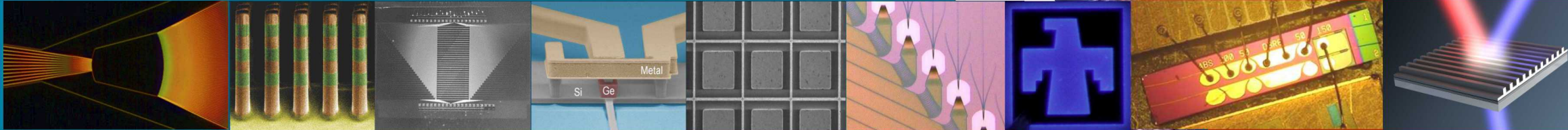




Exceptional service in the national interest

2019 IEEE Photonics Conference



Collaborating with Sandia's
National Security Photonics Center

Rick McCormick, Senior Manager, Advanced Semiconductor Technologies

Sandia National Laboratories is a multimission laboratory managed and operated by National Technology and Engineering Solutions of Sandia LLC, a wholly owned subsidiary of Honeywell International Inc. for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-NA0003525.
SAND2019-5161 C

SANDIA HAS FIVE PROGRAM PORTFOLIES



SANDIA HAS FACILITIES ACROSS THE NATION

Activity locations

- Kauai, Hawaii
- Waste Isolation Pilot Plant, Carlsbad, New Mexico
- Pantex Plant, Amarillo, Texas
- Tonopah, Nevada

Main sites

- Albuquerque, New Mexico
- Livermore, California



SANDIA's MESA COMPLEX

MESA= Microsystems Engineering, Science and Applications

Co-joined Fab Facility

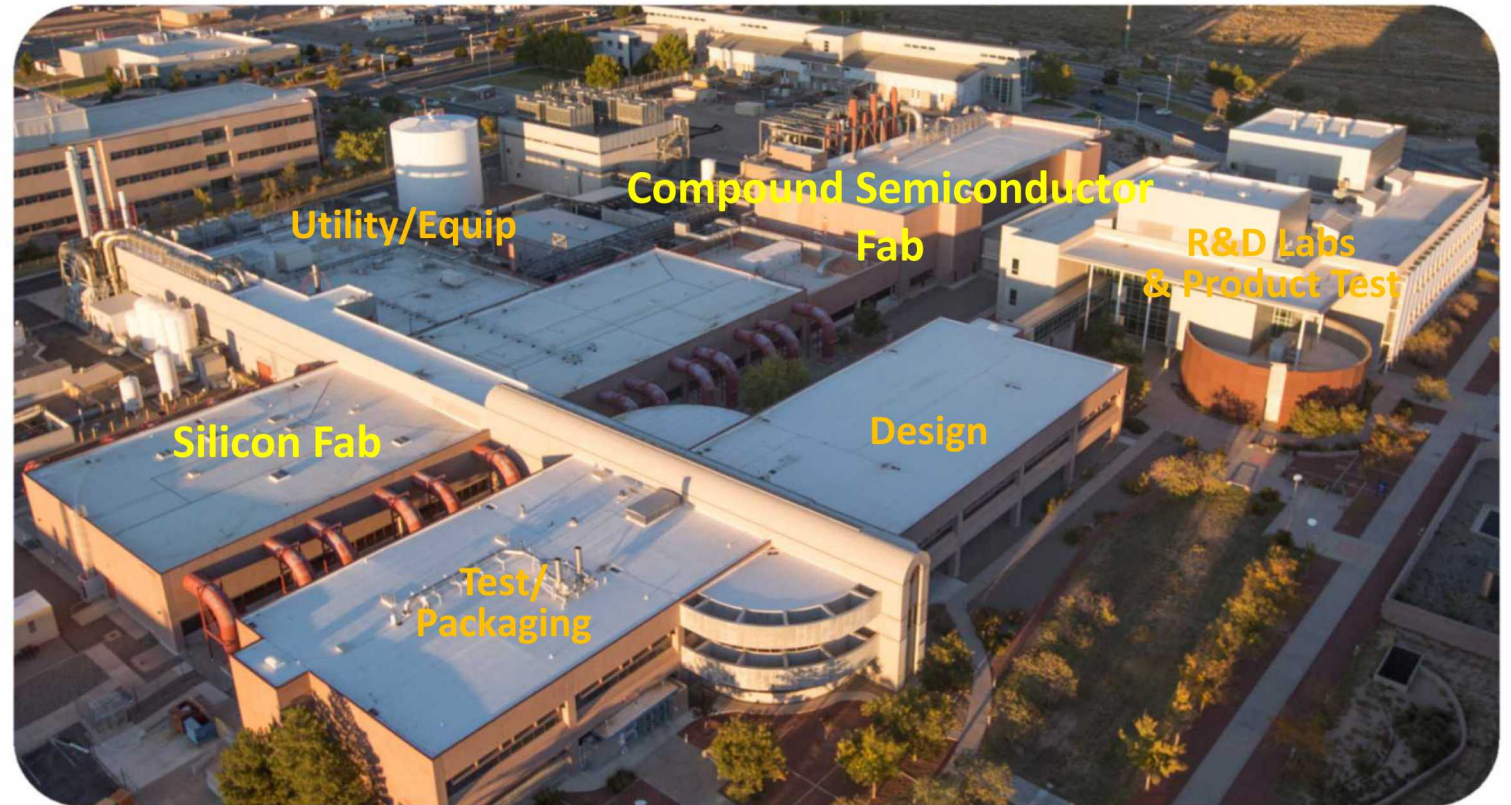
- Silicon Fab
- Compound Semiconductor Fab

Charter:

- design, develop, fabricate, qualify, and produce at low-volume for DOE applications
- conduct leading edge research

Currently dozens of products:

- ASICs, III-V SSICs, MEMS, FPAs, RFICs, Optoelectronics

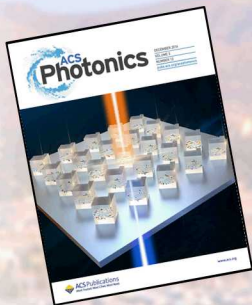


Co-located R&D and Production

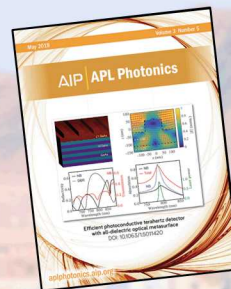
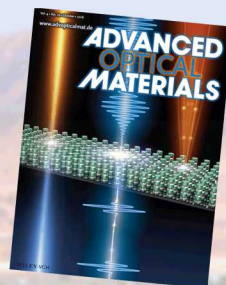
SANDIA's NATIONAL SECURITY PHOTONICS CENTER

Serve the nation as a center of excellence for national security photonics through scientific excellence and innovations and leading-edge integrated photonics solutions

- >60 photonics staff (plus postdocs and students) with expertise in device design, modeling, simulation, epitaxy, device fabrication, integration, assembly, and test
- Partnership with government agencies, industry, and universities
- Technology transfer to industry
- Areas of interest: communication, sensing, computing, imaging, quantum applications
- Special expertise in harsh environment photonics



Microsystems
Enabled
Photovoltaics



T-QUAKE
(Transceiver for
Quantum Keys
and Encryption)



2009 Ultralow-
power Silicon
Microphotonic
Communication
Platform



SANDIA'S NATIONAL SECURITY PHOTONIC CENTER

www.sandia.gov/mstc/nspc

A member of



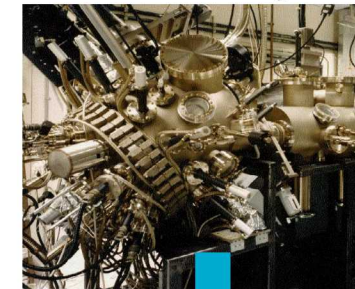
Silicon Fab:
Silicon Photonics

Microfab:
Compound Semiconductor
Photonics



PDK and
Multi-Project Wafers

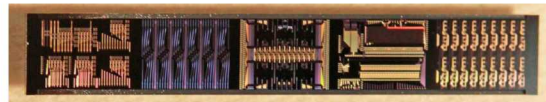
Custom Epitaxial Growth & III-V Devices
(GaAs, InP, GaSb, GaN)
PDK and Multi-Project Wafers (InP)



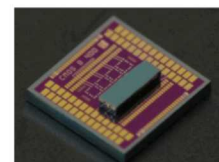
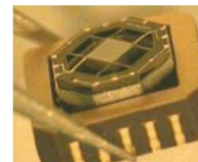
60+ Photonics Staff

Device Design, Modeling, Simulation
Semiconductor Device Fabrication
Microsystem Fabrication
Testing, Rad Effects, Cryo
Reliability

Microsystems and Heterogeneous Integration
(Flip Chip Bonding, micro-optics, assembly, packaging)



Photonic circuits



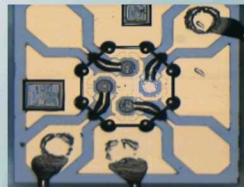
Photonic circuits & Lasers

SANDIA's PHOTONIC MICROSYSTEMS

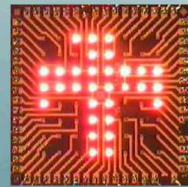
National Capabilities for Advanced Photonics R&D: design, model, fab, package, and test

Materials: Silicon, III-V (Phosphides, Arsenides, Antimonides, Nitrides), Lithium Niobate, Graphene, etc.

