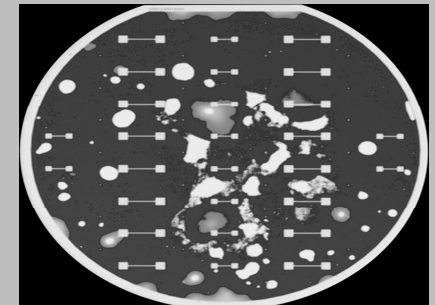
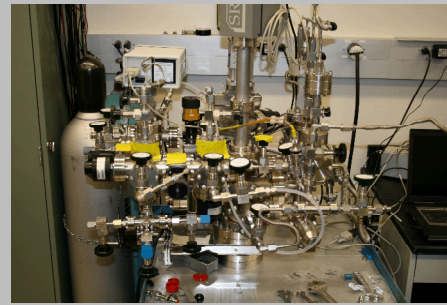
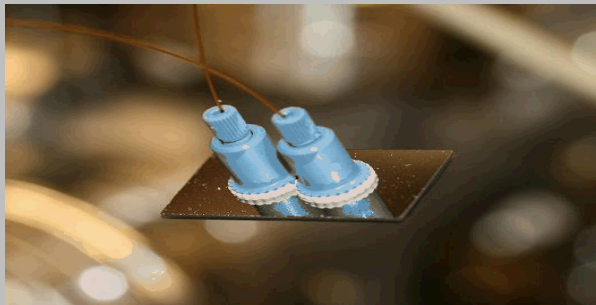


Exceptional service in the national interest



Isothermal Mass Flow Measurements Through a Rectangular Channel Over a Wide Knudsen Range

John Anderson
The University of New Mexico
Department of Mechanical Engineering



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I would like to thank the following people for their contributions to this project:

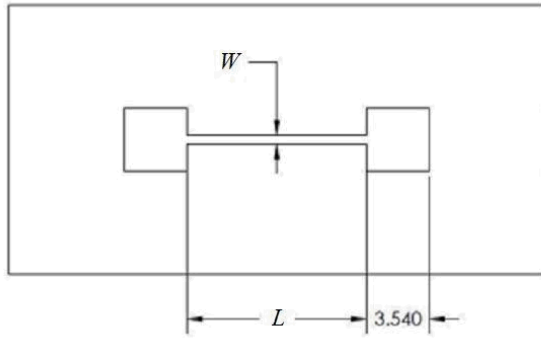
- Dr. Ron Manginell
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- Dr. John Torczynski
- Dr. James Hochrein
- Jason Brown
- Lance Miller
- Dr. David Henry
- Terri Romanic
- Art Oviedo from Analytical Solutions Inc.
- Dr. Tariq Khraishi from UNM
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Motivation

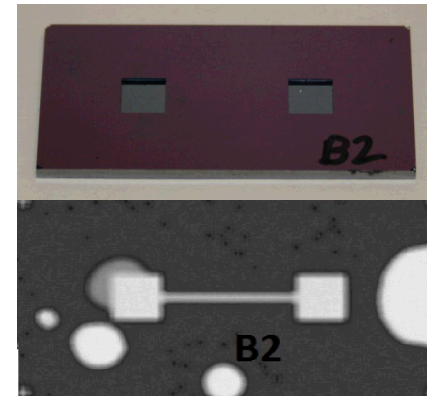
- Lack of experimental data for flow measurements in rectangular channels at high Knudsen numbers (>50) to understand micropump and microvalve performance and to validate code and models also developed at Sandia National Labs
- Design channel such that Knudsen number reaches similar levels seen in micro-electro-mechanical systems(MEMS) and a chip scale vacuum micro-pump(CSVMP) at reasonable levels of vacuum (1 - 10 Pa)

Thesis Overview

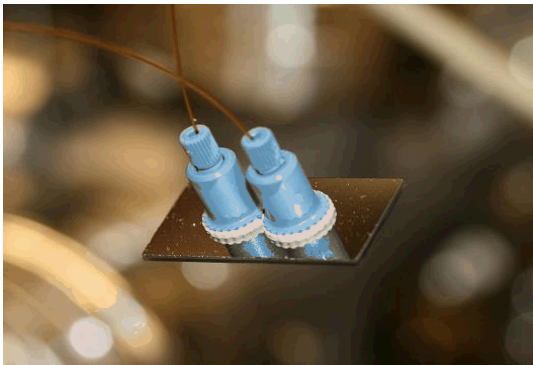
Design



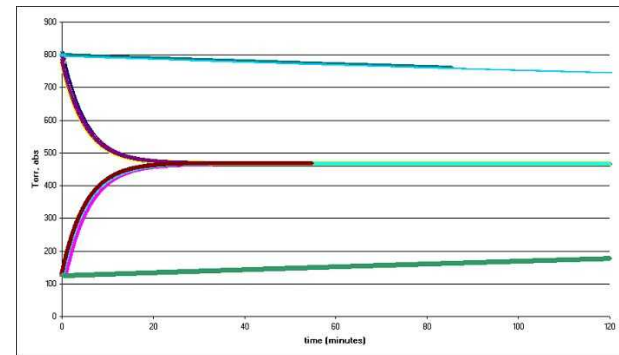
Fabrication



Mass Flow Measurements

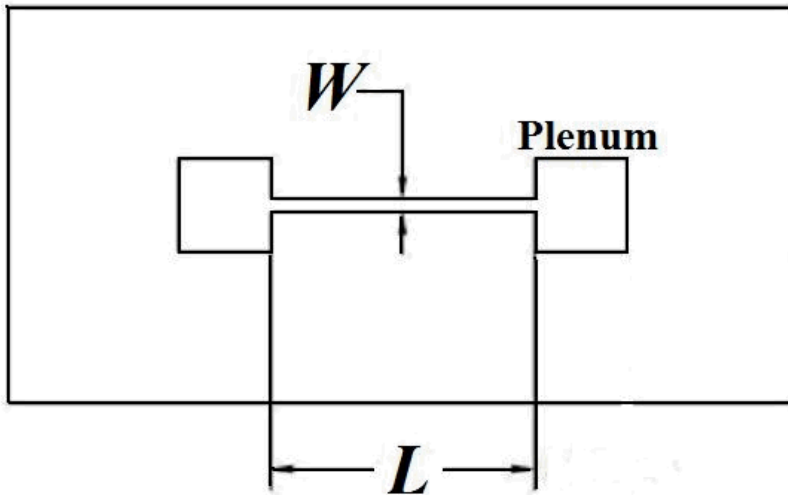


Data Analysis

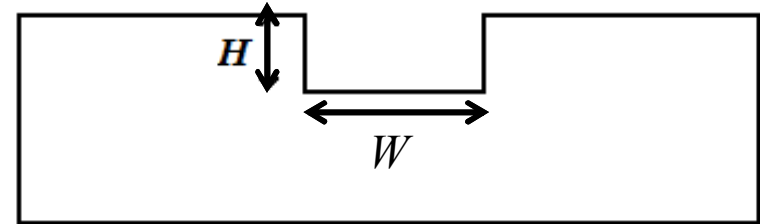


Design

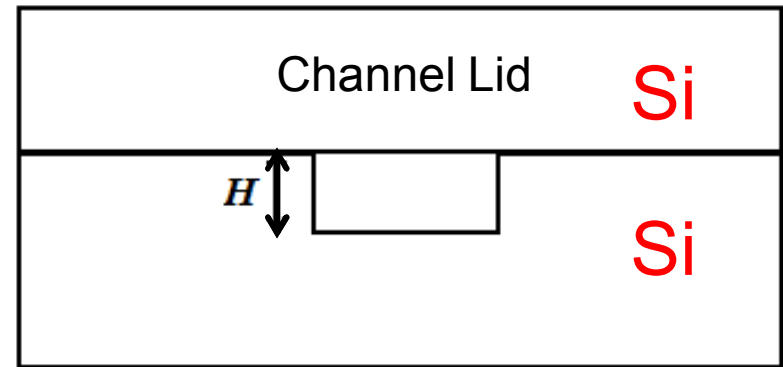
Top View



Cross-section



Direct bonding = Si surfaces



Channel dimensions:

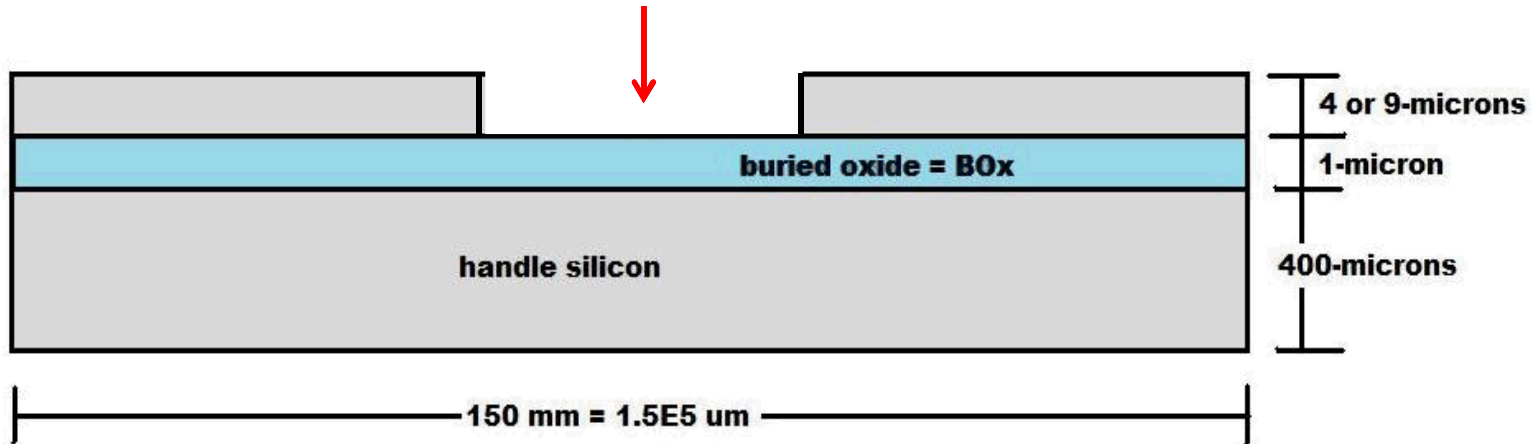
$$L \gg H, \quad W \gg H,$$

$$H = 5 \mu\text{m}, 10 \mu\text{m}$$

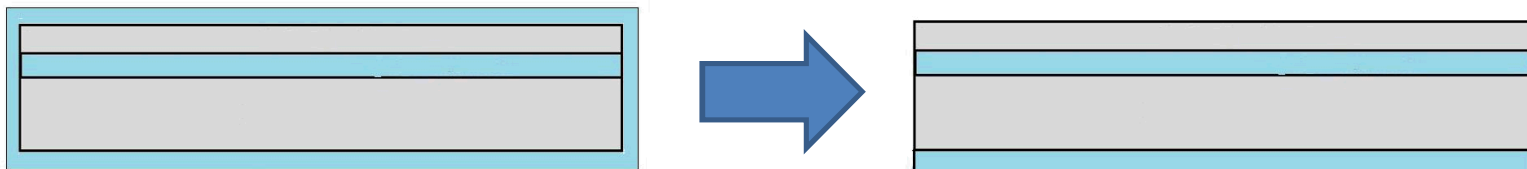
Plena volume $> 100 \times$ channel volume

Starting Substrate Silicon On Insulator (SOI)

Device layer accurately
determined channel height

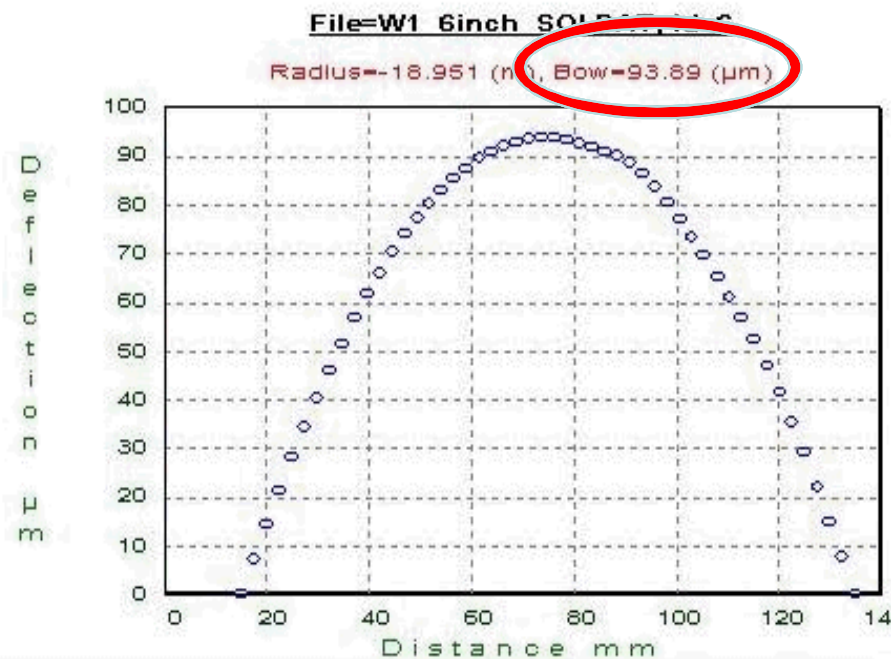


- Thermal oxide has ~270 MPa compressive stress

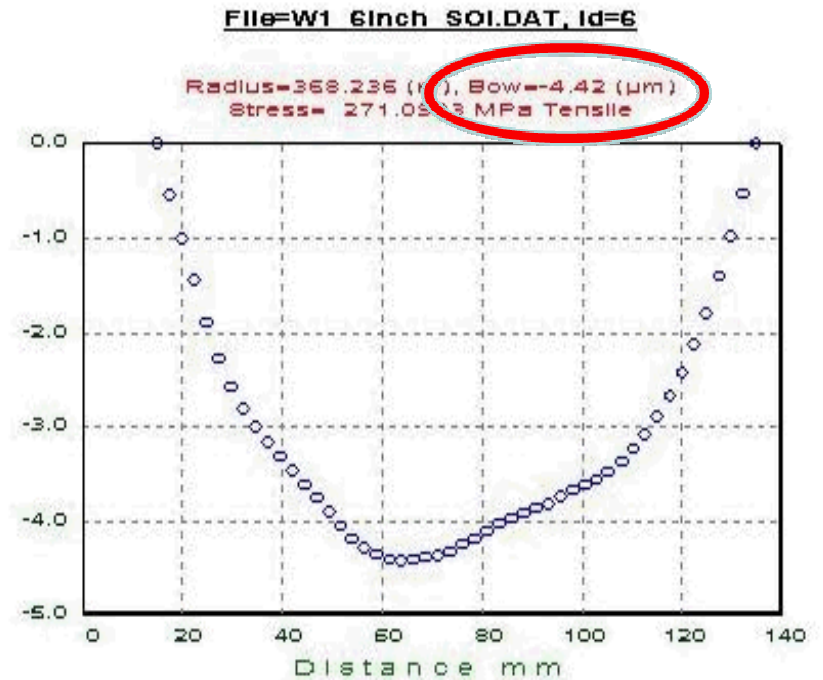


- To counteract this, 1-um thermal oxide was grown on both sides and removed from the device side

