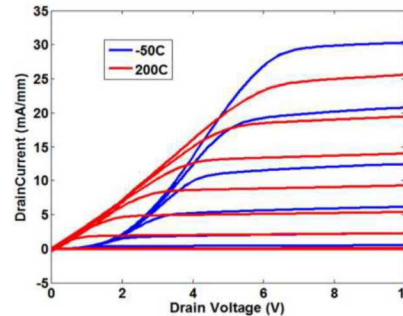
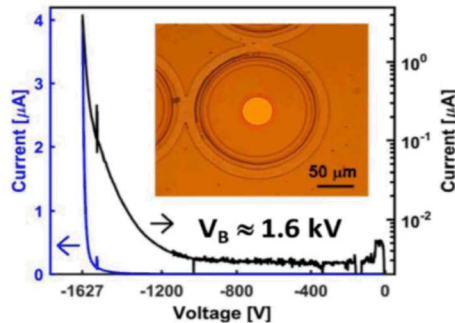
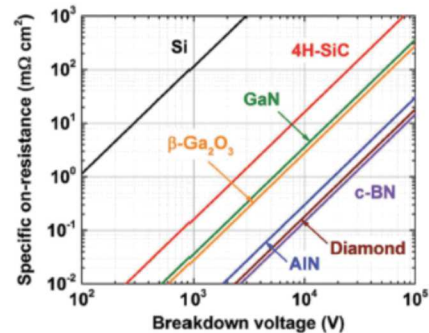


WINDS

Workshop on Innovative
Nanoscale Devices and
Systems (WINDS)
Kohala Coast, Hawaii, USA
December 4, 2019

Ultra-Wide-Bandgap Aluminum Gallium Nitride for High-Performance Power-Switching and Radio-Frequency Devices

Robert Kaplar, Andrew Armstrong, Albert Baca, Brianna Klein, Erica Douglas, Shahed Reza, Andrew Allerman, Mary Crawford, Jeremy Dickerson, Andrew Binder, Jack Flicker, Jason Neely, and Oleksiy Slobodyan
Sandia National Laboratories, Albuquerque, NM

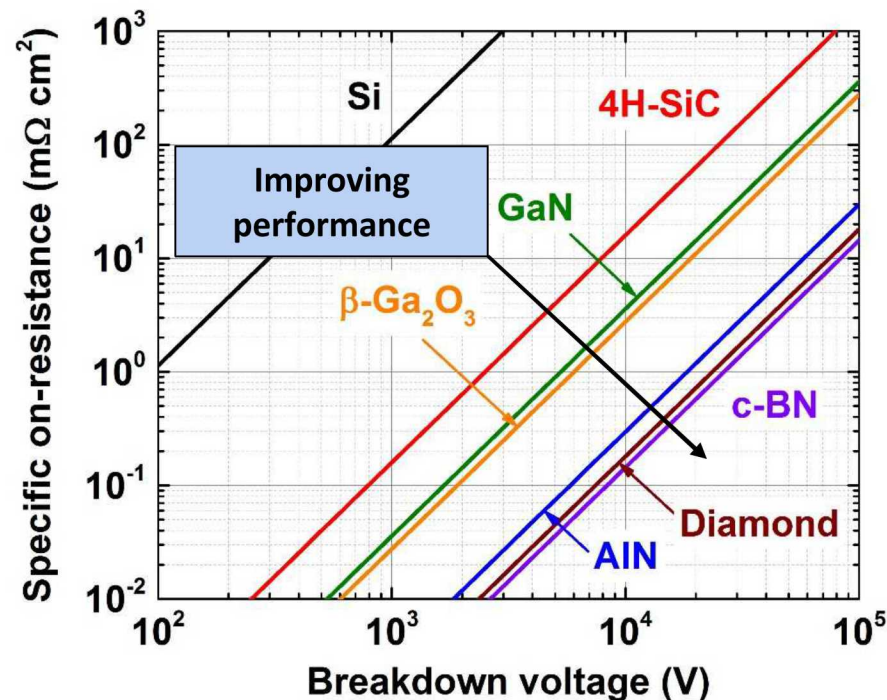
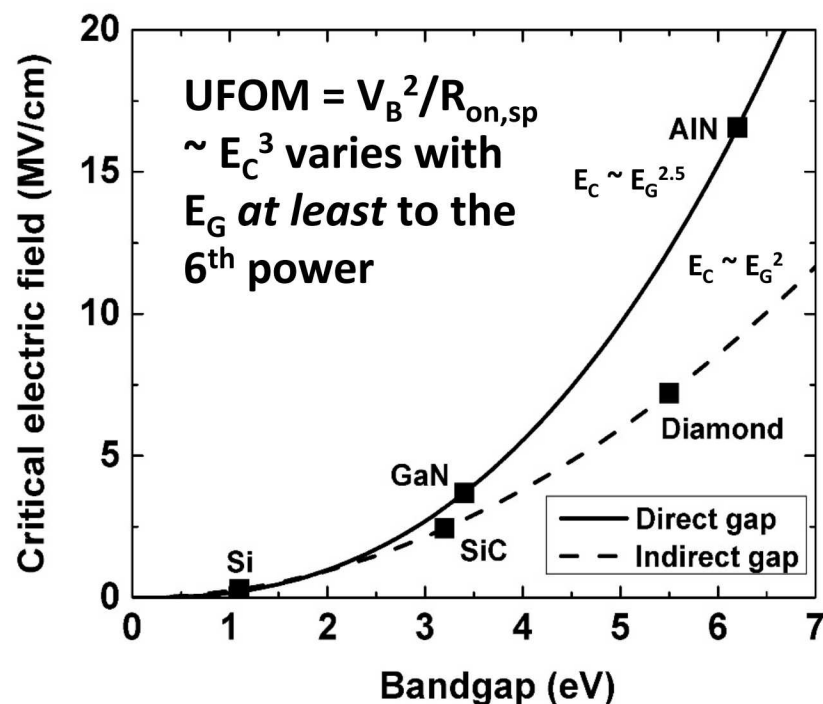
Outline

- **Motivation for UWBG Semiconductors**
- **Vertical AlGaN PiN Diodes for Power Switching**
- **Lateral AlGaN HEMTs for Power Switching**
- **Lateral AlGaN HEMTS for RF Applications**

- **Motivation for UWBG Semiconductors**
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Critical Electric Field Scales with Bandgap and Determines Unipolar FOM



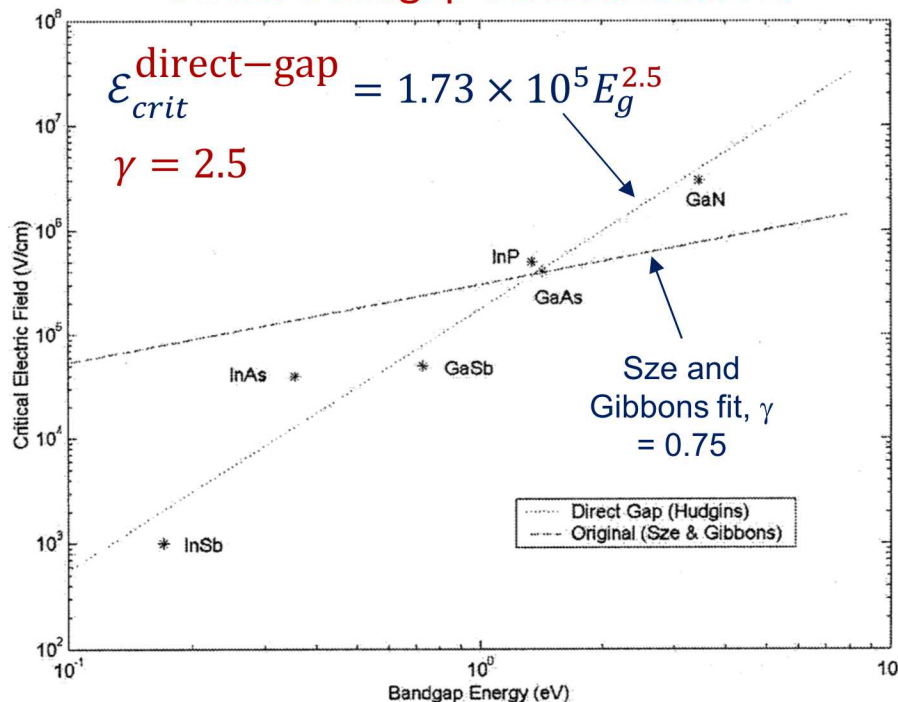
$$\text{Unipolar FOM} = V_B^2/R_{on,sp} = \epsilon\mu_n E_C^3/4$$

Hudgins et al., *IEEE Trans. Pwr. Elec.* 18, 907 (2003); J. Y. Tsao et al., *Adv. Elec. Mat.* 4, 1600501 (2018)

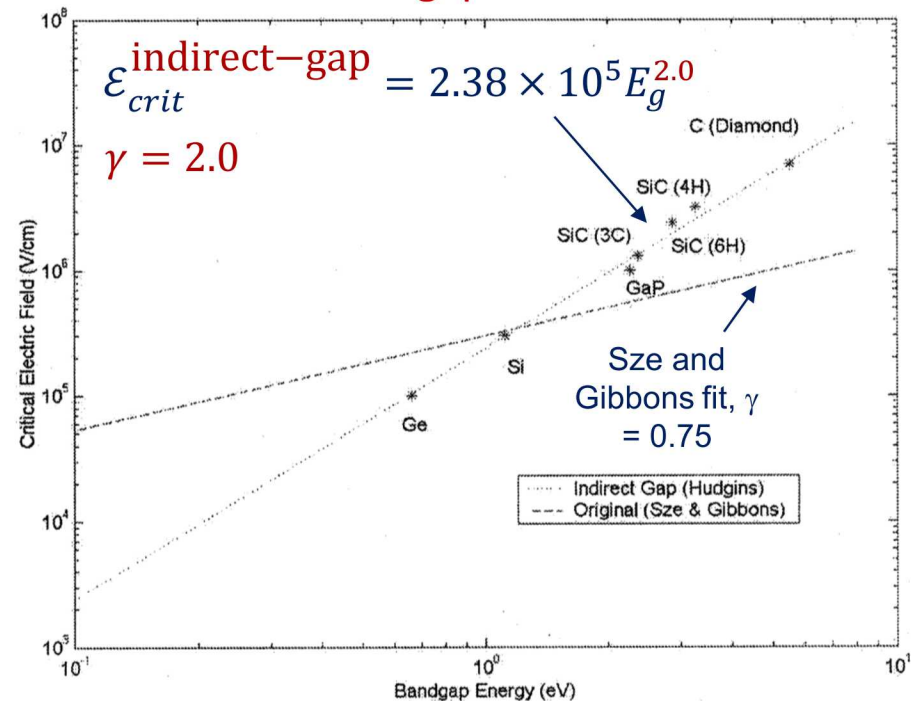
Origin of 2.0 and 2.5 Power-Law Fits for Indirect- and Direct-Gap Semiconductors