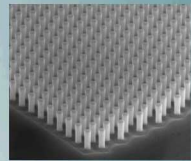
Sources



Single-Frequency
Tunable VCSELs



High Efficiency
VCSELs

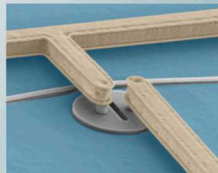


Nanowire Laser



High power
GaAs laser

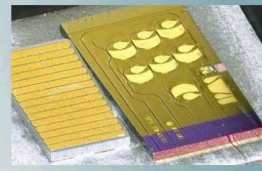
Control / Manipulation



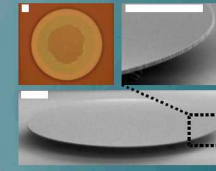
Resonant Optical
Modulator/Filter



Array Waveguide Grating
Channelizing Filter

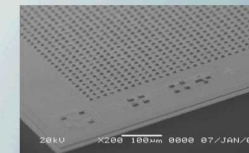


RF Channelizing Filter

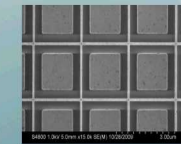


LiNbO3 Freq. Converter

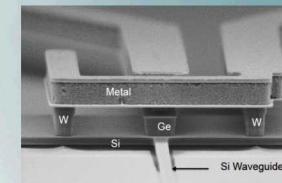
Detection



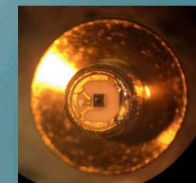
Infrared Detector



Plasmonic Perfect
Absorber



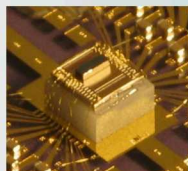
Germanium Detector
on Silicon



X-ray Detector

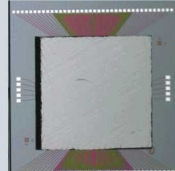
Heterogeneous Integration

III-V on CMOS



High-speed
Optical Transceivers

CMOS on
Si Photonics

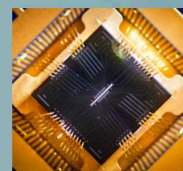


nBn on CMOS



IR Focal Plane Array
w/ ROIC

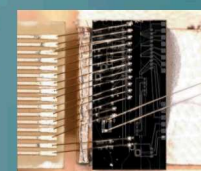
Chip-scaled MicroSystems



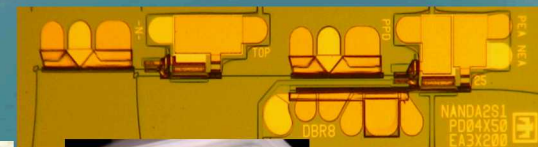
Quantum
Surface Ion Trap



Atomic
Clock



Quantum Key
Distribution Transceiver w/ microlenses



High-speed
All-Optical
Logic



Photovoltaics

III-V OPTOELECTRONICS CAPABILITIES

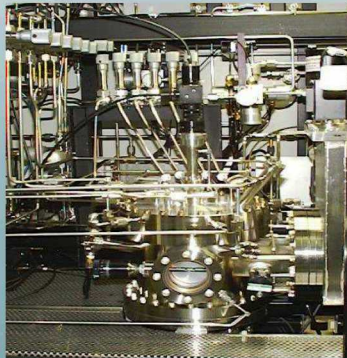
Custom, trusted, low-volume, high-reliability products for harsh environments when industry is unwilling or unable to deliver

Custom Epitaxial Growth

6 MOCVD

tools:

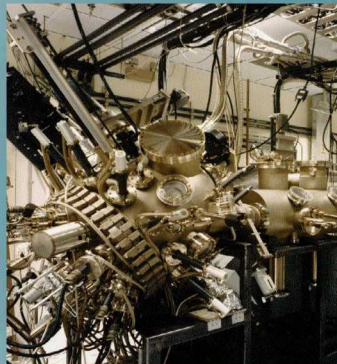
As, P, Sb,
Ga, In, Al,
Zn, Si, Te,
N, H₂



6 MBE

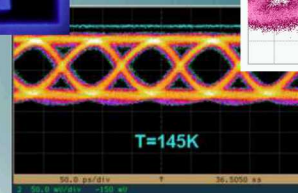
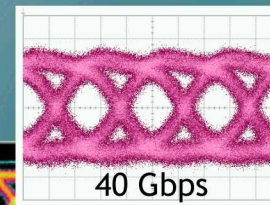
tools:

Sb, Ga, As,
In, Al, Si,
Be, Te, N, H₂



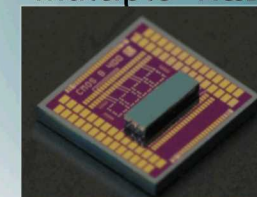
Device Design, Fabrication, and Characterization

- Device design, modeling, simulation
- TRL 1-6+: create, develop, prototype
- Fabrication: 16,600 sq. ft Class 10/100 Cleanroom
- Optical Comm testing to > 40 Gbps
- Cryo-testing
- Reliability and Rad Effects
- 8 Optoelectronics Laboratories



Microsystem Heterogeneous Integration

- Flip chip bonding
- Wafer level oxide bonding
- solder dam and bumps
- Grind, thin and polish
- Substrate removal
- Epoxy underfills
- AR coatings
- Micro-optics: diamond turning and molding
- Active alignment
- Dicing, scribe and break
- Full productions toolset & multiple R&D toolsets

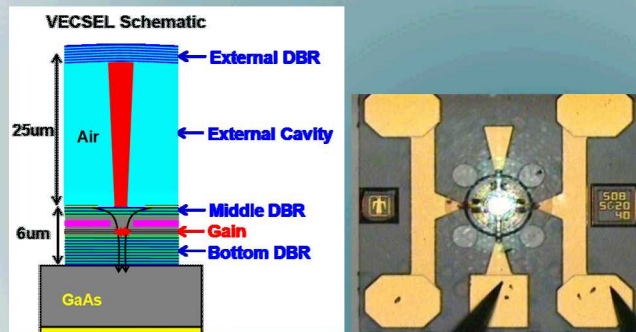
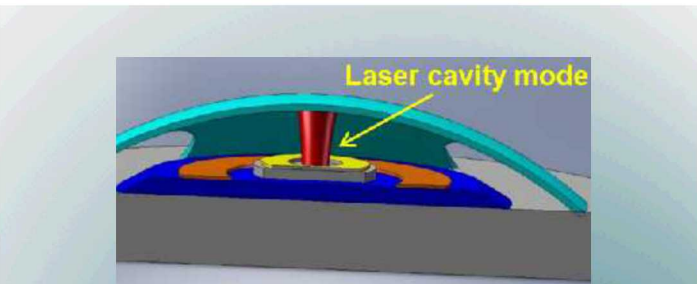
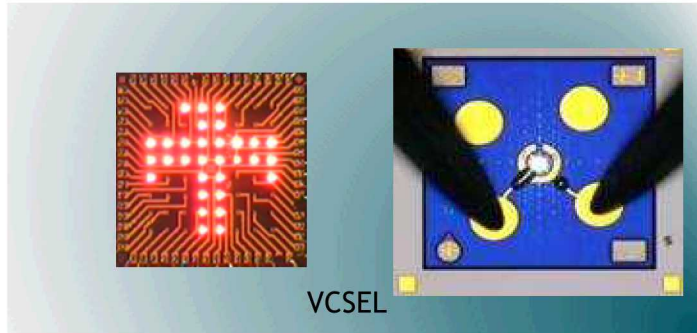


SANDIA's VCSEL RESEARCH and GaAs CAPABILITY

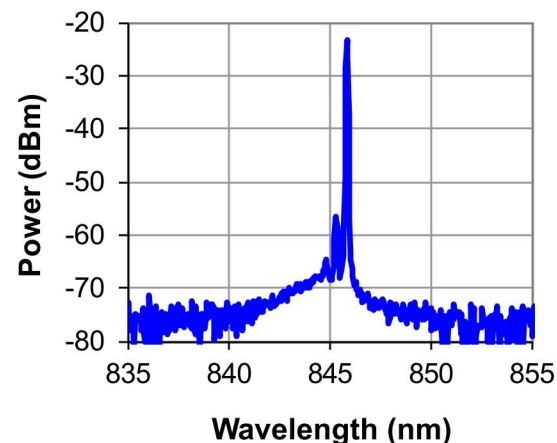
Sandia develops custom VCSELs & Photodiodes for emerging applications

- Innovative VCSEL research since 1990 with on going R&D and production
- Special VCSELs developed for atomic clocks
 - Narrow linewidth (<10MHz)
 - Technology transferred: Commercialized as a chip scale atomic clock, now manufactured Microsemi.
 - Current work focuses on VECSELs
- Custom VCSELs for high speed, high efficiency, cryogenics, and sensing

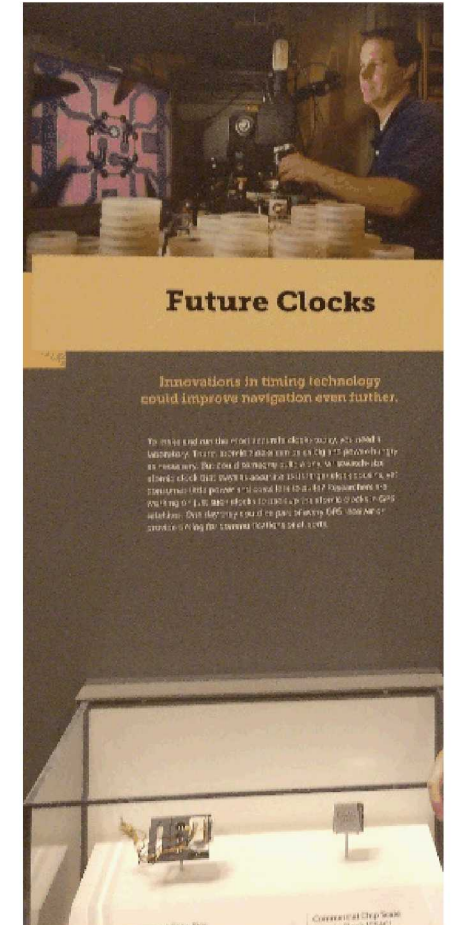
Smithsonian Air and Space
Museum Exhibit
(Sandian Darwin Serkland (poster))



Narrow Line Width VECSEL



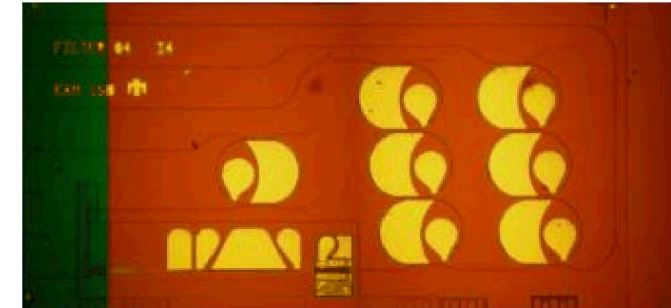
Chip Scale atomic clock
technology transferred to industry



