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2 **High-level β -carotene production from xylose by engineered *Saccharomyces cerevisiae***
3 **without overexpression of a truncated *HMG1* (t*HMG1*)**

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15 **ABSTRACT**

16 β -carotene is a natural pigment and health-promoting metabolite, and has been widely used in
17 the nutraceutical, feed and cosmetic industries. Here, we engineered a GRAS yeast
18 *Saccharomyces cerevisiae* to produce β -carotene from xylose, the second most abundant and
19 inedible sugar component of lignocellulose biomass. Specifically, a β -carotene biosynthetic
20 pathway containing *crtYB*, *crtI* and *crtE* from *Xanthophyllomyces dendrorhous* was
21 introduced into a xylose-fermenting *S. cerevisiae*. The resulting strain produced β -carotene
22 from xylose at a titer three-fold higher than from glucose. Interestingly, overexpression of
23 *tHMG1*, which has been reported as a critical genetic perturbation to enhance metabolic
24 fluxes in the mevalonate (MVA) pathway and β -carotene production in yeast when glucose is
25 used, did not further improve the production of β -carotene from xylose. Through
26 fermentation profiling, metabolites analysis and transcriptional studies, we found the
27 advantages of using xylose as a carbon source instead of glucose for β -carotene production to
28 be a more respiratory feature of xylose consumption, a larger cytosolic acetyl-CoA pool, and
29 up-regulated expression levels of rate-limiting genes in the β -carotene producing pathway,
30 including *ACSI* and *HMG1*. As a result, 772.8 mg/L of β -carotene was obtained in a fed-
31 batch bioreactor culture with xylose feeding. Considering the inevitable production of xylose
32 at large scales when cellulosic biomass-based bioeconomy is implemented, our results
33 suggest xylose utilization is a promising strategy for overproduction of carotenoids and other
34 isoprenoids in engineered *S. cerevisiae*.

35

36 **KEYWORDS:** β -carotene; xylose; glucose; *tHMG1*; *Saccharomyces cerevisiae*

37

INTRODUCTION

38 Carotenoids are a diverse class of C₄₀ isoprenoids widely produced by plants, bacteria,
39 fungi and microalgae (Berman et al., 2015; Henríquez, Escobar, Galarza, & Gimpel, 2016).

40 Of all known carotenoids, β -carotene is believed to be the most important due to its
41 nutritional role as pro-vitamin A (Dowling & Wald, 1960) and health-promoting potential as
42 an antioxidant (Palozza & Krinsky, 1992) and an anti-tumor agent (Williams, Boileau, Zhou,
43 Clinton, & Erdman, 2000). Its wide applications in nutraceutical, feed and cosmetic industries
44 lead to a fast-growing world market (Irwandi Jaswir, 2011). Currently, chemical synthesis
45 remains the major route of commercial β -carotene production. Considering the safety
46 concerns of chemical synthesis, and consumer preferences for natural additives, microbial
47 production of β -carotene via metabolic engineering gains increasing interests and becomes an
48 attractive alternative (Yoon et al., 2007; Zhao et al., 2013). The biological pathway of all
49 isoprenoids use isopentenyl diphosphate (IPP) as precursor, which is synthesized through
50 either MVA pathway in eukaryotes, or the 2-C-methyl-D-erythritol-4-phosphate (MEP)
51 pathway in prokaryotes. Among potential microbial hosts, *Saccharomyces cerevisiae* has
52 superior traits in industrial production of isoprenoids such as the GRAS (generally recognized
53 as safe) status, ease of genetic manipulation, industrial robustness (Auesukaree et al., 2009),
54 and the native MVA pathway which is generally considered as an effective supplier of
55 isoprenoid precursor from acetyl-CoA (Vickers, Williams, Peng, & Cherry, 2017).

56 Researchers expend great efforts in heterologous production of carotenoids using
57 engineered *S. cerevisiae*. Those efforts have far involved the optimization of metabolic flux,
58 and balancing necessary cofactors by manipulating the expression levels of targeted genes
59 (Das et al., 2007; Peralta-Yahya et al., 2011; Verwaal et al., 2007; Yan, Wen, & Duan, 2012).
60 Among all the reported manipulation targets, overexpression of a truncated, soluble form of
61 3-hydroxy-3-methylglutaryl-coenzyme A reductase (*tHMG1*), a major rate-limiting enzyme
62 of the MVA pathway, has been consistently recognized as an essential strategy for high-level
63 production of carotenoids (Verwaal et al., 2007; Xie, Lv, Ye, Zhou, & Yu, 2015; Zhou et al.,
64 2017) and other isoprenoids, such as artemisinic acid (Ro et al., 2006), farnesene (Meadows

65 et al., 2016), squalene and amorphadiene (Kwak et al., 2017) in *S. cerevisiae*. In addition to
66 *tHMG1* overexpression, up-regulation of the MVA pathway related genes such as *ERG8*,
67 *ERG12*, *ERG19*, *ID11*, *ERG20* (Y. Sun, Sun, Shang, & Yan, 2016) and down-regulation of
68 the ergosterol pathway related genes such as *ERG9* (Yan et al., 2012) have been attempted to
69 increase the production of carotenoids.

70 Despite intensive genetic perturbations for driving metabolic fluxes towards
71 carotenoids production, ethanol remains a major product due to the entirely fermentative
72 metabolism of *S. cerevisiae* on glucose even in the presence of oxygen (Pfeiffer & Morley,
73 2014), which hindered the high-level production of carotenoids. This well-known metabolic
74 regulation, termed the Crabtree effect, was not observed while using non-native sugar xylose
75 as a carbon source (Y.-S. Jin, Laplaza, & Jeffries, 2004; Kwak et al., 2017; Matsushika,
76 Goshima, & Hoshino, 2014). We, therefore, assumed that xylose fermentation by engineered
77 *S. cerevisiae* might facilitate carotenoids production by alleviating glucose-dependent
78 repression on respiratory metabolism. Additionally, xylose, comprising up to 30-40 % of
79 lignocellulosic biomass, is the second most abundant sugar in nature that derived from non-
80 edible sources (Kim, Ha, Wei, Oh, & Jin, 2012). Efficient production of value-added
81 chemicals like carotenoids and other isoprenoids from xylose is an important step toward
82 economical and sustainable bioconversion processes of lignocellulosic biomass (Kwak, Jo,
83 Yun, Jin, & Seo, 2019). However, there was no attempt to synthesize carotenoids from xylose
84 in engineered *S. cerevisiae* prior to our studies. As such, we constructed an engineered *S.*
85 *cerevisiae* strain SR8B capable of producing β -carotene from xylose by introducing a β -
86 carotene biosynthetic pathway containing *crtYB*, *crtI* and *crtE* from *X. dendrorhous* into a
87 xylose-fermenting strain SR8. The strain SR8B was also used to convert the xylose-enriched
88 hydrolysates from bioenergy sorghum into β -carotene (Cheng, Sun, Jin, Dien, & Singh,
89 2020). In another study, we enabled vitamin A production by integrating a β -carotene 15,15'-

90 monooxygenase into the SR8B strain and improved the production of vitamin A by xylose
91 utilization and two-phase *in situ* extraction (L. Sun et al., 2019).

92 In this study, we observed increased production of β -carotene from xylose as
93 compared to glucose in engineered *S. cerevisiae* SR8B. In order to explore the advantageous
94 traits of xylose utilization for β -carotene production in engineered yeast, we assessed the
95 differences in β -carotene production patterns from glucose and xylose via fermentation
96 profiling, metabolites analysis and comparative transcriptional studies. High-level production
97 of β -carotene was achieved using xylose as a carbon source without *tHMG1* overexpression
98 and other genetic perturbations. This study demonstrated that using xylose as a carbon source
99 would be a promising strategy for high-level and sustainable production of carotenoids and
100 other isoprenoids in *S. cerevisiae*.

