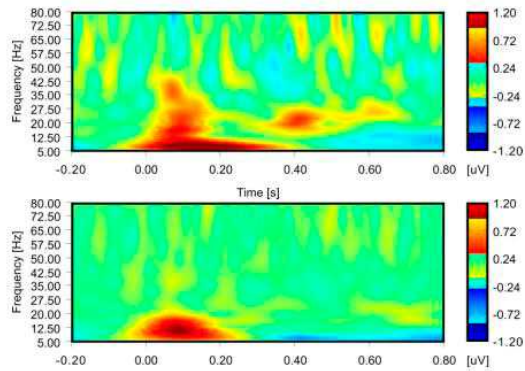
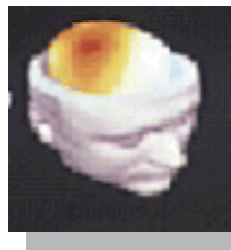
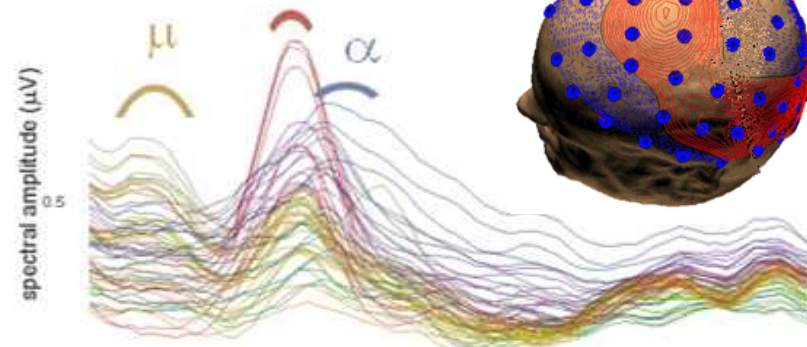
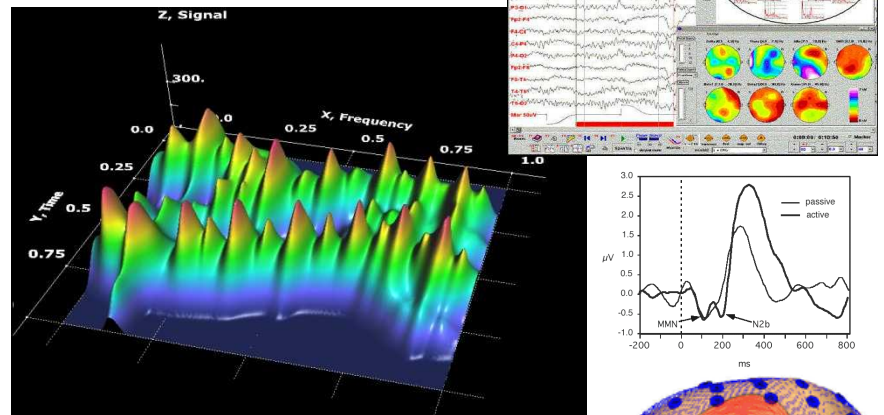
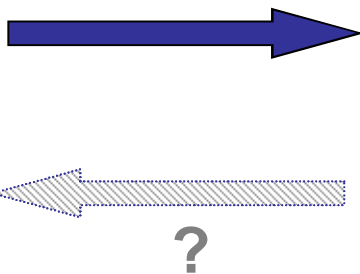
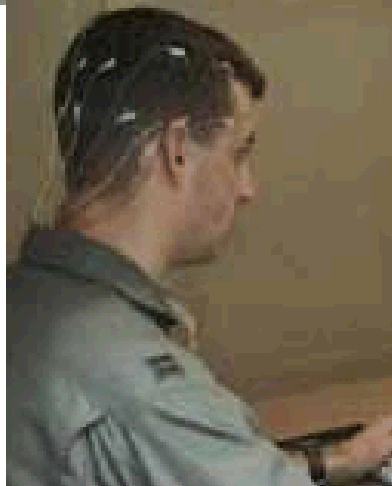


# From Sensing to Enhancing Brain Processes

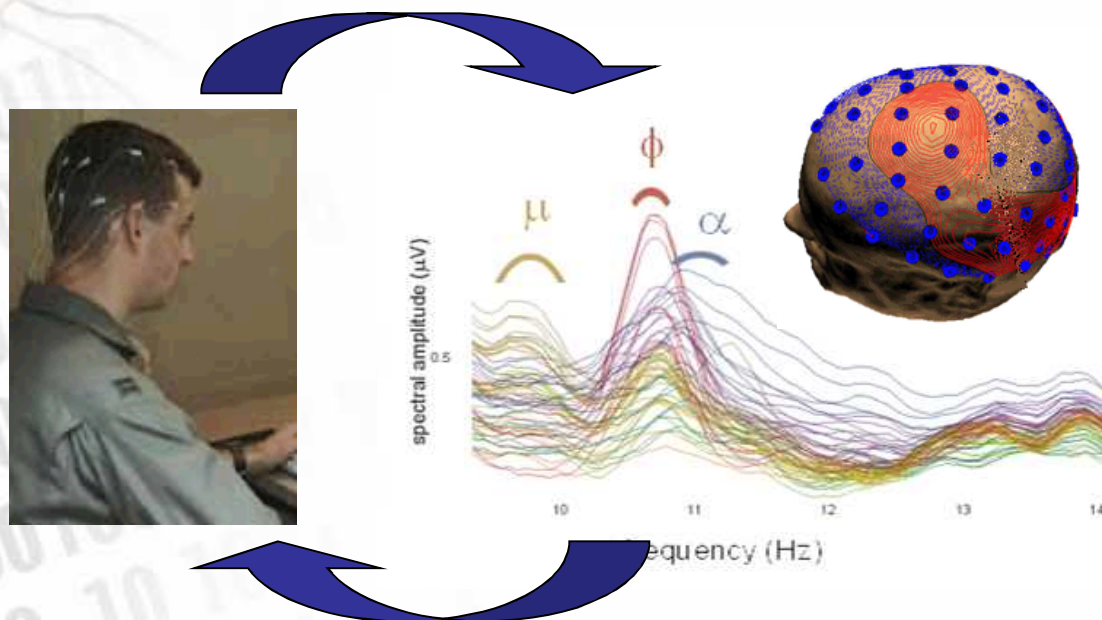
PI: Laura Matzen, 1434



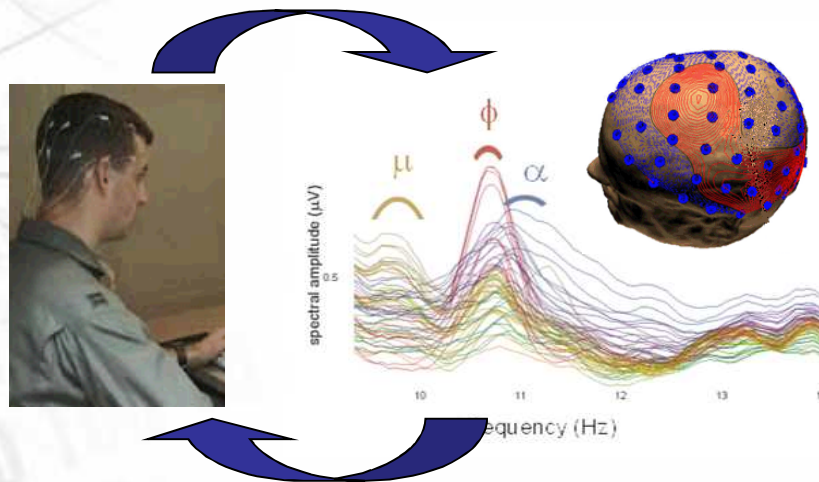


# Project Goals

- Develop scientific basis for designing systems that apply recorded brain activity to improving human performance



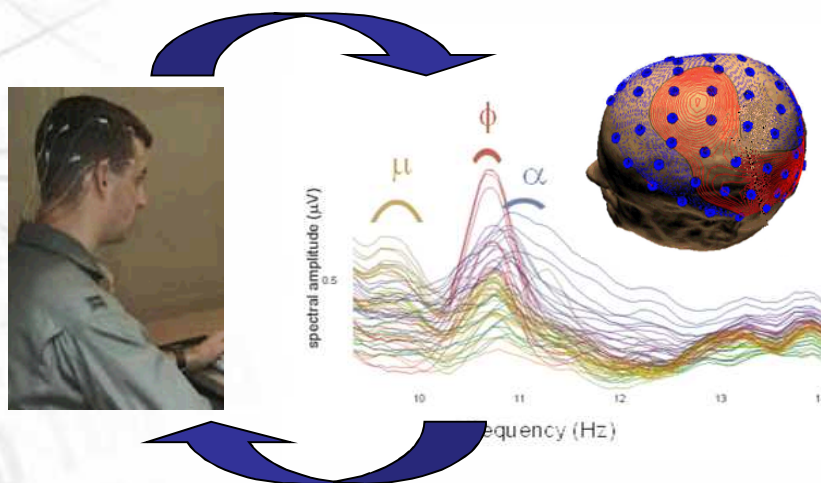
# Scientific Goals





# Scientific Goals

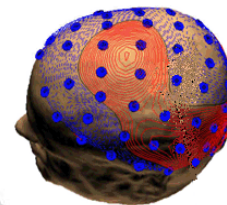
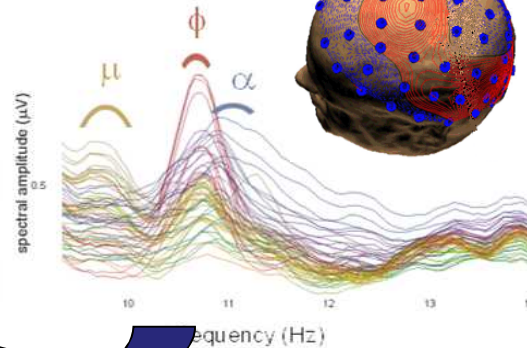
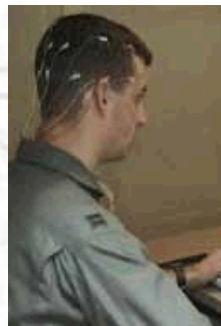
**Test hypotheses about  
relationship between  
task performance and  
brain activity**



# Scientific Goals

**Test hypotheses about  
relationship between  
task performance and  
brain activity**

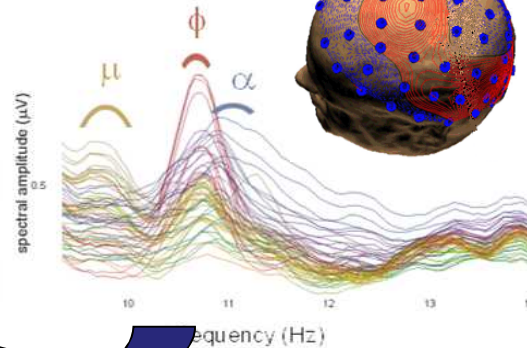
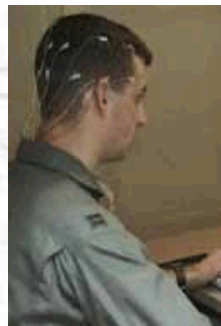
**Use computational  
modeling to  
characterize brain  
activity associated  
with good and  
poor performance**



# Scientific Goals

**Test hypotheses about relationship between task performance and brain activity**

**Use computational modeling to characterize brain activity associated with good and poor performance**

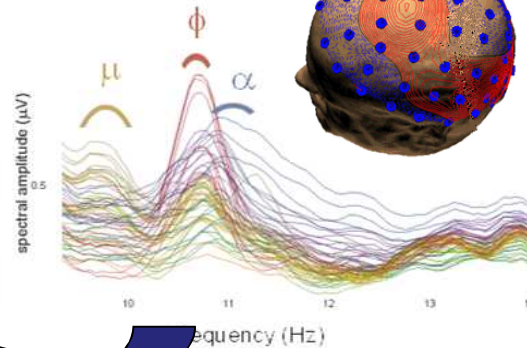
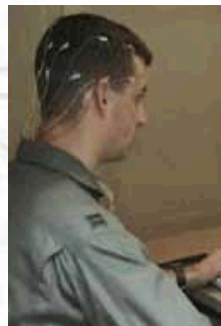


**Test effectiveness of methods for optimizing neural performance**

# Scientific Goals

**Test hypotheses about relationship between task performance and brain activity**

**Use computational modeling to characterize brain activity associated with good and poor performance**



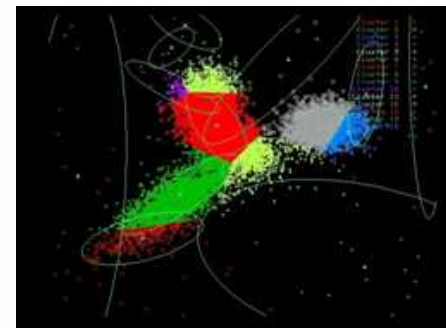
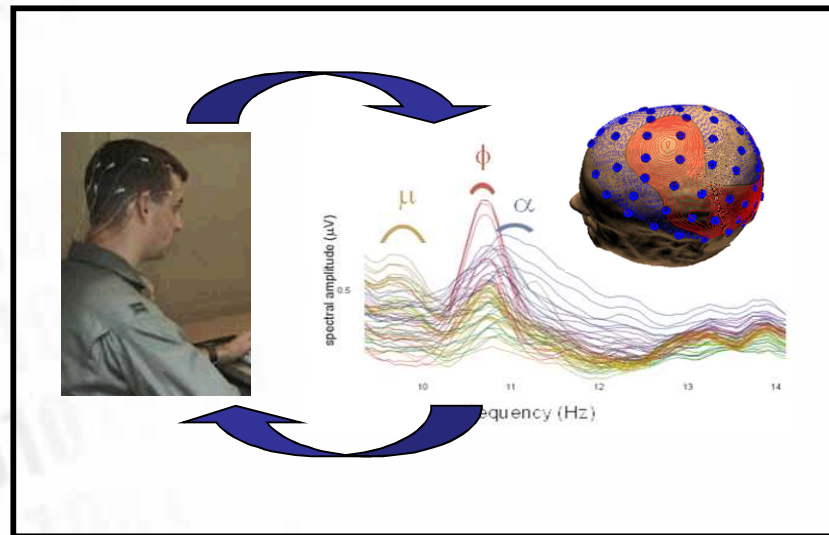
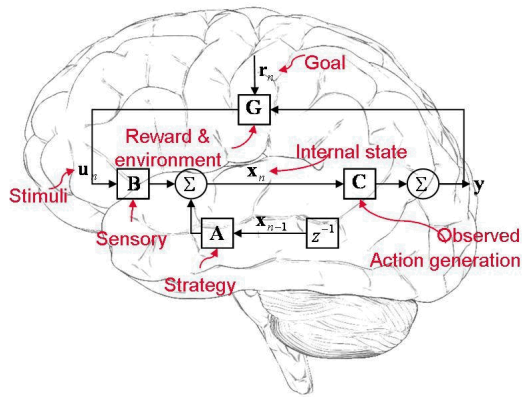
**Test effects of optimizing neural performance on task performance**

**Test effectiveness of methods for optimizing neural performance**



# Technological Goals

- Create framework for engineering cutting-edge neurotechnologies
- Develop novel applications of computer modeling and machine learning techniques to processing and interpreting brain activity



# Programmatic Goals

**Establish electrophysiology lab at Sandia**



**Develop relationships with  
Beckman Institute and  
Mind Research Network**



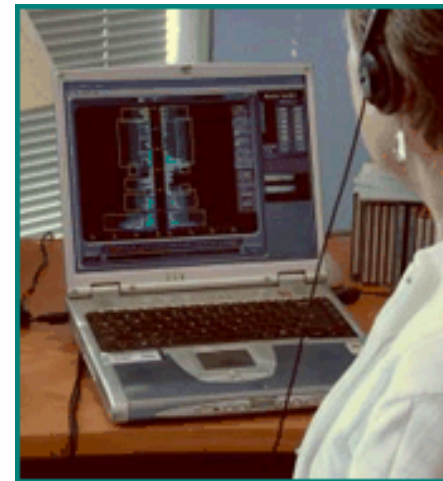
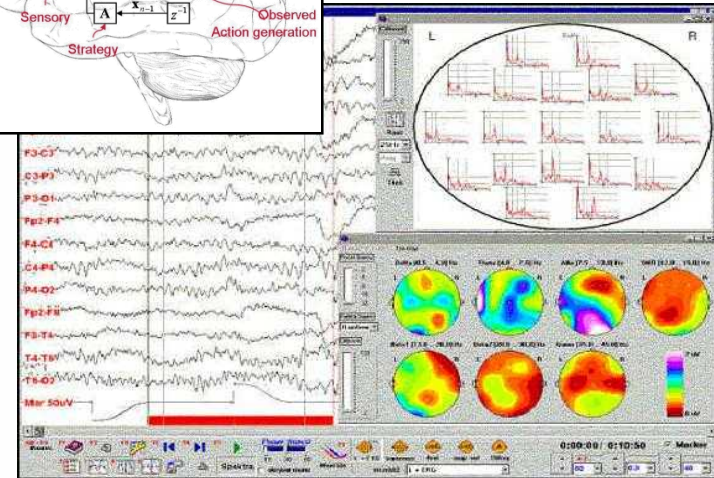
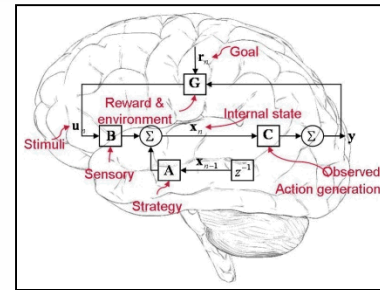
The **Mind**  
RESEARCH NETWORK  
FOR NEURODIAGNOSTIC DISCOVERY





# Experimental Hypotheses

- 1) We can characterize the causal relationship between neural activity and good/poor task performance
- 2) We can implement neural interventions to optimize task performance



# Intervention Techniques

## Cognitive Training



## Neurofeedback



## Transcranial direct current stimulation (tDCS)

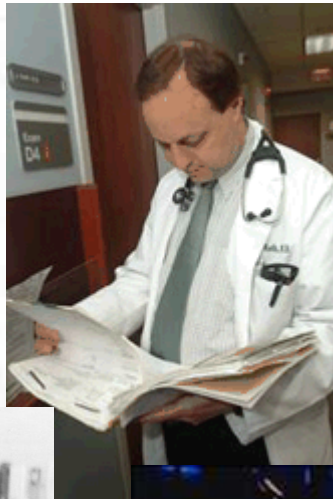


Techniques that could be used to close the loop between recording brain activity and enhancing performance





# Improving Decision Making by Enhancing Memory

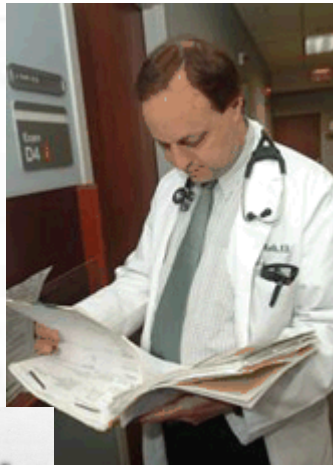






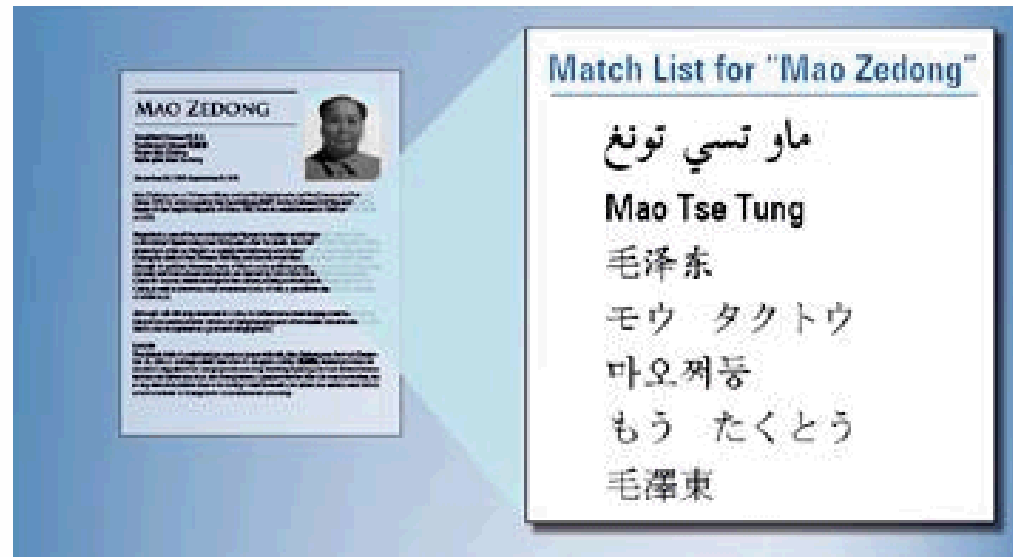
# Improving Decision Making by Enhancing Memory

- Enhance memory for decision-relevant information
  - 1) Increase amount of information remembered
  - 2) Reduce memory errors



# Improving Decision Making by Enhancing Memory

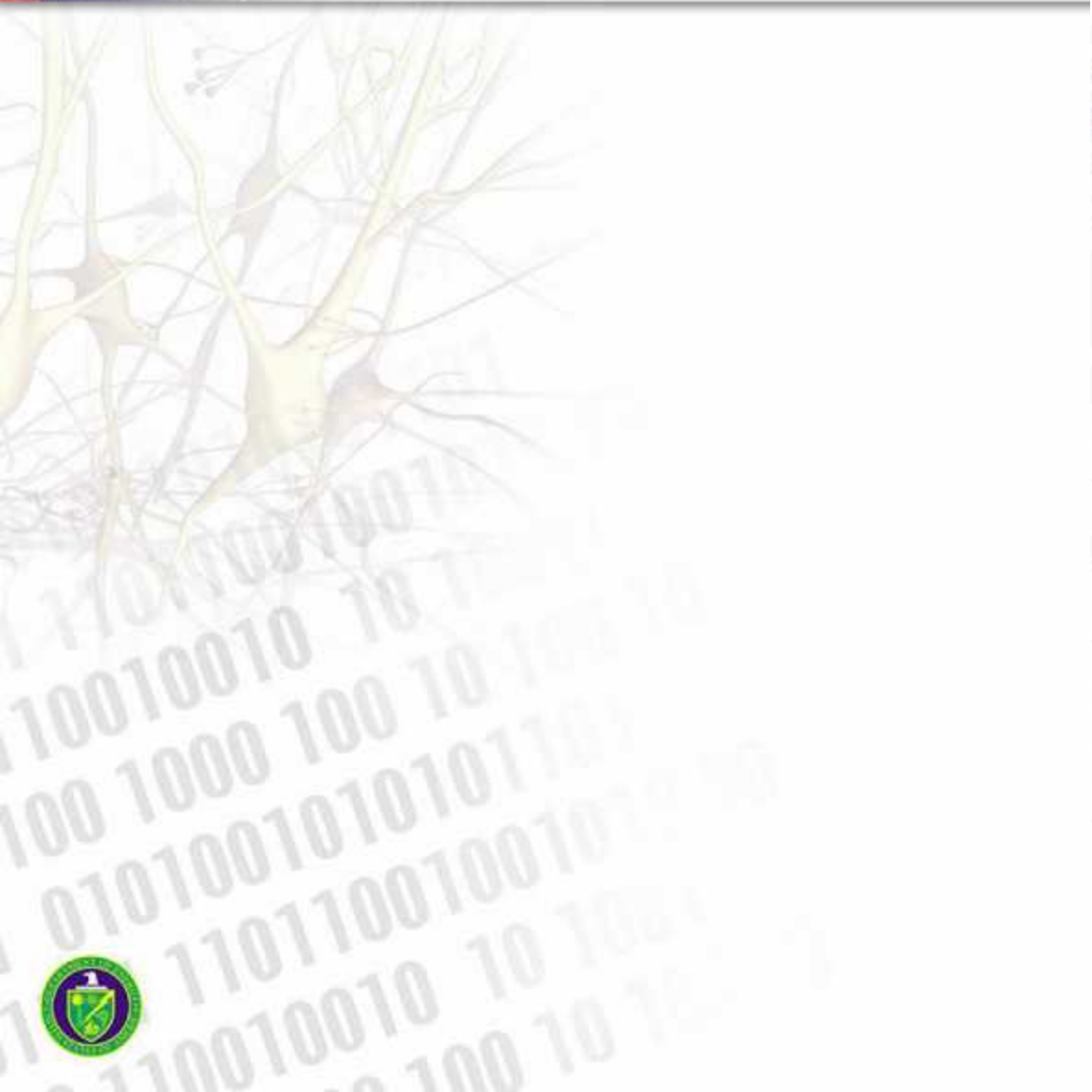
- Underlying meaning (gist) vs. surface form



- Different relevance to different tasks
- Processed differently in the brain



# Examples of gist and surface form information

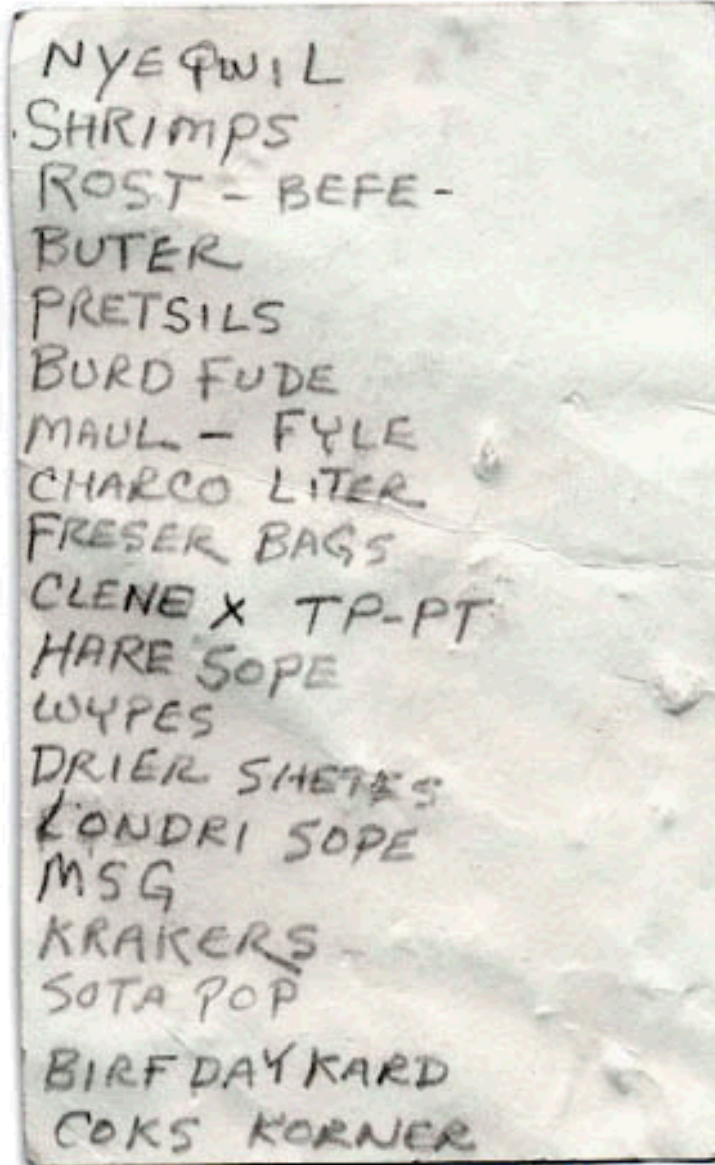


NYE QWIL  
SHRIMPS  
ROST - BEFE -  
BUTER  
PRETSILS  
BURD FUDE  
MAUL - FYLE  
CHARCO LITER  
FRESER BAGS  
CLENE X TP-PT  
HARE SOPE  
WYPES  
DRIER SHETS  
KONDRI SOPE  
MSG  
KRAKERS -  
SOTA POP  
BIRFDAYKARD  
COKS KORNER



# Examples of gist and surface form information

- Gist:
  - Grocery list
  - Bad spelling
- Surface forms
  - Exact items
  - Exact spellings

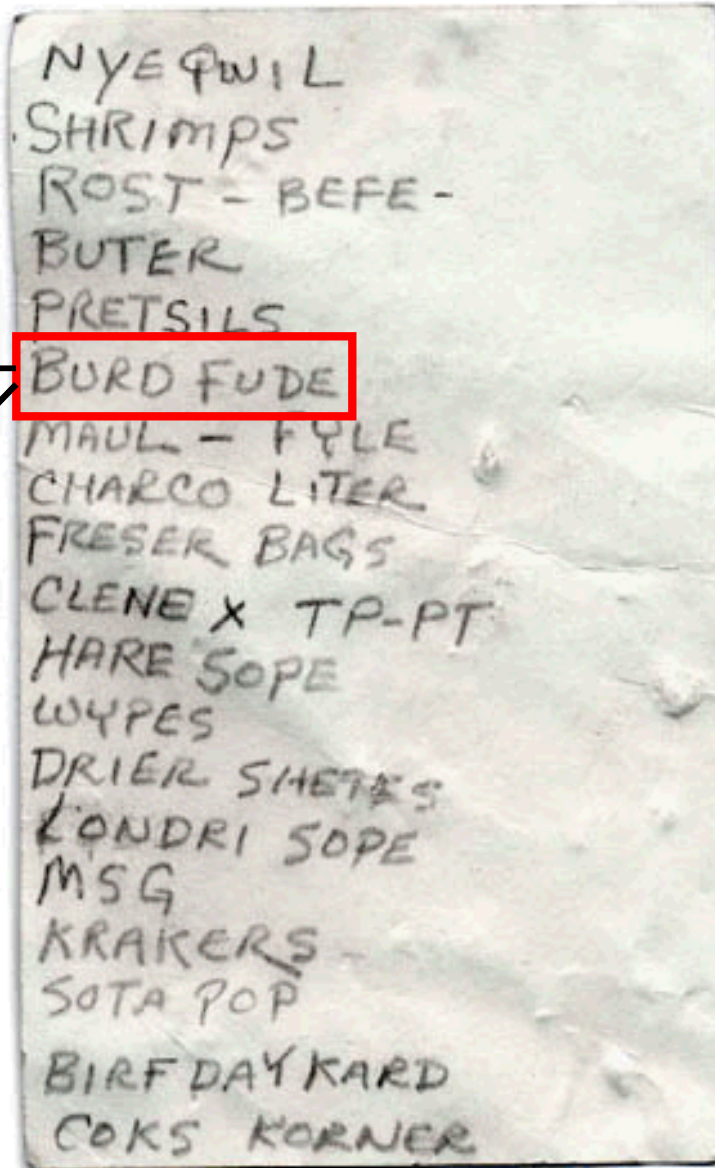


NYE QWIL  
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MAUL - FYLE  
CHARCO LITER  
FRESER BAGS  
CLENE X TP-PT  
HARE SOPE  
WYPES  
DRIER SHETS  
KONDRI SOPE  
MSG  
KRAKERS  
SOTA POP  
BIRFDAYKARD  
COKS KORNER

