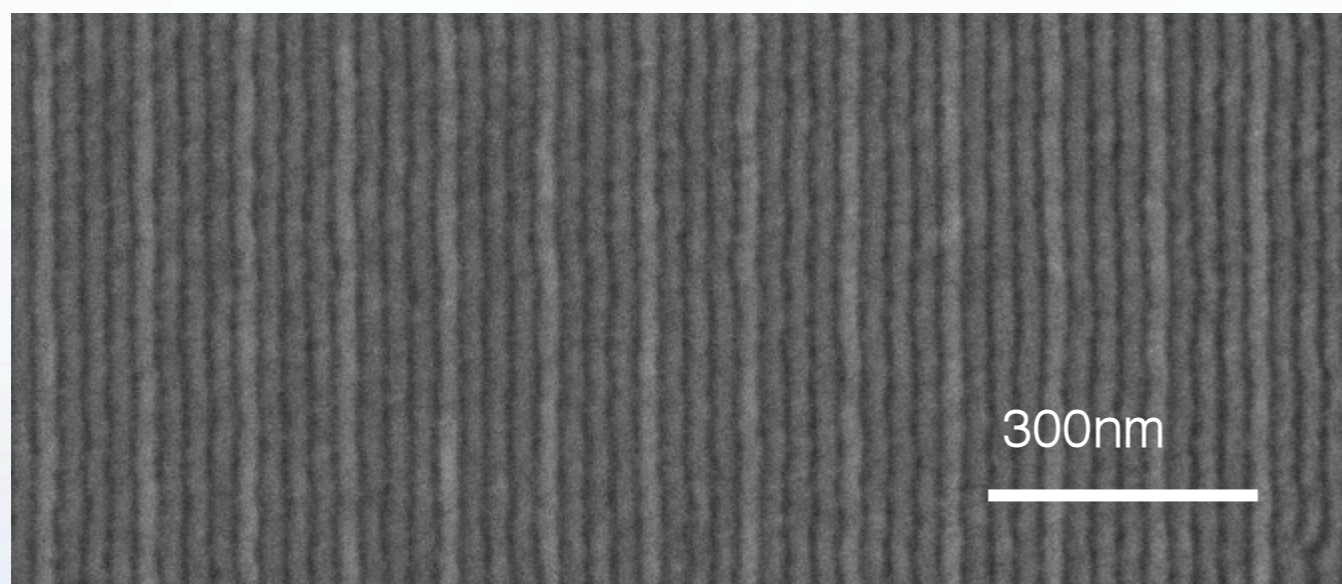
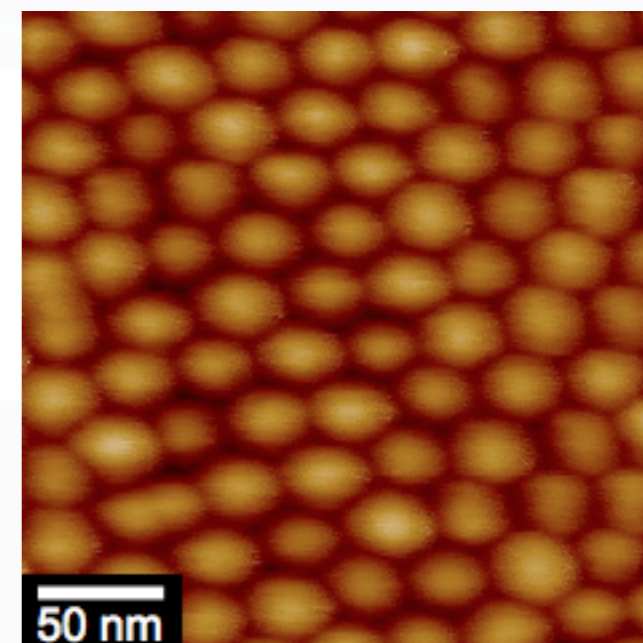
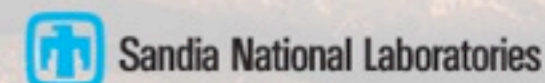


Patterning and Integration of Ferroelectrics and other Functional Nanostructures



Geoff Brennecka
Sandia National Laboratories



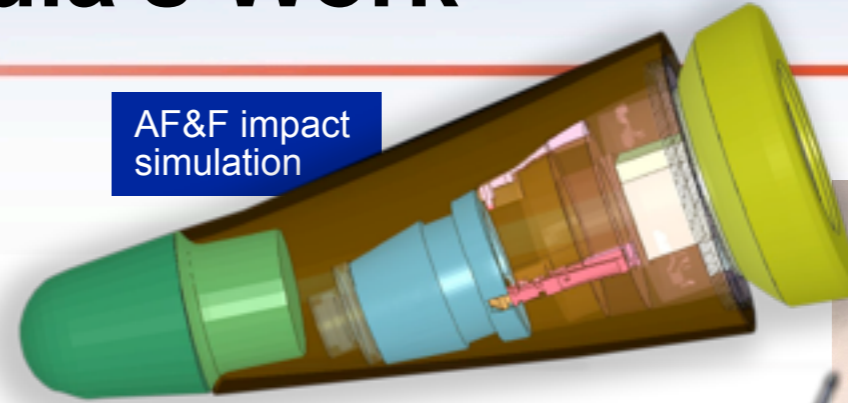
Sandia's Work



Shuttle Orbital Inspection System



AF&F impact simulation



96% of total NW parts

4 Mission Areas

- Nuclear Weapons
- Defense Systems and Assessments
- Energy, Resources, and Nonproliferation
- Homeland Security and Defense



Predator UAV with SAR



Small robotic vehicles



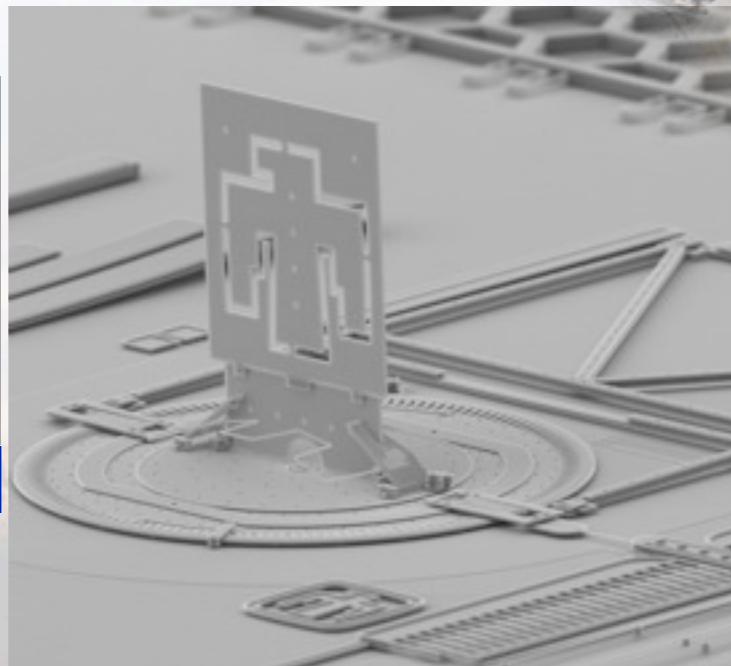
UGS



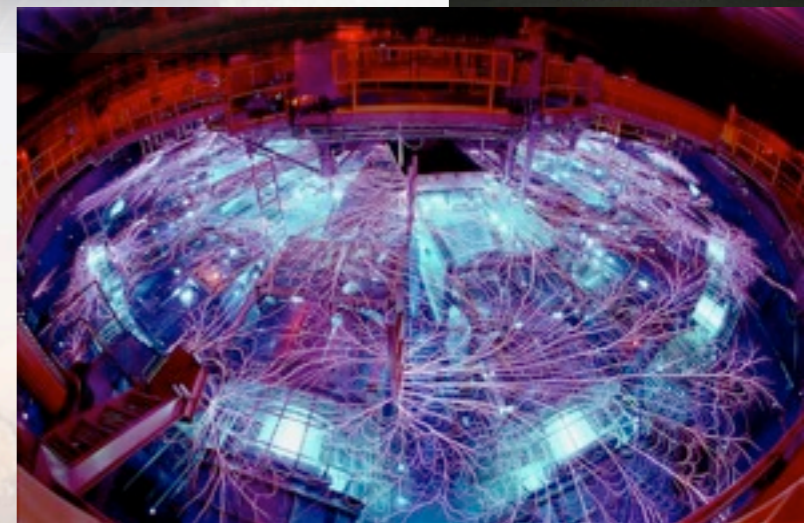
Renewable and alternative energy



µChemLab

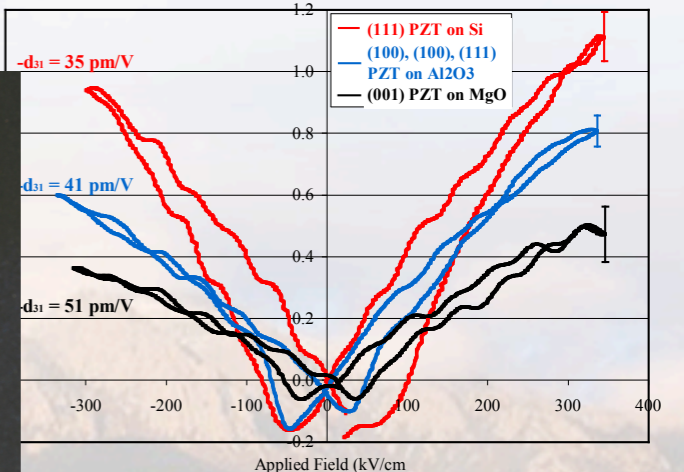
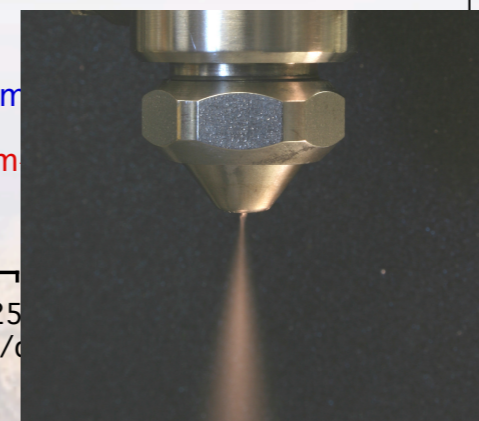
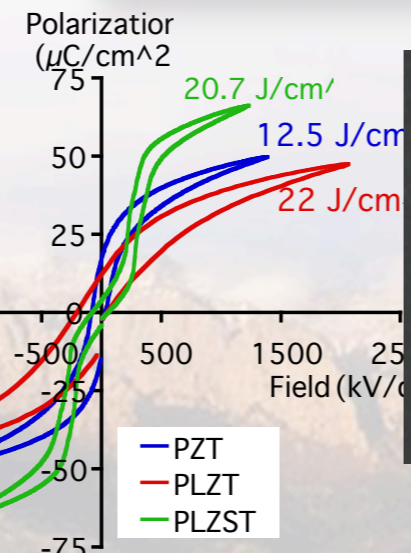
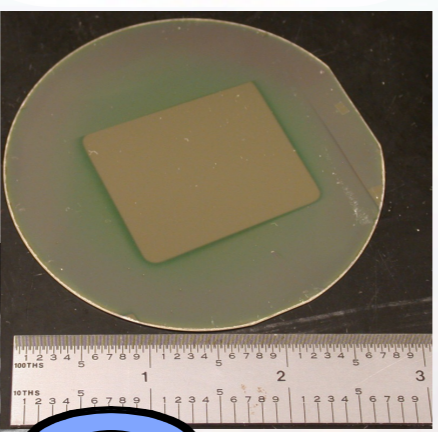
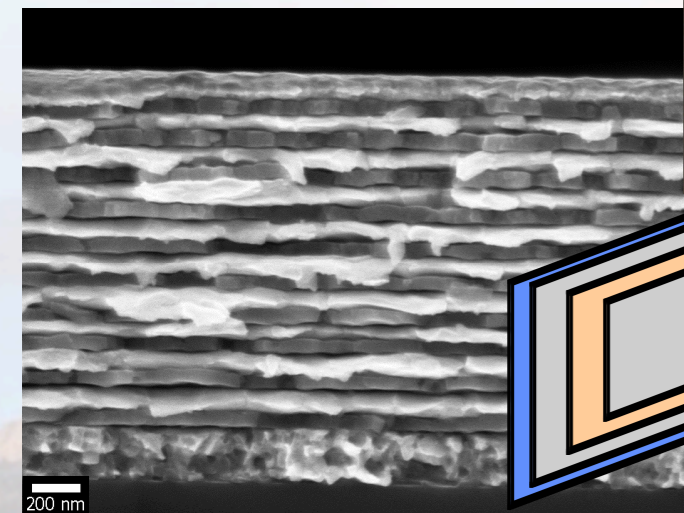
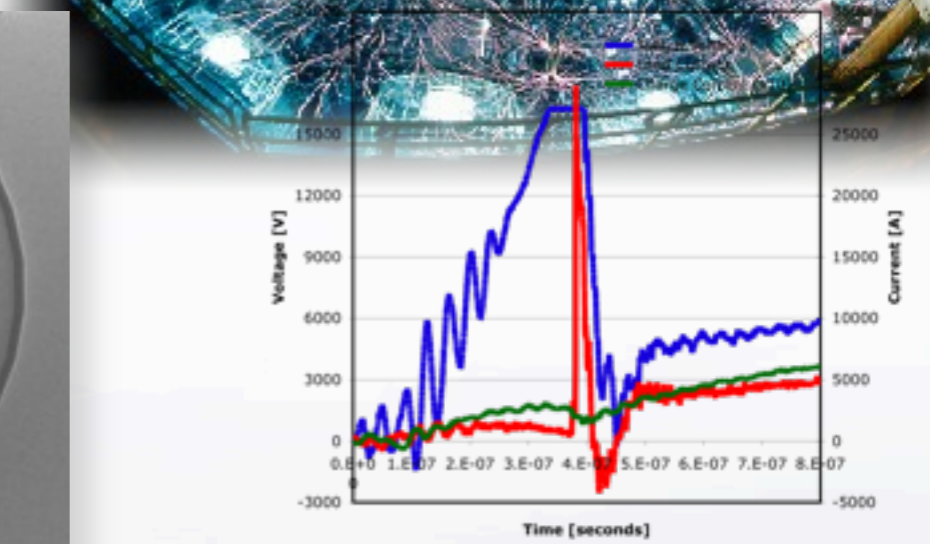
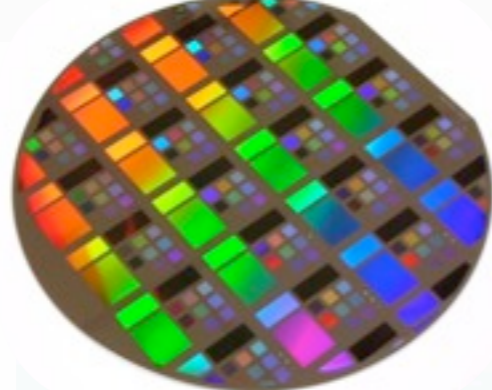
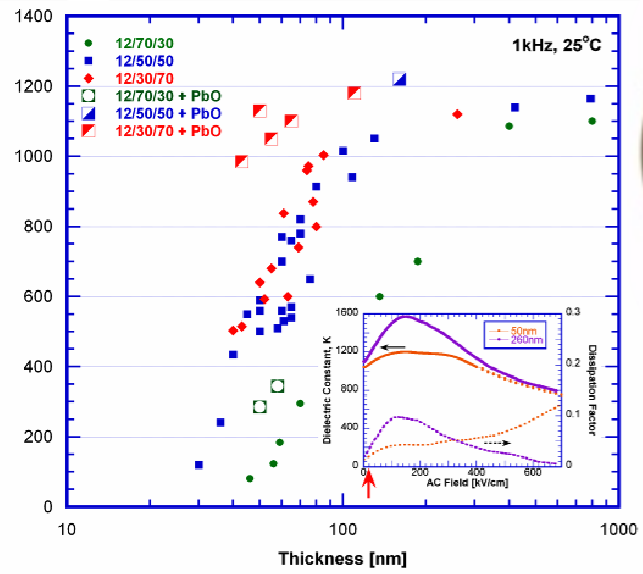
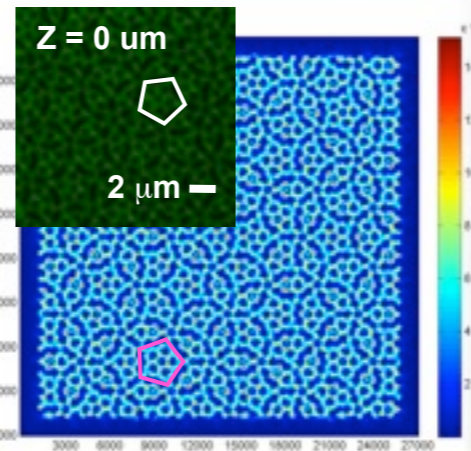
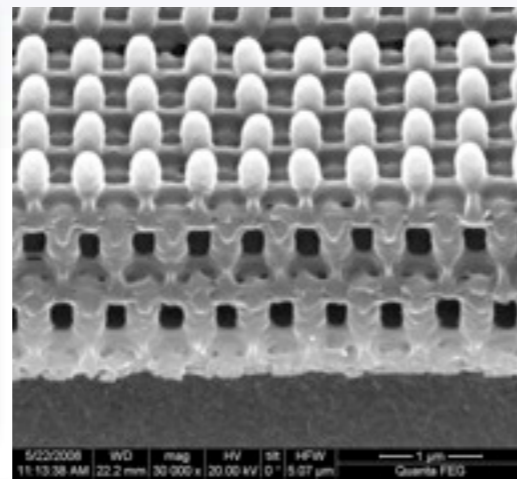
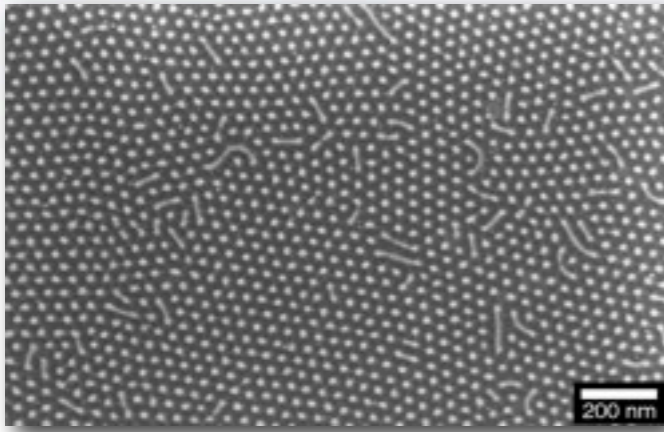


Clean room invented at SNL in 1963

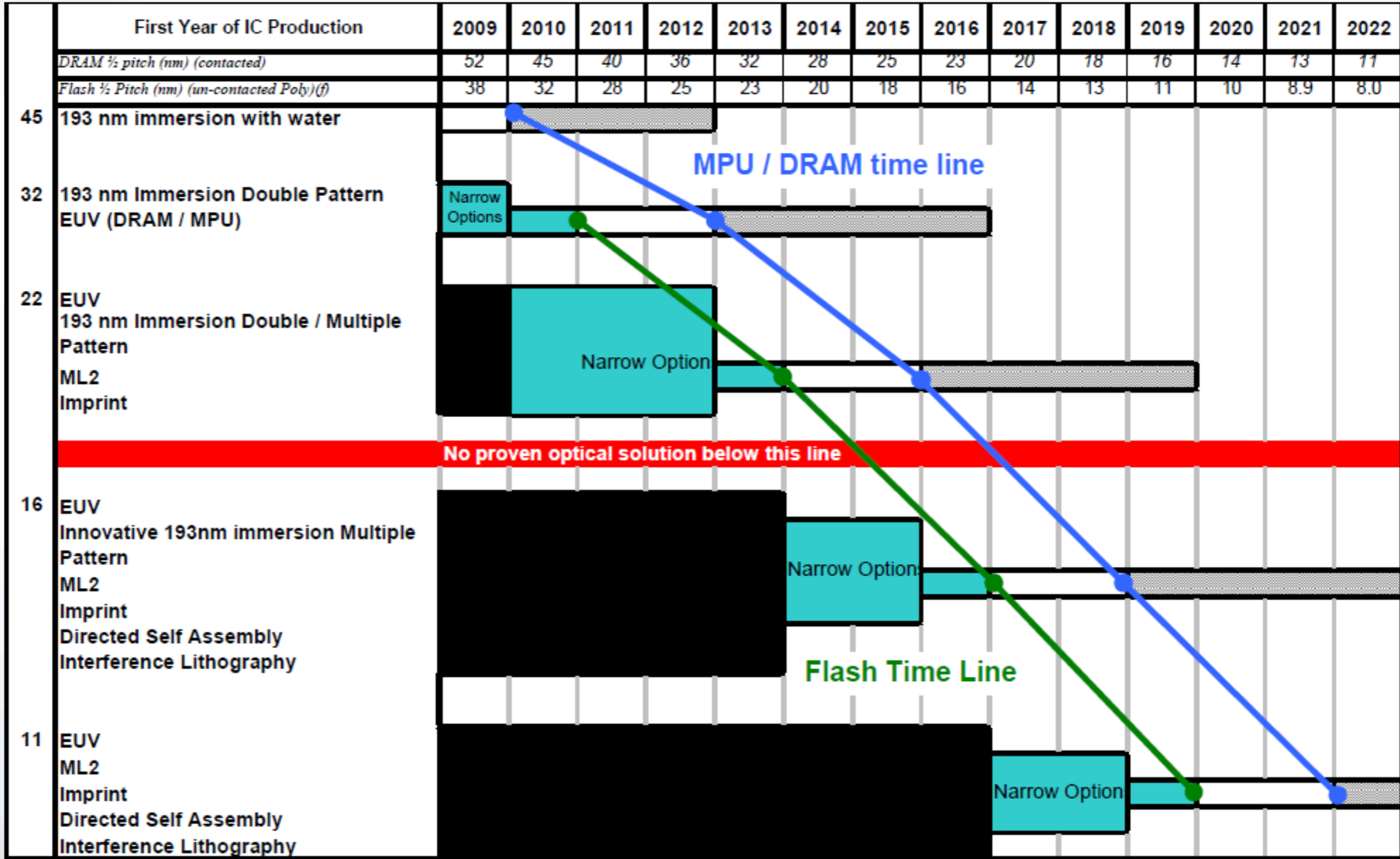


Z machine: the world's most powerful X-ray source

Project Sampler



Doing Moore with the Same?

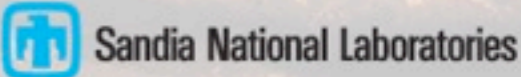


This legend indicates the time during which research, development, and qualification/pre-production should be taking place for the solution

- Research Required
- Development Underway
- Qualification / Pre-Production
- Continuous Improvement



ITRS 2009



Doing More with the Same?

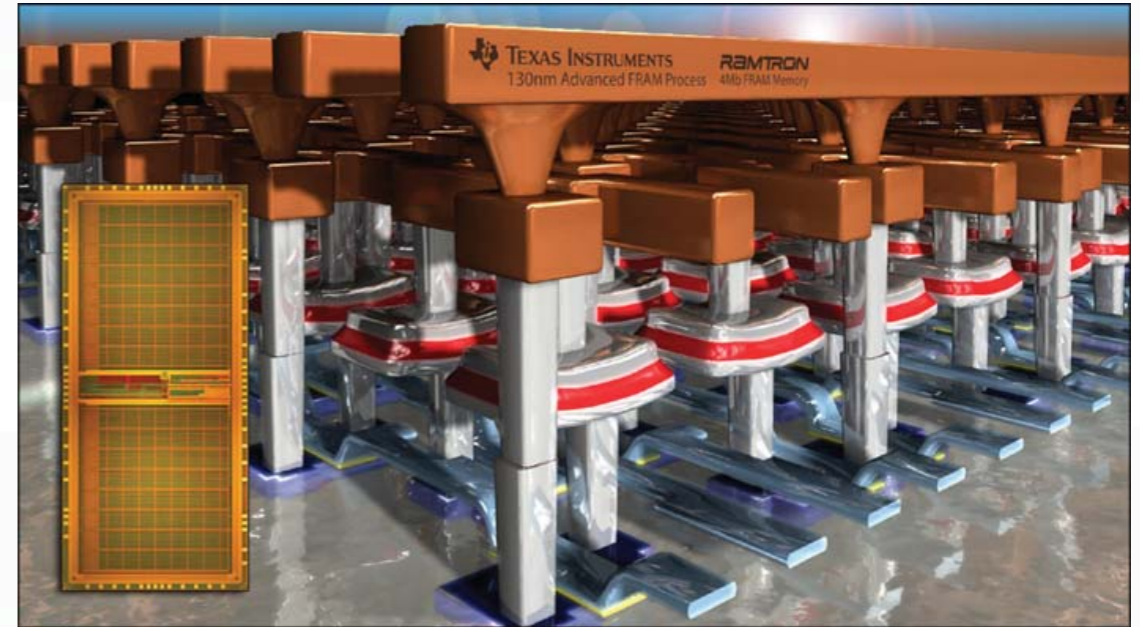
Integration of materials with new and/or increased functionality

General Fabrication Technique for Controlled Nanopatterning

- Any material, any substrate
- Arbitrary, addressable features/patterns
- Platform for size/interface effects studies, device development, etc.

Why Ferroelectrics?

- Demonstrate broad applicability
- Study fundamental lateral size and aspect ratio effects
- Ultrahigh density NVRAM



From MRS Bulletin v33 (2008), originally from TI, Ramtron

Target Demo Application Information Storage (NVRAM)

- Reduce physical size
- Reduce power consumption
- Improve operation through interface control



Micro-, Nano-Patterning of Arbitrary Materials

- Challenges of expanding beyond 'standard' materials

Fabrication

Patterning

Integration

Performance

Micro-, Nano-Patterning of Arbitrary Materials

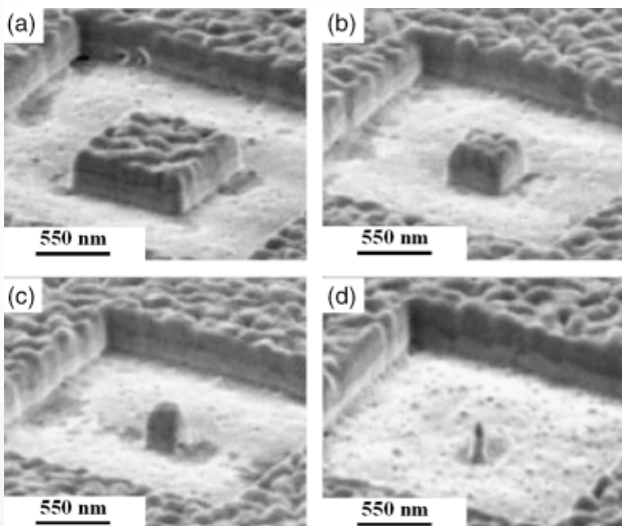
■ Challenges of expanding beyond 'standard' materials

Fabrication

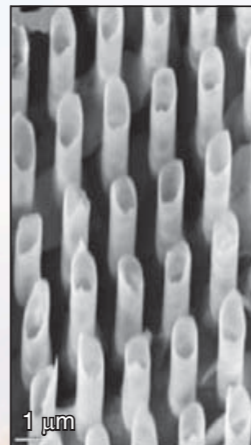
Patterning

Integration

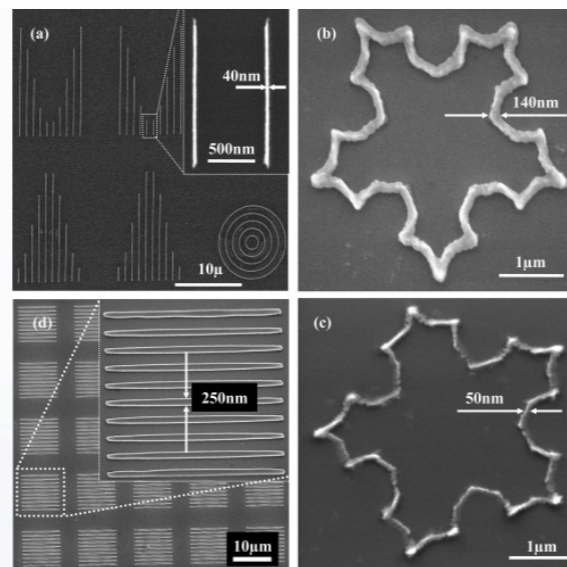
Performance



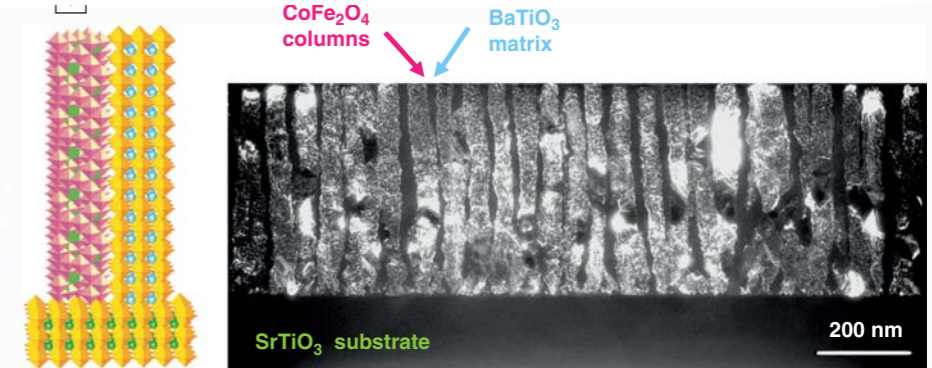
Ganpule et al., MRS Proc. (2001)



Scott et al., Nano Lett. (2008)



Donthu et al., Nano Lett. (2005)



Zheng et al., Science (2004)

- Need functional crystalline nanostructures without needing to develop new etching / integration approaches for each new material(s)
- **Extreme limitations on use of fab tools**

Overview of Our Approach

- Goal: Combine flexibility and functionality of chemical solution deposition with use of e-beam and BCP patterning capabilities

Solution Deposition

Fabrication

Patterning

Integration

Performance

DSA-BCP

Fabrication

Patterning

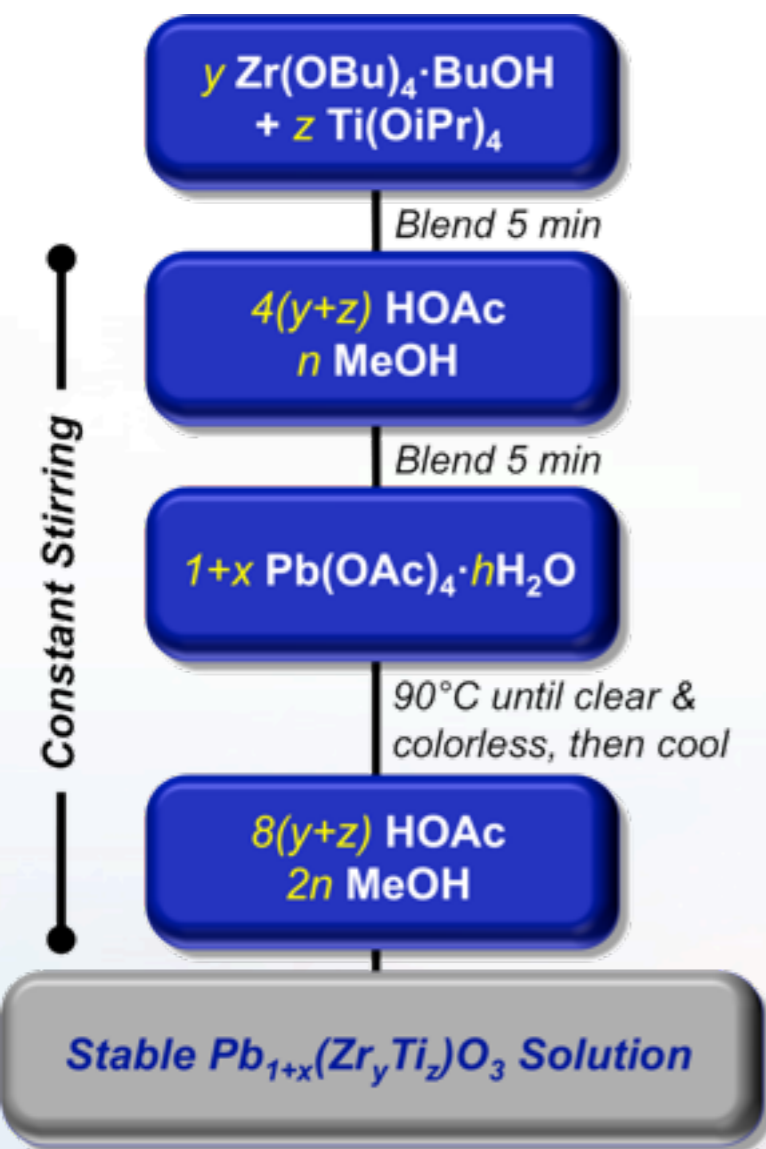
Integration

Performance

Challenges:

- Avoid etching functional materials
- Avoid any fab-based processes during/ after deposition of functional materials
- Maintain feature integrity after thermal treatment(s)
- Retain function in nanoscale features

SNL IMO-based Solution Route

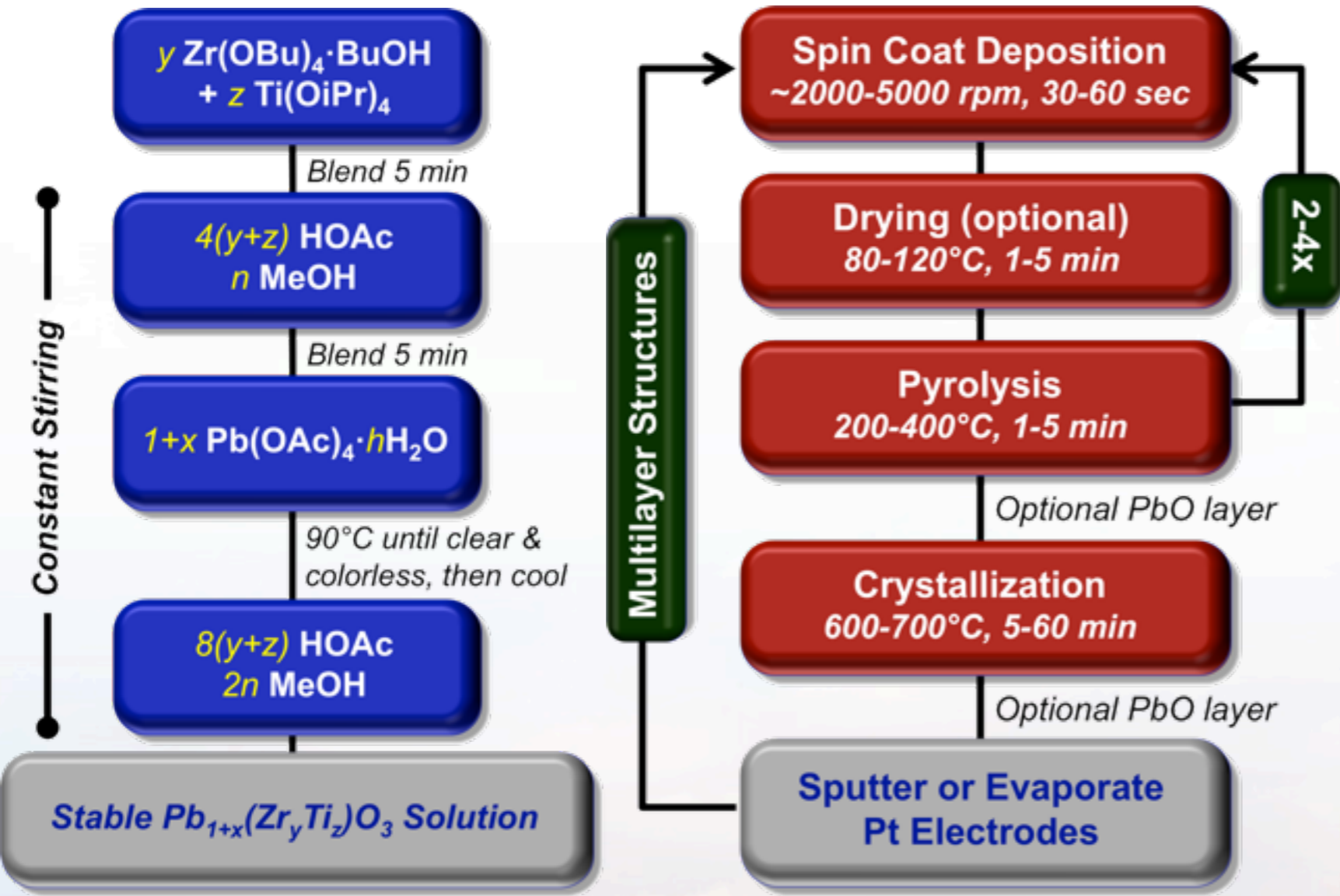


R.A. Assink and R.W. Schwartz; **Chem. Mater.** (1993)

G. Yi and M. Sayer; **J. Appl. Phys.** (1988)

Brennecka et al., **J. Am. Ceram. Soc. feature article** (2010)

SNL IMO-based Solution Route

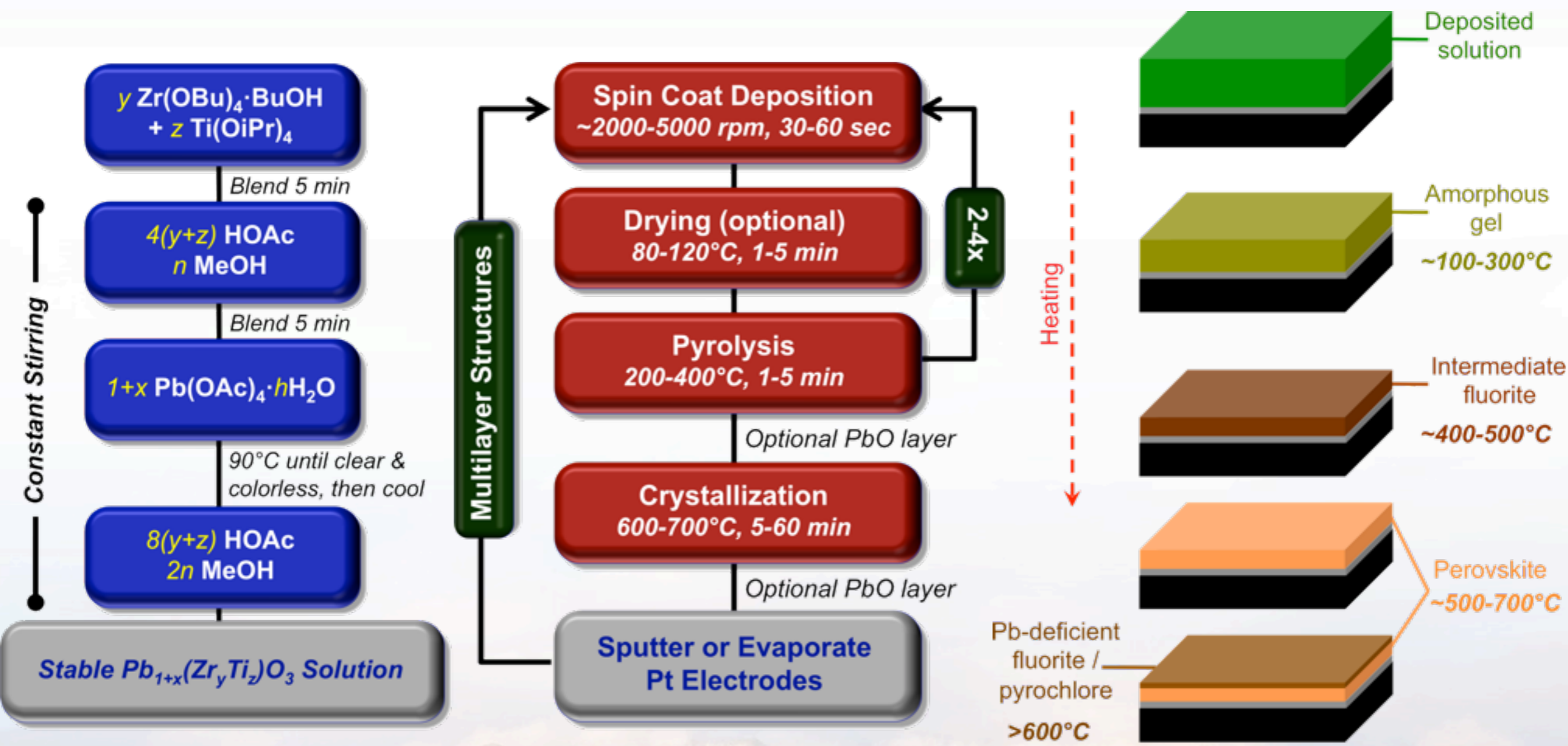


R.A. Assink and R.W. Schwartz; *Chem. Mater.* (1993)

G. Yi and M. Sayer; *J. Appl. Phys.* (1988)

Brennecka et al., *J. Am. Ceram. Soc. feature article* (2010)

