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Author(s): Remedes, Tyler Joseph

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1 ANALYTIC MODELING OF A DEEP SHIELDING PROBLEM

2 By

3 TYLER J. REMEDES

4 A DISSERTATION PRESENTED TO THE GRADUATE SCHOOL
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ANALYTIC MODELING OF A DEEP SHIELDING PROBLEM

By

Tyler J. Remedes

Dec 2020

17 Chair: James Baciak
18 Major: Nuclear Science and Engineering Program - Department of Material Science
19 Previous generations of scientists would make tremendous efforts to simplify
20 non-tractable problems and generate simpler models that preserved the fundamental
21 physics. This process involved applying assumptions and simplifications to reduce
22 the complexity of the problem until it reached a solvable form. Each assumption and
23 simplification was chosen and applied with the intent to preserve the essential physics of
24 the problem, since, if the core physics of the problem were eliminated, the simplified model
25 served no purpose. Moreover, if done correctly, solutions to the reduced model would
26 serve as useful approximations to the original problem. In a sense, solving the simple
27 models laid the ground-work for and provided insight into the more complex problem.
28 Today, however, the affordability of high performance computing has essentially replaced
29 the process for analyzing complex problems. Rather than “building up” a problem by
30 understanding smaller, simpler models, a user generally relies on powerful computational
31 tools to directly arrive at solutions to complex problems. As computational resources
32 grow, users continue trying to simulate new, more complex, or more detailed problems,
33 resulting in continual stress on both the code and computational resources. When these
34 resources are limited, the user will have to make concessions by simplifying the problem
35 while trying to preserve important details. In the context of MCNP, simplifications
36 typically come as reductions in geometry, or by using variance reduction techniques. Both
37 approaches can influence the physics of the problem, leading to potentially inaccurate

38 or non-physical results. Errors can also be introduced as a result of faulty input into a
39 computational tool: something as simple as transposing numbers in a tally input can
40 result in incorrect answers.

41 In this paradigm, reduced complexity computational and analytical models still have
42 an important purpose. The explicit form of an analytic solution is arguably the best way
43 to understand the qualitative properties of simple models [1]. In contrast to “building
44 up” a complex problem through understanding simpler problems, results from detailed
45 computational scenarios can be better explained by “building down” the complex model
46 through simple models rooted in the fundamental or essential phenomenology. Simplified
47 analytic and computational models can be used to 1) increase a user’s confidence in the
48 computational solution of a complex model, 2) confirm there are no user input errors, and
49 3) ensure essential assumptions of the simulation tool are preserved.

50 This process of using analytic models to develop a more valuable analysis of
51 simulation results is named the results analysis methodology. The utility of the results
52 assessment methodology and a complimentary sensitivity analysis is exemplified through
53 the analysis of the neutron flux in a dry used fuel storage cask. This application was
54 chosen due to current scientific interest in used nuclear fuel storage.

55
56

CHAPTER 1 INTRODUCTION AND MOTIVATION

57

1.1 Motivation

58 America is the largest producer of nuclear power in the world, with 98 reactors
59 producing approximately 805 billion kilowatt-hours of power in 2017 [2]. Despite being
60 the largest producer of nuclear energy, the United states has not established a permanent
61 used nuclear fuel storage facility. Instead, nuclear power plants store used fuel on site,
62 many using storage casks or canisters. A Savanah River National Laboratory report states
63 nearly 100,000 fuel assemblies are stored in more than 2,000 casks at 75 storage sites [3].

64 Fuel casks are designed to store and protect spent nuclear fuel while shielding power
65 plant workers and others from harmful radiation generated by unstable radioisotopes
66 created through the fission process. There does not exist a singular design of a spent fuel
67 cask due to multiple companies designing fuel casks and various types of spent nuclear fuel
68 which need to be stored. While each design is varied, there exist certain components which
69 are found across many spent fuel cask designs. Spent fuel casks typically have a right
70 cylindrical shape with layers of high atomic number and low atomic number materials,
71 such as steel alloys and concrete respectively. Layering materials with different atomic
72 numbers provides radiation shielding for both gamma rays and neutrons, which are the
73 two most penetrating types of radiation emitted by radioisotopes present in the fuel
74 (e.q., O-17, Cm-242, and Sr-90). High atomic number materials are used to mainly shield
75 gamma rays, whereas low atomic number materials are used to mainly shield neutrons.
76 For this reason, most spent fuel casks have an inner region where spent fuel is stored, an
77 outer region made of low atomic number materials (i.e. concrete) and high atomic number
78 materials (i.e. steel alloys). Layered materials are also utilized in baseplates and lids.

79 Materials in a spent fuel cask are specifically chosen to be multi-functional. Spent
80 fuel casks must conduct heat away from spent fuel rods, protect fuel from damage, prevent
81 proliferation of radioactive materials, as well as shield radiation. Heat conduction is

82 achieved by using materials with high thermal conductivities to draw heat away from
83 spent fuel rods to the environment. Thick layers of materials, such as steel and concrete,
84 protect the cask contents from environmental or other sources of damage (i.e. a hurricane
85 or a cask being dropped during transportation). Casks are also designed to prevent
86 proliferation by, for example, featuring welded lids or the addition of security tags to
87 discourage unauthorized access to spent fuel. Finally, spent fuel casks are designed
88 to shield employees and the public from the harmful radiation produced by decaying
89 radioisotopes created in the fuel during the power making process.

90 If a cask inadequately performs any of the above functions, it may become necessary
91 to open the cask for a visual inspection. This is a costly and time consuming endeavor.
92 Greulich et. al. state the cost to re-open a cask could be in the millions of dollars and
93 require man-months of time [4]. The process of opening a cask to visually inspect the
94 contents also carries an increased risk of exposing workers to radiation. The high costs
95 associated with opening a cask would certainly make visual inspection an unappealing
96 option. Simulation based and experimental research has been motivated by the desire to
97 develop a non-destructive assay technique to verify cask contents.

98 Analyzing the capabilities of technology to ensure the contents of a spent fuel cask
99 has motivated many scientific investigations, with a large reliance on computational
100 simulations [4–6]. Simulation results can then be correlated to experimental observations
101 in order to identify promising techniques to inspect the interior of a cask without opening
102 the cask. Neutron flux and dose are common measurable quantities sought after in the
103 simulation and experimental works surrounding radiation shielding investigations of spent
104 fuel casks. In reality, these two quantities are the same with the latter being a scalar
105 multiple of the former. These works tend to be concerned with the neutron flux at or
106 beyond the surface of the cask, since the radiation environment exterior to the spent
107 fuel cask is potentially harmful to worker safety. Understanding the interior neutron flux
108 is useful in any simulation studying the exterior neutron flux. The neutron flux at the

109 surface of the spent fuel cask is directly dependent on the physics occurring interior to
110 the spent fuel cask. Ultimately, the behavior of the interior neutron flux is controlled by
111 the configuration and choice of materials inside the fuel cask. The relationship between
112 interior structure and exterior neutron flux has prompted many simulation investigations
113 using radiation transport codes. Further, simulation tools are not only used to design
114 non-destructive assay techniques, but are also used to validate radiation transport codes as
115 applied to spent fuel casks.

116 Ideally, simulation results should be compared to a series of identical or similar
117 experiments *and* numerous results from other computational and numerical tools,
118 and analogous analytical models. Computational, numerical and analytical tools act
119 complimentary to experiments, in that the former tend not to be limited by physical
120 restraints such as, but not limited to, detector placement, experimental design challenges,
121 personnel safety, and costs. Nonetheless, experimental data is highly sought after since
122 analytical models only provide exact solutions for the most simplistic non-physical
123 problems and computational and numerical tools only approximate solutions, albeit these
124 approximations can be quite accurate. Unfortunately, limited amounts of experimental
125 data result in an increased reliance on computational and numerical tools. To further
126 exacerbate the issue, it is of utmost importance that conclusions can be confidently drawn
127 from simulation results. In the case of spent fuel casks, human lives and livelihood depend
128 on the correctness of simulation results. The results assessment methodology provides a
129 way to ensure the appropriateness and inerrancy of computational and numerical tools.

130 The results assessment methodology formulates analogs which are designed to share
131 phenomenological physics with its more detailed counterpart. A discussion motivating the
132 use of analogs is, therefore, useful. Fickett describes analogs as a qualitative representation
133 of the original, constructed, not derived, in order to maximize simplicity while minimizing
134 loss important properties [7]. Further, analogs have the following benefits 1) exact
135 solutions are simpler to find and more likely to exist, 2) mathematical rigor in determining

136 analytical solutions is reduced, and 3) salient physics is more readily observable after the
137 removal of extraneous features. The simplified computational and analytical models used
138 in this work are developed as analogs. Before further description of the analytical models
139 acting as analogs in this work, it is important to discuss the processes of validation,
140 verification, uncertainty quantification and sensitivity analysis as applied to general
141 computational tools and to simulations of used fuel casks.

142 **1.2 Practices for Code Reliability, Confidence, and Predictive Capability**

143 The behavior of physical systems is commonly described using complex mathematical
144 expressions, typically consisting of differential equations. Exact solutions of these
145 equations (also variously known as analytical or closed-form solutions) tend to be limited
146 to only the simplest scenarios. Indeed, the cost of exactly solving these equations often
147 involves the extensive use of simplifying assumptions to reduce the complexity of an
148 equation to a form where an analytical solution is possible. Approximating a differential
149 equation as a series of coupled linear equations became an alternative to finding direct
150 analytical solutions. Unfortunately, discretization introduces a degree of error into
151 the solution proportional to the fidelity to which a problem was discretized. Further,
152 discretization requires a high degree of computational rigor and, therefore, was not a
153 realistic technique for solving differential equations until adequate advancements in
154 computation had occurred. However, the modern-day advancement of computational
155 power has motivated the development of tools which approximate the solutions of complex
156 differential equations in broad sets of circumstances via approximation techniques, as
157 opposed to simplifying assumption techniques that may yield closed-form solutions only in
158 special cases.

159 These simulation tools, or simulation codes, often rely on algebraic calculations to
160 approximate solutions of the complex differential equations which describe real-world
161 physics. The processes of verification and validation generate evidence “that computer
162 [codes] have adequate accuracy and level of detail for their intended use” [8]. Verification

163 assesses “the numerical accuracy of the solution to a computational model,” and validation
164 “addresses the physical modeling accuracy of a computational simulation by comparing
165 the computational results with experimental data” [9]. Stated another way, verification
166 studies if a code solves equations correctly, and validation investigates the utility of a
167 code through comparison with experimental data. Beyond verification and validation,
168 uncertainty quantification has been added to quantify the accuracy with which simulation
169 codes predict outcomes. Sensitivity analysis can be considered a type of uncertainty
170 quantification which stratifies input parameters based on degree of impact to the error of
171 simulation results. A short description of verification, validation, and sensitivity analysis
172 will now be discussed.

173 Verifying a simulation tool requires demonstrating that the code is approximately
174 solving the underlying equations as intended by the code developer [10]. Two examples
175 of verification methods are benchmarking and comparison to analytical models. In
176 benchmarking, results from a simulation tool are compared to known solutions from
177 experiments, numerical tools, or other verified simulation tools.

178 Validation is ensuring a simulation tool approximately solves a representative set of
179 equations consistent with the applications of the code. Validation relies on comparing
180 experimental, analytical or numerical results against simulation results and validation is
181 conducted on an application specific situations. Simulation tools are validated for different
182 applications on a case-by-case basis. Validation commonly requires experimental data
183 for a given application. However, sometimes experimental data is limited or non-existent
184 since experiments can be financially burdensome, potentially risky to public and worker
185 health, or difficult to conduct due to proprietary reasons. Difficulties in obtaining
186 experimental data have led to alternative methods for validation, namely comparison
187 between simulation codes. This methods attempts to validate a code by comparing results
188 with a previously validated simulation code for an application.

189 Verification and validation determine the accuracy of a simulation tool for a specific
190 application, however, neither certifies the usage of a particular code in solving a problem.
191 Rather, it is the responsibility of the analyst to ensure that a particular simulation is
192 relevant to the solution of a problem - ensuring the simulation is made in accordance with
193 the manner which the code is verified and validated. Uncertainty analysis and sensitivity
194 analysis aid in this process.

195 Simulation tools require parameters, or data provided by the user, such as physical
196 properties measured through experiments (e.g., cross section data, viscosity, or thermal
197 conductivity. These values have associated error; measurement error is an example.

198 Uncertainty quantification is concerned with quantifying the error on the final result due
199 to the error of input parameters, as well as, error introduced by the simulation tool itself.

200 Further, uncertainty quantification is not concerned with the truth of a model, rather
201 how parameters effect the solution [11]. Sensitivity analysis improves on uncertainty
202 quantification by identifying which parameters most influence the result.

203 The typical approach to computational sensitivity analysis requires performing many
204 simulations where a change is made in each computation - an approach called the direct
205 method [12]. This process requires excessive computational resources. Applying sensitivity
206 analysis techniques to analytical models allows for the identification of sensitivities
207 without requiring as much computational resources, a strength of analytical sensitivity
208 analysis. Further, if an equation yields an analytical solution, the sensitivities of an
209 equation to its parameters can be found with minimal computational resources and
210 requires solving sensitivity equations only once.

211 The history of sensitivity analysis as applied to differential equations is broad and
212 extensive, therefore, only previous research that pertains to this work will be discussed.

213 The first methodology for sensitivity analysis was developed on linear electrical circuits
214 by Bode in 1945 [13]. At that time, sensitivity analysis motivated the use of feedback
215 in circuit design. From its origins in circuit control, sensitivity analysis permeated

216 many others fields of science, including nuclear engineering, and many methods were
217 developed. McKay provides an introduction into basic definitions and concepts related
218 to sensitivity analysis [14]. Cacuci unified and generalized the direct method and the
219 perturbation methods of sensitivity analysis in 1980 based on Frechet-derivatives [12].
220 A year later, Cacuci further generalized his methodology to analyze systems of response
221 along arbitrary directions using the Gâteaux-derivative (G-derivative). This non-linear
222 operator determines system responses to multiple perturbations in input parameters
223 simultaneously. In doing so, Cacuci developed the Forward Sensitivity Analysis Procedure
224 (FSAP) and Adjoint Sensitivity Analysis Procedure. The FSAP will be used to find
225 sensitivities of the linear differential equations in this work.

226 The overall purpose of performing verification, validation, uncertainty quantification,
227 and sensitivity analysis procedures is to identify the accuracy of a particular code for
228 given scenarios. Ultimately, a code user must decide if a code adequately simulates the
229 problem and if the user can have confidence that the simulated results are an accurate
230 portrayal of the real-world problem. While the processes of verification, validation, and
231 uncertainty quantification have been and continue to be extensively developed, there exists
232 a limitation - how can a code be validated if there is no experimental data for comparison?
233 The purpose of this document is to introduce a methodology aimed at answering this
234 question.

235 **1.3 State of Current Used Fuel Cask Research**

236 Interest in experimental and simulation work stems from the need to ensure the safety
237 and security of spent fuel casks; since there is currently no long term, national storage
238 plan. Before discussing how simulations have been used to study spent fuel casks, it is
239 important to take an aside and discuss the verification and validation of a commonly used
240 radiation transport simulation code, the Monte Carlo N-Particle (MCNP) simulation code.

241 MCNP has been extensively verified and includes a series of benchmark problems.
242 Further, Mosteller compiled a list of documents which discuss verification efforts on

243 MCNP [15]. analytical models have also been used in validation efforts [16, 17]. analytical
244 models provide an exact solutions against which simulation tools can be compared.
245 However, exact analytical solutions are often only available for heavily simplified problems
246 which do not represent physical systems. Nonetheless, excellent agreement has been
247 achieved between simple MCNP models and analytical solutions. Verification is considered
248 an activity in mathematics where a successful test demonstrates that the governing
249 equations of a simulation tool were solved correctly [18]. Validation of a simulation code is
250 undertaken after verification.

251 MCNP has also undergone general validation in multiple disciplines within nuclear
252 engineering; including but not limited to radiation shielding [19], criticality [20], and
253 intermediate and high-energy physics [21] where MCNP results were compared to
254 simple experiments. In order to validate computational tools as applied to spent fuel
255 casks, scientist have turned to a comparative method where results from other radiation
256 transport codes are compared with MCNP [22, 23]. However, discrepancies between results
257 from different simulation tools are attributed to different physics being included in each
258 tool. While this may be the driving factor leading to the apparent disagreement, this
259 conclusion would benefit from identifying the physics seen in one simulation tool and
260 neglected in the other. Comparison with experiments and other simulation tools is a valid,
261 imperative, and important technique for validating simulation results, but more analysis
262 should be done in order to increase confidence that simulation results can be trusted.

263 There exists a limited body of experimental work which measured the radiation dose
264 at or near the surface of various used fuel casks. Unfortunately, none of the experiments
265 were conducted on HI-STORM 100 spent fuel casks. Hence, discussion of past experiments
266 will include radiation measurements performed on any spent fuel cask, including but not
267 limited to experiments compared to any radiation transport code. Thiele et. al. even
268 include a comparison between experimental results and the results from two radiation
269 transport simulation tools (comparing Monaco/MARVIC with SAS4/MORSE) [24].

270 Both simulation tools are developed as part of the Standardized Computer Analysis
271 for Licensing Evaluation (SCALE) packages by Oak Ridge National Laboratory. Since
272 these radiation transport codes are not used in this work, no further explanation of the
273 codes will be given. The author's report concludes that simulation tools can be applied
274 for the assessment of dry storage casks. While experimental validation of simulation
275 results is arguably the best way to corroborate simulation results, it is still important to
276 not treat experimental data as sacrosanct [18]. Experiments still include measurement
277 and procedural errors, and without the validation of multiple experiments of the same
278 cask, the result of a lone experiment should not be considered to validate or invalidate
279 simulation results. There also exists a large number of various dry fuel storage casks and
280 experimental data may not exist to validate simulation results against. Computational
281 and numerical tools have proven useful as an alternative to experimental data. Ziock et.
282 al. measure the thermal neutron and gamma ray radiation signatures from six different
283 spent fuel cask designs; the HI-STORM 100 was not one of the six. The authors posit the
284 radiation signature can be used as an identifier for individual casks. Their experiments
285 proved inconclusive resulting from limitations of the imaging devices used. Wharton et.
286 al. used MCNP to determine the fraction of gamma rays which would be detected by a
287 high purity germanium detector placed at the top surface of two spent fuel cask designs
288 [25]. These simulations were used to determine the feasibility of a system designed to
289 used passive gamma radiation to determine if a fuel bundle was present or absent from
290 a spent fuel cask. The authors concluded that the thick shielding of the spent fuel casks
291 measured sufficiently scattered radiation and the system was not capable of resolving
292 discrete gamma ray peaks. This resulted in the measurements being stopped without fully
293 testing the capabilities of the system. It should be noted, the MCNP results suggested the
294 system was capable of performing the measurements and distinguishing between empty
295 and filled fuel storage positions. This work serves as an example for the importance of
296 corroborating simulation results with further investigations.

297 Simulation studies of the HI-STORM 100 spent fuel cask using MCNP are more
298 numerous than experimental studies. Priest conducted an in-depth investigation of
299 neutron and gamma flux and dose rates interior to a HI-STORM 100 spent fuel cask
300 with the purpose of identifying an imaging system capable of withstanding the harsh
301 environment inside the multi-purpose canister (MPC) [26]. The author performed
302 simulations using multiple MPC configurations with used nuclear fuel from both
303 pressurized water and boiling water reactors.

304 Harkness et. al. used MCNP to investigate the validity using helium-4 fast neutron
305 detectors to determine if fuel had been removed from a HI-STORM 100 spent fuel cask
306 [6]. This work describes a methodology to generate a source definition for MCNP based
307 on data provided in the Next Generation Safeguards Initiative. This fuel rod composition
308 data was aged using ORIGEN-S, a material irradiation and decay calculation code, to
309 create an MCNP compatible source definition. A further description of this process will
310 be provided later in this work. The neutron flux and energy spectrum at the surface of
311 the cask were tallied as part of this investigation. From the results of MCNP simulations,
312 the authors concluded that neutron spectroscopy was feasible using helium-4 detectors,
313 however, confidently determining if all fuel was present in a sealed spent fuel cask required
314 further investigation.

315 Miller et. al. determined the feasibility of using a mono-energetic photon source to
316 verify the contents of a sealed HI-STORM 100 spent fuel cask [27]. The authors simulated
317 photon transport through the spent fuel cask and found a 1000-fold reduction in the
318 transmitted flux when a fuel assembly is present as compared to a reduction of two in the
319 transmitted flux when there is no assembly present. The authors further corroborated
320 their work using analytical calculations to predict the scale of the uncollided flux for when
321 a fuel assembly is present and when there is no fuel assembly. The results from their
322 analytical modeling agreed with corresponding MCNP simulations.

323 Kelly et. al. performed an uncertainty analysis in radiation dose exterior to a
324 HI-STORM 100S (a variant of the HI-STORM 100 cask) spent fuel cask based on
325 variabilities in concrete composition and density [28]. The authors state that density
326 variations in the concrete have the largest effect on radiation shielding capabilities.
327 Varying concrete composition mostly affected neutron and associated capture gamma ray
328 dose rates.

329 Because of the interest in modeling radiation transport in spent fuel casks, research
330 has not been limited to using MCNP as a simulation tool nor has it been limited to a
331 single cask design. Gao et. al. use the radiation transport code MAVRIC (a radiation
332 transport code developed by Oakridge National Laboratory and distributed in with the
333 SCALE code package) to simulate neutron and gamma transport through a TN-32 spent
334 fuel cask [29]. In this work, the authors explore the effect of two geometries and two
335 sets of cross section data on the neutron and gamma fluxes at the surface of the cask.
336 The authors used a detailed model which included details of individual fuel rods and a
337 homogenous model which calculated a homogenous fuel definition that simplified the
338 geometry in each fuel cell. The authors also used two sets of cross section data. The
339 first set were continuous energy cross section data and the second were multigroup cross
340 sections. The authors concluded that changes to the geometry of the problem had a larger
341 effect on the result than changing how the cross section data was handled.

342 Interest in verifying cask contents has led to simulations investigating methods for
343 tomographic imaging. These investigations rely on simulation tools as a proof of concept
344 and to aid experimental design. Liao and Yang have used cosmic-ray muon simulations
345 to aid in experimental design choices for a spent fuel cask tomography system [30, 31].
346 The authors used Geant4 (another radiation transport code) and MCNP to simulate
347 cosmic-ray muon transport through a spent fuel cask as well as through a test setup to
348 guide experimental design. The authors then conducted experiments using the prototype

349 muon imaging systems. The authors concluded they were able to detect a quarter of a
350 missing fuel bundle located anywhere in the cask.

351 Greulich et. al. also investigated the possibility of tomographic imaging techniques in
352 verifying the contents of a spent fuel cask [4]. The authors simulated neutron transport
353 through a TN-32 spent fuel cask using MCNP. Using a beam source of neutrons incident
354 at the surface of the cask, the uncollided flux of neutrons leaving the cask provides
355 information which can be used to reconstruct an image of the interior of the cask.

356 The previously described works were all interested in either radiation dose or
357 radiation flux values at or exterior to the surface of the cask. Since dose is directly
358 proportional to flux, and since the exterior neutron flux is a direct result of how interior
359 cask structure affects the interior flux, the aim of this work is to investigate the interior
360 neutron flux so as to have the most general relevance to existing work. The neutron flux
361 was chosen over other types of radiation as the governing equation for neutrons is linear
362 and provides a basis and proof-of-concept for the results assessment methodology.

