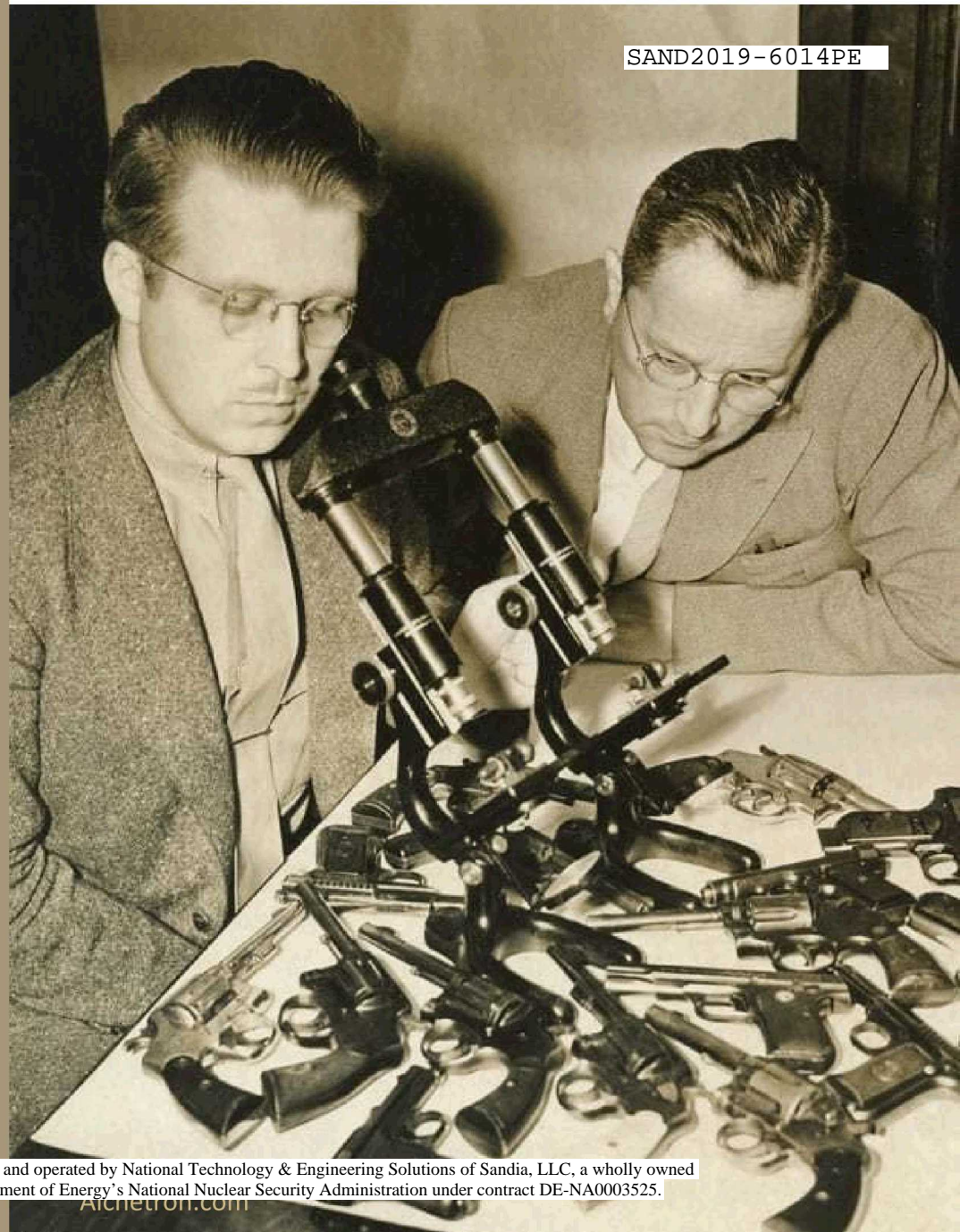
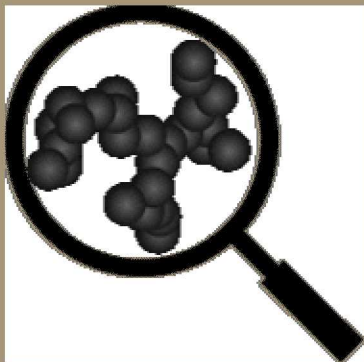


# Finding the Smoking Gun

## Solving the Mystery of Soot Formation



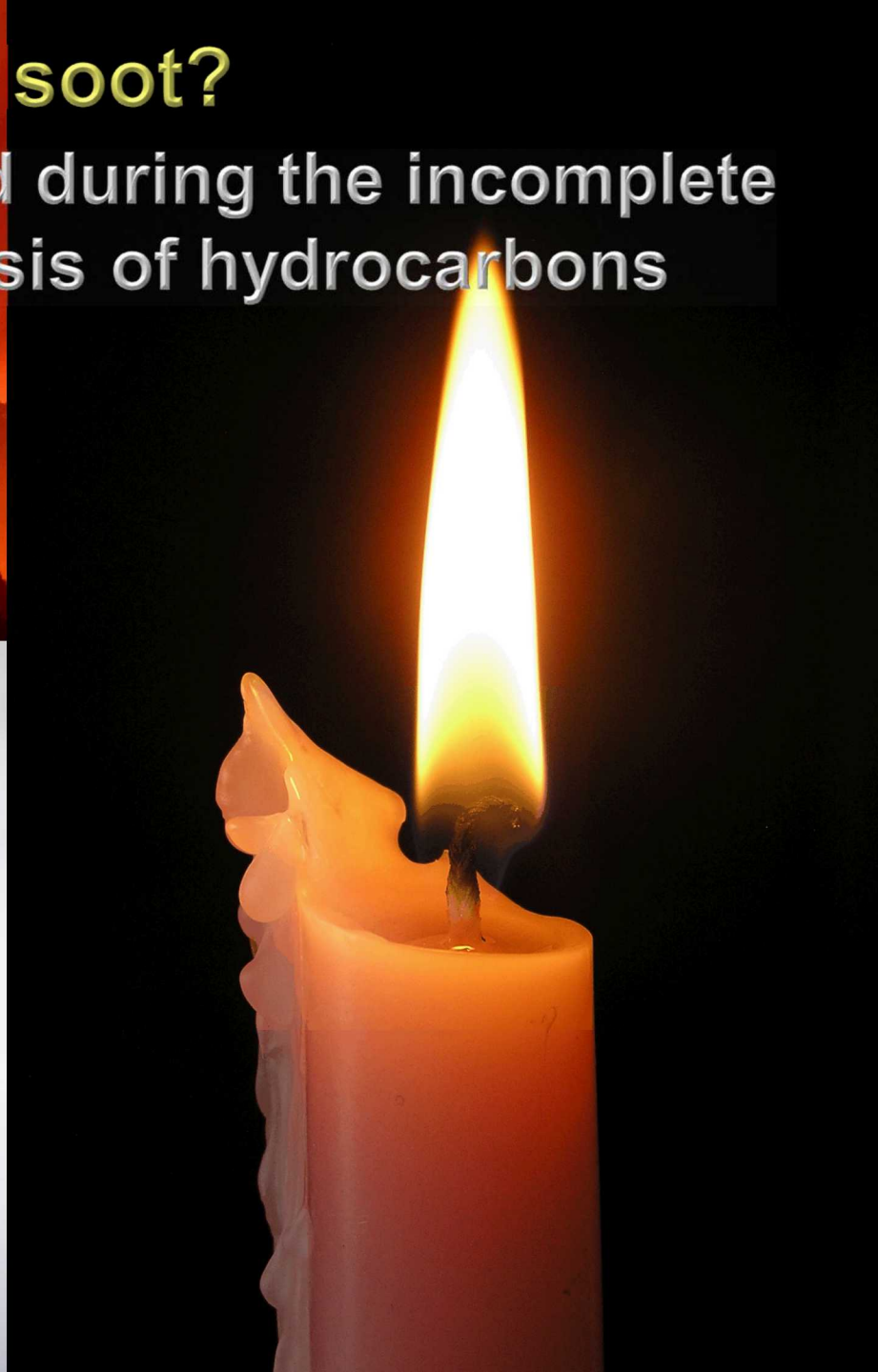
SAND2019-6014PE





# What is soot?

Particulate matter formed during the incomplete combustion or pyrolysis of hydrocarbons



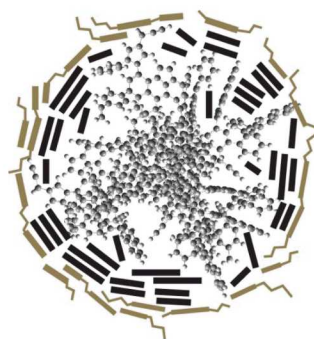
# What is Soot?

*Soot inception;  
Incipient soot  
formation*

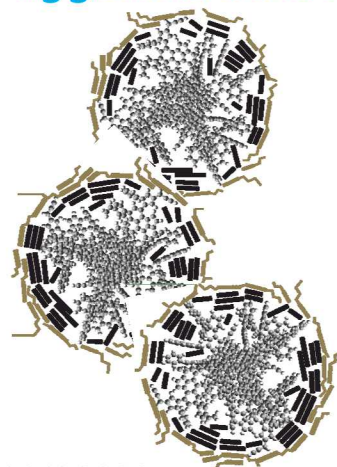


1-6 nm

*Coalescence,  
surface growth,  
graphitization*



*Primary-  
particle  
agglomeration*



*Surface growth,  
aggregation,  
graphitization*



10-50 nm  
100-600 nm

*Particle  
oxidation*



Size increases

Morphology changes

Liquid

Consistency changes

Refractory solid

1.5-2.5

C/H increases

10-20

Fine structure changes; long-range order increases

1.3

Density increases

1.8-1.9

Surface reactivity decreases

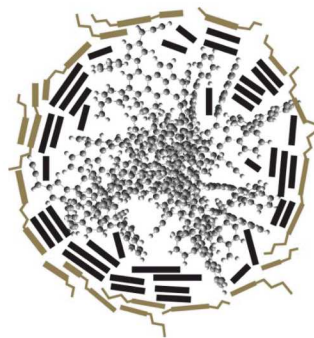
Optical properties change

# What is Soot?

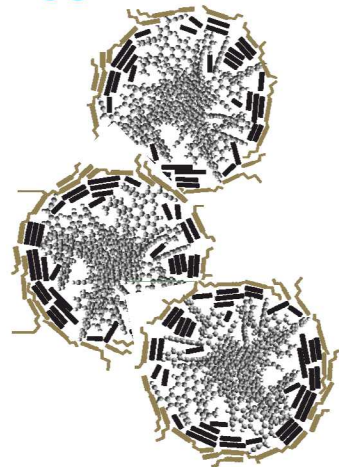
*Soot inception;  
Incipient soot  
formation*