SNL IMO-based Solution Route

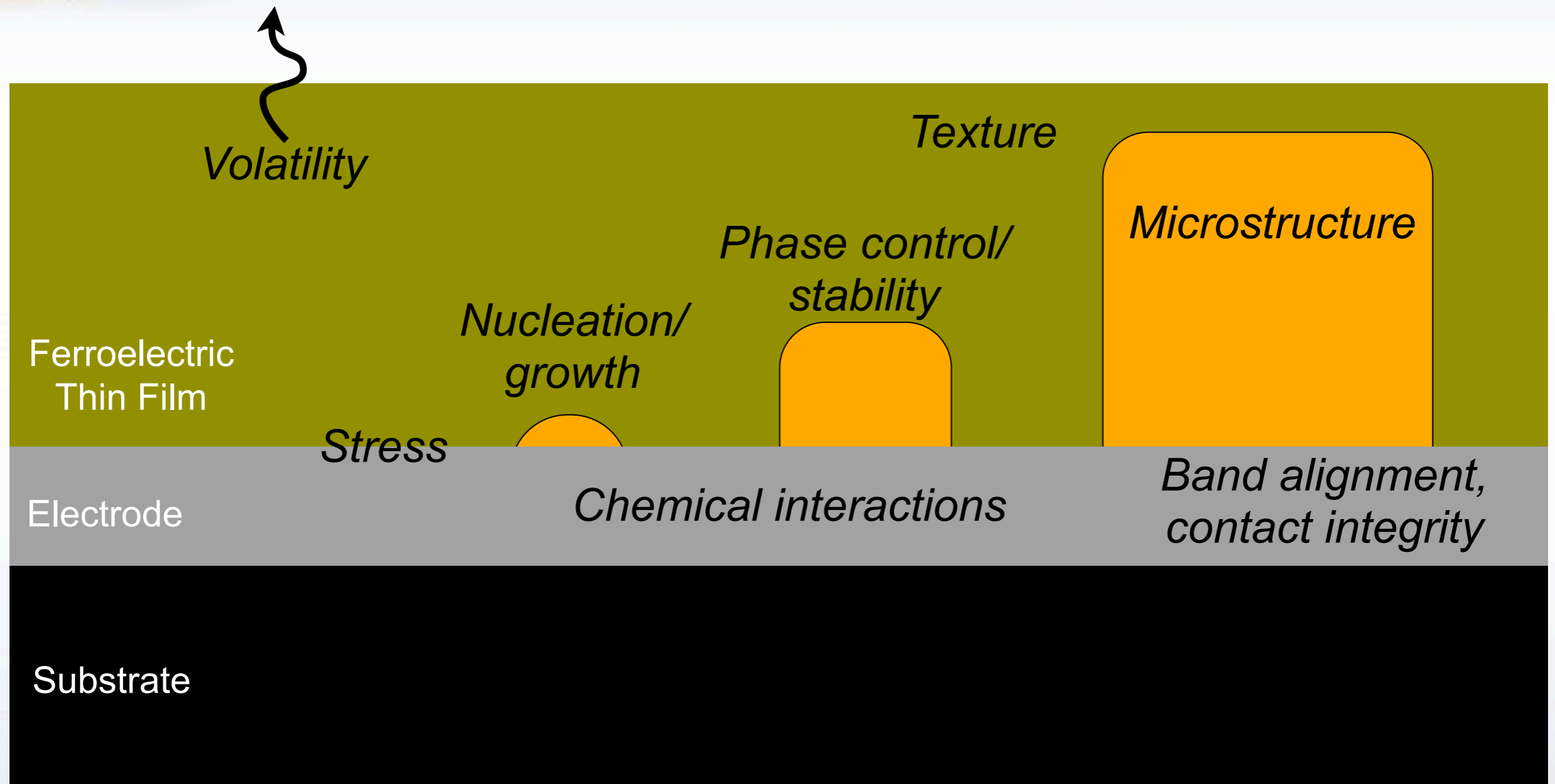


R.A. Assink and R.W. Schwartz; *Chem. Mater.* (1993)

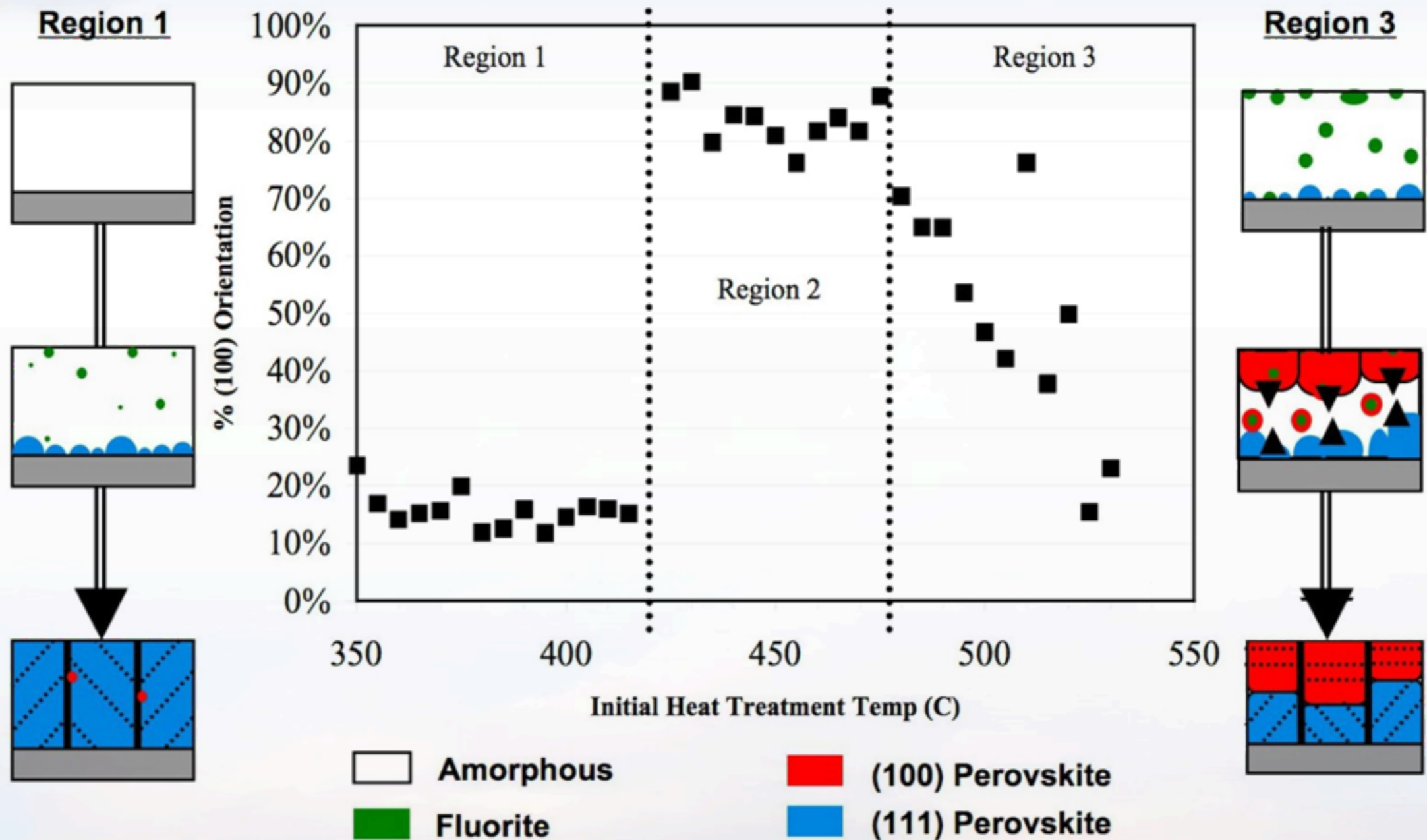
G. Yi and M. Sayer; *J. Appl. Phys.* (1988)

Brennecka et al., *J. Am. Ceram. Soc. feature article* (2010)

Understanding (and Control) of Processing/ Structure/Property Relationships

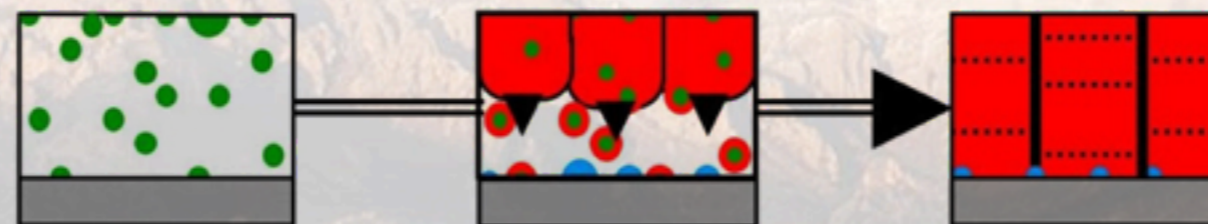


Control of Texture via Nucleation and Growth

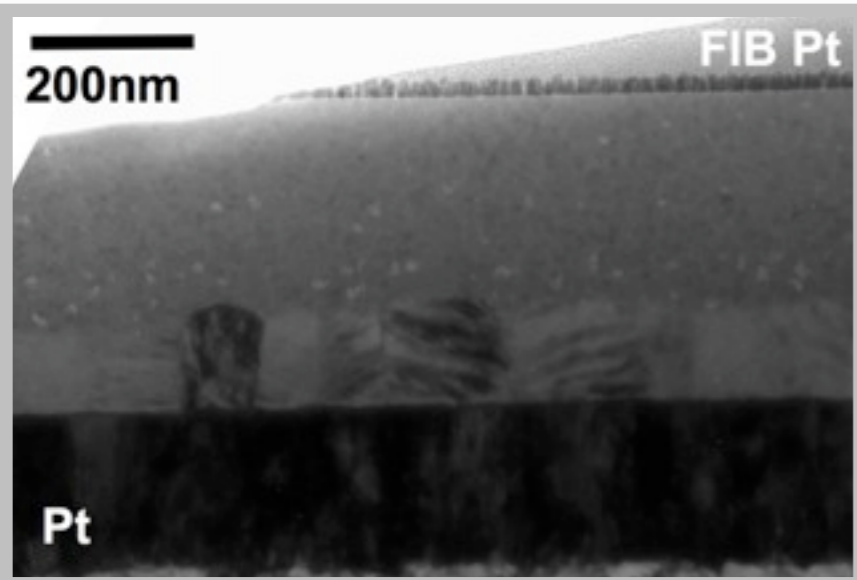


PZT 40/60 thin films

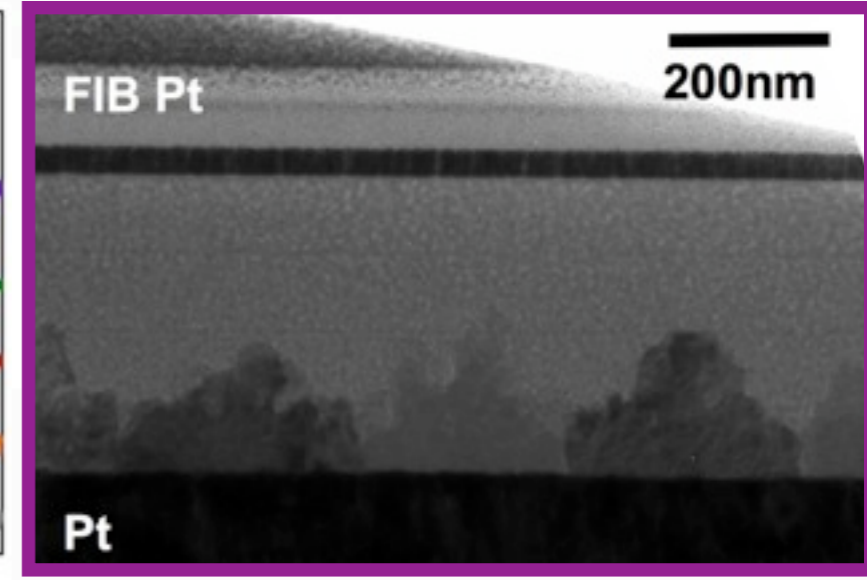
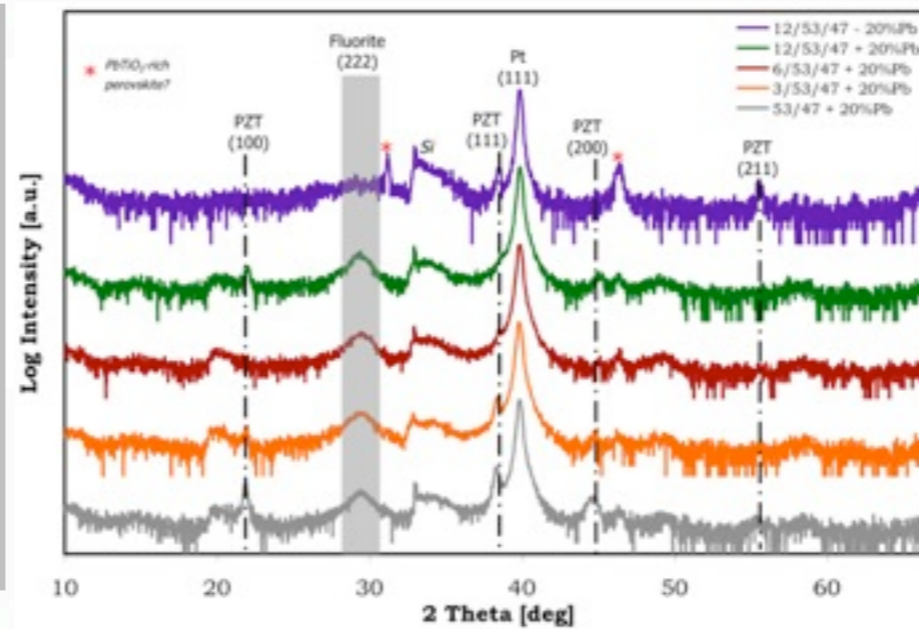
Region 2



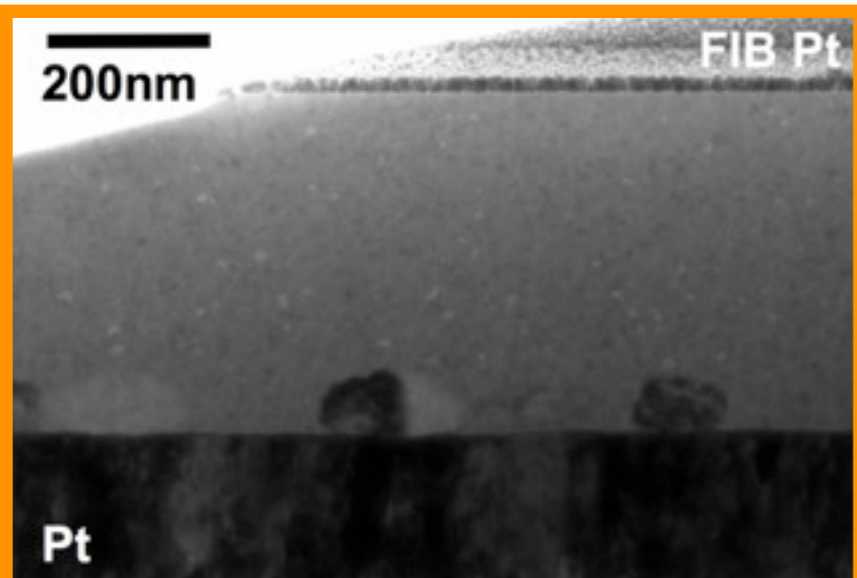
A-site cation effects on nucleation



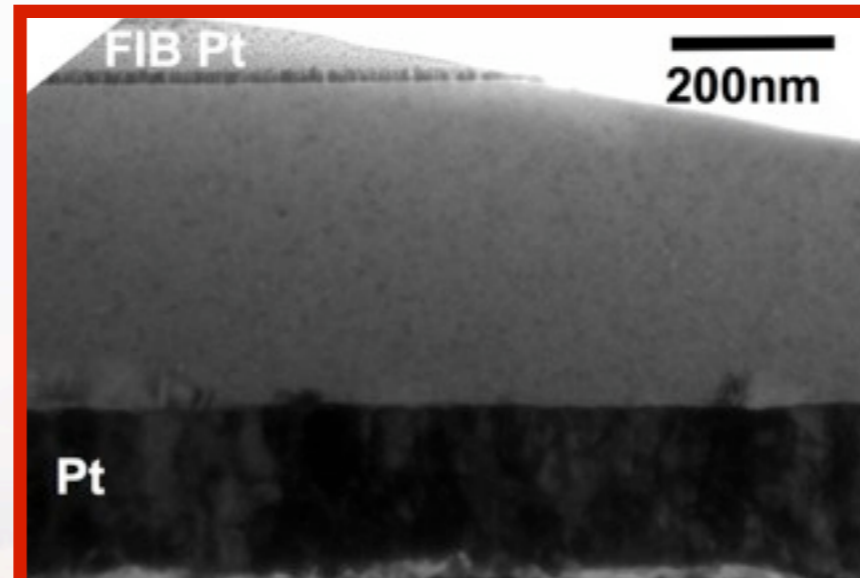
PZT 53/47, 20% excess Pb, 550C 1hr



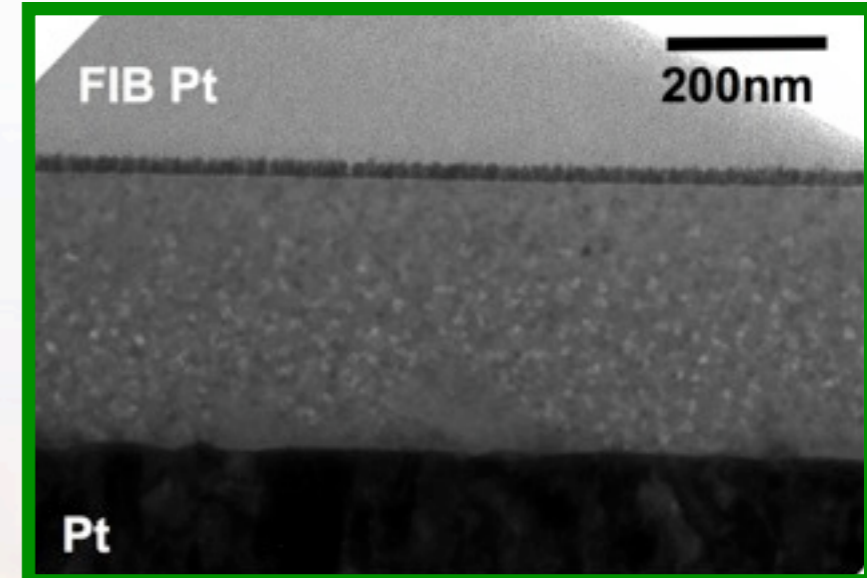
PLZT 12/53/47, 20% Pb deficient, 550C 1hr



PLZT 3/53/47, 20% excess Pb, 550C 1hr



PLZT 6/53/47, 20% excess Pb, 550C 1hr



PLZT 12/53/47, 20% excess Pb, 550C 1hr

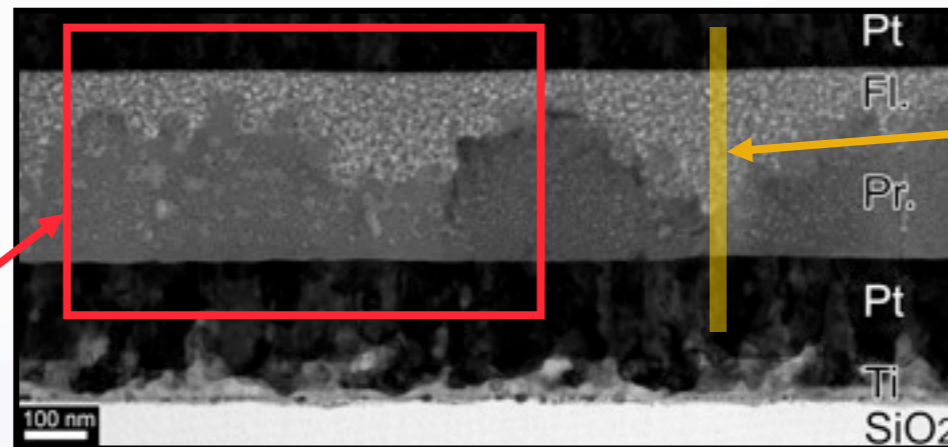
Quantitative Cation Mapping in PLZT Films

SIMS, XPS, AES depth profiling
~several 10s of microns
≠ feature size



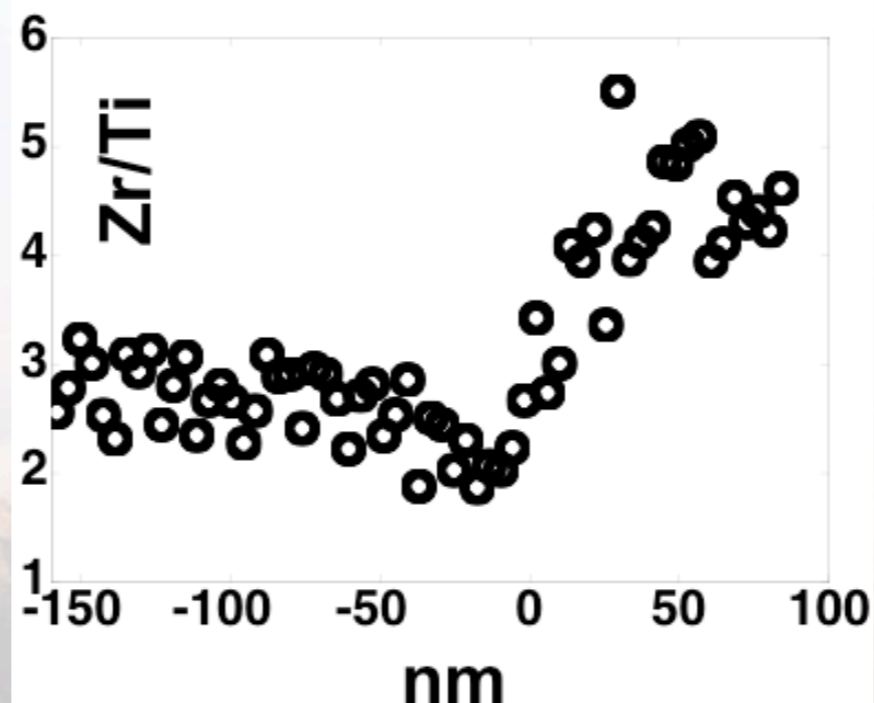
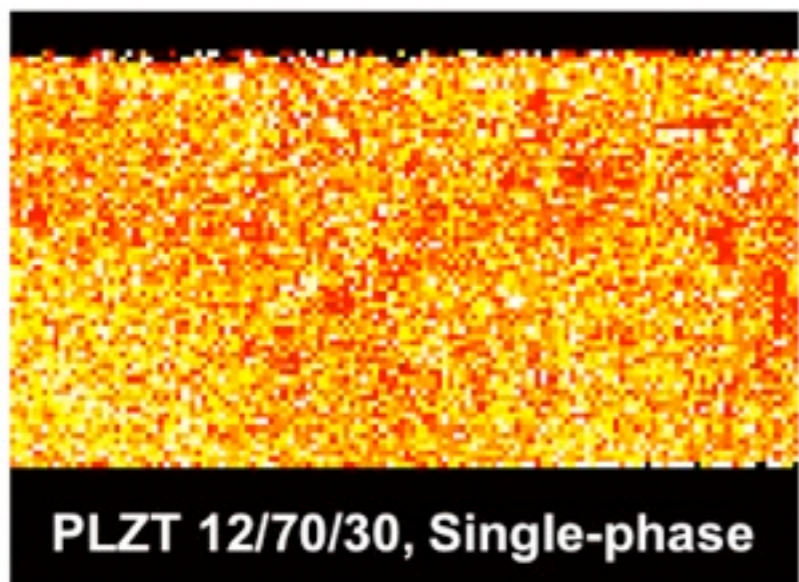
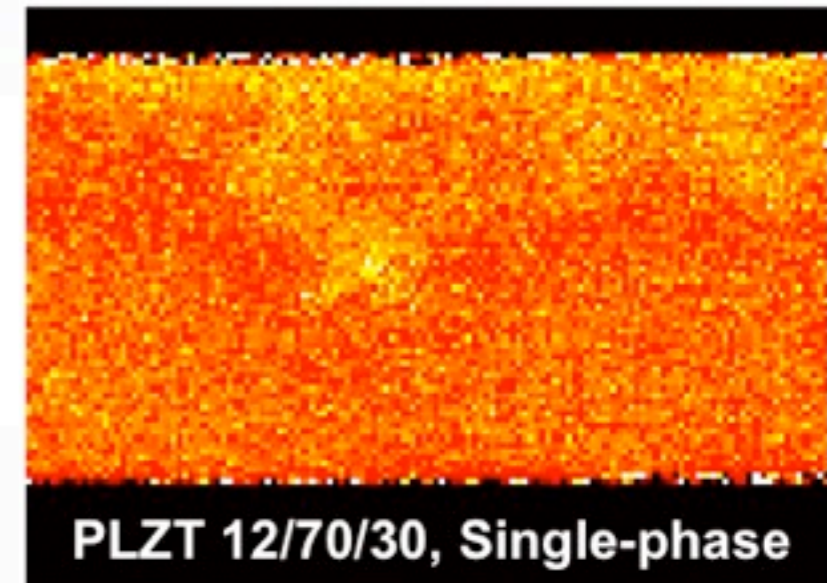
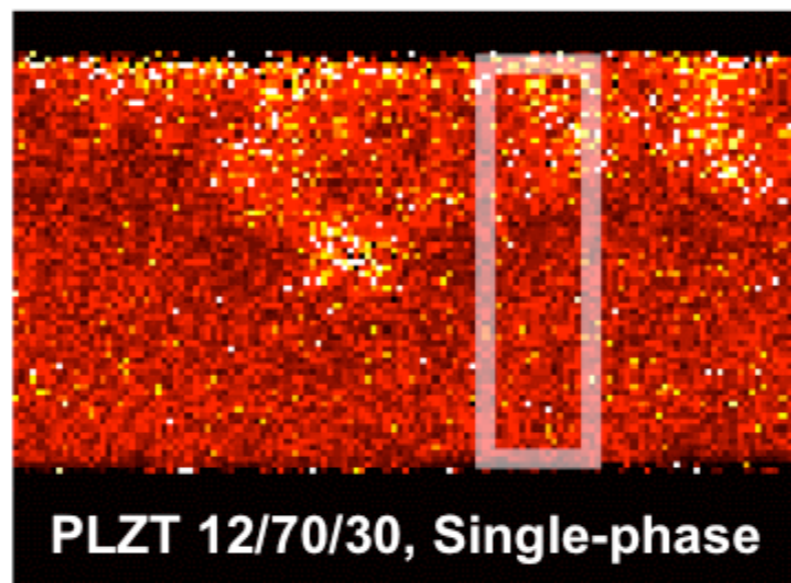
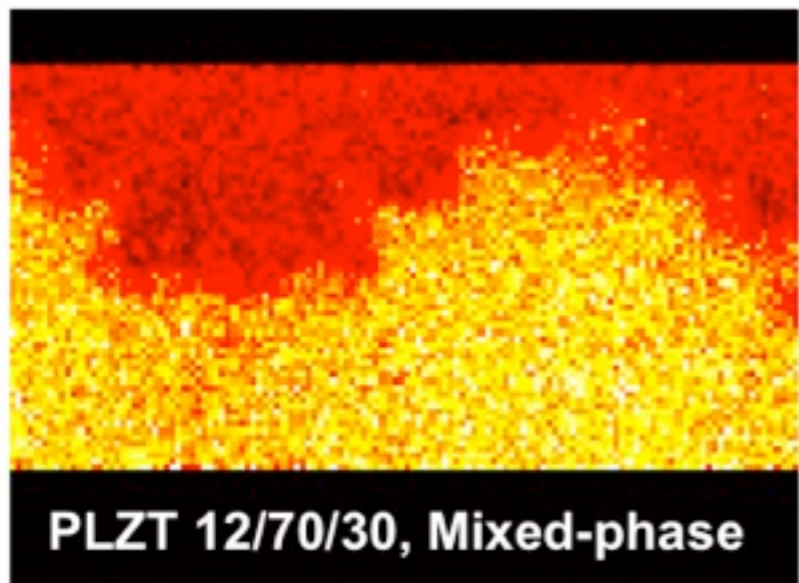
Feature size
~hundreds of nms

Used STEM-EDS SIs to
sample this scale



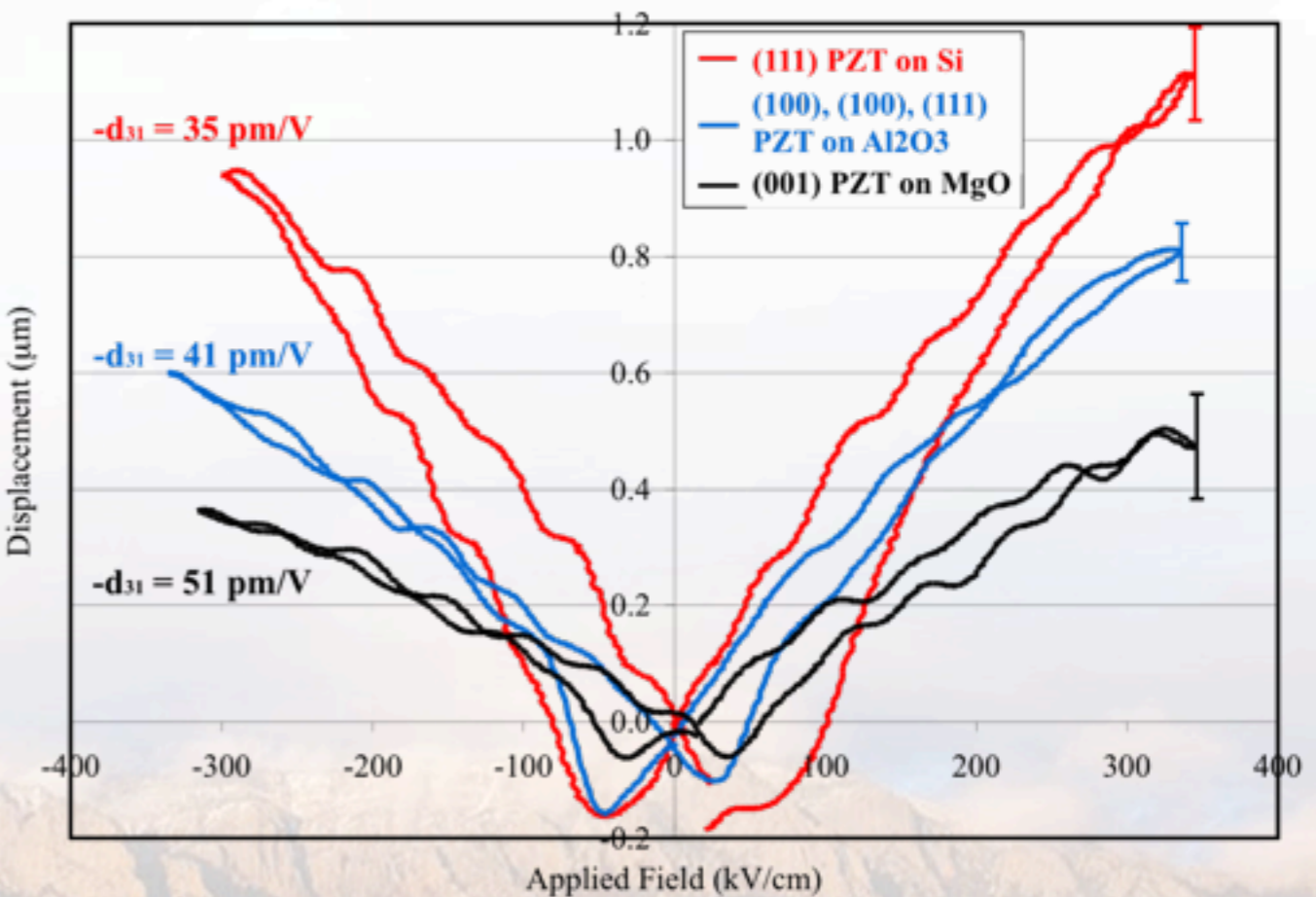
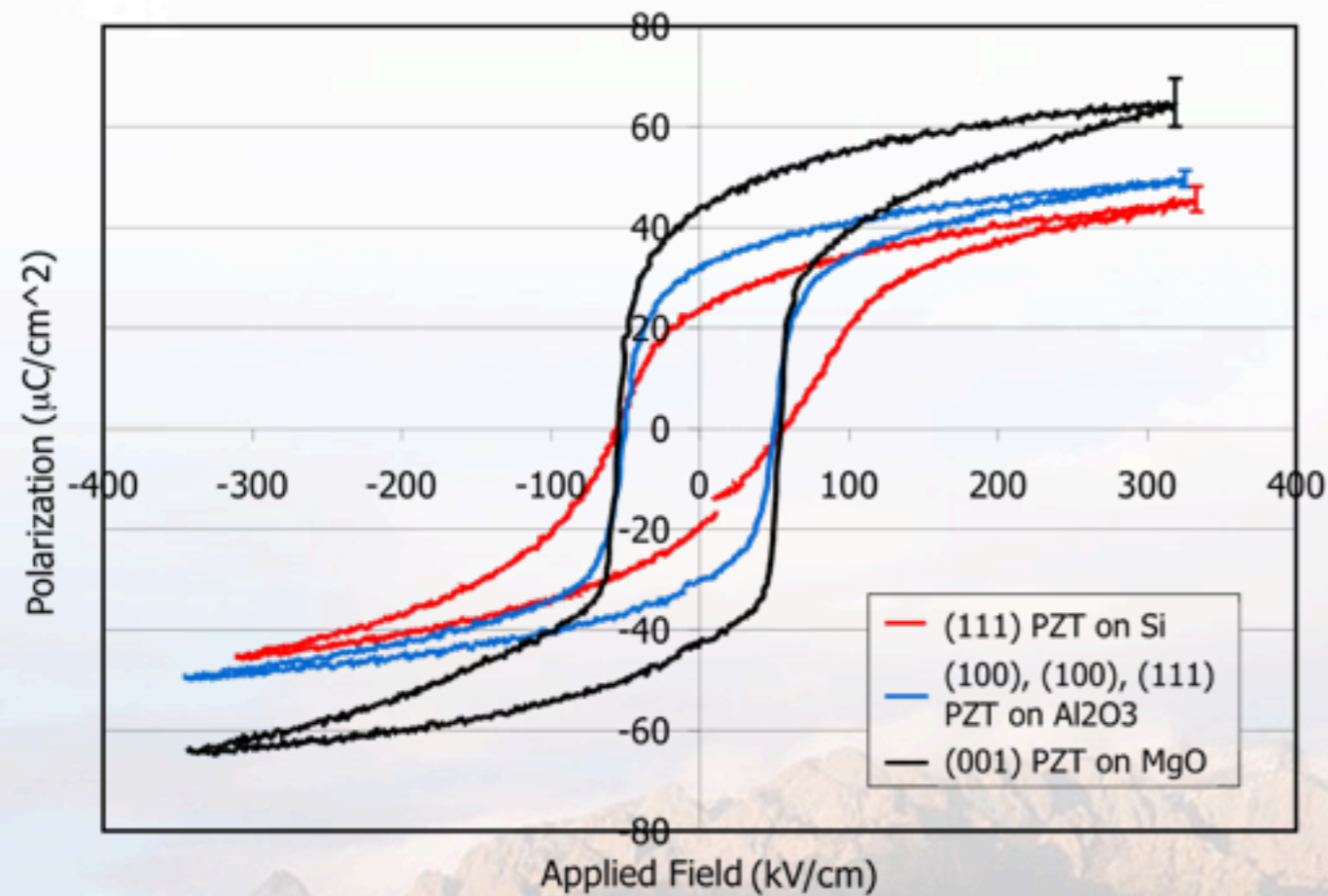
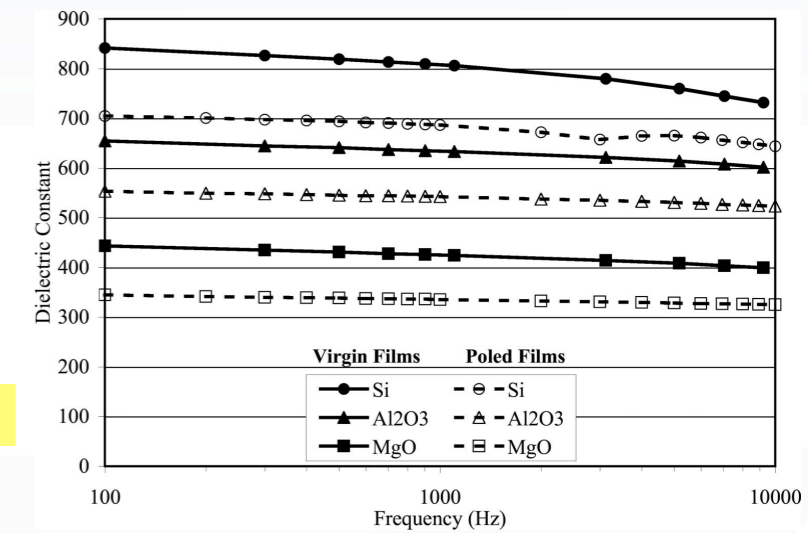
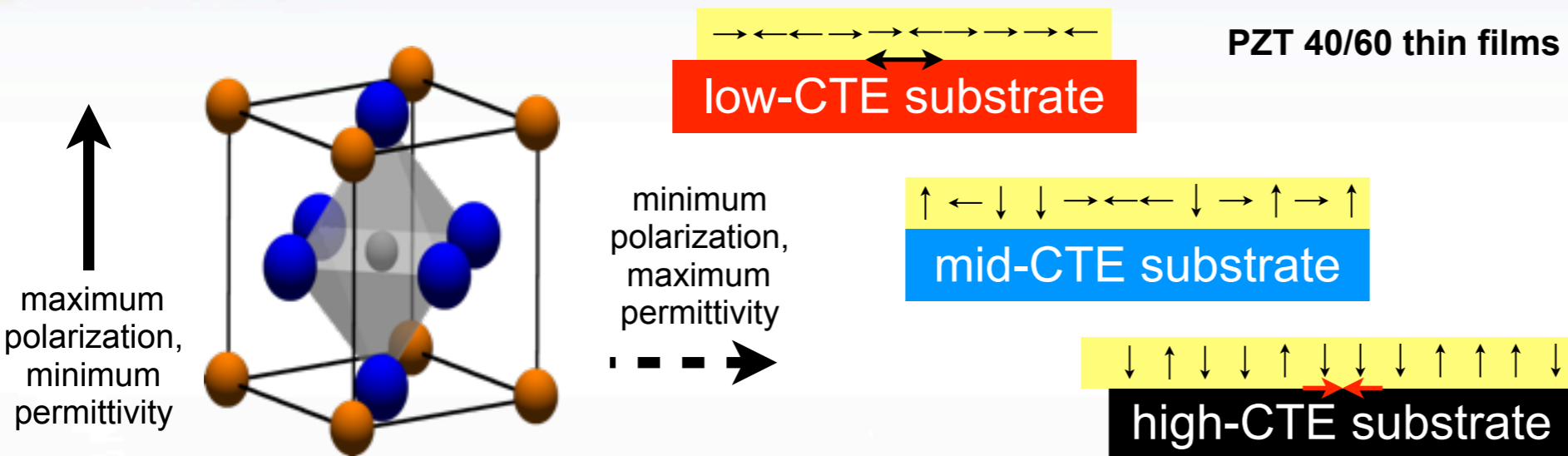
EDS linescan sampling
~several nanometers
≠ feature size

Quantitative Cation Mapping in PLZT Films



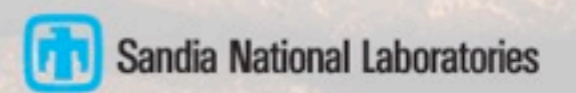
- Pb stoichiometry and homogeneity can be restored through Pb-rich annealing step
- B-site heterogeneities remain
- Evidence for co-segregation of La and Zr
- No Zr/Ti segregation observed for rapidly-crystallized PZT

Film Texture for Tailored Properties

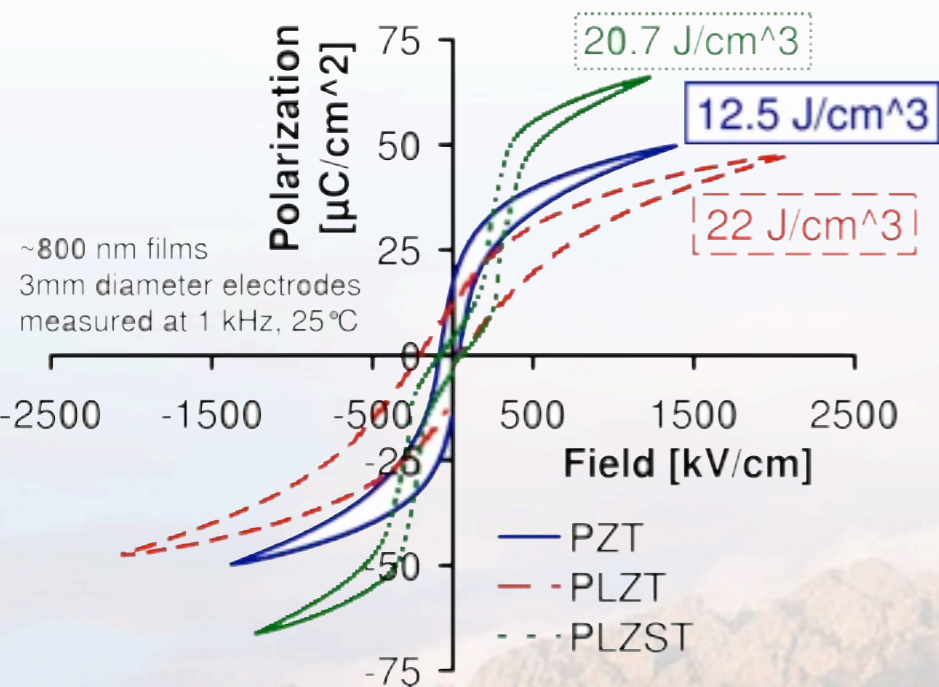
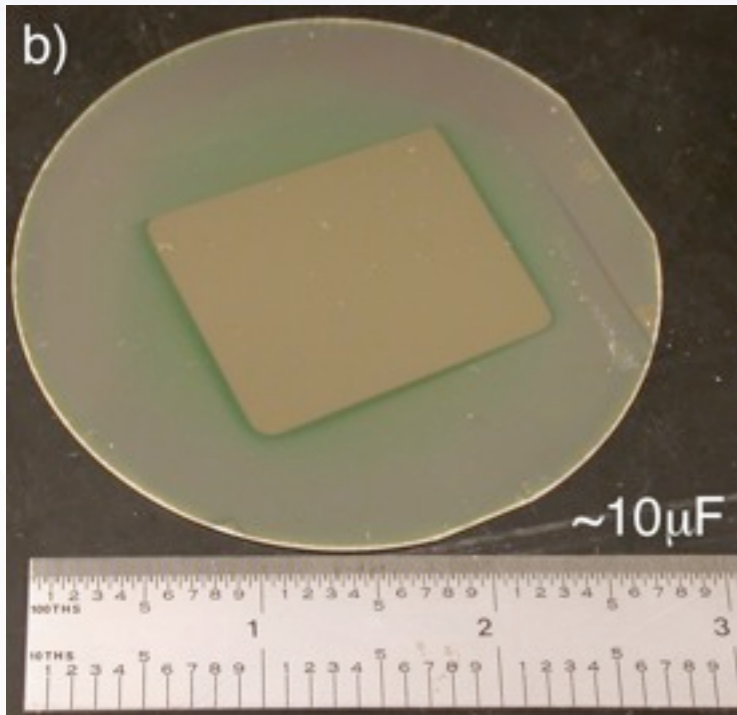


Brennecka, et al., J. Am. Ceram. Soc. (2002)

see also Funakubo et al.

