

Transformers for Neural Machine Translation and Beyond



PRESENTED BY

David Kavalier



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Sequence to Sequence (Encoder-Decoder) Models



a little girl sitting on a bench holding an umbrella.



a herd of sheep grazing on a lush green hillside.



a close up of a fire hydrant on a sidewalk.



a yellow plate topped with meat and broccoli.



a zebra standing next to a zebra in a dirt field.



a stainless steel oven in a kitchen with wood cabinets.



two birds sitting on top of a tree branch.

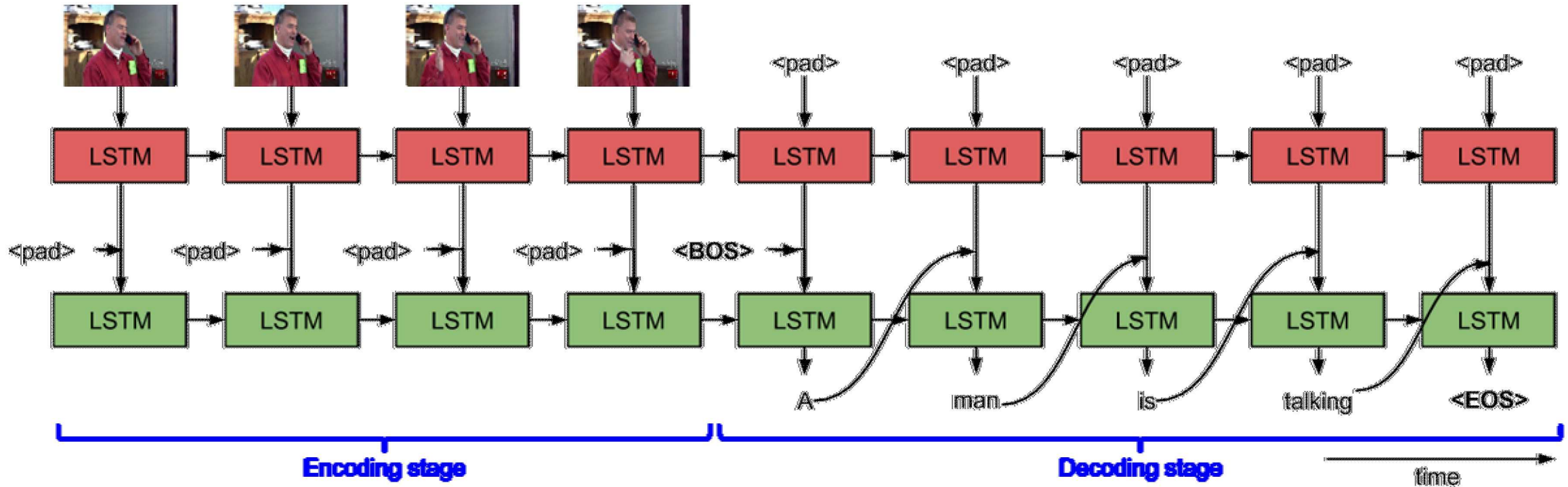


an elephant standing next to rock wall.



a man riding a bike down a road next to a body of water.

Sequence to Sequence (Encoder-Decoder) Models



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PROMPT:

In a shocking finding, scientist discovered a herd of unicorns living in a remote, previously unexplored valley, in the Andes Mountains. Even more surprising to the researchers was the fact that the unicorns spoke perfect English.

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In a shocking finding, scientist discovered a herd of unicorns living in a remote, previously unexplored valley, in the Andes Mountains. Even more surprising to the researchers was the fact that the unicorns spoke perfect English.

MODEL COMPLETION:

The scientist named the population, after their distinctive horn, Ovid's Unicorn. These four-horned, silver-white unicorns were previously unknown to science.

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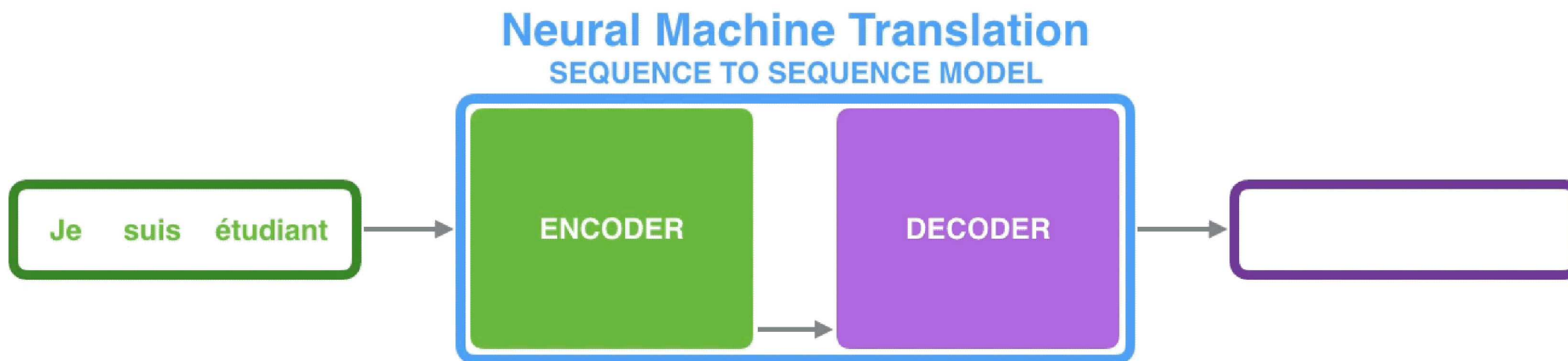
Now, after almost two centuries, the mystery of what sparked this odd phenomenon is finally solved.

...

Sequence to Sequence (Encoder-Decoder) Models

Deep learning models that take a sequence of items and outputs another sequence of items

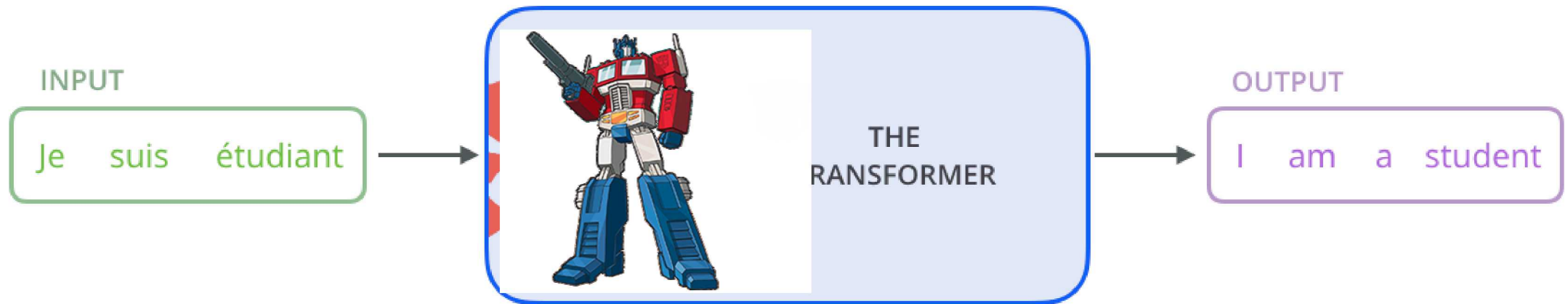
- Generic input-output (sequence-sequence) format
- Successful across disciplines (machine translation, image captioning, etc)



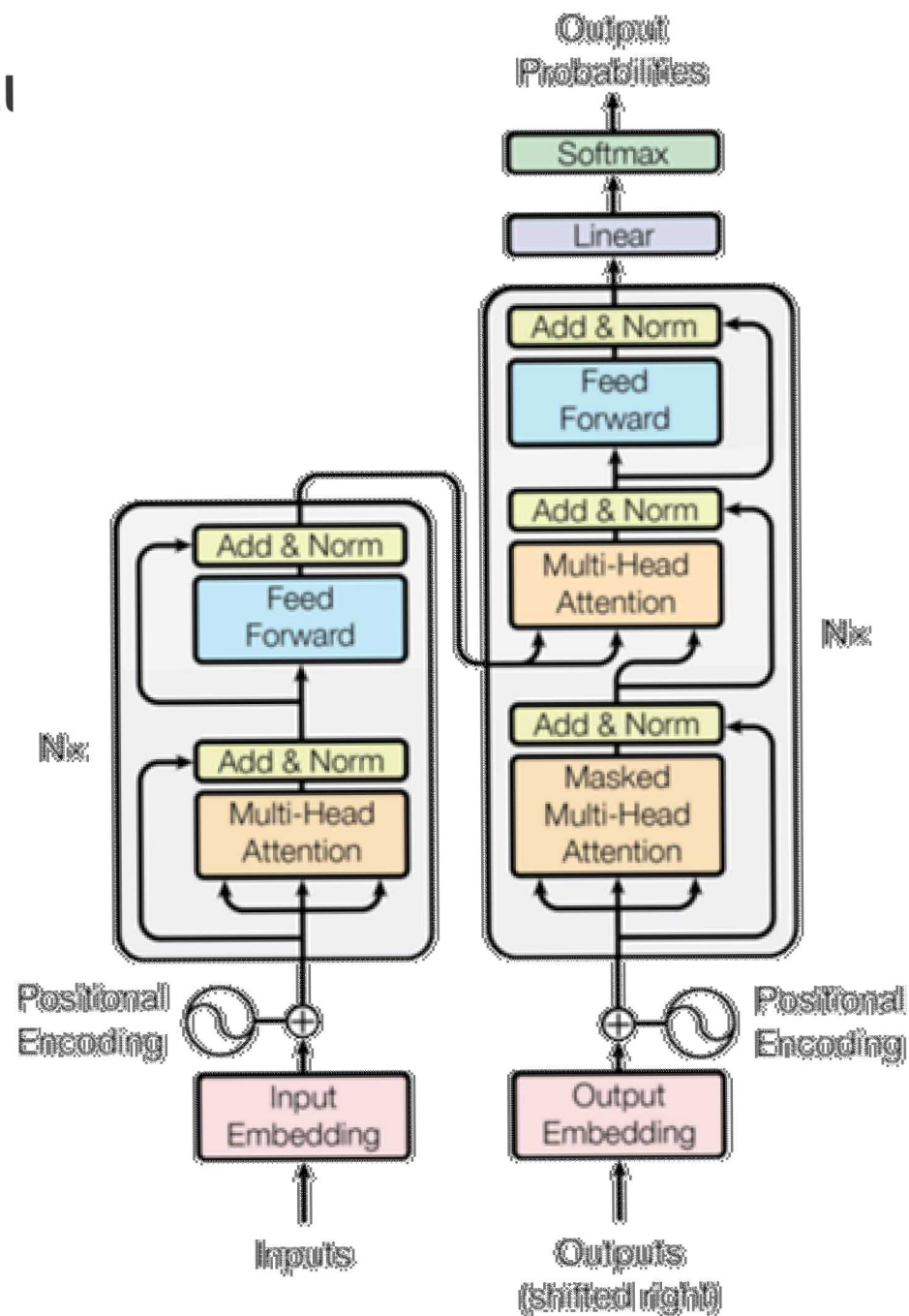
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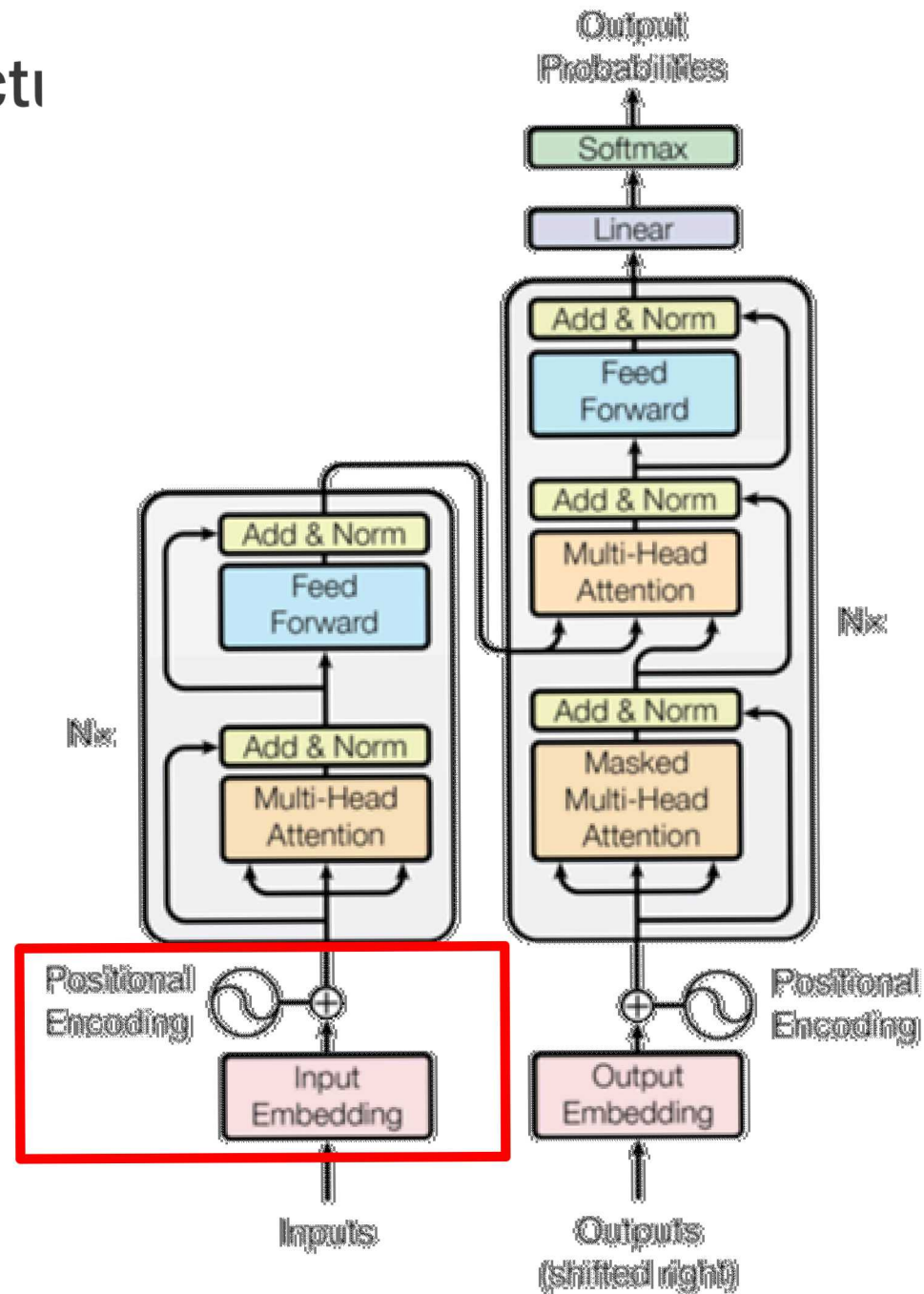
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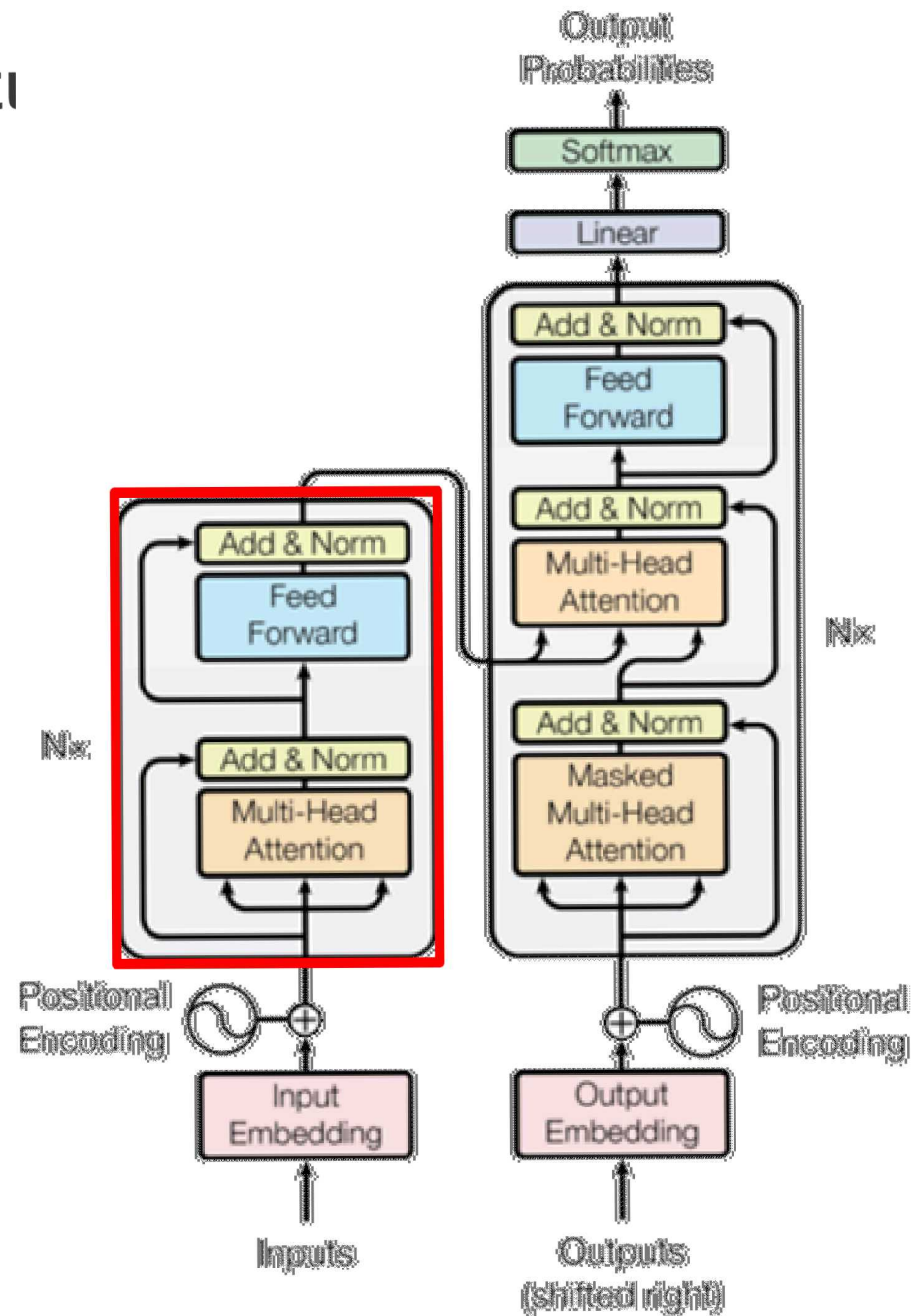