# Examples of gist and surface form information



Gist



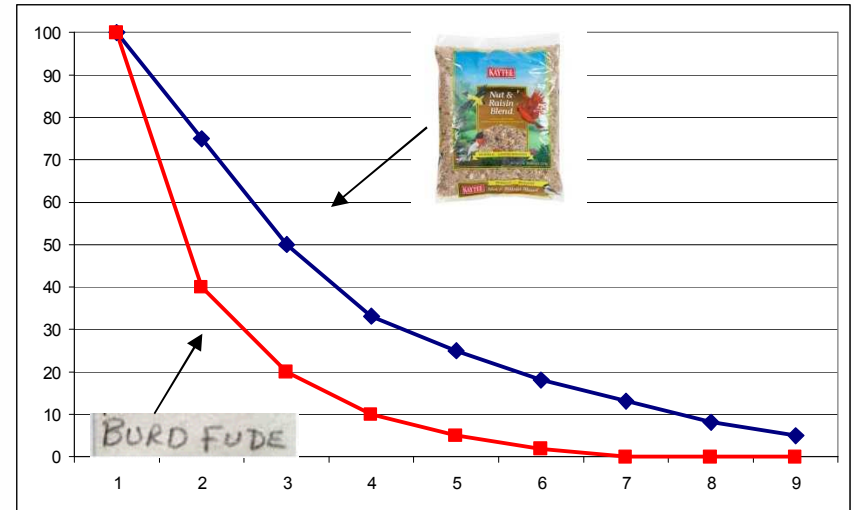
Surface form

BURD FUDE

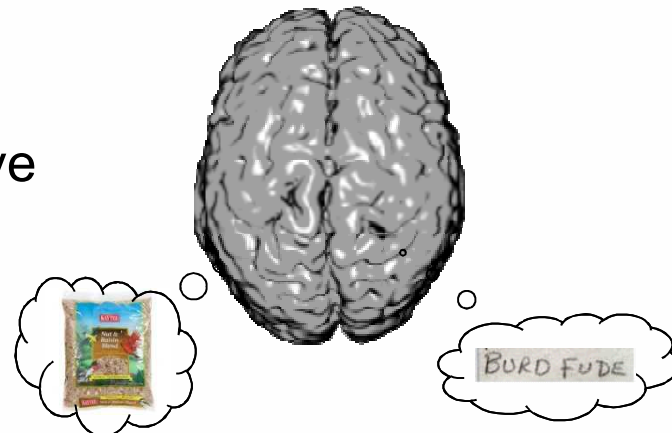


# Gist and surface form are processed differently in the brain

- Gist and surface information are forgotten at different rates
  - Prone to different types of errors over time
- Hemispheric processing differences
  - Leverage differences to improve memory/reduce errors



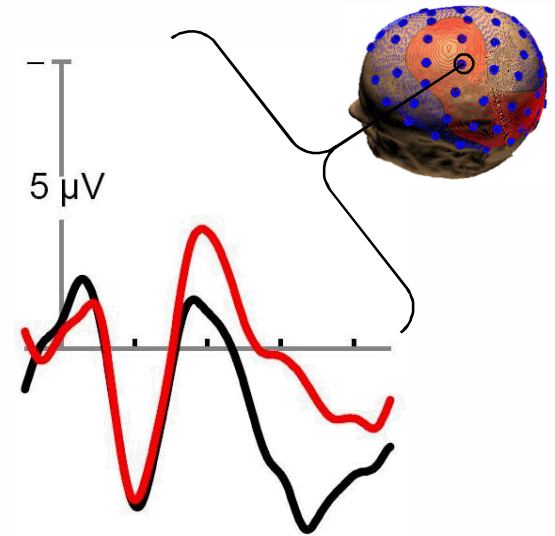
*What did you study?*





# Why focus on this problem?

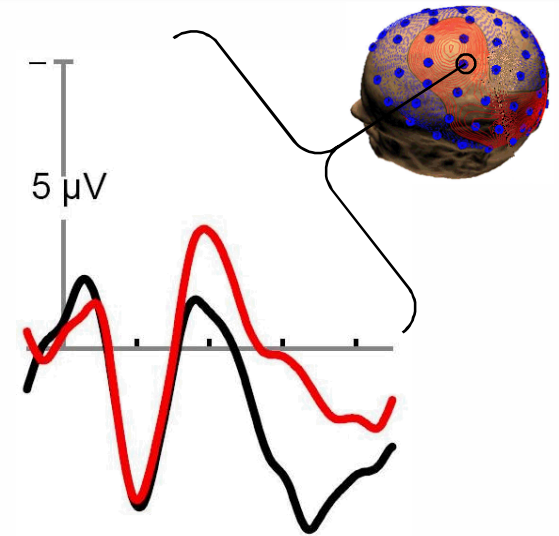
- Memory
  - Underlies decision making
  - Neural signals can potentially predict good and poor memory performance



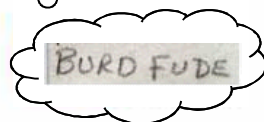
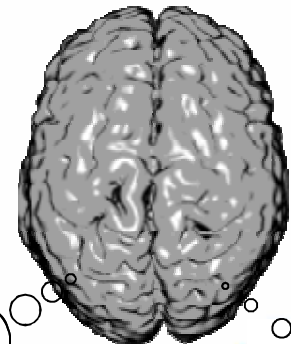


# Why focus on this problem?

- Memory
  - Underlies decision making
  - Neural signals can potentially predict good and poor memory performance
- Gist vs. surface form
  - Components of all information
  - Processed differently in brain



BURD FUDE

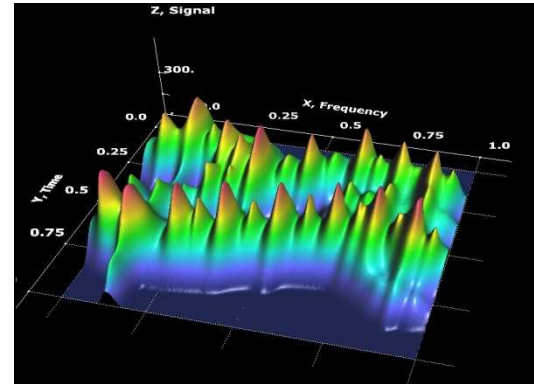
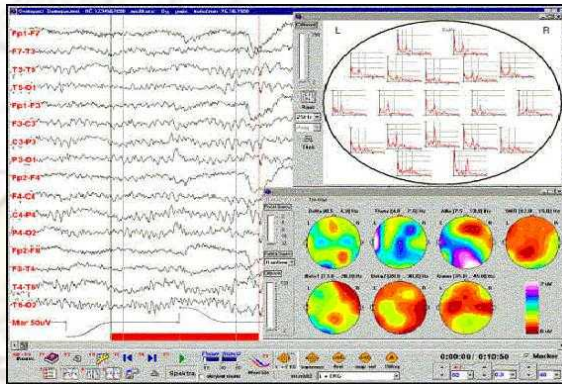




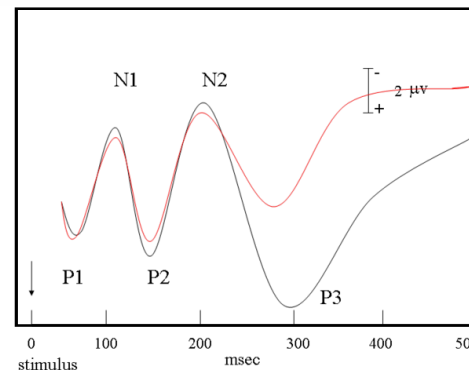
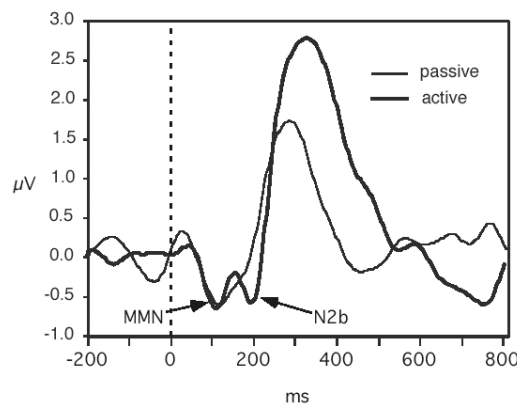
# Methods – EEG and ERPs

Provide real-time info about brain activity

- Electroencephalography (EEG)



- Event-related potentials (ERPs)

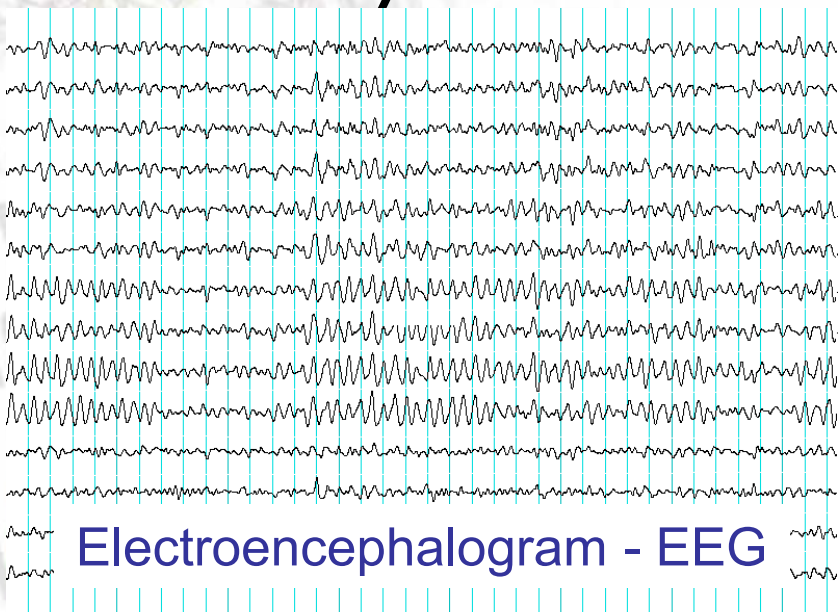
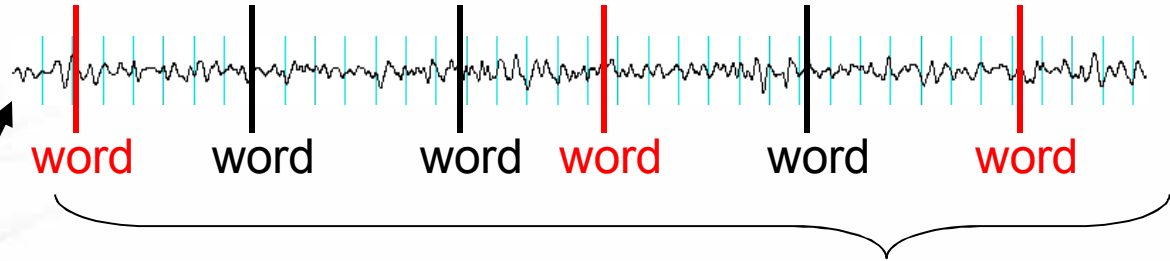


# Background on EEG and ERPs



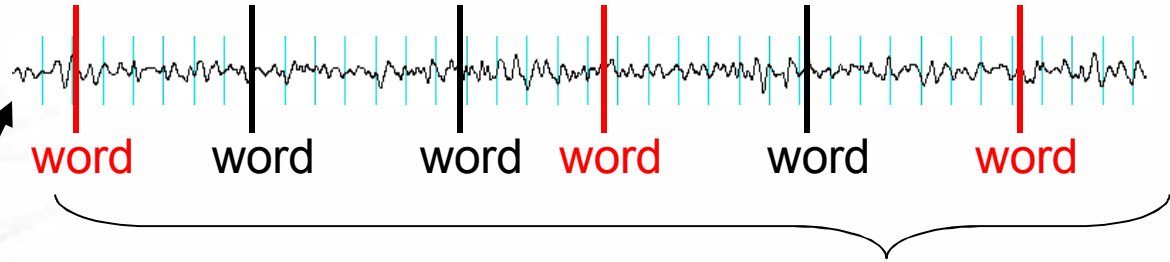
Electroencephalogram - EEG

# Background on EEG and ERPs

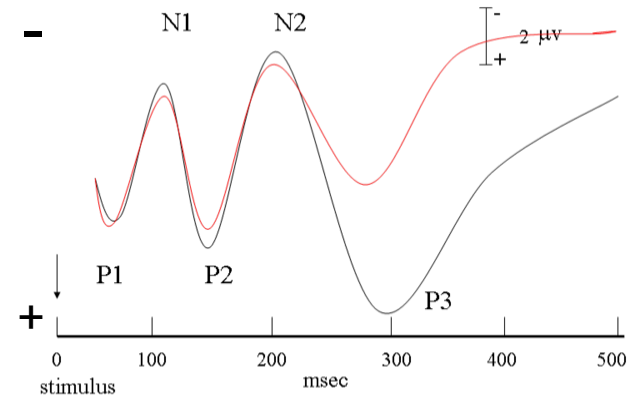
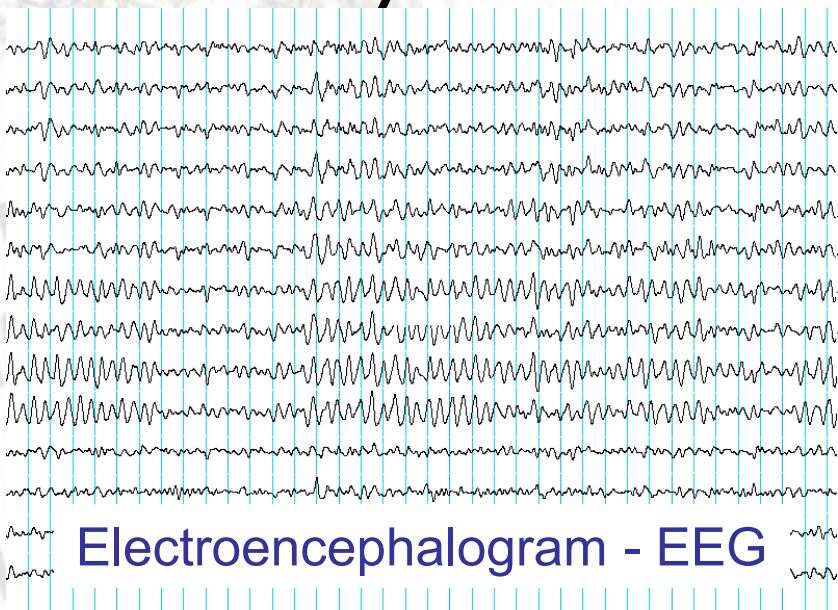




# Background on EEG and ERPs



Time-locked  
signal  
averaging



Event-related potential (ERP)

# ERPs enable prediction of what will be remembered or forgotten

- Dm effect = difference related to subsequent memory

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- Dm effect = difference related to subsequent memory
- Words studied while EEG is recorded:  
shrimp, roast beef, butter, pretzels, bird food...



# ERPs enable prediction of what will be remembered or forgotten

- Dm effect = difference related to subsequent memory
- Later test: words **REMEMBERED** or **FORGOTTEN**  
shrimp, roast beef, butter, pretzels, bird food...

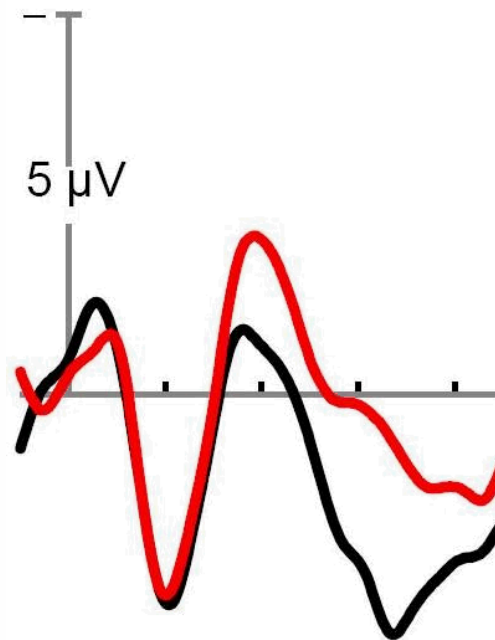
# ERPs enable prediction of what will be remembered or forgotten

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shrimp, **roast beef**, butter, **pretzels**, **bird food**...

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- Dm effect = difference related to subsequent memory
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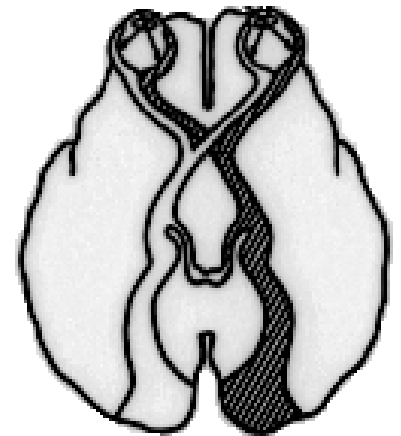
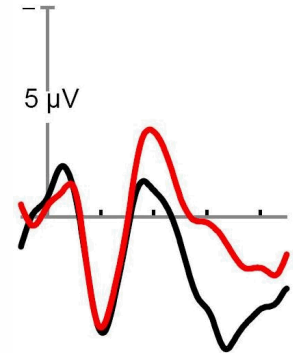
Brain activity  
DURING STUDY:  
Words that will be  
remembered later  
more positive than  
words that will be  
forgotten



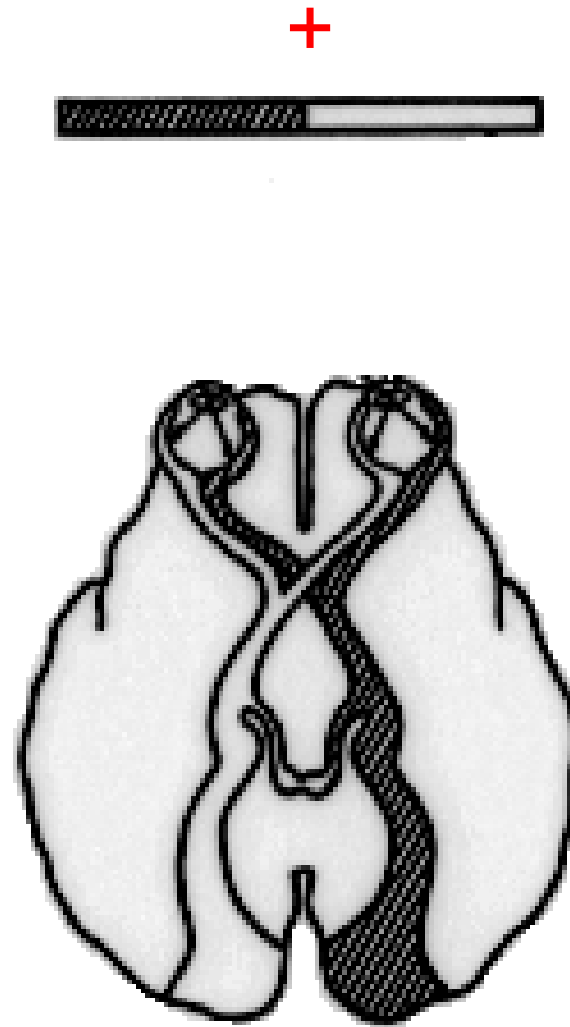


# Existing technique for influencing memory in the brain

- Brain activity can predict memory performance
- Brain structure can bias memory performance
  - Hemispheric differences in memory
  - Visual half-field paradigm

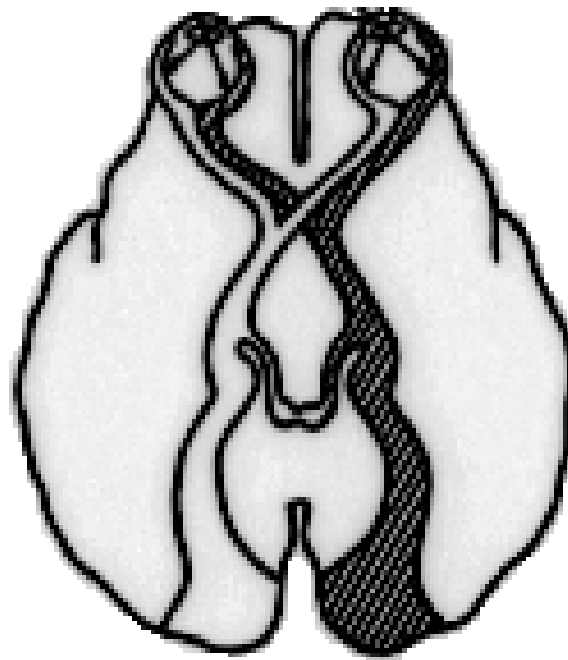


# Visual half-field paradigm



# Visual half-field paradigm

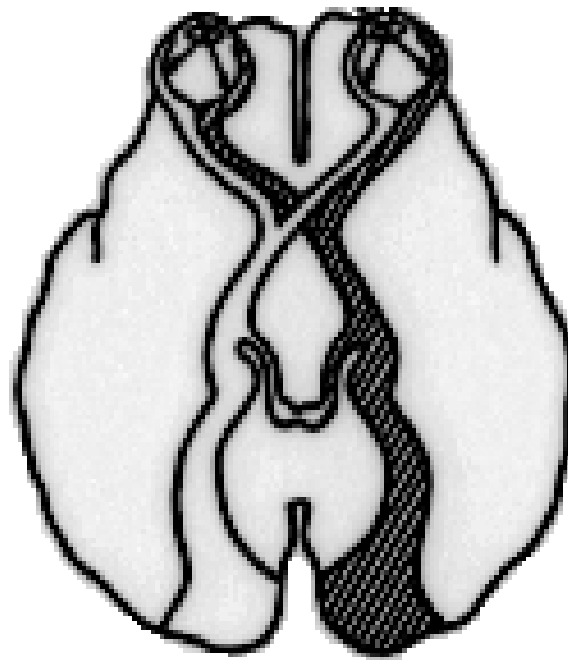
BURD FUDE +



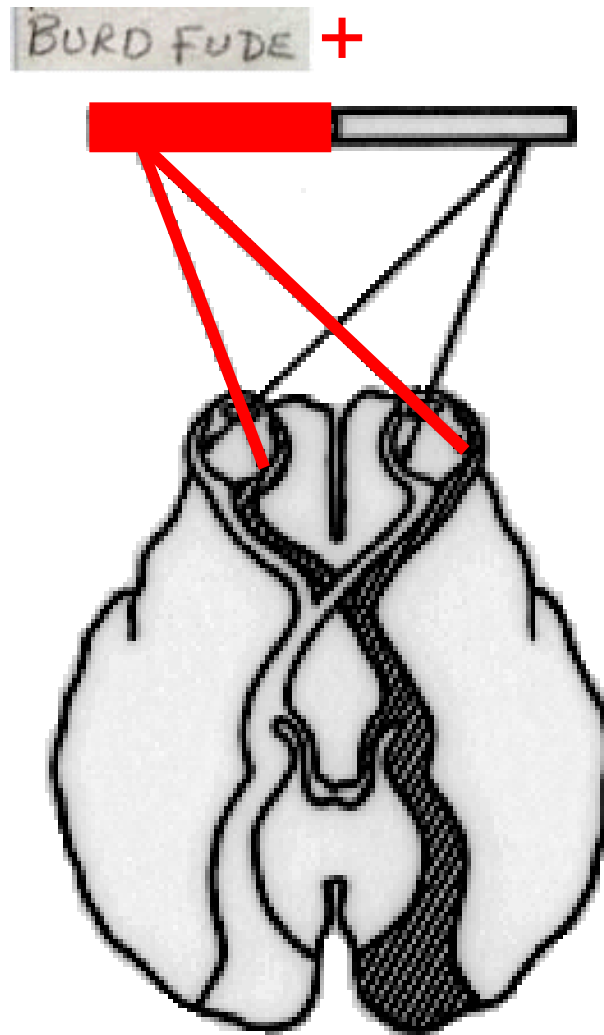


# Visual half-field paradigm

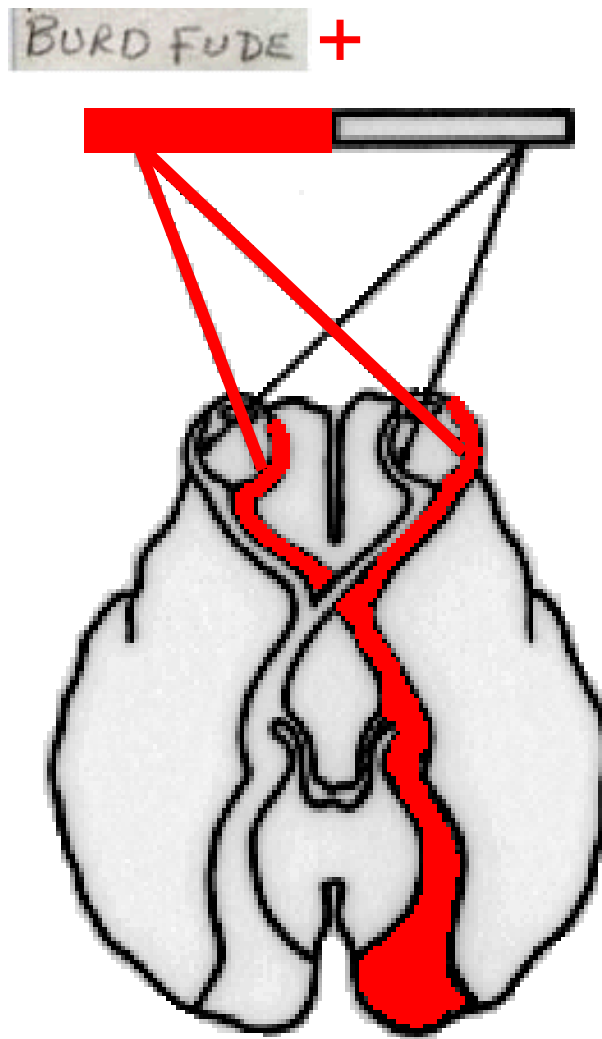
BURD FUDE +



# Visual half-field paradigm

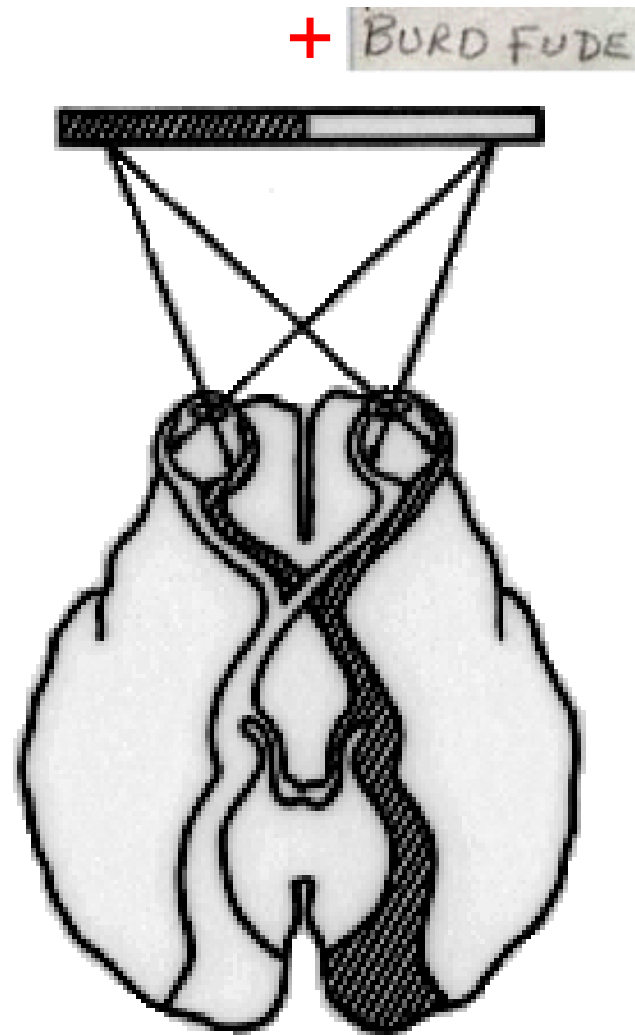


# Visual half-field paradigm

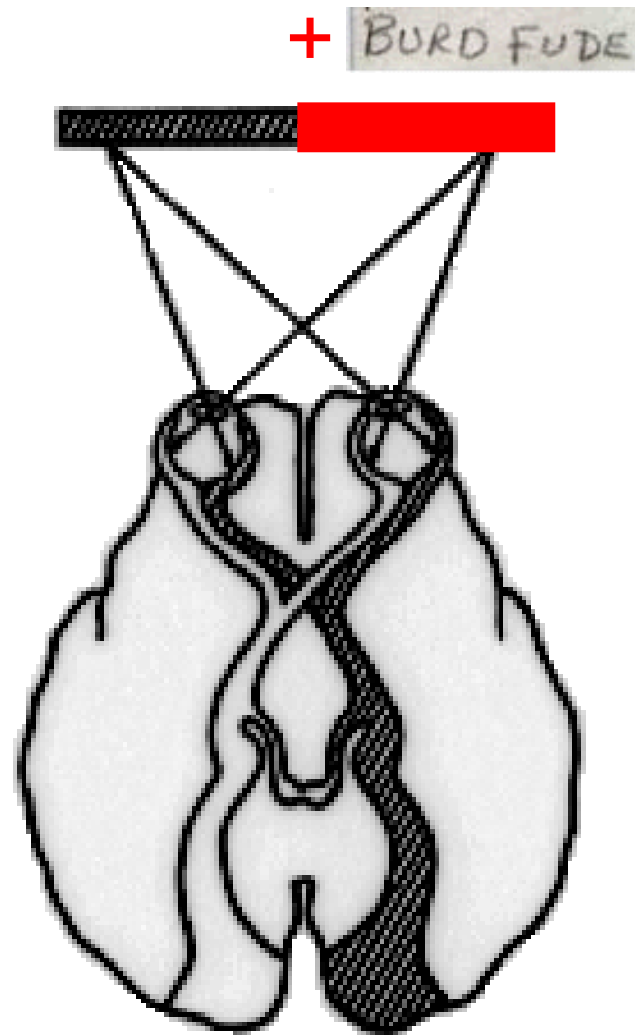




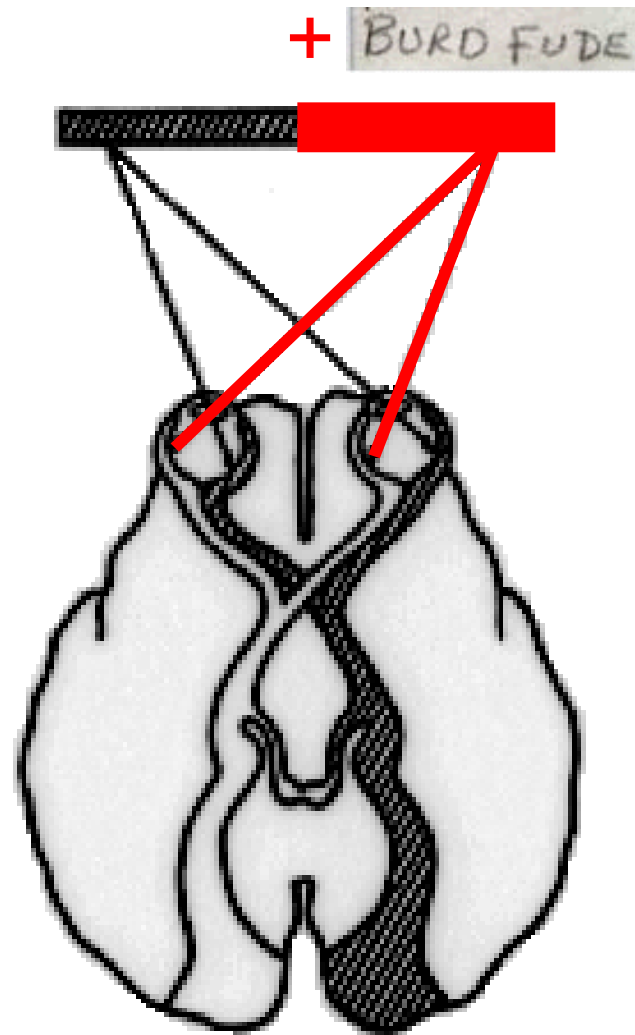
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# Visual half-field paradigm

