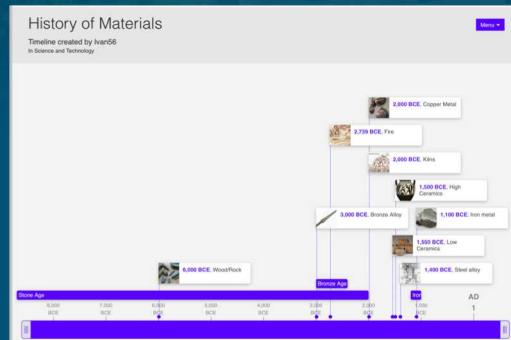


# The Thermal History of Materials: The Origin of Earth, Civilization, and Advanced Manufacturing



PRESENTED BY

Josh Sugar



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# Connecting Sandia and Carnegie through Thermal History of Materials



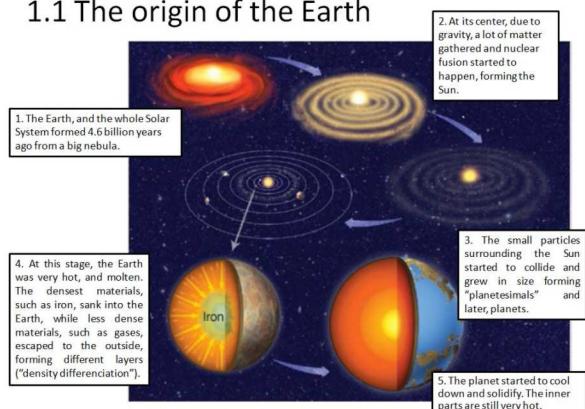
• “to encourage, in the broadest and most liberal manner, investigation, research, and discovery and the application of knowledge to the improvement of mankind.”

- Recent NASA astrobiology grant “The evolution of planet Earth and the emergence of life during its first half-billion years are inextricably linked, with a series of **planetwide transformations** – formation of the ocean, evolution of the atmosphere, and the **growth of crust and continents** – underpinning the environmental stepping stones to life. But **how, and in what order, were the ingredients for life on Earth manufactured and assembled?**”

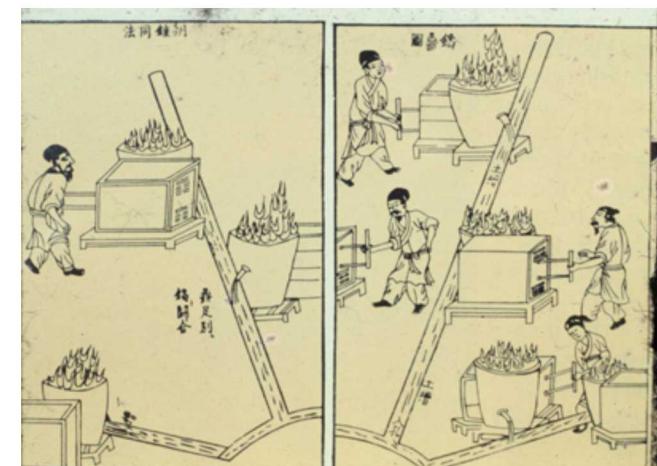
• Sandia develops advanced technologies to ensure global peace.

- The materials and manufacturing technologies we use have carefully tailored thermal histories for predictable and reliable properties in extreme environments

## 1.1 The origin of the Earth



To make materials useful we have to erase the natural thermal history and create special thermal histories with useful microstructures

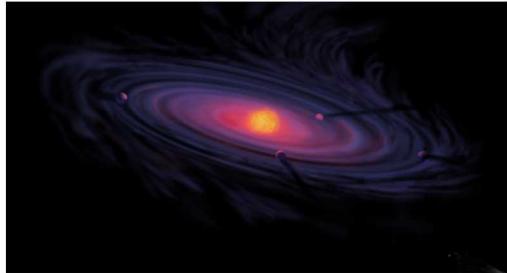


## Useful Materials Originate in Earth's Crust that Cooled Very Slowly Over Millions of Years



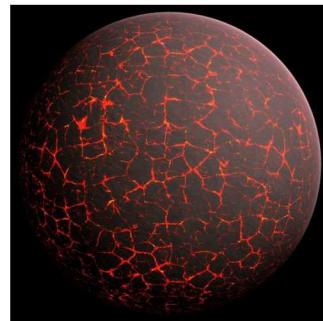
~4.5 Billion Years ago

All kinds of space dust was spinning around and there were star explosions, and it all started to clump together



~4 Billion Years Ago

Everything was hot and there were lots of space collisions that affected the distribution of elements in the crust



This early activity in the solar system defined the thermal history of Earth and created the dynamic environment from which humans extract any raw materials used for making tools and developing technology



~3.5 Billion Years Ago

Bacteria like stromatolites could survive on Earth's surface and started to produce oxygen, which changed Earth's atmosphere to enable more complex life forms



# The Development of Civilization's Tools and Culture Depended on What Materials Were Available

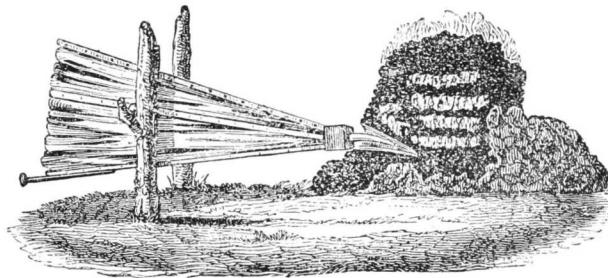


5000 BC

The Stone Age  
Materials available was  
limited to rocks that  
could be found or traded



Early smiths used  
heating and  
shaping/forging of  
soft metals (Au, Cu,  
Ag), to make  
ornaments and  
decoration



Tools for farming, war and protection, ornaments and decorations,  
structures and buildings were all limited by the materials processing  
technology available

4000 BC

Melting and  
casting

3000 BC

Early Bronze  
from Cu and  
As, much  
harder than  
previous  
materials

1200 BC

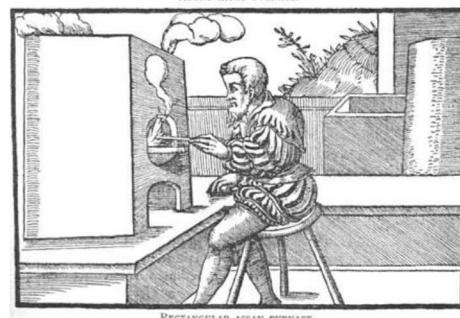
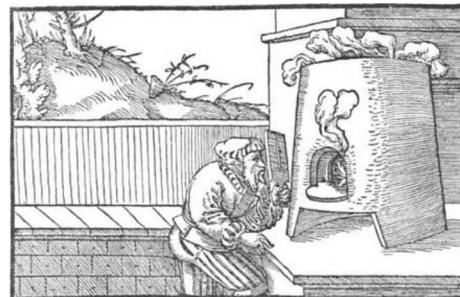
Iron-working



## Lots of Metallurgical Developments with Little Understanding of Why



Surgical Tools of Ancient Rome



16th century cupellation furnaces

Damascus Steel (201 - 1750 AD)



Antique Japanese *katana* attributed to Sukenao, 1600s.

## Modern Advanced Manufacturing Relies Heavily on the Hammer To Make Useful Structural Materials

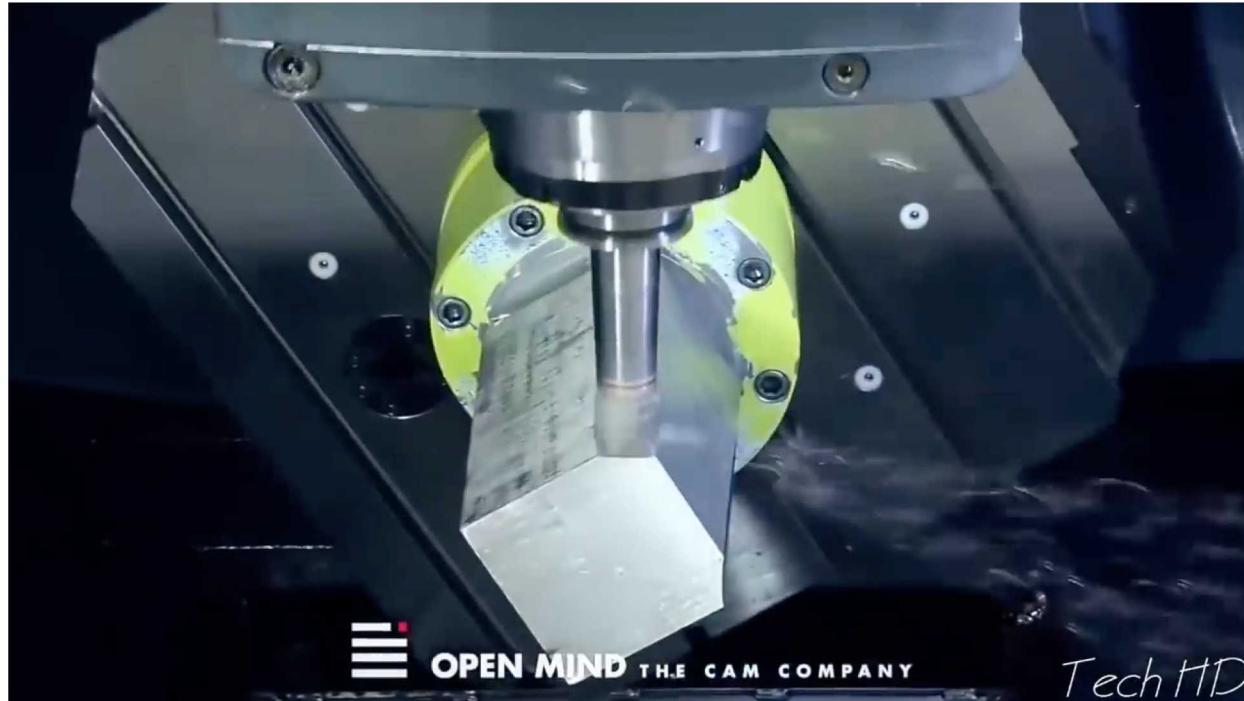
Modern wrought and forged stainless steels have high strength, high hardness, and resistance to crack growth even in harsh environments



High Energy Rate Forging

The combination of the thermal energy and deformation energy creates a distinguishing microstructure with enhanced properties for applications like hydrogen storage pressure vessels (>10000 psi)

## Conventional Manufacturing or Subtractive Manufacturing Removes Material From a Larger Form or Billet

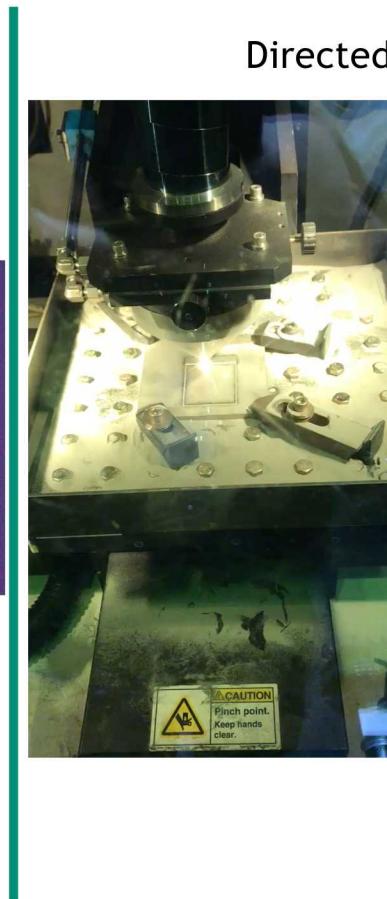


The properties of the final product depend on the properties of the original billet of material

## 9 New Manufacturing Technologies are Being Developed that Remove our Ability to use a Hammer



Powder Bed Based System

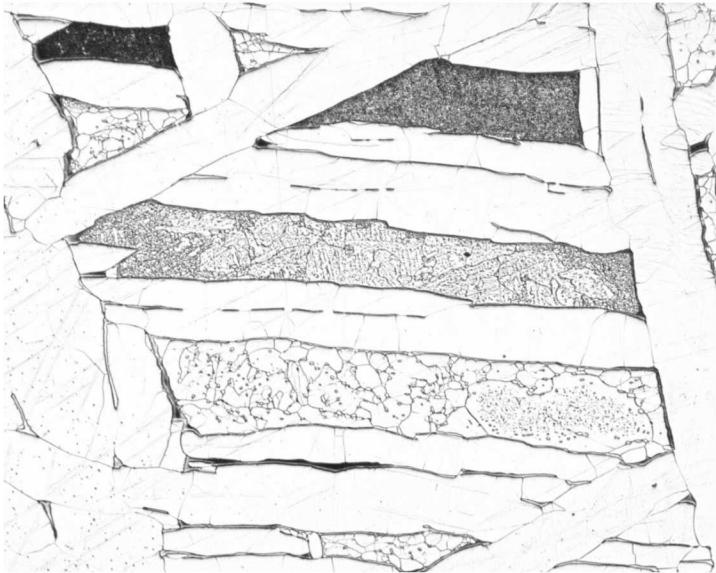


Directed Energy Deposition

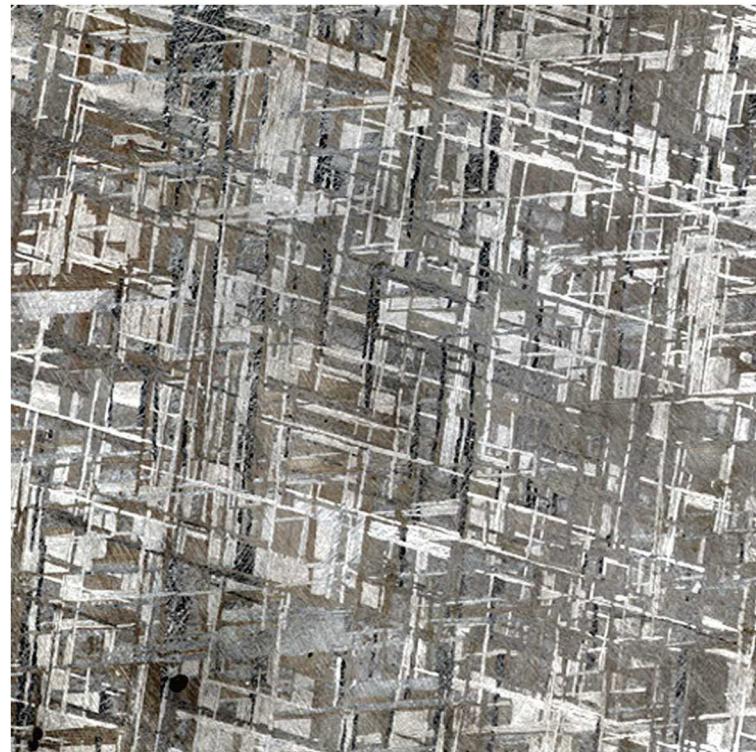


The properties of the final product depend on the details of the processing of each layer in the 3D structure. Material is fabricated (thermal history from melt) and shaped simultaneously

The details of the microstructure tell us about the origin of the universe



Polished Metallographic Cross  
Section of Widmanstätten Pattern



The fine Widmanstätten pattern of a Gibeon Meteorite

With new advanced manufacturing technology, we are in a situation again where we don't know what microstructural features are important, and how to control them with the process

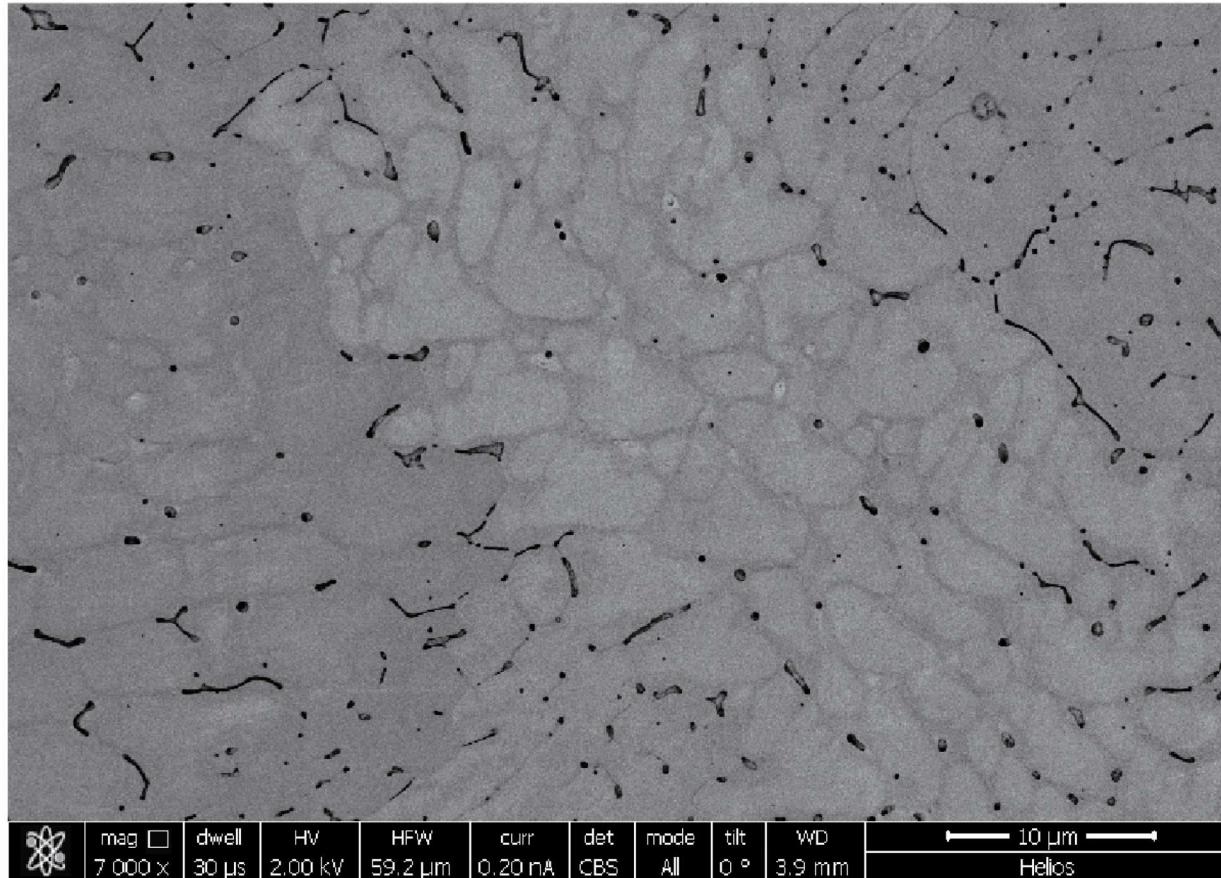
[https://en.wikipedia.org/wiki/Widmanstätten\\_pattern](https://en.wikipedia.org/wiki/Widmanstätten_pattern)

## Understand the Microstructure and Properties in Additive Manufactured Stainless Steel

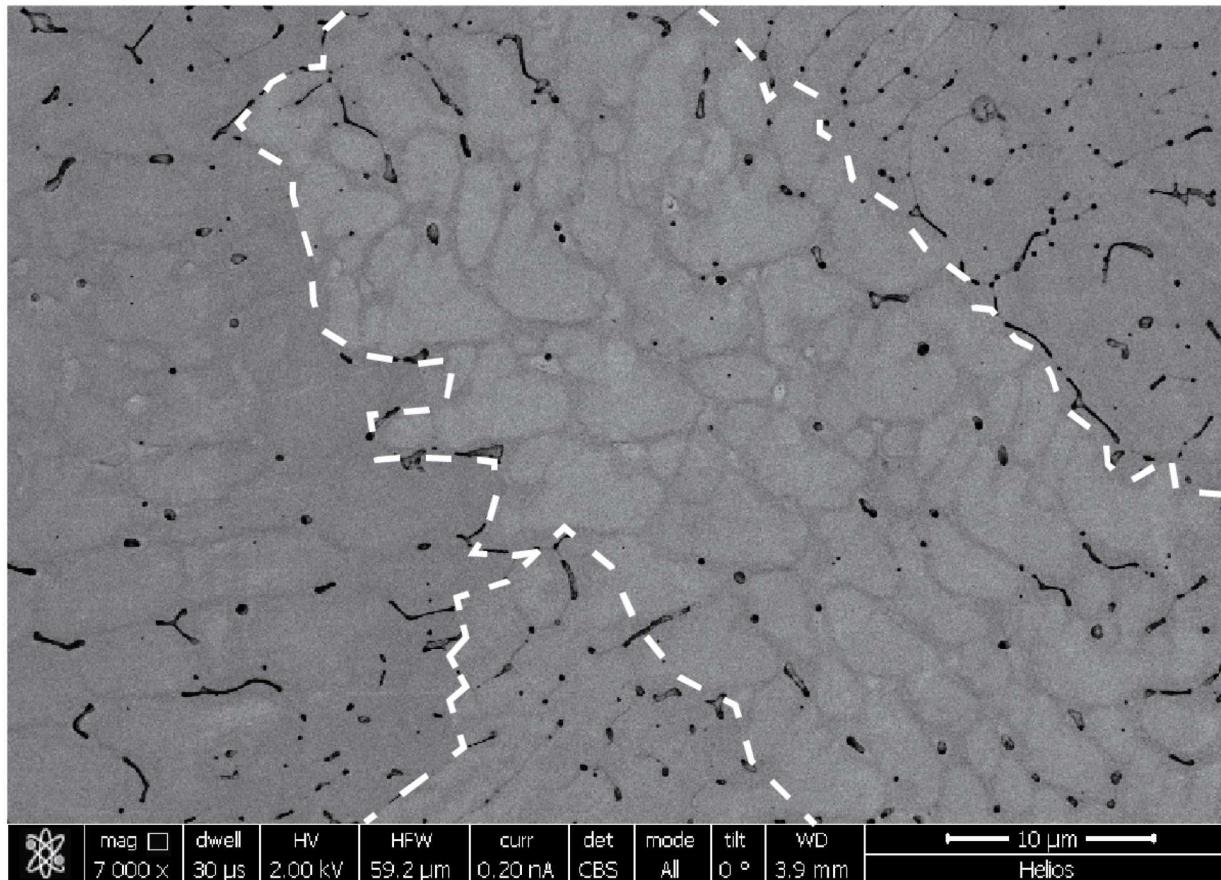
- How does the unique thermal history of these processes develop microstructures in these materials?
  - Melting and then cyclic heating
- How do the microstructural features present in these materials contribute to strength?
- How spatially uniform is the microstructure and corresponding properties?
- What can we use as a “hammer” to manipulate the materials properties going forward?