101

102 **MATERIALS AND METHODS**

103 **Strain Construction.** The strains, plasmids and PCR primers used in this study are listed in
104 Table 1 and Table S1, respectively. Standard molecular biology procedures were conducted
105 as described previously (Green, Sambrook, & Sambrook, 2012). The integration plasmid
106 YIplac211YB/I/E* (Verwaal et al., 2007) was used to construct the SR8B strain. The detailed
107 integration method was described in the **Supplementary Information**. A CRISPR/Cas9
108 system was applied for overexpression of catalytic domain of *HMG1* (*tHMG1*) by genomic
109 integration. The Cas9-NAT plasmid (Addgene#64329) was transformed into the SR8B strain
110 before Cas9-based genetic modifications. The *tHMG1* gene flanked by a strong constitutive
111 yeast promoter *TDH3* and terminator *CYC1* was amplified from the plasmid pRS425TDH-
112 *tHMG1* as a donor DNA. The plasmid pRS42H-CS5 coding for guide RNA which targets the

113 intergenic site on Chr XV was co-transformed with the donor DNA fragments. Cells were
114 selected on YPD plate supplemented with 120 μ g/mL nourseothricin and 300 μ g /mL
115 Hygromycin B. Positive colonies were confirmed by diagnostic PCR and designated as the
116 SR8BH strain.

117

118 **Yeast Culture for the Production of β -carotene.** To compare β -carotene production on
119 glucose and xylose by engineered yeast, the engineered strains were inoculated from glycerol
120 stocks into 5mL of a modified Verduyn medium (van Hoek, de Hulster, van Dijken, & Pronk,
121 2000) containing 20 g/L glucose or xylose as pre-cultures for glucose and xylose main
122 cultures, respectively. The composition of the modified Verduyn medium was described
123 previously (L. Sun et al., 2019). After pre-cultures for 2-3 days, cells were harvested and re-
124 inoculated at an initial optical cell density of 1 at 600nm (OD₆₀₀) into main culture flasks,
125 which were 250 mL baffled flasks with 50 mL of Verduyn medium containing either 40g/L
126 glucose, or 40g/L xylose. Culture media were buffered with potassium hydrogen phthalate at
127 a working concentration of 50 mM and pH of 5.5. We conducted aerobic batch fermentation
128 experiments in a shaking incubator at 30 °C and 300 rpm. For a xylose fed-batch
129 fermentation, the engineered strain was pre-cultured for 48 hours in 200 mL of Verduyn
130 medium containing 40 g/L xylose at 30 °C and 300 rpm. The fed-batch fermentation was
131 conducted in a 3-liter fermenter (New Brunswick Scientific-Eppendorf, Enfield, CT) with 1 L
132 of Verduyn medium at 30 °C. Initial xylose concentration was 87.1 g/L and additional
133 amounts of xylose were fed to reach 40 \pm 5 g/L of xylose upon depletion. The pH was
134 maintained at 5.5 by automatically pumping in 4M NaOH. The gas flow rate was kept at 2
135 vvm, and agitation rate varied in the range of 500-800 rpm.

136

137 **Quantitative Analysis.** We monitored a cell density of each culture by measuring OD₆₀₀
138 using a spectrophotometer (BioMate 5; Thermo Fisher Scientific, Waltham, USA). The dry
139 cell weight (DCW) was then calculated from the measured OD₆₀₀ by multiplying a
140 conversion factor of 0.41 (1 OD₆₀₀ = 0.41 g DCW/L). To calibrate the conversion factor
141 between optical density and dry cell weight, yeast cells were grown in the Verduyn medium,
142 harvested by centrifugation at 10,000 rpm, and washed two times with distilled water.
143 Washed cell pellets were resuspended in distilled water to various optical densities and
144 filtrated via dried cellulose acetate membrane filters. After cell filtration, membrane filters
145 were dried to constant weight in an 80°C convection oven and then weighed. Glucose,
146 xylose, xylitol, glycerol, acetate and ethanol in the culture broth were quantified using high-
147 performance liquid chromatography (HPLC, Agilent 1200 Series, Agilent Technologies,
148 Wilmington, US) equipped with a refractive index detector and the Rezex ROA-Organic
149 Acid H⁺ (8%) column (Phenomenex Inc, Torrance, CA). The diluted culture supernatants
150 were analyzed at 50 °C with 0.005 M H₂SO₄ as the mobile phase. The flow rate was set at 0.6
151 mL/min.

152 β-carotene was extracted using acetone and quantified by measuring the absorbance at
153 453 nm (OD₄₅₃) with spectrophotometer as described previously (Yuan, Rouvière, LaRossa,
154 & Suh, 2006). Specifically, cells were harvested from 1 mL culture broth by centrifugation.
155 The cell pellets were resuspended with 1 mL acetone in a 2 mL screwed cap tube and crushed
156 by a BeadBeater (BioSpec, USA). Samples were then centrifuged, and colored supernatants
157 were collected in a 5 mL tube for measuring OD₄₅₃. The extraction procedure was repeated
158 for three times until the cell pellets turned white. A standard curve (**Fig. S1**) was obtained by
159 measuring OD₄₅₃ of a serial of β-carotene standard (Cat. No. C4582, Sigma, USA) solution
160 with known concentration using spectrophotometer. The standard curve was then used to

161 calculate the volumetric titer and specific content of β -carotene produced by engineered
162 strains.

163 For analysis of ergosterol production, 2 mL of fermentation broth was centrifuged to
164 separate the cells. The cell pellets were resuspended with 0.6 mL of extraction solution (50%
165 KOH: $\text{C}_2\text{H}_5\text{OH} = 2: 3$), and the mixture was saponified by incubating in 85 °C water bath for
166 2 hours. After chilling on ice, the saponified mixture was thoroughly mixed with 0.6 mL n-
167 heptane to extract the sterol. After centrifugation, a total 0.5 mL of n-heptane layer was
168 collected and dried in a centrifugal vacuum concentrator. Dried samples were dissolved in 0.5
169 mL of acetonitrile and analyzed using Shimadzu HPLC system equipped with a UV detector
170 (Shimadzu SPD-20A) and a C18 column (Phenomenex Kinetex 5 μL C18). Ergosterol was
171 separated with 100% acetonitrile at a flow rate of 2 mL/min and detected by UV absorbance
172 at 280 nm. A standard curve (**Fig. S2**) was prepared using authentic ergosterol standard (Cat.
173 No. 45480, Sigma, USA) for calculating ergosterol concentration from each sample.

174 Lipid weight was determined as previously described (Zhang et al., 2016). Briefly, 2
175 mL cell cultures with OD_{600} adjusted at 10 were centrifuged at 15,000 rpm for 1 min. Cell
176 pellets were transferred into 15-mL glass centrifuge tubes and were crushed using
177 BeadBeater with 6 mL of chloroform/methanol (1:1 volumetric). The samples were then
178 mixed with 1.5 mL water and vortexed for 1 min. After centrifugation, the organic layer was
179 collected, washed with 1.5 mL of 0.1% (w/v) NaCl water solution, and dried overnight at
180 room temperature in a preweighed tube. The tube was further dried in an oven at 80 °C until
181 they reached a constant weight to determine lipid content. Total lipid content was calculated
182 from the final tube weight by subtraction of original tube weight and the corresponding β -
183 carotene content for each sample.