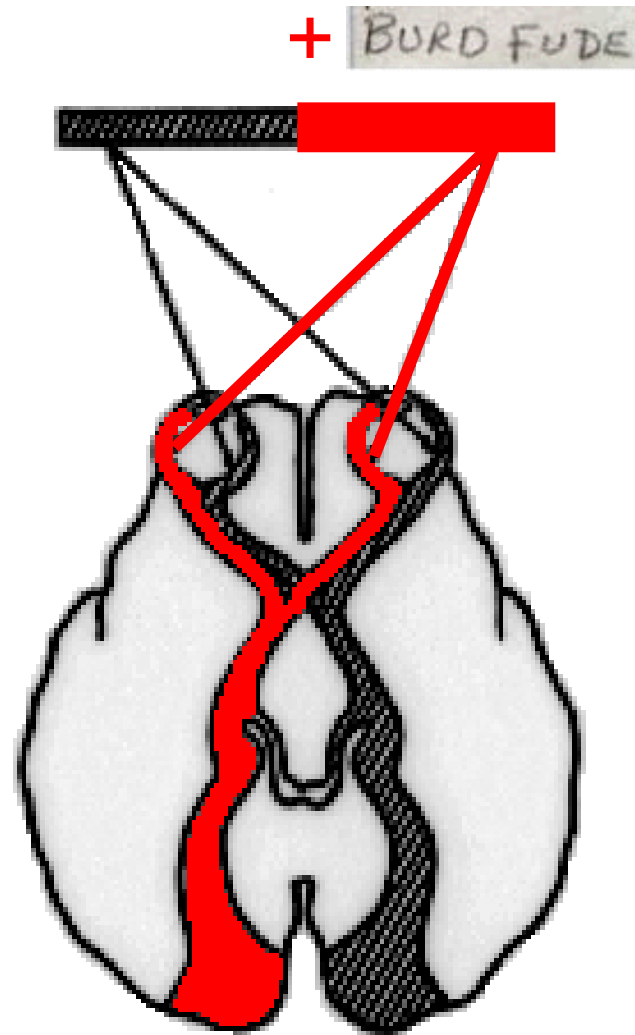


# Visual half-field paradigm





# Visual half-field paradigm

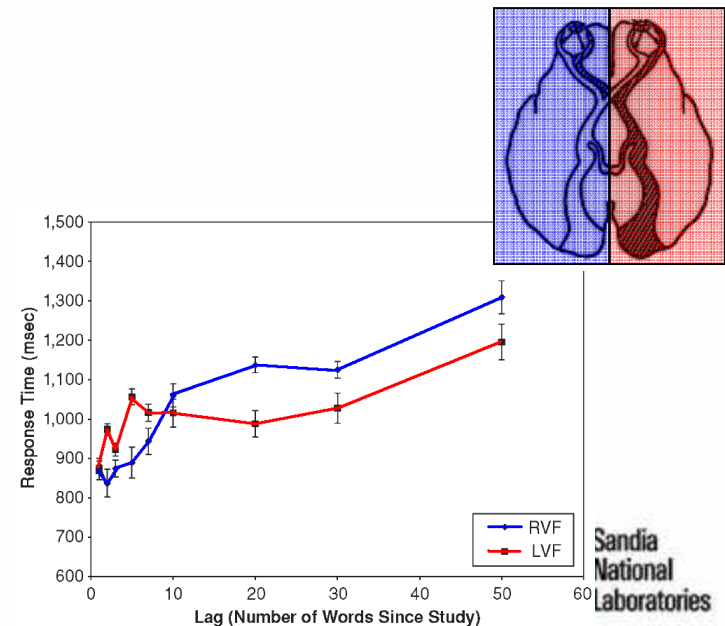
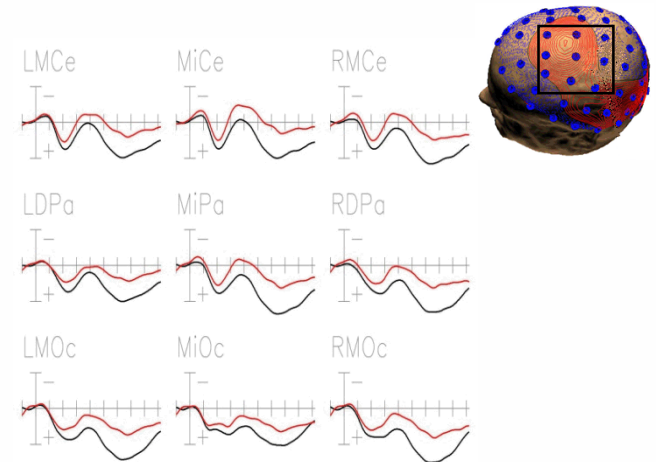


# Characterization of Neural Activity

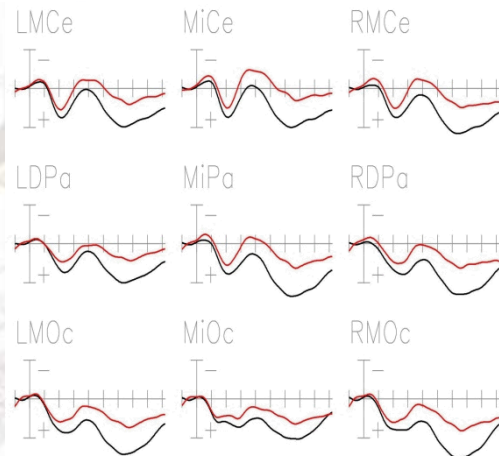
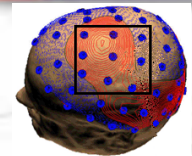
- Brain activity during study is predictive of future memory performance
  - Test ways of optimizing processing during study
    - *Increase amount of information remembered*
- Visual half-field presentation can influence memory, memory errors (but inefficient)
  - Test other methods for biasing processing to one hemisphere
    - *Reduce number of memory errors*

# Characterization of Neural Activity

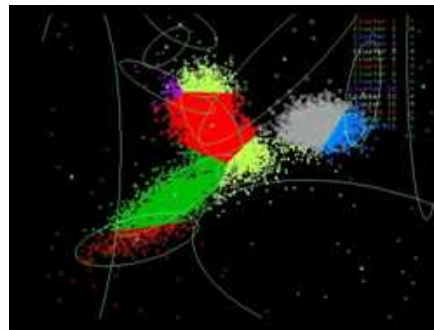
- Characterize Dm effect for both gist and surface form information
- Map forgetting functions for gist and surface form information in left and right hemispheres



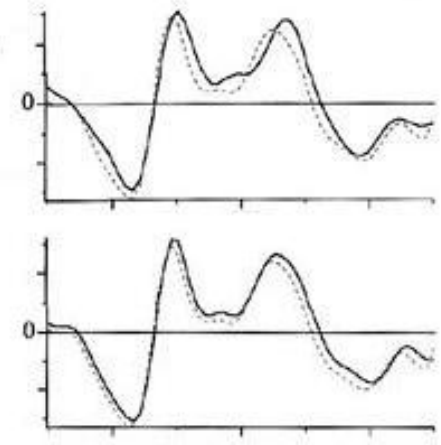
# Characterization of Neural Activity



Recorded brain  
activity sorted by  
task performance



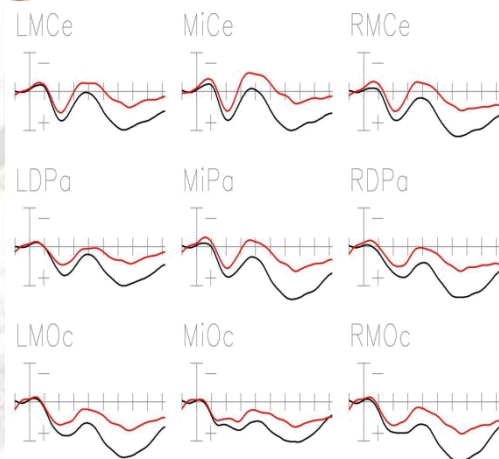
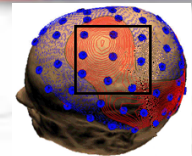
Use machine learning  
techniques to characterize  
good and poor neural  
performance



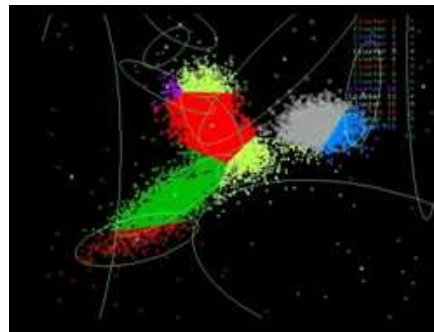
Use matched  
filtering to quantify  
neural performance



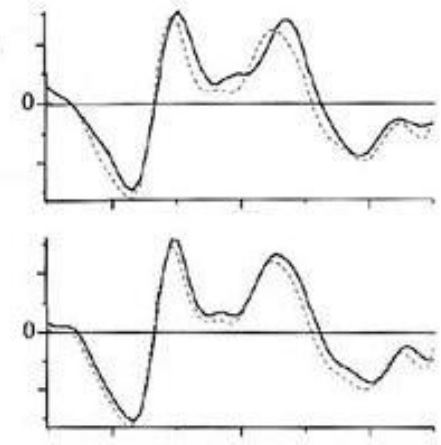
# Characterization of Neural Activity



Recorded brain  
activity sorted by  
task performance



Use machine learning  
techniques to characterize  
good and poor neural  
performance



Use matched  
filtering to quantify  
neural performance

**Use task performance and neural performance  
to assess intervention techniques**



# Intervention Techniques

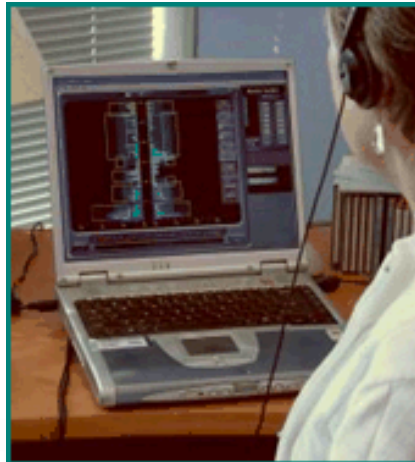
## Cognitive Training



Learn memory  
strategies

Test effects on Dm,  
hemi differences

## Neurofeedback



Learn to enhance Dm  
positivity, bias processing  
to LH or RH

Test relationships between  
task performance and  
neural performance

## Transcranial direct current stimulation (tDCS)



Enhance Dm positivity,  
bias processing to LH/RH

Test relationships  
between task  
performance and neural  
performance

# Surety Analysis

- Use surety engineering framework developed for cognitive systems (Peercy et al., 2008)
- Assess surety and maturity of each component of system as research progresses
  - Outline:
    - Year 1 – Develop requirements and use cases
    - Year 2 – Fault analysis, develop controls, assess adequacy of controls
    - Year 3 – Iterations on process, final maturity analysis

# Project Plan

FY10

FY11

FY12

Characterization Studies



Computational Model



EEG lab operational  
at Sandia

Cognitive Training Studies



Neurofeedback Studies



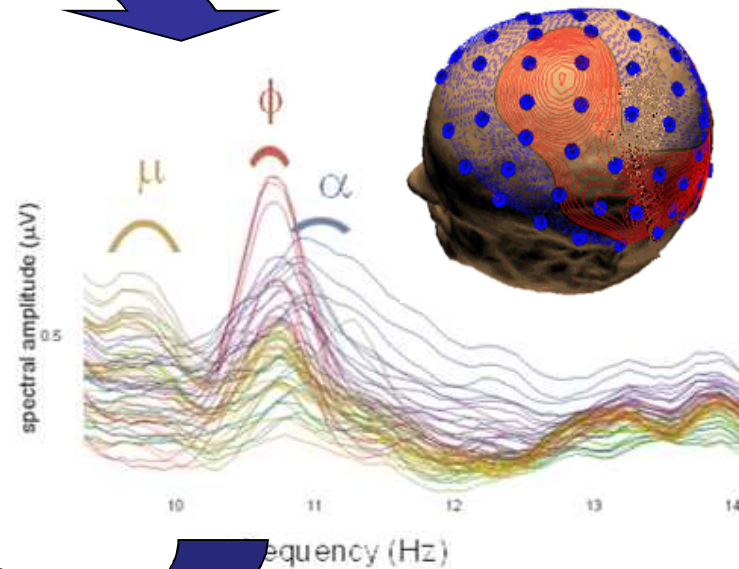
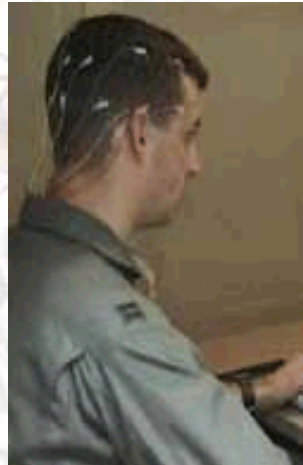
tDCS Studies



Surety Analysis



# Summary





Characterization studies



Modeling of brain activity



Use model and brain data to  
design targeted interventions



Assess effects of  
interventions on brain activity



Assess effects of changes in  
brain activity on task  
performance





Characterization studies



Modeling of brain activity



Use model and brain data to  
design targeted interventions



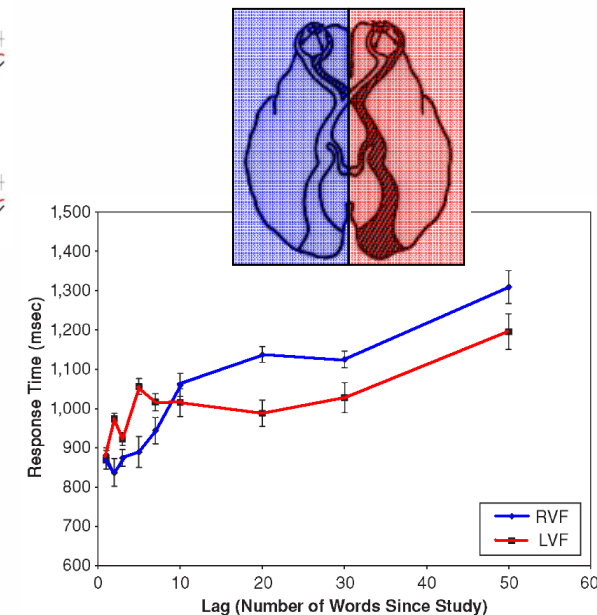
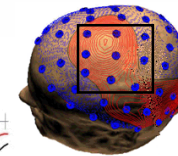
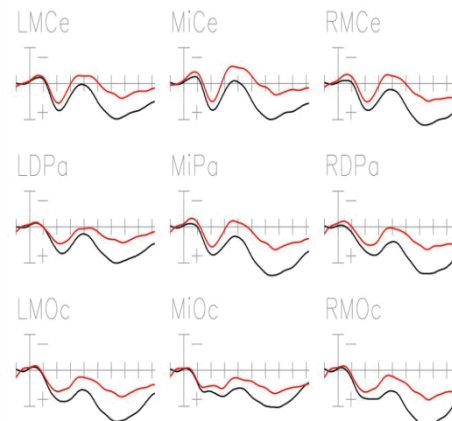
Assess effects of  
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Assess effects of changes in  
brain activity on task  
performance

Characterize Dm effect for gist and surface  
form information

Map forgetting functions of gist and surface  
form info in LH and RH





Characterization studies



Modeling of brain activity



Use model and brain data to  
design targeted interventions



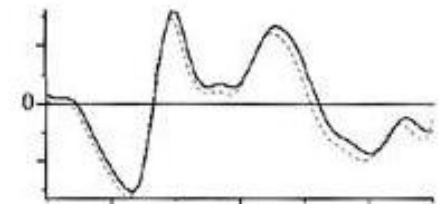
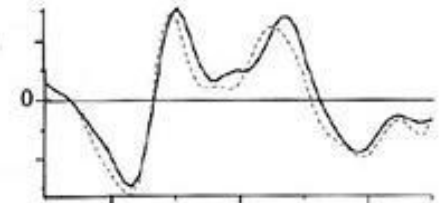
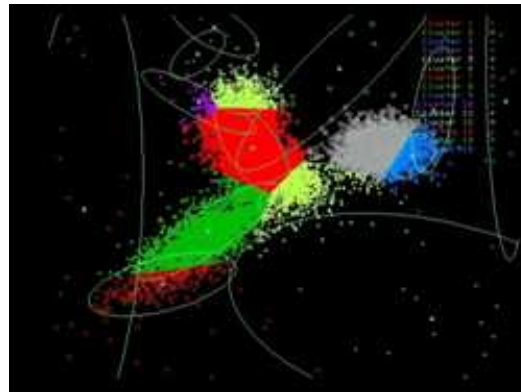
Assess effects of  
interventions on brain activity



Assess effects of changes in  
brain activity on task  
performance

Use machine learning techniques to  
characterize good and poor performance

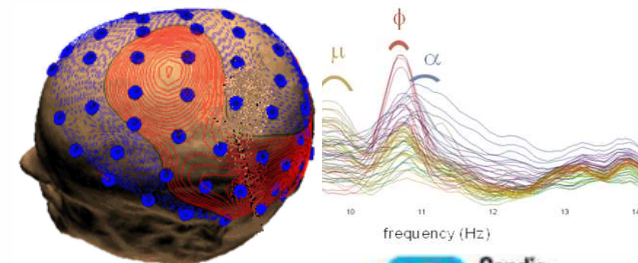
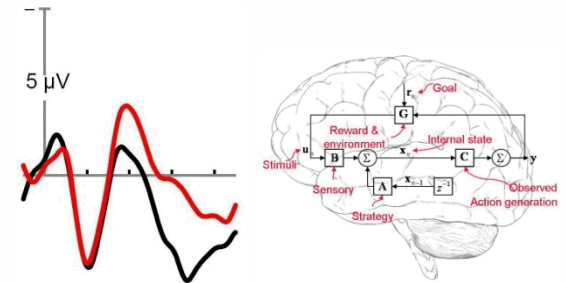
Develop templates for use in matched  
filtering





# Summary: Memory and Decision Making

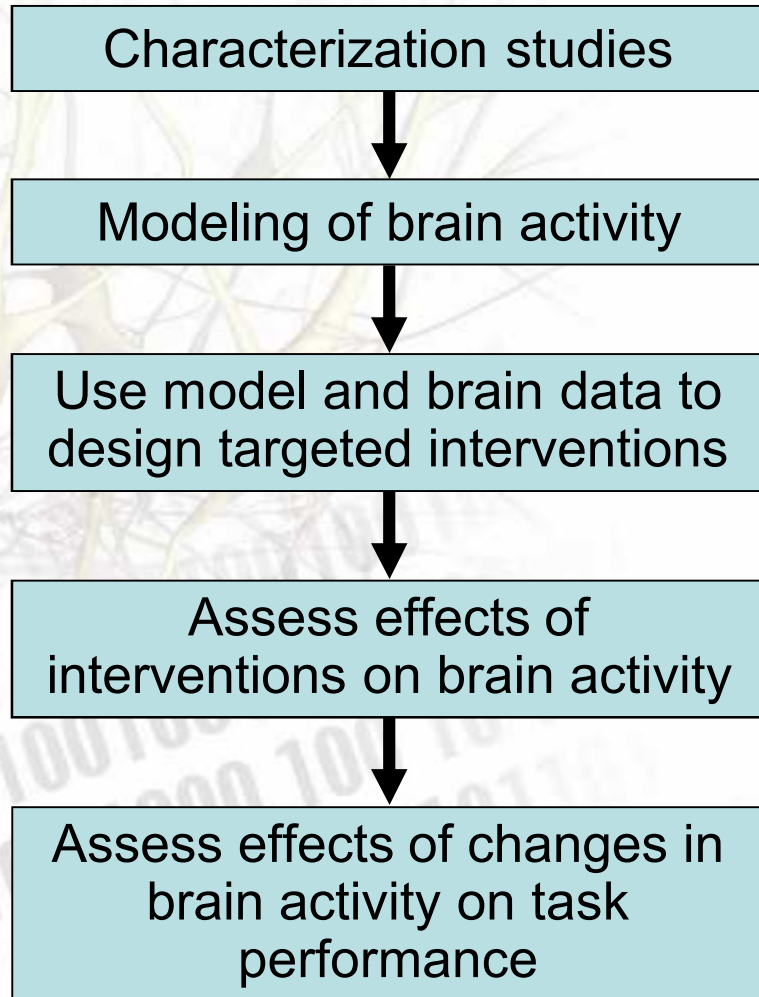
- Enhancing memory
  - Decision making tasks requiring memory for gist or surface form information
  - Characterize good and poor performance
  - Use interventions to optimize brain's processing of gist or surface form info



# Summary

- Scientific contributions:
  - Novel, causal data about relationship between brain activity and task performance
    - Dm effect, hemispheric processing differences
  - Deeper understanding of processes fundamental to decision making
  - Assessment of effectiveness of different types of intervention techniques
    - Individual differences
  - Foundation for system that can detect suboptimal neural performance and design an individualized intervention to mitigate it

# Research Plan



## Year 1 (FY10):

- Set up EEG lab at Sandia
- Characterize brain activity (event-related potentials) associated with good and poor memory performance
- Model brain activity associated with good and poor memory

# Lab Setup

- Set up EEG lab at Sandia (899/2222)
  - 128-channel EEG system from Advanced Neuro Technologies

Includes electrode caps and amplifier with active shielding, specialized software for stimulus presentation, recording, EEG and ERP signal analysis, and source modeling



– 16-channel BrainVision portable EEG system





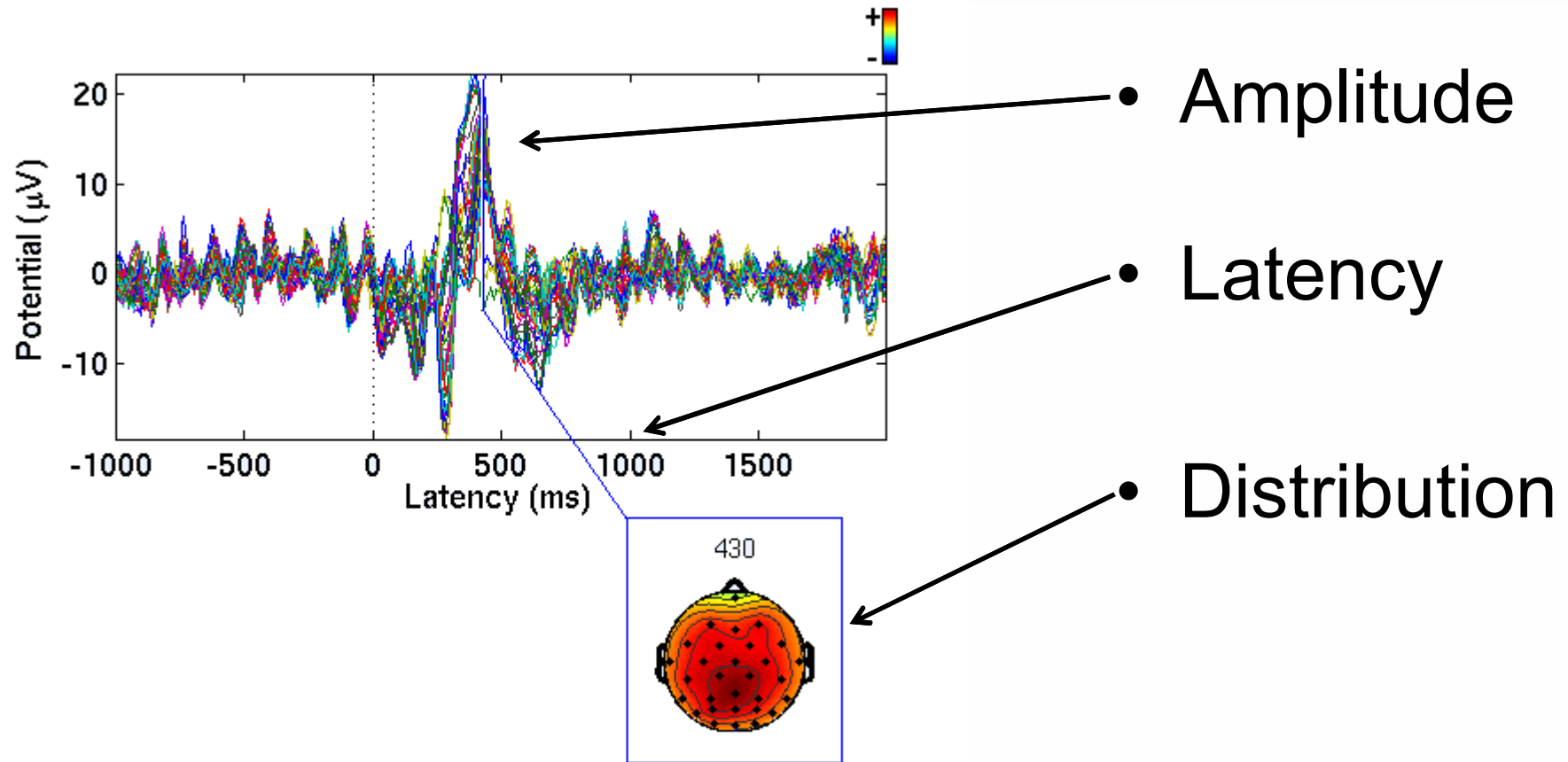
# Characterization Studies

- Experiment 1:
  - Characterize brain activity for good memory performance
    - Data collection completed
- Experiment 2:
  - Characterize brain activity for memory errors
    - Data collection May-July at Univ. of Illinois
- Experiment 3:
  - Map time course of memory/memory errors in left and right hemispheres
    - Data collection June-August at Sandia





# Properties of ERP Components



ERPs are named based on their latency and direction of the peak (ex: P300 = positive-going peak at 300 ms)

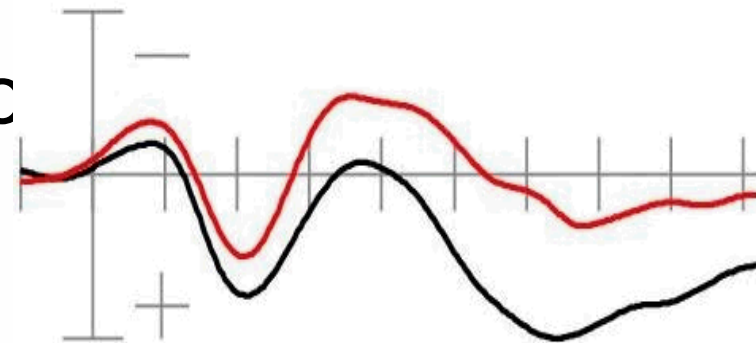
# ERPs of Interest

- Dm Effect
  - Difference related to subsequent memory
  - Items that will be remembered later have a more positive waveform than items that will be forgotten
  - Dm represents the modulation of several ERP components (P200, N400, LPC)



# ERPs of Interest

- P200
  - High-level visual processing
    - May reflect comparisons of sensory inputs to information stored in memory
- N400
  - Semantic access, primarily language
    - Amplitude decreases when access is facilitated
- Late Positive Component
  - Explicit recognition memory





# Characterization Strategy

- Experimental characterization:
  - Elicit Dm under novel experimental conditions
  - Acquire new evidence about underlying neural processes
- Computational characterization:
  - Use modeling approaches to create templates of “optimal” neural performance
    - Explore individual differences
    - Improve signal-to-noise ratio, move toward greater predictability of later performance



# Dm Characterization

- Typical Dm Effect:
  - Paradigm: Each item studied once, tested once
  - Broad positivity across P200, N400, and LPC time windows
- Our experimental strategy:
  - Varied study and test conditions
  - Characterize differences in Dm morphology
  - Relate morphology to behavioral memory performance across conditions



# Experimental Design

Increasing memory  
accuracy



- Study conditions:
  - Words studied once
  - Words studied twice (at short and long intervals)
  - Words studied and then tested (at short and long intervals)
- Analysis:
  - Compare conditions with varying degrees of memory performance
  - Analyze ERPs at study (P200, N400, LPC), ERPs at test, Dm effects for studied words and reminding cues

→ What processes lead to Dm Effect?