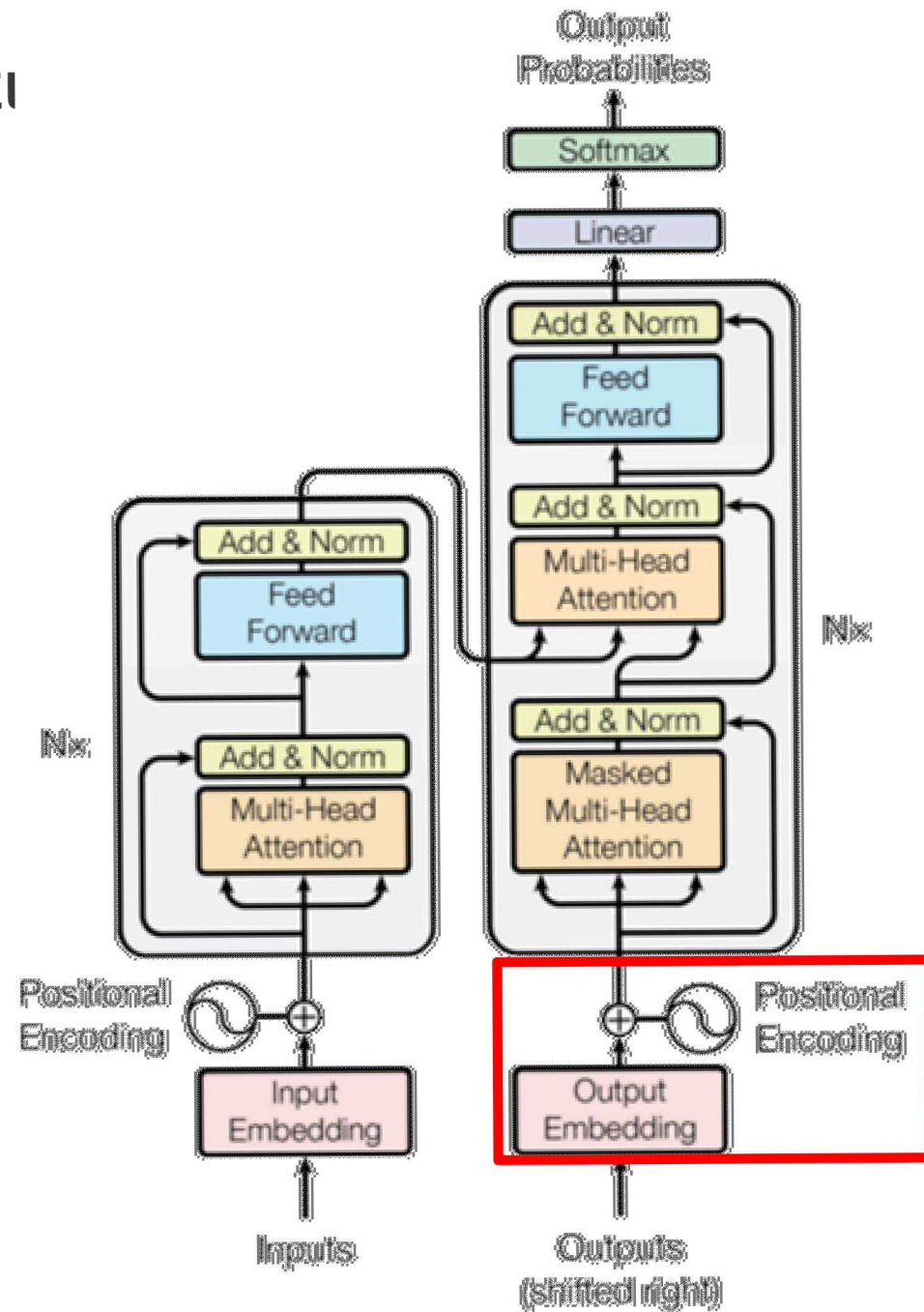
9 Transformer Architecture

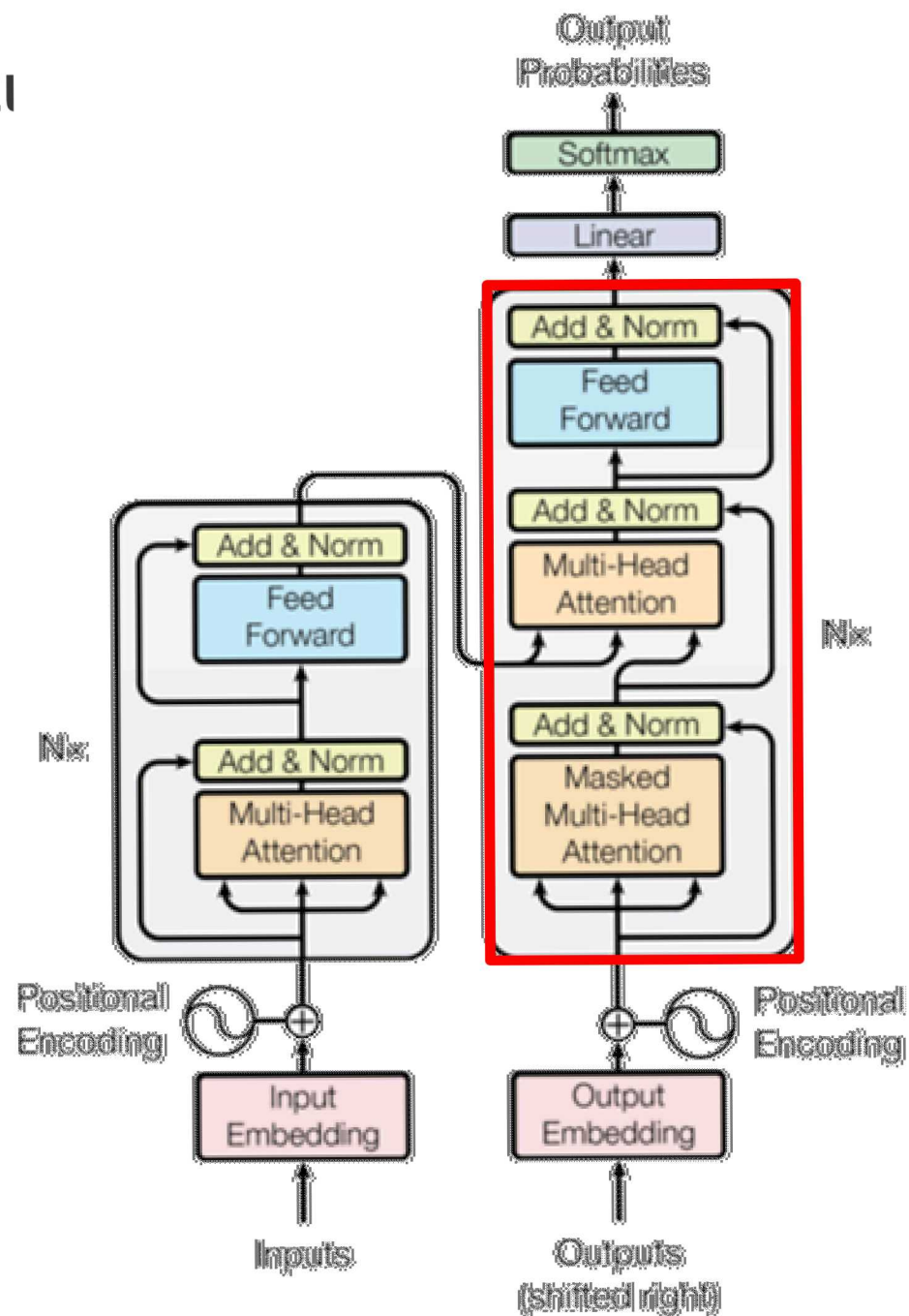


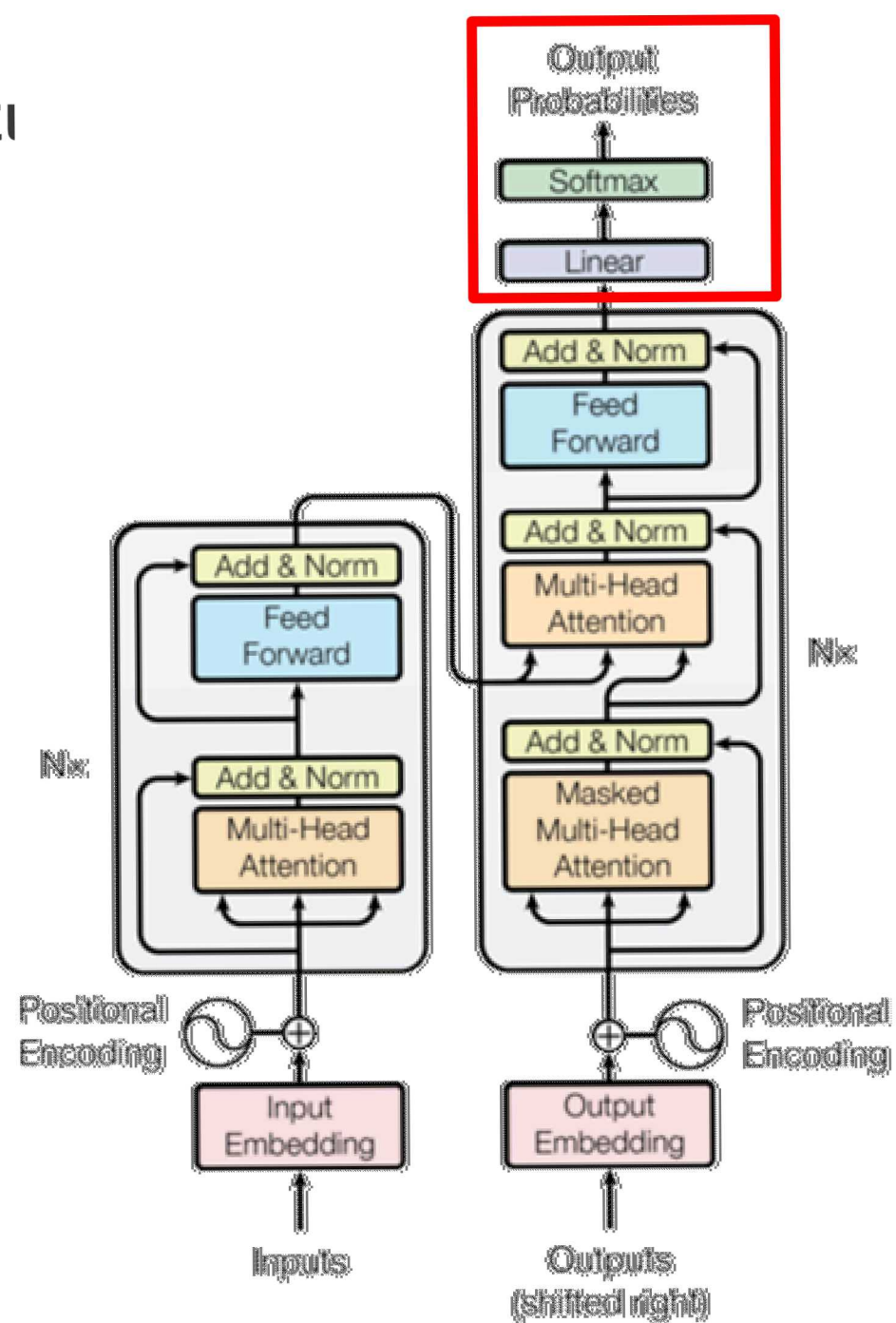
Transformer Architecture

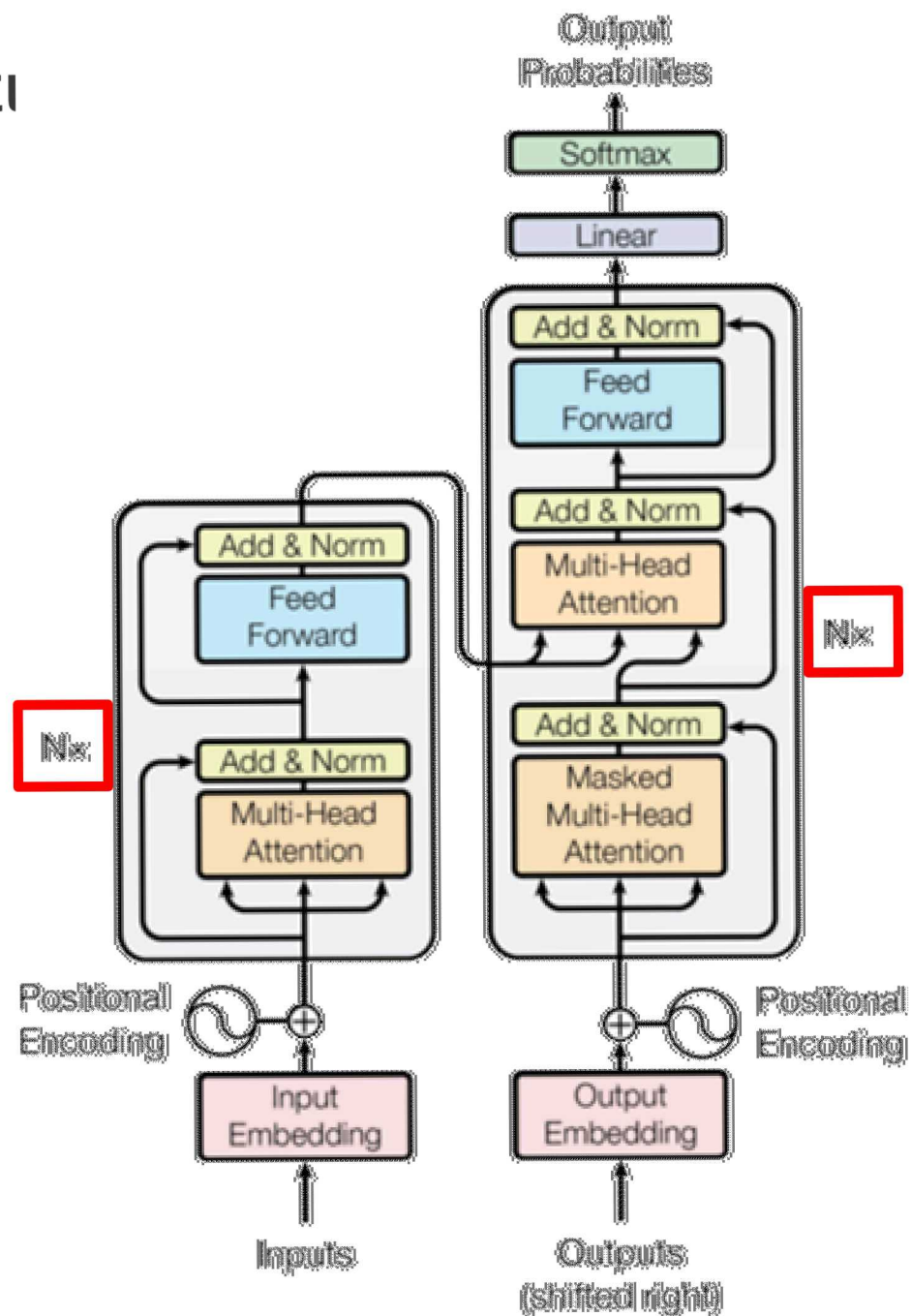




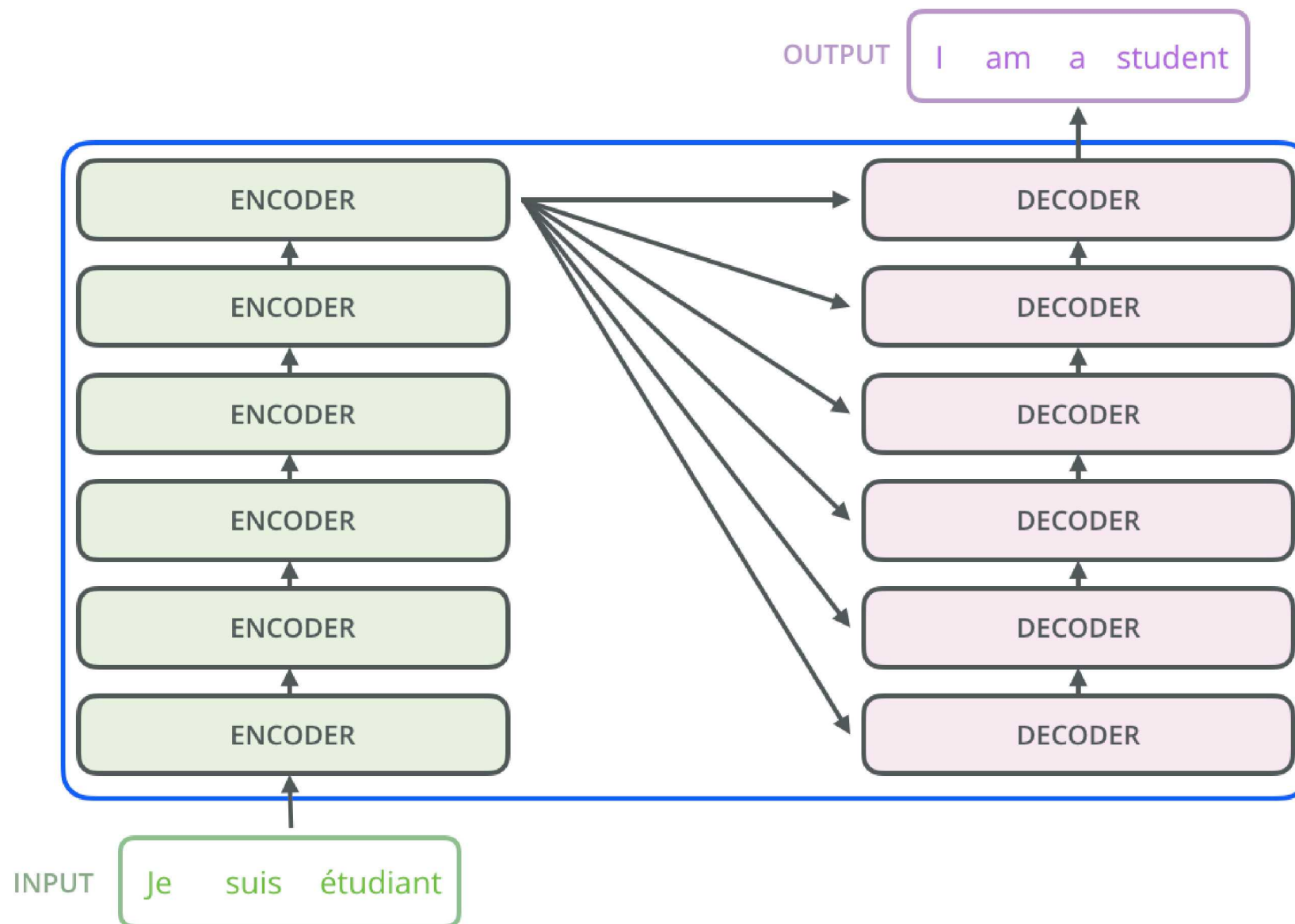








Transformer Architecture Overview



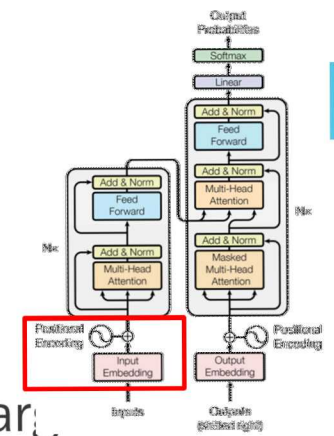
Transformer Complexity

Layer Type	Complexity per Layer	Sequential Operations	Maximum Path Length
Self-Attention	$O(n^2 \cdot d)$	$O(1)$	$O(1)$
Recurrent	$O(n \cdot d^2)$	$O(n)$	$O(n)$
Convolutional	$O(k \cdot n \cdot d^2)$	$O(1)$	$O(\log_k(n))$
Self-Attention (restricted)	$O(r \cdot n \cdot d)$	$O(1)$	$O(n/r)$

Embedding and Positional Encoding

Standard embedding lookup (weight matrix vocabulary index)

- Embedding weights shared between encoder input, decoder teacher forcing, and target sequence



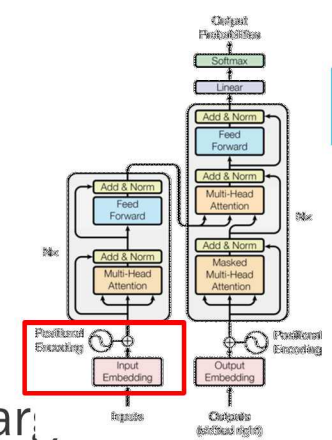
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Positional encoding