Oxide Eliminates Bow



Before oxide

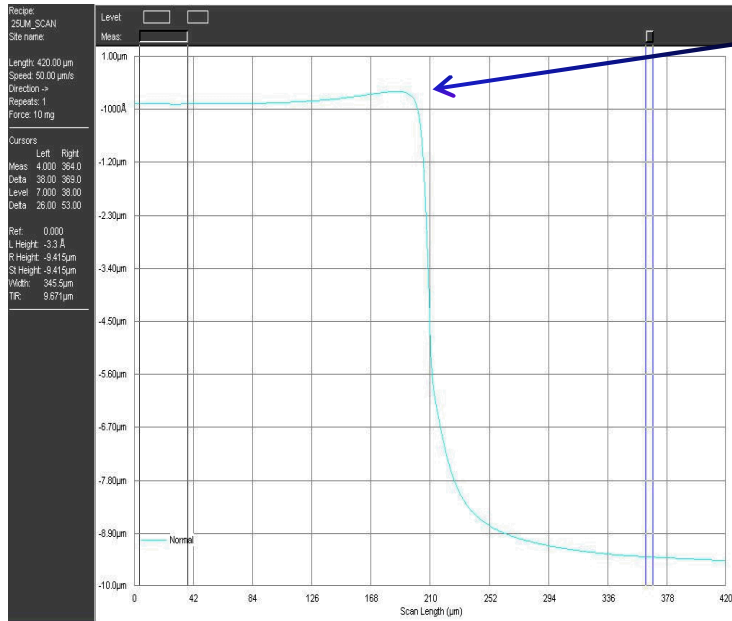


After oxide

W2 5-micron device SOI from device side

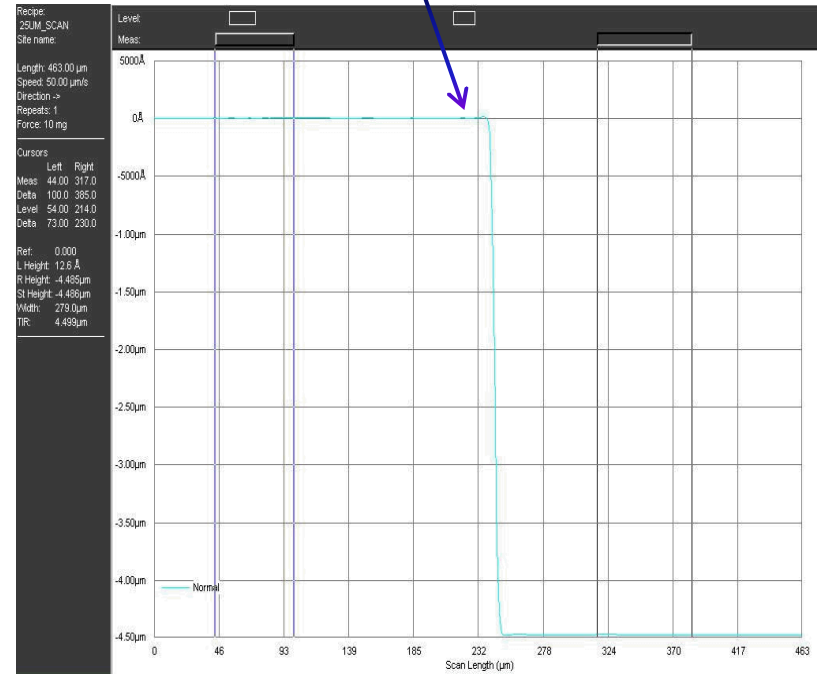
Wafer bow was measured using a 670-nm laser to scan across the wafer at room temperature

SOI Edge Bead Removal (EBR)

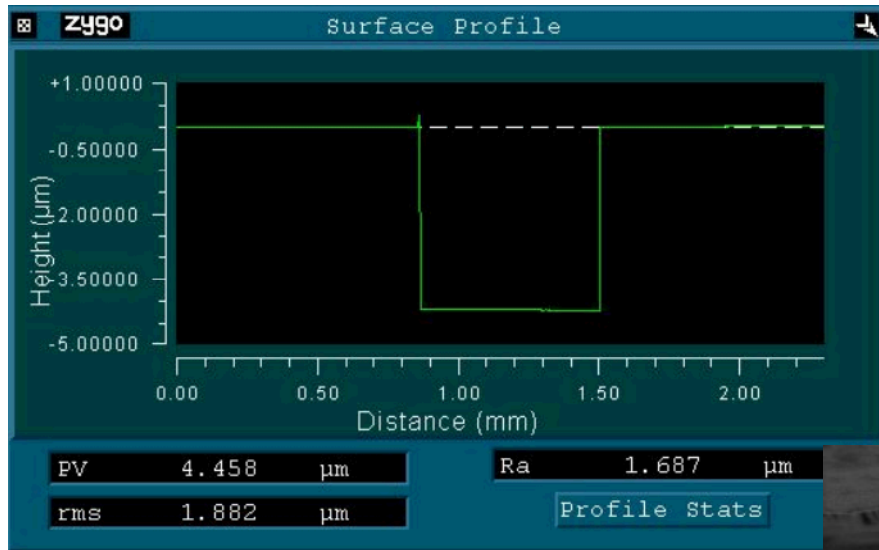


0.25 μm hump can hinder bonding around edge

Edge bead removed

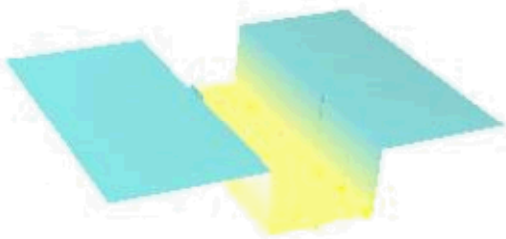


Channel Characterization

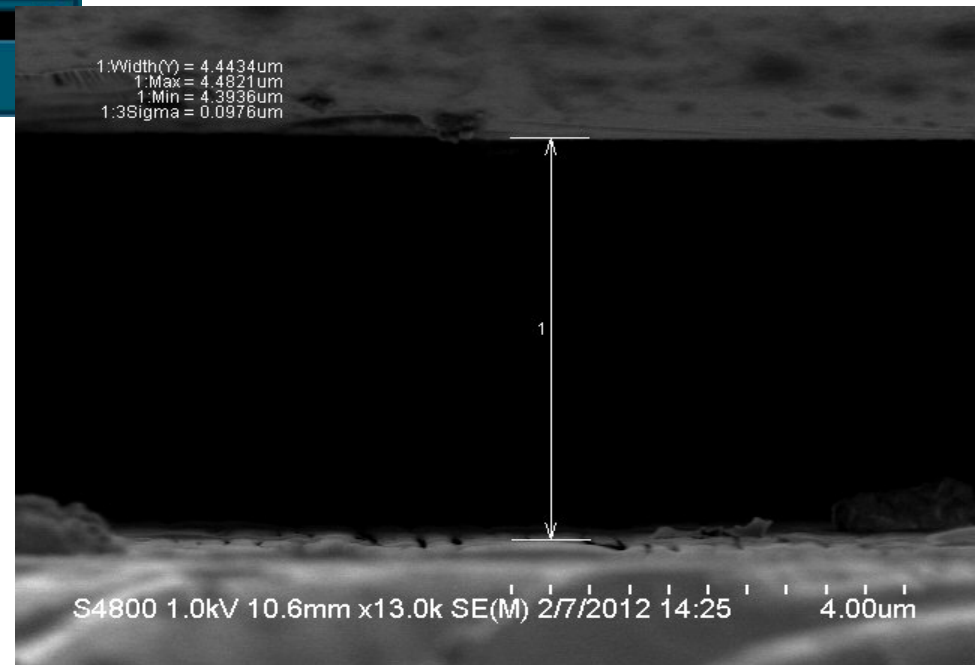


- Manufacturer Specifications: $4 \mu\text{m} \pm 0.5 \mu\text{m}$ and $9 \mu\text{m} \pm 0.5 \mu\text{m}$
- Buried Oxide Specifications: $1 \mu\text{m} \pm 5\%$
- EBR and Channels etched using deep reactive-ion etching (DRIE)