# Experimental Design

Study List

fight

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm







# Experimental Design

Study List

fight

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm

← Words studied once





# Experimental Design

Study List

fight

alarm

cut

nation

cut

nation

storm

assent

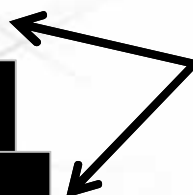
fire

noon

fight

alarm

Words studied twice, short lag  
(One intervening word)





# Experimental Design

Study List



Words studied twice, long lag  
(Nine intervening words)





# Experimental Design

Study List

fight

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm

Words studied and tested, short lag  
(One intervening word)







# Experimental Design

Study List



Words studied and tested, long lag  
(Nine intervening words)





# Experimental Design

Study List

fight

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm

## Subsequent Test

- Items from all conditions tested (or retested), along with an equal number of new, unstudied items





# Stimulus Presentation Parameters

- Four study blocks, each followed by a test block
- 80 words presented per study block
  - 20 studied once
  - 20 studied twice (half short, half long lag)
  - 20 tested during study block (half short, half long lag)
  - 20 paired with synonyms (half studied, half tested)
- 160 words per test block
  - 80 from study block
  - 80 new, unstudied items





# Stimulus Presentation Parameters

- Cue indicating condition (study or test) presented for 1000 ms
- Word presented for 1000 ms
- Test words followed by response period (participants answer “yes” or “no” via button press)
- 500 ms interstimulus interval







#

fight





#

alarm



#

cut





#

nation



#



cut



#

nation

?



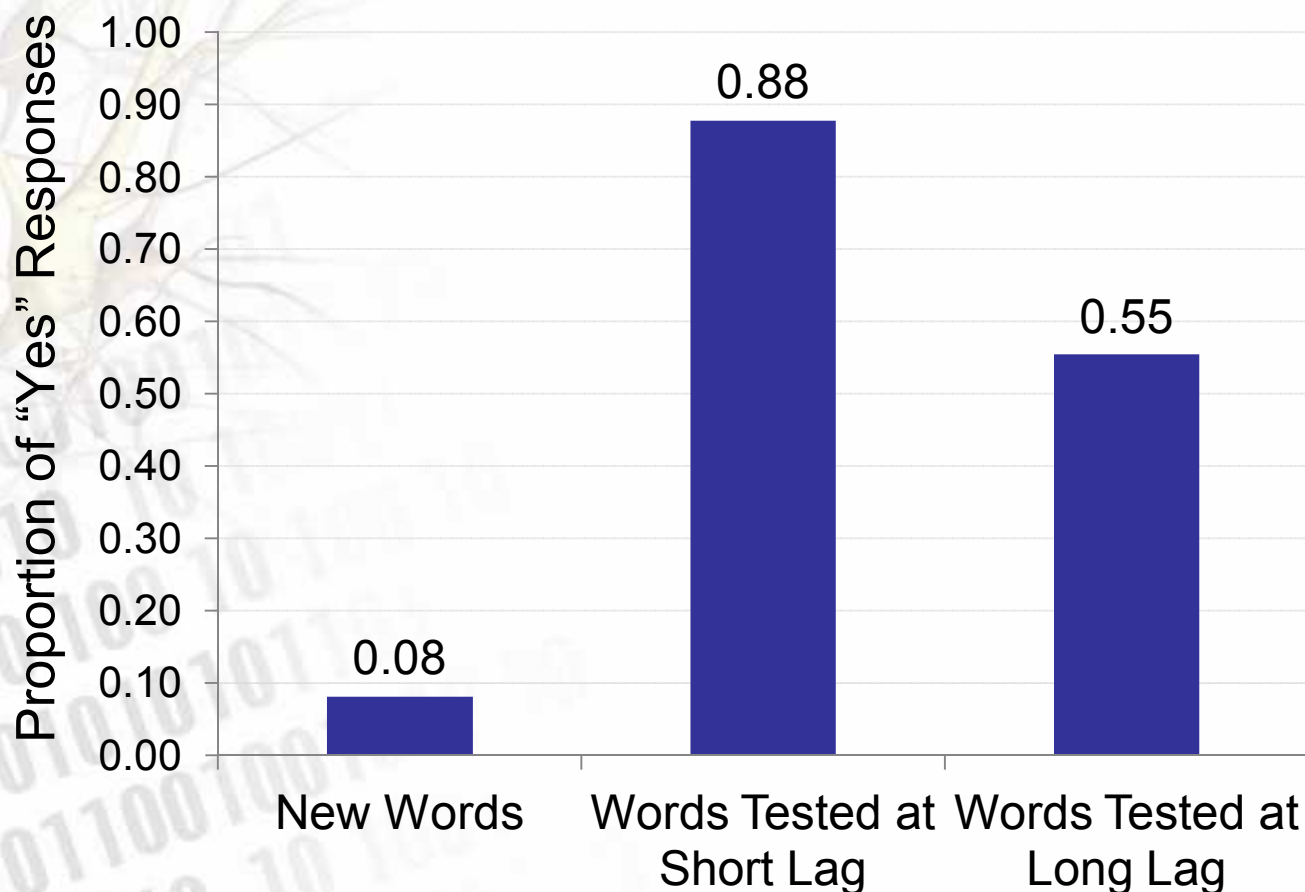
# Participants

- 24 Univ. of Illinois undergraduates
  - 12 male, 12 female
  - Average age 21
  - All right handed, monolingual English speakers, no history of neurological disorders



# Behavioral Results

## Results for Words Tested During Study Phase

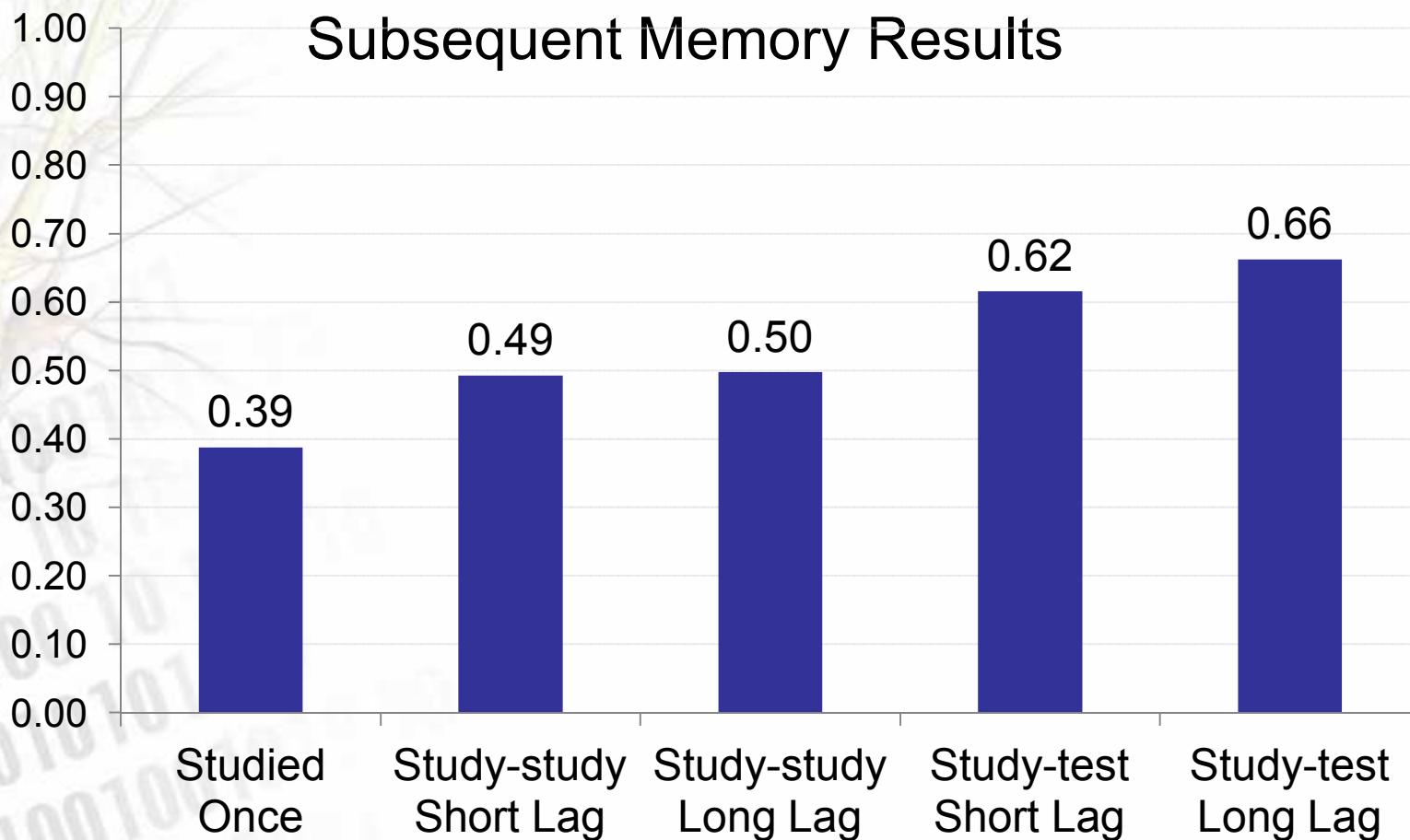




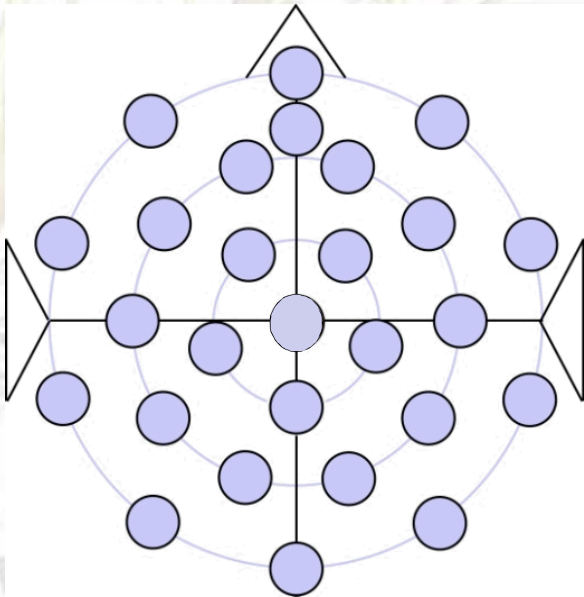
# Behavioral Results

Proportion of "Yes" Responses

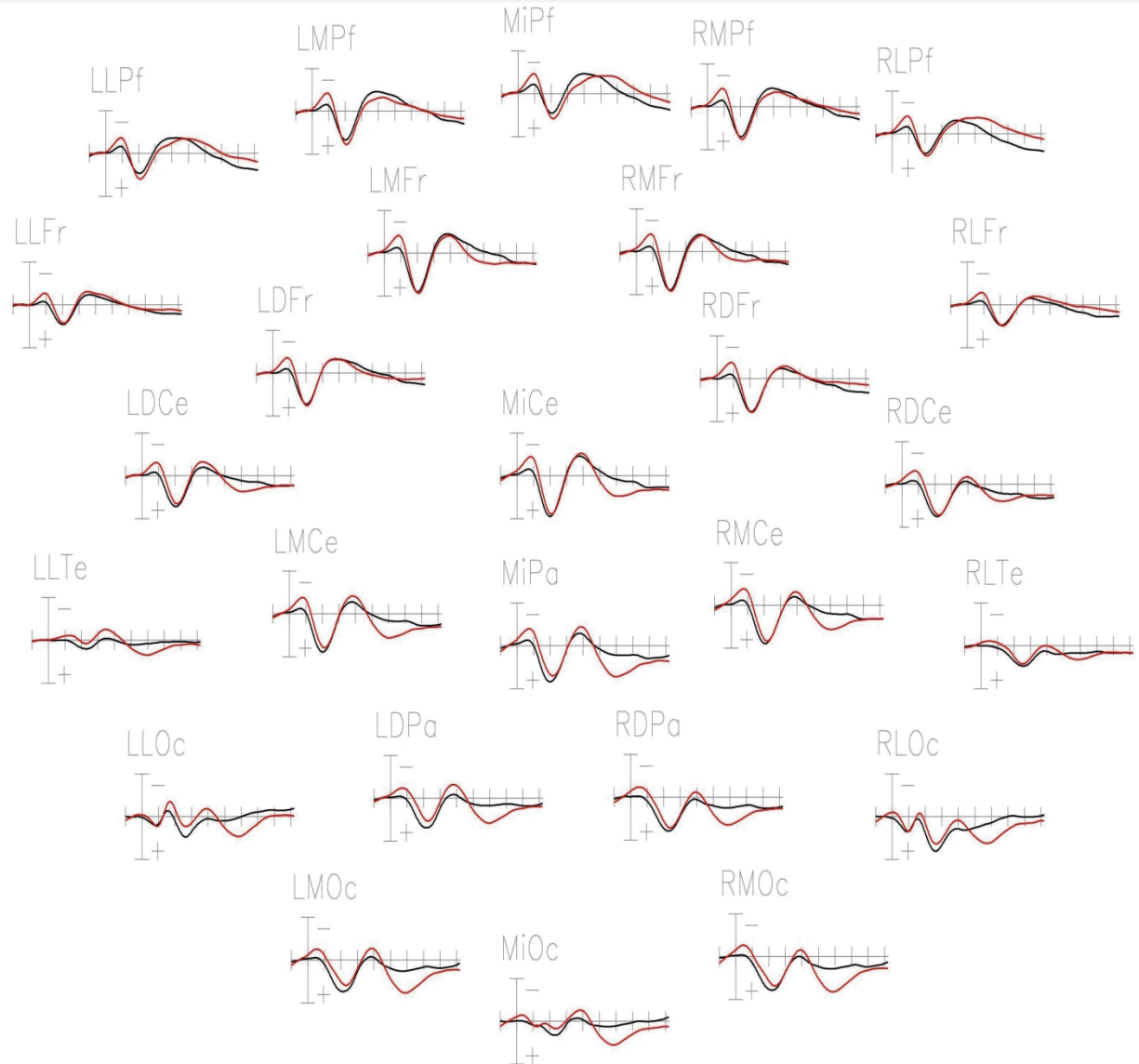
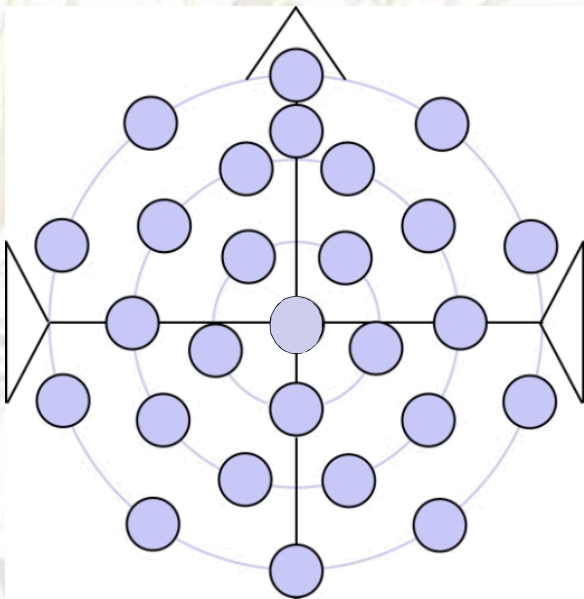
## Subsequent Memory Results



# ERP Orientation

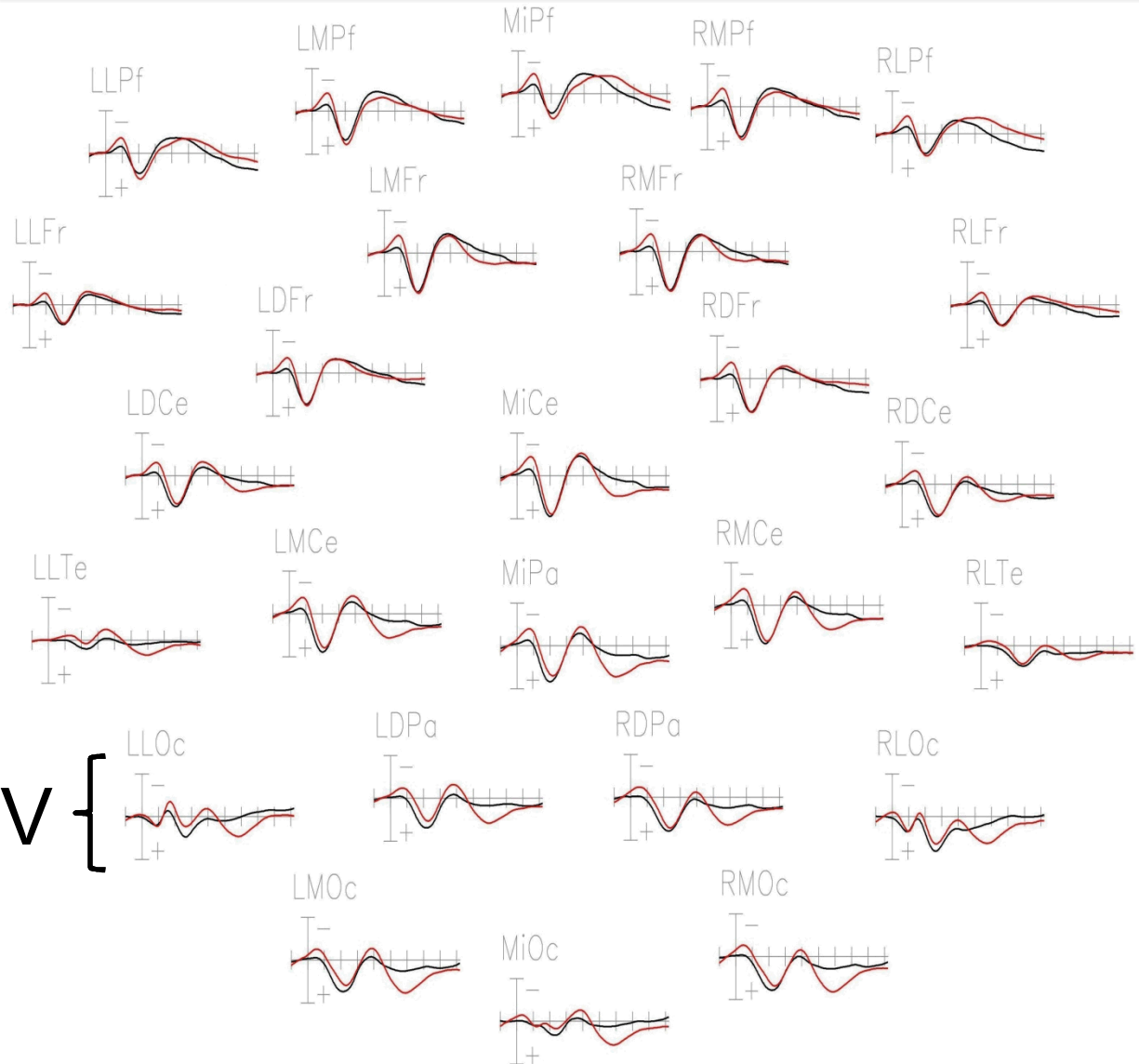
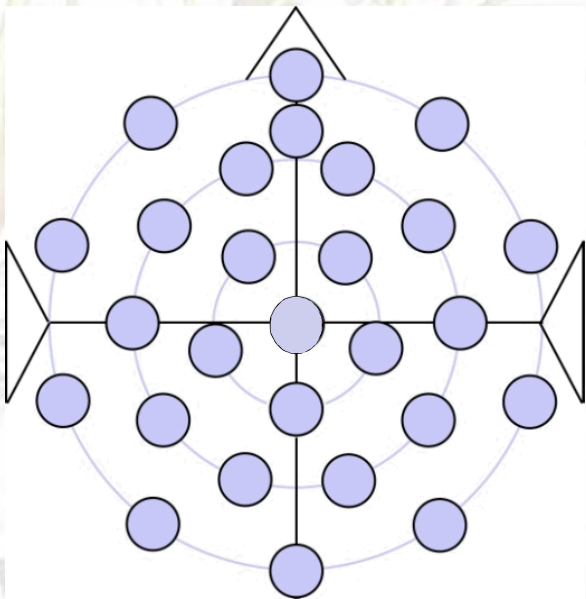


# ERP Orientation





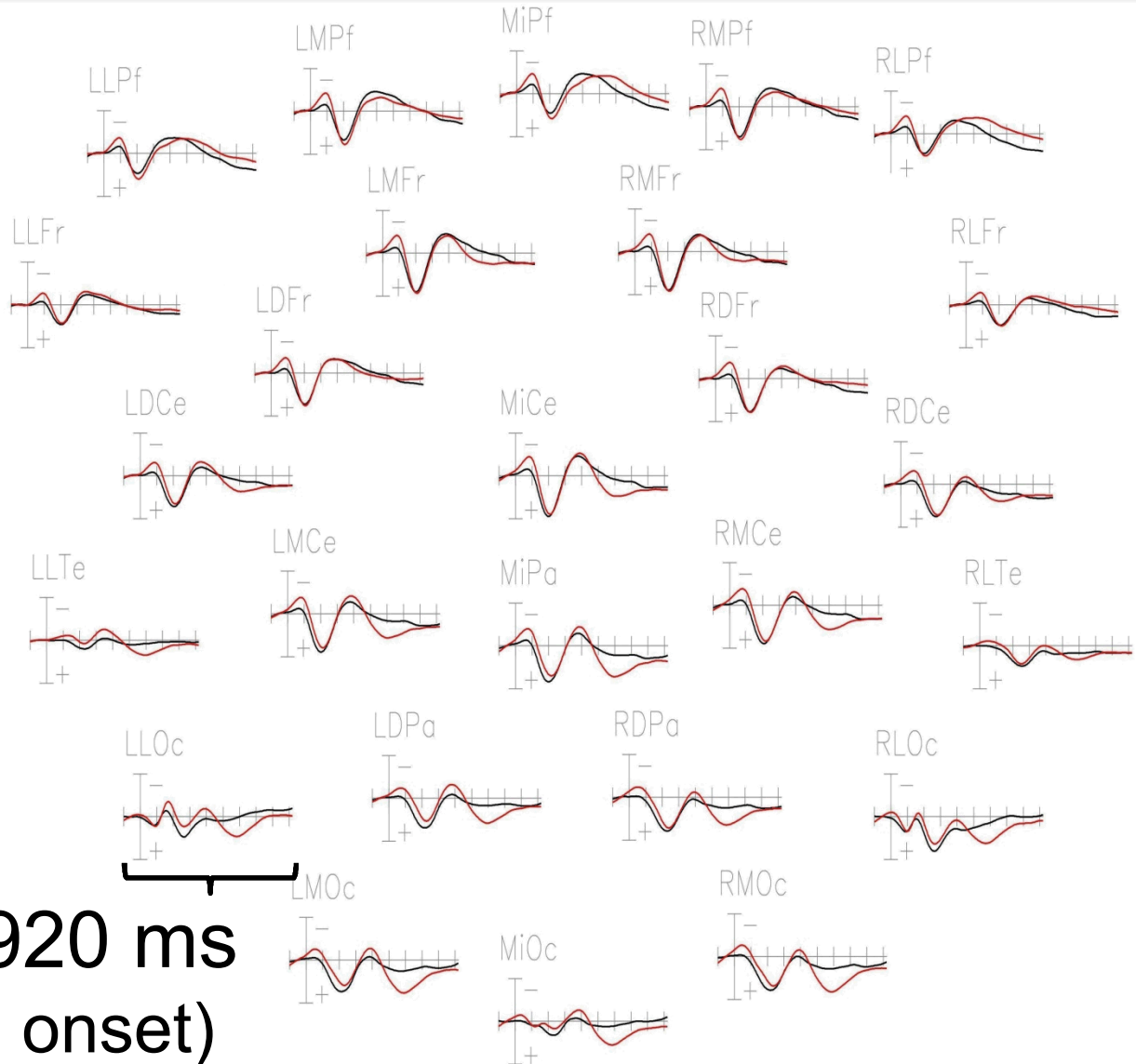
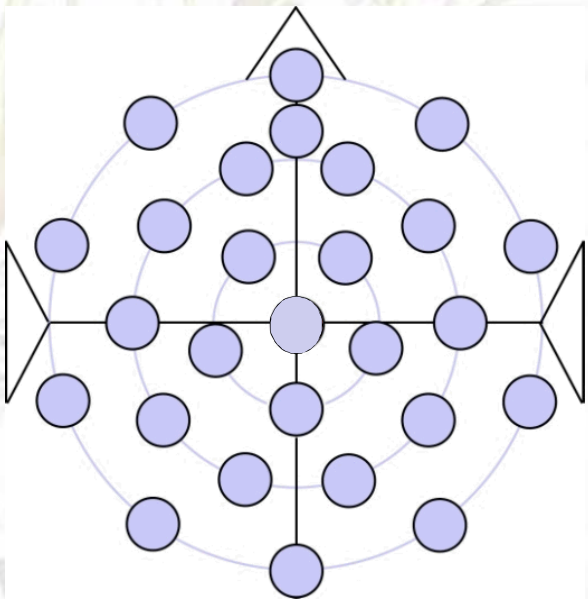
# ERP Orientation



$\pm 5 \mu V$

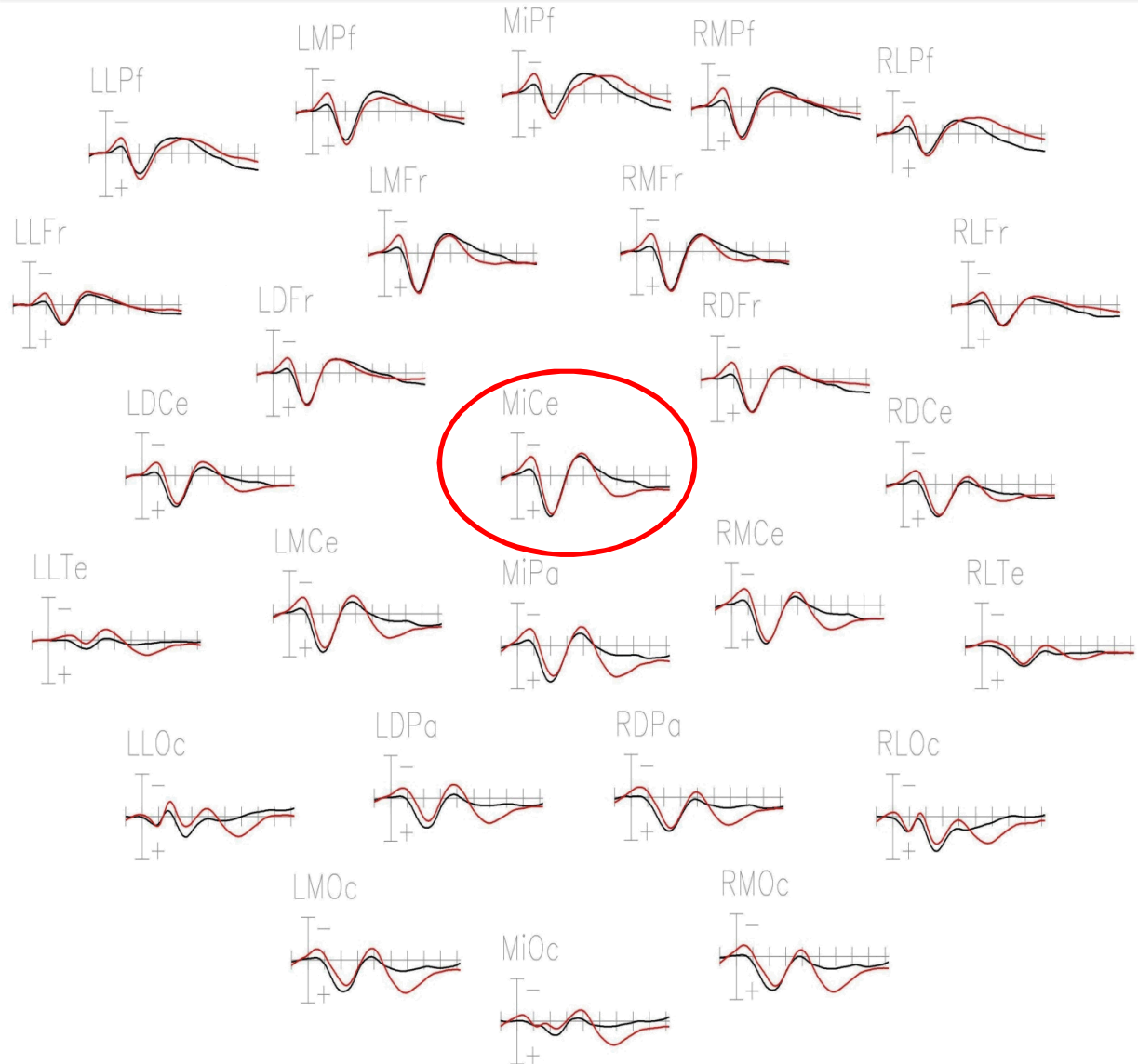
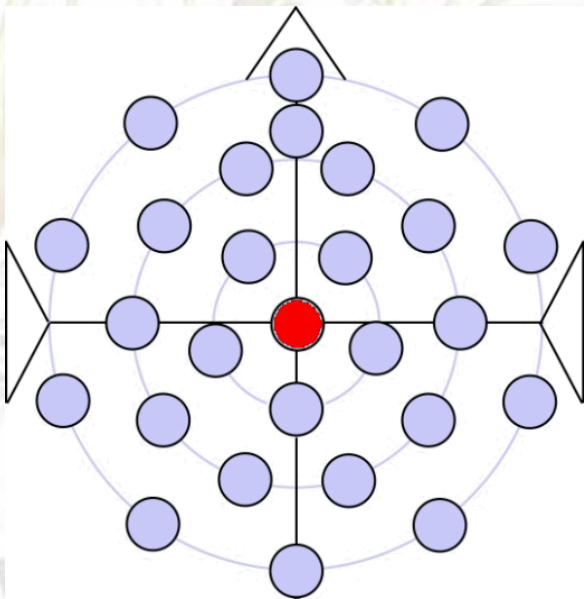