*Coalescence,  
surface growth,  
graphitization*



*Primary-  
particle  
agglomeration*



*Surface growth,  
aggregation,  
graphitization*



*Particle  
oxidation*



Size

1-4 nm

7-10 nm

20 nm

10-50 nm  
100-600 nm

Timescale

1-3 ms

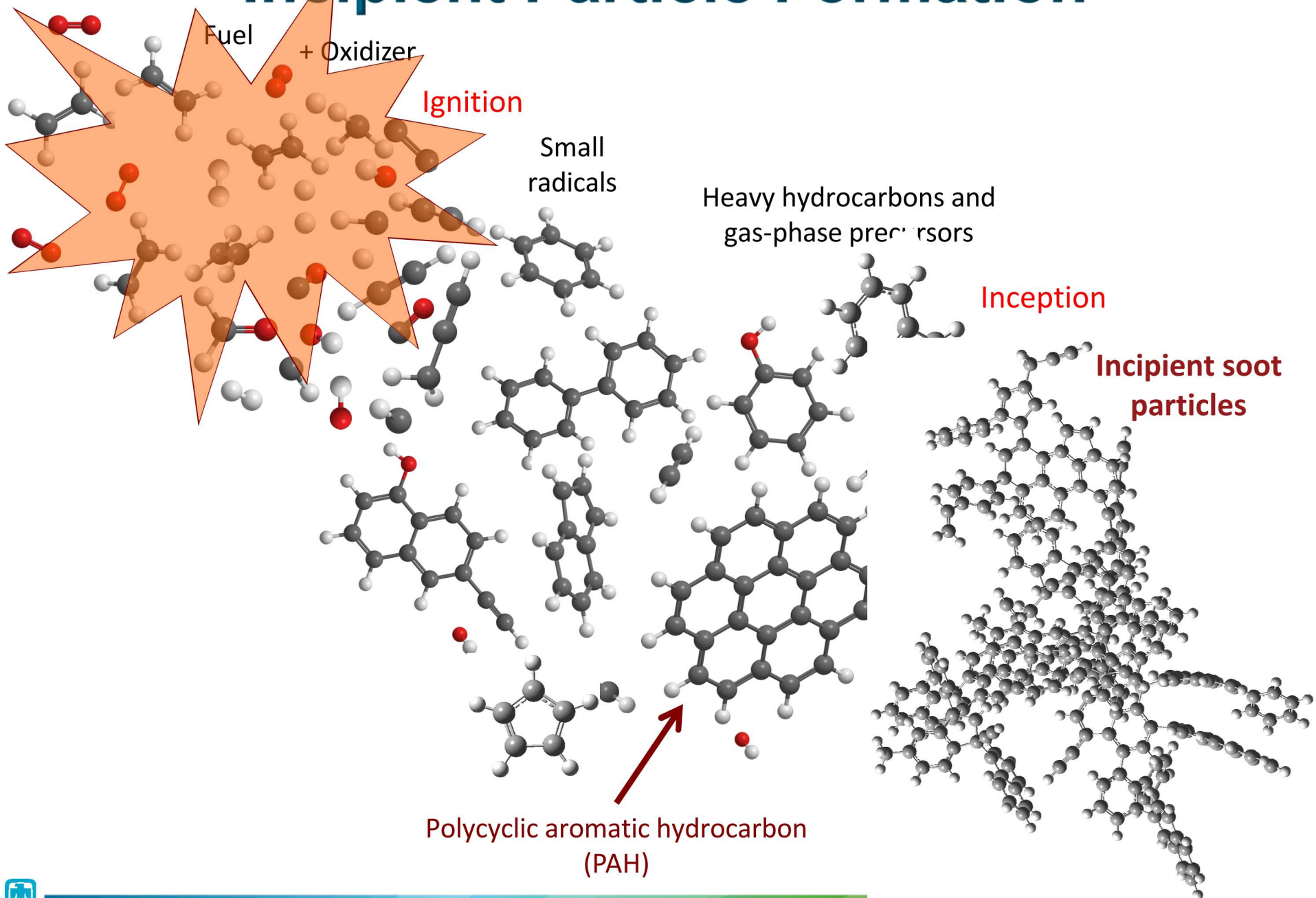
10 ms

30 ms

50 ms

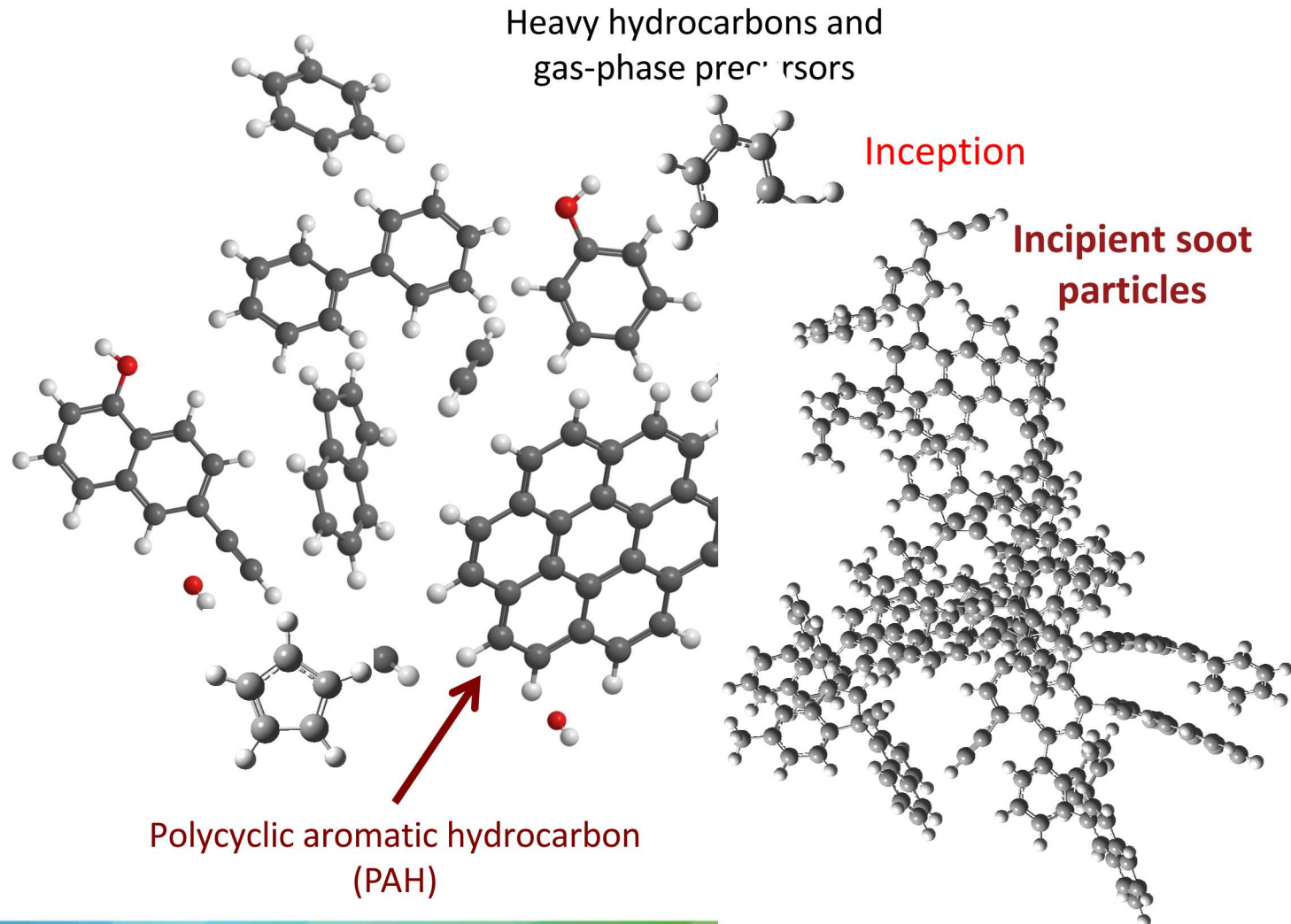


# Incipient Particle Formation



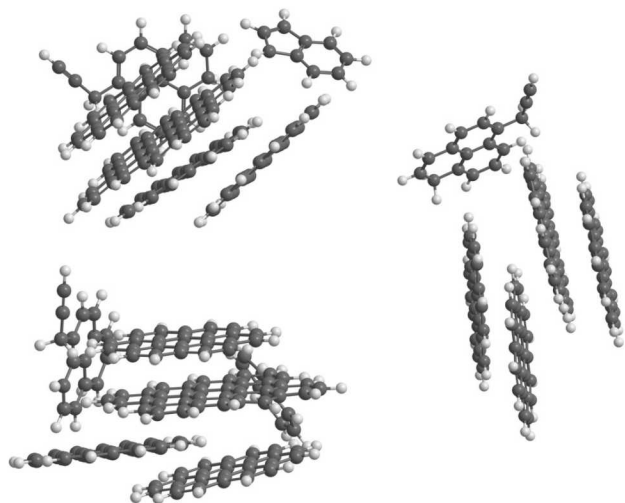
# What is Inception?

The transition from gas-phase species to condensed-phase species



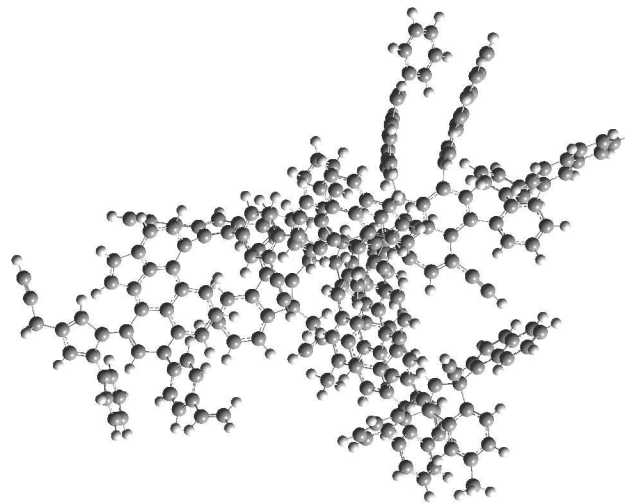
# Proposed Inception Mechanisms

## Thermodynamically driven



Nucleation of stable PAH species  
Bound by dispersion forces

## Kinetically controlled



Chemical reactions between precursors  
Clusters of aliphatic and aromatic content  
Covalently bound

Species available too small to condense  
at relevant temperatures (1400-1700K)

Reactions between stable precursors too slow



# What is Inception?

## The transition from gas-phase species to condensed-phase species

- **Physical characteristics of species change**
  - Spectroscopic properties
  - Thermodynamic properties: density, specific heat capacity
  - Morphology: from 2D to 3D
- **Average growth rate increases**
- **Distribution of growth over population changes**
  - Before inception, growth distributed over many species
  - During inception, growth centered on selected sites (growth centers)

