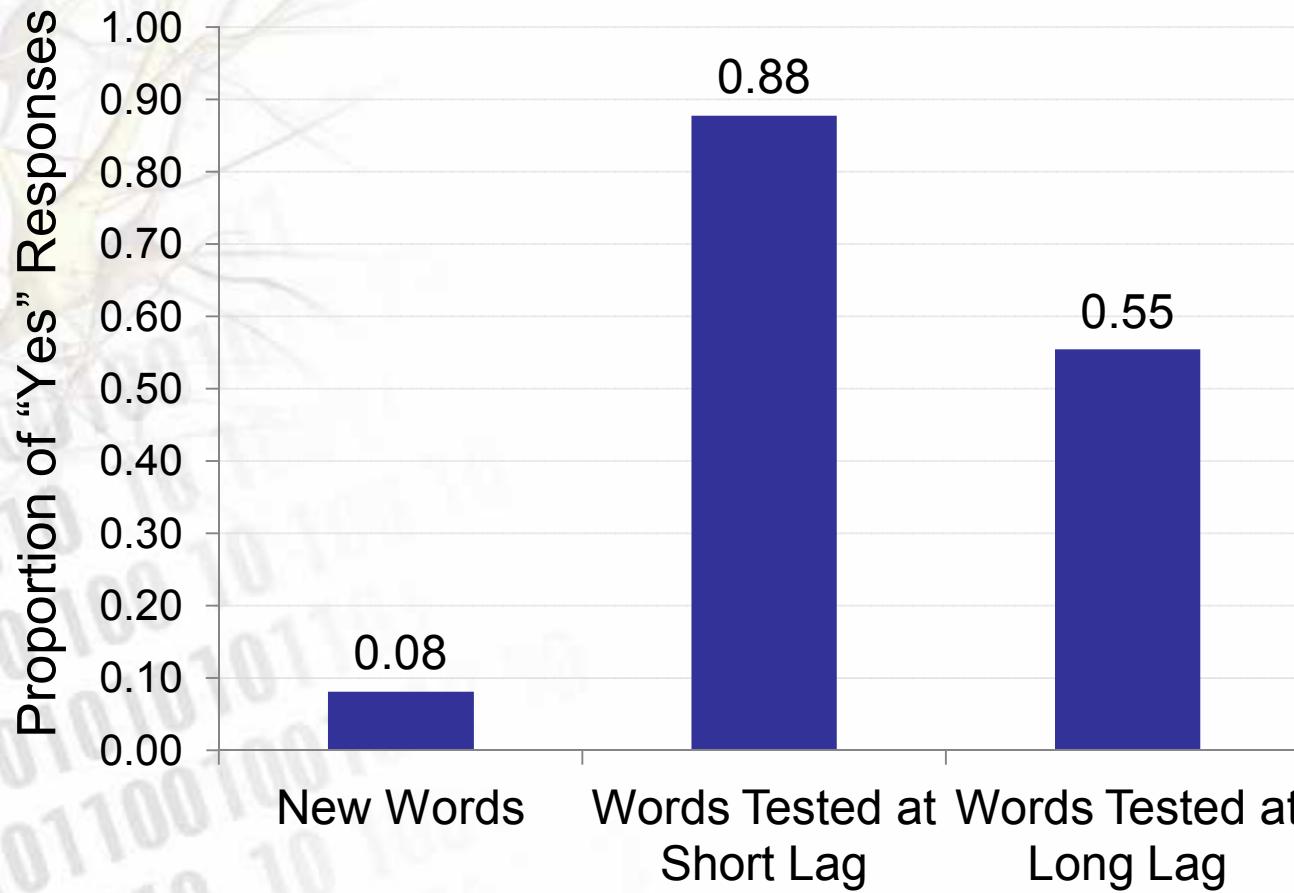
- 24 Univ. of Illinois undergraduates
 - 12 male, 12 female
 - Average age 21
 - All right handed, monolingual English speakers, no history of neurological disorders





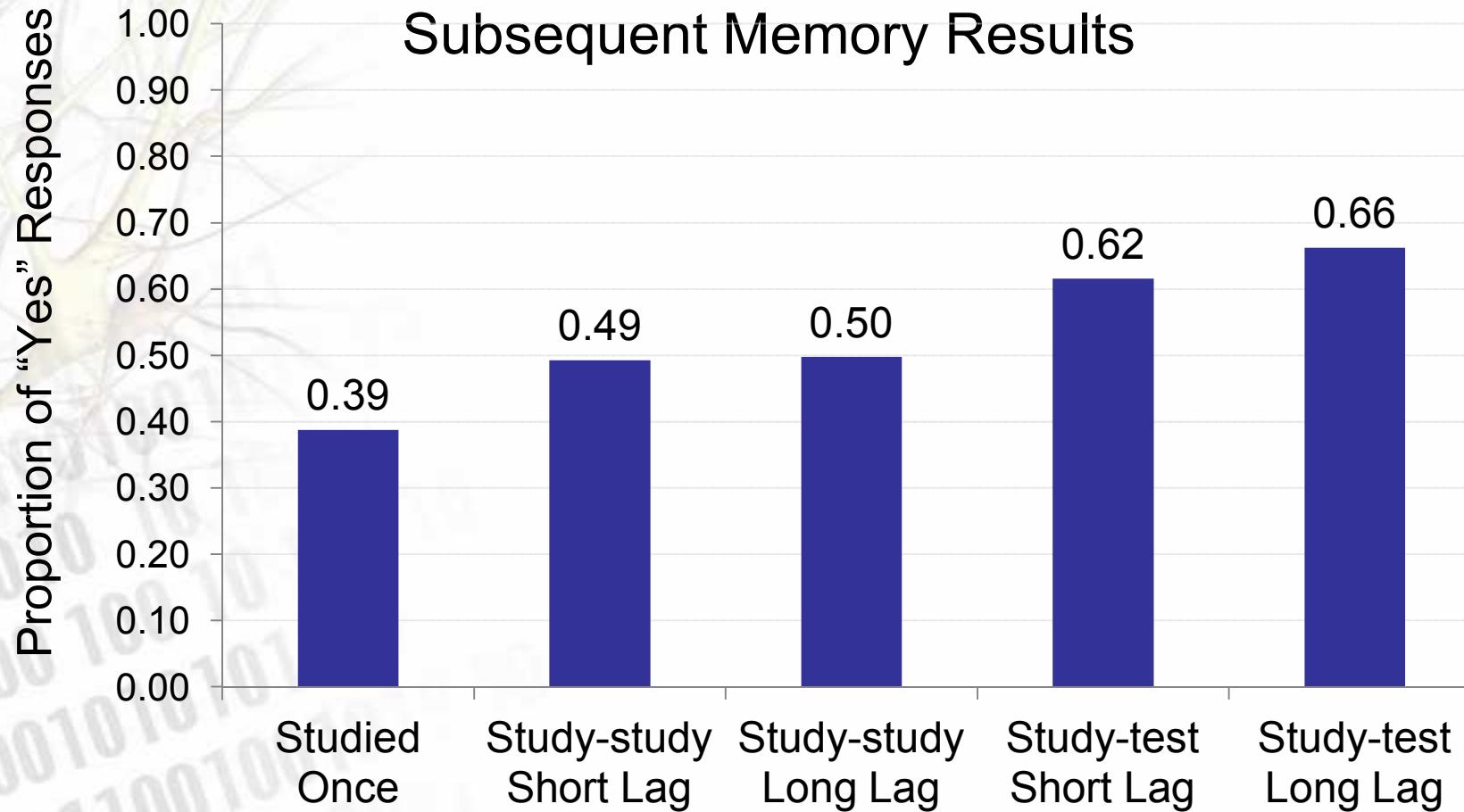
Behavioral Results

Results for Words Tested During Study Phase



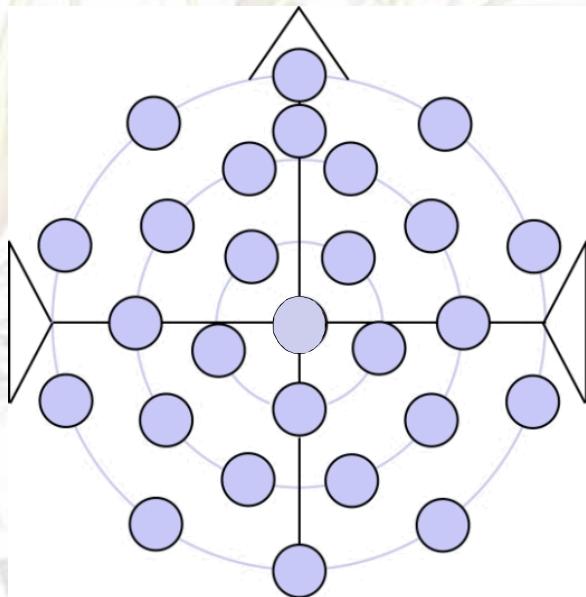


Behavioral Results



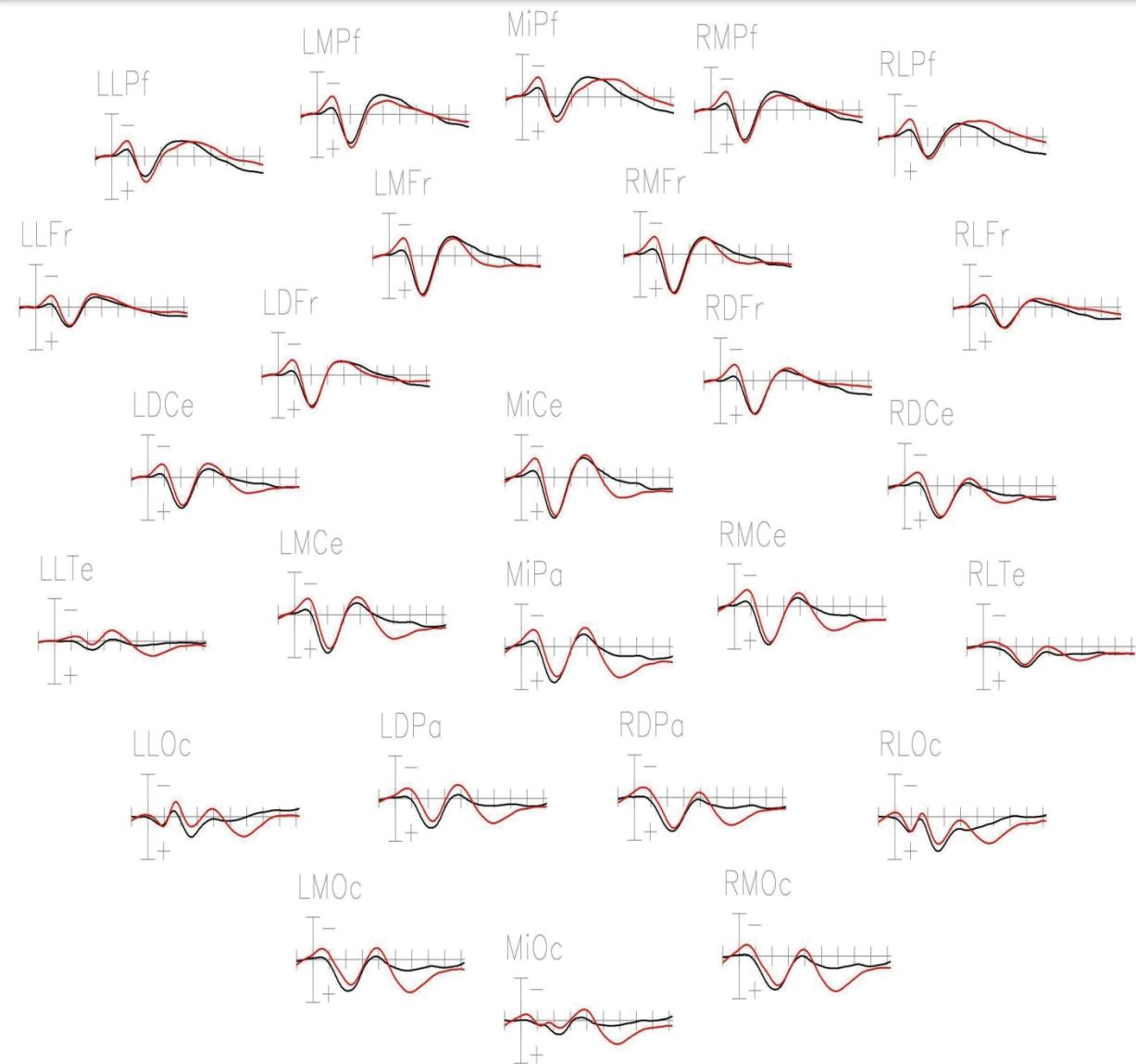
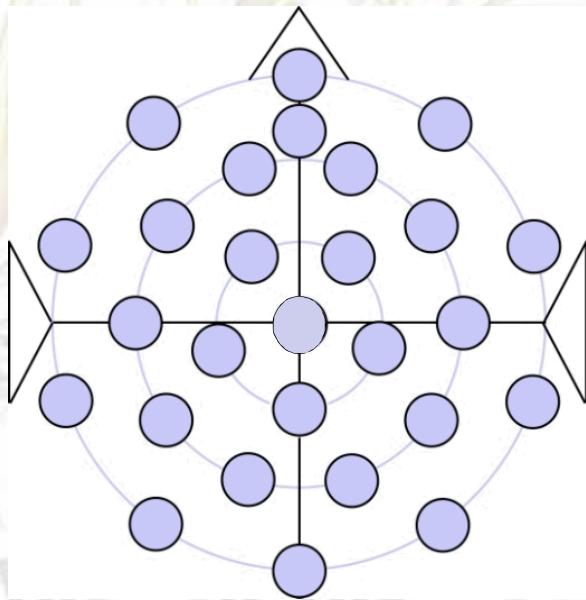