# ERP Orientation



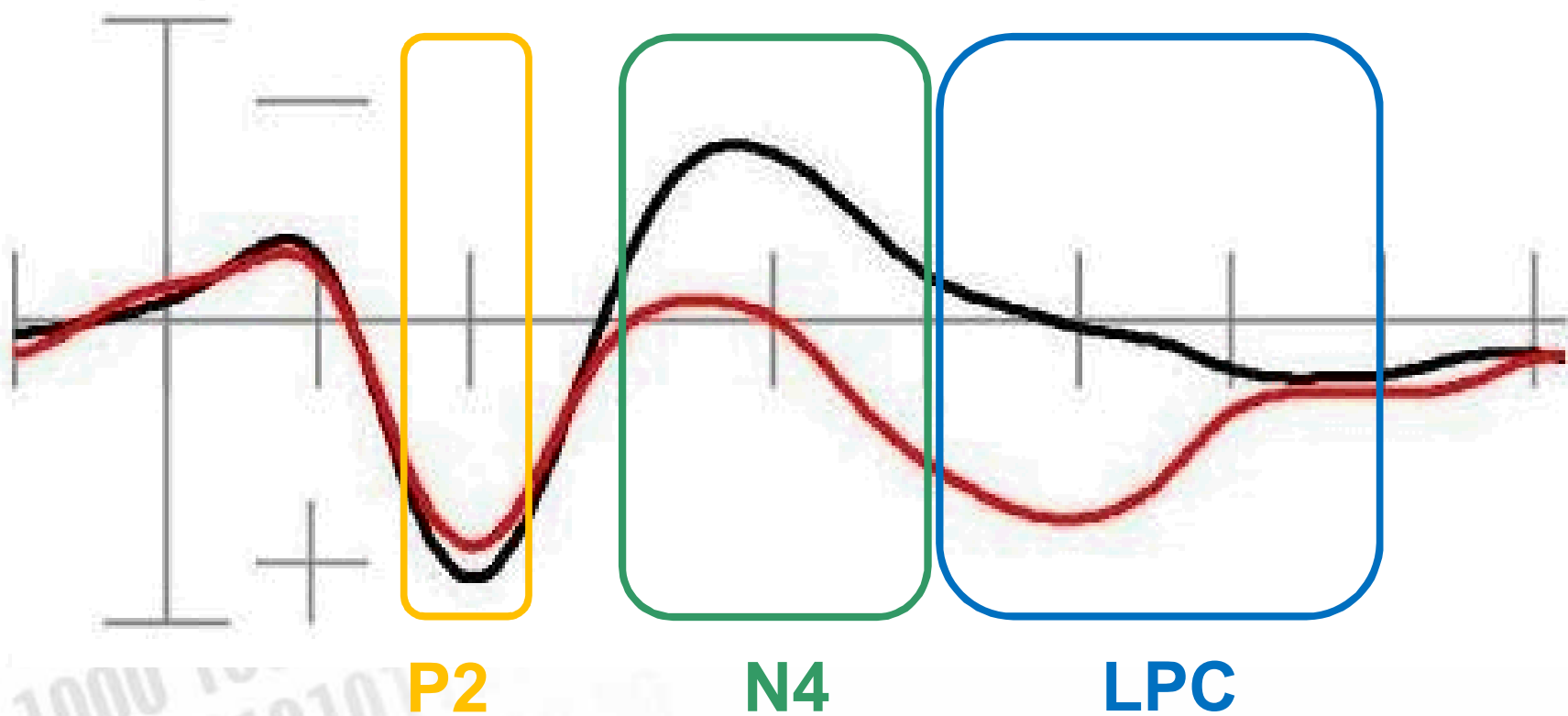
-100 ms to 920 ms  
(0 = stimulus onset)

# ERP Orientation





# Critical Time Windows





# Words Studied Twice, Short Lag

fight

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm





# Words Studied Twice, Short Lag

fight

alarm

cut

= —

nation

cut

= —

nation

storm

assent

fire

noon

fight

alarm





# Words Studied Twice, Short Lag

fight

alarm

cut

= —

nation

cut

= —

nation

storm

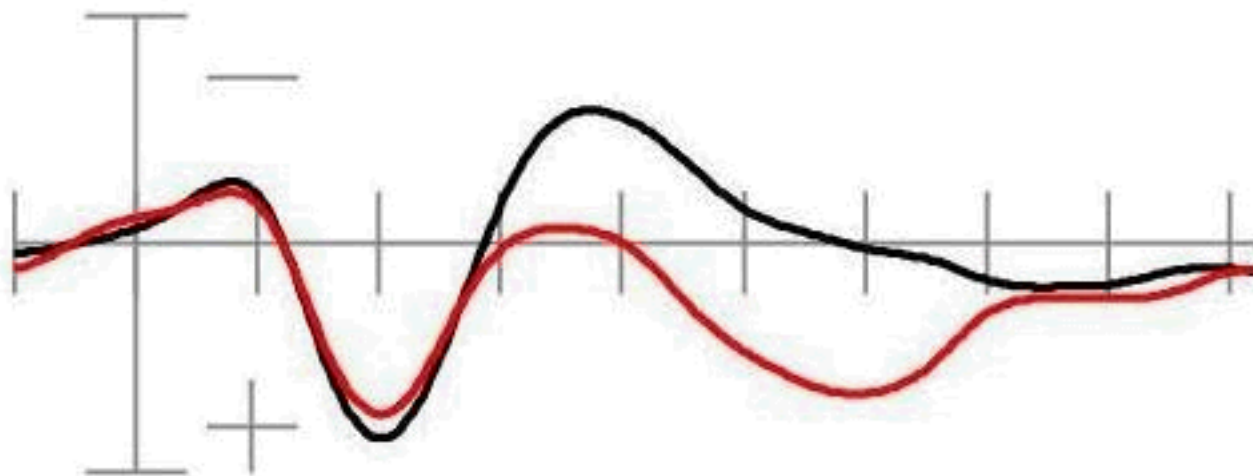
assent

fire

noon

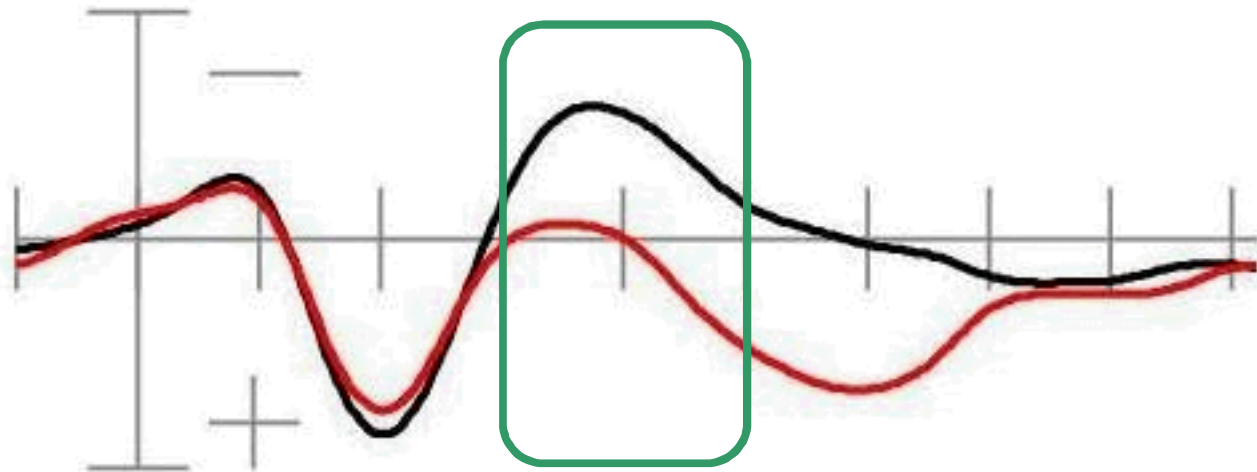
fight

alarm





# Words Studied Twice, Short Lag



N4 smaller for repetition:

- Semantic access facilitated
- Implicit memory



# Words Studied Twice, Short Lag

fight

alarm

cut

= —

nation

cut

= —

nation

storm

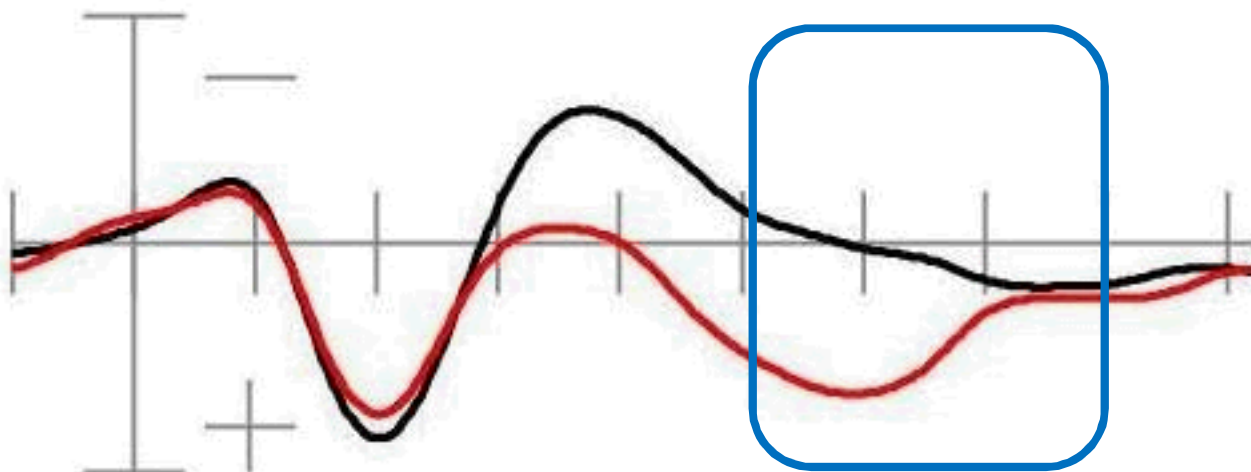
assent

fire

noon

fight

alarm



LPC larger for repetition:

- Explicit memory
- Conscious recollection





# Words Studied Twice, Long Lag

fight

alarm = —

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm = —





# Words Studied Twice, Long Lag

fight

alarm = —

cut

nation

cut

nation

storm

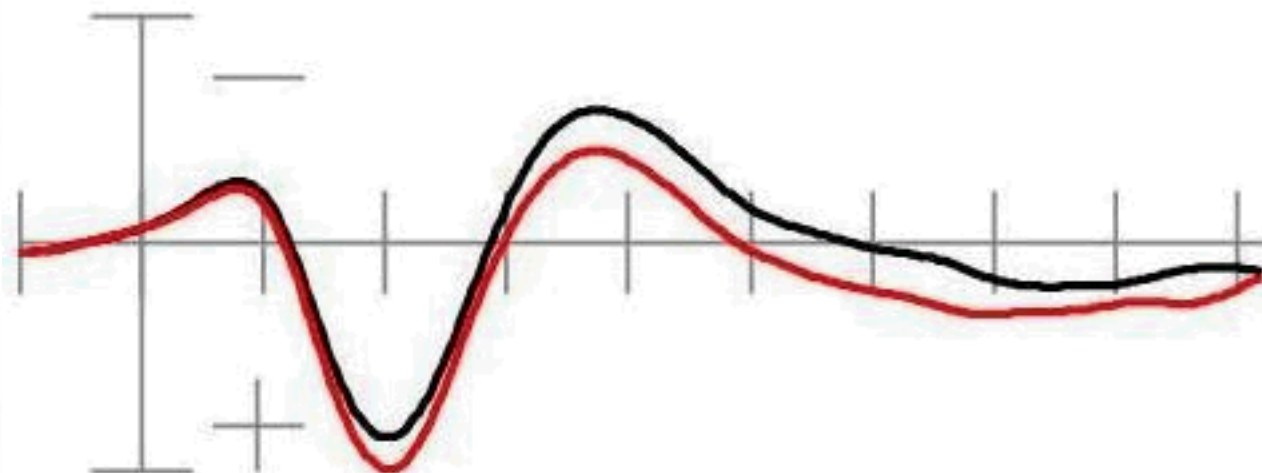
assent

fire

noon

fight

alarm = —





# Words Studied Twice, Long Lag

fight

alarm = —

cut

nation

cut

nation

storm

assent

fire

noon

fight

alarm = —



N4 smaller for repetition:

- Smaller difference than short lag





# Words Studied Twice, Long Lag

fight

alarm = —

cut

nation

cut

nation

storm

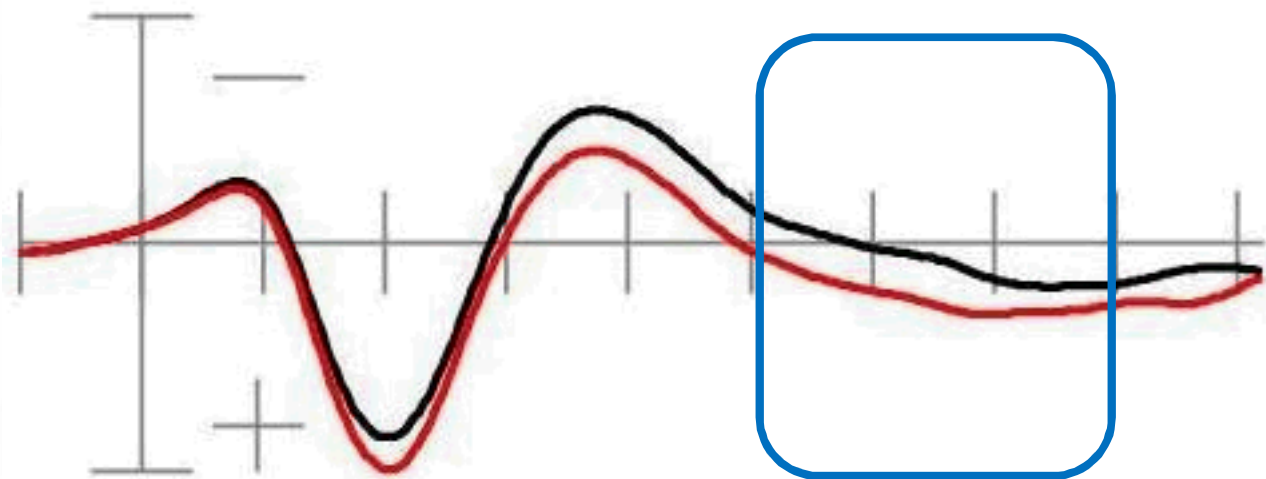
assent

fire

noon

fight

alarm = —



LPC larger for repetition:

- Smaller difference than short lag







# Words Studied and Tested, Short Lag

fight

alarm

cut

nation = —

cut

nation = —

storm

assent

fire

noon

fight

alarm





# Words Studied and Tested, Short Lag

fight

alarm

cut

nation = —

cut

nation = —

storm

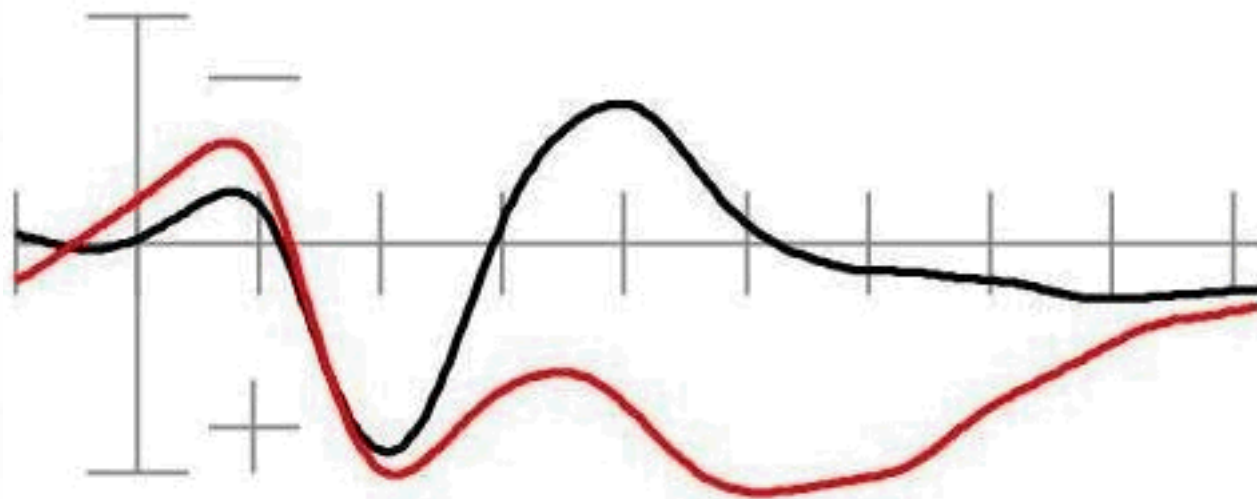
assent

fire

noon

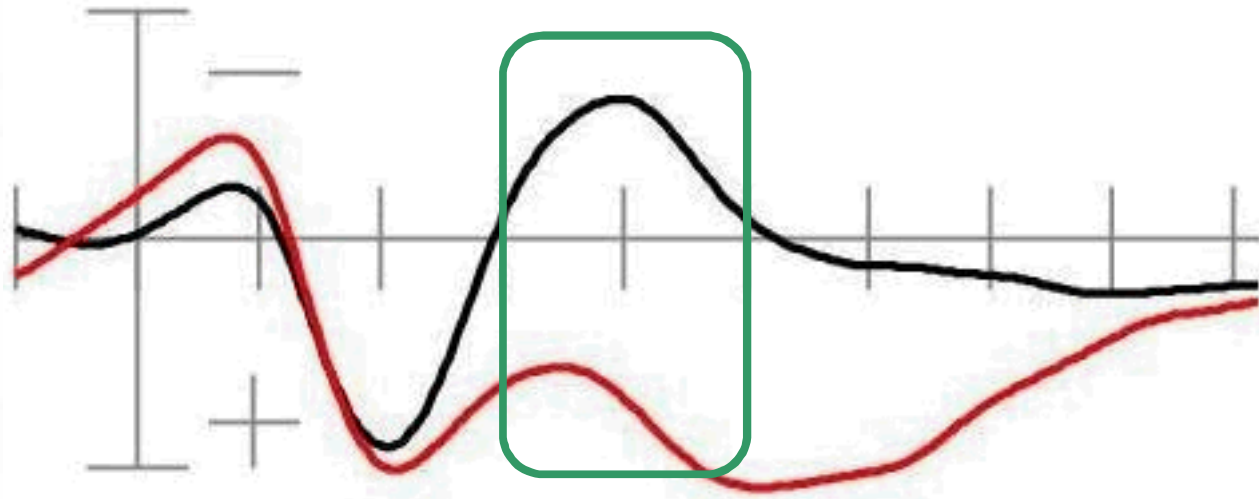
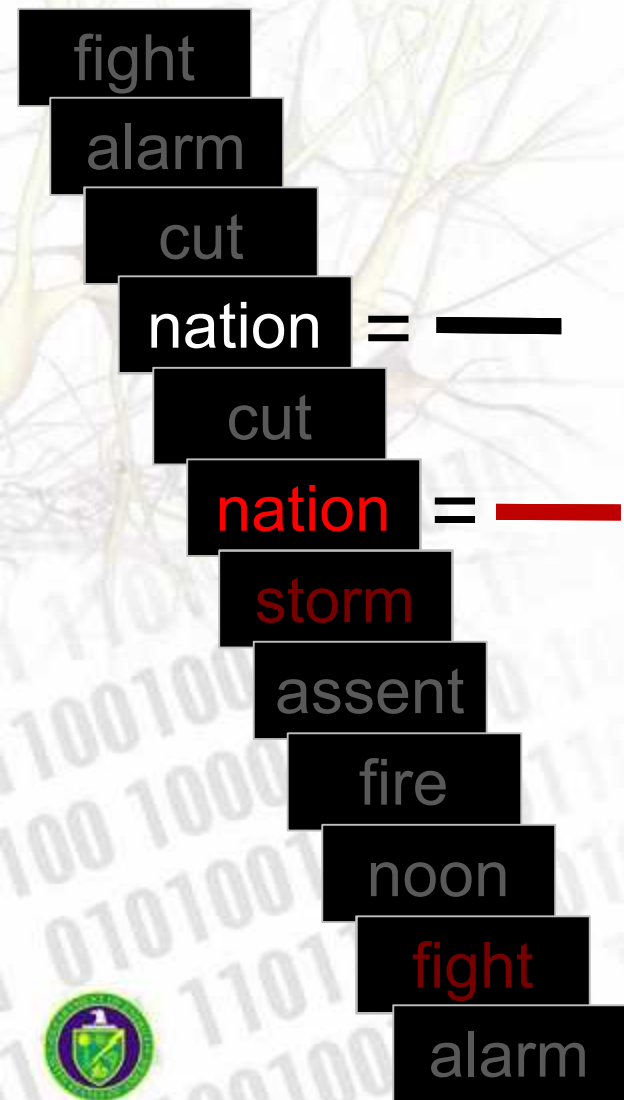
fight

alarm





# Words Studied and Tested, Short Lag



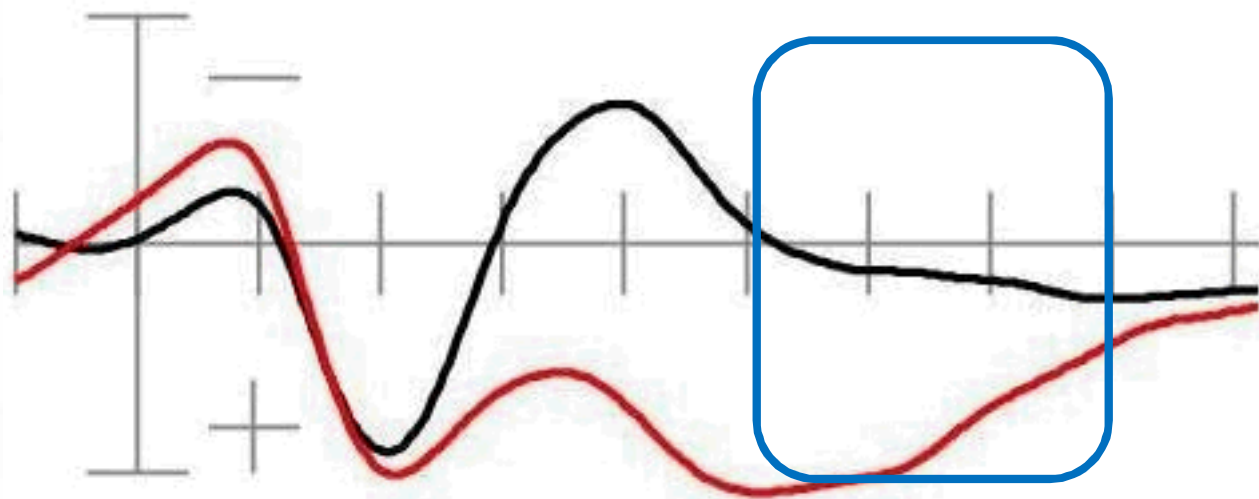
N4 smaller for repetition:

- Larger difference than twice-studied words





# Words Studied and Tested, Short Lag



LPC larger for repetition:  
- Larger difference than  
twice-studied words





# Words Studied and Tested, Long Lag

fight

=

—

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

=

—

alarm





# Words Studied and Tested, Long Lag

fight = —

alarm

cut

nation

cut

nation

storm

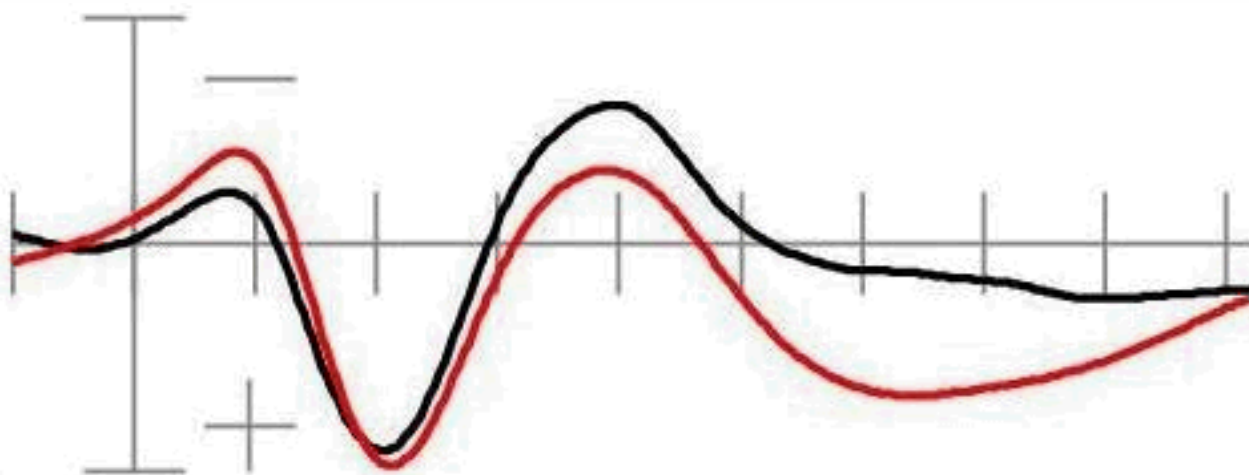
assent

fire

noon

fight = —

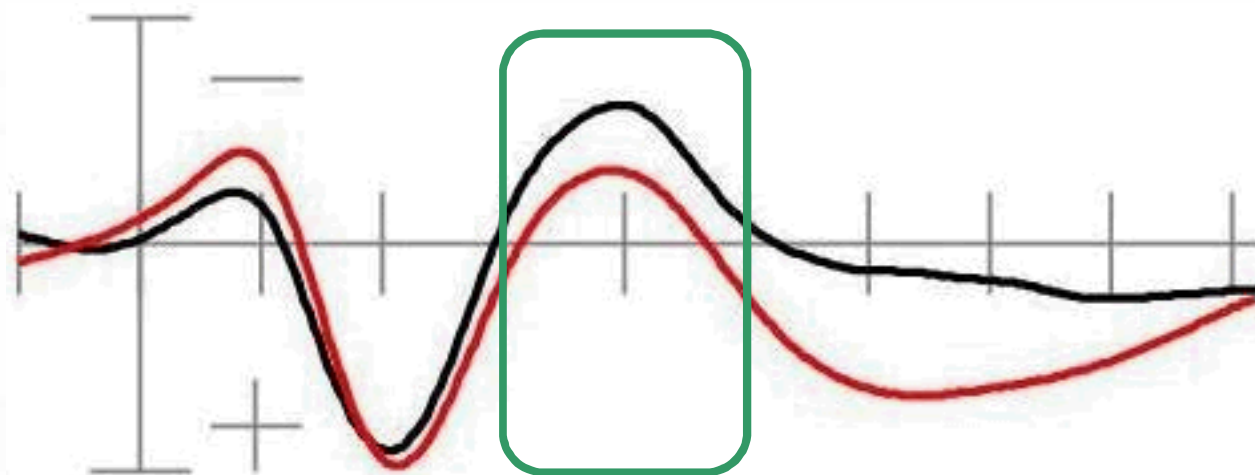
alarm







# Words Studied and Tested, Long Lag



N4 smaller for repetition:  
- Smaller difference than short lag

fight

=

—

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

=

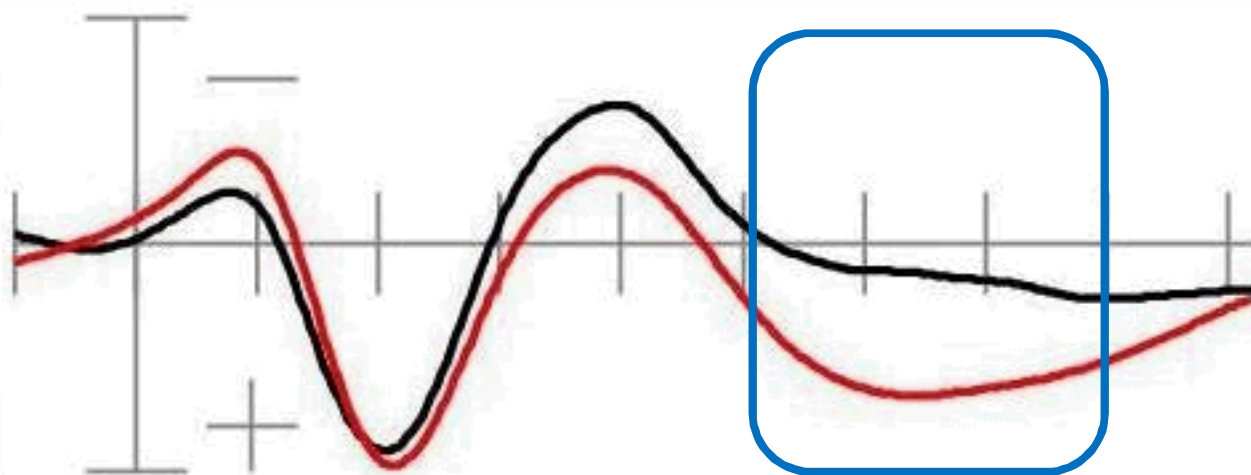
—

alarm





# Words Studied and Tested, Long Lag



LPC larger for repetition:  
- Smaller difference than short lag

fight

=

—

alarm

cut

nation

cut

nation

storm

assent

fire

noon

fight

=

—

alarm

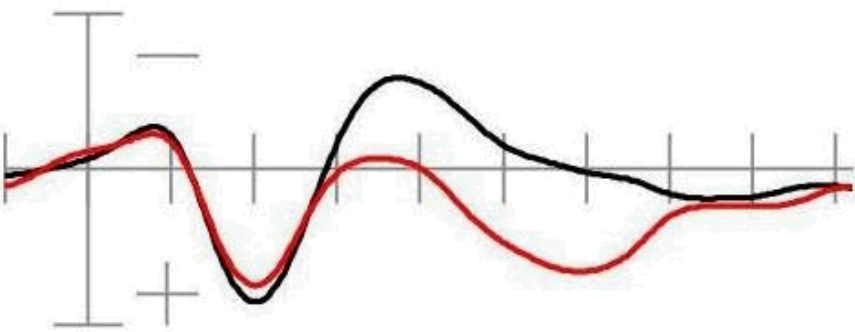




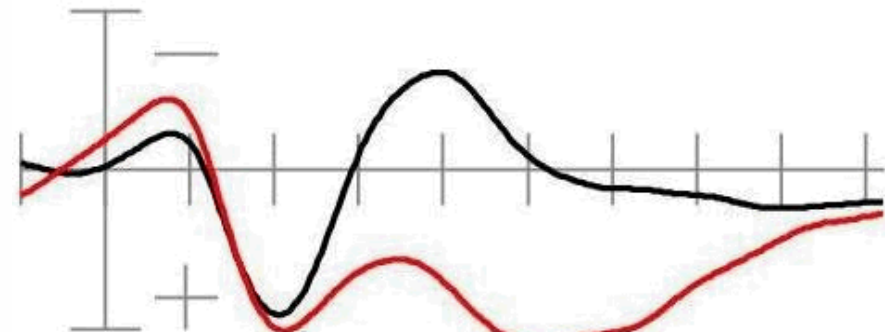
# All Repetition Effects

Short Lag

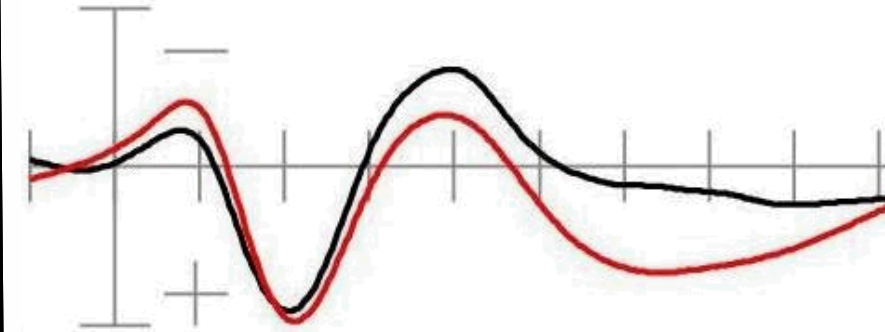
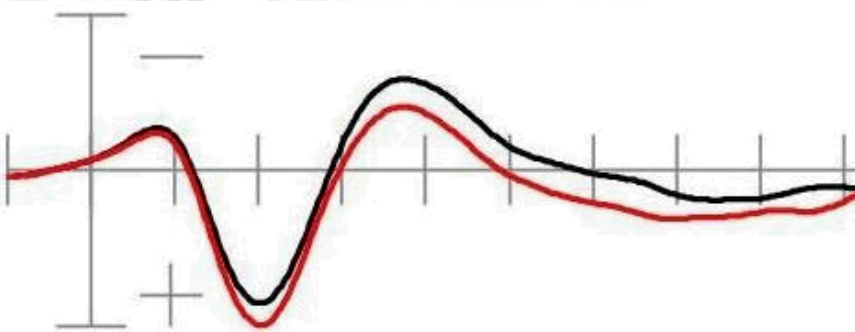
Study-Study



