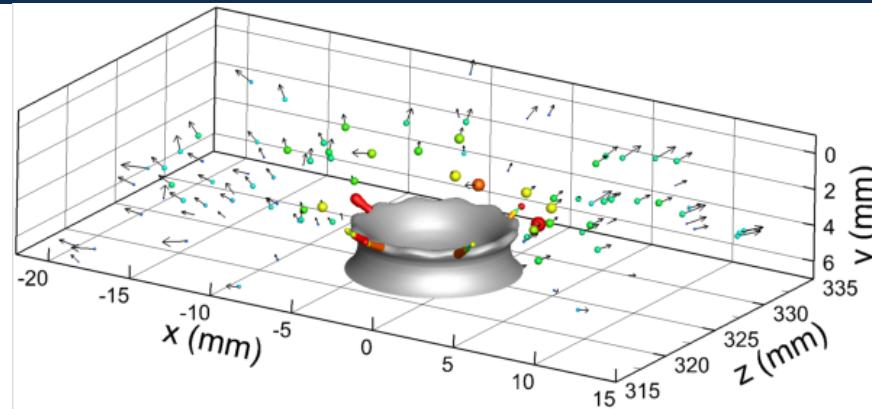
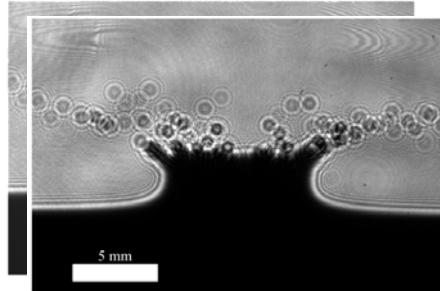


*Exceptional service in the national interest*



Developments in digital holography enable the measurement of three-dimensional particle fields with improved accuracy

*CRF Research Highlight Series*

Daniel R. Guildenbecher (1512)

December 5, 2013

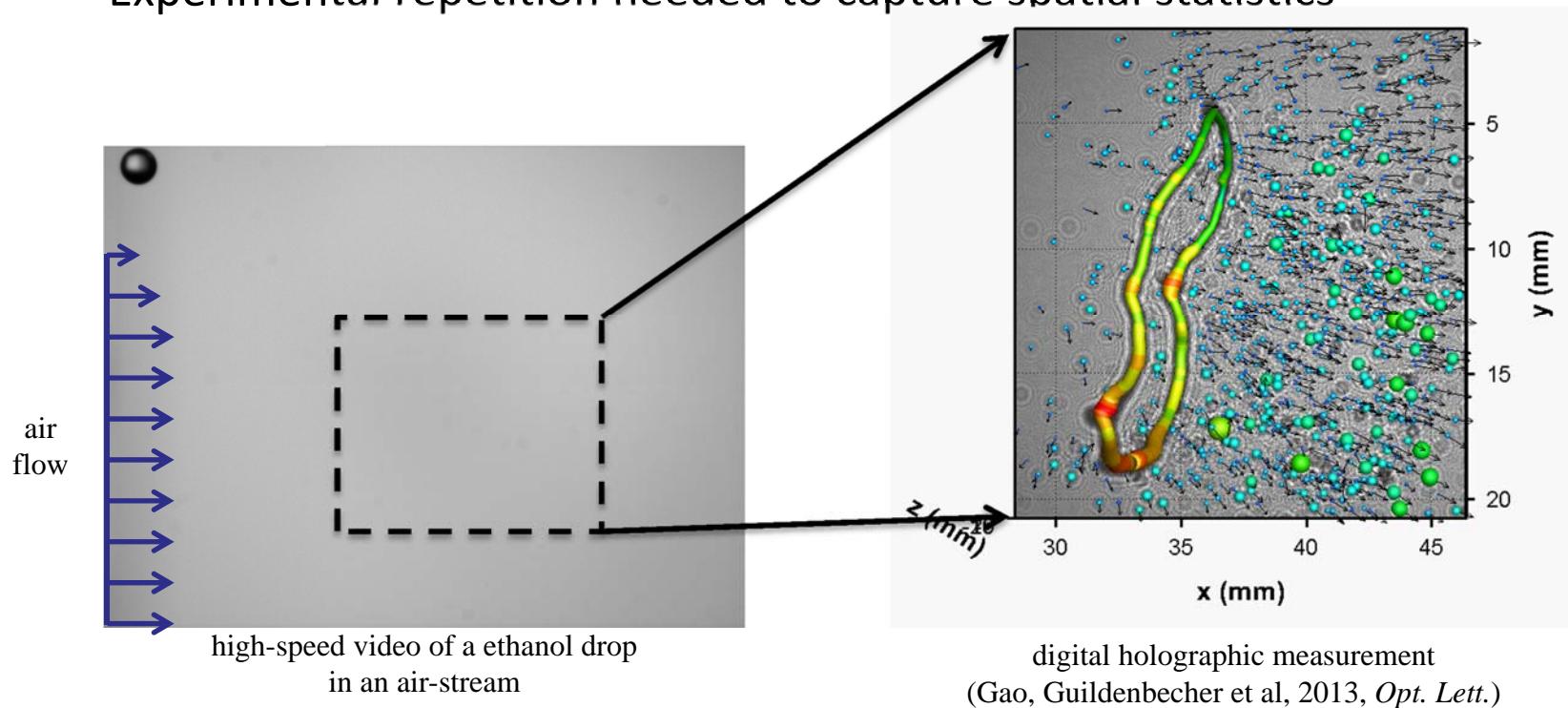


Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000.

# Motivation: 3D imaging for a 3D world

Challenge: 2D imaging or point-wise measurements cannot resolve 3D flow phenomena

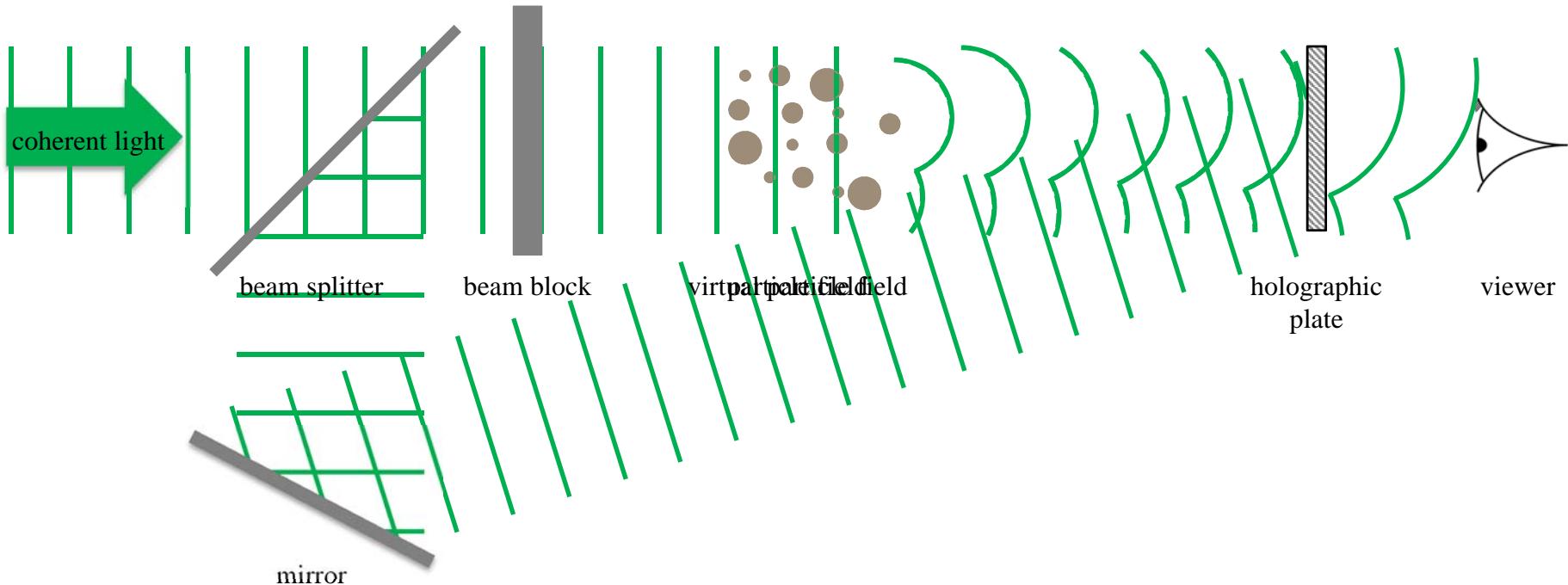
- Experimental repetition needed to capture spatial statistics



**Holography is an optical technique to record and reconstruct a 3D light field**

- SNL applications include sprays, high-speed particle fields, fluid-flow measurements, droplet combustion, etc...

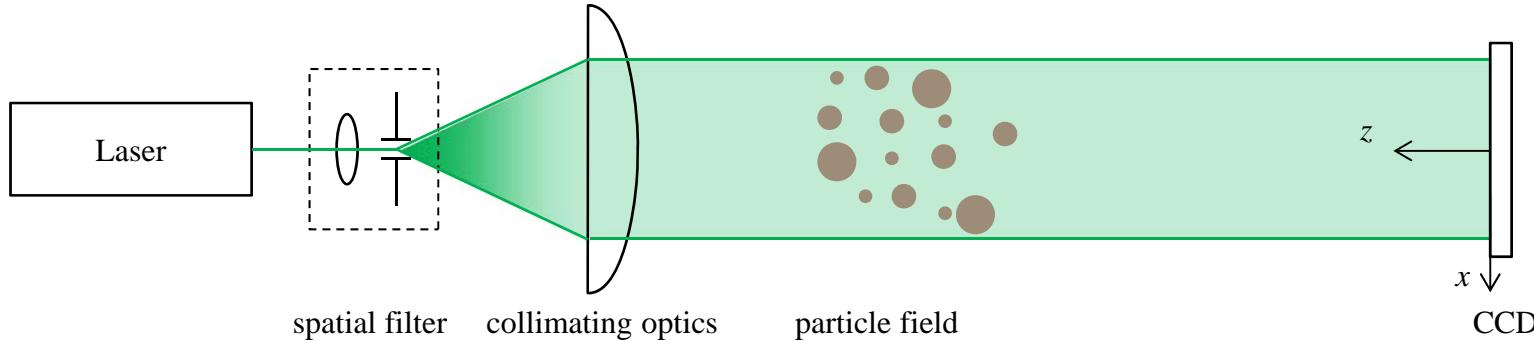
# What is holography?