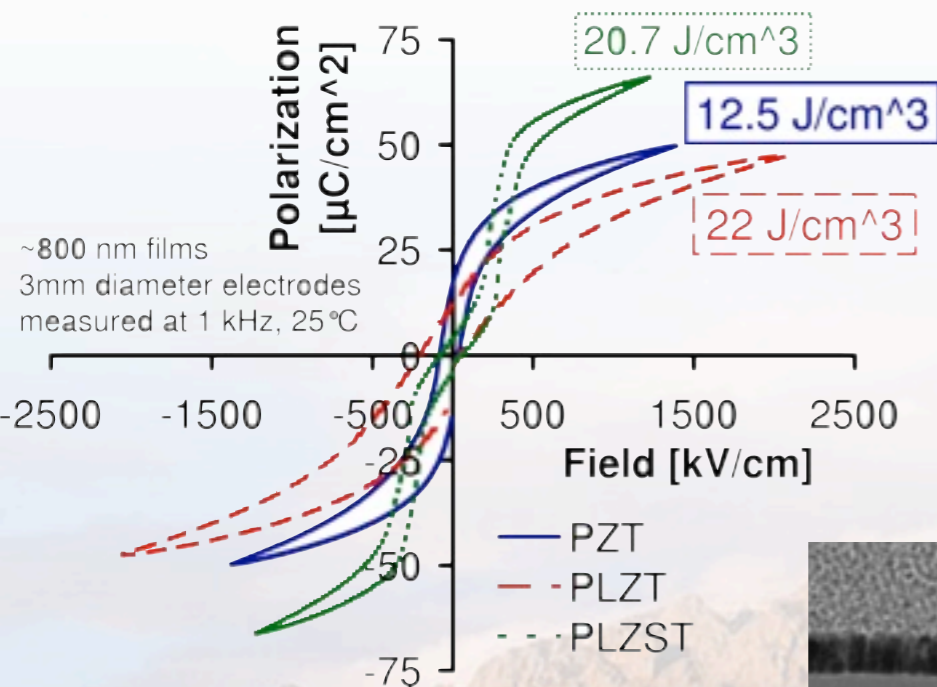
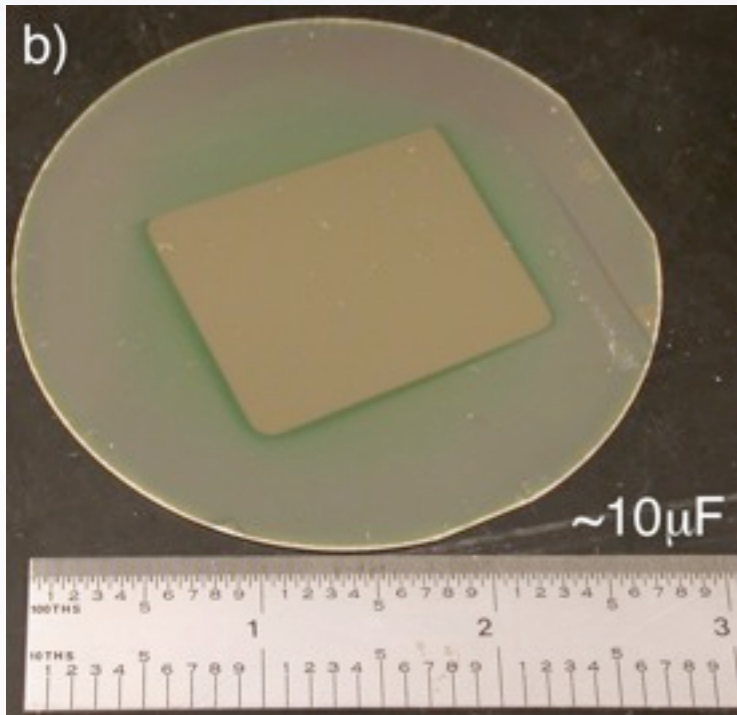


Functional Structures

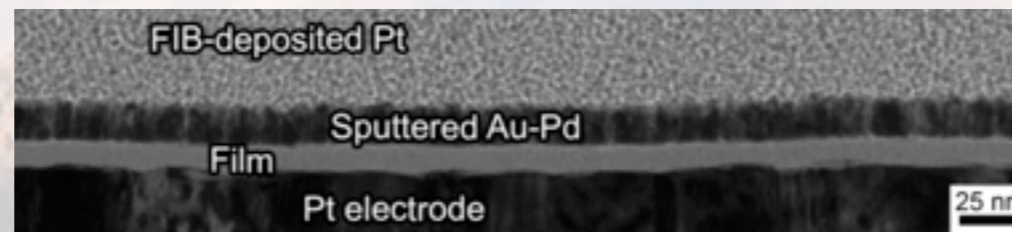


Brennecka, et al., *J. Mater. Res.* (2008),
J. Am. Ceram. Soc. (2008, 2010)

Functional Structures



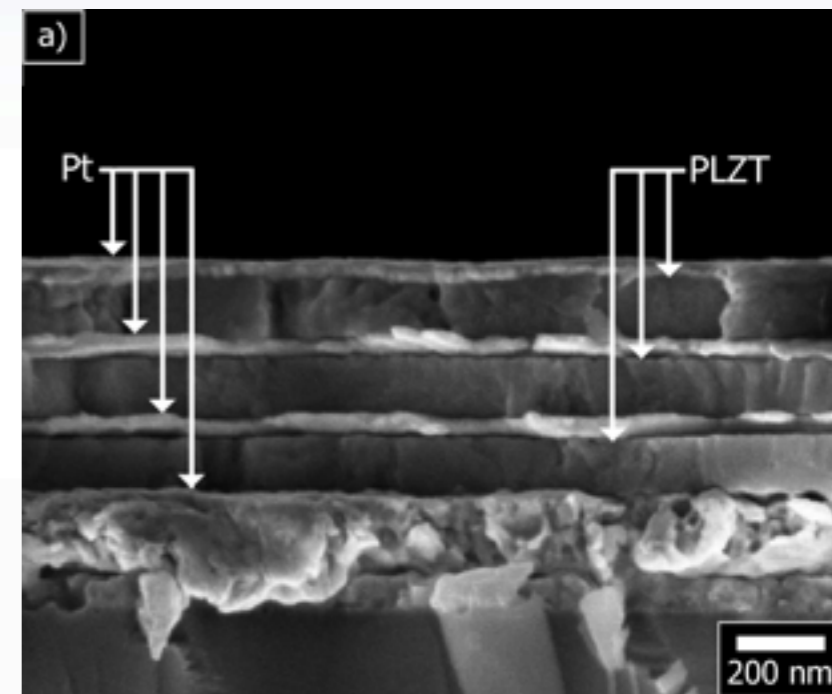
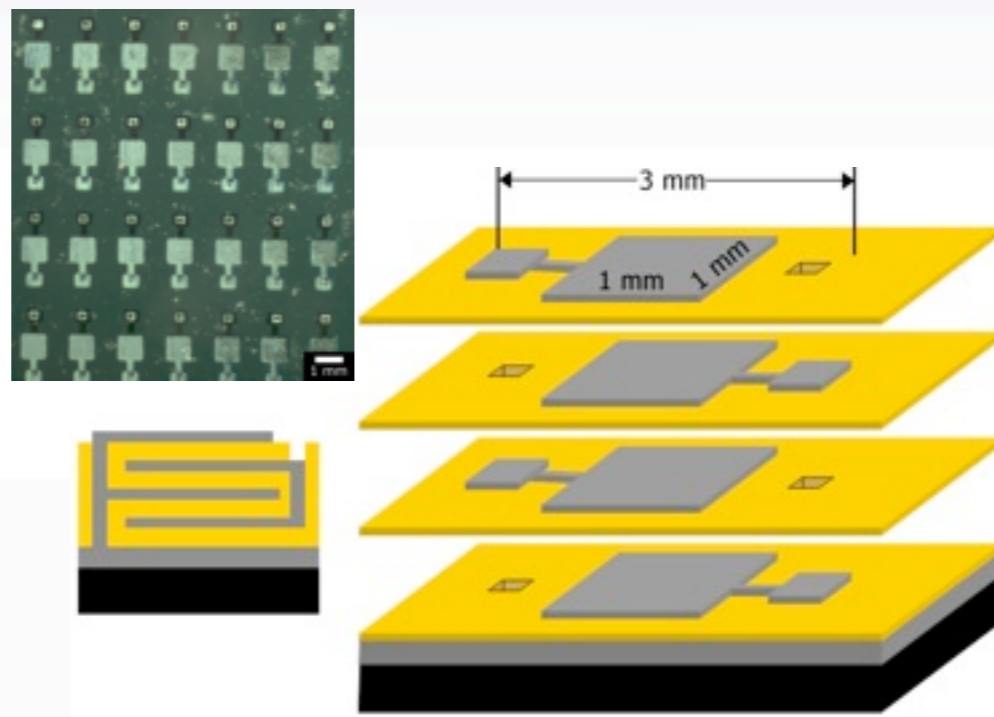
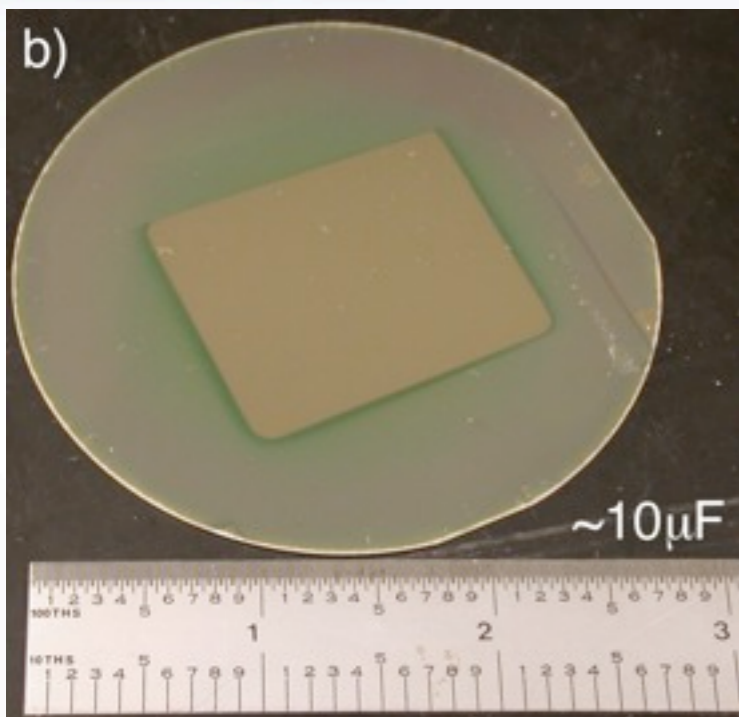
Ultimate thickness is limited by wetting/islanding behavior during deposition and crystallization



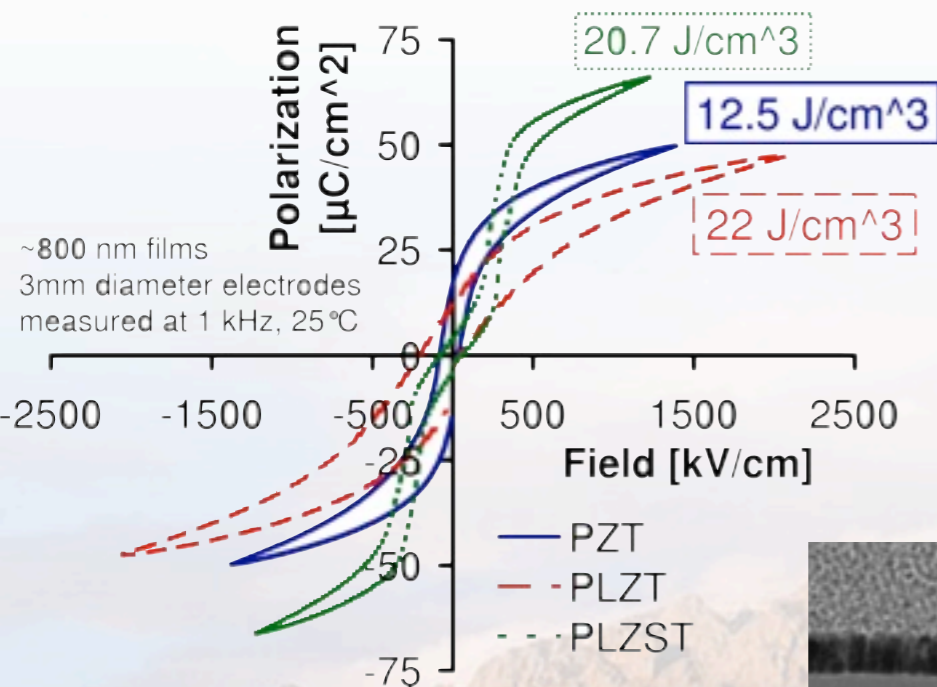
Continuous single-phase films as thin as 9nm

Brennecka, et al., *J. Mater. Res.* (2008),
J. Am. Ceram. Soc. (2008, 2010)

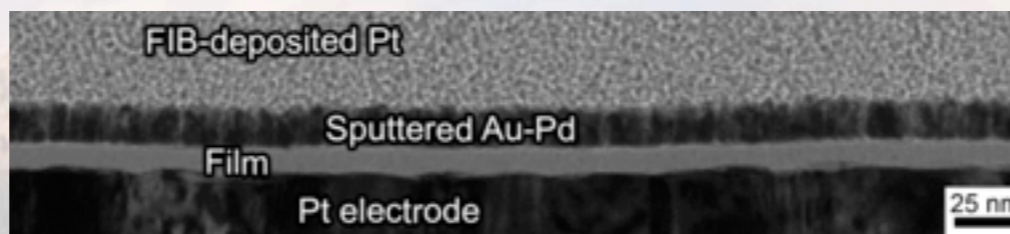
Functional Structures



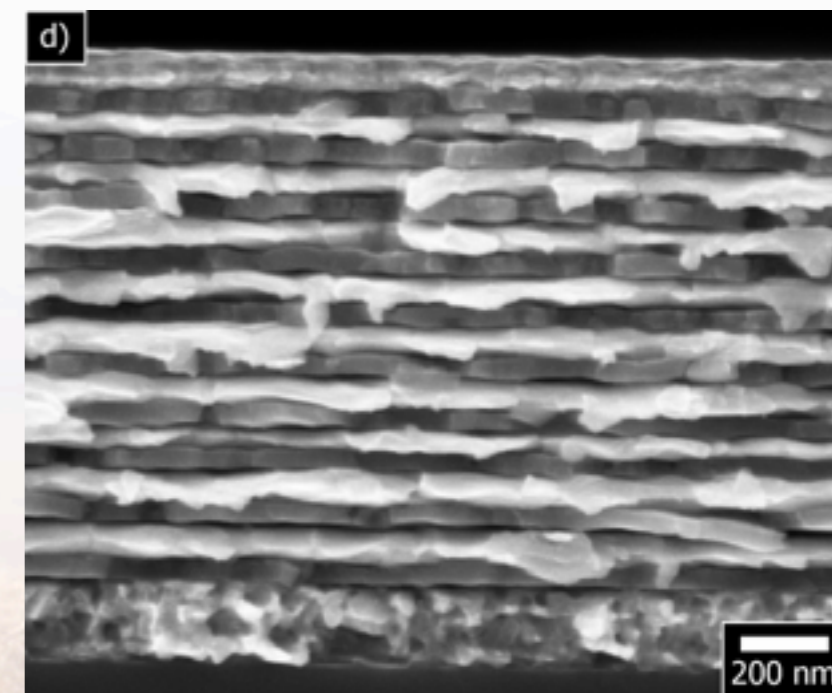
3 layers, ~120nm



Ultimate thickness is limited by wetting/islanding behavior during deposition and crystallization



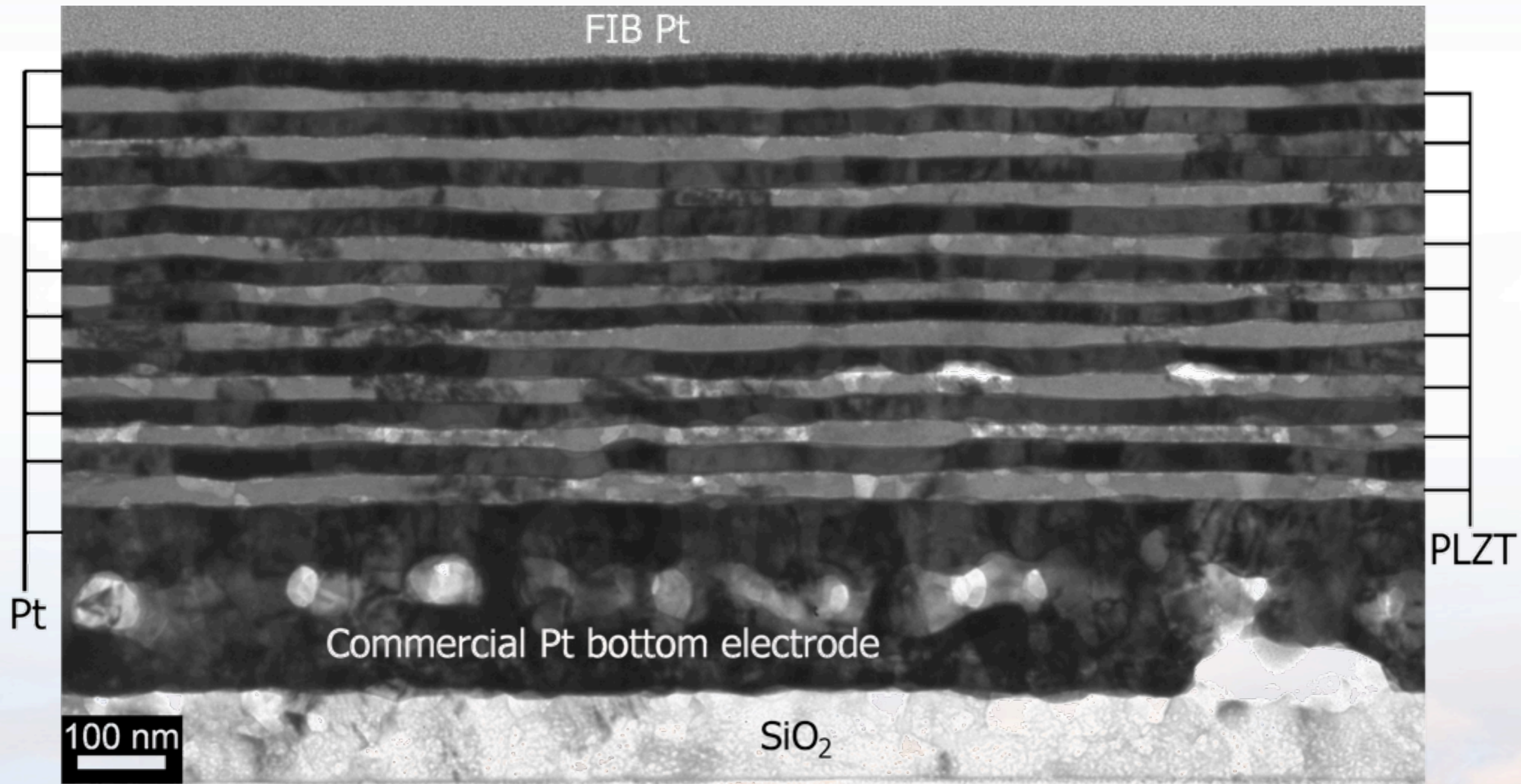
Continuous single-phase films as thin as 9nm



10 layers, ~50nm

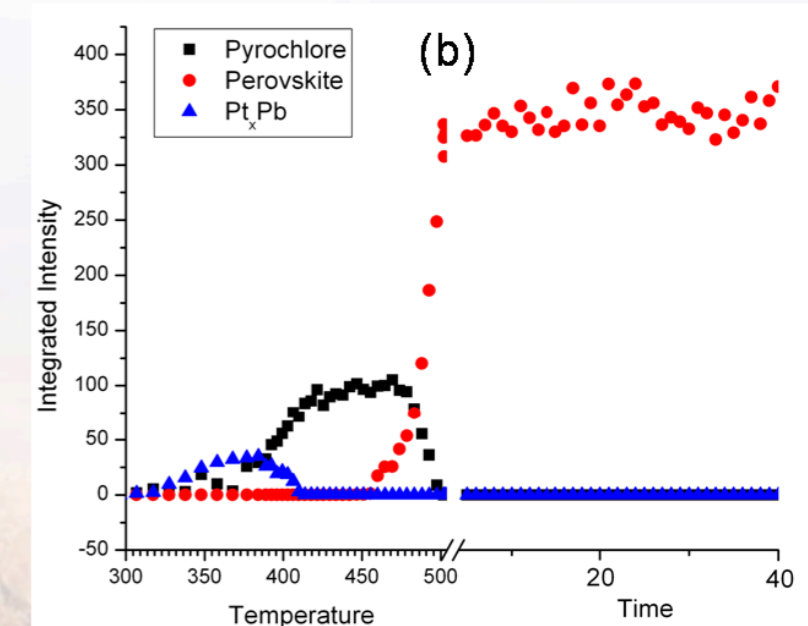
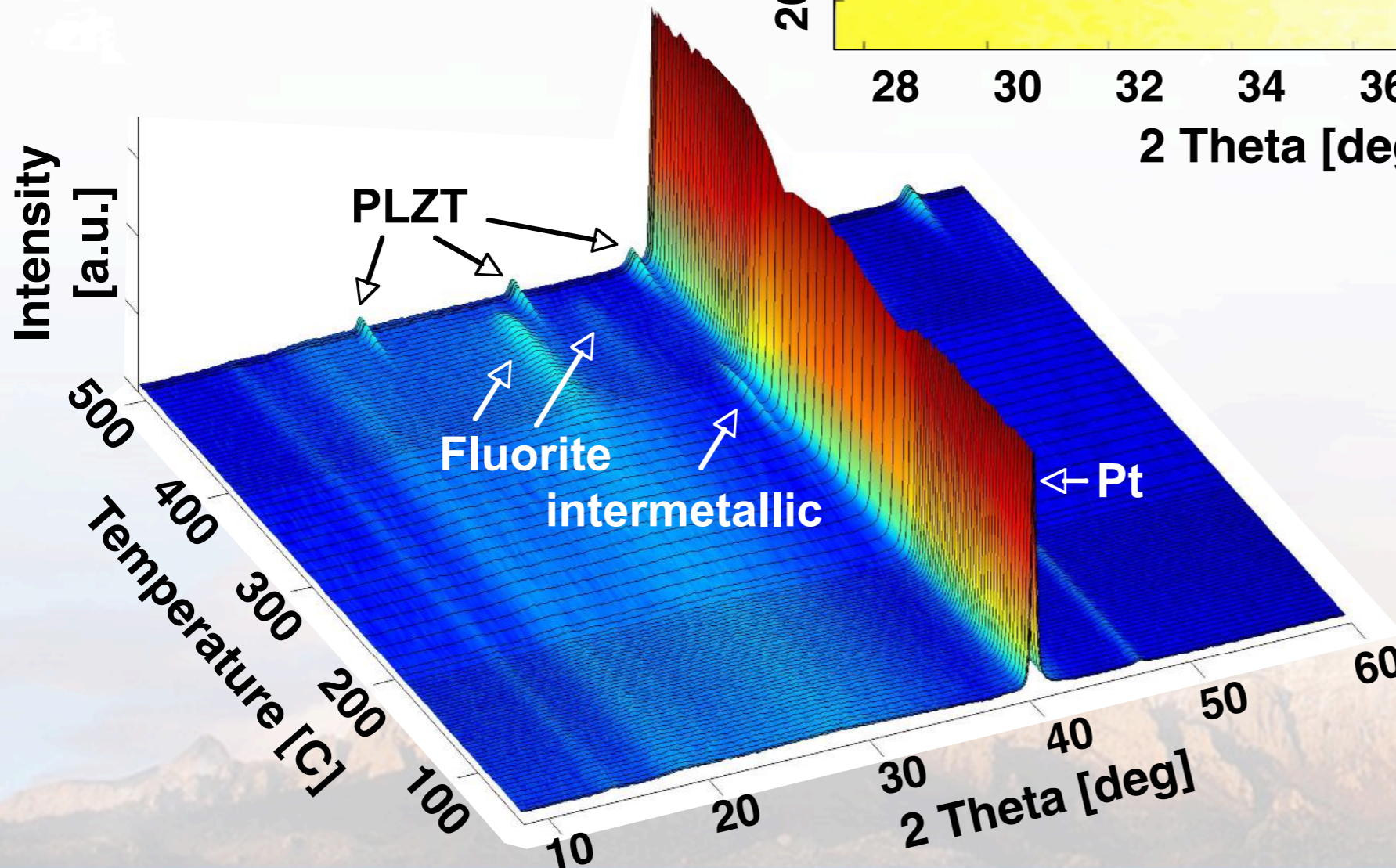
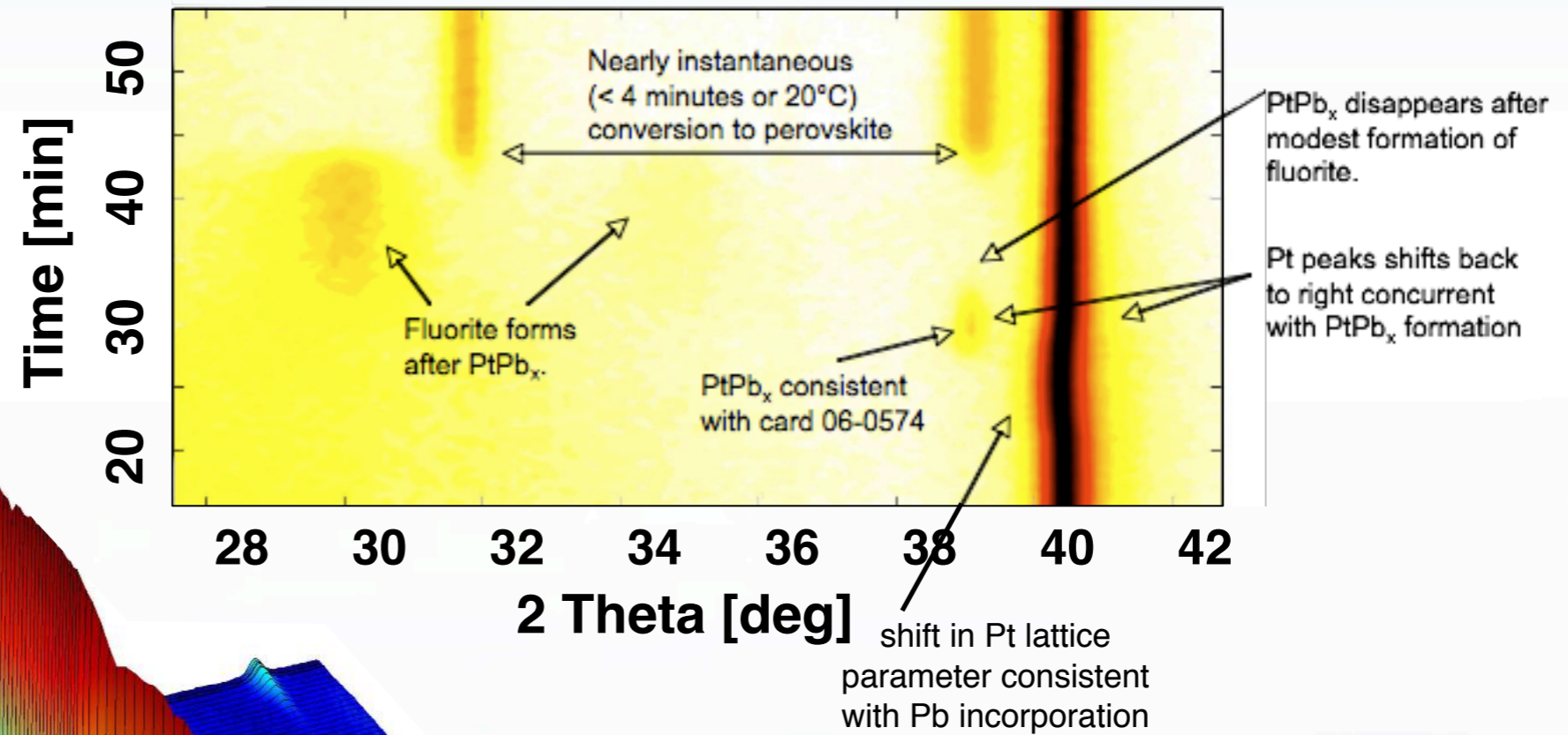
Brennecka, et al., *J. Mater. Res.* (2008),
J. Am. Ceram. Soc. (2008, 2010)

9 Dielectric Layers, ~20nm



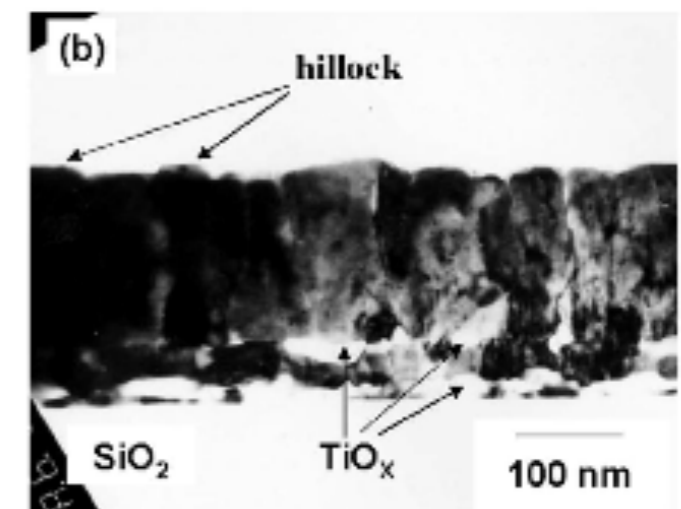
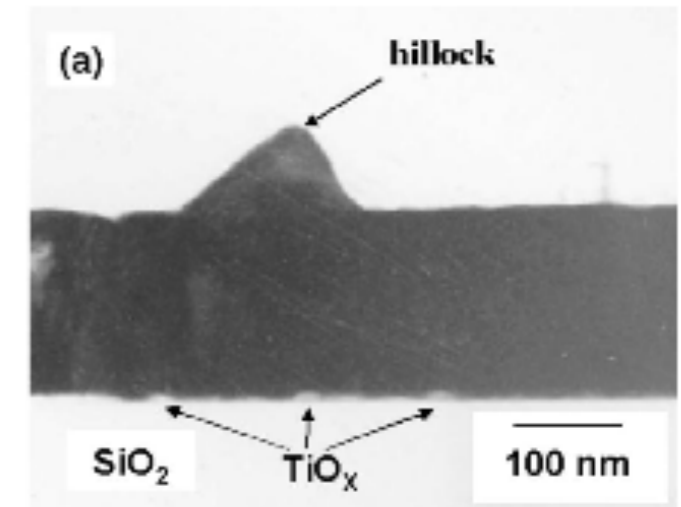
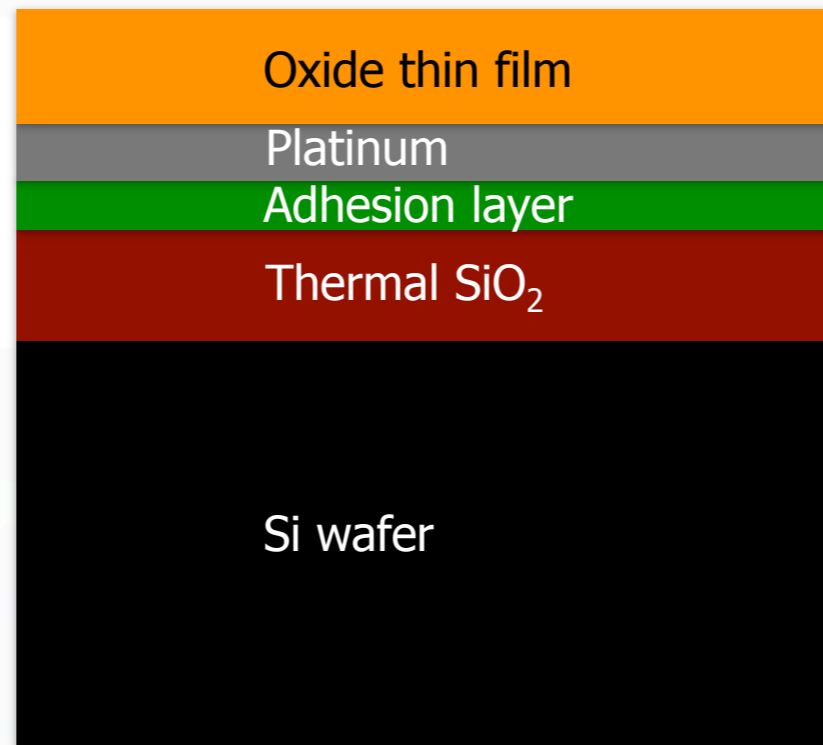
in-situ X-Ray Diffraction

Crystallization of PLZT thin film from a solution containing 20% XS Pb



Electrode Adhesion Layer

- Platinized Si is common substrate
 - 400 – 500 nm thermal SiO_2
 - 20 – 40 nm adhesion layer: typically Ti or TiO_2
 - 100 – 200 nm Pt



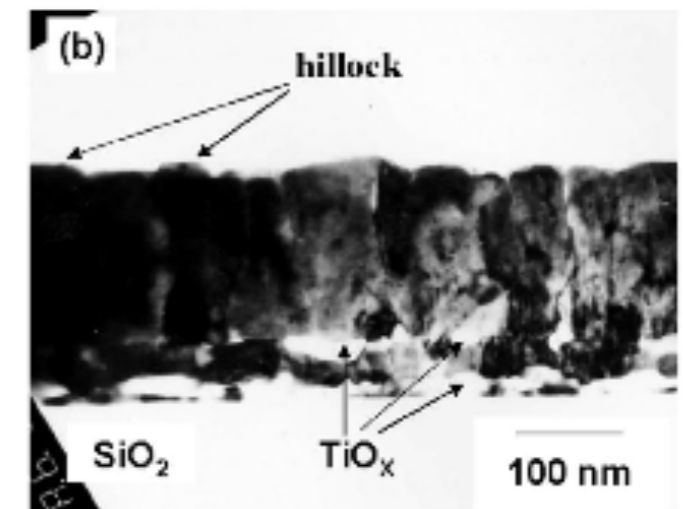
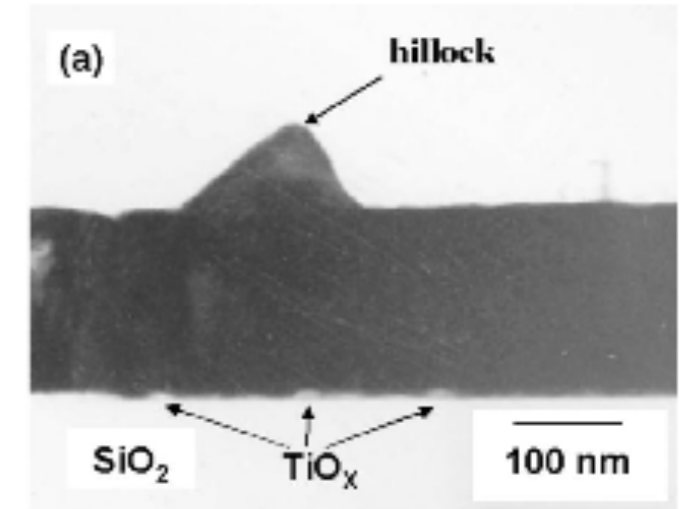
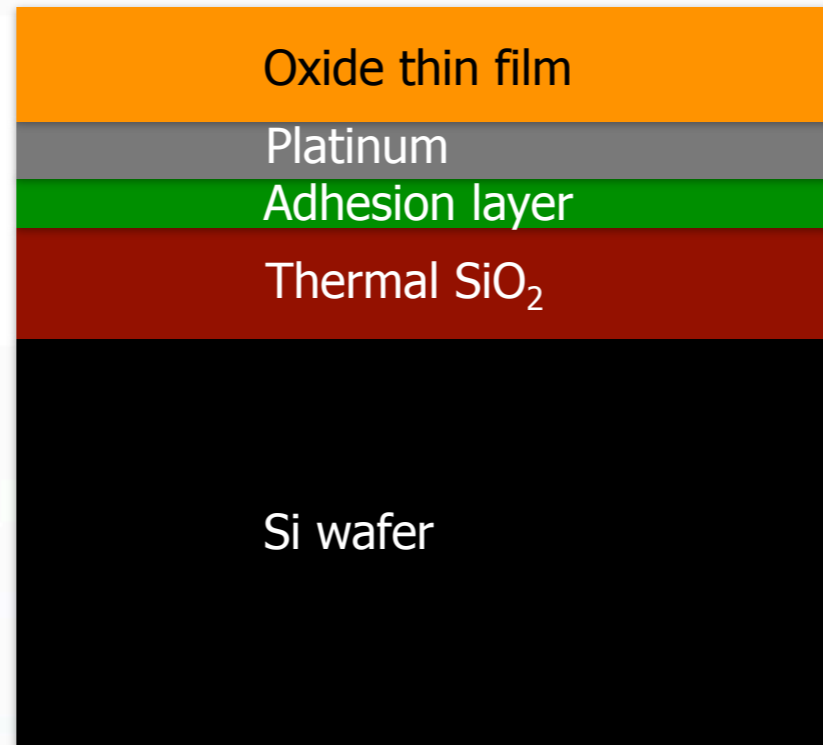
Electrode Adhesion Layer

- Platinized Si is common substrate

- 400 – 500 nm thermal SiO₂
- 20 – 40 nm adhesion layer: typically Ti or TiO₂
- 100 – 200 nm Pt

- Other adhesion layers:

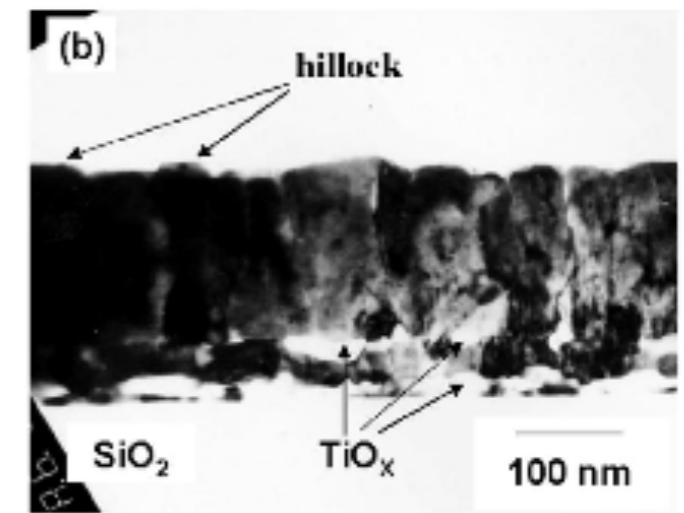
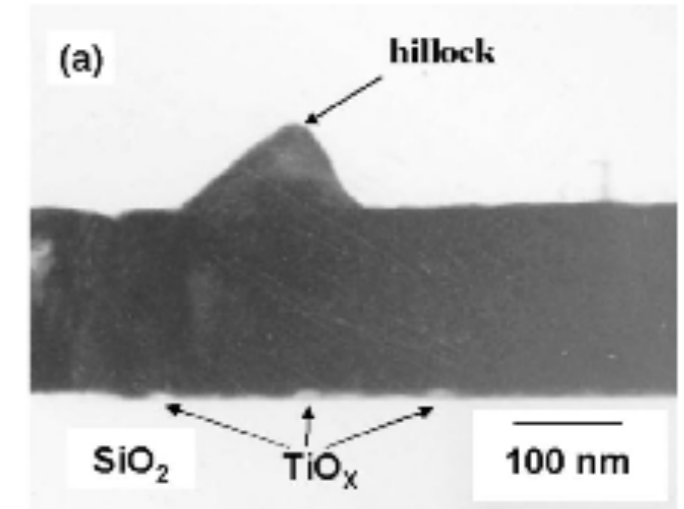
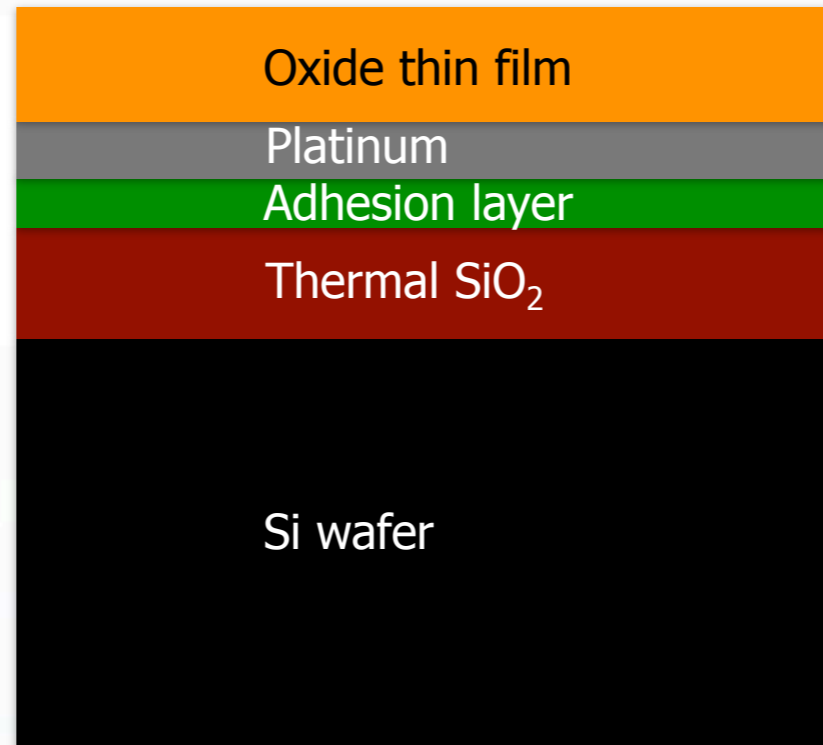
- Zr, ZrO₂ (Al Shareef et al., 1997, Zohni et al., 2008)
- Ta (Kissurska et al., 1995)
- Al₂O₃ (Halder et al., 2007)



Electrode Adhesion Layer

- Platinized Si is common substrate

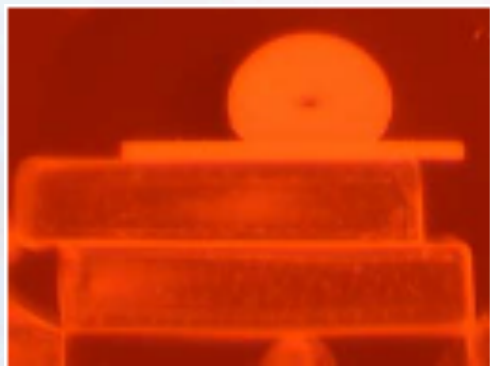
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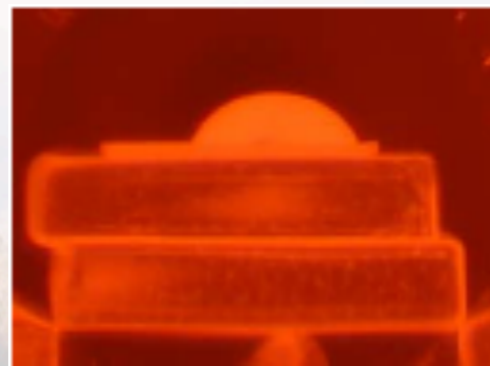
- Other adhesion layers:

- Zr, ZrO₂ (Al Shareef et al., 1997, Zohni et al., 2008)
- Ta (Kissurska et al., 1995)
- Al₂O₃ (Halder et al., 2007)

- Previous work has shown Cu wets ZnO very well:



Molten Cu on Al₂O₃



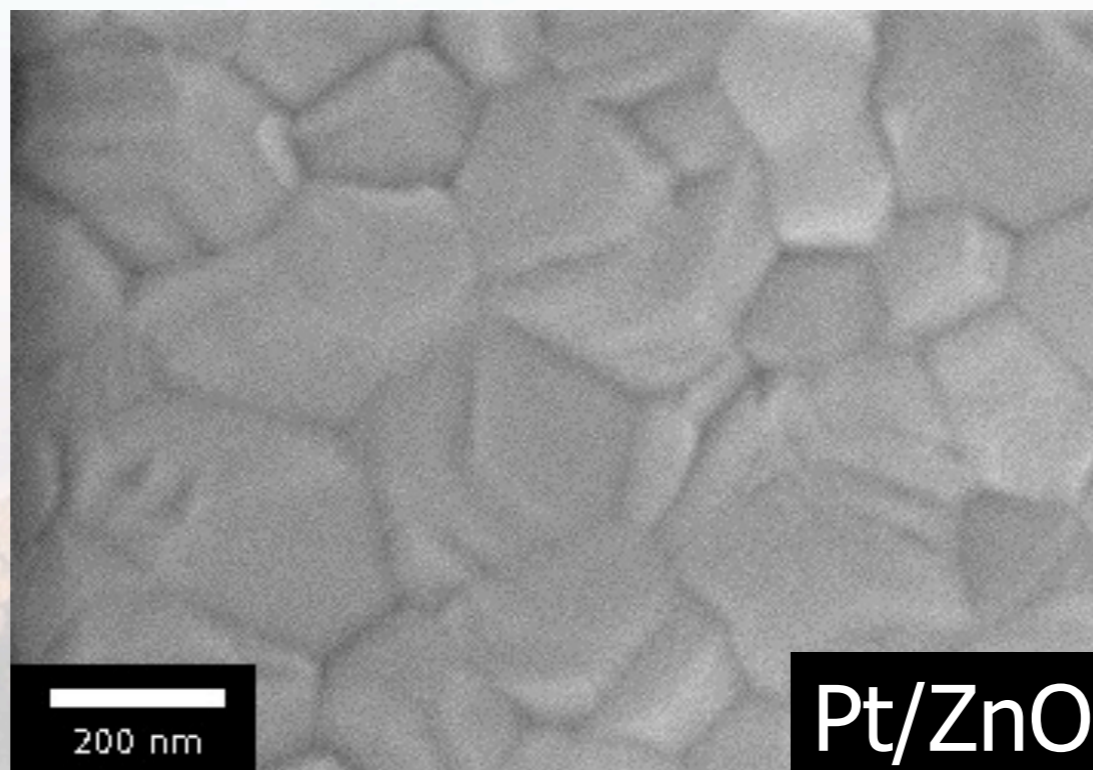
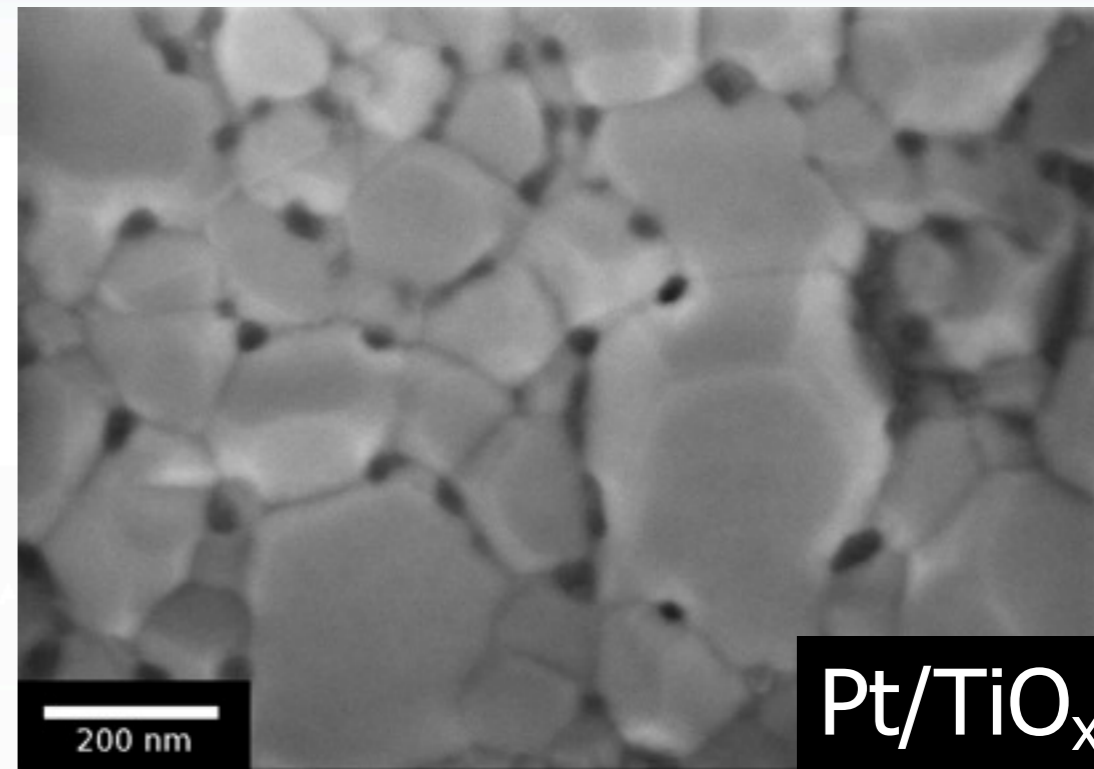
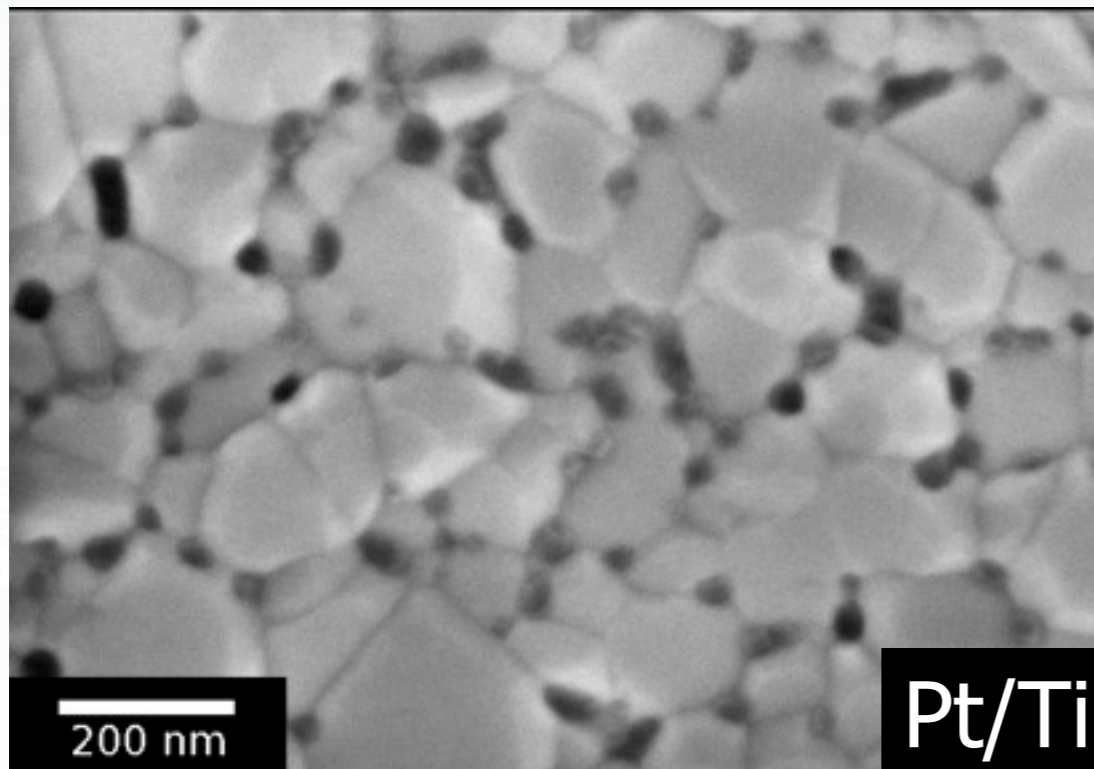
Molten Cu on ZnO

Substrate	Contact angle (°)	W _a (J/m ²)
Al ₂ O ₃	133 ± 6	0.480 ± 0.142
ZnO	62 ± 5	2.012 ± 0.097

B. Laughlin, Ph.D. thesis, NCSU 2006

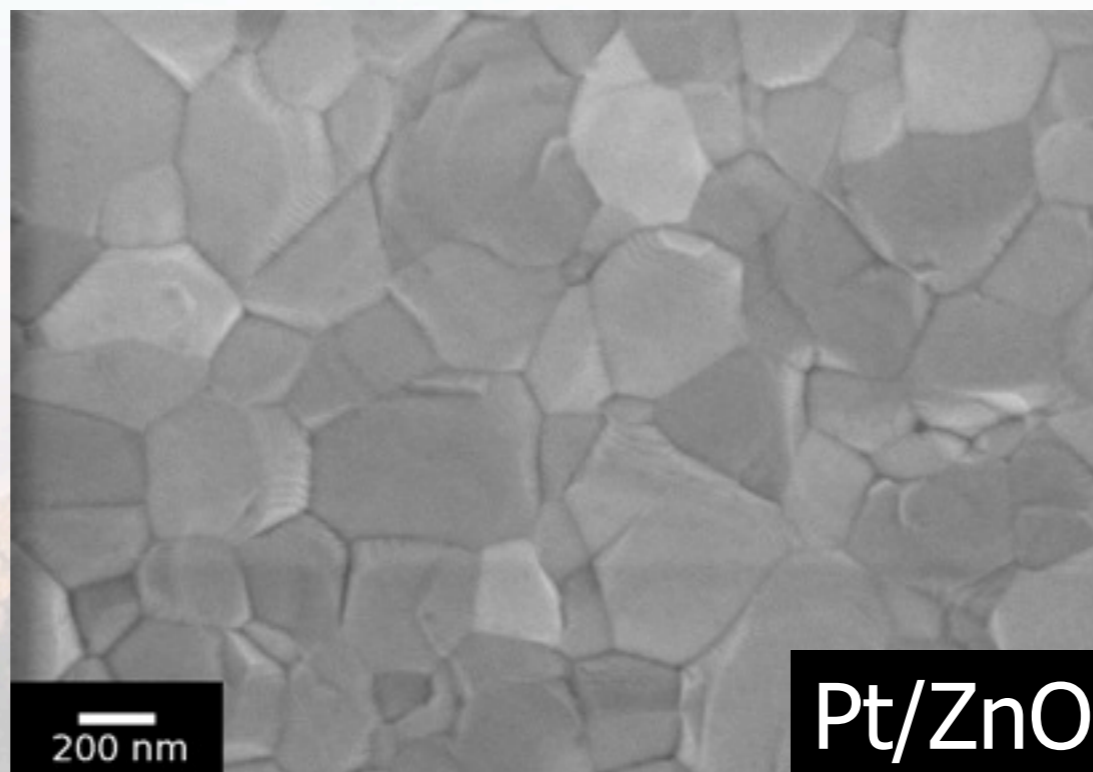
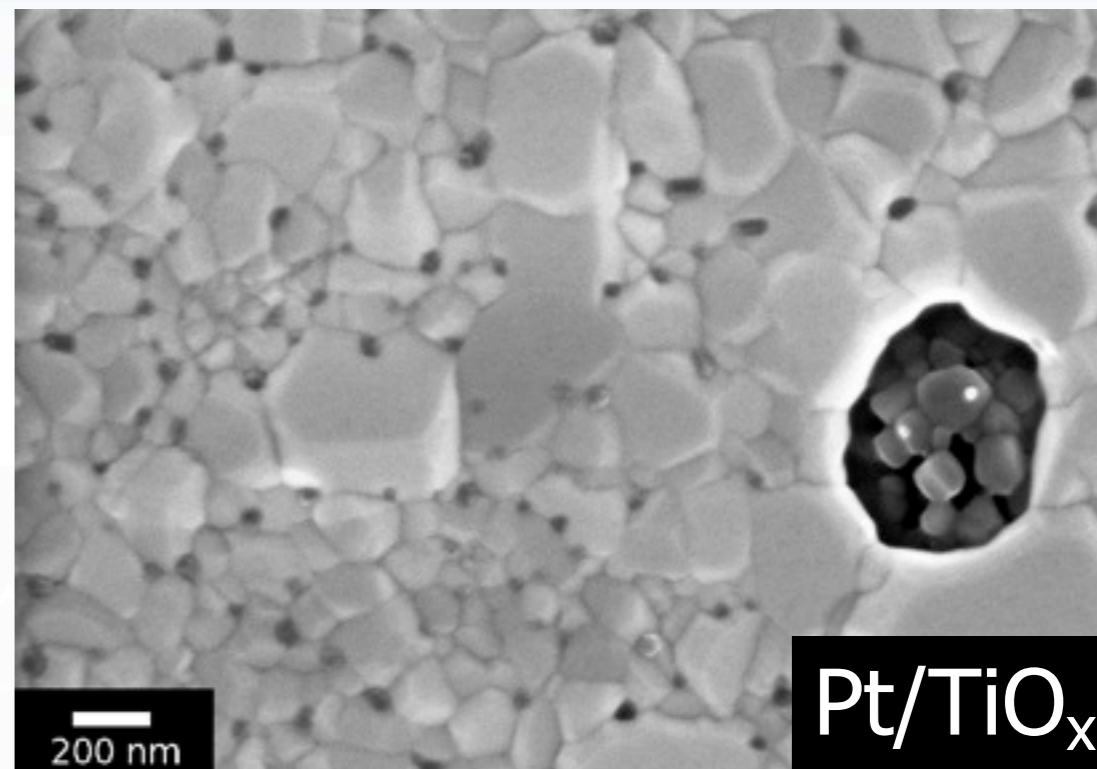
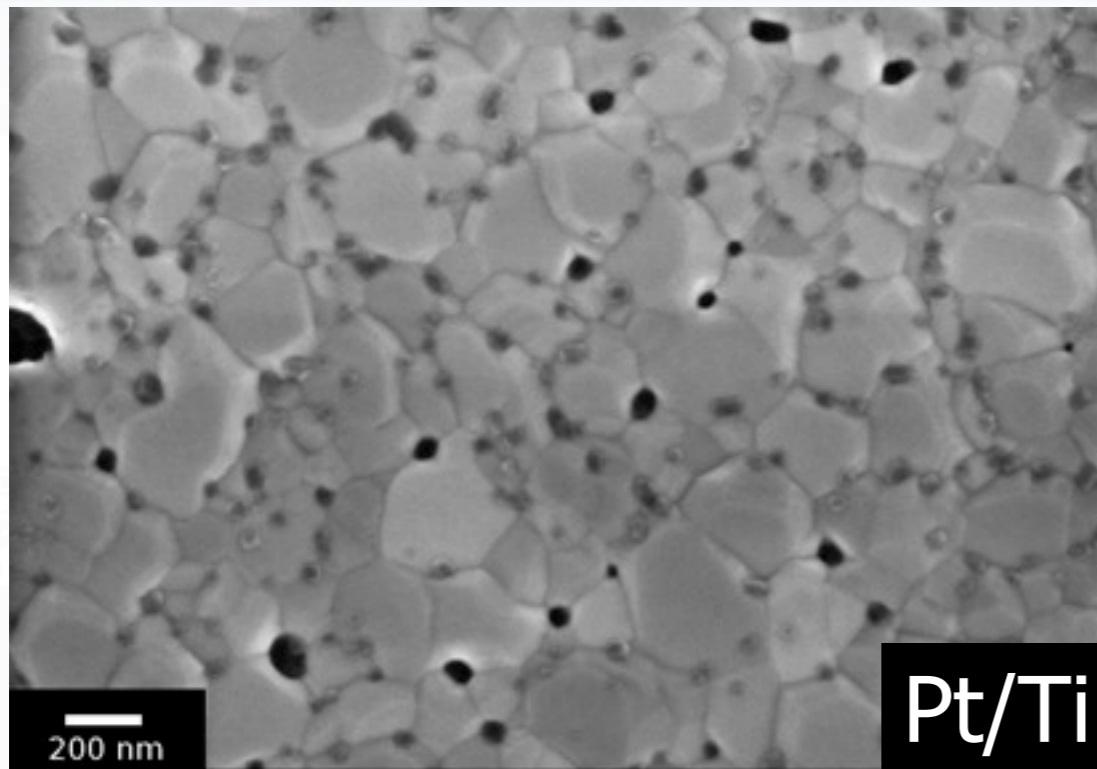


700°C



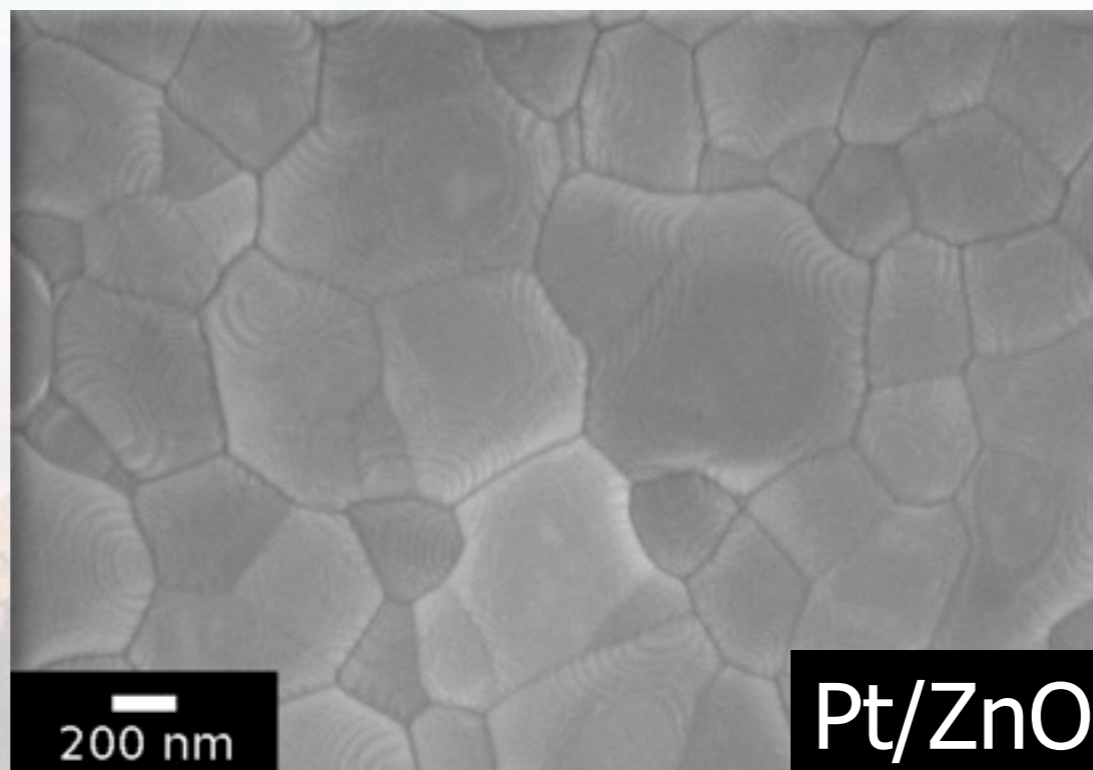
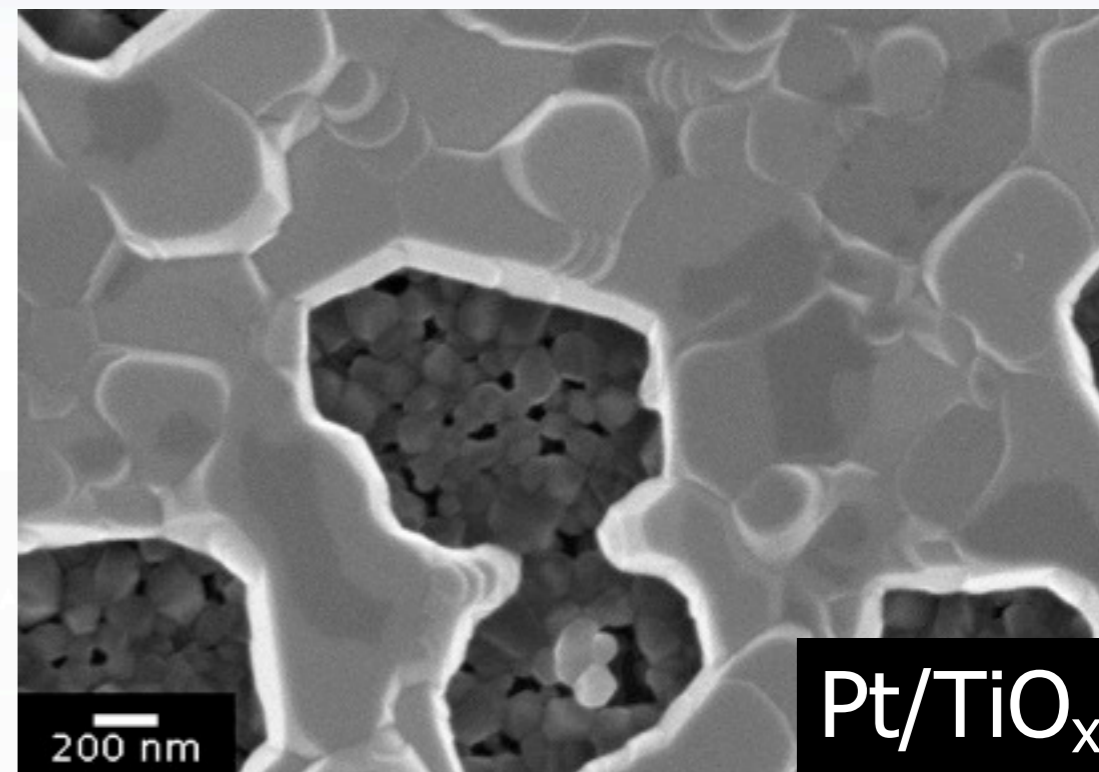
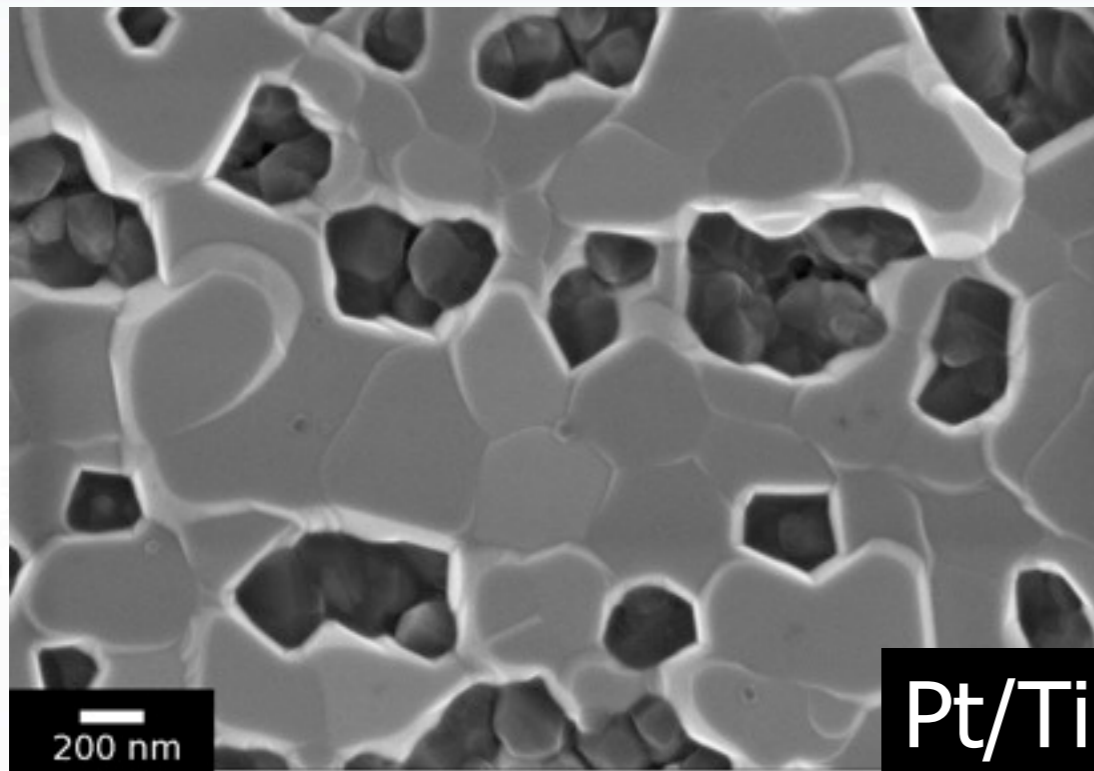


800°C



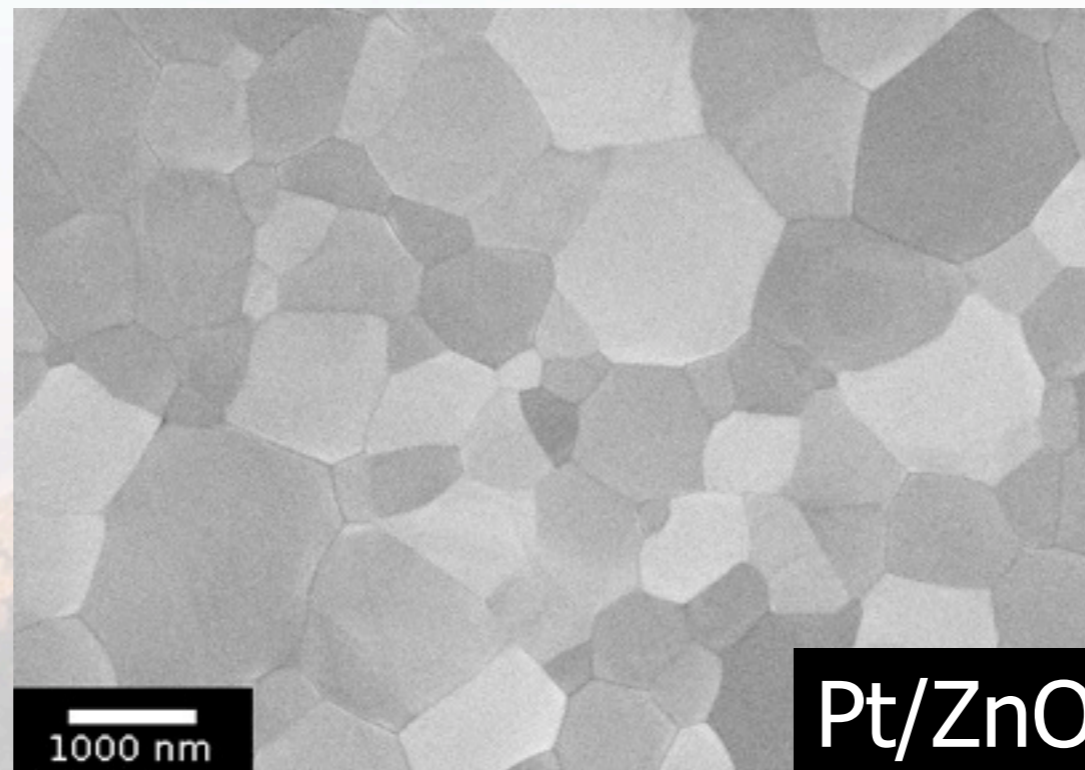
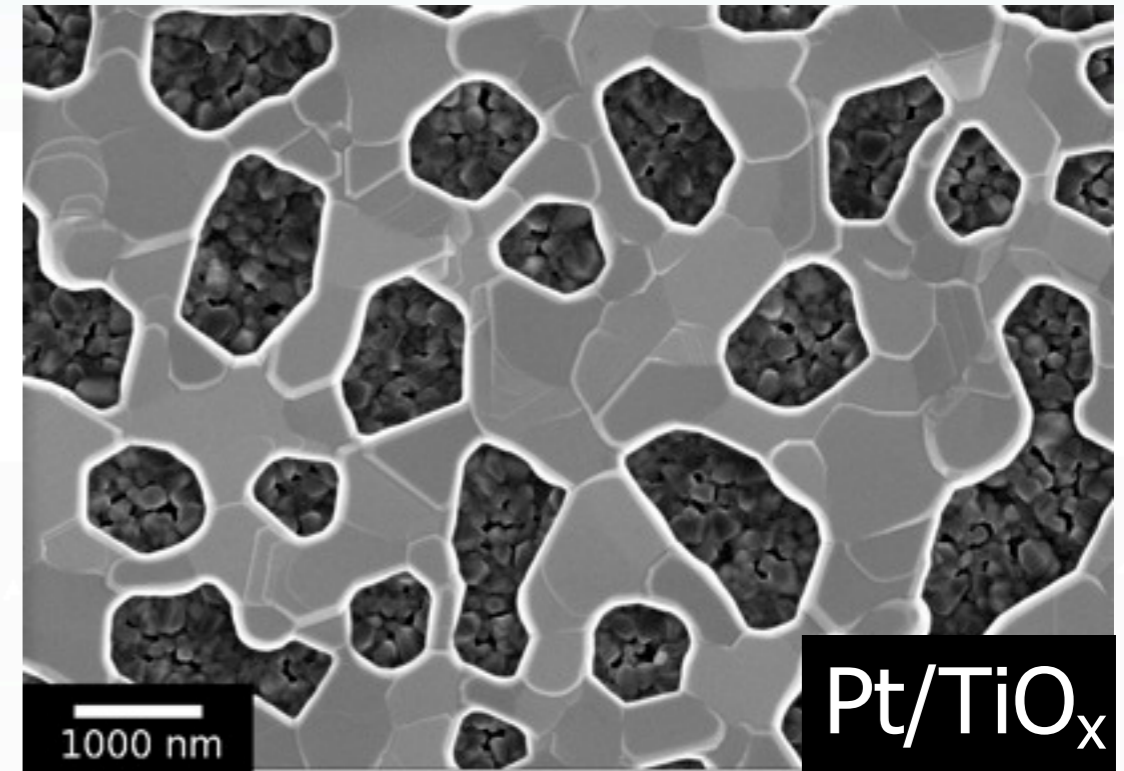
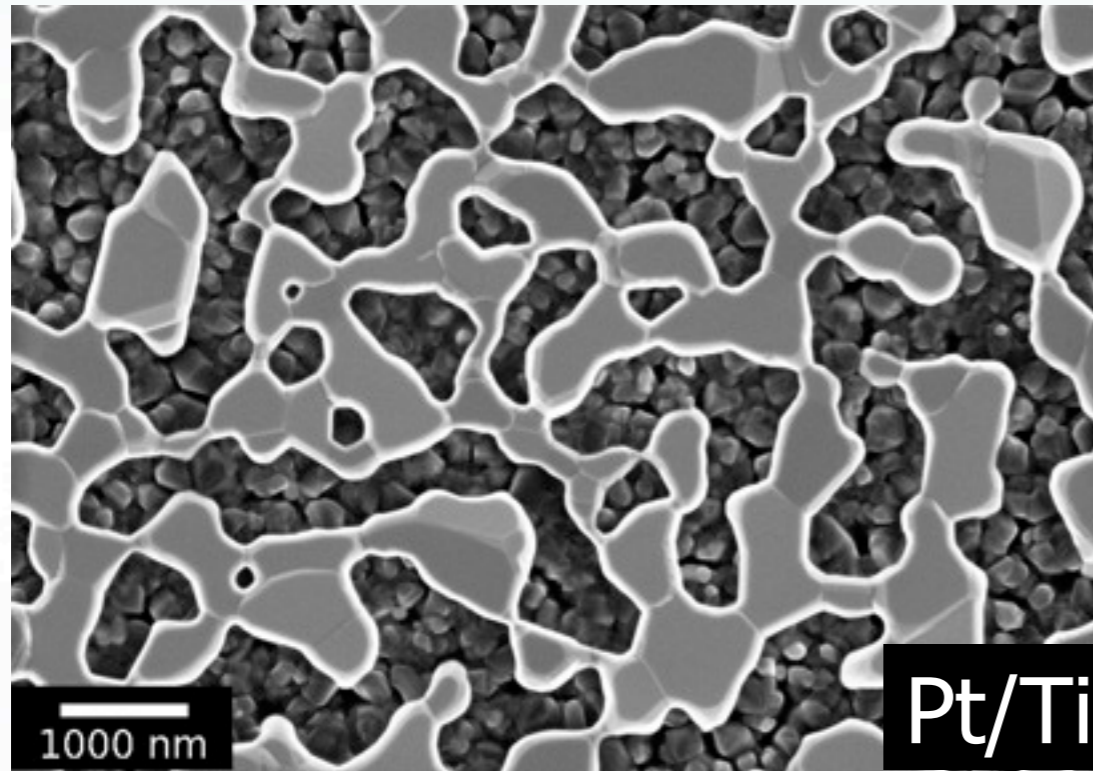