Study-Test



Long Lag



# Dm Effect

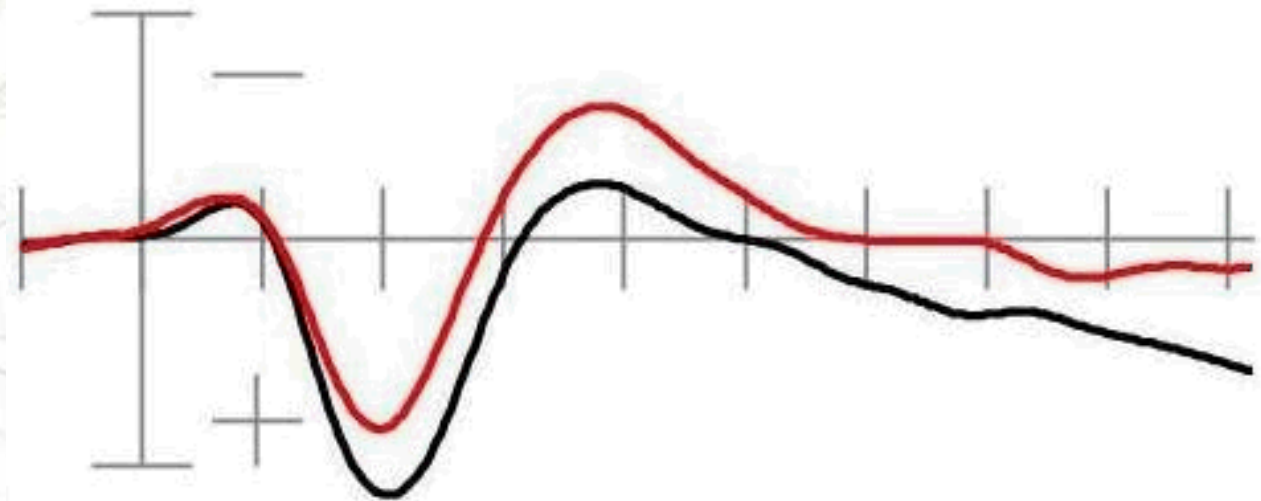
## Once-studied words



# Dm Effect

## Once-studied words

fight  
alarm  
cut  
nation  
cut  
nation  
storm  
assent  
fire  
noon  
fight  
alarm

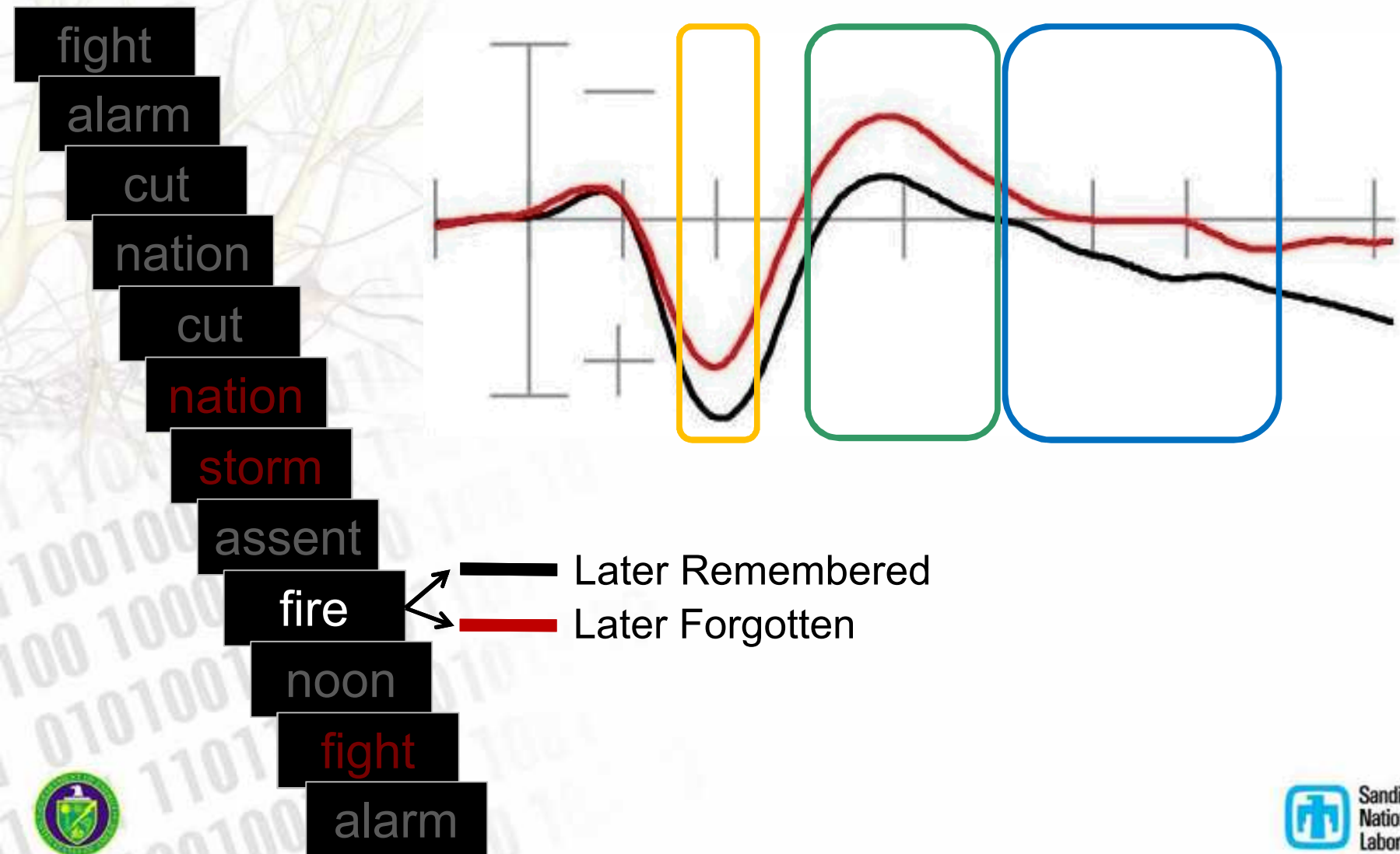


— Later Remembered  
— Later Forgotten



# Dm Effect

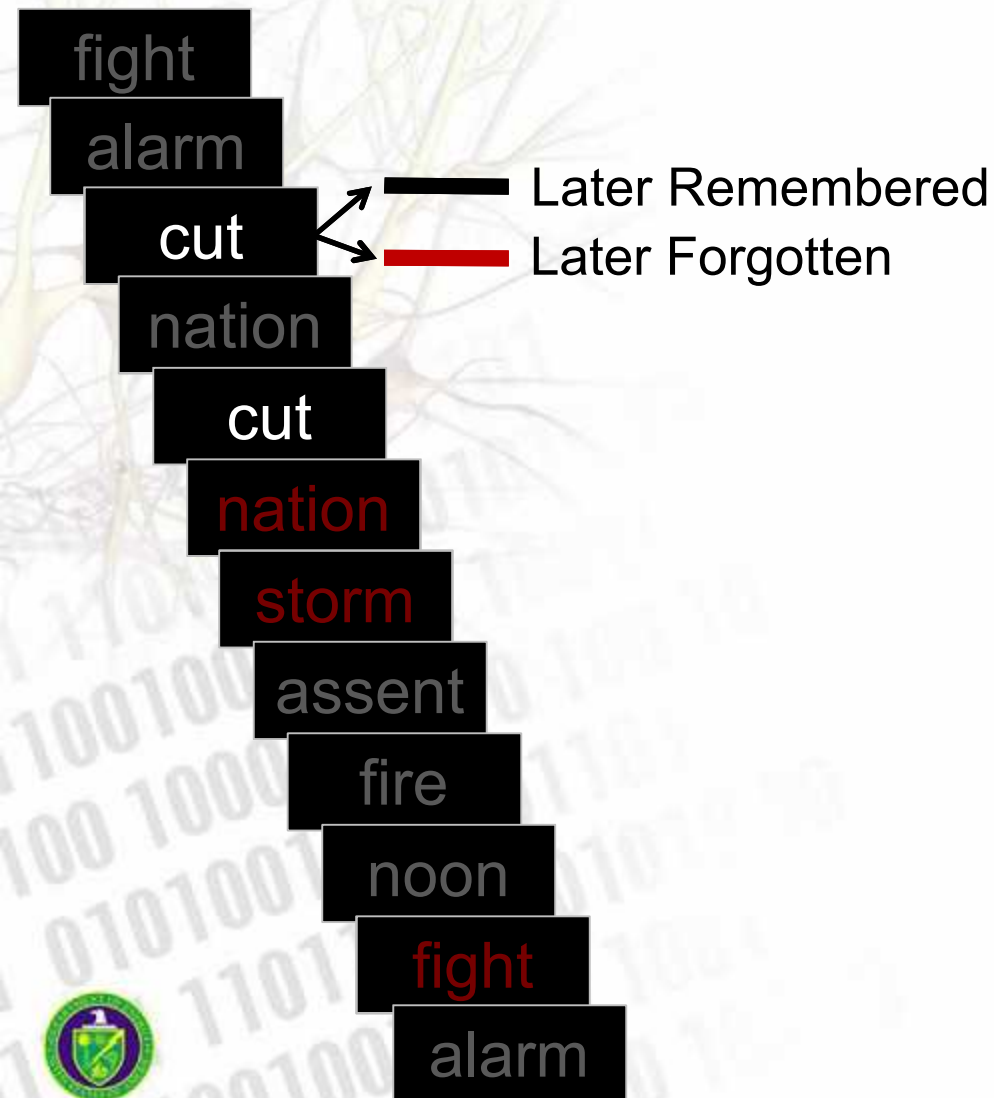
## Once-studied words





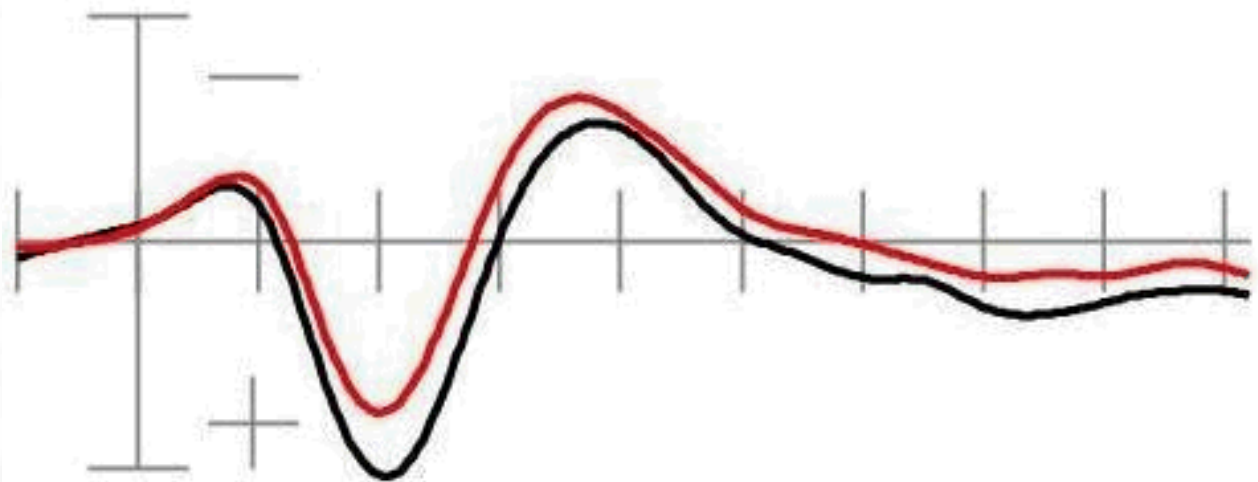
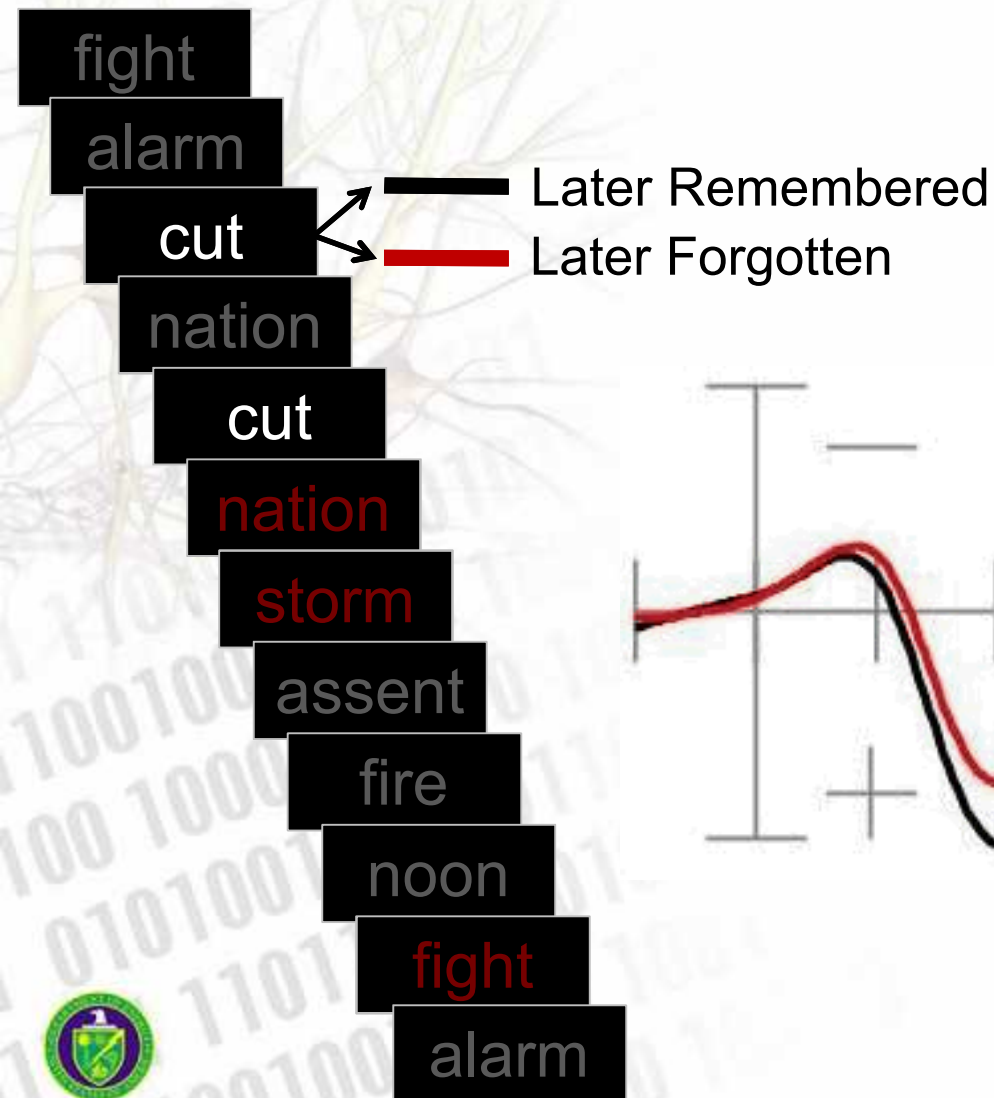
# Dm Effect

## Once-studied words



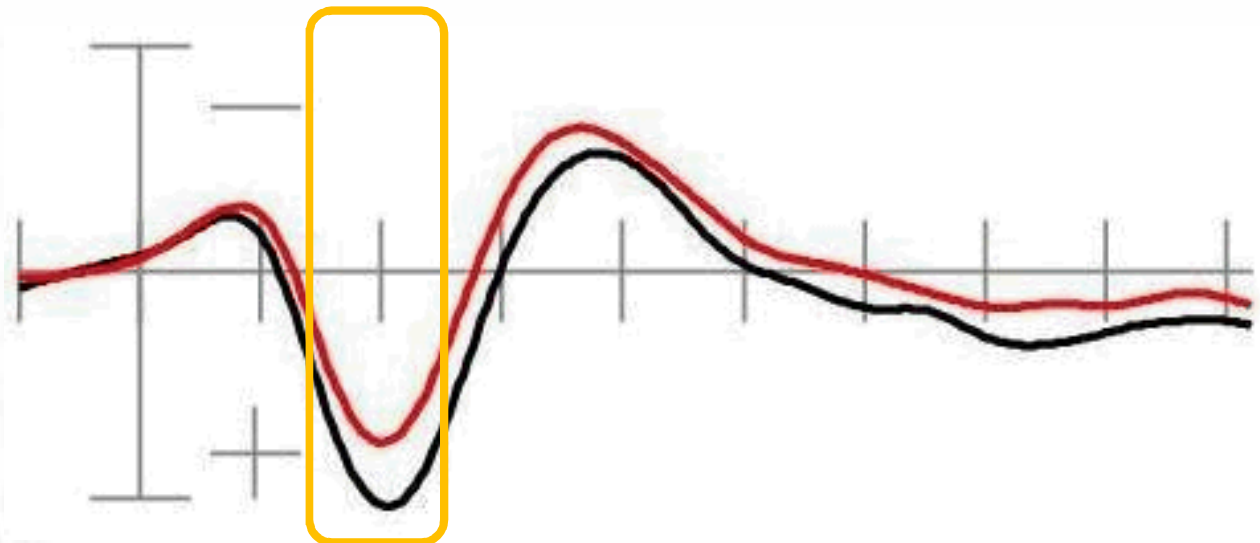
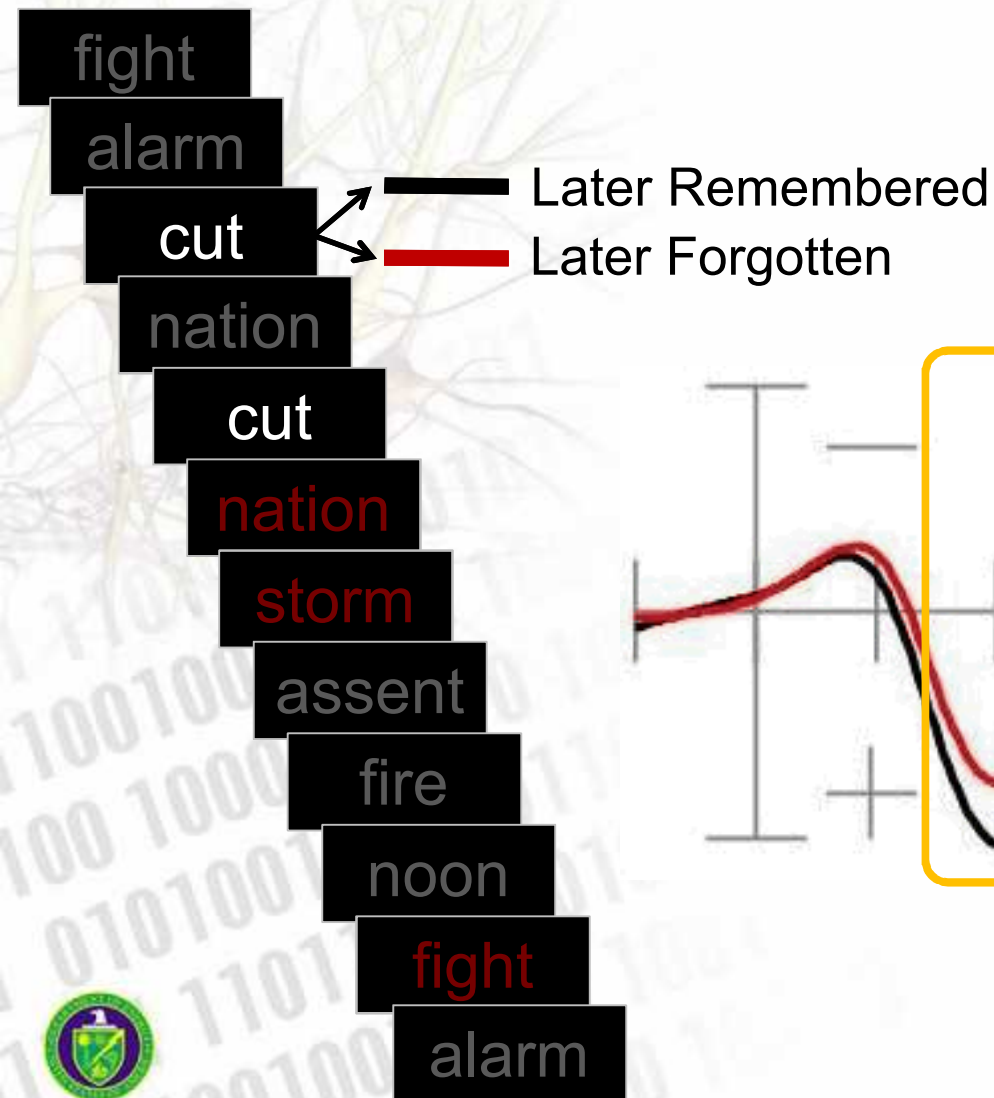
# Dm Effect

## Study-study words



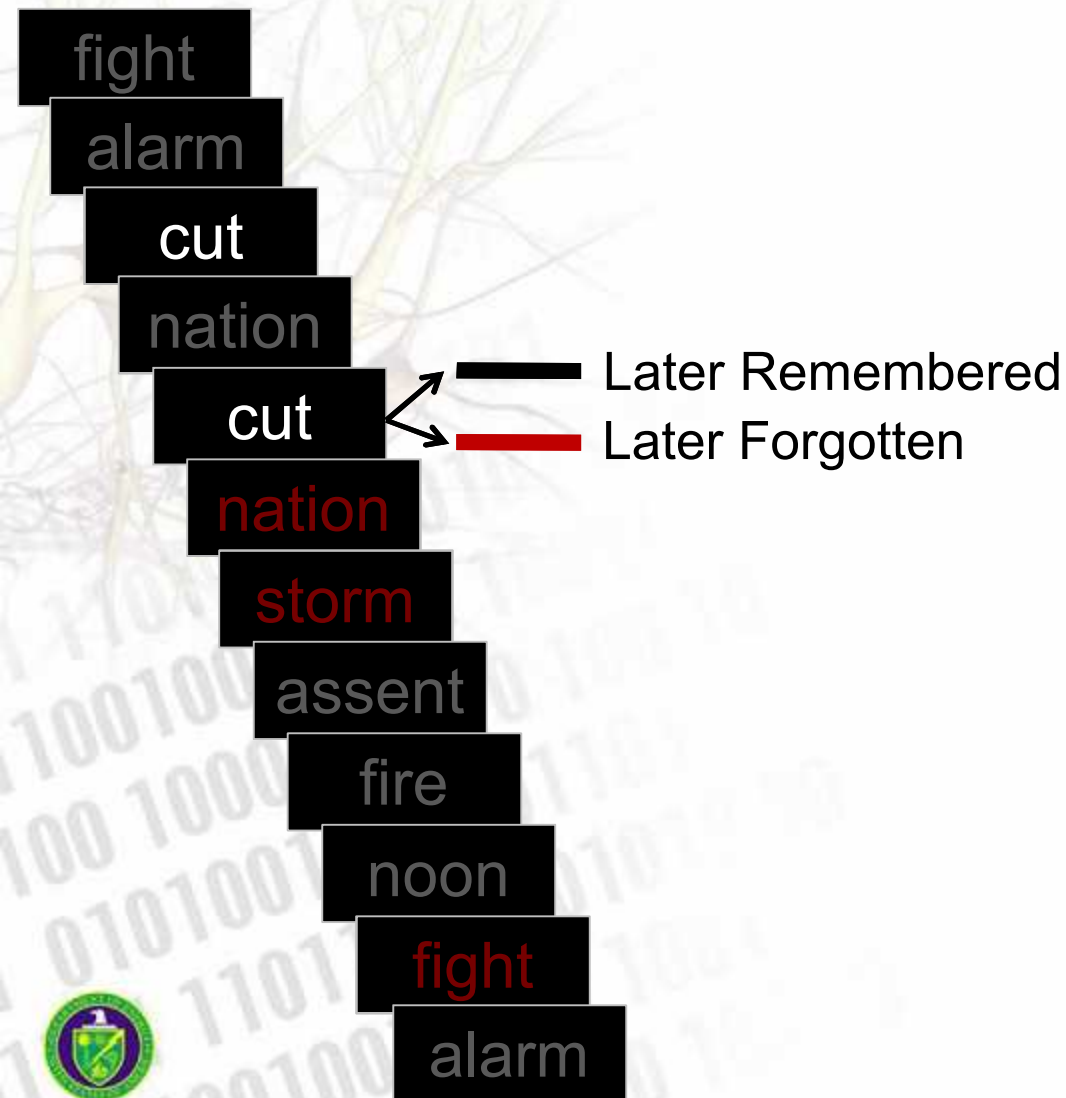
# Dm Effect

## Study-study words



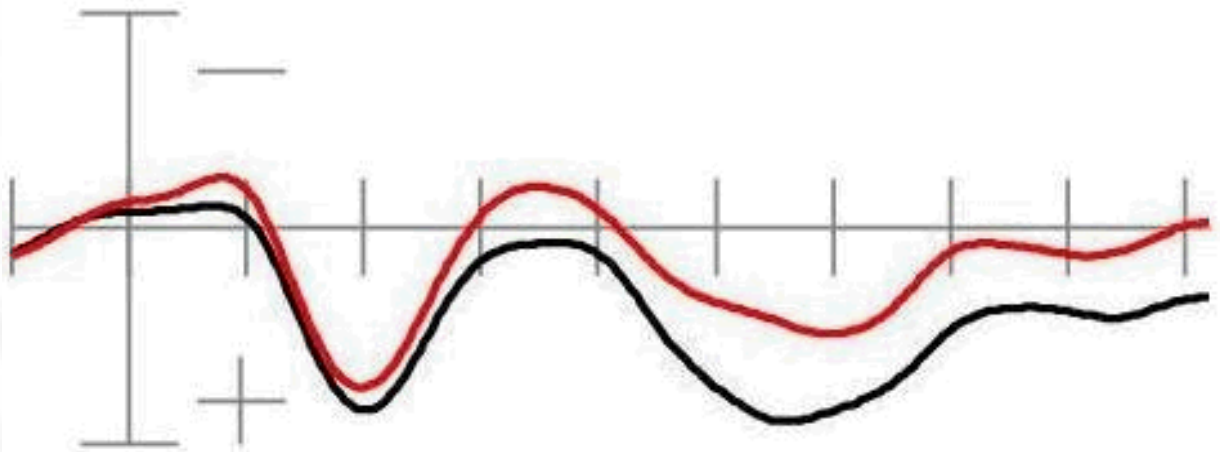
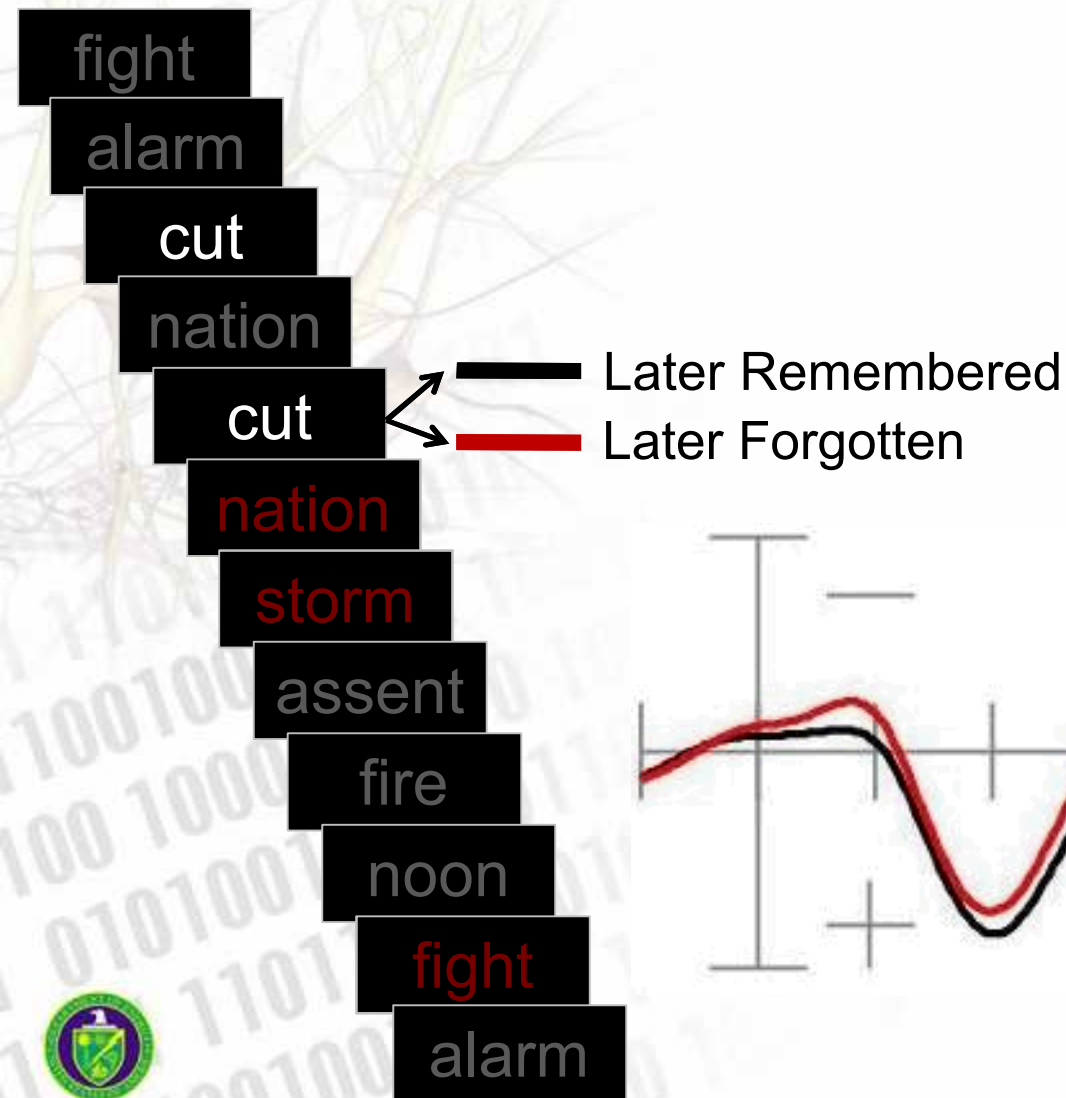
# Dm-R Effect

