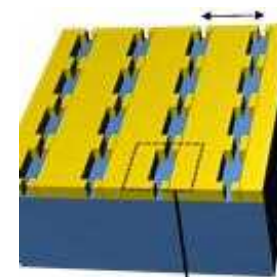


Nanostructures for Control of Nansocale Light-matter Interaction

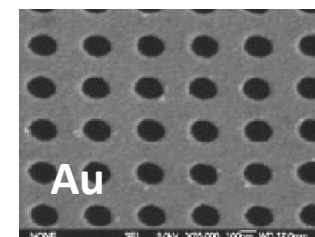
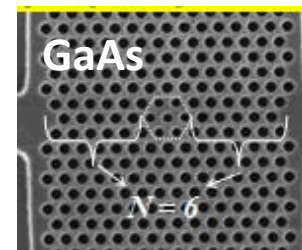
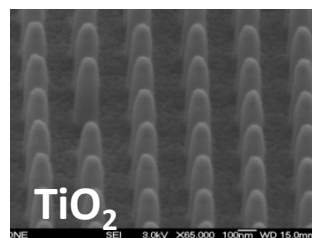
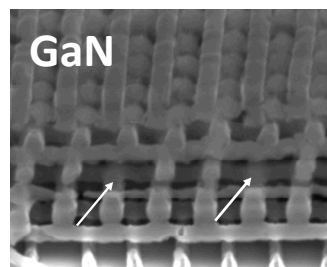
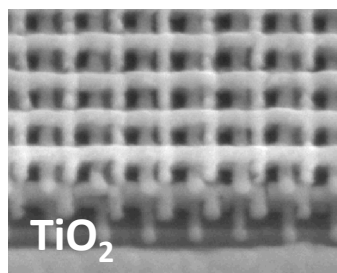
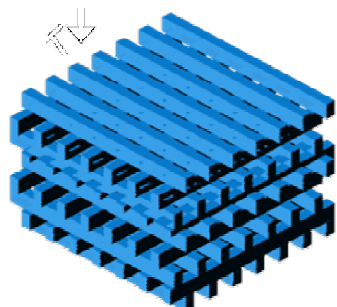
Ganapathi Subramania

Advanced Material Science 01126

Sandia National Laboratories, Albuquerque, NM



*Material Science and Engineering Conference, Las Vegas, NV
October 7-9, 2013*



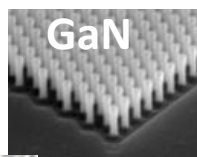
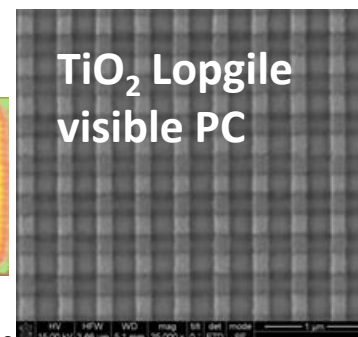
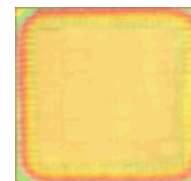
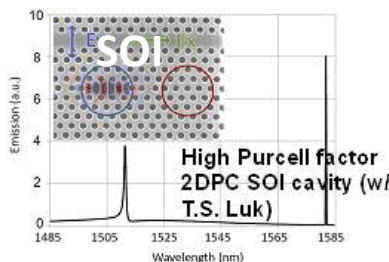
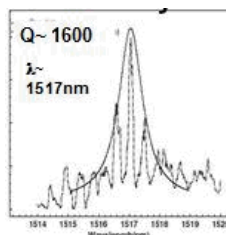
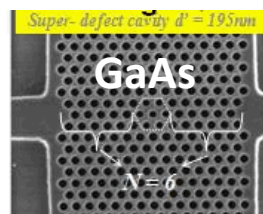
Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000.

Acknowledgements

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- Jon Wierer
- Sheng Liu
- Alex Benz
- John Nogan
- Doug Pete
- Carlos Sanchez
- Tony Coley
- Travis Young
- Luke Lester
- Stavroula Foteinopoulou
- Bob Biefeld
- Jerry Simmons

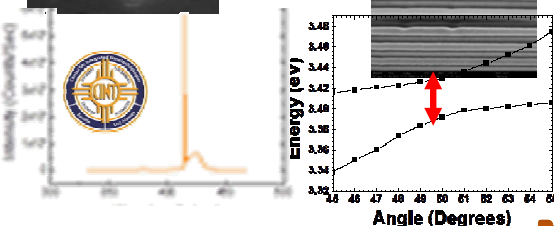
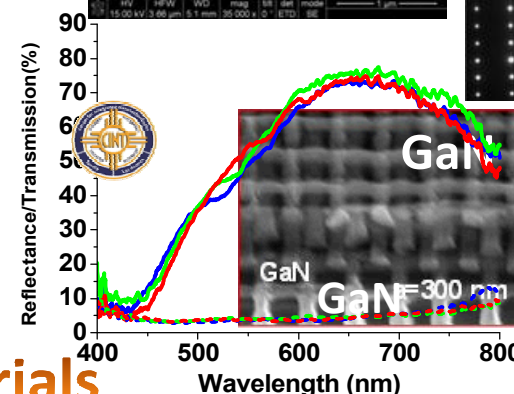
Nanophotonics Activity

Integrated Photonics, Nanoscale lasing, Strong coupling, Full 3D emission control, Thermal control, Solid State lighting, Energy conversion



Strong coupling
(w/A. Fischer)

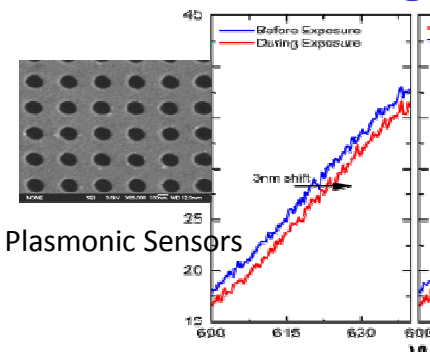
1,2D PC
3D PC
Photonic Nanostructures



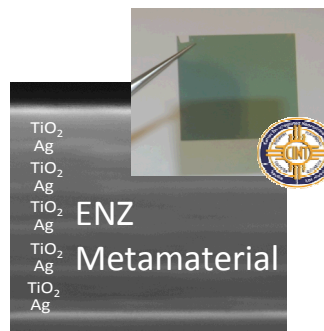
GaN 2DPC laser w/ G. Wang, I. Brener

Metal optics and Metamaterials

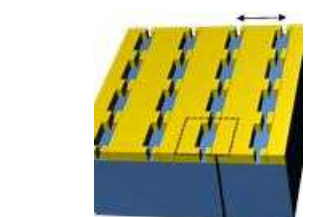
Ultrasubwavelength light control,
Detection, Sensing, Nanocircuitry



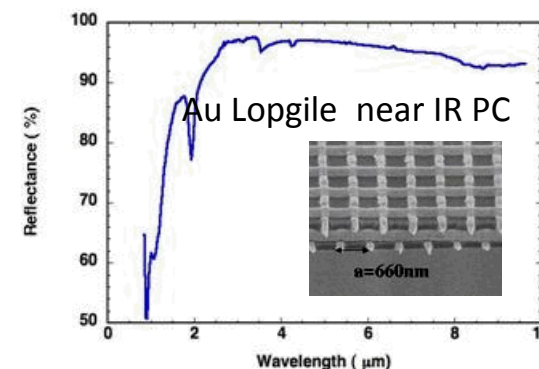
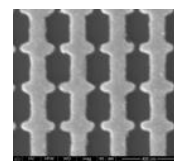
Plasmonic Sensors



ENZ
Metamaterial



Double groove Metal
Nanostructure



Au Logpile near IR PC

Energy Frontier Research Center for Solid-State-Lighting Science

- Duration: Aug 2009 – Jul 2014
- One of 46 EFRC centers nationwide
- Budget: \$3.6M/year for 5 years

Director:

Mike Coltrin

Chief Scientist:

Jeff Tsao



Senior Staff

Jerry Simmons

Andy Armstrong

Normand Modine

Weng Chow

Dan Koleske

Ken Lyo

Jianyu Huang

Francois Leonard

Jim Martin

Lauren Rohwer

May Nyman

Igal Brener

Willie Luk

Ganesh Subramania

Eric Shaner

Post-Docs and

Students

Jeremy Wright

Business Staff

Rene Sells

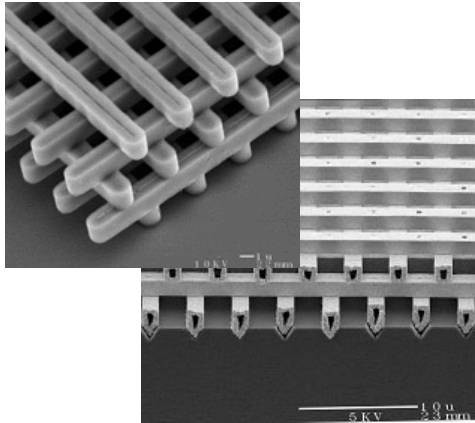
Jessica Hobbs

Denise LaPorte

Luz Tirado

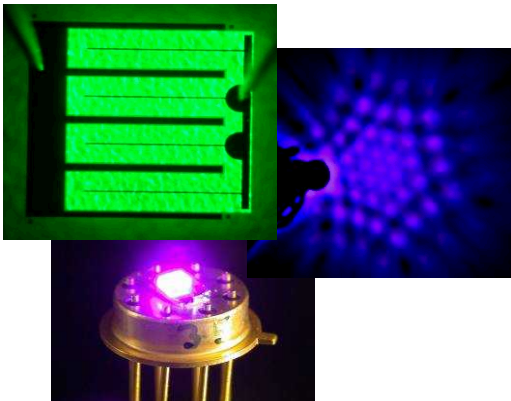
Objective : Understand Spontaneous Emission Control to Benefit SSL

3D photonic crystals

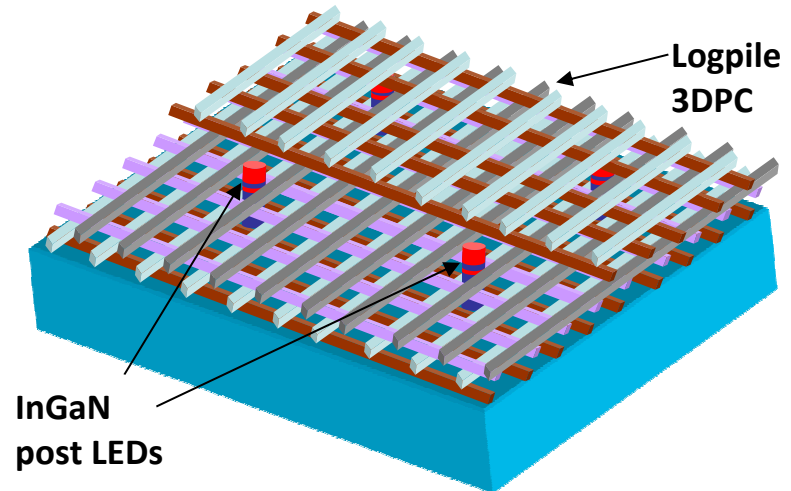


- Sandia has strong capabilities in 3DPC and Nitrides
- Merge InGaN LED research with 3D photonic crystal research.

InGaN LEDs



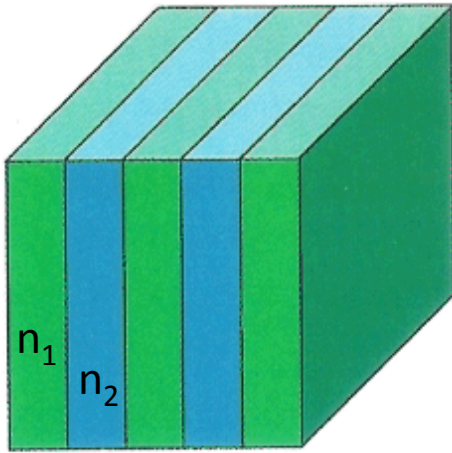
InGaN LED array incorporated into a 3DPC



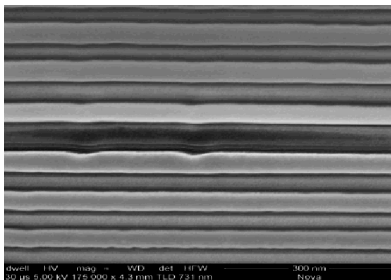
Improving InGaN LED efficiency enhancements will benefit SSL – e.g. Green emission.

Periodic Sub-wavelength Structures for EM Control: Photonic Crystals (PC)

1-D

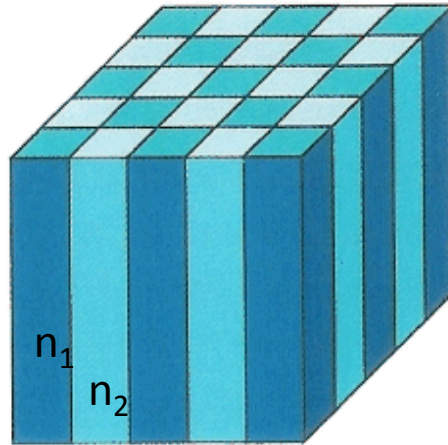


Periodic in 1 dimension

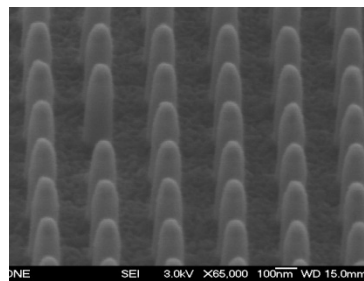


HfO₂/SiO₂ DBR cavity

2-D

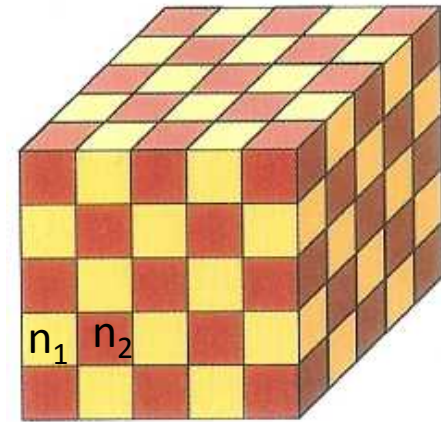


Periodic in 2 dimensions

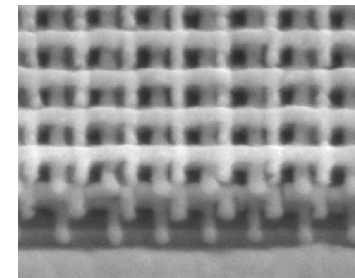


Array of TiO₂ rods

3-D



Periodic in 3 dimensions



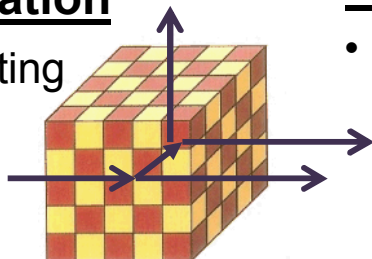
TiO₂ logpile PC

Reference: "Photonic crystals: Molding the flow of light", J.D. Joannopoulos, R.D. Meade, J. Winn, Princeton Univ.Press, NJ(1995)

Photonic Crystals: A Great Platform for Light Manipulation

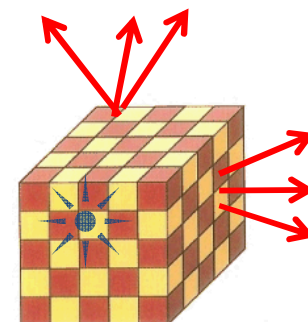
Control of light propagation

- Guiding, bending and splitting
- Negative refraction
- Self-collimation
- Localization
- Slow light
- Cloaking

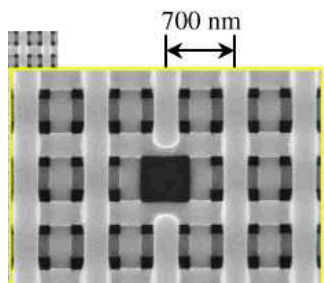


Control of light emission

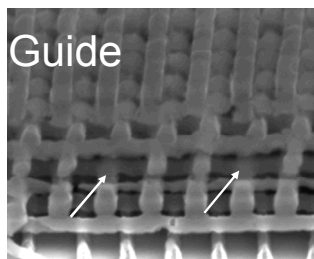
- Full 3D confinement effect
 - Photon – Atom Bound states,
 - Non-Markovian emission process



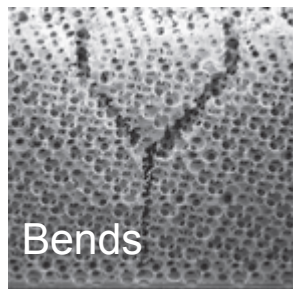
- Photonic Density of States effect
 - Spontaneous emission enhancement



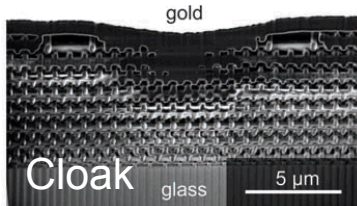
S. Kawashima et. al., Opt. Exp. **18**, 386 (2010)



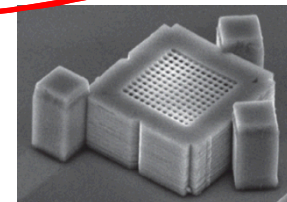
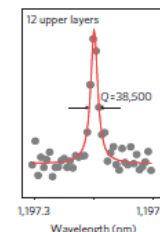
G. Subramania et. al, Nano Lett. **11**, 4591 (2011).