ERP Orientation



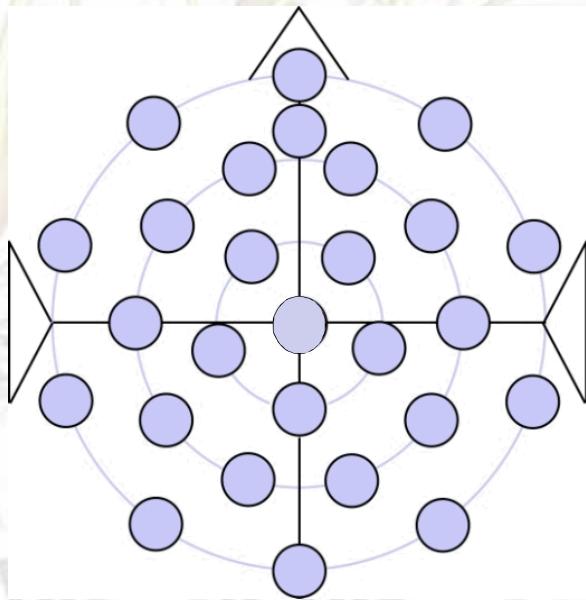


ERP Orientation

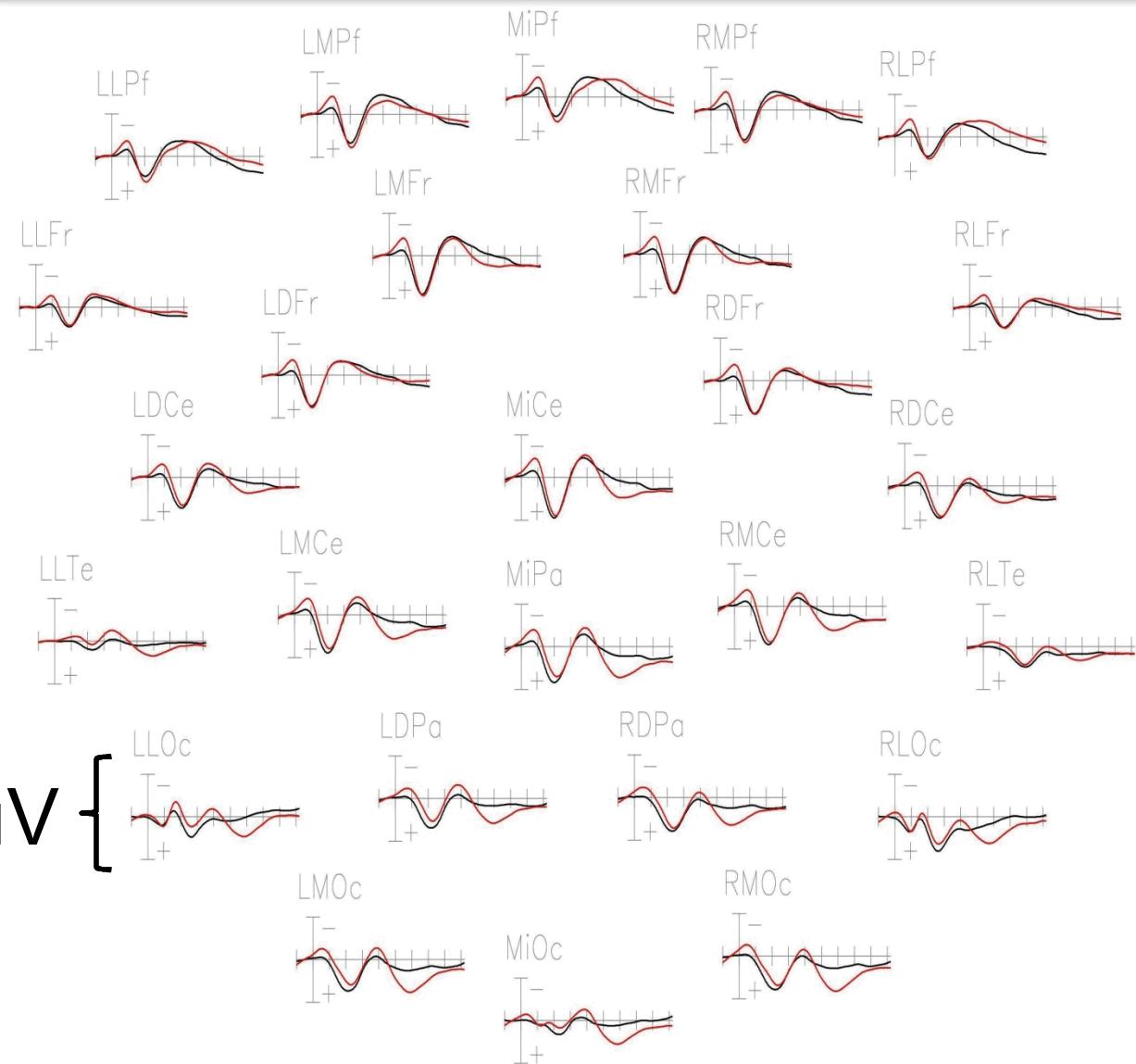




ERP Orientation

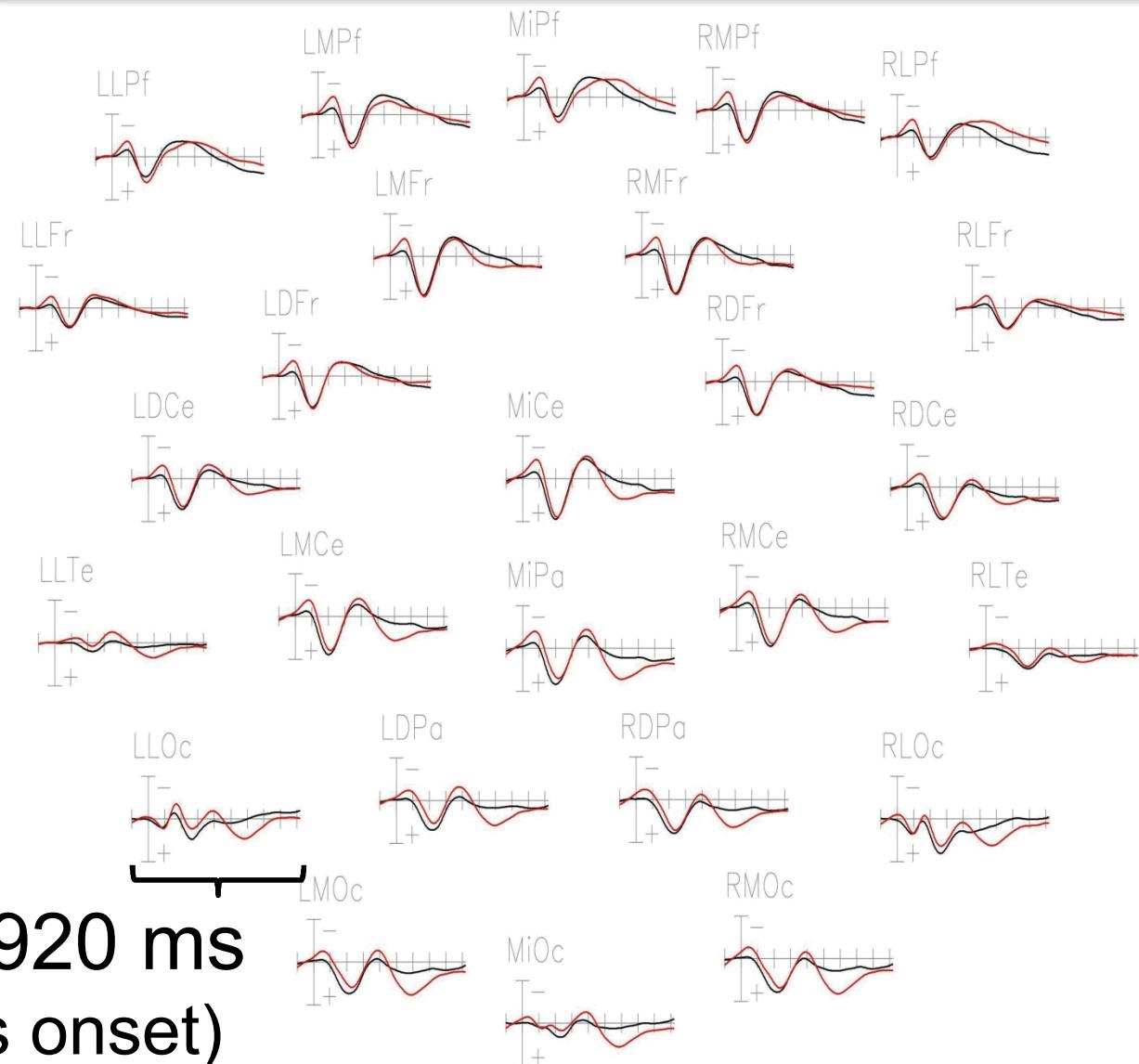
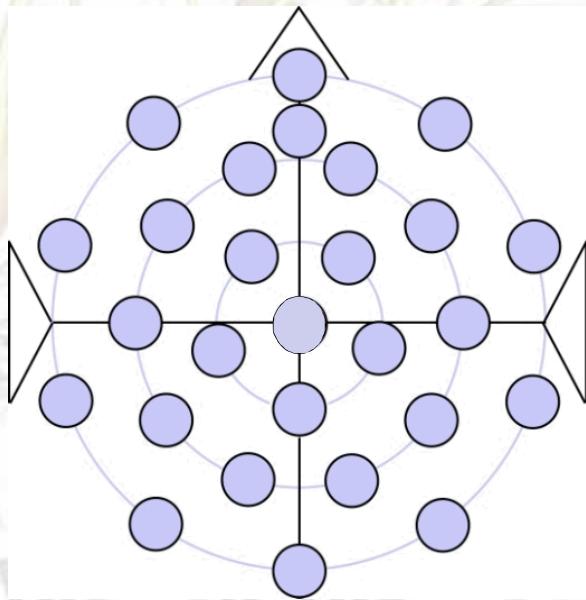


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ERP Orientation

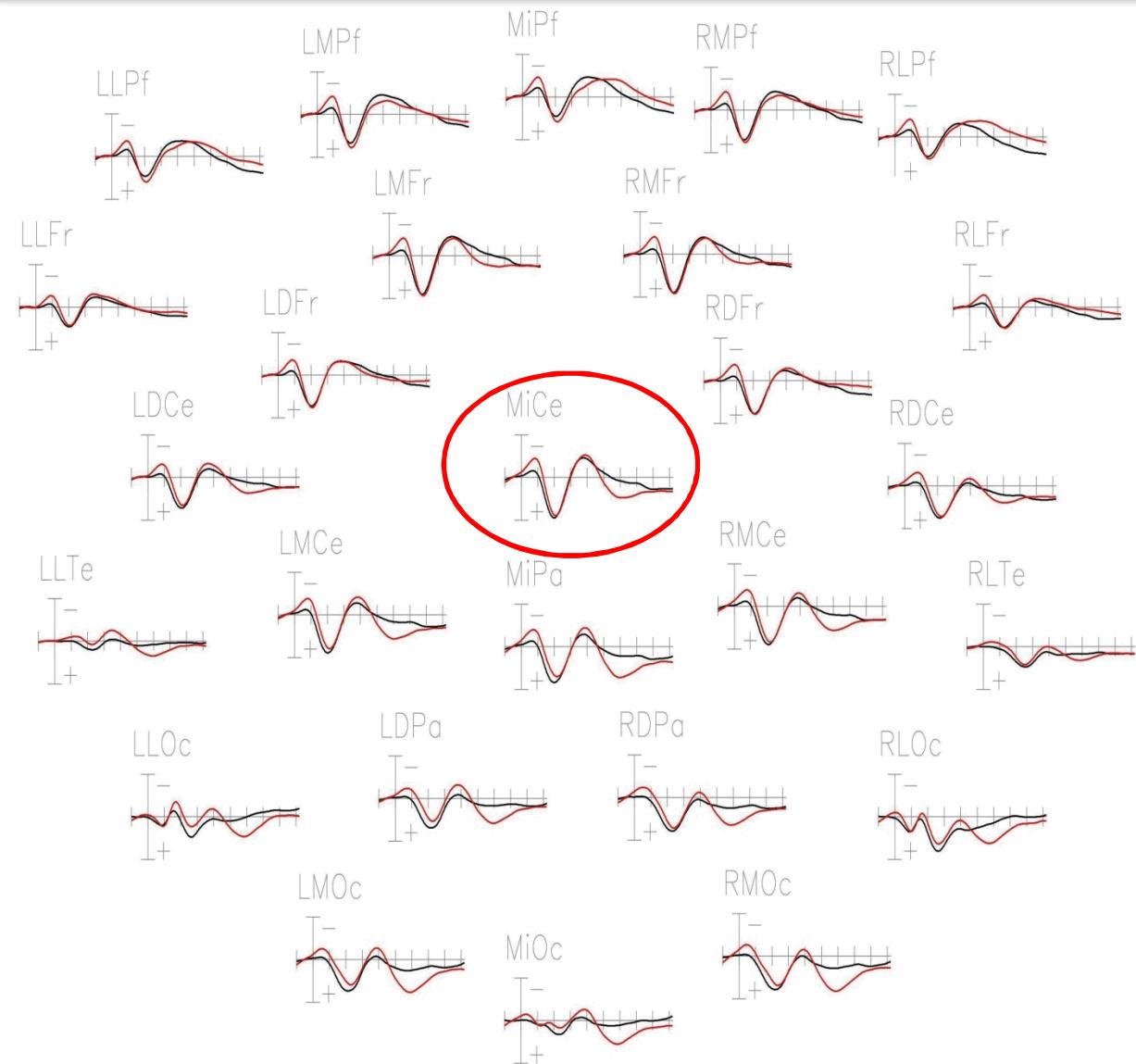
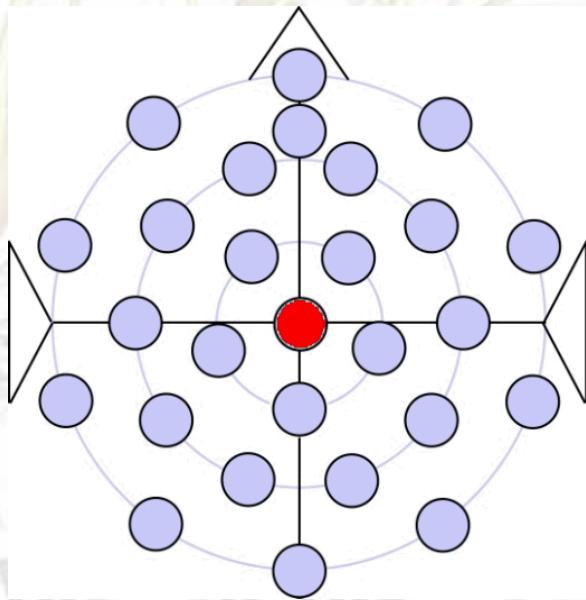