## Need to Understand Each of These Features



## Need to Understand Each of These Features



## The Similarity to Welds Becomes Clear with Microscopic Investigation of Grain Morphology



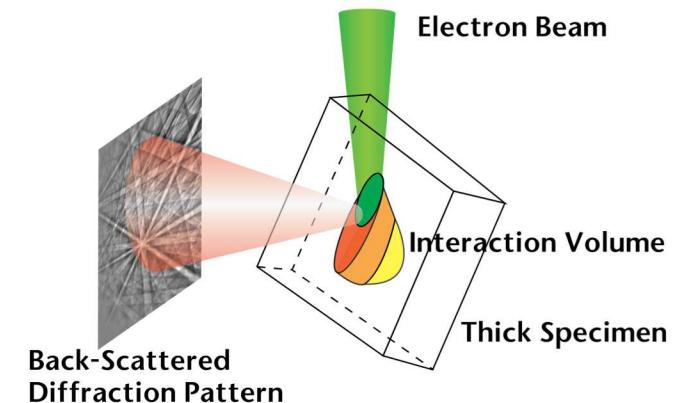
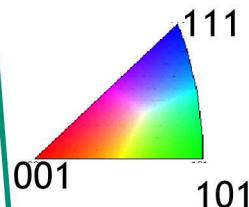
1 mm 304L/308 Gas Tungsten Arc Weld



1 mm 2kW Directed Energy Deposition 304L



1 mm 400 W Directed Energy Deposition 304L

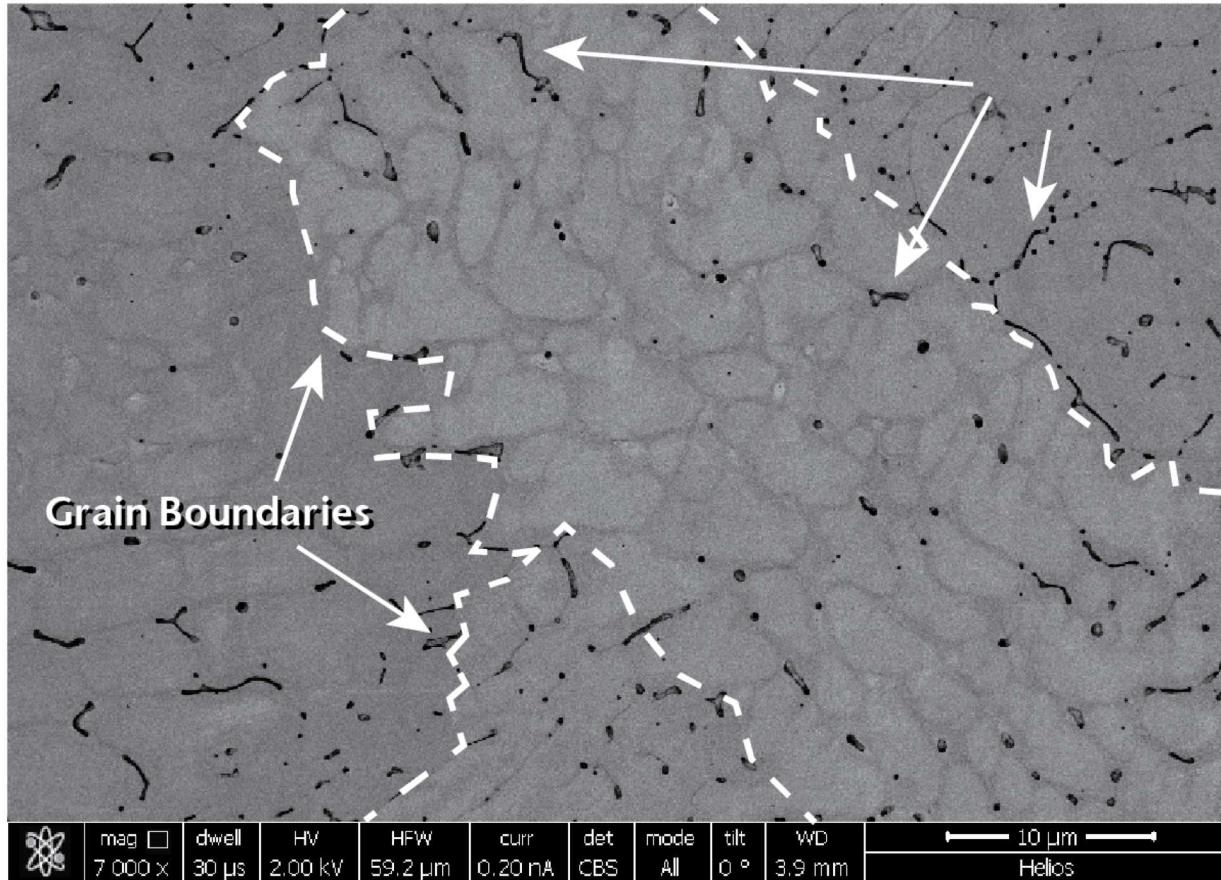


Electron Beam  
Interaction Volume  
Thick Specimen  
Back-Scattered Diffraction Pattern  
Electron Backscattered Diffraction Orientation Image Mapping

Analogy to welding will help to understand the microstructural evolution



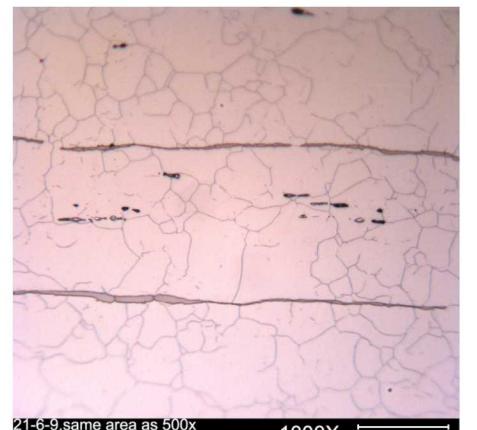
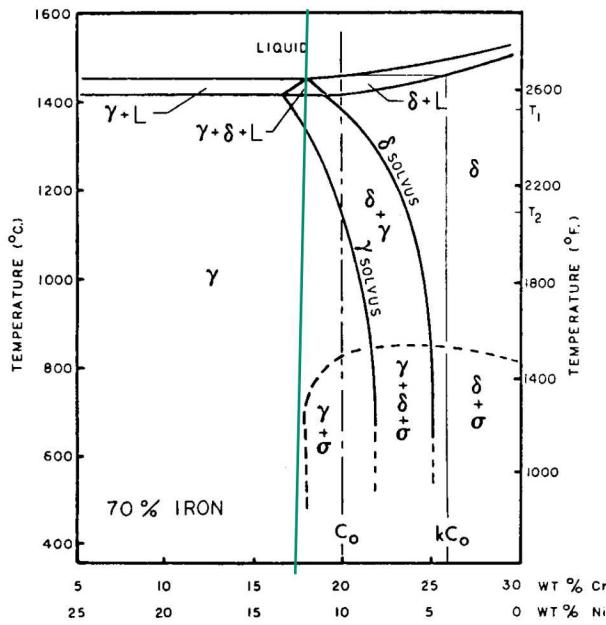
## Need to Understand Each of These Features



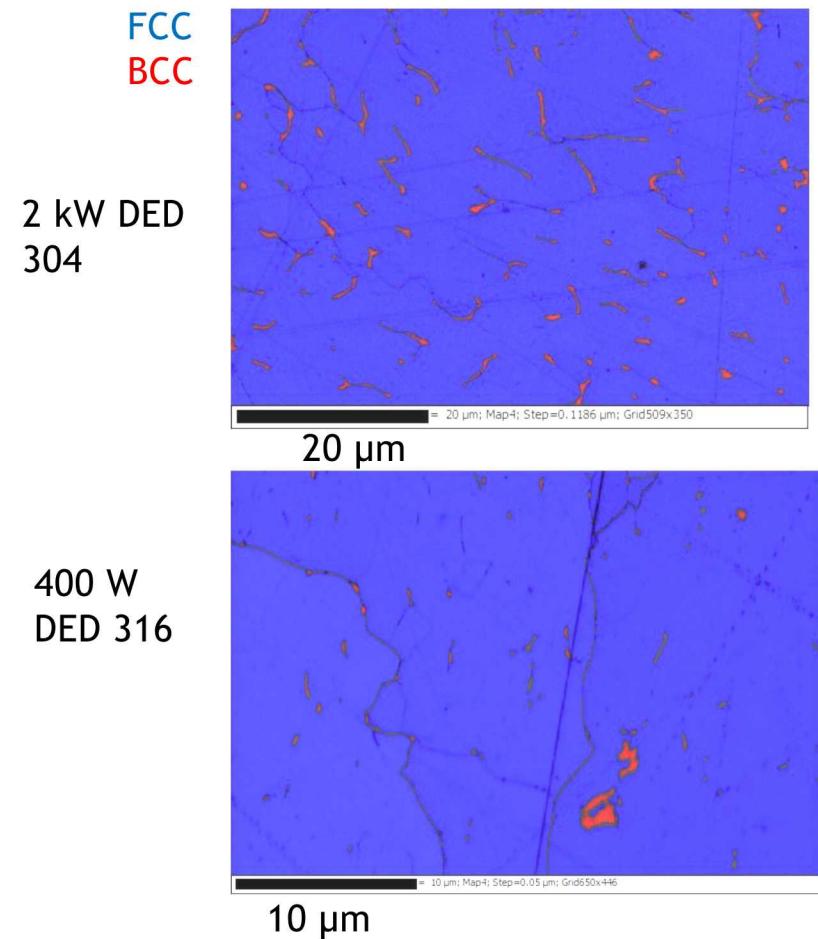
# Fine-Scale Structural Modulations Develop During Solidification

Steel can solidify into multiple crystal structures

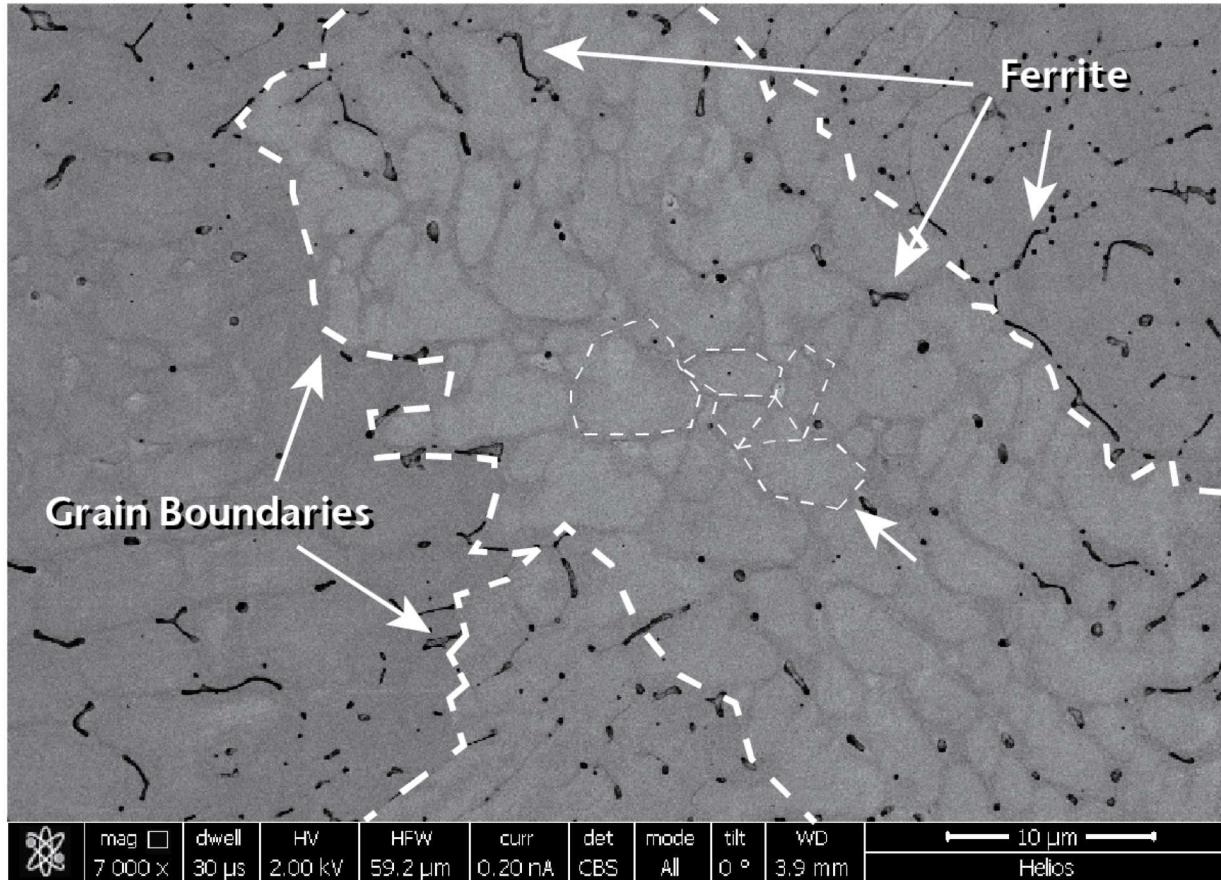
- $\gamma$ -Austenite is face-centered cubic
- $\delta$ -Ferrite is body-centered cubic
- Typical forging would not result in a fine distribution



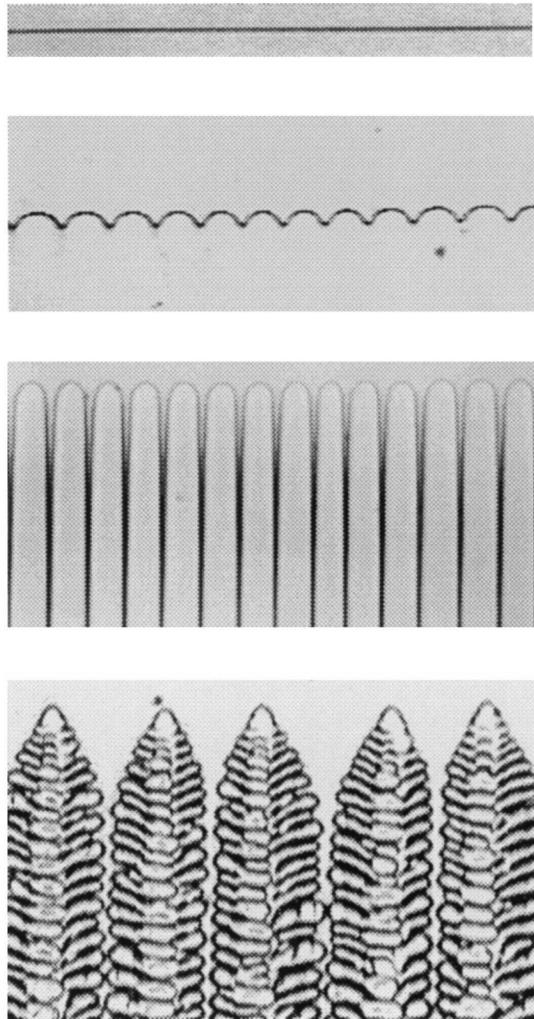
Typical ferrite distribution  
in forged 21-6-9



Need to Understand Each of These Features



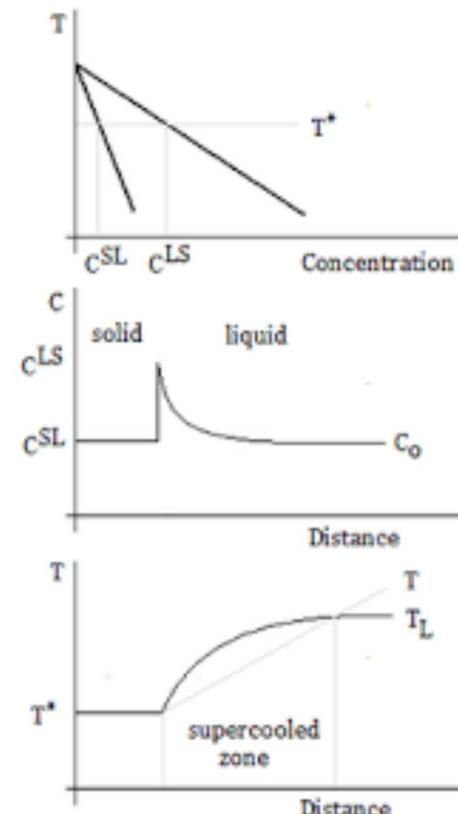
## Cellular Solidification



Solid and liquid can have different compositions, which can lead to enrichment of one component in the liquid