Optical method first proposed by Gabor in 1948

1. Coherent light scattered by particle field forms the object wave,  $E_o$
2. Interference with a reference wave,  $E_r$ , forms the hologram:  $h = |E_o + E_r|^2$
3. Reconstruction with  $E_r$  forms virtual images at original particle locations  
$$h \cdot E_r = \underbrace{(|E_o|^2 + |E_r|^2)E_r}_{\text{DC term}} + \underbrace{|E_r|^2 E_o}_{\text{virtual image}} + \underbrace{E_r^2 E_o^*}_{\text{real image}}$$

# Digital in-line holography (DIH)



Holographic plate and cumbersome wet-chemical processing replaced with digital sensor (CCD or CMOS)

- Resolution of digital sensors (order 100 line pairs/mm) is much less than resolution of photographic emulsions (order 5,000 line pairs/mm)
  - For suitable off axis angles,  $\theta$ , the fringe frequency,  $f$ , is typically too large to resolve with digital sensors ( $f = 2\sin(\theta/2)/\lambda$ )
- Rather, the in-line configuration ( $\theta = 0$ ) is typically utilized
  - Reference wave is that portion of the beam which passes through the particle field undisturbed
  - **Consequently, the real image overlaps with an out-of-focus virtual image**

# Digital in-line holography (DIH)

- In the computer, we multiply the digitally recorded hologram  $h$  by an estimate of the complex conjugate of the reference wave  $E_r^*$

$$h \cdot E_r^* = \underbrace{(|E_o|^2 + |E_r|^2)E_r^*}_{\text{DC term}} + \underbrace{E_r^{*2}E_o}_{\text{virtual image}} + \underbrace{|E_r|^2E_o^*}_{\text{real image}}$$

- This complex amplitude can be numerically propagated to any distance along the optical axis,  $z$ , using the diffraction equations

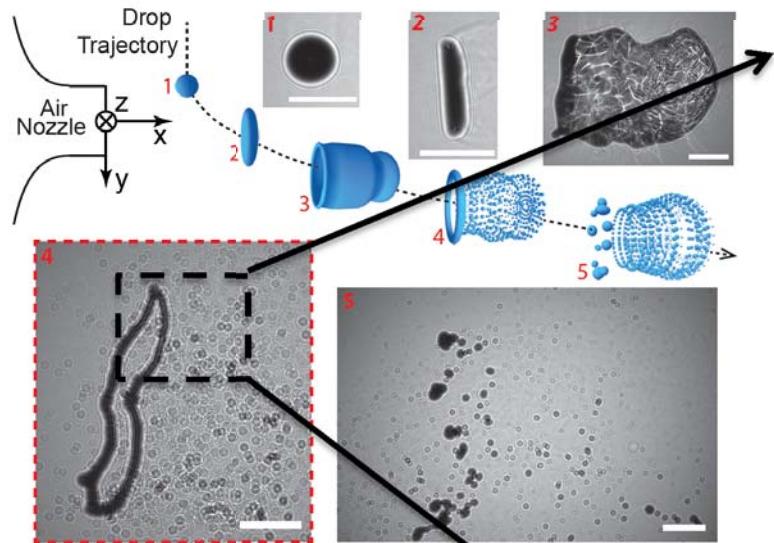
$$E(x, y, z) = h(x, y) \cdot E_r^*(x, y) \otimes g(x, y, z)$$

- Rayleigh-Sommerfeld:  $g(x, y, z) = e^{jk\sqrt{x^2+y^2+z^2}} / j\lambda\sqrt{x^2+y^2+z^2}$
- Fresnel-Kirchhoff:  $g(x, y, z) = \frac{e^{j kz}}{j\lambda z} e^{jk(x^2+y^2)/2z}$
- Numerically, the convolution is computed using the fast Fourier transform (FFT)

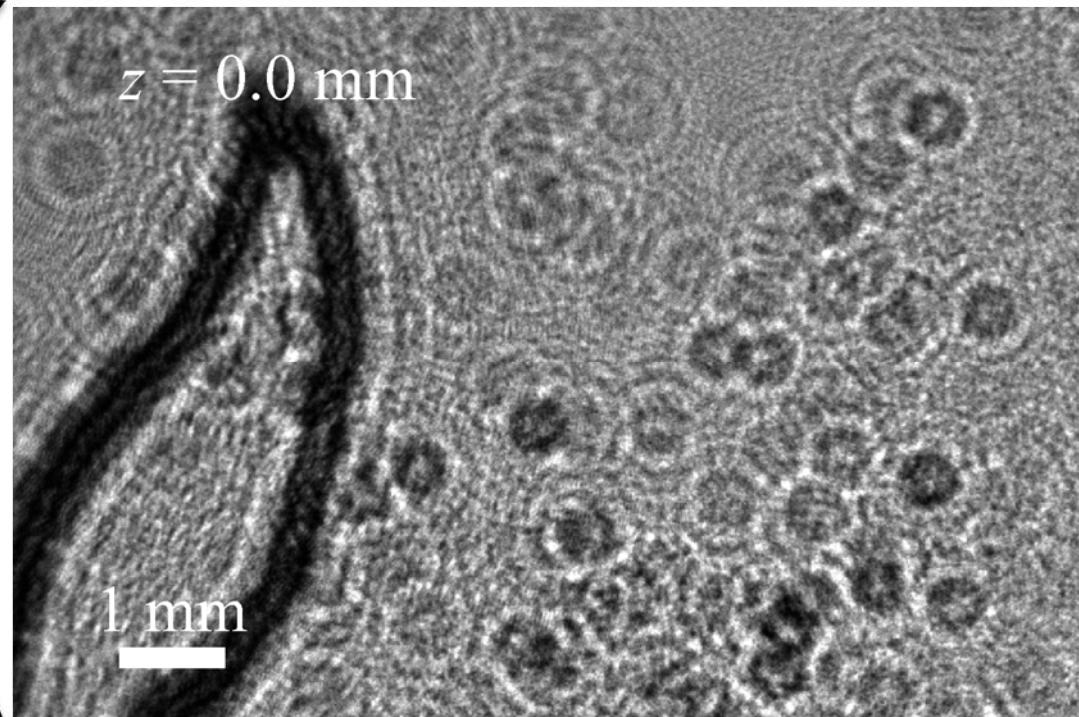
$$E(x, y, z) = \text{FFT}^{-1} \left\{ \text{FFT} \left\{ I_0(x, y) E_r^*(x, y) \right\} \text{FFT} \left\{ g(x, y, z) \right\} \right\}$$

- Visualized via the reconstructed amplitude,  $A = |E|$ , or intensity,  $I = |E|^2$

# Digital in-line holography (DIH)



digital holograms of the breakup of an ethanol drop in an air-stream (Gao, Guildenbecher et al 2013, *Opt. Lett.*)



Reconstructed amplitude throughout depth,  $z$

- In-focus structures are clearly observed at different depths,  $z$
- “Rings” around the in-focus structures are the out-of-focus virtual images

**Challenge: How can we automatically extract in-focus objects?**

# The depth-of-focus problem

The spatial extent of the diffraction pattern limits the angular aperture,  $\Omega$ , from which a particle is effectively reconstructed (Meng et al, 2004, *Meas. Sci. Technol.*):

- From the central diffraction lobe  $\rightarrow \Omega \approx 2\lambda/d$
- Using the traditional definition of depth-of-focus,  $\delta$ , based on change of intensity within the particle center  $\rightarrow \delta \approx 4\lambda/\Omega^2$
- Therefore: for in-line holography,  $\delta \approx d^2/\lambda$ 
  - Example:  $d = 465 \mu\text{m}$ ,  $\lambda = 532 \text{ nm} \rightarrow \delta \approx 400 \text{ mm}!$

Literature contains two basic methods to find the focal plane with improved accuracy:

1. Fit a model to the observed diffraction patterns (inverse method)
  - Generally accurate with small depth uncertainty
  - Limited to objects with known diffraction patterns (spheres)
2. Reconstruct the amplitude (or intensity) throughout depth and apply a focus metric to find “in-focus” objects
  - No *a-priori* knowledge of particle shape required
  - Accuracy is a strong function of the chosen focus metric

# Hybrid particle extraction method

Basic idea: In-focus regions display a minimum amplitude within the particle interior and a maximum sharpness at the particle edges