-100 ms to 920 ms
(0 = stimulus onset)



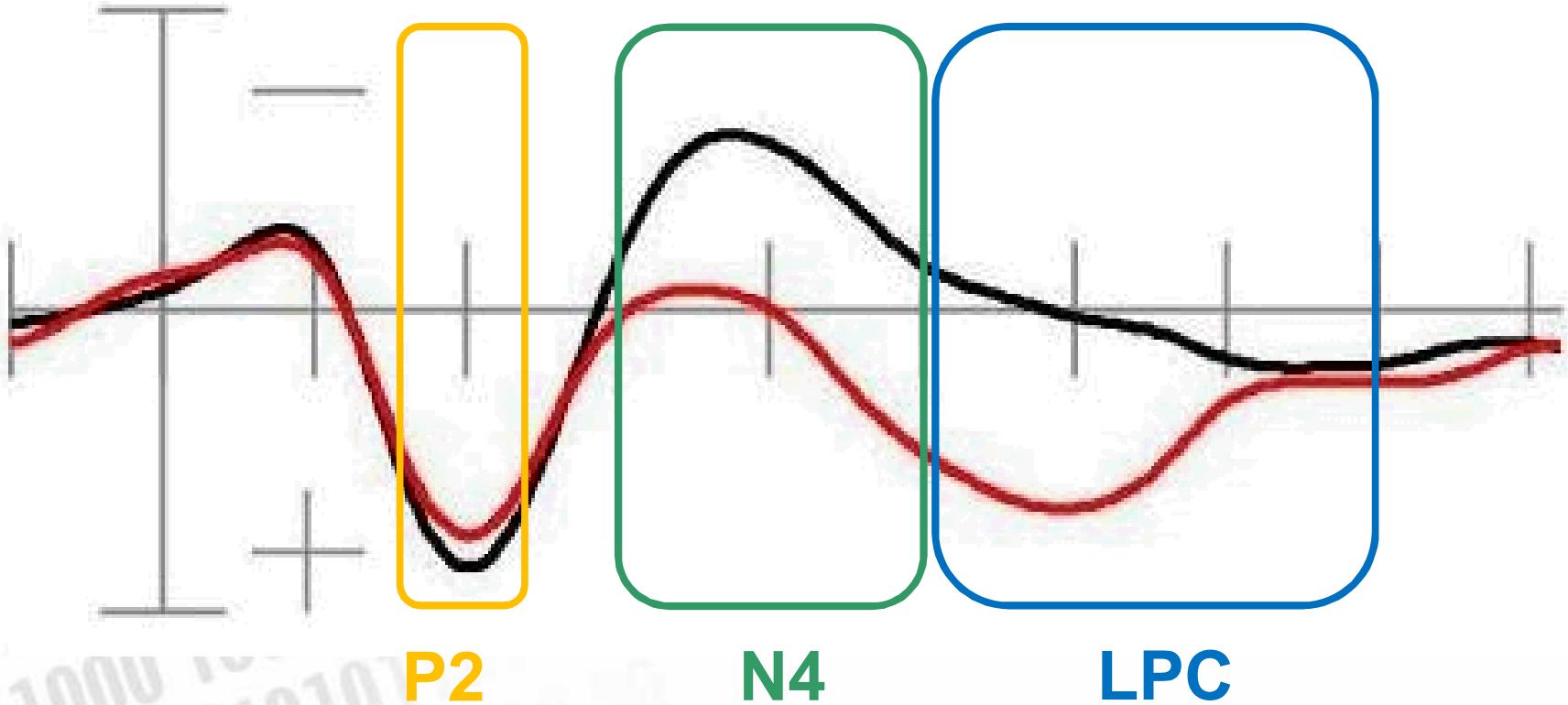


ERP Orientation





Critical Time Windows



Words Studied Twice, Short Lag

fight

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm



Words Studied Twice, Short Lag

fight

alarm

cut = —

nation

cut = —

nation

storm

assent

fire

noon

fight

alarm





Words Studied Twice, Short Lag

fight

alarm

cut

= —

nation

cut

= —

nation

storm

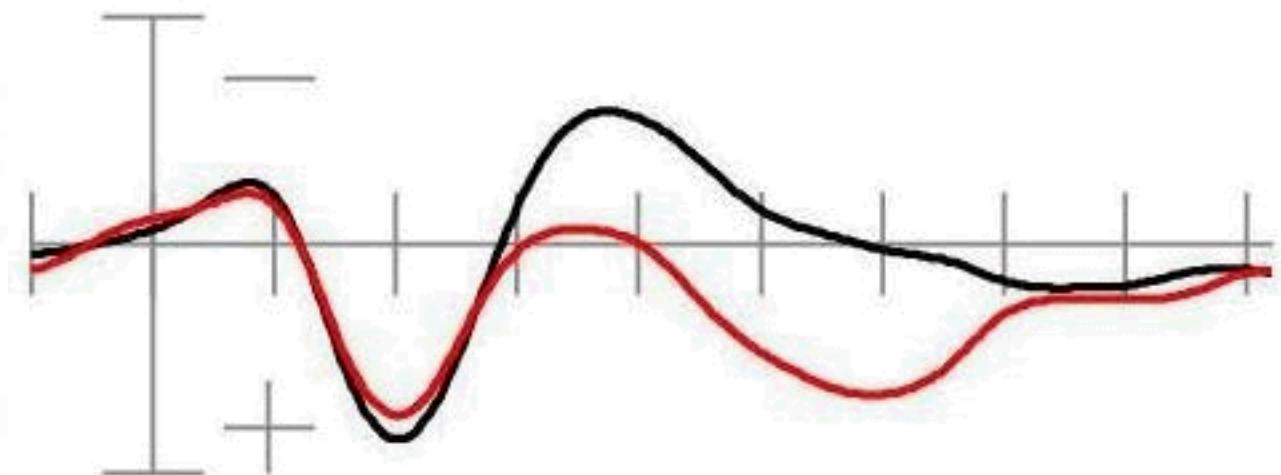
assent

fire

noon

fight

alarm





Words Studied Twice, Short Lag

fight

alarm

cut

= —

nation

cut

= —

nation

storm

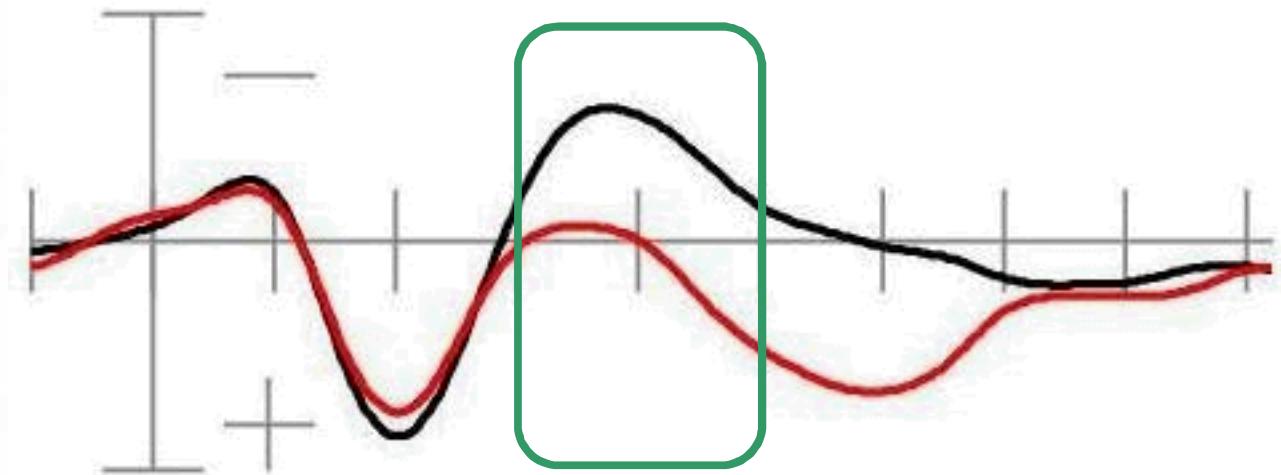
assent

fire

noon

fight

alarm



N4 smaller for repetition:

- Semantic access facilitated
- Implicit memory





Words Studied Twice, Short Lag

fight

alarm

cut

= —

nation

cut

= —

nation

storm

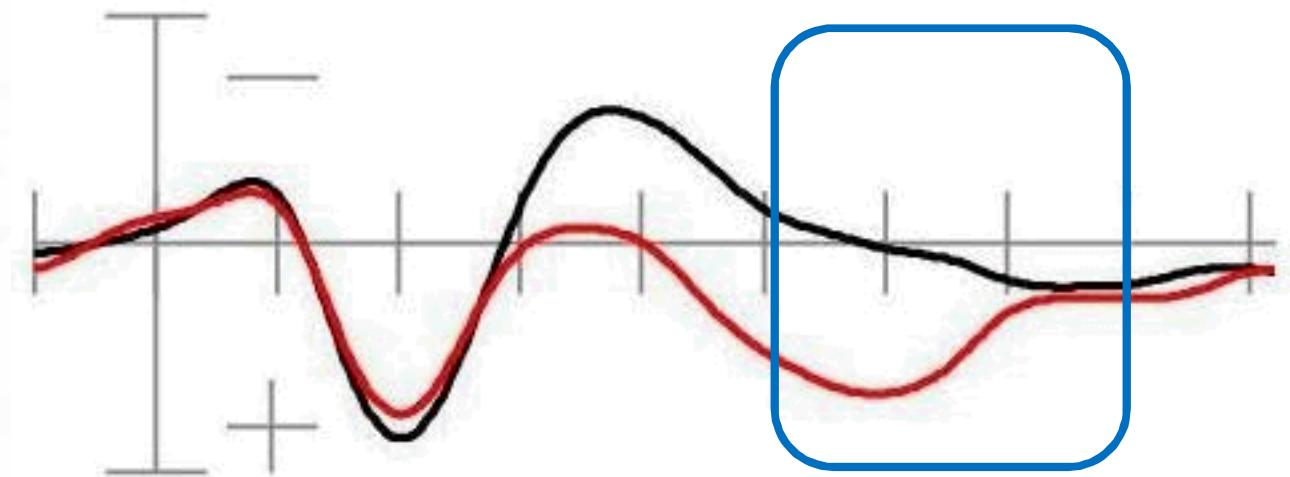
assent

fire

noon

fight

alarm



LPC larger for repetition:

- Explicit memory
- Conscious recollection



Words Studied Twice, Long Lag

fight

alarm = —

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm = —





Words Studied Twice, Long Lag

fight

alarm = —

cut

nation

cut

nation

storm

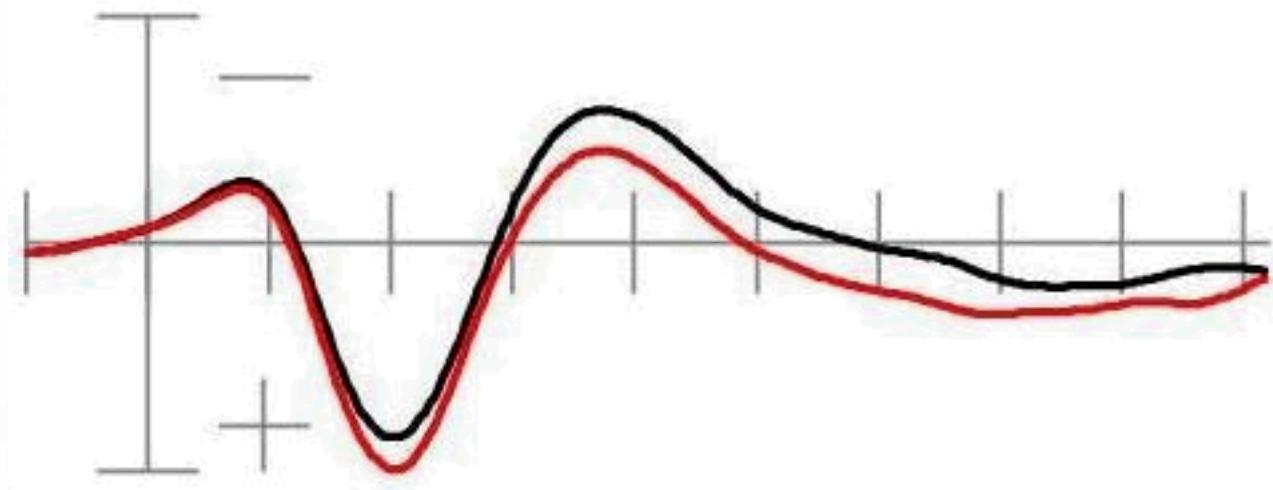
assent

fire

noon

fight

alarm = —





Words Studied Twice, Long Lag

fight

alarm

= —

cut

nation

cut

nation

storm

assent

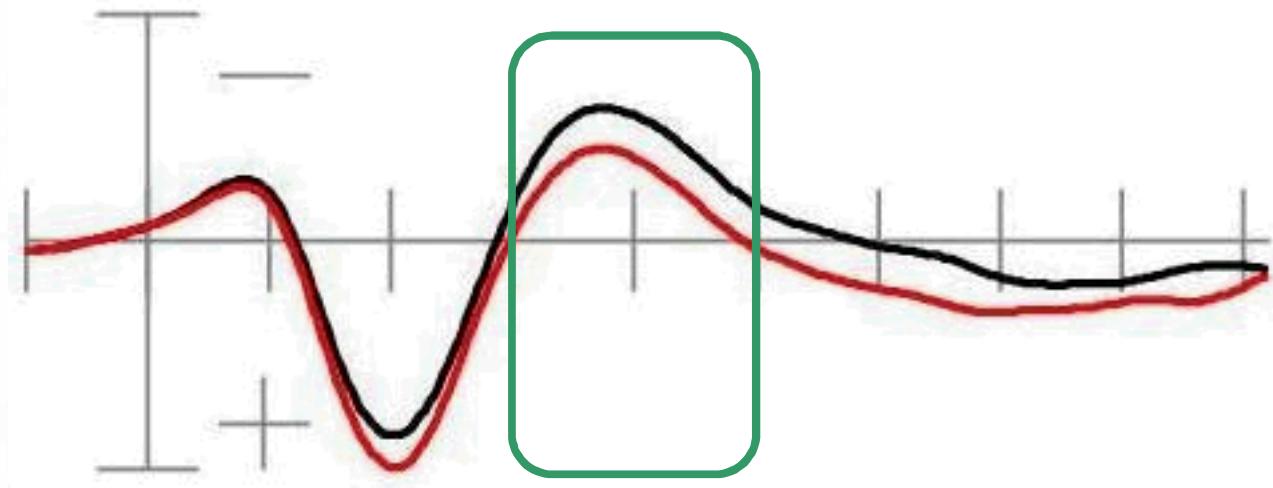
fire

noon

fight

alarm

= —



N4 smaller for repetition:
- Smaller difference than
short lag





Words Studied Twice, Long Lag

fight
alarm = —

cut
nation
cut

nation
storm

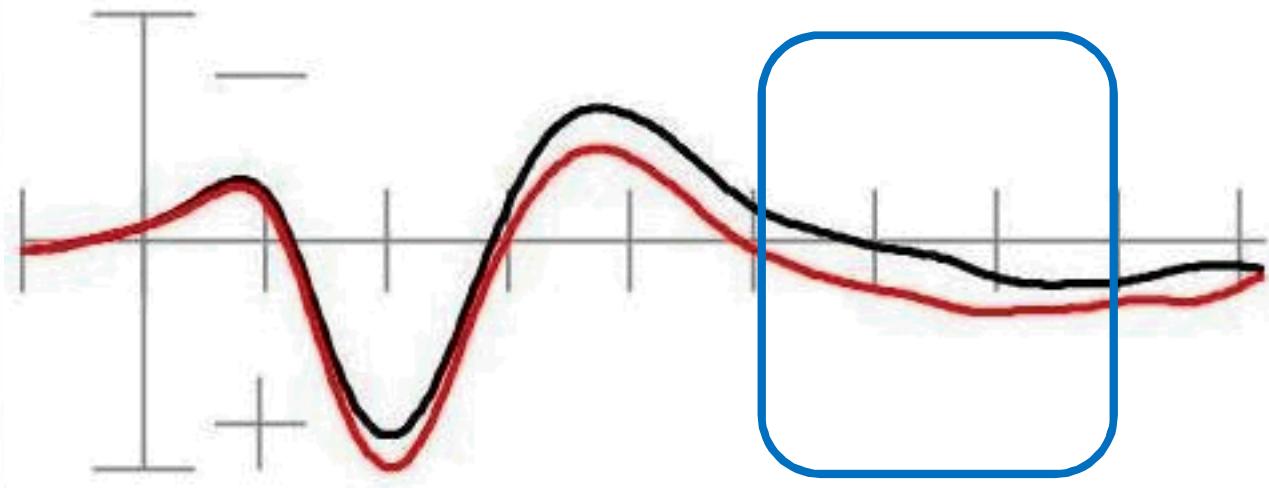
assent

fire

noon

fight

alarm = —



LPC larger for repetition:
- Smaller difference than
short lag





Words Studied and Tested, Short Lag

fight

alarm

cut

nation = —

cut

nation = —

storm

assent

fire

noon

fight

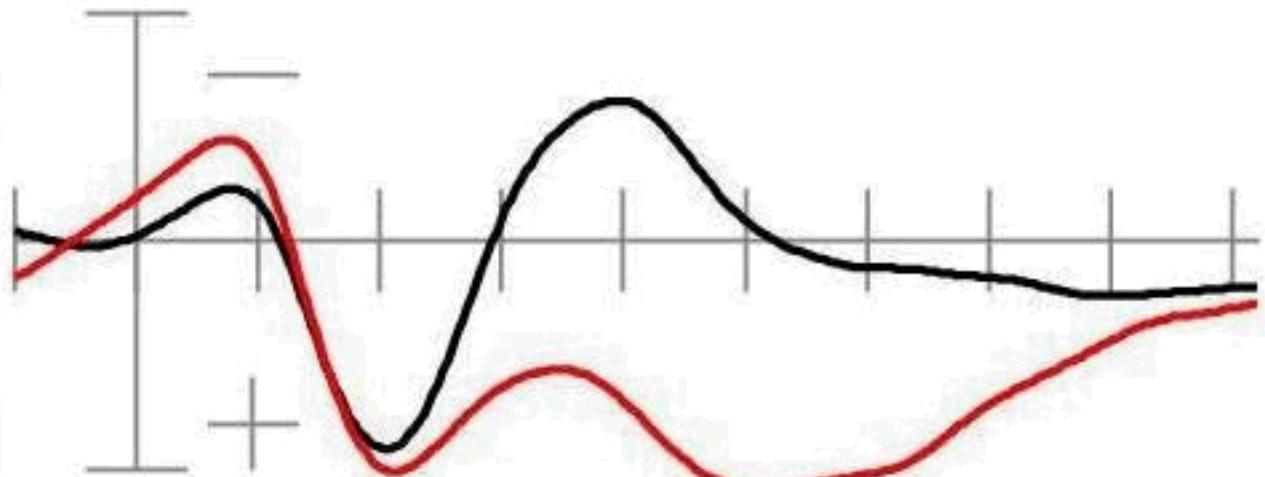
alarm





Words Studied and Tested, Short Lag

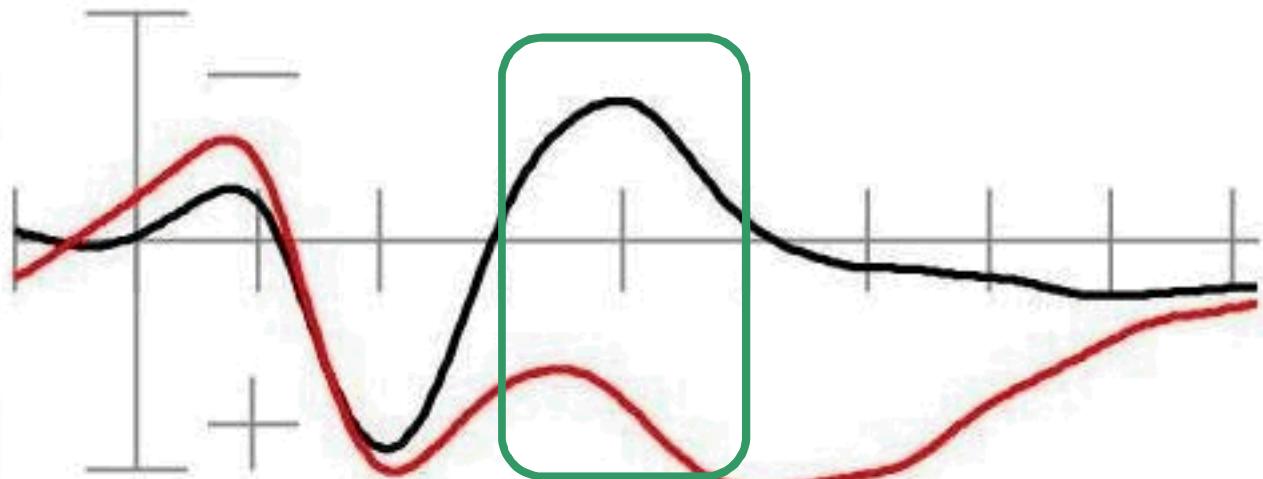
fight
alarm
cut
nation = —
cut
nation = —
storm
assent
fire
noon
fight
alarm





Words Studied and Tested, Short Lag

fight
alarm
cut
nation = —
cut
nation = —
storm
assent
fire
noon
fight
alarm



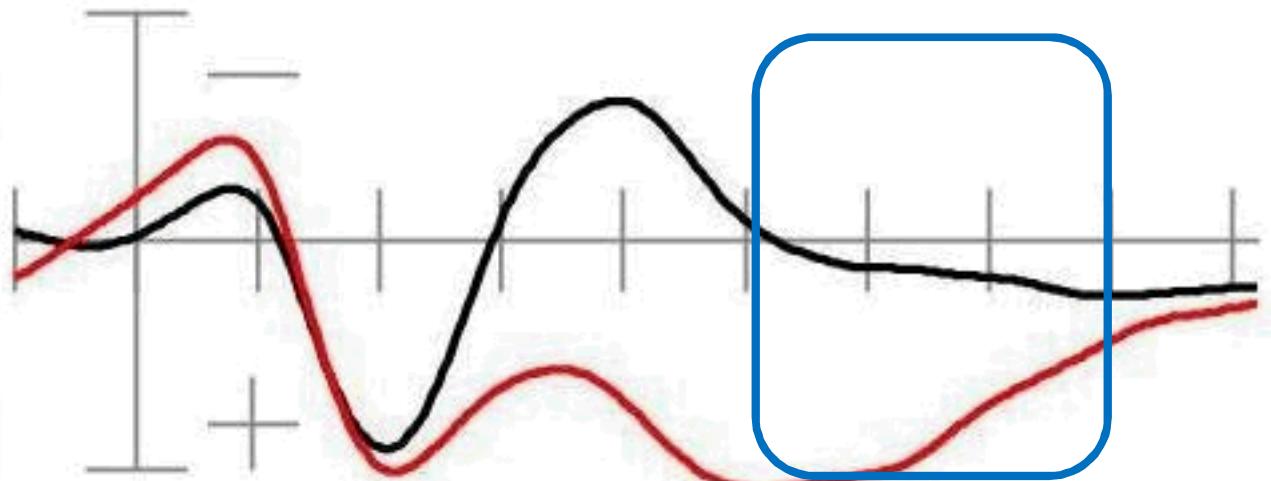
N4 smaller for repetition:
- Larger difference than
twice-studied words