363 The body of work focusing on simulations of spent fuel cask is quite large, which
364 demonstrates scientific interest in simulating spent fuel casks. However, experimental
365 data to validate simulated results is limited. Further, the final safety analysis report
366 delivered by Holtec when licensing the HI-STROM 100 spent fuel canister system did
367 not include any experimental data pertaining to the radiation shielding capabilities of
368 this design [32]. Instead, MCNP was used to demonstrate the cask design was capable
369 of attenuating radiation to an adequate level. Maintaining a safe environment for power
370 plant workers and members of the public is of utmost importance and an alternate method
371 for validating the accuracy of simulation results is needed if simulation results are to be
372 relied upon in the absence of experimental data. The discrepancy between the amount
373 of simulated results and experimental data identifies the need to validate or otherwise
374 reinforce confidence in simulation results without relying on experimental data.

375 1.4 General Description of the Work

376 The results assessment and sensitivity analysis methods presented in this work act
377 complimentary to existing techniques - verification, validation, uncertainty analysis, and
378 sensitivity analysis- in order to develop a more valuable analysis. This work includes
379 high-fidelity MCNP simulations of the interior neutron flux from a Holtec Hi-STORM
380 100 spent fuel cask, and the attendant analytical analysis of the simulation results in the
381 absence of significant experimental validation data. A detailed model of the HI-STORM
382 100 spent fuel cask is simulated in MCNP to investigate the neutron flux interior to the
383 fuel cask. Owing to a lack of validation data against which to compare these simulation
384 results, an analytical analysis framework called "simulation results assessment" (or,
385 henceforth, "results assessment") is developed and applied to provide an alternative
386 (but not replacement) means for enhancing confidence in the computational model. The
387 accuracy of the model is assessed by first developing simplified analytical and MCNP
388 computational models. The design of these analogous models is made to retain essential
389 physics while reducing geometric complexities. Since the essential physics is preserved,
390 the neutron flux found using the analogous models will approximate the neutron flux
391 interior to the cask of the detailed model. Developing analogous models is an iterative
392 process where the initial simplified models were overly simplified and lost essential physics.
393 Essential physics was identified from locations where disagreements between the results of
394 the detailed model and the analogous models occur. More detailed analogs are developed
395 in order to rectify differences observed between the two sets of results until a final set
396 of analogous models are found. This process identified physical details that must be
397 preserved in the detailed model in order for the detailed model to accurately simulate
398 reality. A sensitivity analysis is also conducted on the final analogous model in each
399 material region as well as on the detailed model in order to further validate the accuracy
400 of the detailed model through the comparison of sensitivity structures between the models.
401 This is also an iterative process which involves further refinement of analogous models

402 and input parameters in order to achieve comparable sensitivity structures between the
403 detailed and analogous models. Finally confidence that the detailed model accurately
404 simulates the interior neutron flux of a HI-STORM 100 spent fuel cask is increased after
405 reaching comparable results and sensitivity structures between all models.

406 1.4.1 Results Assessment

407 A detailed model of this cask is developed in the MCNP code to predict the neutron
408 flux in its interior. In an attempt to isolate essential physics, 1) five other MCNP
409 simulations are developed to model various analogous problems, and 2) analytical models
410 are developed to explain key characteristics of the flux seen in these analogous problems.
411 The results of the simplified calculations are then used to reveal the fundamental physics
412 controlling the shape and other characteristics of the flux distribution resulting from the
413 complex model. This procedure is phenomenological in nature, and is thus intended to
414 capture elemental physical processes that are occurring within sub-regions of the full-scale
415 system. Therefore, while no single analytical solution is expected to be available for
416 the full-scale system, any understanding gained in the sub-regions reinforces confidence
417 that the integrated scales are being simulated in accordance with physical intuition.
418 This outcome is valuable in cases where experimental data is sparse or nonexistent. A
419 complimentary investigation of sensitivity structures produces a quantitative basis for
420 comparison of analytical and computational models.

421 1.4.2 Sensitivity Analysis

422 The procedure of quantifying comparisons between analytical models, reduced
423 geometry computational models, and the full model is demonstrated through the
424 inclusion of sensitivity analysis procedures. Forward modeling of sensitivity structures
425 is conceptually simple but computationally expensive for large problems, as it involves
426 sampling a space of possible parameter values and executing a new simulation for each
427 value. For analytical models the procedure is the opposite: using a generalized notion
428 of the directional derivative, sensitivity structures can be computed in closed-form. The

429 comparison of these two methods forms the final component of this work. In addition to
430 basic physics phenomenology, the sensitivity structure arising from analytical models can
431 be compared to that found from forward sensitivity modeling of full-scale simulations.
432 When these structures compare favorably, confidence in the full-scale simulations is once
433 again reinforced.

434 1.5 General Overview of Chapters

435 This document discusses the rigorous analysis of a HI-STORM 100 used fuel cask
436 using the results assessment methodology and a sensitivity analysis procedure. The results
437 assessment methodology is discussed in chapters 2, 3, and 4, and chapters 5, 6 and 7
438 describe the process of adding a complimentary sensitivity analysis.

439 The second chapter of this document introduces the detailed MCNP model of the
440 HI-STORM 100 used fuel cask. This model is used to demonstrate the results analysis
441 methodology. The results of the simulated interior neutron flux are shown and features are
442 identified in this chapter. A feature is defined in more depth in chapter 2.

443 Chapter 3 introduces the various analytical models used in this work. The neutron
444 transport equation is derived and then reduced through application of assumptions and
445 simplifications. From a reduced form of the neutron transport equation, the multigroup
446 discrete ordinates equation and diffusion approximation are developed. The two equations
447 form the basis of the analytical modeling used in this work.

448 The results assessment methodology is demonstrated in chapter 4. This chapter
449 discusses why each analytical model is chosen as well as how each reduced complexity
450 computational model is developed. After describing how the models are determined,
451 each previously identified feature of the interior neutron flux is analyzed using the results
452 assessment methodology.

453 Chapter 5 provides an overview into sensitivity analysis using the FSAP. A record of
454 previous sensitivity analysis techniques is also provided. This chapter also introduces the
455 sensitivity analysis process which will be used for the computational models in MCNP.

456 Chapter 6 provides foundational theory of the FSAP.

457 Comparisons between the FSAP analysis on analytical models and MCNP results are

458 discussed in Chapter 7.

459 The last chapter includes final thoughts and conclusion regarding the work.

460 Recommendations for future work are also provided in chapter 8.

461

462

CHAPTER 2

DISCUSSION OF MAIN PROBLEM

463 Dry storage casks provide protection, shielding, security, and cooling for used
464 nuclear fuel which has spent at least one year in a spent fuel pool [33]. Shielding is
465 especially important as used nuclear fuel is highly radioactive after being removed from
466 a reactor and shielding is required to protect civilians, radiation plant workers, and the
467 environment. The storage of used nuclear fuel has become a challenge in the United
468 States since there is no long-term storage location. Instead, used nuclear fuel is stored in
469 dry storage casks at the facility where it was generated. These casks are designed to 1)
470 shield harmful radiation generated by the used nuclear fuel, 2) conduct decay heat away
471 from fuel rods to prevent damage to the fuel and cladding, 3) protect spent nuclear fuel
472 from environmental damage and other hazards, and 4) prevent proliferation of nuclear
473 materials. Large efforts have been made in studying and designing casks to accomplish
474 these challenges. While each function is imperative in analyzing the efficacy of a spent
475 fuel cask, this work is only concerned with the radiation shielding capabilities of a Holtec
476 International HI-STORM 100 spent fuel canister system.

477 Figure 2-1 is a diagram of the HI-STORM 100 spent fuel canister system partially
478 loaded into an overpack of the same name. These two components together, the canister
479 and overpack, will be referred to as a spent fuel cask. The HI-STORM 100 canister
480 system is chosen as it is the most common used fuel storage system in the United States
481 (750 canisters have been loaded before 2017) [3]. The overpack consists of two parts: a
482 cylindrical dual material structure welded to a baseplate and a dual material removable
483 lid. Both parts of the overpack use a combination of concrete and carbon steel to shield
484 radiation, protect fuel, and prevent proliferation of nuclear material. Four vents are
485 located at both the top and bottom of the overpack. These vents allow air to circulate
486 between the overpack and MPC, removing heat caused by decaying isotopes in the spent
487 fuel. Spent fuel rods are stored in the MPC, the central cylinder in Fig. 2-1. Figure 2-2 is

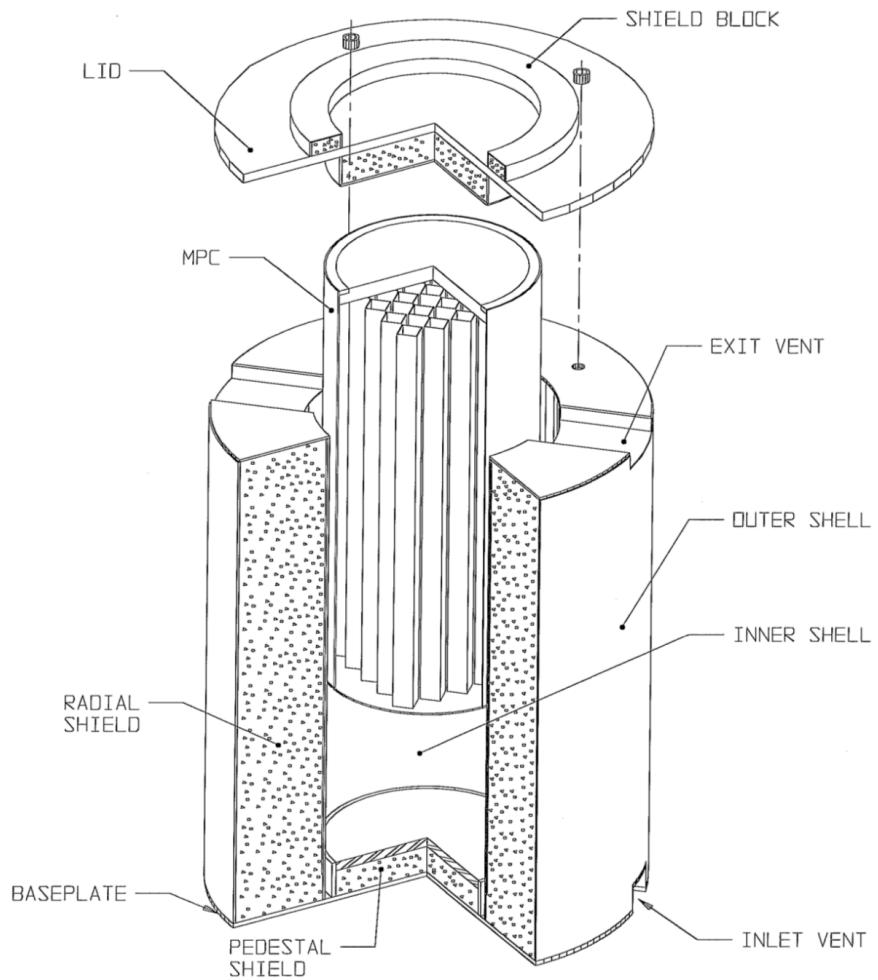


Figure 2-1. The Holtec HI-STORM 100 spent fuel cask system is designed to protect fuel, transfer decay heat to the environment, prevent proliferation of nuclear material, and attenuate radiation [32]. The MPC is seen partially inserted into the steel and concrete overpack. Current designs of the HI-STORM 100 do not use the inner shell and, therefore, the inner shield is not modeled in MCNP.

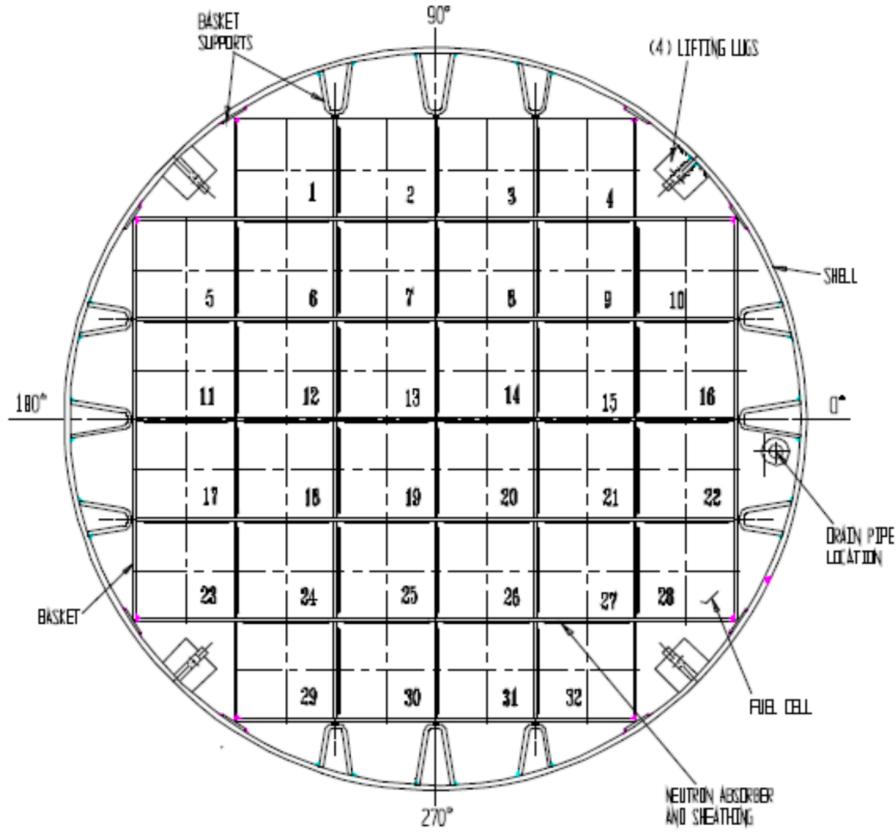


Figure 2-2. A cross section view of the multi-purpose canister. While there are multiple designs which accommodate different amounts of fuel, the MPC-32 is chosen for this work [32]. The MPC-32 is capable of holding 32 fuel bundles, one bundle in each square lattice element. The fuel basket and cylindrical wall of the MPC are made using stainless steel 304 and the canister is sealed by welding a baseplate to the bottom and a lid and closure ring to the top of the cylinder respectively.

488 the top-down cross section view of the MPC. Each cell in the honeycomb structure houses
 489 a single fuel bundle.

490 Power plant workers must be protected from the radiation produced by spent nuclear
 491 fuel rods, hence opening a sealed MPC is an expensive and potentially dangerous task.

492 Therefore, alternative methods are being explored to ensure the content and integrity
 493 of fuel components which do not require opening a cask. A sample of these techniques
 494 includes neutron spectroscopy, deduction of interior structure based on exterior dose
 495 rates, and neutron based computer tomography which were previously discussed in detail

496 in Section 1.3. Each of these techniques relies on simulations using various radiation
497 source definitions, virtual detectors, and simulated cask designs to determine specific
498 quantities related to the neutron flux within the spent fuel cask. The key metric of this
499 work is the interior neutron flux spatial distribution of the HI-STORM 100 spent fuel
500 cask, as this quantity is shared among research in spent fuel casks. Clearly, simulation
501 tools have become an important part of investigating the efficacy of a nondestructive
502 evaluation technique, and ensuring the accuracy of these results is even more important
503 since experimental data associated with the techniques is limited.

504 **2.1 Description of Detailed Model**

505 The MPC and overpack are modeled using the MCNP simulation code to determine
506 the simulated interior neutron flux spatial distribution averaged over the height of the cask
507 as a function of radial distance from the centerline. Figures 2-3 and 2-4 show, respectively,
508 a side view and cross section of the cask geometry simulated in MCNP. This model is
509 called the “detailed model” throughout this work and models the geometry of the cask
510 down to the individual fuel rod level. Each fuel rod acts as a source term for neutrons
511 produced from spontaneous fission and (α, n) reactions.

512 Figure 2-5 shows a single fuel cell cross section from the detailed model. The fuel
513 cell contains two neutron absorbing pads composed of boron-carbide and aluminum, 264
514 fuel rods with zircalloy cladding and 25 water rods representing instrumentation. Fuel
515 rod composition is determined using data from the Next Generation Safeguards Initiative
516 which analyzed the composition of Westinghouse 17x17 fuel bundles with various degrees
517 of initial ^{235}U enrichment and burn-up values [34]. This work investigates fuel with an
518 initial enrichment of 3% ^{235}U and a burn-up value of 30 GWd/MTU. The composition of
519 each individual fuel rod is unique, since fission fragment distribution is probabilistic, which
520 introduces variance in the local neutron flux. These variations in fuel rod composition
521 could influence the flux and potentially hide salient physics. Identifying and explaining
522 salient physics is a goal of this work. Therefore, an average fuel rod composition is

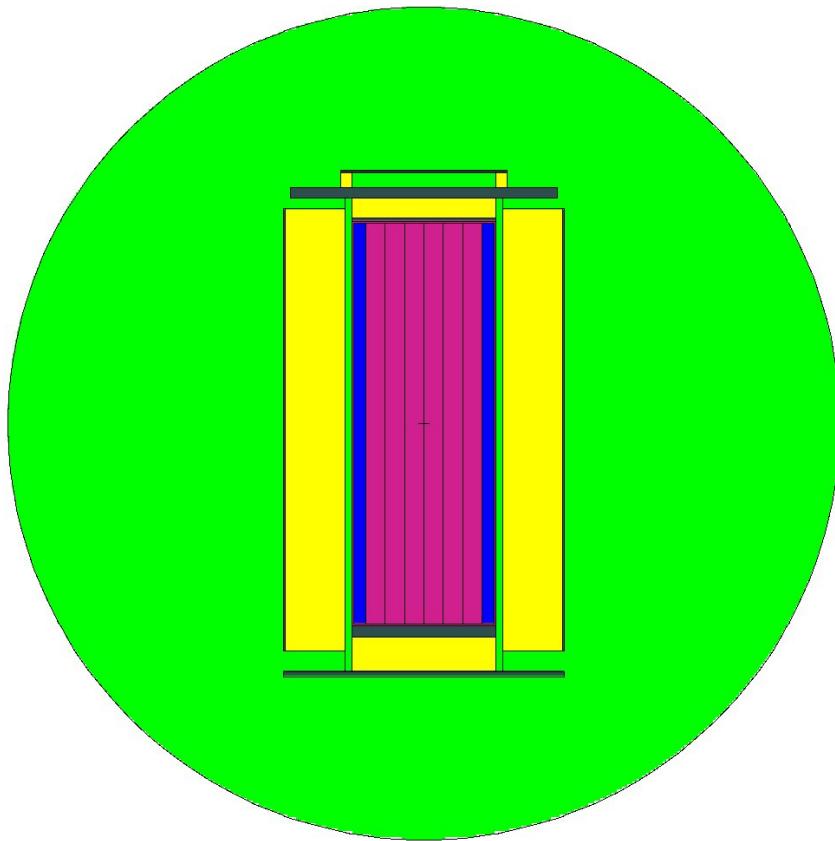


Figure 2-3. The side view of the HI-STORM 100 spent fuel cask (canister and overpack) modeled in MCNP. This is referred to as the detailed model.

523 determined based on the mass of each isotope present in a single spent fuel bundle in order
 524 to more clearly investigate the effects of geometry, detail, and non-fuel materials without
 525 influence from loading patterns of specific fuel rods.

526 The associated intrinsic neutron source is included via an MCNP neutron source
 527 definition. This definition is found using the ORIGEN-S 0-dimensional irradiation and
 528 decay code supplied with the SCALE package from Oak Ridge National Laboratory [35].

529 The neutron energy spectrum associated with the intrinsic source is shown in Fig. 2-6.
 530 The source spectrum results from spontaneous fission of isotopes in the fuel (such as ^{252}Cf)
 531 and (α, n) reactions occurring in the irradiated fuel.

532 Fig. 2-7 depicts the height-averaged scalar neutron flux as a function of radial
 533 position within the HI-STORM 100 spent fuel cask predicted using MCNP. The color

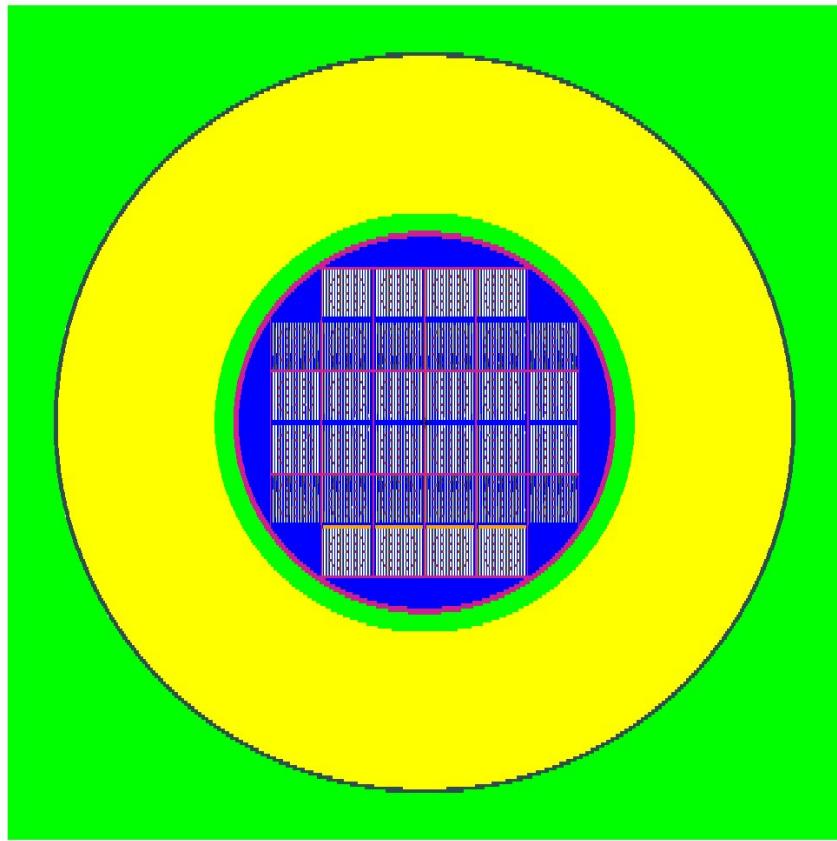


Figure 2-4. The top view of the HI-STORM 100 spent fuel cask modeled in MCNP. This view shows the fuel arrangement of the detailed model. This image shows the extent of geometric details which range from millimeters to meters.