- Empirical fits used to predict the capabilities of emerging materials: $\mathcal{E}_{crit} \sim E_g^\gamma$
- Fits by Hudgins et al. published in 2003 remain the standard

Direct-Bandgap Semiconductors



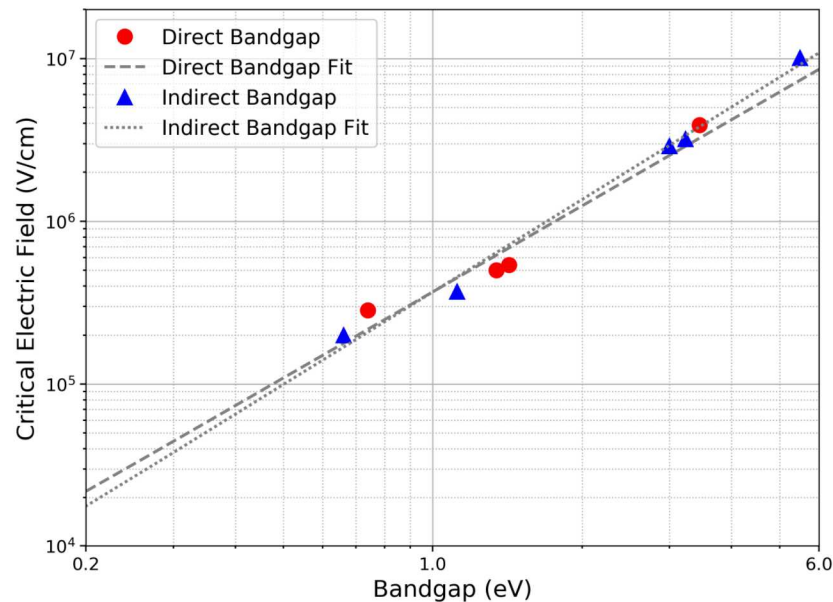
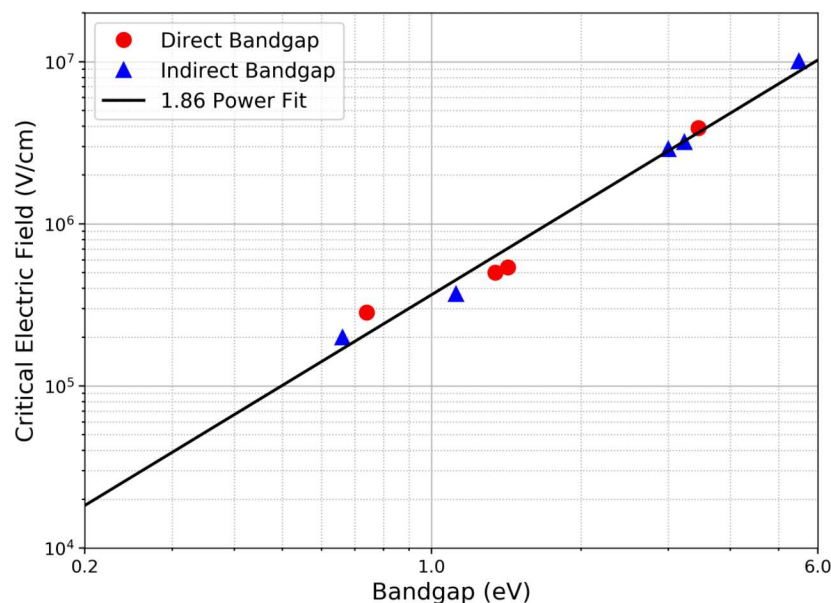
Indirect-Bandgap Semiconductors



- Empirical fits to data from the literature
- Not normalized to doping or device structure

Normalization of Literature $\mathcal{E}_{crit}$ Values Results in Updated Power-Law

- Normalized literature values to NPT structure with $N_D = 1 \times 10^{16} \text{ cm}^{-3}$
- Best-fit power-law slope gives $\mathcal{E}_{crit} \sim E_g^\gamma$ with $\gamma = 1.86$

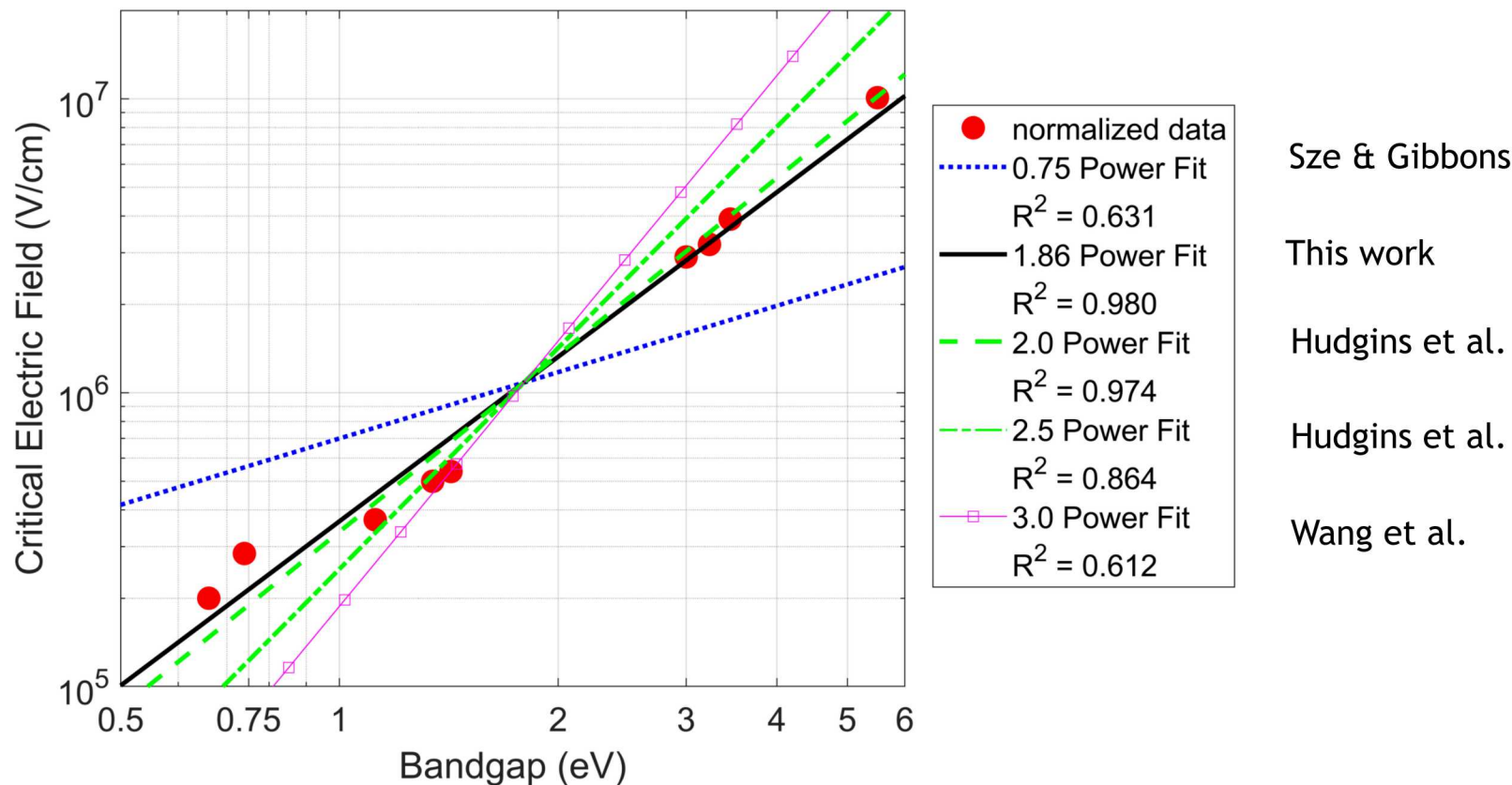


Semiconductor	Type	Bandgap (eV)	Normalized values
Ge	Indirect	0.661	2.0×10^5
$\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$	Direct	0.74	2.8×10^5
Si	Indirect	1.12	3.7×10^5
InP	Direct	1.344	5.0×10^5
GaAs	Direct	1.424	5.4×10^5
6H-SiC	Indirect	3.0	2.9×10^6
4H-SiC	Indirect	3.23	3.2×10^6
GaN	Direct	3.45	3.9×10^6
C (diamond)	Indirect	5.5	1.0×10^7

Critical Field Values	Slope	R^2
All	1.86	0.980
Direct Bandgap	1.76	0.947
Indirect Bandgap	1.89	0.992

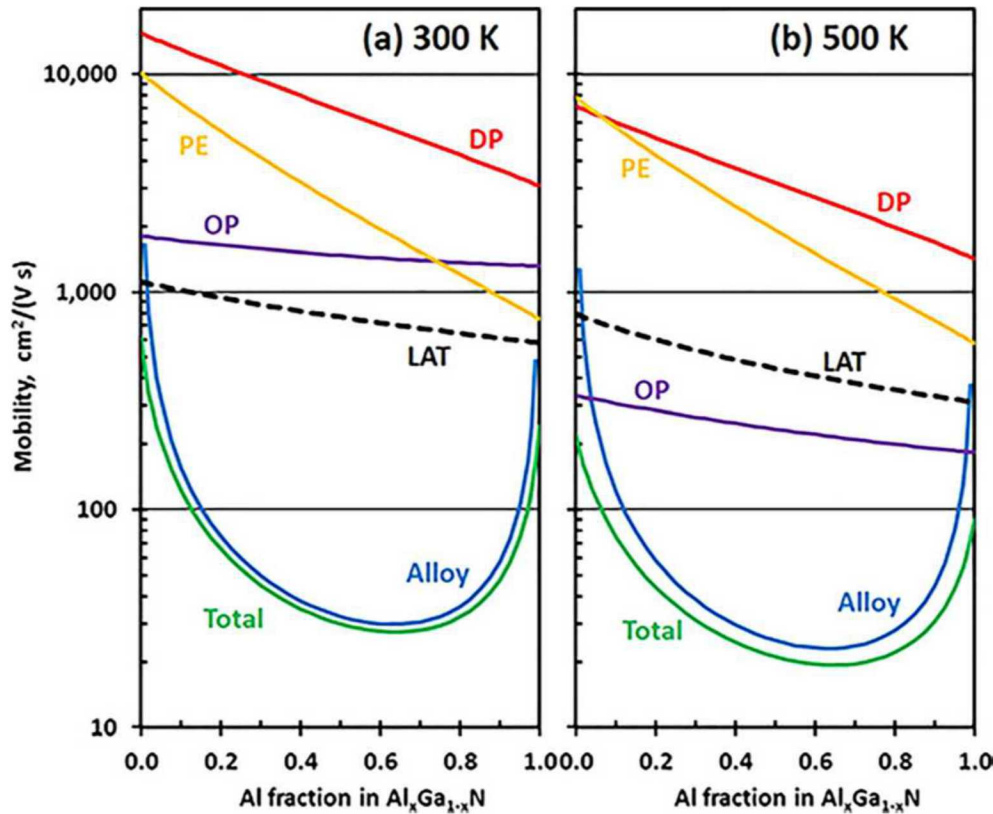
No significant difference between direct- and indirect-bandgap materials