Increasing Solidification Speed

W. Losert, B. Q. Shi, H. Z. Cummins  
Proceedings of the National Academy of Sciences Jan 1998, 95 (2) 431-438

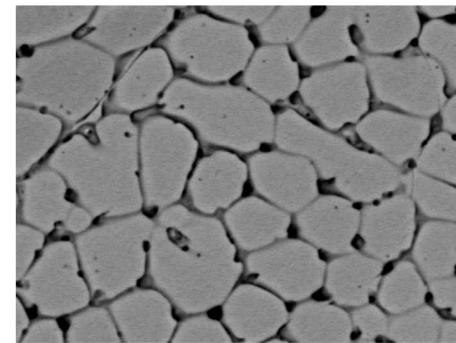
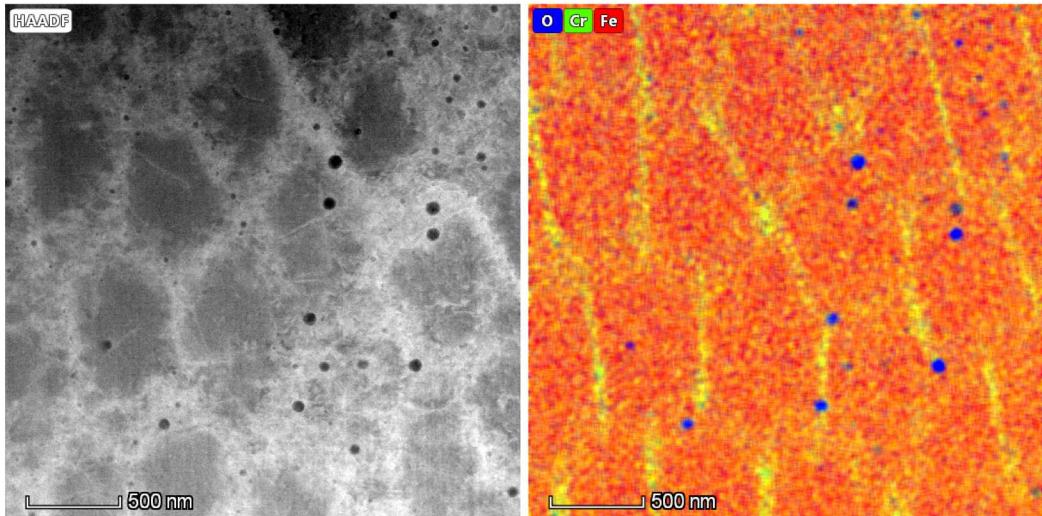


# Fine-Scale Compositional Modulations from Cellular Solidification

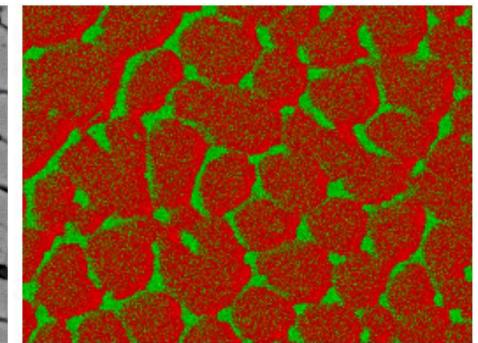
Cr enrichment at cell boundaries

- Microprobe confirms ~1.5 wt. % enrichment
- DED structures have a cell size of approximately ~1  $\mu\text{m}$
- PBF results in much finer-scale segregation (~500 nm)

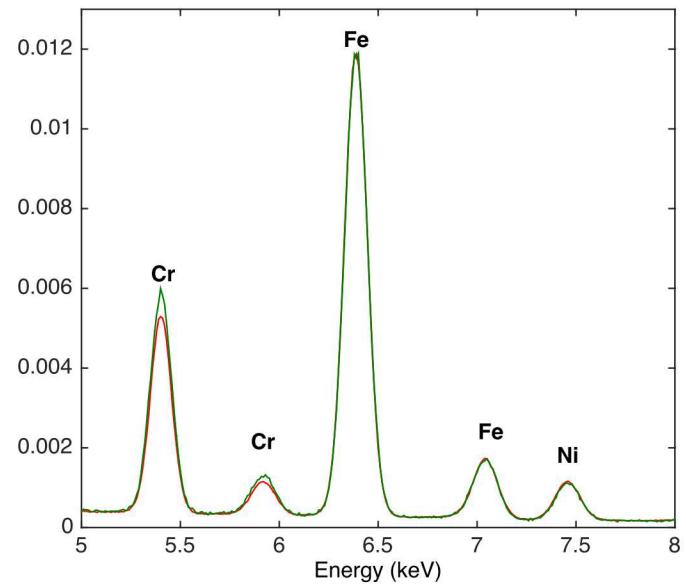
PBF



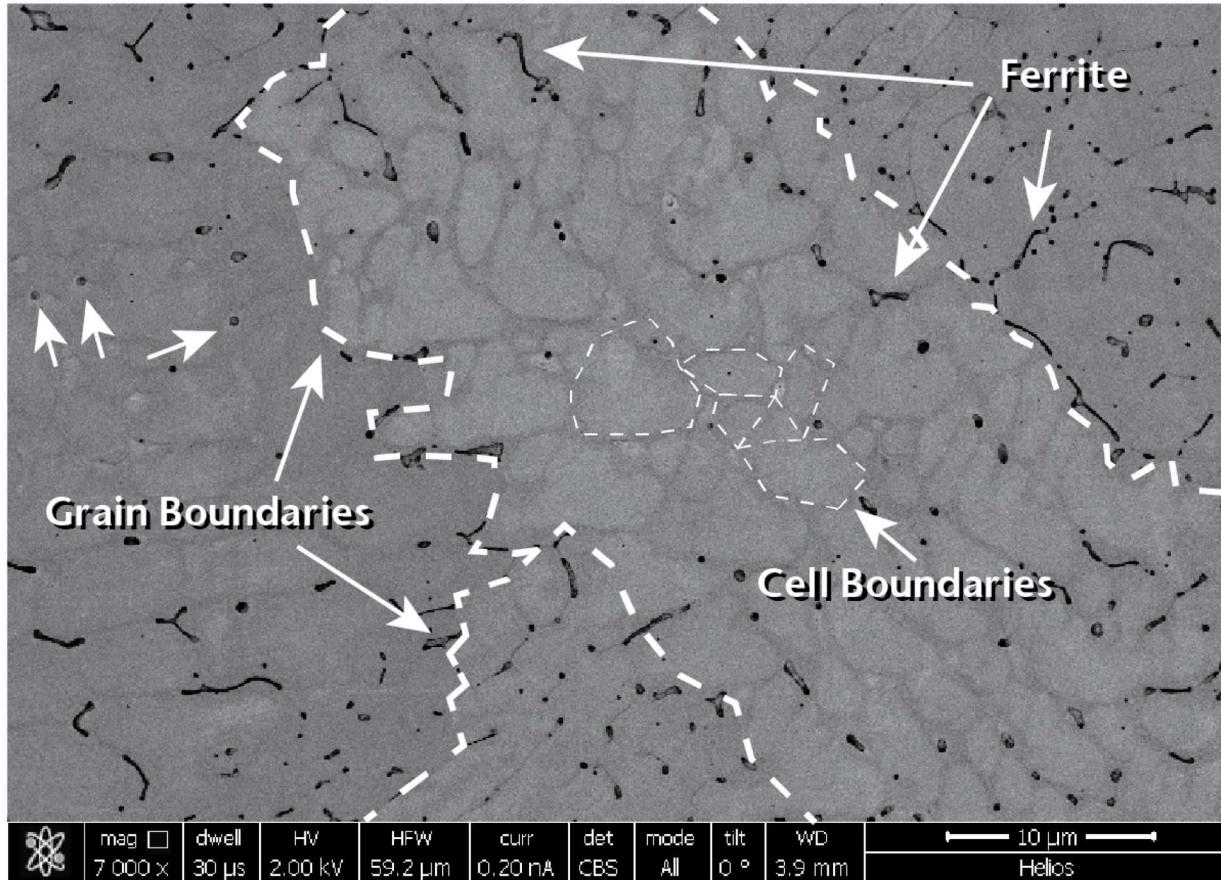
10  $\mu\text{m}$



DED



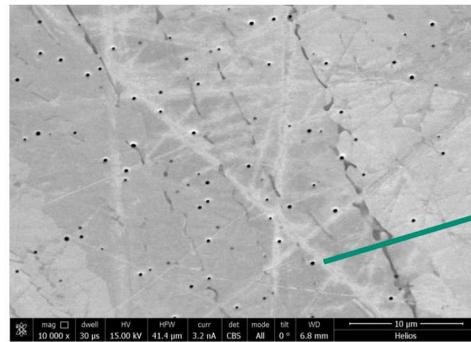
## Need to Understand Each of These Features



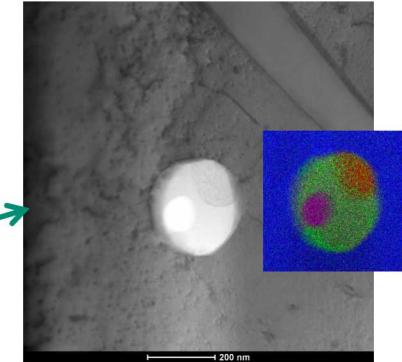
## Small Round Inclusions are Oxides not Pores

Small oxides uniformly dispersed

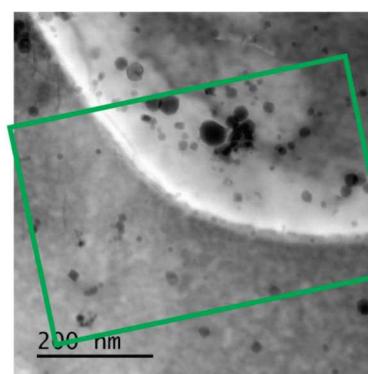
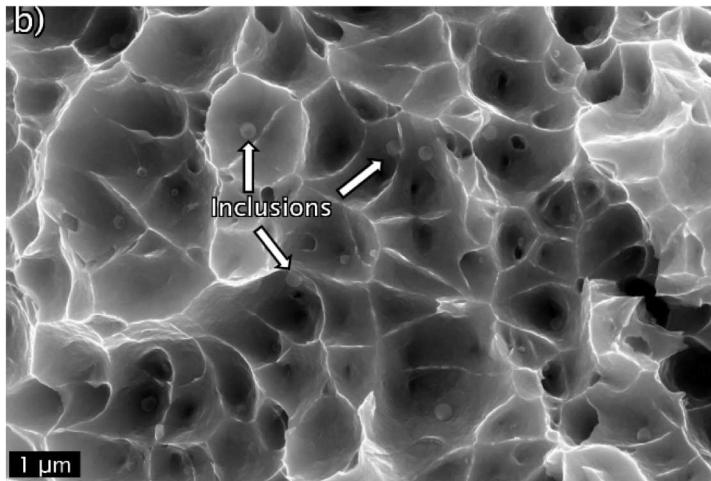
- Pulls O and S out of bulk
- Can aid in ductility by decreasing O and S in solution
- Can strengthen the material as in an oxide dispersion strengthened steel



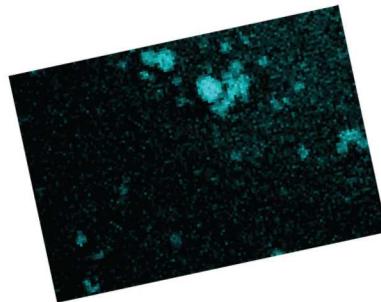
2 kW PSU 304



SS  
Mn-Si-Ti-O-rich  
Mn-S-rich  
Si-O rich

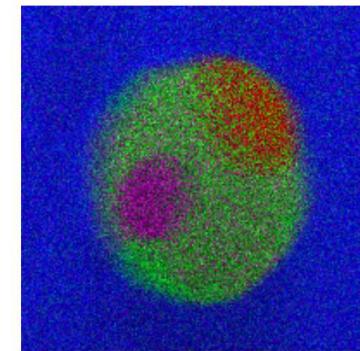
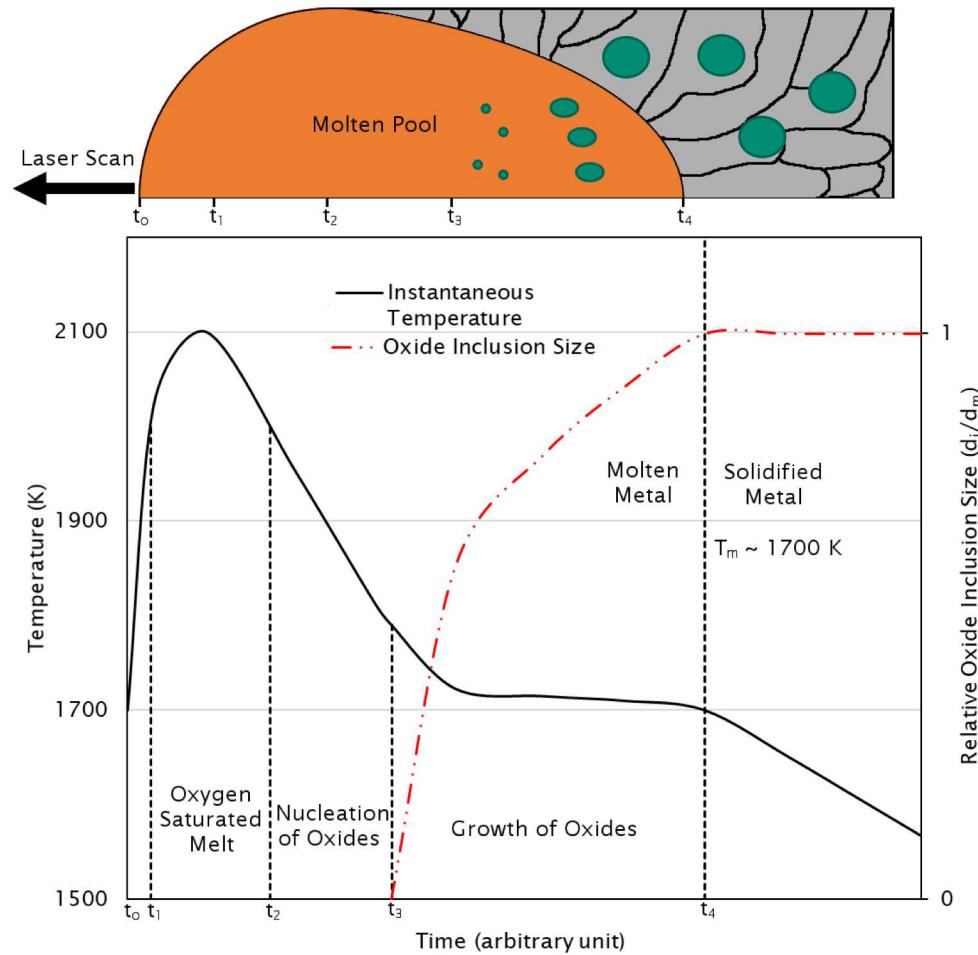


400 W UCD 316



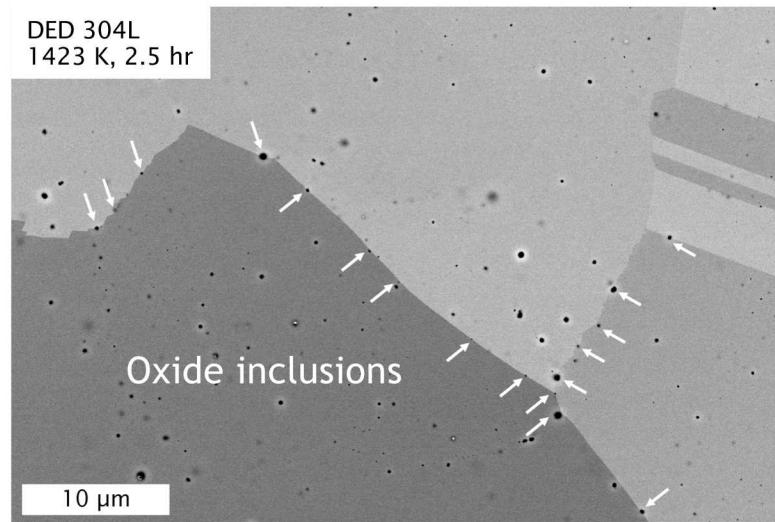
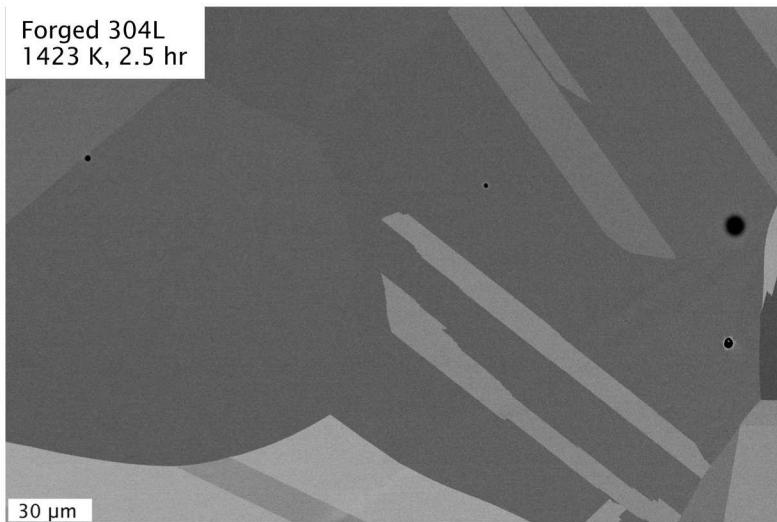
Cr-O rich

## Development of Oxide Inclusions from Molten Metal



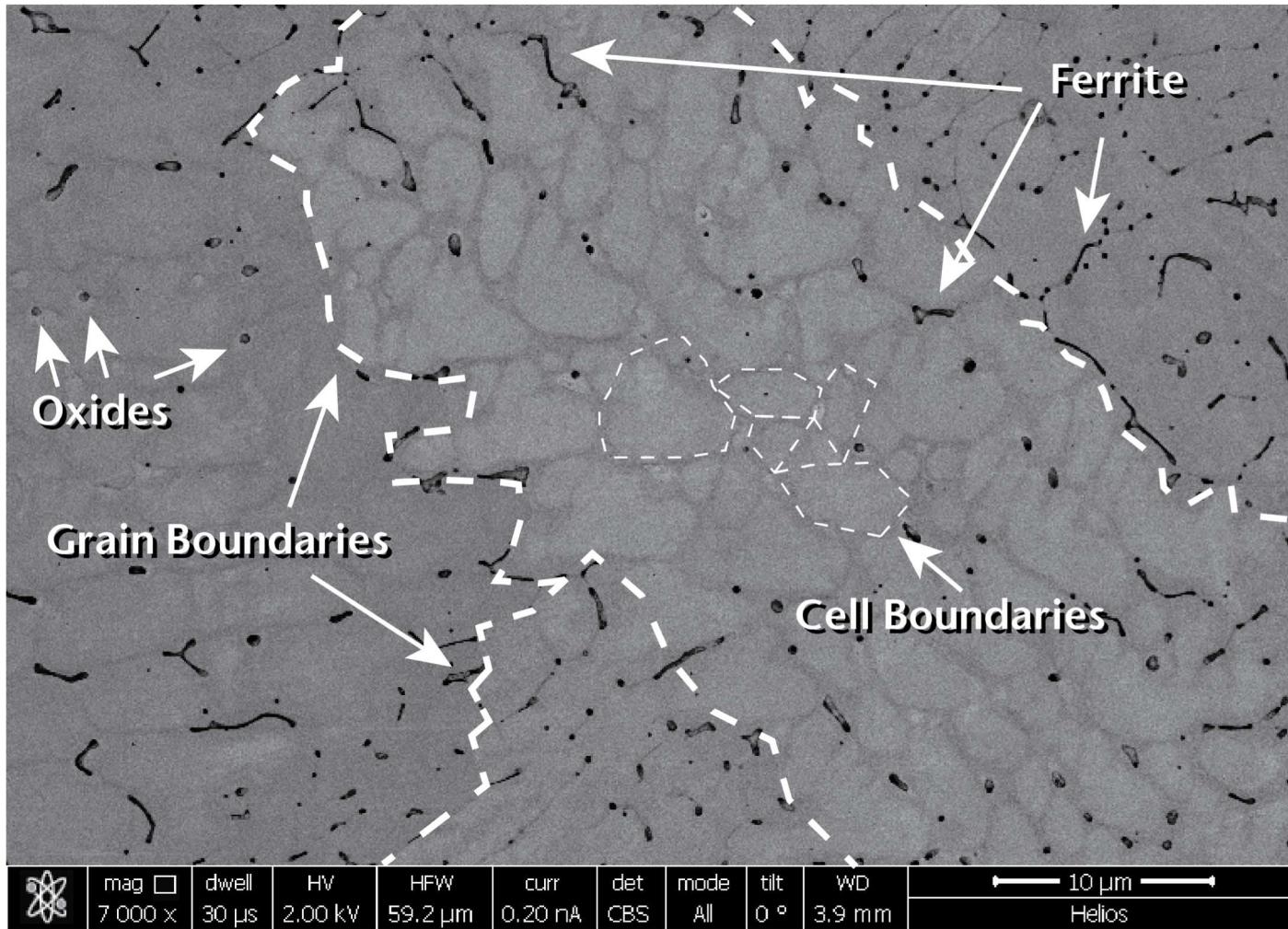
SS  
 Mn-Si-Ti-O-rich  
 Mn-S-rich  
 Si-O rich