White Light Interferometry



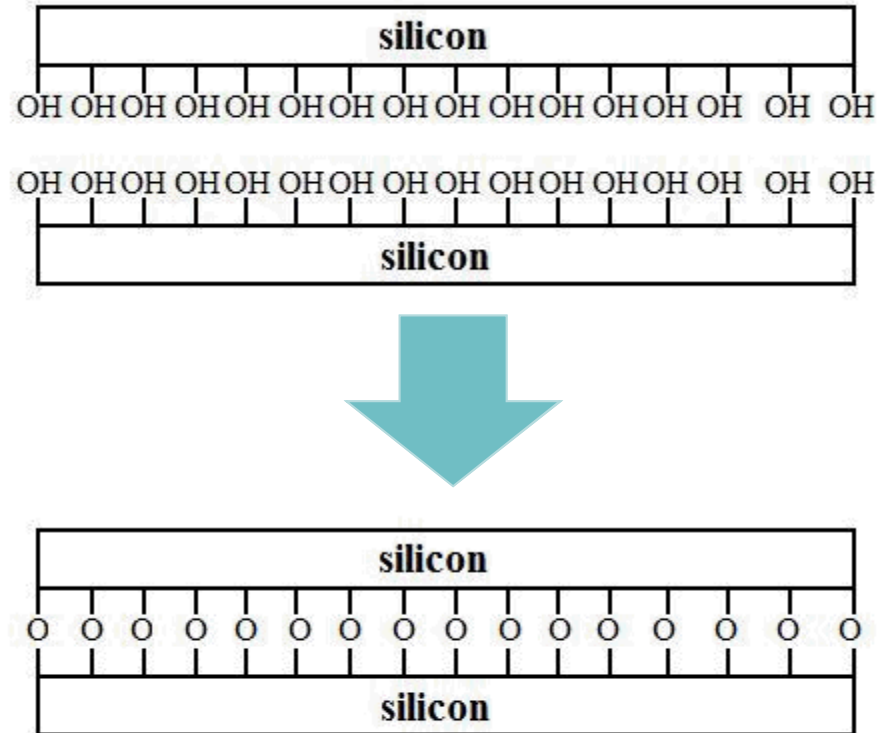
Nominal $5 \mu\text{m}$ channel measurements ranged from $4.44 \mu\text{m}$ to $4.66 \mu\text{m}$



Channel Lid Bonding

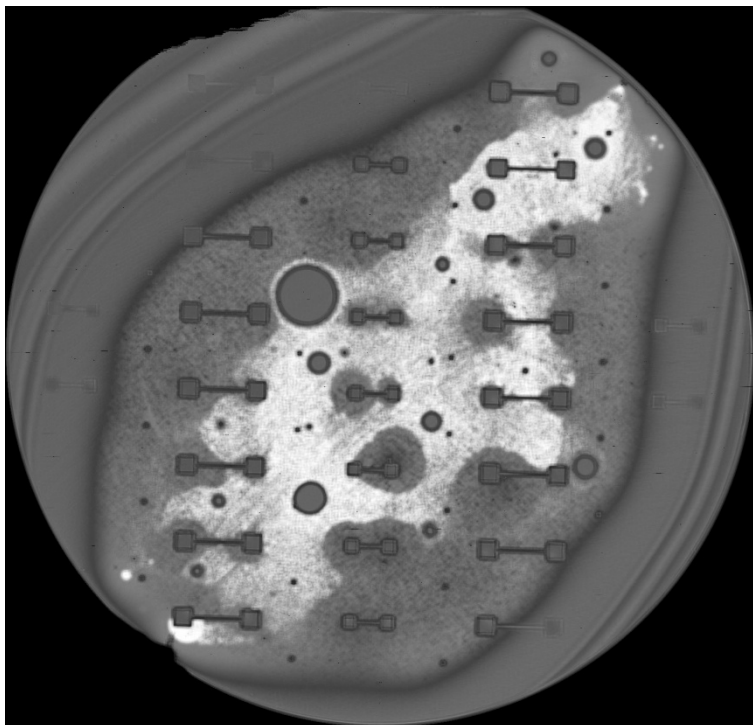
O_2 Plasma Activated Direct Bonding

- Dilute HF dip, then SC1 ($H_2O:H_2O_2:NH_4OH$) and O_2 plasma surface activation create free dangling bonds consisting of silanol
- Simply bringing the wafers into contact forms siloxane releasing H_2 and H_2O in the process

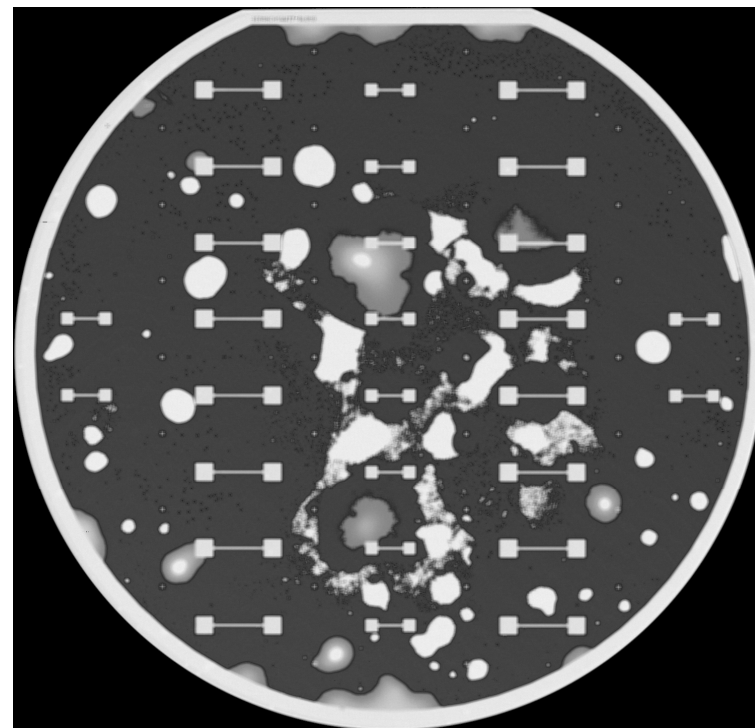


Lid Bond Inspection

using C-mode scanning acoustic microscopy (CSAM)



CSAM Large wafer bow, poorly bonded

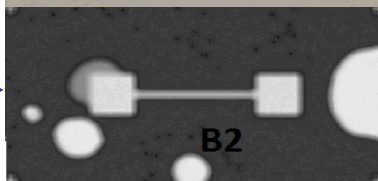


CSAM after compensative oxide shows vast majority of wafer bonded

Photograph →

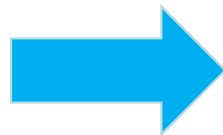
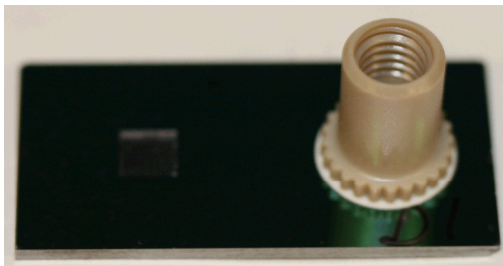
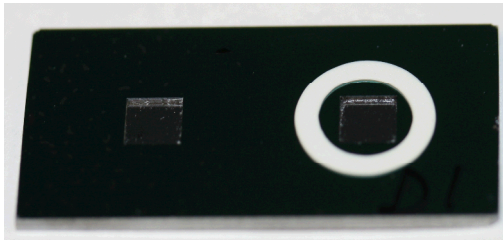
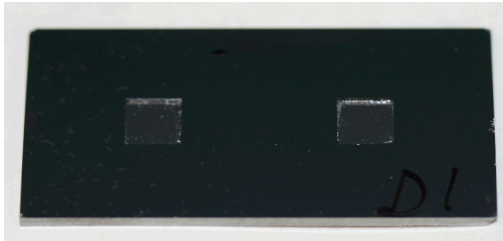