534 of the line is related to the material through which the neutron flux is being simulated:
535 fuel is green (the entire area interior to the MPC is considered the fuel region), MPC is
536 blue, air is yellow, concrete is red, and carbon steel is black. The vertical lines designate
537 interfaces between material boundaries; green is the interface between the fuel region and
538 MPC, blue is the interface between the MPC and dry air, yellow is the interface between
539 air and the concrete annulus, red is the interface between concrete and carbon steel,
540 and black is exterior face of the cast. Figure 2-7 shows about half (54%) of the neutron
541 flux is attenuated in the fuel region, and the concrete further reduces the flux by 39%.
542 This result is intuitively sensible: the fuel region is comparatively dense and contains
543 neutron-absorbing materials (e.g., boron), while the thick concrete overpack region is

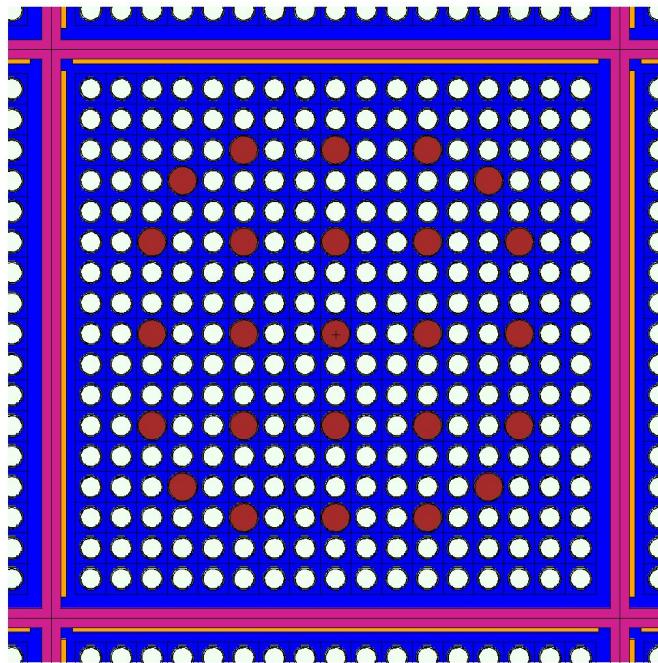


Figure 2-5. The zoomed in image of a single fuel cell cross section in the detailed model. There are neutron absorbing pads (orange rectangles) placed along the interior left and upper faces of the fuel basket (pink regions). Fuel rods (small white circles) include a fuel region, helium gap, and cladding, the helium gap and cladding are not visible in the figure. The larger red circles are the cross sectional view of water cylinders which represent instruments used for monitoring the safety of the HI-STORM 100 spent fuel cask system.

544 composed principally of highly thermalizing isotopes (e.g., hydrogen). Together, these
 545 processes are indicative of the observed dramatic reduction in neutron flux throughout
 546 the cask. However, advancing beyond intuition requires definitive answers to a variety of
 547 additional questions, namely:

548 1. Are the results correct?
 549 2. Could a mistake have been made in the simulation input?
 550 3. Was an assumption made that neglected important physics?
 551 4. Does the problem include physics or exist in a physical regime outside the viability
 552 of the simulated tool used?

553 While corroborating simulation results with intuition is qualitatively valuable, quantitative
 554 or semi-quantitative assessments and their associated effects on confidence in simulation

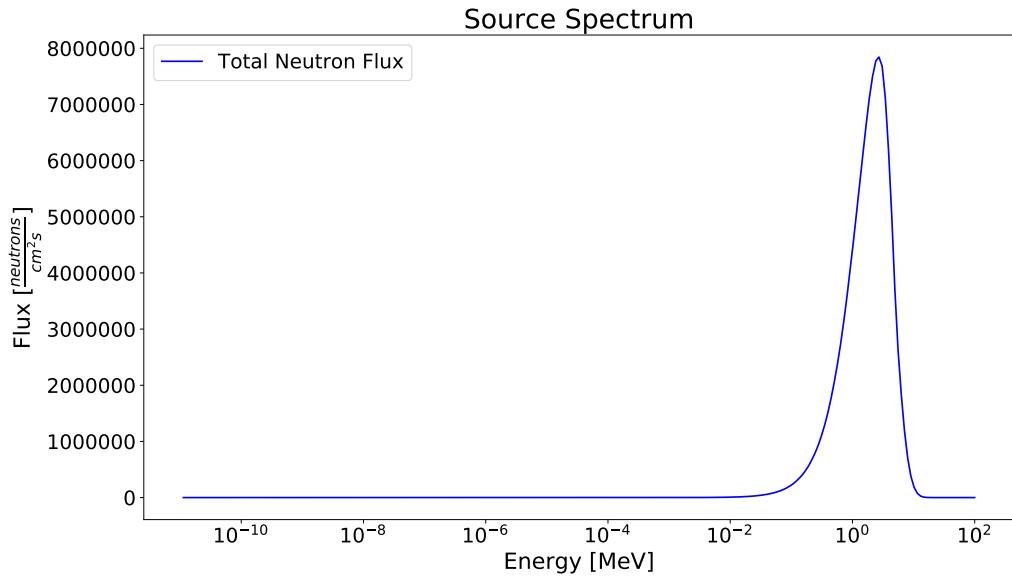


Figure 2-6. The source spectrum used in MCNP simulations. The spectrum is a result of spontaneous fission and (α, n) reactions.

555 results demands that the preceding questions be comprehensively addressed. The purpose
 556 of this work is to answer these questions by 1) identifying key features of the neutron
 557 flux spatial distribution as simulated in the detailed model, 2) developing simple physical
 558 models to determine the cause of each feature, and 3) gain confidence in the accuracy of
 559 the solution and inerrancy of the simulation process. In order to identify features in the
 560 neutron flux, each material region in the spent fuel cask is analyzed briefly.

561 **2.2 Mathematical Model Choice**

562 In the interest of constructing a complementary analytic representation of the
 563 neutron population behavior within the cask fuel region, inspection of the various features
 564 appearing in Figs. 2-1 and suggests several modeling simplifications.

565 • Aside from various isotope production and depletion processes featuring characteristic
 566 time scales spanning weeks to years, the spent fuel cask is essentially a static object.
 567 It is therefore assumed that the analytical representation of the cask is entirely
 568 time-independent (hereafter referred to as "static").

569 • The neutron energy spectrum within the fuel region is essentially "fast"; that
 570 is, it principally exists at fission neutron energies (i.e., 1-2 MeV) with minimal

Spatial Variation of Flux

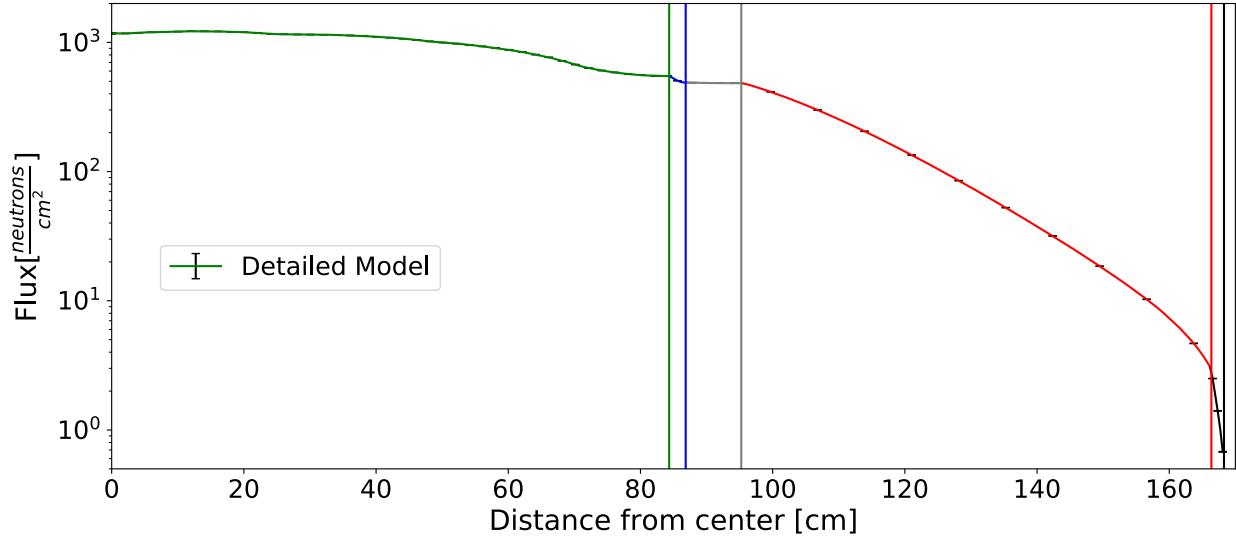


Figure 2-7. The interior neutron flux spatial distribution of the simulated HI-STORM 100 spent fuel cask. The vertical lines represent interfaces between material regions.

571 thermalization. As such, the analytical model used to characterize the cask fuel
 572 region is taken to be approximately monoenergetic.

573 • Owing to the high hydrogen content in the concrete annulus, the energy spectrum
 574 can be represented with two energy groups (one thermal and one fast). An analytic
 575 model characterizing the neutron transport in concrete is assumed to require two
 576 energy groups.

577 As a consequence of these observations and associated simplifications, a static,
 578 monoenergetic balance law model is used to characterize the neutron population
 579 information within the cask fuel region.

580 2.2.1 Fuel Region

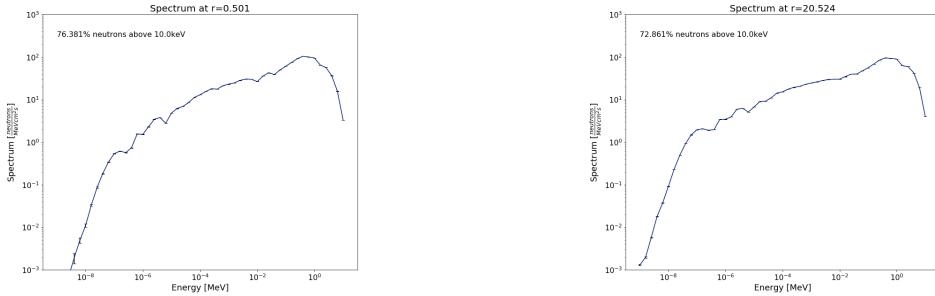
581 The fuel region of the HI-STORM 100 spent fuel cask features various materials
 582 including spent UO_2 nuclear fuel, a stainless steel basket, boron-containing neutron
 583 absorbing pads, and helium backfill. The geometric configuration of these materials is
 584 highly complex, as depicted in Fig. 2-1. Unfortunately, a single mathematical model
 585 capable of describing the neutron flux in the fuel region would not be tractable. Therefore,
 586 a simplified model must be developed using assumptions and approximations derived from

587 physics occurring in the model. In order to identify appropriate simplification, the energy
588 spectrum and angular distribution of the neutron flux and cross section data of various
589 materials are analyzed at various locations in the fuel region.

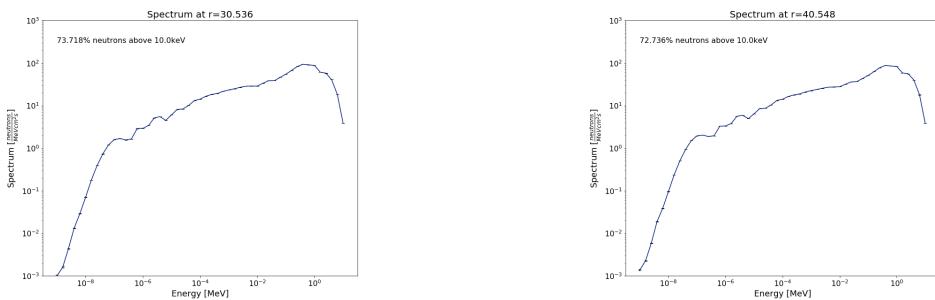
590 Figure 2-8 shows the energy spectrum of the neutron flux throughout the spent fuel
591 cask. These plots show the neutron flux has little variation throughout the fuel region.
592 This is a result of evenly distributing fuel rods through the fuel region. Further, the lack
593 of thermalizing materials in the fuel cask means there is little change in the neutron
594 spectrum. Therefore, it can be assumed that energy dependence of the neutrons can be
595 handled uniformly throughout the fuel region. This is a very helpful assumption that
596 allows for uniform treatment of material properties throughout the fuel region with respect
597 to energy. Unfortunately, there have been no assumptions concerning how to handle
598 neutron energy-dependence at this point, (e.g., is a monoenergetic method appropriate, or
599 will a different model be require?).

600 Analysis of the energy spectrum will determine how to best handle energy-dependence.
601 The percent of neutrons above 10keV varies between $\sim 78\%$ at inner radius values to $\sim 71\%$
602 at the edge of the fuel region as shown in Figs. 2-8a-2-8h. A monoenergetic handling
603 of the energy-dependence can be assumed since the majority of neutrons have energies
604 between 10keV and 10MeV, using an appropriate group weighting spectrum described
605 by Bell and Glasstone [36]. After choosing a method for handling energy-dependence,
606 it becomes necessary to determine a method for handling directional-dependence of the
607 neutron flux.

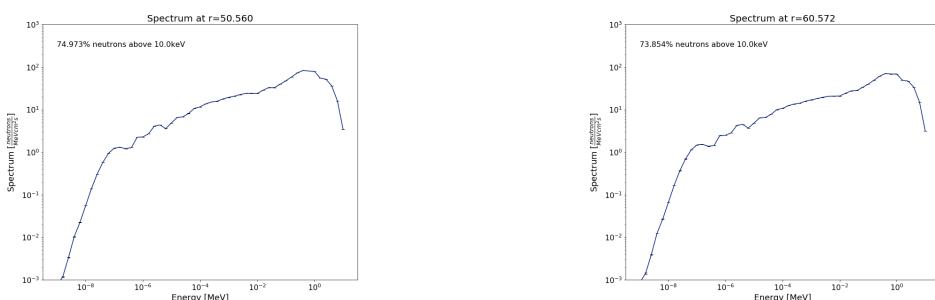
608 Figure 2-9 shows the angular distribution of the flux 0.5cm from the centerline (Fig.
609 2-9a) and at the edge of the fuel region (Fig. 2-9b). The angular distribution was tallied
610 at these locations to capture the two extents of the angular flux. A perfectly isotropic flux
611 would be a horizontal line with zero slope. If half of the neutron population is traveling
612 in either direction (inward and outward), then the neutron flux can be approximated
613 as isotropic with the understanding that deviations from isotropy will lead to errors in



(a) (b)



(c) (d)



(e) (f)

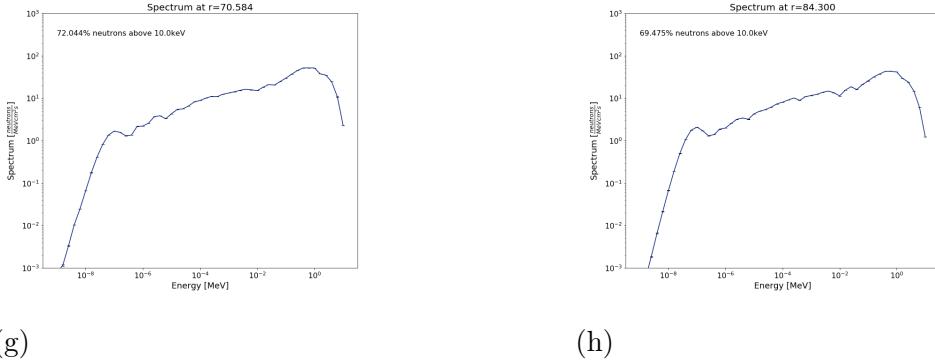


Figure 2-8. Energy spectrum of the neutron flux at various locations in the MPC where fuel rods are stored.

the results. Figure 2-9a shows the neutron flux is slightly inward-peaked 0.5cm from the centerline with 50.278% of all neutrons traveling toward the centerline. This indicates the flux can be approximated as isotropic near the centerline, a perfectly isotropic flux would have 50% of neutrons scattering toward the center of the fuel region. The flux at the outer edge has an outward peaked flux as shown in Fig. 2-9b. This is because the neutron population density is high in the fuel region, since the source of neutrons is in the fuel region, and neutrons are diffusing, or leaking, out of the fuel region where the neutron population density is lower. The percent of neutrons traveling outward from the fuel region 57.290% at the surface of the fuel region. While, the angular distribution in Fig. 2-9b shows the neutrons are slightly forward peaked, the angular distribution of the neutron flux deviates from isotropic by only $\sim 7\%$, thus, can be approximated as isotropic with the understanding that this approximation may lead to some disagreement between analytic and computational results.

Figure 2-10 shows the mean-free-path (MFP) of each of the materials in the fuel region. The MFP is the average distance between neutron interactions in a material. Figure 2-10 shows the MFP in the fuel (blue), cladding (orange), helium (green), stainless

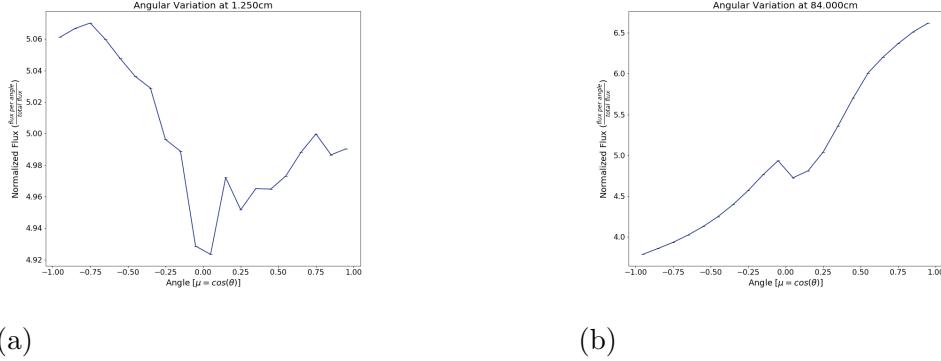


Figure 2-9. Angular distribution of the neutron flux at 2-9a 0.50 cm and at 2-9b the inner surface of the MPC (84.3 cm) from the centerline of the fuel cask.

630 steel (red), and neutron absorbing material (purple). The source flux is also shown in
 631 grey to identify which energy regions are most important (i.e., energy regions where the
 632 source flux is higher are more important). Assessing the MFP of each material helps to
 633 identify other assumptions and approximations that aid in determine the appropriate
 634 mathematical model to represent the neutron flux. The MFP of helium is about 1km
 635 where the source flux is most intense near 1MeV. The thickest region of helium occurs
 636 between the fuel cells and edge of the fuel region and is on the order of 10cm thick.
 637 The MFP is approximately two orders of magnitude larger, meaning there will be a
 638 negligible number of neutrons interacting in helium. The first material assumption is
 639 that helium outside of the fuel cells can be neglected. The remaining materials have a
 640 MFP of approximately 1cm at 1MeV. These materials show up in the fuel region on the
 641 same order, therefore, the remaining materials cannot be neglected. However, since these
 642 materials are evenly distributed (i.e., the materials exist throughout the fuel region and
 643 not just at a single location) and since the remaining materials have similar MFP's, a
 644 homogenization technique can be used to approximated the geometry in the fuel region.

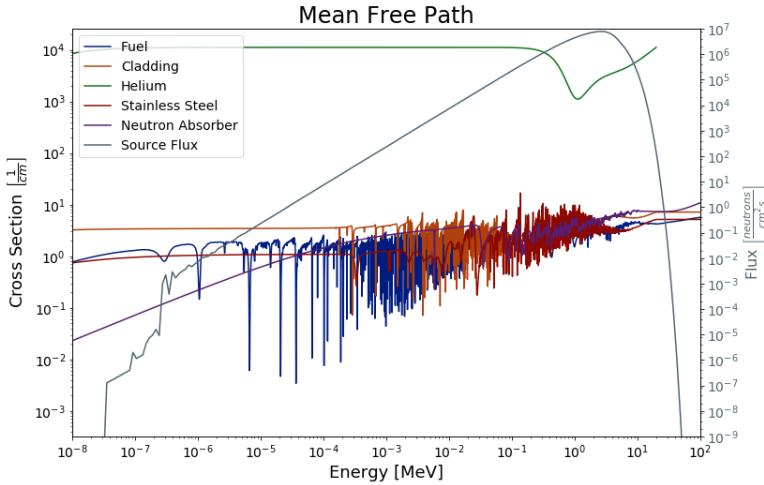


Figure 2-10. The mean-free-path, or distance between interactions, of the materials in the fuel region. The source flux is provided in order to identify energy ranges of greater importance.

645 A cylinder shaped homogenous fuel material is made based on the weight ratio of
 646 each material in the fuel region. The volume of the homogenous cylinder of fuel material
 647 is determined to preserve the volume from the 32 original fuel cells, and the radius of the
 648 cylinder is approximately 75cm. The volume around the cylinder of homogenous fuel is
 649 treated as a vacuum in the mathematical model. The radius of the homogenized fuel is
 650 about two orders of magnitude greater than the MFP of the materials used in the fuel
 651 region (e.g., $\sim 100\text{cm}$ radius of fuel $>> \sim 1\text{cm}$ MFP). Hence, the diffusion equation is
 652 an appropriate model since the fuel material is much thicker than the neutron's MFP.
 653 Therefore, the monoenergetic diffusion equation is an appropriate mathematical model to
 654 represent the neutron flux in the fuel region, given the previous identified assumptions and
 655 approximations derived from physical properties of materials in the fuel region.

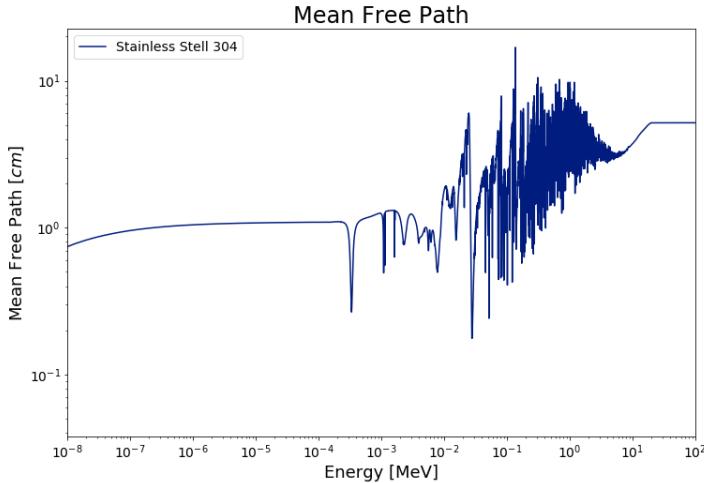


Figure 2-11. The mean-free-path of neutrons in stainless steel 304.

656 A monoenergetic diffusion approximation is an appropriate choice of an analytic
 657 model for the fuel region, however, that may not be the case for other materials in the
 658 cask. It is important to identify how the flux behaves in the remaining materials of the
 659 fuel cask and to identify appropriate models.

660 2.2.2 Stainless Steel MPC

661 The MPC encompasses the fuel area in a 2.5cm thick stainless steel 304 cylindrical
 662 container. Figure 2-11 shows the MFP in stainless steel 304. The most important thing to
 663 notice from the figure is that the MFP is on a similar order of magnitude as the thickness
 664 of the MPC. The diffusion equation is not an appropriate model when a material's
 665 thickness is fewer than a couple MFP's thick. Therefore, the diffusion approximation
 666 is unlikely to be an appropriate mathematical model. Instead, the multigroup discrete
 667 ordinates equation is a better approximation in this situation.

668 The number of energy groups and angles required to adequately model neutron
 669 transport in the stainless steel is still needed. Analyzing the energy spectrum at the
 670 interior and exterior surface of the MPC aid in finding an appropriate number of energy
 671 groups. Figure 2-12 shows the neutron energy spectrum at the interior surface (Fig. 2-12a)
 672 and exterior surface (Fig. 2-12b) of the MPC. At the interior surface of the MPC, the
 673 neutron flux is $\sim 70\%$ above 10keV and a single energy model would be appropriate. This
 674 would be preferable since the group structure in the MPC would match the energy group
 675 boundaries in the fuel region. However, the number of slow neutrons increases though
 676 the thickness of the MPC, and Fig. 2-12b shows that $\sim 59\%$ of neutrons are above 10keV.
 677 Hence, a two group analytic model is preferable.