## The Unique Microstructure in Additive Manufactured Steel Can Influence the Development of Grains

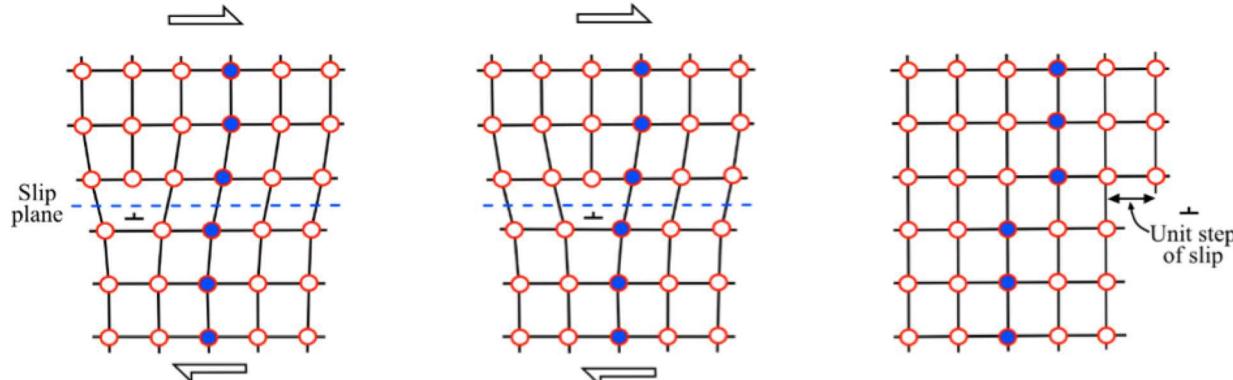


Oxide inclusions can pin grain boundaries in DED

## Is That Everything?

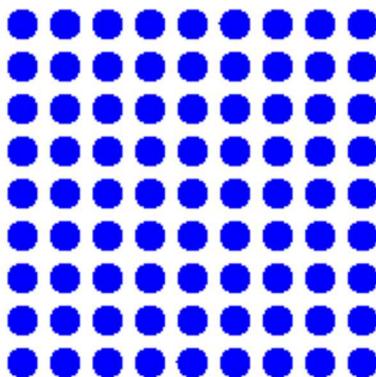


## Dislocation Motions Governs Plastic Behavior of Metals



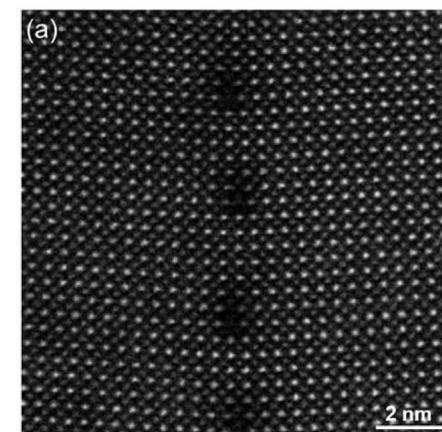
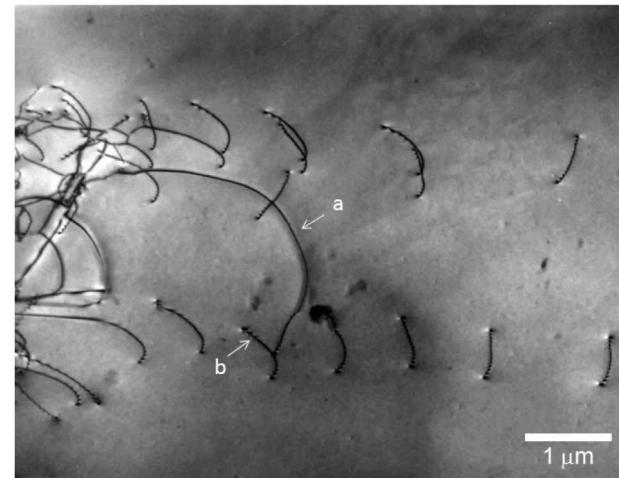
<https://structuredatabase.wordpress.com/intracrystalline/>

- The ability of a material to deform depends on how dislocations move through it
- Dislocation motion can be impeded by the other microstructural features

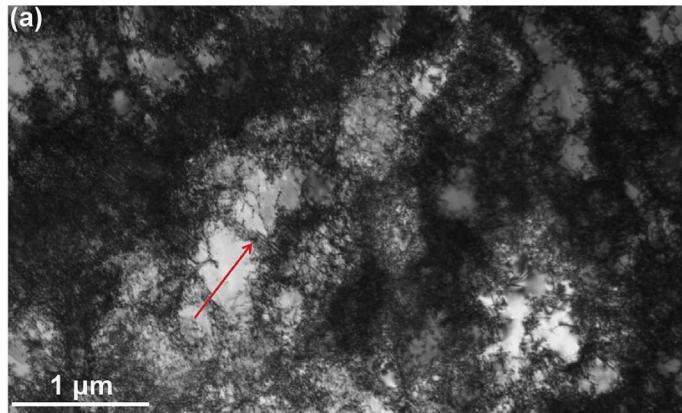


[https://www.tf.uni-kiel.de/matlwis/amat/def\\_en/kap\\_5/illistr/a5\\_1\\_1.html](https://www.tf.uni-kiel.de/matlwis/amat/def_en/kap_5/illistr/a5_1_1.html)

[https://www.jeol.co.jp/en/words/emterms/search\\_result.html?keyword=dislocation](https://www.jeol.co.jp/en/words/emterms/search_result.html?keyword=dislocation)

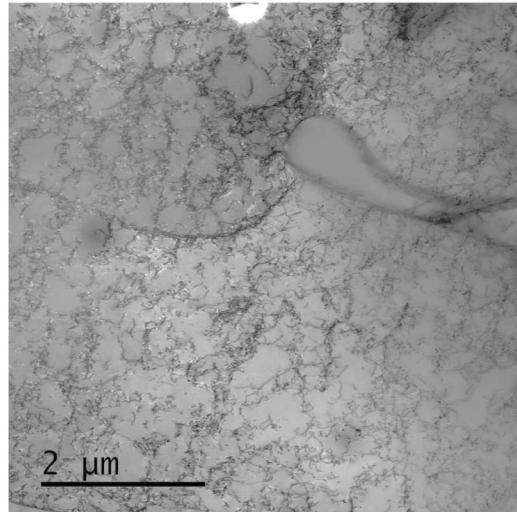


## Dislocation Cell Structure is Evident in These Materials and Similar to Forging

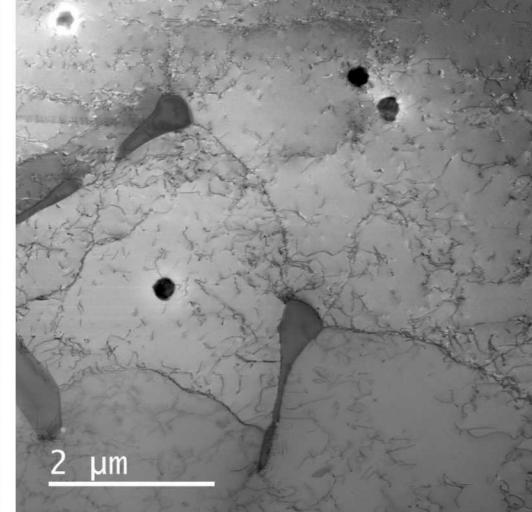


Forged 304L

Chang et al., Acta Mat 165, 2019, p203.



2 mm from Base BF STEM

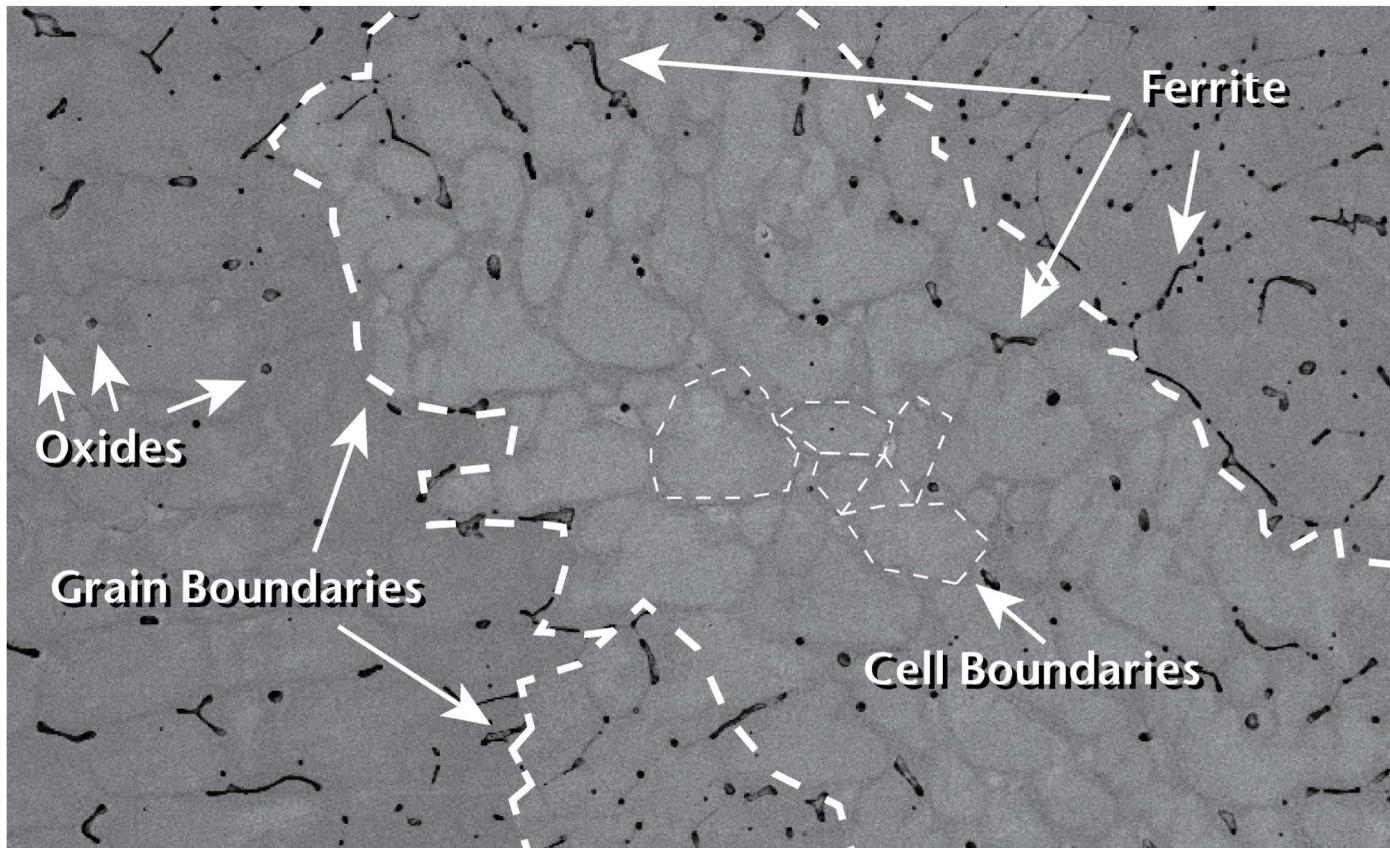


2 mm from Top BF STEM



The dislocation structure can resemble structures that are observed from forging, but there is some suggestion the dislocations may not be uniformly distributed in a built structure

# The Microstructural Landscape will Guide an Understanding of the Properties



How Do the Features Shown Here Contribute to the Strength of the Material?

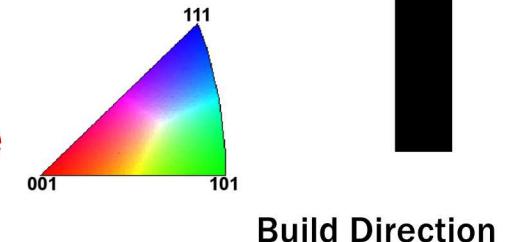
	mag □	dwell	HV	HFW	curr	det	mode	tilt	WD	10 µm
	7 000 x	30 µs	2.00 kV	59.2 µm	0.20 nA	CBS	All	0 °	3.9 mm	Helios

# Grain Boundary Strengthening

$$\Delta\sigma_y = k_{HP} D^{-\frac{1}{2}}$$

K. Ma, H. Wen, T. Hu, T.D. Topping, D. Isheim, D.N. Seidman, E.J. Lavernia, J.M. Schoenung, *Acta Materialia*, 62 (2014) 141-155.

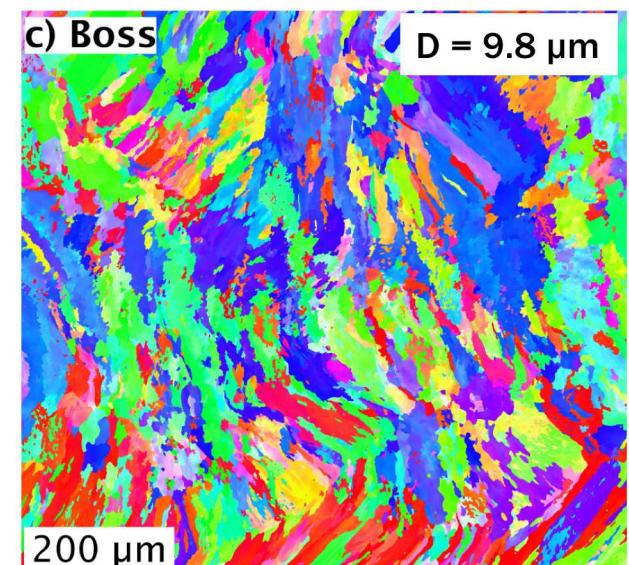
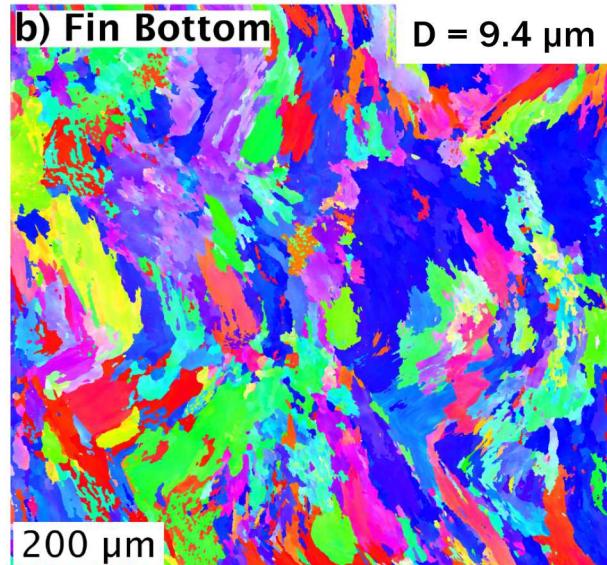
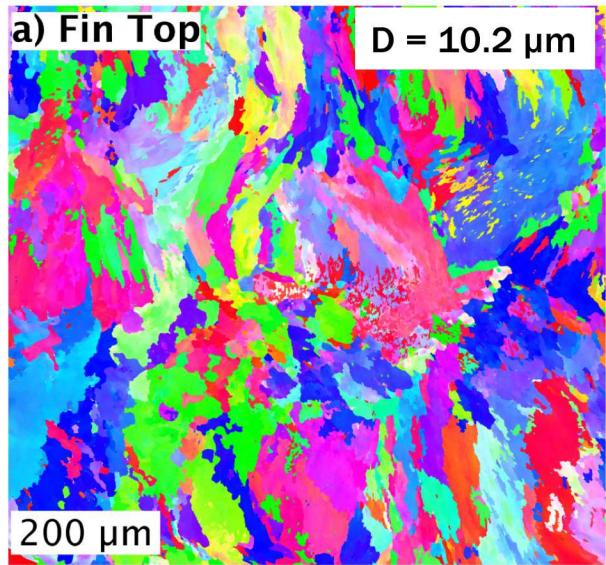
$\sigma_y$  = yield strength  
 $k_{HP}$  = constant  
 $D$  = grain size



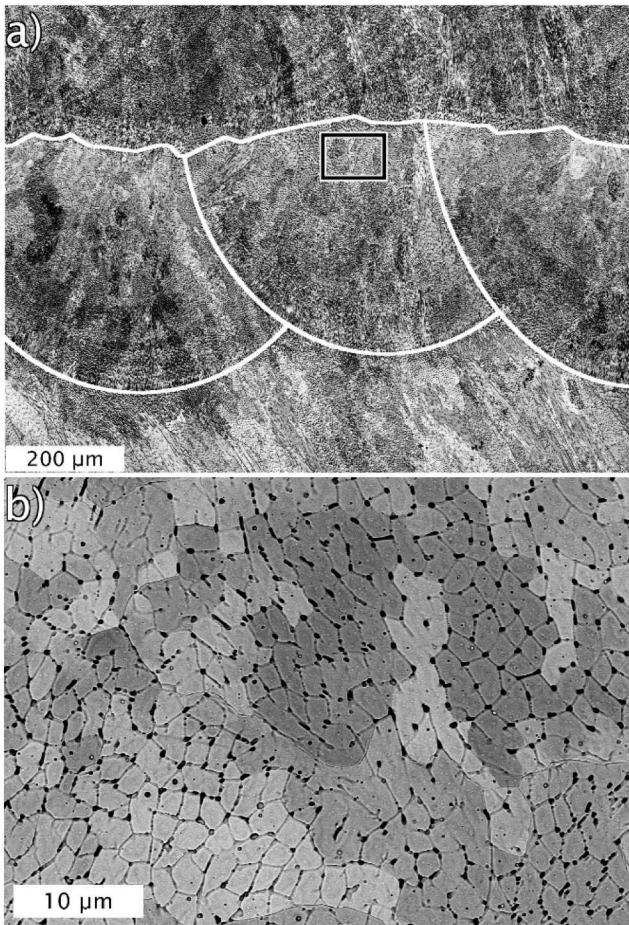
$\sigma_y$  difference near/far from baseplate

3 - 9 MPa

Fine scale microstructure  
has greater influence



# Compositional Microsegregation Strengthening



$$\Delta\sigma_y = 0.57M(A\eta Y)^{\frac{1}{3}} \left(\frac{2\pi Gb}{d}\right)^{\frac{2}{3}}$$

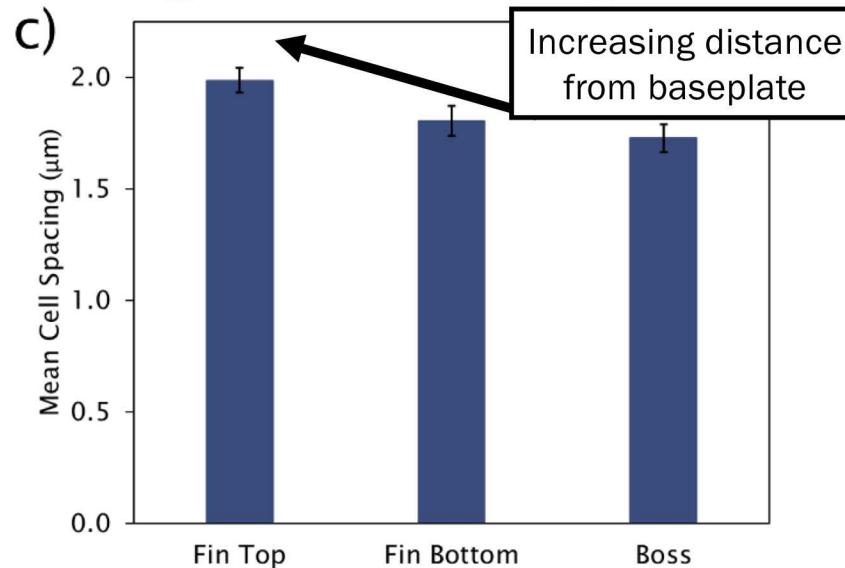
J.W. Cahn, *Acta Metall. Mater.*, 11, (1963).