184

185 **Identification of Carotenoids Composition.** To identify the carotenoids composition by
186 HPLC, yeast extracts from glucose and xylose batch fermentation were separated on a
187 reverse-phase C30 HPLC column (4.6 × 150 mm, 3 µm; YMC, Wilmington, NC) maintained
188 at 18°C, and detected by a photodiode array detector (model 2996; Waters, Milford, MA) as
189 previously described (Yeum et al., 1996). β-carotene, phytoene (Cat. No. 78903, Sigma,
190 USA) and lycopene (Cat. No. SMB00706, Sigma, USA) standards were used for the
191 identification.

192

193 **Visualization of Lipid Bodies.** Lipid bodies were visualized using confocal microscope after
194 staining as described previously (Beopoulos et al., 2008). Fresh cells were harvested at
195 exponential phase from a batch fermentation with either glucose (19 hour) or xylose (31
196 hour) as carbon source (Fig. S3) and resuspended at OD₆₀₀ 20. Nile red (Cat. No. 72485,
197 Sigma, USA) solution in acetone (1 mg/ml) was added to the cell suspensions (1/10 vol/vol).
198 The mixtures were incubated at room temperature for 1 hour to stain and identify lipids. After
199 washing with saline, cells were resuspended to OD₆₀₀ 20 in 50 mM potassium hydrogen
200 phthalate buffer and immobilized using low melting-point agarose (Fisher Scientific,
201 Hampton, NH) on a Fluorodish™ (World Precision Instruments, USA) for viewing. Stained
202 cells were viewed and photographed with a confocal microscope (Zeiss LSM 700, Carl Zeiss
203 AG, Oberkochen, Germany) using an oil immersion objective (63×) at 633 nm radiation.

204

205 **Real-time qPCR Quantification of mRNA.** We conducted real-time qPCR analysis to
206 investigate the expression levels of related genes. Total RNA was extracted and purified
207 using MasterPure™ Yeast RNA Purification Kit (Epicentre, USA) following the attached
208 protocol. RNA was reverse transcribed to cDNA using a cDNA synthesis kit (iScript™, Bio-
209 Rad, Canada). Real-time qPCR was performed in a LightCycler® 480 Real Time PCR

210 system (Roche, Swiss) using SsoAdvanced™ Universal SYBR® Green Supermix (Bio-Rad,
211 Canada) and qPCR amplicon primers (**Table S2**). The housekeeping gene *ACT1* was used as
212 the control. Relative gene expression of xylose condition *versus* glucose condition was
213 calculated using the $2^{-\Delta\Delta C_t}$ method and presented as fold change (Livak & Schmittgen, 2001).

214

215 RESULTS

216 **Construction of a Xylose-fermenting, β -carotene-producing *S. cerevisiae*.** We engineered
217 the GRAS yeast *S. cerevisiae* to functionally express the heterologous β -carotene synthetic
218 pathway and produce β -carotene from xylose as well as glucose (**Fig. 1**, **Fig. 2**). Specifically,
219 *crtE*, *crtI*, *crtYB* genes from *X. dendrorhous* coding for GGPP synthase, phytoene desaturase,
220 phytoene synthase and lycopene cyclase, respectively, in the β -carotene biosynthesis pathway
221 were integrated into the genome of the *S. cerevisiae* SR8, previously engineered to ferment
222 xylose (Kim et al., 2013). The resulted strain was named as the SR8B strain.

223

224 **Comparison of β -carotene Production Patterns on Glucose and Xylose.** When xylose was
225 used as a carbon source, we observed the SR8B cell cultures always exhibited an intense
226 orange color while those using glucose appeared as light yellow (**Fig. 1B**). We suspected that
227 carotenoids other than β -carotene might be produced and the composition of carotenoids in
228 the cells grown on glucose and xylose might be different. To identify the carotenoids
229 composition produced by engineered yeast cells grown on different carbon sources, cells
230 cultured either on glucose or on xylose were harvested for carotenoids extraction and HPLC
231 analysis. β -carotene was the predominant carotenoid produced on both conditions according
232 to the chromatographs (**Fig. 2**), while the β -carotene peak in the chromatograph of xylose

233 culture showed much higher intensity than that of glucose culture (**Fig. 2**). The intermediates
234 phytoene and lycopene also accumulated in xylose cultures, while only phytoene was
235 detected in glucose condition with a lower peak intensity (**Fig. 2**).

236 The engineered SR8B strain was cultured aerobically on glucose and xylose to
237 compare differences in fermentation profiles and β -carotene production patterns. When
238 cultured on glucose, the engineered strain fermented glucose into a large amount of ethanol,
239 and then started to reassimilate ethanol as carbon source (**Fig. 3A**). In contrast, when cultured
240 on xylose, the strain consumed xylose slower with negligible amounts of ethanol production
241 but showed a higher cell mass titer and more glycerol accumulation (**Fig. 3B**). In terms of β -
242 carotene production, a rapid fermentation of glucose, and subsequent ethanol consumption
243 led to much less production of β -carotene as compared to xylose culture where xylose was
244 consumed steadily with little ethanol production (**Fig. 3C**, **Fig. 3D**). As a result, the SR8B
245 strain accumulated β -carotene intracellularly with a specific content of 13.7 mg/g DCW and a
246 volumetric titer of 96.4 mg/L from 40 g/L xylose. These are approximately two-fold and
247 three-fold higher than 3.9 mg/g DCW and 24.2 mg/L of β -carotene produced from 42 g/L
248 glucose (**Fig. 3C**, **Fig. 3D**).

249

250 **Effect of *tHMG1* Overexpression on β -carotene Production using Xylose as a Carbon**
251 **Source.** To further enhance β -carotene production by engineered strain from xylose, HMG-
252 CoA reductase, a well-known rate-controlling enzyme in the MVA pathway, was selected as
253 the manipulation target (**Fig. 1A**). Specifically, an expression cassette containing a truncated
254 *HMG1* (*tHMG1*) coding for the catalytic domain of HMG-CoA reductase under the control of
255 *TDH3* promoter was integrated into the genome of the SR8B strain using Cas9-based genome
256 editing. The resulting *tHMG1* overexpressing strain, named as the SR8BH strain, showed
257 lower cell mass titers as compared to the SR8B strain on both glucose and xylose condition

258 (Fig. S4). As expected, the SR8BH strain accumulated more β -carotene (6.5 mg β -carotene/g
259 DCW) than the SR8B strain (3.9 mg β -carotene/g DCW) when glucose was used as a carbon
260 source (Fig. 4). While, interestingly, under xylose fermentation, no improvement on β -
261 carotene production was observed in the SR8BH strain (12.0 mg β -carotene/g DCW)
262 compared to the SR8B strain (13.7 mg β -carotene/g DCW) (Fig. 4).

263

264 **Effect of Xylose Utilization on the Production of Ergosterol and Lipids.** In order to
265 examine broader impacts of using xylose as a carbon source on other cytosolic acetyl-CoA
266 derived products, cells were taken at the end of fermentation of the SR8B strain for ergosterol
267 and lipid analysis. The SR8B strain accumulated ergosterol with a specific content of $17.0 \pm$
268 0.6 mg/g DCW from xylose which was 28% more than using glucose, and a higher
269 improvement of 69% in volumetric titer was observed due to the improved cell mass titer in
270 xylose fermentation (Fig 5A).