S.A. Rinne et. al., Nat. Phot. **2**, 52(2008)

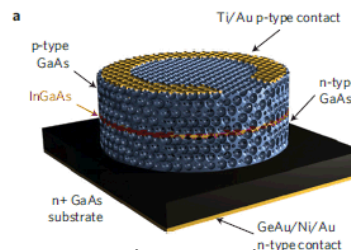


T. Ergin et. al., Science **328**, 337 (2010)



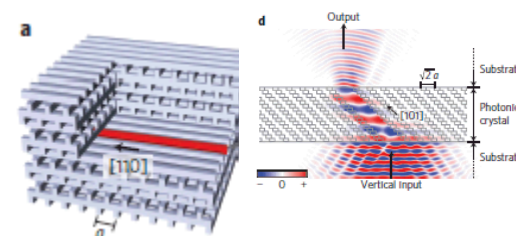
A. Tandraechanurat et. al., Nat Photon **5**, 91 (2011).

Electrical Injection



E. C. Nelson, et al., Nat Mat **10**, 676 (2011)

Emission enhancement



K. Ishizaki et.al. , Nat. Phot., **7**, 133 (2013)).

Spontaneous Emission Control using PC

Radiative rate of an emitting dipole in an EM field
(Fermi's Golden Rule)

$$\Gamma_r(\omega) = \frac{\pi\omega\mu^2}{3\varepsilon_0\hbar} \rho(\omega)$$

Photonic Density of States (PDOS)

$$\rho_f(\omega) = \frac{\omega^2}{\pi^2 c^3} \quad \leftarrow \text{PDOS in free space}$$

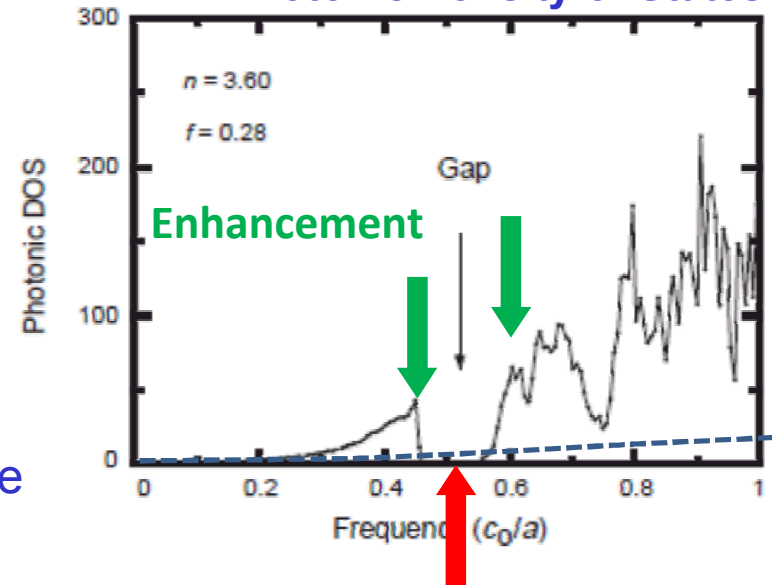
Radiative rate
modification

$$\frac{\Gamma_{r_PC}(\omega)}{\Gamma_{r_free}(\omega)} = \frac{\rho_{PC}(\omega)}{\rho_f(\omega)}$$

Local Photonic Density of States (LDOS)

$$\rho_L(\mathbf{r}, \omega) = \sum_n \int_{1stBZ} d^3k |\bar{\boldsymbol{\mu}} \cdot \mathbf{E}_{n,k}(\mathbf{r})|^2 \delta(\omega^2 - \omega_{n,k}^2)$$

PC Photonic Density of States

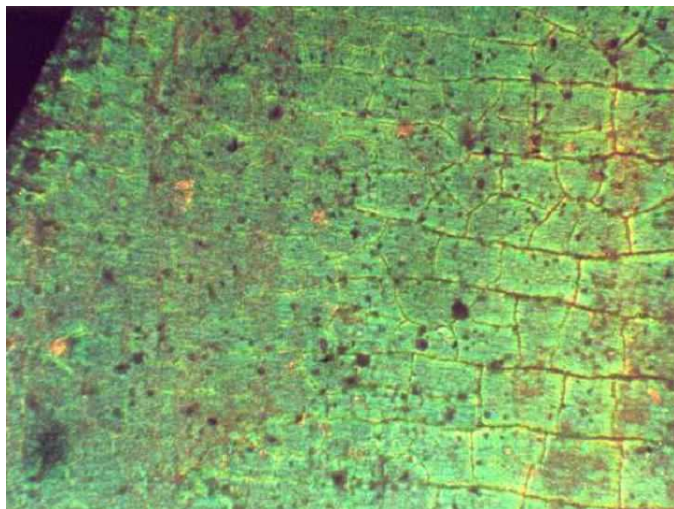
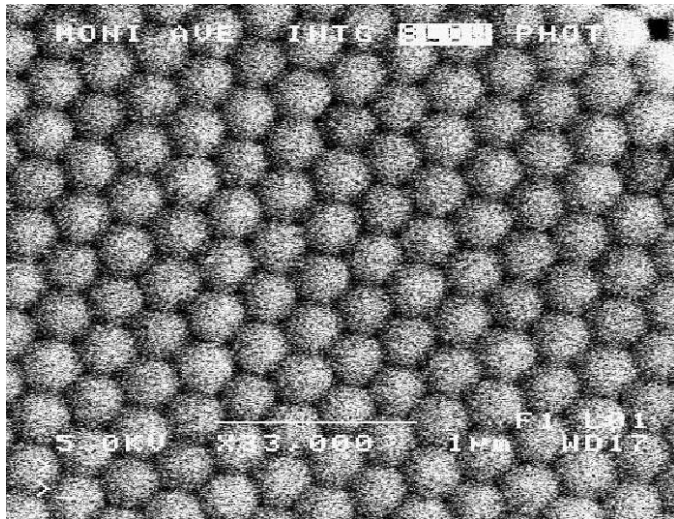


Also Important :

- Where the emitters are located in the unit cell
- The orientation of the emitter dipole w.r.t to the eigenfield

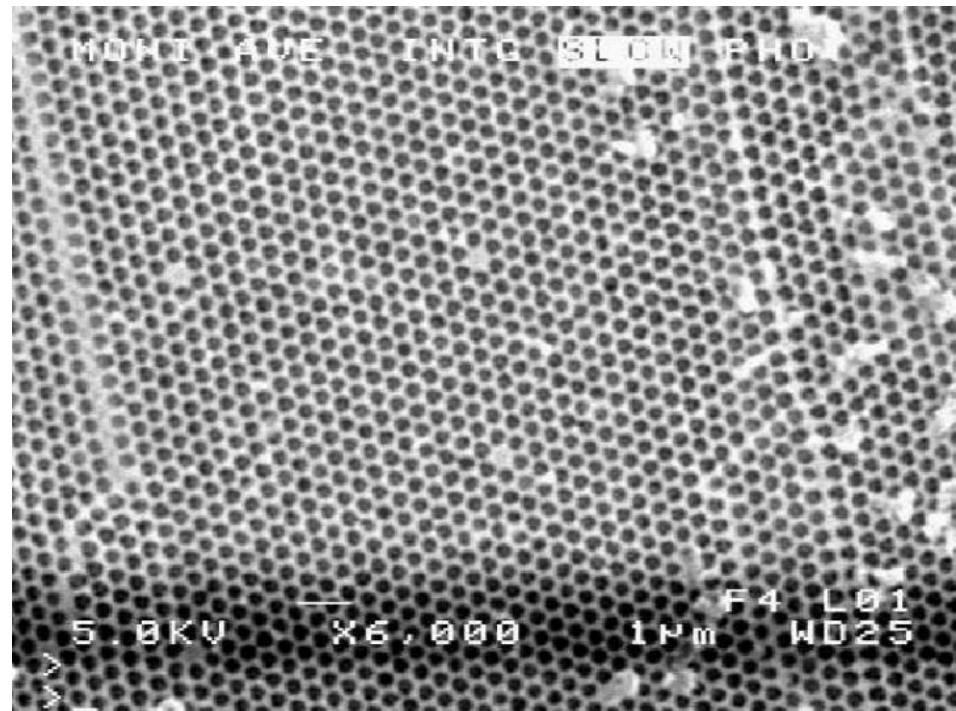
- TiO_2 inverse opal photonic crystal
- TiO_2 logpile photonic crystal
- GaN logpile photonic crystal
- GaN nanowire photonic crystal lasers

TiO₂ Inverse Opal 3D Photonic Crystal



Periodicity achieved using close packed nanospheres -> FCC lattice

High index material: Titania (TiO₂)

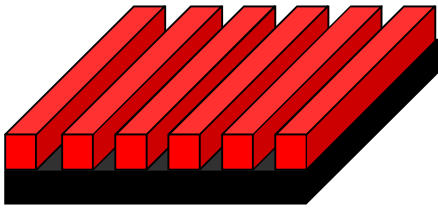


1. G. Subramania et. al. Applied Physics Letters **74**, 3933-3935(1999,).
2. G. Subramania et. al. , Synthetic Metals, **116**, 445-448 (2001).
3. G. Subramania et.al. , Physical Review B, **63**, 235111-235117(2001).

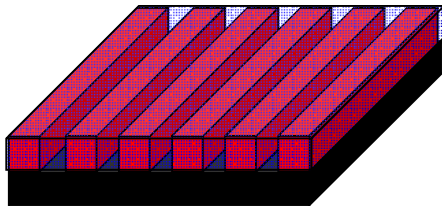
Multilevel E-beam Fabrication Process

PC fabricated one layer at a time.

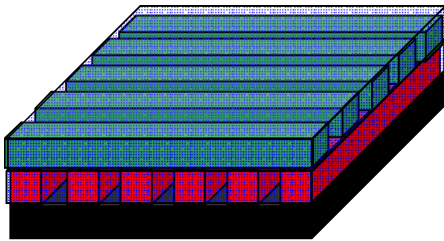
1. Fabricate first level



2. Fill and planarize



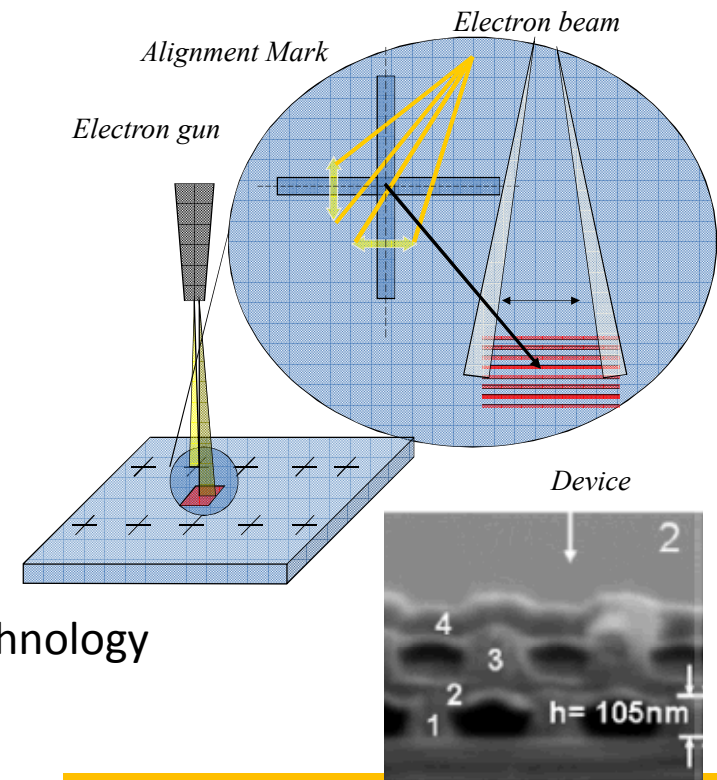
3. Make next level



4. Repeat process to make additional levels

Alignment

- Lattice constants $< 400\text{nm}$
- Alignment precision to within 10% of lattice constant needed
- High quality Au registration marks



- Alignment
- Planarization

G. Subramania, Nanotechnology
18, 035303 (2007).

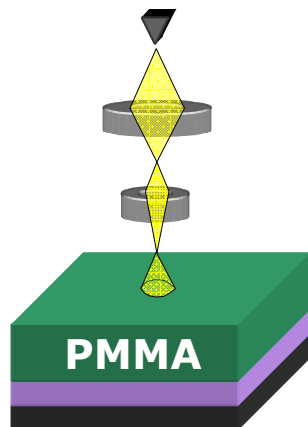
Excellent alignment was obtained
with both JEOL- JBX5E and 9300FS

TiO₂ Logpile Photonic Crystal Fabrication Process

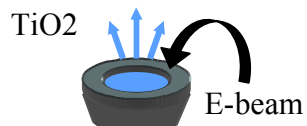
1. Deposit SiO₂



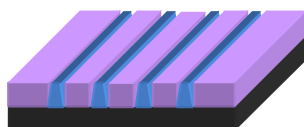
2. E-beam Write



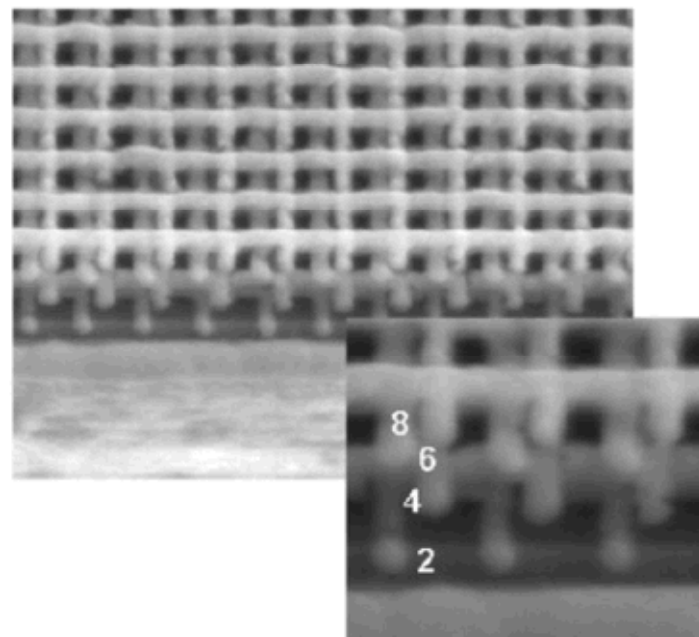
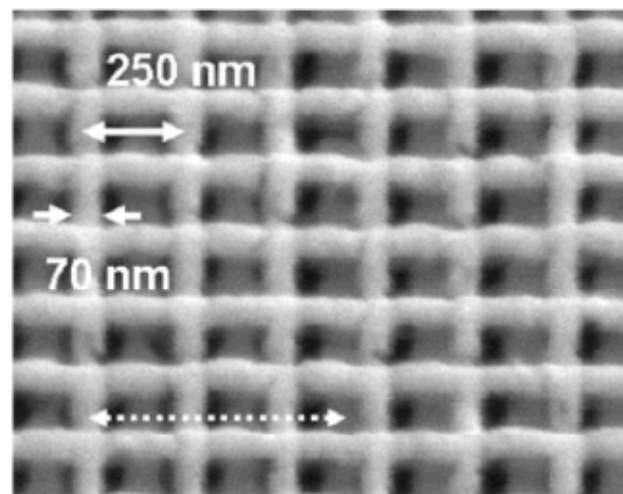
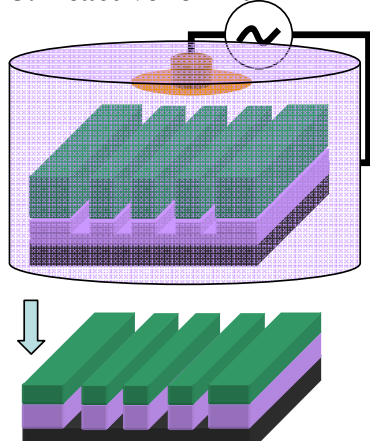
4. E-beam evaporation of TiO₂