$d$  = solidification cell spacing  
 $A$  = amplitude of microsegregation  
 $\eta$  = lattice misfit parameter  
 $Y = \frac{2G(1+\nu)}{(1-\nu)}$

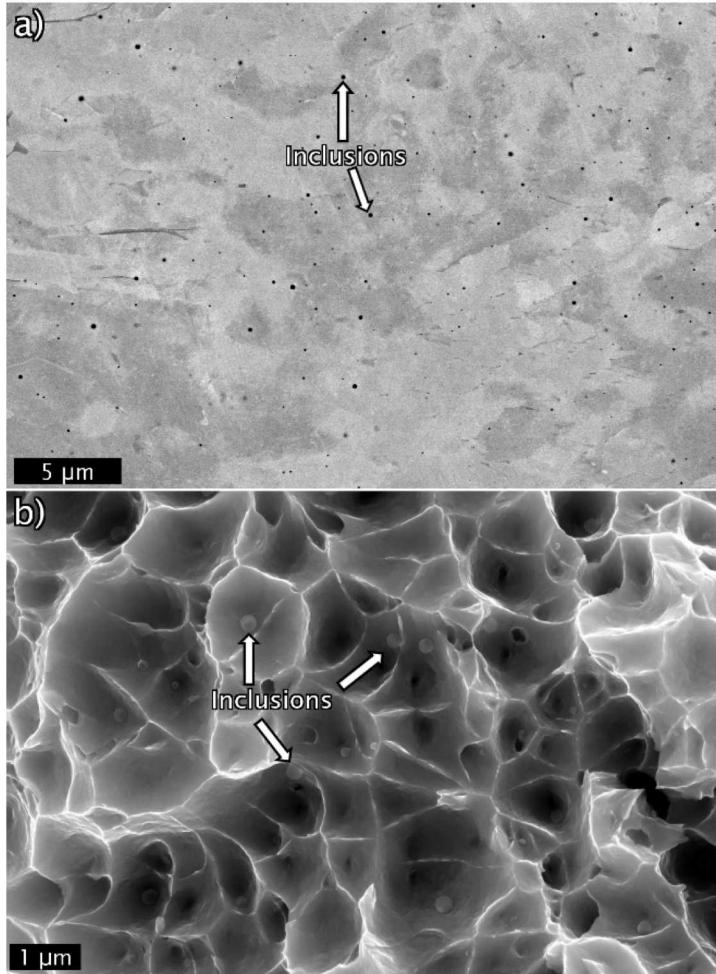
$\sigma_y$  difference near/far from baseplate

$$\Delta\sigma_y = 9 - 12 \text{ MPa}$$

Microsegregation has greater effect than grain size or oxide dispersoids



# Oxide Dispersion Strengthening



$$\Delta\sigma_y = M \frac{0.4Gb}{\pi\sqrt{1-\nu}} \frac{\ln\left(\frac{2\bar{r}}{b}\right)}{\lambda}$$

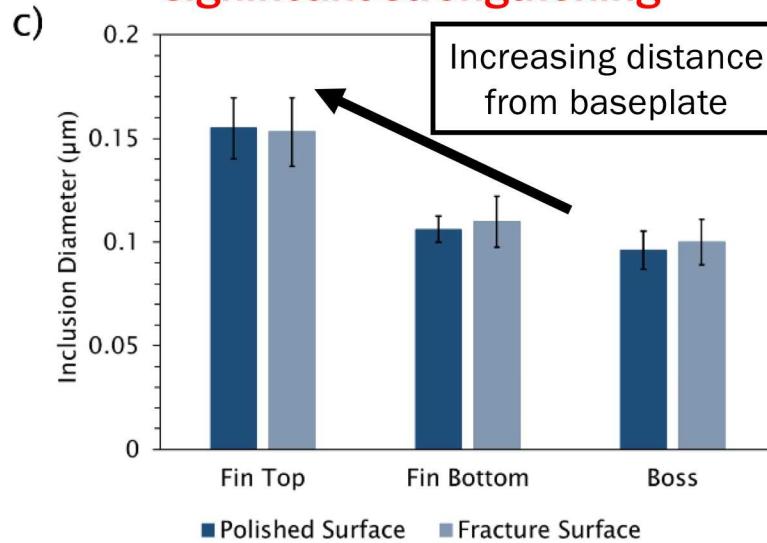
K. Ma, H. Wen, T. Hu, T.D. Topping, D. Isheim, D.N. Seidman, E.J. Lavernia, J.M. Schoenung, *Acta Materialia*, 62 (2014) 141-155.

M = mean orientation factor  
G = shear modulus  
b = Burgers vector  
ν = Poisson's ratio  
r = particle radius  
λ = inclusion spacing

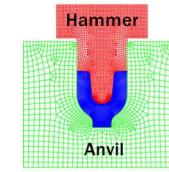
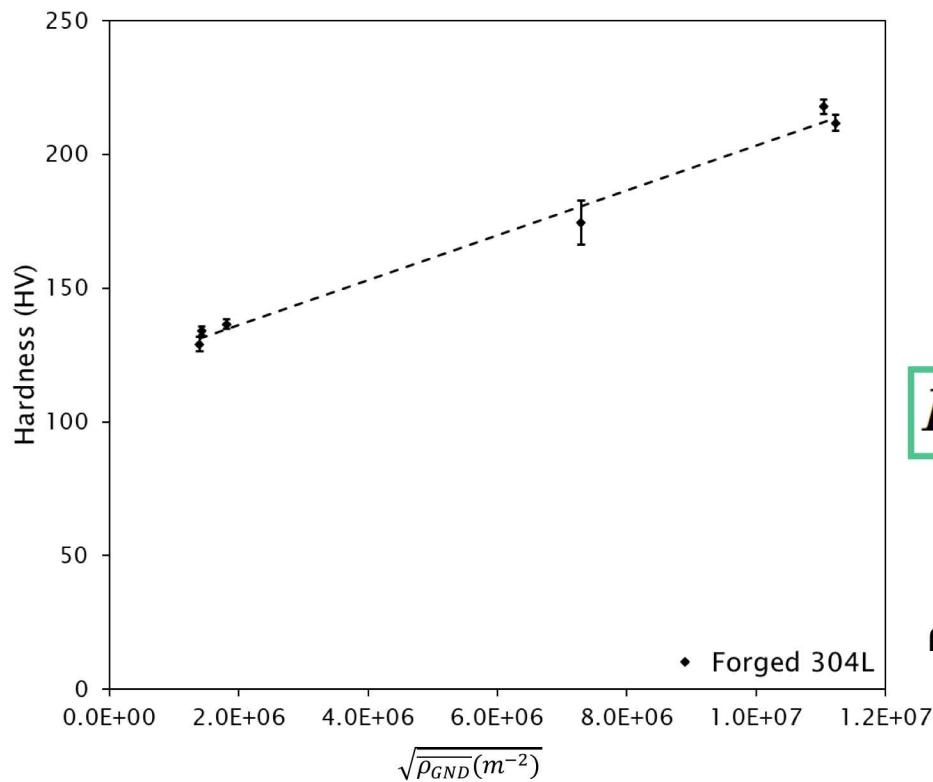
$\sigma_y$  difference near/far from baseplate

6 - 8 MPa

Oxide inclusions unlikely to cause significant strengthening



## Quantification of Dislocation Content Directly Correlates to Strength



$$H = H_0 + C \sqrt{\rho}$$

**H** = Hardness

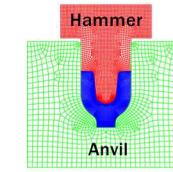
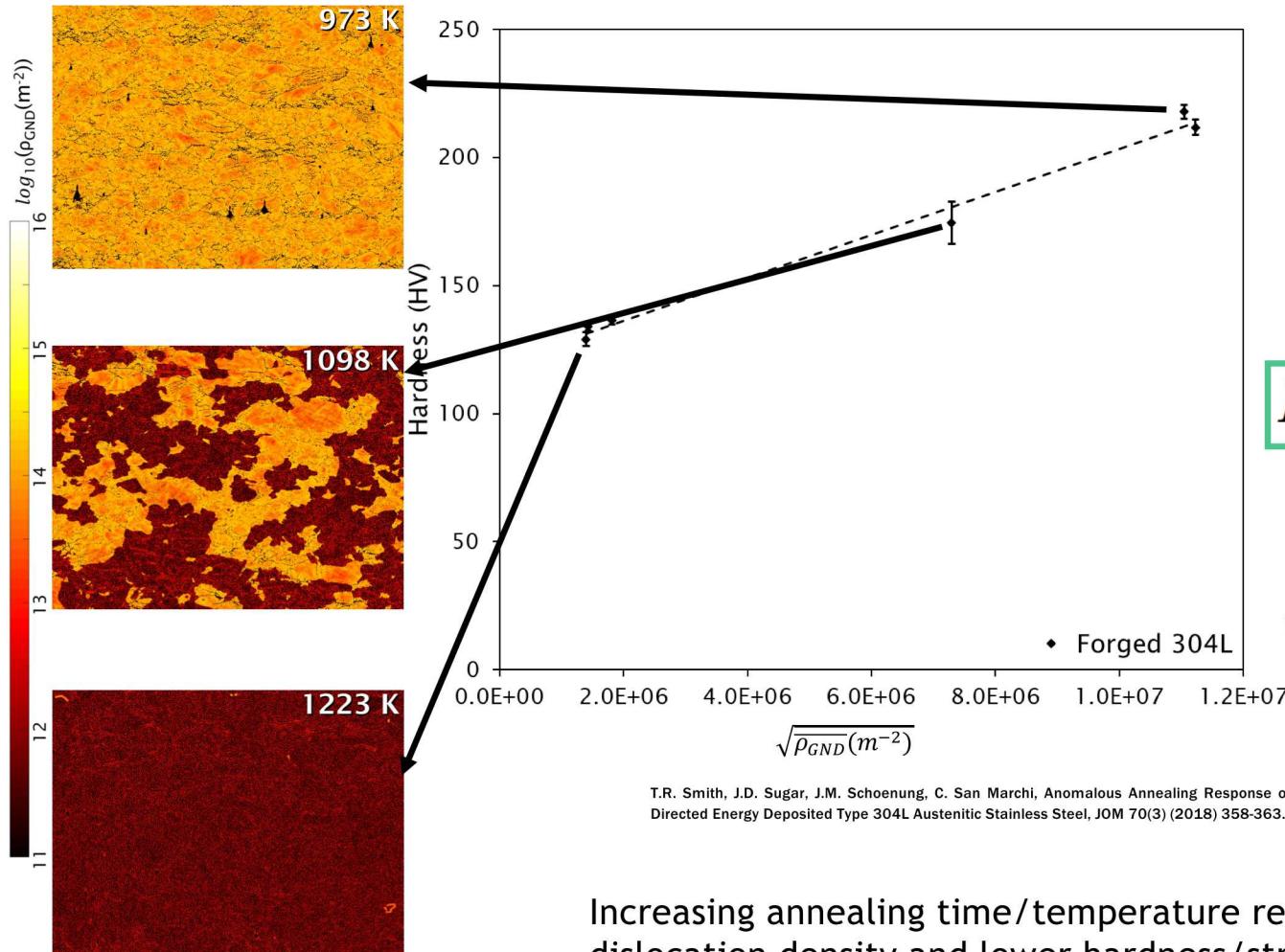
**C** = constant

**$\rho$**  = total dislocation density

T.R. Smith, J.D. Sugar, J.M. Schoenung, C. San Marchi, Anomalous Annealing Response of  
Directed Energy Deposited Type 304L Austenitic Stainless Steel, *JOM* 70(3) (2018) 358-363.

Increasing annealing time/temperature results in recrystallized grains with low dislocation density and lower hardness/strength

## Quantification of Dislocation Content Directly Correlates to Strength



$$H = H_0 + C \sqrt{\rho}$$

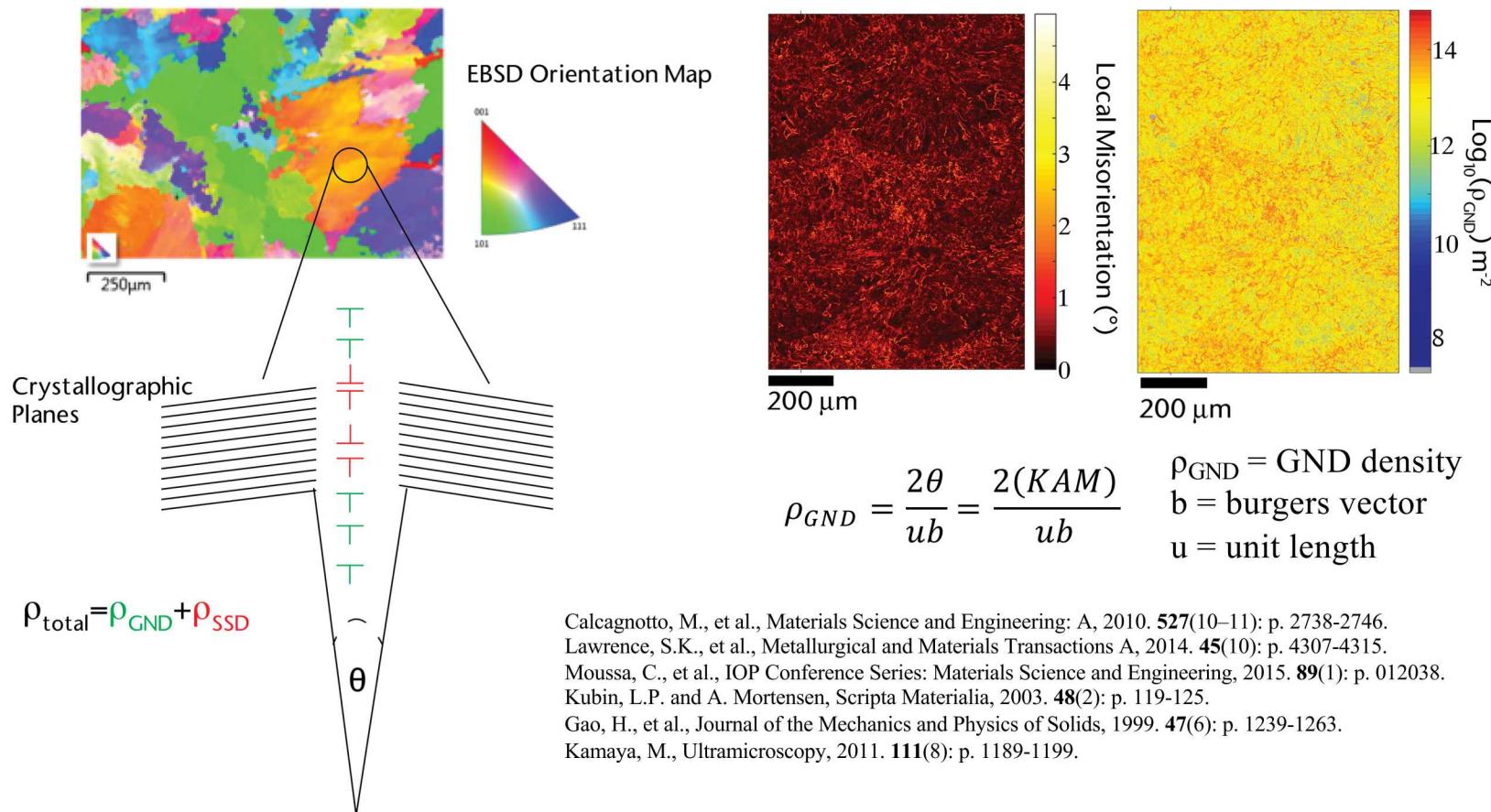
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Increasing annealing time/temperature results in recrystallized grains with low dislocation density and lower hardness/strength

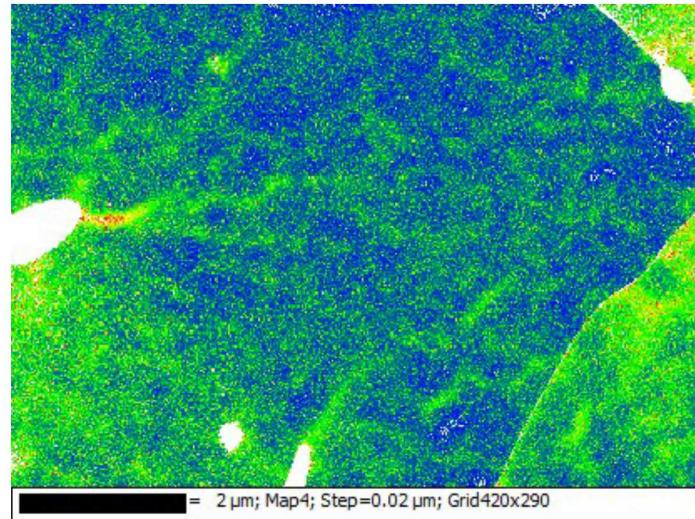
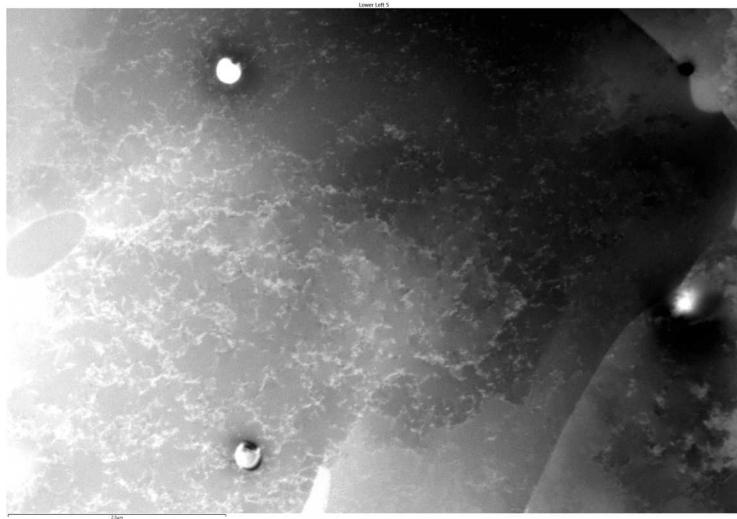
## Measurement of Geometrically Necessary Dislocations with EBSD



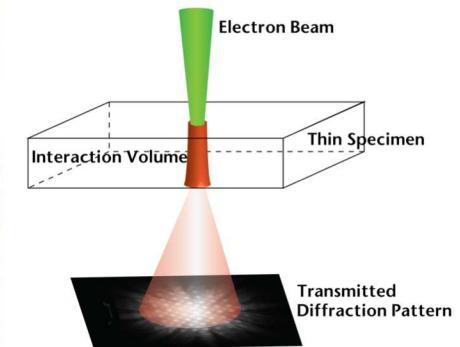
## GND Measurements Correlate With STEM Images of Dislocation Structure



$$\rho_T = \rho_{GND} + \rho_{SSD}$$

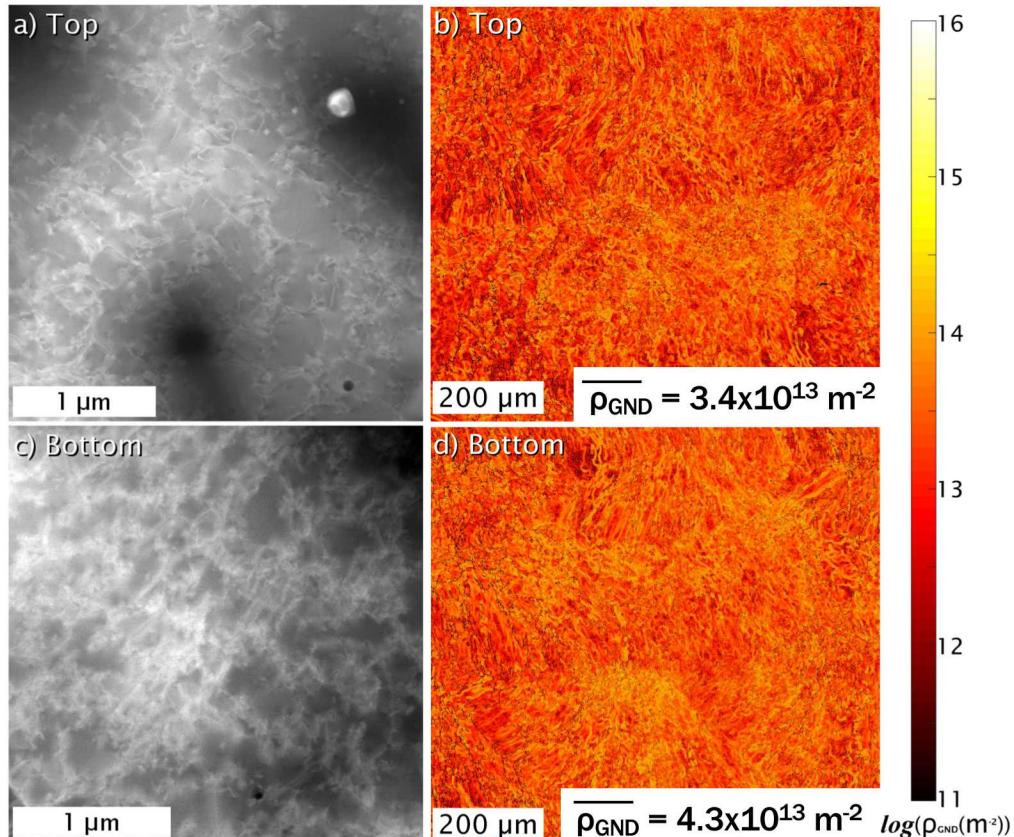


Measurements of local averaged misorientation for GNDs are consistent with images of the more general dislocation structure. Higher misorientations occur where the images show higher dislocations densities.