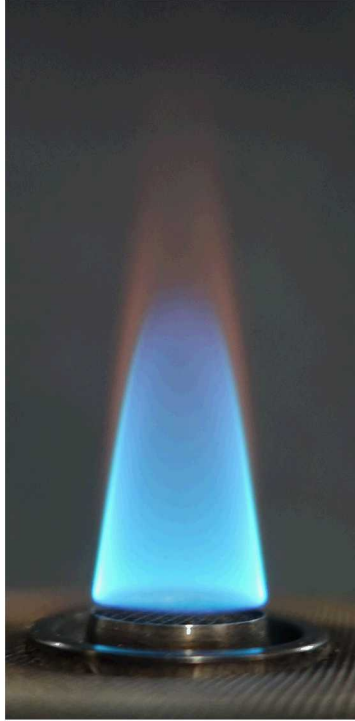


# Particle-Inception Studies

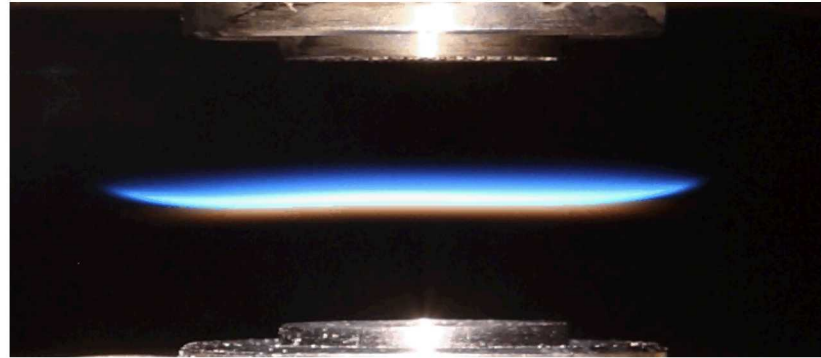
Co-flow  
diffusion flame



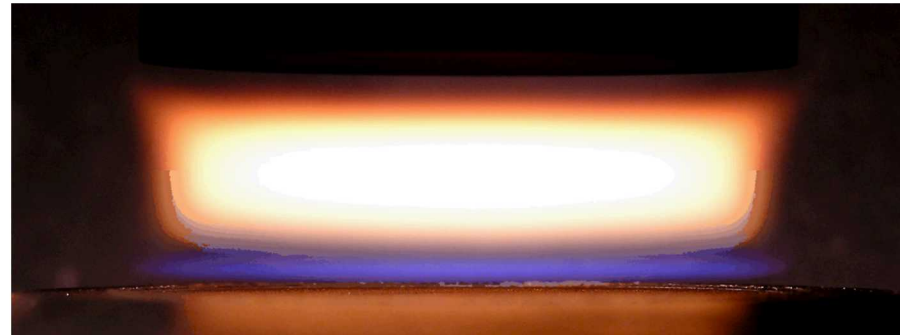
Inverse  
diffusion flame



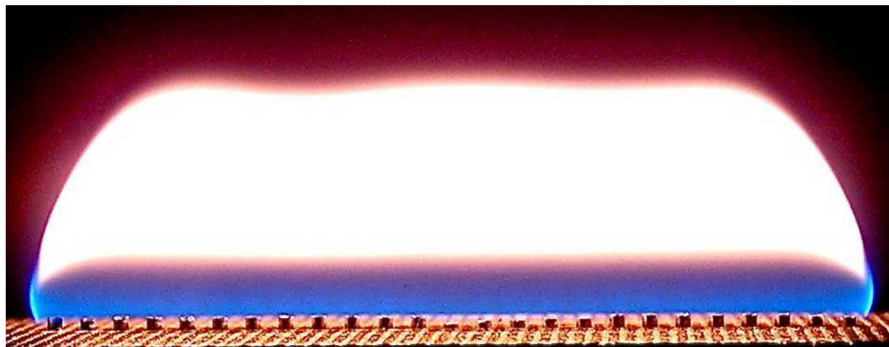
Counter-flow diffusion flame



Premixed flame



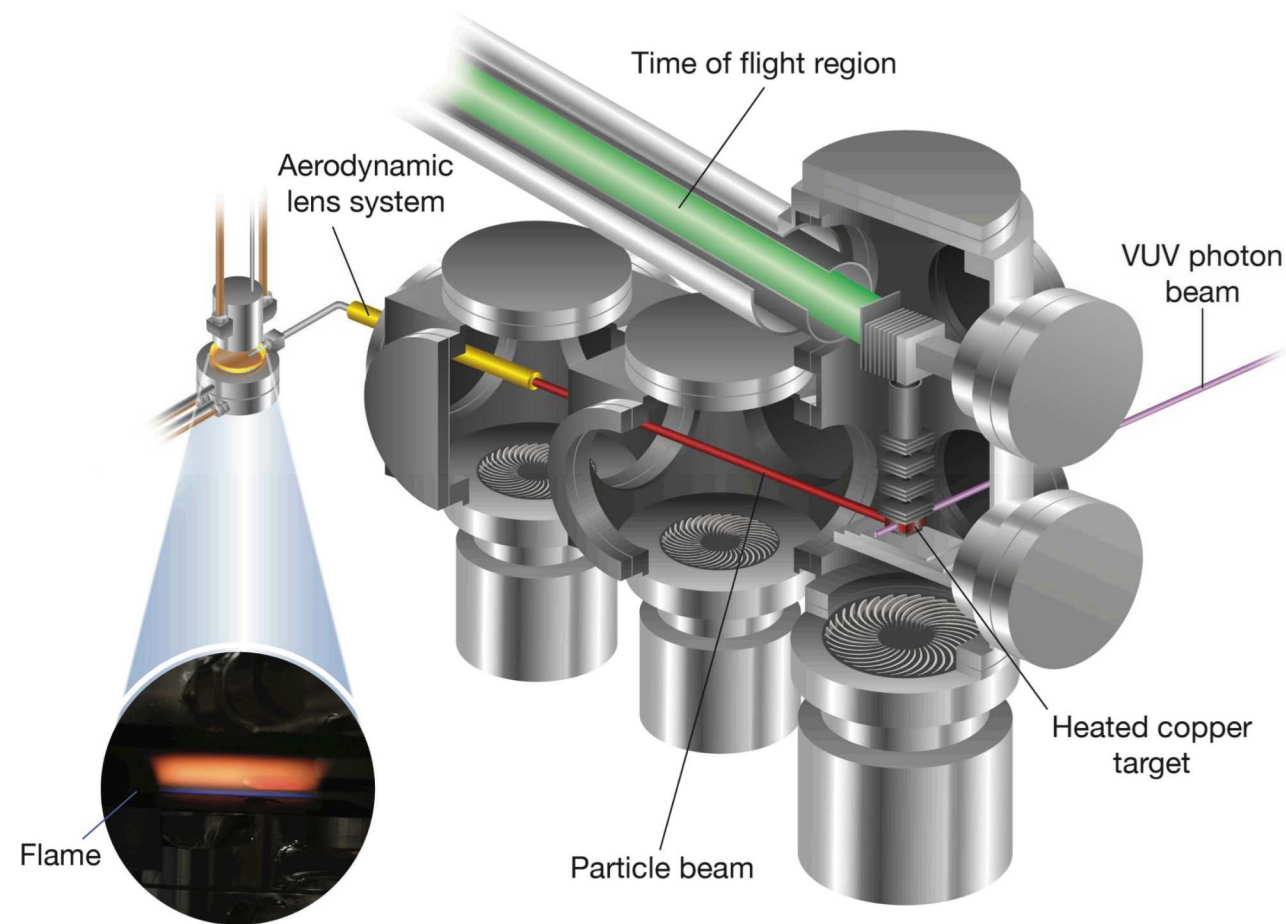
Partially premixed diffusion flame



# Incipient-Particle Composition

Aerosol Mass Spectrometry using VUV Photoionization (VUV-AMS)

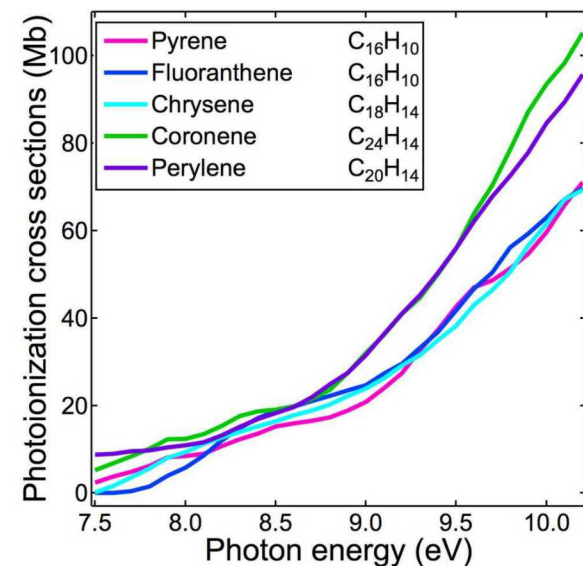
ALS BL 9.0.2



Our flame

Kevin Wilson's machine at the ALS

Tunable VUV

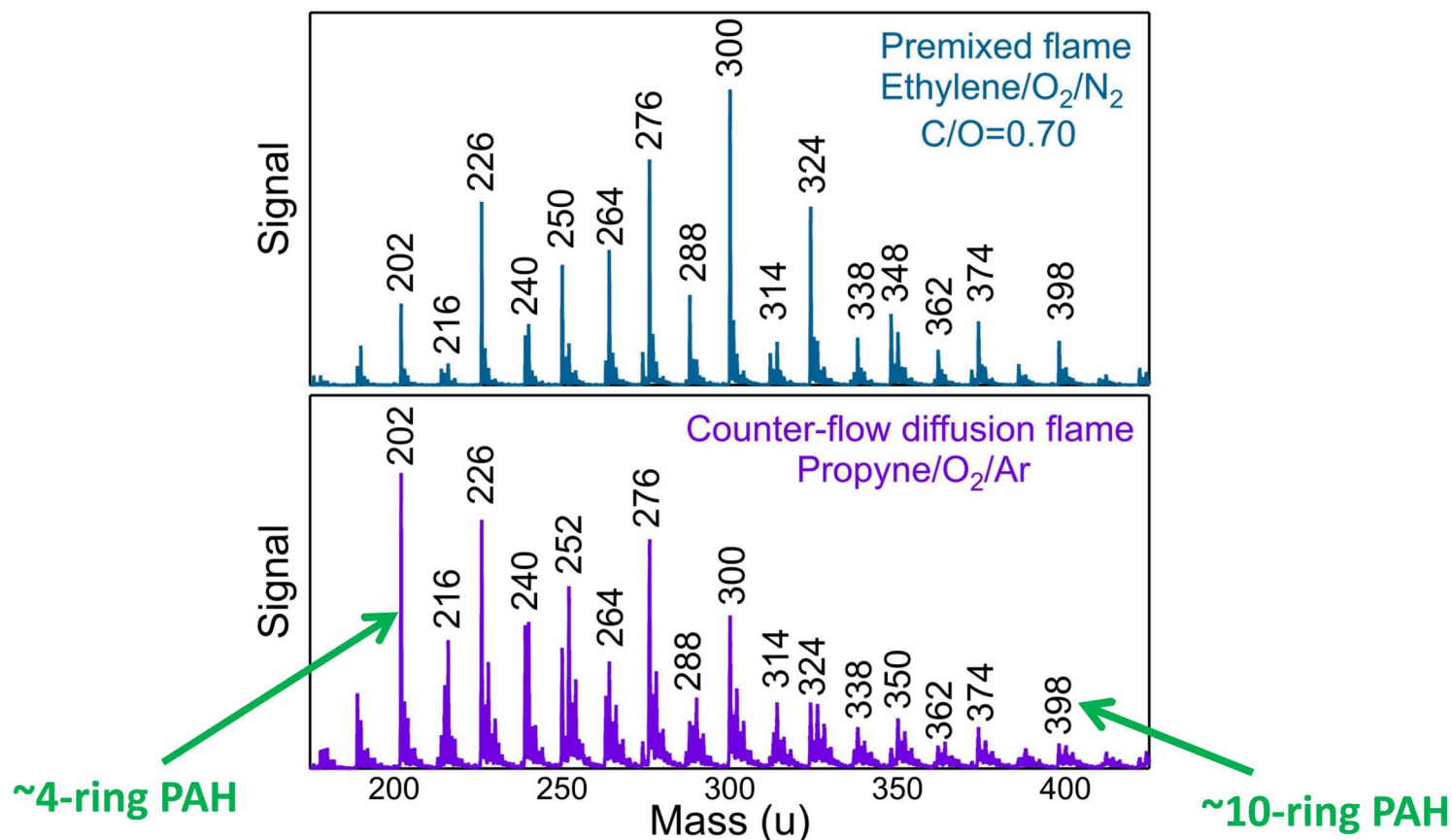




# Incipient-Particle Composition

Aerosol Mass Spectrometry using VUV Photoionization (VUV-AMS)