5. Post lift-off

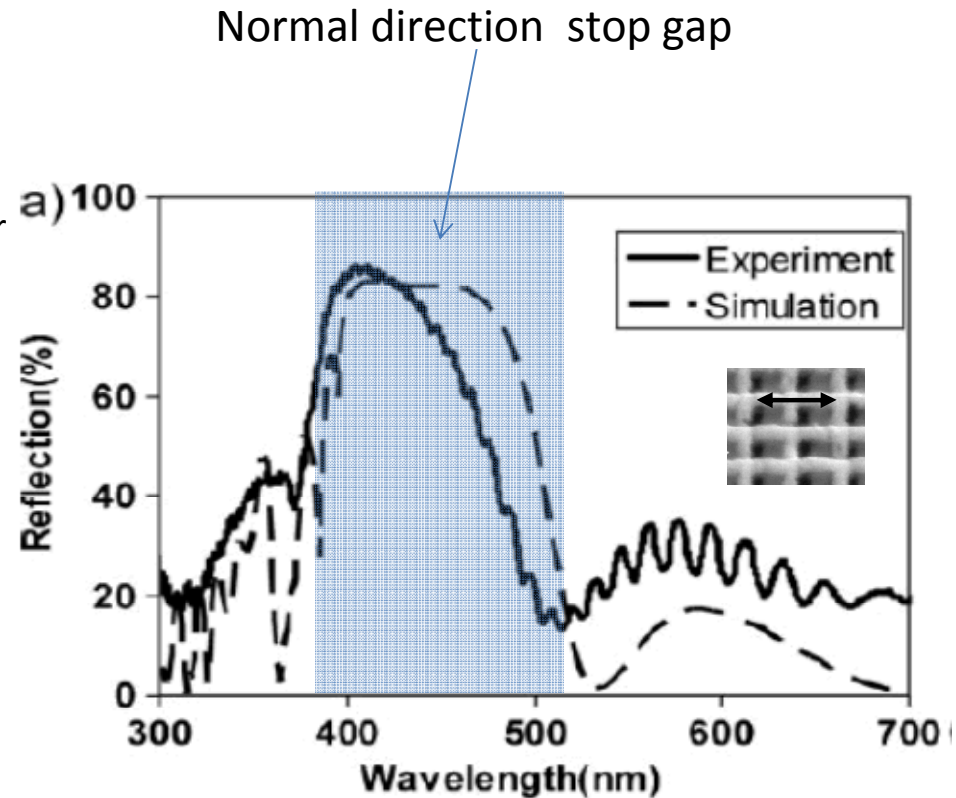
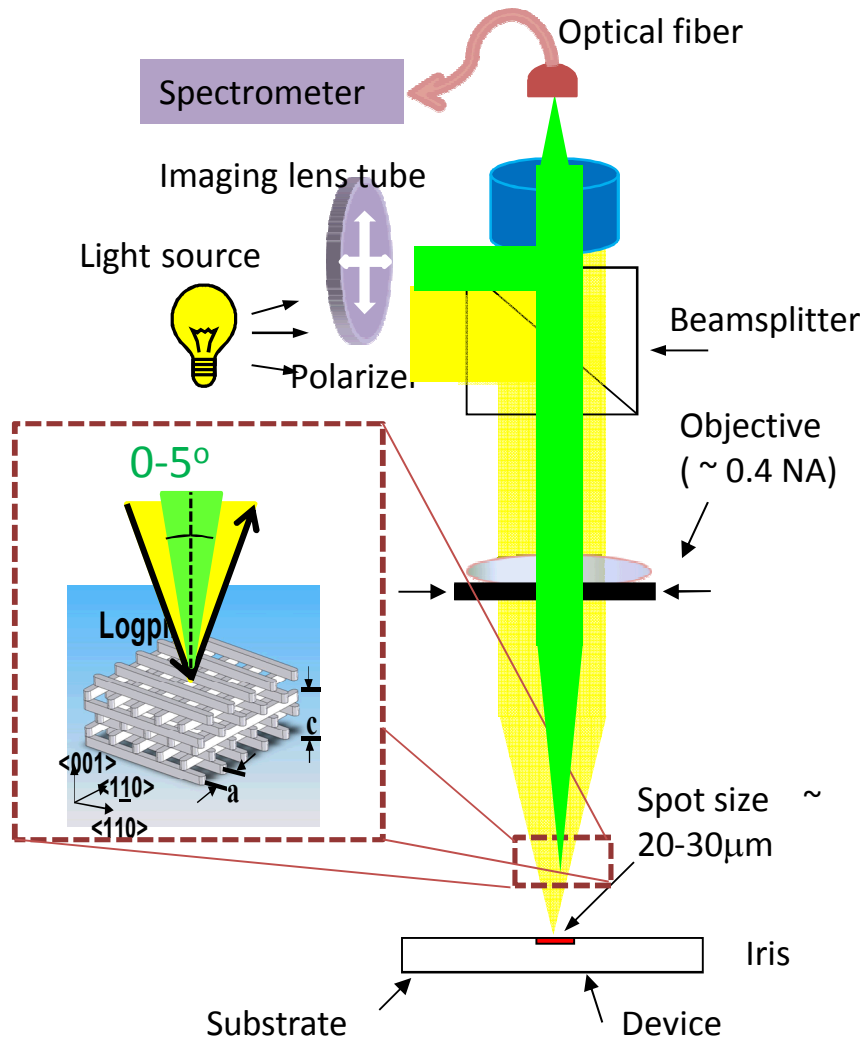


3. Reactive-ion Etch



G. Subramania et. al., Adv. Mat. **22**, 487-491 (2010)

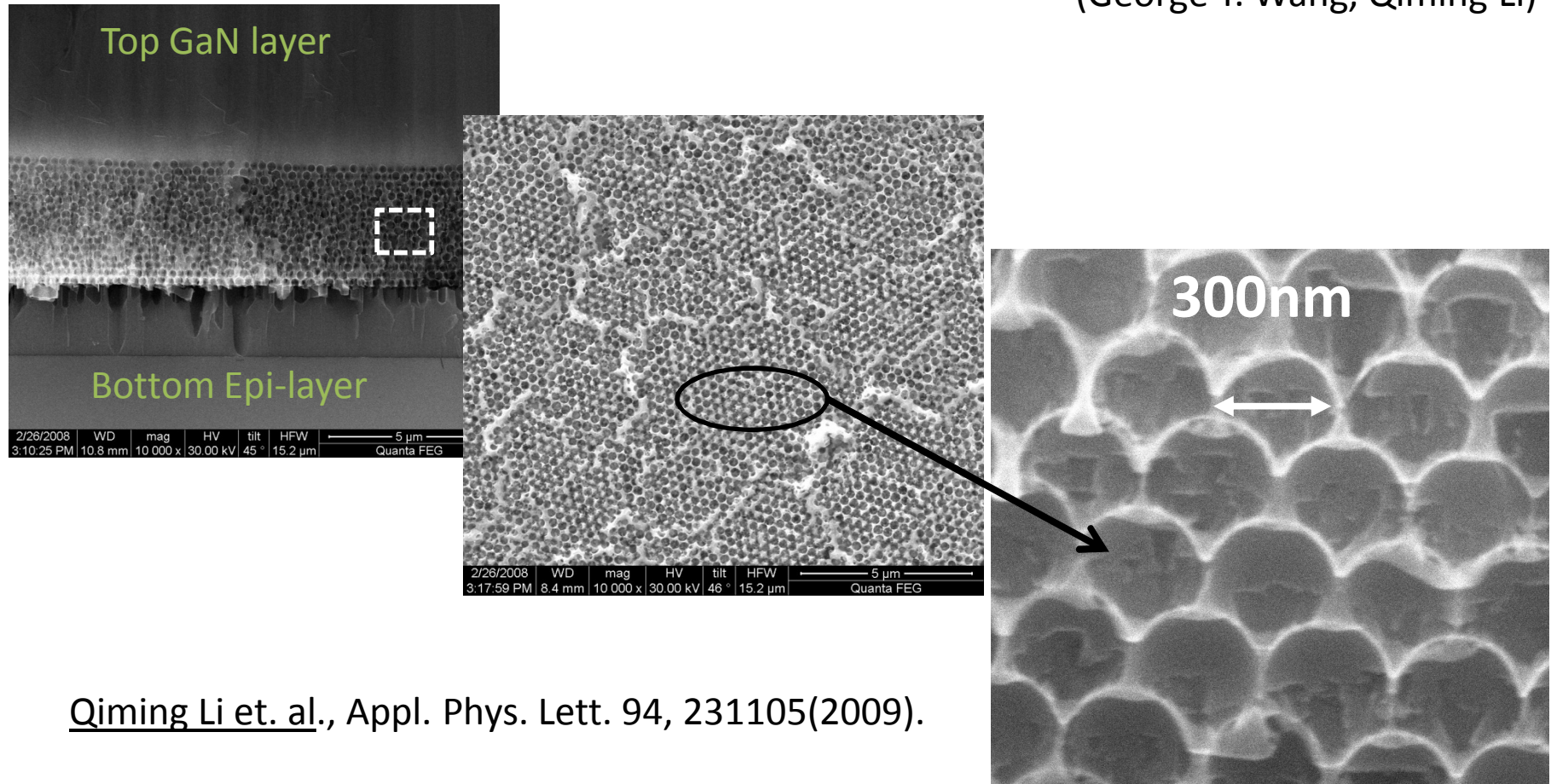
Visible- Near UV 9 Layer TiO_2 Logpile



G. Subramania, Y.-J. Lee, A. J. Fischer, and D. D. Koleske, *Advanced Materials* **22**, 487-491 (2010)

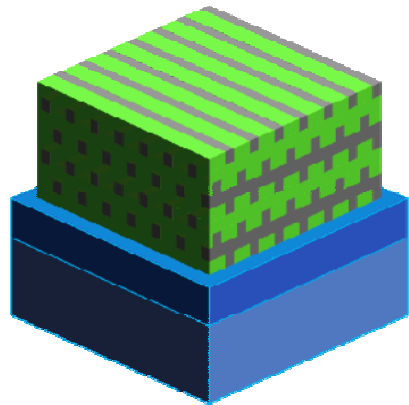
Epitaxial GaN grown though nanostructured template reduces dislocation density

(George T. Wang, Qiming Li)

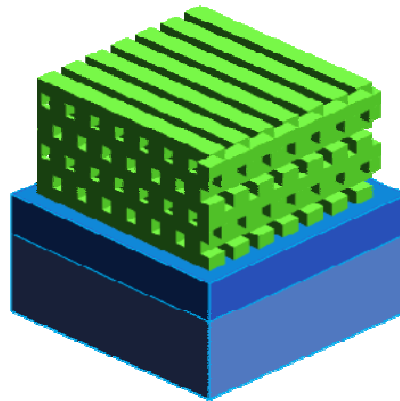


Qiming Li et. al., Appl. Phys. Lett. 94, 231105(2009).

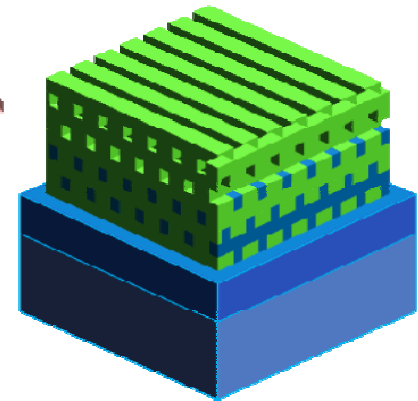
GaN growth through logpile template



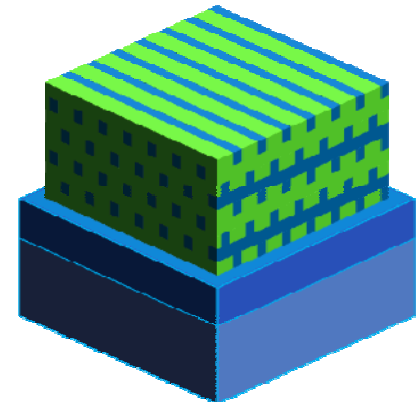
1. Si/SiO₂ logpile PC



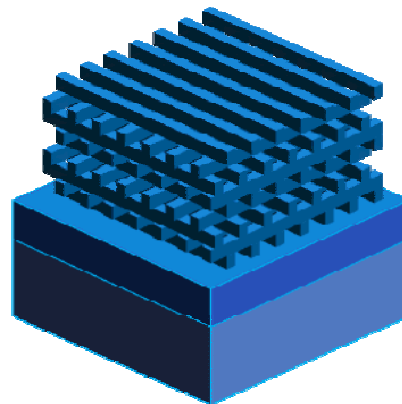
2. After Si is removed with KOH.



3. MOCVD GaN growth through SiO₂ template



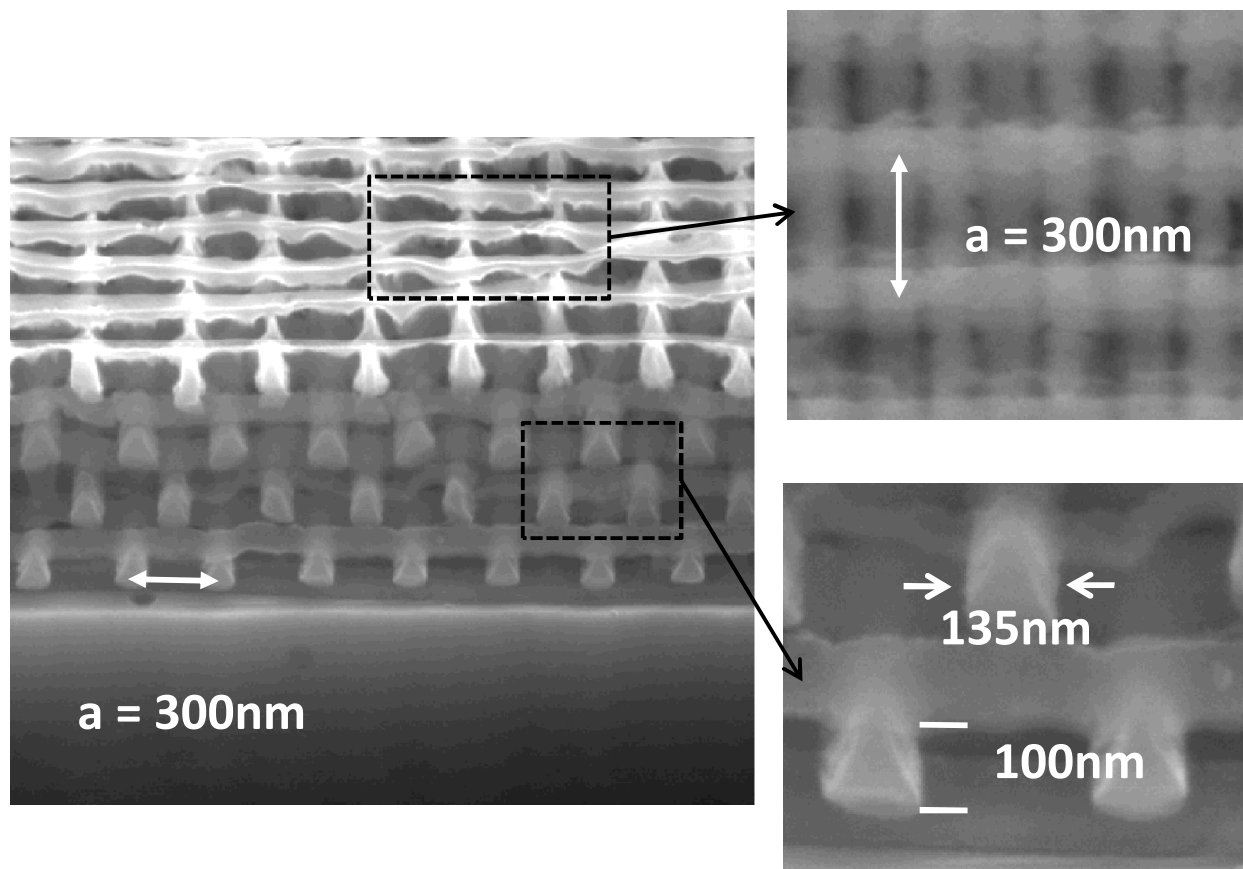
4. After complete GaN infiltration into SiO₂ logpile template