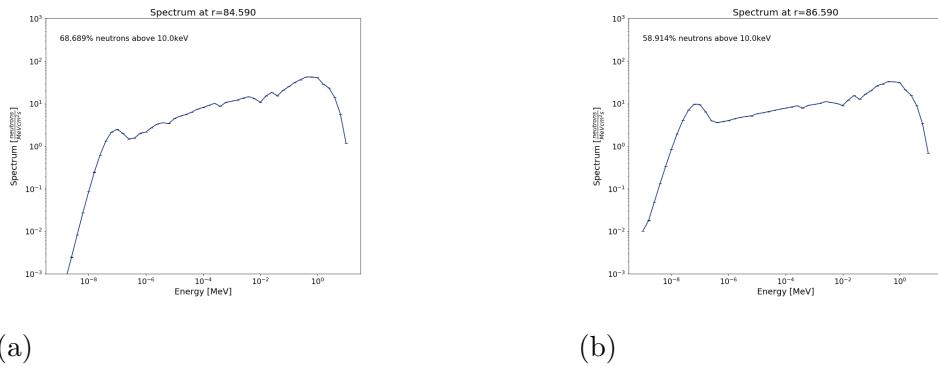


Figure 2-12. The neutron energy spectrum at a) 84.6cm and b) 86.6cm in the stainless steel MPC.

678 Analysis of the angular distribution (Fig. 2-13) helps to determine the number
 679 of angles to use in the multigroup discrete ordinates approximation. Figure 2-13a is
 680 the angular distribution of the flux at the interior surface of the MPC. Approximately
 681 57% of the neutrons are forward scattering at this point in the MPC and Fig. 2-13b
 682 shows that the number of forward scattered neutrons is relatively similar ($\sim 56\%$). In
 683 the fuel region, the flux is considered isotropic even though over half of the neutrons are

684 traveling away from the centerline near the outer surface of the cask. This is an acceptable
 685 approximation since there exists location in the fuel region that are closer to isotropic.
 686 However, the neutron flux in the stainless steel is only forward-pointed, which alludes
 687 to using two angles to approximate the neutron flux. Finally, the multigroup discrete
 688 ordinates approximation with two energy groups and two angles is chosen to model
 689 neutron transport in the stainless steel.

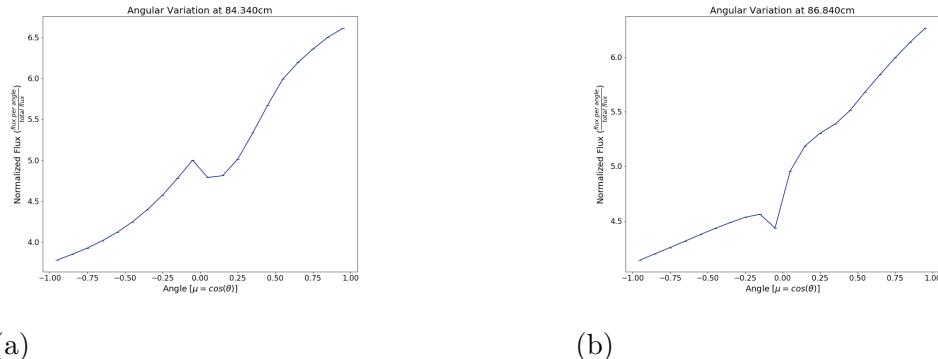


Figure 2-13. The neutron angular distribution at the a) inner surface and b) outer surface of the MPC.

690 2.2.3 Dry Air Gap

691 Surrounding the MPC is a gap of dry air for heat removal from the fuel. Figure 2-14
 692 shows the mean free path of neutrons in dry air. The MFP is two orders of magnitude
 693 larger than the thickness of the air gap ($\sim 10\text{cm}$). Meaning, the air gap can be treated as a
 694 vacuum and there is no need for a mathematical model in this region.

695 2.2.4 Concrete Annulus

696 The 71.12cm thick concrete annulus provides nearly half the neutron shielding
 697 capabilities in the spent fuel cask due to scattering on hydrogen. Following a similar
 698 method as before, the MFP of neutrons in concrete are investigated. Concrete, being
 699 a thermalizing material, is expected to change the neutron energy spectrum through

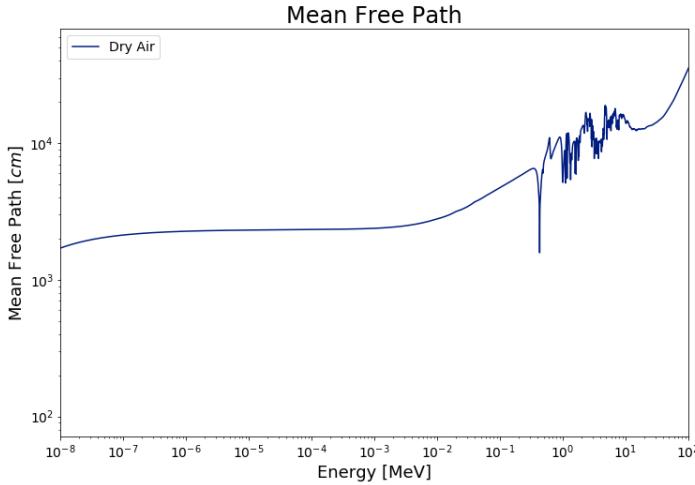


Figure 2-14. The mean-free-path of neutrons in the air gap. The low density of gaseous air lead to a high MFP. The air gap can be treated as a streaming region since the MFP is much larger than the thickness of the air gap.

700 down-scattering neutrons, so both fast and thermal energies need to be taken into account
 701 when analyzing Fig. 2-15. At higher energies, 1MeV, the concrete is about 7 MFP's thick.
 702 Therefore, diffusion may not be an appropriate model for these energies of neutrons.
 703 However, at lower energies, 1eV, the concrete is about 35 MFP's thick. At lower energies,
 704 the diffusion approximation is an appropriate model. Overall, analysis of Fig. 2-15 would
 705 indicate that a multigroup discrete ordinates approximation would be better suited as
 706 an analytic model in the entire concrete. Further investigation of the neutron energy
 707 spectrum and angular distribution will aid in solidifying a model choice.

708 The energy spectrum does change significantly over the thickness of the concrete
 709 annuls. Figure 2-16a shows the neutron energy spectrum at the inside surface of the
 710 concrete annulus. The neutron flux is $\sim 53\%$ above 10keV at the innermost surface of the
 711 concrete. The neutron flux is quickly thermalized and less than a third of the neutron flux

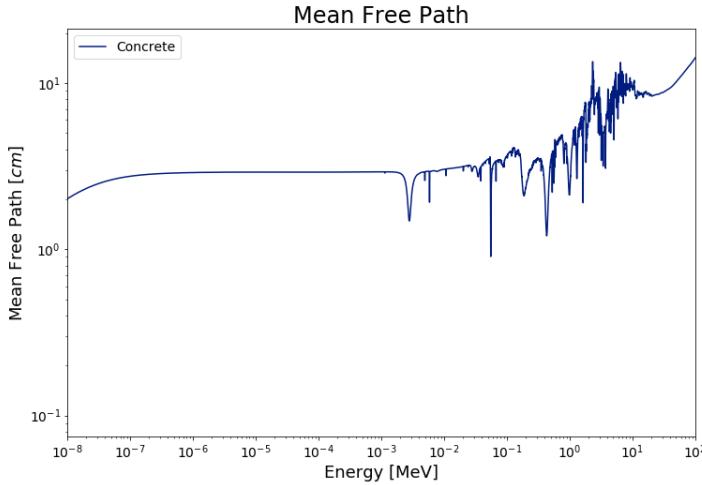
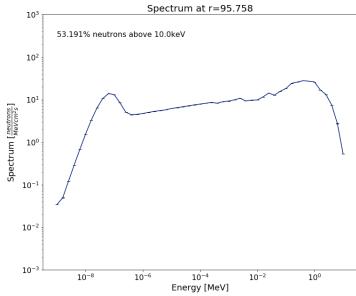


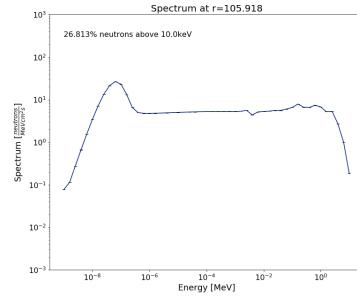
Figure 2-15. The mean-free-path of neutrons in the concrete annulus.

712 is above 10keV after the neutrons have traveled ten centimeters into the concrete (Fig.
 713 2-16b). At the exiting surface, less than 6% of the neutrons remain above 10keV as shown
 714 in Fig. 2-16h. The large change in neutron energies means more than one energy will be
 715 required to model transport in concrete. The shape of the flux shows the presence of two
 716 local maxima in the neutron spectrum that occur throughout the concrete region, one near
 717 1MeV and the other near 0.1eV. Therefore, a two energy group model is expected to be
 718 adequate. Analysis of the angular distribution will indicate the number of angles necessary
 719 for the multigroup discrete ordinates model.

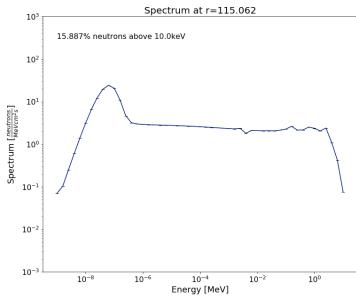
720 Figure 2-17 show the angular distribution at the entering and exiting surfaces of
 721 the concrete annulus. Analysis of the angular distribution shows the neutron flux is
 722 forward-peaked with \sim 55% of the neutrons traveling outward at the inner surface of the
 723 concrete annulus. At the exiting surface, \sim 68% of the neutrons are traveling outward.
 724 This results confirms the model choice of the multigroup discrete ordinates approximation.
 725 Further, two angles are adequate to describe the flux to a first-order-approximation.



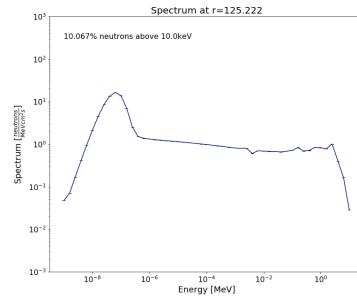
(a)



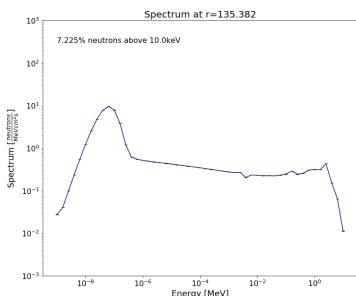
(b)



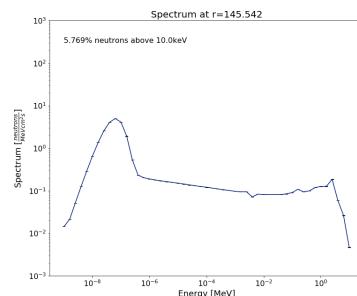
(c)



(d)



(e)



(f)

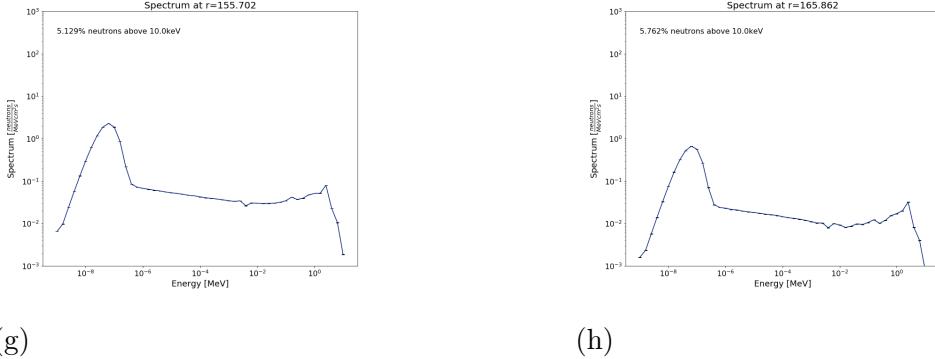


Figure 2-16. Energy spectrum of neutrons throughout the concrete annulus.

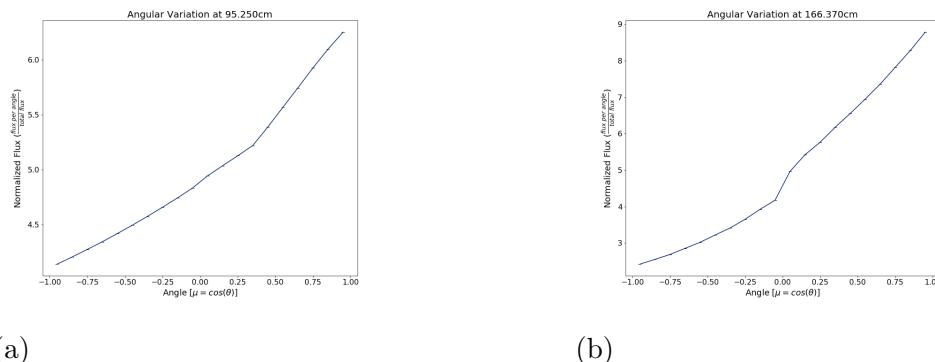


Figure 2-17. The angular distribution of the neutron flux at a) the inner surface (95.25cm) and the b) outer surface (166.37cm) of the concrete annulus.

726 2.2.5 Carbon Steel Outer Shell

727 The 1.905cm thick carbon steel shell is the final material being analyzed in the spent
 728 fuel cask. Using a similar analysis as with previous materials, the MFP is compared
 729 to the thickness of the steel shell to aid in determining a mathematical model. Figure
 730 2-18 shows the MFP of neutrons in carbon steel. The most probable energy of neutrons
 731 leaving entering the carbon steel shell is about 0.1MeV, shown in Fig. 2-16h. Using this
 732 information, the most probable MFP of neutrons in the carbon steel shell is \sim 1cm. This is

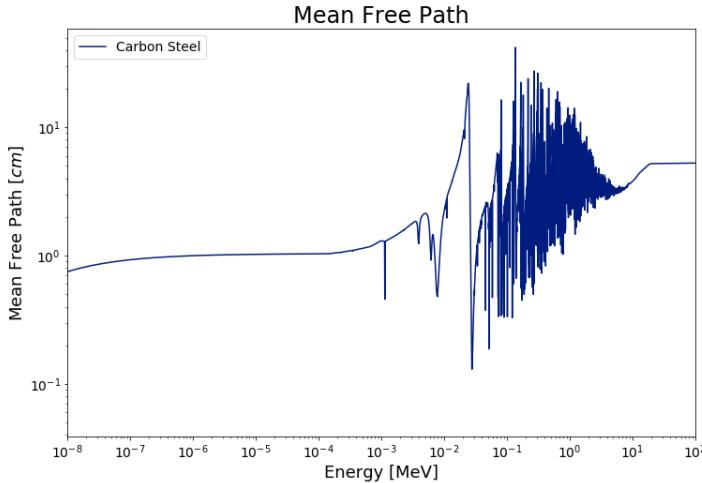


Figure 2-18. The mean-free-path of neutrons in carbon steel.

733 on the order of the magnitude of the carbon steel shell thickness. Therefore, the diffusion
 734 equation is likely a poor choice of mathematical model and the multigroup discrete
 735 ordinates equation is likely a better choice.

736 Figure 2-19 shows the energy spectrum at the inner surface (Fig. 2-19a) and outer
 737 surface (Fig. 2-19b). A small number of neutrons are are bred in carbon steel resulting in
 738 the growing number of neutrons above 10keV. For this reason, two energy groups should
 739 be used to model the neutron flux in the carbon steel.

740 Finally, the angular distribution graphs of the neutron flux entering the carbon steel
 741 (Fig. 2-20a) and leaving the carbon steel shell (Fig. 2-20b) show the neutron flux is
 742 forward peaked. In fact, at the inner carbon steel surface $\sim 68\%$ of the flux is traveling
 743 outward and that fraction increases to $\sim 97\%$ of neutrons traveling outward at the exiting
 744 surface of the cask. Nearly all of the neutrons are traveling away from the cask because
 745 the cask is placed in dry air. As shown previously, the MFP of neutrons in dry air is large,
 746 greater than 1km, resulting in a small number of neutrons returning to the cask after

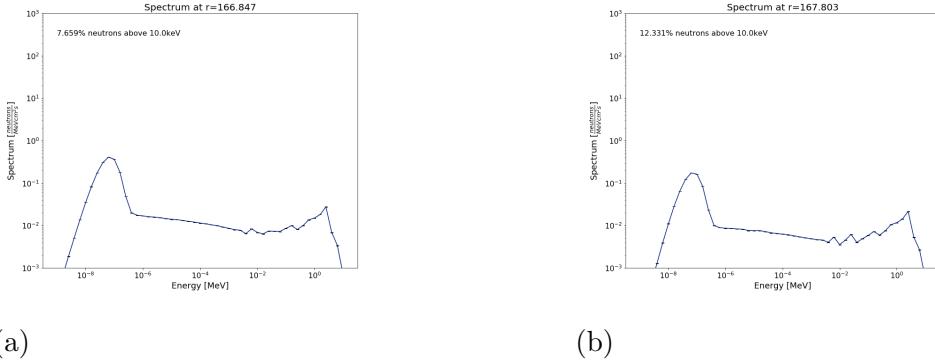


Figure 2-19. The neutron energy spectrum near the a) inner surface (166.847cm) and the b) outer surface (167.803cm) of the carbon steel shell. The two peaks in each figure allude to a two energy group model.

leaving. The small number of returning neutrons provides boundary condition information for the final model. Therefore, the outermost boundary of the spent fuel cask can be treated as non-entrant. Further, two angular groups are capable of approximating the flux since the distribution is strongly preferential in a forward direction.

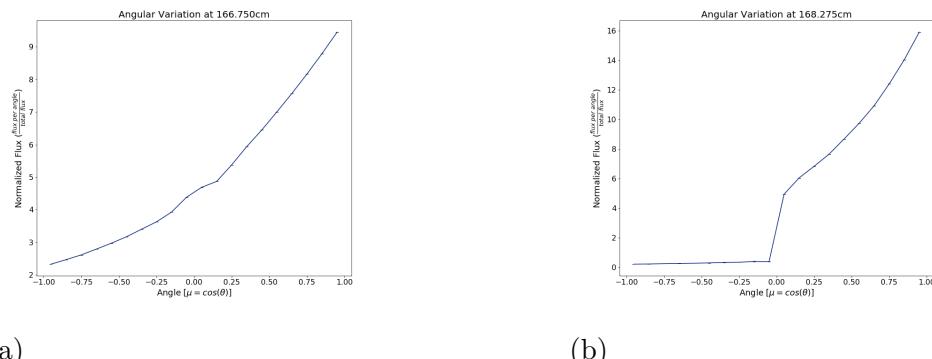


Figure 2-20. The angular distribution of the neutron flux at the a) inner surface and b) outer surface of the carbon steel shell. Since the flux is heavily forward-pointed, two directions can be used to model the flux.

751 By no means are the previous choices in analytic models meant to be the most
752 exhaustive means of describing the neutron flux in each material. Rather, choices were
753 made in order to keep the models as simplistic as possible while capturing the physics
754 of the spent fuel cask in an attempt to highlight inherent phenomenon in the problem.
755 As will be seen during the sensitivity analysis portion of the work, even these simplistic
756 models yield complex sensitivity results. Therefore, identifying any physical meaning using
757 the analytic models becomes challenging, if possible, even when using very simple models.
758 While the mathematical models have been chosen, the geometry which each will be solved
759 in has yet to be determined. Discussion of the geometry will be handled in Sec. ??.

760 2.3 Identification of Features

761 “Features” are locations in the simulated neutron flux spatial distribution shown in
762 Fig. 2-7 which appear to be the result of a physical process. Using a reduced complexity
763 analytic or computational model to reproduce a feature yields two benefits: 1) the physical
764 process that generates the feature in question is identified and, 2) confidence is gained in
765 the accuracy of the simulation result. Confidence in the simulation result is gained when
766 a feature is determined to be a result of an understood physical process. That is, the
767 feature should exist in the problem, is being modeled correctly in the code, and is not a
768 computational artifact. Ensuring agreement between simplified and complex models also
769 corroborates the accuracy of the simulation input itself. Something as simple as inputting
770 an incorrect area or volume would not result in a fatal error message in MCNP, but would
771 lead to incorrect neutron flux results. The process of reproducing features using simplified
772 analytic and computational models provides an opportunity to identify errors in the
773 simulation input and addressing these errors leads to increased confidence in the accuracy
774 of a simulation.

775 There are four features discussed in this paper which are identified as:

776 1. The “flat” flux region (highlighted in Fig. 2-21): The flux in this region smoothly
777 decreases by approximately 36% even though intuition suggests the flux should
778 increase in the fuel pins and decrease in the space between fuel pins.

779 2. The abrupt level-off region (highlighted in Fig. 2-22): The flux only decreases $\sim 3\%$
780 over the region $65\text{ cm} \leq r \leq 84.1\text{ cm}$ from the cask centerline.

781 3. Periodic depressions (highlighted in Fig. 2-23): There is a $\sim 2\%$ reduction in the flux
782 near 25 cm, 50 cm, and 75 cm from the cask centerline.

783 4. The asymmetric flux: Figure 2-24 is a density plot of the neutron flux when looking
784 at a center slice of the cask from above. Figure 2-25 is a contour plot to better
785 illustrate the neutron flux asymmetry present in Fig. 2-24. The neutron flux in the
786 upper left section (above the diagonal line) of the plot is less than the neutron flux
787 in the lower right section (below the diagonal line) of the image. This asymmetry is
788 most obvious at the outer edge of the fuel region.

789 5. The concrete flux (Fig. 2-26): The concrete region provides the second-most
790 significant reduction in the neutron flux within the cask. Identifying the processes
791 which attenuate radiation in this region provides evidence the overpack was modeled
792 correctly.

793 The remaining chapters will discuss how the results assessment methodology is used

794 to identify the salient physics in each of the previously identified features, as well as, how
795 confidence is gained in the simulation results of the detailed model through sensitivity
796 analysis. However, the next chapter will provide an in-depth background on neutron
797 transport theory and the development of the analytic models which will be used in the
798 analysis before we can discuss the features further.

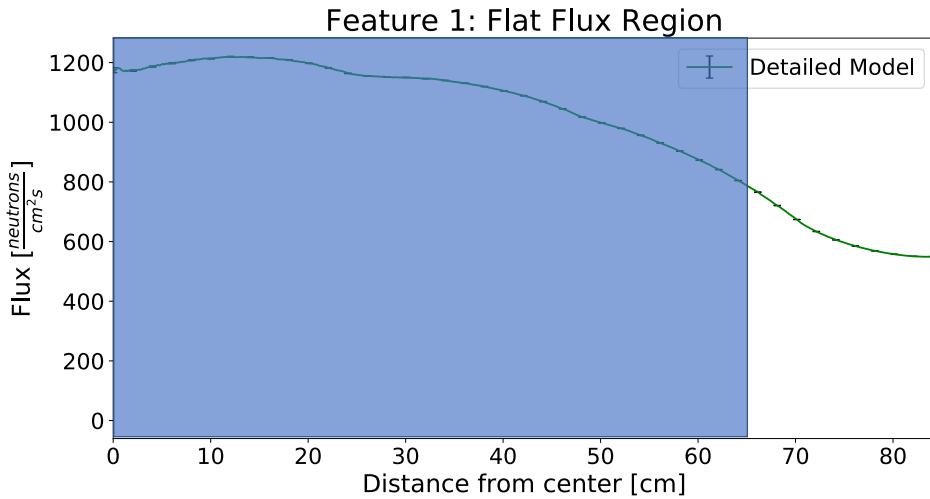


Figure 2-21. The neutron flux spatial distribution between the cask centerline and inner face of the MPC. The highlighted region is considered the flat flux region. This neutron flux is relatively flat and does not vary on the same order as the physical dimensions of materials in this region.