## Study-study words, short lag



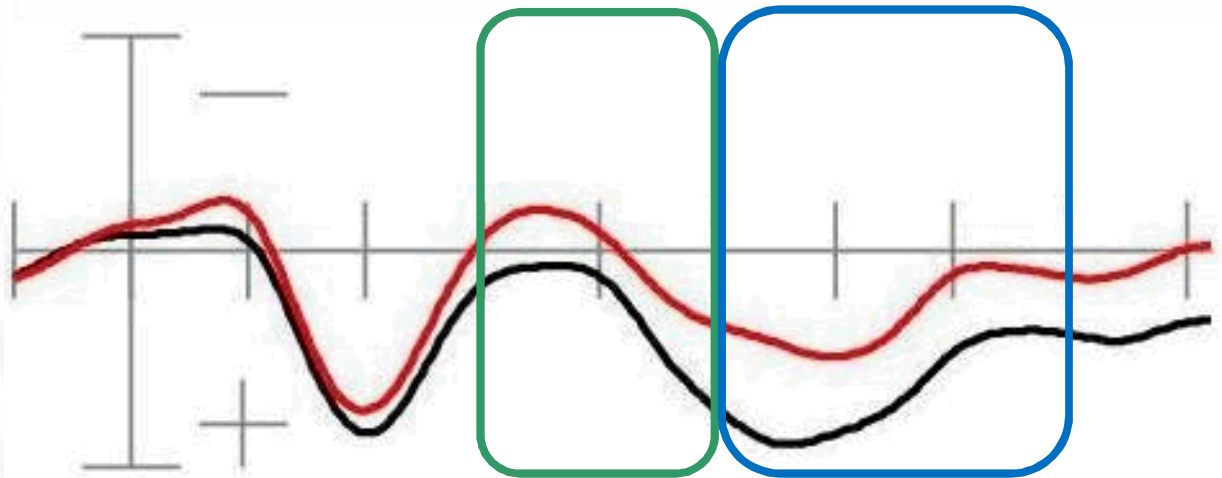
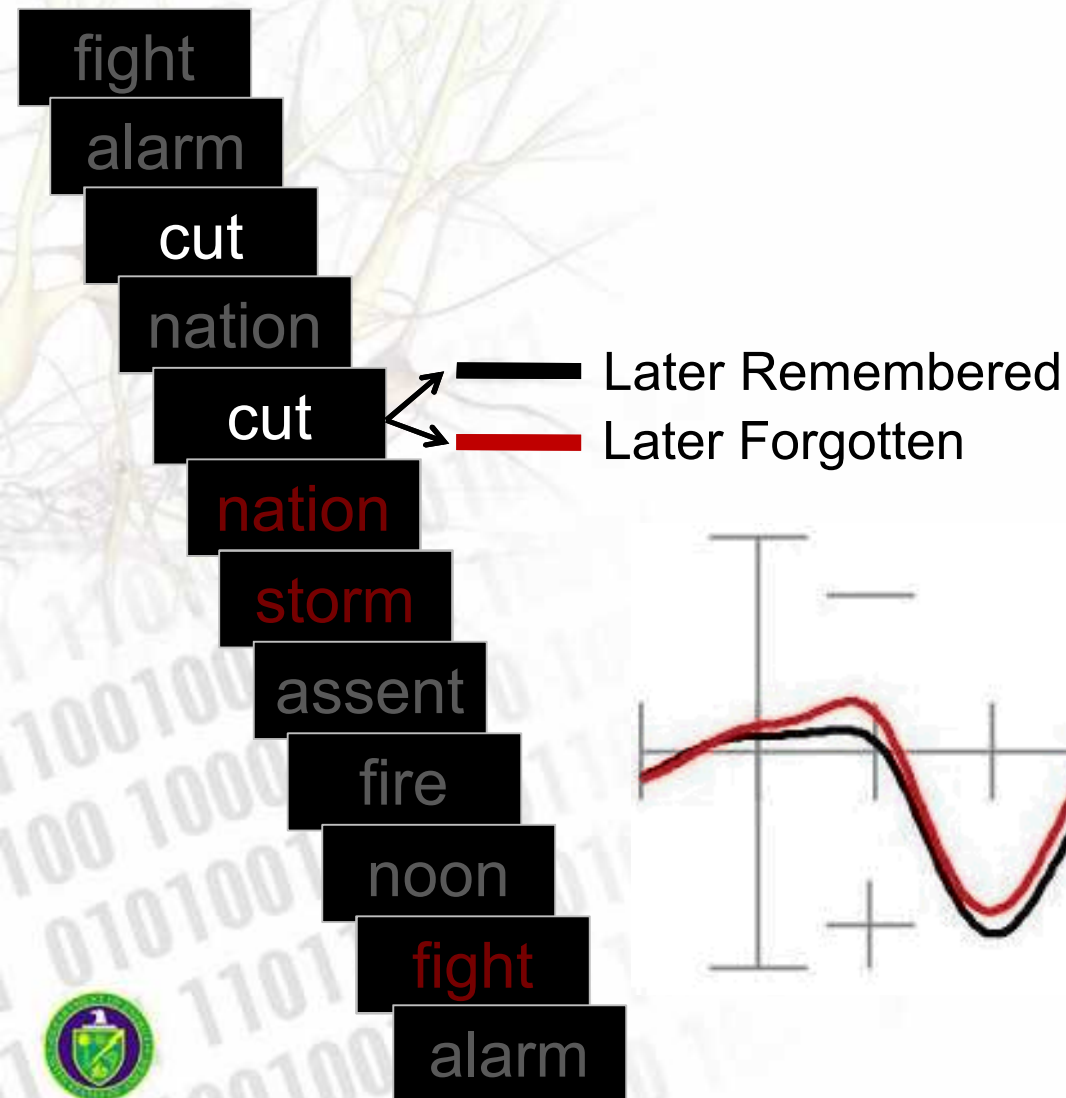
# Dm-R Effect

## Study-study words, short lag



# Dm-R Effect

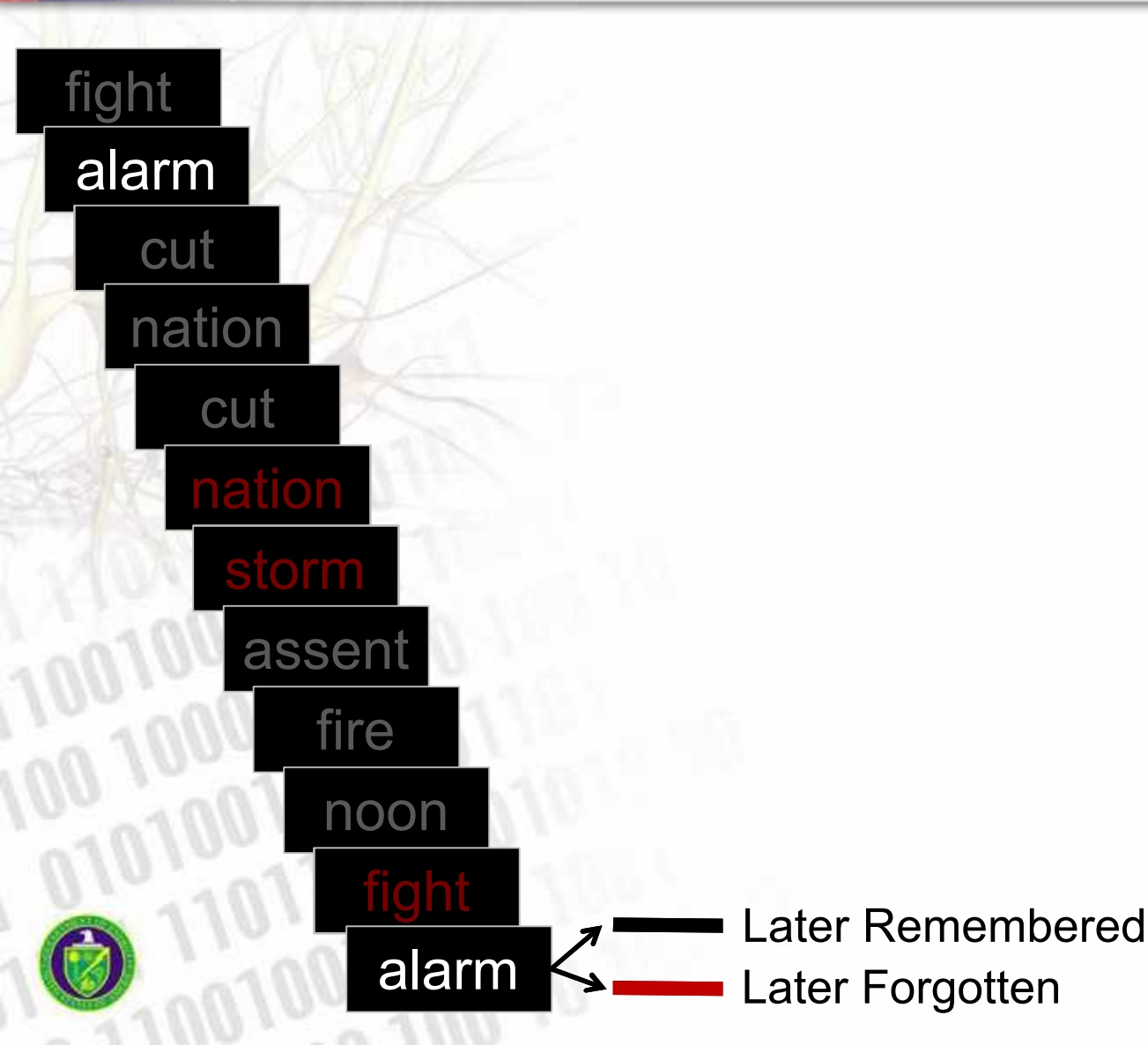
## Study-study words, short lag





# Dm-R Effect

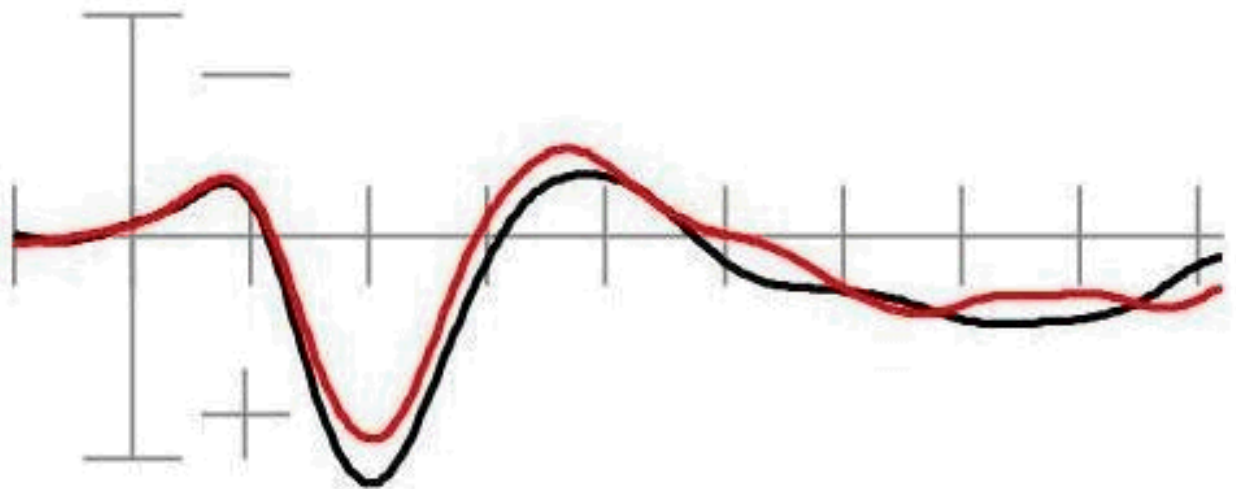
## Study-study words, long lag



# Dm-R Effect

## Study-study words, long lag

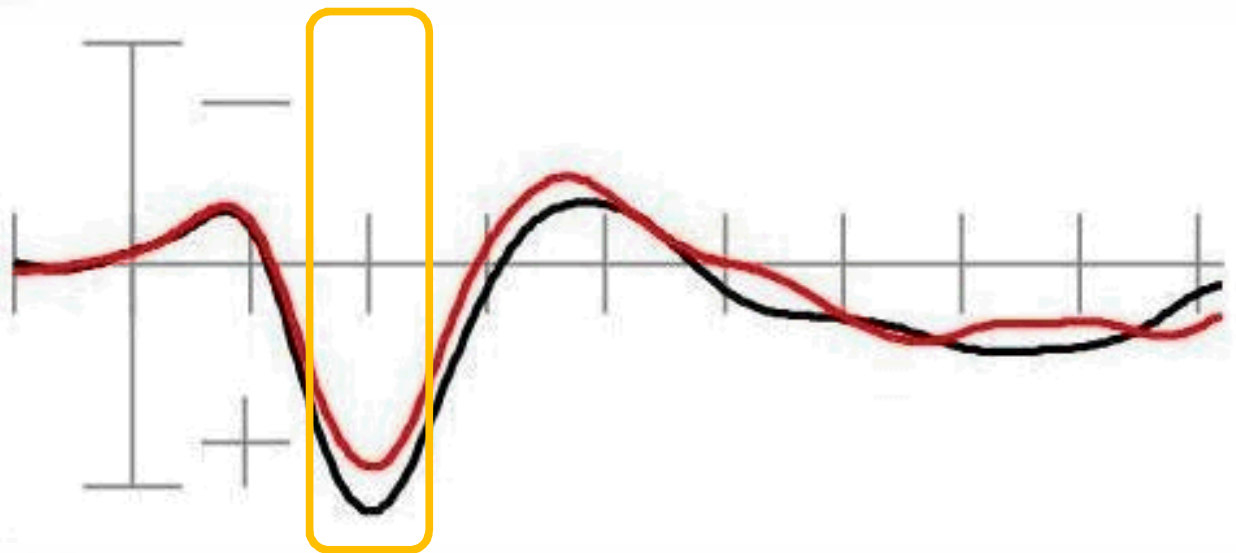
fight  
alarm  
cut  
nation  
cut  
nation  
storm  
assent  
fire  
noon  
fight  
alarm



— Later Remembered  
— Later Forgotten

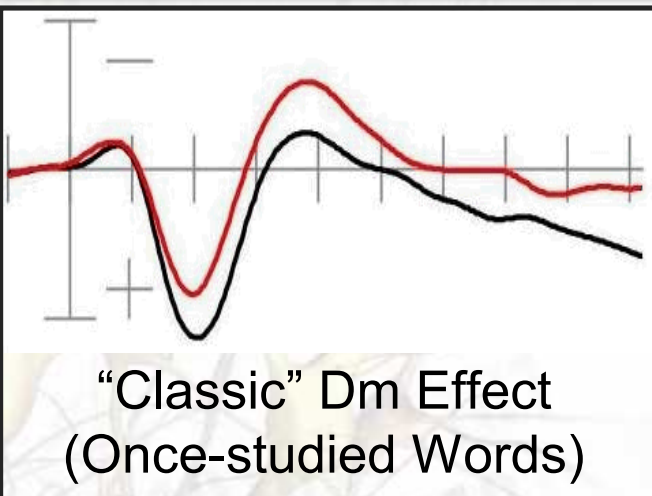
# Dm-R Effect

## Study-study words, long lag

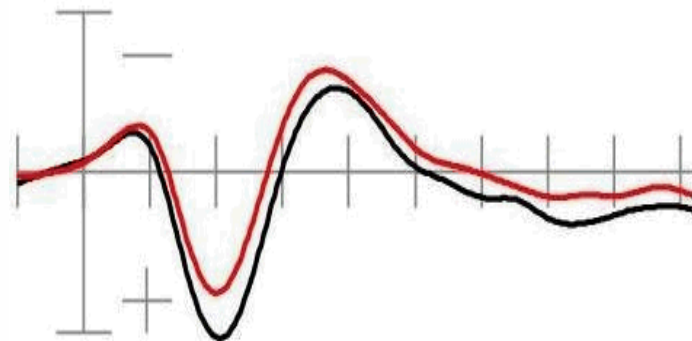


— Later Remembered  
— Later Forgotten

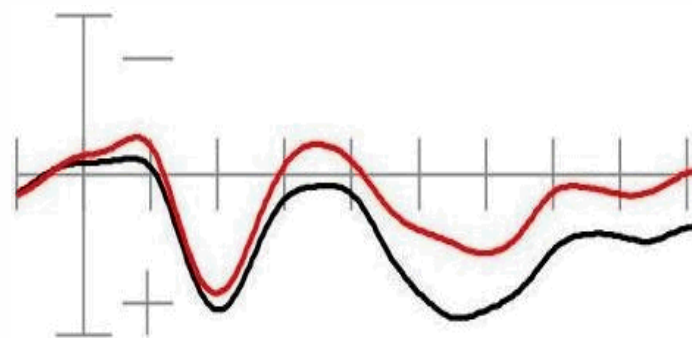
# Comparison of Study-Study Conditions



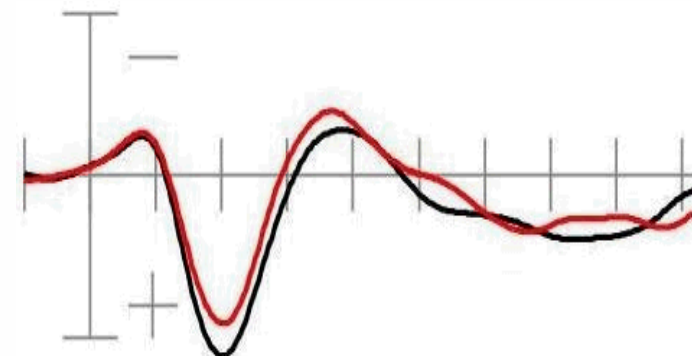
Twice-studied Words  
First Presentation



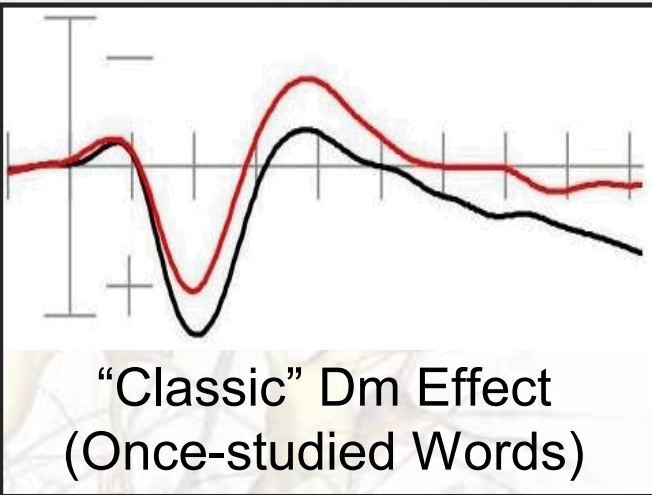
Twice-studied Words  
Second Presentation  
Short Lag



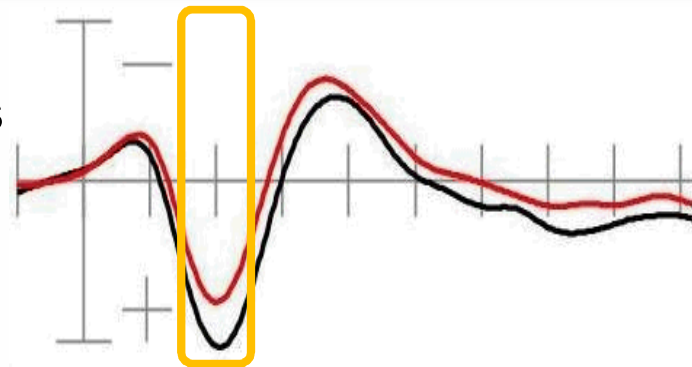
Twice-studied Words  
Second Presentation  
Long Lag



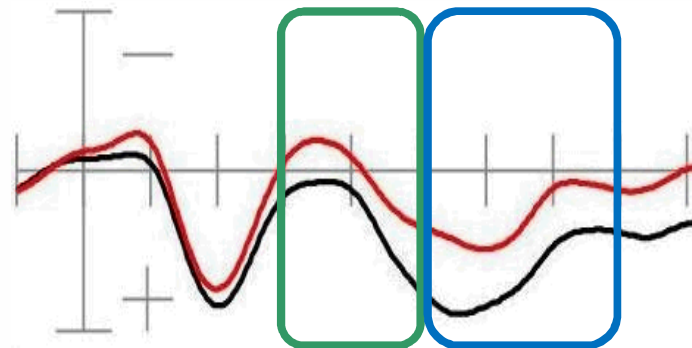
# Comparison of Study-Study Conditions



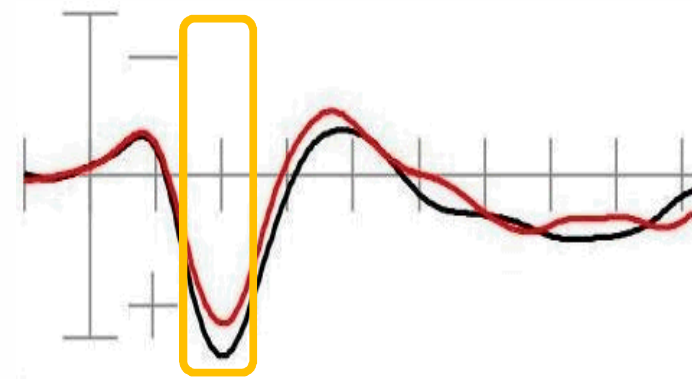
Twice-studied Words  
First Presentation



Twice-studied Words  
Second Presentation  
Short Lag



Twice-studied Words  
Second Presentation  
Long Lag

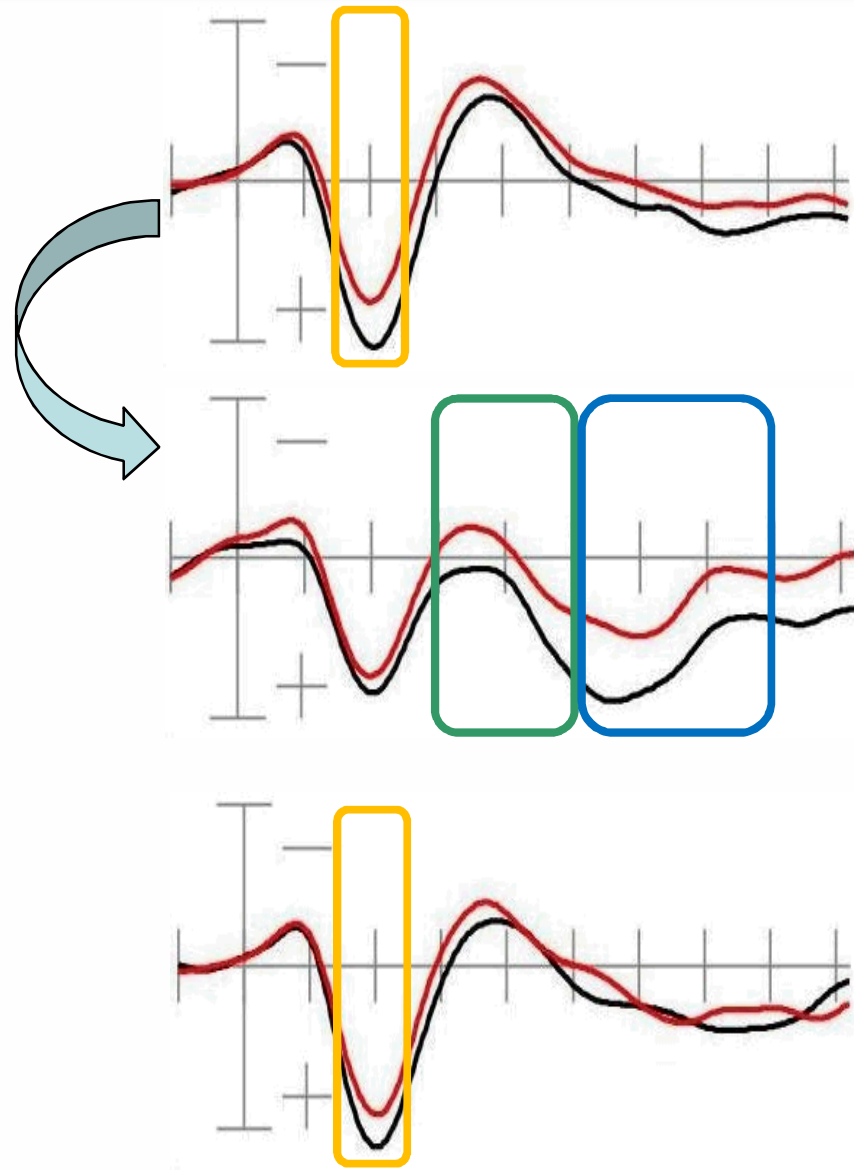




# Comparison of Study-Study Conditions

## Short lag repetitions:

- Interaction between two presentations
- Subsequent memory driven by second presentation





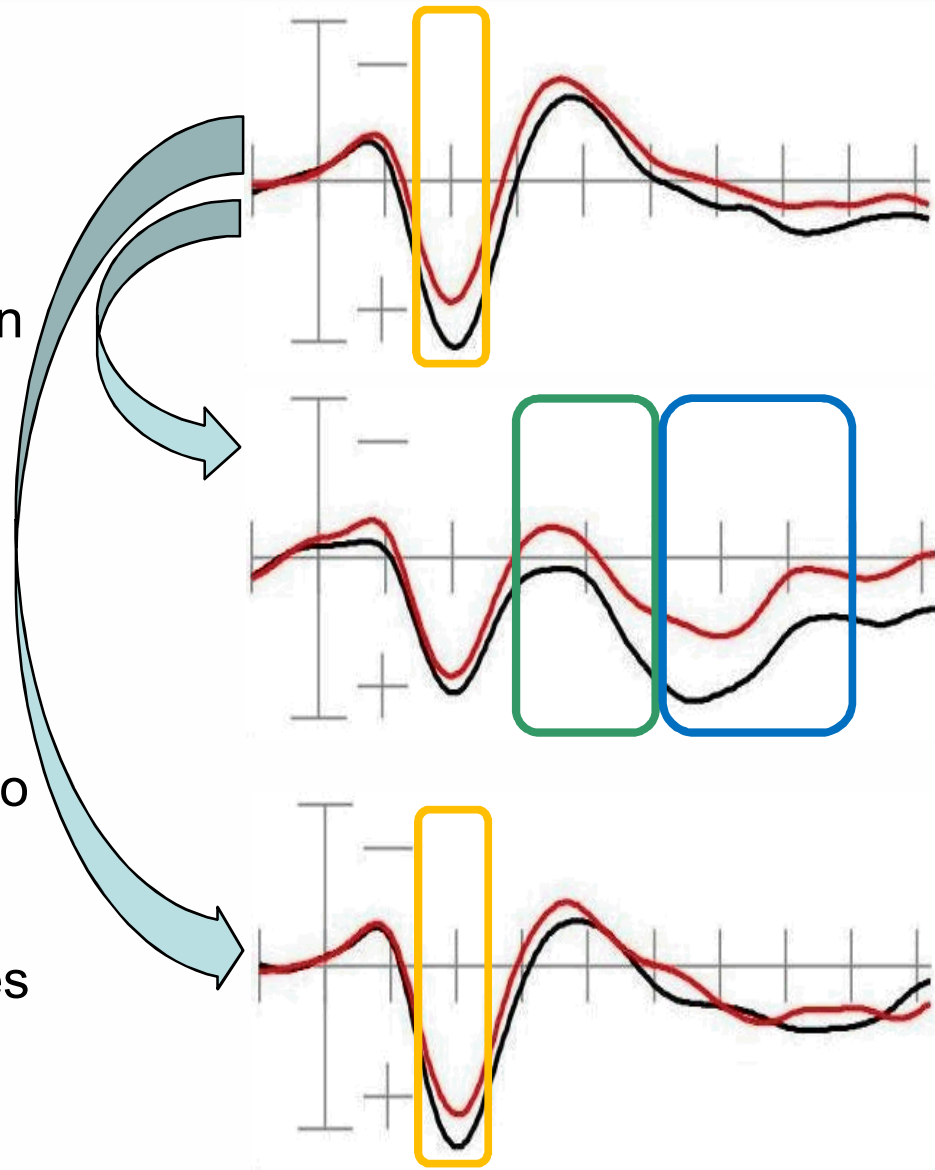
# Comparison of Study-Study Conditions

## Short lag repetitions:

- Interaction between two presentations
- Subsequent memory driven by second presentation