Comparison of Updated Power-Law Fit to Historical Fits



For normalized data, the proposed 1.86 power-law fit is better than most historical fits to date

Tradeoff Between ϵ_{crit} and Mobility as Bandgap Increases



- Alloy scattering believed to dominate low-field transport in AlGaInN
- Results in weak temperature dependence of mobility
- May be advantageous for high-temperature electronics

$$\text{Unipolar FOM} = V_B^2 / R_{\text{on,sp}} = \epsilon \mu_n E_C^3 / 4$$

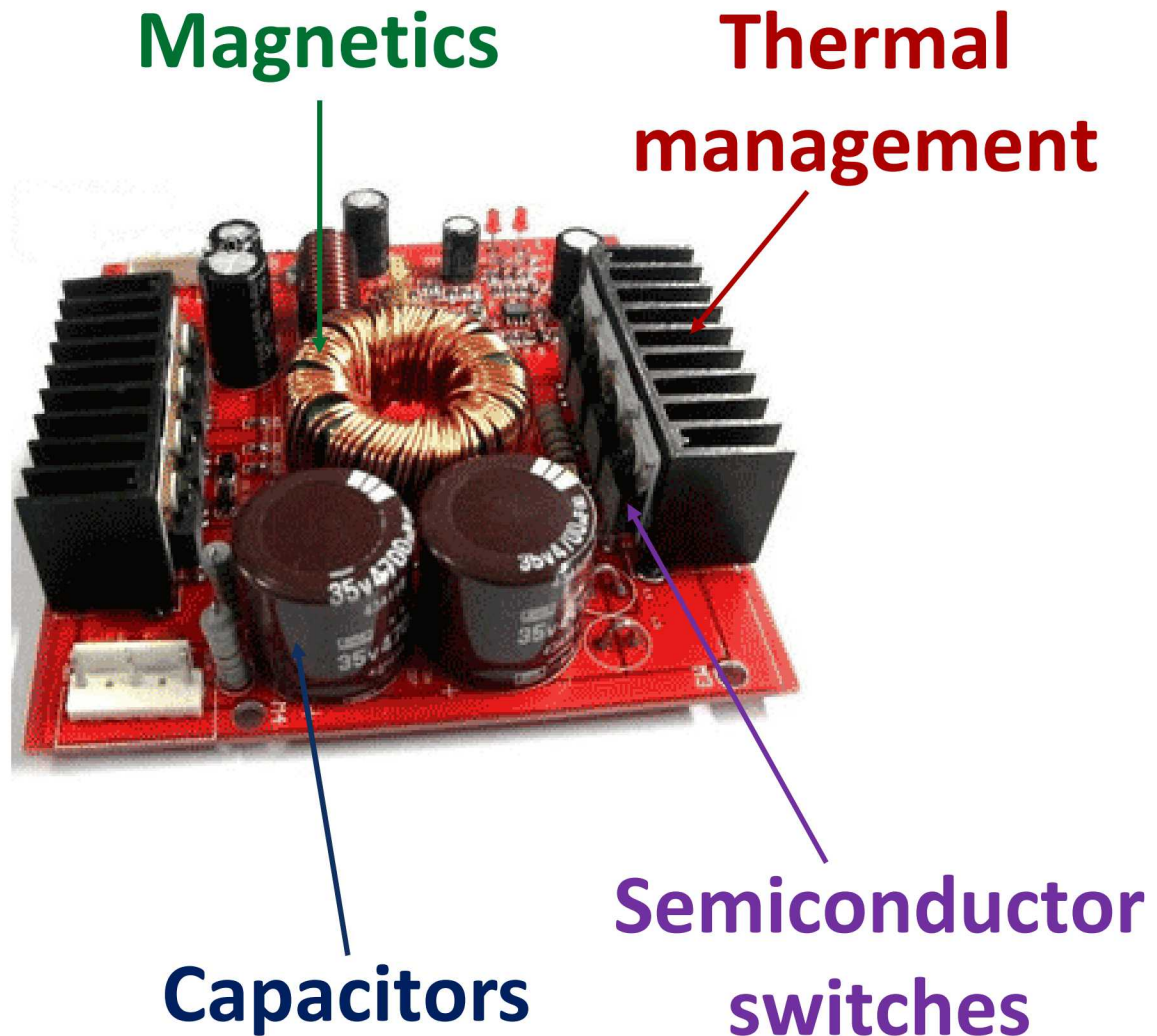
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Power Electronics Volume and Weight Considerations

Passive elements and thermal management comprise the bulk of the volume and mass of a power converter

WBG/UWBG
semiconductors enable
higher switching
frequency and
reduction in size of
passive elements and
thermal management

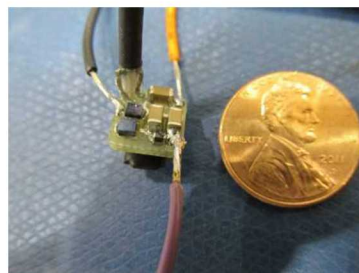


WBGs and UWBGs Enable Dramatic Reduction in Power Converter Volume

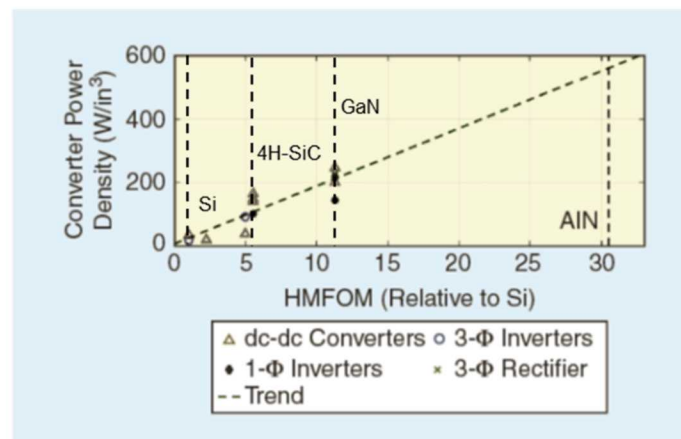


SiC converter is 10% the volume and weight of Si for equivalent capability (10 kV, 100 A)

SNL "Coin Converter"
90 V, 90 mA $\rightarrow$ 215 W/in³



UWBG materials and devices may enable *additional reduction* in power converter size and weight!

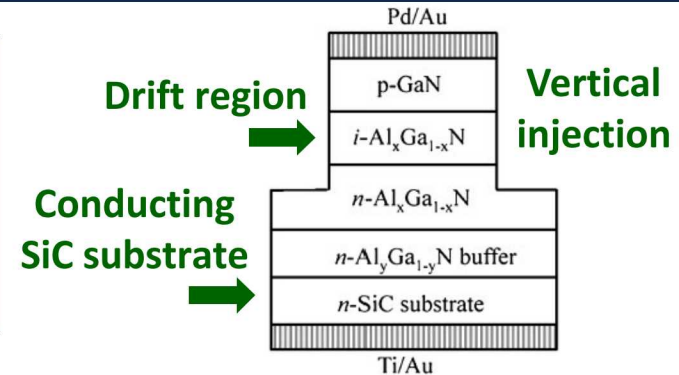


R. J. Kaplar, J. C. Neely, et al., *IEEE Power Electronics Magazine* (March 2017)

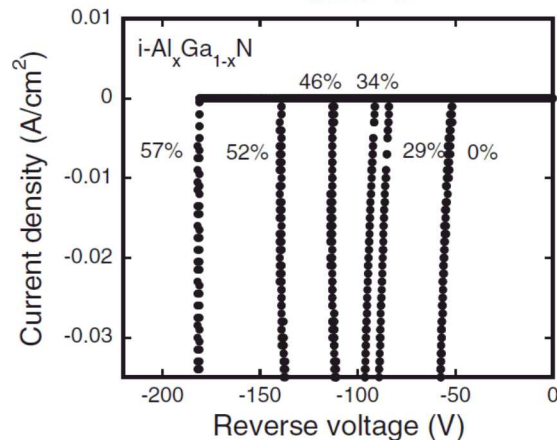
Literature Data on Vertical AlGaN PiN Diodes

$\text{Al}_x\text{Ga}_{1-x}\text{N}$ vertical PiN diode ($0 < x_{\text{Al}} < 0.57$)

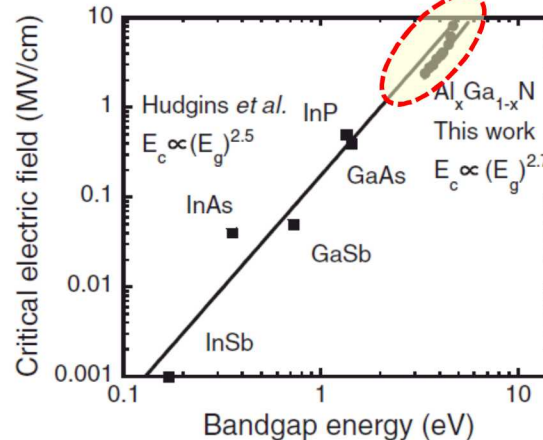
- Drift Layer: $\sim 0.2 \mu\text{m}$, $n \sim 2 \times 10^{16} \text{ cm}^{-3}$
- n-SiC substrates, $R_{\text{on,sp}} = 1.45 \text{ m}\Omega\text{-cm}^2$ ($x_{\text{Al}} = 0.22$)



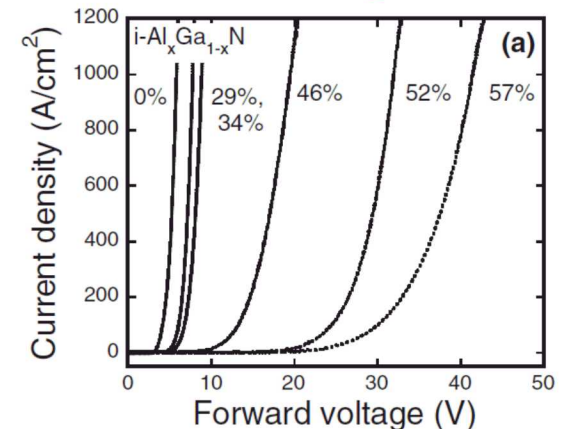
Reverse breakdown < 200 V



Effective $E_c \sim 8 \text{ MV/cm}$ ($2 \times \text{GaN}$)