ALS BL 9.0.2



Johansson et al., *Proc. Combust. Inst.* 2017

# Incipient-Particle Composition

## Stabilomers

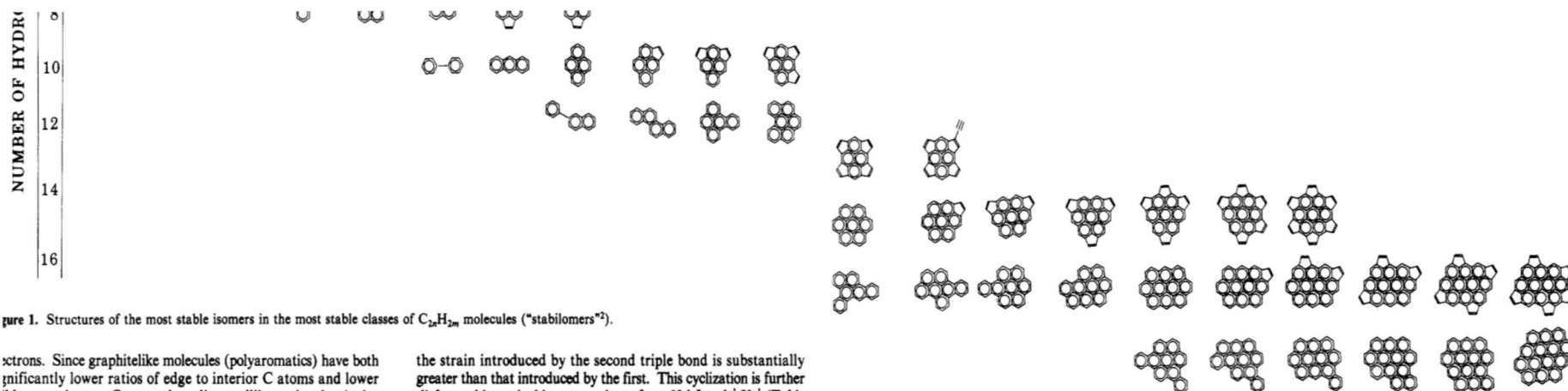


Figure 1. Structures of the most stable isomers in the most stable classes of  $C_{2n}H_{2m}$  molecules ("stabilomers").

electrons. Since graphitlike molecules (polyaromatics) have both significantly lower ratios of edge to interior C atoms and lower Gibbs energies per C atom than diamondlike molecules (polyamantanes) of comparable C number, the former molecules would always be more stable than the latter at high temperatures. However, the relative contribution of edge atoms to overall thermodynamic stability will decrease with increasing size.

$C_{2n}H_2$ . The most stable classes of moderately sized  $C_{2n}H_2$  molecules are expected to be the polyacetylenes. These are the simplest classes of molecules examined: only one polyacetylene

the strain introduced by the second triple bond is substantially greater than that introduced by the first. This cyclization is further disfavored by a sizable entropy loss of  $\sim -60 \text{ kJ mol}^{-1} \text{ K}^{-1}$  (Table I).

Very large, highly condensed polyaromatic  $C_{2n}H_2$  species, like  $C_{96}H_2$  (2800 K). Clearly, in the temperature range of interest the large  $C_{2n}$  molecules mentioned in the previous section, are expected to become increasingly stable with increasing size. In this work,  $n$  must be quite large before graphitlike molecules become more stable than polyacetylenes. On the other hand, Although any discussion of such species must be speculative, their general properties can be coarsely estimated by assigning unstable polyaromatic molecules larger than  $C_{54}H_2$  are certain to be more stable than polyacetylenes up to at least 1800 K.

$C_{2n}H_4$ . The most stable  $C_{2n}H_4$  molecules are acyclic and contain one double bond and  $n - 1$  triple bonds. Symmetry numbers of

have the same stability near 2700 K, or 400 K lower than the polyacetylenes of equal stability for individual molecules.

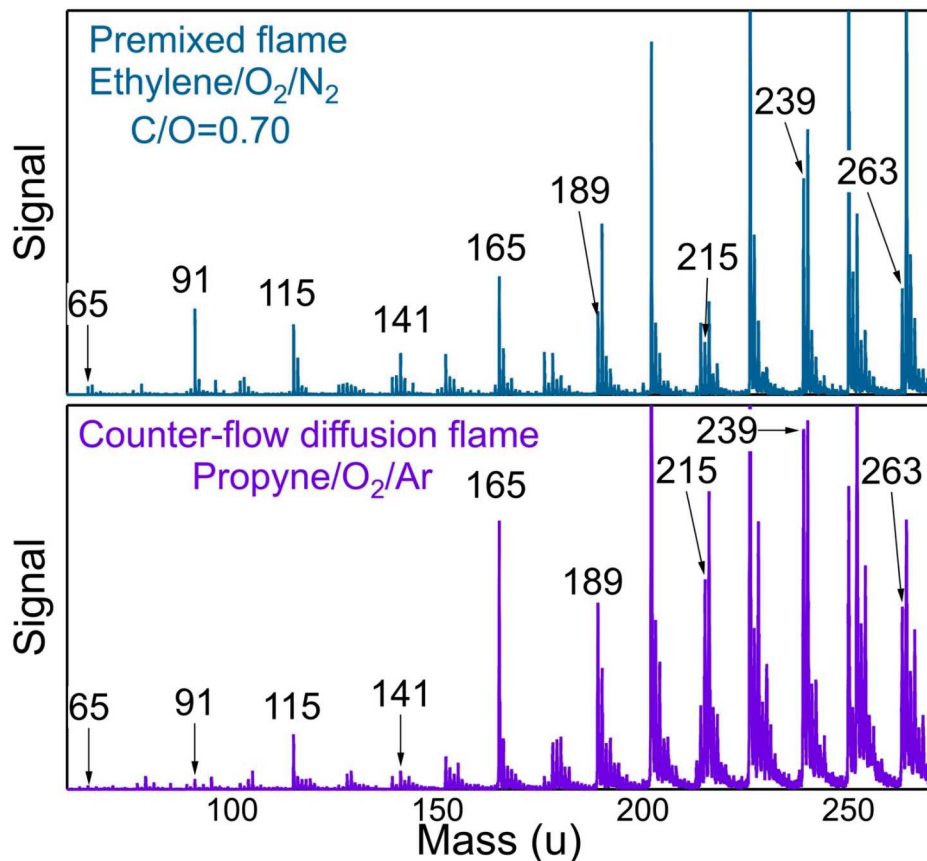
In view of its estimated  $120 \text{ kJ mol}^{-1}$  higher heat of formation (ignoring any antiaromaticity) and its lower entropy, cyclobutadiene is always far less stable than ethynylbenzene. The same argument applies to 1,3,5-cyclooctatriene-7-yne, whose heat of formation is  $\sim 170 \text{ kJ mol}^{-1}$  greater than that of ethynylbenzene even assuming no ring strain.

Stein, Fahr 1985

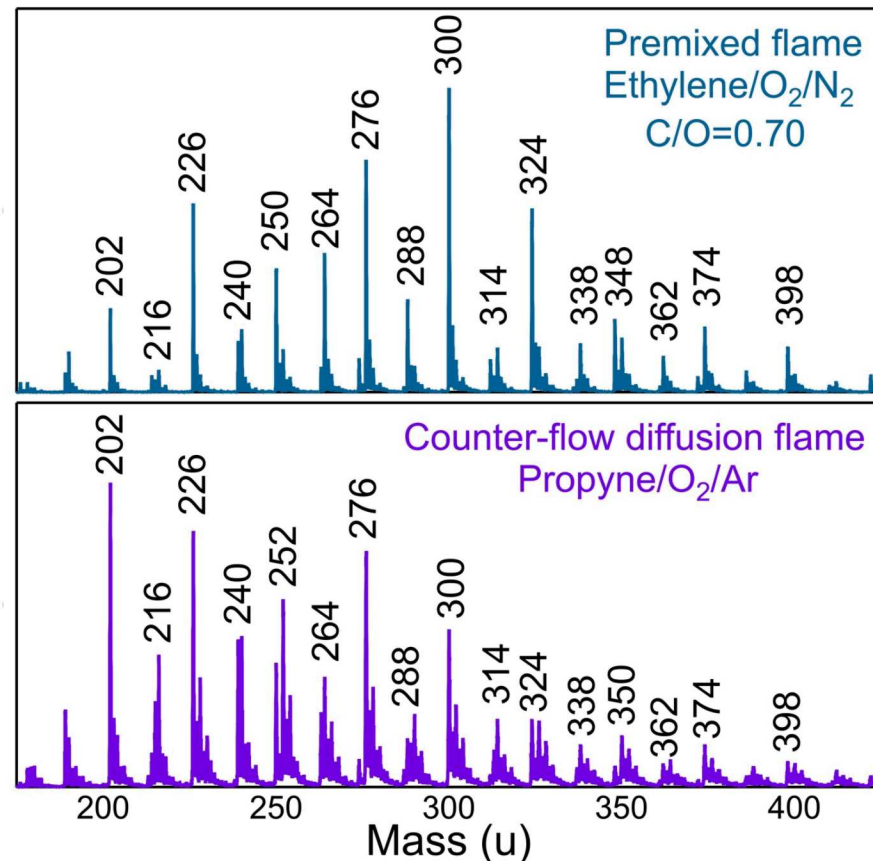
# Incipient-Particle Composition

Aerosol Mass Spectrometry using VUV Photoionization (VUV-AMS)