CSAM →

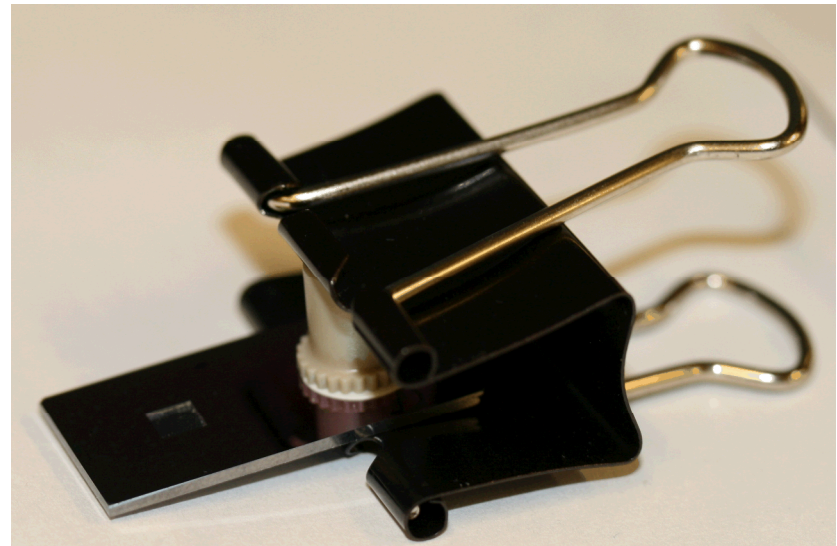


Individual channels labeled
for quality assurance

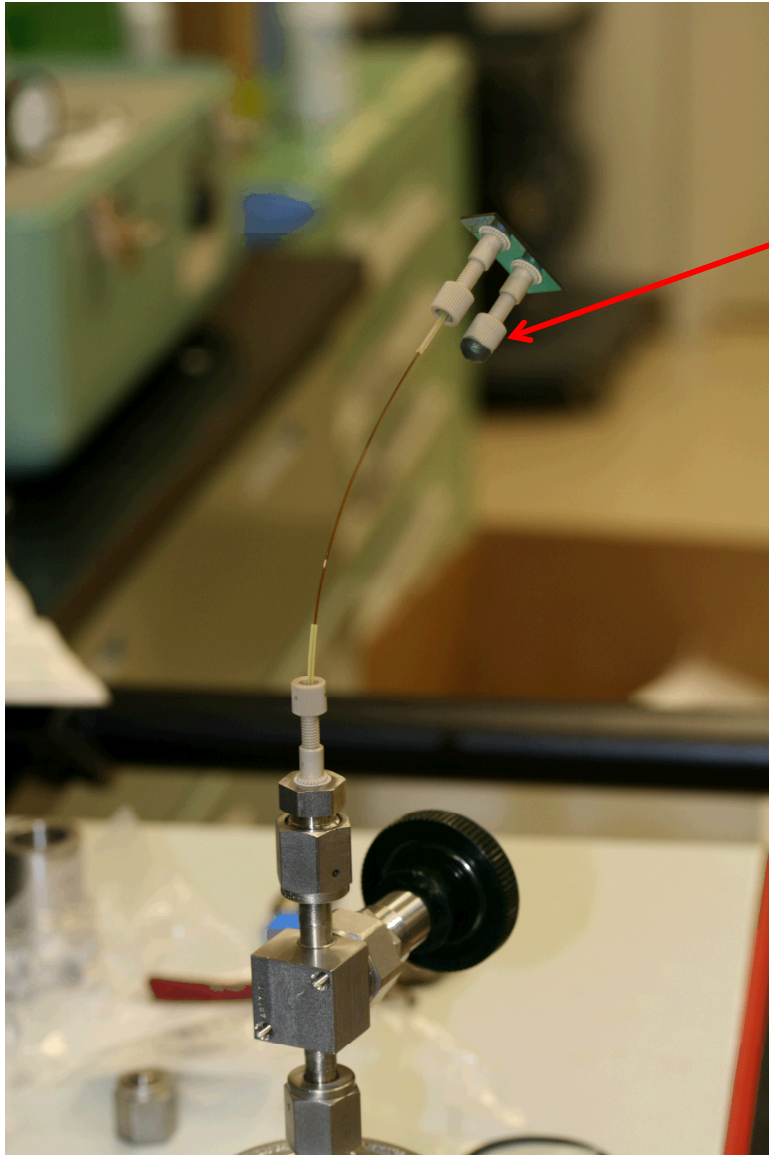
Fitting Assembly



- Align epoxy ring and fitting provided with Upchurch part# N-133
- Fasten with provided spring loaded clamp and bake assembly in oven at 170 °C for 1 hour to cure epoxy



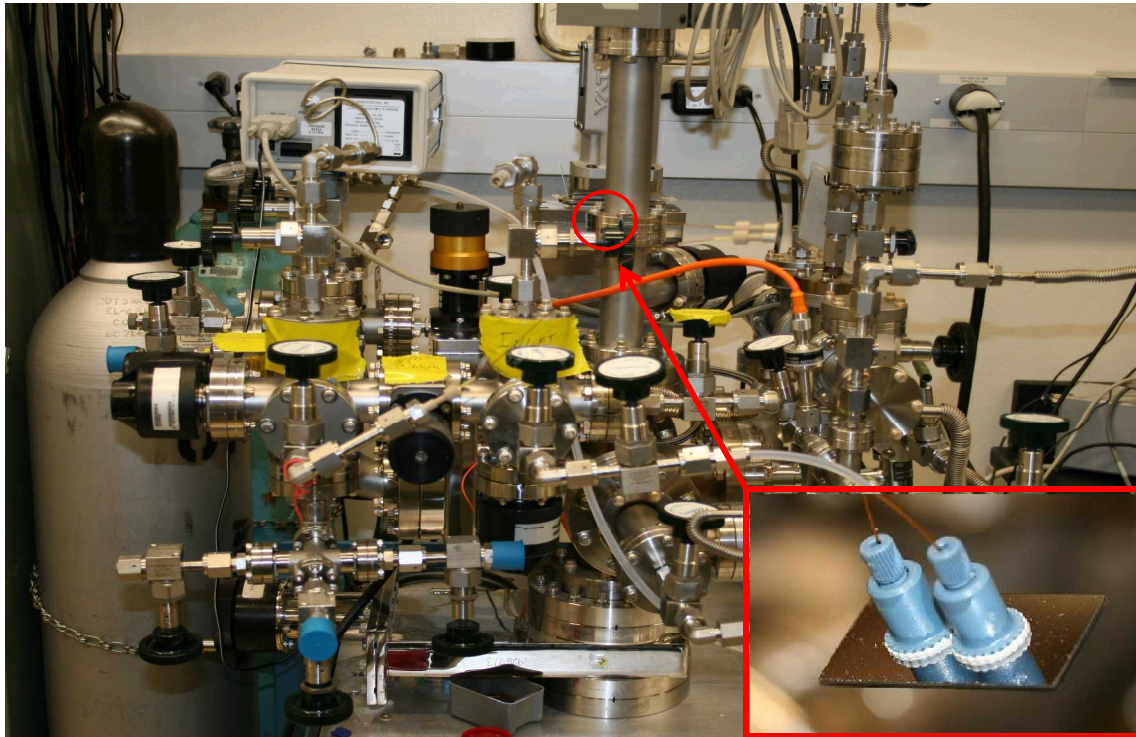
Leak Testing



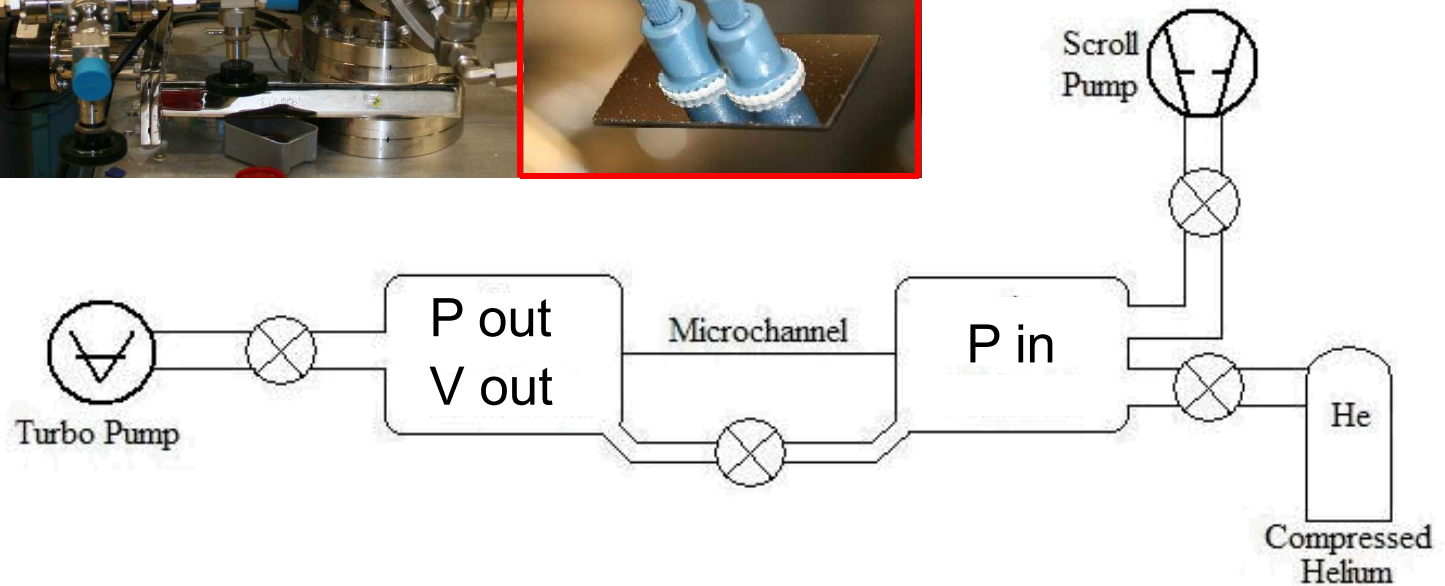
Plug one end of channel and pump for He leak test