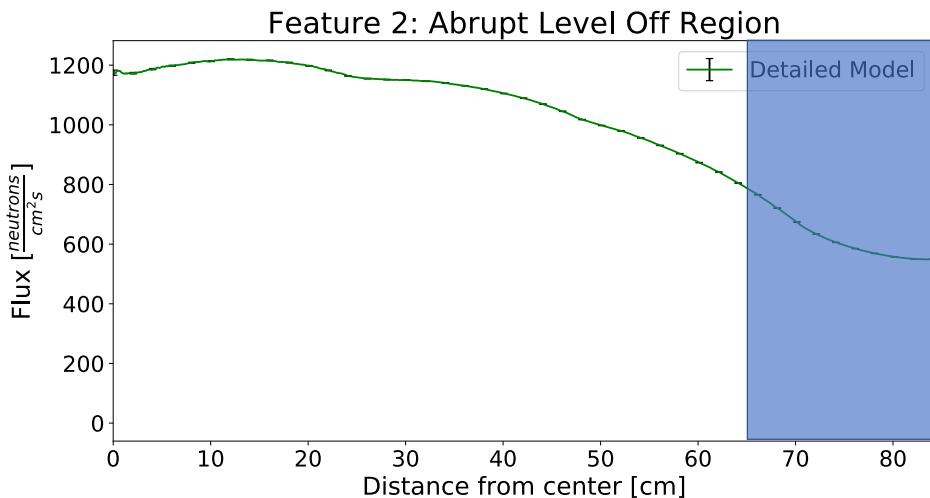


Figure 2-22. The flux stops decreasing and instead levels-off in the abrupt level-off region. The flux decreases less than 3% over the last ten centimeters before the interface between the fuel region and MPC.

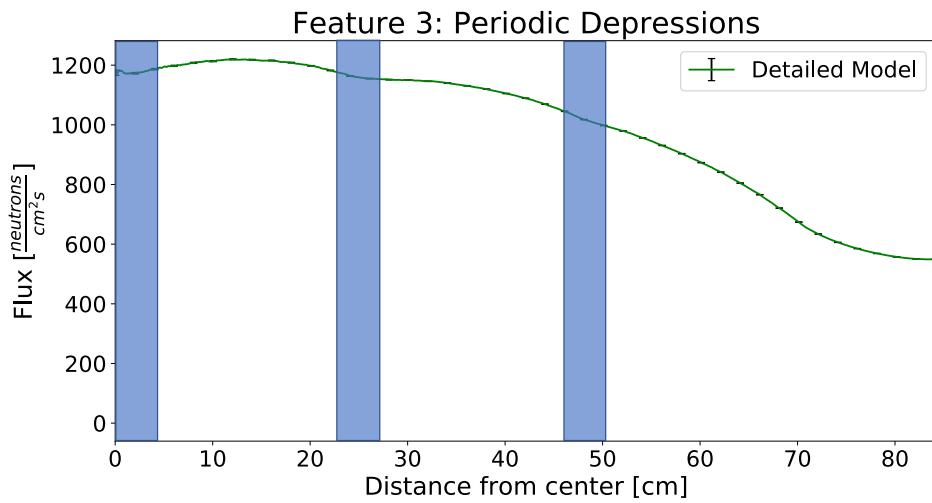


Figure 2-23. There are three depressions in the neutron flux spatial distribution located approximately 22 cm apart. The flux decreases about 2% at each depression.

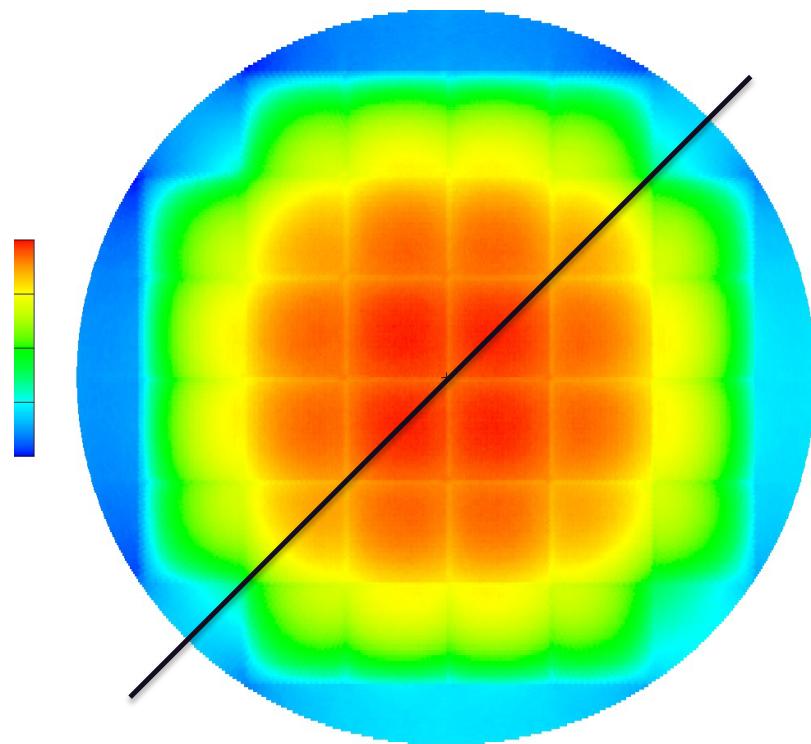


Figure 2-24. A density plot of the neutron flux at a "central slice" of the fuel cask as viewed from above. This plot shows the neutron flux is less in the upper left section than in the lower right section. The asymmetry is most evident in the blue and light blue sections at the outer radius of the figure.

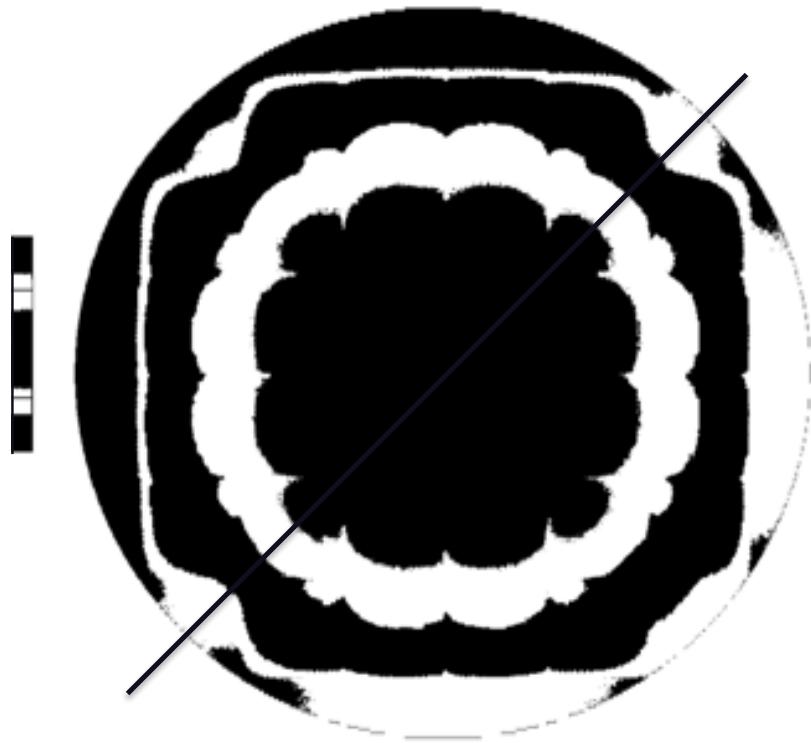


Figure 2-25. A contrast plot emphasizing the asymmetry of the flux values.

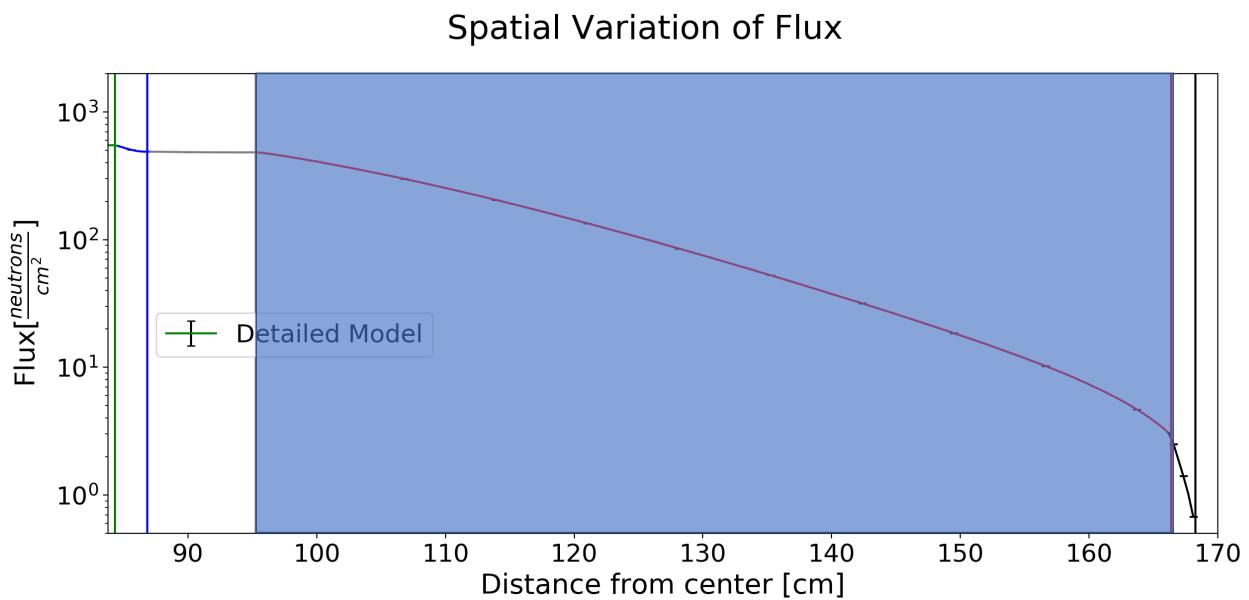


Figure 2-26. The overpack accounts for about half of the reduction to the neutron flux. The purpose of investigating this region is to determine which physical processes are responsible for the attenuation.

CHAPTER 3
THEORY

801 The behavior of any nuclear system is governed by the distribution of radioactive
 802 particles within the system. In the case of a system containing neutrons, the distribution
 803 of neutrons can be found by solving the neutron transport equation (NTE). The NTE
 804 is also referred to as the Boltzmann transport equation because of its similarity to
 805 Boltzmann's equation governing the kinetic theory of gas. Finding an analytic solution
 806 of the NTE for even the simplest geometries is a challenging task. Not only because the
 807 equation is an integro-differential equation defined over a seven variable phase space, but
 808 also because the solution of the NTE depends on parameters which are heavily dependent
 809 on the solution to the original equation. The NTE can be solved for the flux by applying
 810 assumptions and approximations to reduce the complexity of the equation. The NTE
 811 is derived before applying assumptions and simplifications to reduce the NTE into two,
 812 distinct tractable approximations; known as (1) the diffusion approximation and (2) the
 813 multigroup discrete ordinates equations.

Before deriving the NTE, it is important to define terms which will be used. The neutron angular density,

$$N(\mathbf{r}, \hat{\Omega}, E, t),$$

describes the expected number of neutrons in the region of phase space defined by a neutron's position vector \mathbf{r} , direction of travel $\hat{\Omega}$, and kinetic energy E at time t . It follows that the expected number of neutrons at time t in a volume element dV having energies in dE about E and directions within a narrow beam $d\hat{\Omega}$ about $\hat{\Omega}$ can be described by

$$N(\mathbf{r}, \hat{\Omega}, E, t) dV d\hat{\Omega} dE.$$

The angular flux is defined as the product of speed v and the number of neutrons,

$$\varphi(\mathbf{r}, \hat{\Omega}, E, t) = vN(\mathbf{r}, \hat{\Omega}, E, t).$$

Using the angular flux, the reaction rate is defined as

$$R_x(\mathbf{r}, \hat{\Omega}, E, t) = \Sigma_x(E) \varphi(\mathbf{r}, \hat{\Omega}, E, t)$$

814 where $R_x(\mathbf{r}, \hat{\Omega}, E, t)$ is the frequency of interactions between neutrons and surrounding
 815 materials. The parameter $\Sigma_x(E)$ called the macroscopic cross section for reaction “x”
 816 (e.q., total reaction cross section, absorption cross section, scattering cross section). The
 817 macroscopic cross section describes the probability of an interaction occurring per unit
 818 length as a function of incoming neutron energy.

819 **3.1 Derivation of the Boltzmann Transport Equation for Neutrons by
 820 Derivatives**

821 The NTE can be derived by “following” a group of neutrons, referred to as a packet,
 822 through a material and describe how neutrons are gained or lost in time. Neutrons with
 823 energy E are lost from the packet as a result of a collision over the distance $v\Delta t$, whereas
 824 neutrons that do not interact over the distance $v\Delta t$ remain in the packet. The probability
 825 of a neutron being removed from the packet over the distance $v\Delta t$ can then be written as

$$\begin{aligned} \text{Probability of a neutron} & \equiv \Sigma_t(\mathbf{r}, E)v\Delta t, \\ \text{being removed from the packet} & \end{aligned} \quad (3-1)$$

826 and the probability of a neutron remaining in the packet over the distance $v\Delta t$ is defined
 827 as

$$\begin{aligned} \text{Probability of a neutron} & \equiv 1 - \Sigma_t(\mathbf{r}, E)v\Delta t. \\ \text{remaining in the packet} & \end{aligned} \quad (3-2)$$

828 Using 3-2, the number of neutrons remaining in the packet after traveling a small
 829 distance of $v\Delta t$ is

$$\begin{aligned} \text{Number of neutrons} & \equiv N(\mathbf{r}, \hat{\Omega}, E, t)[1 - \Sigma_t(\mathbf{r}, E)v\Delta t] dV d\hat{\Omega} dE. \\ \text{remaining in packet} & \end{aligned} \quad (3-3)$$

830 Eqn. 3-3 adjusts the neutron population accounting for neutrons which left the packet
 831 through interactions, however, neutrons can enter the packet through two mechanisms: 1)
 832 internal neutron source or 2) by scattering from one packet into another. The number of

⁸³³ neutrons which enter the packet from an internal neutron source is given by

$$\begin{aligned} \text{Number of neutrons entering} \\ \text{packet from internal sources} &\equiv s(\mathbf{r}, \hat{\Omega}, E, t) dV d\hat{\Omega} dE \Delta t. \end{aligned} \quad (3-4)$$

Neutrons can also enter the packet through scattering interactions, called inscattering. An inscattering reaction occurs when a neutron belonging to the packet described by a volume element dV with energies in dE' about E' and directions within $d\hat{\Omega}'$ about $\hat{\Omega}'$ undergoes a scattering event leaving the neutron traveling in $d\hat{\Omega}$ about $\hat{\Omega}$ with energy in dE about E , adding this neutron to the packet $(\mathbf{r}, \hat{\Omega}, E, t)$. The probability of neutrons with energy E' and direction $\hat{\Omega}'$ which scatter into the energy $E + dE$ with direction in $\hat{\Omega} + d\hat{\Omega}$ can be written as:

$$\begin{aligned} \text{Probability of neutrons entering} \\ \text{packet due to inscattering} &\equiv \Sigma_s(\mathbf{r}, \hat{\Omega}' \rightarrow \hat{\Omega}, E' \rightarrow E, t) v N(\mathbf{r}, \hat{\Omega}', E', t). \end{aligned} \quad (3-5)$$

Integrating definition 3-5 over all initial energies dE' and initial directions $d\hat{\Omega}'$ yields the number of neutrons that enter the packet due to inscattering,

$$\begin{aligned} \text{Number of neutrons entering} \\ \text{packet due to inscattering} &\equiv \\ \left[\int_{4\pi} d\hat{\Omega} \int_0^\infty dE \Sigma_s(\mathbf{r}, \hat{\Omega}' \rightarrow \hat{\Omega}, E' \rightarrow E, t) v N(\mathbf{r}, \hat{\Omega}', E', t) \right] dV d\hat{\Omega} dE \Delta t. \end{aligned} \quad (3-6)$$

The neutron density at $\mathbf{r} + \hat{\Omega}v\Delta t$ at time $t + \Delta t$ is found by adding 3-3, 3-4, and 3-6 and dividing that sum by $dV d\hat{\Omega} dE$:

$$\begin{aligned} N(\mathbf{r} + \hat{\Omega}v\Delta t, \hat{\Omega}, E, t + \Delta t) = \\ N(\mathbf{r}, \hat{\Omega}, E, t)(1 - \Sigma_t v \Delta t) \\ + \left[\int_{4\pi} d\hat{\Omega}' \int_0^\infty dE' \Sigma_s(\mathbf{r}, \hat{\Omega}' \rightarrow \hat{\Omega}, E' \rightarrow E, t) N(\mathbf{r}, \hat{\Omega}', E', t) \right] \Delta t + S \Delta t. \end{aligned} \quad (3-7)$$

Dividing Eqn. 3-7 and taking the limit as $\Delta t \rightarrow 0$ yields the result, after rearranging terms,

$$\begin{aligned} \lim_{\Delta t \rightarrow 0} \left[\frac{N(\mathbf{r} + \hat{\boldsymbol{\Omega}}v\Delta t, \hat{\boldsymbol{\Omega}}, E, t + \Delta t) - N(\mathbf{r}, \hat{\boldsymbol{\Omega}}, E, t)}{\Delta t} \right] + \Sigma_t v N(\mathbf{r}, \hat{\boldsymbol{\Omega}}, E, t) \\ = \int_{4\pi} d\hat{\boldsymbol{\Omega}}' \int_0^\infty dE' \Sigma_s(\mathbf{r}, \hat{\boldsymbol{\Omega}}' \rightarrow \hat{\boldsymbol{\Omega}}, E' \rightarrow E, t) N(\mathbf{r}, \hat{\boldsymbol{\Omega}}', E', t) + S. \end{aligned} \quad (3-8)$$

⁸³⁴ Simplifying the first term requires adding and subtracting $N(\mathbf{r}, \hat{\boldsymbol{\Omega}}, E, t + \Delta t)$ to the
⁸³⁵ second term in the numerator of the fraction in Eqn. 3-8 and simplifying the expressions
⁸³⁶ individually. Adding $N(\mathbf{r}, \hat{\boldsymbol{\Omega}}, E, t + \Delta t)$ to the second term in the numerator gives

$$\lim_{\Delta t \rightarrow 0} \left[\frac{N(\mathbf{r}, \hat{\boldsymbol{\Omega}}, E, t + \Delta t) - N(\mathbf{r}, \hat{\boldsymbol{\Omega}}, E, t)}{\Delta t} \right] = \frac{\partial N}{\partial t}. \quad (3-9)$$

Subtracting $N(\mathbf{r}, \hat{\boldsymbol{\Omega}}, E, t + \Delta t)$ from the first term in the numerator leads to a less trivial expression, but it is more readily derived when decomposed in Cartesian coordinates as

$$\begin{aligned} \lim_{\Delta t \rightarrow 0} \frac{N(\mathbf{r} + \hat{\boldsymbol{\Omega}}v\Delta t, \hat{\boldsymbol{\Omega}}, E, t) - N(\mathbf{r}, \hat{\boldsymbol{\Omega}}E, t + \Delta t)}{\Delta t} = \\ \lim_{\Delta t \rightarrow 0} \frac{N(x + \Omega_x v \Delta t, y + \Omega_y v \Delta t, z + \Omega_z v \Delta t, \hat{\boldsymbol{\Omega}}, E, t) - N(x, y, z, \hat{\boldsymbol{\Omega}}, E, t)}{\Delta t}, \end{aligned} \quad (3-10)$$

where \mathbf{r} and $\hat{\boldsymbol{\Omega}}$ have components x, y, z and $\Omega_x, \Omega_y, \Omega_z$ respectively. The infinitesimal $\hat{\boldsymbol{\Omega}}v\Delta t$ is equivalent to Δx . Equation 3-10 is then solved using the chain rule.

$$\begin{aligned} \lim_{\Delta t \rightarrow 0} \frac{N(x + \Delta x, y + \Delta y, z + \Delta z) - N(x, y, z)}{\Delta t} = \\ \frac{\Delta N}{\Delta x} \frac{\Delta x}{\Delta t} + \frac{\Delta N}{\Delta y} \frac{\Delta y}{\Delta t} + \frac{\Delta N}{\Delta z} \frac{\Delta z}{\Delta t} = \\ v \Omega_x \frac{\partial N}{\partial x} + v \Omega_y \frac{\partial N}{\partial y} + v \Omega_z \frac{\partial N}{\partial z} = v \hat{\boldsymbol{\Omega}} \cdot \nabla N \end{aligned} \quad (3-11)$$

⁸³⁷ Inserting the results of Eqn. 3-9 and Eqn. 3-11 into Eqn. 3-8, and using the definition
⁸³⁸

$$\varphi(\mathbf{r}, \hat{\boldsymbol{\Omega}}, E, t) \equiv N(\mathbf{r}, \hat{\boldsymbol{\Omega}}, E, t)v \quad (3-12)$$

yields the NTE,

$$\frac{1}{v} \frac{\partial \varphi}{\partial t} + \hat{\Omega} \cdot \nabla \varphi + \Sigma_t \varphi(\mathbf{r}, \hat{\Omega}, E, t) = \int_{4\pi} d\hat{\Omega}' \int_0^\infty dE' \Sigma_s(\mathbf{r}, \hat{\Omega}', E', t) \varphi(\mathbf{r}, \hat{\Omega}', E', t) + S(\mathbf{r}, \hat{\Omega}, E, t). \quad (3-13)$$

839 3.1.1 External Neutron Sources

840 Discussion of external sources is precluded in the previous section since external

841 source can be handled has boundary conditions when solving for the neutron flux.

842 However, it is important to take a moment to discuss internal source as many texts

843 simply introduce internal source, but provide little further discussion.

844 A brief dimensional analysis can provide insight into how source terms are defined

845 in the NTE. Since the neutron transport equation describes the number of neutrons in

846 a volume at a point in time, then the units must be $\frac{\text{neutrons}}{\text{Length}^3 \text{Time}}$, or in SI units $\frac{\text{neutrons}}{\text{cm}^3 \text{s}}$.

847 This is easily confirmed by checking the units of one term in Eqn. 3-13. Analyzing the

848 dimensions of the interaction term,

$$\Sigma_t \varphi(\mathbf{r}, \hat{\Omega}, E, t) \equiv \left[\frac{1}{\text{Length}} \right] \left[\frac{\text{neutrons}}{\text{Length}^2 \text{Time}} \right] = \left[\frac{\text{neutrons}}{\text{Length}^3 \text{Time}} \right], \quad (3-14)$$

849 which confirms the previous statement. Therefore, any source term must have these same

850 units.

851 Neutron sources can be categorized into two types: 1) flux-driven sources and 2)

852 decay reactions. The distinction is made by how the source strength, or the number of

853 neutrons per volume per unit time, varies with the radiation flux. In flux-driven sources,

854 the source strength changes proportionally with the radiation flux. That is because these

855 sources produce neutrons through reactions that occur when radiation interacts with

856 the target nucleus and produces neutrons. Decay reaction sources do not depend on the

857 neutron flux. Instead, these decay reactions, or simply decays, occur when a nucleus is left

858 in an unstable energy state, typically resulting from some other nuclear reaction. These

859 nuclei need to release energy in order to arrive at a stable energy state. Occasionally,

860 nuclei get rid of excess energy by ejecting one or more neutrons. Flux-driven sources are
 861 handled as boundary conditions when solving the mathematical models. Decay reaction
 862 sources show up as the source term, S , in the diffusion approximation.