5. GaN logpile PC after SiO₂ logpile template removal

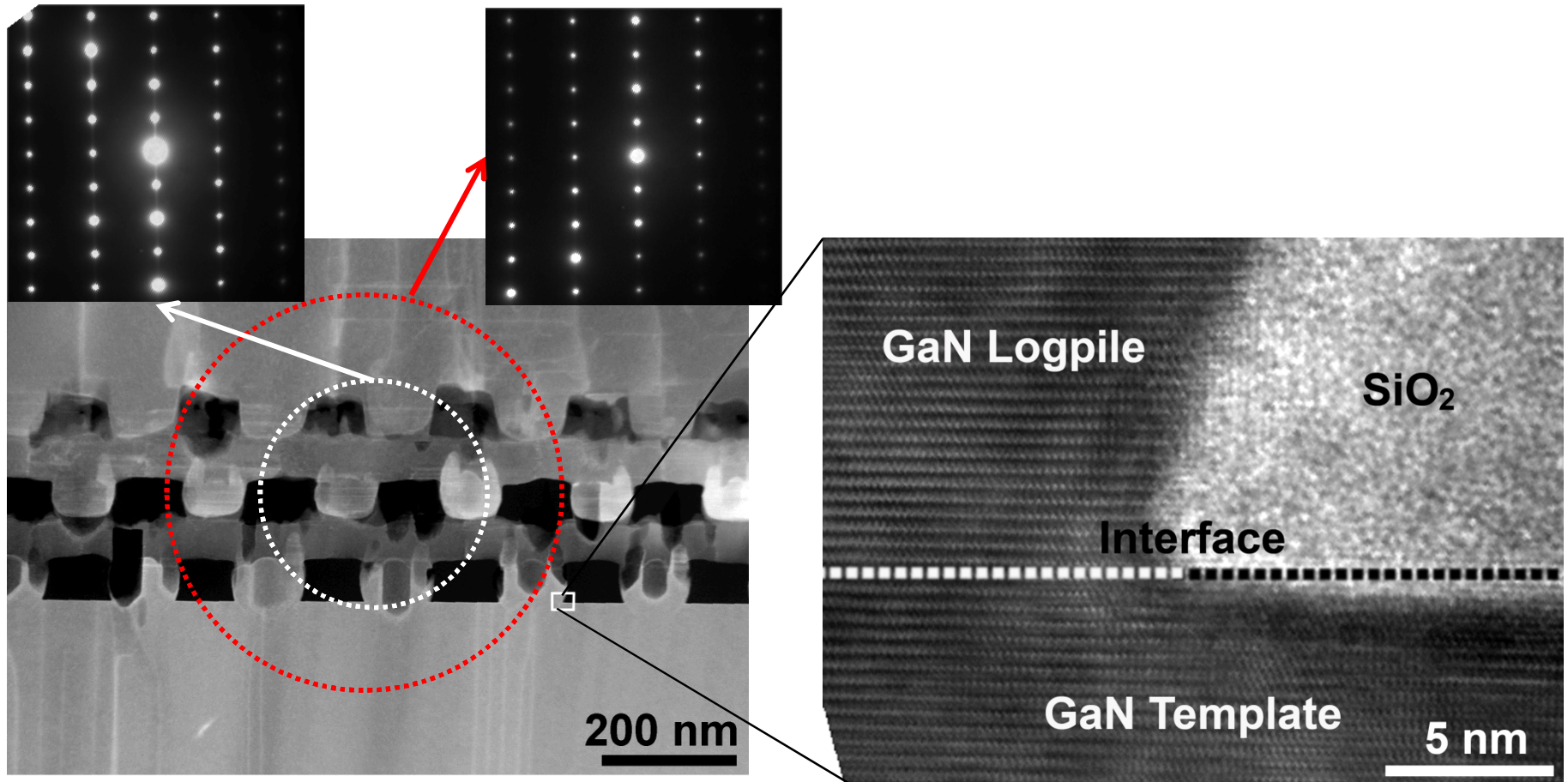


A nine layer all GaN logpile PC



G. Subramania, Q. Li, Y.-J. Lee, J. J. Figiel, G. T. Wang, and A. J. Fischer, Nano Lett. **11**, 4591 (2011).

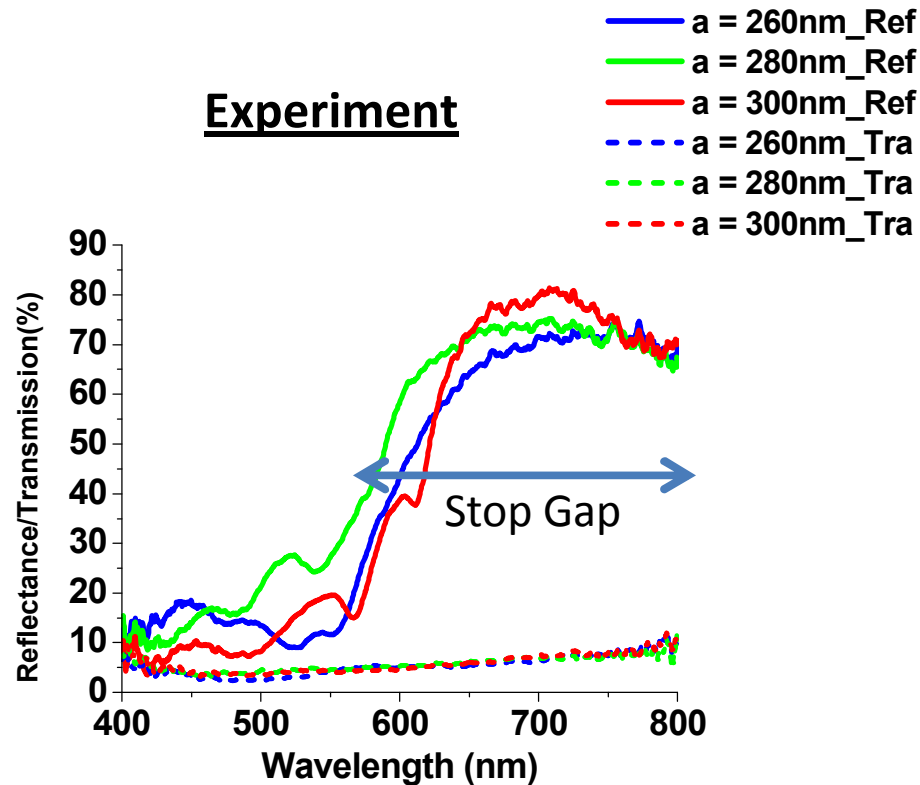
Bottom-up epitaxial growth of crystalline GaN



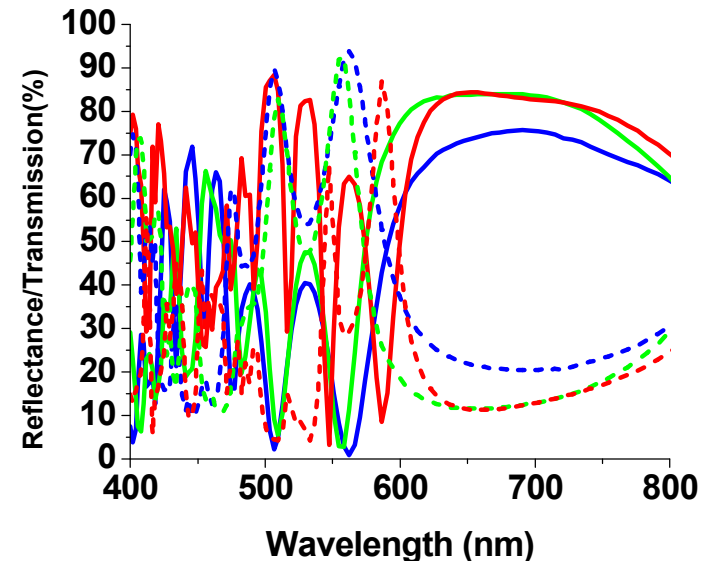
G. Subramania et. al. , Nano Lett. **11**, 4591 (2011).

Optical Response

Experiment



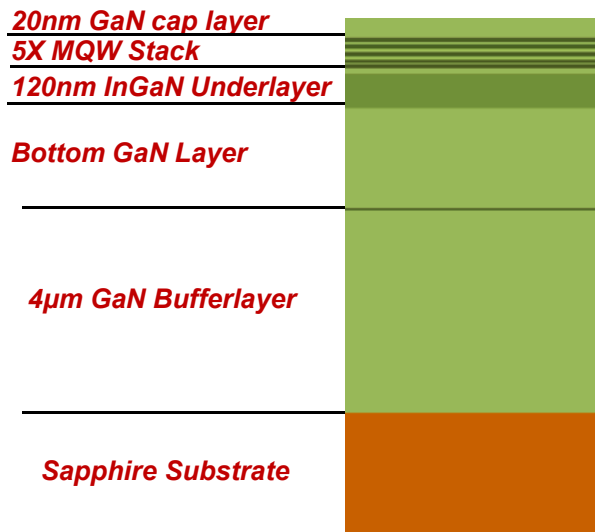
FDTD Simulation



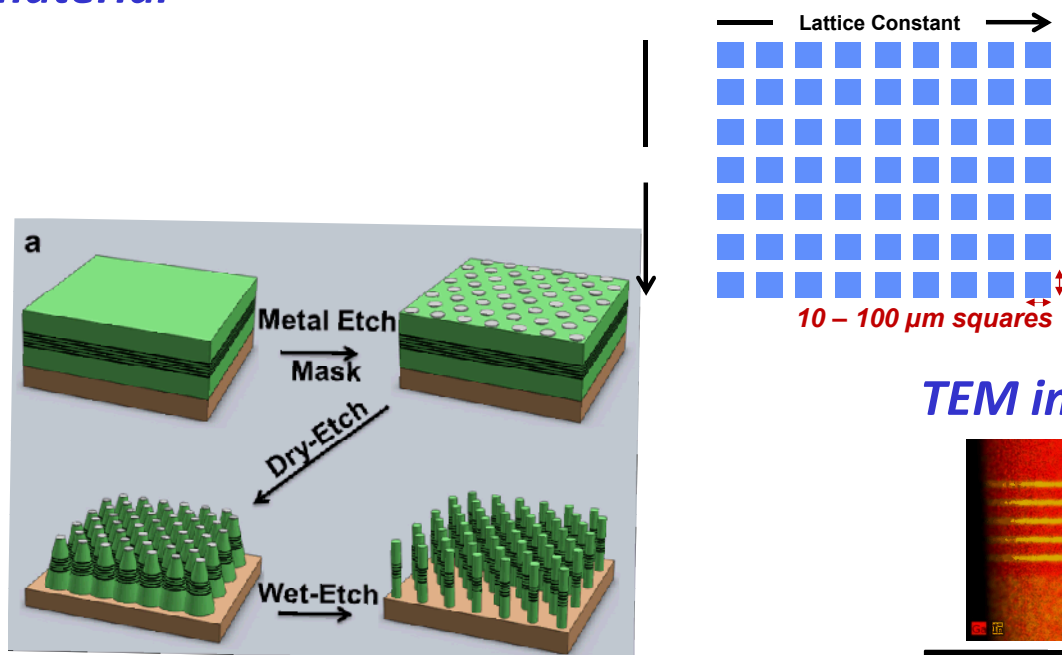
G. Subramania et. al. , Nano Lett. **11**, 4591 (2011).

Photonic Crystal III-N nanowire laser array

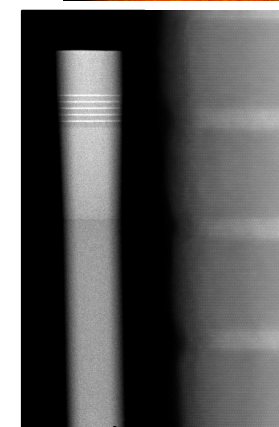
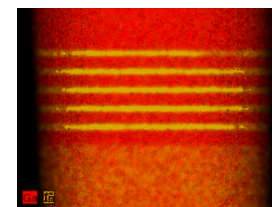
Growth of III-N active material



Patterning of 2DPC using e-beam lithography

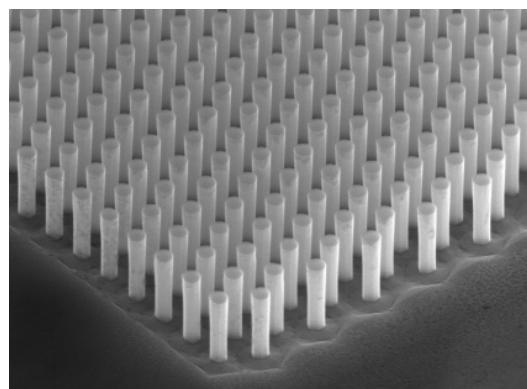
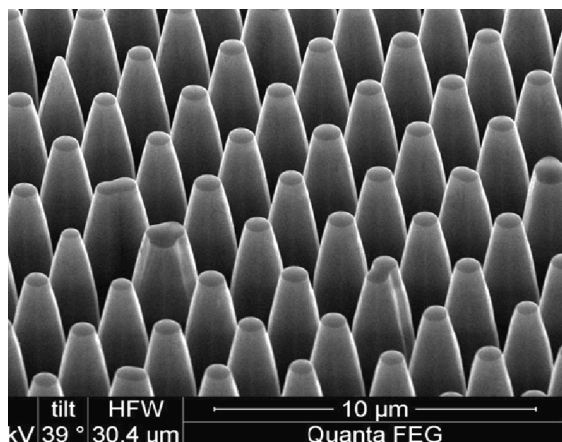


TEM images



Ping Lu,
Sandia

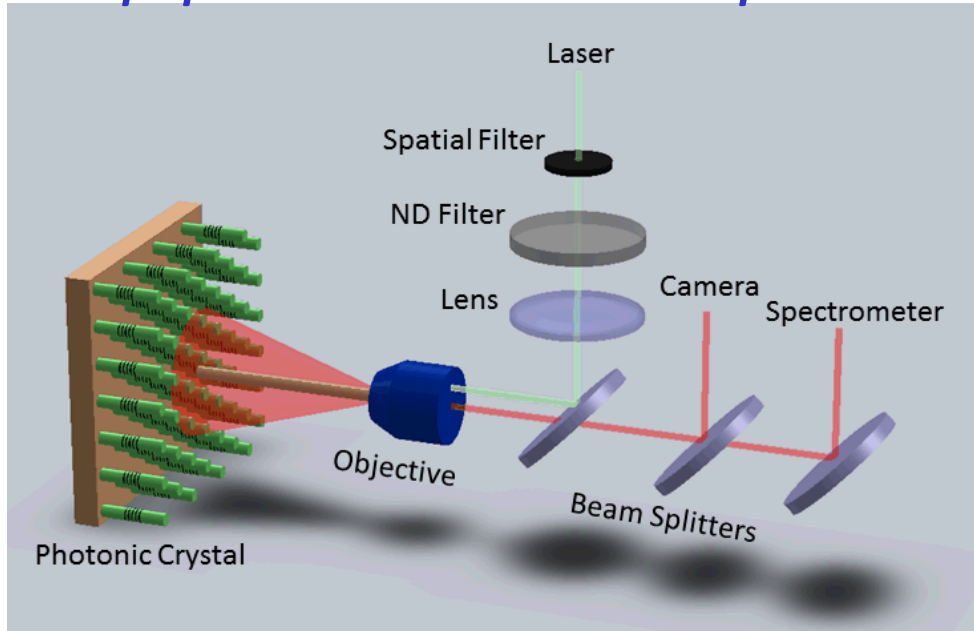
Inductively coupled plasma dry etch



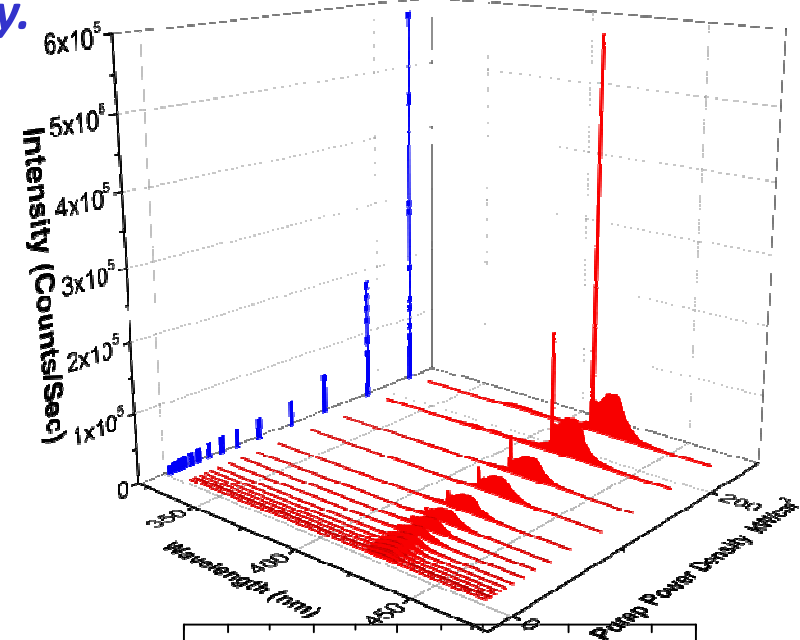
Wet etch using KOH process

Optical response – low threshold lasing

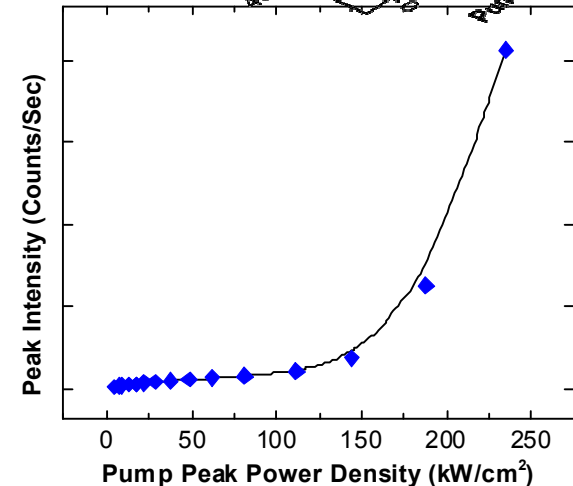
Pump spot is tunable in size and power density.



**Array Element Dimensions:
 $d=145\text{ nm}$ and $a=320\text{ nm}$.**

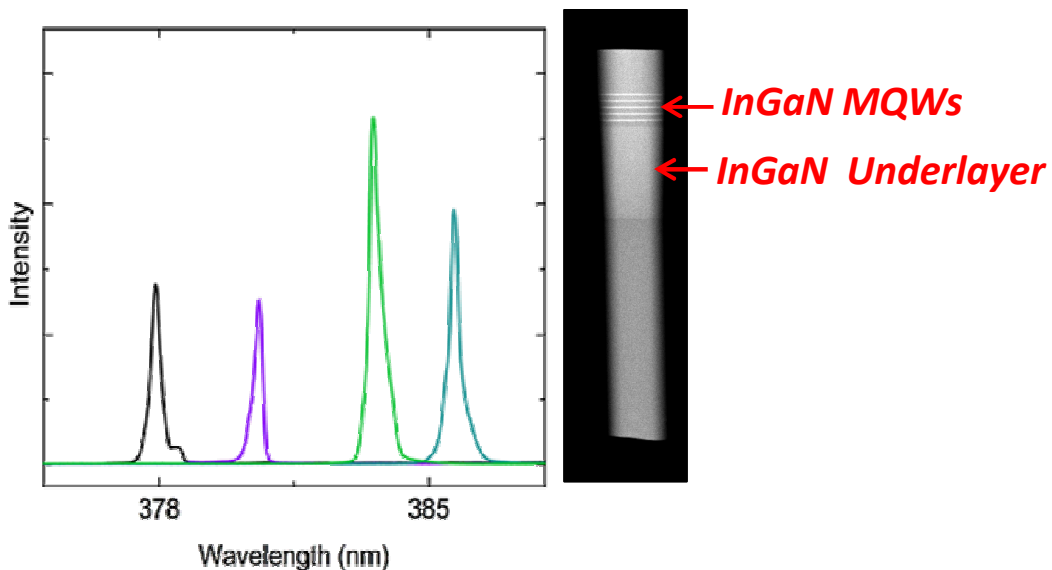


A low lasing threshold is achieved with $< 500\text{ kW/cm}^2$ for all PC lasers fabricated.