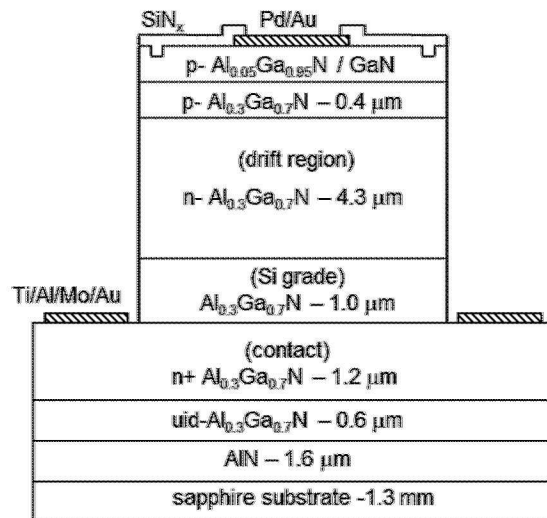


Higher forward turn-on for increasing Al %



- Breakdown voltage increases with larger bandgap
- Effective critical electric field scales as $E_G^{2.7}$

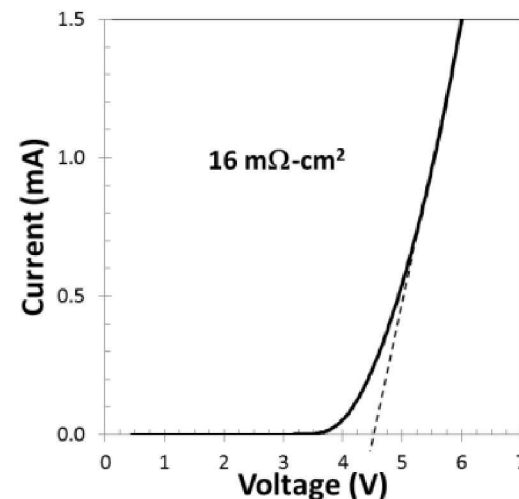
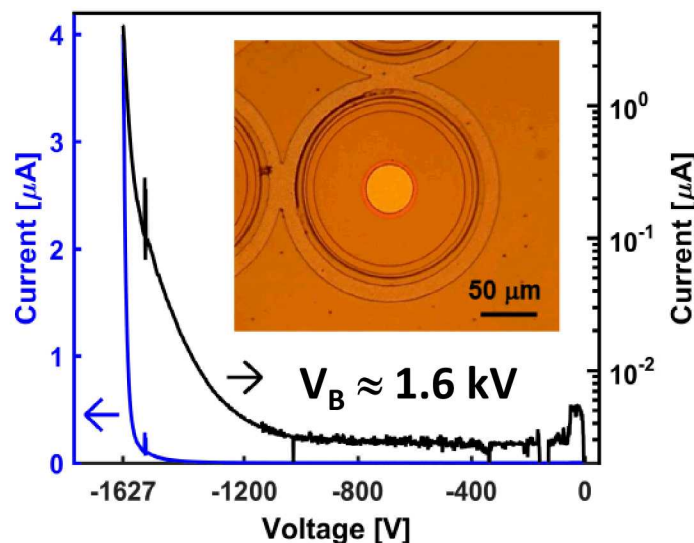
kV-Class Quasi-Vertical $\text{Al}_{0.3}\text{Ga}_{0.7}\text{N}$ PiN Diode



Forward bias luminescence

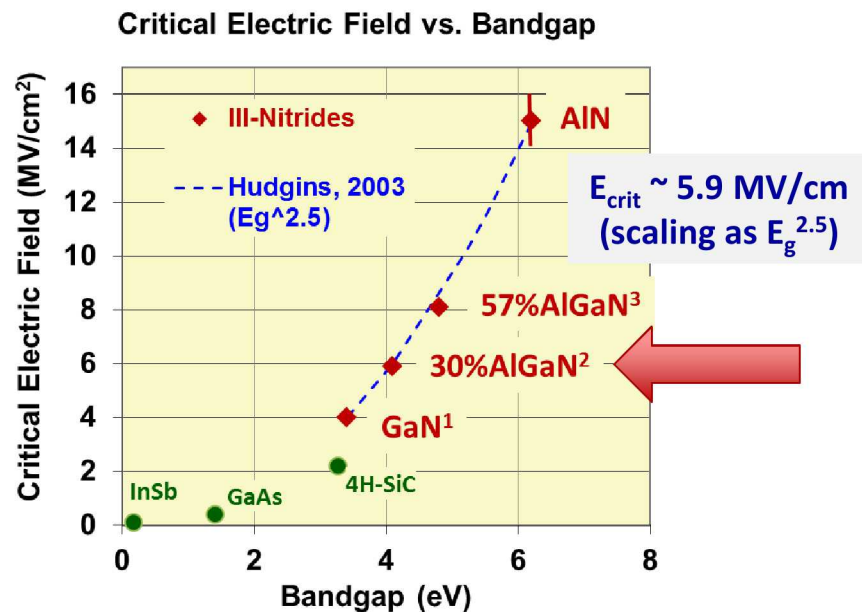


- Drift region doping mid- 10^{16} cm^{-3} *n*-type
- Record $V_B^2/R_{\text{on,sp}} = 150 \text{ MW/cm}^2$, *>20x better than any previously published result*
- Drift region thickness = $4.3 \mu\text{m}$, likewise *>20x* greater than previously published results
- Current density up to 3.5 kA/cm^2 measured



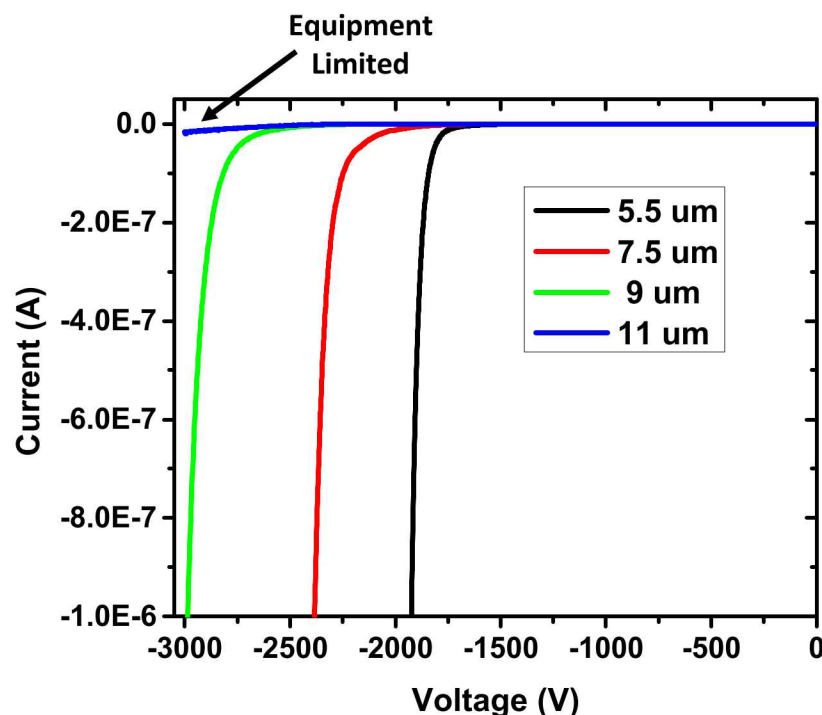
On-resistance likely limited by quasi-vertical design

Critical Electric Field Scaling and Thicker Drift Regions for Higher V_B



Devices grown using thicker drift regions show higher breakdown voltage

- 4.3 μm Al_{0.3}Ga_{0.7}N drift region is punched-through at breakdown
- Punch-through analysis indicates effective $E_c = 5.9 \text{ MV/cm}$, consistent with $E_c \sim E_g^{2.5}$ scaling



1 – Armstrong EL 2016; 2 – Allerman EL 2016; 3 – Nishikawa et al. JJAP 46 (4B), 2316 (2007)

Comparison of Breakdown Voltages Reported for III-N PiN Diodes

GaN diode (Vertical)		Breakdown (kV)	No (cm ⁻³)	Drift (μm)	Material	Group	Ref
		4.7 / 5.0	2-16e15	33	GaN	Hosei Univ.	EDL 36 p1180 (2015) / Jpn J Appl. P. 57 (2018)
		4.0	2-5e15	40	GaN	Avogy	EDL 36 p1073 (2015)
		3.9	3e15	30	GaN	Sandia	EL 52 p1170 (2016)
		3.7	5e15	>30	GaN	Avogy	EDL 35 p247 (2014)
AlGaIn diode (Quasi-vertical)		3.5	1-12e15	32	GaN	Hosei Univ.	IEDM15-237 (2015)
		>3	0.8-3e16	11	30%-AGaN	Sandia	This work
		3.0	0.8-3e16	9	30%-AGaN	Sandia	This work
		3.0	1-10e15	20	GaN	Hitachi	Jpn J Appl Phys 52 p028007 (2013)

Advantages of Ultra-Wide-Bandgap AlGaIn

	<u>GaN</u>	<u>Al_{0.3}Ga_{0.7}N</u>	
N _o (cm ⁻³)	low 10 ¹⁵	low 10 ¹⁶	} ← Larger E _c & E _g
Drift (μm)	20-30	~10	
TDD (cm ⁻²)	≤ 10 ⁶	low 10 ⁹	← Impact?