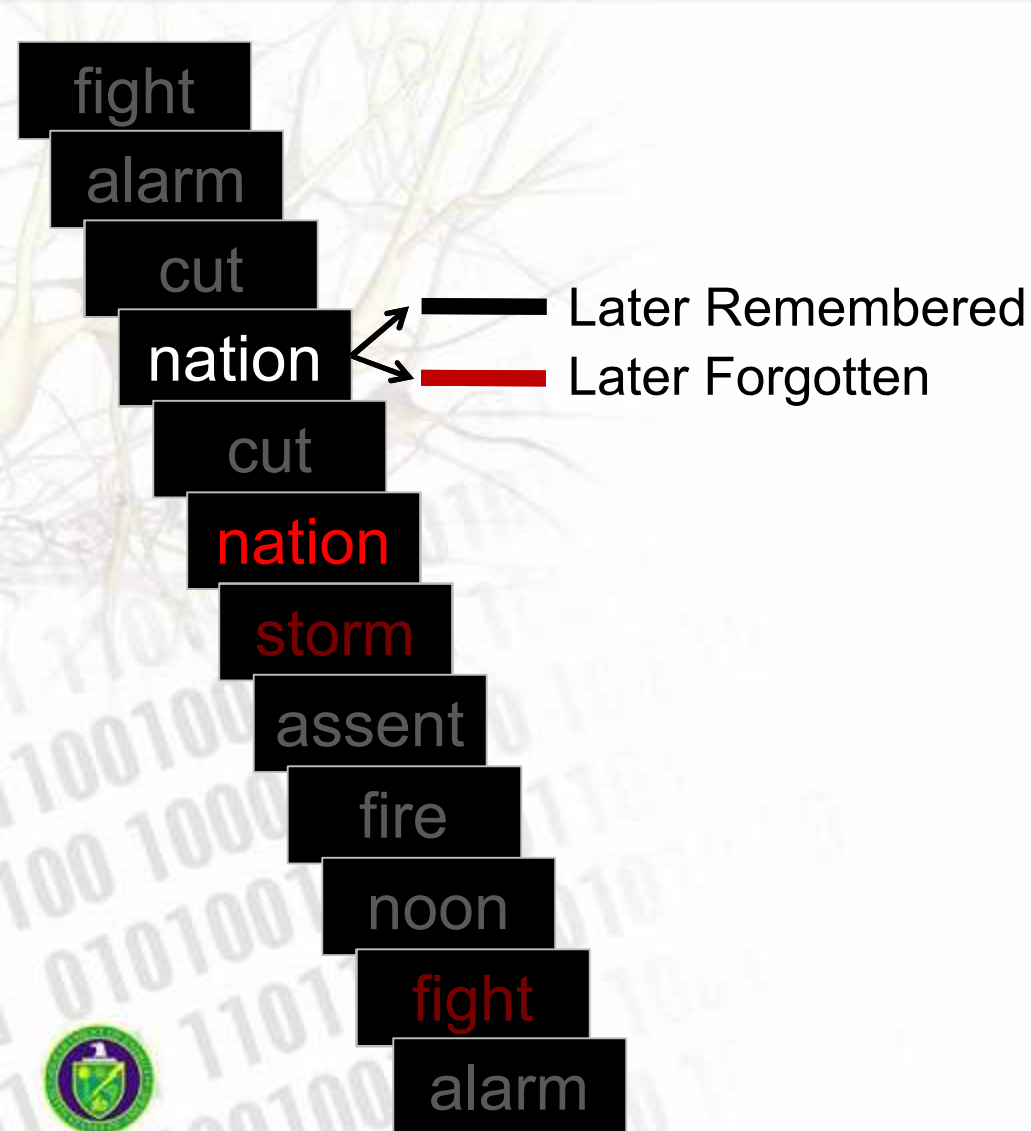
## Long lag repetitions:

- No interaction between two presentations
- Two distinct memory traces



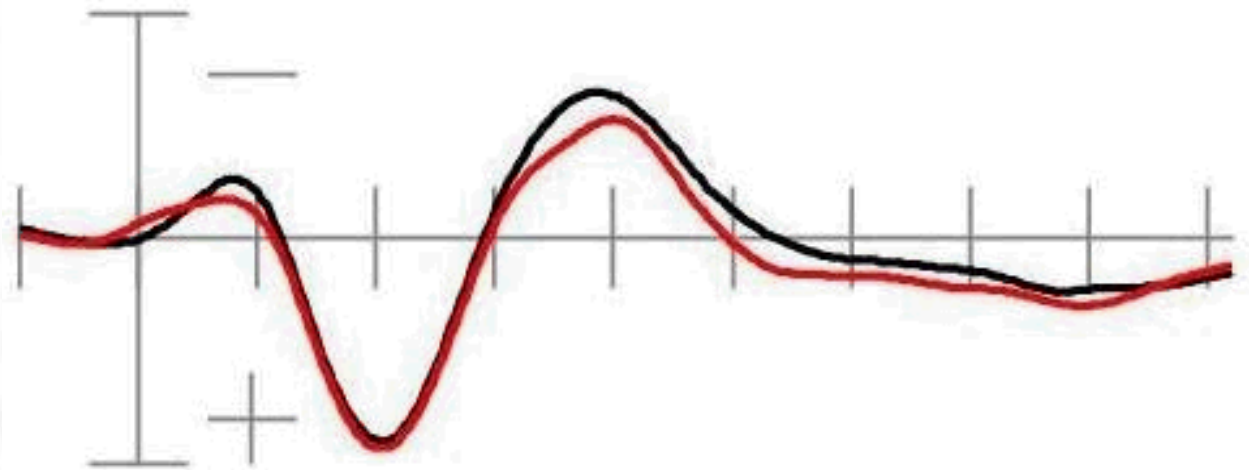
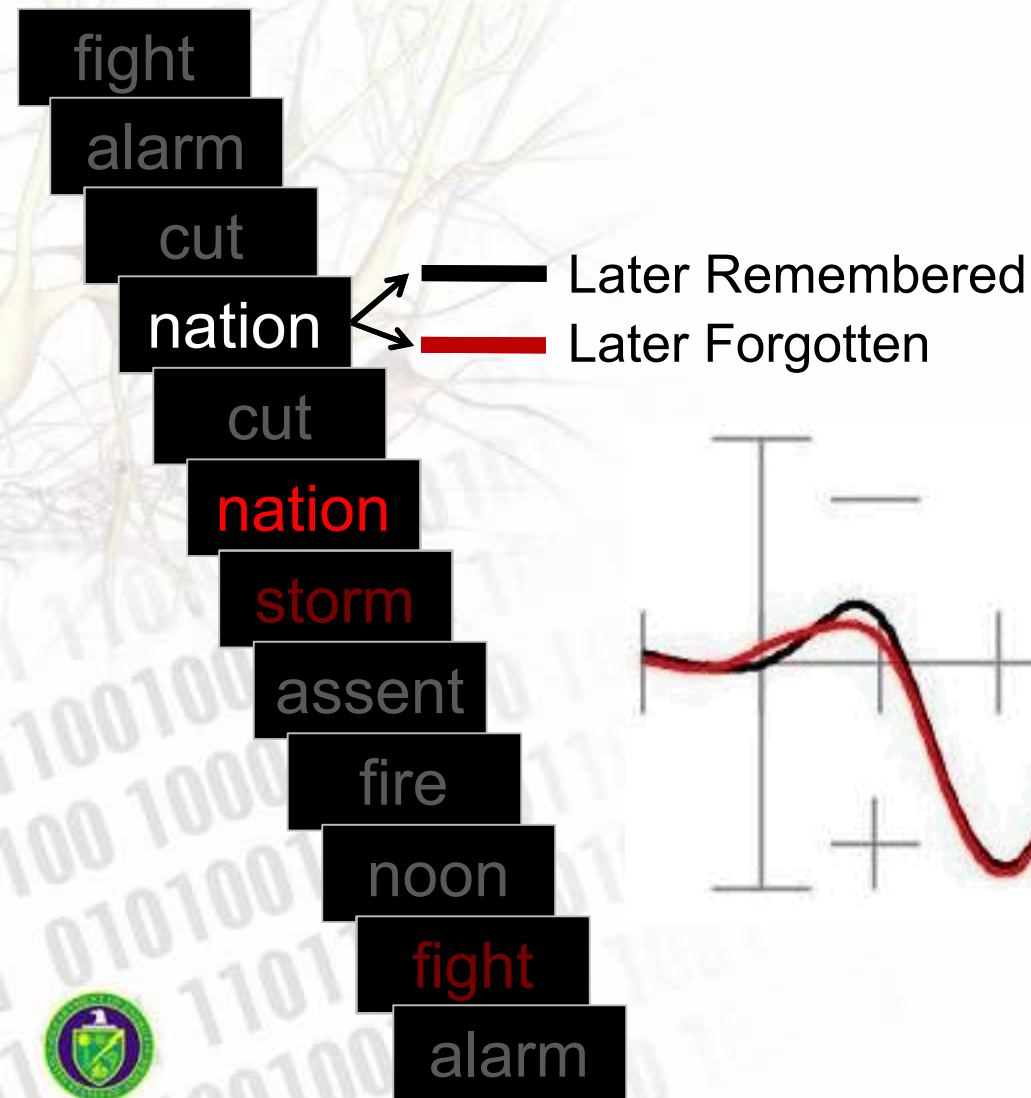
# Dm Effect

## Study-test words



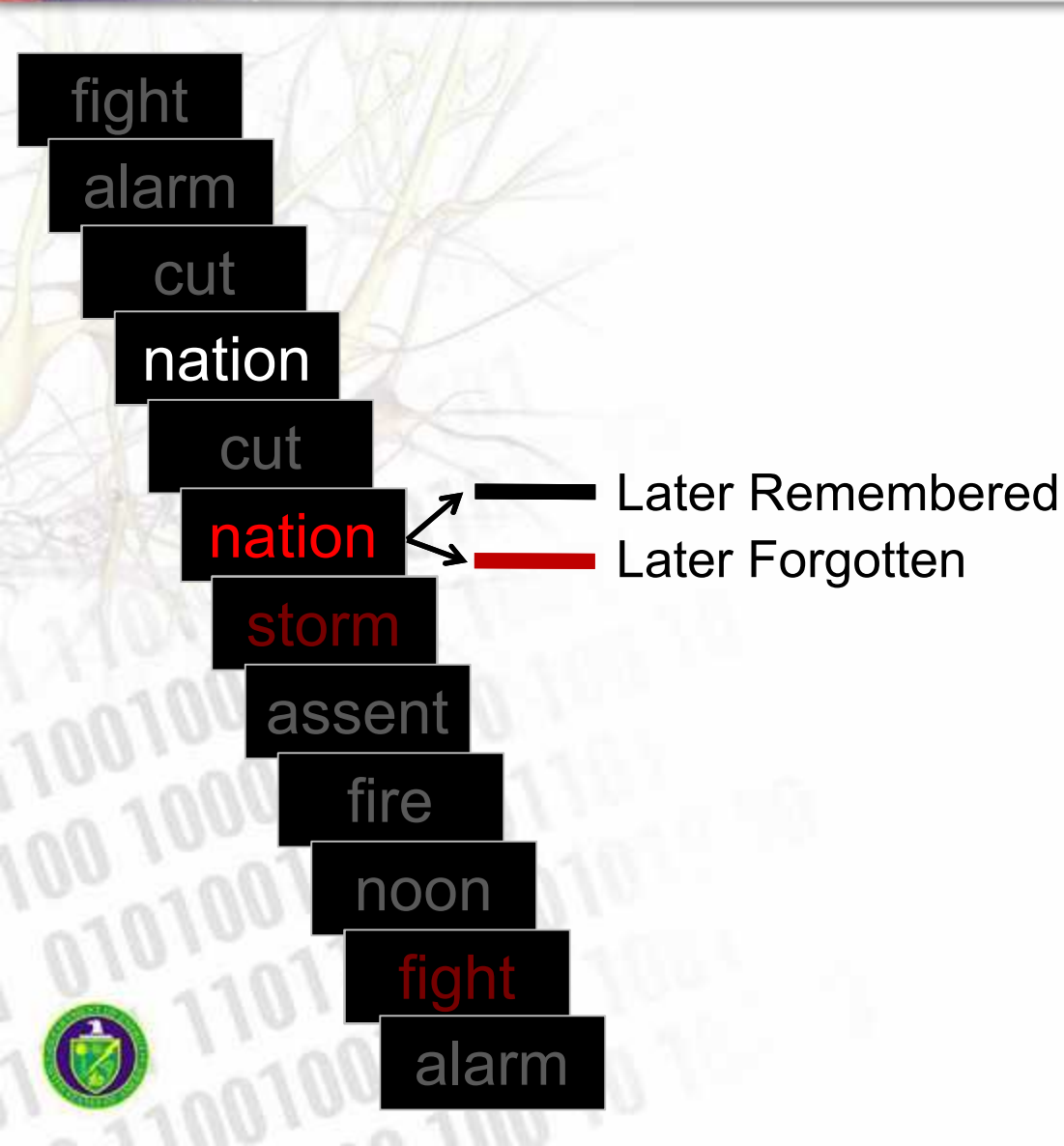
# Dm Effect

## Study-test words



# Dm-R Effect

## Study-test words, short lag

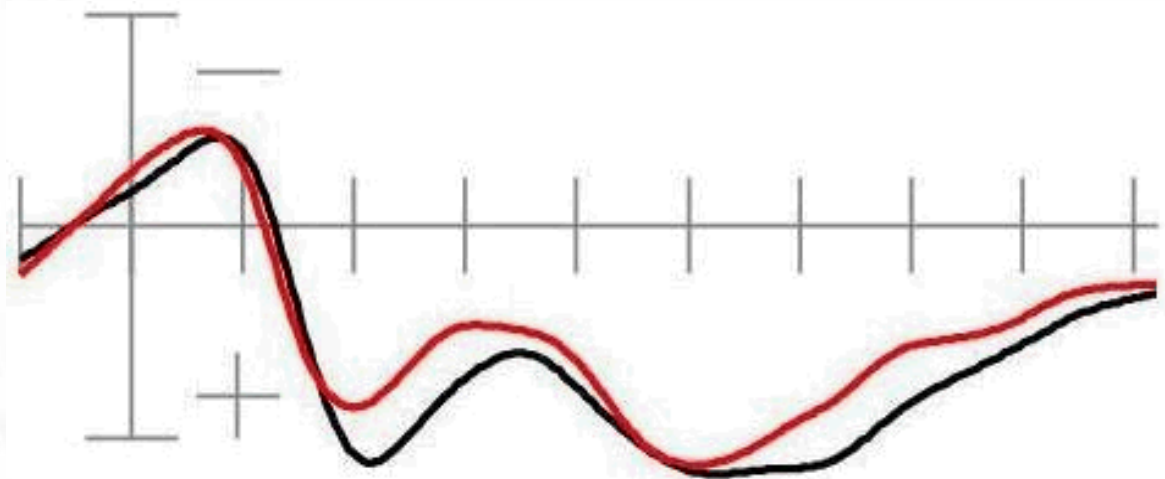


# Dm-R Effect

## Study-test words, short lag

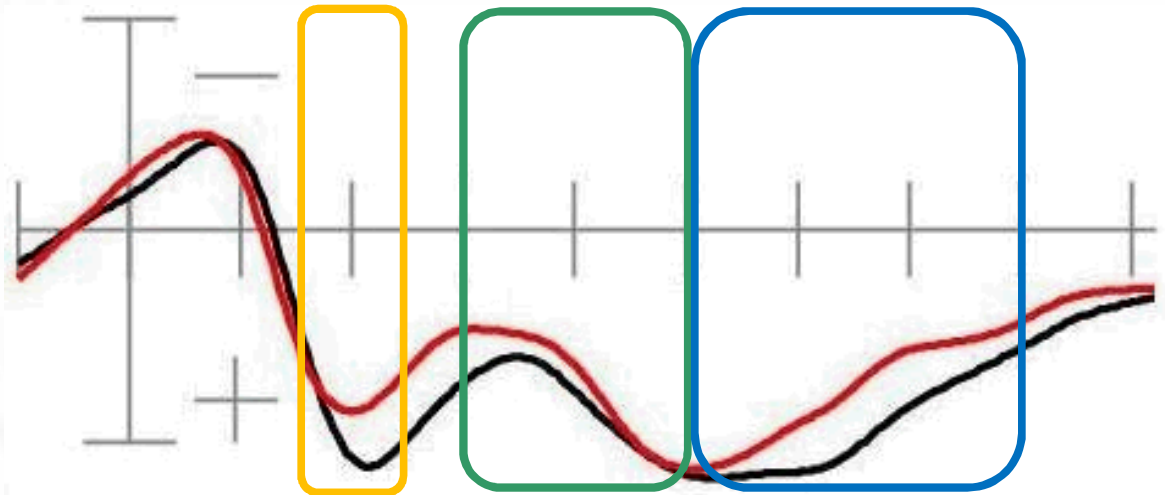
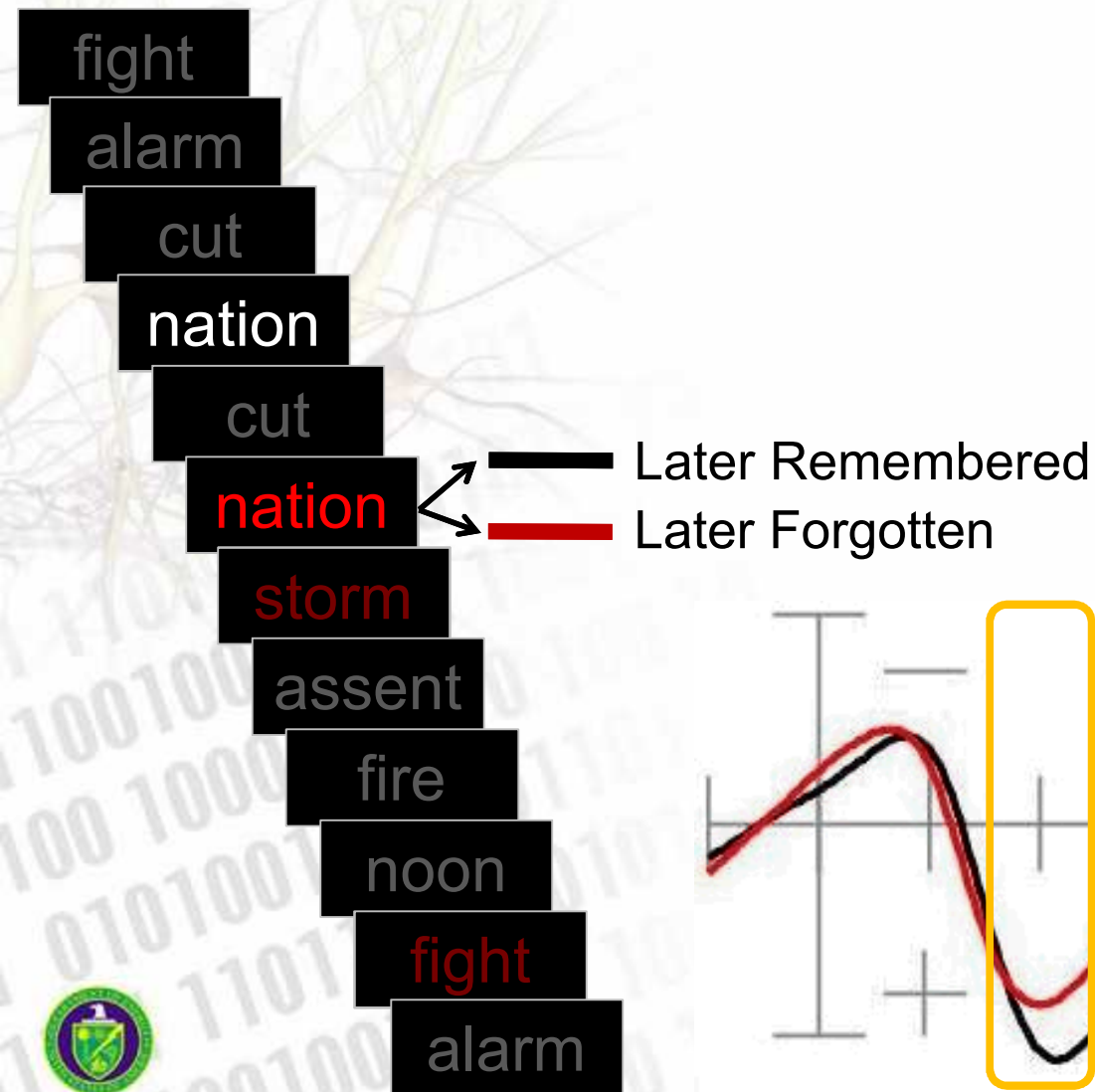


— Later Remembered  
— Later Forgotten



# Dm-R Effect

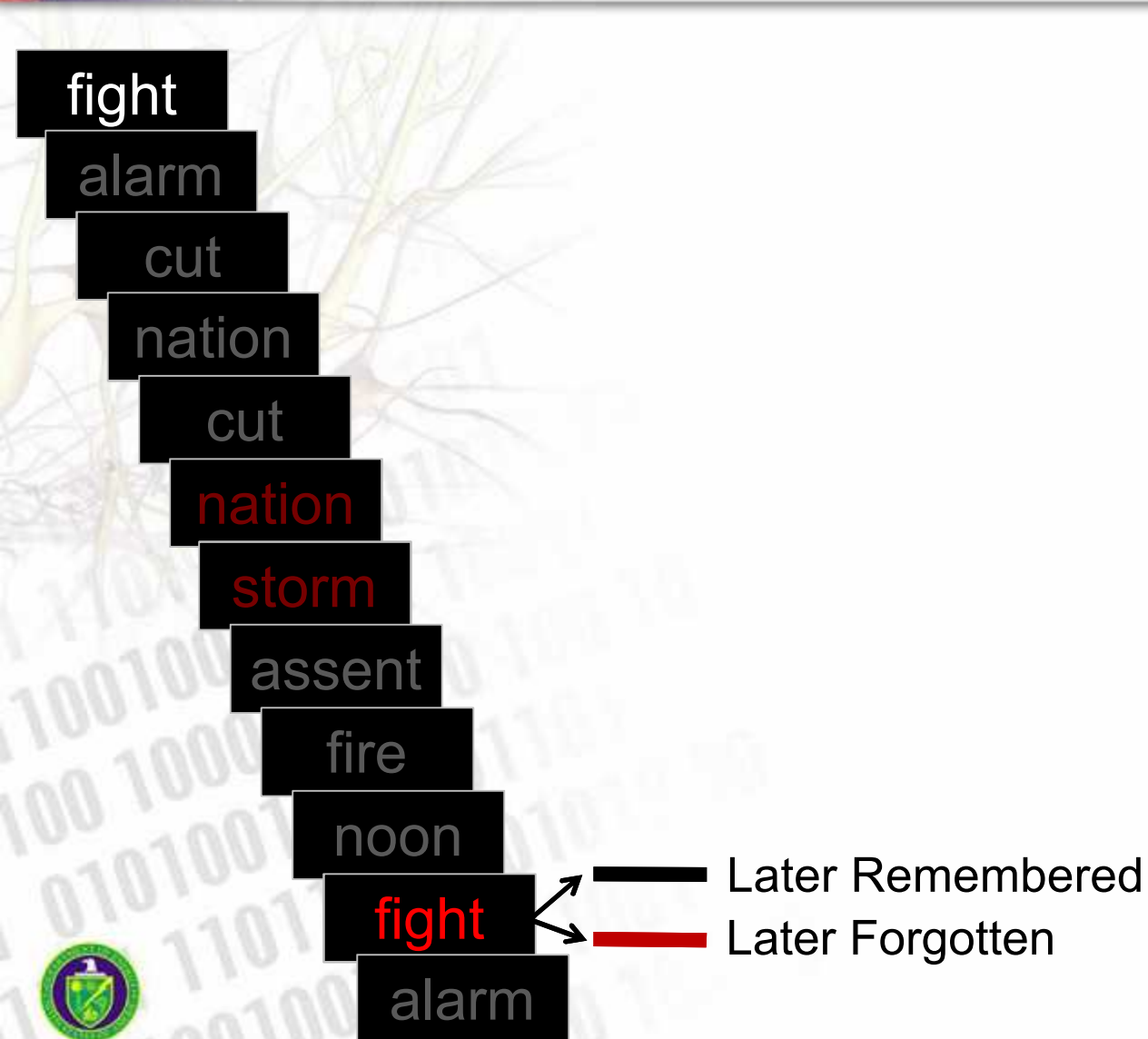
## Study-test words, short lag





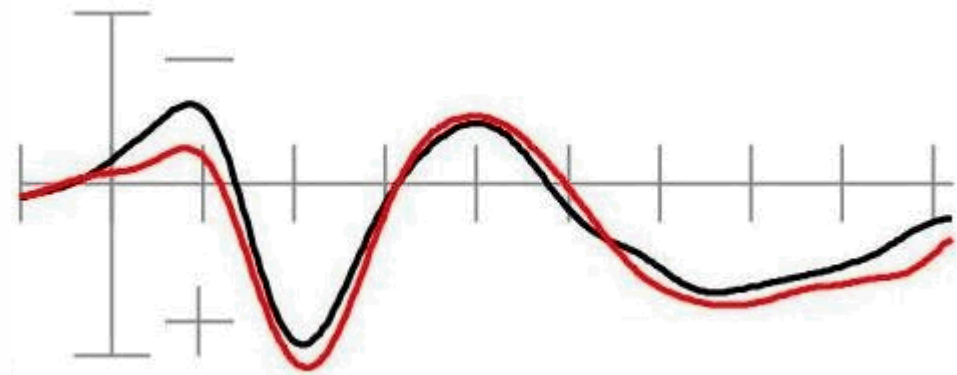
# Dm-R Effect

## Study-test words, long lag



# Dm-R Effect

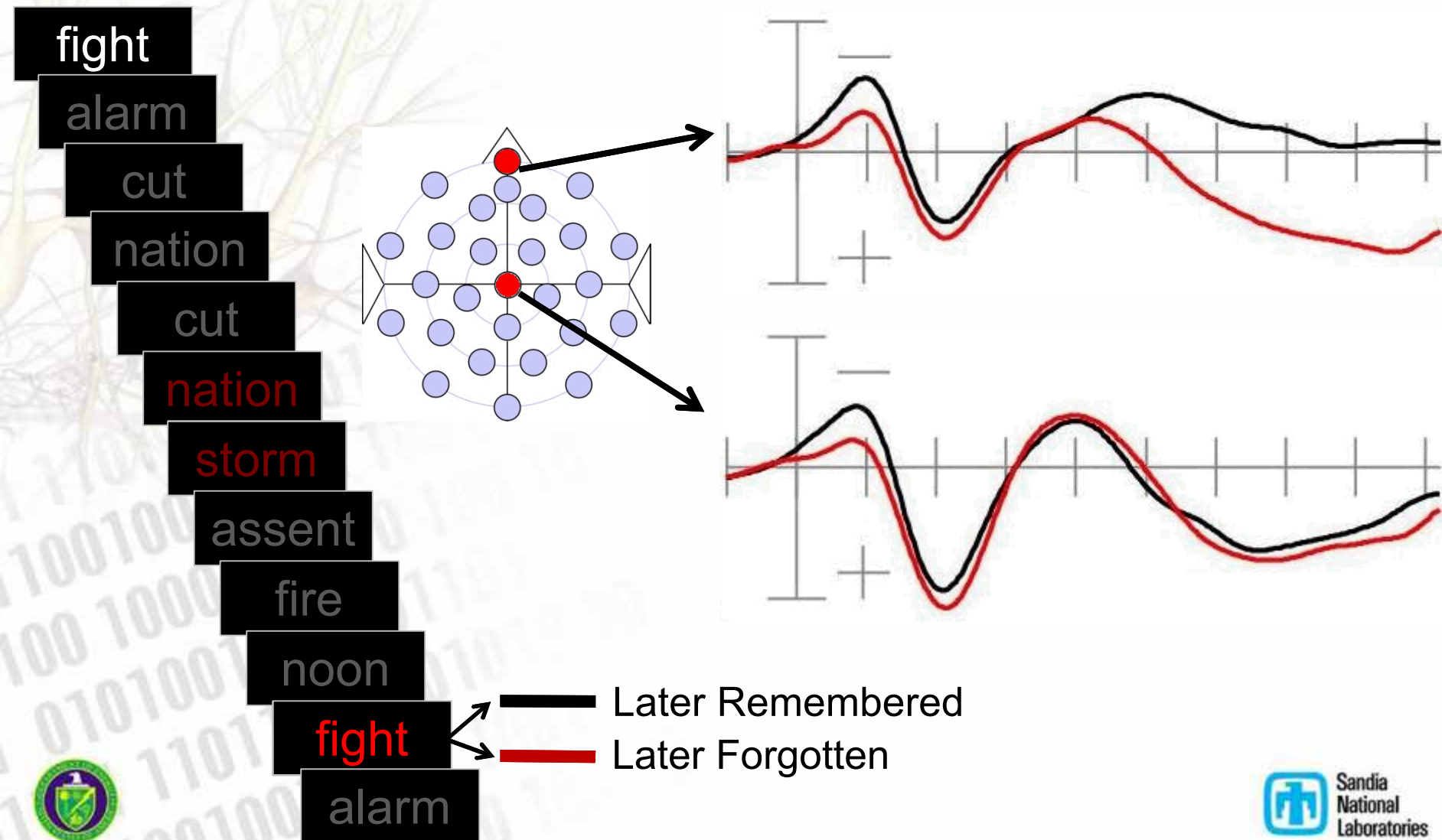
## Study-test words, long lag



— Later Remembered  
— Later Forgotten

# Dm-R Effect

## Study-test words, long lag



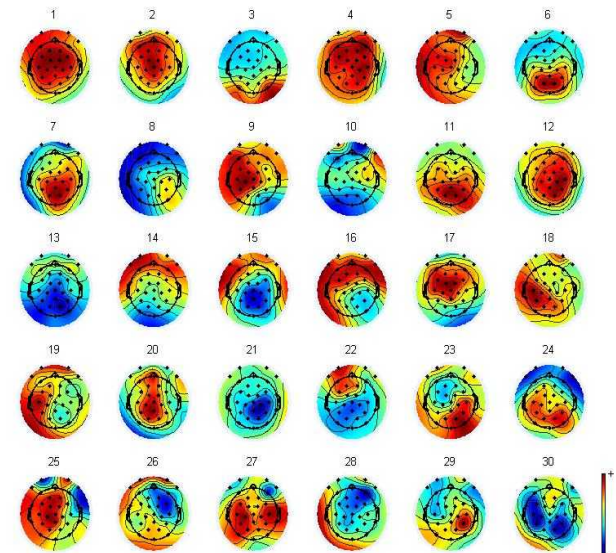
# Summary of Experiment 1 Findings

- New information about Dm Effect
- Study-study conditions
  - Two routes to similar memory performance?
- Study-test conditions
  - Frontal negativity component
- Conference presentations:
  - Matzen, L. E. & Federmeier, K. D. (2010, June). Repetitions and reminding: A novel analysis of the Dm Effect. Presentation at the First Interbrain Symposium and International ICA Conference, Jyväskylä, Finland.



# Next Steps

- Computational Modeling
- Exploring individual differences
- Experiments 2 and 3
- Designing interventions





# Summary of Effort