ALS BL 9.0.2



Johansson et al., *Science* 2018

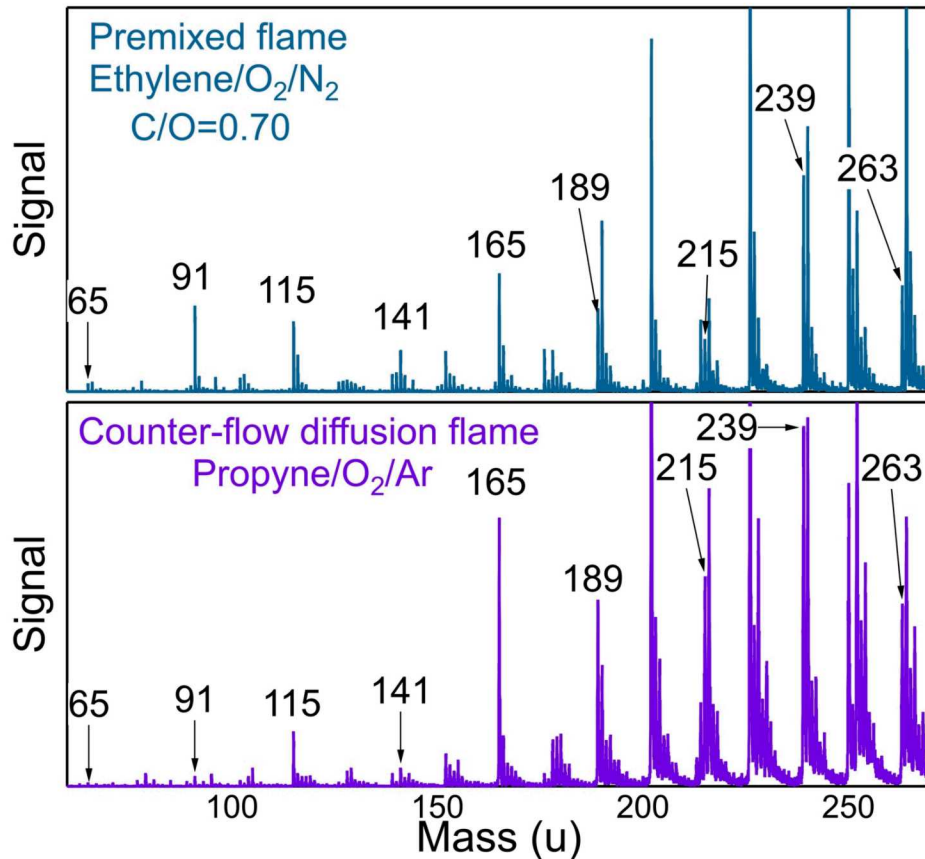


Johansson et al., *Proc. Combust. Inst.* 2017



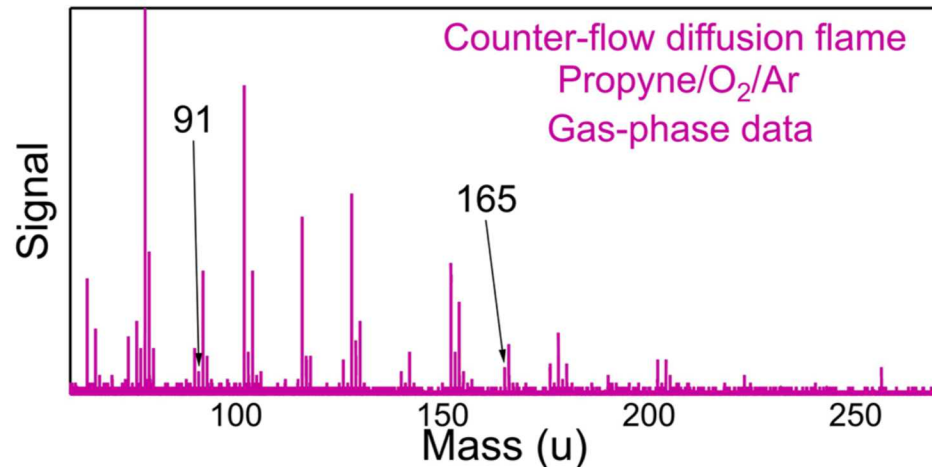
# Incipient-Particle Composition

## Aerosol mass spectrometry



Johansson et al., *Science* 2018

## Gas phase Molecular beam mass spectrometry

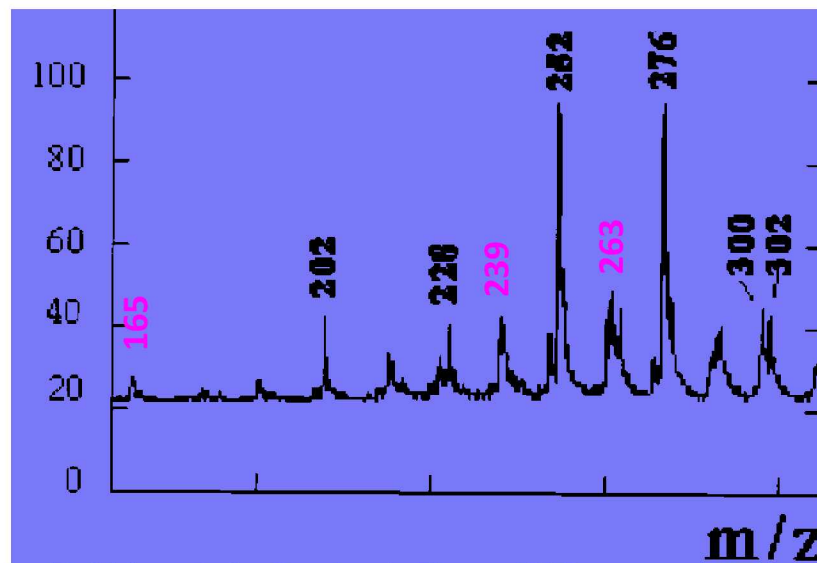


Courtesy of Nils Hansen and Kai Moshhammer

# Incipient-Particle Composition

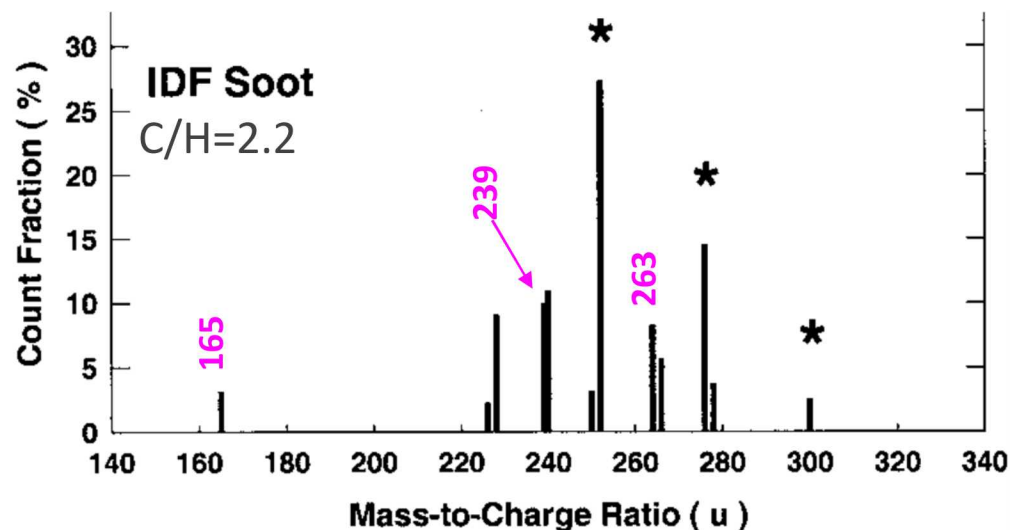
Laser microprobe mass spectrometry

Ethylene/air co-flow diffusion flame



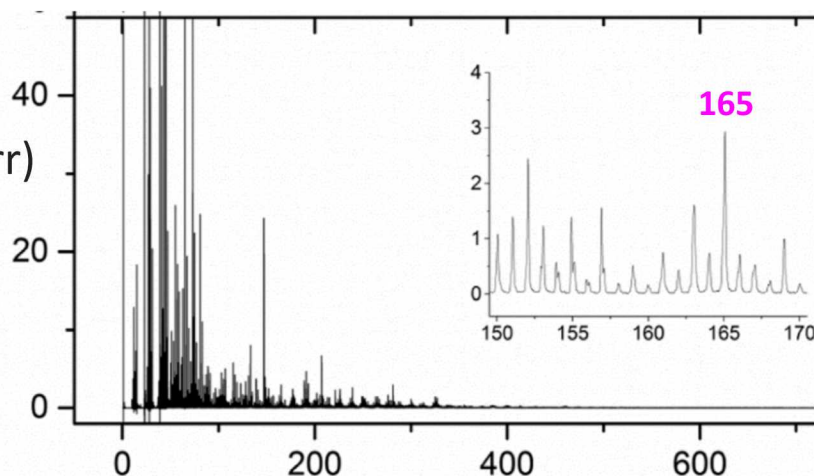
Dobbins et al., *Combust. Flame* 1998

Ethylene/air inverse diffusion flame



Blevins et al., *Proc. Combust. Inst.* 2002

Low pressure (200 Torr)  
Premixed  $CH_4/O_2/N_2$   
 $C/O=0.58$ ,  $\Phi=2.32$



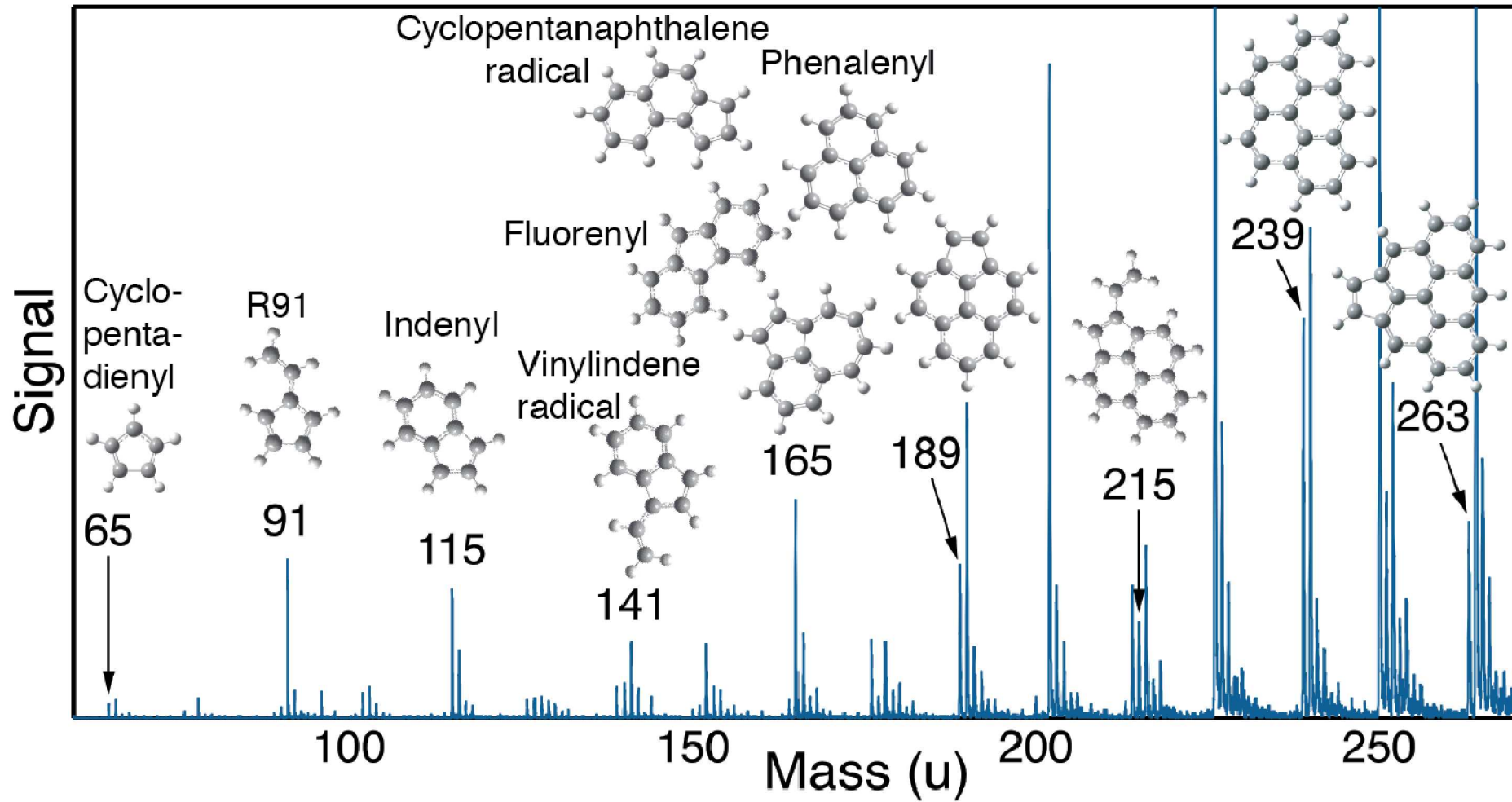
Time of flight secondary  
ion mass spectrometry