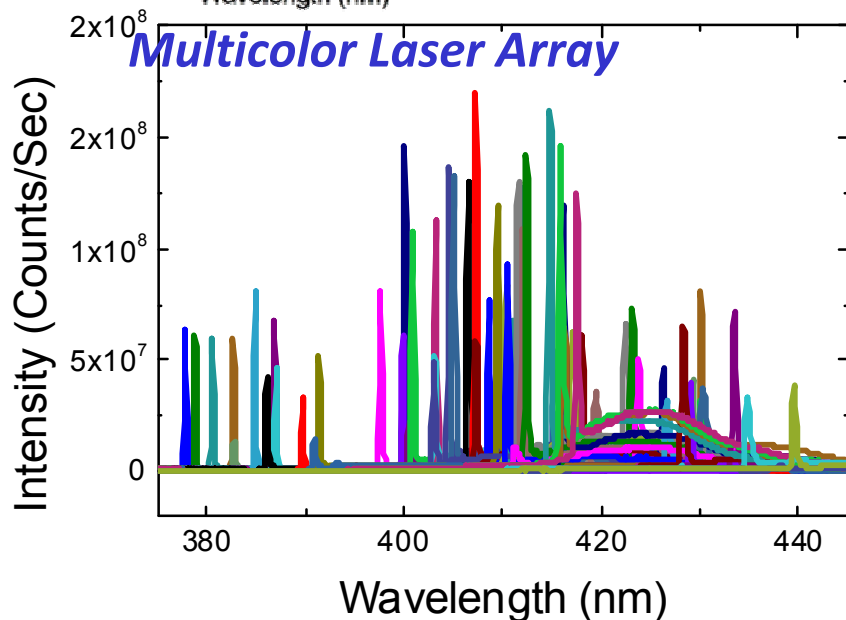


Results

Gain from multiple gain sections



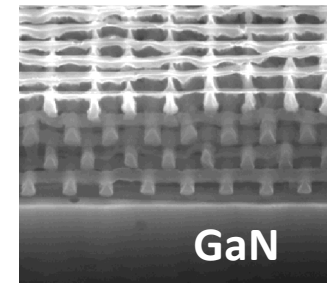
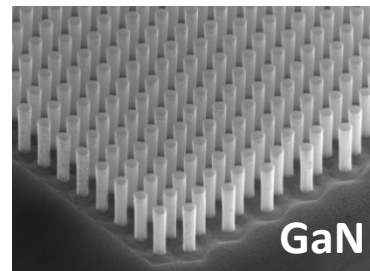
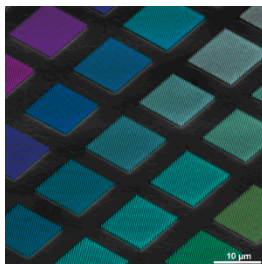
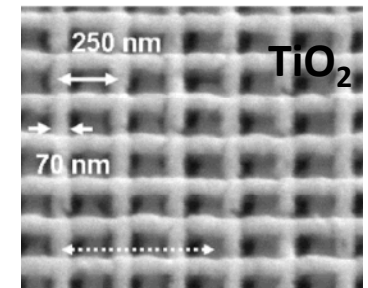
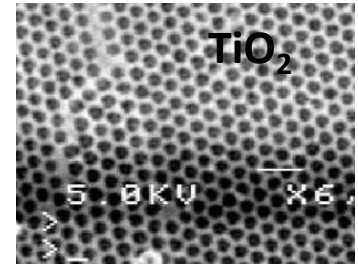
- *Multiple gain regions can simultaneously lase*
- *Pathway for enhancing the available lasing spectral range*



- *Wavelength tunability (lattice constant and nanowire diameter).*
- *Multiple color laser emission on a single epitaxial wafer covering a spectral range from 380-440nm.*

Summary and Outlook

- *Photonic Crystals are very effective in controlling light matter interaction*
- *Enhances photonic density of states*
- *Low threshold lasing*
- *Demonstration of PCs in the visible with different material systems*
 - *TiO_2 , GaN, Si*



Thank you for your attention!

Backup slides

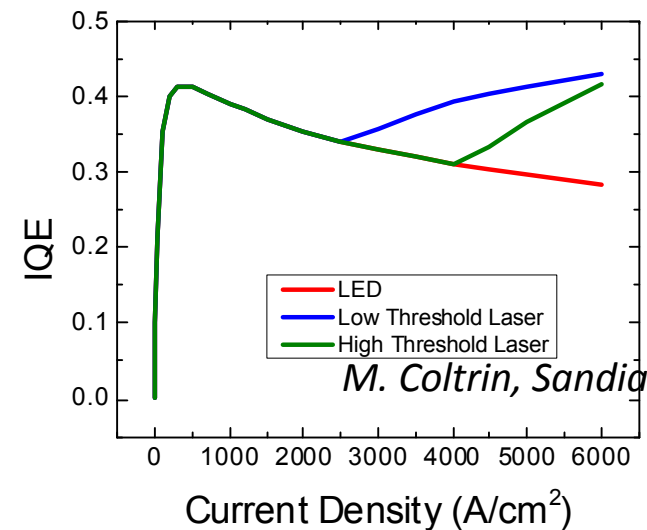
Nanowire Photonic Crystal Lasers

(Jeremy B. Wright, Sheng Liu, Alexander Benz, George T. Wang, Qiming Li, Daniel D. Koleske, Ping Lu, Huiwen Xu, Luke Lester, Ting S. Luk, Igal Brener)

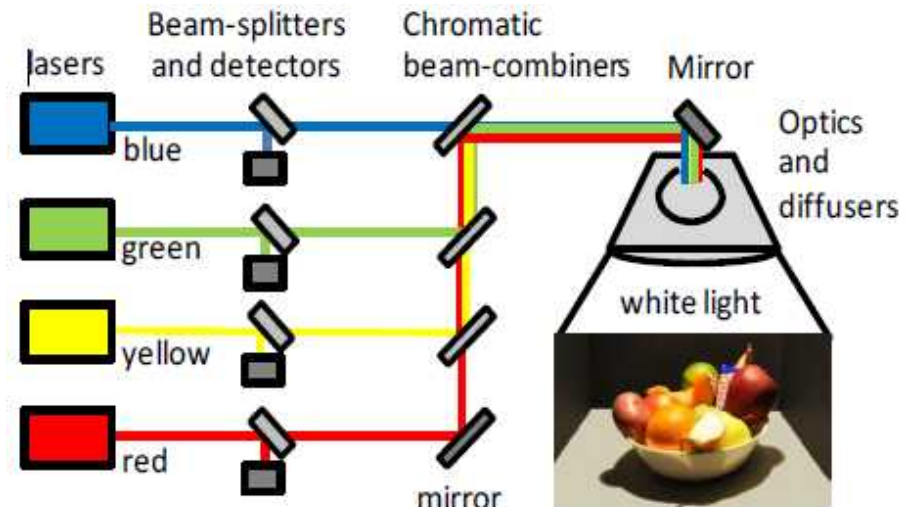
Why Lasers in Solid State Lighting?

The LED “efficiency droop” problem:

- InGaN LEDs exhibit a decrease in efficiency at high drive currents*
- limits the operating current density*
- increases the cost per lumen*



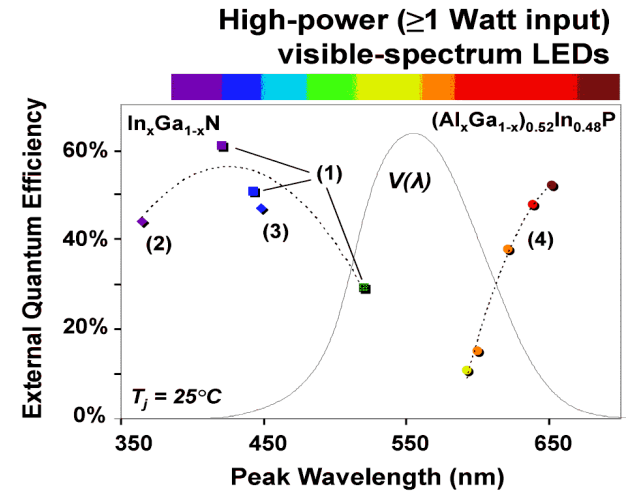
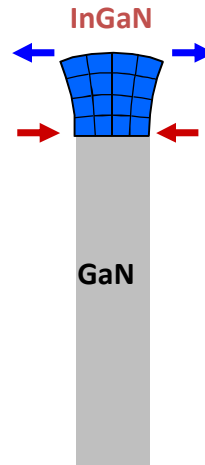
- *4 color lasers suitably combined can achieve high color rendering*
- *Lasers can potentially mitigate the efficiency droop problem !*



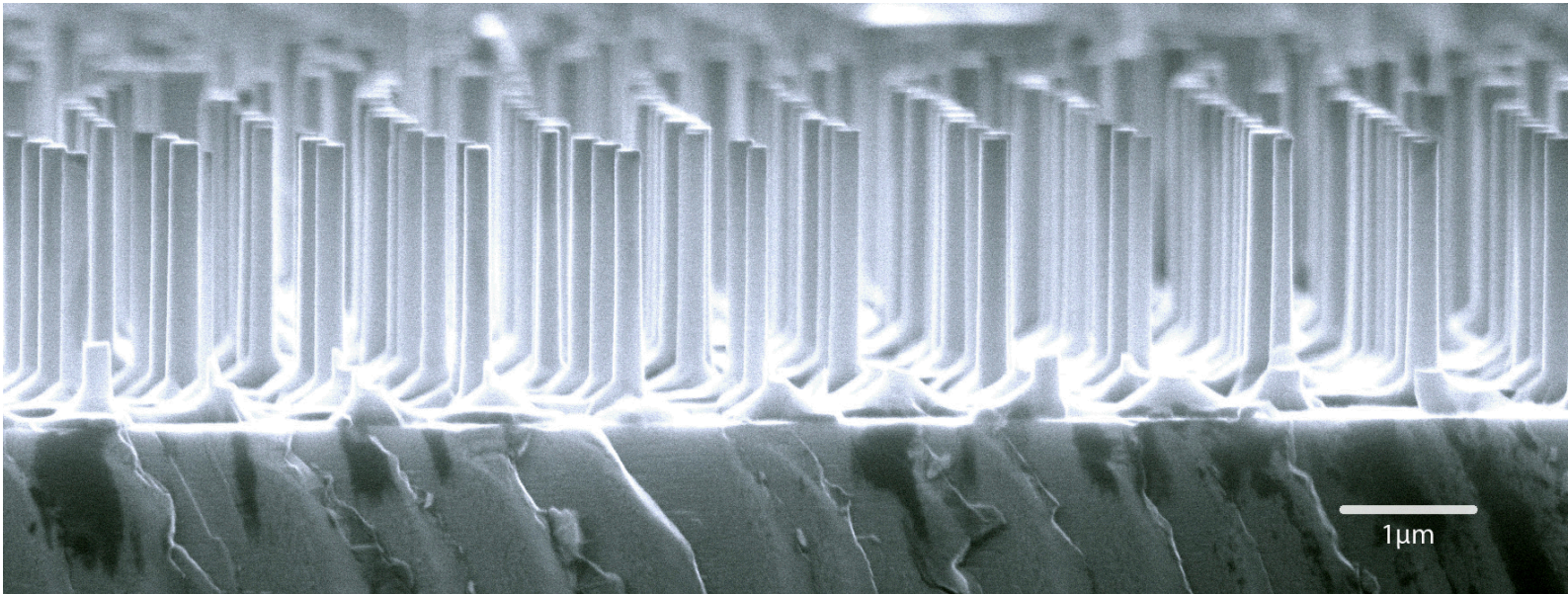
A. Neumann et al., "Four-color laser white illuminant demonstrating high color-rendering quality," *Opt. Express*, vol. 19, pp. A982-A990, 2011.

Why Nanowires for Lasing?

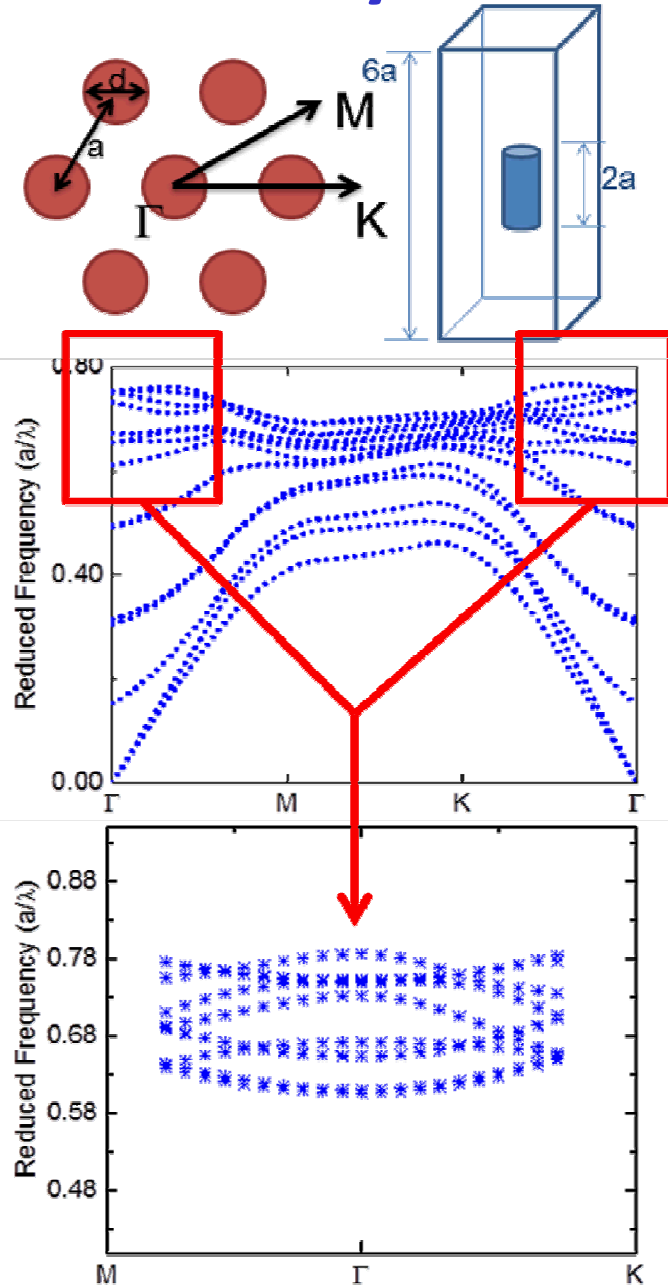
- Reduced strain
 - Greater range of alloy compositions
- Easily integrated into two-dimensional arrays



M. Krames, Philips Lumileds



Vertically emitting photonic crystal lasers - Theory



- **Low lasing threshold**
 - Increase interaction of light with the gain region
 - Enabled by 'Low group velocity modes' in photonic crystal
- **Vertical Emission**
 - At higher bands there are low group velocity modes near the Gamma point
 - Near the Gamma point the in-plane wave vectors are small resulting in near-normal emission
- **Wavelength Tunability**
 - Achieved through lattice constant and nanowire diameter variation

Visible Frequency Logpile Photonic Crystal

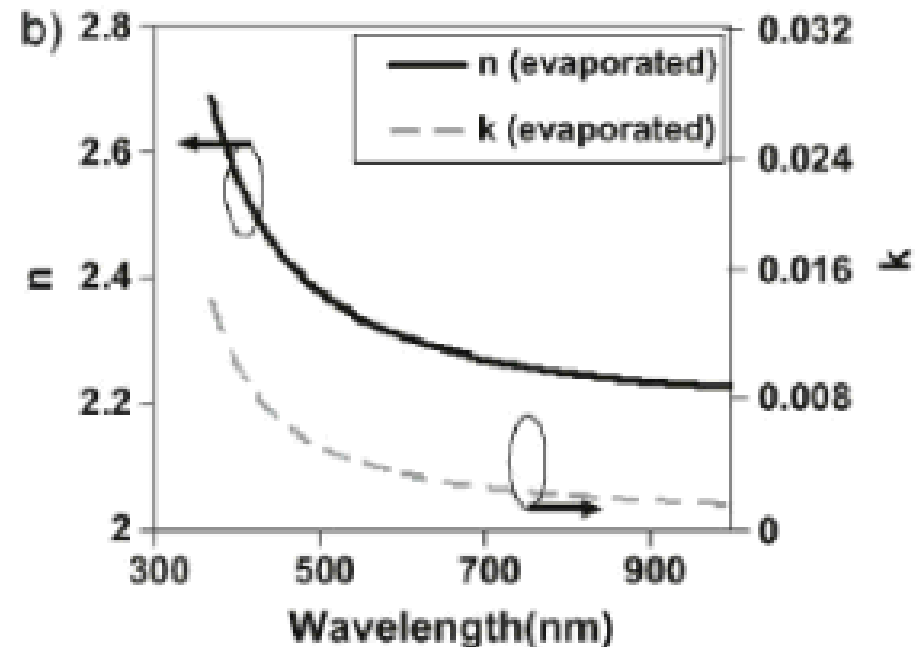
Material Choice : TiO_2

Requirements:

- High enough index with low loss in the visible :
 - $n \sim 2.3\text{-}2.4$ in the visible /w $k < 0.015$
- Easy to deposit :
 - Sputtering, evaporation, solgel, Atomic Layer Deposition

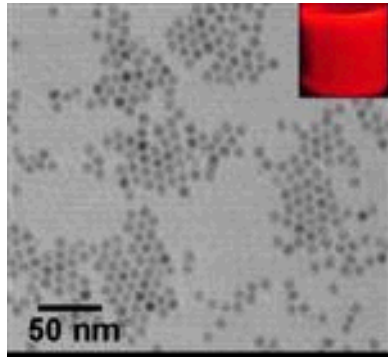
Method of fabrication:

- Nanofabrication based on electron beam lithography

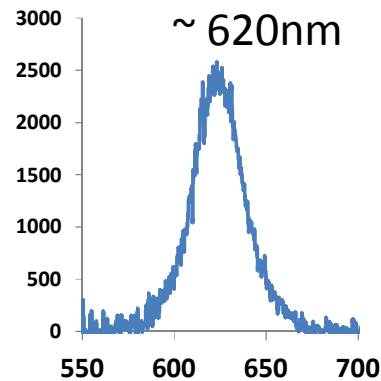


Emission Modification in TiO_2 Logpile

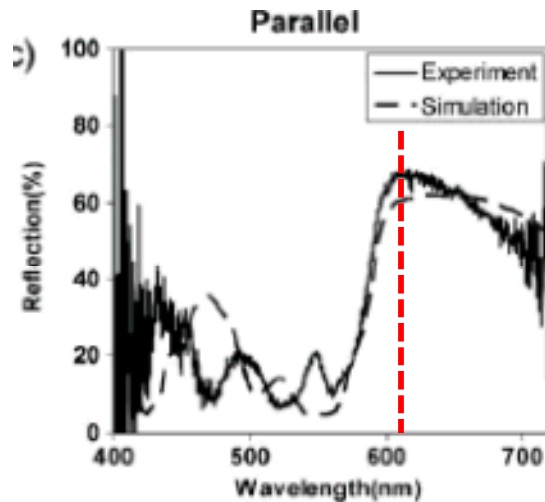
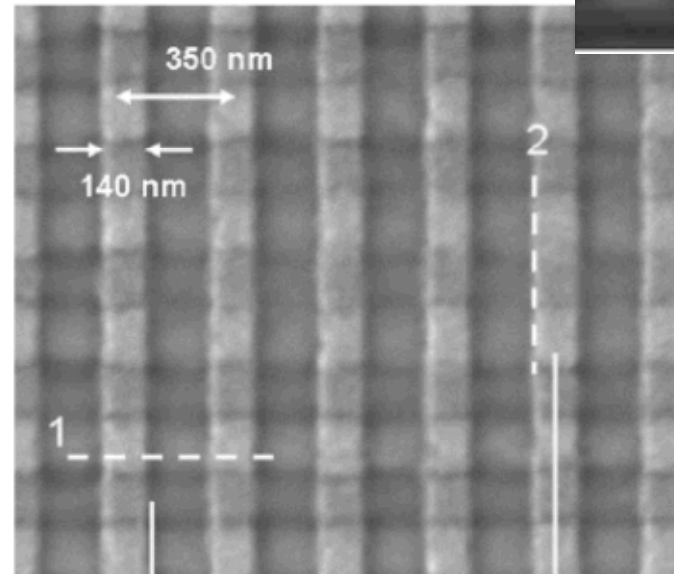
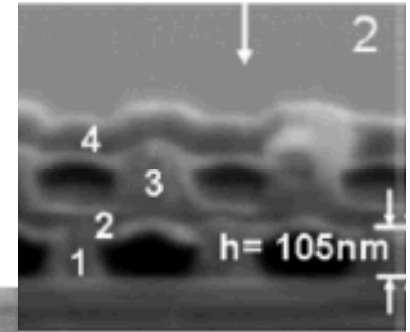
CdSe red emitting quantum dots



(www.nn-labs.com)



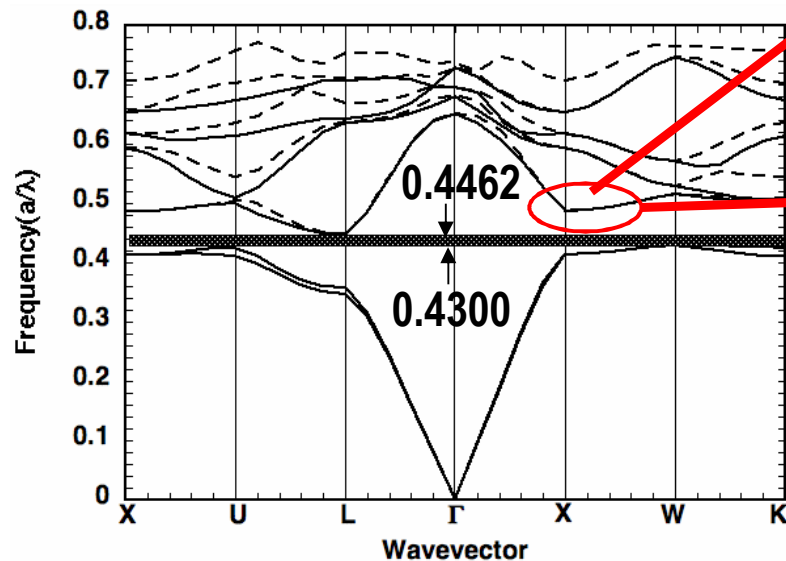
Larger lattice constants $a = 350, 400$ with 4 Layers



G. Subramania, Y. J. Lee, A. J. Fischer, T. S. Luk, C. J. Brinker, D. Dunphy, Appl. Phys. Lett. , **95**, 151101(2009)

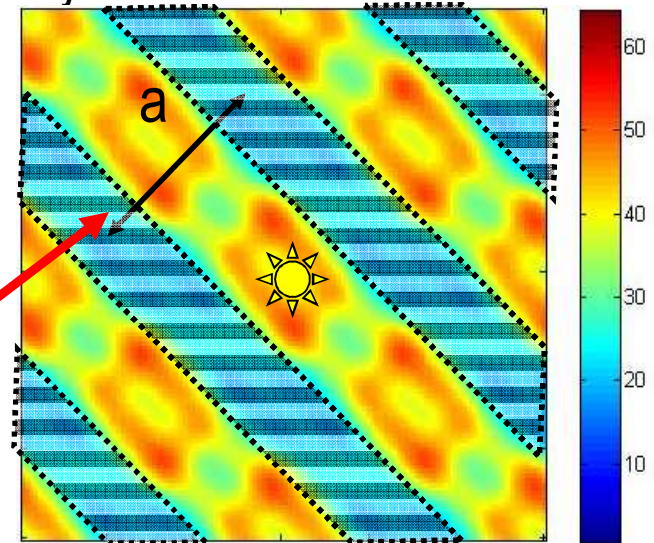
Effect of LDOS- Emitter Placement Inside the PC

- Thus far emission control studies typically done for emitters in high index region – probing dielectric band
- Effect of ‘air band’ modes have not been probed
- Advantages
 - Post introduction of light source
 - Choice of light source (QDs or dyes or gas)
 - Potentially higher density of states enhancement

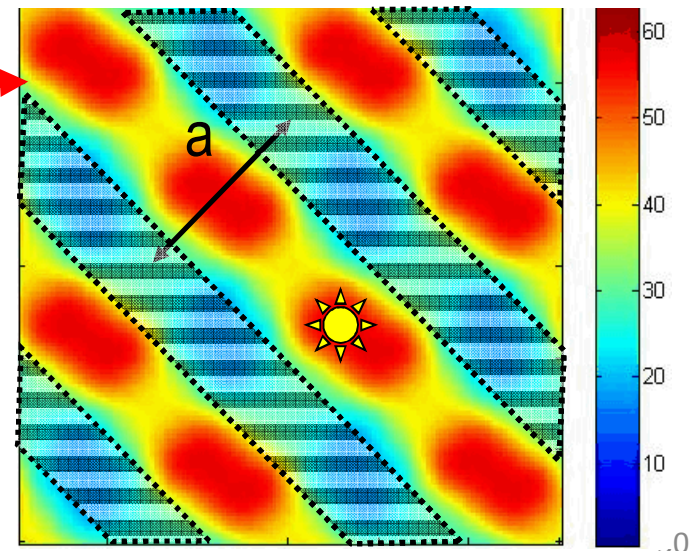


Field Distribution for the ‘air band’ using PWE method

E_y field distribution at Xpt



E_z field distribution at Xpt



Requirements for Accessing the 'Air' Void Region of the PC

- **Key Challenge: Locating the QDs in the void region without affecting the photonic bandgap**
- Physical access to the 'air' region with ability to attach QDs
- Low refractive index: minimal disturbance to photonic bandgap

Room temperature Spin Coatable Aerogel

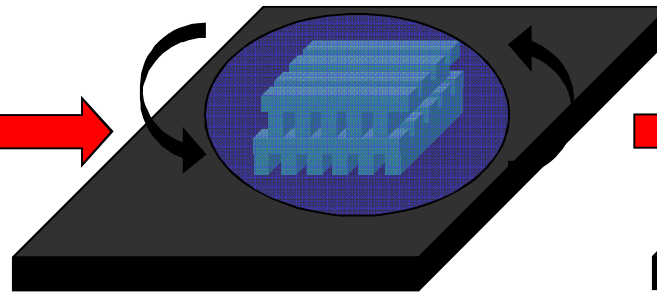
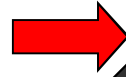
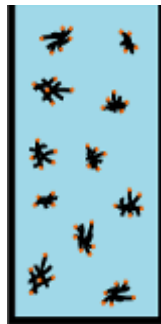
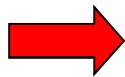
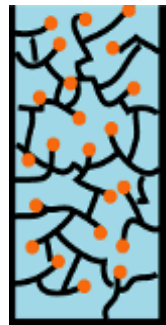
Brinker group (S. S. Prakash et al.,
Nature **374**, 439 (1995))

Age and
cap gel

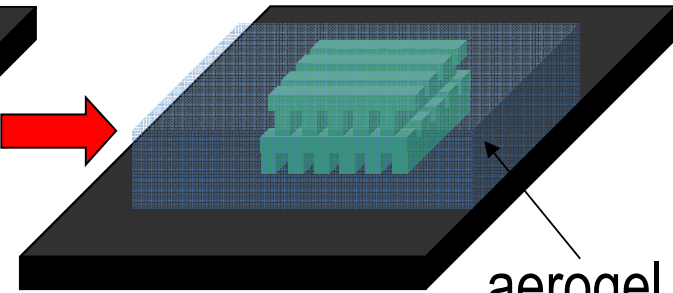
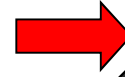
Disperse by
sonication

Spin coat

dry at RT



Logpile PC



Logpile PC

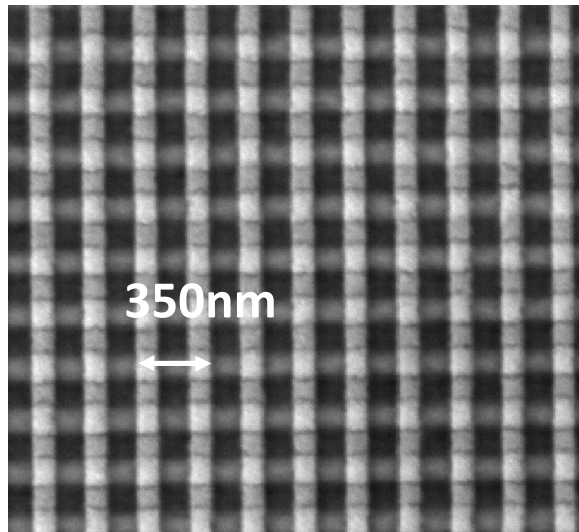
Introduce QDs through chemical attachment (3-mercaptopropyltrimethoxysilane chemistry)

Developed by
Alex Lee

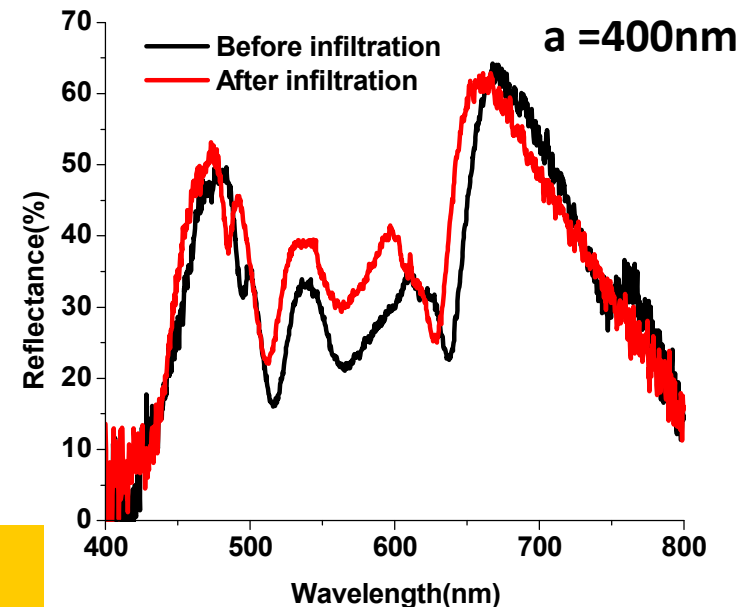
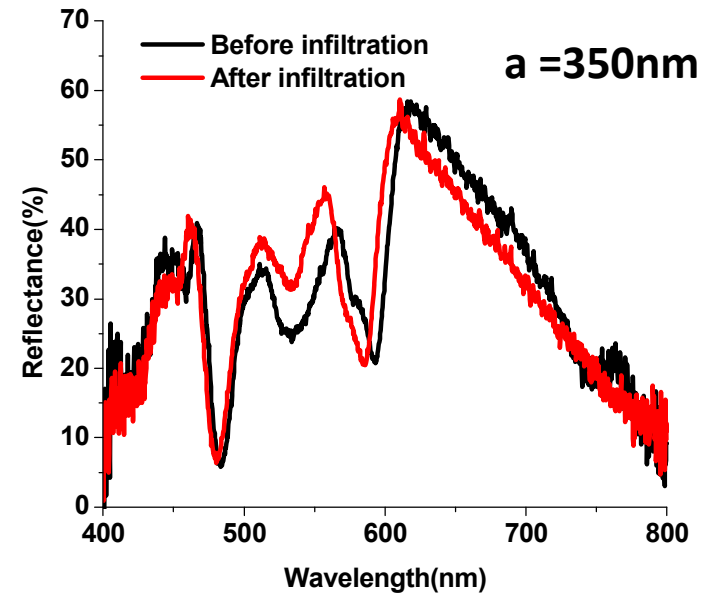
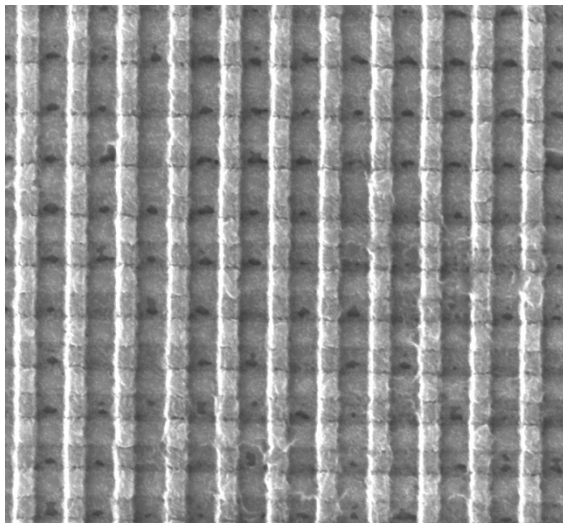
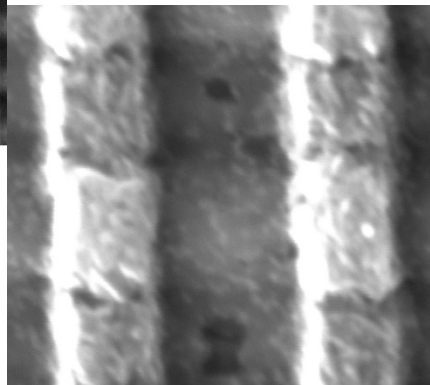