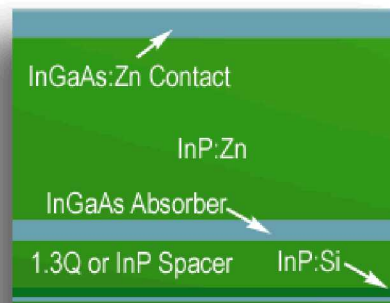
SANDIA's InP PIC CAPABILITY

InP-based Photonic Integrated Circuits

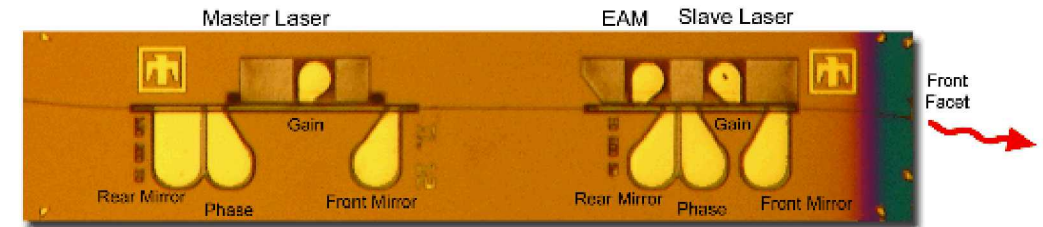
- Multiple band-edges by quantum-well-intermixing and/or regrowth
- Single and/or multiple epitaxial regrowth(s)
- Top-side n-type and p-type contacts
- Ridge, buried, and/or deep etch waveguide architectures



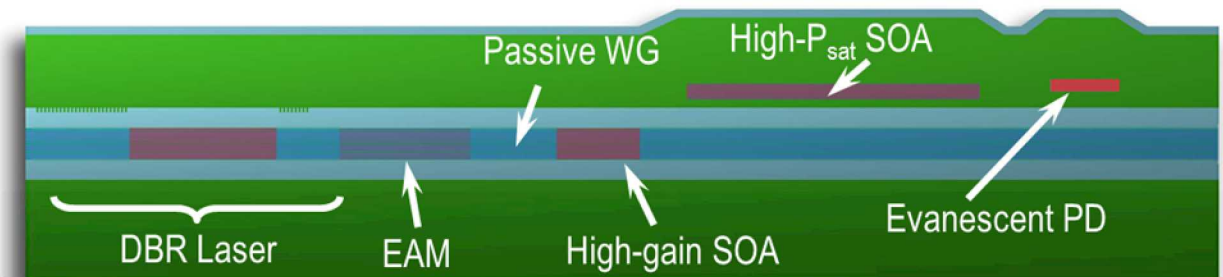
RF-Optical Channelizing Filter
1-20 GHz RF on C-Band Light



Device Cross-section (Lateral)



On-Chip Injection Locking
Enhanced Modulation > 50 GHz, C-Band



Device Cross-section (Longitudinal)

SANDIA's InP 'Design-Guided' Multi-project Wafer Runs

3 tier offering at 1550 nm

- Tier 1: one regrowth
- Tier 2: 2 regrowths - adds High P_{sat} optical amplifier
- Tier 3: Full custom process -

Unique features of Sandia's InP MPW runs

- Trusted, ITAR-Controlled, limited access (classified) facility
- Radiation-Effects aware designs and components
- Harsh Environment & Cryogenic Photonics

Example InP PICs Demonstrated

- RF Optical channelizing filters
- All-optical Logic (AND, NOT)
- Transceivers (tunable laser integrated modulators/amplified PD)
 - Modulator - electro-absorption or Mach-Zehnder
 - Receiver - optically amplified, high input saturation power
- Optical heterodyne
- Injection locked lasers
- AWG, TIR turning mirrors, low divergence waveguides

For more information:
email photonics@sandia.gov

Process		Tier 1	Tier 2	Tier 3
Description		One MOCVD regrowth	Two MOCVD regrowths	Full custom process
Lasers	Tunable (~5 nm)	YES	YES	YES
	Tunable (~40 nm)	YES	YES	YES
SOA	High Gain (dB/cm)	400	400	400
	High P_{sat}	NO	YES	YES
Detectors	R (A/W)	0.8	0.8	0.8
	P_{in} saturation (dBm)	15	15	15
	Bandwidth (GHz)	> 20	> 40	> 40
Wave-guide	Propagation Loss (dB/cm)	< 2	< 2	< 2
	Turning mirror loss (dB)	N/A	< 0.5	< 0.5
EA-Modulator	Length (μm)	125	125	125
	Efficiency (dB/V/cm)	800	800	800
	Loss (dB)	< 1	< 1	< 1
	Bandwidth (GHz)	> 20	40	40
MZ-Modulator	Electrode Length (μm)	250	250	250
	Efficiency (V_{π})	2	2	2
	Loss (dB)	~1	~1	~1
	Bandwidth (GHz)	> 20	> 20	> 40
Phase Modulator	Length (μm)	200	200	200
	Efficiency ($^{\circ}/\text{V}$)	20	20	20
	Loss (dB)	< 1	< 1	< 1
	Bandwidth (GHz)	> 20	> 20	> 40

Inaugural Device Library

SILICON PHOTONICS CAPABILITIES

- Leverage existing CMOS infrastructure (200mm SOI)
- Low Power, High Speed Devices
- Low Loss Optical Waveguides (<0.1 dB/cm)
- Two waveguide interconnect layers:
silicon and silicon nitride
- Selective Area Germanium Epitaxy for PIN/APDs
- 39 issued patents

Multi-project wafer runs

- Collaborative and custom work within or outside of MPW
- Academia, industry, other government entities
- Typical block size: 4 mm x 26 mm
- Three Deliverables:
 - 1) passive (Si+SiN), 2) Passive+ Active,
 - 3) Passive+ Active+ Germanium

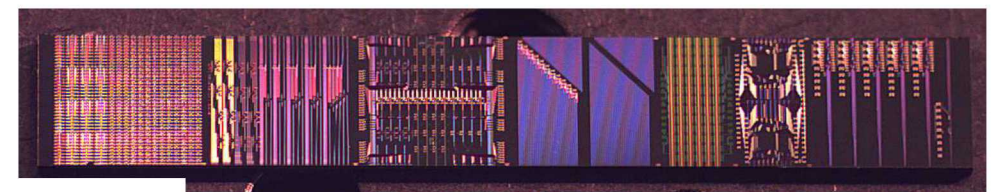
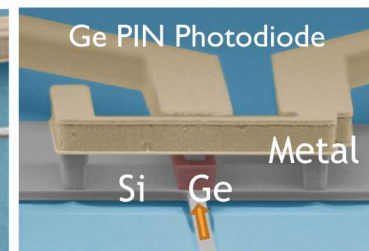
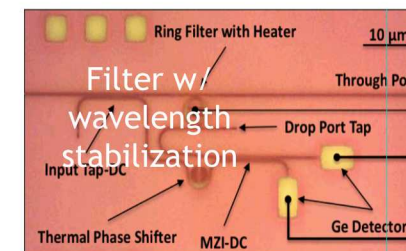
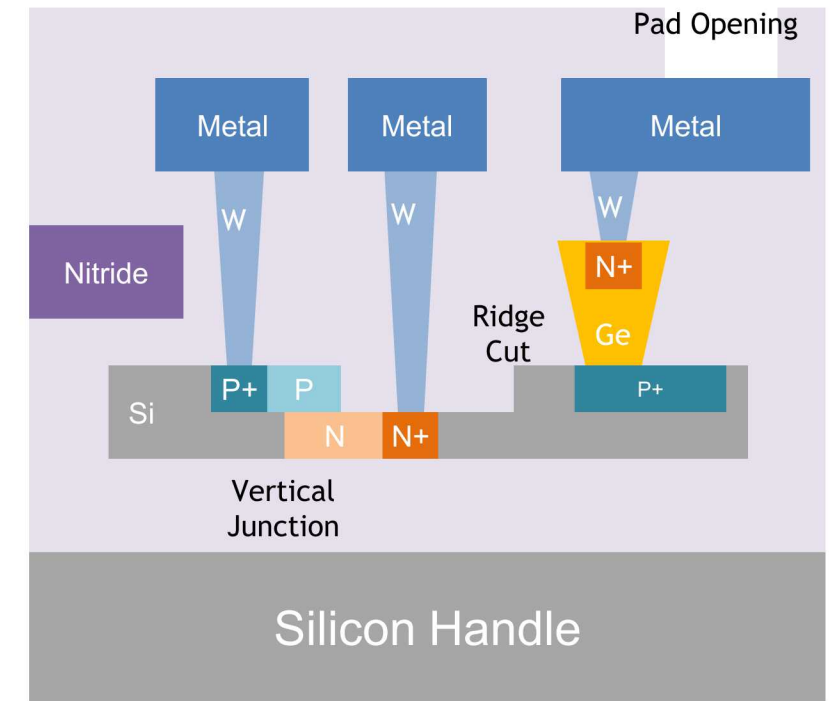


Image of MPW run, supporting Columbia, U of AZ, UC Berkeley, UCSD & Caltech

For more information:
email photonics@sandia.gov

SNL SILICON PHOTONICS LIBRARY

(1st Rev. in Synopsis Optodesign Software)