- Channel-fitting assembly leak tested down to 10^{-9} (atm)cc/s = $(1.786 \times 10^{-15}$ kg/s) using quadrupole mass spectrometer
- 1% of the lowest measured mass flow rate

Measurement System



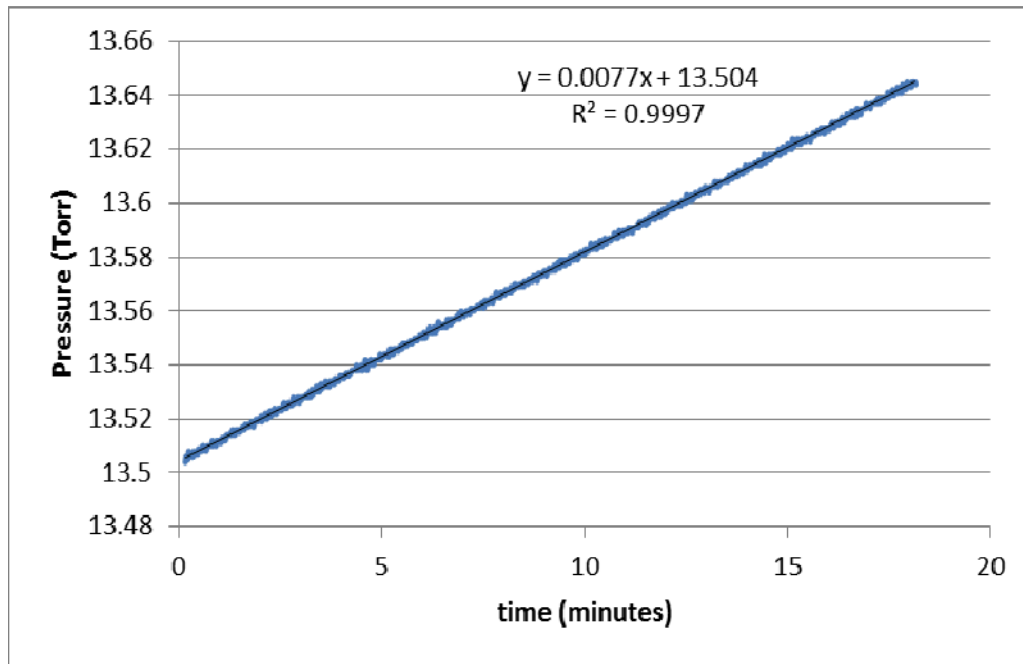
- Manually controlled valves used to set starting pressures for each test
- Pressures used for measurements range from 250 kPa to 2.63 Pa



Flow Measurements

$$\frac{P_{in}}{P_{out}} \approx 5, \text{ for all experiments coinciding with (Ewart, 2007)}$$

Raw data measured from the outlet tank



Mass Flow Rate calculated using the Ideal Gas Law:

$$\frac{dm}{dt} = \frac{V_{out}}{RT} \frac{dP_{out}}{dt}$$

$$V_{out} = 412.5\text{cc} \pm 4\text{cc}$$

$$T = 296.0\text{ K} \pm 0.5\text{ K}$$

Gas: Helium for all measurements

Closed-Form Equation

based on Direct Simulation Monte Carlo (DSMC) models for all regimes
and any rectangular channel (Gallis and Torczynski, 2012)

$$\dot{M} = \dot{M}_c \left(1 + \frac{6p_\lambda}{p_m} \varpi[p_1, p_2] \right)$$
$$\varpi[p_1, p_2] = \frac{2 - \alpha}{\alpha} \left\{ (1 + b_1 \alpha) + (\varepsilon b_0 - (1 + b_1 \alpha)) \frac{b_2 p_\lambda}{p_1 - p_2} \ln \left[\frac{p_1 + b_2 p_\lambda}{p_2 + b_2 p_\lambda} \right] \right\}$$
$$p_m = \frac{p_1 + p_2}{2} \quad p_\lambda = \frac{p \lambda}{H}$$

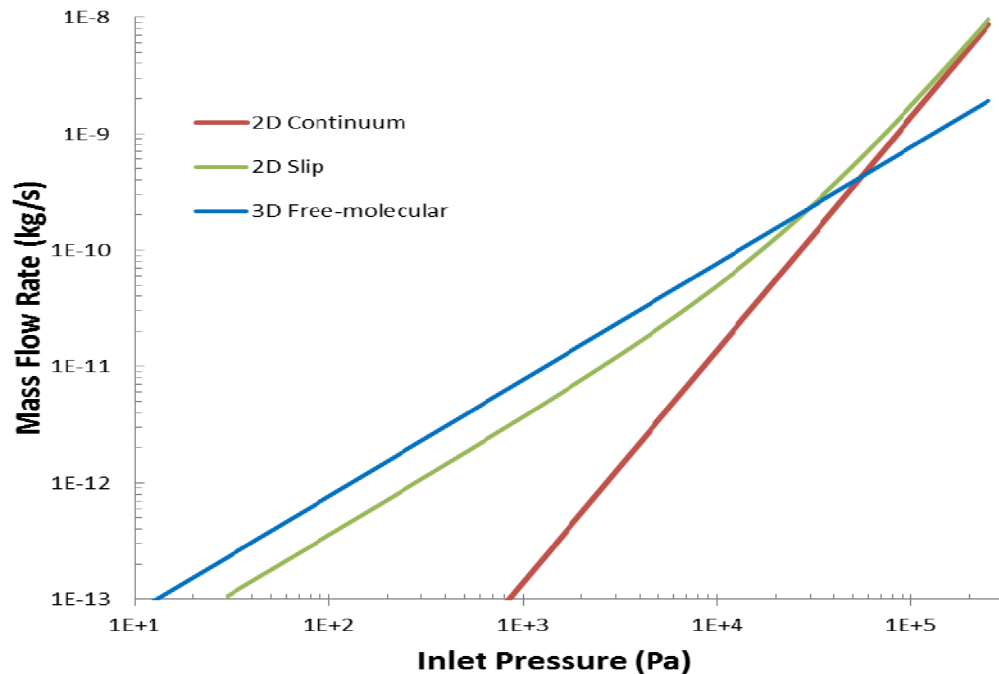
Parameters:

- b_0 gives the correct free-molecular limit
- $b_0 = 3.26224$ for channel with $9.67 \mu\text{m}$ height and 3.76031 for channel with $4.5 \mu\text{m}$ height