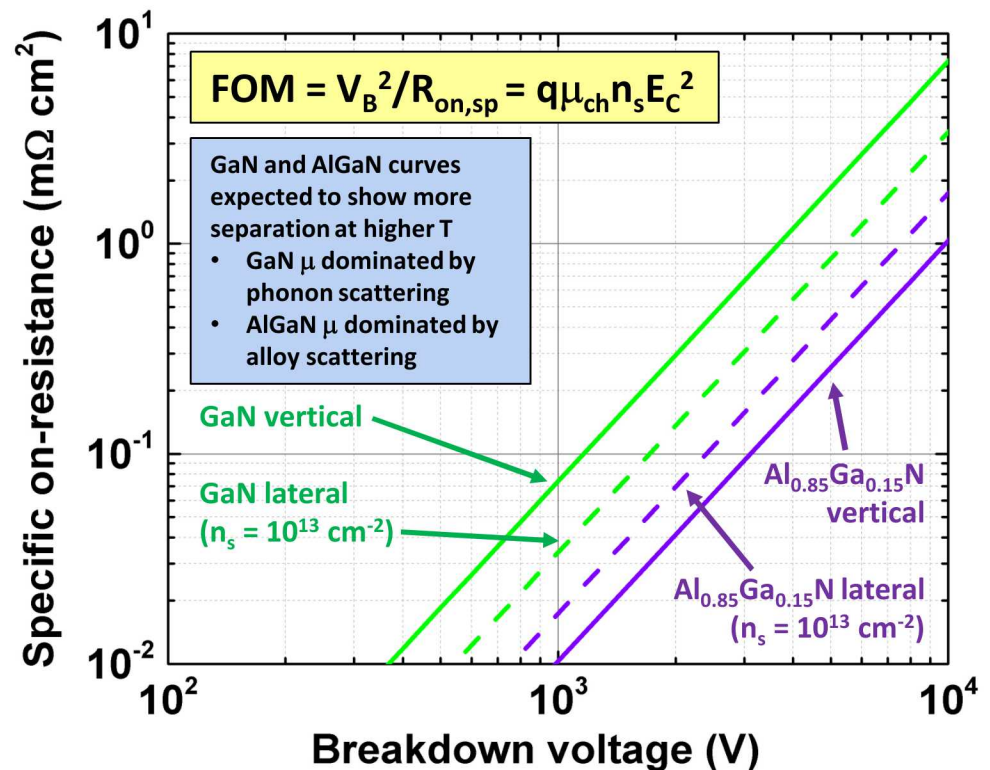
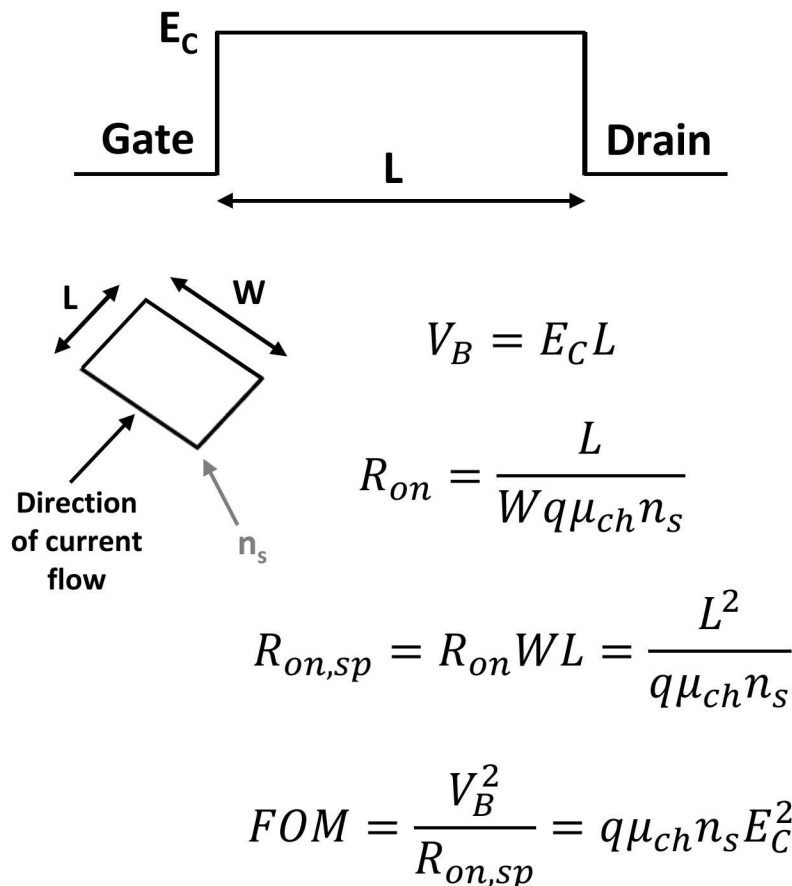
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Lateral Power Device

Figure of Merit

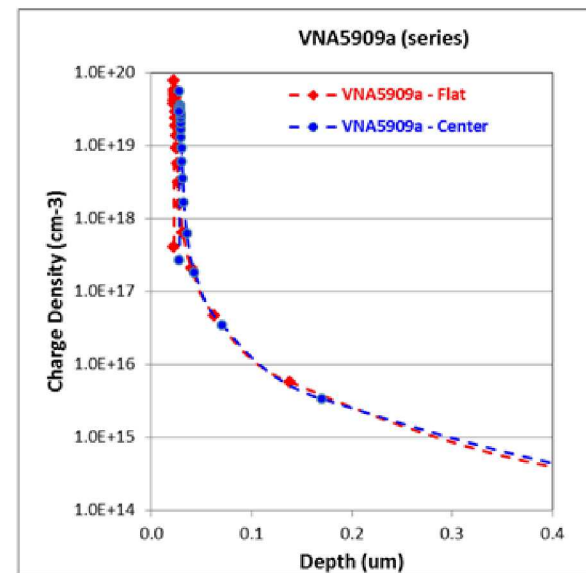
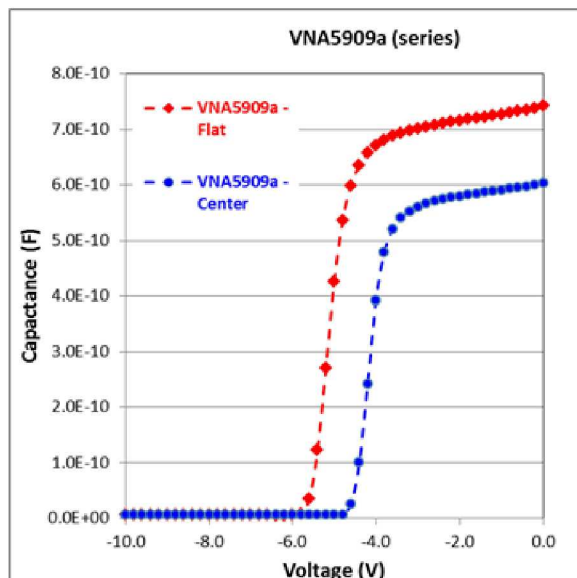
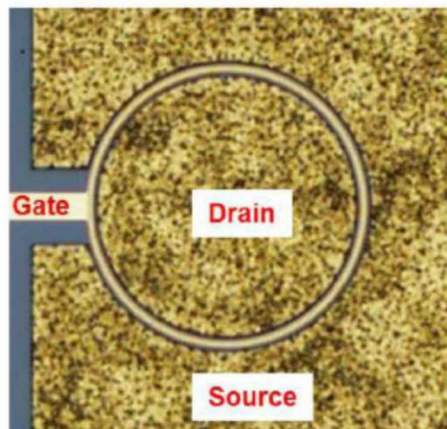
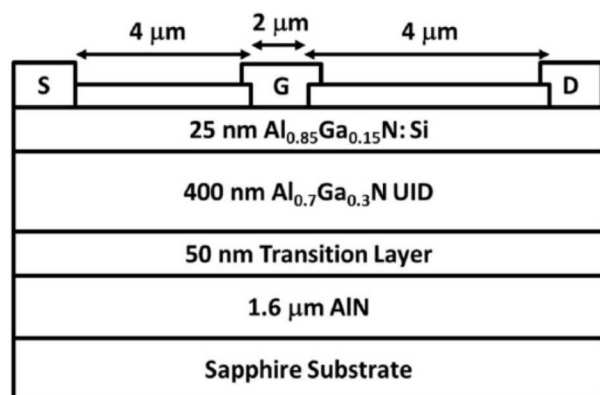
- Not as widely known as the unipolar FOM
- Unipolar (vertical) FOM is often incorrectly used for lateral devices



- Proportional to E_C^2 rather than E_C^3 , but high n_s can result in high FOM

$\text{Al}_{0.85}\text{Ga}_{0.15}\text{N}/\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$ UWBG HEMT Structure

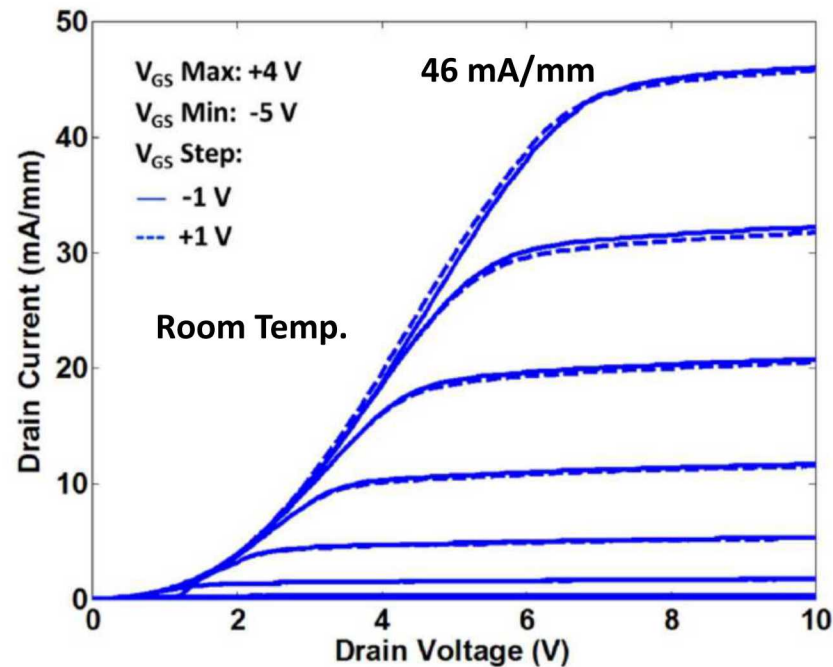
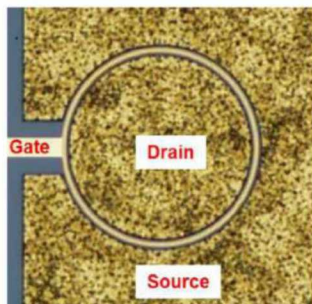
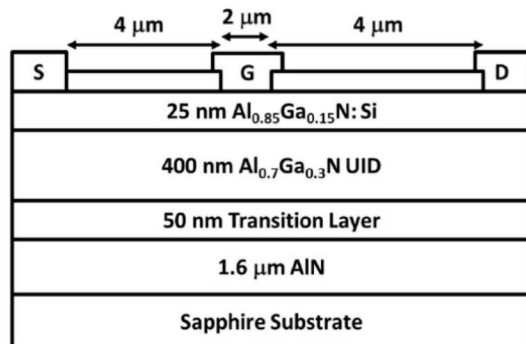
CV Characterization



Planar source and drain contacts

- Sheet resistance: $2200 \Omega/\square$
- Pinch-off voltage: -4.5 V (center)
- Sheet charge density: $6 \times 10^{12} \text{ cm}^{-2}$
- Inferred mobility: $250 \text{ cm}^2/\text{Vs}$

Electrical Characteristics of $\text{Al}_{0.85}\text{Ga}_{0.70}\text{N}/\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$ HEMT