22 Passive Devices

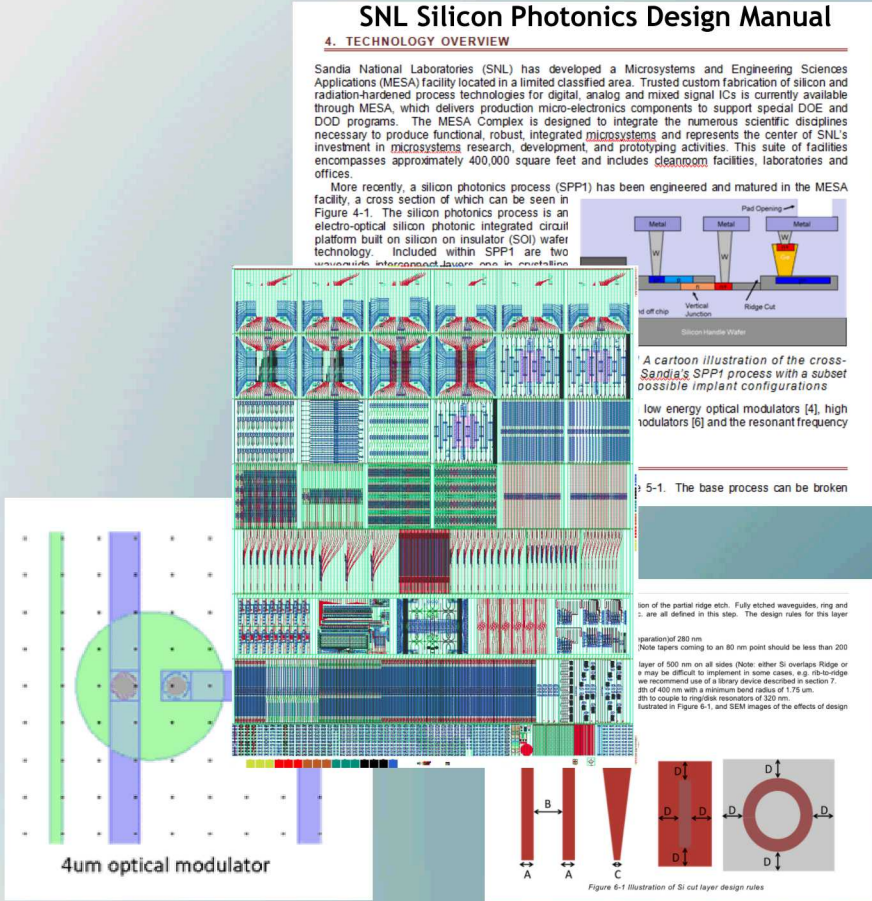
- Si rib and ridge waveguides (including transitions, auto routing, phase aware routing)
- Nitride waveguides (si to nitride transitions)
- Si rings [6] (standard, adiabatic, cascaded)
- Grating couplers (1D & 2D)
- Edge couplers (silicon, SiN)
- Waveguide crossings (nitride over silicon)
- Beam splitters (amplitude and polarization, MMI, adiabatic and directional couplers)

20 Active Devices

- Disk modulators (different size, dopants)
- Disk modulators and filters with heaters (int. & ext.)
- Ring modulators (adiabatic)
- Thermal phase shifters
- Thermal and electro-optic traveling wave MZM
- Ge PIN detectors

Design Tools & Features

- Design Guide
- Library (GDS/Scripted)



SNL Silicon Photonics Design Manual
4. TECHNOLOGY OVERVIEW

Sandia National Laboratories (SNL) has developed a Microsystems and Engineering Sciences Applications (MESA) facility located in a limited classified area. Trusted custom fabrication of silicon and radiation-hardened process technologies for digital, analog and mixed signal ICs is currently available through MESA, which delivers production micro-electronics components to support special DOE and DOD programs. The MESA Complex is designed to integrate the numerous scientific disciplines necessary to produce functional, robust, integrated microsystems and represents the center of SNL's investment in microsystems research, development, and prototyping activities. This suite of facilities encompasses approximately 400,000 square feet and includes cleanroom facilities, laboratories and offices.

More recently, a silicon photonics process (SPP1) has been engineered and matured in the MESA facility, a cross section of which can be seen in Figure 4-1. The silicon photonics process is an electro-optical silicon photonic integrated circuit platform built on silicon on insulator (SOI) wafer technology. Included within SPP1 are two waveguide technologies: one in crystalline silicon and one in nitride.

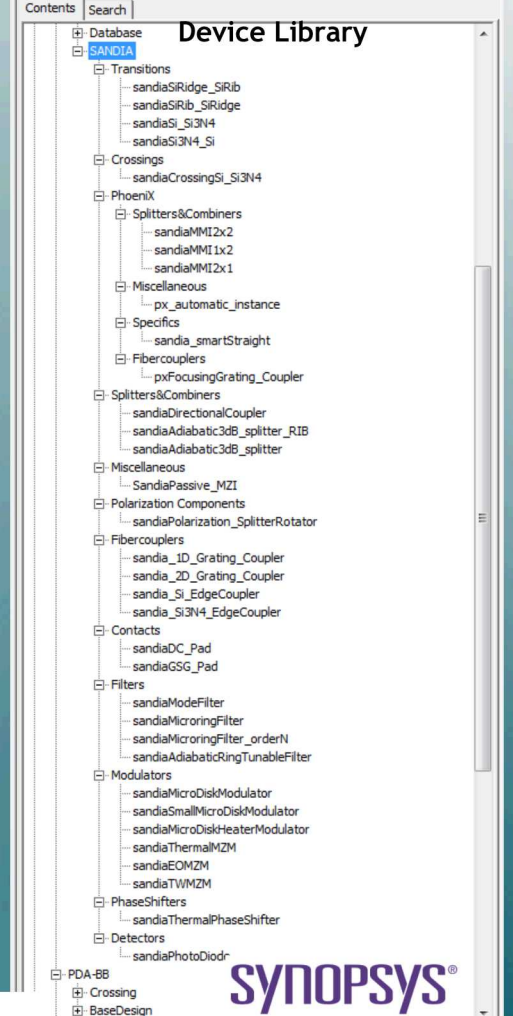
A cartoon illustration of the cross-section of the SPP1 process with a subset of possible implant configurations. The base process can be broken down into the following steps: 1. Silicon handle wafer, 2. Pad opening, 3. Metal, 4. Vertical junction, 5. Ridge cut, 6. Silicon handle wafer.

Figure 4-1. The base process can be broken down into the following steps: 1. Silicon handle wafer, 2. Pad opening, 3. Metal, 4. Vertical junction, 5. Ridge cut, 6. Silicon handle wafer.

Figure 6-1. Illustration of Si cut layer design rules. The design rules for this layer are: 1. Fully etched waveguides, ring and other structures are all defined in this step. 2. The design rules for this layer are: 1. Fully etched waveguides, ring and other structures are all defined in this step. 2. The design rules for this layer are: 1. Fully etched waveguides, ring and other structures are all defined in this step. 3. Note: layers coming to an 80 nm point should be less than 200 nm. 4. A layer of 600 nm on all sides (thick) either Si overlaps ridge or a may be difficult to implement in some cases, e.g. rib-to-ridge we recommend use of a library device described in section 7. 5. 8th of 400 nm with a minimum bend radius of 1.75 um. 6. 8th to couple to ring/disk resonators of 320 nm. 7. Illustrated in Figure 6-1, and SEM images of the effects of design.

4um optical modulator

Figure 6-1. Illustration of Si cut layer design rules



Device Library

- Database
- SANDIA
 - Transitions
 - sandiaSRidge_SiRib
 - sandiaSiRib_SiRidge
 - sandiaSi_SiN4
 - sandiaSiN4_Si
 - Crossings
 - sandiaCrossingSi_SiN4
 - Phoenix
 - Splitters&Combiners
 - sandiaMMI2x2
 - sandiaMMI1x2
 - sandiaMMI2x1
 - Miscellaneous
 - px_automatic_instance
 - Specifics
 - sandia_smartStraight
 - Fibercouplers
 - pxFocusingGrating_Coupler
 - Splitters&Combiners
 - sandiaDirectionalCoupler
 - sandiaAdiabatic3dB_splitter_RIB
 - sandiaAdiabatic3dB_splitter
 - Miscellaneous
 - SandiaPassive_MZI
 - Polarization Components
 - sandiaPolarization_SplitterRotator
 - Fibercouplers
 - sandia_1D_Grating_Coupler
 - sandia_2D_Grating_Coupler
 - sandia_Si_EdgeCoupler
 - sandia_SiN4_EdgeCoupler
 - Contacts
 - sandiaDC_Pad
 - sandiaGSG_Pad
 - Filters
 - sandiaModeFilter
 - sandiaMicroringFilter
 - sandiaMicroringFilter_orderN
 - sandiaAdiabaticRingTunableFilter
 - Modulators
 - sandiaMicroDiskModulator
 - sandiaSmallMicroDiskModulator
 - sandiaMicroDiskHeaterModulator
 - sandiaThermalMZM
 - sandiaEOMZM
 - sandiaTWMZM
 - PhaseShifters
 - sandiaThermalPhaseShifter
 - Detectors
 - sandiaPhotoDiode
 - PDA-BB
 - Crossing
 - BaseDesign

SYNOPSYS®

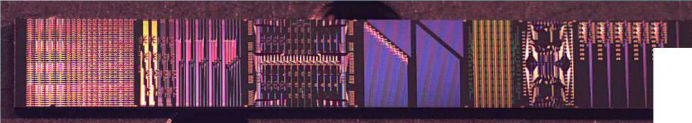
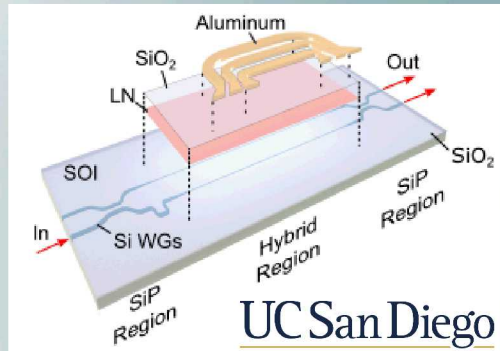


Image of MPW run, supporting Columbia, U of AZ, UC Berkeley, UCSD & Caltech

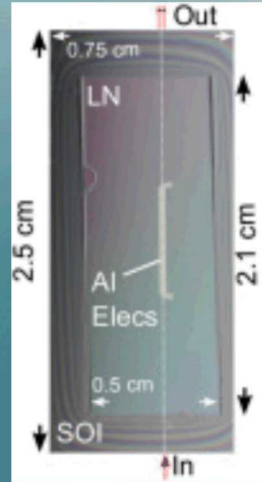
Synopsis may provide other generic components in addition.