271 To investigate the effect of xylose utilization on lipids production by the SR8 strain,
272 cells harvested from glucose and xylose cultures were stained and visualized under a confocal
273 microscope. The cells grown on xylose were found to accumulate more lipid bodies (LB)
274 with larger size than cells grown on glucose, leading to a bigger portion of the stained LB to
275 the cell area (Fig. 5B). The enhanced LB formation suggested a greater lipids production
276 capacity of engineered yeast on xylose fermentation, and this was confirmed by the lipids
277 weight analysis. The engineered SR8B strain produced lipids through xylose utilization with
278 a specific content 58% higher than glucose utilization (43.1 vs. 27.2 mg lipids/g DCW) (Fig.
279 S5).

280

281 **Comparison of Transcription Profiles of the Genes involved in β -carotene Biosynthesis**
282 **in Engineered Yeast on Glucose and Xylose.** To further reveal the potential mechanisms of

improved production by xylose utilization, transcriptional analysis was carried out on genes related to cytosolic PDH bypass (*ACS1* and *ACS2*), lipid biosynthesis pathway (*ACCI*), MVA pathway (*ERG10*, *ERG13*, *HMG1*, *HMG2*, *ERG12*, *ERG8*, *ERG19*, *IDII*, and *ERG20*) and ergosterol pathway (*ERG9*) (Fig. 1C). Cells for mRNA extraction and quantification were taken at the exponential phase of glucose and xylose fermentation by the SR8B strain, which is 19 hours and 31 hours, respectively (Fig. S3). While most of the 13 genes studied did not show significant difference in transcriptional levels between glucose and xylose culture conditions, the expression levels of gene *ACS1* coding for acetyl-CoA synthase and gene *HMG1* coding for HMG-CoA reductase increased significantly in response to xylose substitution, where 2.7 ± 0.1 and 2.2 ± 0.2 -fold differences were observed, respectively.

293

294 Xylose Fed-batch Fermentation for the Production of β -carotene. The capacity of β -carotene production from xylose by the engineered strain SR8B was assessed in a 3-liter bioreactor via a fed-batch fermentation. Cells were inoculated at an initial cell density of $OD_{600} = 3.1$, and cultured with 87 g/L of xylose (Fig. 6). Upon the depletion of initially added xylose, additional xylose was provided to reach a concentration of 40 ± 5 g/L of xylose. The feeding was repeated seven times until the β -carotene titer was saturated. Despite the presence of high concentrations of xylose in the medium, ethanol accumulation was negligible during the fed-batch culture. Finally, cell density reached to OD_{600} of 165.9 (68.0 g DCW/L) and 772.8 mg/L of β -carotene was produced with a productivity of 5.4 mg/L/h (Fig. 6). The final β -carotene yield was 2.2 mg β -carotene/g xylose and specific content was 11.4 mg β -carotene/g DCW. In addition, a large amount of glycerol (30.1 g/L) and acetate (22.5 g/L) were accumulated at the end of fermentation.

306

307 **DISCUSSION**

308 Over the past 20 years, researchers have made great efforts in enabling efficient
309 ethanol production from anaerobic fermentation of xylose, the second most abundant and
310 inedible sugar component of lignocellulose biomass, in engineered yeast as an important step
311 towards a robust second-generation biofuels industry (Yong-Su Jin, Lee, Choi, Ryu, & Seo,
312 2000; Kim et al., 2013). Recently, production of high-value metabolites, such as astaxanthin
313 (Montanti, Nghiem, & Johnston, 2011), protopanaxadiol (Gao, Caiyin, Zhao, Wu, & Lu,
314 2018), squalene, and amorphadiene (Kwak et al., 2017), from aerobic xylose fermentation by
315 engineered yeast has gained increasing interest due to the respiratory traits of xylose
316 metabolism and the attracting economic profitability of biomass conversion (Kwak et al.,
317 2019).

318 For the first time, we engineered a yeast *S. cerevisiae* to produce β -carotene from
319 xylose. As compared to the conventional sugar glucose, xylose exhibited superior traits as a
320 carbon source for the production of β -carotene in engineered *S. cerevisiae*. When cultured on
321 xylose under aerobic conditions, the engineered strain SR8B produced remarkably less
322 ethanol as compared when glucose was used as a carbon source (Fig. 3). This is attributed to
323 the dysregulation effect of xylose on the glucose-dependent repression of the respiratory
324 metabolism (Y.-S. Jin et al., 2004; Matsushika et al., 2014). As such, the engineered yeast
325 produced β -carotene at a much higher yield from xylose (2.4 mg β -carotene /g xylose) than
326 from glucose (0.4 mg β -carotene/ g glucose). As the xylose consumption was slower than
327 glucose, the glucose cultures were extended to the ethanol consumption phase for a fair
328 comparison. Nevertheless, the net production of β -carotene from sequential utilization of
329 glucose and ethanol was still much lower than that from xylose culture regarding both
330 volumetric titer and specific content (Fig. 3). In addition, a lower cell density was observed
331 on glucose condition as compared to a corresponding xylose condition. This might be
332 associated with the energetically high-cost conversion of ethanol into cytosolic acetyl-CoA in

333 *S. cerevisiae* which restricts the yield of biomass or products that require ATP (Kok et al.,
334 2012). The higher yield of cell biomass from xylose is another contributory factor of the
335 enhanced β -carotene titer as cell concentration is important for the volumetric titers of
336 intracellular metabolites.

337 Overexpression of *tHMG1* was critical to high-level production of β -carotene and
338 other isoprenoids by engineered yeast, as described in previous reports (Verwaal et al., 2007;
339 Xie et al., 2014). As such, we overexpressed *tHMG1* in the SR8B strain to further increase β -
340 carotene production on xylose cultures. As expected, the newly constructed strain SR8BH
341 produced β -carotene with a higher specific content than the SR8B strain while cultured on
342 glucose (Fig. 4). However, *tHMG1* overexpression did not result in any improvement of β -
343 carotene production from xylose (Fig. 4). More interestingly, the beneficial effects of using
344 xylose instead of glucose as a carbon source on β -carotene production (a 254% improvement
345 in β -carotene specific content by SR8B strain) appeared to be much stronger than that of
346 *tHMG1* overexpression on glucose condition (a 67% improvement in β -carotene specific
347 content by SR8BH strain as compared to SR8B strain). These results suggested that using
348 xylose as a carbon source in substitution for glucose is an effective strategy to increase β -
349 carotene production in *S. cerevisiae* without *tHMG1* overexpression and other genetic
350 manipulations.

351 The higher production of β -carotene and accumulation of intermediates (phytoene and
352 lycopene) (Fig. 2, Fig. 3) suggested a better supply of precursors for the carotenogenic
353 pathway when xylose was used as a carbon source as compared to glucose (Verwaal et al.,
354 2007). To investigate the effects of xylose utilization on metabolic flux related to β -carotene
355 biosynthesis, the accumulation of endogenous ergosterol and lipids was monitored as their
356 biosynthesis competes with the carotenogenic pathway for common precursors farnesyl
357 pyrophosphate (FPP) and cytosolic acetyl-CoA, respectively (Fig. 1A). We observed that the

358 engineered strain produced more ergosterol on xylose cultures as compared to glucose
359 cultures (**Fig. 5A**). This might be attributed to a stronger metabolic flux through the MVA
360 pathway that provides sufficient supply of FPP. Alternatively, the use of xylose as a carbon
361 source under aerobic conditions might have stimulated the transcription of genes in sterol
362 biosynthesis pathway. In addition to the improved ergosterol accumulation, cells grown on
363 xylose were found to accumulate more lipids as compared to those grown on glucose (**Fig.**
364 **5B, Fig. S5**), which could possibly be interpreted as a result of increased cytosolic acetyl-
365 CoA availability. Moreover, the increased lipids content might have promoted the
366 accumulation of β -carotene as well as ergosterol by expanding cell-storage capacity for
367 lipophilic end products (Kwak et al., 2019; Ma et al., 2019; Sorger, Athenstaedt, Hrastnik, &
368 Daum, 2004). These results would also provide clues to sterols and lipids biosynthesis from
369 cellulosic biomass.