Transmission Kikuchi Diffraction

# Dislocation Strengthening



We can control the dislocation density with thermal history

$$\Delta\sigma_y = M\alpha G b \rho^{\frac{1}{2}}$$

K. Ma, H. Wen, T. Hu, T.D. Topping, D. Isheim, D.N. Seidman, E.J. Lavernia, J.M. Schoenung, *Acta Materialia*, 62 (2014) 141-155.

M = mean orientation factor

G = shear modulus

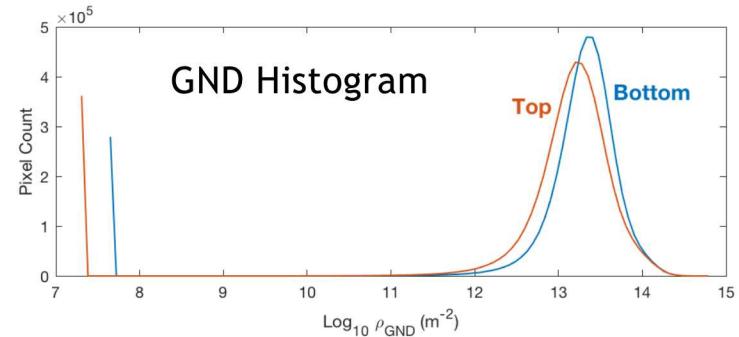
b = Burgers vector

$\alpha$  = constant

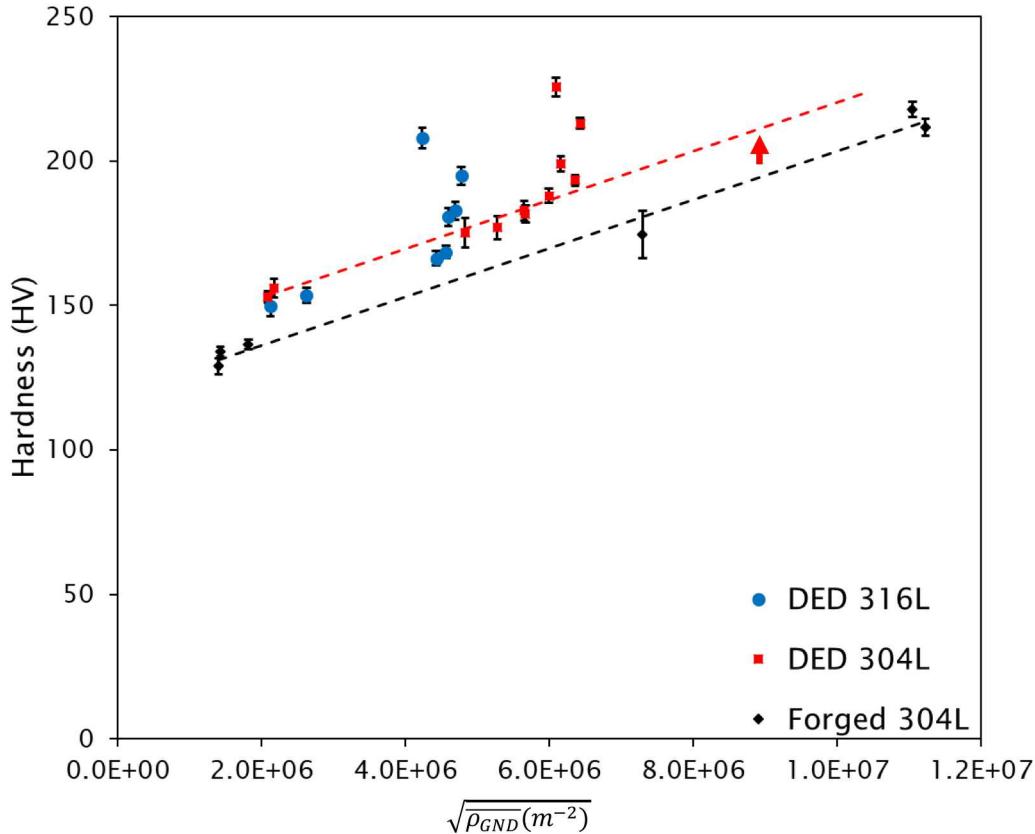
$\sigma_y$  difference near/far from baseplate

21 - 25 MPa

**Dislocations significantly contribute to differences**

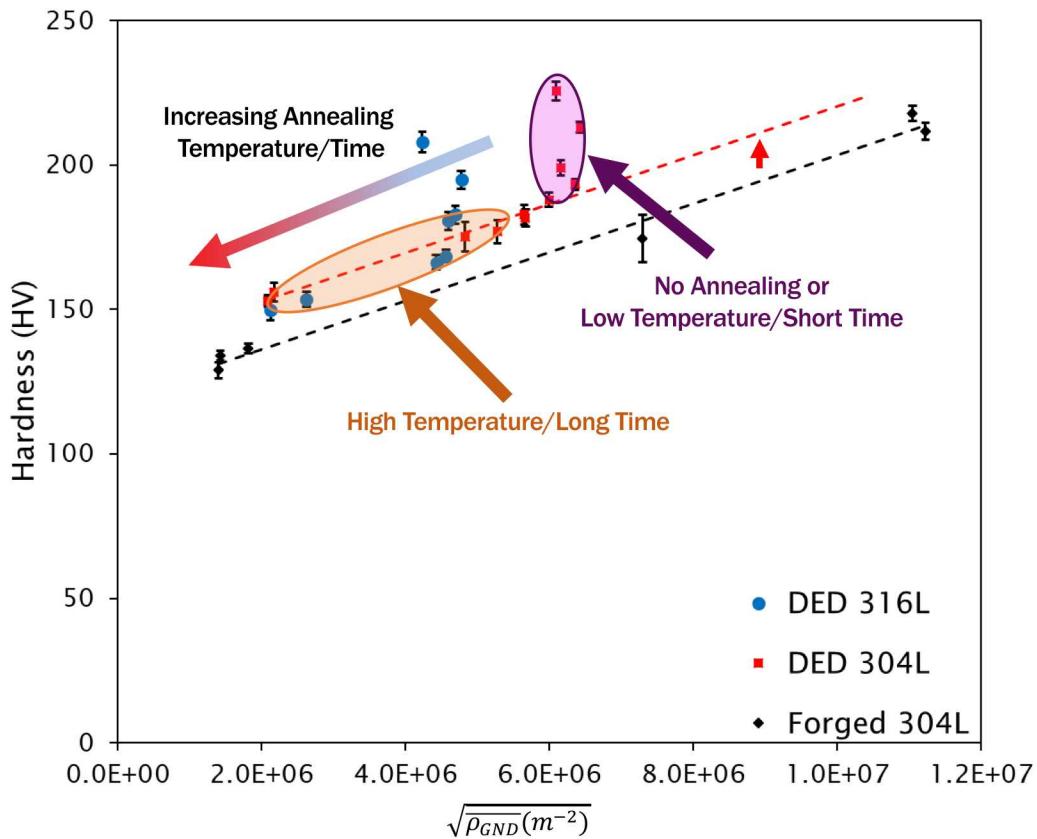


## Sensitivity of Dislocation Structure to Thermal History



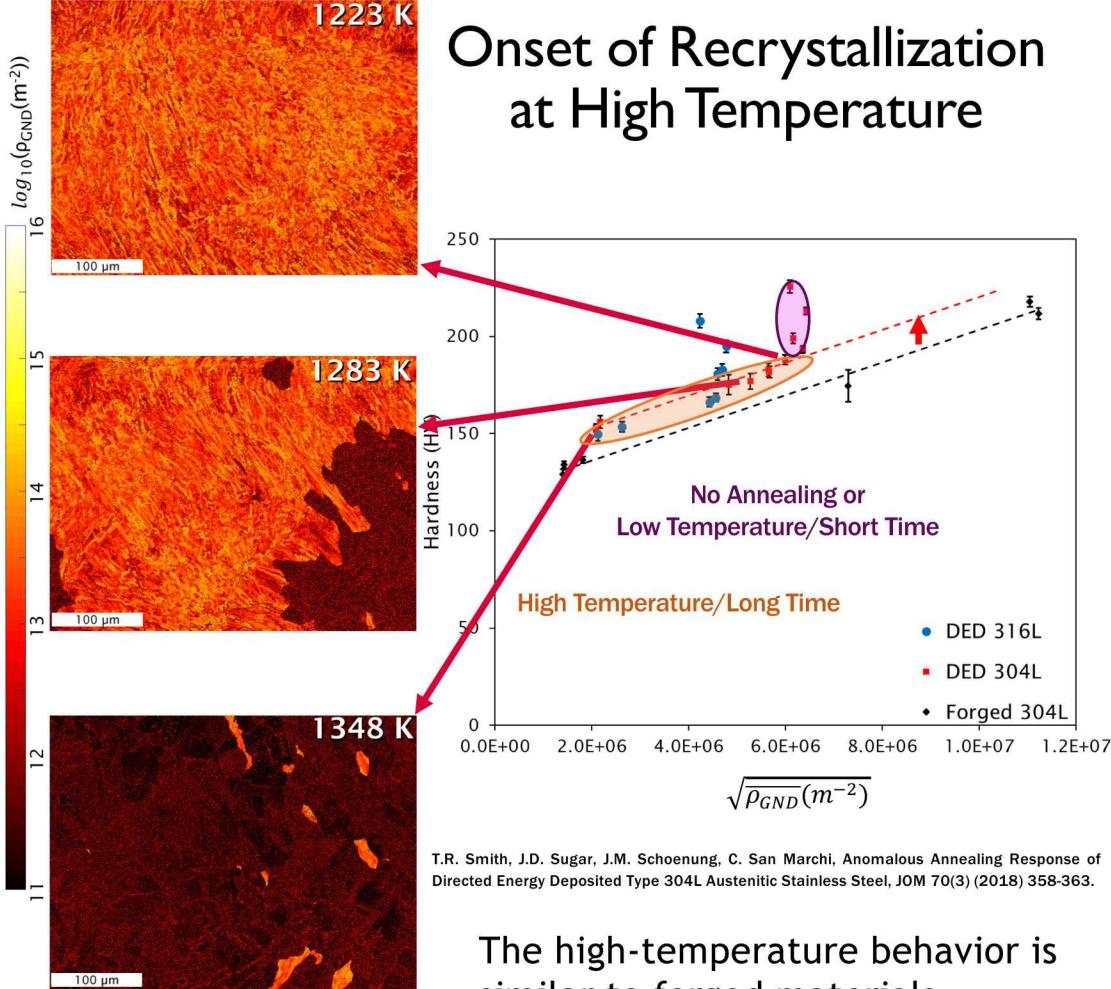
The initial DED response to annealing leads to no change in dislocation density

## Sensitivity of Dislocation Structure to Thermal History



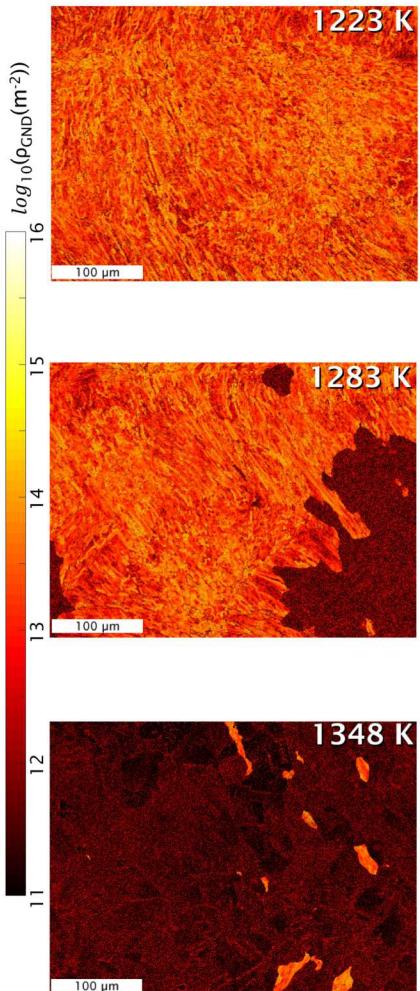
The initial DED response to annealing leads to no change in dislocation density

T.R. Smith, J.D. Sugar, J.M. Schoenung, C. San Marchi, Anomalous Annealing Response of Directed Energy Deposited Type 304L Austenitic Stainless Steel, JOM 70(3) (2018) 358-363.

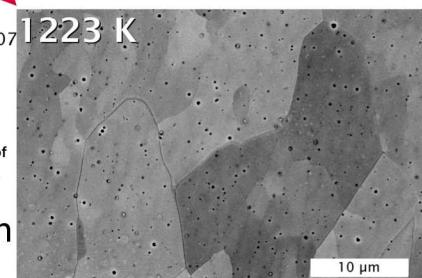
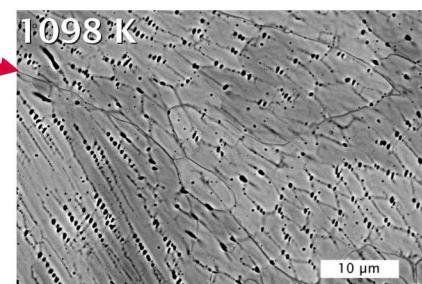
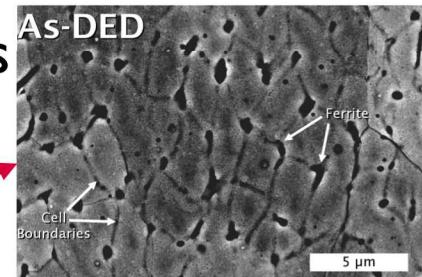
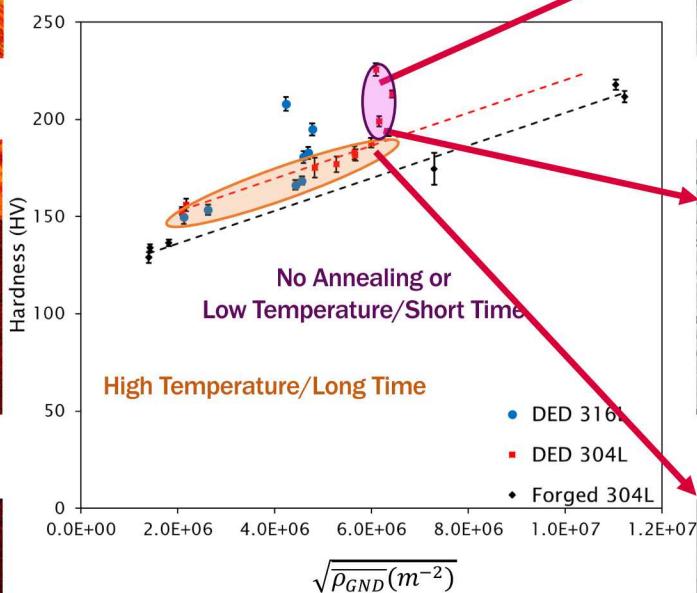


T.R. Smith, J.D. Sugar, J.M. Schoenung, C. San Marchi, Anomalous Annealing Response of Directed Energy Deposited Type 304L Austenitic Stainless Steel, *JOM* 70(3) (2018) 358-363.

The high-temperature behavior is similar to forged materials



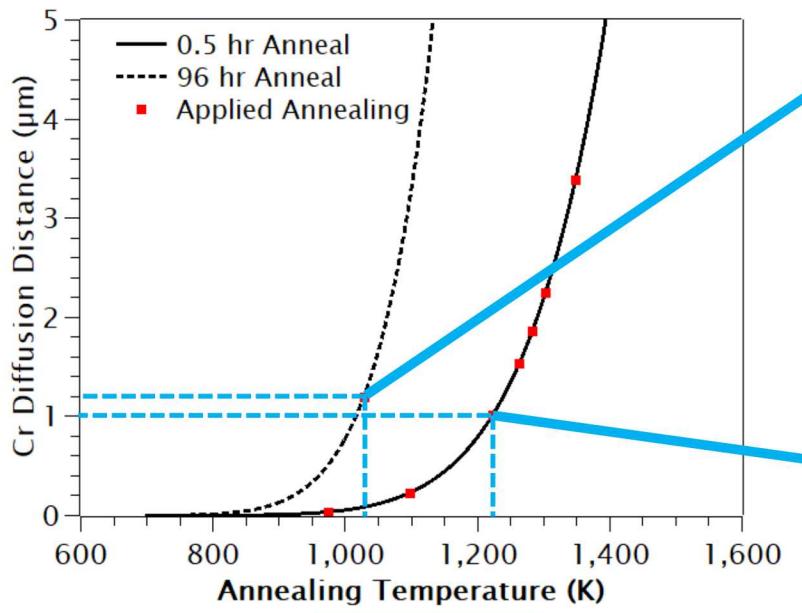
## Microsegregation Dissolves at Low Temperature



T.R. Smith, J.D. Sugar, J.M. Schoenung, C. San Marchi, Anomalous Annealing Response of Directed Energy Deposited Type 304L Austenitic Stainless Steel, JOM 70(3) (2018) 358-363.