INTEGRATED SILICON PHOTONICS for RF SIGNAL PROCESSING

Optics Express 26 (18), 23728-23739, July 2018



Lithium Niobate on Silicon
100+GHz Bandwidth demonstrated

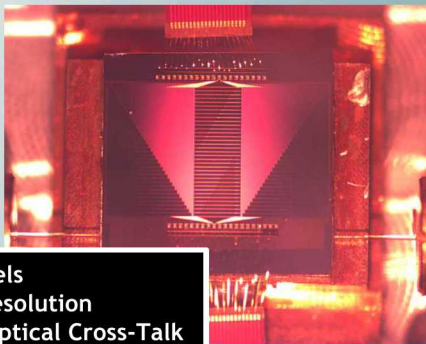


Photonic processing of RF signals provides significant reduction in SWAP-C for high frequency applications (>40 GHz)

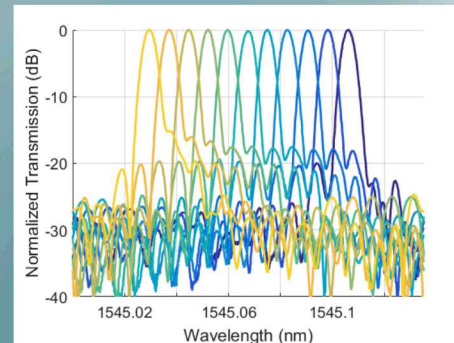
Applications in space and avionic platforms for electronic warfare and situational awareness

- Frequency up/down-conversion
- Antenna remoting
- RF over fiber
- Wide-band channelization
- Low loss RF delay lines

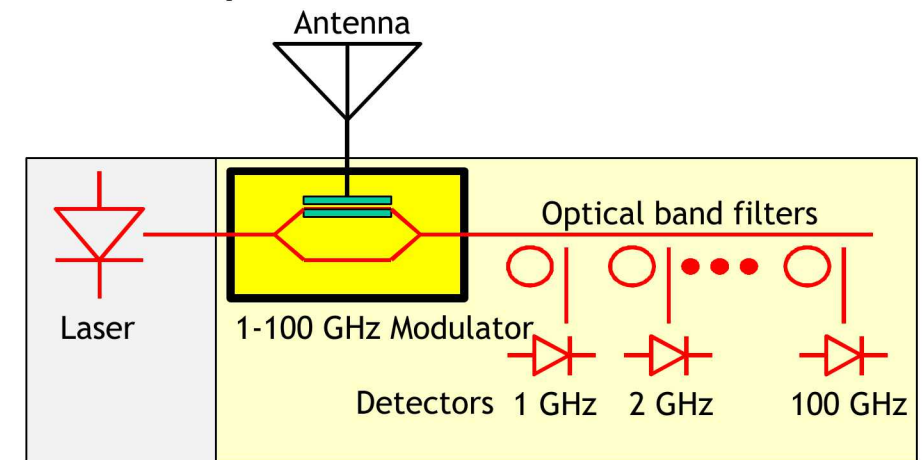
Optics Express 25 (6), 6320-6334, Mar. 2017



11 Channels
<1 GHz Resolution
<-15 dB Optical Cross-Talk
1.1 cm² Total Area

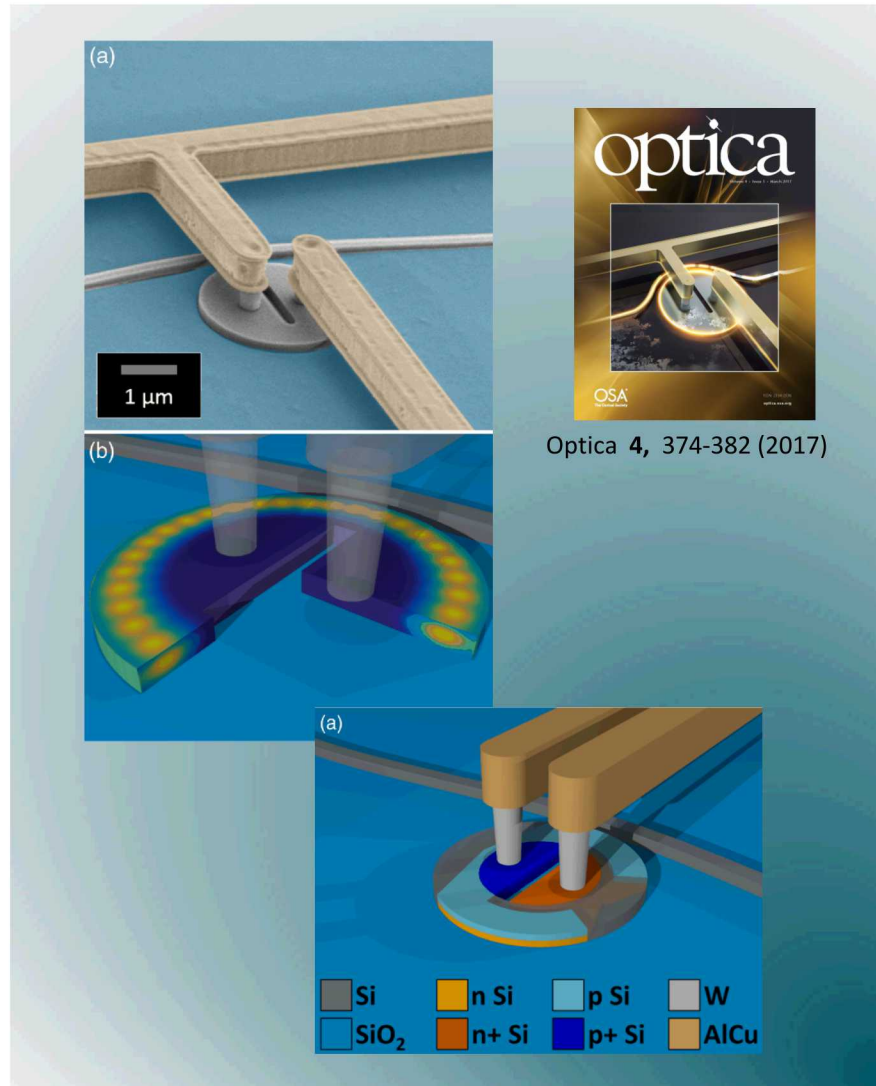


1st Demo of 1GHz RF Channelization in a Si Photonics Array Waveguide Grating



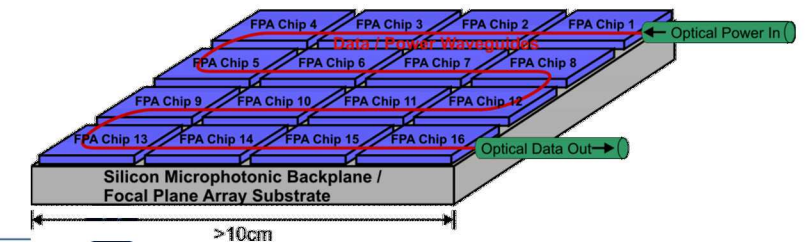
Example integrated wide-band channelizer

CRYOGENIC OPTICAL INTERCONNECT

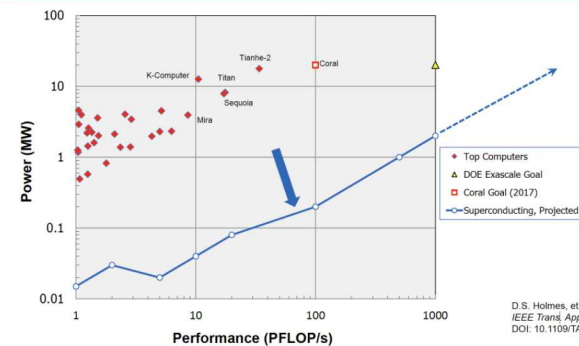


High-speed low-power resonant modulator operating at cryogenic temperatures (50K, 4K, and below)

- Superconducting Computing
- Optical backplane for focal plane array



DARPA Superconductor computing looks promising



DARPA Interconnect Requirements (Superconductor HPC)

Desirable architectural metrics for supercomputers designed for floating-point-intensive applications

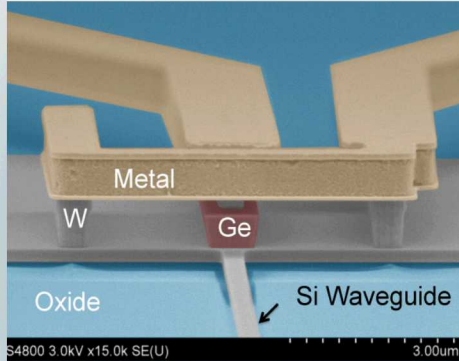
- Main memory: 0.1 to 1 B s/FLOP
- Main memory latency (access time): < 100 cycles
- Main memory data access rate: 1 B/FLOP
- Input/Output data rate: 10⁻⁵ to 10⁻³ B/FLOP
- Parallelism: fewer processors is generally better

	1	10	100	1,000
I/O data rate ^a (Tbit/s)	0.8	8	80	800
Channels ^b , 20 Gbit/s	• 80	• 800	• 8,000	• 80,000
Power leads	c	c	c	c
Input data	c	c	c	c
Cache memory access	18 mW	180 mW	1.8 W	18 W
Main memory access	9 mW	90 mW	0.9 W	9 W
Output data	10 mW	100 mW	1.0 W	10 W
Drivers, cSFQ-to-DC ^d	• 0.024	• 0.24	• 0.002	• 0.024
Ribbon cable to 40 K	• 8.3	• 83	• 0.83	• 8.3
VCSEL array at 40 K ^d	• 0 ^e	• 0 ^e	• 0 ^e	• 0 ^e
Interconnects, total	0.1 W	1 W	10 W	100 W
I/O budget	0.4 W	3 W	30 W	300 W

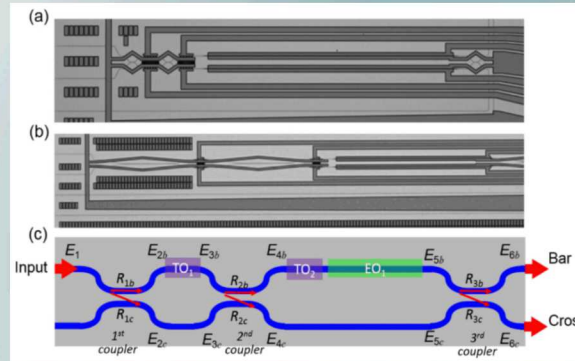
^a Specified using the mid-range I/O data rate (10⁶ B/FLOP)(8 bit/B).
^b Channel capacity is 2 times the specified I/O data rate.
^c No estimate made. ^d [47].
^e Vertical-cavity surface-emitting laser (VCSEL) heat load is less than refrigerator intermediate stage capacity, so no effect on 4 K capacity.