370 Previous reports demonstrated that xylose utilization in engineered *S. cerevisiae* leads
371 to distinct transcriptional patterns of genes involved in various metabolic pathways as
372 compared to glucose utilization (Y.-S. Jin et al., 2004; Kwak et al., 2017; Matsushika et al.,
373 2014). Thus, we investigated the effect of xylose on expression levels of genes related to
374 cytosolic PDH bypass, lipid synthesis, MVA pathway and ergosterol pathway via
375 comparative real-time qPCR. Among all the genes studied, *ACS1* and *HMG1* were highly
376 expressed when the cells were grown on xylose as compared to glucose, while others did not
377 show significant difference in expression levels (**Fig. 1C**). It is known that the transcription
378 of *ACS1* gene coding for acetyl-CoA synthase is subject to glucose repression (Berg et al.,
379 1996). Therefore, we reason that using xylose instead of glucose as carbon source leads to the
380 alleviation of the glucose-dependent repression on the transcription of *ACS1*, thus resulting in
381 greater abundance of cytosolic acetyl-CoA as building blocks for lipids, ergosterol and β -
382 carotene synthesis. As a key rate-limiting gene in the MVA pathway, *HMG1* was an essential

383 target for manipulation in order to overproduce terpenes and sterols in *S. cerevisiae*.
384 Overexpression of native or heterologous *HMG1* in engineered *S. cerevisiae* was shown to be
385 beneficial for β -carotene production in previous studies (Li, Sun, Li, & Zhang, 2013; Yan et
386 al., 2012). Accordingly, the improved transcriptional level of *HMG1* by xylose utilization
387 could have further promoted the conversion of the abundant cytosolic acetyl-CoA into FPP as
388 a precursor for β -carotene and ergosterol. This might be the reason why *tHMG1*
389 overexpression was neither necessary nor desirable for β -carotene overproduction while
390 xylose was used as a carbon source.

391 Owing to the peculiar physiologic characteristics of xylose fermentation, including
392 low ethanol production and high cell mass yield, a high cell density culture of the SR8B
393 strain was achieved through intermittent xylose feeding instead of further genetic
394 perturbations, or sophisticated feeding algorithms. Consequently, a final β -carotene titer of
395 772.8 mg/L was obtained (Fig. 6). This titer is even slightly higher than the best β -carotene
396 titer (750 mg/L) previously reported in engineered *S. cerevisiae* (López et al., 2019). In the
397 previous study, the researchers examined the highest-carotenoid-accumulating yeast strain
398 SM14 obtained from adaptive evolution experiments (Reyes, Gomez, & Kao, 2014) in
399 optimized glucose fed-batch cultures. However, the β -carotene productivity (5.0 mg/L/h) in
400 our study is substantially lower than that of the previous study (10.9 mg/L/h), suggesting a
401 large room for improvement. Besides, the final yield (2.2 mg β -carotene/g xylose) and
402 specific content (11.4 mg β -carotene/g DCW) of β -carotene was relatively lower than those
403 of the batch fermentation (2.4 mg β -carotene/g xylose & 13.7 mg β -carotene/g DCW,
404 respectively). This might be attributed to the large amount of glycerol and acetate
405 accumulation which consumed noticeable carbon sources and energy. The considerable
406 accumulation of glycerol and acetate indicates that the engineered yeast cells might suffer
407 from NADH/NAD⁺ redox and energy imbalance. Our previous studies also reported

408 substantial accumulation of glycerol in xylose fed-batch fermentations (Kwak et al., 2017; L.
409 Sun et al., 2019). The redox imbalance in xylose metabolism was known to be caused by the
410 different cofactor dependences of XR (xylose reductase) and XDH (xylitol dehydrogenase) in
411 xylose assimilation pathway (Kwak et al., 2019). Accordingly, strategies to eliminate the
412 glycerol and acetate accumulation, such as using a NADH-preferred
413 *Spathaspora passalidarum* Xyl1.2 in xylose assimilation pathway (Hou, 2012), replacing
414 native NADPH-specific *HMG1* into a NADH-specific *Silicibacter pomeroyi* *HMG1* in the
415 MVA pathway (Meadows et al., 2016), or rising the aeration by increasing the rate of
416 agitation and supply of air, could lead to a further enhanced capacity of β -carotene production
417 from xylose by our engineered yeast. Despite the highest titer by our engineered strain, the
418 relatively low productivity and yield might hamper economically feasible implementation of
419 β -carotene production from pure xylose. As such, we have been seeking to produce β -
420 carotene from xylose-enriched cellulosic hydrolysates (Cheng et al., 2020). In addition to the
421 above-mentioned optimizations, our efforts could lead to a sustainable and environmental-
422 friendly alternative to the current chemical synthesis routes for β -carotene production.

423 In conclusion, we constructed an engineered *S. cerevisiae* strain capable of producing
424 β -carotene from xylose—the second most abundant and non-edible sugar in nature. As
425 compared to the conventional sugar glucose, xylose displayed superior traits as a carbon
426 source for the production of β -carotene in engineered *S. cerevisiae*, including a lower ethanol
427 production, a higher cell mass yield, a larger cytosolic acetyl-CoA pool and up-regulated
428 expression levels of rate-limiting genes. Hence, high-level β -carotene production in
429 engineered *S. cerevisiae* was achieved in a fed-batch bioreactor simply through xylose
430 feeding instead of intensive genetic perturbations or culture optimization. Our findings
431 suggest xylose utilization is a promising strategy for overproduction of carotenoids and other
432 isoprenoids in engineered *S. cerevisiae*.

433

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444

445 **ASSOCIATED CONTENT**

446 **Supporting Information**

447 Table S1. Primers for strain construction. Table S2. Primers for qPCR amplicons. Fig. S1.
448 Standard curve for calculating β -carotene concentration. Fig. S2. Standard curve for
449 calculating ergosterol concentration. Fig. S3. Growth curves of SR8B strain on glucose and
450 xylose (lnX vs. t). Fig. S4. Batch fermentation profiles of engineered *S. cerevisiae* SR8BH on
451 glucose and xylose. Fig. S5. Lipids production by engineered SR8B strain through glucose
452 and xylose utilization.

453

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463

464 **Author Contributions**

465 Y.-S.J., L.S. developed the idea of this work. Y.-S.J., L.S. designed the experiments. L.S.

466 performed the experiments. L.S., C.A., Y.-G.L. and Y.-S.J. wrote the manuscript.