- Validity of this assumption has been verified through simulation

$z = 160.0 \text{ mm}$

1 mm

$z = 160.0 \text{ mm}$

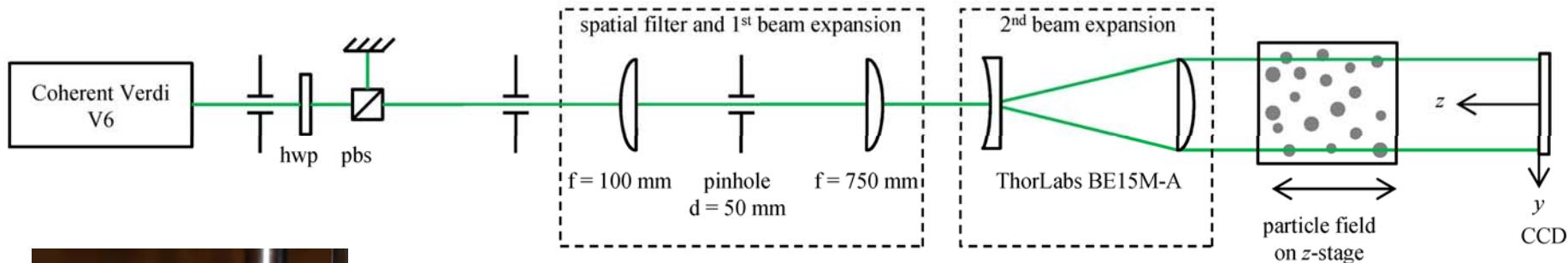
1 mm

Reconstructed amplitude throughout depth,  $z$

Reconstructed edge sharpness throughout depth,  $z$

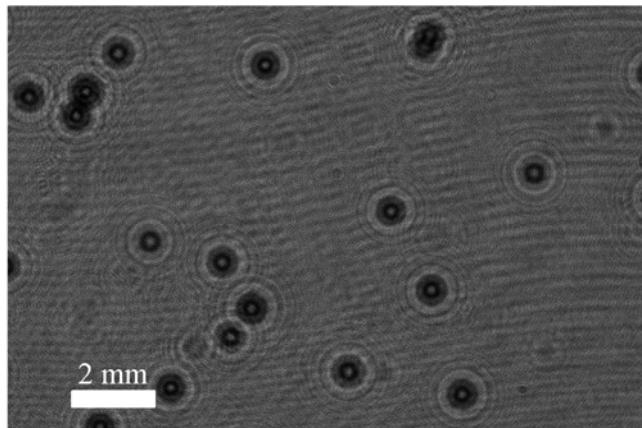
- Optimum threshold for particle extraction is automatically extracted from the threshold of the amplitude which displays maximum edge sharpness
  - Further details in Guildenbecher et al, 2013, *Appl. Opt.* and Gao, Guildenbecher, et al, 2013, *Opt. Express*.

# Experimental validation

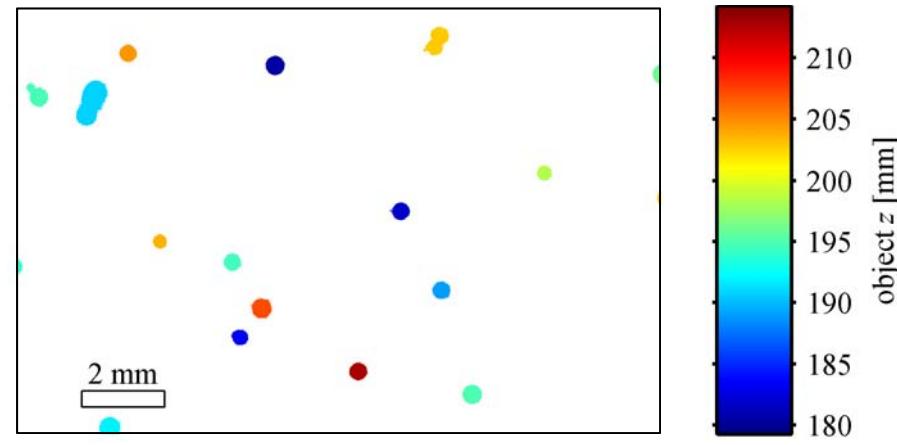


particle field

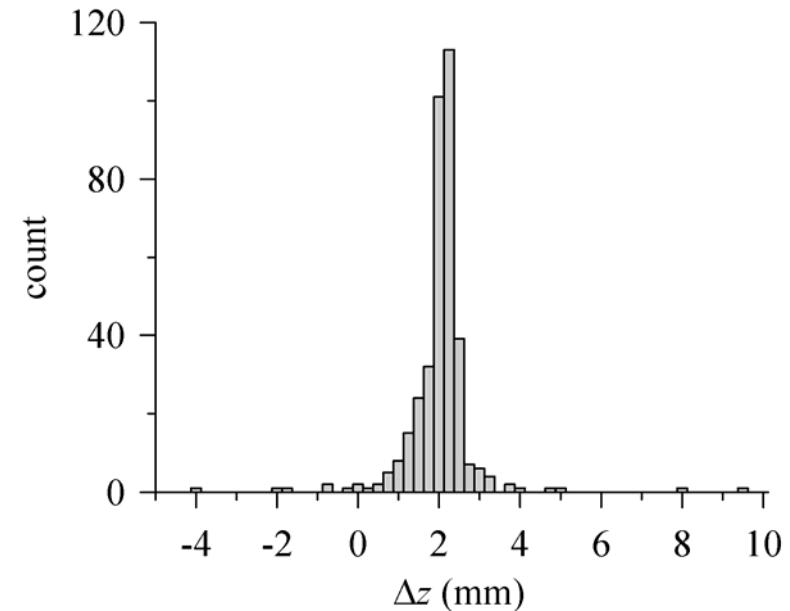
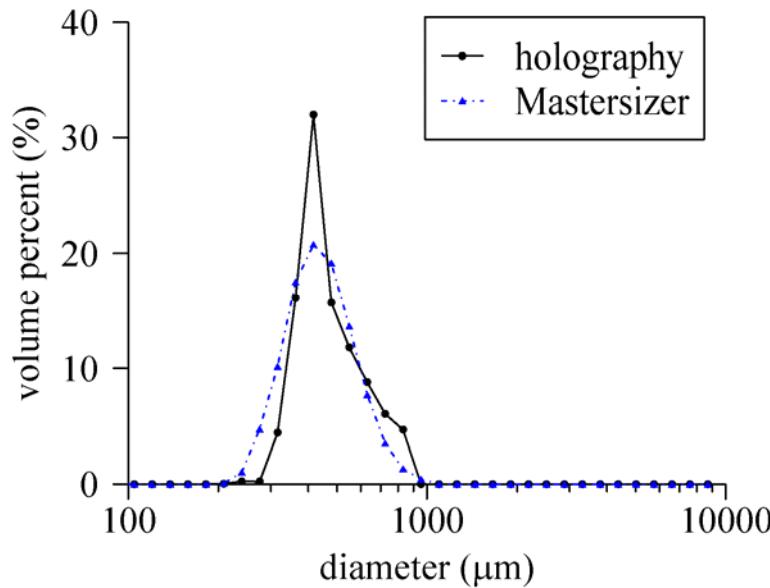
- Quasi-stationary particle field
  - Polystyrene beads ( $\bar{d} \approx 465 \mu\text{m}$ ) in 10,000 cSt silicone oil
  - Settling velocity  $\approx 0.8 \text{ mm/s}$
- Multiple holograms recorded, displacing the particle field 2 mm in the z-direction between each acquisition



hologram



# Experimental validation



Diameter measured from area of the detected 2D morphology

- Actual mass median diameter = 465  $\mu\text{m}$
- Measured mass median diameter = 474  $\mu\text{m}$ 
  - Error of 2.0% with respect to actual value

Displacement found by particle matching between successive holograms

- Actual displacement = 2.0 mm
- Mean detected displacement = 1.91 mm  $\pm$  0.81 mm
- Standard deviation of 1.74 times mean diameter

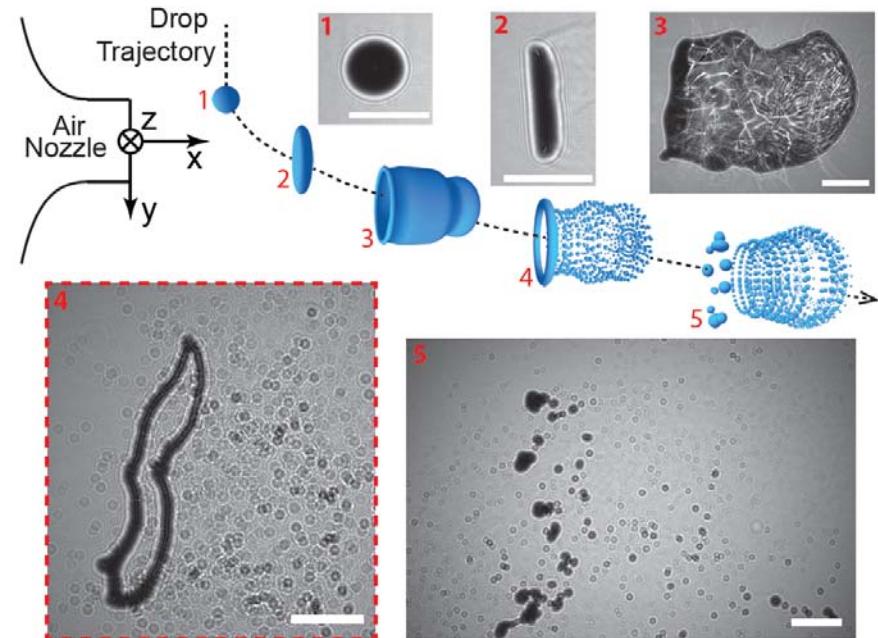
# Aerodynamic drop fragmentation

Motivation: fundamental spray process and an important canonical problem for multiphase simulations

- No viable methods to measure secondary drop size/velocity statistics or the 3D morphology of the ring shaped ligament

Experimental configuration: Double-pulsed laser and imaging hardware as typically used in PIV

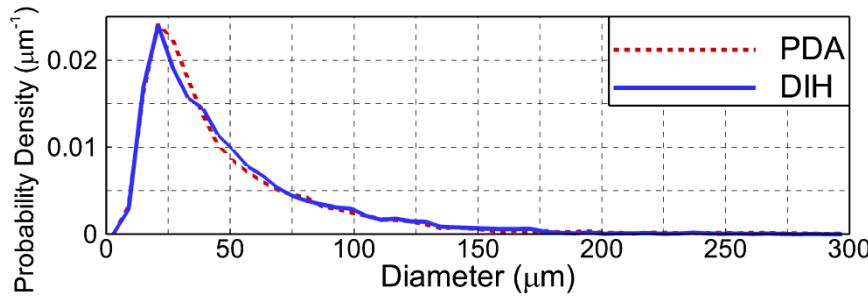
- $\lambda = 532 \text{ nm}$ , 5 ns pulsed width
- Interline transfer CCD ( $4008 \times 2672$ , 9  $\mu\text{m}$  pixel pitch)
- Temporal separation,  $\Delta t = 62 \mu\text{s}$ , determined by laser timing
  - Note: experiments in Guildenbecher et al, 2013, *Proceedings of Digital Holography and 3-D Imaging* confirm no loss of accuracy due to the reduced coherence length of these lasers