D. Scott Holmes DARPA MTO Program Manager
 2nd Photonics and Electronics Technology for Extreme-scale Computing (rePETE) Workgroup presentation 2019-05-02

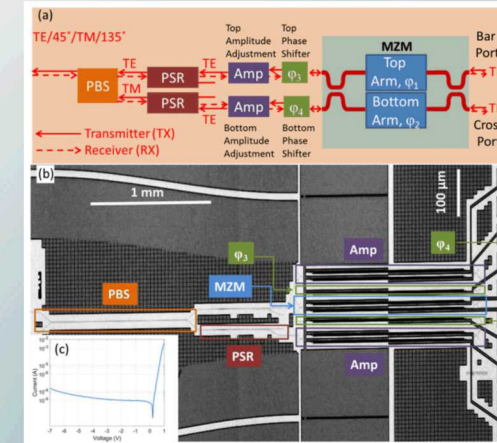
INTEGRATED PHOTONICS for QUANTUM COMMUNICATION



Geiger-mode APD
for single photon detection



High-speed (10GHz) high-extinction ratio (>65dB)
silicon amplitude modulator for CV QKD & Q-Sensing



Phys. Rev. X 8 (021009), 1-12, April 2018

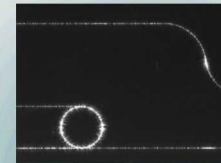


T-QUAKE
(Transceiver for
Quantum Keys
and Encryption)

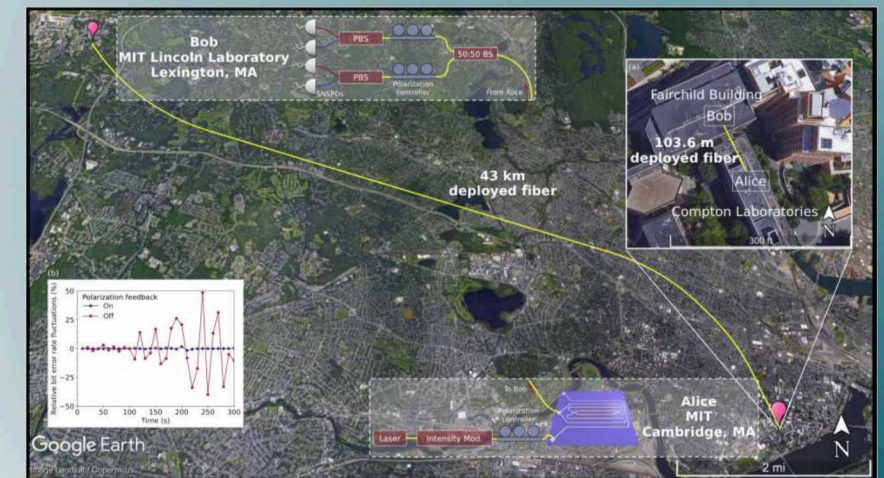
Sandia's silicon, III-V, alumina, lithium niobate heterogeneously integrated photonic platforms enable compact microsystems for telecom. and visible wavelengths

Many foundational building blocks for advancing quantum science have been or are being developed

- detectors, modulators, frequency converter, amplifiers, optical transceivers, etc.



Alumina ring
filter /waveguide
for visible
wavelength

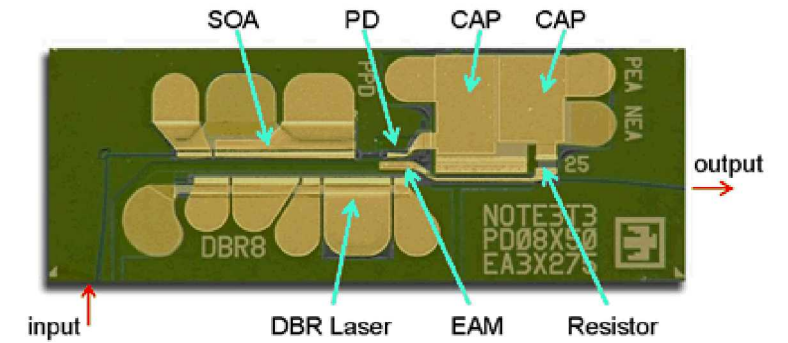


High-speed polarization-based DV QKD
field tests (BB84) demonstrated

*FedBizOpps Announcement: Technology Commercialization Opportunity:
Partnership Opportunity for On Chip QKD Technology Development:
16_462 9/29/2016*

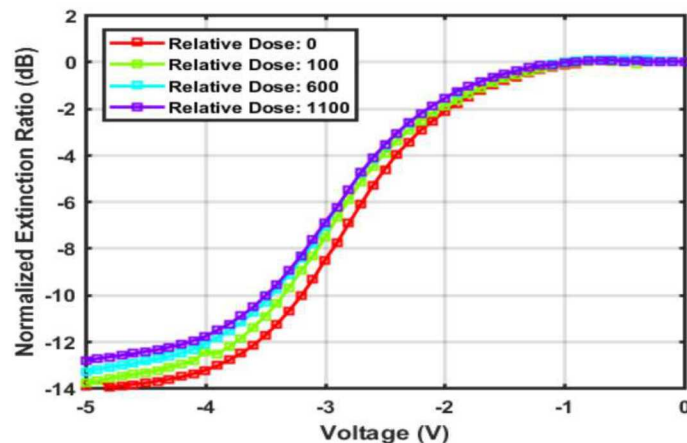
InP Photonic Integrated Circuit Radiation Testing & IBL Capability

- Individual Component Measurements
 - The Ion Beam Laboratory allows us to simulate radiation exposure through ion and electron bombardment with beam sizes small enough to interrogate individual components during operation.
 - Photonics with on-board III-V lasers can be operated easily
 - For photonics without on-board lasers like SiPh modulators, we developed a single mode optical feedthrough for the IBL tools.
- Example Measurements under 1.5 MeV He Ion Bombardment:



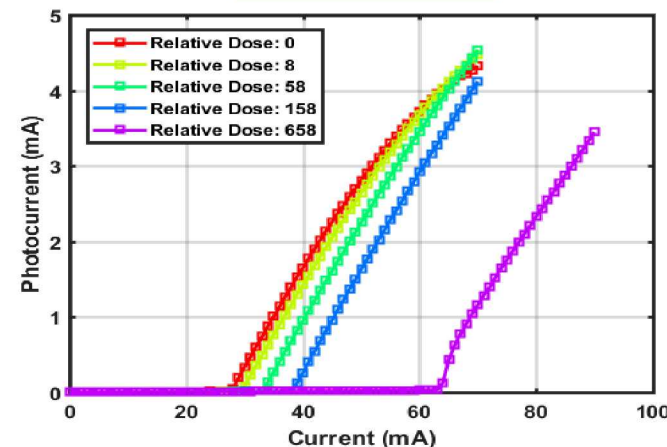
Single mode photonic feedthrough

Integrated Electroabsorption Modulator

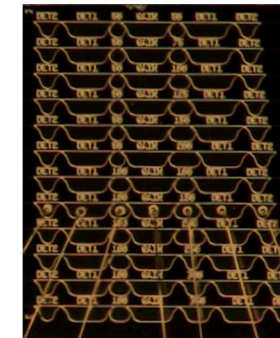


Example of minor degradation

InP DBR Laser



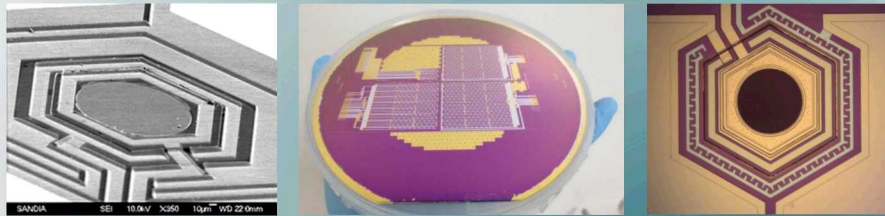
Example of some degradation of threshold and L-I



Test Structures

HETEROGENEOUS INTEGRATION CAPABILITIES

Microsystem-Enabled Photovoltaics

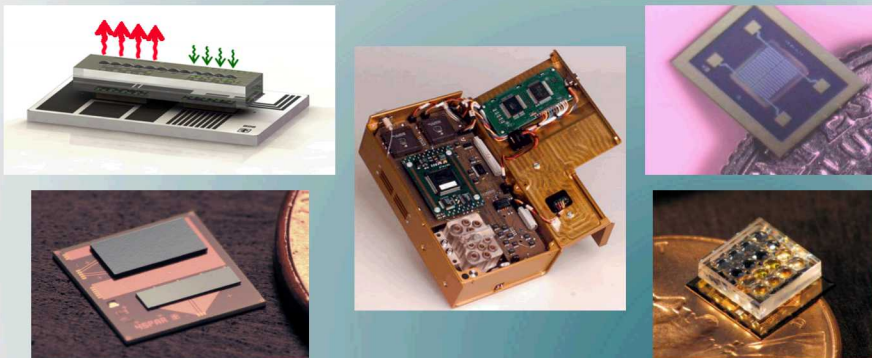


- wafer-level bonding for multi-junction solar cells
- InGaAsP/InP and InGaP/GaAs devices on silicon
- dielectric interfaces with III-V substrate removal
- integration with collection optics

Heterogeneous integration enables miniaturization with independent material and device optimization

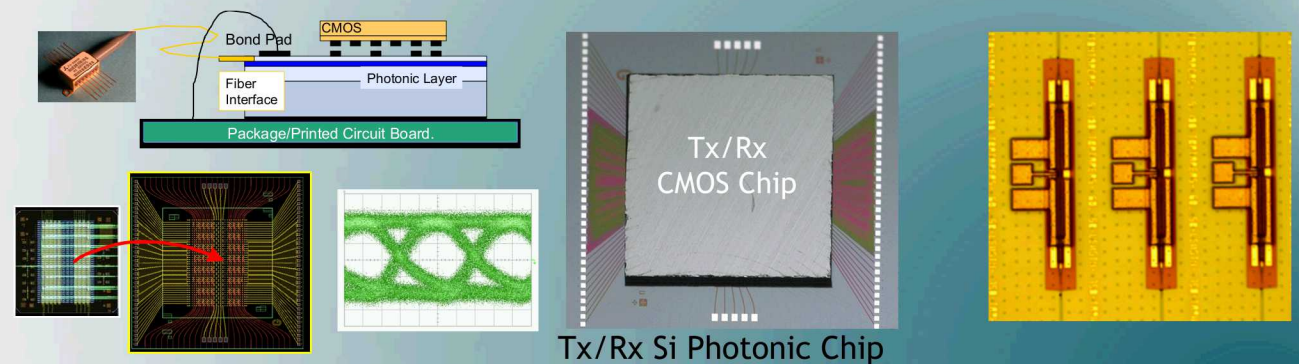
- Integration of LiNbO₃ and III-V Lasers on Silicon Photonics
- Non-traditional materials such as Al₂O₃, Epsilon-Near-Zero In₂O₃ and CdO, graphene
- Integration of CMOS with InGaAsP/InP, InGa/GaAs, Silicon Photonics, and other materials