Desgroux et al., *Combust. Flame* 2017

# Incipient-Particle Composition

Aerosol Mass Spectrometry using VUV Photoionization (VUV-AMS)

ALS BL 9.0.2

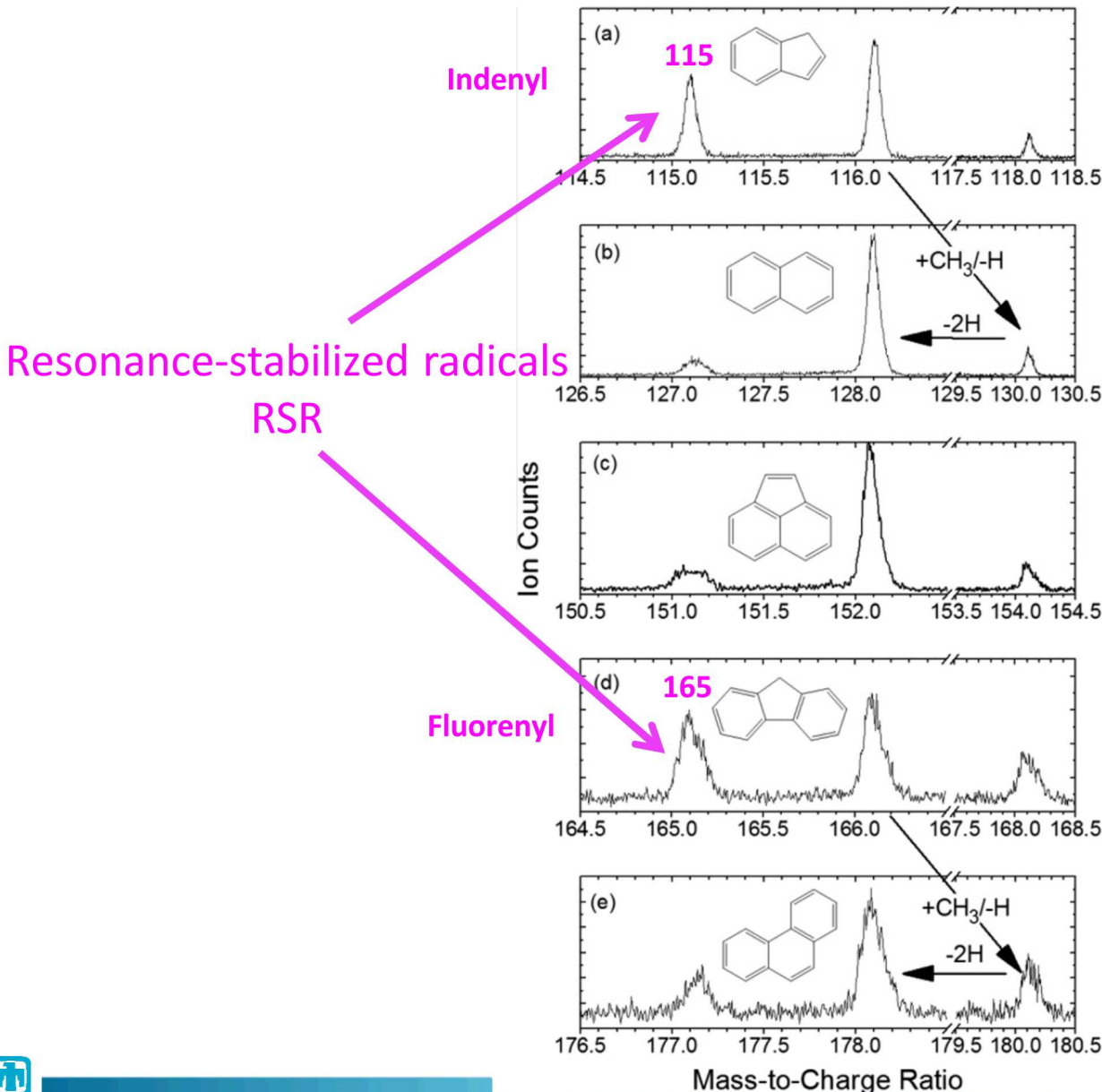


Johansson, Head-Gordon, Schrader, Wilson, Michelsen,  
*Science*, 2018



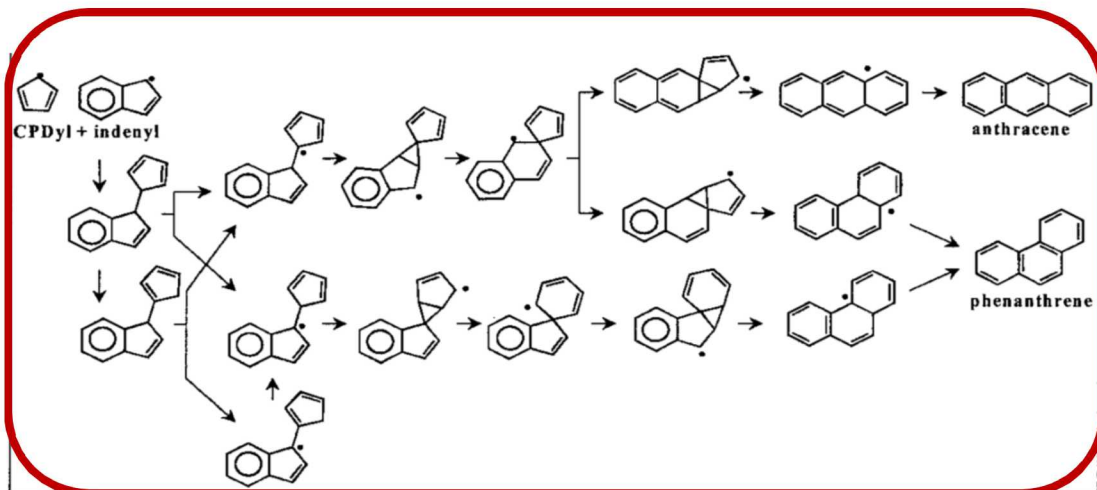
# Other Evidence for RSR Involvement

Electron-Impact Molecular Beam Mass Spectrometry (EI-MBMS)



Schenk et al., *Proc. Combust. Inst.*, 2015

# Role of RSRs in Soot Inception

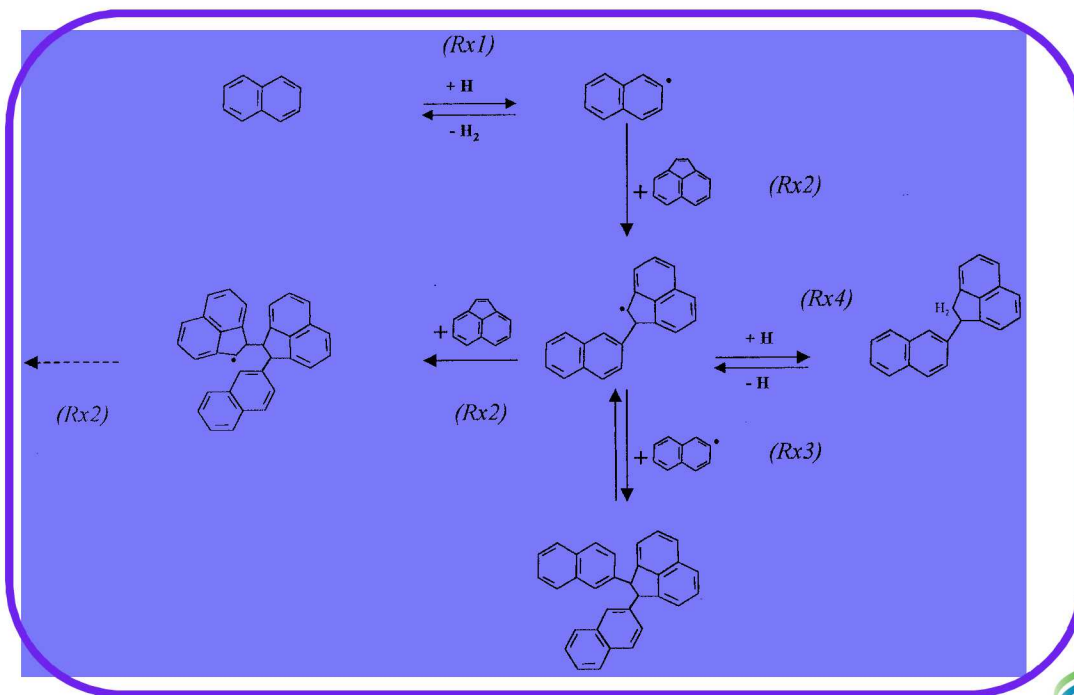


Radical recombination  
producing larger stabilomers

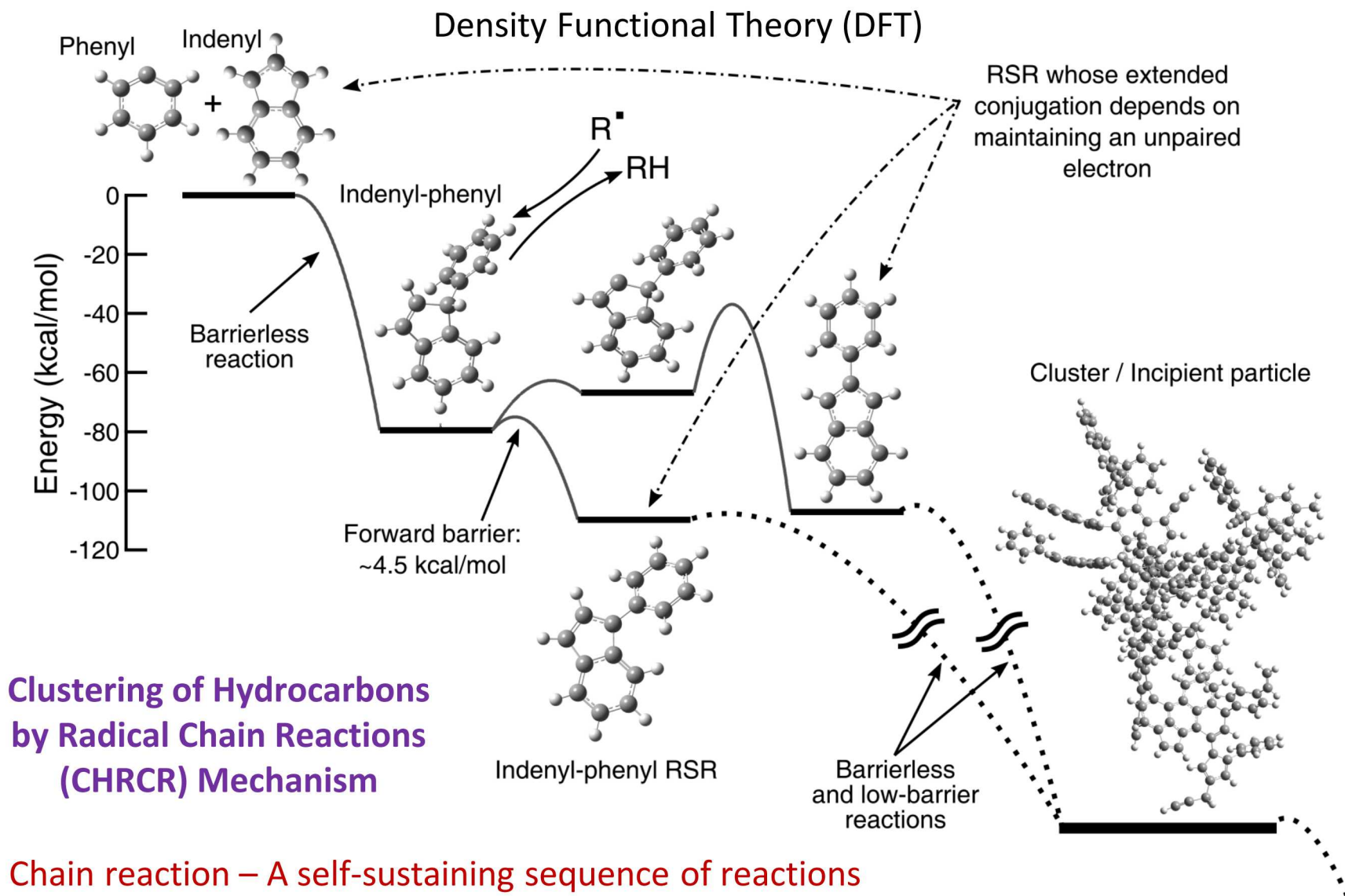
Mulholland et al., *Proc. Combust. Inst.* 2000