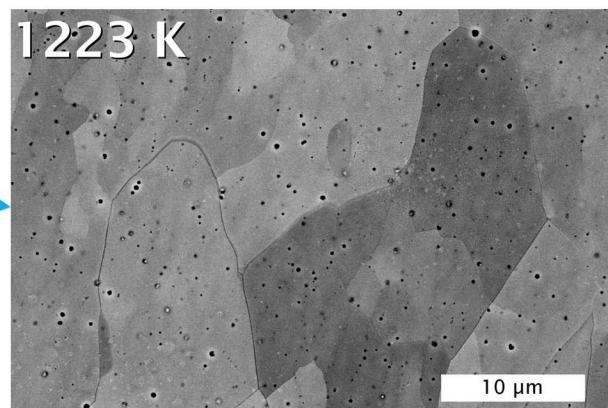
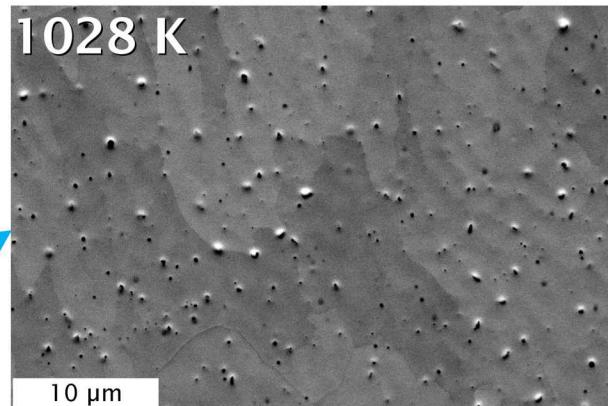
At lower temperatures, recrystallization can not initiate until the compositional microsegregation homogenizes

# Diffusion Mediated Microsegregation Dissolution



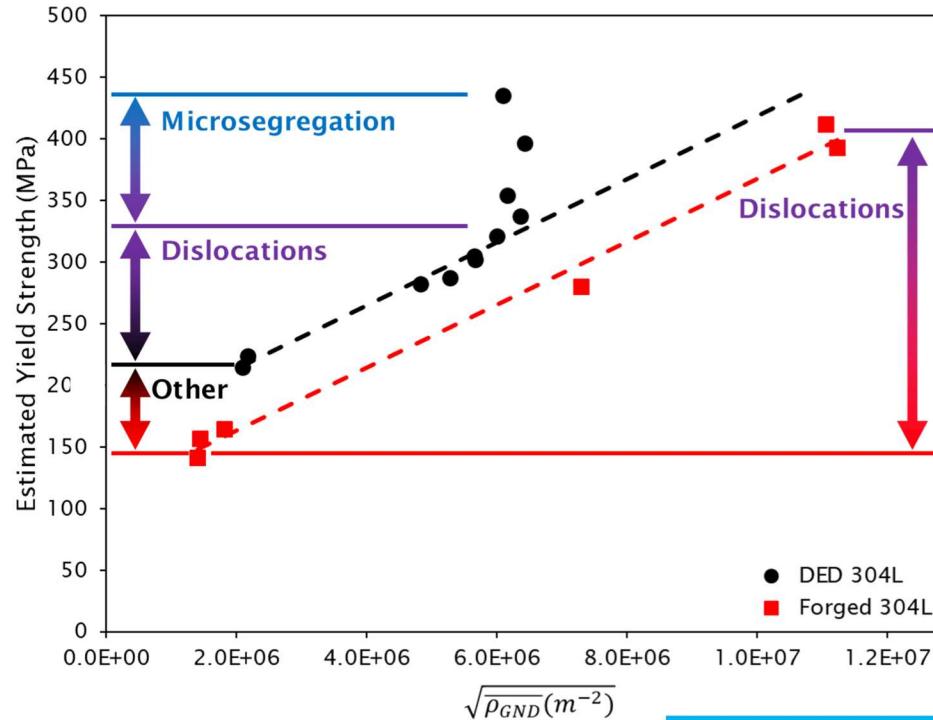
$$x \approx 2\sqrt{Dt}$$

$x$  = diffusion distance  
 $D$  = diffusion coefficient  
 $t$  = time



**Compositional microsegregation**  
**homogenized at low temps**

## Strengthening Contribution of Each Microstructural Feature is Quantifiable



Material	Mechanism	Estimated $\Delta\sigma_y$ (MPa)	Predicted $\Delta\sigma_y$ (MPa)
DED 304L	Dislocations	106	114
DED 304L	Microsegregation	115	123
Forged 304L	Dislocations	270	275
Forged 304L	Microsegregation	-	-

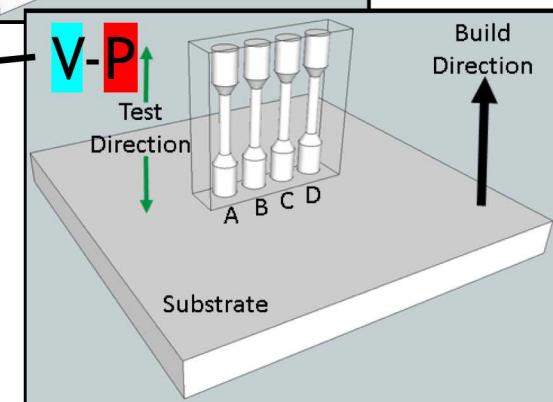
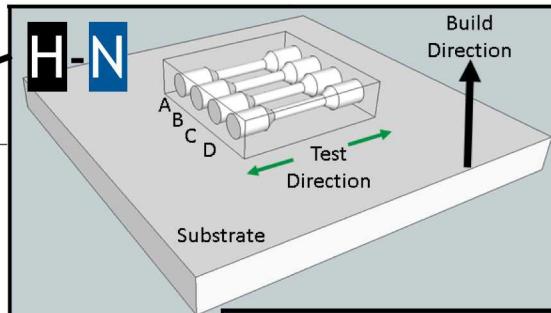
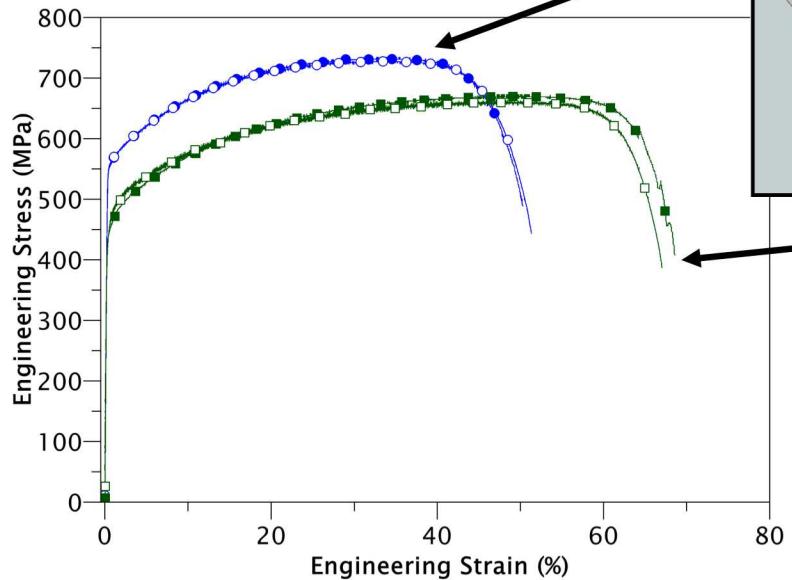
**DED stainless steel**  
**primarily strengthened by:**

**Dislocations**

**Microsegregation**

## Different Thermal Histories Lead to Different Strength Properties

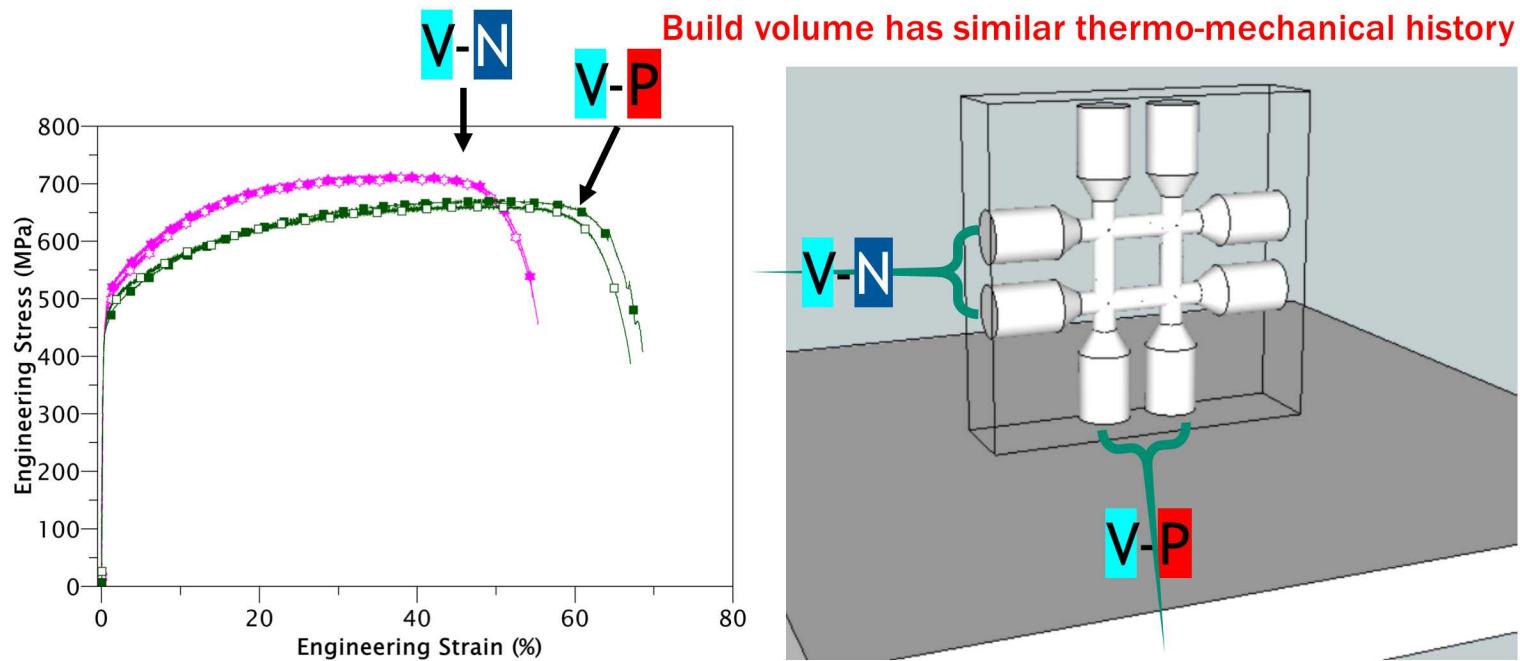
Builds experience different thermo-mechanical histories



Grade	Yield Strength Horizontal-Normal (MPa)	Yield Strength Vertical-Parallel (MPa)	Percent Difference (%)
304L	552	445	22

T.R. Smith, J.D. Sugar, C. San Marchi, J.M. Schoenung. "Orientation effects on fatigue behavior of additively manufactured stainless steel." Pressure Vessel and Piping Conference (PVP 2017), ASME, 2017.

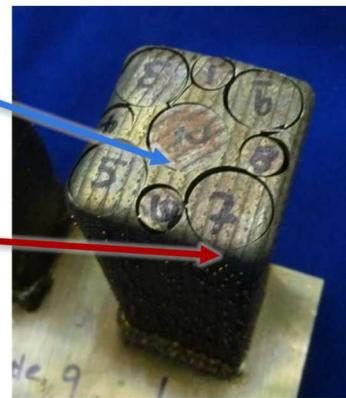
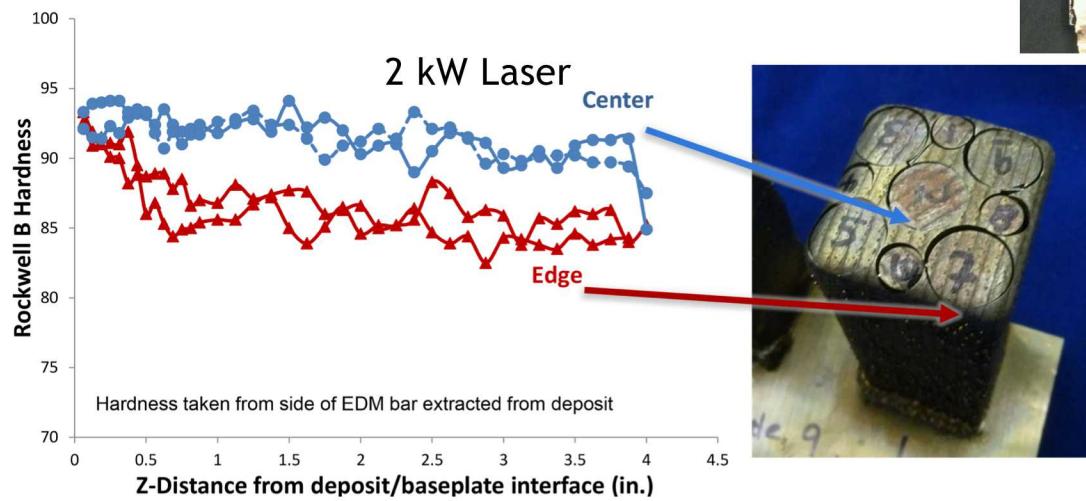
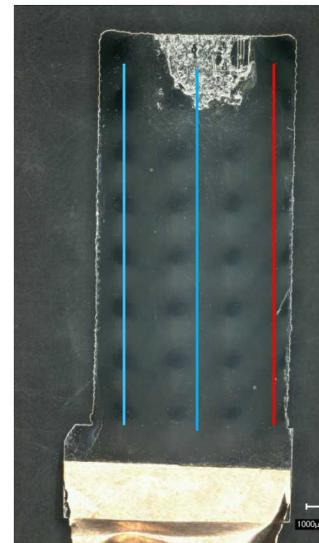
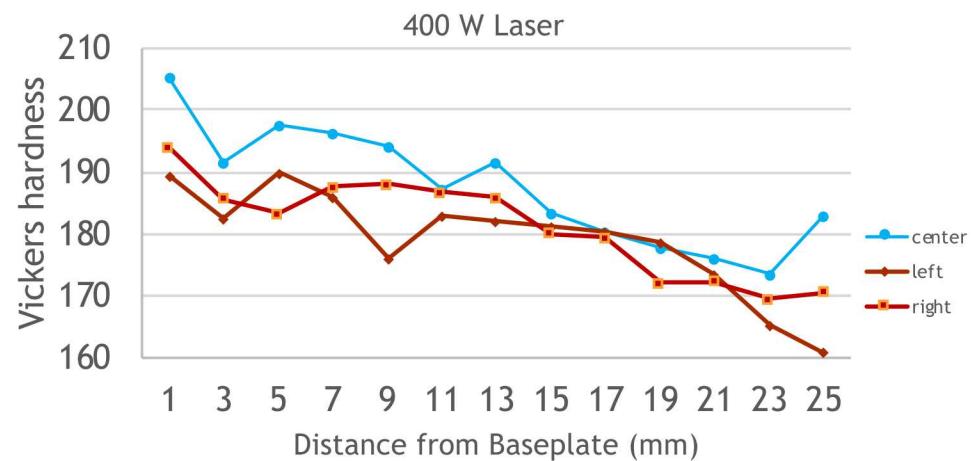
# Specimen Orientation has Little Effect



Grade	Yield Strength Vertical-Normal (MPa)	Yield Strength Vertical-Parallel (MPa)	Percent Difference (%)
304L	456	445	2

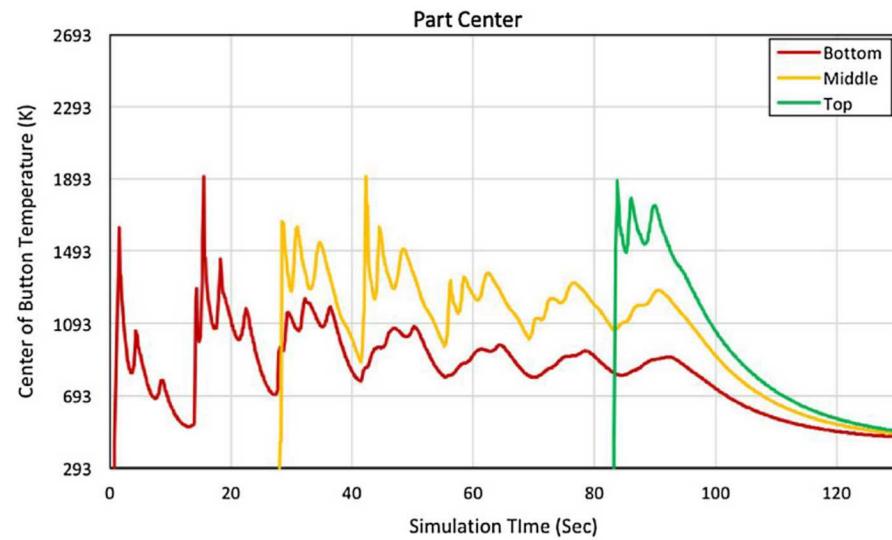
T.R. Smith, J.D. Sugar, C. San Marchi, J.M. Schoenung. "Orientation effects on fatigue behavior of additively manufactured stainless steel." Pressure Vessel and Piping Conference (PVP 2017), ASME, 2017.

# Hardness Values are Higher Near Baseplate

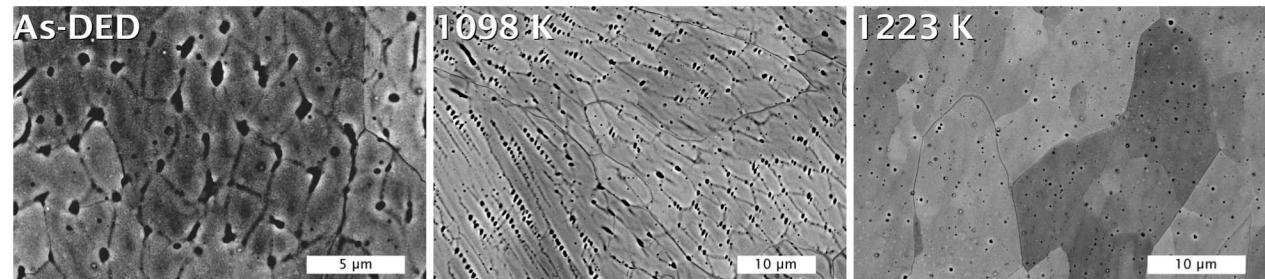


## How do we harness the thermal history to give us the hammer control?

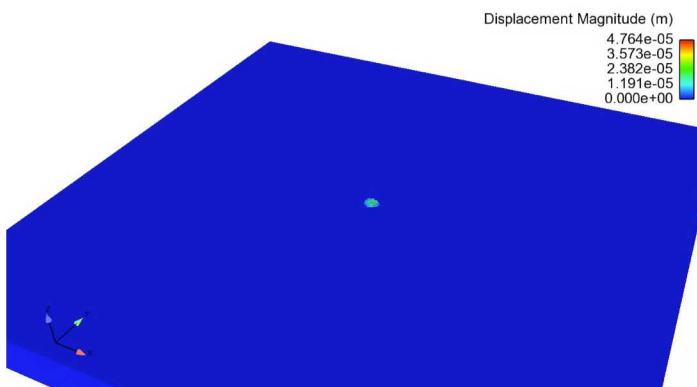
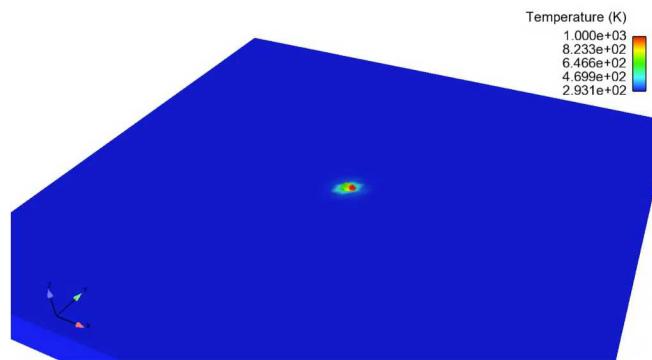
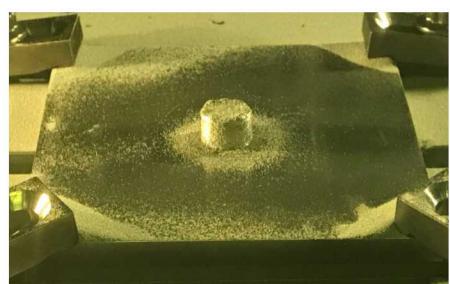
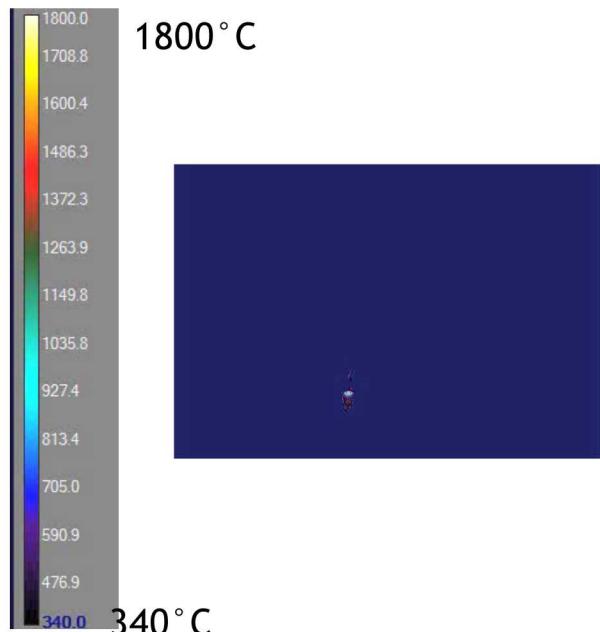
- Every location in every structure built has a unique thermal history
- Do we know thermal history gives optimal properties in a way that goes beyond the slow process of trial and error?