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475

476 **Notes**

477 The authors declare no competing financial interest.

478

479 **ABBREVIATIONS USED**

480 *tHMGR*, truncated 3-hydroxy-3-methylglutaryl-coenzyme A reductase gene; IPP, isopentenyl

481 diphosphate; FPP, farnesyl pyrophosphate; GGPP, geranylgeranyl pyrophosphate; MVA,

482 mevalonate; MEP, 2-C-methyl-D-erythritol-4-phosphate; GRAS, generally recognized as
483 safe; DCW, dry cell weight; LB, lipid body
484

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648

649 **Figure captions**

650

651 **Fig. 1.** Biosynthetic pathway of β -carotene (**A**) and transcriptional levels of related genes (**C**)
652 on glucose and xylose in engineered *S. cerevisiae*. A heterologous xylose assimilation
653 pathway containing xylose reductase (XR), xylitol dehydrogenase (XDH) and xylulokinase
654 (XK) is connected with lower glycolytic pathway by pentose phosphate pathway (PPP).
655 Pyruvate is produced from glucose and xylose and converted into cytosolic acetyl-CoA.
656 Yeast synthesizes farnesyl pyrophosphate from cytosolic acetyl-CoA through the mevalonate
657 pathway (MVA), as the common precursor for the biosynthesis of ergosterol and
658 heterologous β -carotene. HMG-CoA reductase (HMGR) is a key rate limiting enzyme in
659 MVA. Cytosolic acetyl-CoA is also the precursor for yeast lipids synthesis. G6P, glucose-6-
660 phosphate; F6P, fructose-6-phosphate; GAP, glyceraldehyde-3-phosphate; X5P, xylulose-5-
661 phosphate; IPP, isopentenyl pyrophosphate; DMAPP, dimethylallyl pyrophosphate; GPP,
662 geranyl pyrophosphate; FPP, farnesyl pyrophosphate; GGPP, geranylgeranyl pyrophosphate.
663 Pictures of glucose and xylose cultures of SR8B strain were taken at 30 hour (**B**). Samples
664 for transcriptional analysis were taken at exponential phase from each condition for RNA
665 extraction and expression level analysis. Fold changes were calculated by dividing genes
666 expression levels on xylose by those on glucose. Three biological replicates and three
667 technical replicates were performed for each gene, and the error bars represented standard
668 deviations.

669

670 **Fig. 2.** The overlaid HPLC chromatograms of carotenoids extracted from the engineered *S.*
671 *cerevisiae* SR8B cultured on glucose and xylose condition. Cells were cultured in a defined
672 medium containing either 40 g/L glucose or 40 g/L xylose from initial OD₆₀₀ 1. Same amount
673 of cells were harvested at 90 hour from each condition to extract the carotenoids for HPLC
674 chromatography.

675

676 **Fig. 3.** Batch fermentation profiles of the engineered *S. cerevisiae* SR8B on glucose (**A**) and
677 xylose (**B**) conditions and the corresponding β -carotene production patterns on glucose (**C**)
678 and xylose (**D**). Data are presented as mean values and standard deviations of three
679 independent biological replicates.

680

681 **Fig. 4.** Comparison of β -carotene production by the engineered SR8B and SR8BH strains
682 through glucose and xylose utilization. Cells were harvested at the end of fermentation for β -
683 carotene extraction and quantification. Data are presented as mean values and standard
684 deviations of three independent biological replicates

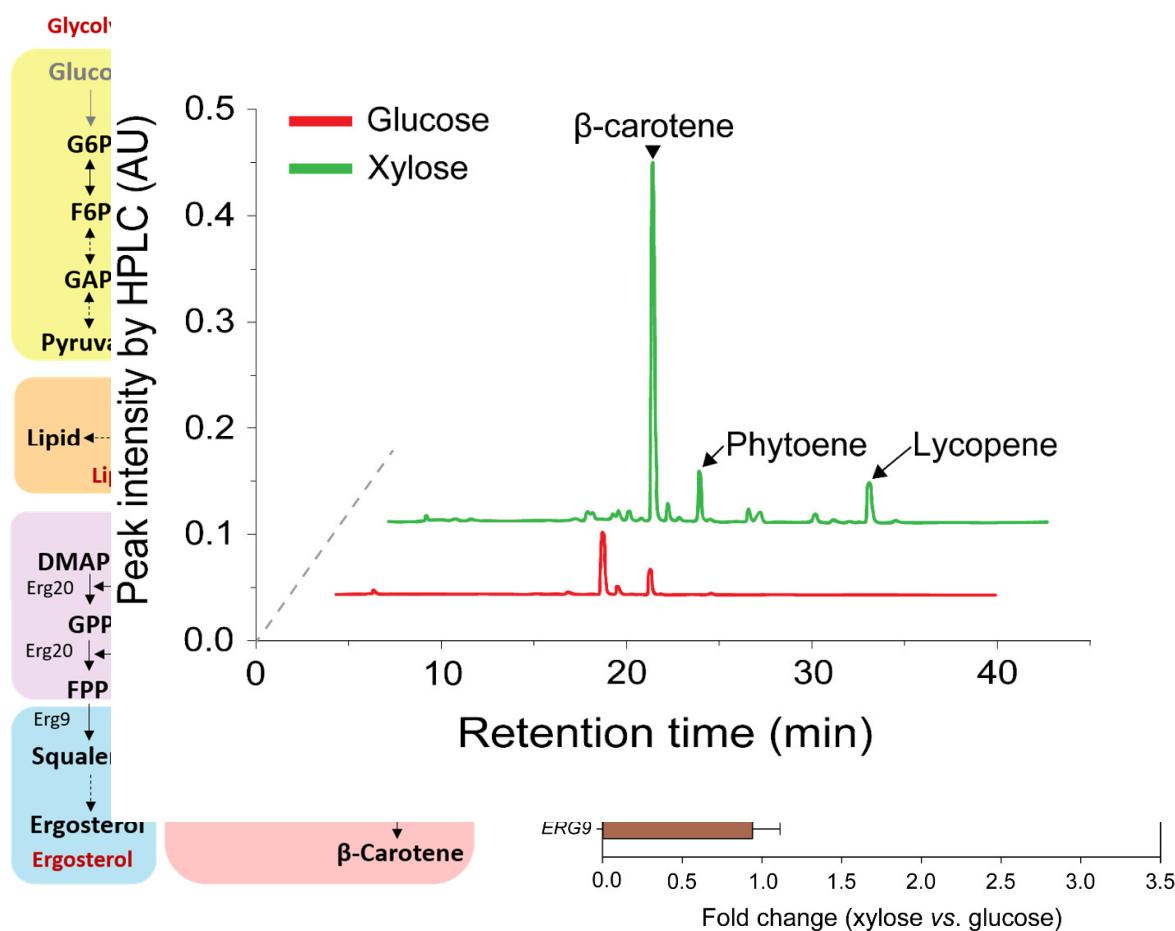
685

686 **Fig. 5.** Ergosterol production (**A**) and lipid bodies visualization (**B**) of the engineered SR8B
687 strain on glucose and xylose . Cells were harvested at the end of fermentation for ergosterol
688 extractiona and quantification. Cells for lipid bodies visualization were harvested at
689 exponential phase and stained with Nile Red fluorescent dye. The stained cells were then
690 viewd under a confocal microscope with 63 \times oil immersion objective at 633 nm.

691

692 **Fig. 6** Xylose feb-batch fermentation of the SR8B strain in a 3-litter bioreactor. The
693 bioreactor picture on the right was taken at 80 h.