900°C



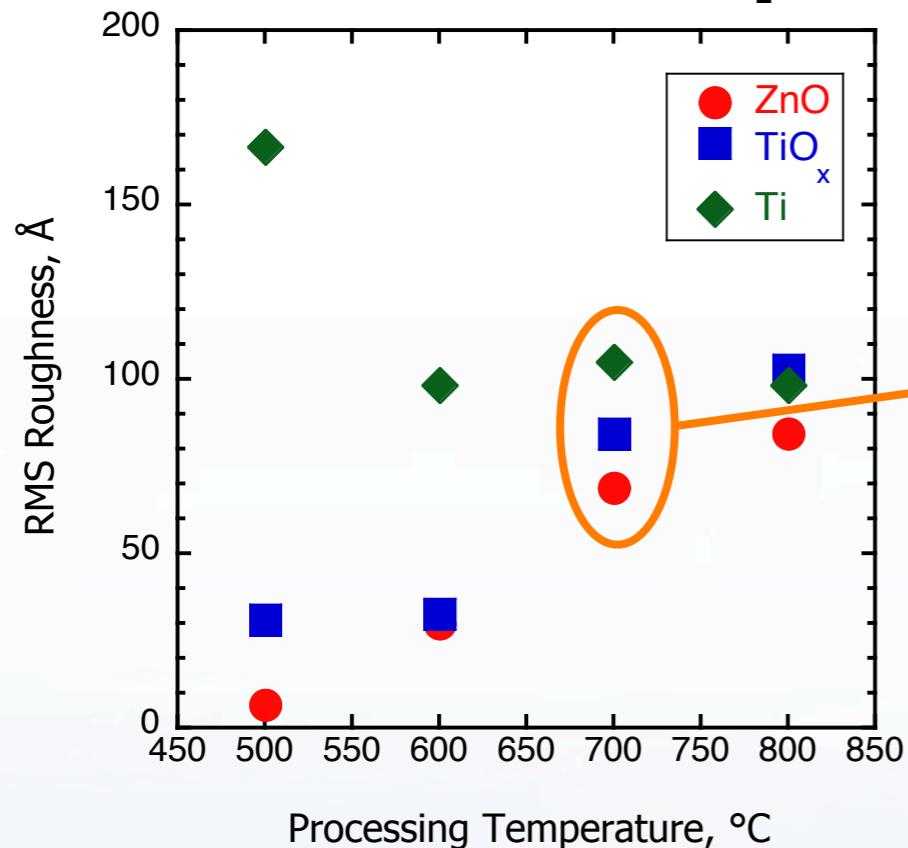


1000°C

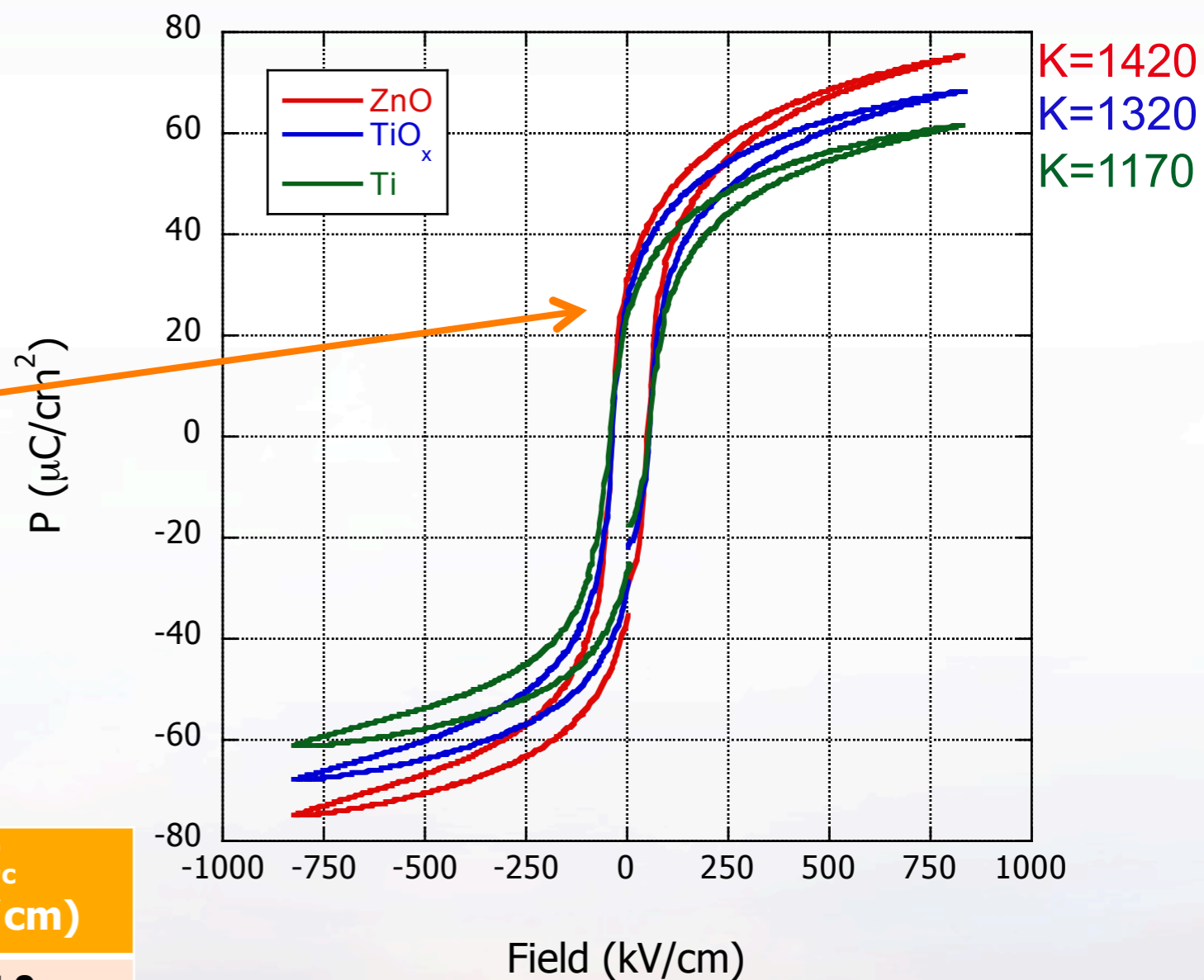


Ferroelectric properties

RMS Roughness of 100 nm thick Pt films on 40 nm of buffer layer/400nm SiO₂/silicon



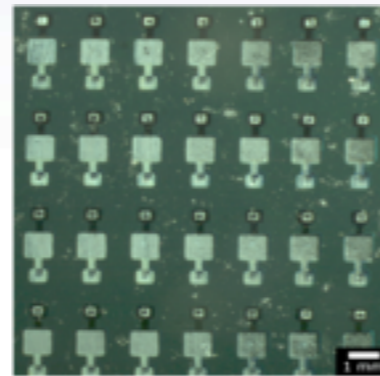
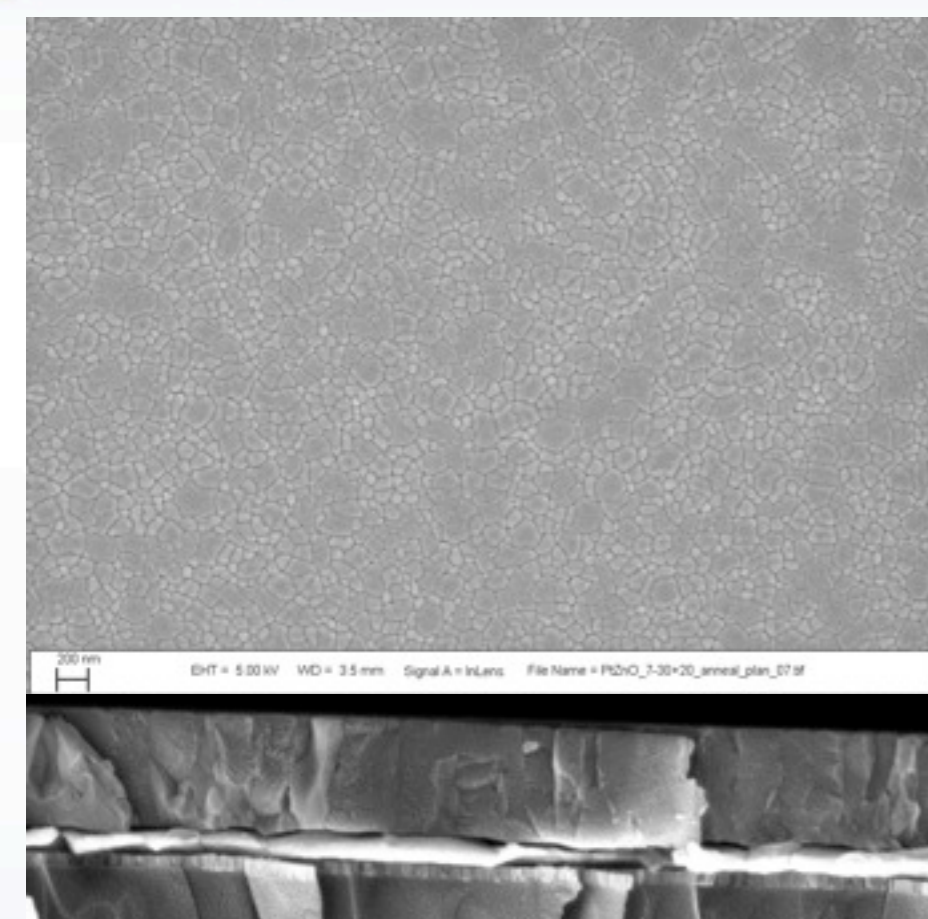
PZT 52/48 on various adhesion layers



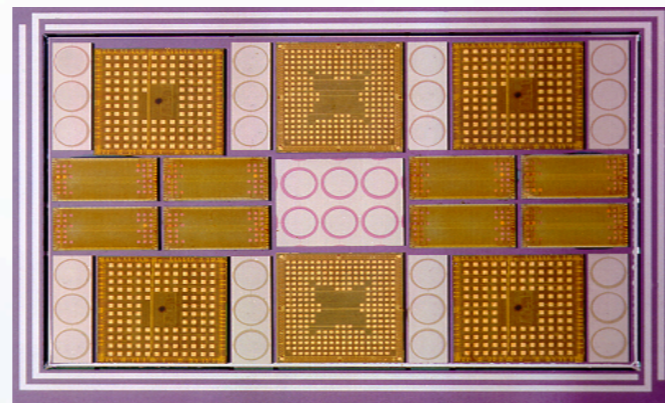
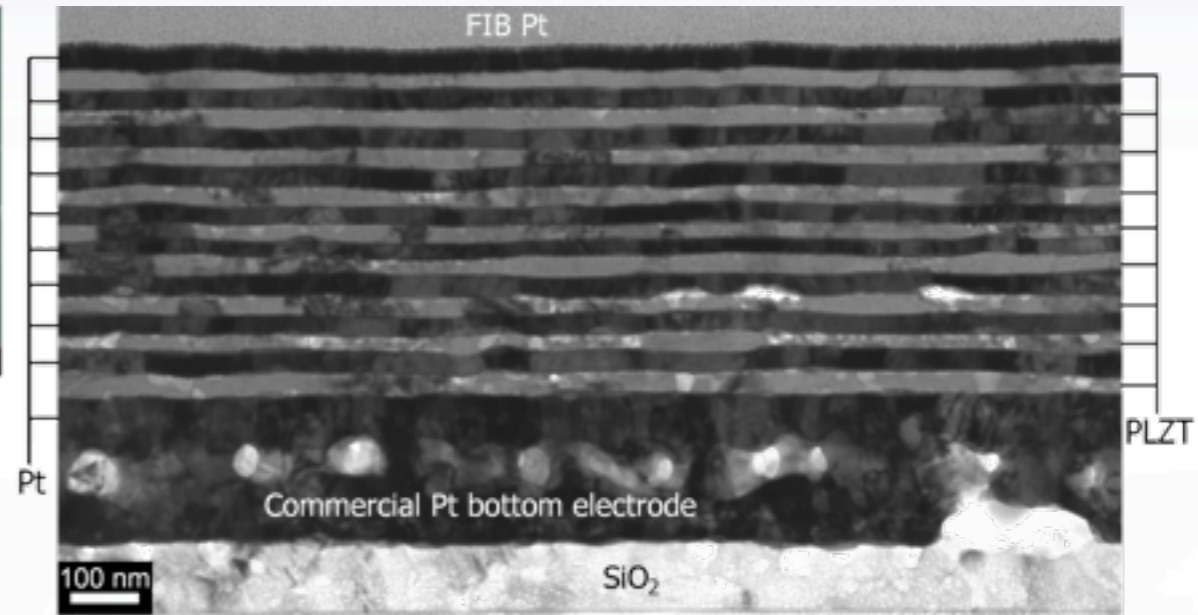
	P_{max} (800kV/cm) (μC/cm²)	P_r (μC/cm²)	E_c (kV/cm)
Pt/Ti	61.5	23.8	47.0
Pt/TiO_x	68.2	27.0	44.1
Pt/ZnO	75.3	32.2	43.8

Also, BaTiO₃ films on Pt/Si with K>1500

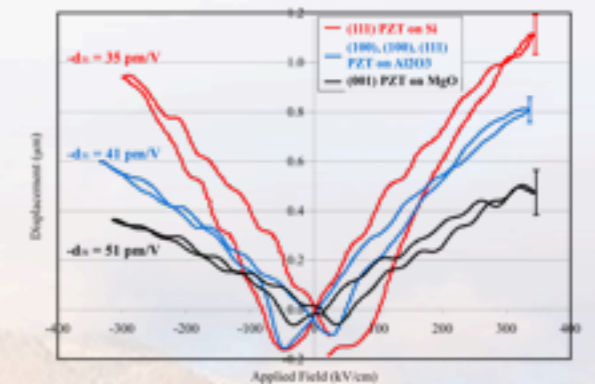
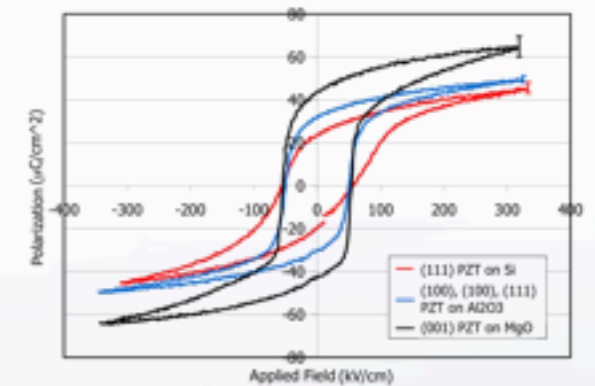
Functional Solution-Derived Ferroelectric Thin Films



Functional PZT-based multilayer capacitor structures

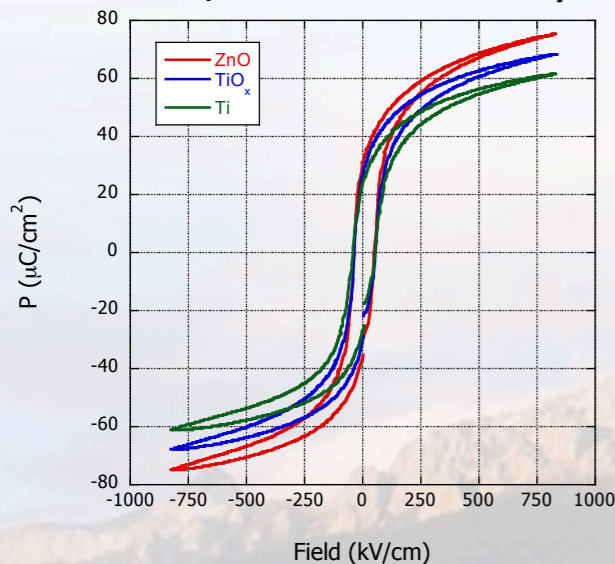


Multichip module with PZT thin-film capacitor arrays

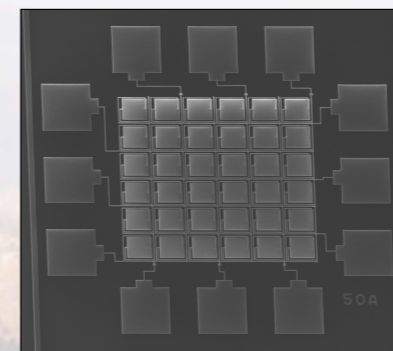


Ferro/Piezoelectric response tuned through thermal strain

PZT 52/48 on various adhesion layers



PZT-MEMs piezo cantilever beam for energy harvesting



Pyroelectric pixels w/aerogel insulation

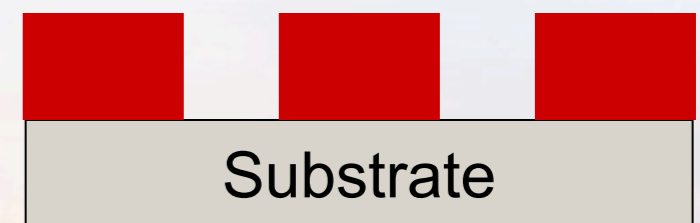
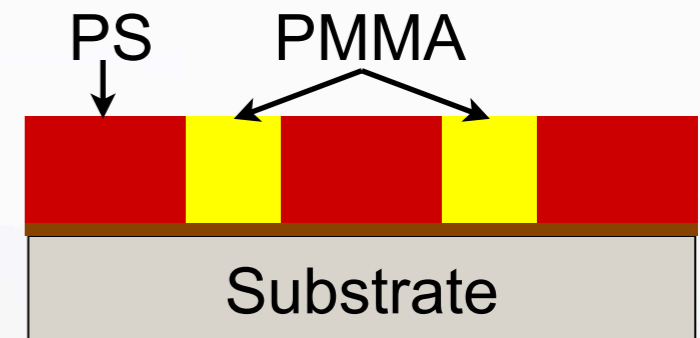
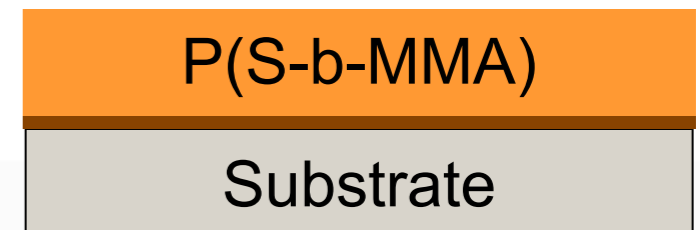
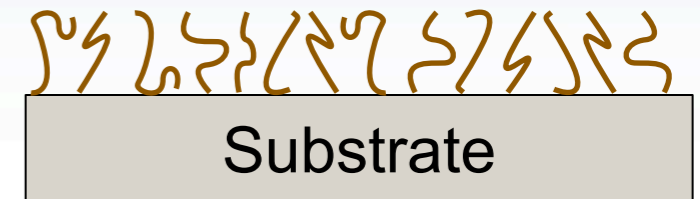
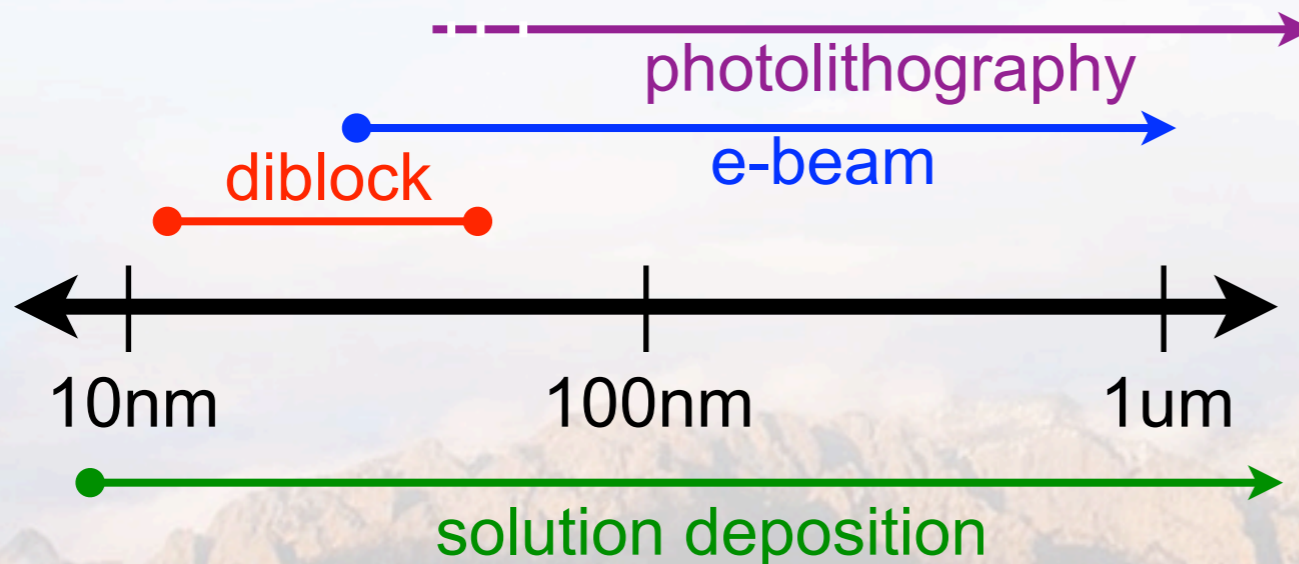


Patterning

- **Continuous films are very limited in function**
- **Difficulties of etching PZT-based films**
 - Access to tools...
 - Property/reliability degradation
- **Alternative approaches to patterning/integration**
 - Direct write
 - Microcontact printing
 - Various transfer techniques
 - PZT-friendly lithography

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Guarini, K W, et. al., *J. Vac. Sci. & Tech. B*, 2001, **19** (6), 2784-2788



Sub-22nm Lithographic Options

Extreme Ultraviolet Lithography (EUVL)

13.2 nm soft x-ray source power

- (+) high-resolution resist development
- (-) poor Line Edge Roughness (LER)
- (-) complex, **costly**

Mask-less Lithography (ML2)

- (+) high resolution electron-beam, ion-beam
- (-) slow serial process, **costly, charge build-up**

Interference Lithography (IL)

- (+) rapid, large area, parallel process
- (+) **low cost** (rapid, large area, maskless)
- (+) tunable symmetry, period, motif
- (-) layer alignment & spatial pattern variation difficult

Directed Self-assembly (DSA)

- (+) alignment to pre-pattern gives long-range order
periodicity set by size of blocks
- (+) pattern rectification and density multiplication
- (-) slow process with many steps

Nano-Imprint Lithography (NIL)

- (+) long-range order set by master
- (-) overlay can be difficult
- (+) high resolution
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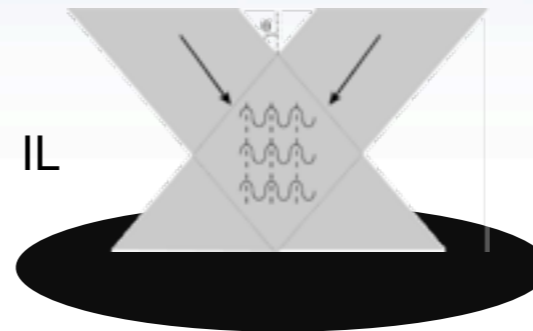
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IL pattern



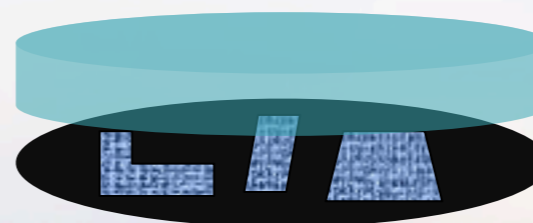
BCP DSA



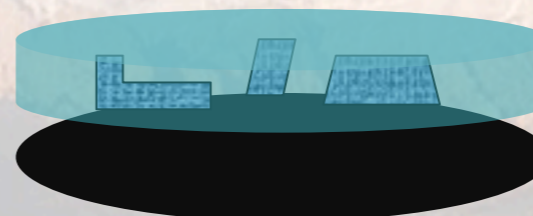
etch



transfer to NIL



NIL to die



IL-defined chemical pre-patterns

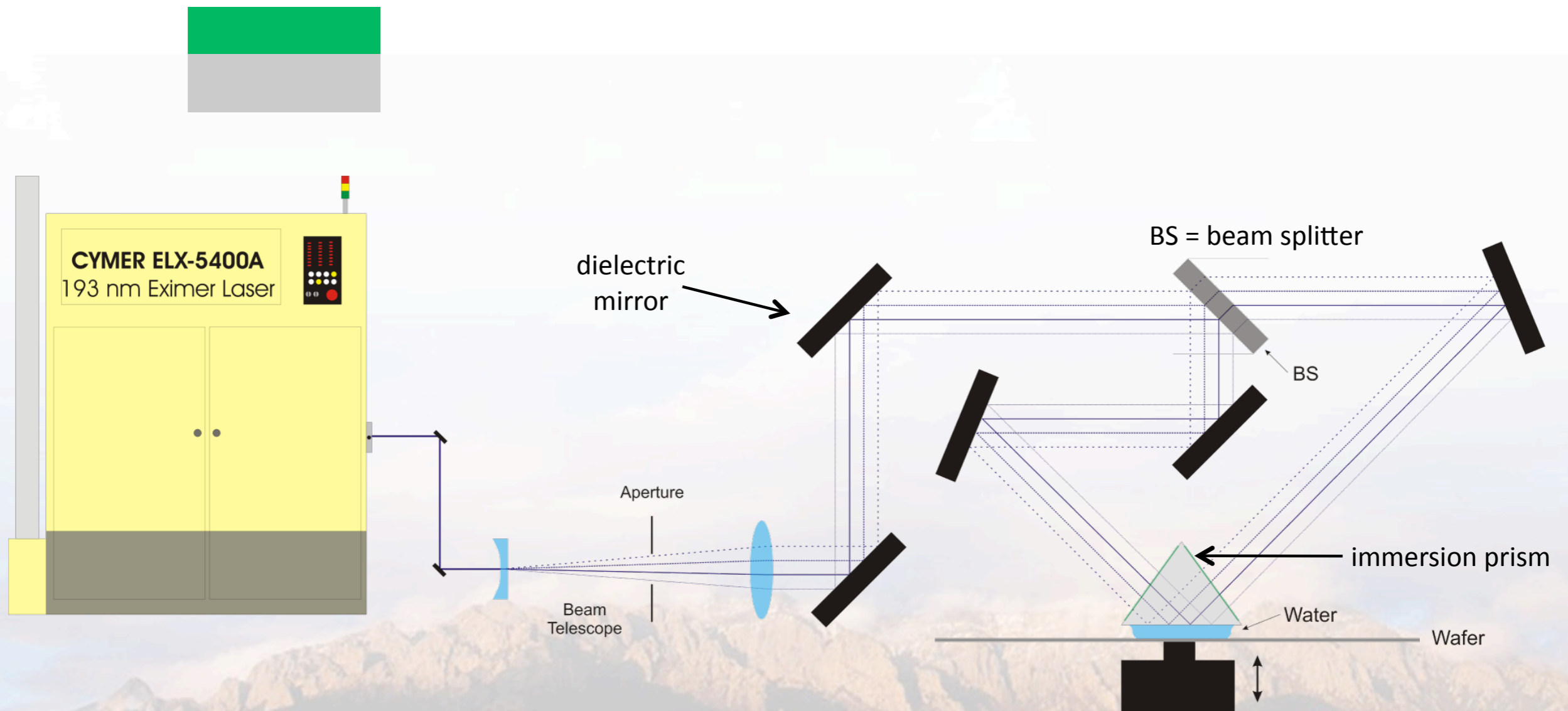
- 60-90 nm pitch, ~4 cm² areas

BCP Directed Self Assembly

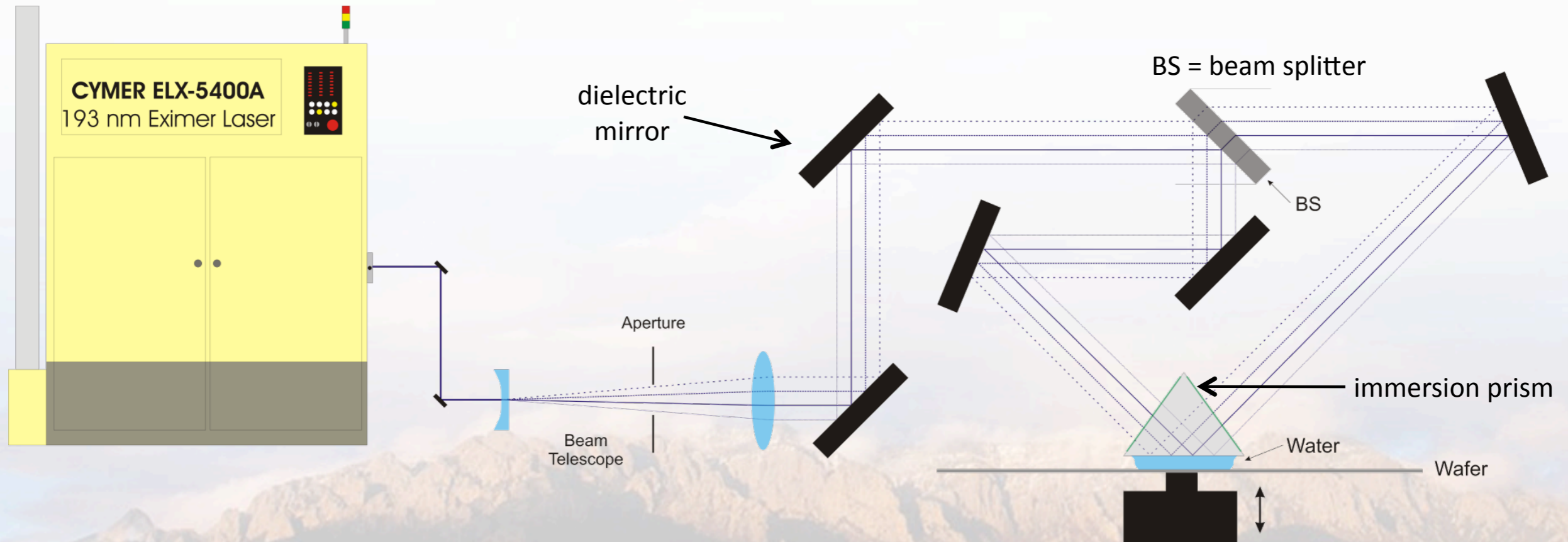
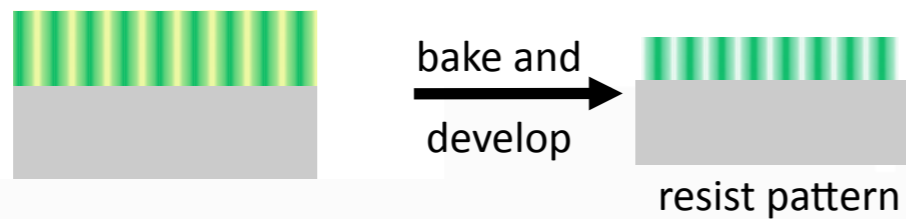
- 20-30 nm pitch device patterns
- 10-50 nm CDs
- Half-pitch to ~11 nm over ~4 cm² areas

Pattern transfer to create Nano-Imprint lithography (NIL) device masters

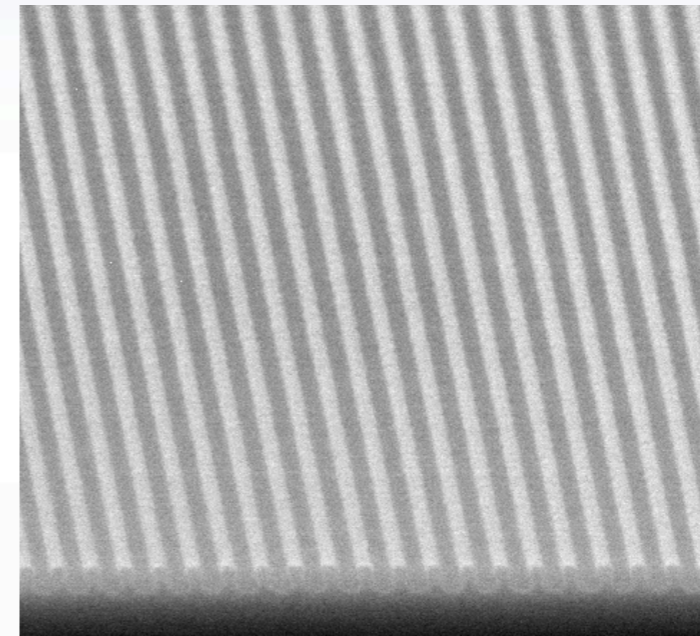
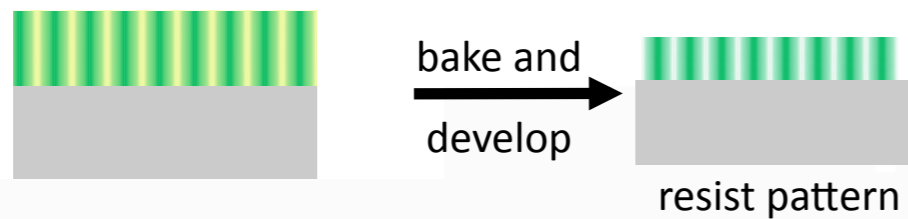
Optical Interference Lithography



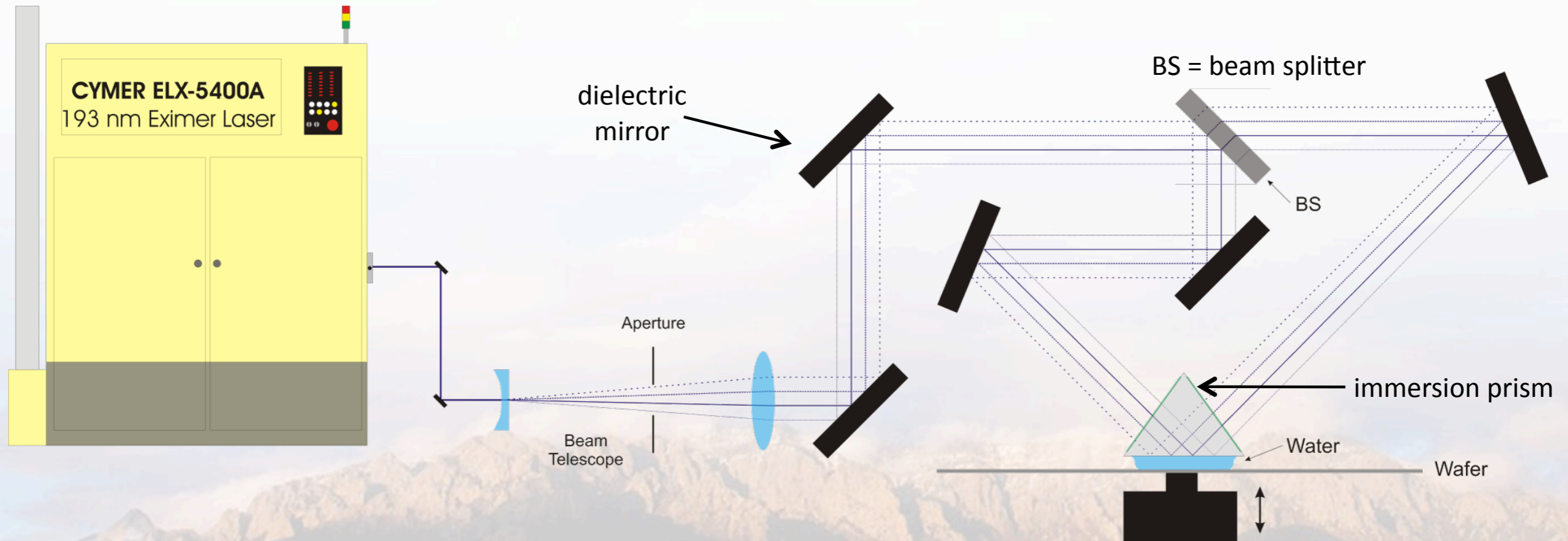
Optical Interference Lithography



Optical Interference Lithography



Critical dimensions ~ 70 nm
 Patterned areas $\sim 4\text{cm}^2$

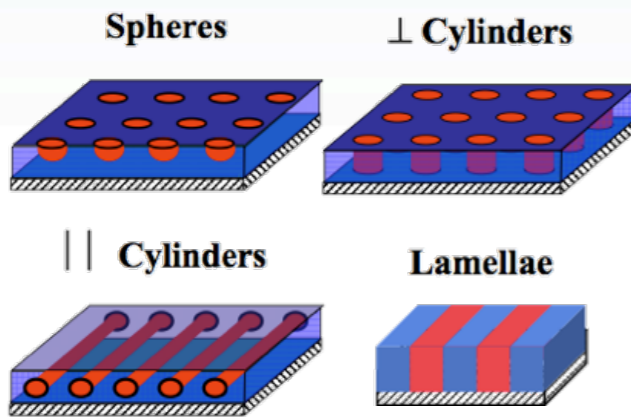


Block-Copolymer Directed Self Assembly

with Profs. Paul Nealey
and Juan de Pablo

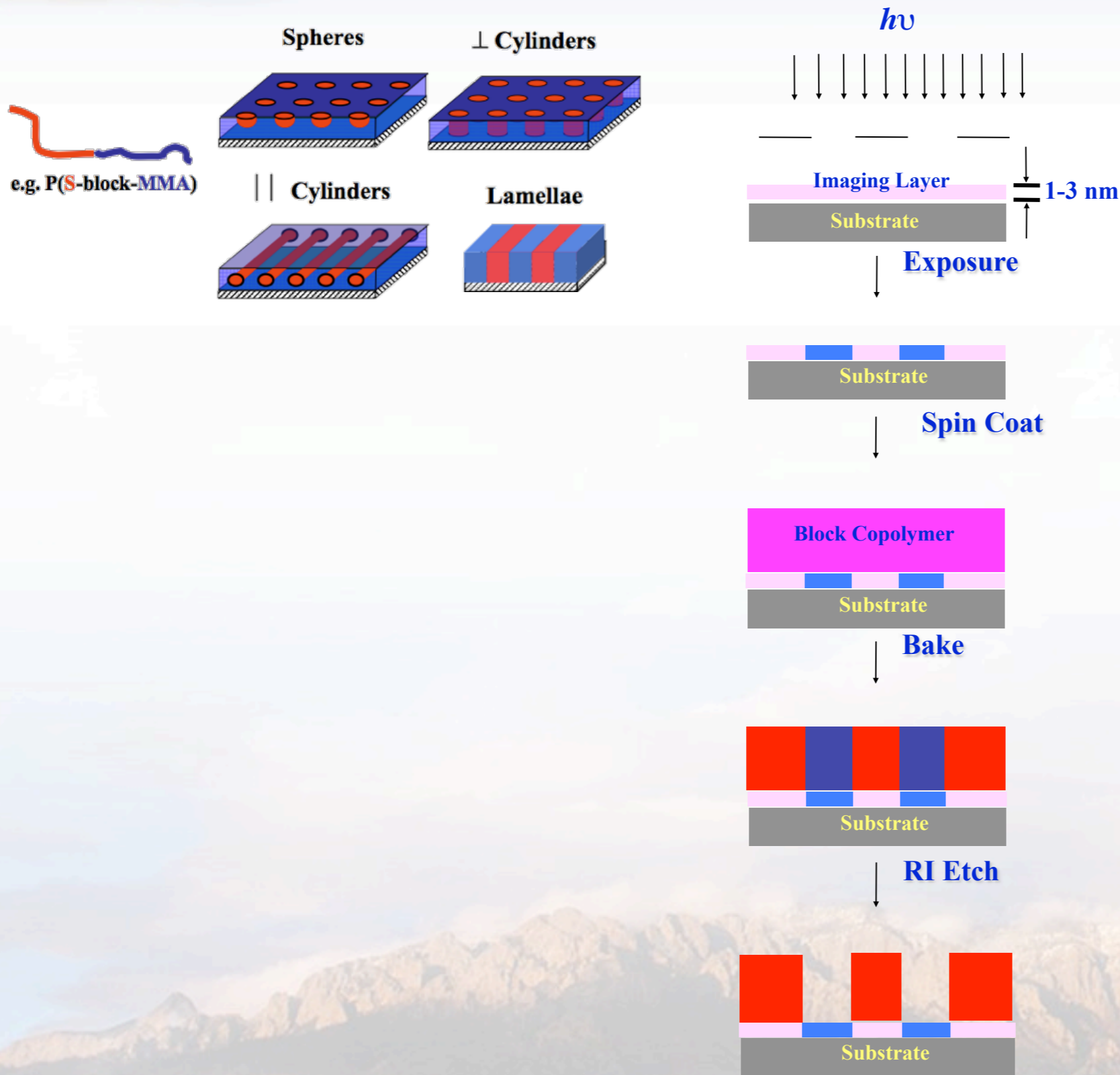


e.g. P(S-block-MMA)



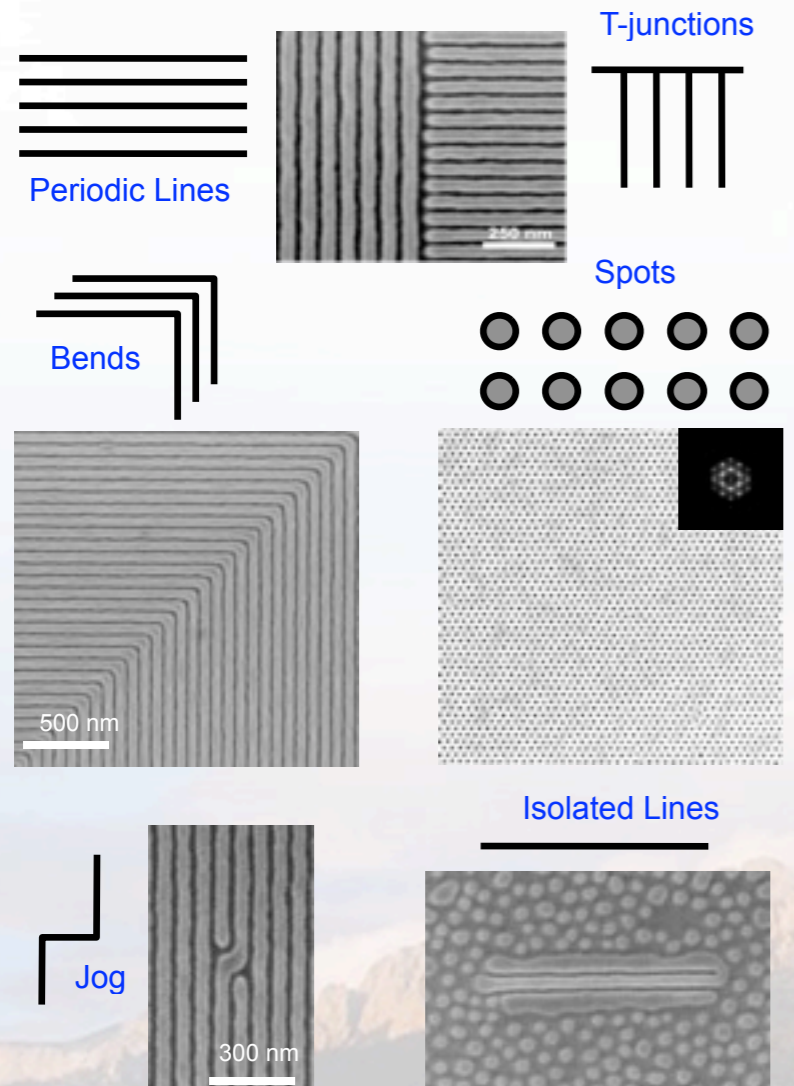
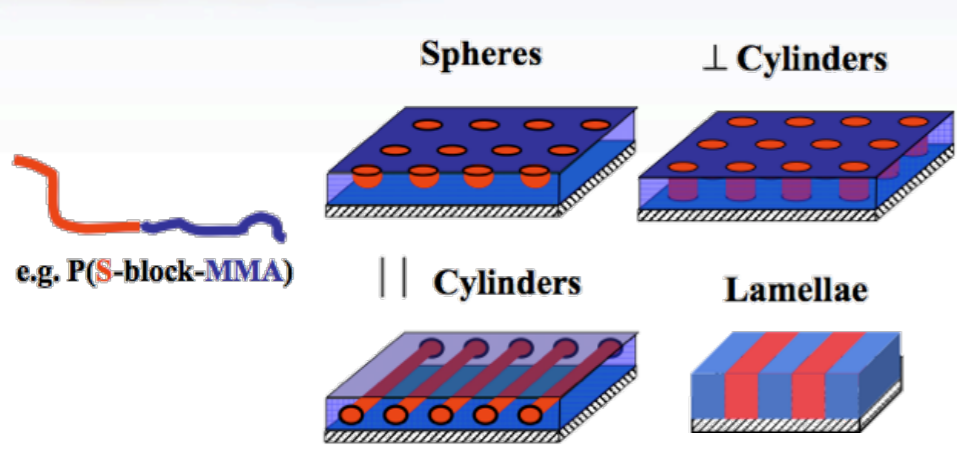
Block-Copolymer Directed Self Assembly