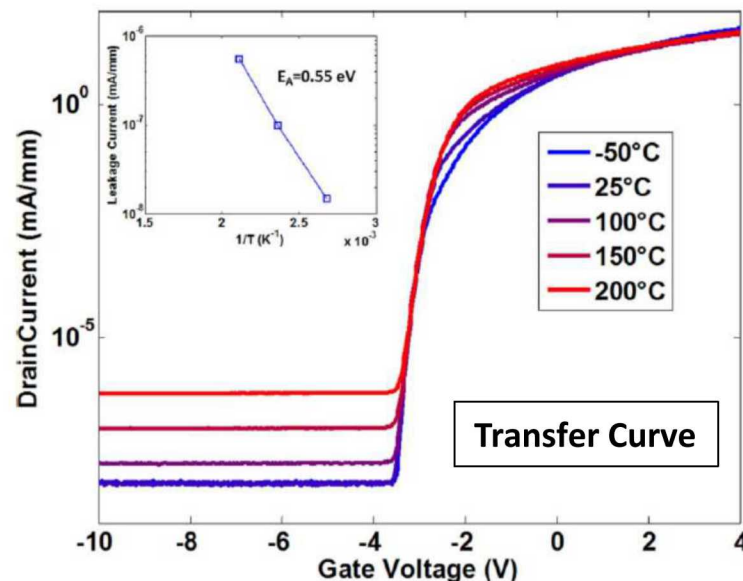
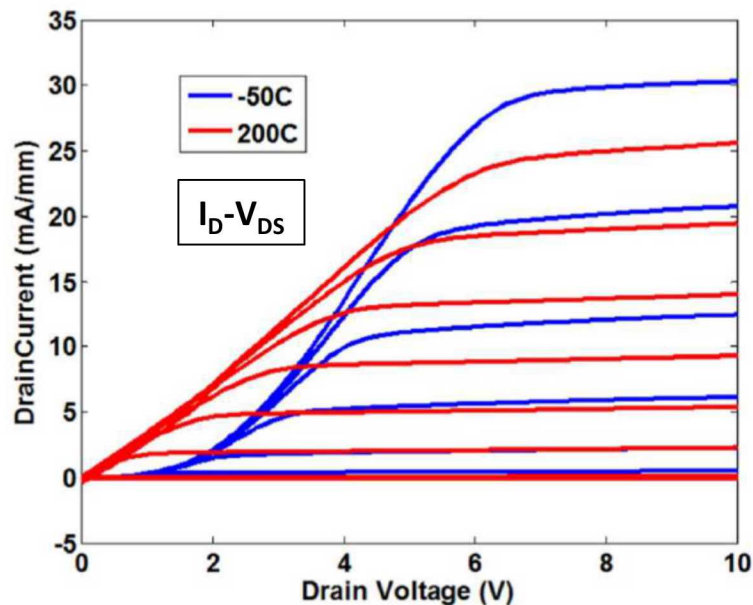
➤ Current density

- Current density of 46 mA/mm (good, but < 2x expected based on channel properties)
- Due to quasi-rectifying behavior in source and drain contacts

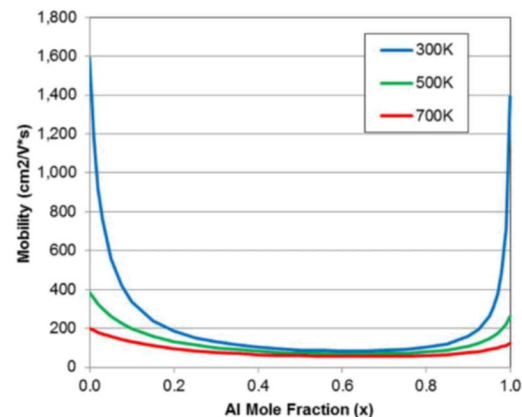
➤ Sub-threshold slope ~ 75 mV/decade

A. G. Baca et al., ECS J. Solid-State Sci. Tech. 6 (12), Q161 (2017)

Operation of $\text{Al}_{0.85}\text{Ga}_{0.70}\text{N}/\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$ HEMT Over Wide Temperature Range

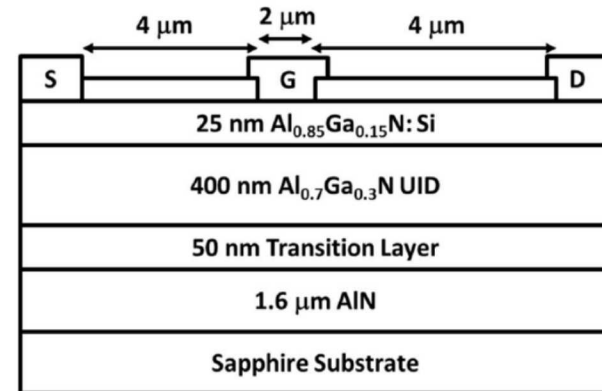
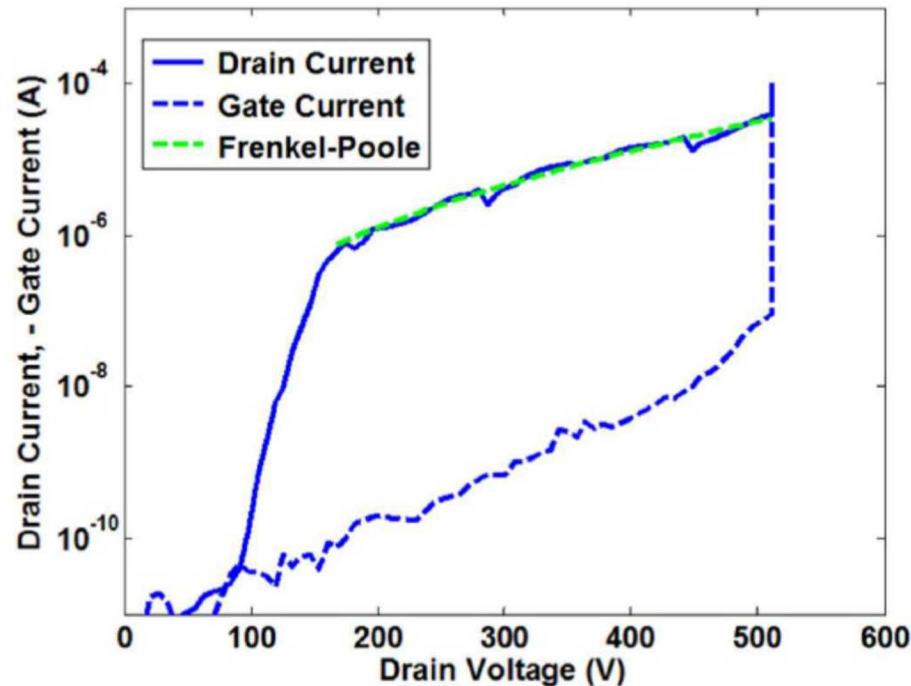


- Relatively weak dependence on temperature
- Likely due to insensitivity of channel mobility to temperature
- Ohmic contacts improve at high temperature



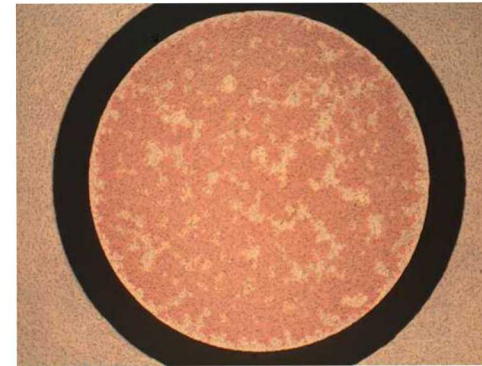
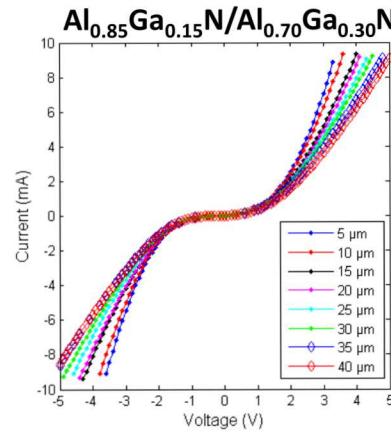
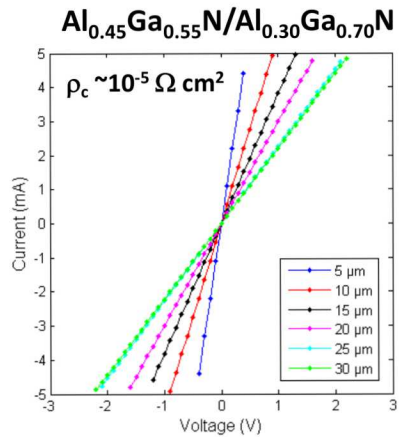
Channel mobility
vs. temperature
for 2DEG in
 $\text{AlGaAs}/\text{AlGaAs}$
heterostructure

Breakdown Voltage of $\text{Al}_{0.85}\text{Ga}_{0.70}\text{N}/\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$ HEMT



- Field plate with SiN dielectric
- Misalignment with a circular gate leads to $L_{\text{GD}} (\text{actual}) < L_{\text{GD}} (\text{drawn})$
- $V_{\text{br}} = 511 \text{ V}$
 - $L_{\text{GD}} = 1.6\text{-}5.4 \mu\text{m}$ (single device with misalignment)
- Breakdown field = $95\text{-}320 \text{ V}/\mu\text{m}$ ($\approx 1.0\text{-}3.2 \text{ MV}/\text{cm}$)
 - Exceeds previous generation device ($81 \text{ V}/\mu\text{m}$)
 - GaN HEMT typical breakdown field $\approx 100 \text{ V}/\mu\text{m}$

Ohmic Contact Development for Al-Rich AlGaN HEMTs



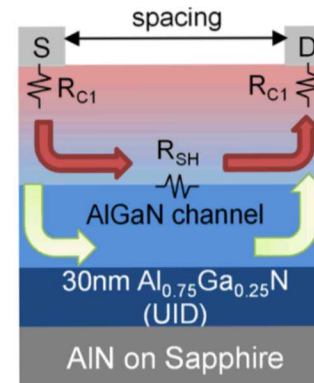
Au 50 nm
Ni 15 nm
Al 100 nm
Ti 25 nm
AlGaN/Substrate

900°C anneal

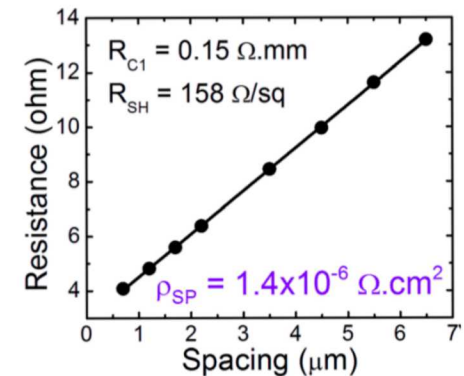
50 nm $\text{Al}_{0.45}\text{Ga}_{0.55}\text{N}$
4.15 mm $\text{Al}_{0.3}\text{Ga}_{0.7}\text{N}$
1.6 mm AlN
Sapphire Substrate