Limiting Forms of Model

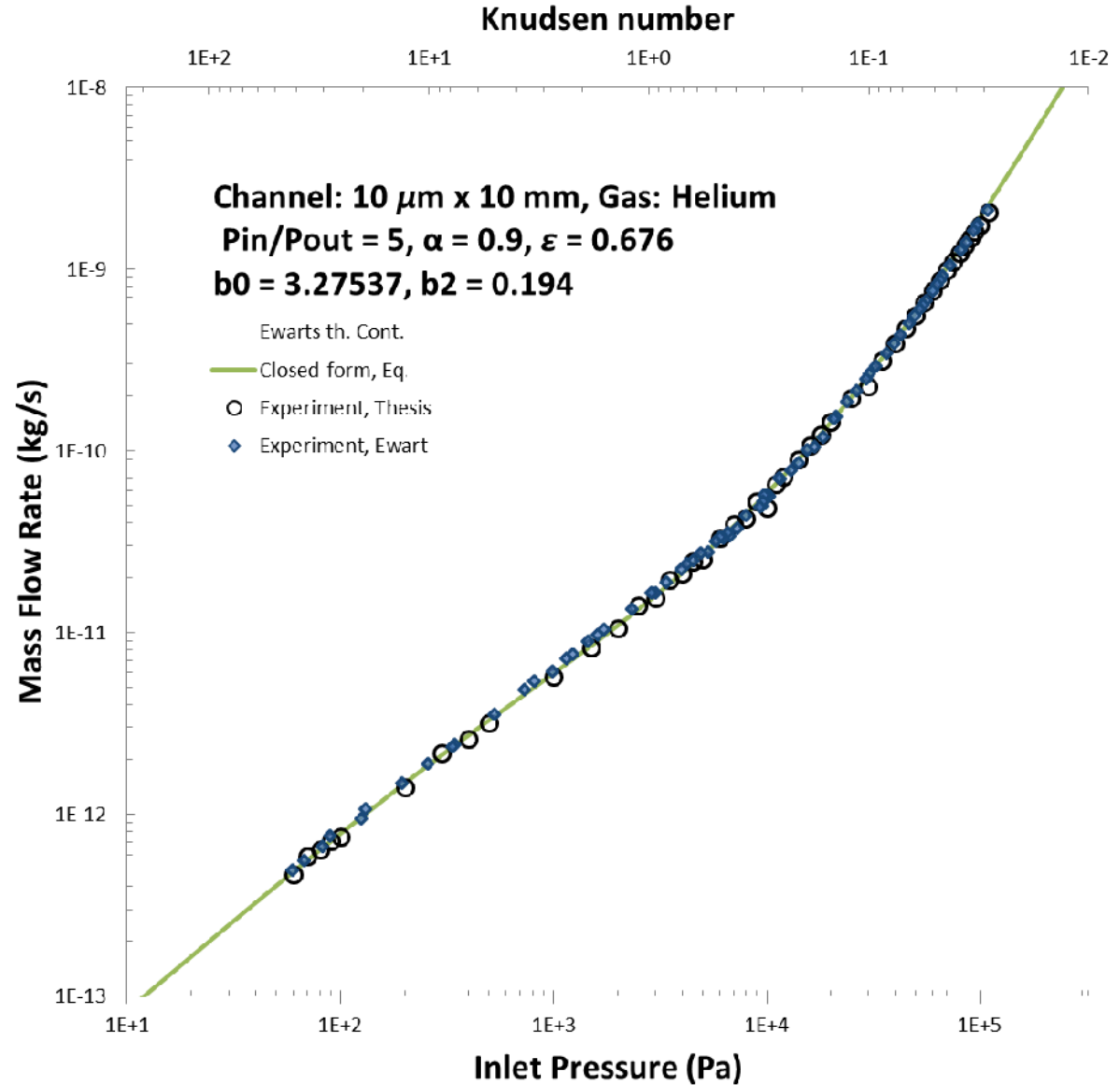
Flow characteristics depend on the Knudsen number, $Kn_m = \frac{\lambda_m}{H}$

- $0 < Kn < 0.001$, **continuum** regime $\dot{M}_c = \frac{2WH^3 p_m (p_1 - p_2)}{3\pi\mu c^2 L}$
- $0.001 < Kn < 0.1$, **slip** flow $\dot{M}_s = \dot{M}_c \left(1 + \frac{6p\lambda}{p_m} \overline{\omega}_s \right)$, $\overline{\omega}_s = \frac{2-\alpha}{\alpha} (1 + b_1\alpha)$.
- $Kn > 10$, **free-molecular** $\dot{M}_F = \dot{M}_c \left(\frac{6p\lambda}{p_m} \overline{\omega}_F \right)$, $\overline{\omega}_F = \frac{2-\alpha}{\alpha} \epsilon b_0$