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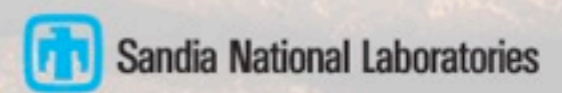
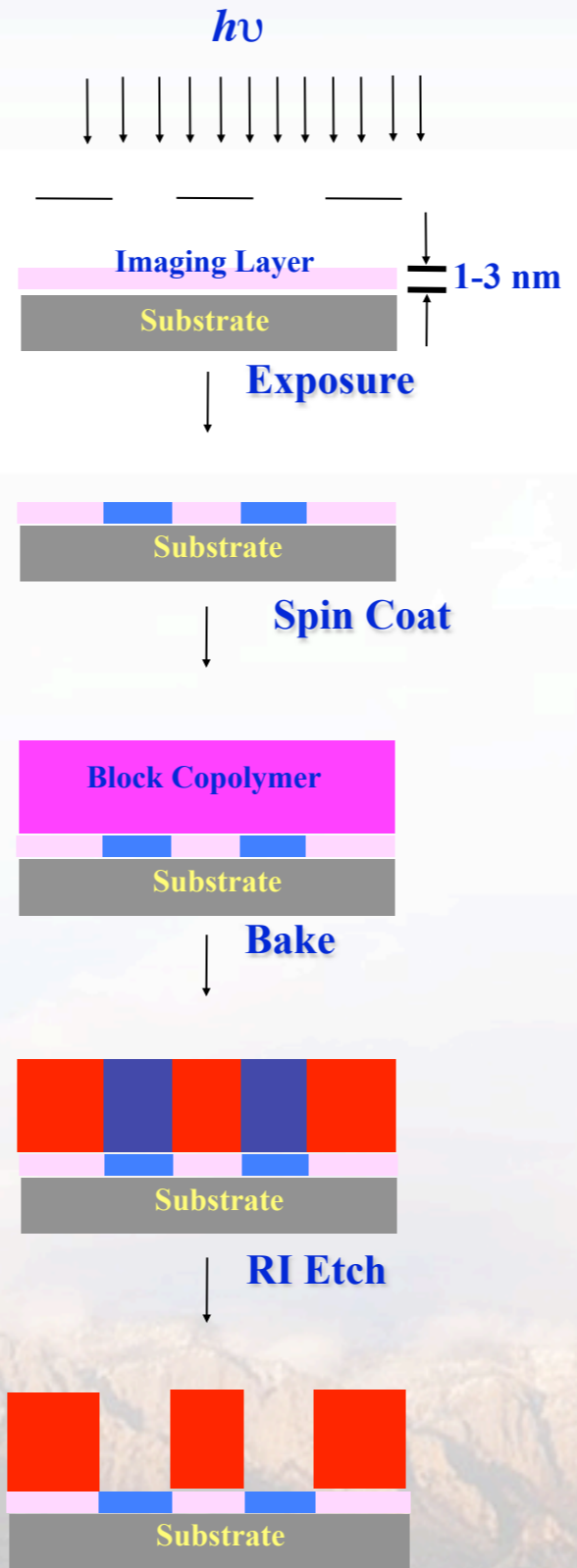


Block-Copolymer Directed Self Assembly

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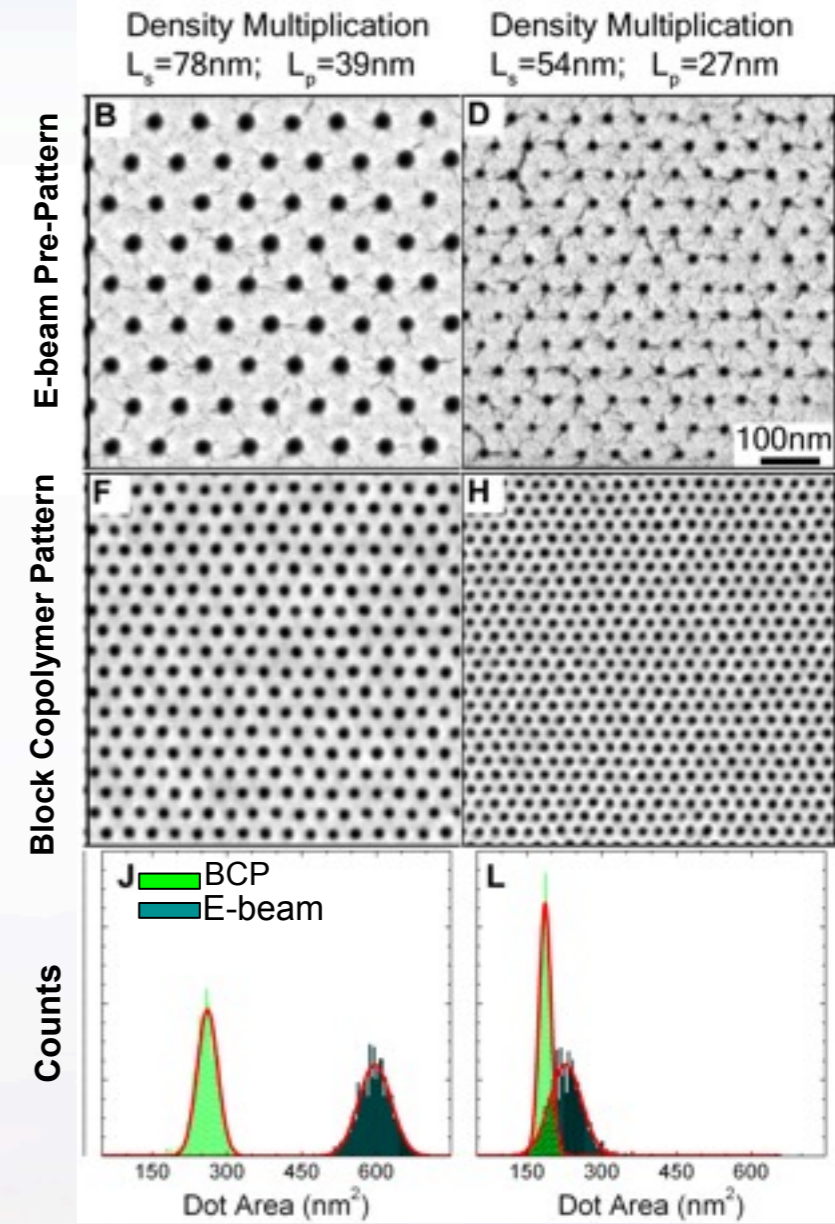
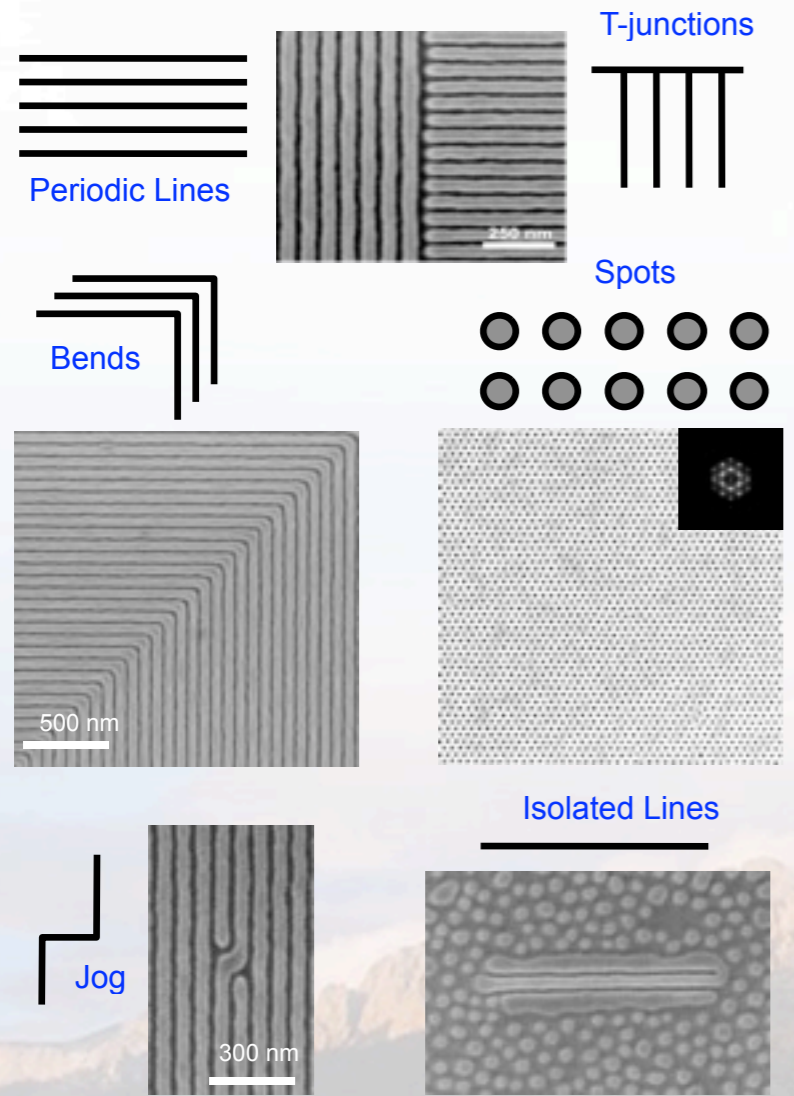
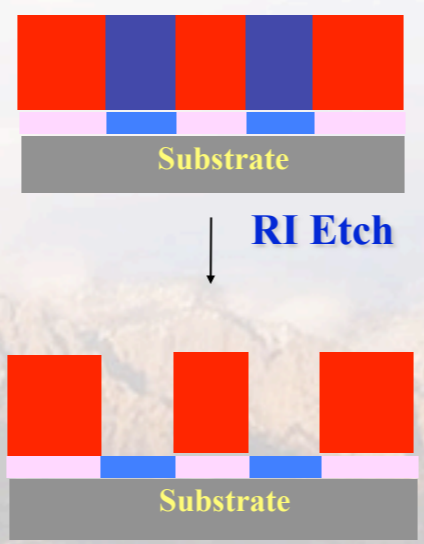
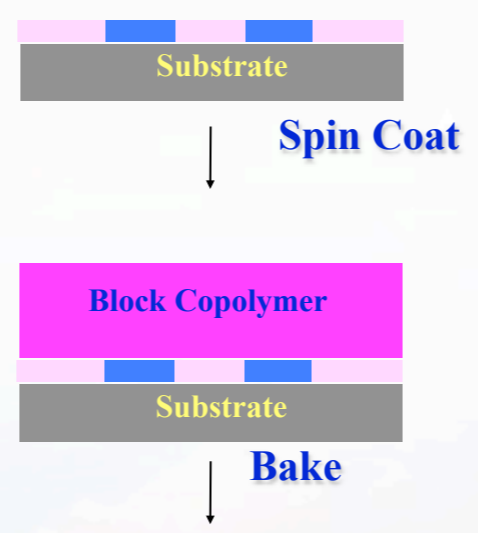
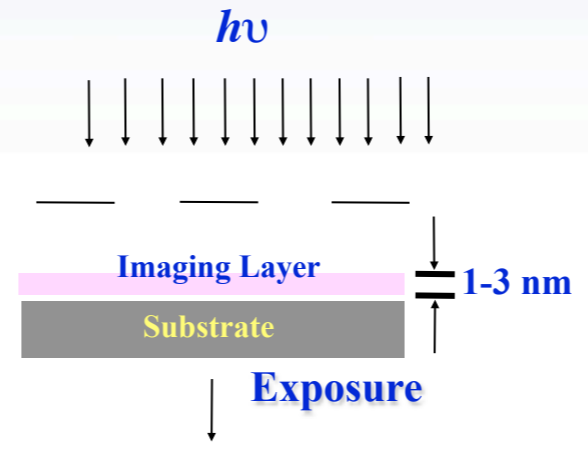
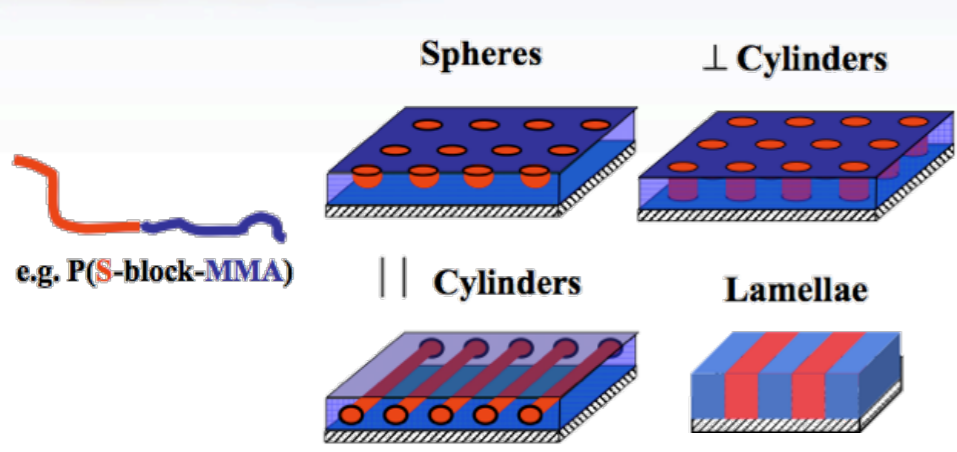


Stoykovich et al. *ACS Nano*, 2007, *Science* 2005



Block-Copolymer Directed Self Assembly

with Profs. Paul Nealey and Juan de Pablo



Ruiz, Nealey, de Pablo et al. *Science*, 2008

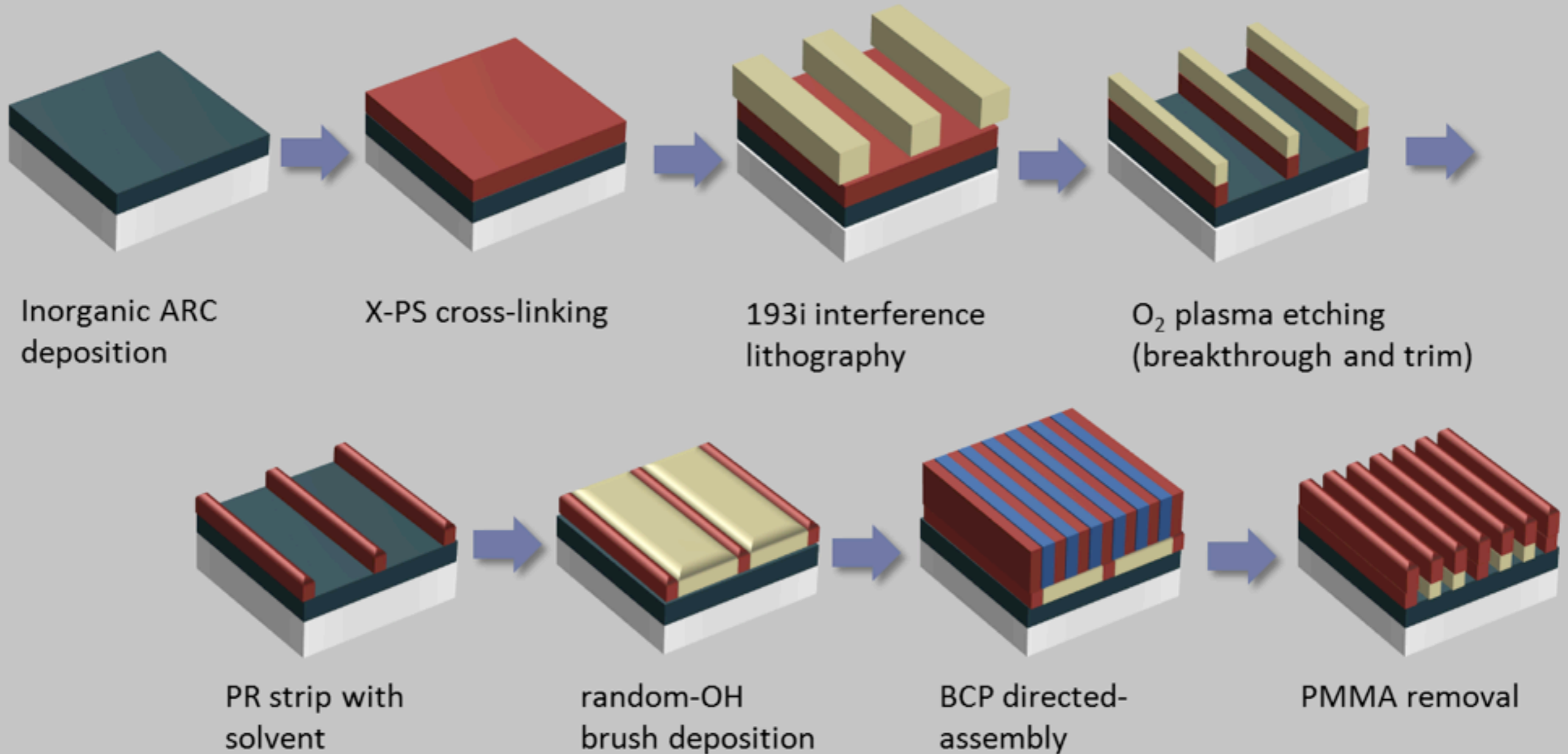
Daoulas et al., *Langmuir*, 2008

Sandia National Laboratories

Stoykovich et al. *ACS Nano*, 2007, *Science* 2005

Density Multiplication

Process flow with ARC and 193i



3x density multiplication
30nm features in 90nm IL pattern
over mm² areas

Density Multiplication

22-22k on 100nm

300nm

18-18k on 110nm

300nm

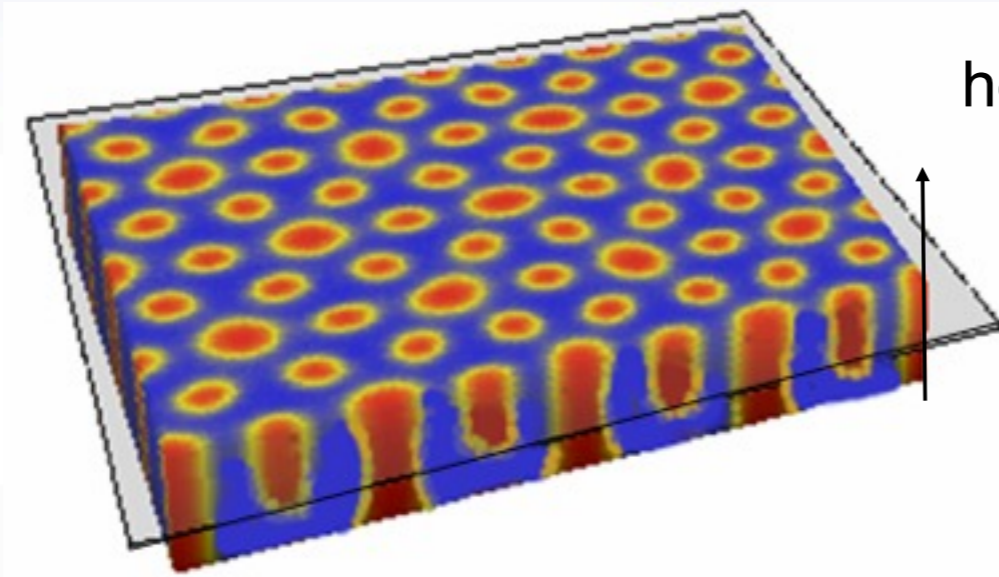
4X Multiplication

Molecular weight inaccuracies, inconsistencies, and distributions limit continued multiplication factors

Surface interactions are crucial

Interfaces Lead to non-Bulk Morphologies

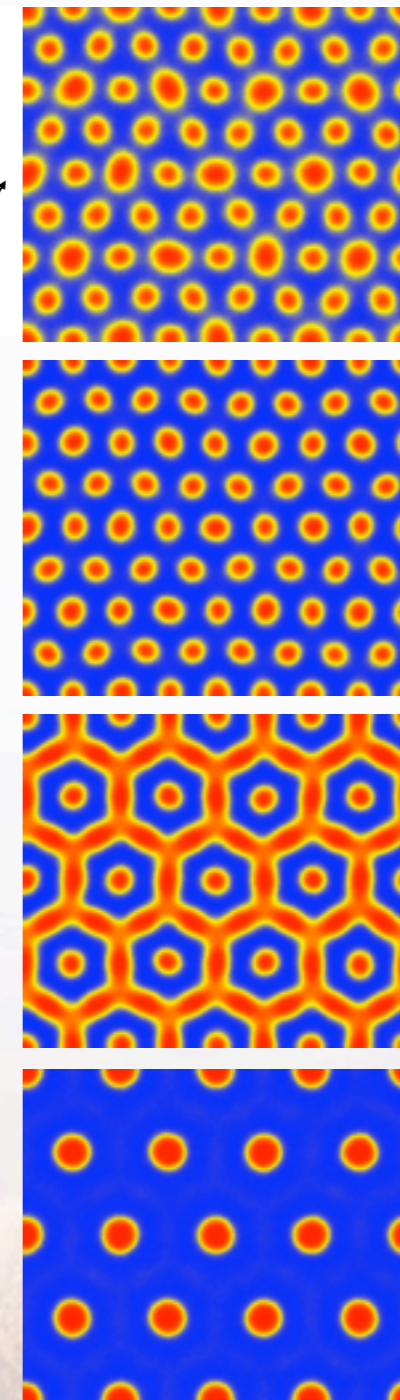
Monte Carlo simulations, consistent with SCFT and mean-field, but faster and more flexible



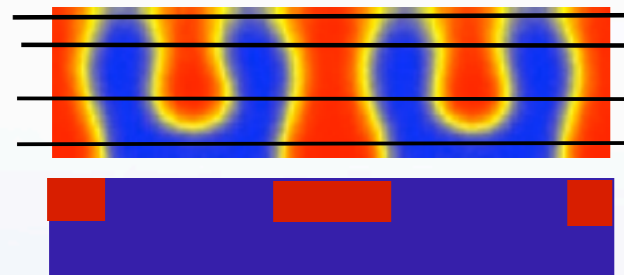
horizontal cross-sections

z

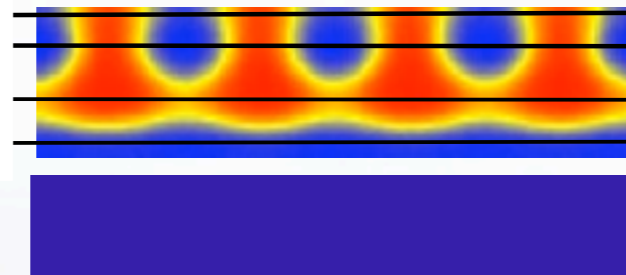
top surface



vertical cross-sections



patterned line



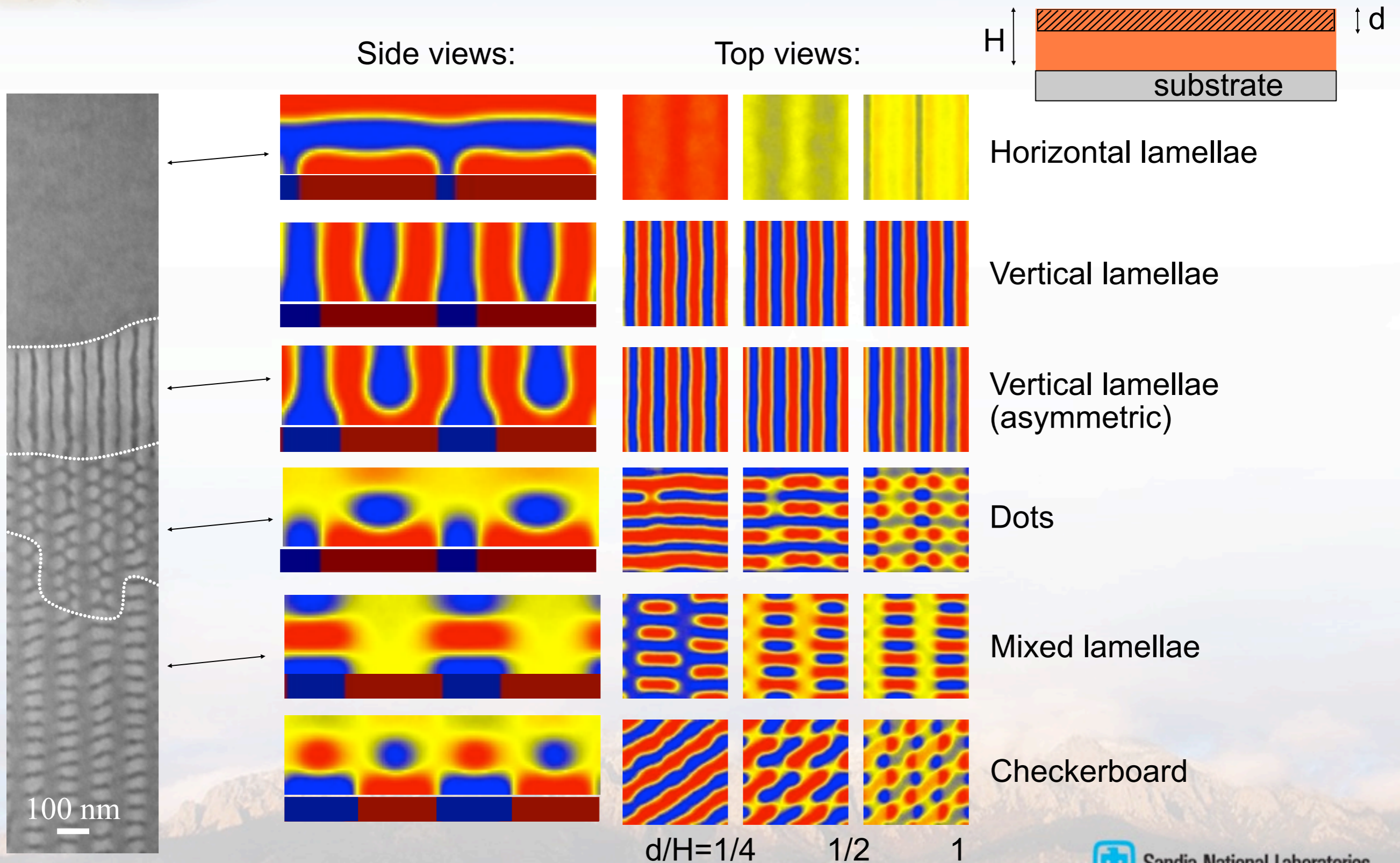
non-patterned line

strong attraction favors defect-free assembly but leads to hour-glass shaped domains.

substrate

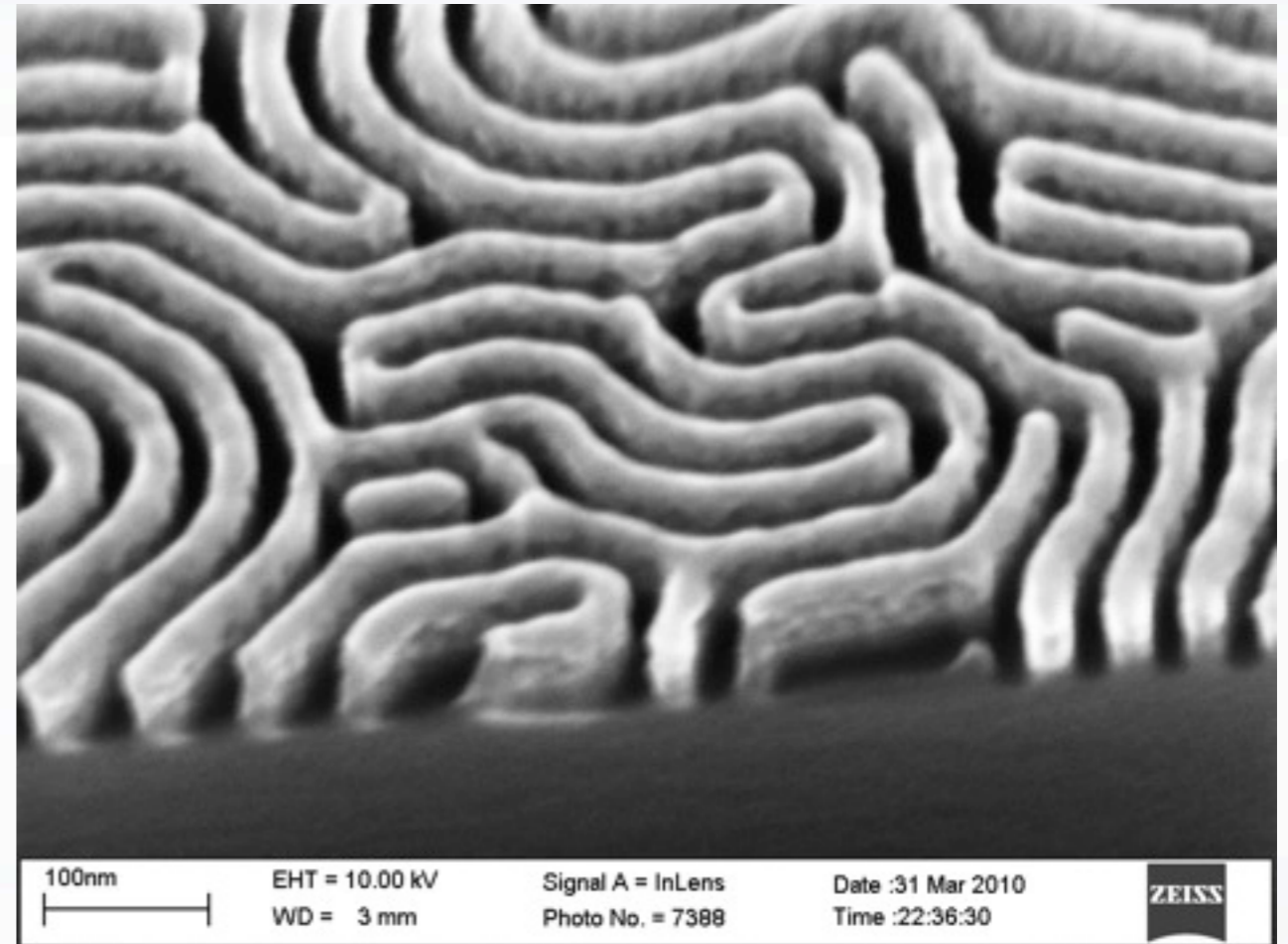
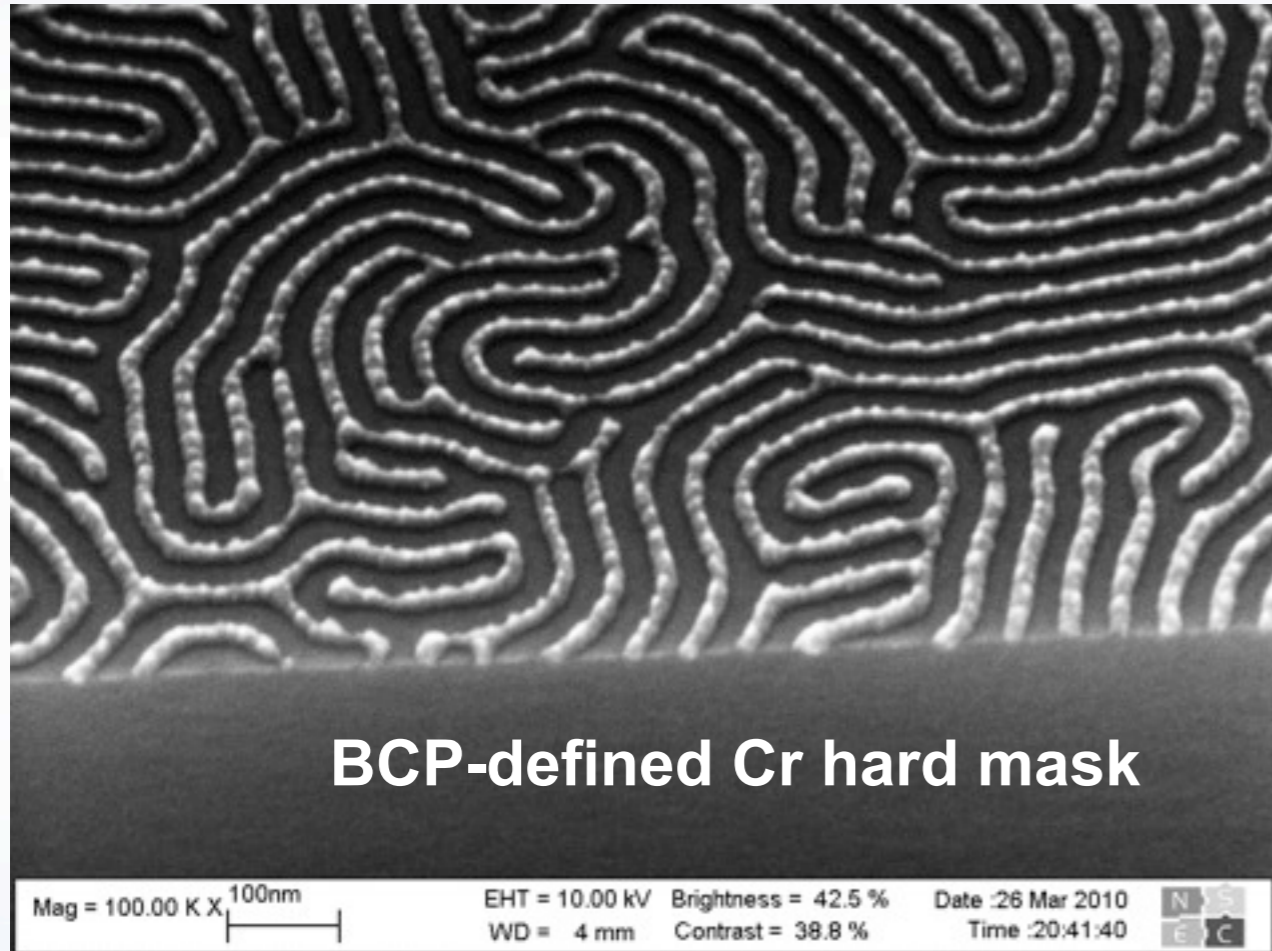


Simulation Can Explain Variety of Experimental Morphologies

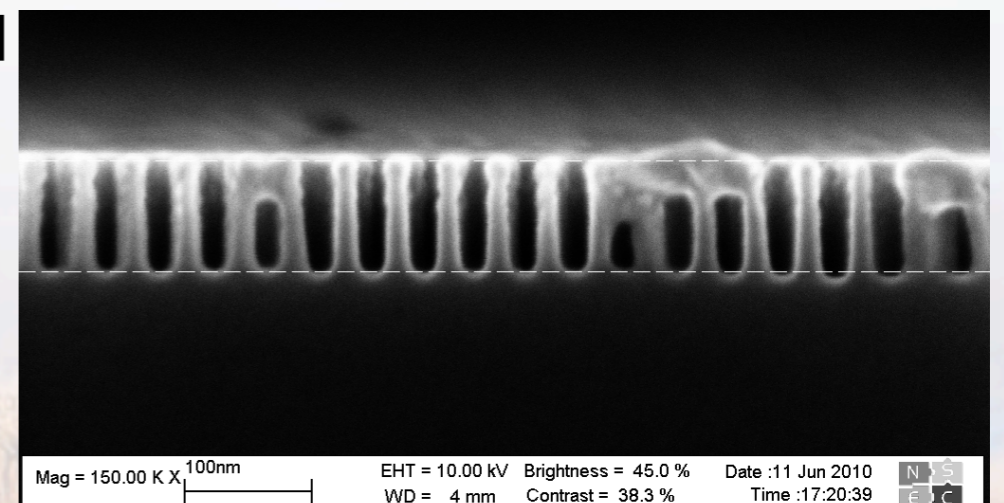




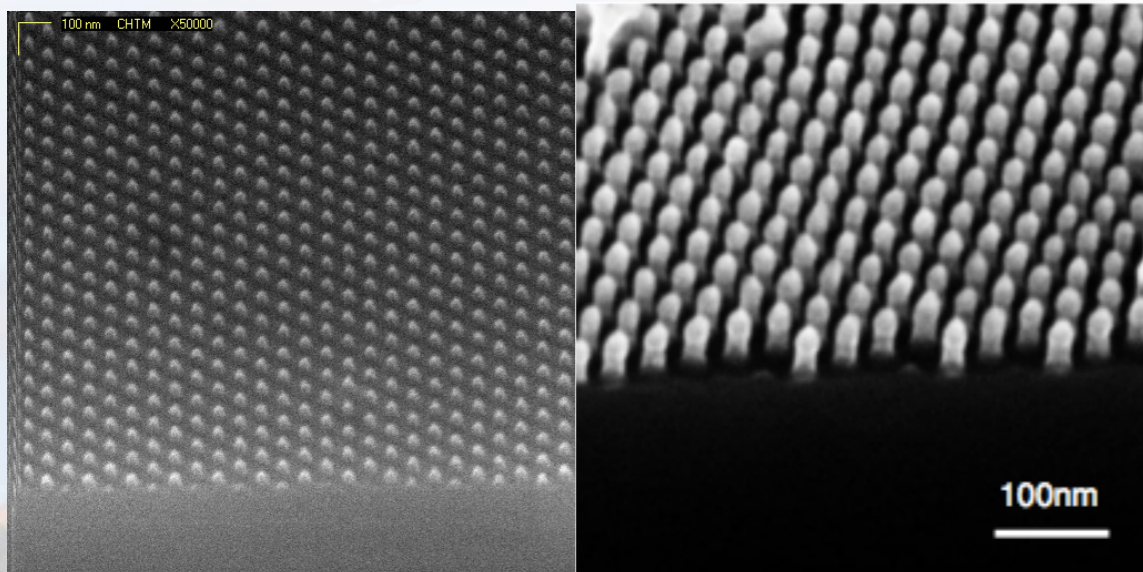
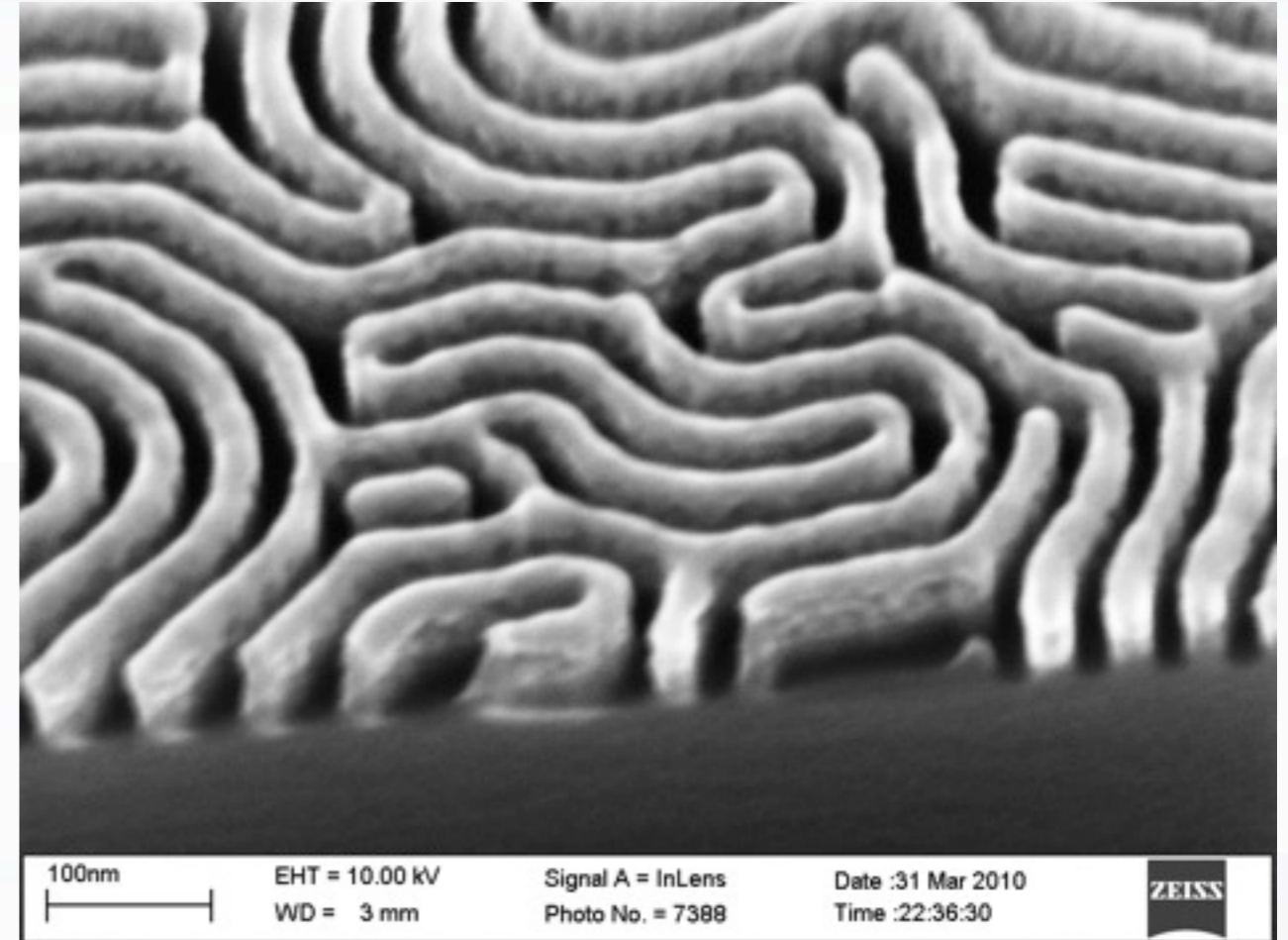
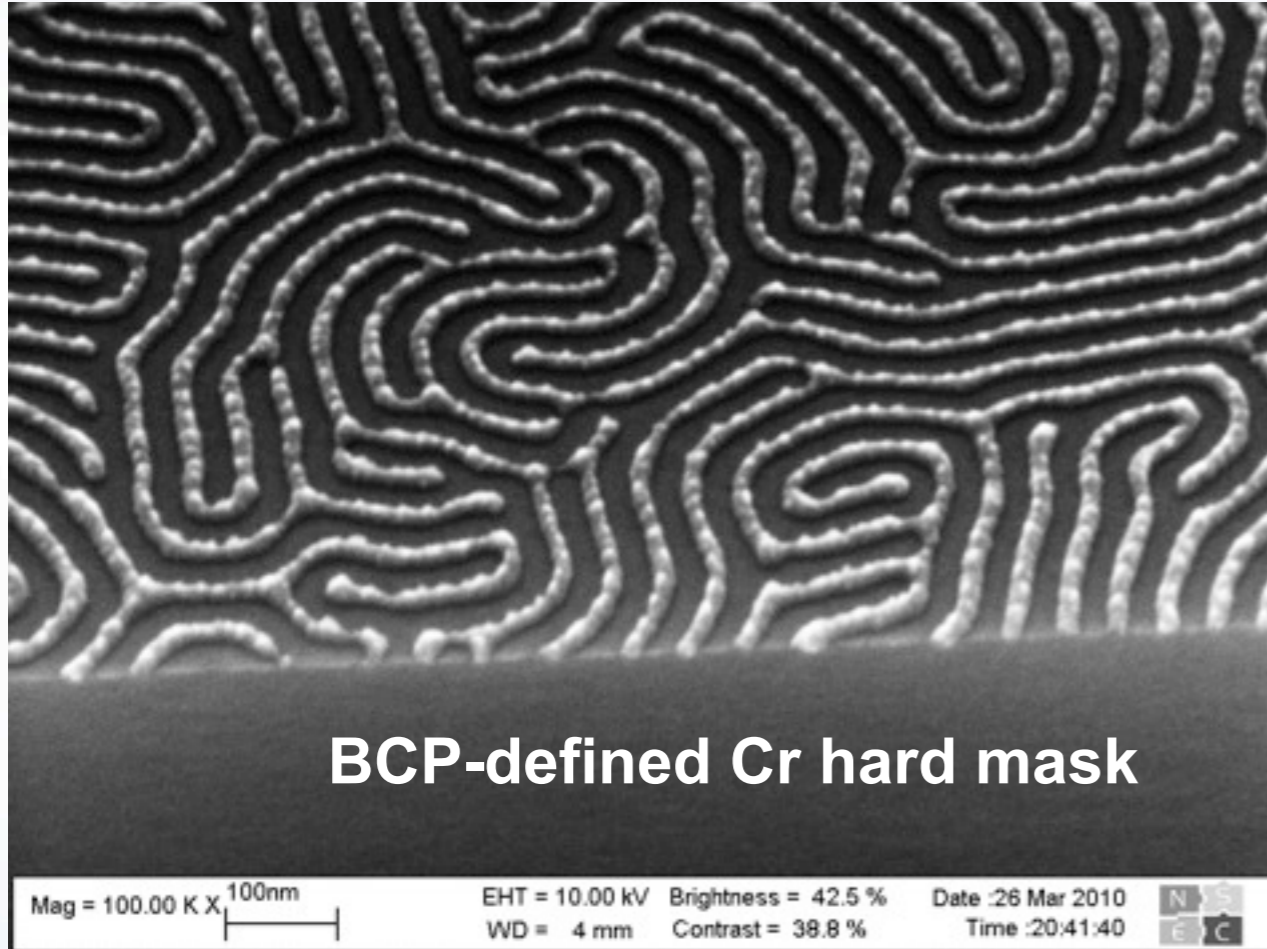
Pattern Transfer