Optical and MEMS-based Microsensors



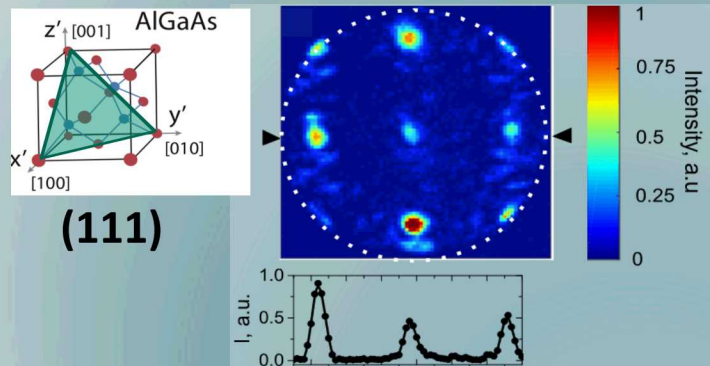
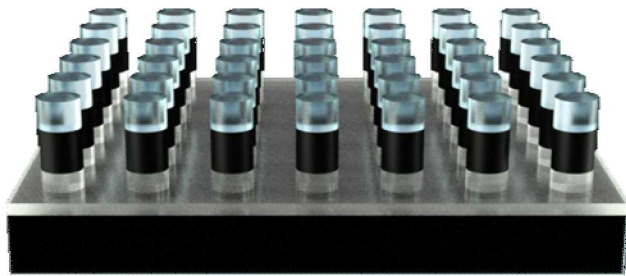
- chemical and bio sensors using MEMS and SAW devices
- g-hard optical microsensors with in-house photonics
- hybrid device integration with custom micro-optics

CMOS / Silicon Photonics / III-V Integration

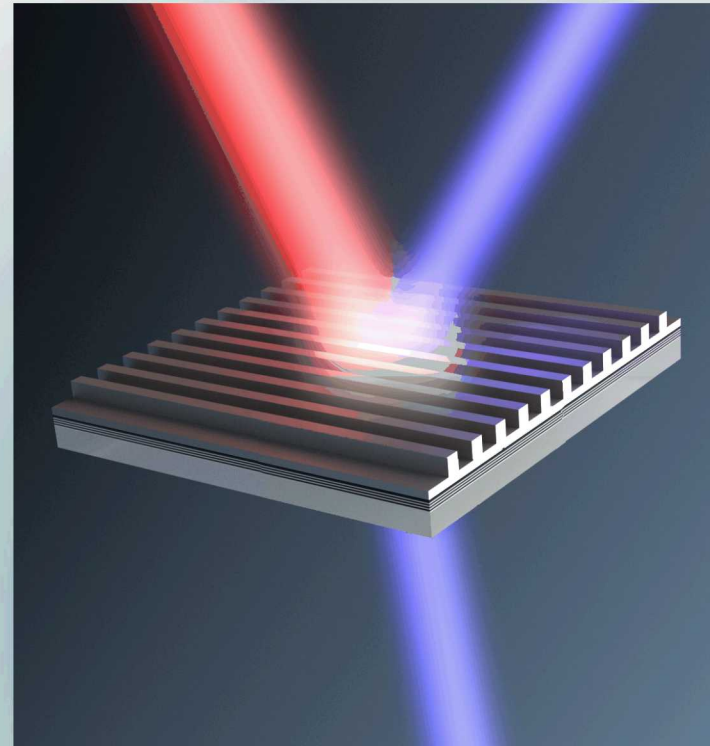


- silicon photonics on high-speed silicon ASIC
- independent optimization of electronics & photonics
- Gain and laser sources on silicon

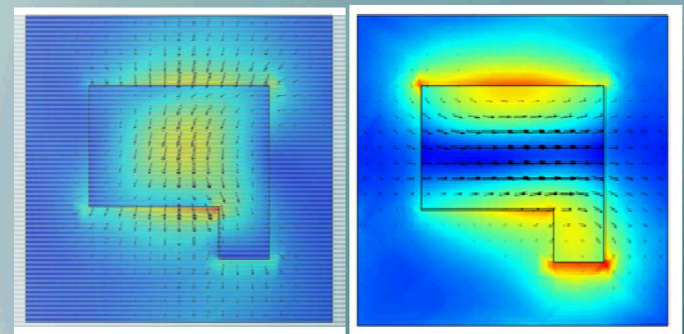
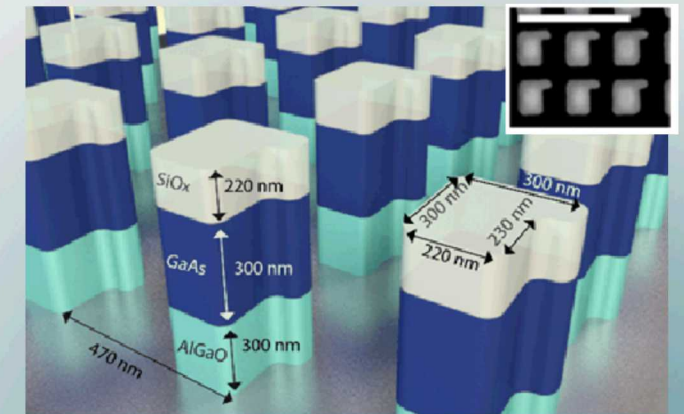
OPTICAL METAMATERIALS RESEARCH



Tailoring Second Harmonic Diffraction in GaAs Metasurfaces via Crystal Orientation

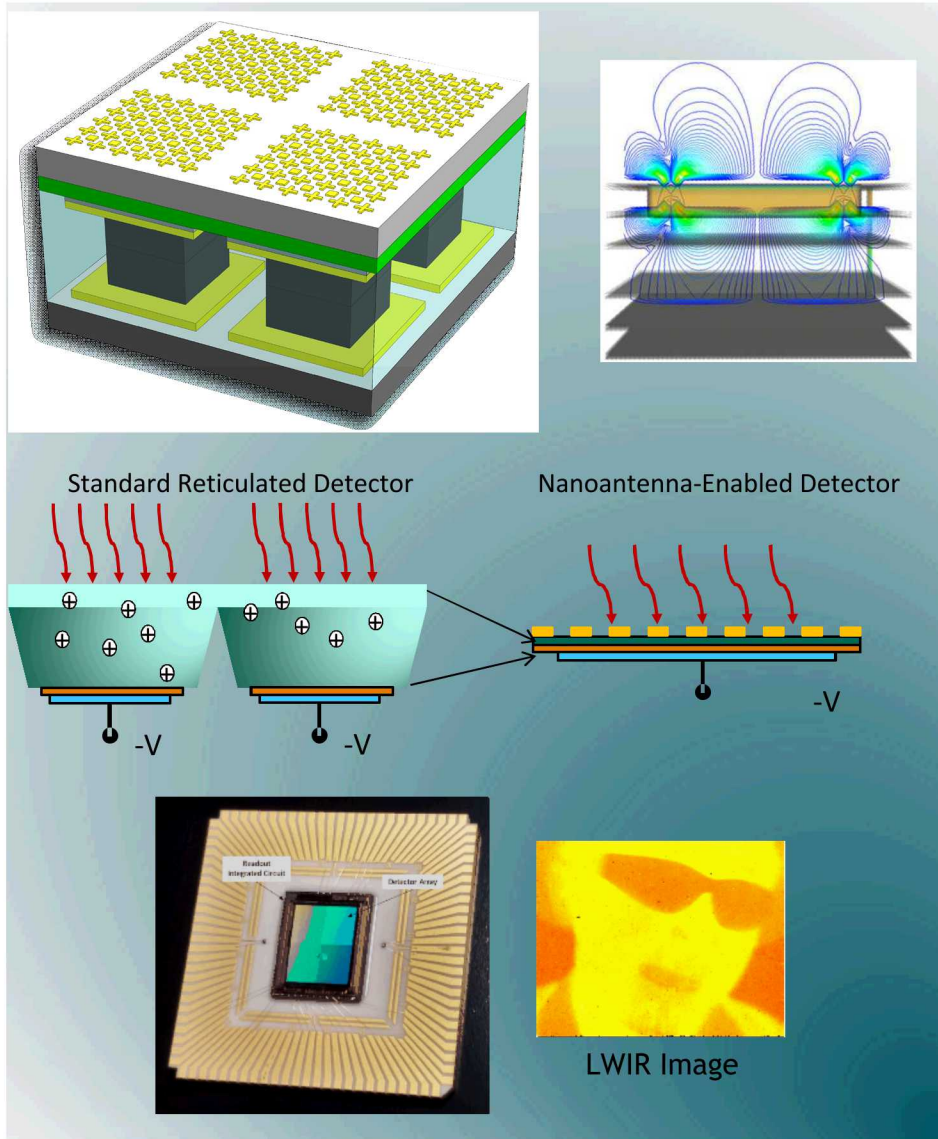


Ultra-thin dielectric-semiconductor hybrid metasurface for broadband and efficient second-harmonic generation



All-Optical Tuning of Fano Resonances in Broken Symmetry GaAs Metasurfaces

NANO-ANTENNA ENABLED FOCAL PLANE ARRAY



Metasurface on Infrared Focal Plane Array

- Reduce dark current and pixel cross-talk
- Increase external quantum efficiency (up to 70%)
- Wavelength tuning by pixel in real time

Licensing Opportunity

- USPTO #: 8,452,134, 8,750,653, and 8,897,609

*FedBizOpps Announcement: Technology Commercialization Opportunity:
High Quantum Efficiency, Low Dark Current Infrared Detector
Architecture - Solicitation Number: 17_486 3/1/2019*

Reference

- "Enhanced infrared detectors using resonant structures combined with thin type-II superlattice absorbers," *Appl. Phys. Lett.*, 109(251103), Dec. 2016
- "Tunable dual-band graphene-based infrared reflectance filter," *Opt. Express*, 26,(7) 8532-8541, Apr. 2018
- Military Sensing Symposium Apr 2019

Photonics Partnerships

Examples of industry and academia partnerships

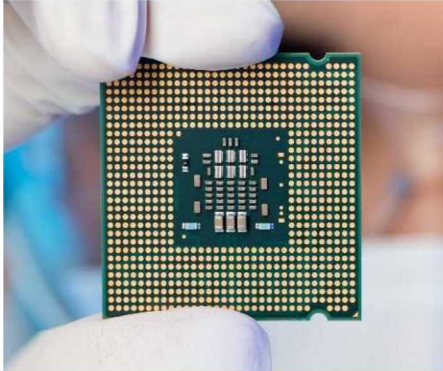


HISTORY OF TECHNOLOGY TRANSFER SUCCESS



Cleanroom

Sandia's invention of the original modern-day cleanroom led to \$50 billion worth of laminar-flow cleanrooms being built worldwide within only a few years.