25 nm $\text{Al}_{0.85}\text{Ga}_{0.15}\text{N}$
400 nm $\text{Al}_{0.7}\text{Ga}_{0.3}\text{N}$
Graded Layer 50 nm
2.9 mm AlN
Sapphire Substrate

Graded heterostructure approach

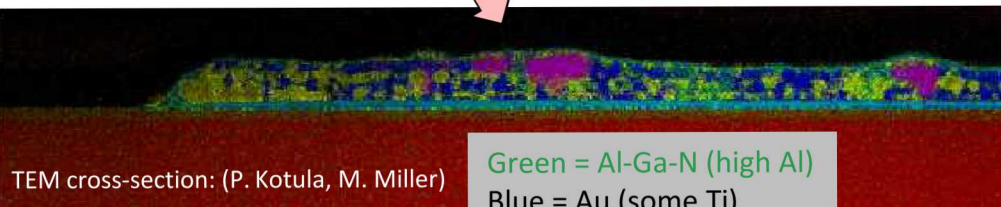


(a)



(b)

S. Bajaj et al., Appl. Phys. Lett. 133508 (2016)



TEM cross-section: (P. Kotula, M. Miller)

Green = Al-Ga-N (high Al)
 Blue = Au (some Ti)
 Cyan = Ti-Au-Al
 Magenta = Ni-Al
 Yellow = Al-O
 Red = Al-Ga-N (low Al)

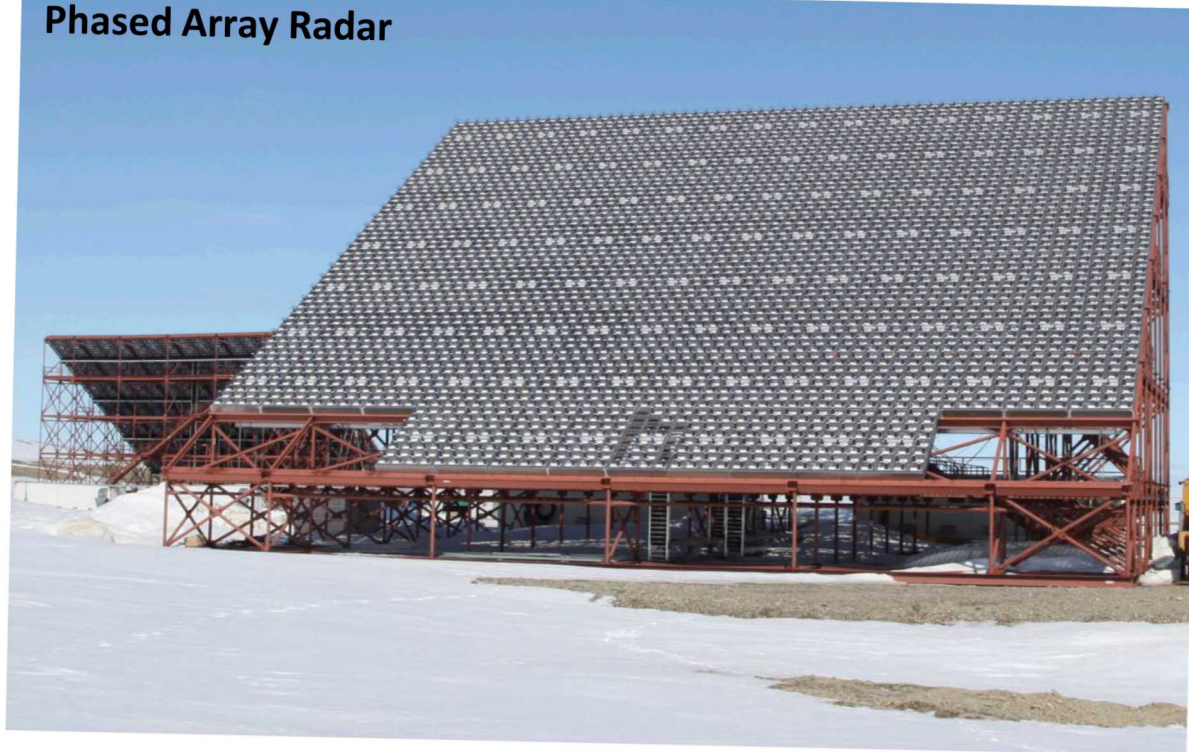
B. A. Klein et al., ECS J. Solid-State Sci. Tech. 6 (11), S3067 (2017)

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Radio-Frequency Applications

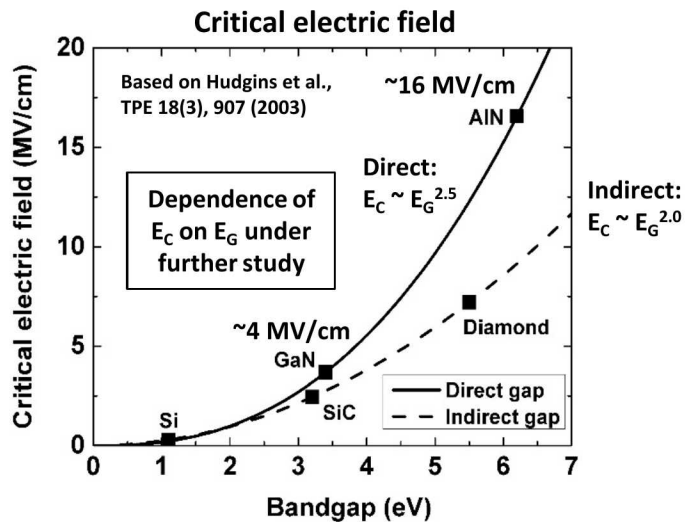
Phased Array Radar



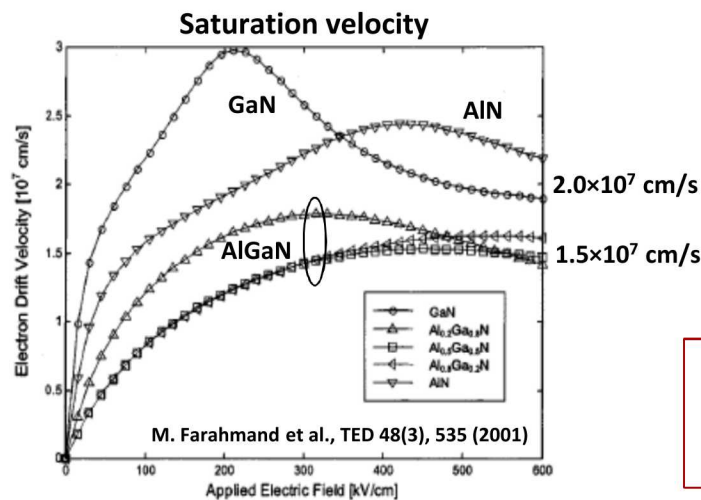
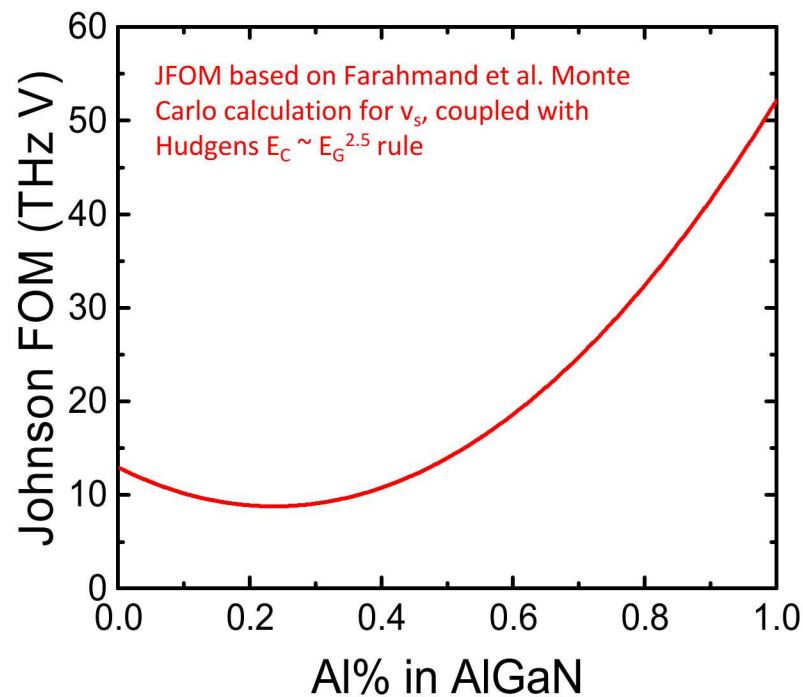
- More powerful radar has longer range
- Beam can be focused down to interact with incoming target's guidance electronics
- Can be used in smaller directed energy applications to heat opponent's skin
- Can use as a high power microwave system to locally affect operation of electronics

K. Jones, ARL

Advantages of UWBGs for Radio-Frequency Devices



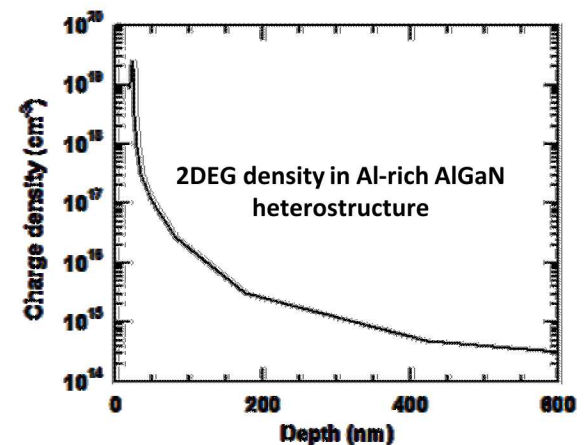
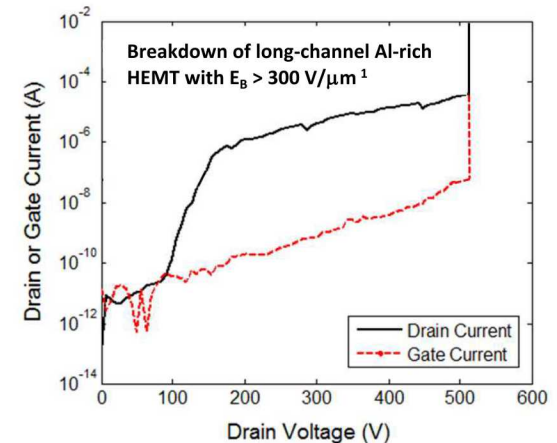
$$\text{Johnson FOM: } V_B f_T = E_C v_{\text{sat}} / 2\pi$$



Al-rich AlGaN yields better JFOM than GaN due to higher E_c and comparable v_{sat}