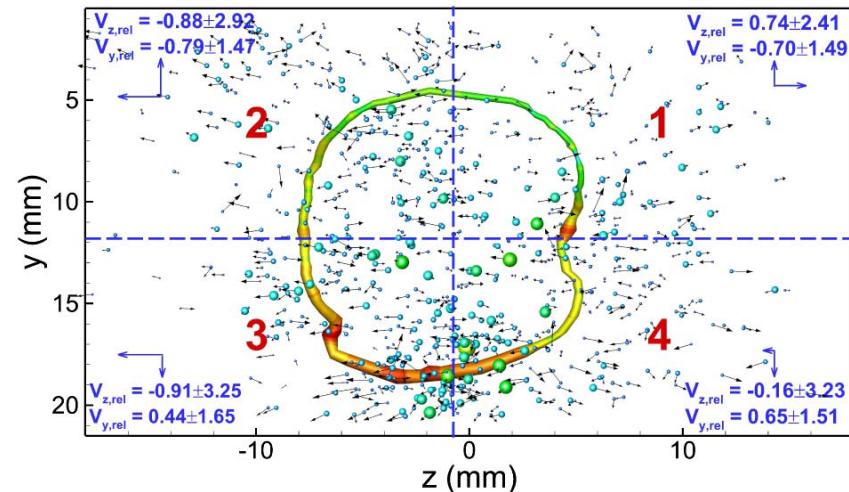
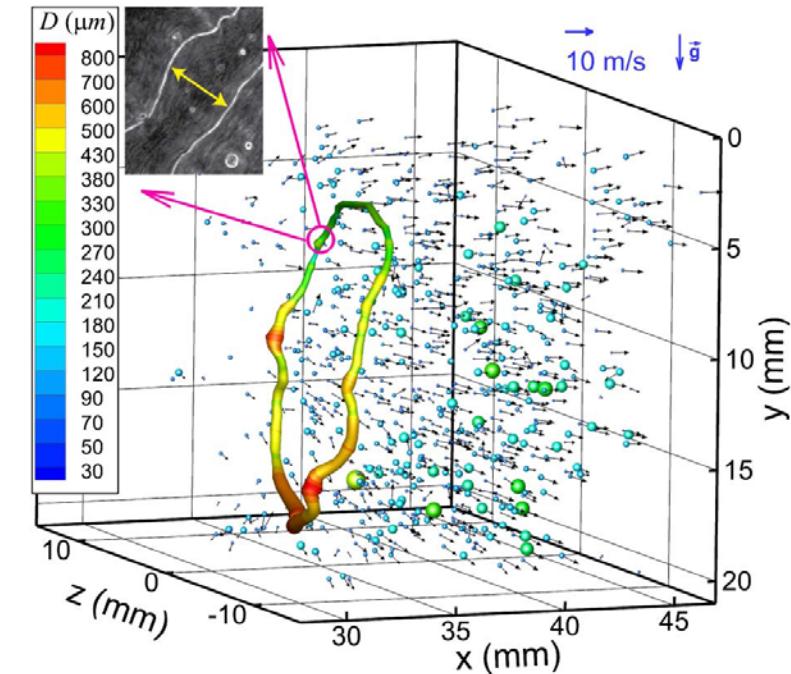
digital holograms of the breakup of an ethanol drop in an air-stream (Gao, Guildenbecher et al 2013, *Opt. Lett.*)

# Aerodynamic drop fragmentation

- Secondary drop sizes/positions extracted by the hybrid method
  - Comparison with phase Doppler anemometer (PDA) data confirms accuracy of measured sizes



- Ring measured from z-location of maximum edge sharpness
  - Total volume of ring + secondary drops is within 2.2% of the initial volume
- 3C velocity measured by particle matching between successive frames
  - Expected symmetry observed with higher uncertainty in z-direction



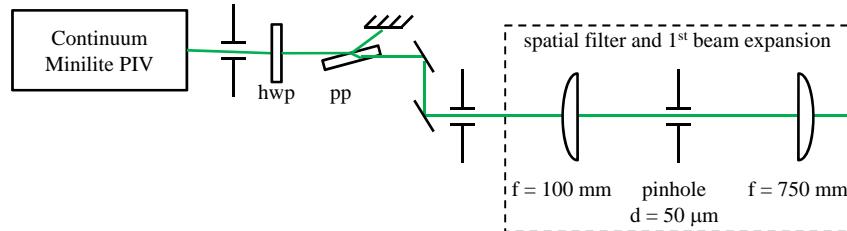
# Drop impact on a thin film

Motivation: measurement of secondary droplet by other methods requires significant experimental repetition

- Process symmetry provides opportunities to validate accuracy

Experimental configuration:

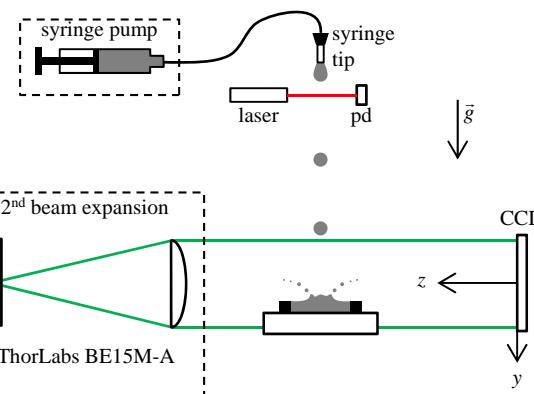
- Double pulsed laser ( $\lambda = 532$  nm, 5 ns pulselength)
- Interline transfer CCD (4872  $\times$  3248, 7.4  $\mu\text{m}$  pixel pitch)
- Temporal separation,  $\Delta t = 33 \mu\text{s}$ , determined by laser timing



experimental configuration of holographic recording of drop impact on a thin film  
(Guildenbecher et al, 2013, *Exp. Fluids.*)

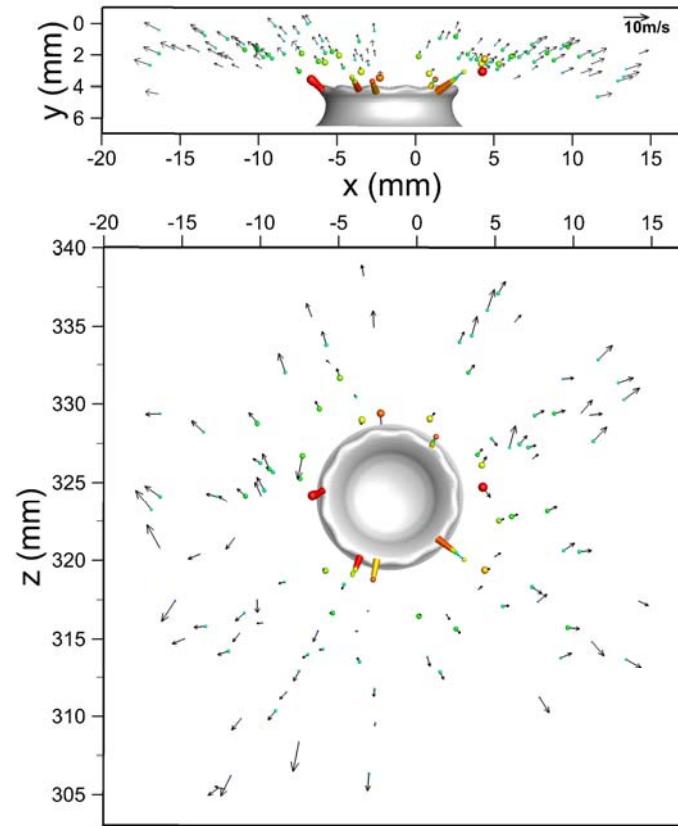
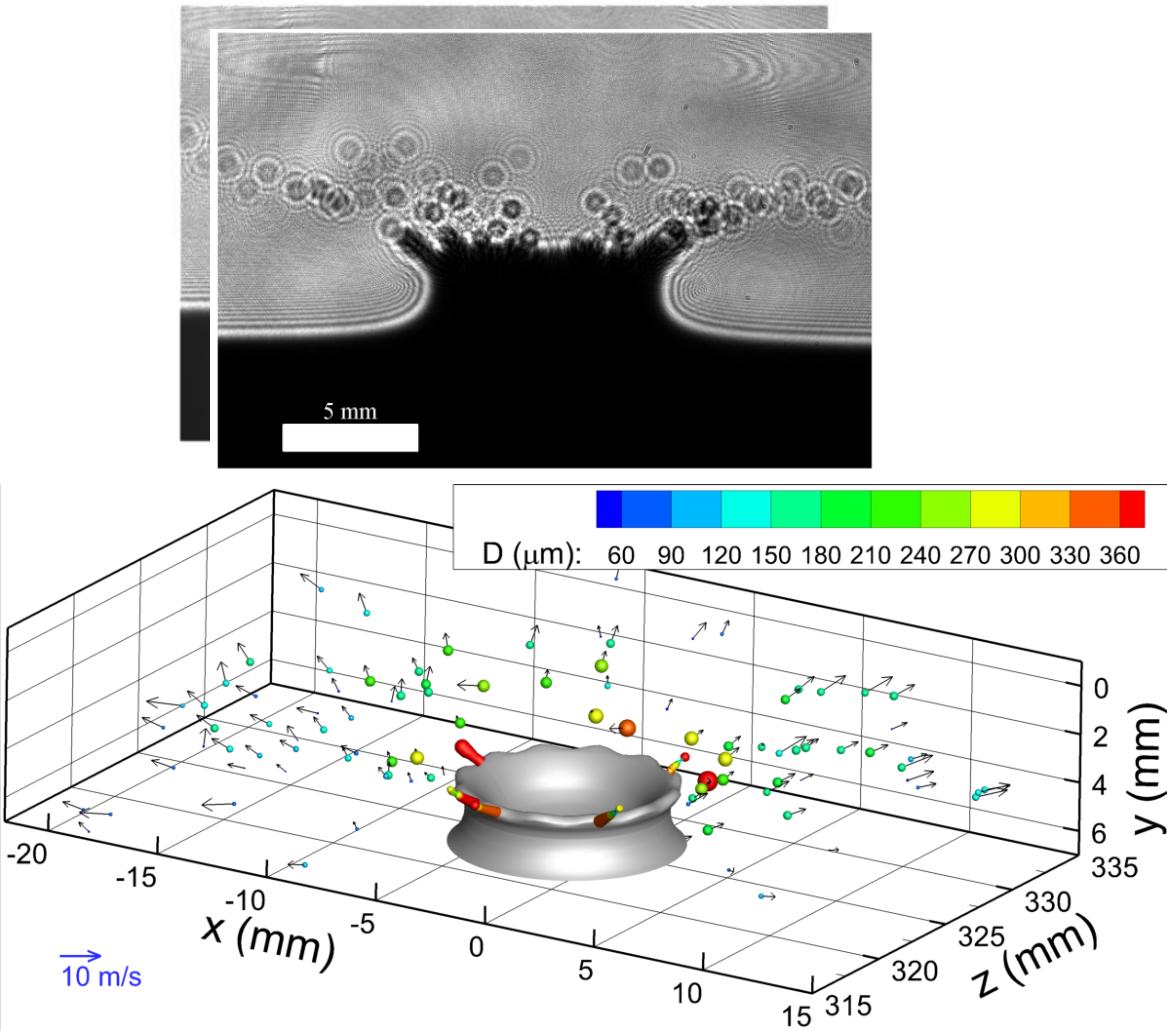