694



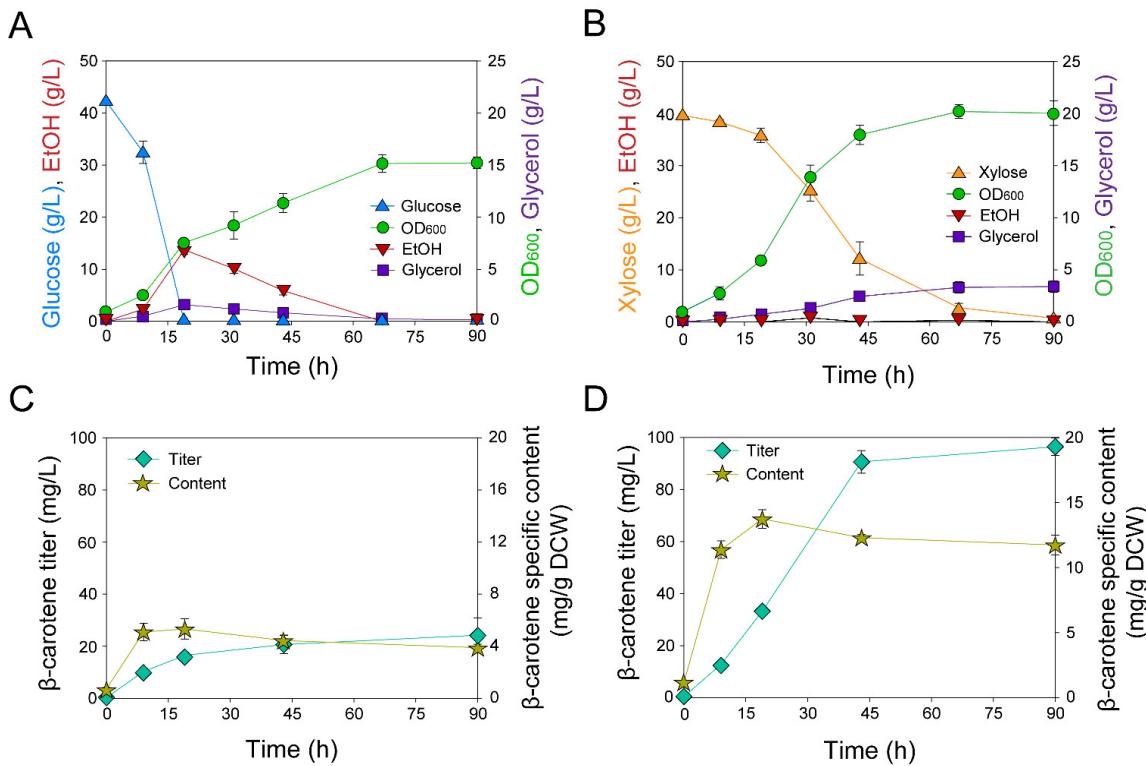
696

697 **Fig. 1A.** Biosynthetic pathway of β -carotene (A) and transcriptional levels of related genes (C) on
 698 glucose and xylose in engineered *S. cerevisiae*. A heterologous xylose assimilation pathway
 699 containing xylose reductase (XR), xylitol dehydrogenase (XDH) and xylulokinase (XK) is connected
 700 with lower glycolytic pathway by pentose phosphate pathway (PPP). Pyruvate is produced from
 701 glucose and xylose and converted into cytosolic acetyl-CoA. Yeast synthesizes farnesyl
 702 pyrophosphate from cytosolic acetyl-CoA through the mevalonate pathway (MVA), as the common
 703 precursor for the biosynthesis of ergosterol and heterologous β -carotene. HMG-CoA reductase
 704 (HMGR) is a key rate limiting enzyme in MVA. Cytosolic acetyl-CoA is also the precursor for yeast
 705 lipids synthesis. G6P, glucose-6-phosphate; F6P, fructose-6-phosphate; GAP, glyceraldehyde-3-
 706 phosphate; X5P, xylulose-5-phosphate; IPP, isopentenyl pyrophosphate; DMAP, dimethylallyl
 707 pyrophosphate; GPP, geranyl pyrophosphate; FPP, farnesyl pyrophosphate; GGPP, geranylgeranyl
 708 pyrophosphate. Pictures of glucose and xylose cultures of SR8B strain were taken at 30 hour (B).
 709 Samples for transcriptional analysis were taken at exponential phase from each condition for RNA
 710 extraction and expression level analysis. Fold changes were calculated by dividing genes expression
 711 levels on xylose by those on glucose. Three biological replicates and three technical replicates were
 712 performed for each gene, and the error bars represented standard deviations.

713 Fig. 2

714

715 **Fig. 2.** The overlaid HPLC chromatograms of carotenoids extracted from engineered *S. cerevisiae*
716 SR8B cultured on glucose and xylose condition. Cells were cultured in defined medium containing
717 either 40 g/L glucose or 40 g/L xylose from initial OD₆₀₀ 1. Same amount of cells were harvested at 90



718 hour from each condition to extract the carotenoids for HPLC chromatography.

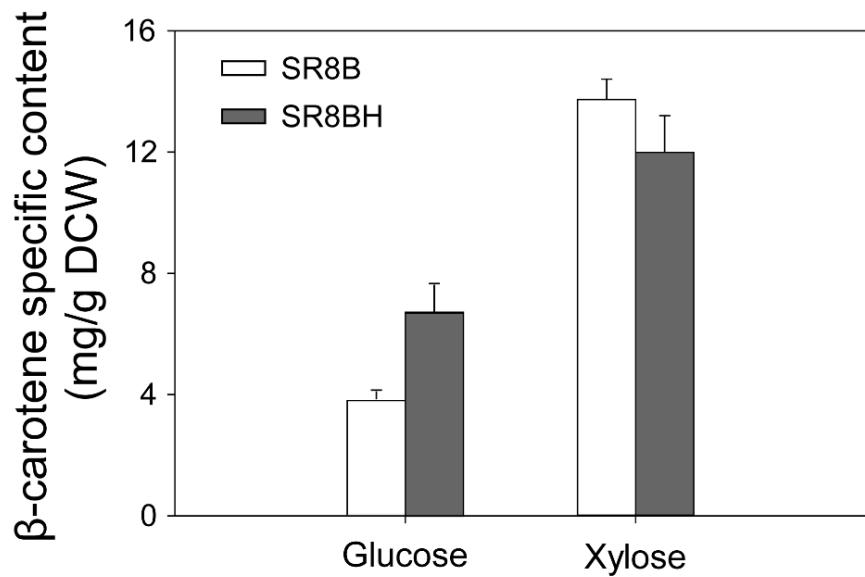
719

720 Fig. 3

721

722 **Fig. 3.** Batch fermentation profiles of engineered *S. cerevisiae* SR8B on glucose (**A**) and xylose (**B**)
723 conditions and the corresponding β -carotene production patterns on glucose (**C**) and xylose (**D**). Data
724 are presented as mean values and standard deviations of three independent biological replicates.