- RNNs have position encoded in architecture – forward feeding hidden state
- Want to encode information about word position in input sequence
- Want to handle variable length inputs, but be consistent across all sequences
- Want to be able to predict on sequences with length longer than anything seen in training



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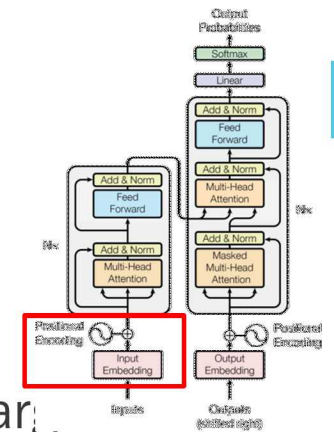
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Positional encoding

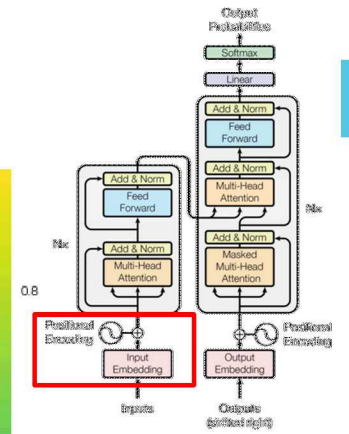
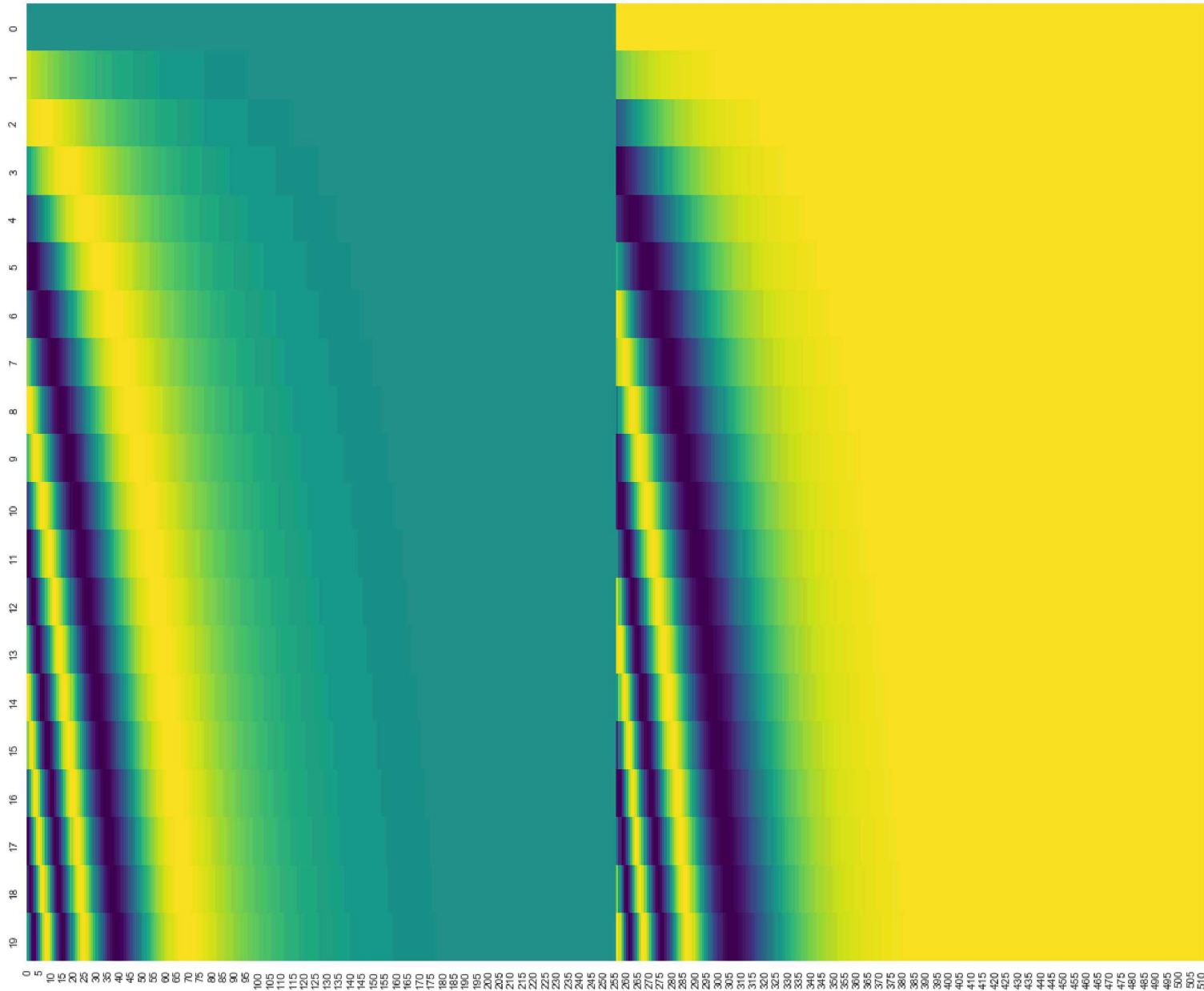
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$$PE_{(pos, 2i)} = \sin(pos/10000^{2i/d_{model}})$$

$$PE_{(pos, 2i+1)} = \cos(pos/10000^{2i/d_{model}})$$



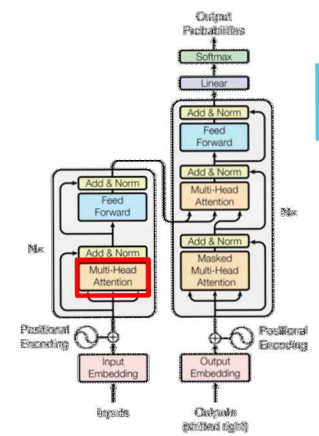
Embedding and Positional Encoding



Self-Attention

Core concept: words in a sentence are related to each other in a complex manner

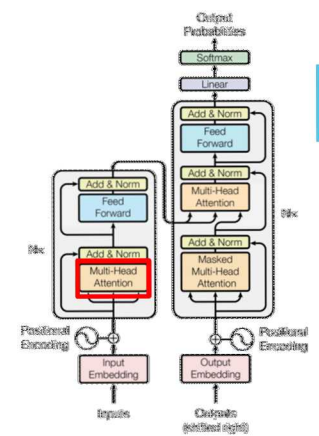
The animal didn't cross the street because it was too tired