Words Studied and Tested, Short Lag

fight
alarm
cut
nation = —
cut
nation = —
storm
assent
fire
noon
fight
alarm



LPC larger for repetition:
- Larger difference than
twice-studied words





Words Studied and Tested, Long Lag

fight = —

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

= —

alarm





Words Studied and Tested, Long Lag

fight = —

alarm

cut

nation

cut

nation

storm

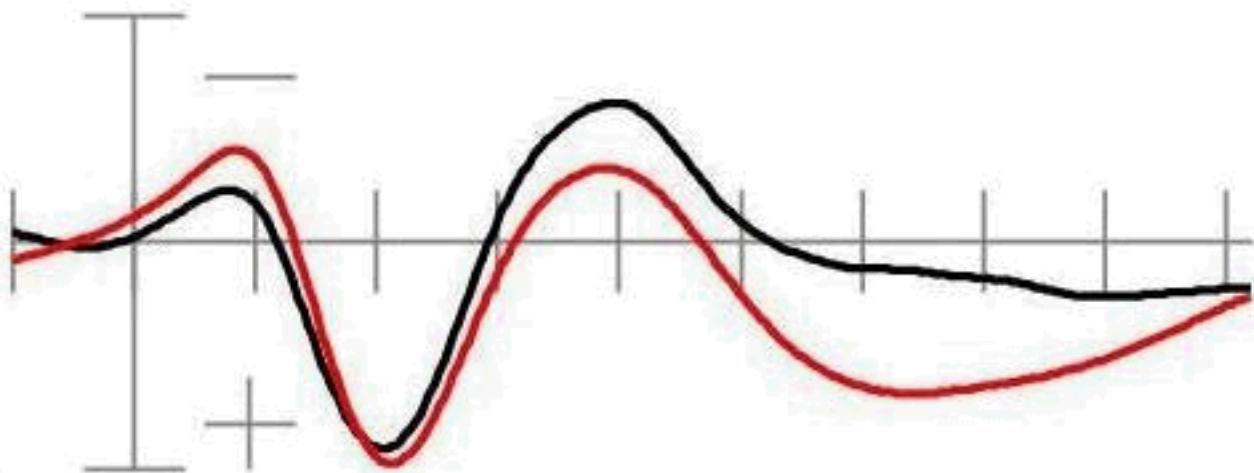
assent

fire

noon

fight = —

alarm





Words Studied and Tested, Long Lag

fight = —

alarm

cut

nation

cut

nation

storm

assent

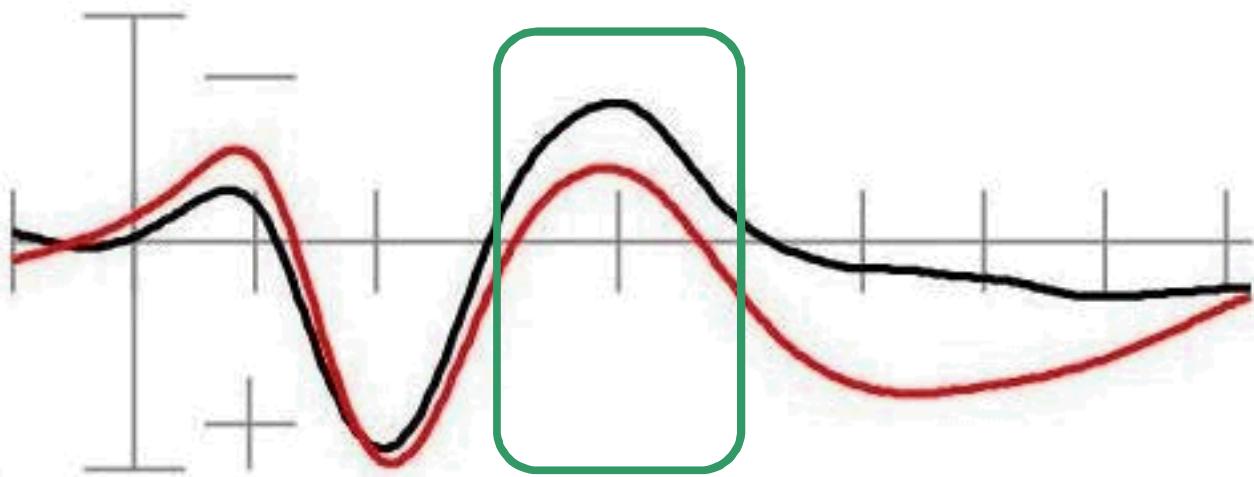
fire

noon

fight

= —

alarm



N4 smaller for repetition:
- Smaller difference than
short lag





Words Studied and Tested, Long Lag

fight = —

alarm

cut

nation

cut

nation

storm

assent

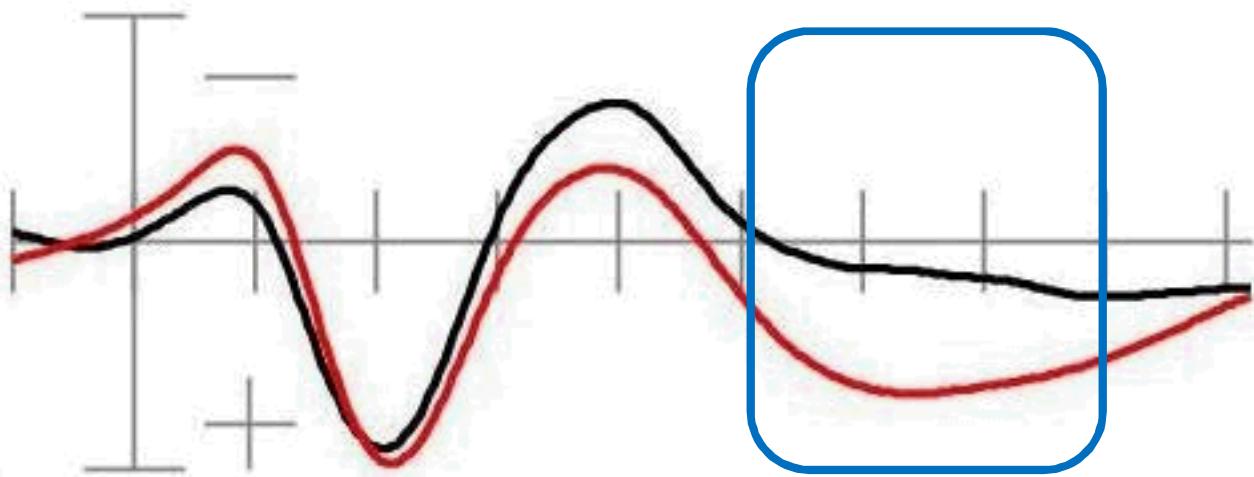
fire

noon

fight

= —

alarm



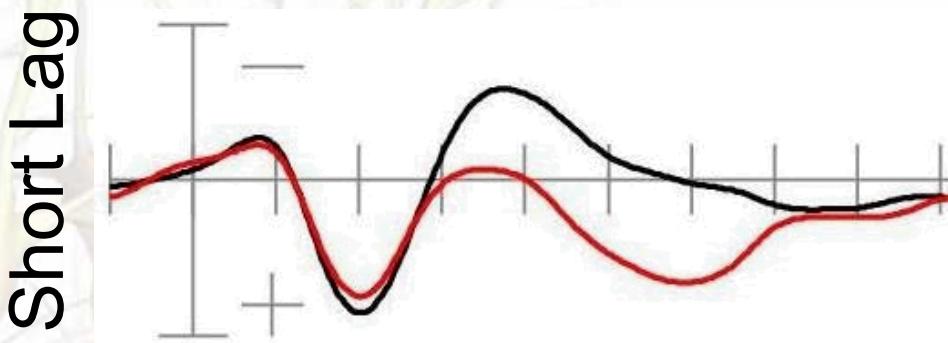
LPC larger for repetition:
- Smaller difference than
short lag



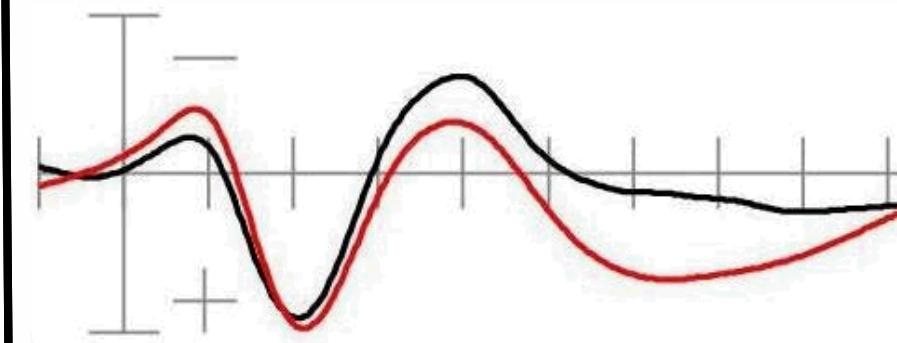
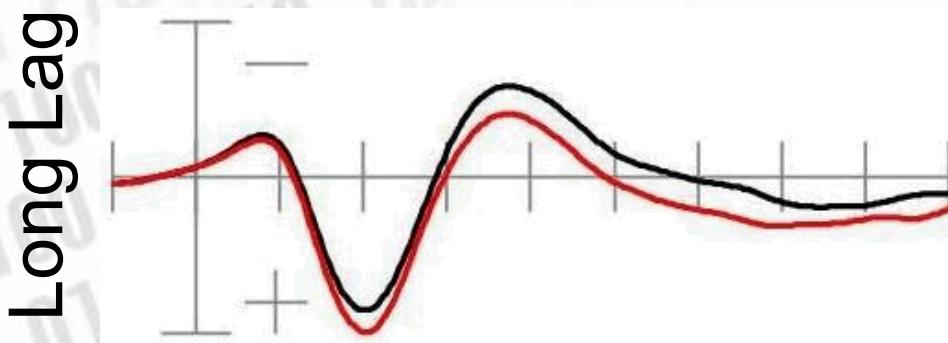
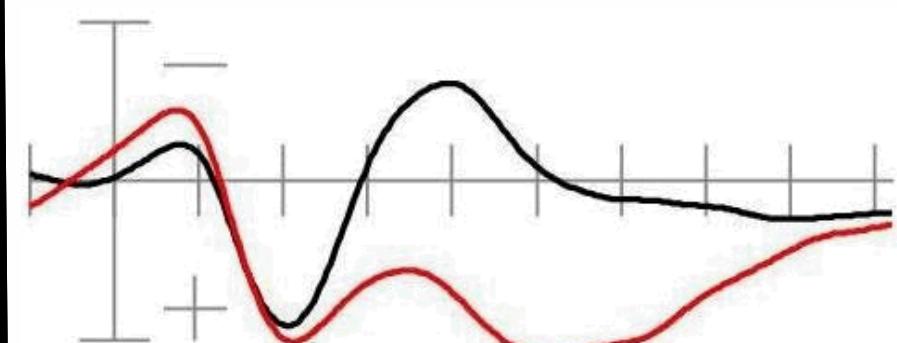