G. Subramania, Y. J. Lee, A. J. Fischer, T. S. Luk, C. J. Brinker, D. Dunphy, Appl. Phys. Lett. , **95**, 151101(2009)

Effect of Aerogel and CdSe QD infiltration

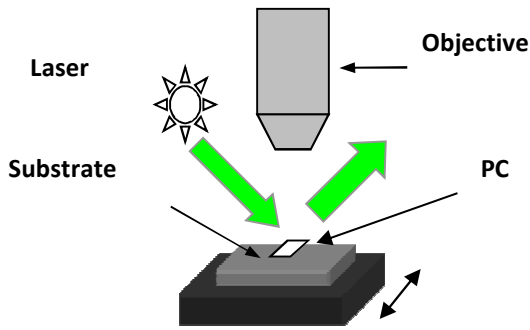


Aerogel Ref. index
~1.05-1.1



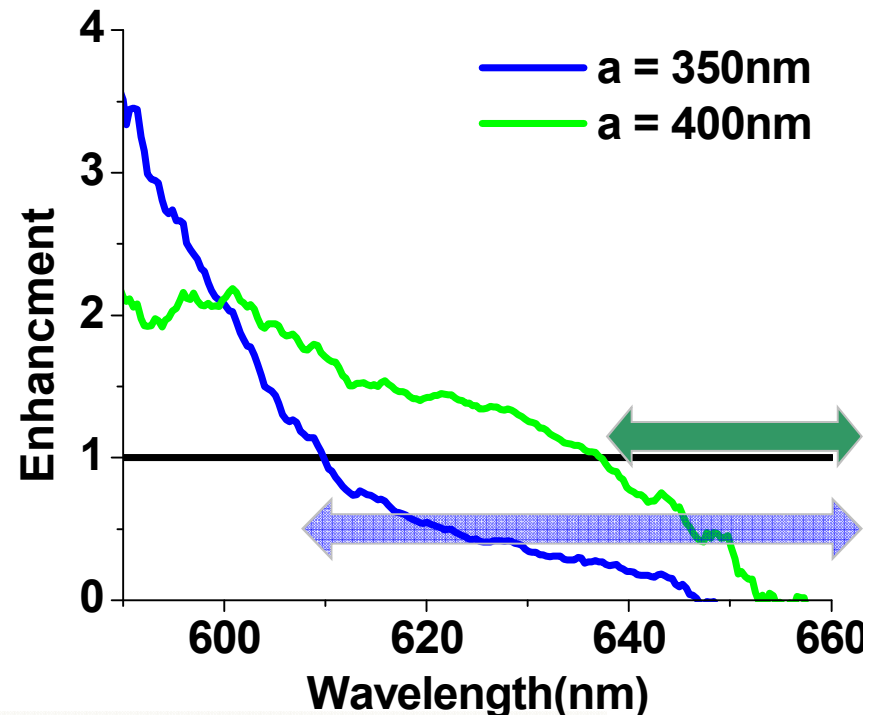
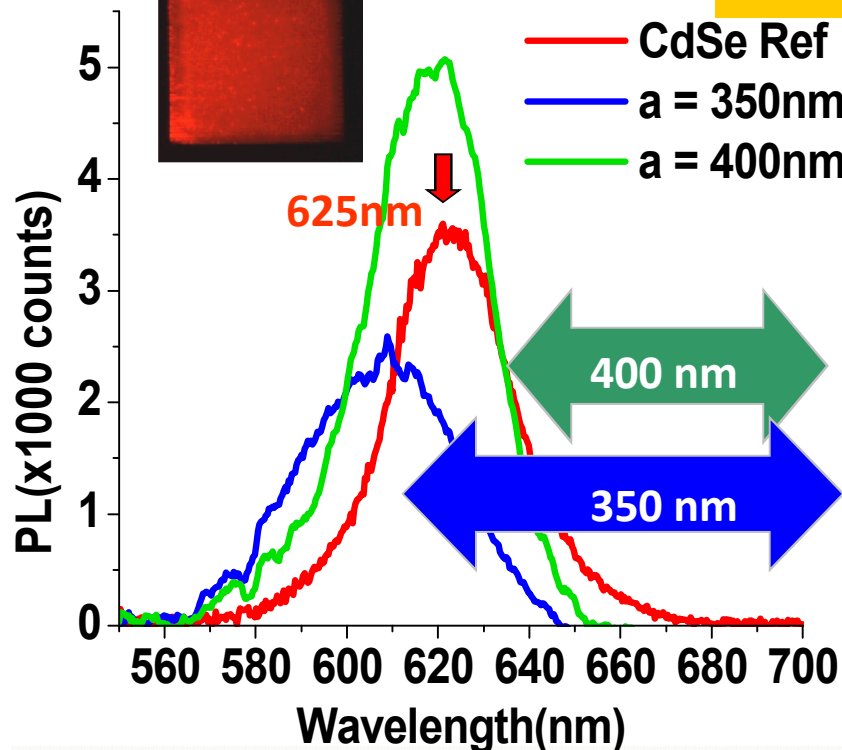
Aerogel and CdSe QD infiltration has negligible effect on the bandgap!

Spontaneous Emission Modification



- Microphotoluminescence with 532nm (5mW CW pump)
- Equivalent volume of unpatterned CdSe infiltrated aerogel as reference

Light emission suppression inside the bandgap and enhancement at the band edge

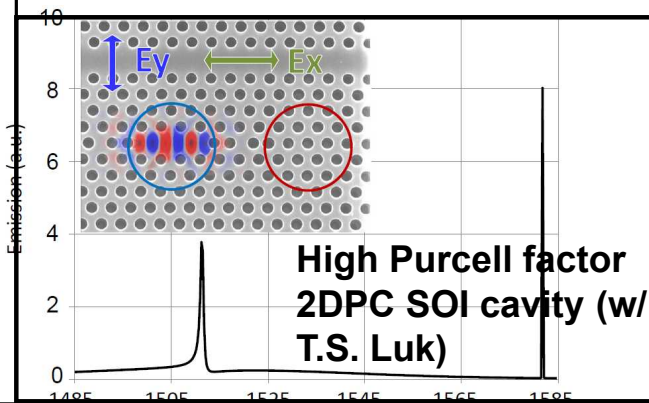
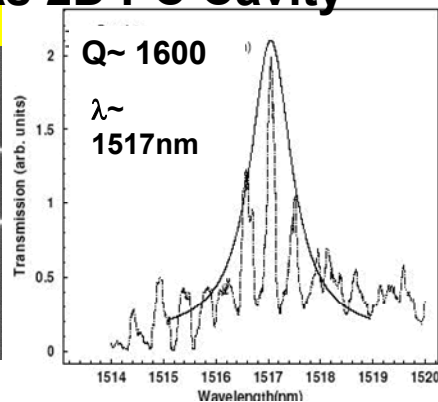
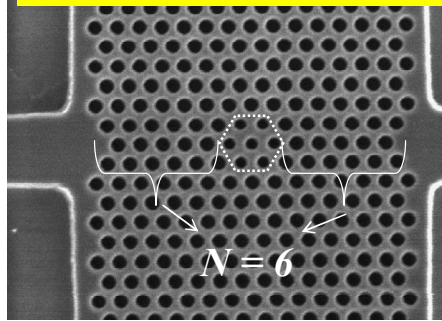


G. Subramania, Y. J. Lee, A. J. Fischer, T. S. Luk, C. J. Brinker, D. Dunphy, Appl. Phys. Lett. , **95**, 151101(2009)

L-M Interaction in 2DPC

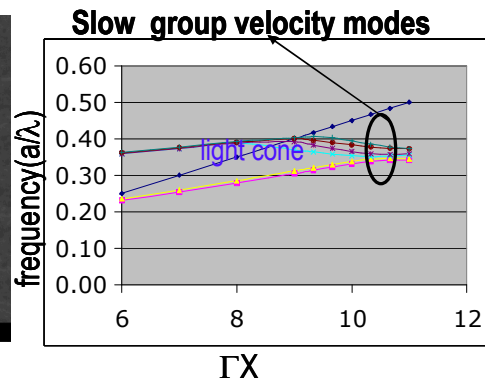
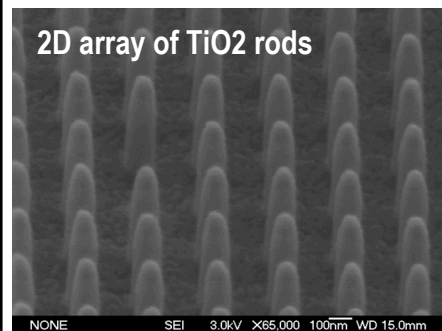
High Q GaAs 2D PC Cavity

Super-defect cavity $d' = 195\text{nm}$

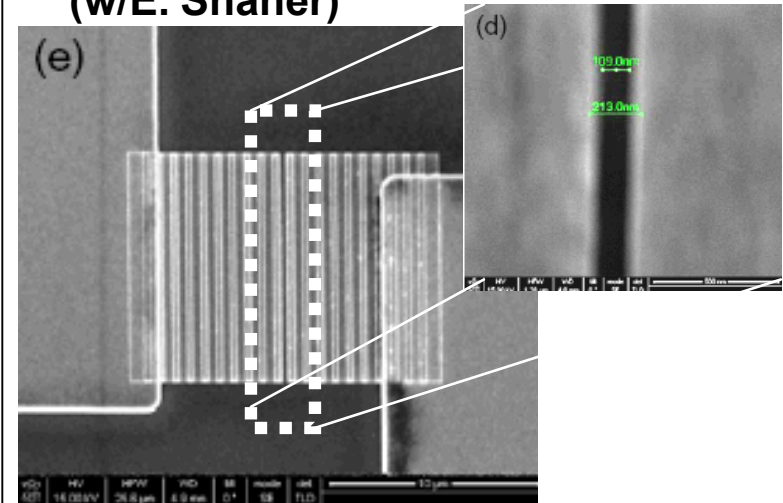


Slow group velocity mode lasing

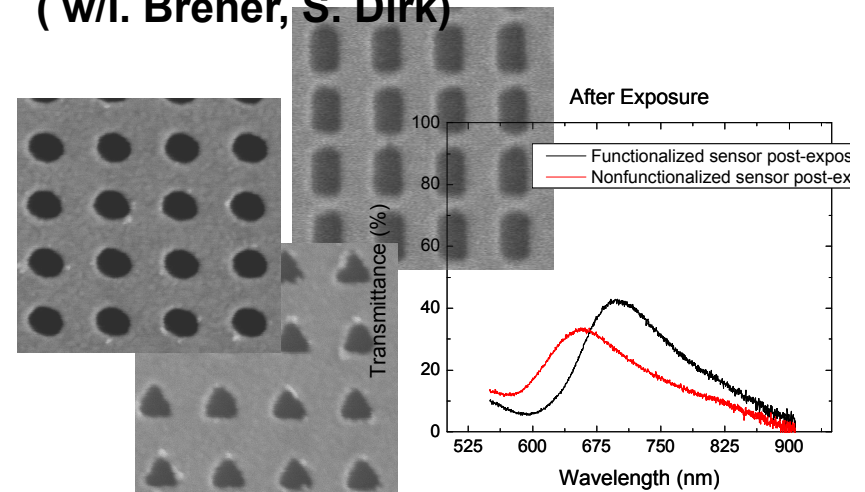
2D array of TiO2 rods



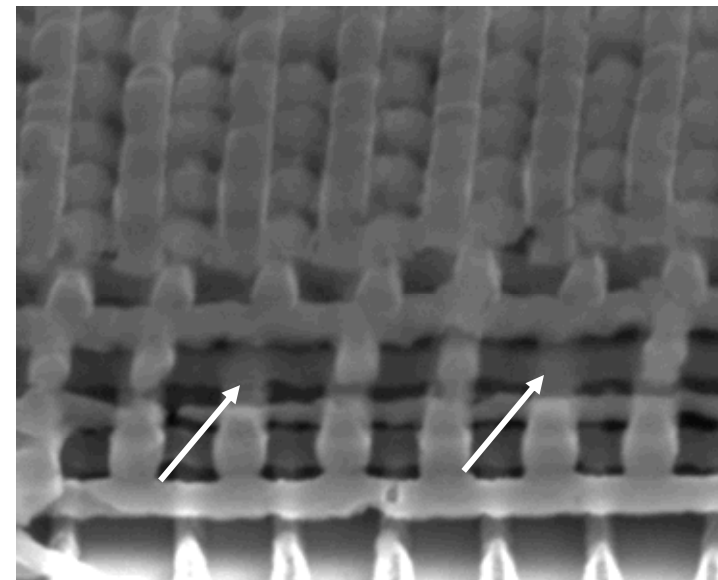
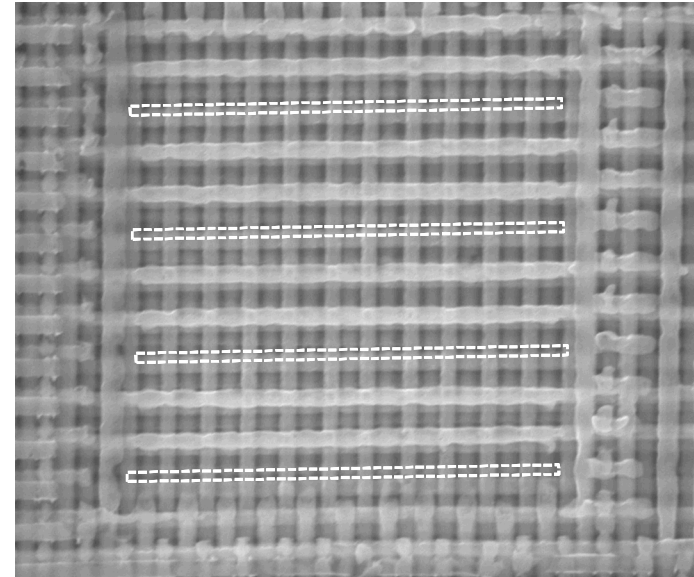
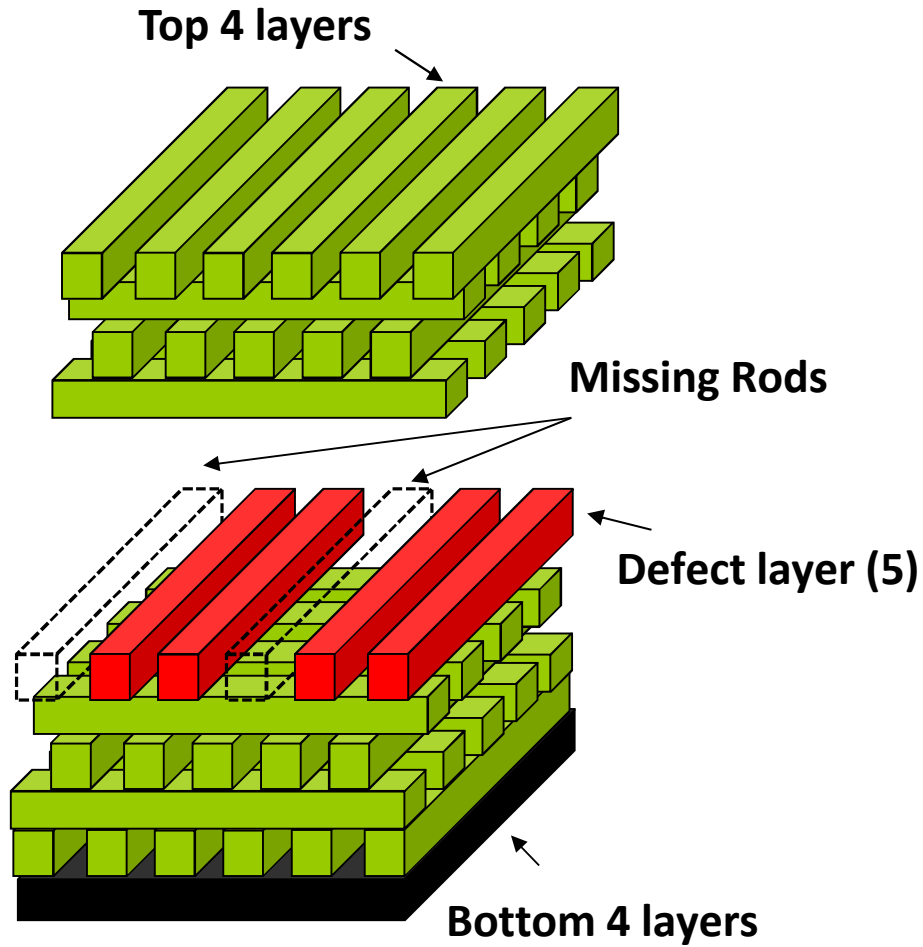
Surface plasmon Auston Switch (w/E. Shaner)



Nanohole EOT based sensors (w/I. Brener, S. Dirk)