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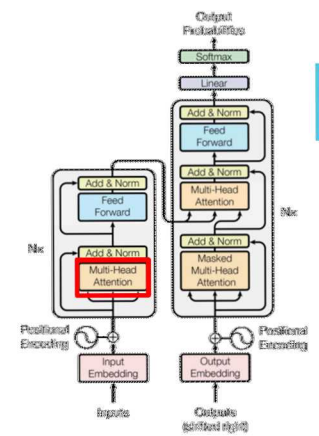
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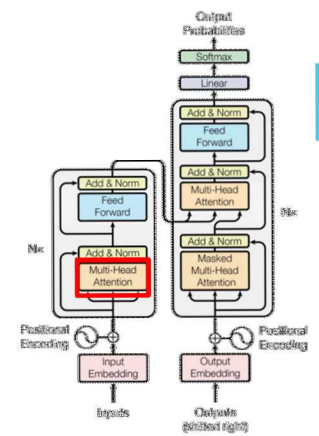
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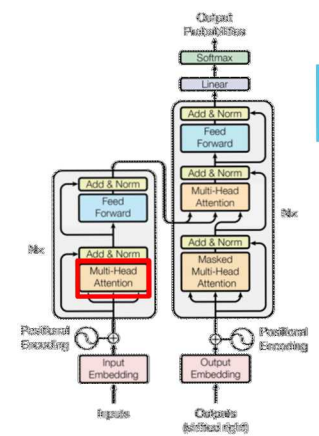
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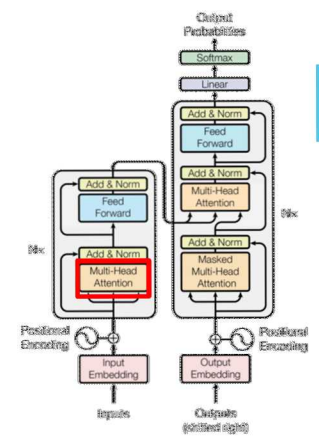
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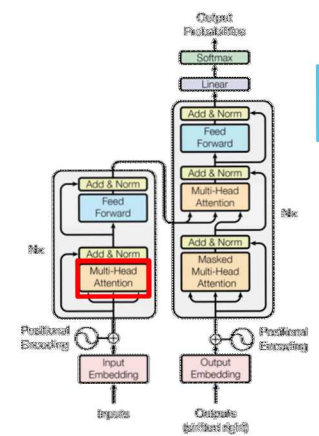


Self-Attention

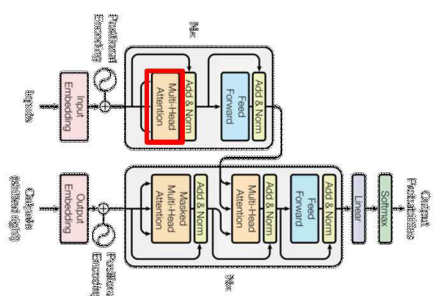
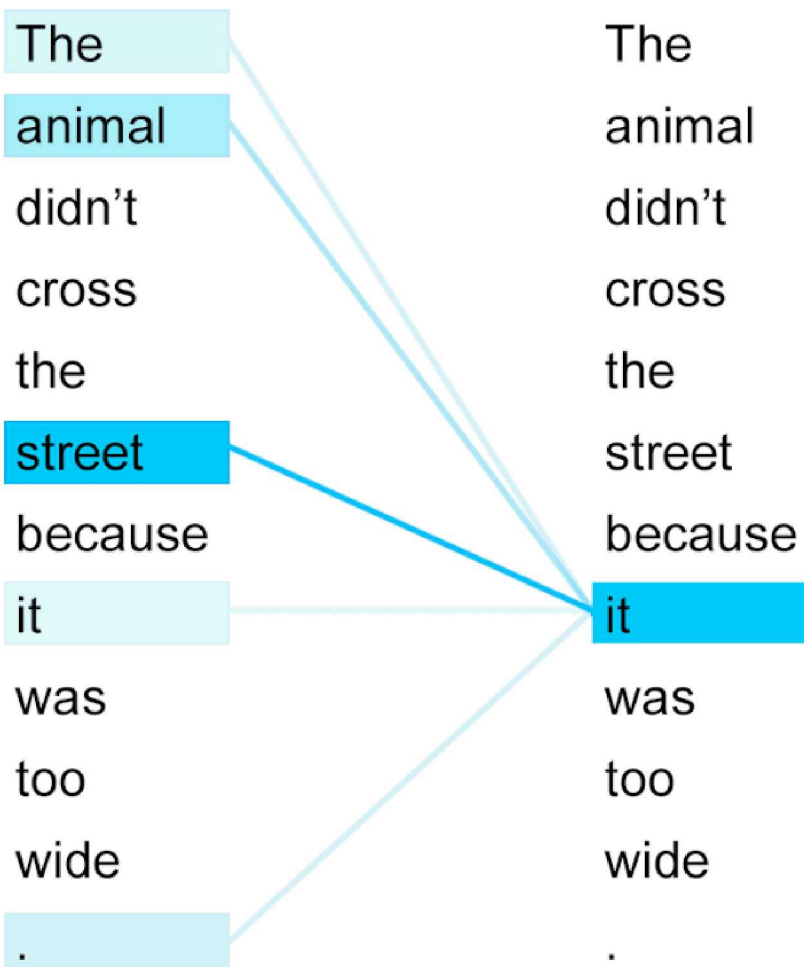
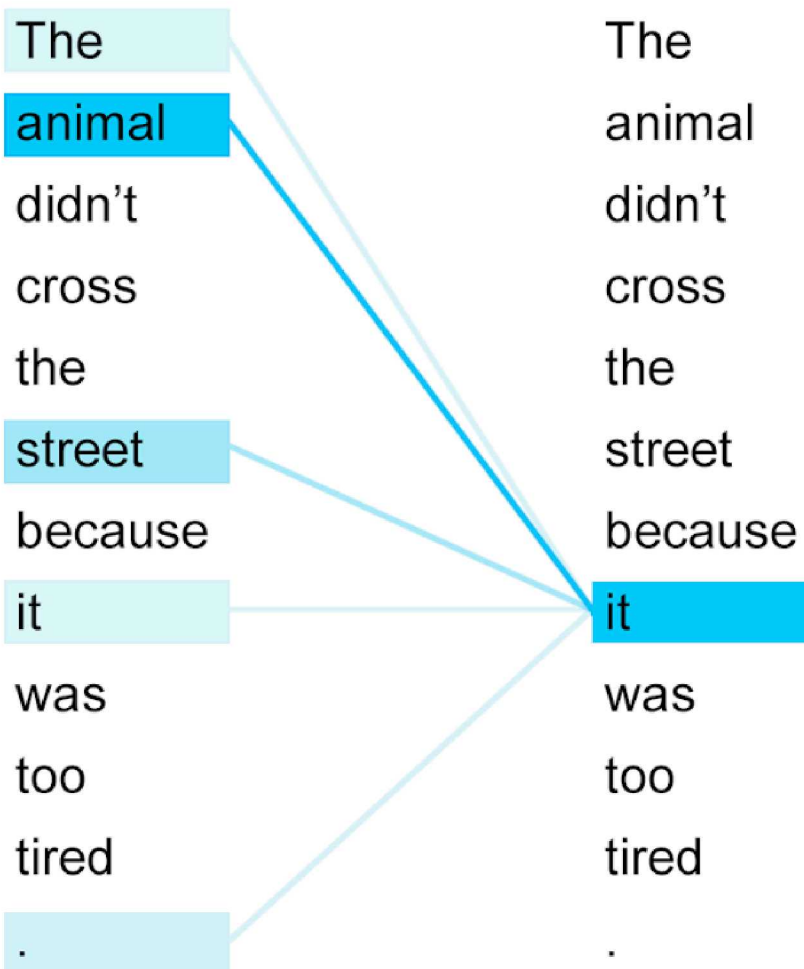
Core concept: words in a sentence are related to each other in a complex manner

The animal didn't cross the street because it was too tired

Self-attention is used to represent these complex dependencies



Self-Attention

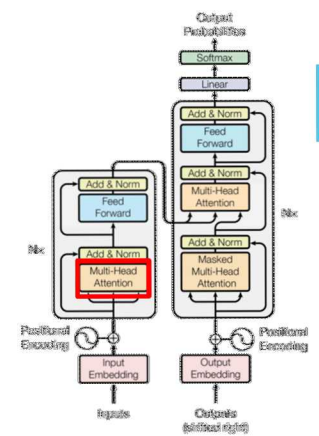
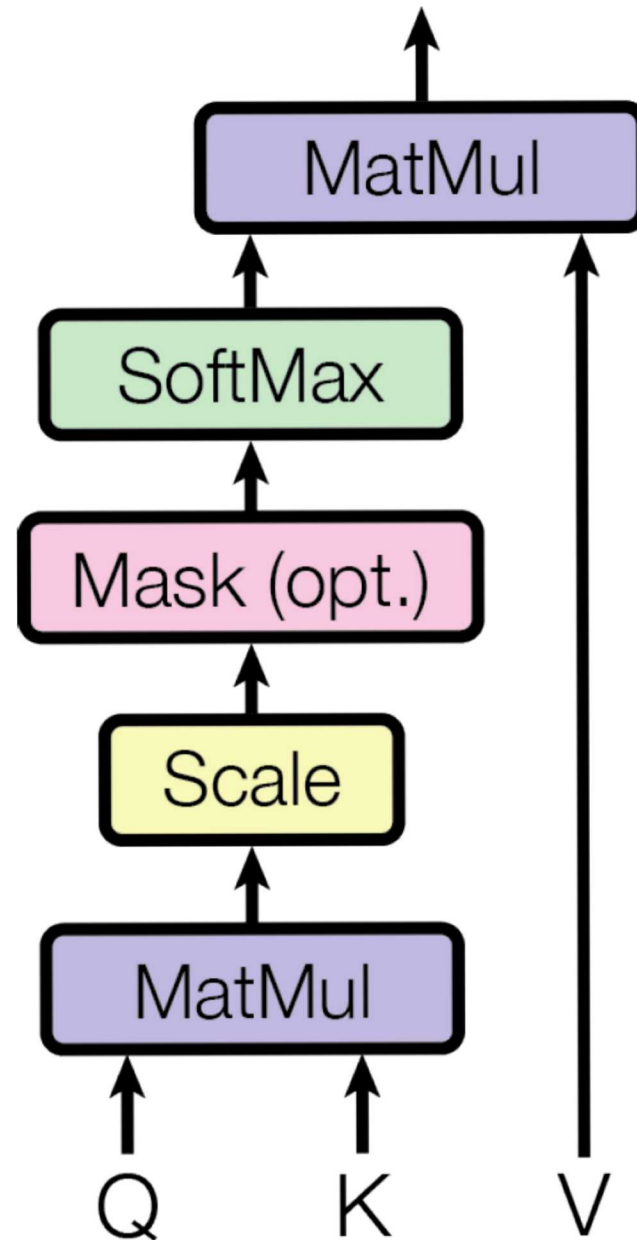


Intuition:

Query: current token

Key: tokens to
compare with (all
tokens in input
sequence)

Value: output (to be
scaled by softmax of
Q, K operation)



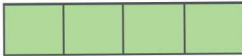
Self-Attention: Intuition

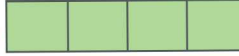
Input

Thinking

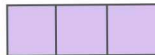
Machines

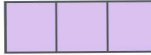
Embedding

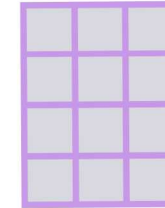
X_1 

X_2 

Queries

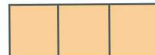
q_1 

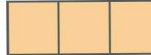
q_2 

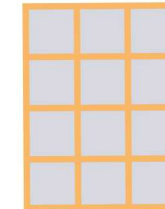


W^Q

Keys

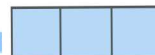
k_1 

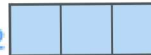
k_2 

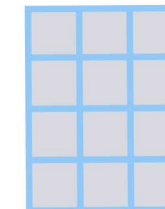


W^K

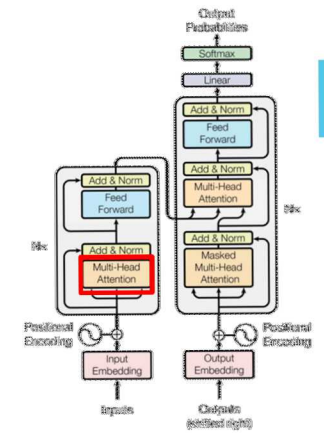
Values

v_1 

v_2 



W^V



Self-Attention: Intuition

Input

Embedding

Queries

Keys

Values

Score

Thinking

x_1 

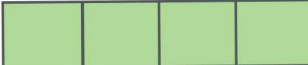
q_1 

k_1 

v_1 

$$q_1 \cdot k_1 = 112$$

Machines

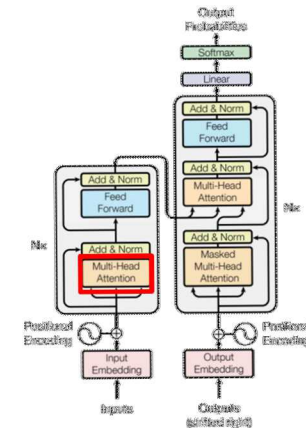
x_2 

q_2 

k_2 

v_2 

$$q_1 \cdot k_2 = 96$$



Self-Attention: Intuition

Input

Embedding

Queries

Keys

Values

Score

Divide by 8 ($\sqrt{d_k}$)

Softmax

Thinking

x_1 

q_1 

k_1 

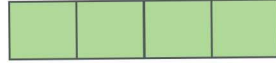
v_1 

$$q_1 \cdot k_1 = 112$$

14

0.88

Machines

x_2 

q_2 

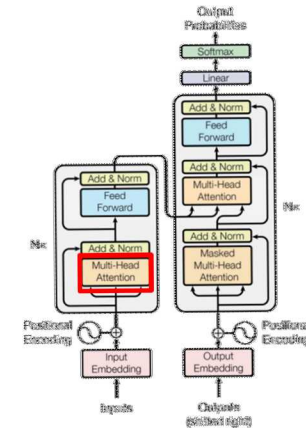
k_2 

v_2 

$$q_1 \cdot k_2 = 96$$

12

0.12



Self-Attention: Intuition

Input

Embedding

Queries

Keys

Values

Score

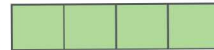
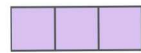
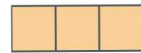
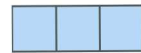
Divide by 8 ($\sqrt{d_k}$)