Covalent hydrocarbon  
clustering via radical addition  
to acenaphthylene

D'Anna et al., *Combust. Flame* 2001



# Possible Soot-Inception Mechanism



## Clustering of Hydrocarbons by Radical Chain Reactions (CHRCR) Mechanism

Chain reaction – A self-sustaining sequence of reactions

Johansson et al., *Science*, 2018

$\omega$ B97X-D functional with aug-cc-pVTZ basis set and ROCBS-QB3 hybrid method



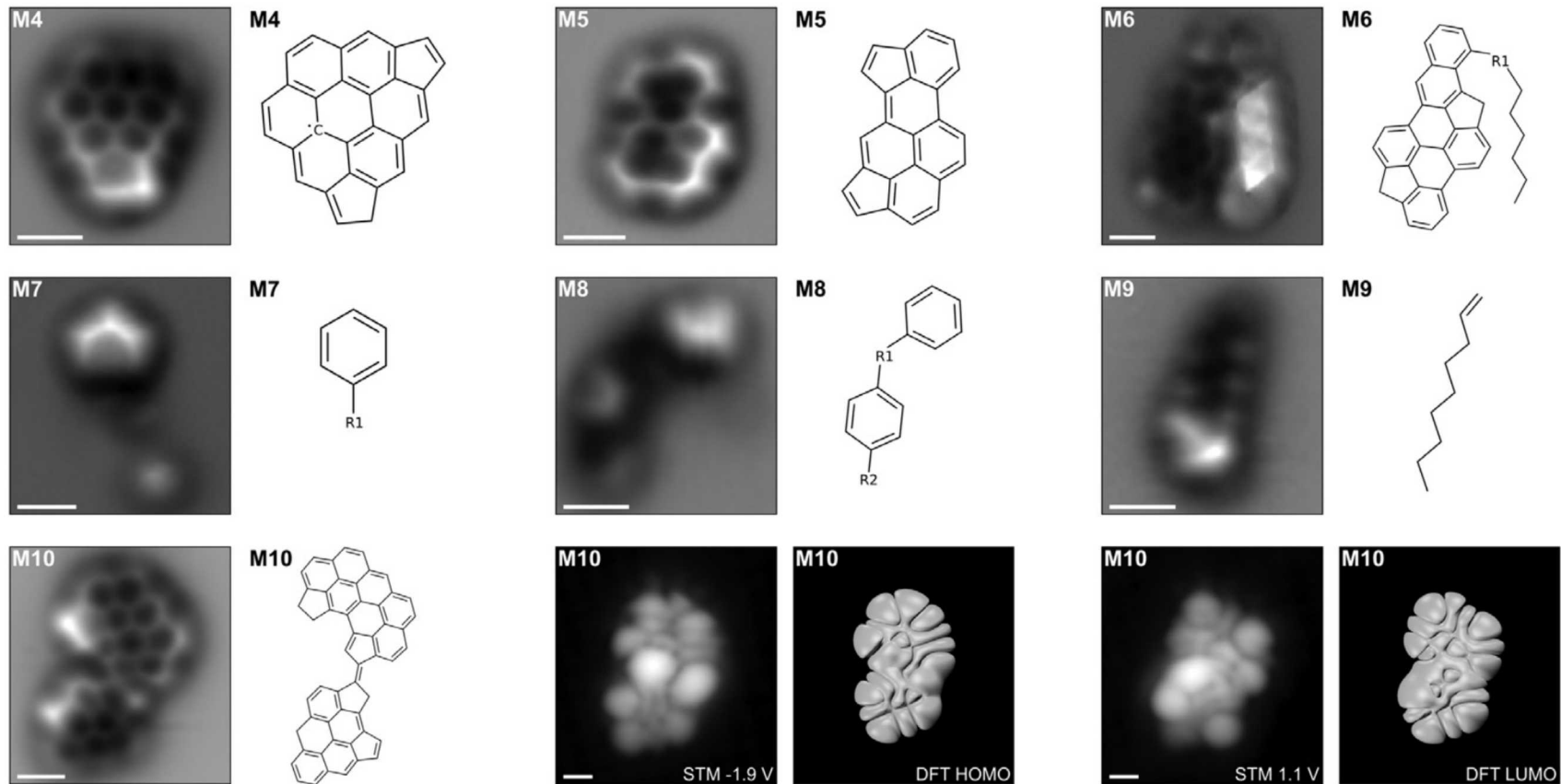
# Other Evidence for RSR Involvement

Electron Spin Resonance (ESR)/Electron Paramagnetic Resonance (EPR) Spectroscopy

Homann and Wagner, *Proc. Combust. Inst.* 1967; Vitiello et al., *Combust. Flame* 2019

ESR signal from young soot particles ~100 times larger than from mature soot particles

Atomic Force Microscopy (AFM)/Scanning Tunneling Microscopy (STM)



Significant abundance of 5-membered rings and bridged aromatics

Schulz et al., *Proc. Combust. Inst.*, 2019

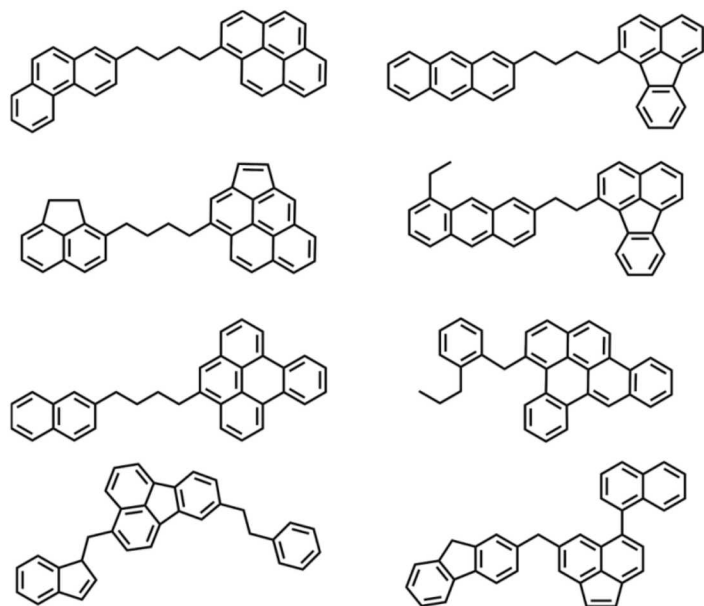
# Other Evidence for RSR Involvement

Tandem Mass Spectrometry (MS-MS)

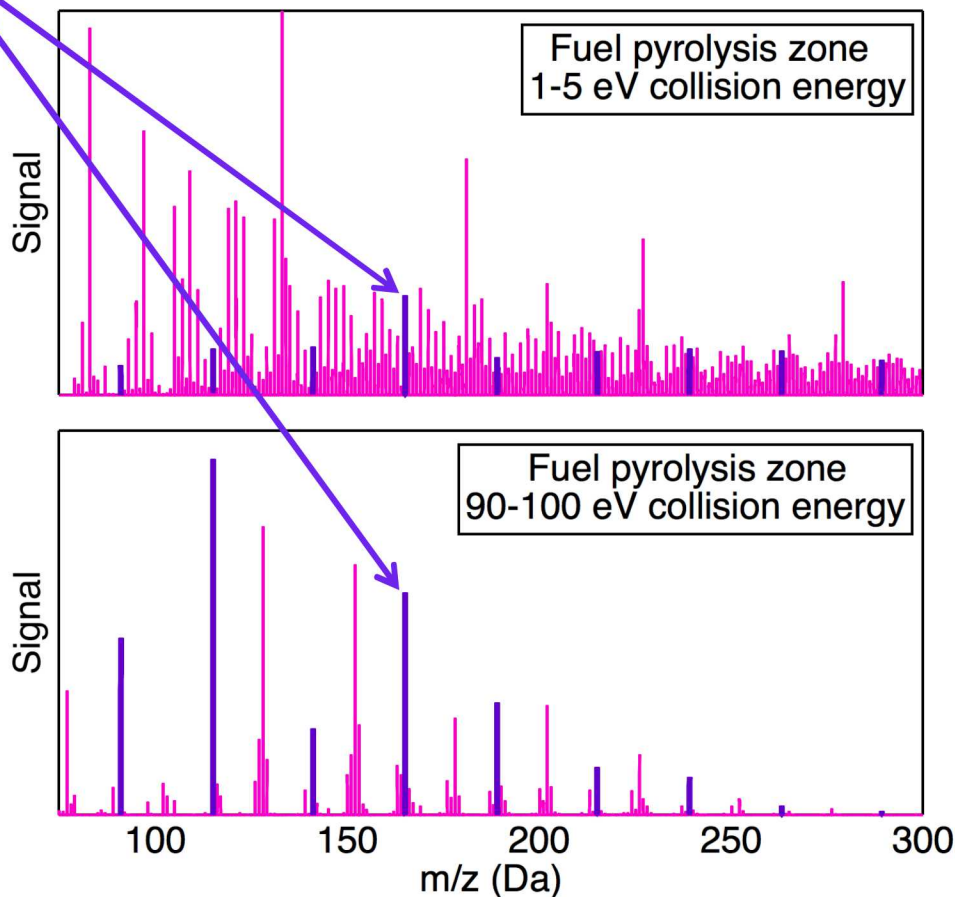
Collision-Induced Dissociation Time-of-Flight Mass spectrometry (CID-TOFMS)