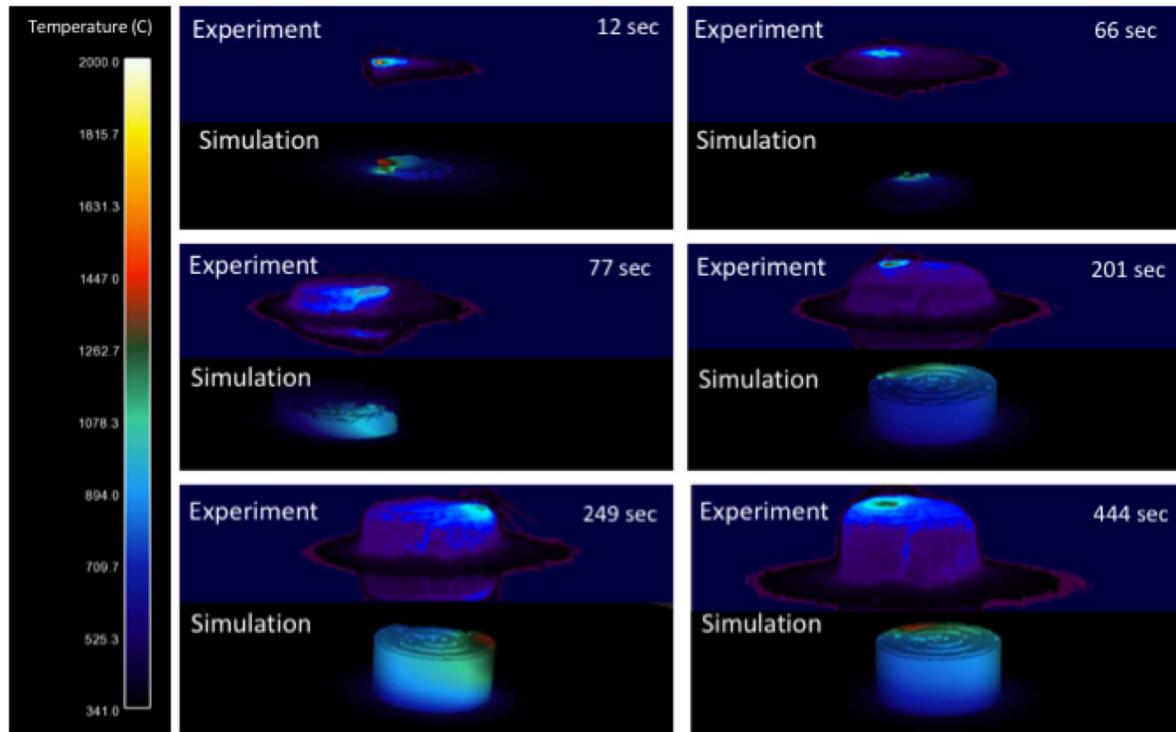
Modern materials processing requires a modern solution:  
multiphysics modeling



# Coupled Thermal and Mechanical Modeling

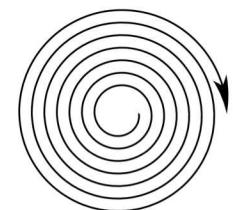


## Coupled Thermal and Mechanical Modeling

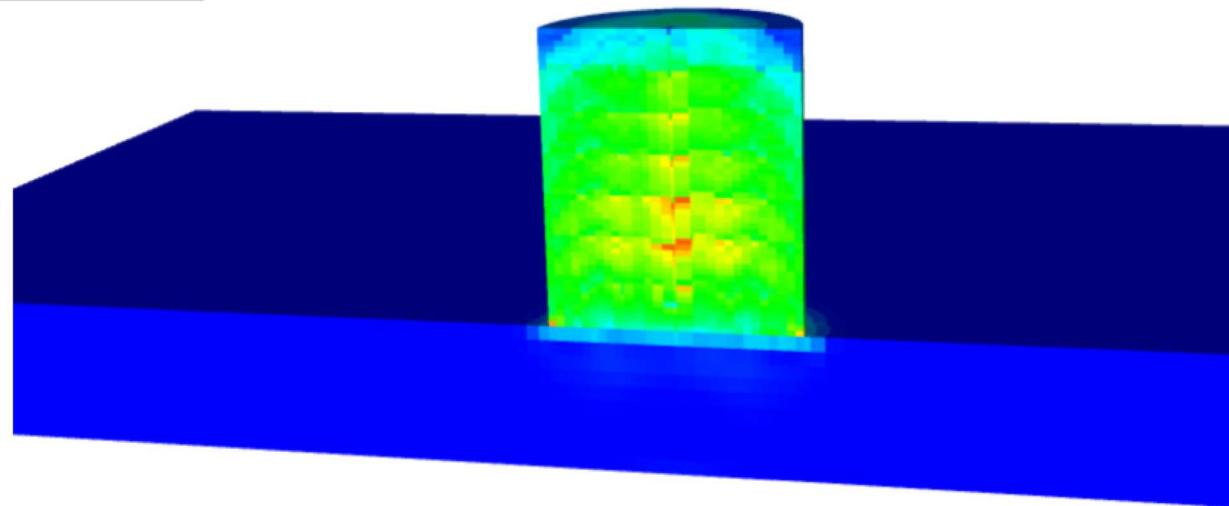
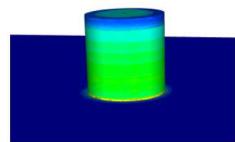


The temperatures are not all equivalent, but the general shape and motion of the melt pool is similar to the experiment. Frame time in experiment and simulation doesn't line up perfectly.

# Coupling of Mechanical and Thermal Modeling



Build Pattern



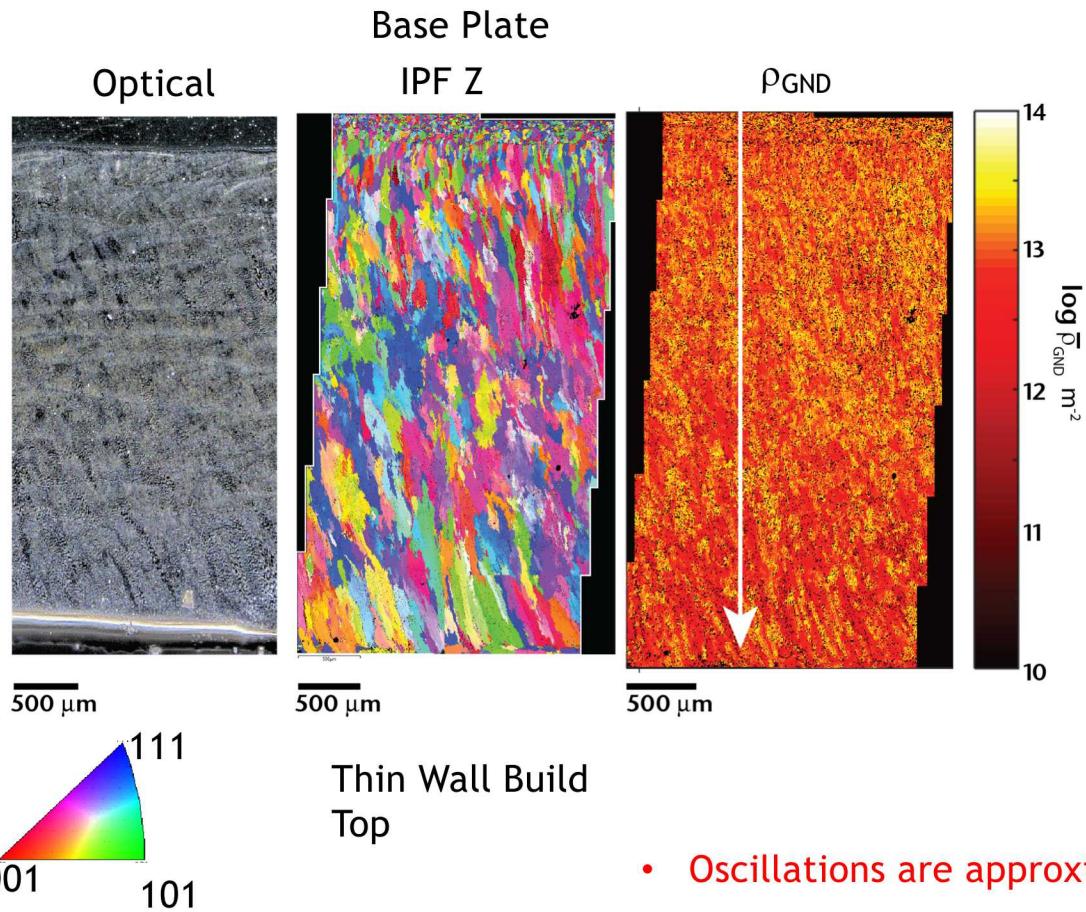
Equivalent Plastic Strain

1.000e-01  
7.500e-02  
5.000e-02  
2.500e-02  
0.000e+00

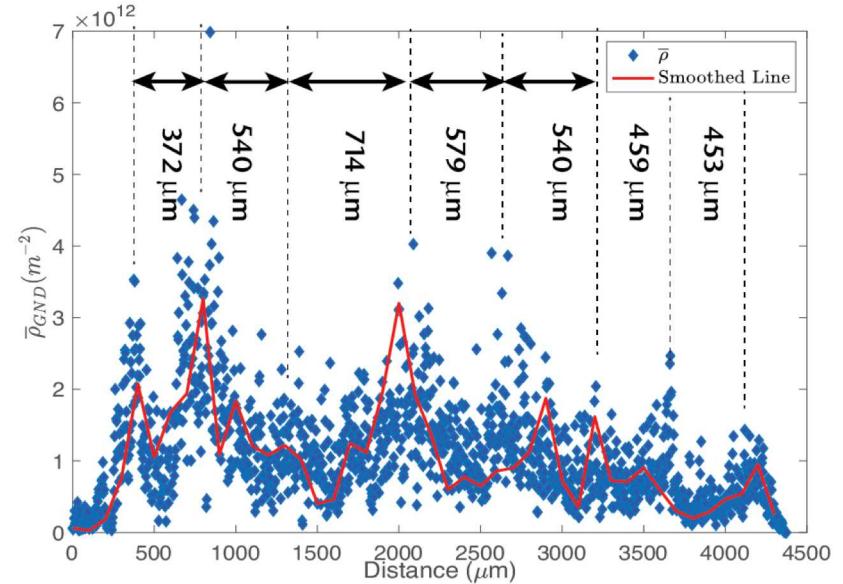
M.E. Stender, *et al.*, Additive Manufacturing 21 (2018)

- Modeling shows higher stress, strain, and displacement near the base plate consistent with builds
- Oscillations are consistent with layer height
- The constraint of the previously deposited layer affects the next layer being deposited

## GND Distribution Varies with Build Location

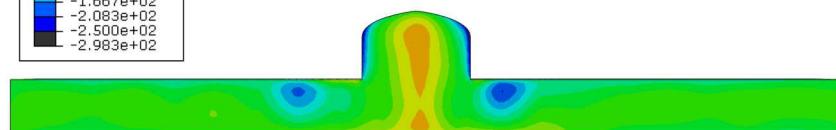
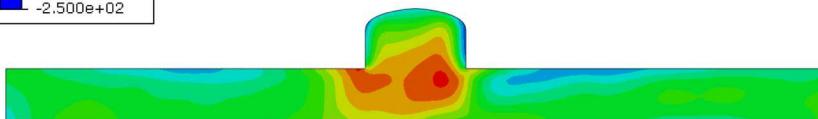
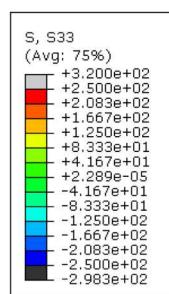
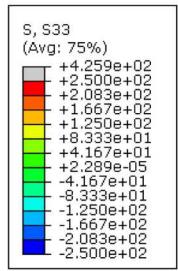


Line-Averaged  $\rho_{GND}$  vs. Distance from Base Plate (white line)

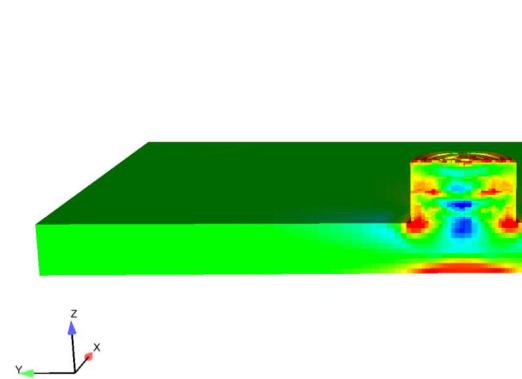


- Oscillations are approximately consistent with layer height

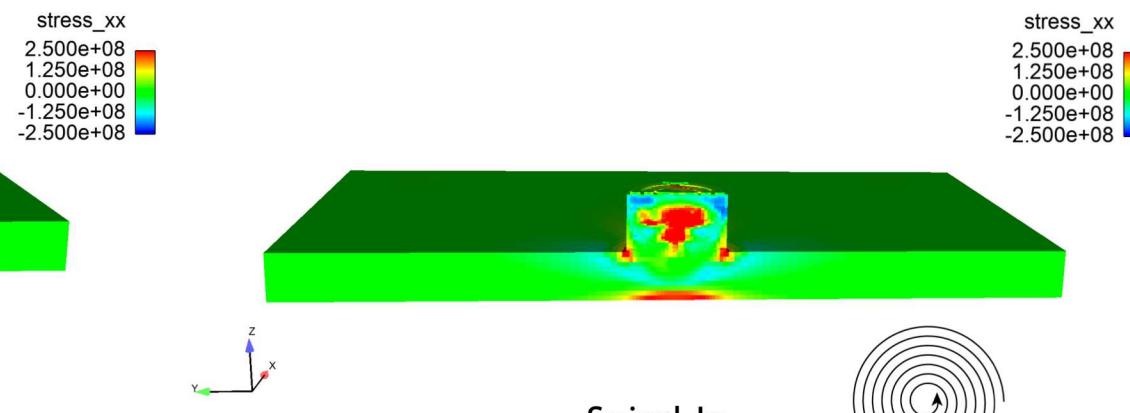
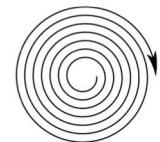
# Computer Simulations are the New Hammer



Experiment

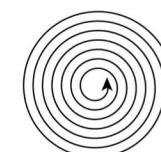


Spiral Out



Model

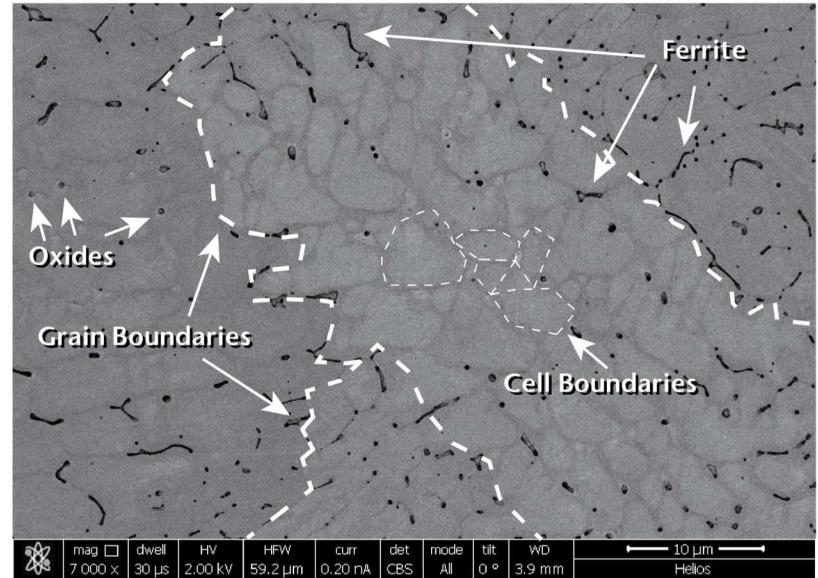
Spiral In



## Summary and Conclusions

- Investigated in detail the microstructural features that are found in steels produced by new metallurgical processes and quantified their strength contribution
  - Grain boundaries
  - Cell Boundaries
  - Ferrite
  - Oxide Particles
  - Dislocations
- We can use our understanding and computer models to predict the optimal thermal history and “get our hammer back”

We have gone from a complete lack of control and taking what we were given to the ability to control the 3D structure and property distribution in materials through control of the thermal history



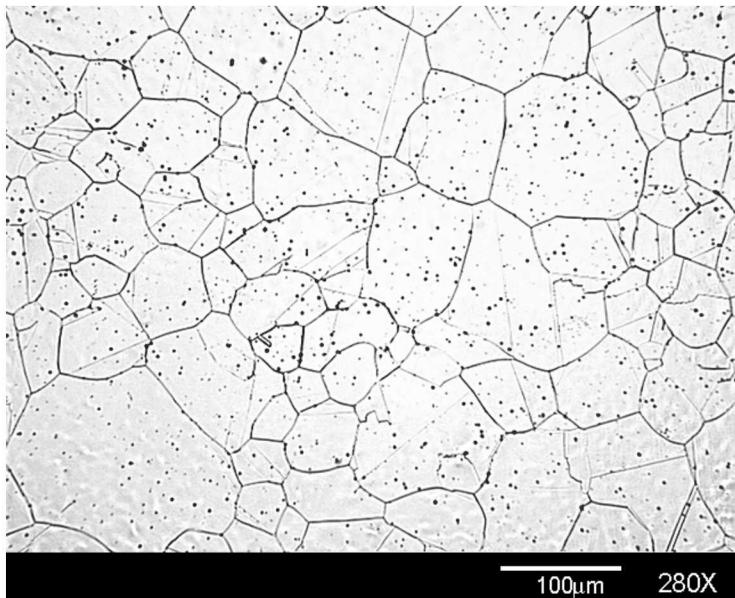


## Conclusions

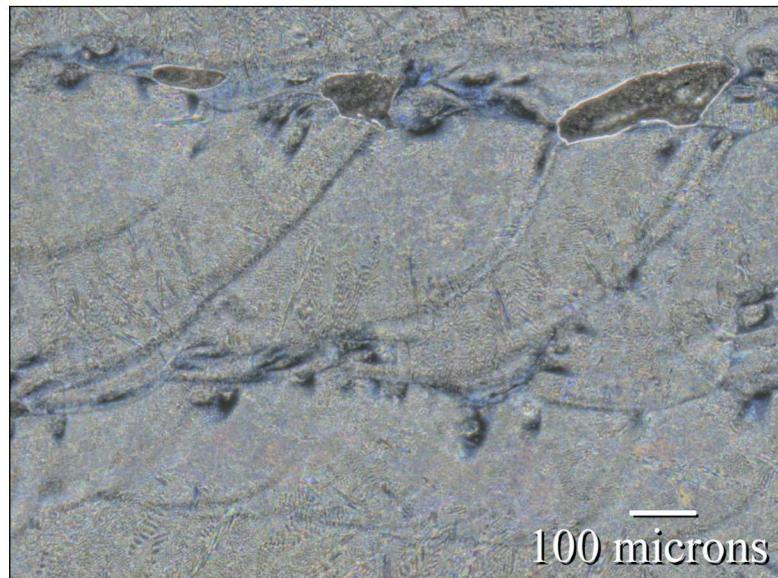


- Local melting, solidification, and subsequent cyclic heating in DED steels creates a new kind of thermal history with microstructural properties similar to welds but smaller
- The properties of these materials can be comparable to conventional counterparts under certain conditions
  - >99% dense material
  - The location-specific thermo-mechanical history can alter microstructure and properties (e.g. residual stress, yield strength, dislocation density, ductility).
- Predictive models will be able to provide guidance for how best to build a structure to optimize its performance in a particular application (predicting the best thermal history)
  - Laser parameters
  - Scan Strategy
  - Composition
  - Etc.

## How Do We Make Structures that We can Confidently Put in High-Risk Environments With These New Processes?



Annealed 316 Stainless Steel



400 W DED 316 Stainless Steel

We have to understand the microstructural features in these new materials, how they contribute to the strength of the material, and how to manipulate them with the process to “get our hammer and blast furnace back”