Softmax

 Softmax
 X
 Value

Sum

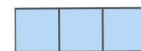
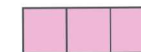
Thinking

 x_1  q_1  k_1  v_1 

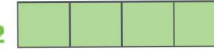
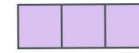
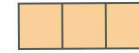
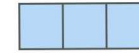
$$q_1 \cdot k_1 = 112$$

14

0.88

 v_1  z_1 

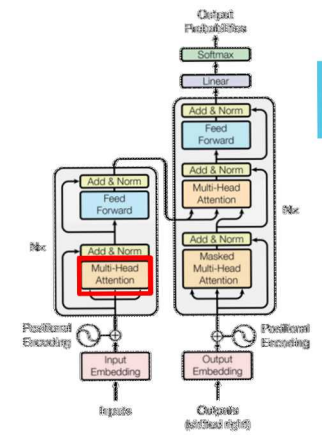
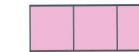
Machines

 x_2  q_2  k_2  v_2 

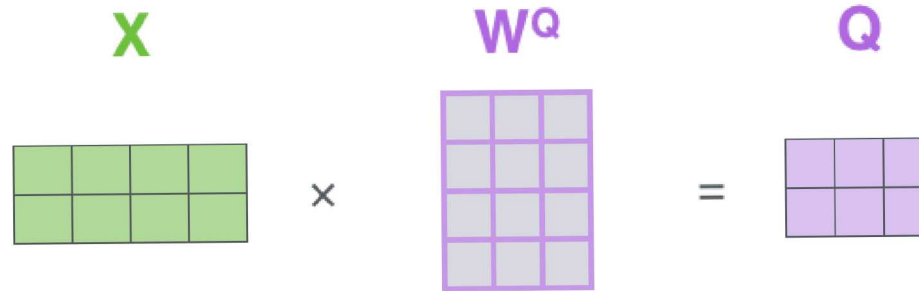
$$q_1 \cdot k_2 = 96$$

12

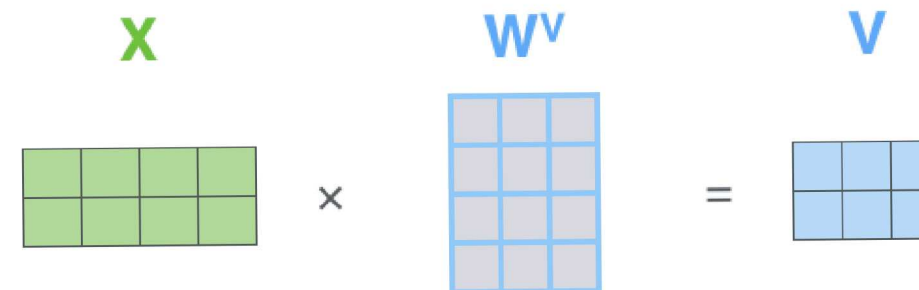
0.12

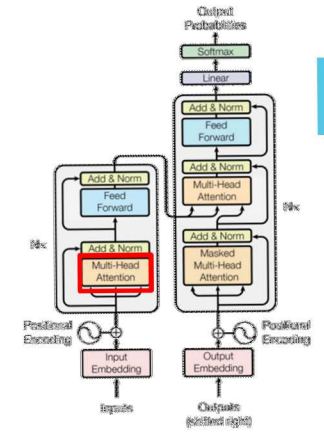
 v_2  z_2 

Self-Attention: Matrix Form

$$X \times W^Q = Q$$


$$X \times W^K = K$$


$$X \times W^V = V$$


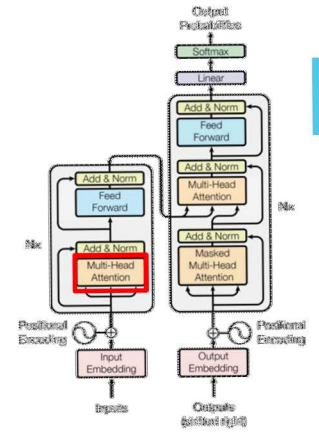


Self-Attention: Matrix Form

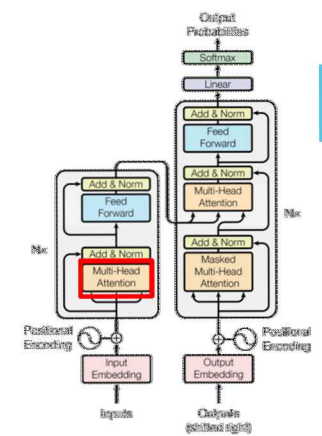
$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

$$\text{softmax}\left(\frac{\begin{matrix} \text{Q} \\ \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array} \end{matrix} \times \begin{matrix} \text{K}^T \\ \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \square & \square \\ \hline \end{array} \end{matrix}}{\sqrt{d_k}}\right) \begin{matrix} \text{V} \\ \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array} \end{matrix}$$

$$= \begin{matrix} \text{Z} \\ \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array} \end{matrix}$$



Multi-Headed Attention

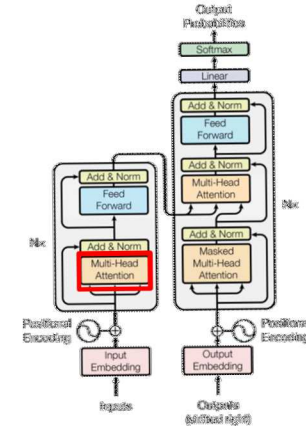
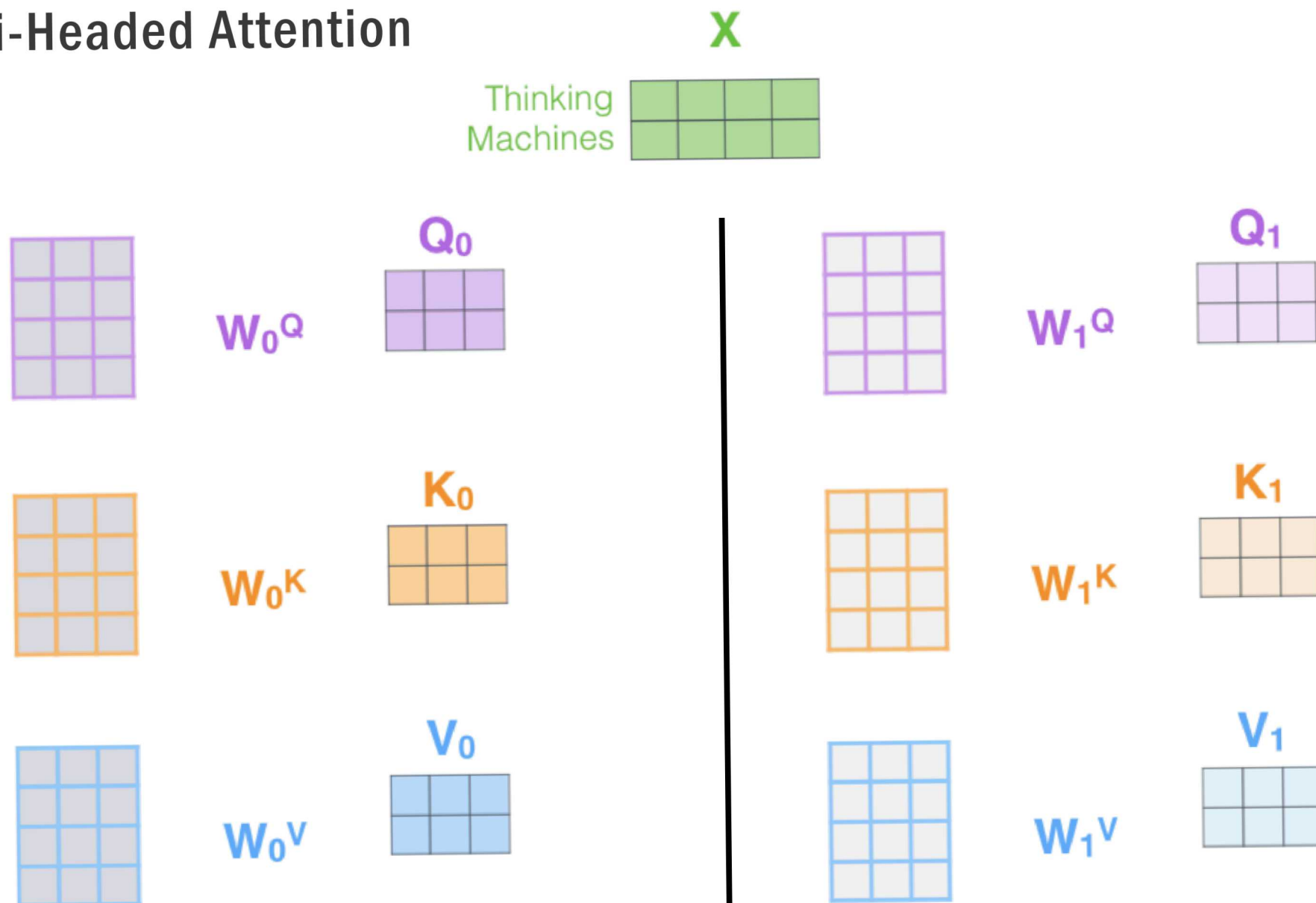


Single attention layer doesn't allow for separate representations of word relationships

- E.g., want to represent word context, part of speech, constituency parse, etc.

Solution: multiple attention “heads”

Multi-Headed Attention



Multi-Headed Attention

Thinking
Machines

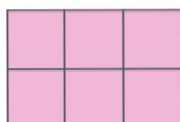
X



Calculating attention separately in
eight different attention heads

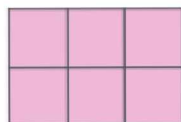
ATTENTION
HEAD #0

Z_0



ATTENTION
HEAD #1

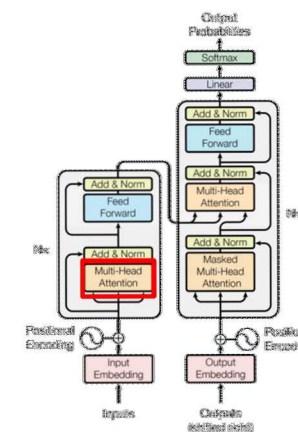
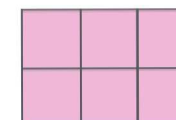
Z_1



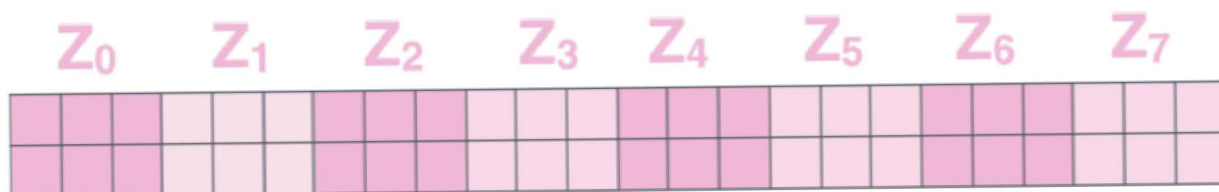
...

ATTENTION
HEAD #7

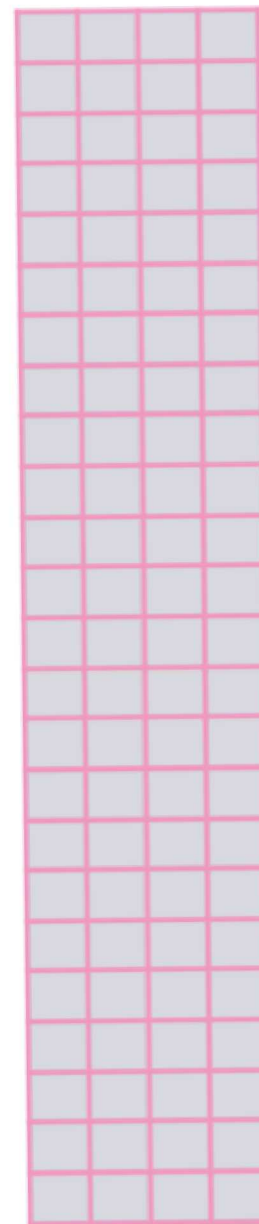
Z_7



Multi-Headed Attention

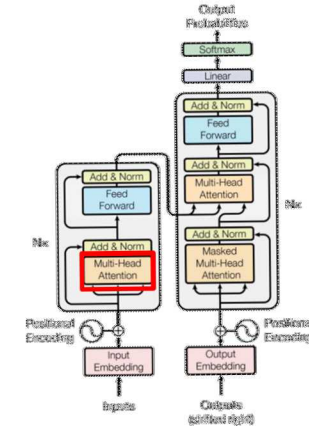
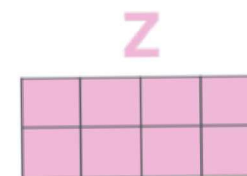


\times



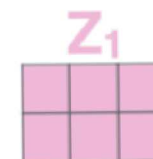
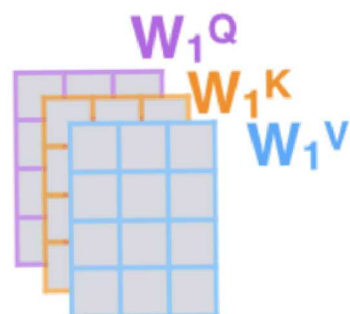
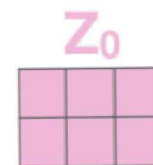
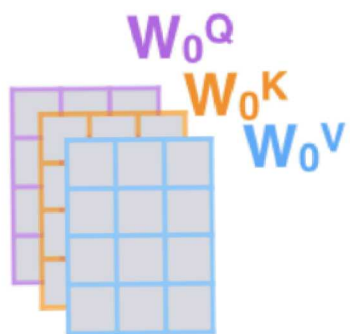
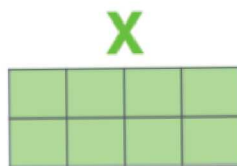
W^O

$=$



Multi-Headed Attention

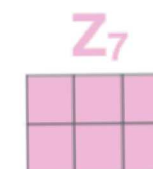
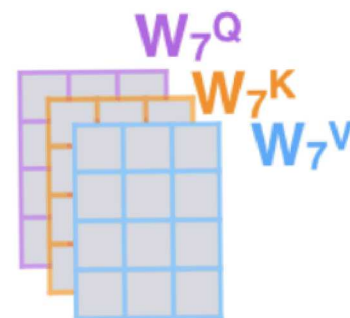
Thinking
Machines



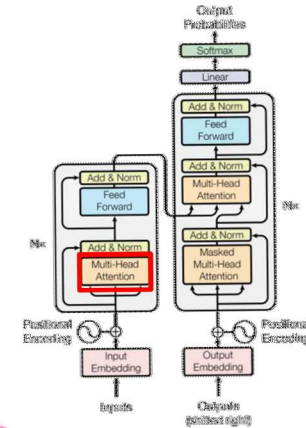
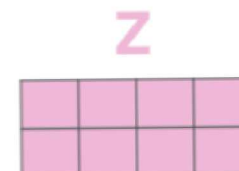
...