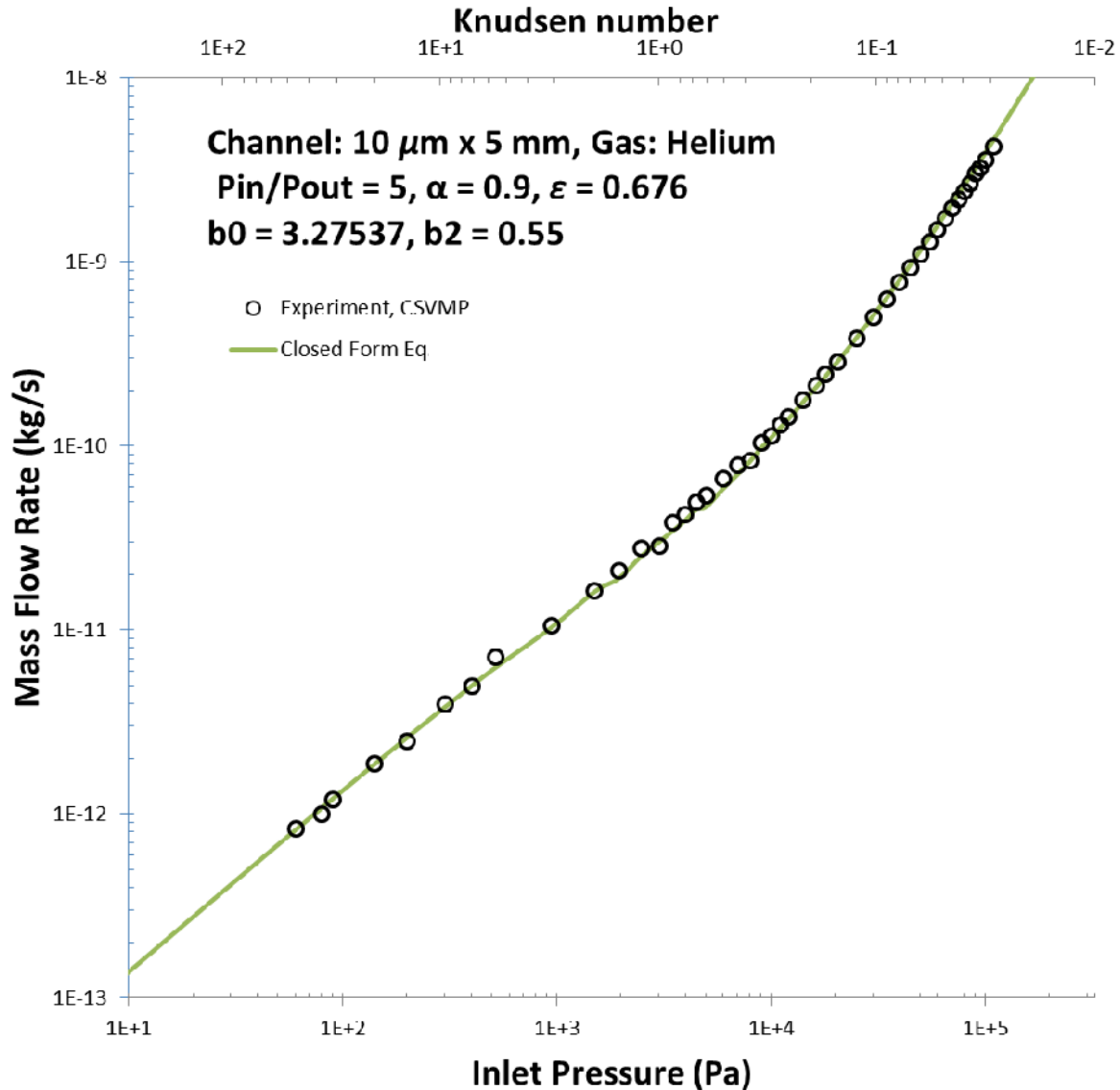


Channel: $9.67 \mu\text{m} \times 10 \text{ mm}$

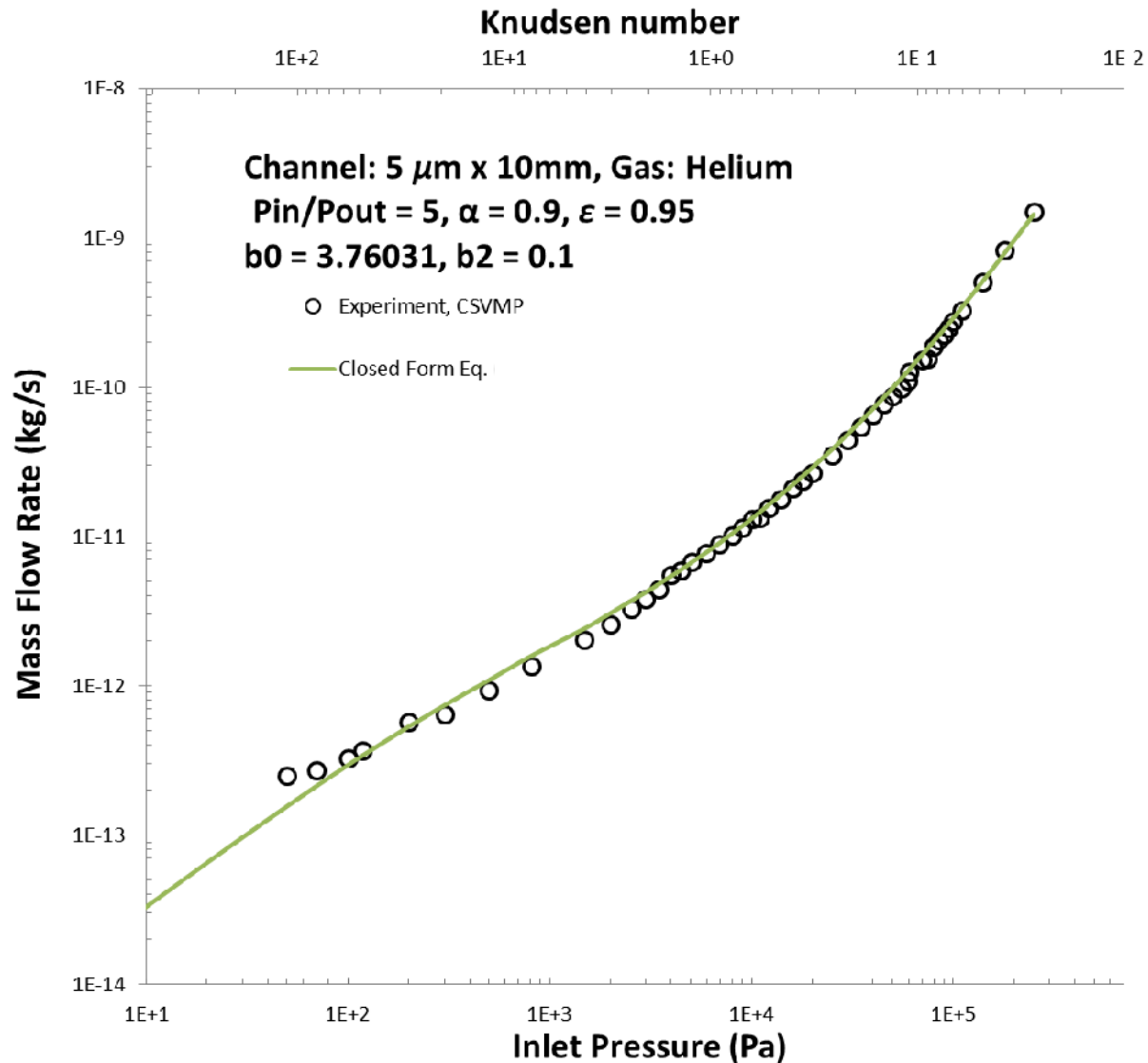
- Channel similar to Ewart et al.(2007)
- matches the closed-form equation



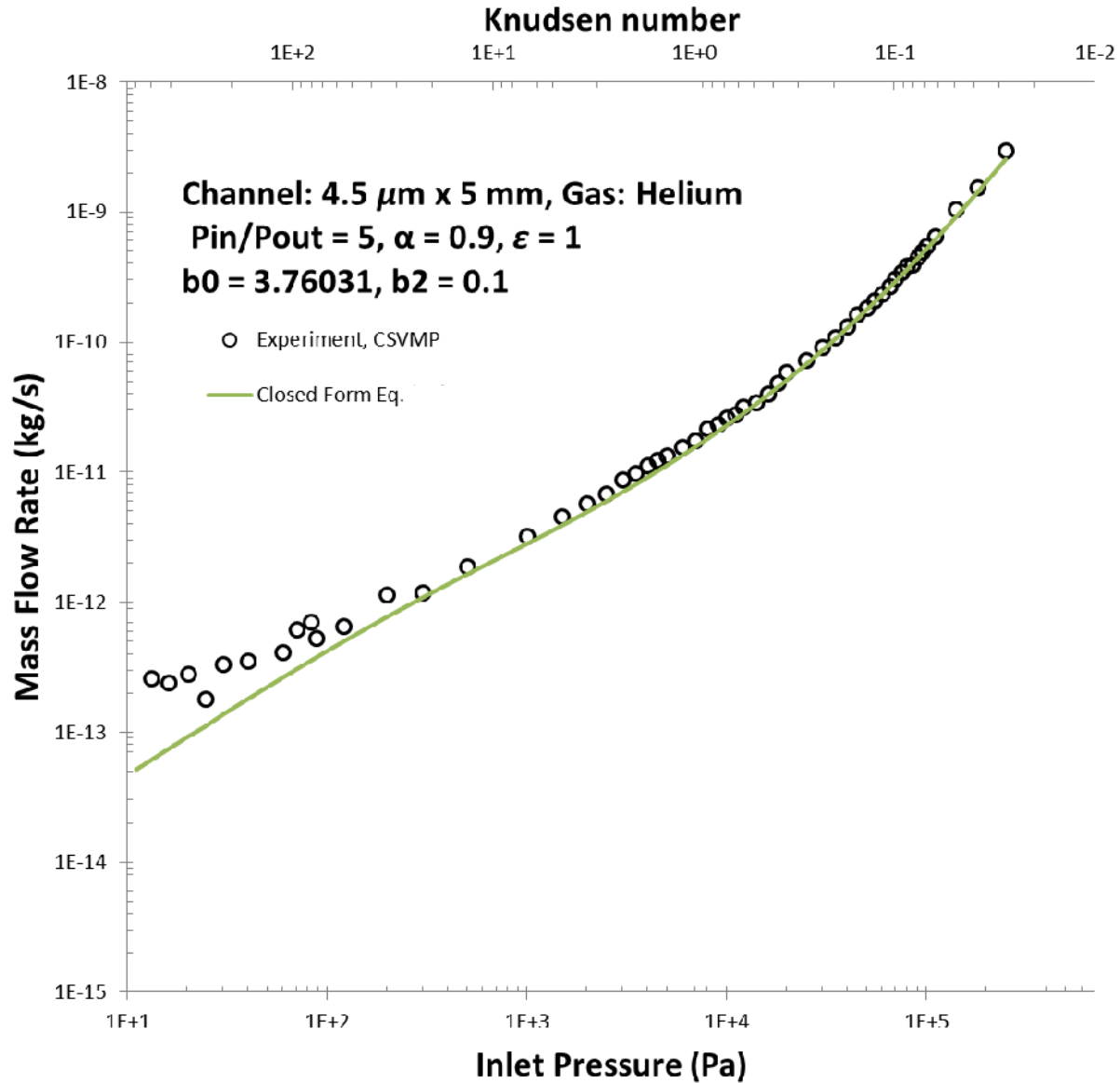
Channel: 9.67 μm X 5 mm



Channel: 4.5 μm X 10 mm



Channel: 4.5 μm X 5 mm



Calculated Error

$$\frac{\Delta Q_m}{Q_m} = \frac{\Delta V}{V} + \frac{\Delta T}{T} + \frac{\Delta a}{a}$$

- $\Delta T/T$, accounts for the non-isothermal effects evaluated at $\pm 2\%$ (Graur, Méolans et al. 2005).
- $\Delta V/V$ is the uncertainty of the volume measurement, $\pm 2\%$.
- $\Delta a/a$ is the error on the coefficient a in (5) and is evaluated at $\pm 0.5\%$ at the higher pressures, but increases to $\pm 1\%$ at the lower pressures.
- Combining these gives us a maximum percent error of $\pm 5\%$ at anytime.

Conclusions

- Isothermal helium mass flow for a very wide Knudsen range from 0.001 to **550**, well into the free-molecular range
- Flows measured were on the order of 10^{-13} kgs⁻¹ (**300 years** to accumulate **1 gram** of helium).
- Data set valuable for validating model and further predicting free-molecular flow for engineering applications MEMS such as micropumps, microvalves.