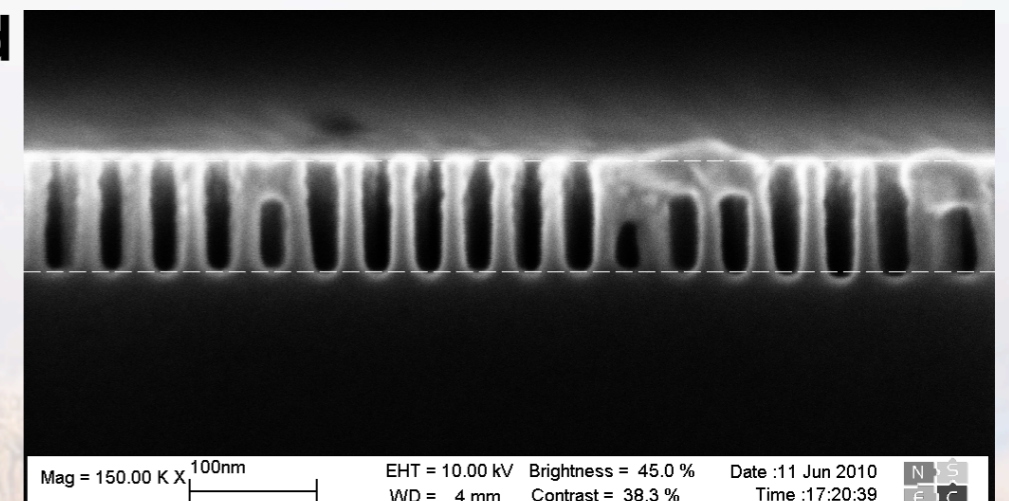
Si etched through Cr mask



Pattern Transfer

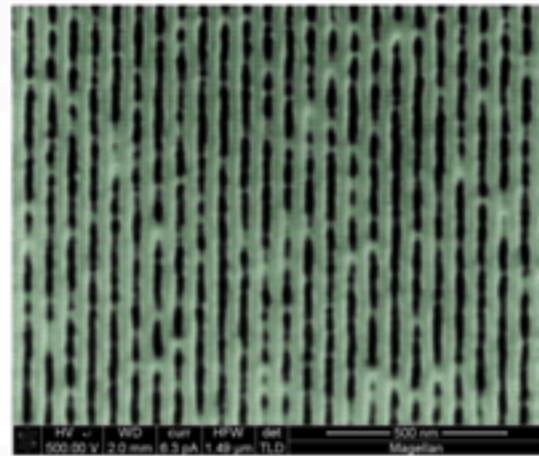
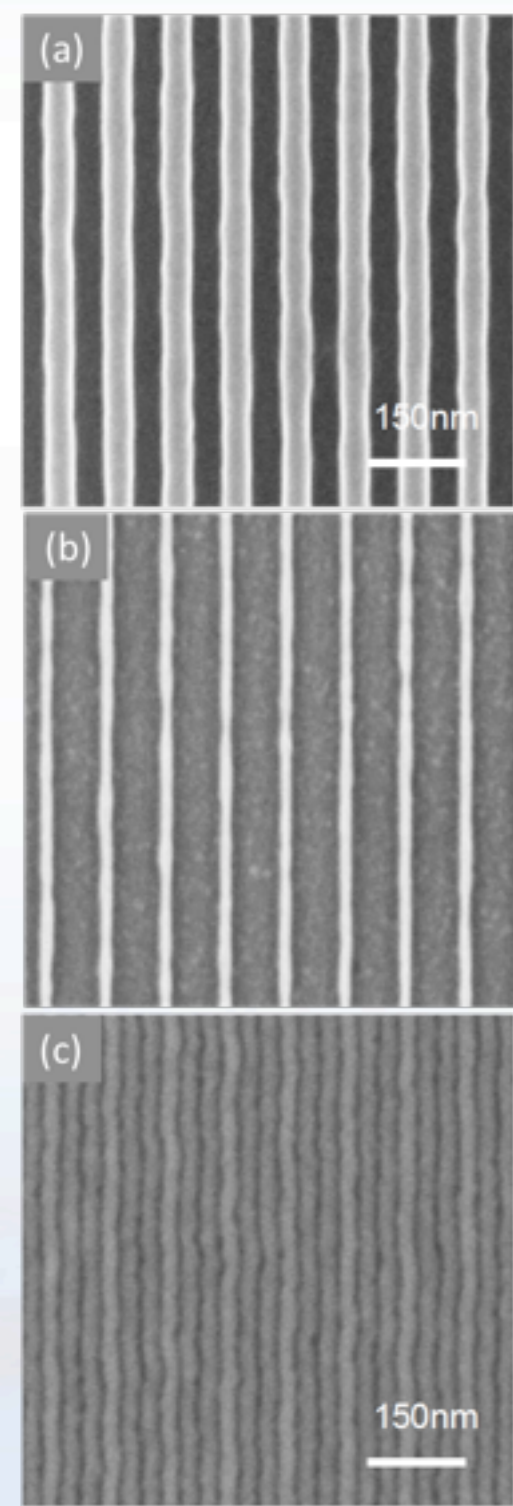


Si etched through Cr mask

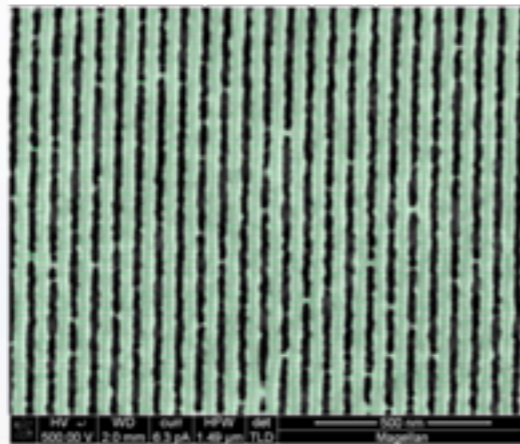


Si pillars defined by BCP for NIL master

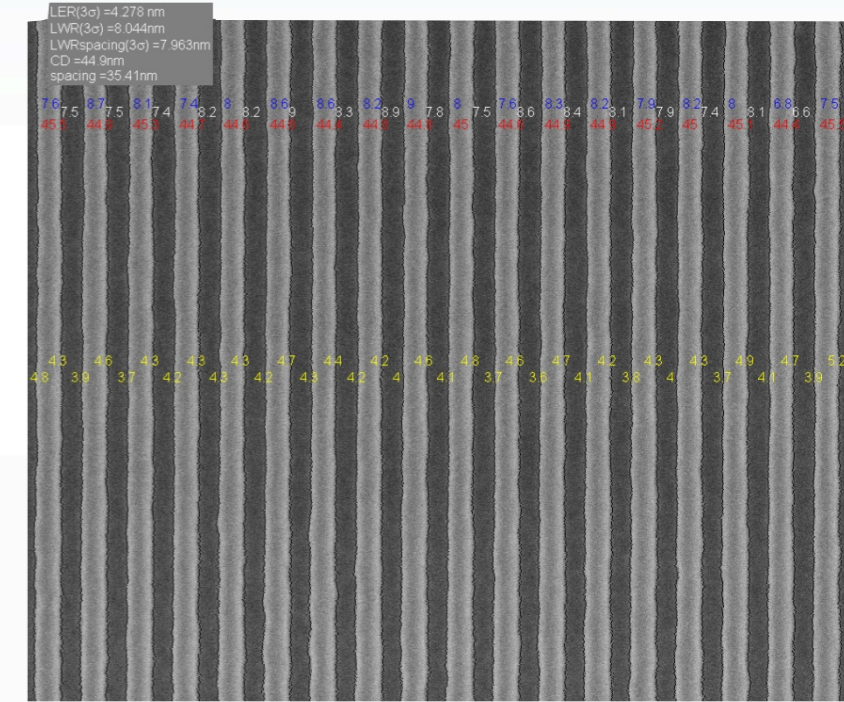
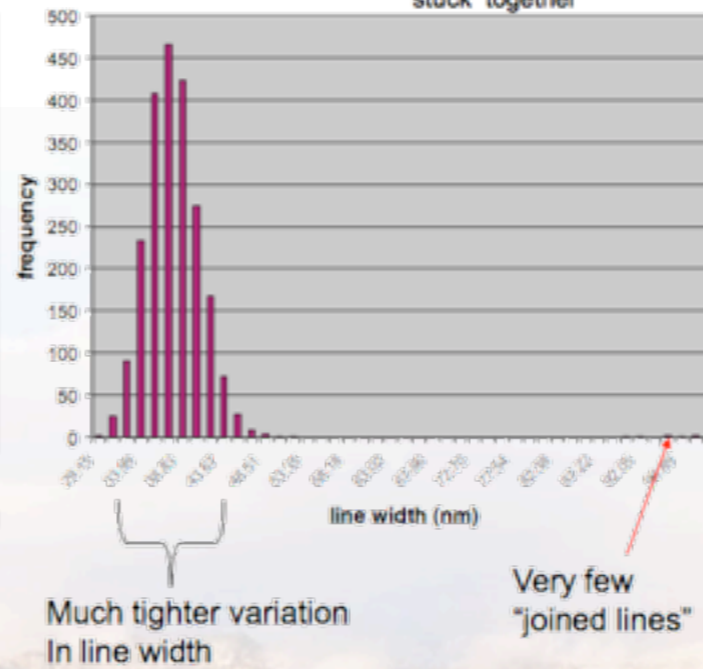
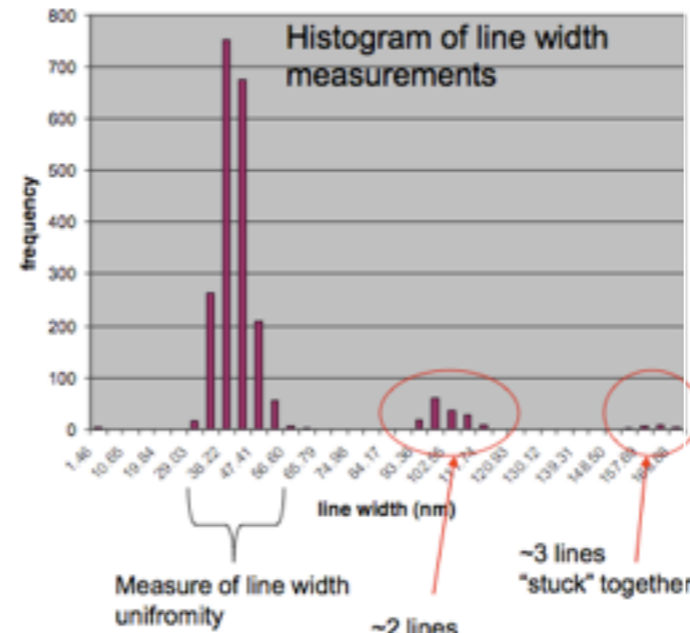
Metrology



After insertion of 100 grid lines and BOOLEAN combination



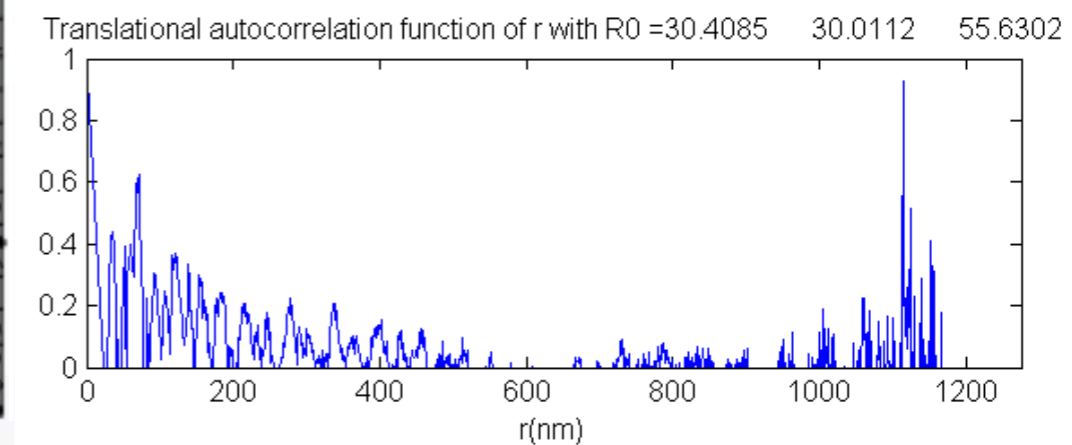
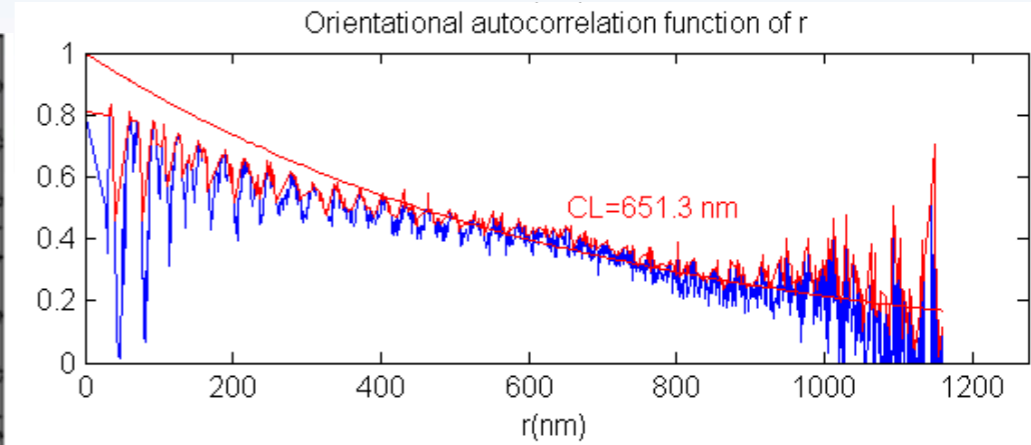
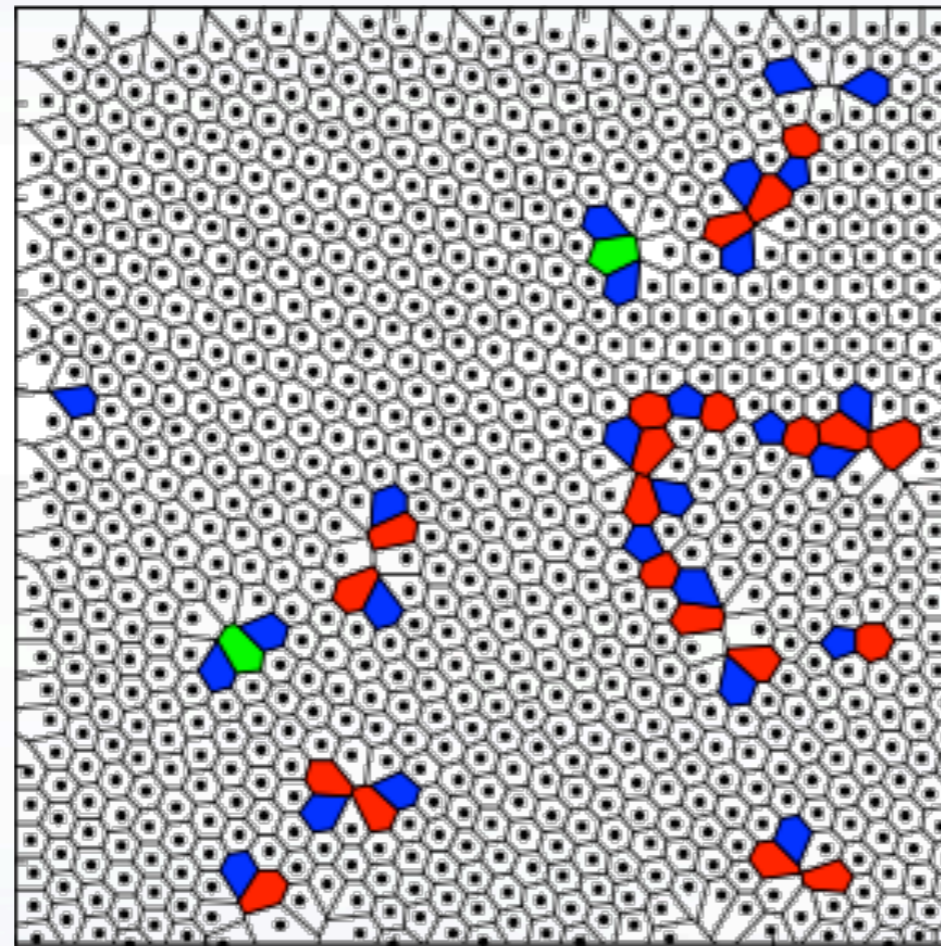
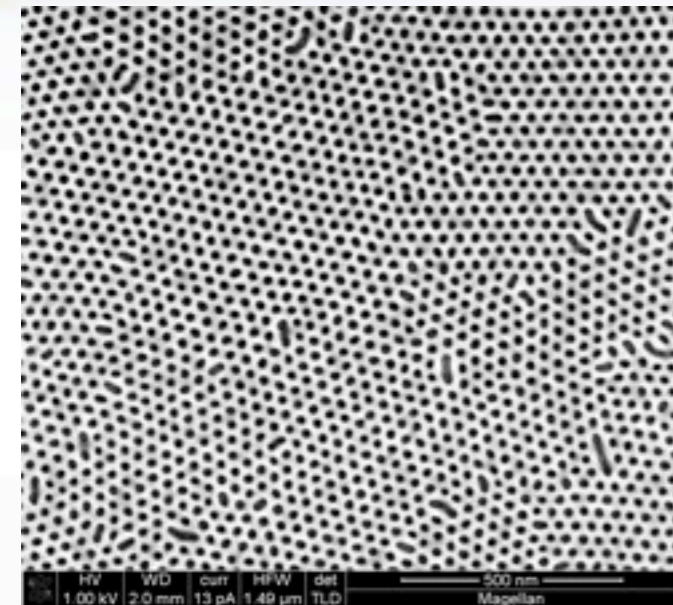
Wafer090121-002_30nm_middle bc



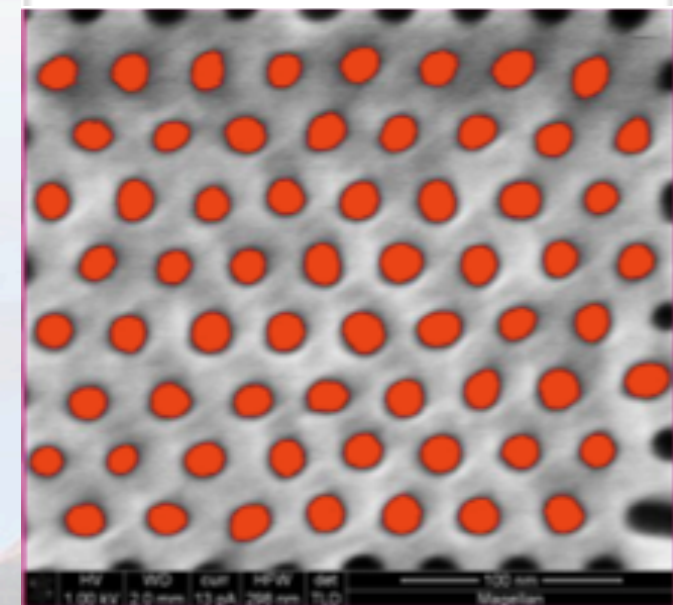
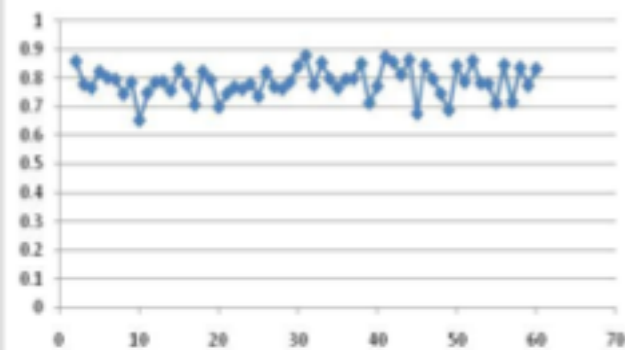
Line Edge Roughness (LER)
 3σ deviation of a line edge from best-fit straight line
 target LER < 5 %

Intel-blessed standard, quantitative, non-destructive feature/defect analysis at each stage of process

Metrology

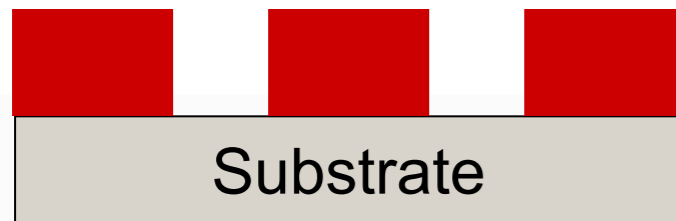


Roundness

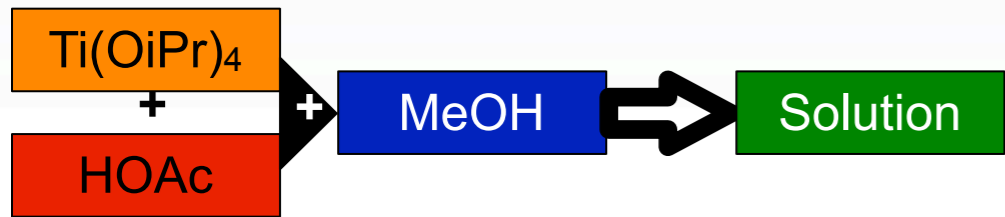


All made possible by FEI Magellan SEM:
quantitative sub-nm measurements from
uncoated samples

Additive Fabrication of Patterned Electronic Oxides

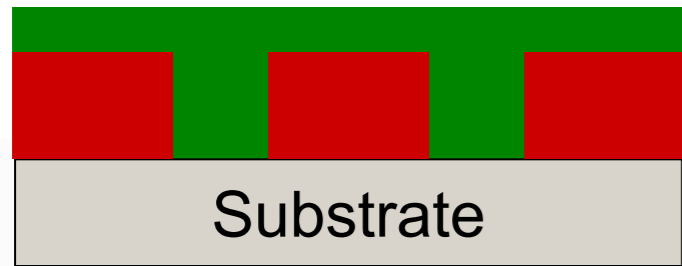
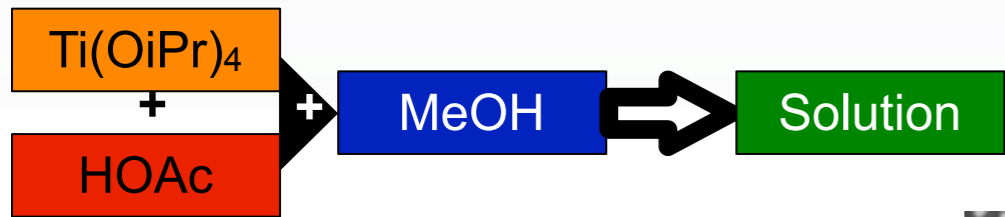


Additive Fabrication of Patterned Electronic Oxides

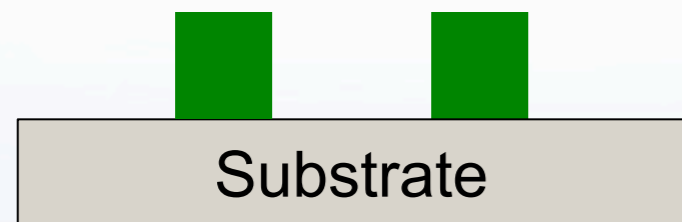


Fill, Gel

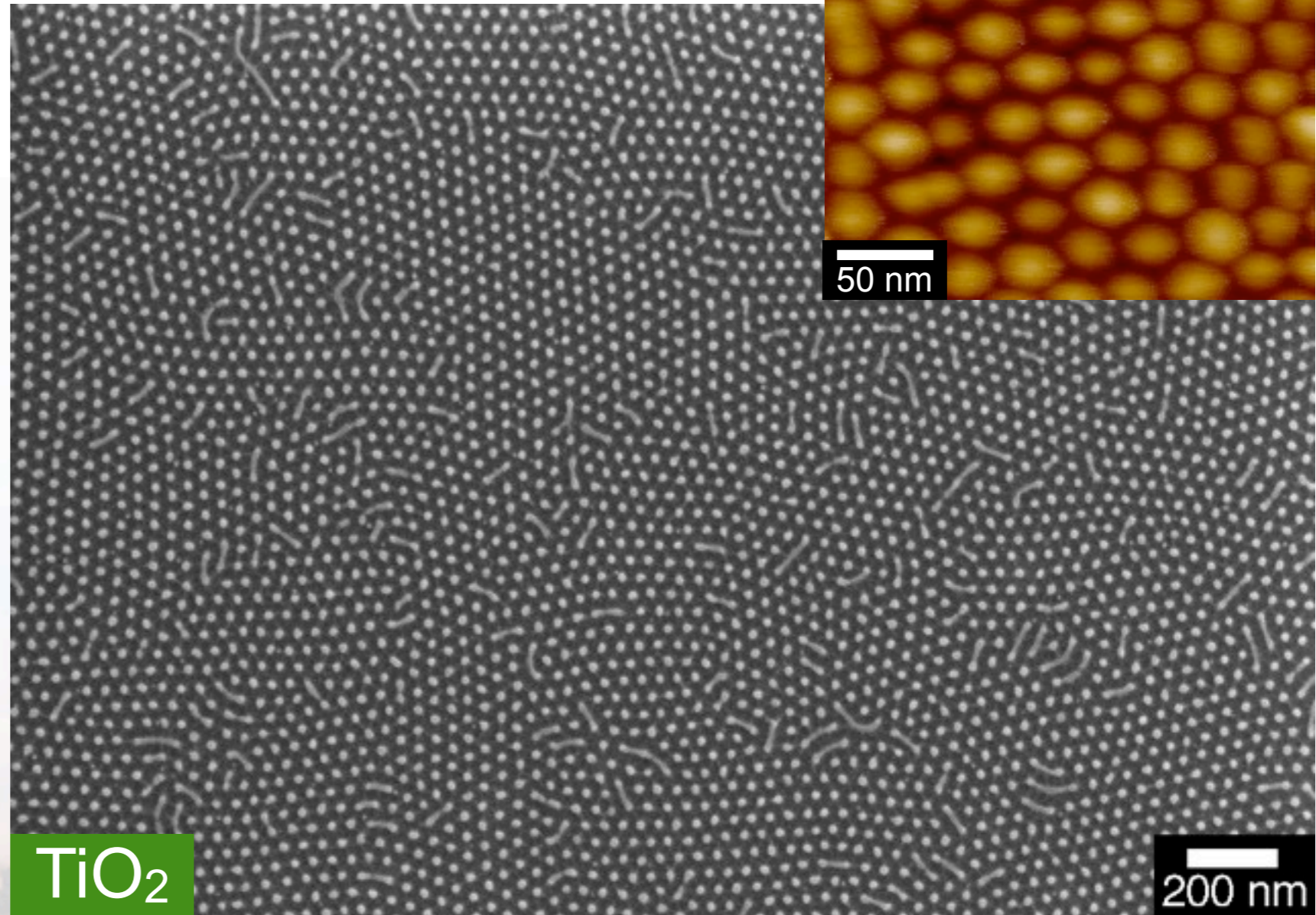
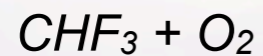
Additive Fabrication of Patterned Electronic Oxides