...

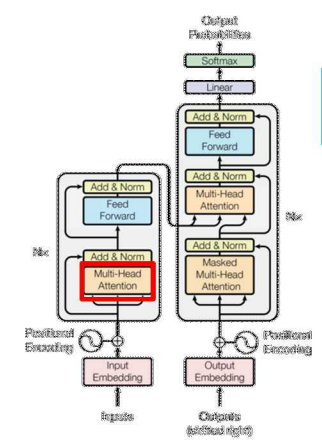
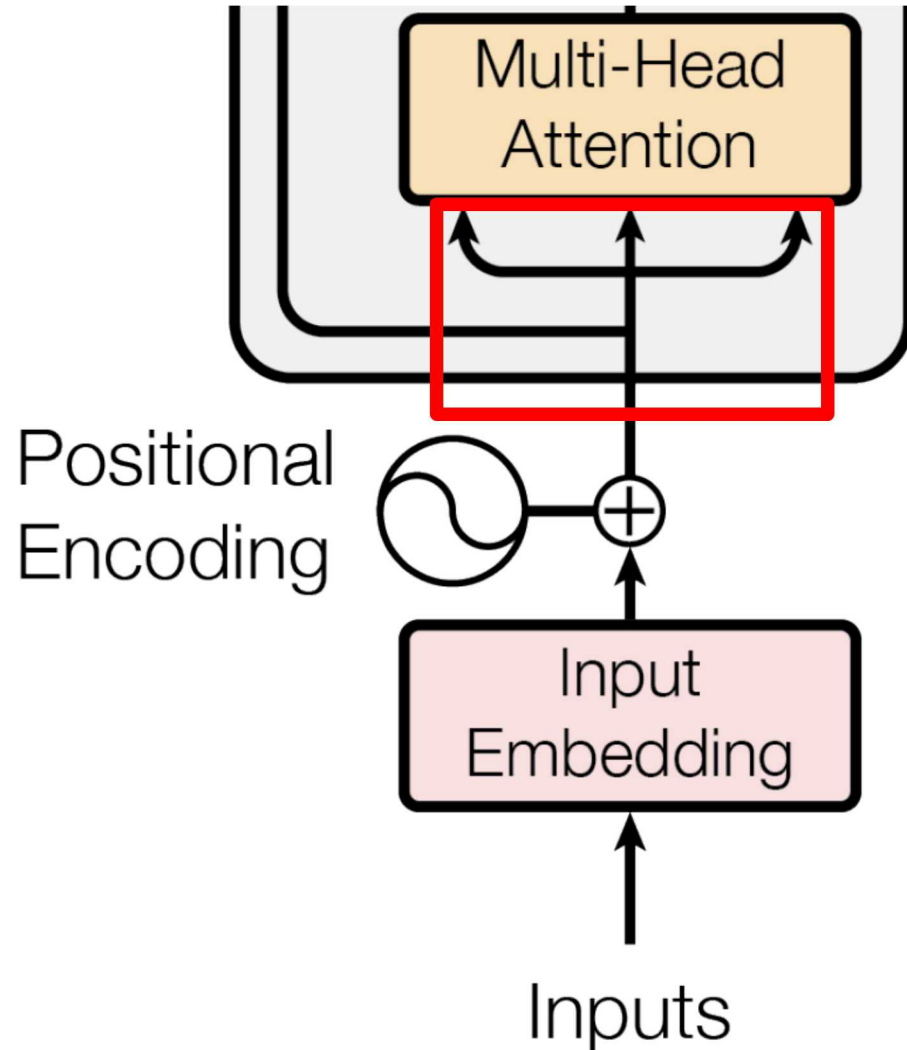
...



X



Multi-Headed Attention: Implementation Details

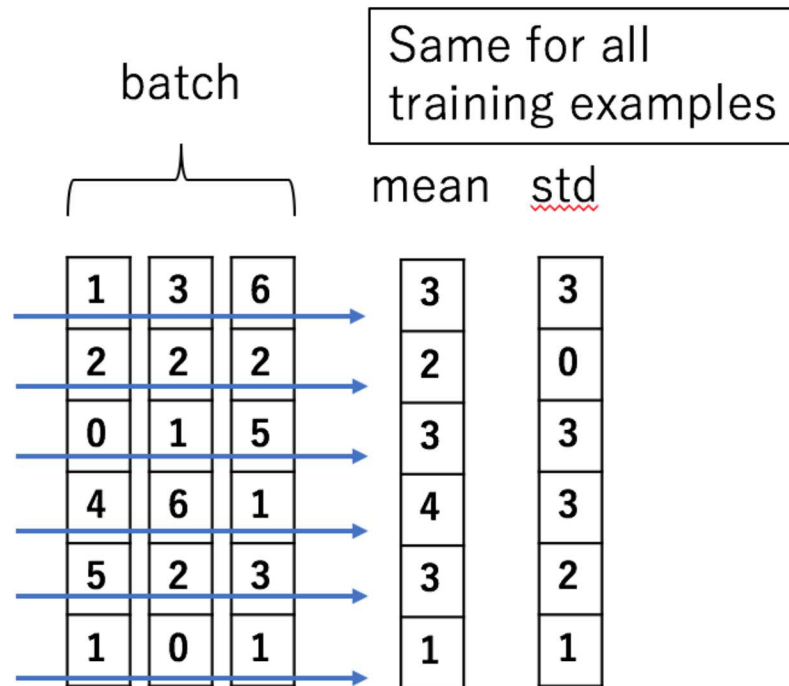


Encoder attention:

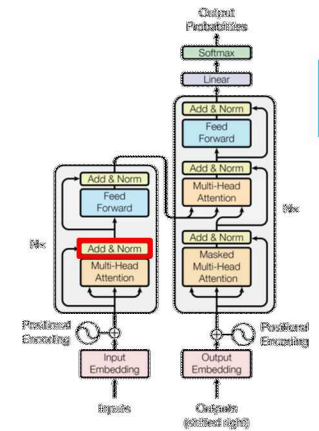
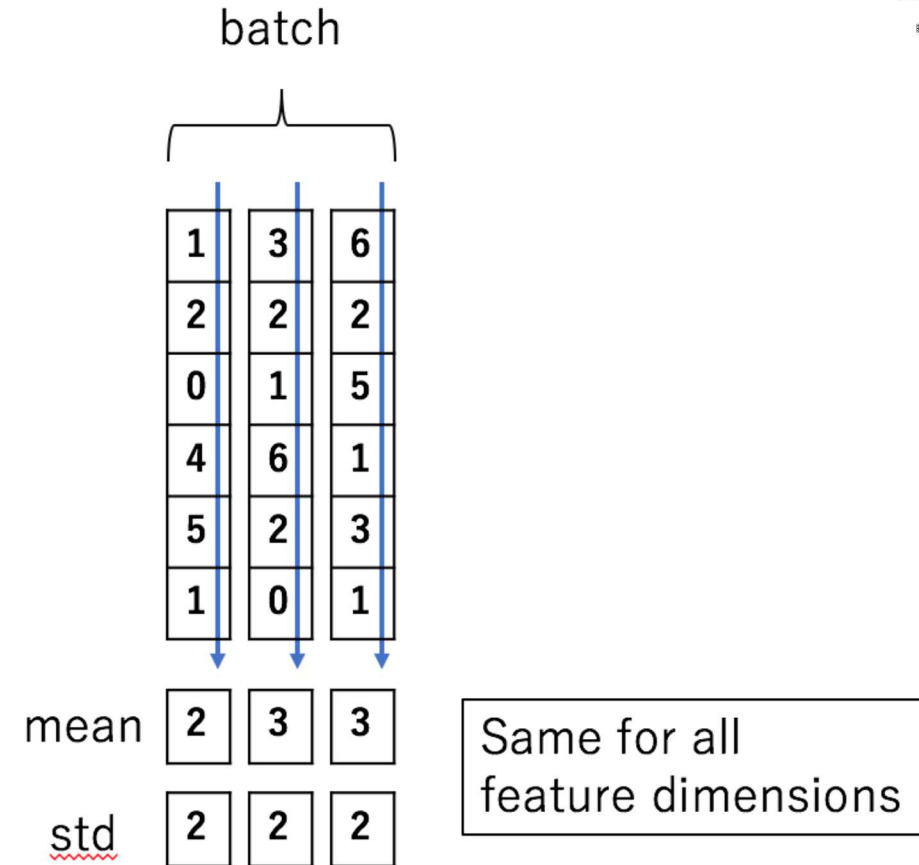
- Q, K, V matrices are all the same (different weight matrices). For multiple heads, reshape output from matrix multiplication
- I.e., if word embedding dimension is 512 and there are 8 heads, calculate $XW^Q = Q$ (dimension 512), reshape into 8 chunks (result dimension 64 for each head)
- E.g., $(seq_len, 512) \Rightarrow (seq_len, 64, 8)$
- Thus, embedding dimension must be divisible by number of heads

Layer Normalization

Batch Normalization



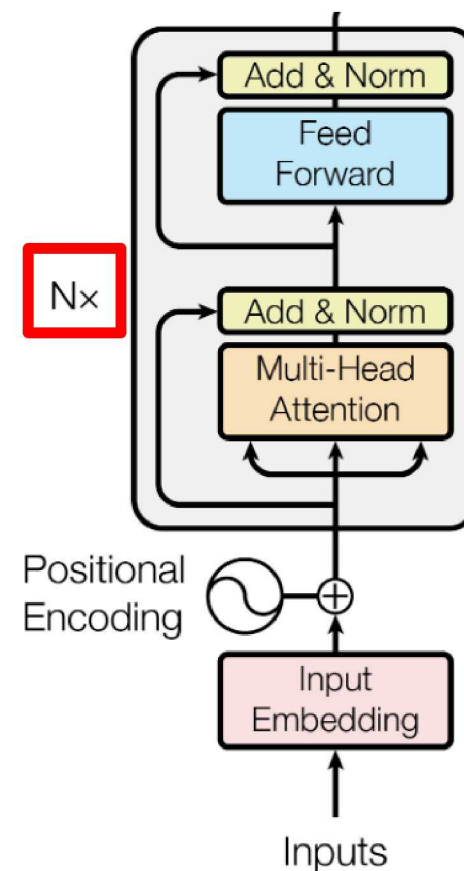
Layer Normalization



Feed Forward, Encoder Sublayers

Results of multi-headed attention + layer normalization are fed to fully connected feed forward network (2 layers)

- Input/output dimension 512, inner dimension 2048



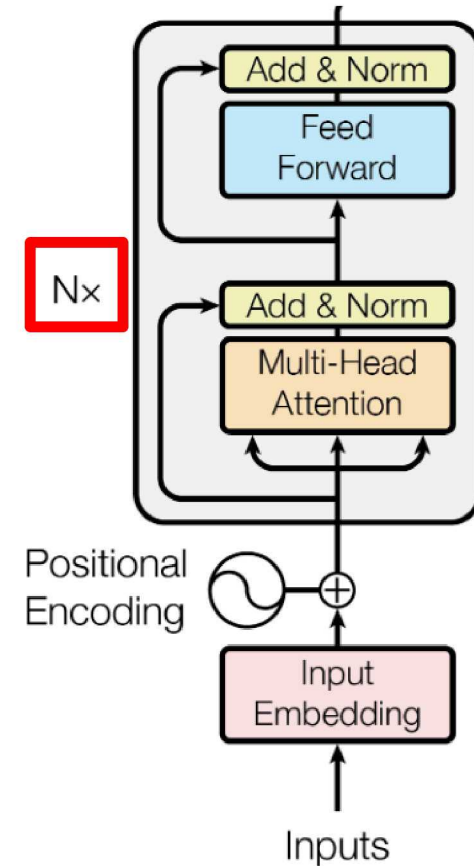
Feed Forward, Encoder Sublayers

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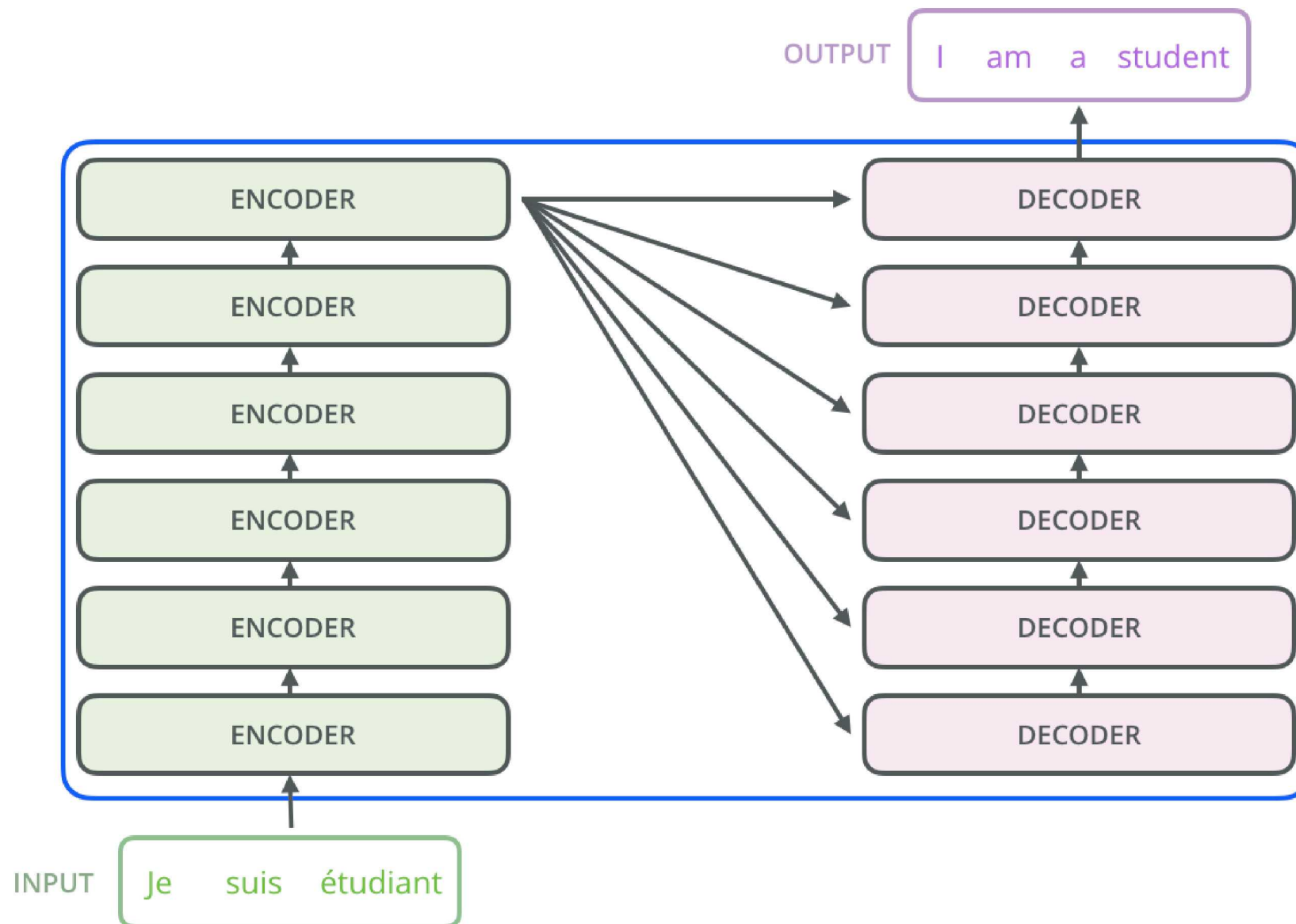
- Input/output dimension 512, inner dimension 2048