All Repetition Effects

Study-Study



Study-Test





Dm Effect

Once-studied words

fight

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm

Later Remembered

Later Forgotten

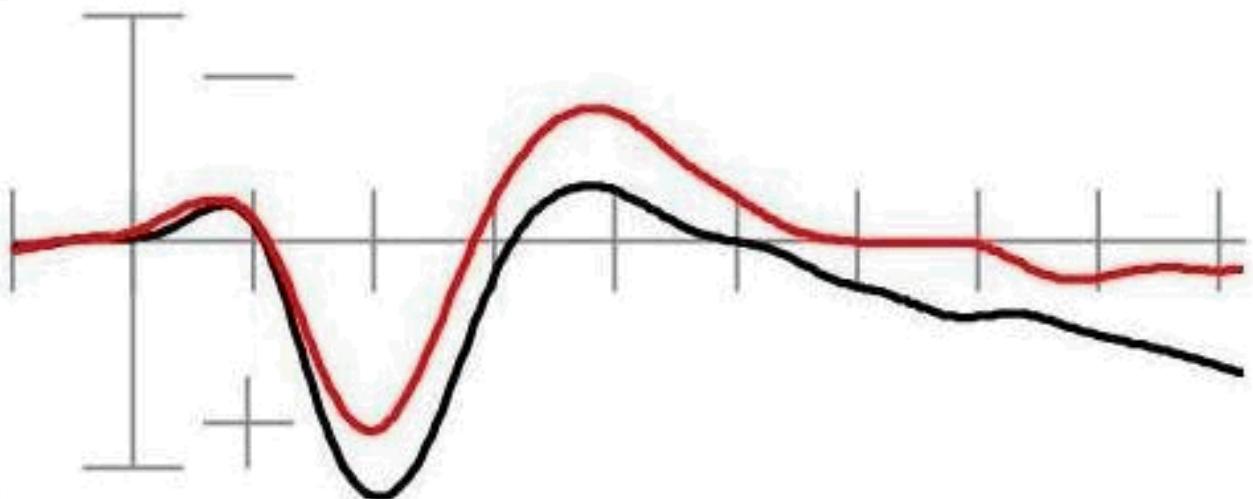




Dm Effect

Once-studied words

fight
alarm
cut
nation
cut
nation
storm
assent
fire
noon
fight
alarm



Later Remembered
Later Forgotten





Dm Effect

Once-studied words

fight

alarm

cut

nation

cut

nation

storm

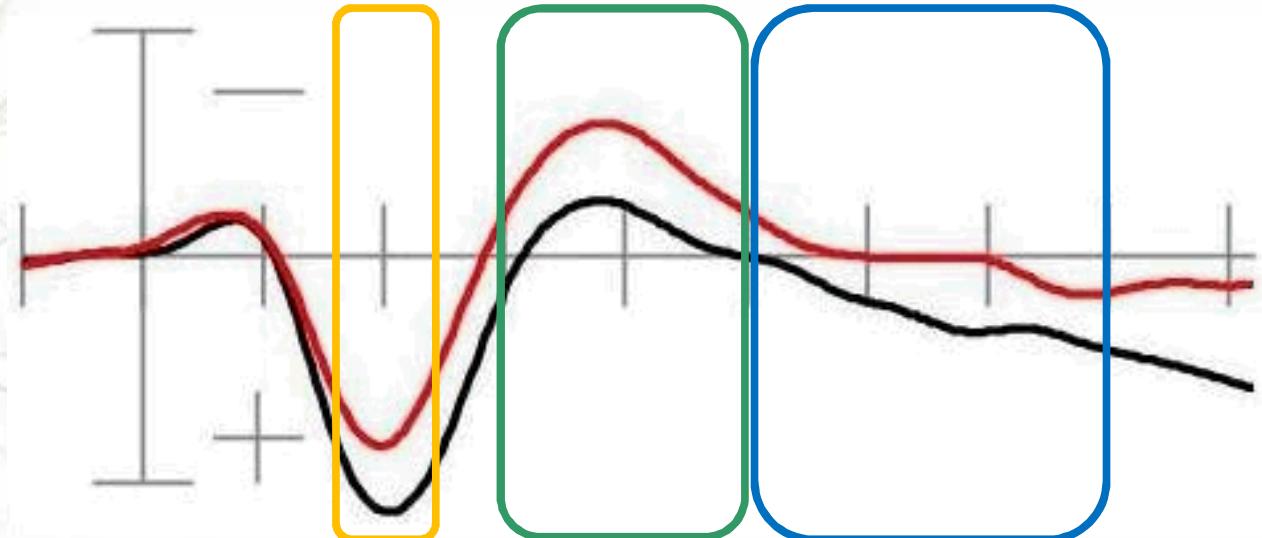
assent

fire

noon

fight

alarm



Later Remembered
Later Forgotten





Dm Effect

Once-studied words

fight
alarm
cut
nation
cut
nation
storm
assent
fire
noon
fight
alarm

Later Remembered
Later Forgotten





Dm Effect

Study-study words

fight

alarm

cut

nation

cut

nation

storm

assent

fire

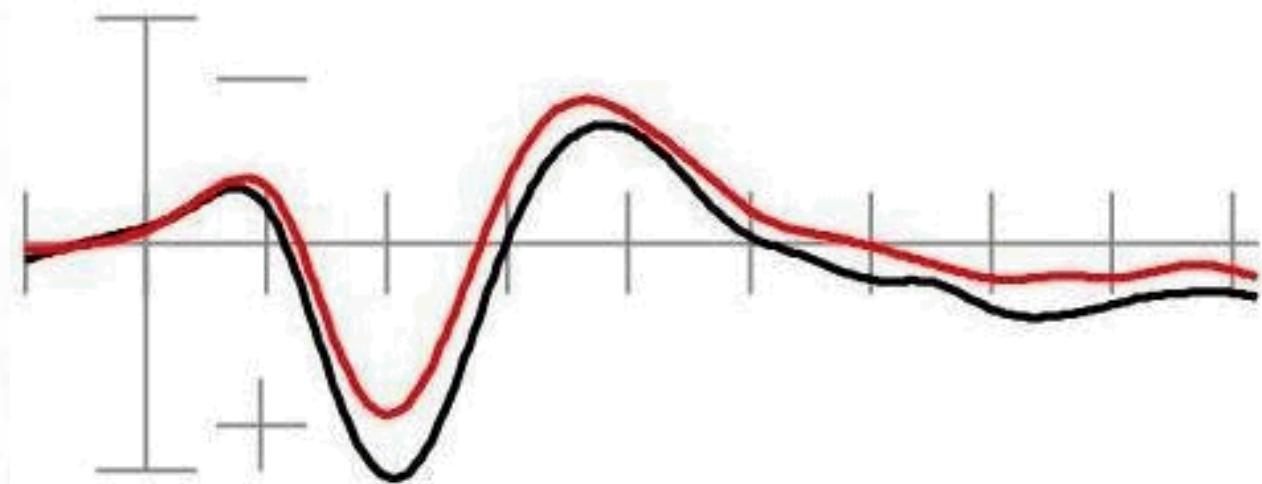
noon

fight

alarm

Later Remembered

Later Forgotten





Dm Effect

Study-study words

fight

alarm

cut

nation

cut

nation

storm

assent

fire

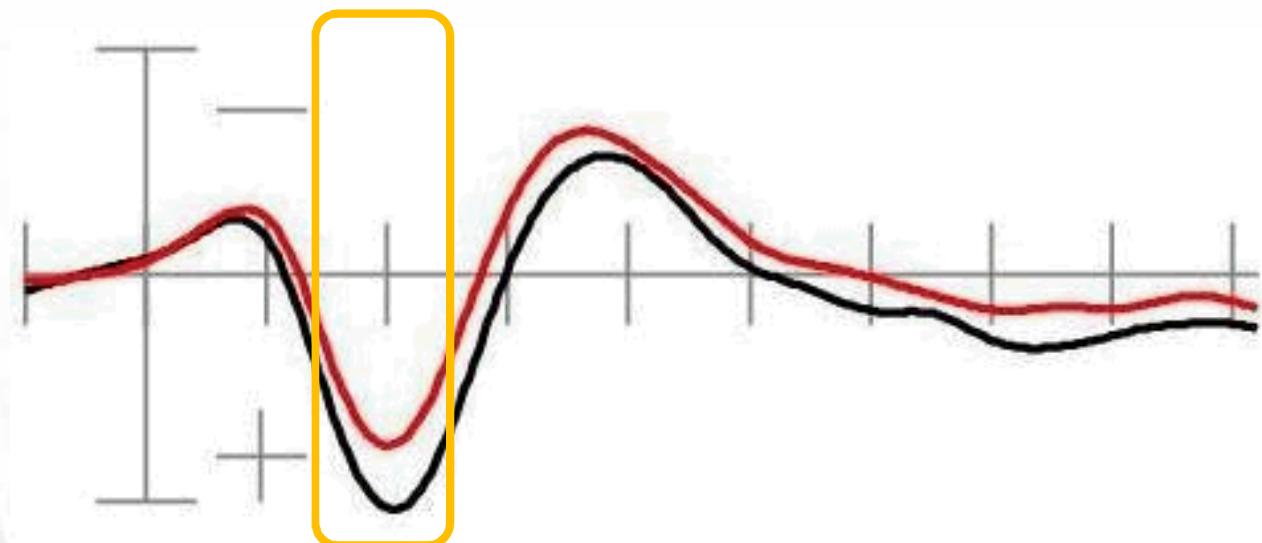
noon

fight

alarm

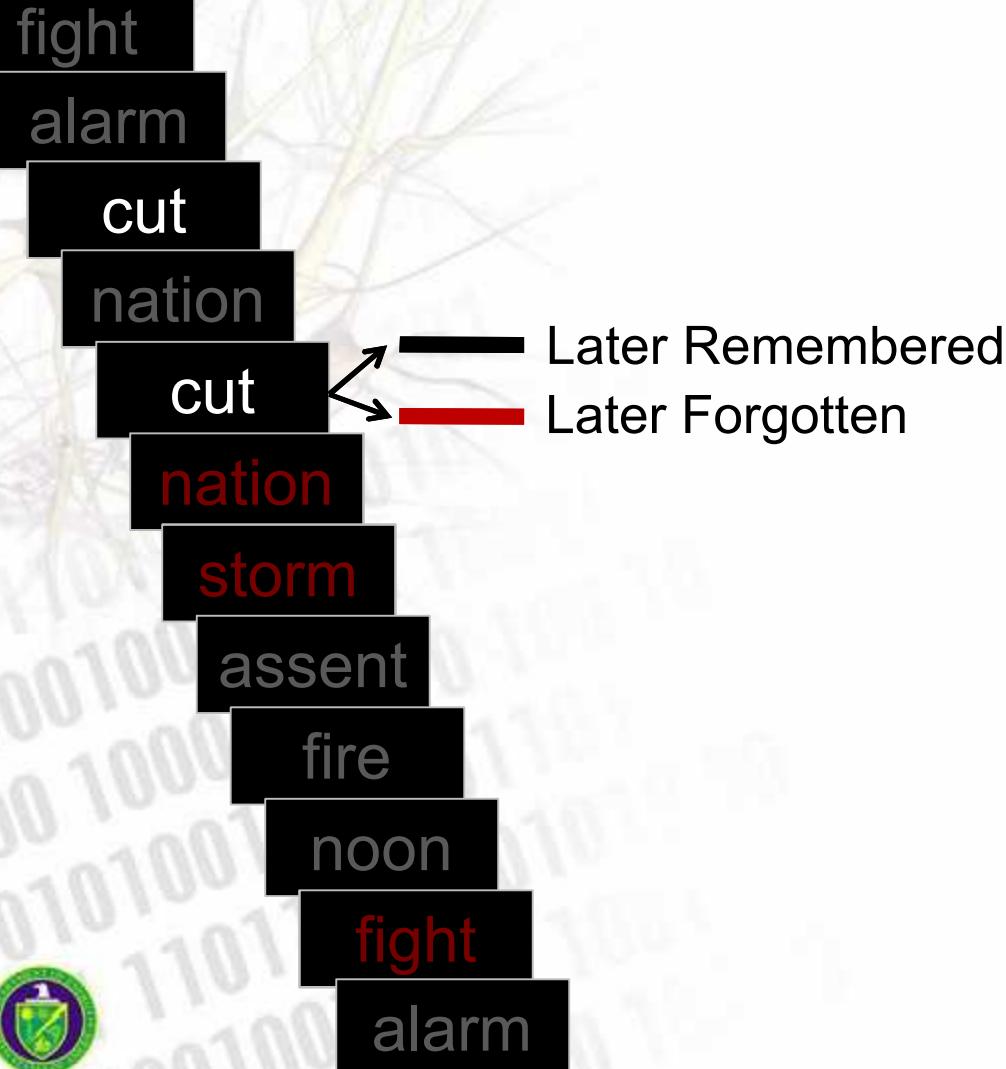
Later Remembered

Later Forgotten





Study-study words, short lag





Dm-R Effect

Study-study words, short lag

fight

alarm

cut

nation

cut

nation

storm

assent

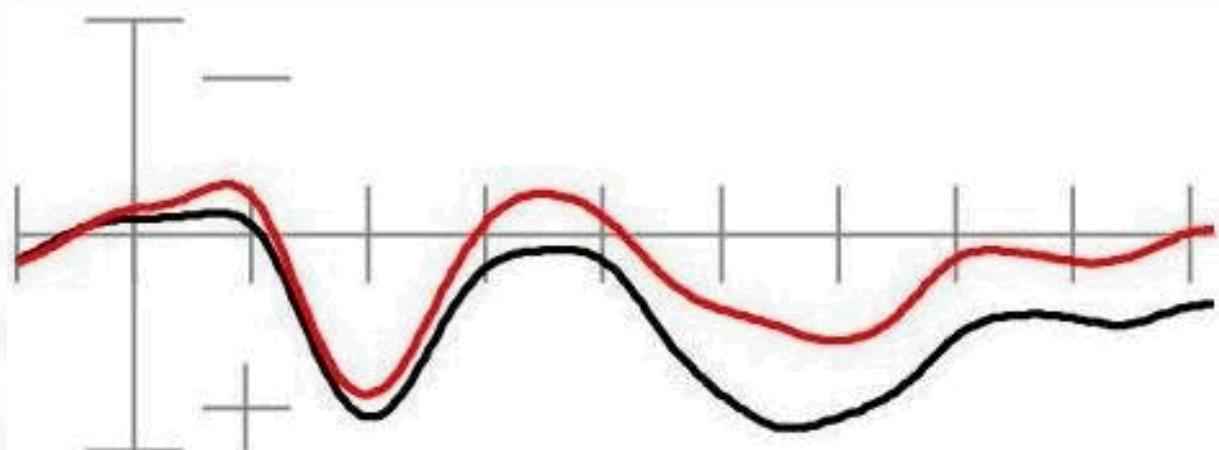
fire

noon

fight

alarm

Later Remembered
Later Forgotten





Dm-R Effect

Study-study words, short lag

fight

alarm

cut

nation

cut

nation

storm

assent

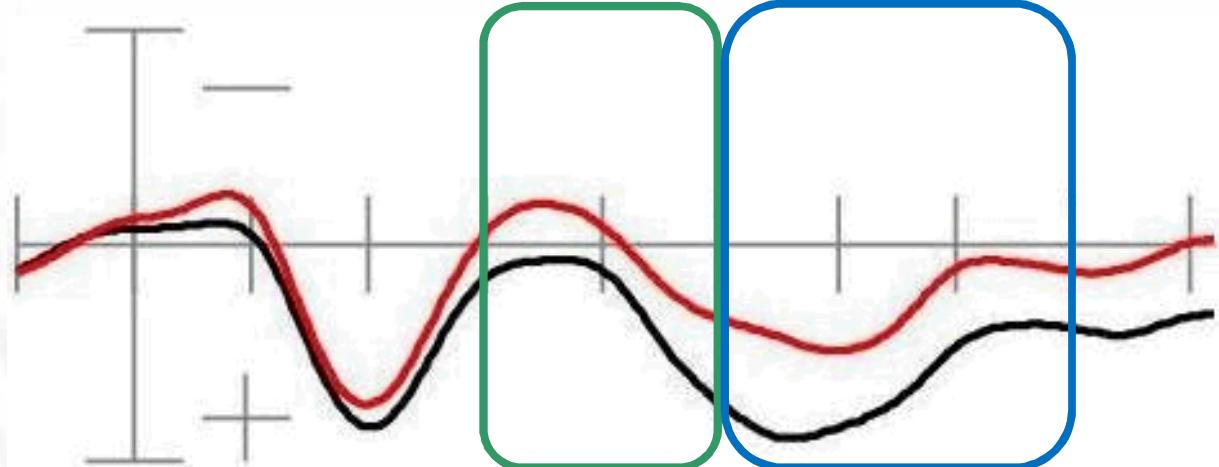
fire

noon

fight

alarm

Later Remembered
Later Forgotten





Study-study words, long lag