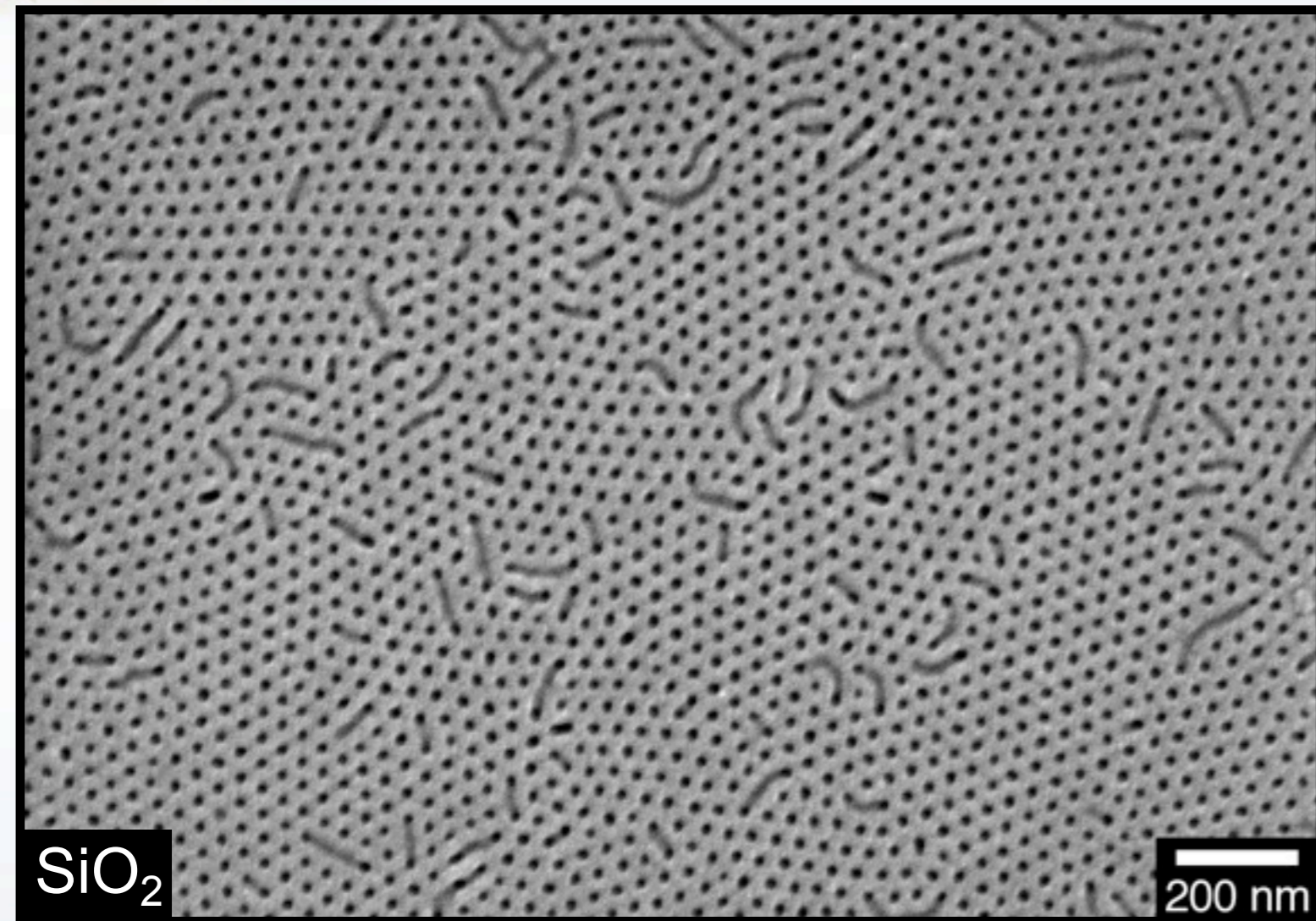
Fill, Gel



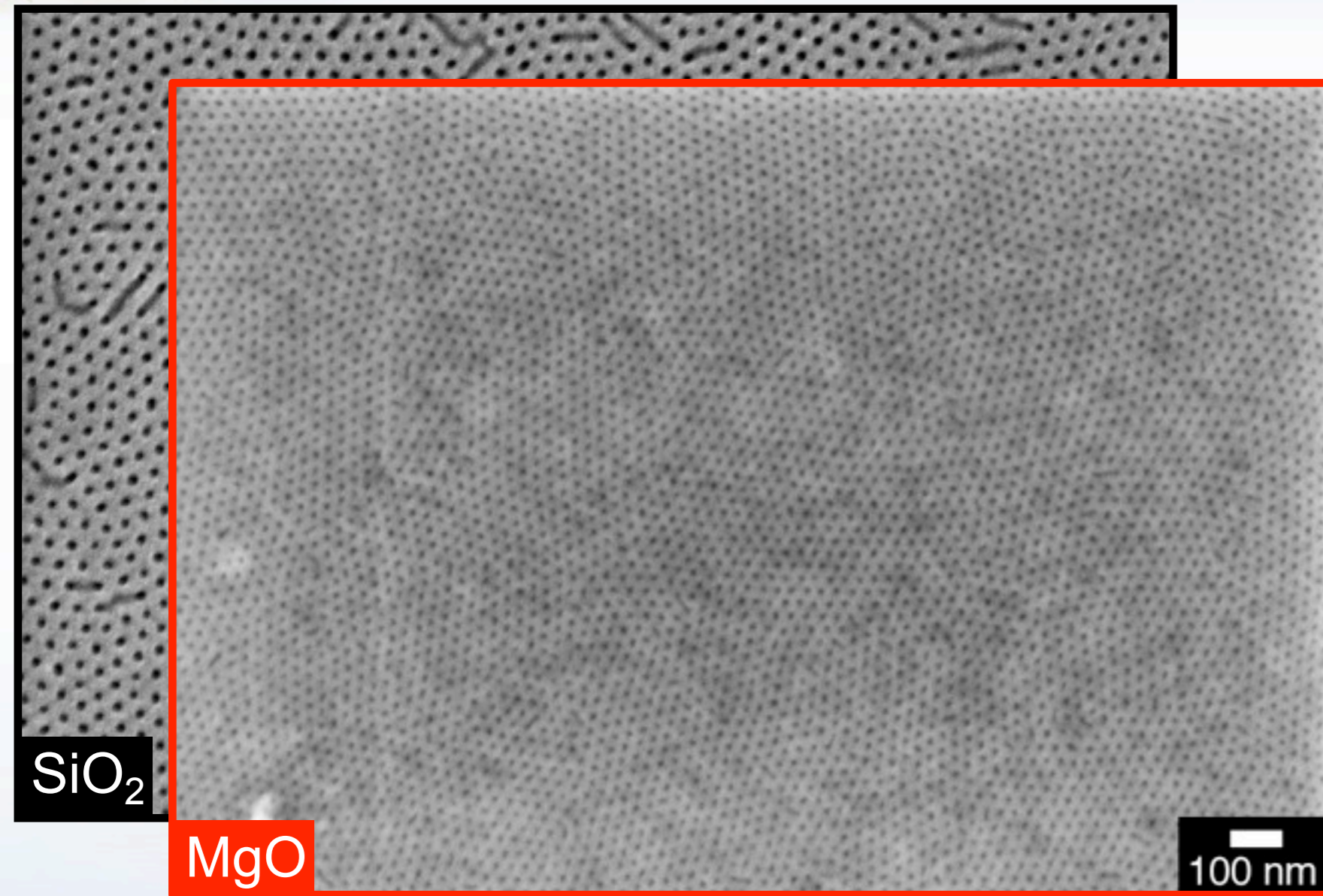
Remove Mask



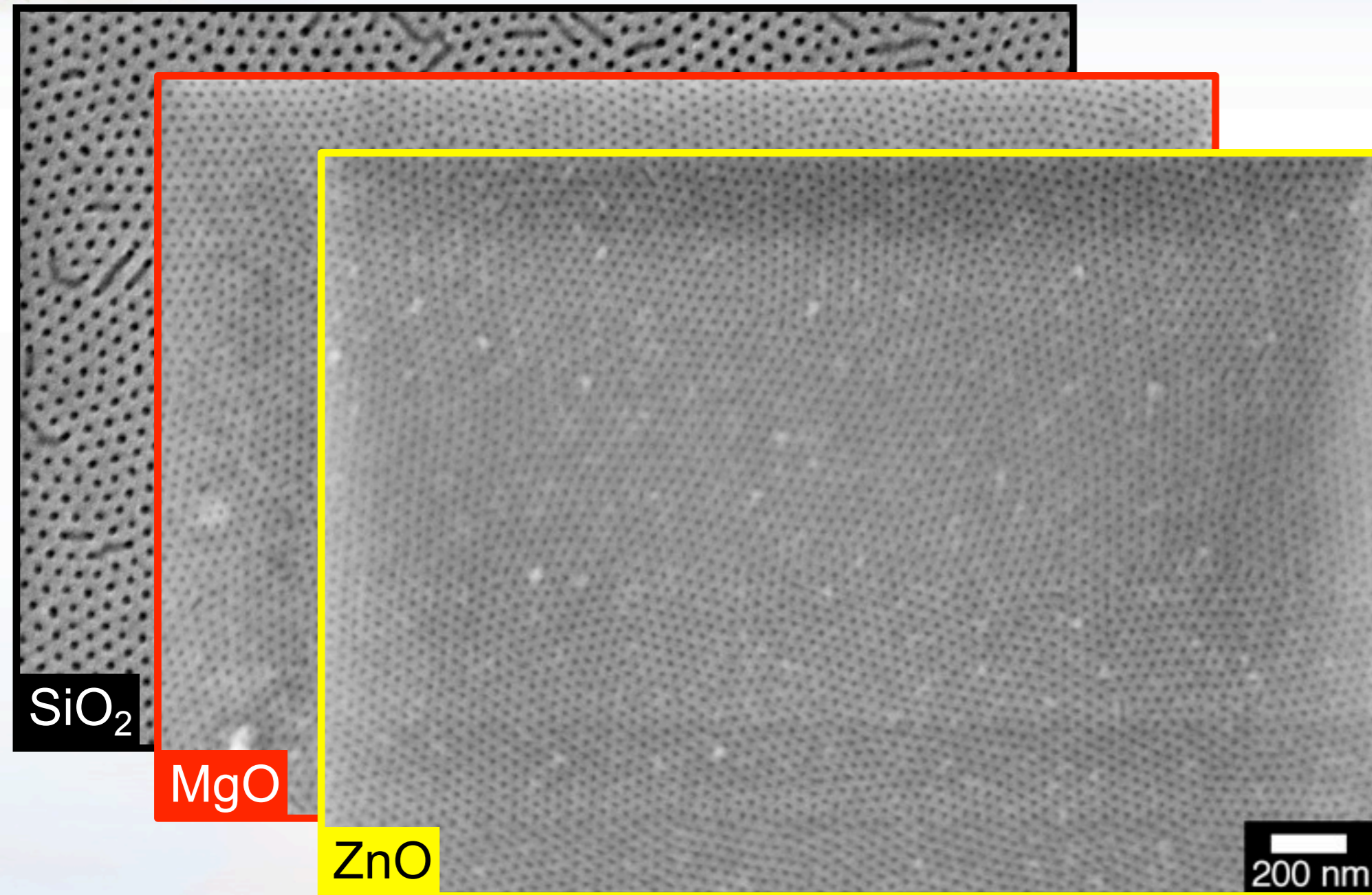
Diblock Assembly on Various Substrates



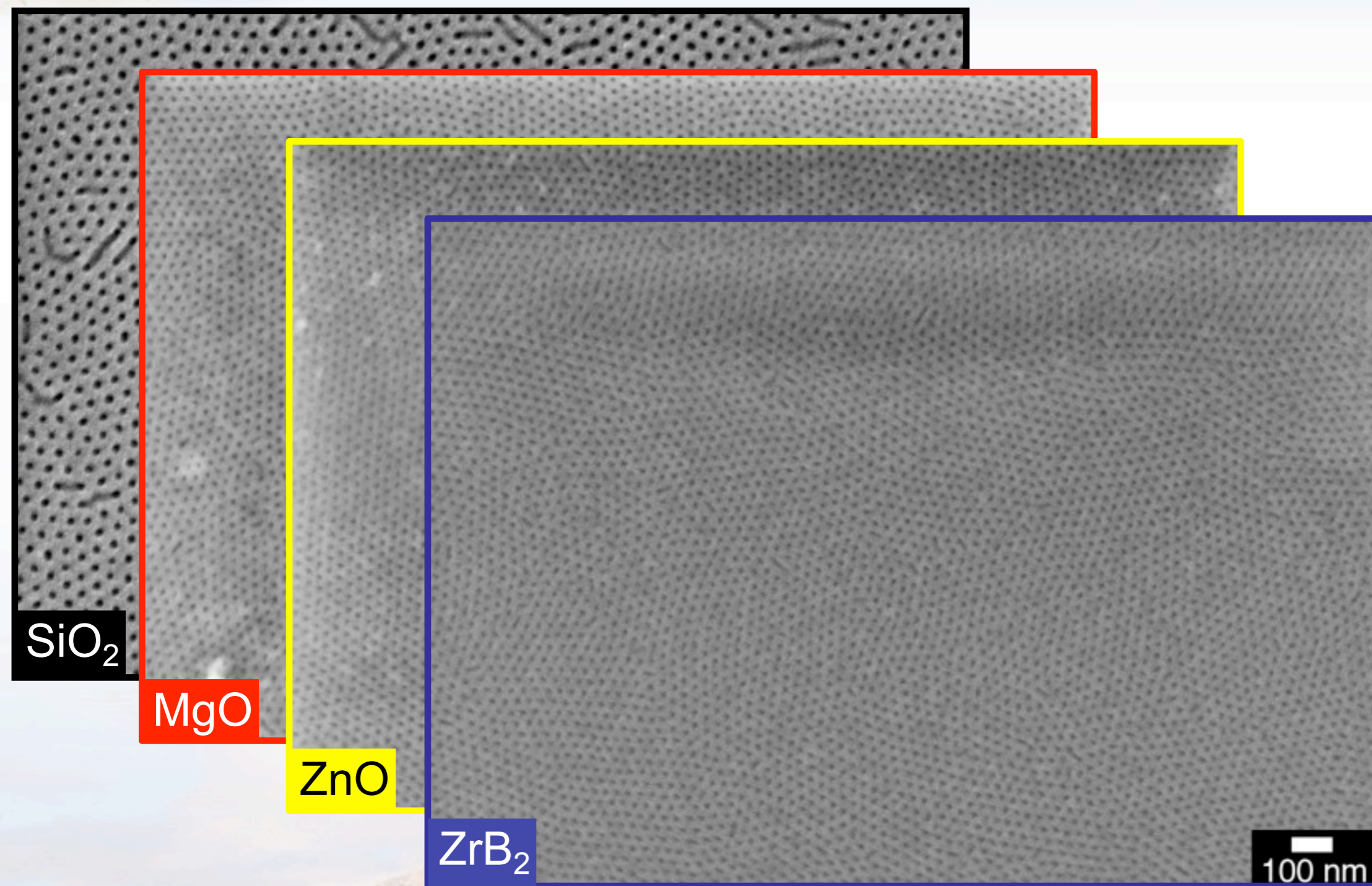
Diblock Assembly on Various Substrates



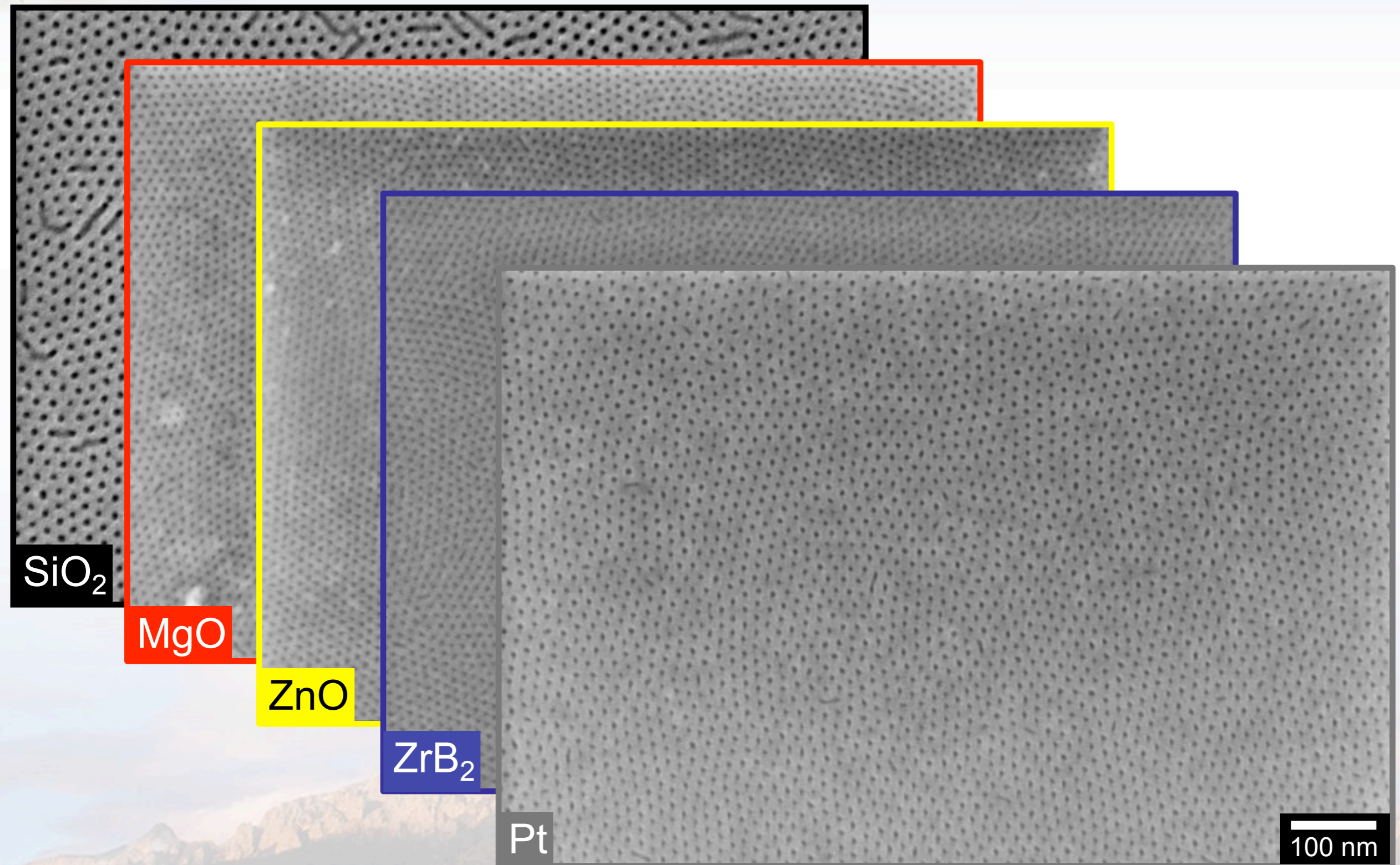
Diblock Assembly on Various Substrates



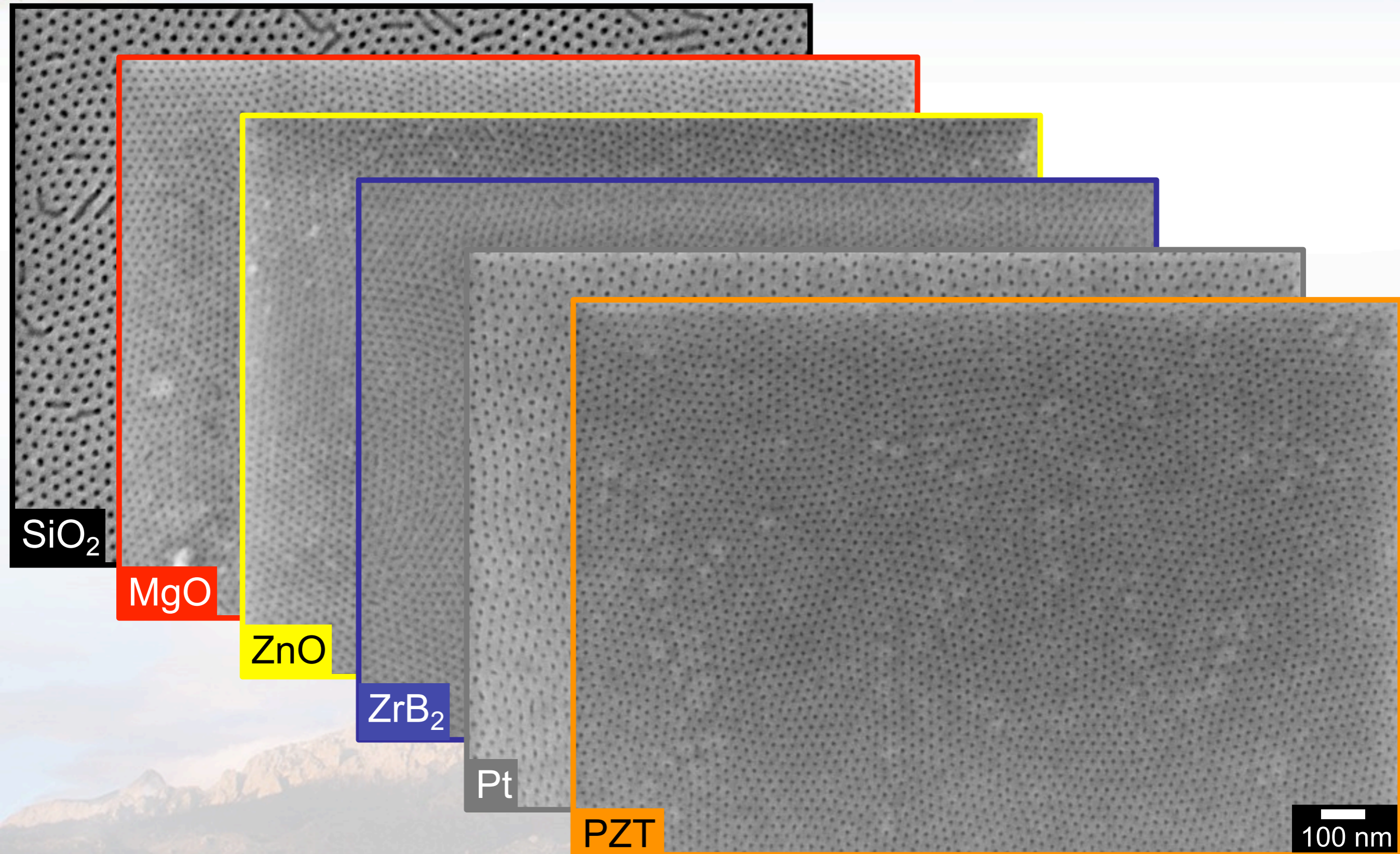
Diblock Assembly on Various Substrates



Diblock Assembly on Various Substrates

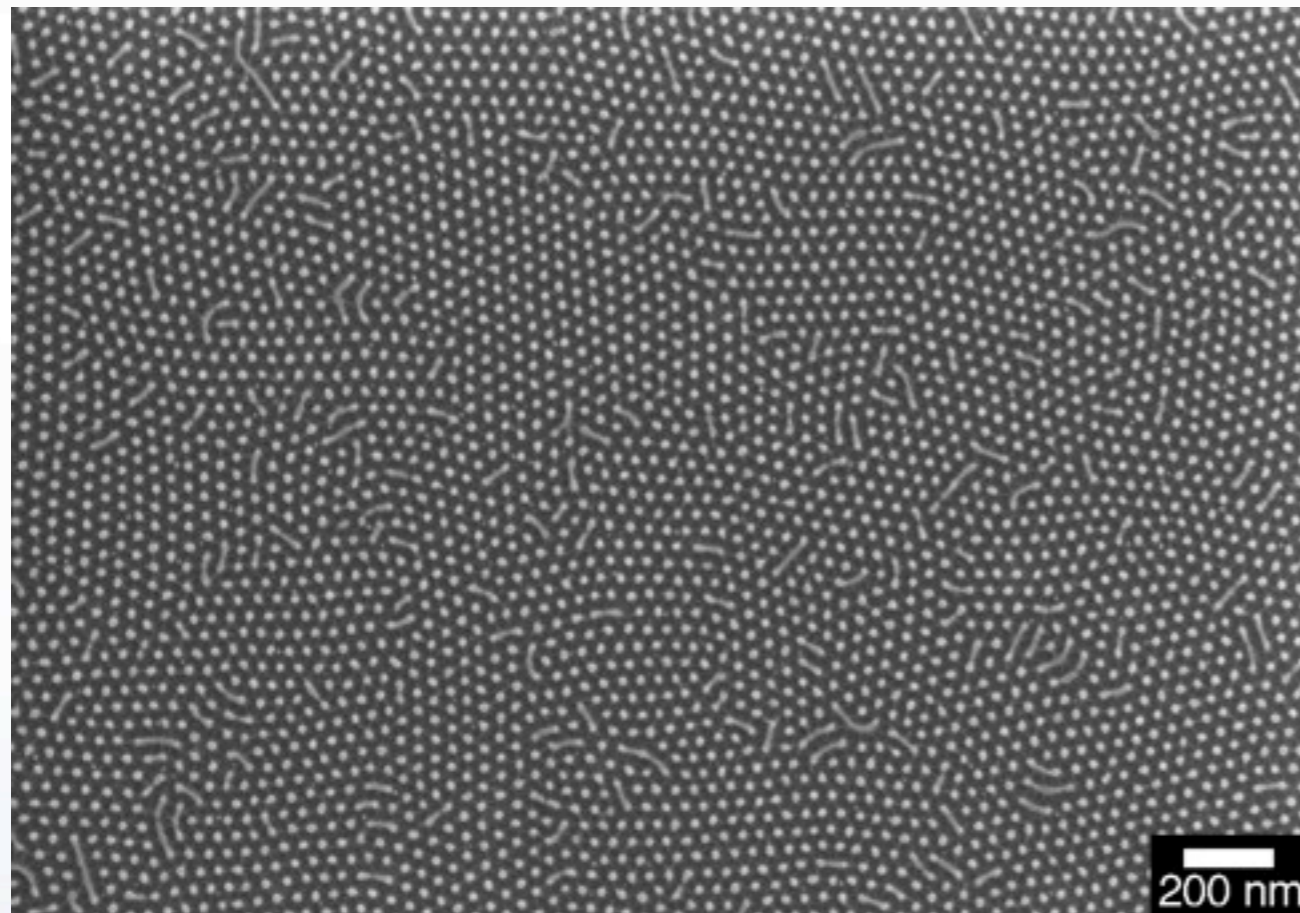


Diblock Assembly on Various Substrates

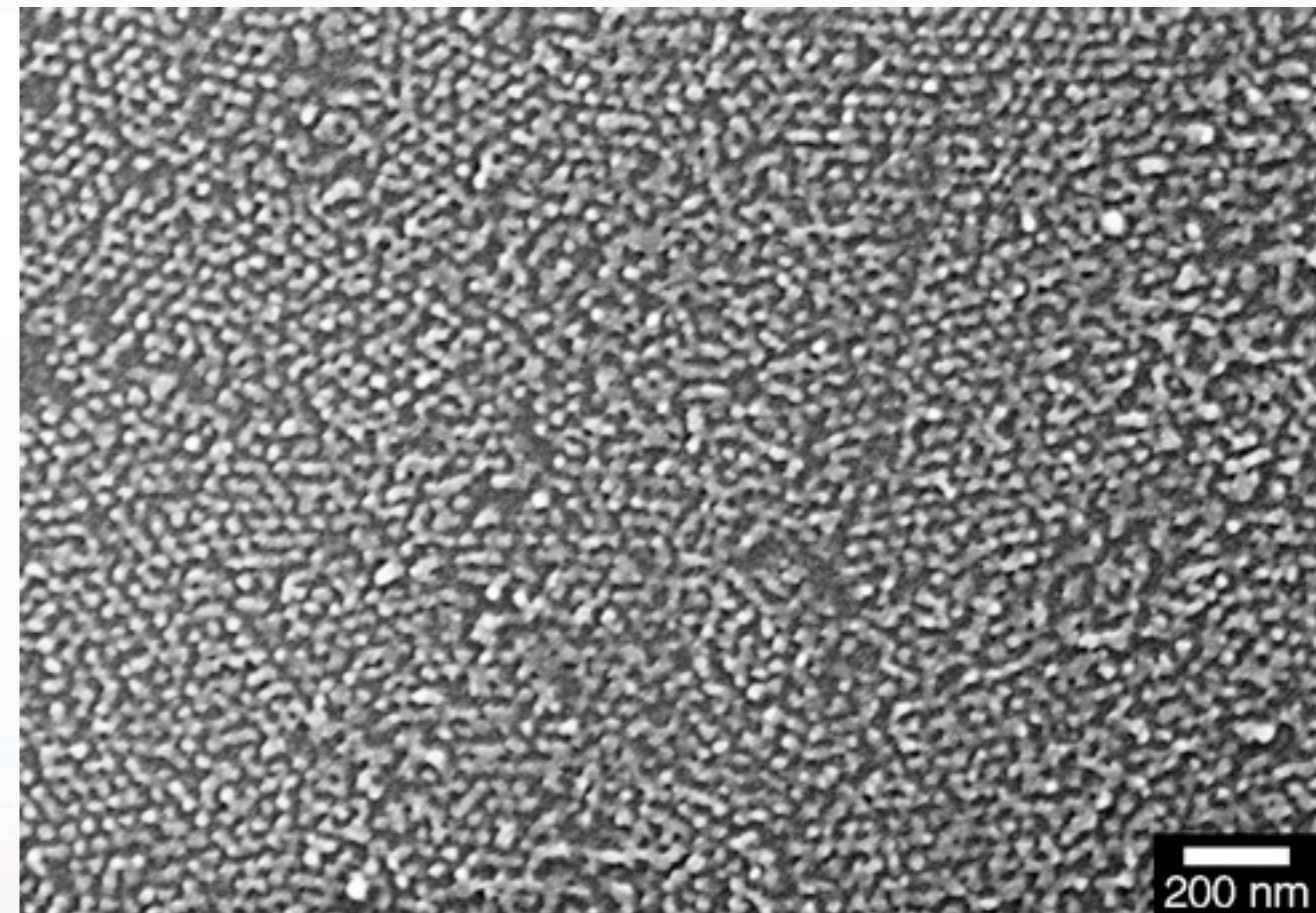


Crystallization Destroys Freestanding Nanofeatures

After removal of PS mask, TiO₂ nanopillars were heated to 550°C for 30min to crystallize



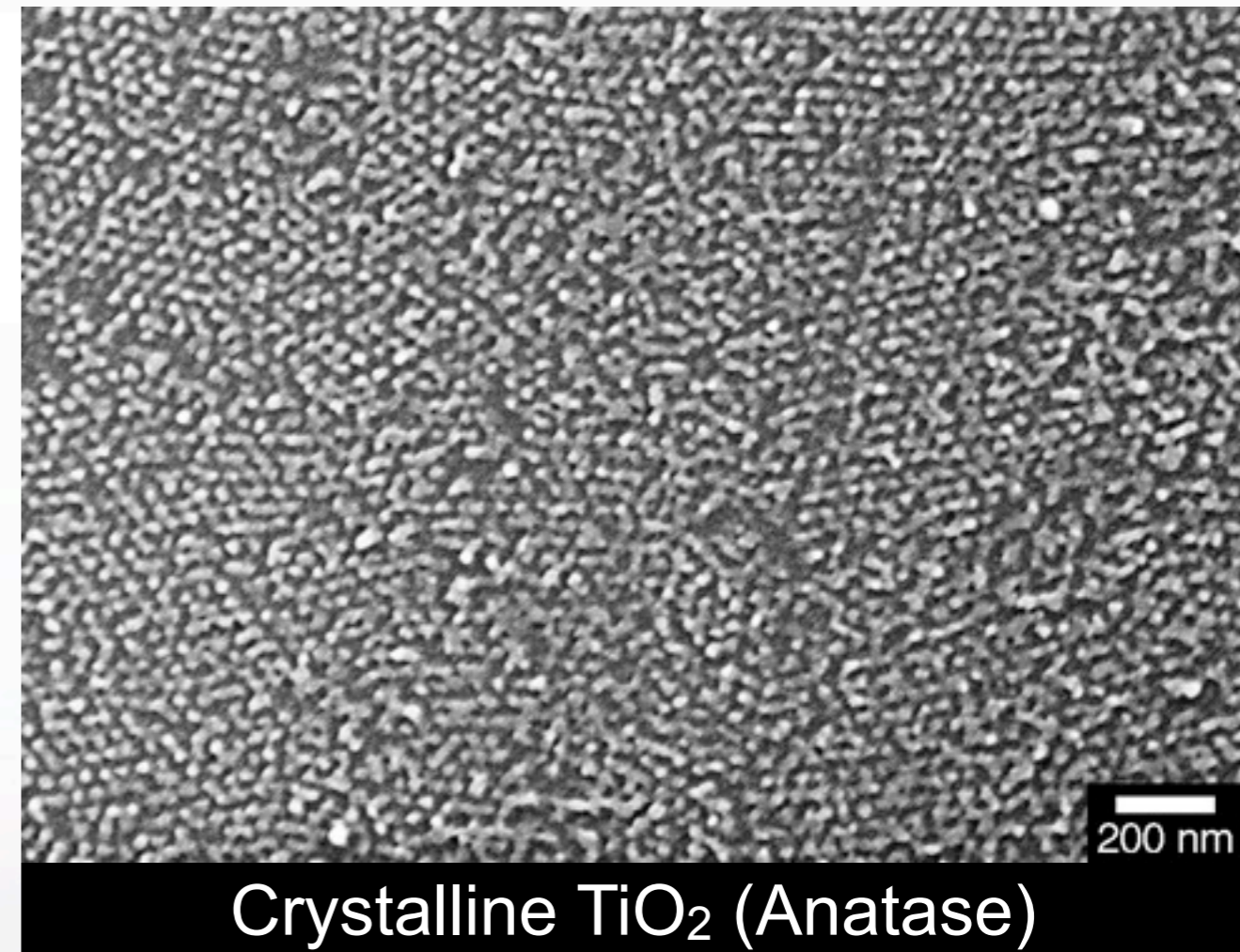
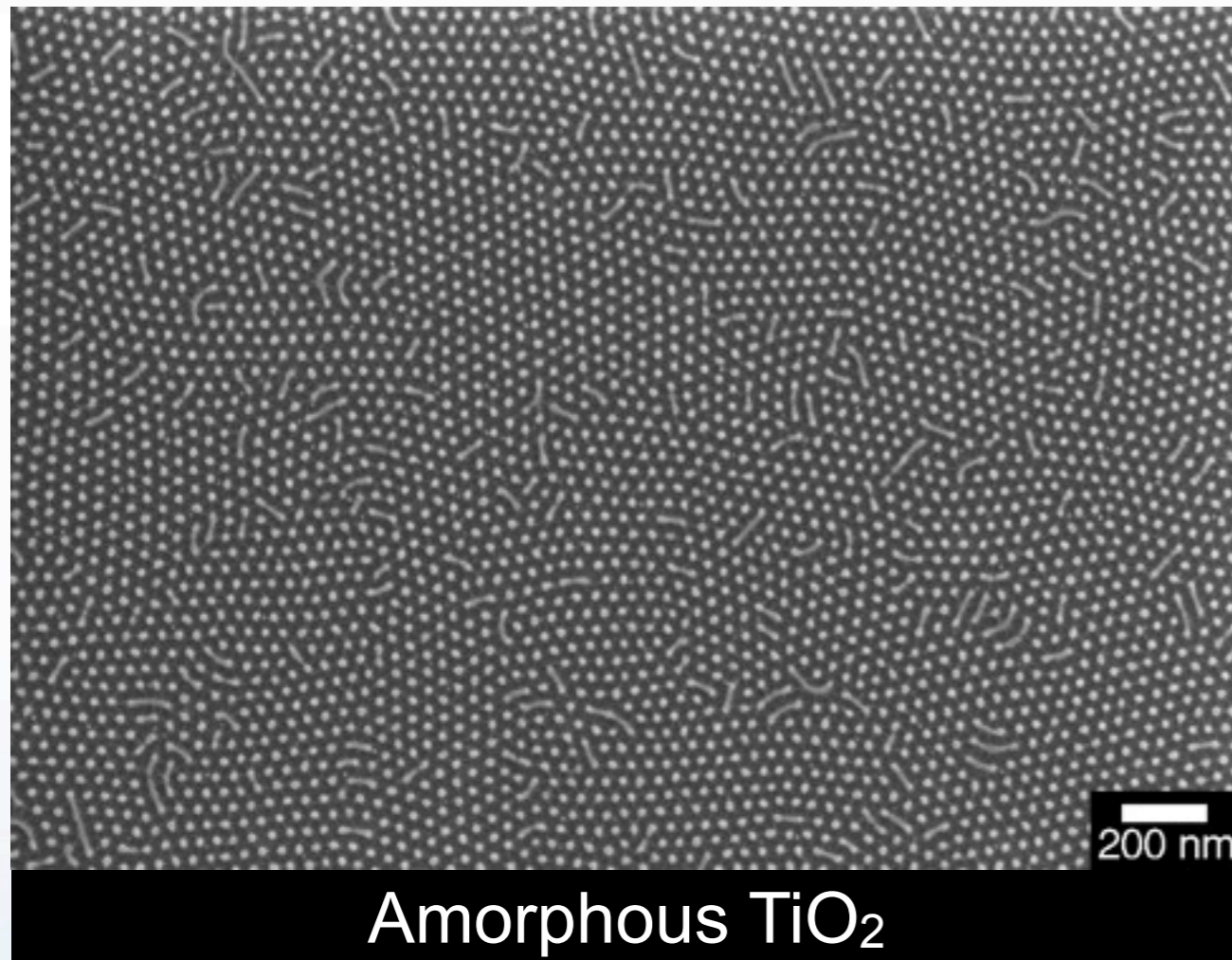
Amorphous TiO₂



Crystalline TiO₂ (Anatase)

Crystallization Destroys Freestanding Nanofeatures

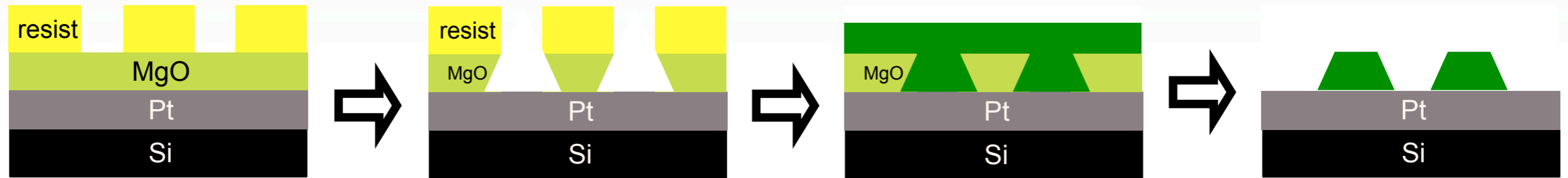
After removal of PS mask, TiO₂ nanopillars were heated to 550°C for 30min to crystallize



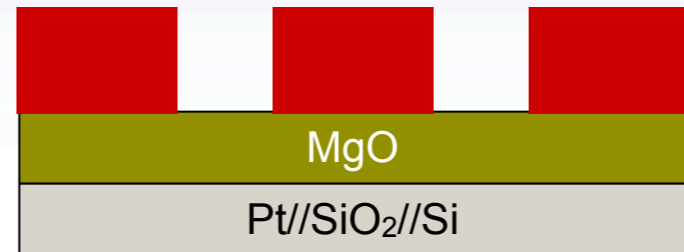
Still lacking:

- Controlled long-range order for addressability
- Crystallization before patterning or within inert and removable mask

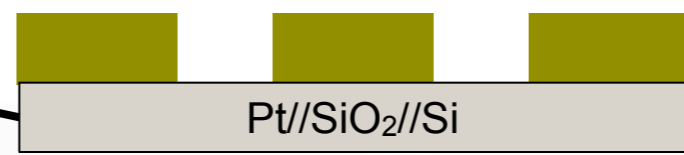
Maintaining Pattern Fidelity through Thermal Processing (>600°C)



Alternate Microscale Patterning



etch



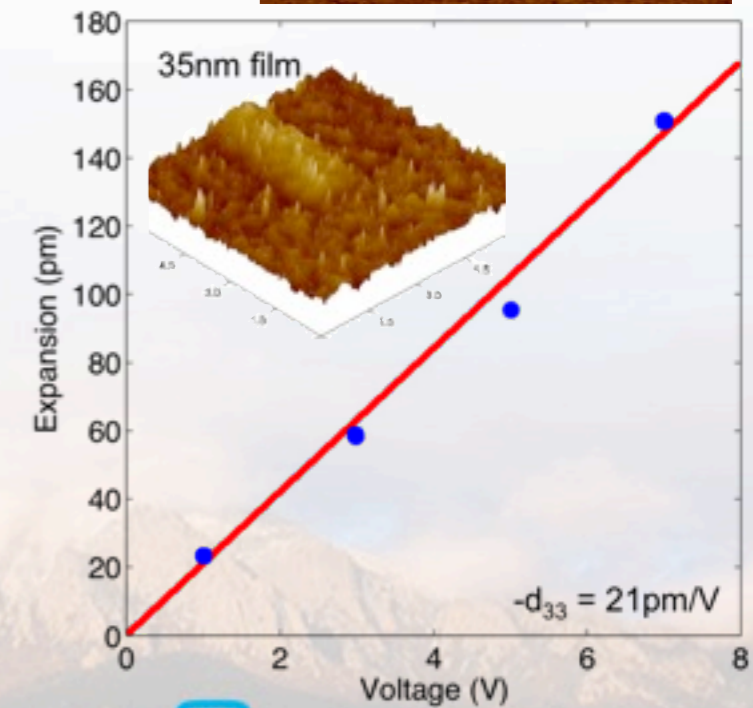
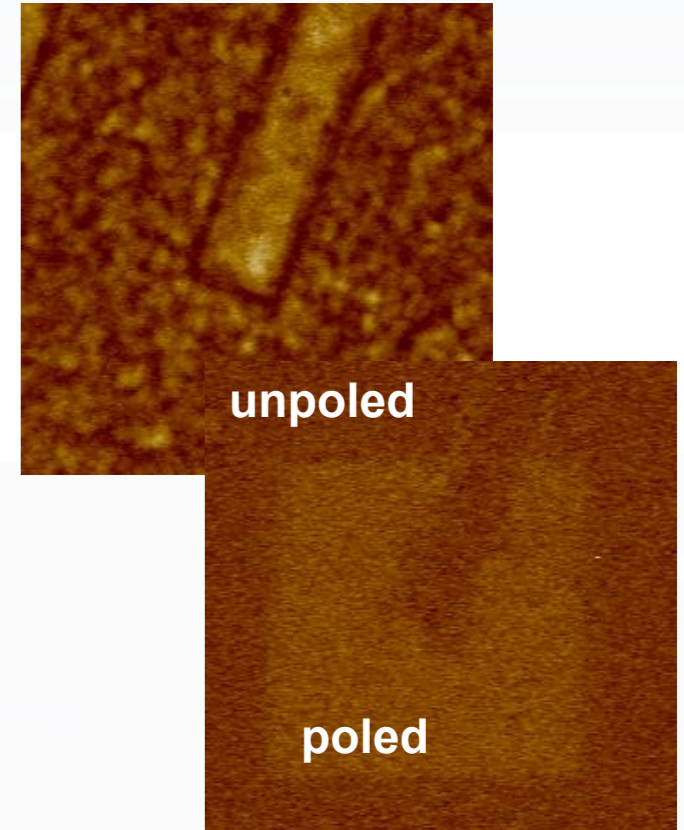
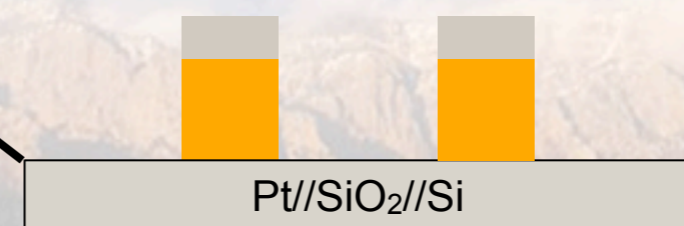
deposit, crystallize



sputter Pt



liftoff



Maintaining Pattern Fidelity through Thermal Processing (>600°C)

Wet Etch Limits to Microscale



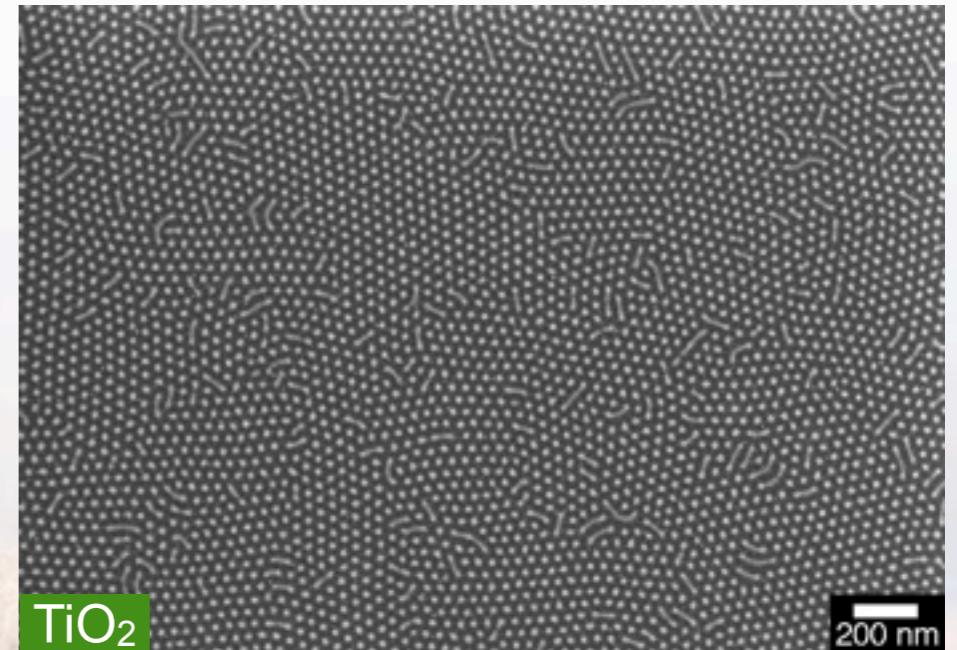
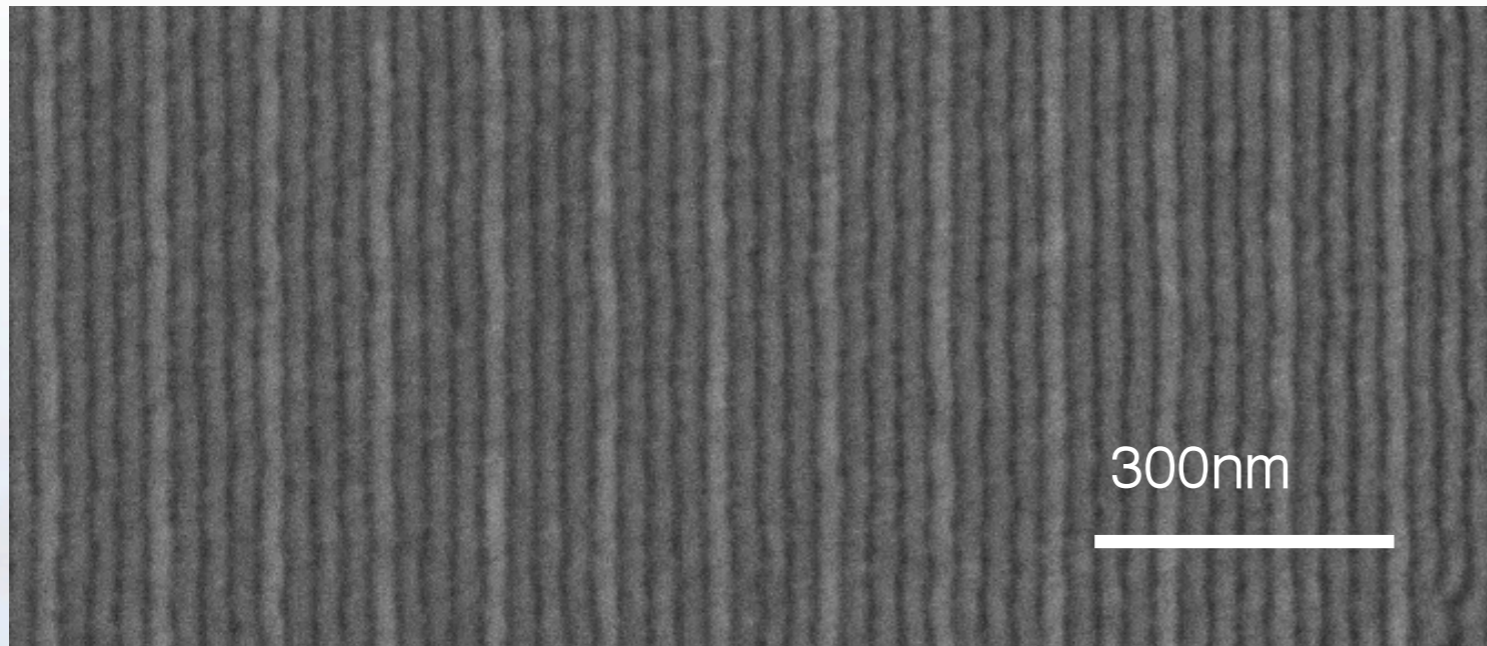
Maintaining Pattern Fidelity through Thermal Processing (>600°C)

Wet Etch Limits to Microscale



Summary

- **Solution deposition of ferroelectrics is alive and well**
- **Up to 4x density multiplication with DSA-BCP over mm² areas**
- **Extended BCP-based patterning to wide variety of materials (substrates and solution-derived features)**
- **Initial work on extending functional solution-derived ferroelectrics to etch-free 2+ dimensions**



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