Encoder sublayers

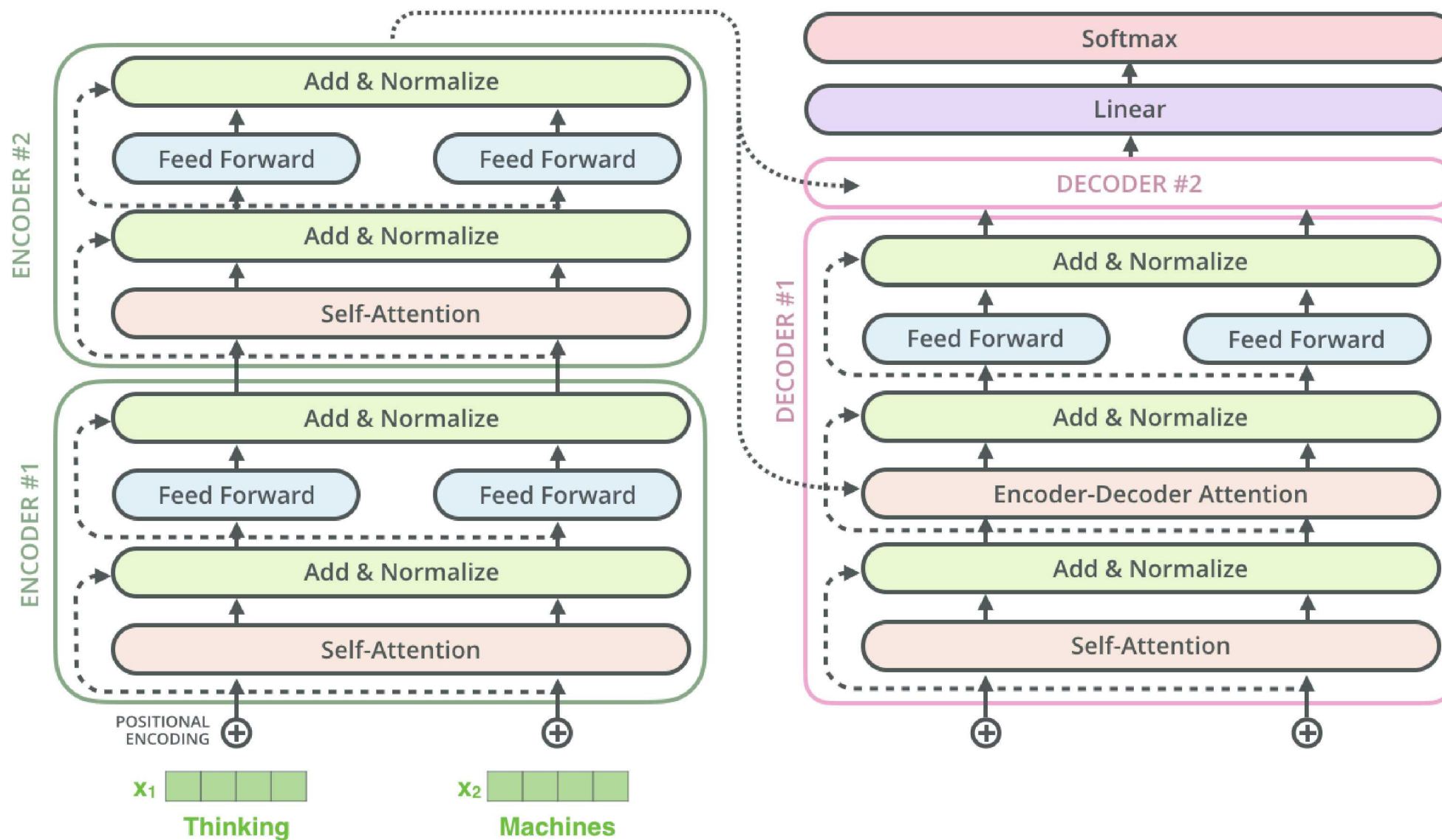
- 0th sublayer, input is embedding of input sequence
- All other sublayers, input is output of feed forward network



Encoder and Decoder Sublayers



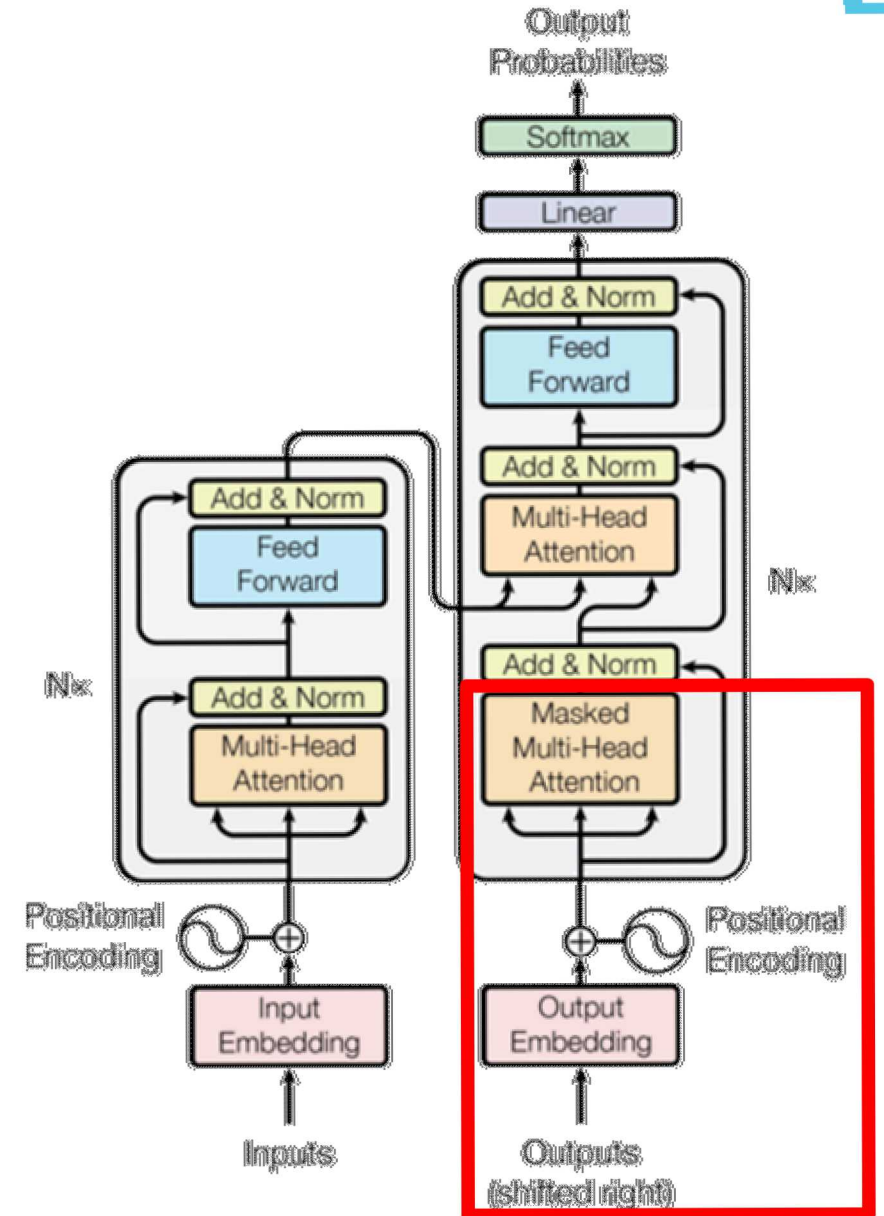
Encoder and Decoder Sublayers



Decoder Teacher Forcing and Masked Attention

Teacher forcing

- Want to be able to use previously seen words in the target sentence to inform future words
- During training, shift the target sentence right by one position (so we don't have information of future words)



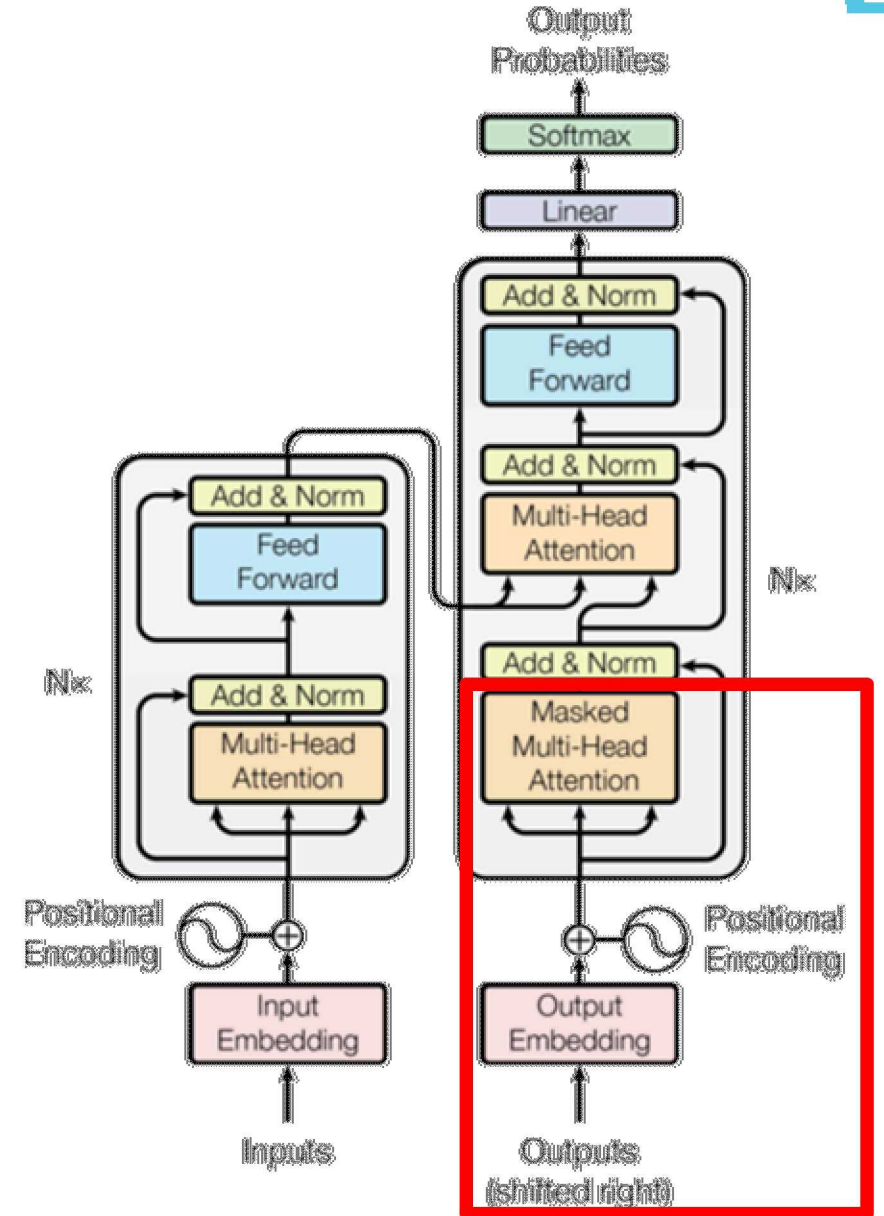
Decoder Teacher Forcing and Masked Attention

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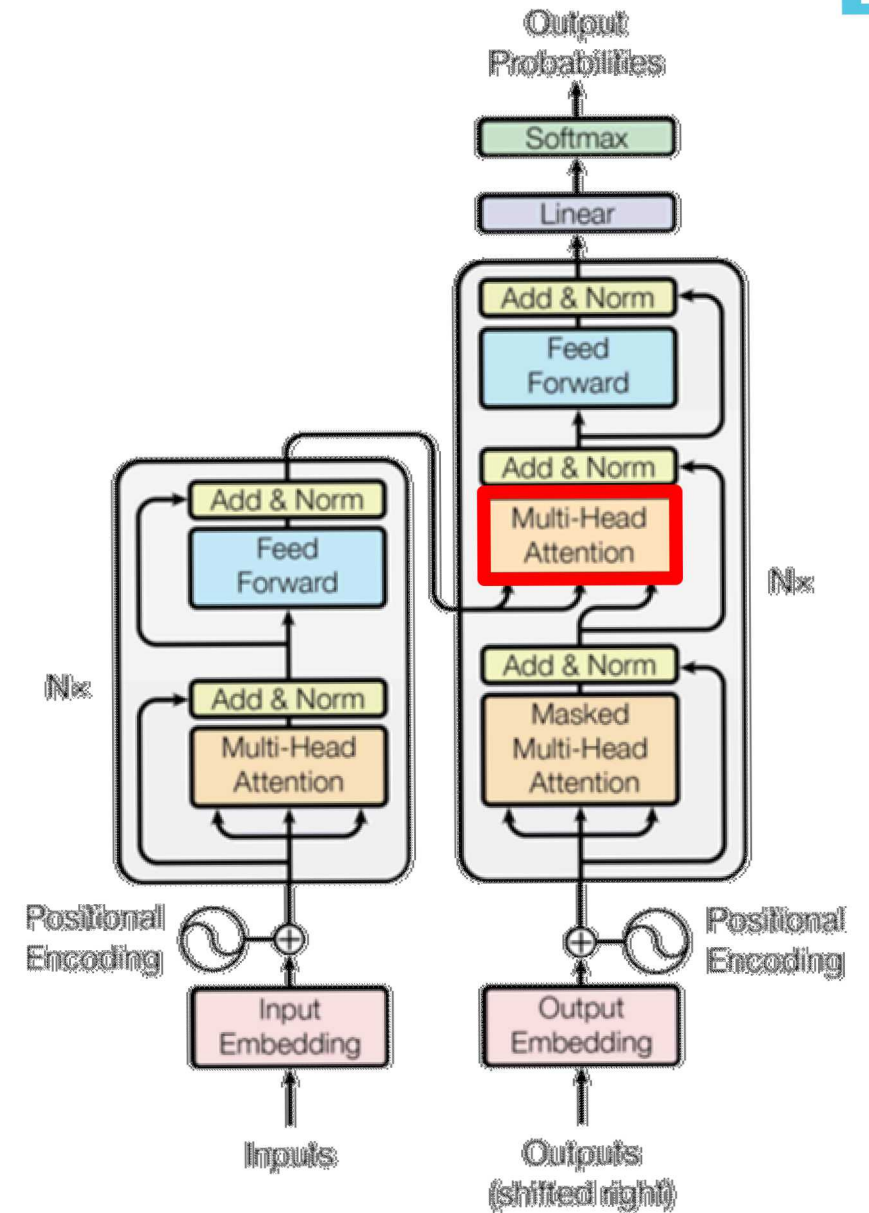
Masked attention