Predicted 8× RF Power Density Increase for Al-Rich HEMTs Compared to GaN-Channel HEMTs

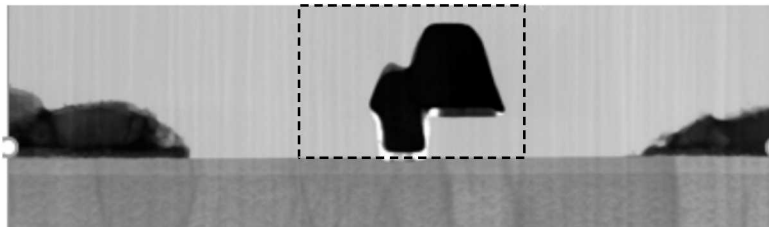
- Maximum power density $P_{max} = J_{max} V_{max} / 8$ with $J_{max} = q v_{sat} n_s$
- Three factors plausibly lead to 8× power density for Al-rich AlGa_N HEMTs compared to GaN-channel HEMTs (Ga_N: ~4 W/mm → AlGa_N: >30 W/mm)
 - 4× greater breakdown voltage for same dimensions (V_{max})
 - 2× sheet carrier density (J_{max})
 - Parity in electron saturation velocity (J_{max})
- Breakdown electric field is typically ~4× lower than theoretical
 - ~400 V/μm expected for Al-rich Al_xGa_{1-x}N with x close to 1
 - >300 V/μm observed experimentally for x = 0.7
 - Compared to ~100 V/μm for GaN
- 2DEG sheet density $n_s > 2 \times 10^{13} \text{ cm}^{-2}$
 - Potentially higher bandgap offset
 - Larger polarization charge for higher x
 - Potential to grow thick, compressively strained barrier layer on AlN substrates
- $v_s \sim 4 \times 10^6 \text{ cm/s}$ measured in Al_{0.7}Ga_{0.3}N (~35% that of GaN)²



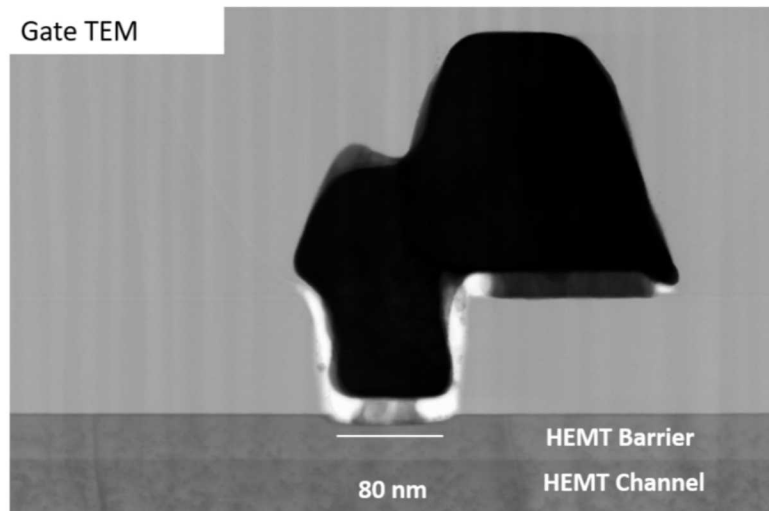
1 – A. G. Baca et al., ECS J. Solid-State Sci. Tech. 6 (12), Q161 (2017)

2 – B. A. Klein et al., J. Elec. Mat. DOI 10.1007/s11664-019-07421-1 (2019)

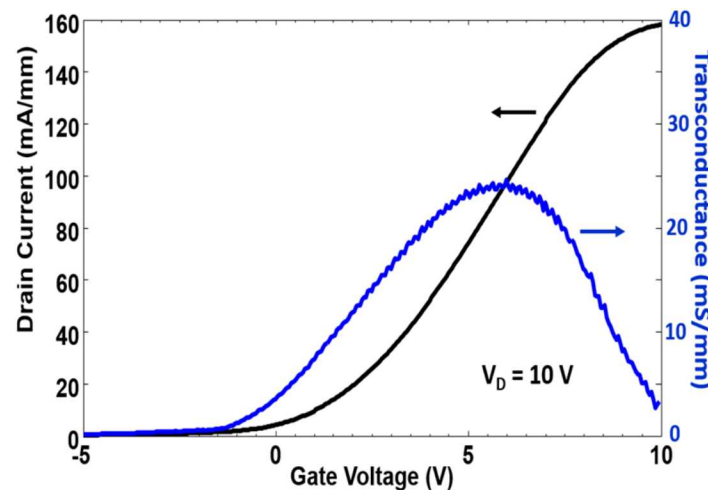
$\text{Al}_{0.85}\text{Ga}_{0.15}\text{N}/\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$ HEMT with 80 nm Gate



Gate TEM

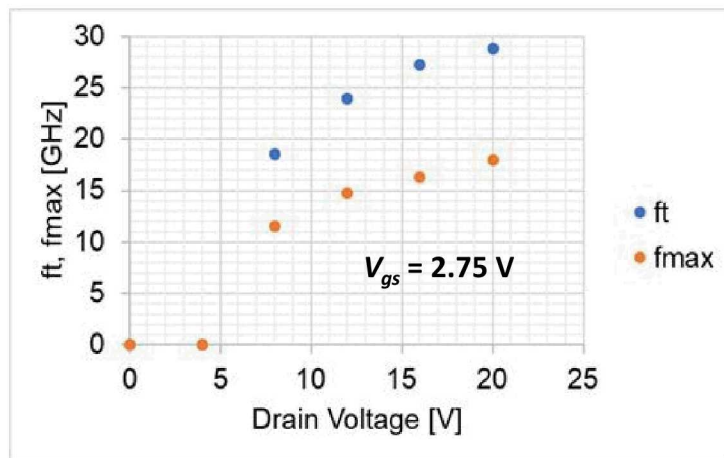
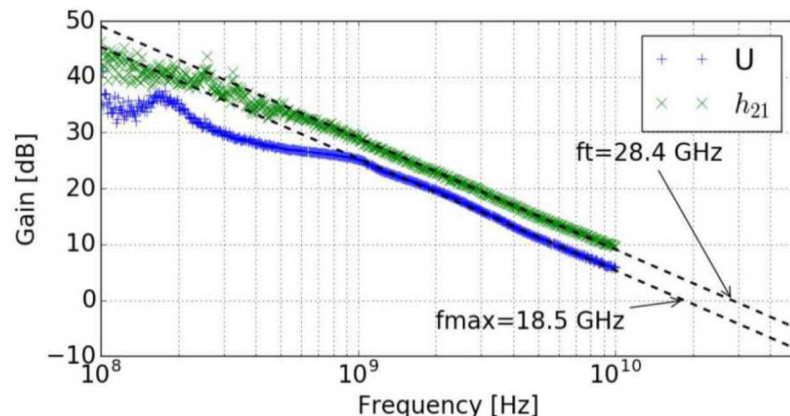


- 30 nm thick $\text{Al}_{0.85}\text{Ga}_{0.15}\text{N}$ barrier and 400 nm thick $\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$ channel + buffer
 - MOCVD growth on sapphire substrate
 - AlN nucleation + graded transition layers
- Barrier doped with $3.5 \times 10^{18} \text{ cm}^{-3} \text{ Si}$
- 2DEG resistivity $\sim 2200 \Omega/\text{sq} \rightarrow \mu_{ch} \approx 390 \text{ cm}^2/\text{Vs}$, $n_s \approx 7.2 \times 10^{12} \text{ cm}^{-2}$
- Nb/Ti/Al/Mo/Au source and drain contacts
- Ni/Au gate with field plate on SiN_x
- Maximum DC current density $\approx 160 \text{ mA/mm}$ at $V_{ds} = 10 \text{ V}$, $V_{gs} = 10 \text{ V}$

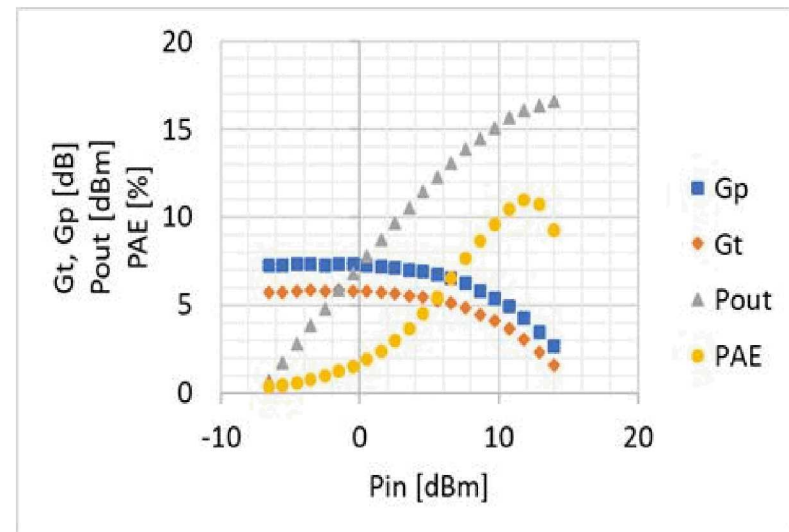


A. G. Baca et al., EDL 40(1), 17 (2019)

RF Characteristics of $\text{Al}_{0.85}\text{Ga}_{0.15}\text{N}/\text{Al}_{0.70}\text{Ga}_{0.30}\text{N}$ HEMT



- f_T and f_{max} extracted from s-parameter measurements at $V_{ds} = 20 \text{ V}$, $V_{gs} = 2.75 \text{ V}$
 - $f_T \approx 28.4 \text{ GHz}$, $f_{max} \approx 18.5 \text{ GHz}$
 - Reversal in order may be due to large gate resistance and/or channel conductance
- Power sweep at 3 GHz, $V_{ds} = 20 \text{ V}$, $V_{gs} = 2.75 \text{ V}$
 - Output power $\approx 0.38 \text{ W/mm}$ (15.8 dBm) at maximum PAE of 11%
 - Maximum power gain $G_p \approx 7.3 \text{ dB}$
- Well below theoretical and simulated values
 - Comparable to other emerging UWBG RF technologies
 - Better Ohmic contacts may help



A. G. Baca et al., EDL 40(1), 17 (2019)

Summary

- **UWBGs, including Al-rich AlGaN, represent the next generation in semiconductor physics**
- **Advantages are due to expected superior material properties such as high critical electric field for avalanche breakdown**
- **Confers advantages to several applications:**
 - **Power switching: Lower system size and weight**
 - **RF applications: Greater power density**
 - **Insensitive to temperature variation**
- **Several Al-rich AlGaN device types demonstrated:**
 - **Vertical PiN diodes**
 - **Lateral power-switching HEMTs (long-channel)**
 - **Lateral RF HEMTs (short-channel)**