impact of a 3 mm water drop on a 2 mm water film  
(Guildenbecher et al, 2013, *Exp. Fluids.*)



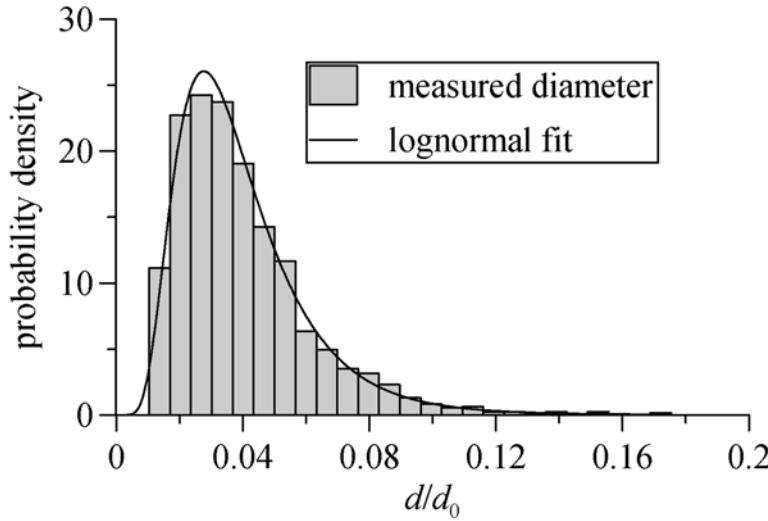
# Drop impact on a thin film

Again processed with the hybrid method



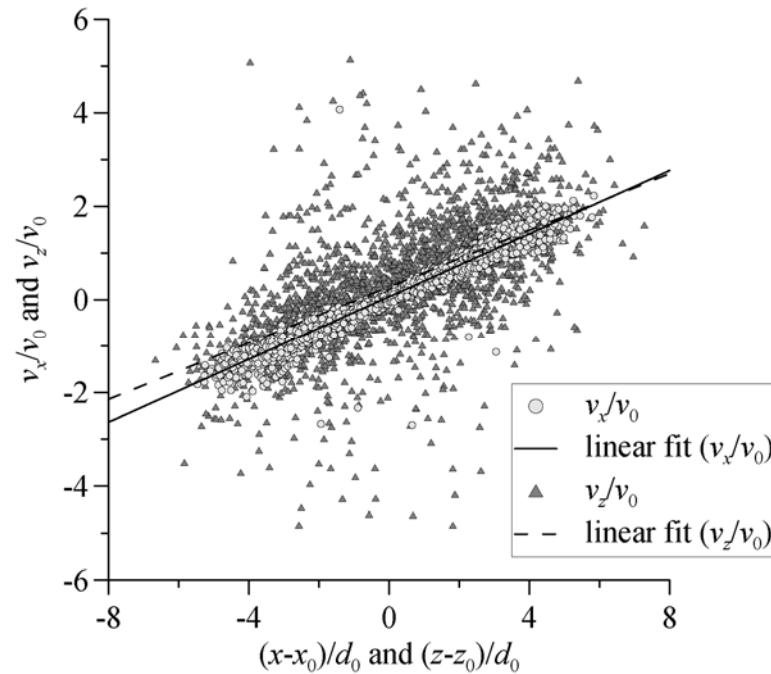
holographic reconstruction of  
drop impact on a thin film  
(Guildenbecher et al, 2013, *Exp. Fluids.*)

# Drop impact on a thin film



Drop size distribution shows the expected lognormal behavior

- Probability goes to zero at large and small diameters



Symmetry in the in-plane ( $v_x$ ) and

out-of-plane ( $v_z$ ) velocities confirms accuracy in measured  $v_z$

- Difference in scatter gives estimated  $z$ -uncertainty of  $0.72 \cdot \bar{d}$

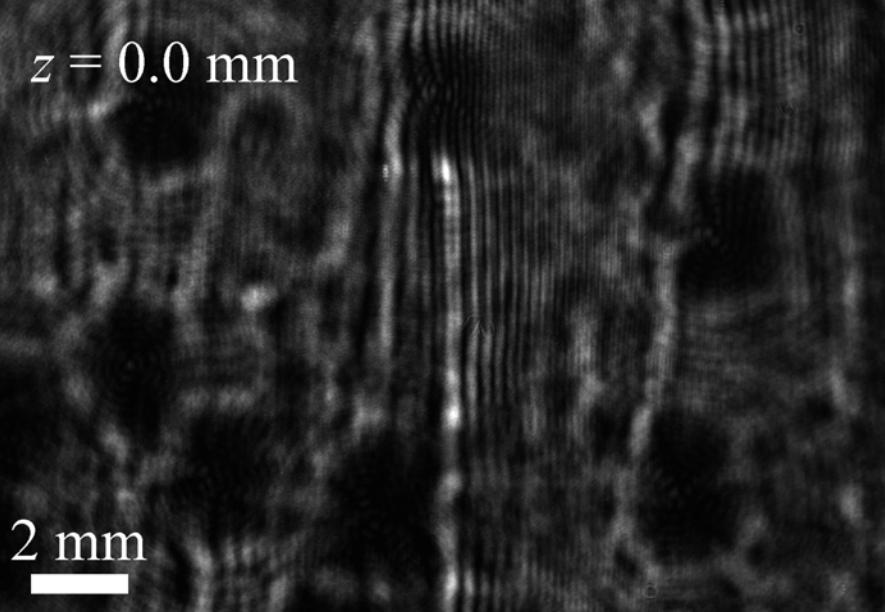
# Sonic pellets from a shotgun

Motivation: a shotgun  
simulates blast environments

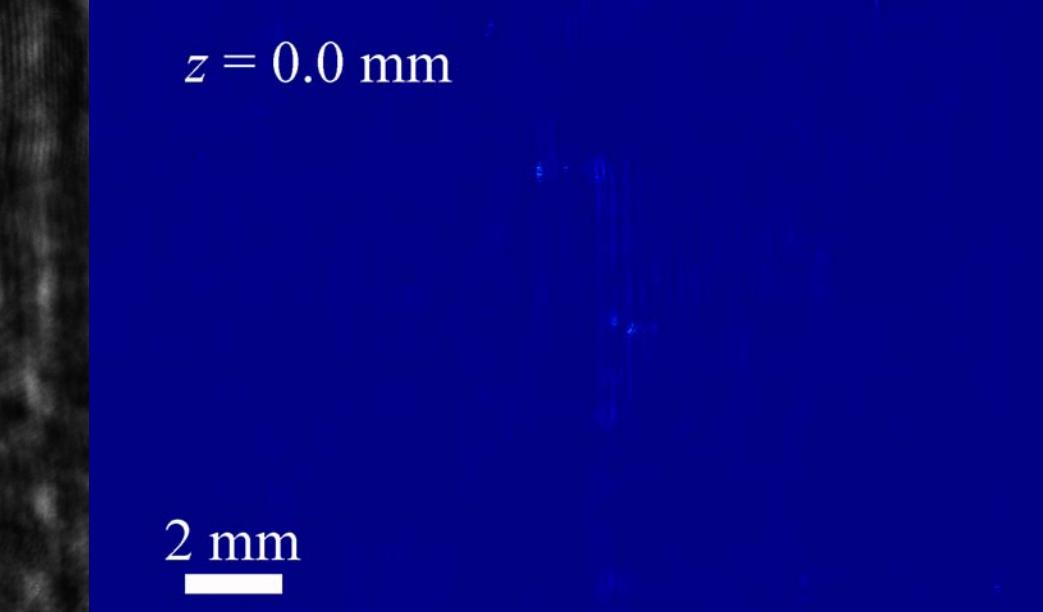
Challenge: Shock-waves  
introduce noise



$z = 0.0 \text{ mm}$



$z = 0.0 \text{ mm}$



Reconstructed amplitude throughout depth,  $z$

Holography configuration for edge sharpness throughout depth,  $z$

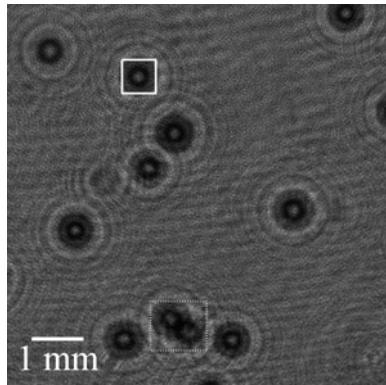
# Cross-correlation method

Theory: in-focus particle images from two sequential holograms contain correlated information