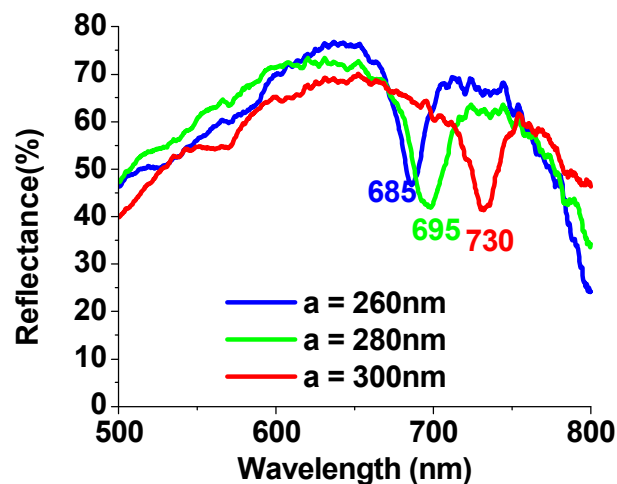
Logpile PC Cavity



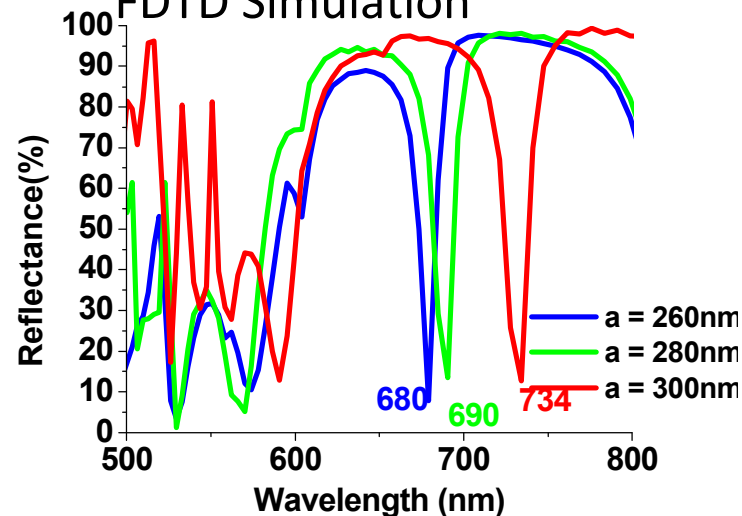
Logpile PC Cavity Optical Response

Reflection

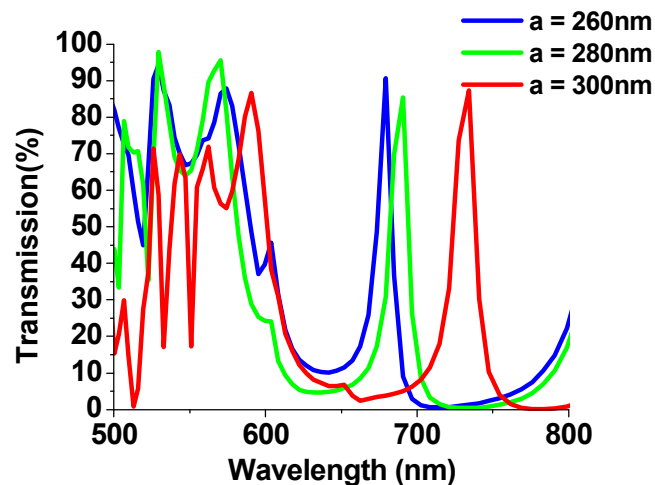
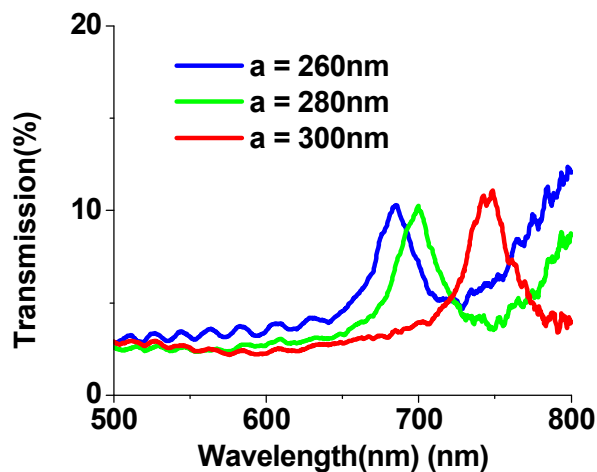
Experiment



FDTD Simulation



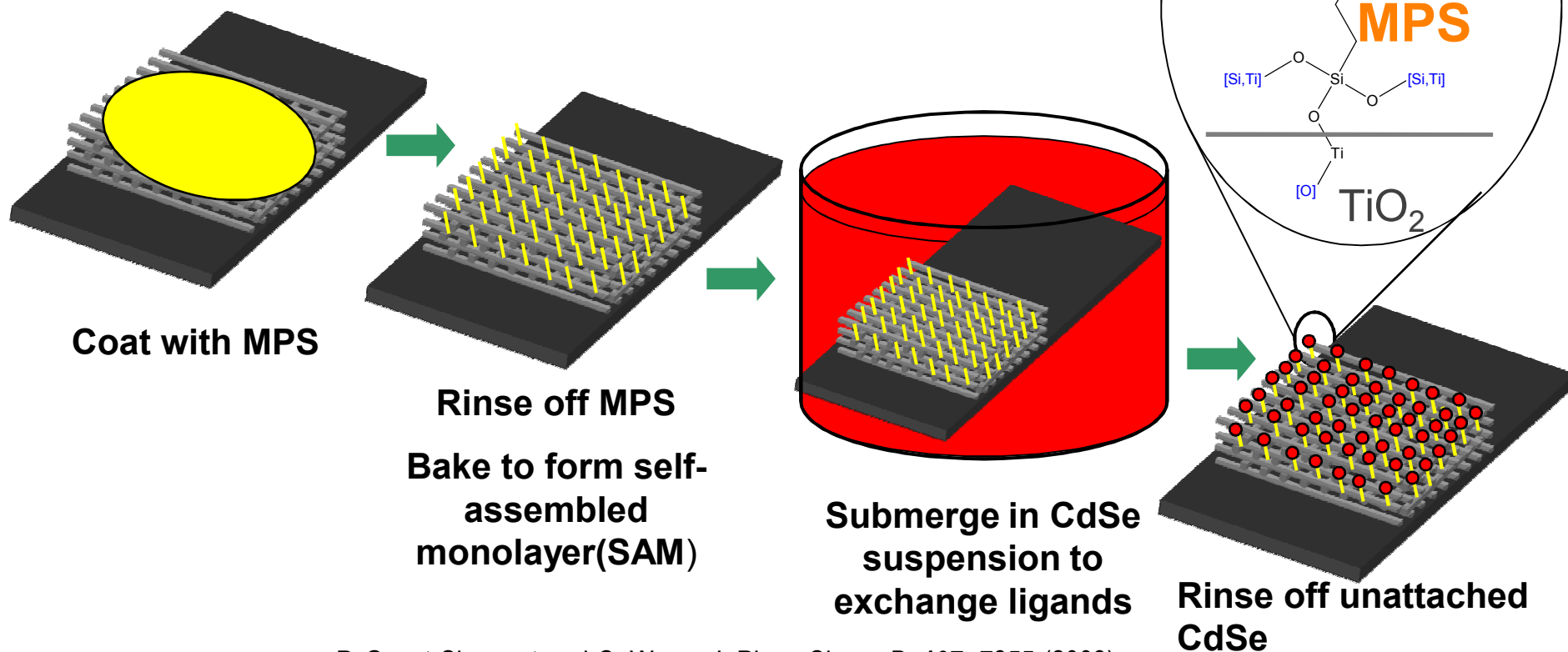
Transmission



G. Subramania et. al. , Nano Lett. **11**, 4591 (2011).

Development of Controlled Coating of CdSe Self-assembled Monolayer On TiO_2 Rods

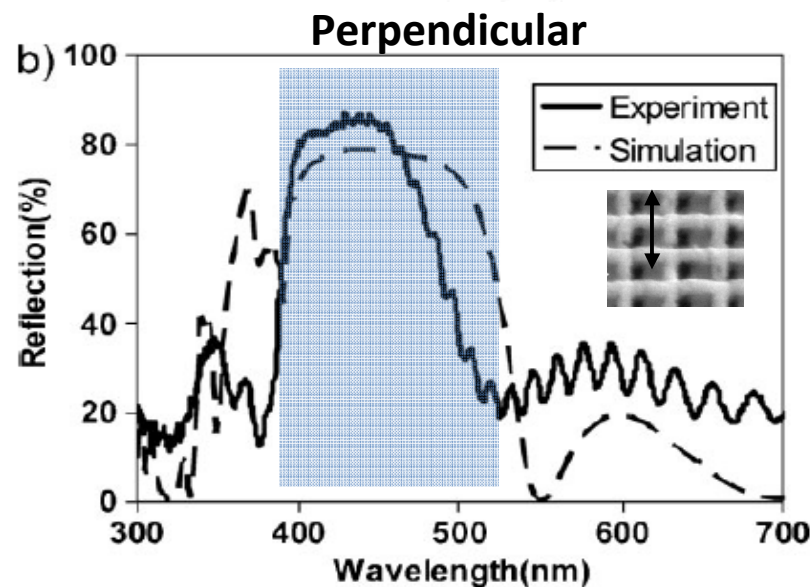
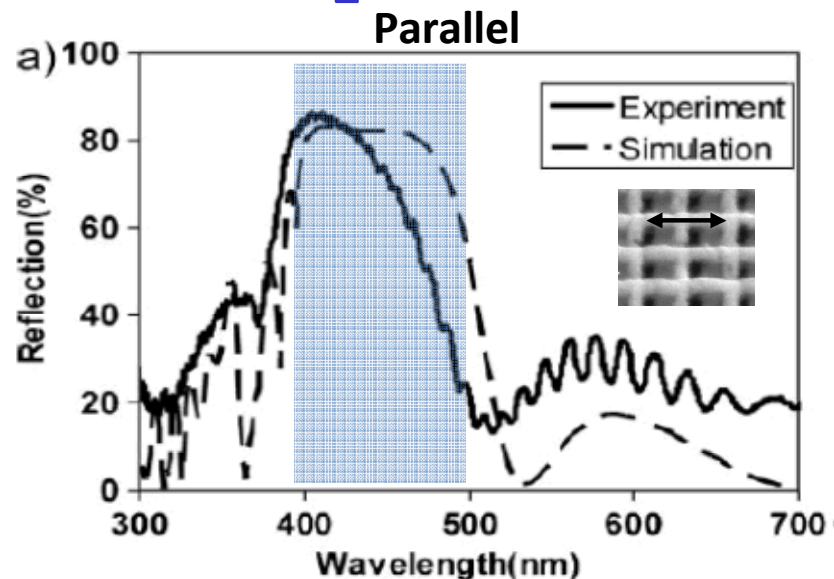
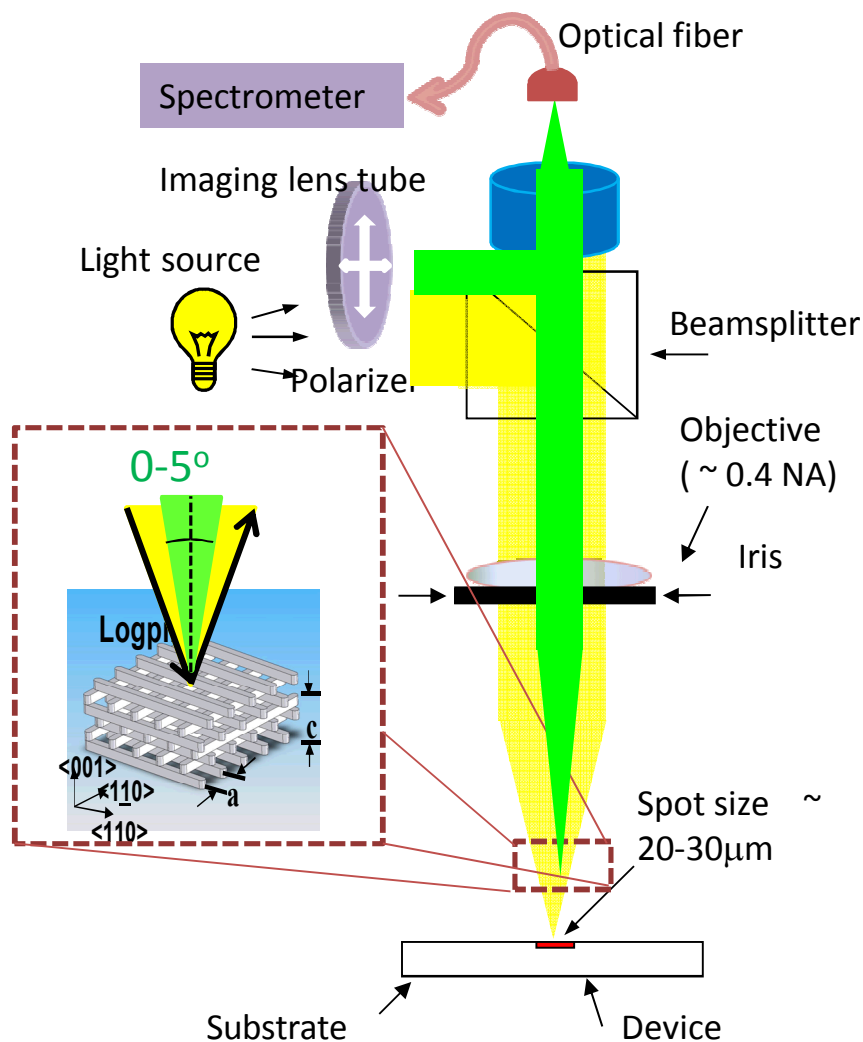
1. Coat TiO_2 wp with neat 3-mercaptopropyltrimethoxysilane (70 °C, 3 min)
2. Rinse with chloroform
3. Bake wp on hot plate to form linkage (120 °C, 30 min)
4. Place TiO_2 wp in TOPO-capped CdSe in toluene (RT, 1 hr)



P. Guyot-Sionnest and C. Wang, J. Phys. Chem. B, **107**, 7355 (2003)

J. Pacifico, D. Gomez, and P. Mulvaney, Adv. Mater. **17**, 415 (2005)

Visible- Near UV 9 Layer TiO_2 Logpile



G. Subramania, Y.-J. Lee, A. J. Fischer, and D. D. Koleske, *Advanced Materials* **22**, 487-491 (2010)

Band Structure Calculation

Solve Electromagnetic wave equation for Plane wave $\left\{ \begin{array}{l} E(\mathbf{r}, t) \propto e^{-i\omega t} \\ H(\mathbf{r}, t) \propto e^{-i\omega t} \end{array} \right.$

$$\nabla \times \left(\frac{1}{\epsilon(\mathbf{r})} \nabla \times \mathbf{H}(\mathbf{r}) \right) = \left(\frac{\omega}{c} \right)^2 \mathbf{H}(\mathbf{r})$$

In a periodic potential

$$\epsilon(\mathbf{r} + \mathbf{R}) = \epsilon(\mathbf{r})$$

$$\mathbf{R} = a_1 \hat{x} + a_2 \hat{y} + a_3 \hat{z}$$

Apply Bloch's Theorem

$$E, H(\mathbf{r} + \mathbf{R}) = E, H(\mathbf{r})$$

Plane Wave Expansion Method

Reciprocal (K) space

$$\varepsilon(r) = \sum_G \bar{\varepsilon}(G) e^{iG \cdot r}$$

$$E, H(r) = \sum_G \bar{E}, \bar{H}(G) e^{-i(-k+G) \cdot r}$$

Reciprocal Lattice vector

$$\vec{G} \cdot \vec{R} = 2\pi n$$

Bloch Waves $\bar{H}(G) = H_x(G)\hat{x} + H_y(G)\hat{y} + H_z(G)\hat{z}$

Eigen value equation

$$\nabla \times \left(\frac{1}{\varepsilon(r)} \nabla \times H(r) \right) = \left(\frac{\omega}{c} \right)^2 H(r)$$

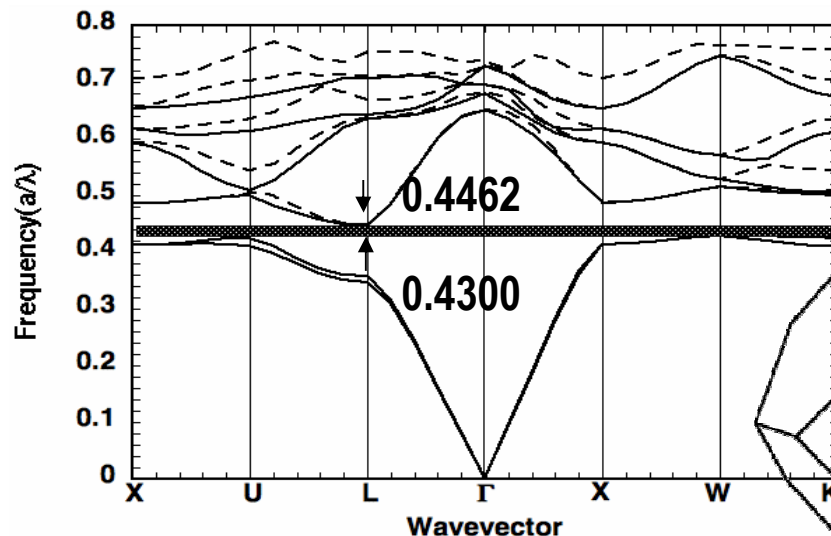


$$\mathbf{A} \bar{H}_n(k) = -\frac{a^2 \omega_n^2}{c^2} \bar{H}_n(k)$$

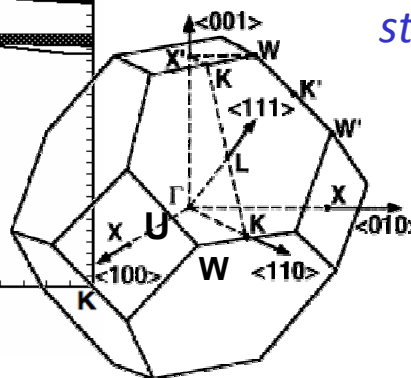
Band index

Wave vector within the first BZ

Photonic Band Structure for TiO₂ logpile



1st BZ



Eigen value equation becomes a matrix equation for which you can write a code and solve for band structure within the first Brillouin zone.

- $n \sim 2.3$
- 4% bandgap
- $\sim 20\text{nm @ } 500\text{nm}$