725

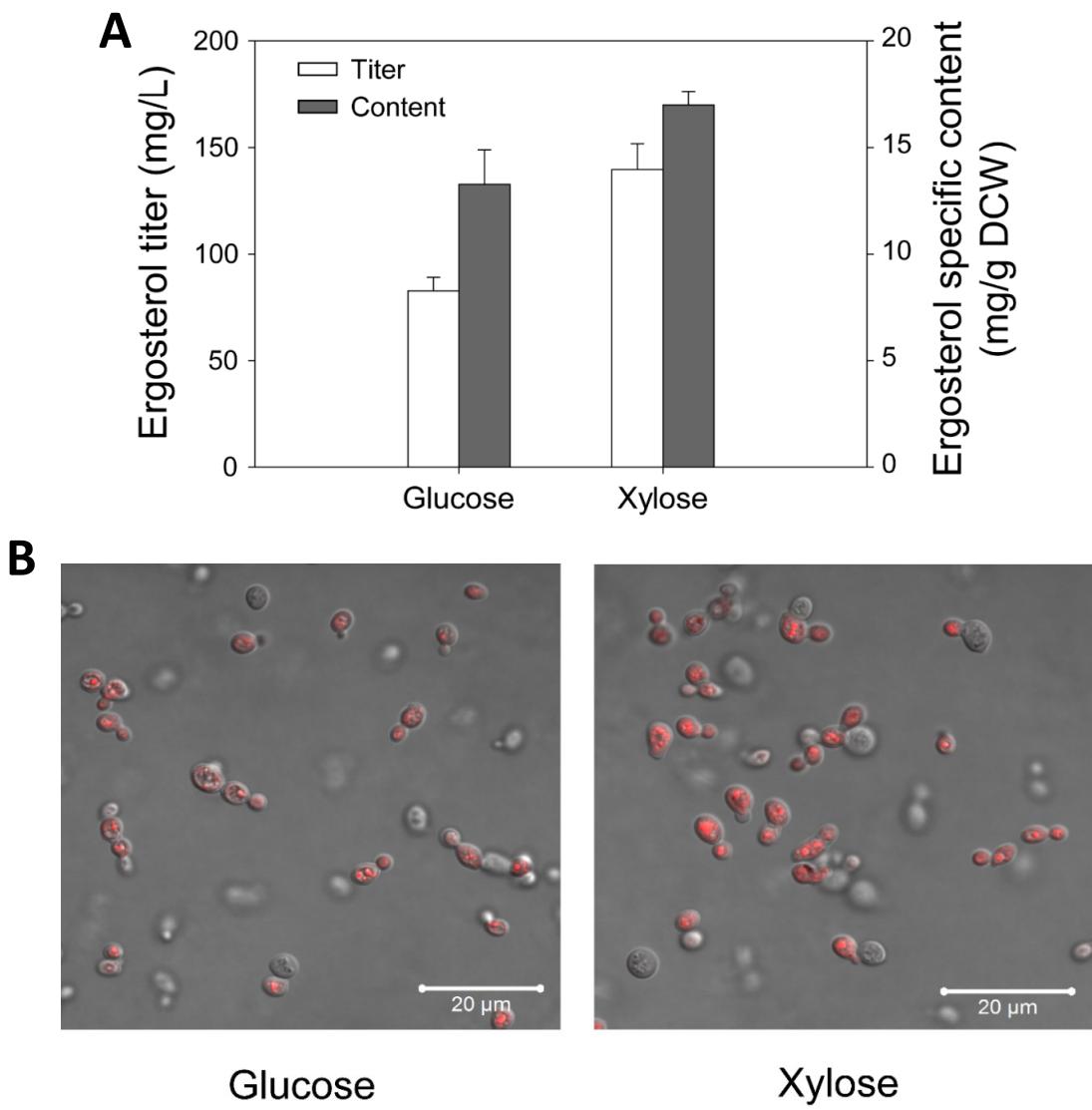


726 Fig. 4

727

728 **Fig. 4.** Comparison of β -carotene production by engineered SR8B and SR8BH strains through glucose
729 and xylose utilization. Cells were harvested at the end of fermentation for β -carotene extraction and
730 quantification. Data are presented as mean values and standard deviations of three independent
731 biological replicates

732

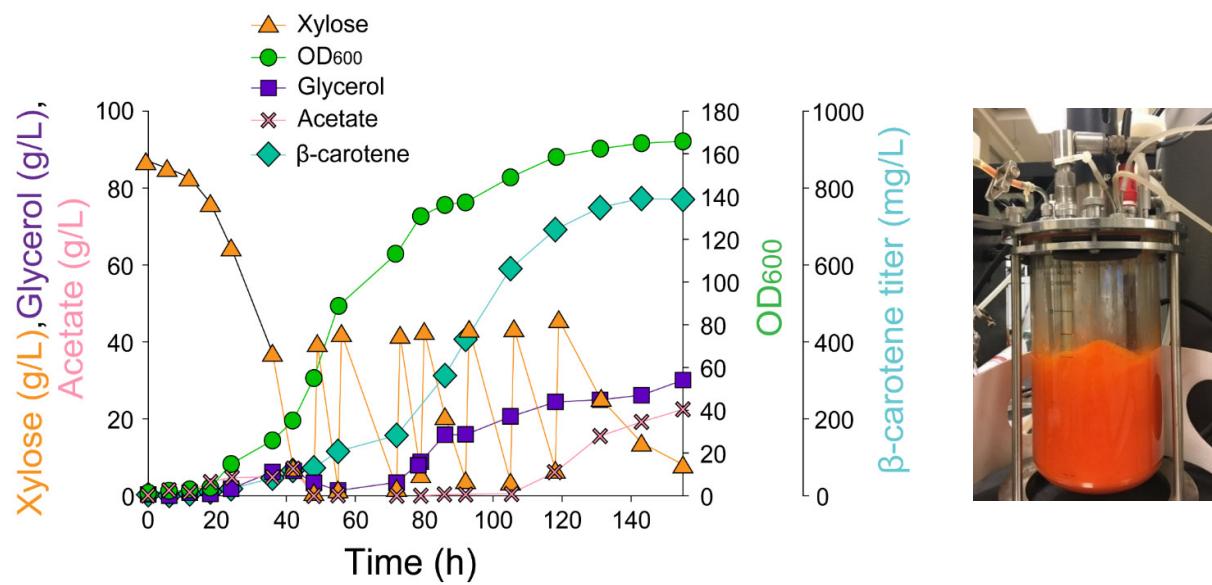


733 Fig. 5

734

735 **Fig. 5.** Ergosterol production (**A**) and lipid bodies visualization (**B**) of the engineered SR8B strain on
 736 glucose and xylose . Cells were harvested at the end of fermentation for ergosterol extractiona and
 737 quantification. Cells for lipid bodies visualization were harvested at exponential phase and stained
 738 with Nile Red fluorescent dye. The stained cells were then viewd under a confocal microscope with
 739 63 \times oil immersion objective at 633 nm.
 740

741 Fig. 6



742

743 **Fig. 6** Xylose fed-batch fermentation of the SR8B strain using Verduyn medium. The bioreactor
744 picture on the right was taken at 80 h.

745

746 **Table 1. Strains and plasmids used in this study.**

Name	Description	Source
Strains		
<i>E.coli</i> DH5 α	<i>F</i> -, ϕ 80d, <i>lacZΔM15</i> , <i>endA1</i> , <i>recA1</i> , <i>hsdR17</i> (<i>rK-mK</i>), <i>supE44</i> , <i>thi-1</i> , <i>gyrA96</i> , <i>relA1</i>	Invitrogen, CA
<i>S. cerevisiae</i> SR8	D452-2 expressing <i>XYL1</i> , <i>XYL2</i> , and <i>XKS1</i> through integration, evolutionary engineering in xylose-containing media, and <i>ALD6</i> deletion	(Kim et al., 2013)
<i>S. cerevisiae</i> SR8U-	SR8 with <i>URA3</i> disrupted as an auxotrophic marker	This study
<i>S. cerevisiae</i> SR8B	SR8U- <i>ura3</i> : <i>URA3</i> YIplac211YB/I/E*	This study
<i>S. cerevisiae</i> SR8BH	SR8B with integrated pTDH3-tHMG1-tCYC1 in the CS5 locus	This study
Plasmids		
YIplac211YB/I/E*	YIplac211 <i>TDH3p-crtYB-CYC1t</i> ; <i>TDH3p-crtI-CYC1t</i> ; <i>TDH3p-crtE*-CYC1t</i>	(Verwaal et al., 2007)
Cas9-NAT	Cas9 expression plasmid, NAT1 marker	(Zhang et al., 2014)

gRNA-ura-HYB *URA3* disruption gRNA cassette, HyB marker (Zhang et al., 2014)

pRS425TDH-tHMG1 pRS425 plasmid with pTDH3-tHMG1-tCYC1 cassette (Kwak et al., 2017)

pRS42H-CS5 pRS42H with gRNA cassette targeting the intergenic site CS5 (Kwak et al., 2017)