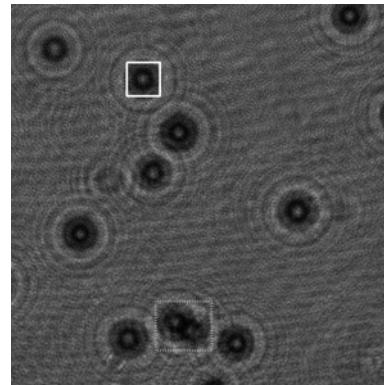
- The maximum cross-correlation,  $c$ , gives the displacement ( $\Delta x, \Delta y$ )

$$c = \max_{\Delta x, \Delta y} \left[ \sum_m \sum_n \text{Img}_1(m, n) \text{Img}_2^*(m, n) (m - \Delta x, n - \Delta y) \right]$$

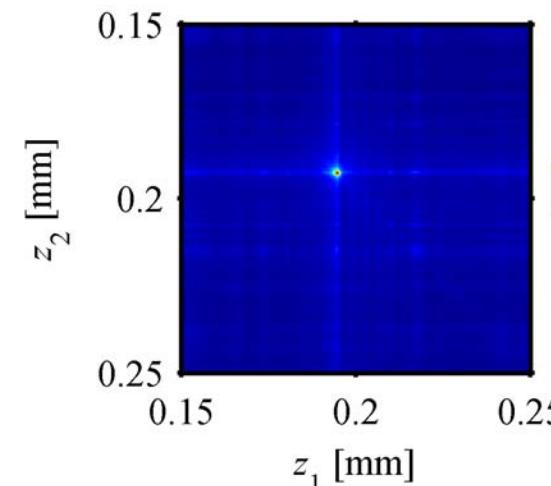
- $\text{Img}_1$  and  $\text{Img}_2$  chosen as the edge sharpness images from the two frames
- $z$  positions in each frame ( $z_1$  and  $z_2$ ) are found from the maximum value of  $c$  over all possible combinations of  $z_1$  and  $z_2$



hologram  
(Guildenbecher et al,  
2013, *Opt. Lett.*)



hologram after displacing  
the particle field by 2 mm  
(Guildenbecher et al,  
2013, *Opt. Lett.*)

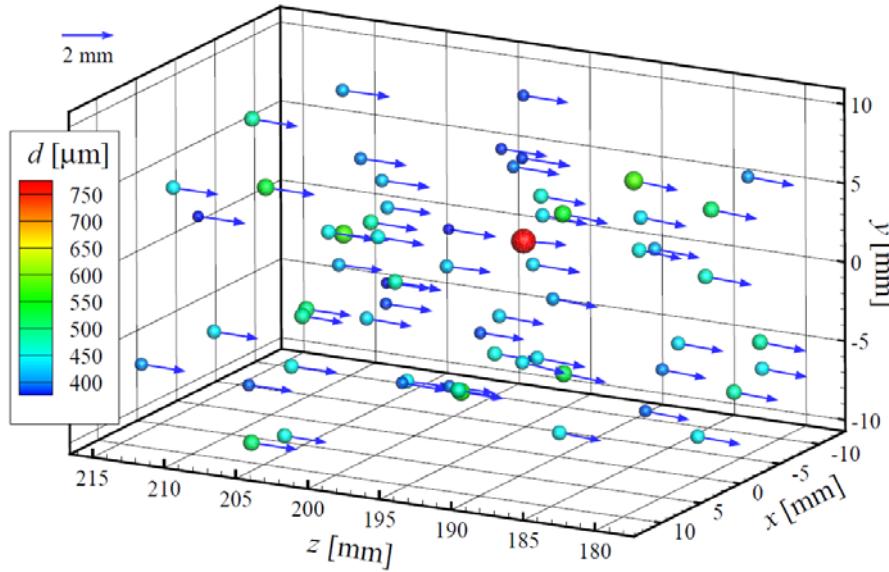


maximum value of  $c$  for the particle in the white  
boxes (Guildenbecher et al, 2013, *Opt. Lett.*)

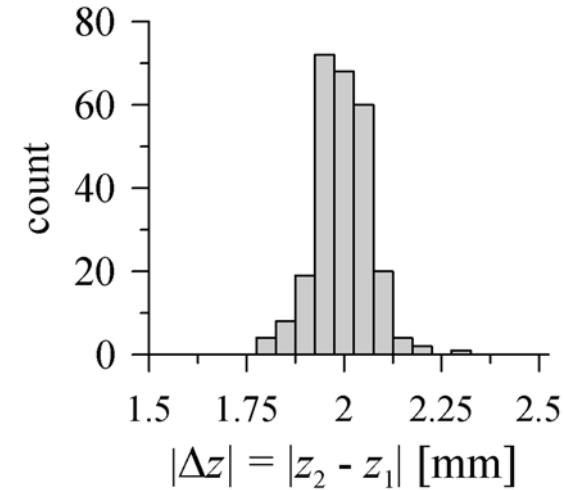
$$\begin{aligned} z_1 &= 194.72 \text{ mm}, \\ z_2 &= 192.72 \text{ mm}, \\ \Delta z &= 2.00 \text{ mm} \end{aligned}$$

# Cross-correlation method

Again, experimentally validated with quasi-stationary particles in silicone oil



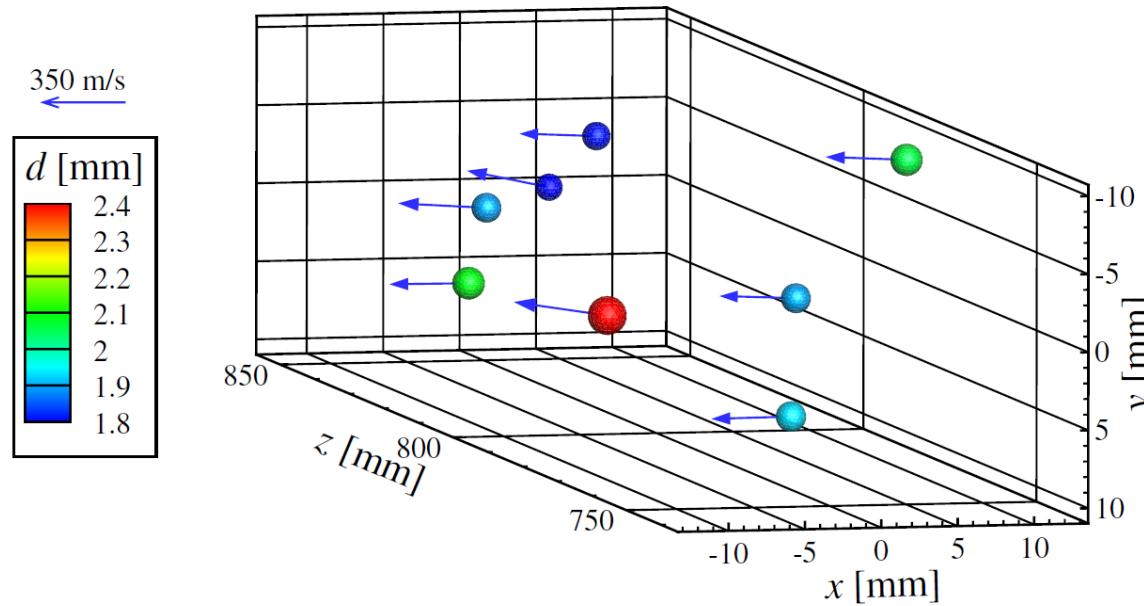
measured displacement field from one realization  
(Guildenbecher et al, 2013, *Opt. Lett.*)



measured  $z$ -displacements from all realizations  
(Guildenbecher et al, 2013, *Opt. Lett.*)

- Actual displacement = 2.0 mm
- Mean detected displacement = 1.996 mm  $\pm$  0.072 mm
  - Standard deviation of 0.15 times mean diameter
  - Order of magnitude improvement compared to uncertainties in the literature

# Sonic pellets from a shotgun



particle field from the shotgun measured with the cross-correlation method  
(Gildenbecher et al, 2013, *Opt. Lett.*)

Results closely match the expected mean velocity (350 m/s) and diameter (2.0 mm)

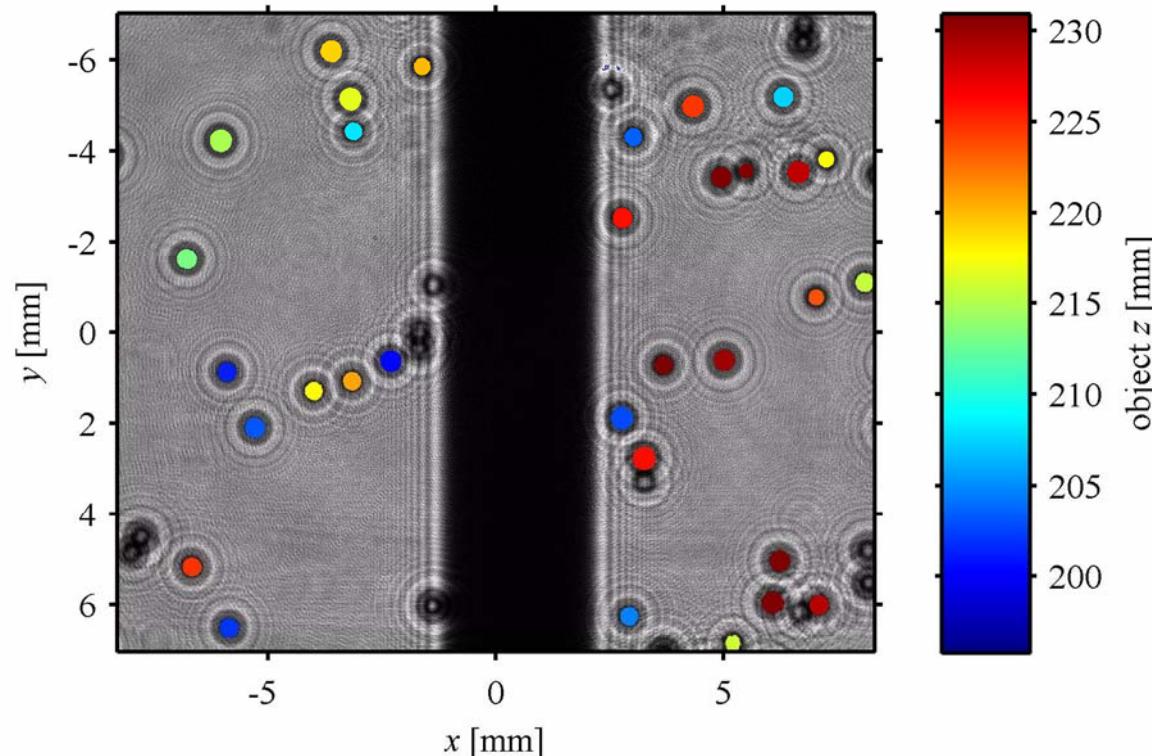
- Uncertainty in  $\Delta z$  is on the order of 0.2 particle diameters