863 **3.2 Reduction of NTE**

864 Upon inspection of Eqn. 3-13, there are four derivatives on the left-hand side of the
 865 equation (one in time and three spatial derivatives) and three integrals on the right-hand
 866 side of the equation (one in energy and two in direction). Equations containing both
 867 integrals and derivatives are called integro-differential equation and are among the
 868 hardest forms of problems to solve. Further, the NTE is a function of seven variables;
 869 three spatial, two direction, one energy, and one time. In its current form, the NTE has
 870 no complete analytic solution. Therefore, assumptions and approximations are applied
 871 to reduce Eqn. 3-13 into a tractable form. The following sections will discuss how the
 872 multigroup discrete ordinates equation and the 1-D planar diffusion approximation are
 873 derived from the NTE.

874 **3.2.1 Treatment of Time Dependence**

875 The time dependence is contained in the first term in Eqn. 3-13. Assuming the
 876 neutron flux is unchanging or slowly changing in time will simplify the time-derivative to
 877 zero. This is a fair assumption since the time between neutron interactions is much smaller
 878 than the time over which the neutron flux is evolving [37]. In this assumption φ is taken
 879 to be independent of time, and

$$\frac{\partial \varphi}{\partial t} = 0. \quad (3-15)$$

Then Eqn. 3-13 becomes the steady-state neutron transport equation,

$$\hat{\Omega} \cdot \nabla \varphi(\mathbf{r}, \hat{\Omega}, E) + \Sigma_t(\mathbf{r}, E) \varphi(\mathbf{r}, \hat{\Omega}, E) = \int_{4\pi} d\hat{\Omega}' \int_0^\infty dE' \Sigma_s(E' \rightarrow E, \hat{\Omega}' \rightarrow \hat{\Omega}) \varphi'(\mathbf{r}, \hat{\Omega}', E') + s(\mathbf{r}, E, \hat{\Omega}). \quad (3-16)$$

Even after eliminating the partial derivative in time, Eqn. 3-13 is still not tractable due to the three spatial derivatives and three integrals. Therefore, further reduction is necessary.

3.2.2 Reduction to 1-D Planar

Reducing the problem from three spatial dimensions to one spatial dimension eliminates two of the three spatial derivatives and one of the two direction derivatives. As an aside, the components of the direction vector $\hat{\Omega}$ are ϕ and θ components. ϕ is the azimuthal angle and θ is the polar angle. It is common to define the variable μ in terms of θ as

$$\mu \equiv \cos \theta,$$

where μ is defined over the range $[-1, 1]$ and ϕ is defined over the range $[0, 2\pi]$. Integrating Eqn. 3-16 over y , z , and ϕ reduces the dimensionality of the problem as

$$\int_{-\infty}^{\infty} dy \int_{-\infty}^{\infty} dz \int_0^{2\pi} d\phi \hat{\Omega} \cdot \nabla \varphi(\mathbf{r}, \hat{\Omega}, E) + \Sigma_t(\mathbf{r}, E) \varphi(\mathbf{r}, \hat{\Omega}, E) - \int_{4\pi} d\hat{\Omega}' \int_0^{\infty} dE' \Sigma_s(E' \rightarrow E, \hat{\Omega}' \rightarrow \hat{\Omega}) \varphi'(\mathbf{r}, \hat{\Omega}', E') + s(\mathbf{r}, E, \hat{\Omega}).$$

Solving the integrals yields:

$$\begin{aligned} \mu \frac{\partial}{\partial x} \varphi(x, E, \mu) + \Sigma_t(x, E) \varphi(x, E, \mu) = \\ 2\pi \int_0^{\infty} \int_{-1}^1 \Sigma_s(x, E', \mu' \rightarrow E, \mu) \varphi(x, E', \mu') d\mu' dE' + S(x, E, \mu). \end{aligned} \quad (3-17)$$

Eqn. 3-17 is the steady-state 1-D planar form of the NTE. While this equation appears much simpler to solve, the derivative on the left-hand side and two integrals on the right-hand side indicate the equation is still an integro-differential equation and further simplification is required to arrive at a tractable form. There are two common reductions to Eqn. 3-17, 1) the multigroup discrete ordinates approximation and 2) the diffusion approximation. The following sections apply each of these approximations to

890 the NTE in order to arrive at two tractable forms of the NTE which will be used in the
 891 remainder of this work.

892 3.3 Multigroup Discrete Ordinates Approximation

893 The multigroup discrete ordinates equations handle the two integrals on the
 894 right-hand side of Eqn. 3-17 by treating the integral over energy as integrals over energy
 895 ranges and approximating the integral over μ by evaluating the neutron flux at discrete
 896 angles within the full range of $[-1, 1]$. The final result is a set of coupled, first-order
 897 ordinary differential equations that are analytically tractable.

898 3.3.1 Treatment of Energy Dependence

899 The first step in developing multigroup equations is to divide the neutron energy
 900 range of interest into a finite number of energy groups, E_g , where $g = 1, 2, \dots, G$. The order
 901 of the energy group number is such that energy decreases as the group number increases,
 902 (e.g., $E_g > E_{g+1}$) [36]. Energy groups are typically chosen such that the cross section
 903 shows little variation within a group. This is done in order for the group averaged cross
 904 section to best represent the energy-dependent cross section values of that group.

The 1-D planar time-independent neutron transport equation, Eqn. 3-17, is reproduced below for the readers convenience.

$$\mu \frac{\partial}{\partial x} \varphi(x, E, \mu) + \Sigma_t(x, E) \varphi(x, E, \mu) = 2\pi \int_0^\infty \int_{-1}^1 \Sigma_s(x; E', \mu' \rightarrow E, \mu) \varphi(x, E', \mu') d\mu' dE' + S(x, E, \mu). \quad (3-17)$$

Integrating Eqn. 3-17 over g yields

$$\underbrace{\int_g \mu \frac{\partial}{\partial x} \varphi(x, E, \mu) dE}_{(1)} + \underbrace{\int_g \Sigma_t(x, E) \varphi(x, E, \mu) dE}_{(2)} = \underbrace{\int_g 2\pi \int_0^\infty \int_{-1}^1 \Sigma_s(x; E', \mu' \rightarrow E, \mu) \varphi(x, E', \mu') d\mu' dE' dE}_{(3)} + \underbrace{\int_g S(x, E, \mu) dE}_{(4)} \quad (3-18)$$

where each term will be discussed individually. Before continuing, it is important to define the the group flux and group cross sections as:

$$\varphi_g(x, \mu) \equiv \int_{E_g}^{E_{g-1}} \varphi(x, E, \mu) dE = \int_g \varphi(x, E, \mu) dE, \quad (3-19)$$

$$\Sigma_{t,g}(x, \mu) \equiv \frac{\int_g \Sigma_t(x, E, \mu) \varphi(x, E, \mu) dE}{\varphi_g(x, E, \mu)}, \quad (3-20)$$

$$\Sigma_{s,g' \rightarrow g}(x, \mu) \equiv \frac{\int_{g'} \varphi(x, E', \mu) \int_g \int_{-1}^1 \Sigma_s(x; E', \mu' \rightarrow E, \mu) d\mu' dE dE'}{\varphi_{g'}(x, E', \mu)}. \quad (3-21)$$

905 $\varphi_g(x, \mu)$ is the group averaged flux, $\Sigma_{t,g}(x, \mu)$ is the group averaged cross section, and
906 $\Sigma_{s,g' \rightarrow g}(x, \mu)$ is the group to group, or transfer, cross section.

907 Definitions 3-19 - 3-21 are used to rewrite Eqn. 3-18 term by term. The first term of
908 Eqn. 3-18 is rewritten in terms of the group flux, 3-19 as

$$\int_g \mu \frac{\partial}{\partial x} \varphi(x, E, \mu) dE = \mu \frac{\partial}{\partial x} \varphi_g(x, \mu). \quad (3-22)$$

Rewriting the second term in Eqn. 3-18 using the total group cross section, Eqn. 3-20, yields

$$\int_g \Sigma_t(x, E, \mu) \varphi(x, E, \mu) dE = \Sigma_{t,g}(x, \mu) \varphi_g(x, \mu). \quad (3-23)$$

The third term in Eqn. 3-18 requires a bit more work. If the integral of dE' is taken over each individual energy group rather than over 0 to ∞ , then

$$\int_0^\infty dE' = \sum_{g'=1}^G \int_{E_{g'}}^{E_{g'-1}} dE' = \sum_{g'=1}^G \int_{g'} dE',$$

and the third term can be expressed using group constants, Eqn. 3-24.

$$\int_{g'} \varphi(x E', \mu) \int_g \Sigma(x; E', \mu' \rightarrow E, \mu) dE dE' = \sum_{g'=1}^G \Sigma_{g' \rightarrow g}(x, \mu) \varphi_{g'}(x, \mu) \quad (3-24)$$

909 Finally, the fourth term is the group source term, Eqn. 3-25. The group source term
 910 describes an arbitrary internal source of neutrons with energy in group g .

$$\int_g S(x, E, \mu) dE \equiv S_g(x, \mu). \quad (3-25)$$

911 Using the redefined terms, Eqns. 3-22 - 3-25, Eqn. 3-18 becomes a set of equations
 912 characterizing the flux in each energy group:

$$\mu \frac{\partial \varphi_g}{\partial x} + \Sigma_{t,g} \varphi_g = 2\pi \sum_{g'=1}^G \int_{-1}^1 \Sigma_{s,g' \rightarrow g} \varphi_{g'} + S_g, \quad g = 1, 2, \dots, G. \quad (3-26)$$

913 **3.3.2 Treatment of Directional Dependence**

Equation 3-26 is a set of monoenergetic neutron transport equations where each equation defines the flux for the energy group g . Therefore, if a method for handling the directional dependence can be found for a single equation in the set of equations, the same method can be extended to all equations in Eqn. 3-26. The discrete ordinates method can be used to handle the integral over μ . By first assuming isotropic scattering, the in-scattering term reduces to

$$2\pi \sum_{g'=1}^G \int_{-1}^1 \Sigma_{s,g' \rightarrow g} \varphi_{g'} d\mu' = \frac{1}{2} \sum_{g'=1}^G \Sigma_{s,g' \rightarrow g} \int_{-1}^1 \varphi_{g'} d\mu',$$

914 and Eqn. 3-26 reduces to

$$\mu \frac{\partial \varphi_g}{\partial x} + \Sigma_{t,g} \varphi_g = \frac{1}{2} \sum_{g'=1}^G \Sigma_{s,g' \rightarrow g} \int_{-1}^1 \varphi_{g'} + S_g, \quad g = 1, 2, \dots, G. \quad (3-27)$$

915 Discrete ordinates treats directional dependence by evaluating the integral over μ at
 916 a unique set of directions, $\{\mu_i\}$. Evaluating the integral in Eqn. 3-27 at each value of μ_i
 917 leads to a weighted sum of neutron fluxes, Eqn. 3-28.

$$\int_{-1}^1 \varphi_{g'} = \sum_{j=1}^N \omega_j \phi_{g'}(x, \mu_j) \quad (3-28)$$

₉₁₈ Evaluating Eqn. 3-27 along the set of direction vectors $\{\mu_i\}$, using Eqn. 3-28, results in
₉₁₉ the multigroup discrete ordinates equations:

$$\mu_i \frac{d\phi_i^g}{dx} + \Sigma_t^g \phi_i^g = \frac{1}{2} \sum_{j=1}^N \omega_j \sum_{g'=1}^G \Sigma_{s,g' \rightarrow g} \phi_j^{g'} + S_i^g, \quad g = 1, 2, \dots, G; i = 1, 2, \dots, N. \quad (3-29)$$

For the purpose of this work, a set of equations are derived from Eqn. 3-29 using two energy groups ($g = 1, 2$) and two directions ($i = 1, 2$). Iterating over both indices one at a time leads to the following set of equations:

$$g = 1, i = 1$$

$$\mu_1 \frac{d\phi_1^1}{dx} + \Sigma_t^1 \phi_1^1 = \frac{1}{2} \left(\Sigma_s^{1 \rightarrow 1} \omega_1 \phi_1^1 + \Sigma_s^{1 \rightarrow 1} \omega_2 \phi_2^1 + \Sigma_s^{2 \rightarrow 1} \omega_1 \phi_1^2 + \Sigma_s^{2 \rightarrow 1} \omega_2 \phi_2^1 \right) + S_1^1; \quad (3-30)$$

$$g = 1, i = 2$$

$$\mu_2 \frac{d\phi_2^1}{dx} + \Sigma_t^1 \phi_2^1 = \frac{1}{2} \left(\Sigma_s^{1 \rightarrow 1} \omega_1 \phi_1^1 + \Sigma_s^{1 \rightarrow 1} \omega_2 \phi_2^1 + \Sigma_s^{2 \rightarrow 1} \omega_1 \phi_1^2 + \Sigma_s^{2 \rightarrow 1} \omega_2 \phi_2^1 \right) + S_2^1; \quad (3-31)$$

$$g = 2, i = 1$$

$$\mu_1 \frac{d\phi_1^2}{dx} + \Sigma_t^2 \phi_1^2 = \frac{1}{2} \left(\Sigma_s^{1 \rightarrow 2} \omega_1 \phi_1^1 + \Sigma_s^{1 \rightarrow 2} \omega_2 \phi_2^1 + \Sigma_s^{2 \rightarrow 2} \omega_1 \phi_1^2 + \Sigma_s^{2 \rightarrow 2} \omega_2 \phi_2^1 \right) + S_1^2; \quad (3-32)$$

$$g = 2, i = 2$$

$$\mu_2 \frac{d\phi_2^2}{dx} + \Sigma_t^2 \phi_2^2 = \frac{1}{2} \left(\Sigma_s^{1 \rightarrow 2} \omega_1 \phi_1^1 + \Sigma_s^{1 \rightarrow 2} \omega_2 \phi_2^1 + \Sigma_s^{2 \rightarrow 2} \omega_1 \phi_1^2 + \Sigma_s^{2 \rightarrow 2} \omega_2 \phi_2^1 \right) + S_2^2. \quad (3-33)$$

In a material where scattering from lower energy groups to higher energy groups does not occur and there is no internal neutron source, these equations reduce to:

$$g = 1, i = 1$$

$$\mu_1 \frac{d\phi_1^1}{dx} + \Sigma_t^1 \phi_1^1 = \frac{1}{2} \left(\Sigma_s^{1 \rightarrow 1} \omega_1 \phi_1^1 + \Sigma_s^{1 \rightarrow 1} \omega_2 \phi_2^1 \right); \quad (3-34)$$

$$g = 1, i = 2$$

$$\mu_2 \frac{d\phi_2^1}{dx} + \Sigma_t^1 \phi_2^1 = \frac{1}{2} \left(\Sigma_s^{1 \rightarrow 1} \omega_1 \phi_1^1 + \Sigma_s^{1 \rightarrow 1} \omega_2 \phi_2^1 \right); \quad (3-35)$$

$$g = 2, i = 1$$

$$\mu_1 \frac{d\phi_1^2}{dx} + \Sigma_t^2 \phi_1^2 = \frac{1}{2} \left(\Sigma_s^{1 \rightarrow 2} \omega_1 \phi_1^1 + \Sigma_s^{1 \rightarrow 2} \omega_2 \phi_2^1 + \Sigma_s^{2 \rightarrow 2} \omega_1 \phi_1^2 + \Sigma_s^{2 \rightarrow 2} \omega_2 \phi_2^2 \right); \quad (3-36)$$

$$g = 2, i = 2$$

$$\mu_2 \frac{d\phi_2^2}{dx} + \Sigma_t^2 \phi_2^2 = \frac{1}{2} \left(\Sigma_s^{1 \rightarrow 2} \omega_1 \phi_1^1 + \Sigma_s^{1 \rightarrow 2} \omega_2 \phi_2^1 + \Sigma_s^{2 \rightarrow 2} \omega_1 \phi_1^2 + \Sigma_s^{2 \rightarrow 2} \omega_2 \phi_2^2 \right). \quad (3-37)$$

920

3.4 Reduction to Diffusion Approximation

The diffusion approximation is an alternative reduction of the NTE. There are several methods for deriving the diffusion approximation, however, this derivation uses Legendre polynomial expansions to account for angular dependence in the equation. The neutron transport equation can be simplified through the use of spherical harmonics, which in 1-D, reduce to Legendre polynomials to expand the angular flux and source terms while assuming an isotropic angular differential cross section. The 1-D planar, monoenergetic, NTE with isotropic scattering is

$$\begin{aligned} \mu \frac{\partial}{\partial x} \varphi(x, \mu) + \Sigma_t(x) \varphi(x, \mu) = \\ \frac{1}{2} \int_{-1}^1 \Sigma_s(x, \mu' \rightarrow \mu) \varphi(x, \mu') d\mu' + S(x, \mu) \end{aligned} \quad (3-38)$$

921 Expanding the angular flux with Legendre polynomials separates the directional and
 922 spatial components of the angular flux. Legendre polynomials exhibit an orthogonality
 923 property, Eqn. 3-39, and a "3-term recursion" relationship, Eqn. 3-40, which are used in

⁹²⁴ deriving the diffusion approximation.

$$\int_{-1}^1 d\mu P_l(\mu) P_m(\mu) = \frac{2}{2l+1} \delta_{lm} \quad (3-39)$$

⁹²⁵

$$(2l+1)\mu P_l(\mu) = (l+1)P_{l+1}(\mu) + (l)P_{l-1}(\mu) \quad (3-40)$$

Expanding the angular flux in Eqn. 3-38 yields:

$$\begin{aligned} \mu \frac{\partial}{\partial x} \left[\sum_l^{\infty} \frac{2l+1}{2} \phi_l(x) P_l(\mu) \right] + \Sigma_t \sum_l^{\infty} \frac{2l+1}{2} \phi_l(x) P_l(\mu) = \\ \frac{1}{2} \int_{-1}^1 d\mu' \Sigma_s(x, \mu_0) \sum_l^{\infty} \frac{2l+1}{2} \phi_l(x) P_l(\mu') + S(x, \mu). \end{aligned} \quad (3-41)$$

Requiring the projections of Eqn. 3-41 against Legendre polynomials of degree m (e.g., P_m) to be equal to 0 leads to

$$\begin{aligned} \int_{-1}^1 d\mu \mu \frac{\partial}{\partial x} \left[\sum_{l=0}^1 \frac{2l+1}{2} \phi_l(x) P_l(\mu) P_m(\mu) \right] + \int_{-1}^1 d\mu \Sigma_t \sum_{l=0}^1 \frac{2l+1}{2} \phi_l(x) P_l(\mu) P_m(\mu) = \\ \frac{1}{2} \int_{-1}^1 d\mu P_m(\mu) \int_{-1}^1 d\mu' \Sigma_s(x, \mu_0) \sum_{l=0}^1 \frac{2l+1}{2} \phi_l(x) P_l(\mu') + \int_{-1}^1 d\mu S(x, \mu) P_m(\mu). \end{aligned} \quad (3-42)$$

⁹²⁶ The summation is truncated at $l = 1$ since the first two terms are all that is necessary for
⁹²⁷ finding the diffusion approximation.

Using the recurrence relationship, Eqn. 3-40, in the first term of Eqn. 3-42 yields

$$\sum_{l=0}^1 \frac{\partial \phi_l(x)}{\partial x} \left[\int_{-1}^1 d\mu \frac{l+1}{2} P_{l+1}(\mu) P_m(\mu) + \int_{-1}^1 d\mu \frac{l}{2} P_{l-1}(\mu) P_m(\mu) \right].$$

Applying the orthogonality gives,

$$\frac{(m-1)+1}{2} \frac{2}{2m+1} \frac{\partial \phi_{m-1}(x)}{\partial x} + \frac{m+1}{2} \frac{2}{2m+1} \frac{\partial \phi_{m+1}(x)}{\partial x},$$

or,

$$\frac{m}{2m+1} \frac{\partial \phi_{m-1}(x)}{\partial x} + \frac{m+1}{2m+1} \frac{\partial \phi_{m+1}(x)}{\partial x}.$$

The second term in Eqn. 3-42 is also solved using the orthogonality property as,

$$\Sigma_t \sum_{l=0}^1 \frac{2l+1}{2} \phi_l(x) \int_{-1}^1 d\mu P_l(\mu) P_m(\mu)$$

such that,

$$\Sigma_t \sum_{l=0}^1 \frac{2l+1}{2} \phi_m(x) \frac{2}{2m+1},$$

or,

$$\Sigma_t \phi_m(x).$$

Solving the third term of Eqn. 3-42 involves calculating the values for $P_{l/m}(\mu)$ for $l, m = 0, 1$, which are $P_0(\mu) = 1$ and $P_1(\mu) = \mu$. Note each integral evaluates to 0 when either l or m is odd. Alternatively, the scattering term evaluates to $2\phi_m$ when l and m are 0.

$$\frac{1}{2} \Sigma_s(x, \mu_0) \sum_{l=0}^1 \frac{2l+1}{2} \int_{-1}^1 d\mu' \phi_l(x) P_l(\mu') \int_{-1}^1 d\mu P_m(\mu) = \begin{cases} 2\phi_0; & l \text{ and } m = 0 \\ 0; & \text{else.} \end{cases}$$

And the final term in Eqn. 3-42 is simply redefined as:

$$S_m \equiv \int_{-1}^1 d\mu S(x, \hat{\Omega}) P_m(\mu).$$

928 For an isotropic source, $S_m = 0$ for $m > 0$.

929 Combining the terms leads to the final set of P_1 equations, Eqns. 3-43 and 3-44.

$$\frac{\partial \phi_1}{\partial x} + \Sigma_t \phi_0 = \Sigma_s \phi_0 + S_0 \quad (3-43)$$

930

$$\frac{1}{3} \frac{\partial \phi_0}{\partial x} + \frac{2}{5} \frac{\partial \phi_2}{\partial x} + \Sigma_t \phi_1 = S_1. \quad (3-44)$$

931 If this set of equations were solved for ϕ_0 , the result would be the diffusion approximation.

932 Unfortunately, there are three unknowns (ϕ_0 , ϕ_1 , and ϕ_2) and two equations. In fact, this

933 set of equations will always have more unknown variables than equations. Therefore, a

934 closure condition is needed to truncate the set of equations by setting $\phi_n = 0$ for $n \geq 2$.

⁹³⁵ Eqn. 3-44 then becomes

$$\phi_1 = \frac{-1}{3\Sigma_t} \frac{\partial \phi_0}{\partial x} \quad (3-45)$$

⁹³⁶ which is Fick's Law.

Substituting Fick's Law in Eqn. 3-43 for ϕ_1

$$\frac{\partial}{\partial x} \left[\frac{-1}{3\Sigma_t} \frac{\partial \phi_0}{\partial x} \right] + \Sigma_t \phi_0 = \Sigma_s \phi_0 + S_0,$$

⁹³⁷ which simplifies to the 1-D, mono-energetic, steady state diffusion approximation:

$$-D \frac{\partial^2 \phi_0}{\partial x^2} + \Sigma_a \phi_0 = S_0, \quad (3-46)$$

⁹³⁸ where D , the diffusion coefficient is defined as

$$D \equiv \frac{-1}{3\Sigma_t}, \quad (3-47)$$

⁹³⁹ when D is independent of x .

3.5 Cylindrical to Polar Coordinate Shift

⁹⁴⁰ The cylindrical shape of the spent fuel cask immediately lends to a cylindrical geometry for the mathematical models. However, given the large radius of the cask, it is expected that there exists a point along the radius of the cask where polar geometry can be relaxed to a planar geometry with negligible effect to the neutron flux. This point can be found through a dimensional analysis by developing non-dimensional forms for both the radial and planar diffusion equations.