RSRs

Species consistent with the data



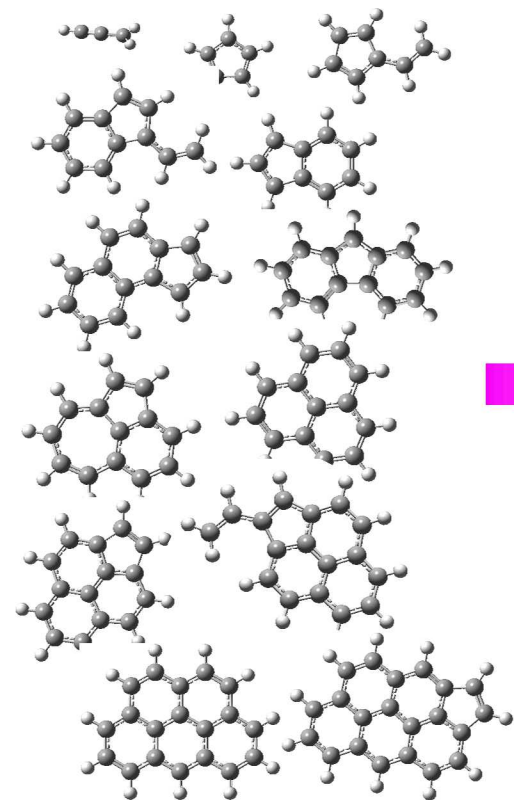
Adamson et al., *J. Phys. Chem.*, 2018



# Particle Growth by Radical Chain Reactions

## Clustering of Hydrocarbons by Radical Chain Reactions (CHRCR) Mechanism

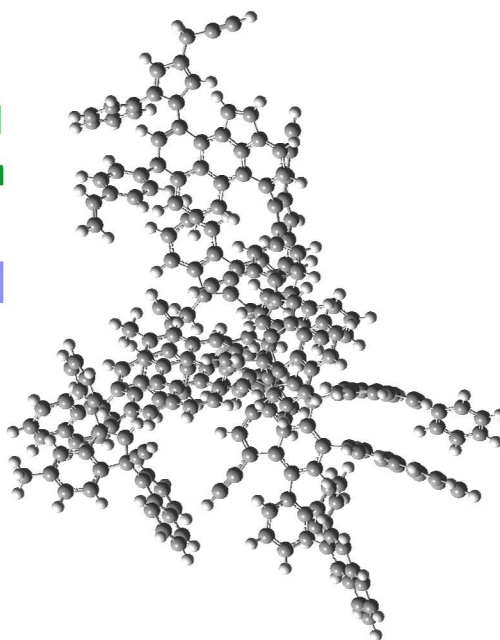
Resonance-stabilized  
radicals



Fast radical  
driven chain  
reactions



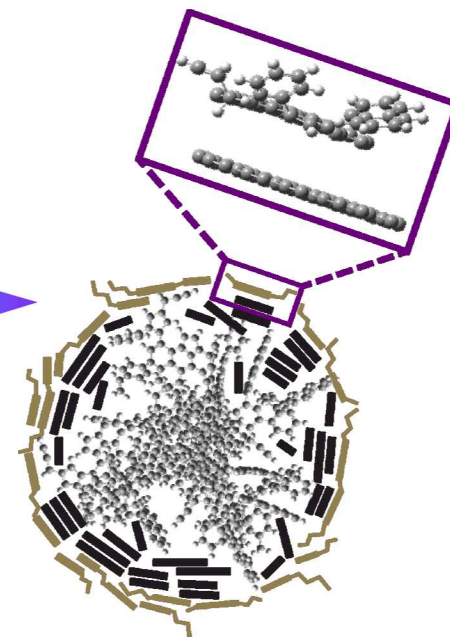
Incipient particles  
Hydrocarbon clusters



Radical-  
surface  
reactions



Primary particles  
Soot aggregates



Johansson, Head-Gordon, Schrader, Wilson, Michelsen,  
*Science*, 2018



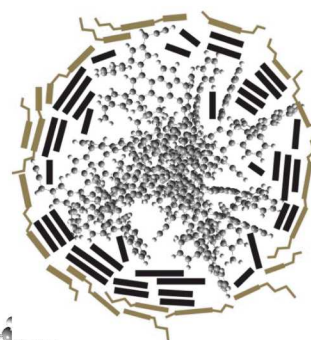
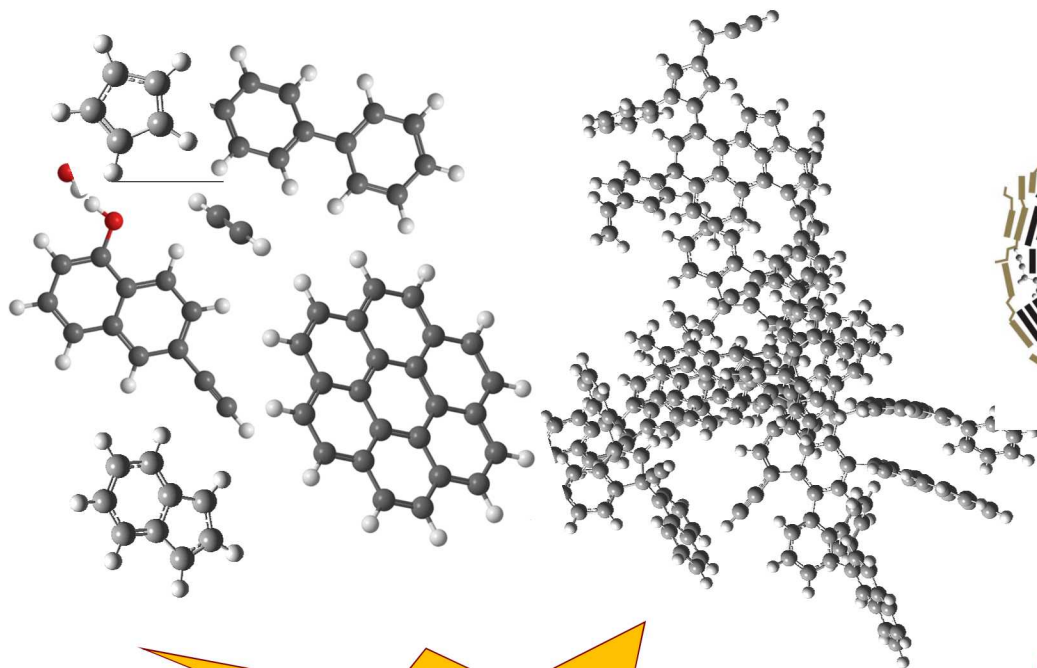
# Summary and Conclusions

*Molecular growth;  
Soot-precursor  
formation*

*Incipient  
particle  
formation*

*Maturation,  
surface growth,  
graphitization*

*Particle growth,  
aggregation,  
graphitization*



**Inception driven by  
radical chain rxns**

**Growth by  
surface addition**

**Possibly by radical rxns**

# Future Directions

- **Need better experimental tools**

- Particle maturity
- Particle size and morphology
- Particle composition
- Precursors and other gas-phase species
- Temperature
- Pressure
- Boundary conditions

*In situ (probe-free)*

**Applicable to  
non-steady,  
high-pressure,  
multi-phase  
conditions**

- **Need better computational tools**

- Electronic structure for large, complex species
- Mechanisms & rate constants for reactions of large, complex species
- Physics-based chemical sub-models and chemical transport models

**Applicable to  
non-steady,  
high-pressure,  
multi-phase  
conditions**



# Our Team



**Josie  
Hendrix**

**Kevin  
Wilson**



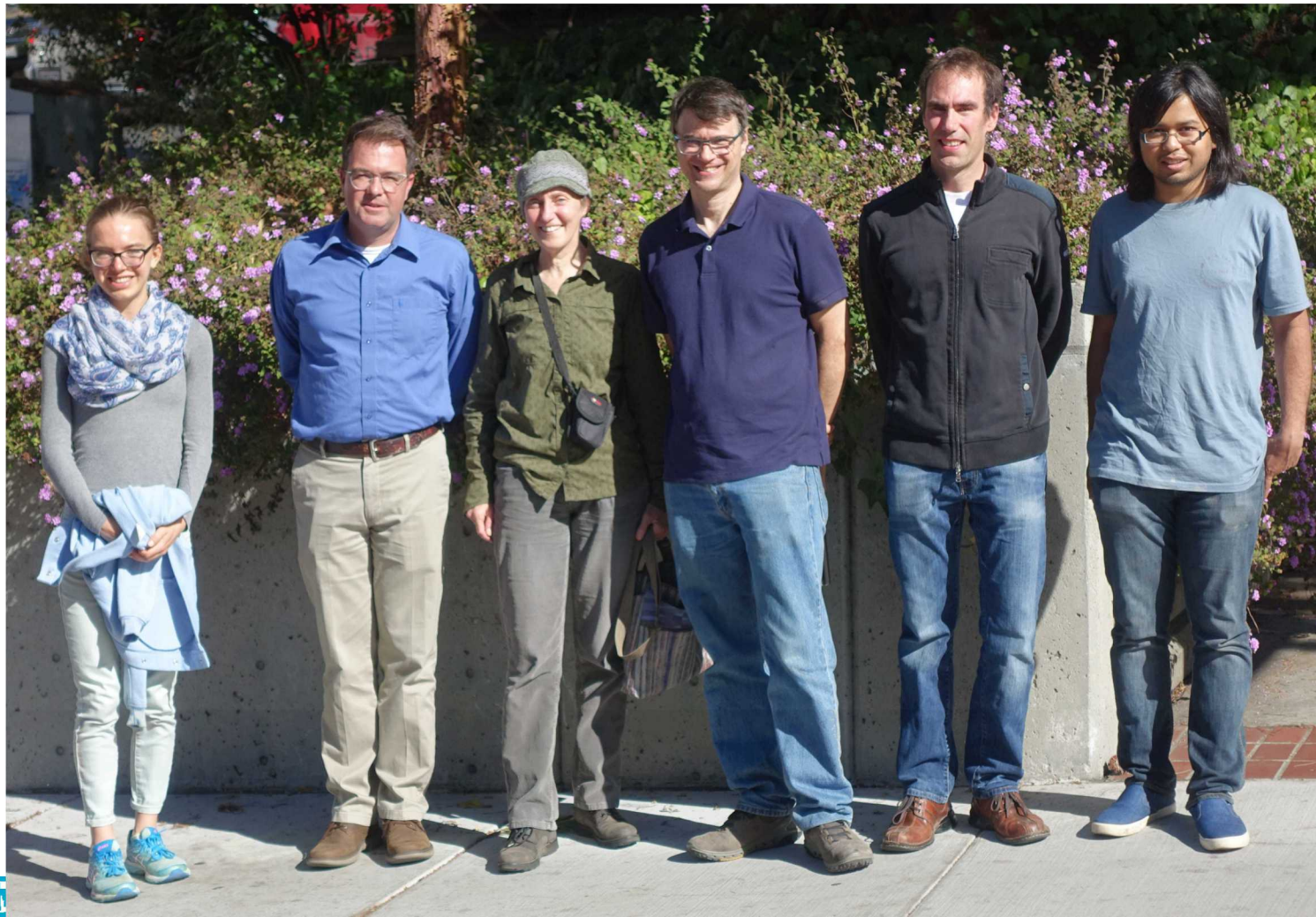
**Martin  
Head-Gordon**

**Olof  
Johansson**



**Diptarka  
Hait**

**Paul  
Schrader**





# My Gratitude to



Olof Johansson, Paul Schrader

Brian Adamson, Scott Skeen, Nils Hansen,  
Kai Moshhammer



Kevin Wilson, Martin Head-Gordon,  
Bruce Rude



US DOE Office of Basic Energy Sciences

Thank you