# Fluid measurement

In particle image velocimetry (PIV) and particle tracking velocimetry (PTV), tracer particles are used to measure flow velocity

- Similar measurements can be done with digital holography

Consider:  $\bar{d} \approx 465 \mu\text{m}$  particles in 10,000 cSt silicone oil, stirred at 100 rpm by a  $r = 1.58 \text{ mm}$  stir rod



particles measured with the hybrid method, background shows the recorded hologram

# Fluid measurement

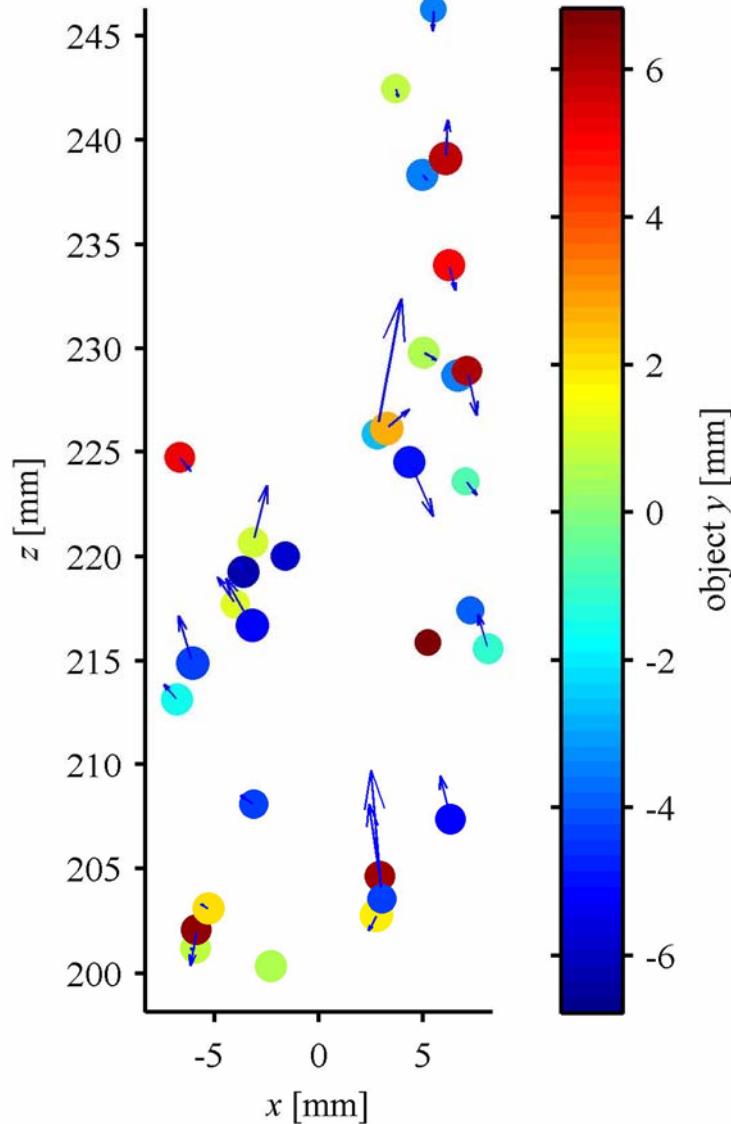
## Advantages:

- Simple optical setup requiring only one line-of-sight view
- Large depth of field (hundreds of mm possible)
- Particle sizes can be measured (if desired)

## Challenges:

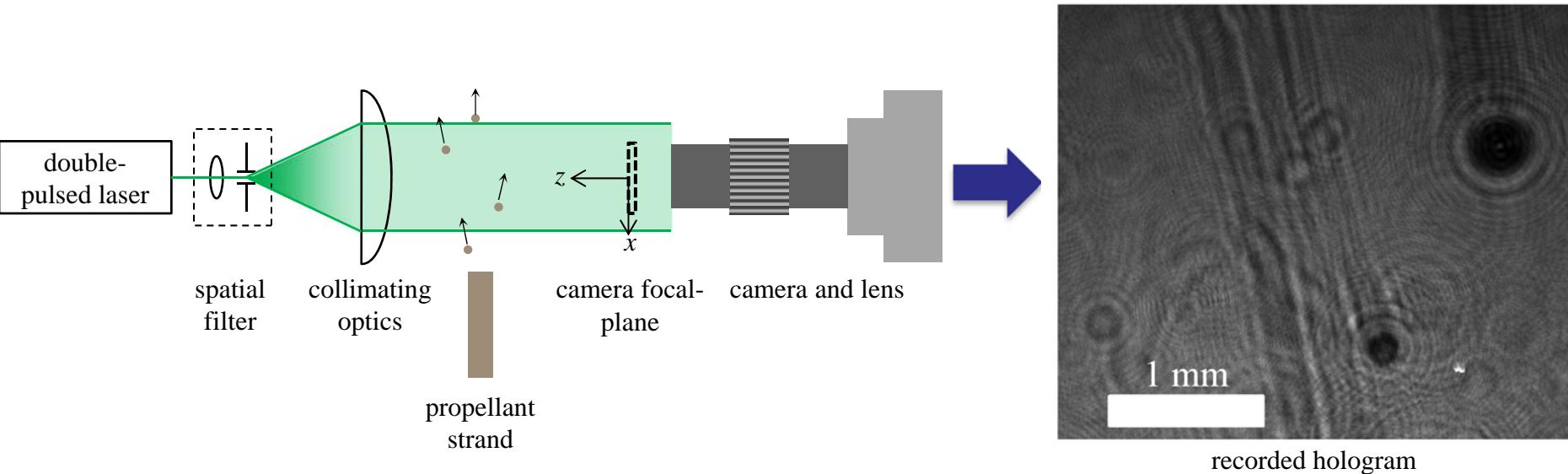
- High uncertainty in the  $z$ -direction
- Particle field must be relatively spare providing only limited vectors
- Vectors at random positions
- Methods not as mature as PIV or even tomographic-PIV

Note: the literature contains many works on holographic-PIV. My own work has not been focused on these applications



measured particles in a swirl flow, viewed in reconstructed  $x$ - $z$  plane

# Aluminum drop combustion in propellants



Propellant: solid-rocket propellant pressed into a strand roughly 5 mm in diameter and initially 10 cm long

- Combusts from the top surface down, ejecting molten aluminum particles traveling on the order of 10 m/s

Laser: Continuum Minilite Nd:YAG, 532 nm wavelength, 5 ns pulse duration

Camera: sCMOS from LaVision at 15Hz

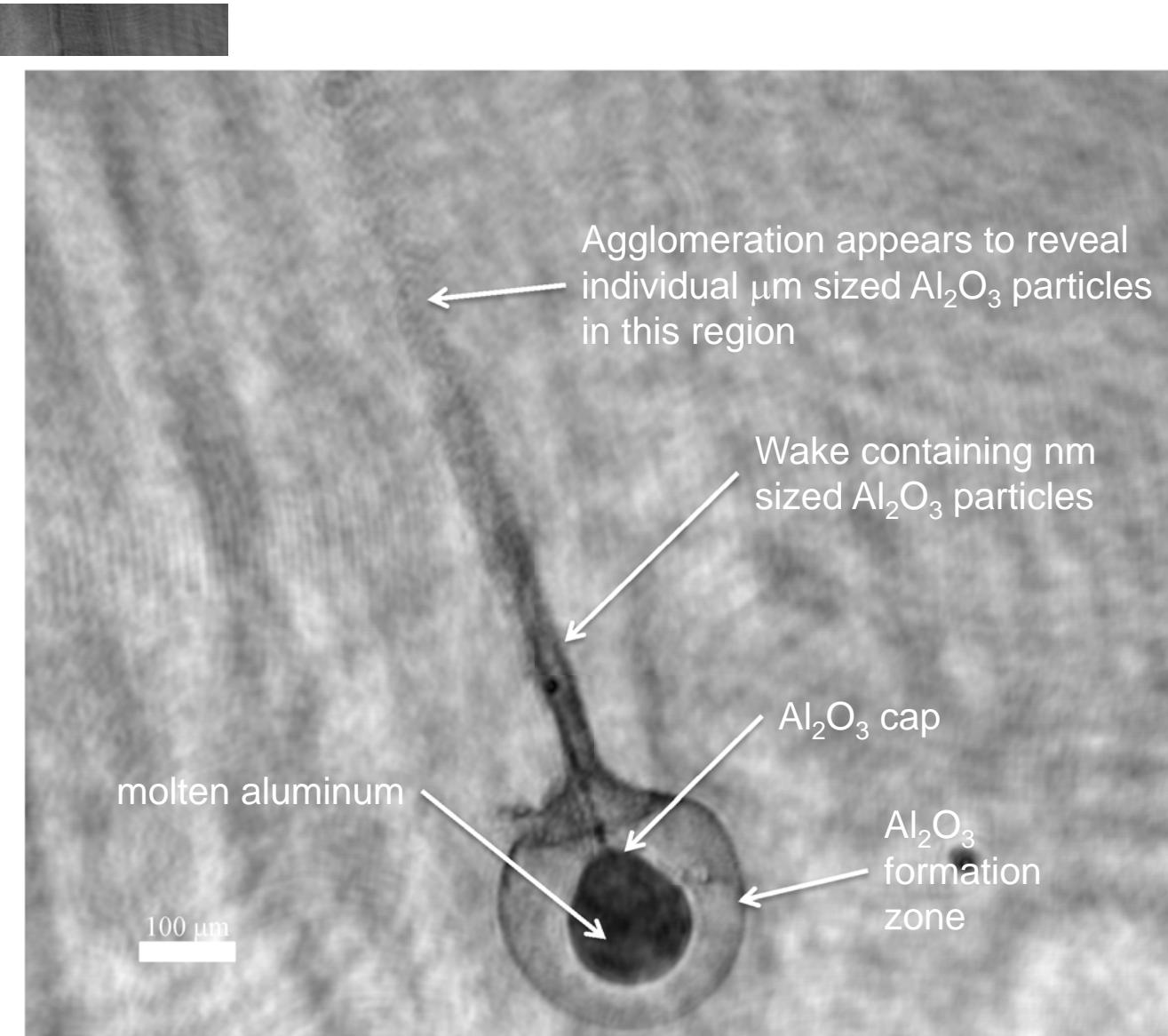
Lens: Infinity K2 long distance microscope with CF-4 objective