- Same process as encoder attention (Q, K, V based on teacher-forced target sentence), but masked so attention heads do not attend to future words



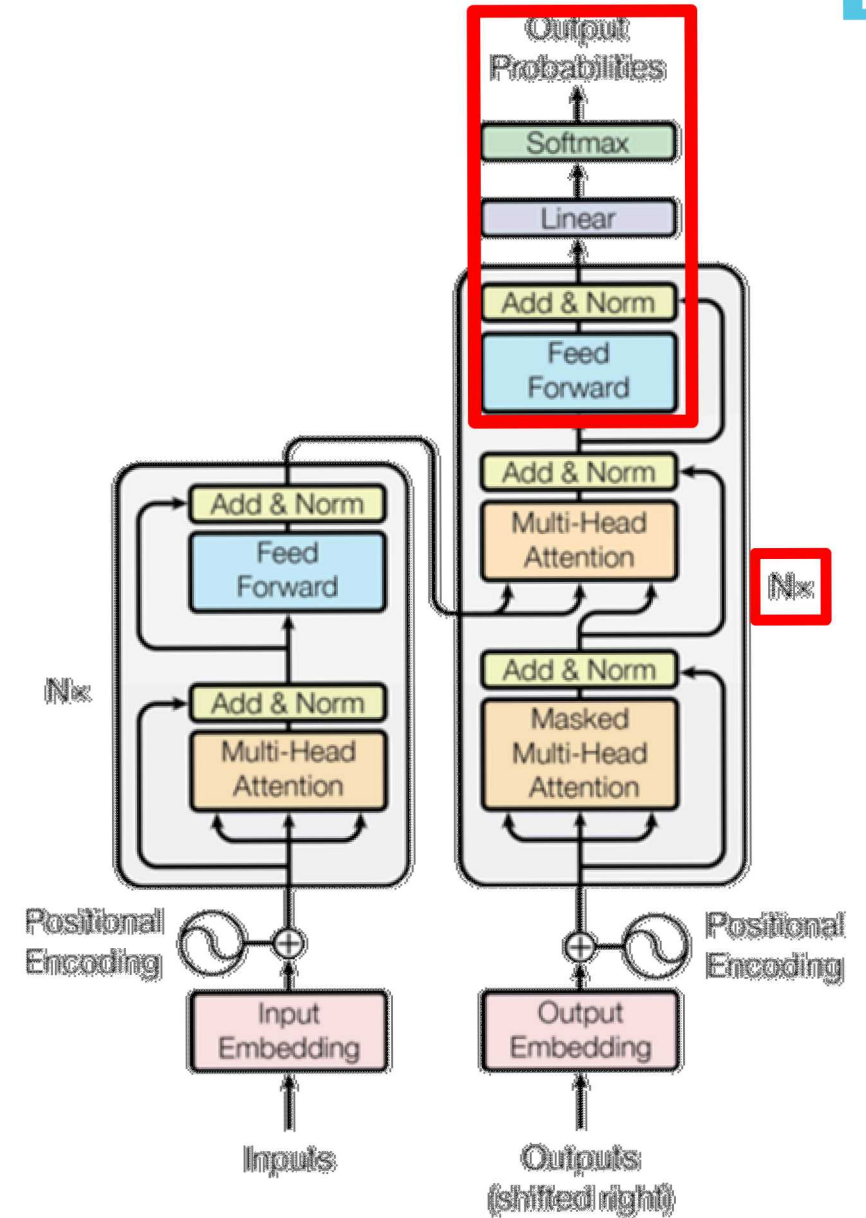
Encoder-Decoder Attention

- K, V are outputs from the final encoder sublayer
- Q is output from masked attention unit



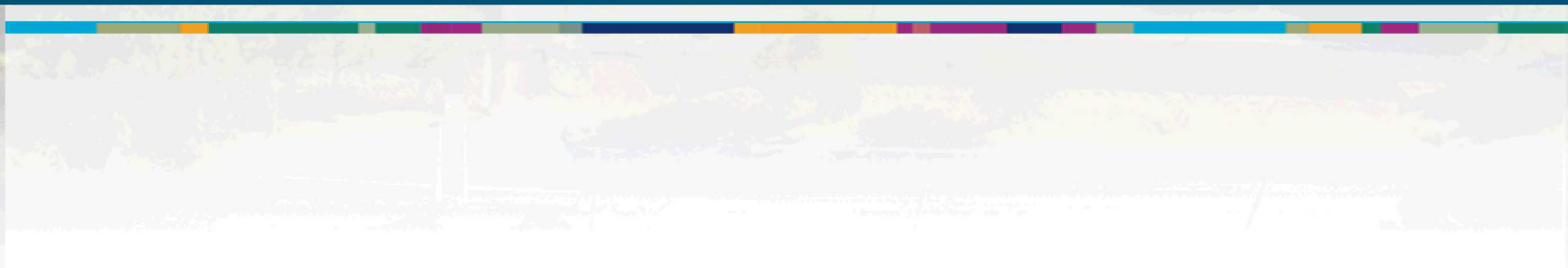
Decoder Final Steps

- Encoder-decoder attention unit gets normalized, put into feed forward network (same dimensions as encoder FFN), repeated N times for each decoder sublayer
- Final output of decoder sublayer put through fully connected linear layer, into softmax over vocabulary





Model Training



Miscellaneous Training Details

Loss function

- Label-smoothed cross-entropy
- Rather than an output target of $[1, 0, 0, \dots, 0]$ (for k size vocabulary), set the target to $[1-e, e/(k-1), e/(k-1), \dots, e/(k-1)]$
- Form of regularization

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Warmup and LR decay

- Adam optimizer
- $lr = d_{model}^{-0.5} * \min(stepnum^{-0.5}, stepnum * warmupsteps^{-1.5})$
 - Linearly increase LR for $warmupsteps$, decrease proportional to inverse square root of $stepnum$

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Warmup and LR decay

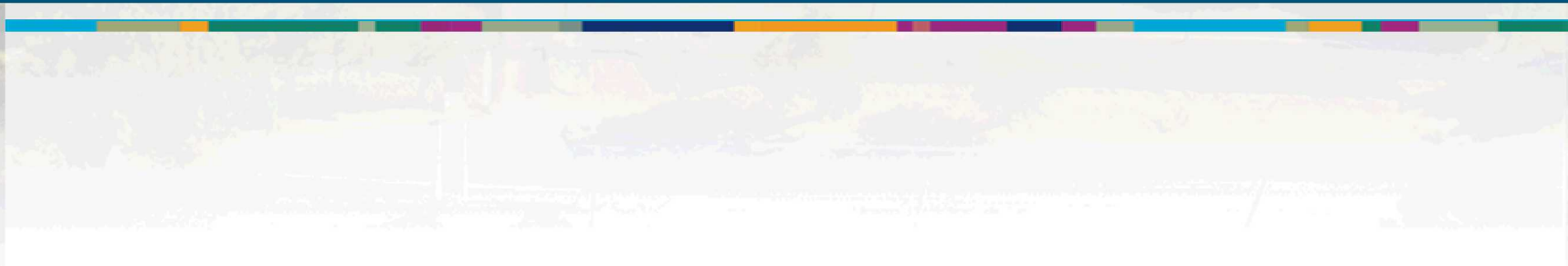
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Shared embedding weights in input, target, and output

Dropout at each sublayer output and embeddings



Model Prediction



Model Prediction Visualization



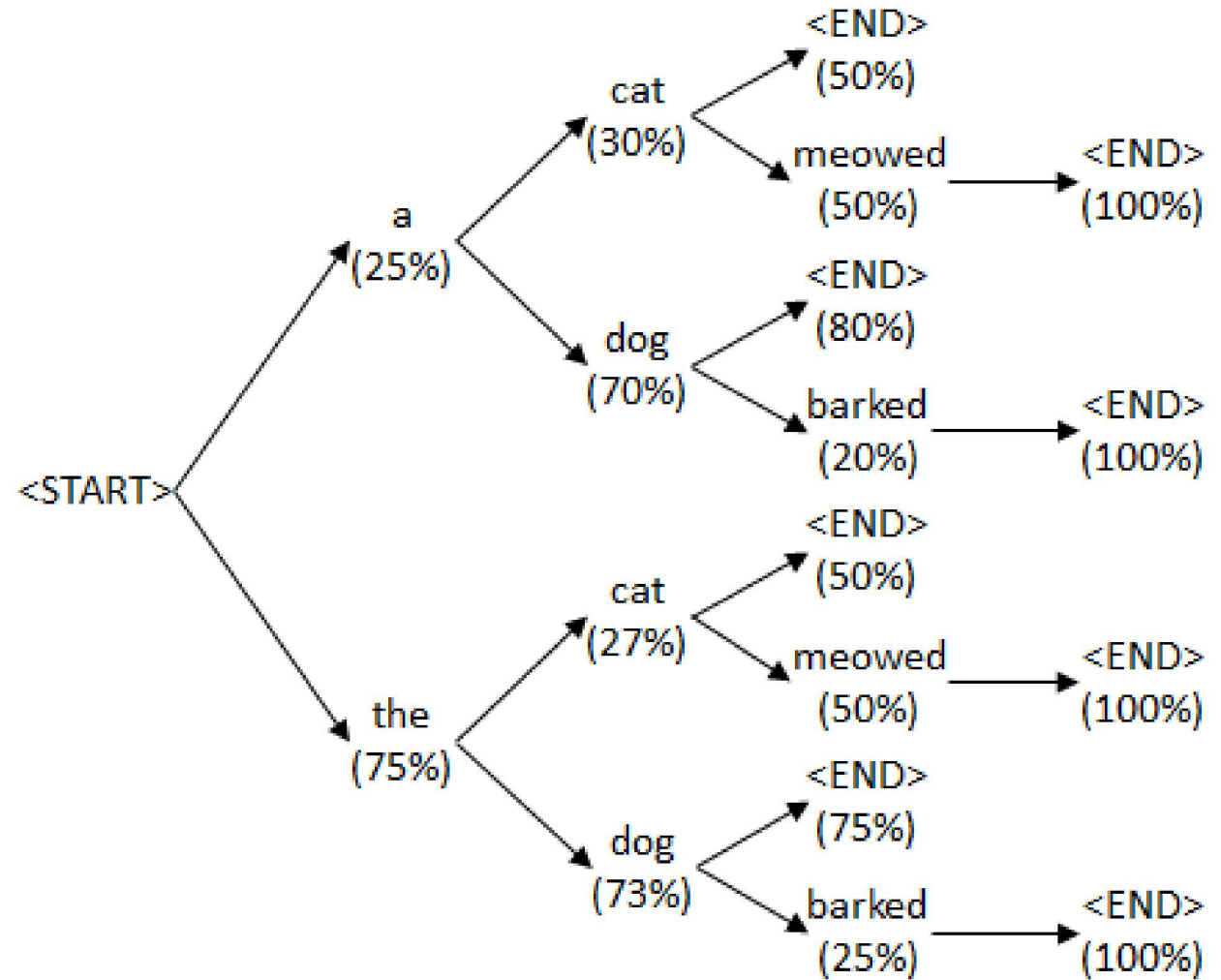
Determining Predicted Words

Greedy decoding

- Predict word that has maximum probability according to model

Beam search

- Expand all possible next word predictions, keep k most likely sequences generated. Continue until most probable sequence contains the `<END>` token.





Experimental Results



Translating C Source Code to English

Data

- C functions and associated comments extracted using clang
- ~1.4M train, 338k validation, 271k test
- Duplicates removed *prior* to preprocessing

Source to English Model

Data

- C functions and associated comments extracted using clang
- ~1.4M train, 338k validation, 271k test
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Tokenization

- Source code: split on variable names, language keywords, operators, punctuators
- Comments: replace numbers with special token, remove punctuation, normalize whitespace

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BLEU (bilingual evaluation underscore) as evaluation metric

- Best score: 18.26 using transformer

```
ORIG: char getField ( struct board * target , int x , int  
y ) if ( x NUMBERTOKEN y NUMBERTOKEN x target width y  
target height ) return FIELDOUTOFBOUNDS ; return *  
calcFieldAddress ( target , x , y ) ;
```

TGT: Gets what is on a given field of the board returns
FIELDXYZ constant

HYP: Returns the value of the field at the given
coordinates

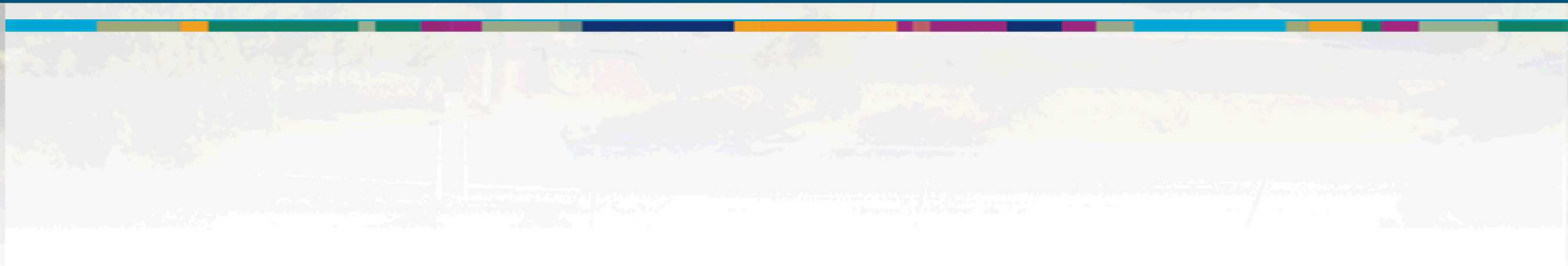
```
ORIG: static void makedevice ( char * path , int delete )  
const char * devicename ; int major , minor , type , len ;  
int mode NUMBERTOKEN ; uidt uid NUMBERTOKEN ; gidt gid  
NUMBERTOKEN ; char * devmajmin path strlen ( path ) ; ...rest  
of code omitted for slide brevity
```

TGT: mknod in dev based on a path like sysblockhdahda1

HYP: mknod in dev based on a path like
sysblockhdahdahdahdahdahdahdahdahdahdahdahda1 based on a
dev based on a dev based on a path like
sysblockhdahdahdahda1



Additional Resources



Open Source Implementations

<https://github.com/tensorflow/tensor2tensor>

<https://github.com/pytorch/fairseq>

<https://github.com/OpenNMT/OpenNMT-py>

<https://github.com/huggingface/pytorch-pretrained-BERT>

...

Additional Learning Resources

<http://jalammar.github.io/illustrated-transformer/>

<http://nlp.seas.harvard.edu/2018/04/03/attention.html>

<https://towardsdatascience.com/how-to-code-the-transformer-in-pytorch-24db27c8f9ec>