⁹⁴¹ Non-dimensional analysis is a process where an equation is rewritten in a manner such that there are no units in the problem (i.e., all parameters and variables in an expression are redefined using ratios rather than dimensional quantities). A comparison can be made between the non-dimensional forms of the 1-D polar diffusion approximation and 1-D planar diffusion approximation to determine the location where planar geometry is appropriate.

953 Starting with the geometry-independent diffusion equation, equation ??, where

954 D is the diffusion coefficient, ϕ is the scalar flux, Σ_a is macroscopic absorption cross

955 section, and S is the source term. The monoenergetic, steady-state, 1-D planar diffusion

956 approximation:

$$-D \frac{d^2\phi}{dx^2} + \Sigma_a \phi = S. \quad (3-46)$$

957 Diving the equation by $-D$ and defining $L^{-2} \equiv \frac{\Sigma_a}{D}$,

$$\frac{d^2\phi}{dx^2} - \frac{1}{L^2}\phi + \frac{S}{D} = 0. \quad (3-48)$$

958 Non-dimensionalizing x ,

$$\tilde{x} = \frac{x}{L}, \quad (3-49)$$

959 where \tilde{x} is the non-dimensionalized form of x . The first derivative becomes

$$dx = L d\tilde{x} \quad (3-50)$$

960 in non-dimensional form.

961 The second order differential of x , dx^2 , becomes

$$dx^2 = L^2 d\tilde{x}^2. \quad (3-51)$$

Eqn. 3-46 then becomes

$$\frac{1}{L^2} \frac{d^2\phi}{d\tilde{x}^2} - \frac{1}{L^2}\phi + \frac{S}{D} = 0,$$

962 or,

$$\frac{d^2\phi}{d\tilde{x}^2} - \phi + \frac{L^2 S}{D} = 0. \quad (3-52)$$

963 Note: $\frac{L^2 S}{D}$ has units of $Length^{-2} Time^{-1}$, which are the same units as ϕ . So,

$$\tilde{\phi} = \frac{\phi}{L^2 S / D}, \quad (3-53)$$

964 or,

$$\phi = \tilde{\phi} \frac{L^2 S}{D}, \quad (3-54)$$

965 where $\tilde{\phi}$ is the non-dimensionalized form of ϕ . The second differential of ϕ becomes

$$d^2\phi = \frac{L^2 S}{D} d^2\tilde{\phi}. \quad (3-55)$$

966 Using $\tilde{\phi}$, Eqn. 3-52 is written as

$$\frac{L^2 S}{D} \frac{d^2\tilde{\phi}}{d\tilde{x}^2} - \frac{L^2 S}{D} \tilde{\phi} + \frac{L^2 S}{D} = 0, \quad (3-56)$$

967 or,

$$\frac{d^2\tilde{\phi}}{d\tilde{x}^2} - \tilde{\phi} + 1 = 0. \quad (3-57)$$

968 The 1-D planar diffusion approximation is now expressed in a non-dimensional form.

969 Expressing the gradient in Eqn. 3-46 in 1-D polar coordinates yields

$$\frac{1}{r} \frac{d}{dr} \left(r \frac{d\phi}{dr} \right) - \frac{1}{L^2} \phi + \frac{S}{D} = 0, \quad (3-58)$$

970 or,

$$\frac{d^2\phi}{dr^2} + \frac{1}{r} \frac{d\phi}{dr} - \frac{1}{L^2} \phi + \frac{S}{D} = 0. \quad (3-59)$$

971 Let

$$\tilde{r} = \frac{r}{L}, \quad (3-60)$$

972 and,

$$\tilde{\phi} = \frac{\phi D}{L^2 S} \quad (3-61)$$

973 Using the non-dimensionalized variables defined in Eqns. 3-60 and 3-61, Eqn. 3-59 can

974 be rewritten as

$$\frac{d^2\tilde{\phi}}{d\tilde{r}^2} + \frac{1}{\tilde{r}} \frac{d\tilde{\phi}}{d\tilde{r}} - \tilde{\phi} + 1 = 0. \quad (3-62)$$

975 Then, the curvilinear form of the diffusion equation is

$$\frac{d^2\tilde{\phi}}{d\tilde{r}^2} + \frac{k}{\tilde{r}} \frac{d\tilde{\phi}}{d\tilde{r}} - \tilde{\phi} + 1 = 0, \quad (3-63)$$

976 where $k = 0$ for planar geometries and $k = 1$ for cylindrical geometries. Further, plotting

977 the variable $\frac{k}{\tilde{r}}$ for $k = 1$ will show the location where accounting for polar geometries

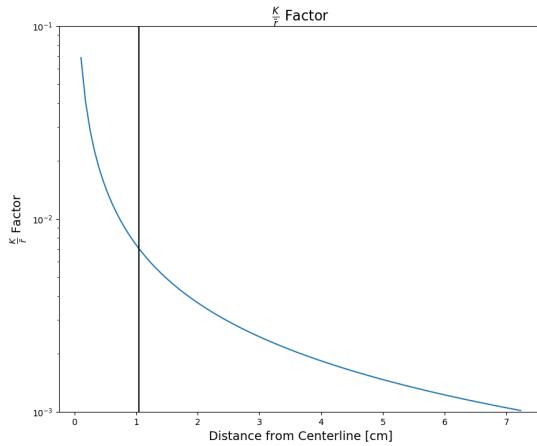


Figure 3-1. As the factor $\frac{k}{r}$ decreases, the planar solutions better approximate polar solutions in the homogenous fuel material. The location of the black vertical line shows the point where the factor $\frac{k}{r}$ is 10% of its initial value.

978 becomes negligible. Figure 3-1 shows the result from the previous dimensional analysis
 979 using material properties of the fuel materials. Near 1cm into the fuel material, results
 980 calculated using a cylindrical and planar geometries agree within 10% (denoted by the
 981 vertical black line in Fig. 3-1). After 1cm materials can be approximated using planar
 982 equations, however, the flux in the fuel region will need to be approximated using a polar
 983 diffusion equation.

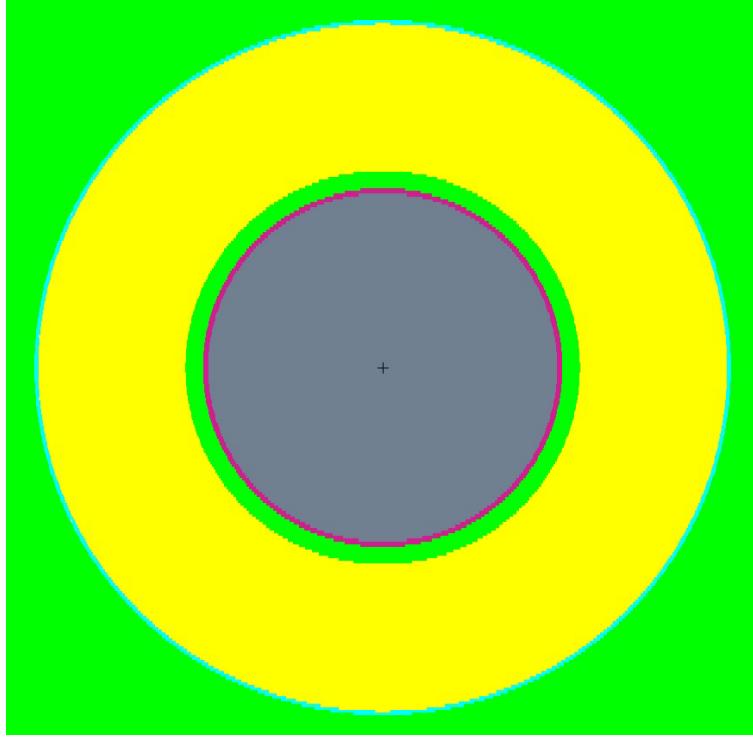


Figure 4-1. The homogeneous model. The gray circle is the fully homogenized fuel which fills the entire volume interior to the MPC.

1010 Figure 4-2 shows the homogeneous model neutron flux spatial distribution through
 1011 the fuel region of the MPC, together with the complementary result from the detailed
 1012 model. The homogenous model over predicts the neutron flux spatial distribution by
 1013 20-25% through the fuel region. Even though the reduced model overpredicts the detailed
 1014 flux, the shape of the neutron flux spatial distribution predicted in both models shows a
 1015 steady decrease across the inner 65 cm. The relative flatness of the two fluxes is evidence
 1016 that geometric attenuation is less important than the material properties within the MPC.
 1017 To further corroborate this notion, Fig. 4-2 also includes results from an analytic model:
 1018 the dotted line appearing in this figure is a result from monoenergetic, 1D cylindrical
 1019 diffusion theory. In this analytic setting, the monoenergetic scalar neutron flux across a
 1020 1D cylindrical region with constant material properties is given by

$$\phi(r) = \frac{\alpha}{DB^2} \left(1 - \frac{I_0(Br)}{I_0(B\tilde{r})} \right); \quad B \equiv \sqrt{\frac{\Sigma_a - \bar{\nu}\Sigma_f}{D}}. \quad (4-1)$$

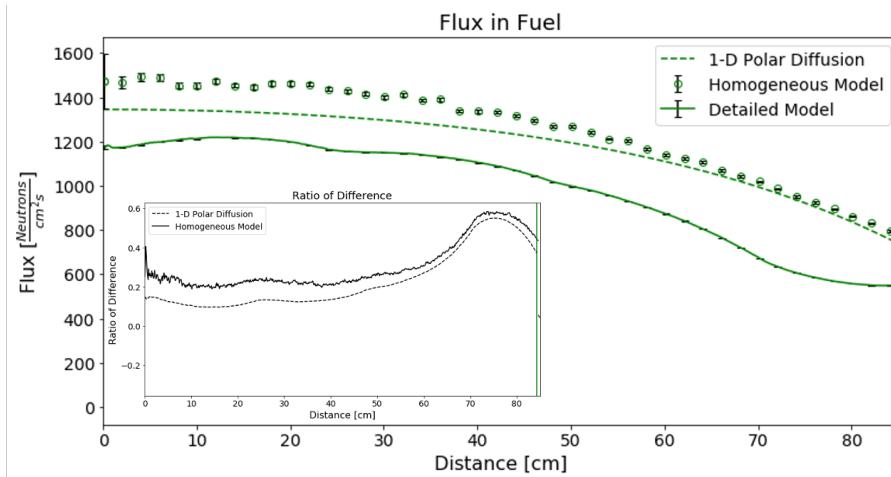


Figure 4-2. The results of the simulated neutron flux spatial distribution from the homogenous model (circles) is similarly flat to the neutron flux spatial distribution of the detailed model (solid line). The flux calculated using the diffusion approximation (dotted line) is also plotted against the two MCNP models. The diffusion approximation also shows the flatness of the neutron flux spatial distribution.

1021 where α is the intrinsic neutron source, B is the material buckling as indicated in terms
 1022 of the macroscopic total absorption cross section Σ_a , macroscopic fission cross section Σ_f ,
 1023 and mean number of neutrons per fission $\bar{\nu}$, and diffusion coefficient D , I_0 is the modified
 1024 Bessel function of the first kind, and \tilde{r} is the extrapolated radius of the fuel region. The
 1025 spatial curvature of the scalar flux appearing in Eq. 4-1 is controlled principally by the
 1026 material buckling B ; as the value of B increases (resulting when absorption physics is
 1027 dominant over scattering physics) the neutron flux spatial distribution calculated in Eq.
 1028 4-1 produces a flat distribution in r - as in fuel region of both computational models. This
 1029 result is discussed further in conjunction with the sensitivity discussion corresponding to
 1030 the diffusion approximation. The flatness of the diffusion model is proof that the flatness
 1031 seen in the MCNP models is due to material properties being dominant over geometry.
 1032 While the diffusion model captures the essential physics giving rise to the flat flux region,
 1033 it does not adequately capture the abrupt level off within the fuel region for $r > 65\text{cm}$.

1034 4.1.2 Abrupt Level-off Region

1035 In order to better capture the physics which describes the second feature, a second
 1036 model is developed. The purpose of this model is to capture the physics associated
 1037 with the neutron flux spatial distribution suddenly flattening before exiting the MPC.

1038 Intuitively, since geometric attenuation is minimal and the mfp for neutrons (70,000 cm
1039 at 1 MeV) is much greater than the thickness of the region between the fuel basket and
1040 MPC wall (10 cm), a free streaming (i.e., constant flux) approximation is likely to be
1041 valid there. To corroborate this notion, the homogeneous model is further modified to add
1042 an annulus of helium around a fuel region which is reduced in radius in a manner which
1043 preserves the volume of the original 32 fuel cells. This model is referred to as the “helium
1044 model”. Fig. 4-3 shows the difference between the homogenous and helium models. The
1045 composition of the fuel region is changed to account for the helium now present in the
1046 annulus. The new homogenized fuel composition, called the partially homogenized fuel
1047 composition, is made using the mass fractions of materials in the 32 fuel cells (the stainless
1048 steel fuel basket, the neutron absorbing pads, the helium interior to the fuel cells, the fuel
1049 rods) and the density of the material is adjusted to account for the reduced amount of
1050 helium ($2.95 \frac{g}{cm^3}$).

1051 Figure 4-4 shows the results of the simulated flux in the helium model as compared
1052 to the detailed model. The fuel region, comprised of partially homogenized fuel material,
1053 has a smaller radius and the analytic solution is held constant for $r > \tilde{r}$. The increased
1054 density of the fuel in the helium model increases the total neutron absorption and thus
1055 lowers the amplitude of the neutron flux spatial distribution. The flux flattens out over
1056 the last 20 cm, which is a result of adding the non-interacting helium annulus. The helium
1057 model better demonstrates that the flatness of the two MCNP models and the analytic
1058 model match, with the exception of the three depressions present in the detailed models.
1059 These results do show neutrons streaming through the helium region exterior to the fuel
1060 cells before exiting into the MPC even though the helium model and the analytic model
1061 do not capture the small depressions.

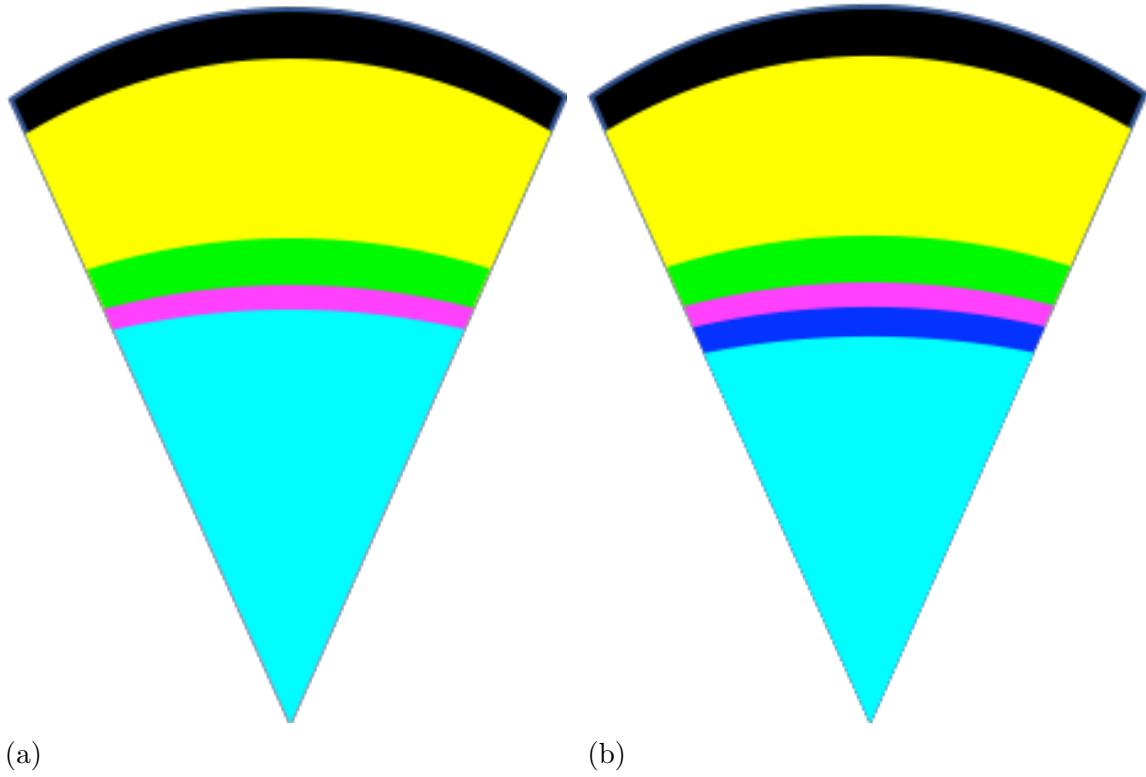


Figure 4-3. A) Section views of the homogeneous model, B) Helium model. The helium model includes an annulus of helium gas, ~ 10 cm thick, added around the homogenized fuel to allow streaming at the edge of the fuel region. Not to scale.

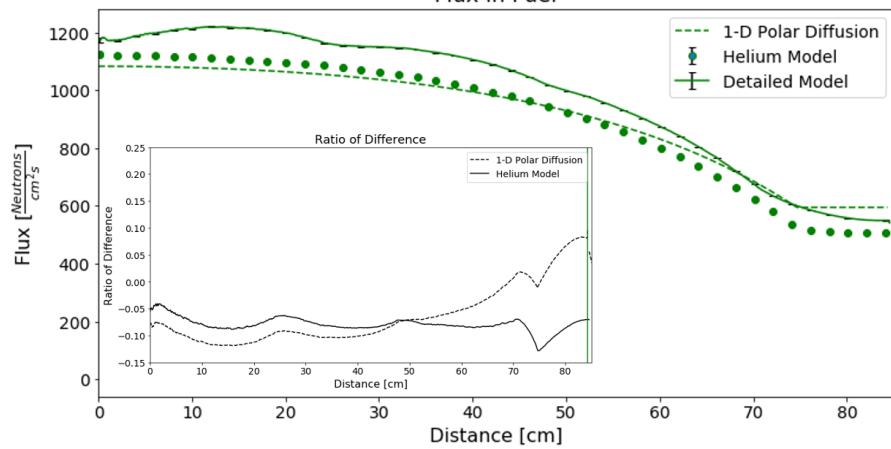


Figure 4-4. The neutron flux spatial distribution simulated by the helium model (circles) captures the neutron flux spatial distribution flattening out in the detailed model (solid line) over the 20 cm region before exiting the fuel region. The diffusion approximation (dotted line) also captures the flux flattening near 65 cm from the cask centerline after adding a helium annulus for neutron streaming.

1062 **4.1.3 Inter-bundle Depressions**

1063 To this point, the simulation results assessment has shown that explanation of causes
1064 for the first two features does not necessitate simulation of geometric details at the
1065 individual fuel pin level. However, the physics associated with the three small depressions
1066 in the detailed model (seen in Fig. 2-23) has not been explained. Intuition suggests it
1067 seems necessary that some level of geometric detail needs to be added back into the
1068 reduced complexity simulations to identify the cause of the final two features.

1069 The scalar flux depressions depicted in Fig. 2-23 represent the third feature and
1070 are presumed to be caused by the neutron absorbing pads that are present between fuel
1071 bundles, located at $-71.62 < x < -71.41$ cm, $-47.61 < x < -47.40$ cm, $-23.61 <$
1072 $x < -23.40$ cm, $0.40 < x < 0.61$ cm, $24.40 < x < 24.61$ cm, $48.41 < x < 48.62$
1073 cm. These pads contain ^{10}B , which has a propensity of absorbing thermal neutrons. To
1074 corroborate this notion, reintegrating the stainless steel basket structure and neutron
1075 absorbing pads is expected to capture the depressions not found in the previous models.
1076 Again, comparing the mfp of neutrons in stainless steel 304, the neutron absorbing pads,
1077 and fuel rods in Fig. 4-5 shows the mfp is dominated by the absorbing component at a
1078 level of approximately 10cm (or less, depending on the energy of the incident neutrons).
1079 These mfp's are similar to the physical thickness of the stainless steel, neutron absorbing
1080 pads, and fuel in the MPC. Therefore, the neutrons will undergo an appreciable number of
1081 interactions in the stainless steel and neutron absorbing materials. However, unlike in the
1082 fuel, no neutrons are being generated in the steel and neutron absorbing materials, and so
1083 the flux is expected to decrease therein.

1084 Another MCNP model is developed to describe the cause of the depressions, Fig. 4-6.
1085 This multi-layered model is called the “1-D basket model” and represents a single row
1086 of fuel cells from the detailed model with one difference: the volume attributed to fuel
1087 materials. In this model, the interior volume of each fuel cell contains a cell homogenized
1088 fuel composition with helium on both sides and neutron absorbing pad to the left. The cell

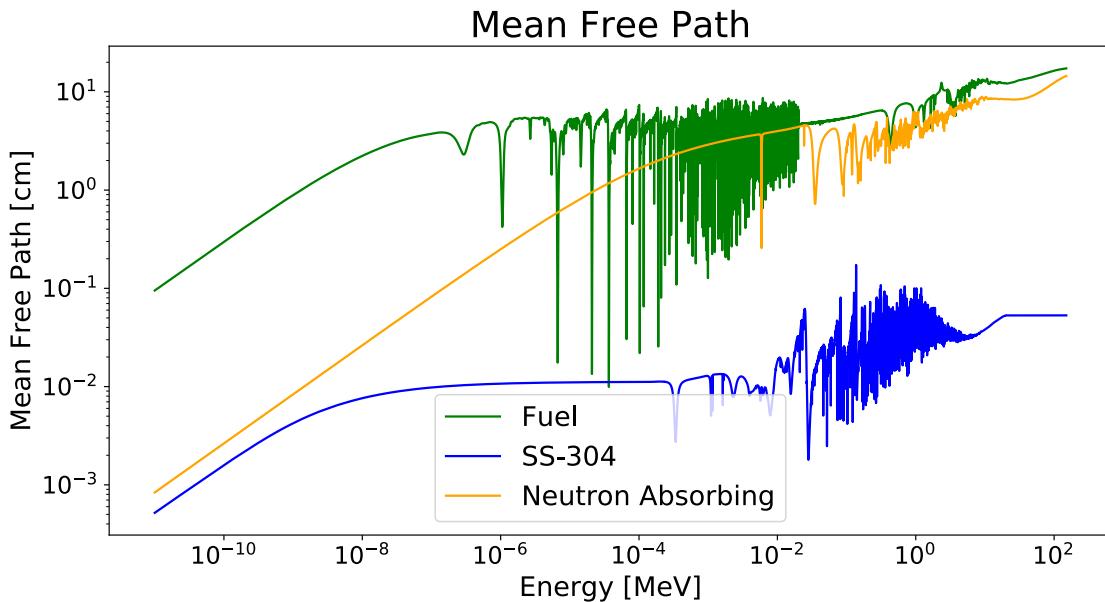


Figure 4-5. The mean free paths for stainless steel 304 (blue), neutron absorbing pad material (orange), and fuel pin material (green). These three mean free paths are similar to the physical thicknesses of each material implying that the steel and neutron absorbing pads need to be included in MCNP simulations as discrete materials instead of being incorporated into the homogenized fuel.