- ~ 6X magnification

# Aluminum drop combustion in propellants

$z = 29.1$  mm

1 mm



# Acknowledgements



- The work presented here is a joint effort:
  - Daniel R. Guildenbecher (1512)—ECLDRD—investigating applications to high-Weber number impact and liquid dispersion
  - Phillip L. Reu (1535)—WSEAT—considering applications to large, high-velocity shrapnel fields
- Significant thanks also goes to our collaborators at Purdue University
  - Professor Jun Chen and doctoral candidate Jian Goa
  - SNL sponsored work to develop particle detection algorithms
- Additional thanks to Thomas Grasser, Daniel Sciglietti, Lee Stauffacher, Luke Engvall, Sean Kearney, and many others....

# Backup slides

# Particle extraction methods

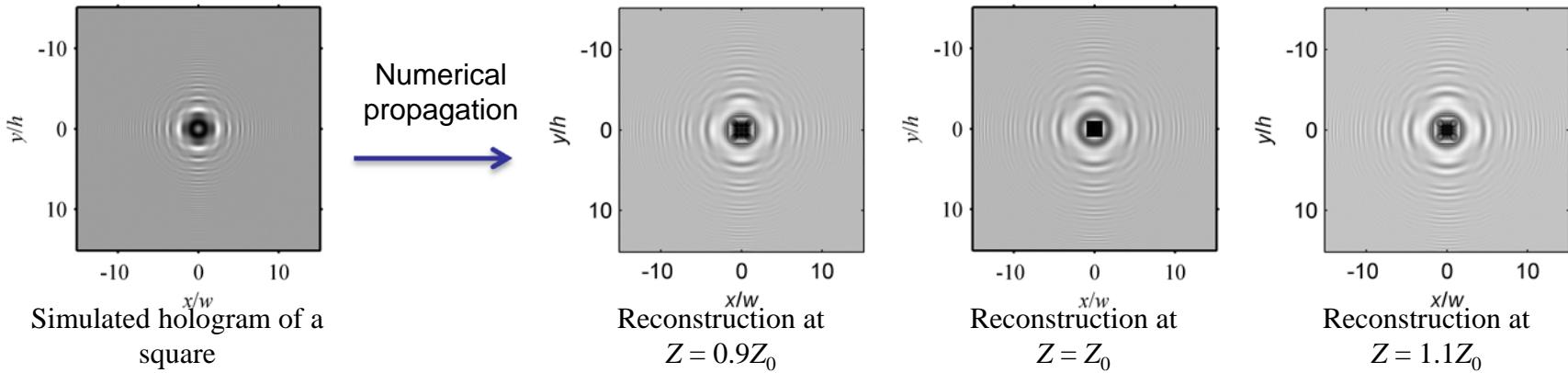
Development focuses on:

1. Improvement and validation of the depth uncertainty
2. Reduction of “user-tunable” parameters
3. Measurement of non-spherical particles in extreme environments

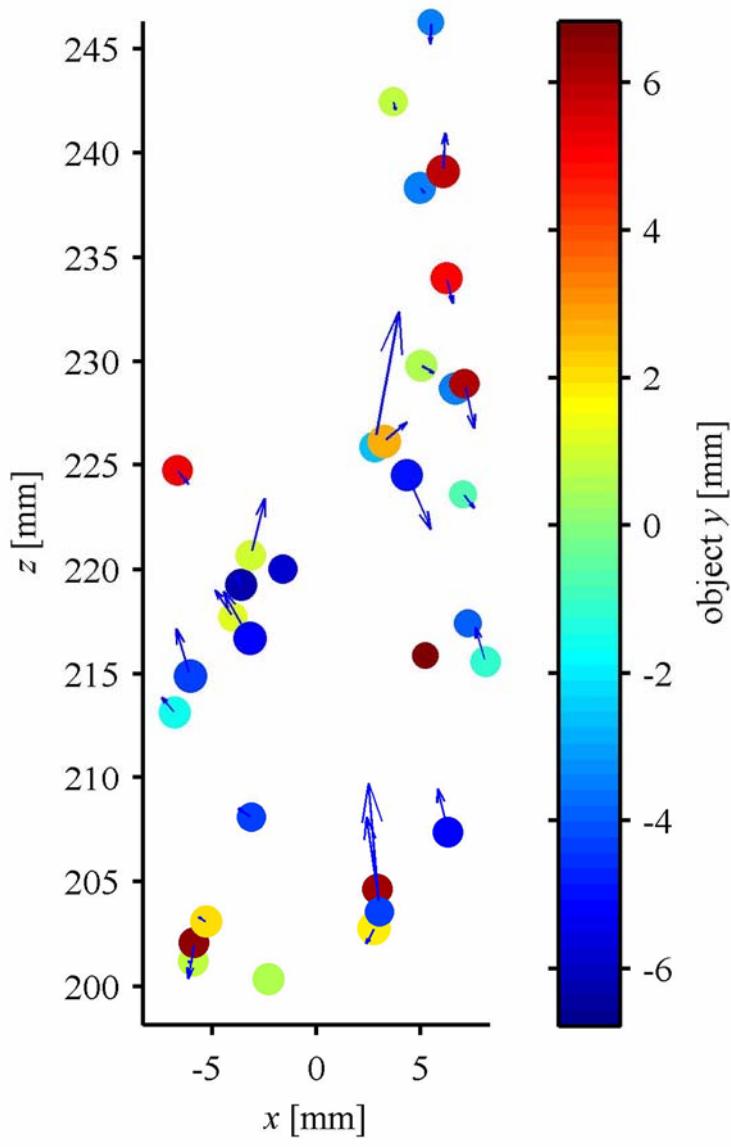
Many parameters affect the accuracy:

particle size  $d$ , particle distance  $z$ , particle number density, particle shape, particle overlap, laser wavelength  $\lambda$ , pixel size  $\Delta x$ , number of pixels  $N$ , noise, etc...

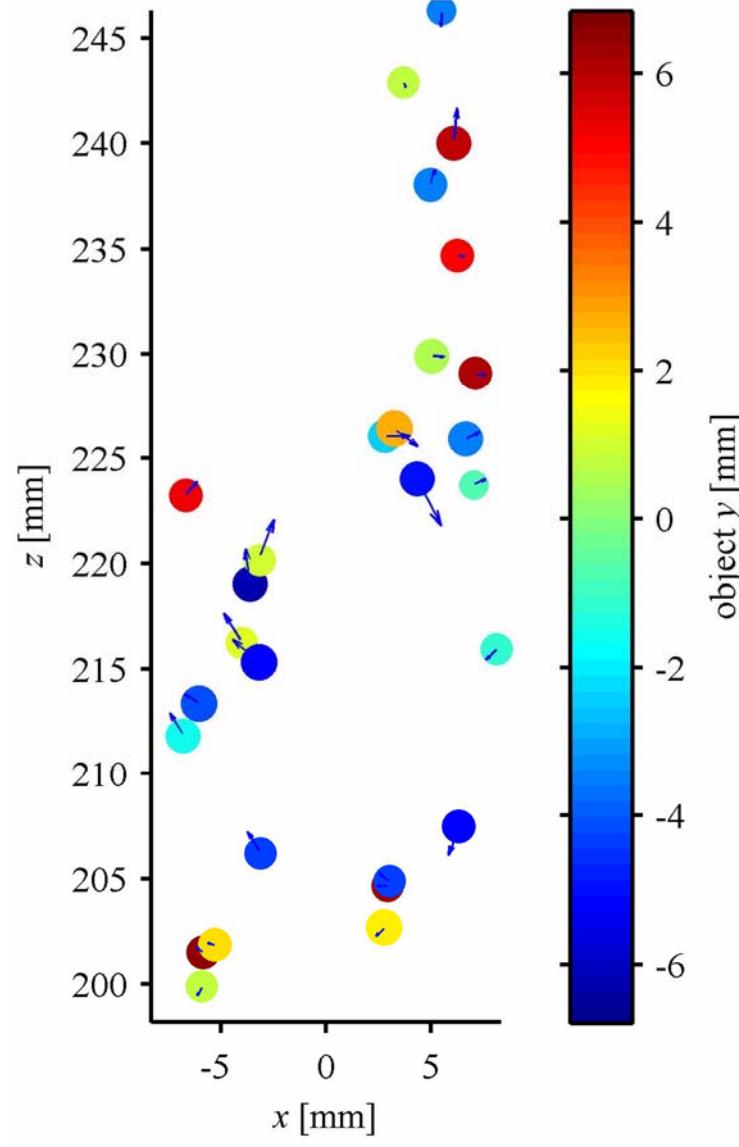
Our development began with construction of non-dimensional recording/reconstruction models which consider as many factors as possible (Guildenbecher et al, 2013, Applied Optics)



# Methods comparison



results from hybrid method



results from cross-correlation method