fight

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm

Later Remembered
Later Forgotten





Dm-R Effect

Study-study words, long lag

fight

alarm

cut

nation

cut

nation

storm

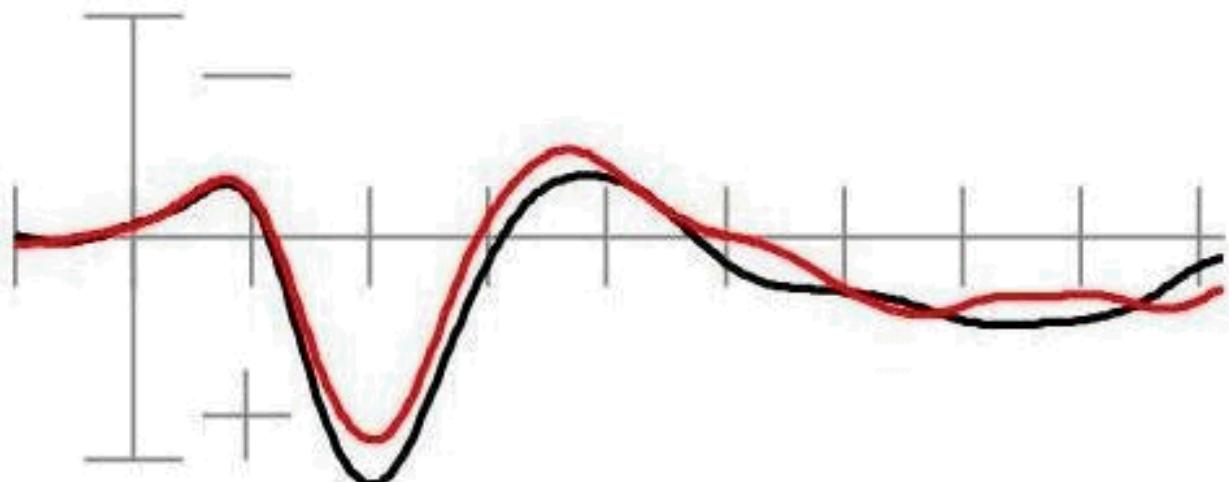
assent

fire

noon

fight

alarm



Later Remembered
Later Forgotten





Study-study words, long lag

fight

alarm

cut

nation

cut

nation

storm

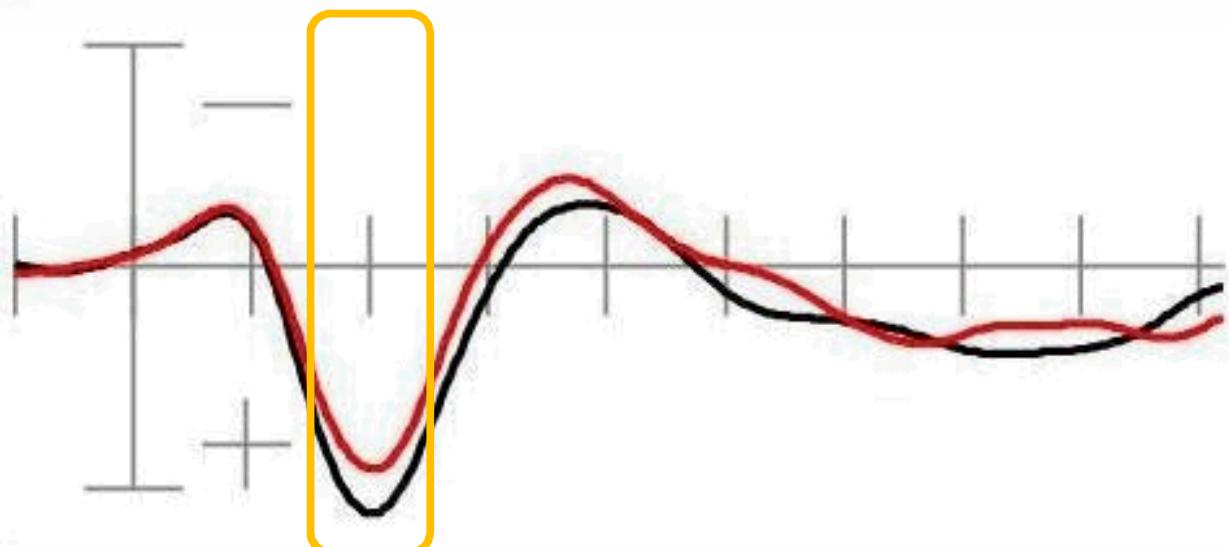
assent

fire

noon

fight

alarm

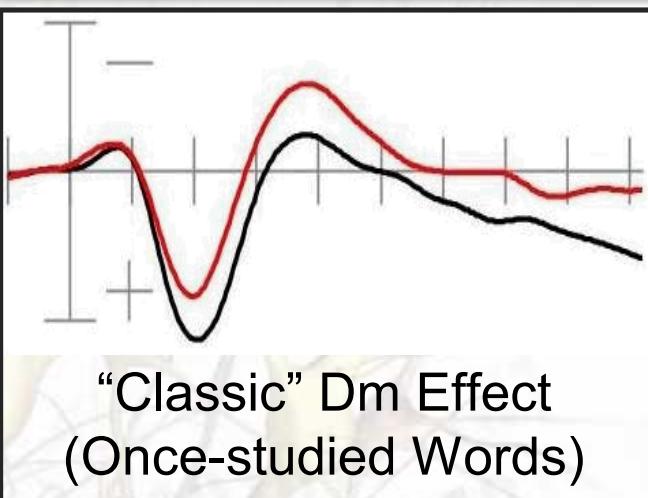


Later Remembered
Later Forgotten



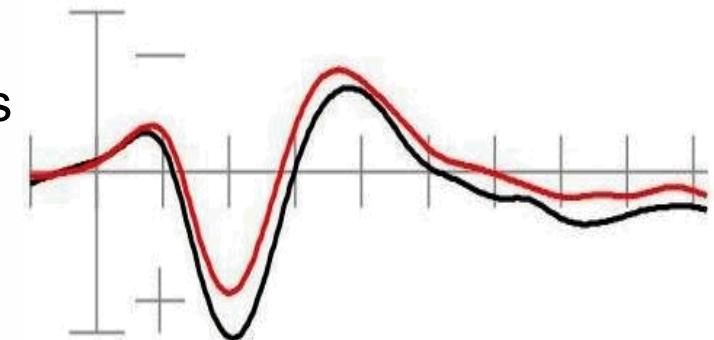


Comparison of Study-Study Conditions



Twice-studied Words

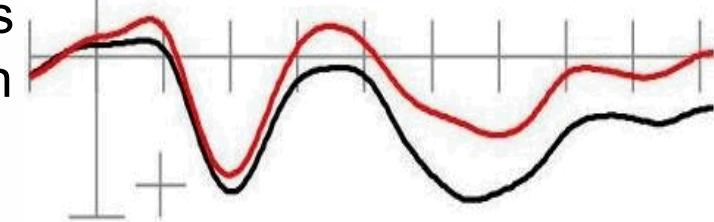
First Presentation



Twice-studied Words

Second Presentation

Short Lag



Twice-studied Words

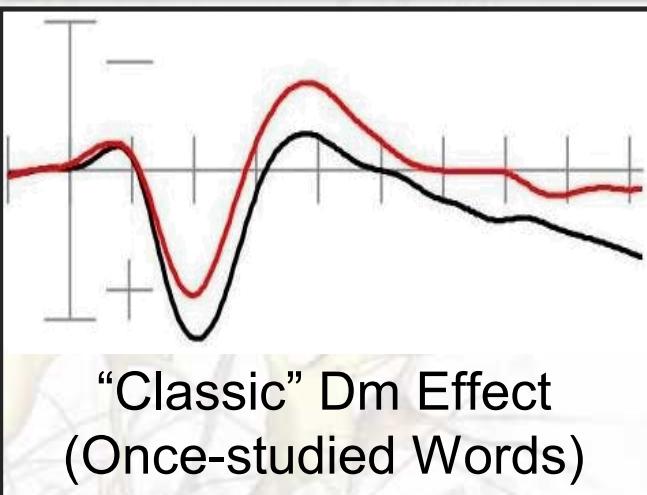
Second Presentation

Long Lag



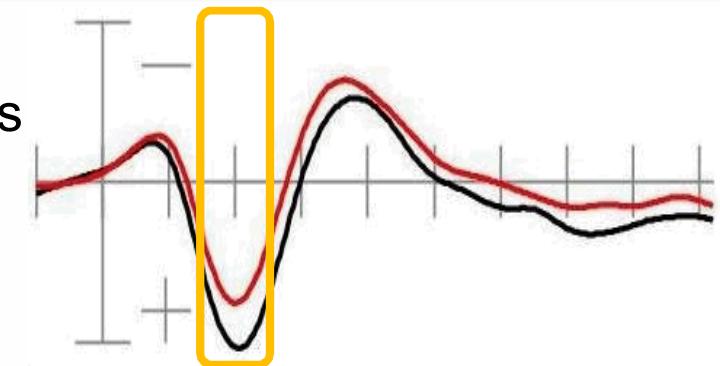


Comparison of Study-Study Conditions



Twice-studied Words

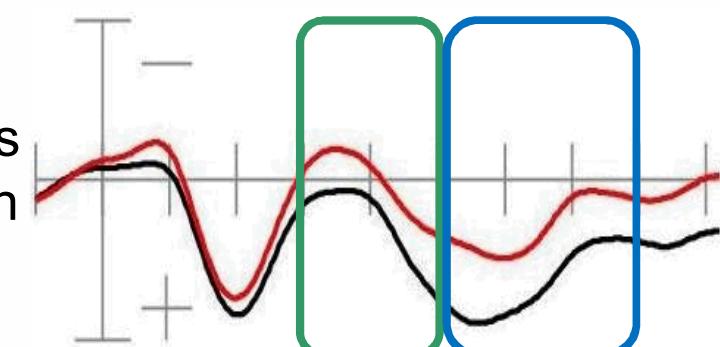
First Presentation



Twice-studied Words

Second Presentation

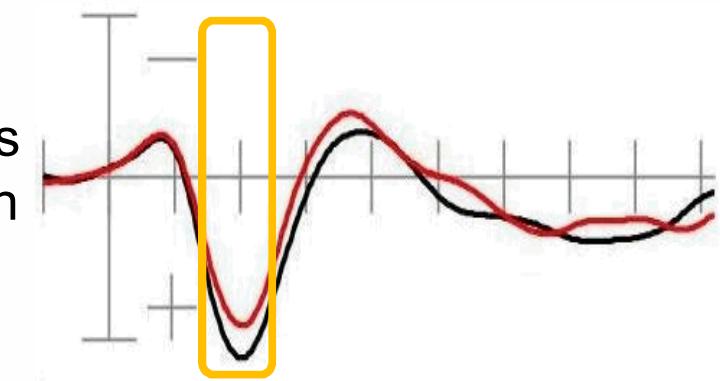
Short Lag



Twice-studied Words

Second Presentation

Long Lag

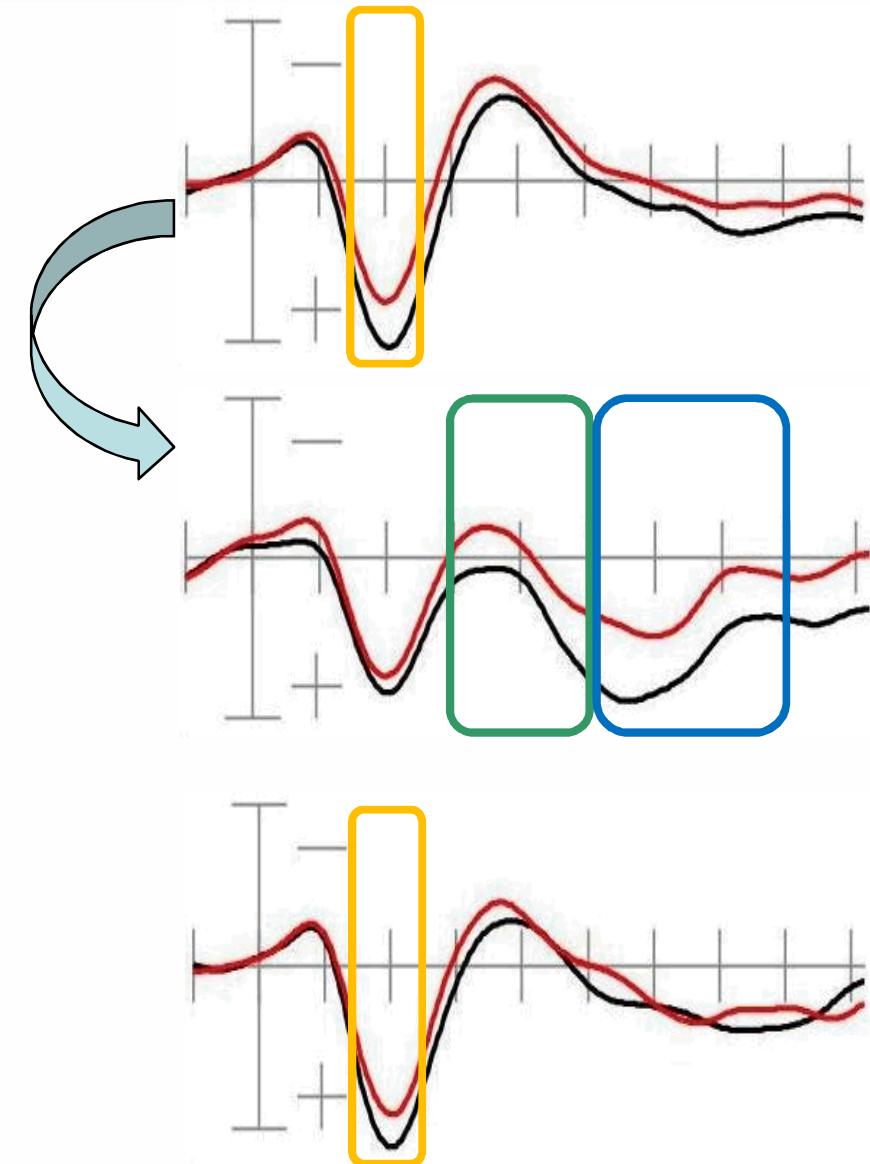




Comparison of Study-Study Conditions

Short lag repetitions:

- Interaction between two presentations
- Subsequent memory driven by second presentation





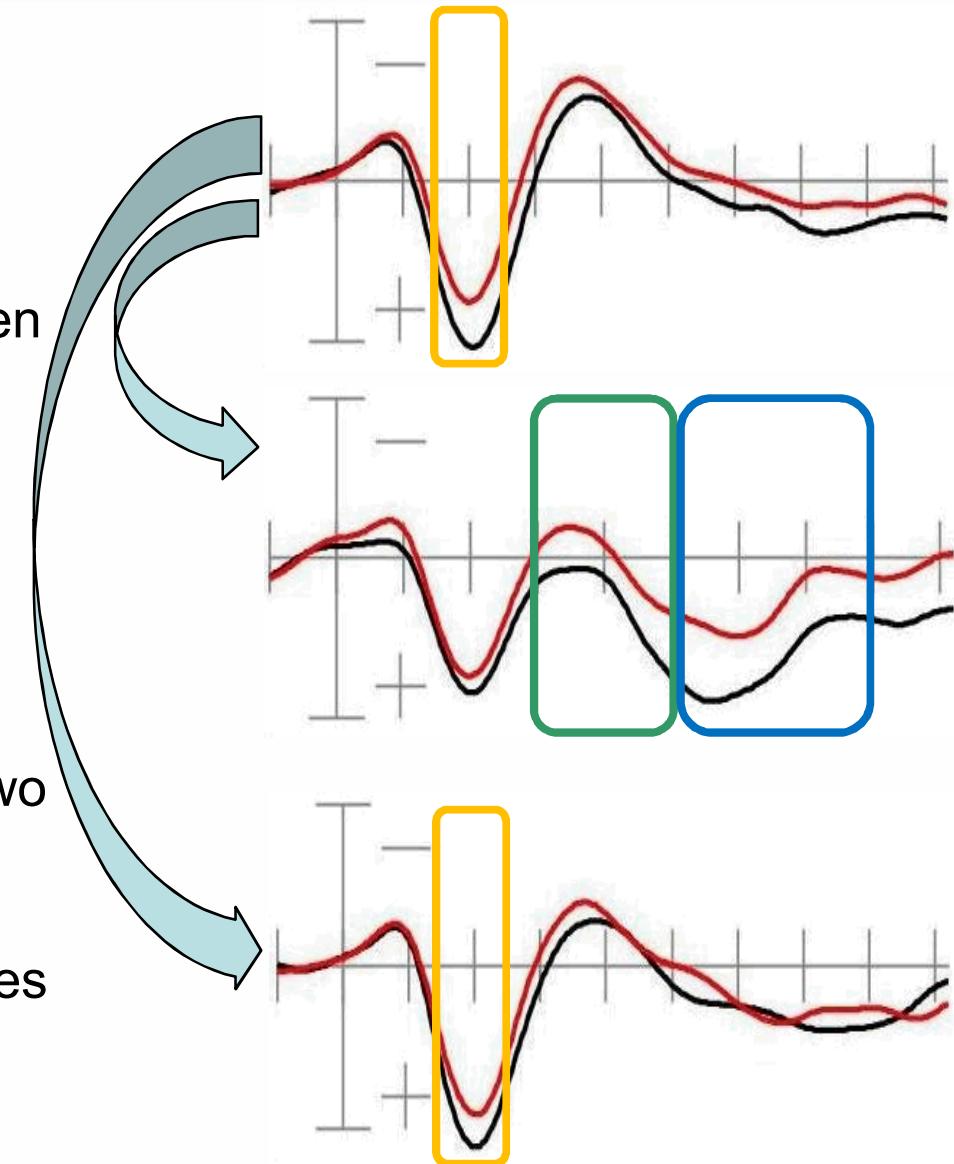
Comparison of Study-Study Conditions

Short lag repetitions:

- Interaction between two presentations
- Subsequent memory driven by second presentation

Long lag repetitions:

- No interaction between two presentations
- Two distinct memory traces





Dm Effect

Study-test words





Dm Effect Study-test words

fight

alarm

cut

nation

cut

nation

storm

assent

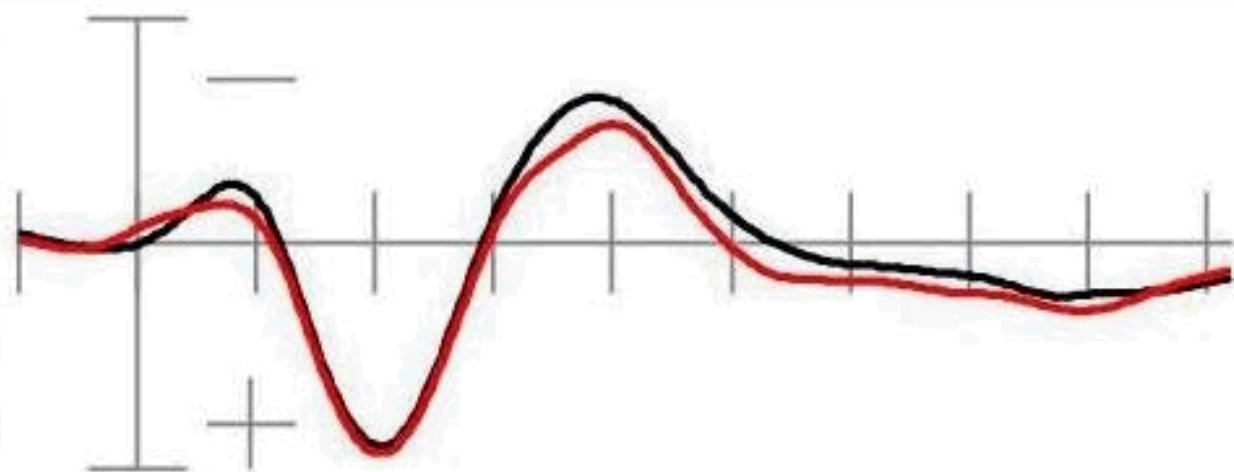
fire

noon

fight

alarm

Later Remembered
Later Forgotten





Study-test words, short lag

fight

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm

Later Remembered

Later Forgotten





Dm-R Effect

Study-test words, short lag

fight

alarm

cut

nation

cut

nation

storm

assent

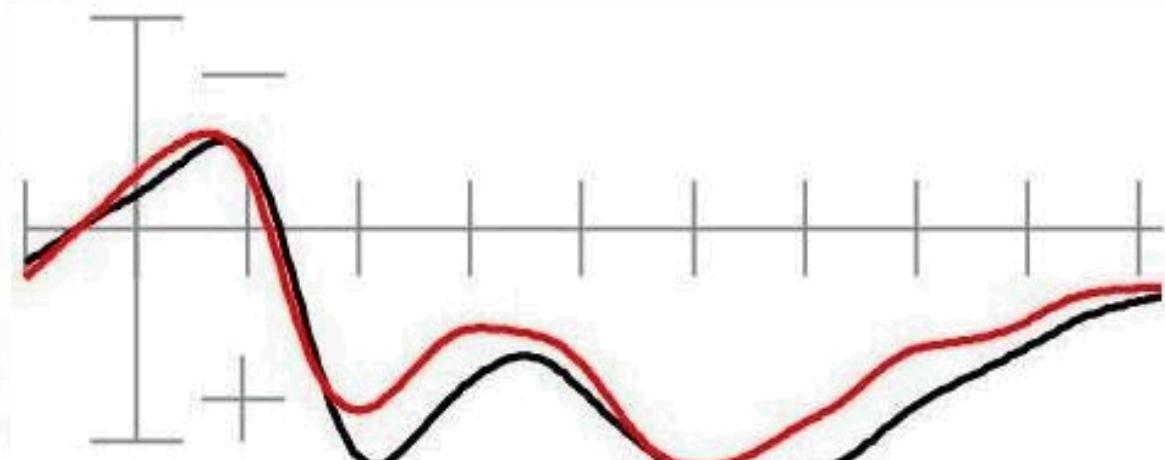
fire

noon

fight

alarm

Later Remembered
Later Forgotten





Dm-R Effect

Study-test words, short lag

fight

alarm

cut

nation

cut

nation

storm

assent

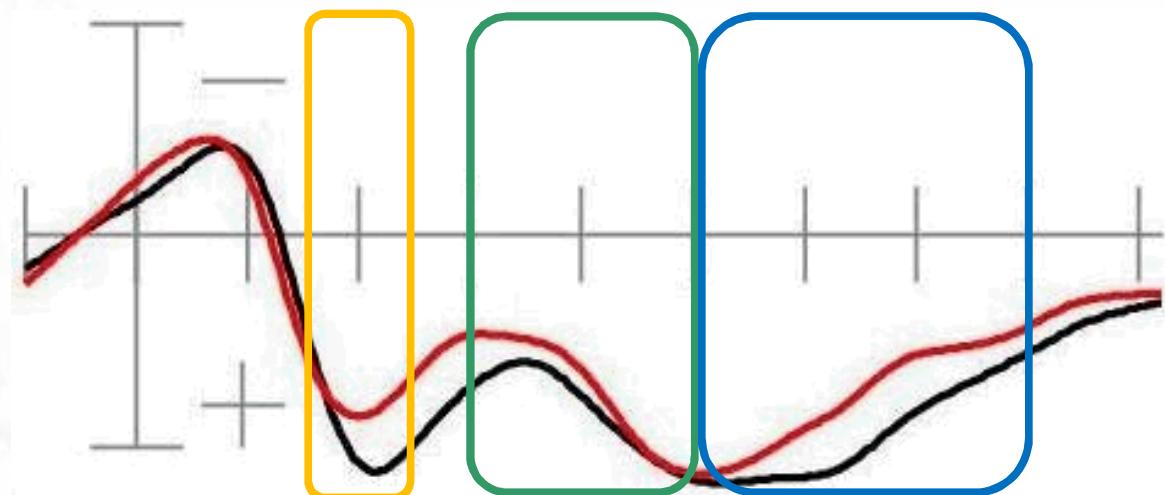
fire

noon

fight

alarm

Later Remembered
Later Forgotten





Study-test words, long lag

fight

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm

Later Remembered
Later Forgotten





Dm-R Effect

Study-test words, long lag

fight

alarm

cut

nation

cut

nation

storm

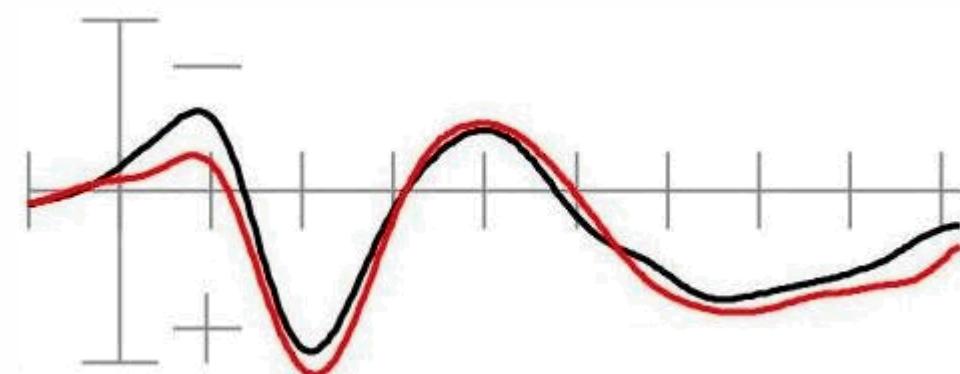
assent

fire

noon

fight

alarm



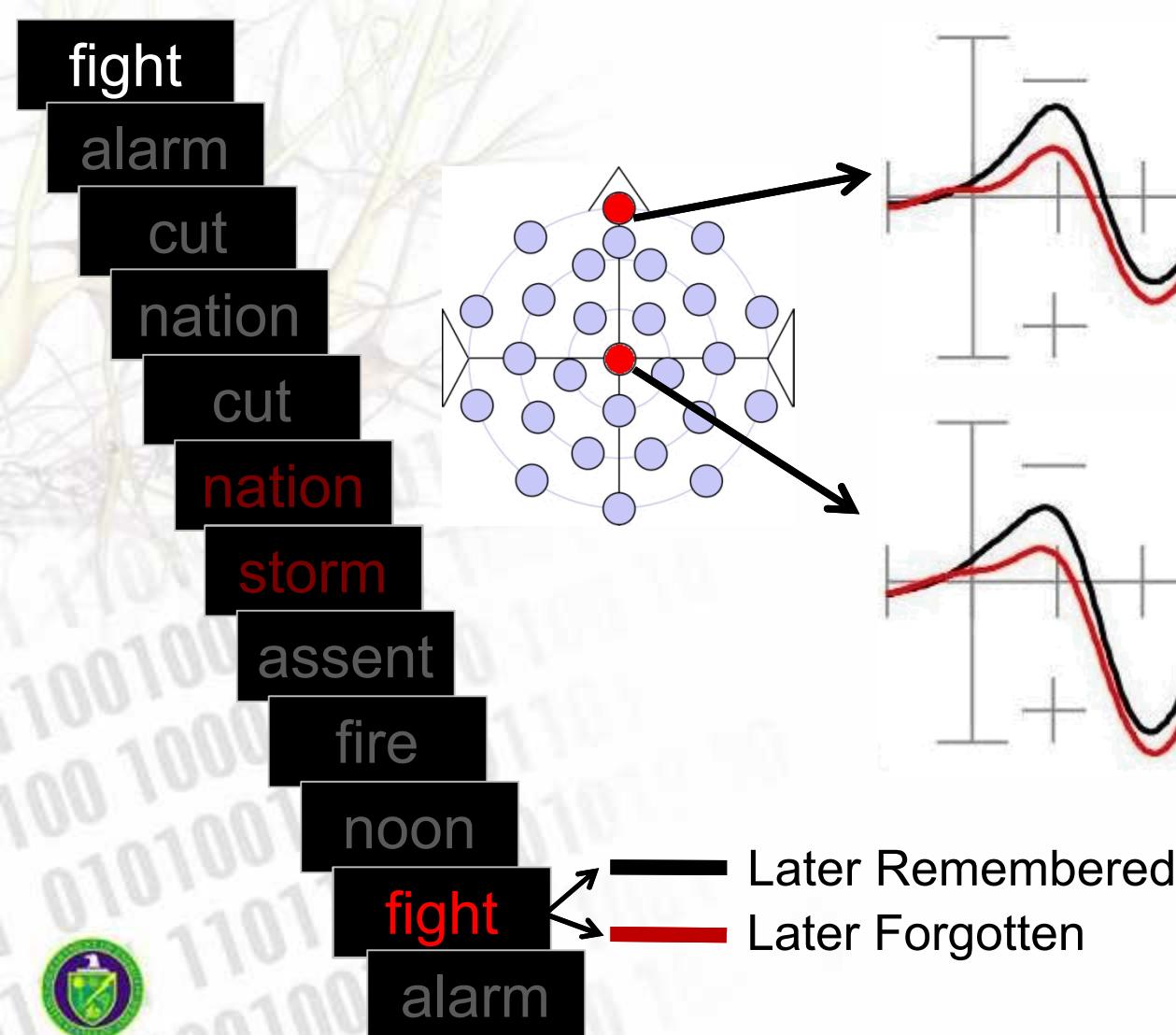
Later Remembered
Later Forgotten

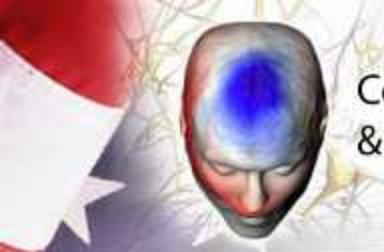




Dm-R Effect

Study-test words, long lag





Summary of Experiment 1 Findings

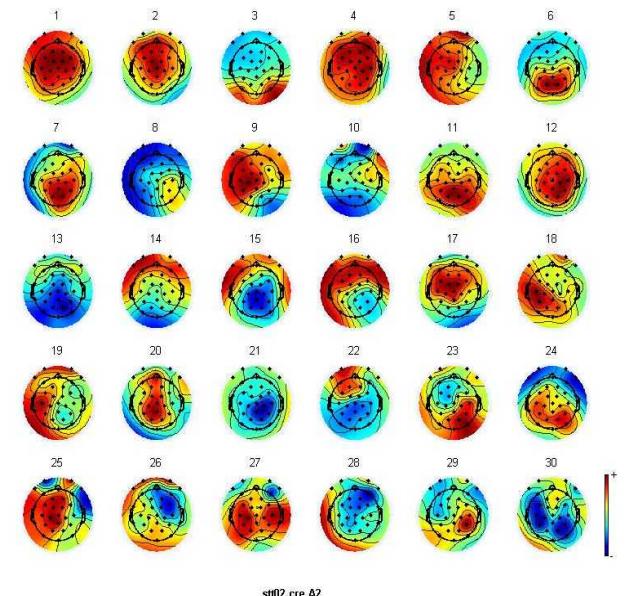
- New information about Dm Effect
- Study-study conditions
 - Two routes to similar memory performance?
- Study-test conditions
 - Frontal negativity component
- Conference presentations:
 - Matzen, L. E. & Federmeier, K. D. (2010, June). Repetitions and reminding: A novel analysis of the Dm Effect. Presentation at the First Interbrain Symposium and International ICA Conference, Jyväskylä, Finland.





Next Steps

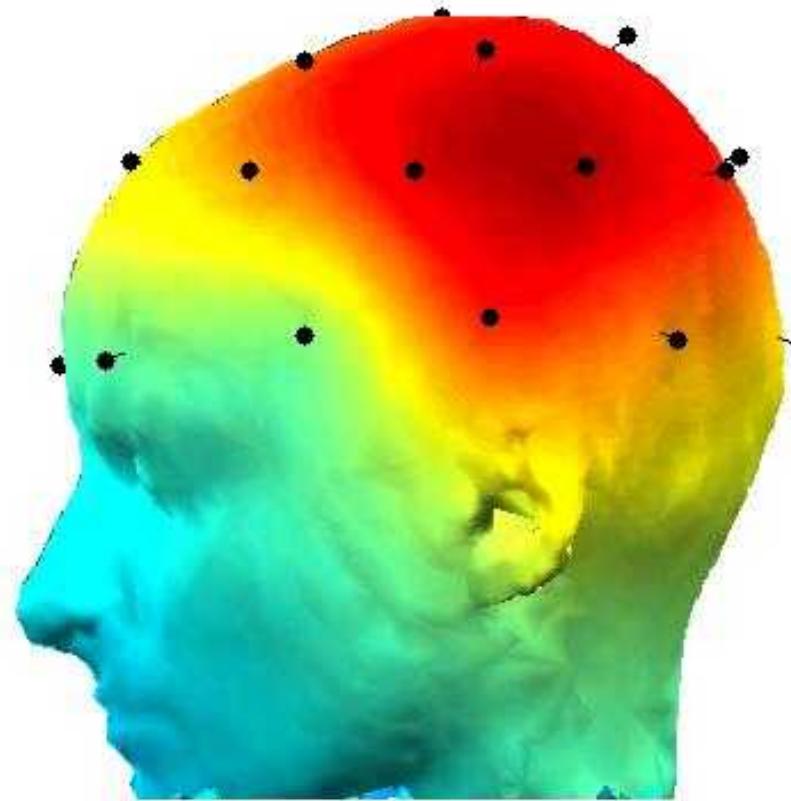
- Computational Modeling
- Exploring individual differences
- Experiments 2 and 3
- Designing interventions





Cognitive Science
& Technology

Summary of Effort



 Sandia
National
Laboratories