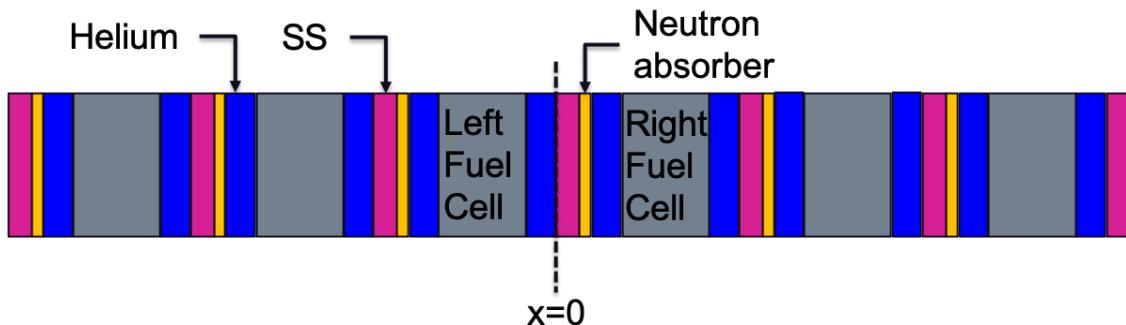


Figure 4-6. The 1-D basket model used to identify the cause of the small depressions. The model is repeating layers of stainless steel (pink), neutron absorbing pads (orange), helium (blue), and cell homogenized fuel (gray).

homogenized fuel composition is determined using the mass fraction of materials which comprise the 264 fuel rods and helium between the fuel rods in each cell. The volume of the cell homogenized fuel material is defined to be equal to the volume of a single fuel bundle.

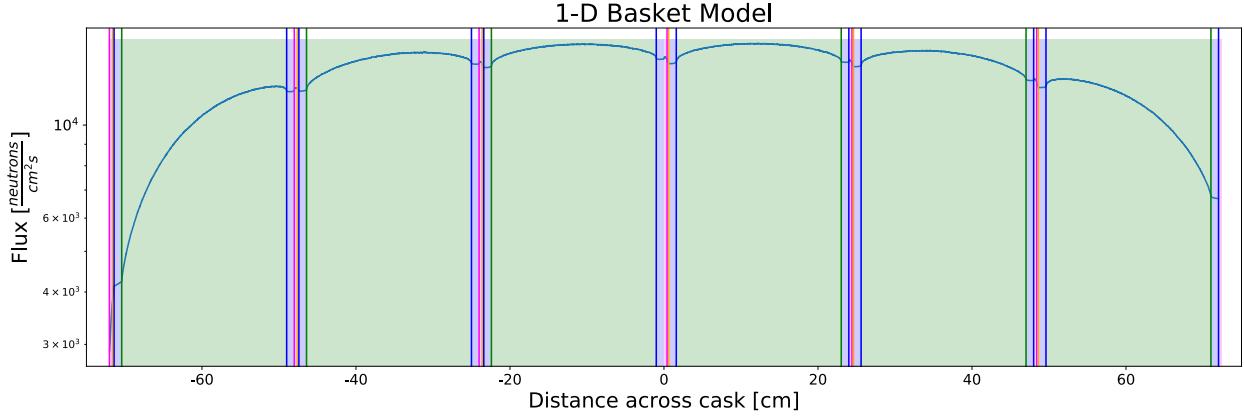


Figure 4-7. The neutron flux spatial distribution simulated from the 1-D basket model. The colors are representative of each material: stainless steel 304 (pink), neutron absorbing pad (orange), helium (blue), and cell homogenized fuel (green). There are depressions present in the flux which occur within the stainless steel and neutron absorbing pads.

1093 The simulated neutron flux spatial distribution through the 1-D basket model is
 1094 shown in Fig. 4-7. The simplified basket model has six small depressions present in the
 1095 flux around ± 25 cm, ± 50 cm, ± 75 cm. These depressions correspond to a 1-2 % local
 1096 reduction in the flux, which is similar in location and magnitude to the depressions present
 1097 in the simulated neutron flux spatial distribution in the detailed model. The depressions in
 1098 the neutron flux spatial distribution occur within the stainless steel and neutron absorbing
 1099 pad materials. The flux increases in the fuel as neutrons are born from spontaneous fission
 1100 decays and (α, n) reactions. The combination of the absorption events in the neutron
 1101 absorbing pads and source events in the fuel cause the depressions observed in the neutron
 1102 flux spatial distribution.

1103 4.1.4 Azimuthally Asymmetric Flux

1104 The final feature, the flux asymmetry (seen in Fig. 2-24), is also explained using the
 1105 1-D basket model. The detailed model shows a higher flux leaving the bottom right of
 1106 section of the cask as compared to the top left section of the cask. This discrepancy is
 1107 seen at the leftmost and rightmost exiting surfaces in Fig. 4-7. The leftmost face has a
 1108 lower exiting flux value than the value observed at the rightmost face. Figure 4-6 shows

1109 the reason for the asymmetry: a neutron born in the left fuel cell and traveling left will
1110 pass through three neutron absorbing pads before exiting the left face, which is the same
1111 number of neutron absorbing pads that same neutron would have to pass through if it
1112 were traveling right. Conversely, if a neutron is born in the right fuel cell and traveling
1113 to the left, it passes through four neutron absorbing pads. However, if that same neutron
1114 were to travel right, it only potentially encounters two neutron absorbing pads. The
1115 number of neutron absorbing pads a neutron potentially encounters is not the same based
1116 on the the location of neutron generation and direction of travel because of the placement
1117 of neutron absorbing pads in the MPC. The asymmetric loading of these pads directly
1118 affects the neutron flux spatial distribution exiting the spent fuel cask.

1119 To further corroborate this notion, the detailed model was adjusted, replacing the
1120 stainless steel structure and neutron absorbing pads with vacuum. Figure 4-8 compares
1121 the ratio of the neutron flux spatial distribution averaged over the top left section and the
1122 flux averaged over the bottom right section from the detailed model where one simulation
1123 replaced neutron absorbing pads with vacuum and the original detailed model. The
1124 maximum deviation of the ratios of neutron flux spatial densities is 0.1% as a result of
1125 replacing non-fuel structure in the MPC with vacuum, confirming the results from the
1126 basket model. In contrast, the maximum deviation of these same ratios in the original
1127 detailed model is nearly 10%.

1128 Previous findings have shown that geometric structures finer than the stainless steel
1129 baskets, neutron absorbing pads, and helium annulus are unnecessary for characterization
1130 of the spatial flux distribution arising from the detailed model. A final model, the
1131 “cruciform model”, is developed to ensure no important physics are neglected in the
1132 reduced-order modeling and analysis process. This model uses the cell homogenized fuel
1133 definition in each of the 32 original fuel cells. In doing so, the stainless steel fuel basket
1134 and neutron absorbing pads are retained and discrete from the homogenized fuel. The
1135 helium surrounding the 32 fuel cells is also retained.

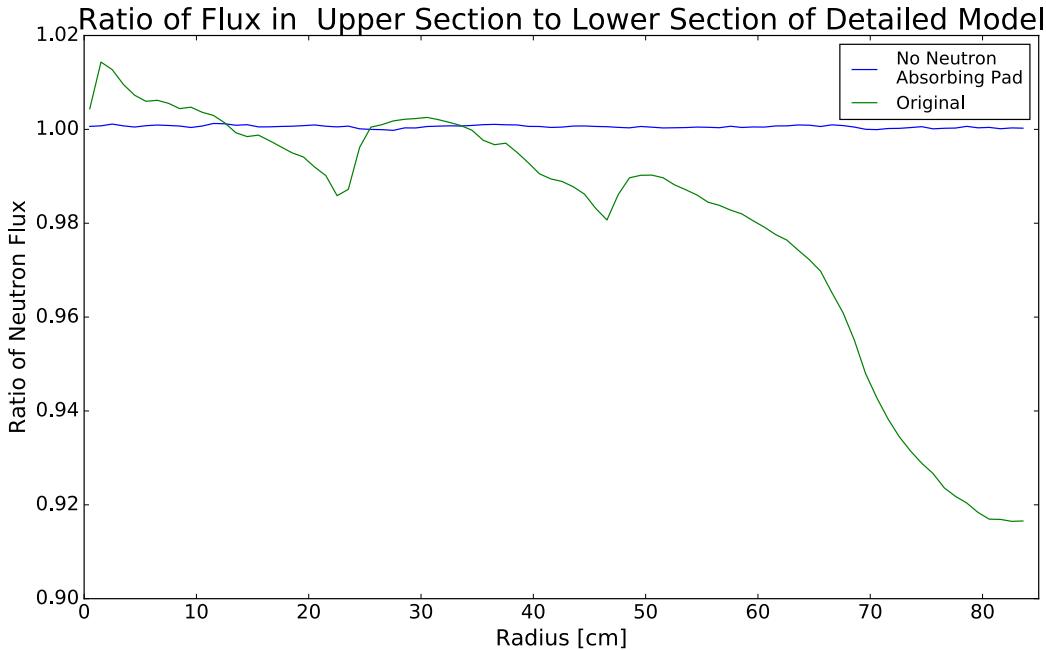


Figure 4-8. The ratio of the neutron flux spatial distribution in the upper left section of the fuel region to the neutron flux spatial distribution in the lower right section of the fuel region. This ratio is nearly 1 over the entirety of the fuel region, confirming the assumption that removing the neutron absorbing pads removes the previously identified depressions.

1136 The neutron spatial flux distribution simulated by the cruciform model is shown in
 1137 Fig. 4-10. These results underpredicts the flux from the detailed model by 5-7% through
 1138 the entire fuel region, including in the helium annulus. Moreover, these results can also
 1139 be interpreted as the cruciform model accounting for the physics relevant to the detailed
 1140 model's spatial neutron flux distribution at a level greater than 90%. That is, further fine
 1141 detail additions to the cruciform model will "close the gap" with respect to the detailed
 1142 model at a sub-10% level.

1143 4.1.5 Non-exponential Decay in Concrete

1144 The hydrogen content in concrete is responsible for thermalizing the neutron flux
 1145 an attenuating neutrons. Figure 4-11 compares the neutron flux from the detailed model

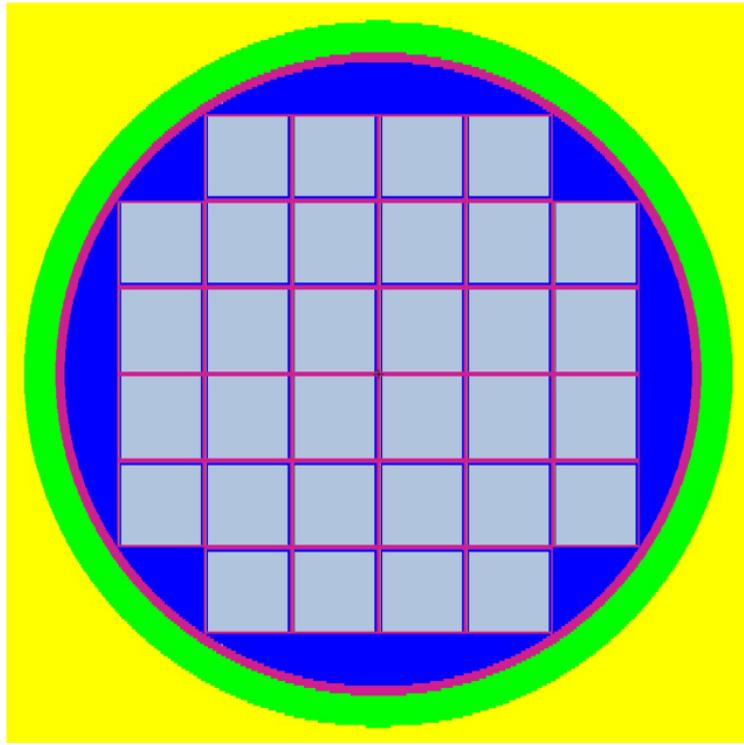


Figure 4-9. The cruciform model. The gray squares are cell homogenized fuel, the stainless steel fuel basket and MPC are pink, the helium annulus is blue, the air exterior to the MPC is green, and concrete is yellow. The neutron absorbing pads (orange) are present in this diagram, but are too thin to be seen here.

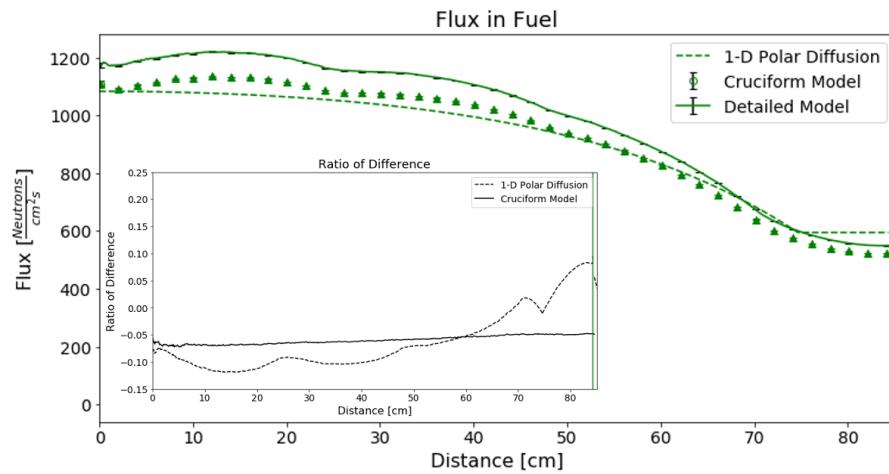


Figure 4-10. The neutron flux spatial distribution of the cruciform model (triangles) capture the flatness of, the leveling off of, and the depressions in the neutron flux spatial distribution seen in the detailed model (solid).

1146 (solid line), the E2S2 analytic solution (red dashed), the fast energy group E2S2 solution
1147 (blue dotted), the thermal energy group E2S2 solution (brown dotted), and the MCNP
1148 helium model (dotted). In concrete, the neutron flux experiences a shift in energies as
1149 a result of downscattering occurring on hydrogen atoms. The analytic solutions confirm
1150 the observed shift in energies. The fast flux (the blue dotted line) decreases exponentially
1151 through the concrete regions. Intuitively, the exponential decrease is behaves similarly to
1152 an uncollided flux calculation, where the uncollided neutron flux decreases exponentially
1153 with thickness as neutrons undergo interactions in a material. In the case of concrete
1154 these interactions are mainly scattering since the scattering ratio ($\frac{\Sigma_s}{\Sigma_t}$) in the fast region
1155 for concrete is 99.5%. A high scattering ratio at fast neutron energies breeds thermal
1156 neutrons, a conclusion consistent with the initial increase in the thermal neutron flux
1157 in Fig. 4-11. As the fast neutron population decreases, the rate at which neutrons
1158 are thermalized decreases as well, which when combined with loss terms, causes the
1159 populations of both the fast and thermal neutron fluxes to decrease as a function of
1160 thickness. Both the analog MCNP model and the analytic model capture the physics of
1161 the detailed model within 10%, with the exception of the last 6cm of the analytic model.

1162 The reason the analytic model shows higher disagreement with the detailed model in
1163 the outer 6cm is a result of the boundary conditions. The E2S2 equations are solved using
1164 a continuous flux boundary condition at both surfaces of the model. While considering
1165 the neutron flux as continuous is a physically consistent boundary condition, higher order
1166 effects (e.g., continuity of derivatives) are not being considered. Further, the outermost
1167 boundary condition assumptions that no neutrons will re-enter the cask after leaving.
1168 While this assumption is nearly physically constituent, it will still act as source of error to
1169 materials within the cask.

1170 4.1.6 Flux in MPC and Carbon Steel Shell

1171 The MPC and carbon steel shell are the final material regions left to discuss. The
1172 thinness of these materials leads to a relatively simple discussion. Figure 4-12 compares

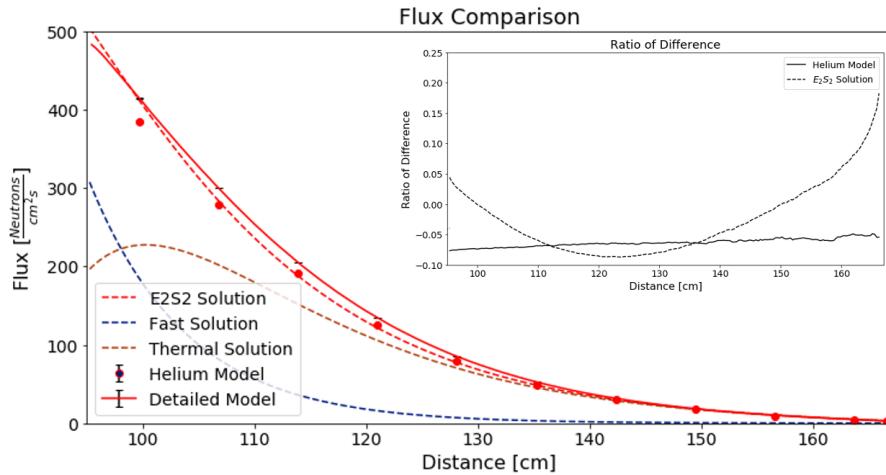


Figure 4-11. The neutron flux spatial distribution of the analytic E2S2 model (dashed line), helium model (circles), and detailed model (solid lines). The fast and thermal portions of the E2S2 solutions are shown in the blue and brown dotted lines respectively. The inset graphs shows the error between the analog models and detailed model.

1173 the neutron flux from the detailed model (solid blue), the E2S2 model solution (dotted
 1174 blue line), and the analog helium model (circles). The fast and thermal components of the
 1175 E2S2 solution are displayed as the dark blue and brown lines respectively. Even though
 1176 the thickness of the stainless steel is a similar to the MFP, some of the fast neutrons
 1177 undergo scattering interactions and thermalize which results in an increase in the thermal
 1178 flux. The error between the analog models and the detailed model is less than 10%. In
 1179 fact, the analytic model agrees with the detailed model within 5%, which is better than
 1180 the helium model.

1181 Figure 4-13 shows the neutron flux in the carbon steel shell. The flux in the carbon
 1182 steel shell is almost entirely thermal since the concrete has already thermalized the
 1183 neutron flux. The analytic model captures this behavior, unfortunately, the analytic model
 1184 does not capture an increase in source source neutrons in the carbon steel which was
 1185 observed in the detailed model. This is a result of assuming the number of neutrons bred
 1186 through interactions is negligible and not including these fast neutrons in the E2S2 model.
 1187 However, the analytic model agrees within 10-40% over the thickness of the carbon steel.

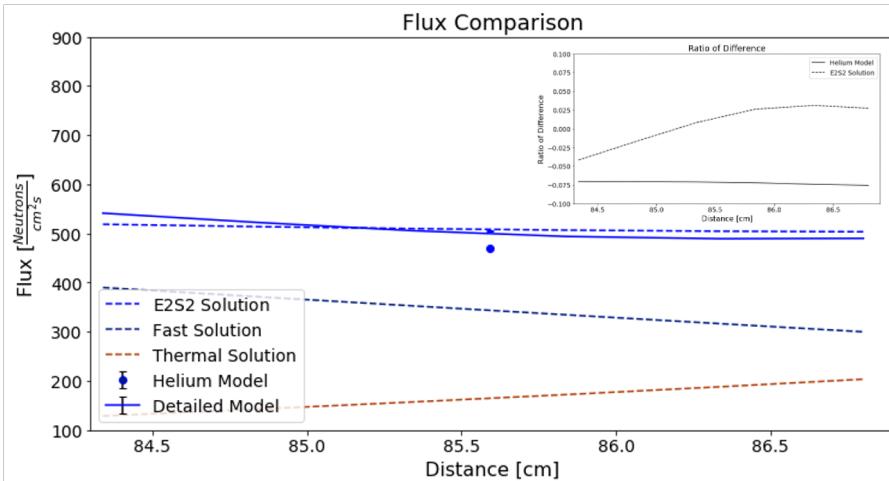


Figure 4-12. The neutron flux spatial distribution of the analytic E2S2 model (dashed line), helium model (circles), and detailed model (solid lines). The fast and thermal portions of the E2S2 solutions are shown in the blue and brown dotted lines respectively. The inset graphs shows the error between the analog models and detailed model.

1188 Overall, this level of agreement is acceptable since the neutron flux is so small, in fact, the
 1189 flux at the exiting surface of the cask is $0.68 \frac{1}{cm^2s}$ as predicted by the detailed model and
 1190 $0.91 \frac{1}{cm^2s}$ as predicted with the E2S2 solution. The scale of the neutron flux is low making
 1191 the larger error values acceptable. The error between the detailed and analytic models
 1192 increases through the carbon steel shell as a result of the boundary conditions. At the
 1193 exiting surface of the cask, the analytic solution is assumed to have a vacuum boundary
 1194 condition. Meaning, none of the neutrons which leave the cask will return. While this
 1195 assumption is appropriate (since the cask in the detailed model is surrounded by air), it
 1196 does not exactly replicate the conditions in the detailed model. The result is an increase in
 1197 error values near the outer surface of the cask.

1198

4.2 Summary

1199 Using reduced complexity analytic and computational models to analyze the
 1200 simulation results of a high-fidelity computational model allows for the quantification
 1201 of effects of any assumptions invoked when developing the latter model. Ensuring
 1202 important physics are preserved in the course of conducting simulations increases

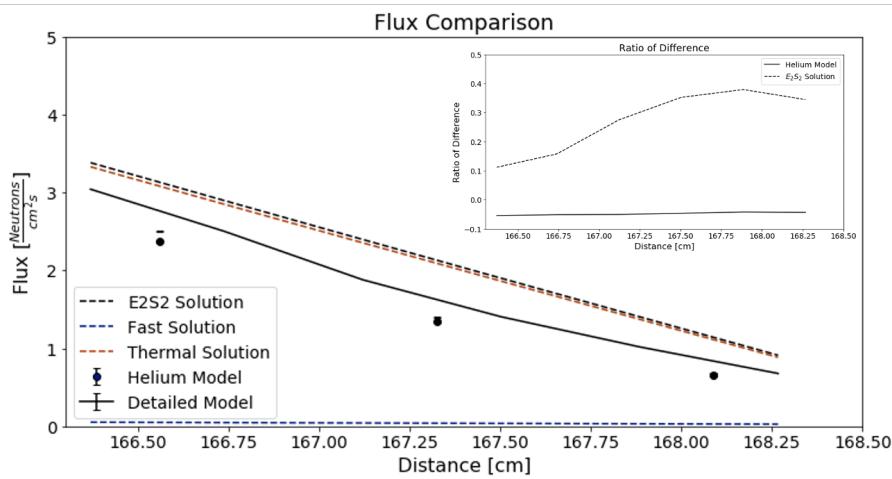


Figure 4-13. The neutron flux spatial distribution of the analytic E2S2 model (dashed line), helium model (circles), and detailed model (solid lines). The fast and thermal portions of the E2S2 solutions are shown in the blue and brown dotted lines respectively. The inset graphs shows the error between the analog models and detailed model.

1203 the likelihood of correct results. This work exemplified this notion through a process
 1204 referred to as "simulation results assessment." As a demonstration, this work included
 1205 post-simulation analysis of a detailed MCNP model of a HI STORM 100 spent nuclear
 1206 fuel cask. A series of reduced analytic and computational models were developed and
 1207 used to identify the physics which causes features in the neutron flux spatial distribution
 1208 as calculated by the detailed model. In the HI-STORM 100 model, the stainless steel
 1209 basket, neutron absorbing pads, and helium annulus around the fuel cells are important
 1210 physical components that need to be preserved in modeling. Retaining the individual
 1211 fuel pin structure was found to be less important than broadly capturing the lumped
 1212 material properties inside the individual fuel cells. These results were corroborated using
 1213 the cruciform model, which appears to capture the physics relevant to the neutron flux
 1214 spatial distribution in the detailed model beyond the 90% level. The major features of
 1215 the neutron flux spatial distribution simulated by the detailed model are expected to be
 1216 correct since the this model preserves material fuel properties and the geometric structure
 1217 of the neutron absorbing pads and helium annulus.

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