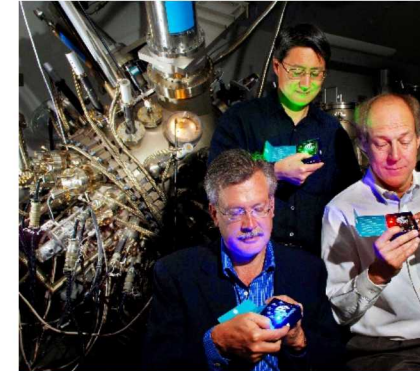
Microelectronics & Semiconductors

Sandia helped revolutionize the semiconductor industry by licensing LIVA/TIVA, VCSEL, EUVL, and 3D-stacking technologies to some of the world's leading semiconductor manufacturing companies.



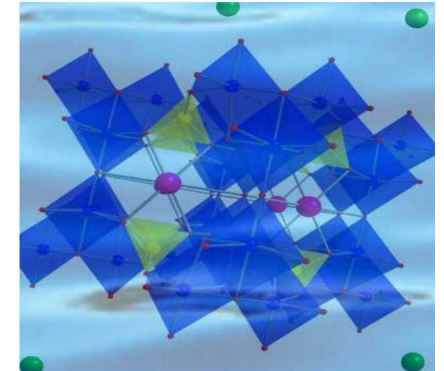
Decon Foam

A Sandia-developed chemistry for neutralization of chem/bio warfare agents that was first used for the anthrax attacks of 2001, is now being used by multiple companies for rapid decontamination applications.



Solid State Lighting

Sandia's early R&D of solid-state lighting has helped establish a global industry for LED/OLED technologies in which improved efficiencies could lead to \$120B in estimated annual global energy savings.



Crystalline Silico-titanate (CST)

Sandia's CST technology was used by UOP, LLC to remove radioactive material from more than 43 million gallons of contaminated wastewater at Japan's damaged Fukushima Daiichi nuclear power plant.



Synthetic Aperture Radar (SAR)

Sandia has worked extensively with General Atomics to deploy Sandia's SAR systems for the US military and other customers. One version of the technology has been uncovering IEDs in Afghanistan and Iraq since 2009.

1960s

1980s

1990s

2000s

2010s

COLLABORATION WITH SANDIA

Federal agencies can engage in an interagency agreement with NNSA to obtain the Labs' unique services under Sandia's management and operating contract with DOE/NNSA.

Non-federal entities may enter into a variety of technology partnerships agreements with Sandia:

- Commercial License Agreement
 - ~40 Silicon photonics patents
 - >60 III-V patents
 - >15 metamaterials patents
- Cooperative Research and Development Agreement (CRADA)
- Strategic Partnership Projects/Non-Federal Entity (SPP/NFE) Agreement

BLACKMORE SENSORS AND ANALYTICS

"Working with Sandia has provided Blackmore a window into the future of beamscanning technology for autonomous vehicle lidar. Sandia is helping us to realize practical technology solutions to present technology challenges."

— Stephen Crouch
CTO
Blackmore Sensors and Analytics, Inc.

Smaller Imaging System a Big Improvement for Self-Driving Cars

CHALLENGE
Autonomous vehicles, or cars that drive themselves, rely on radar to see other cars, people, and the environment. These moving parts add weight and reflected light—rely on mechanical components. This would help lidar slim down from a bulky component to a car component.

COLLABORATION
Blackmore Sensors and Analytics is partnering with Sandia to develop a compact, agile alternative to mechanical beam-steering. The company is a pioneer in licensing Sandia's chip-scale optical phased array technology.

Sandia's ability to design, simulate, fabricate, and test a component of Blackmore's advanced lidar system in integrated silicon photonics technology is critical for commercial autonomous vehicles.

SOLUTION
This integrated chip technology simplifies the manufacturing process, allowing large-scale production at a significantly lower cost with reduced production times. Sandia is currently perfecting the fabrication and packaging process, which will be transferred to a commercial foundry for mass production when complete. Fabrication of prototype chips is being conducted at Sandia's Microsystems and Engineering Sciences Applications (MESAS) Complex.

IMPACT
Unlike lidar systems that rely on mechanical parts to steer a laser beam, the Sandia-developed optical array incorporates beam-steering onto a single chip, allowing Blackmore to produce a compact lidar system with significantly reduced power requirements, increased longevity, and improved durability. The integrated chip technology leverages the decades of photonic innovations by researchers at Sandia's National Security Photonics Center (<https://www.sandia.gov/mesa/nsphc/>).

PARTNERSHIP TYPE: Cooperative Research and Development Agreement (CRADA) and License
GOAL: Developing a chip-scale lidar system for use in commercial autonomous vehicles.



Sandia's Microsystems and Engineering Sciences Applications (MESA) for silicon photonics, III-V photonics, CMOS, and compound-semiconductor device fabrication, and heterogeneous integration



**Sandia
National
Laboratories**

Learn about Photonics at Sandia:
National Security Photonics Center
sandia.gov/mstc/nspc









Collaborate with us!

Visit us:

- On-line: sandia.gov/mesa/nspc

Email contact:

- Photonics@sandia.gov

Career Opportunities for staff and students:

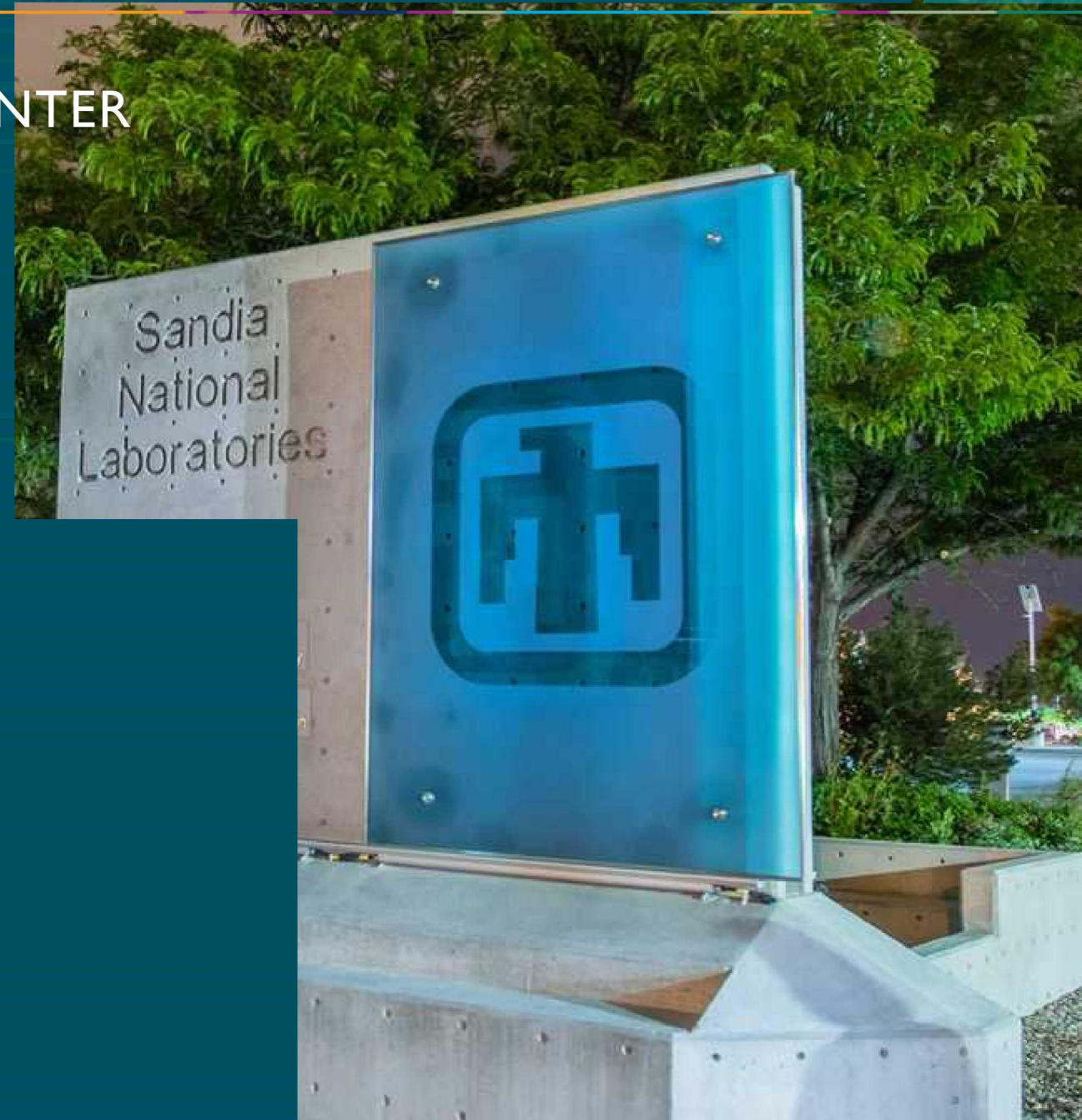
- www.sandia.gov/careers



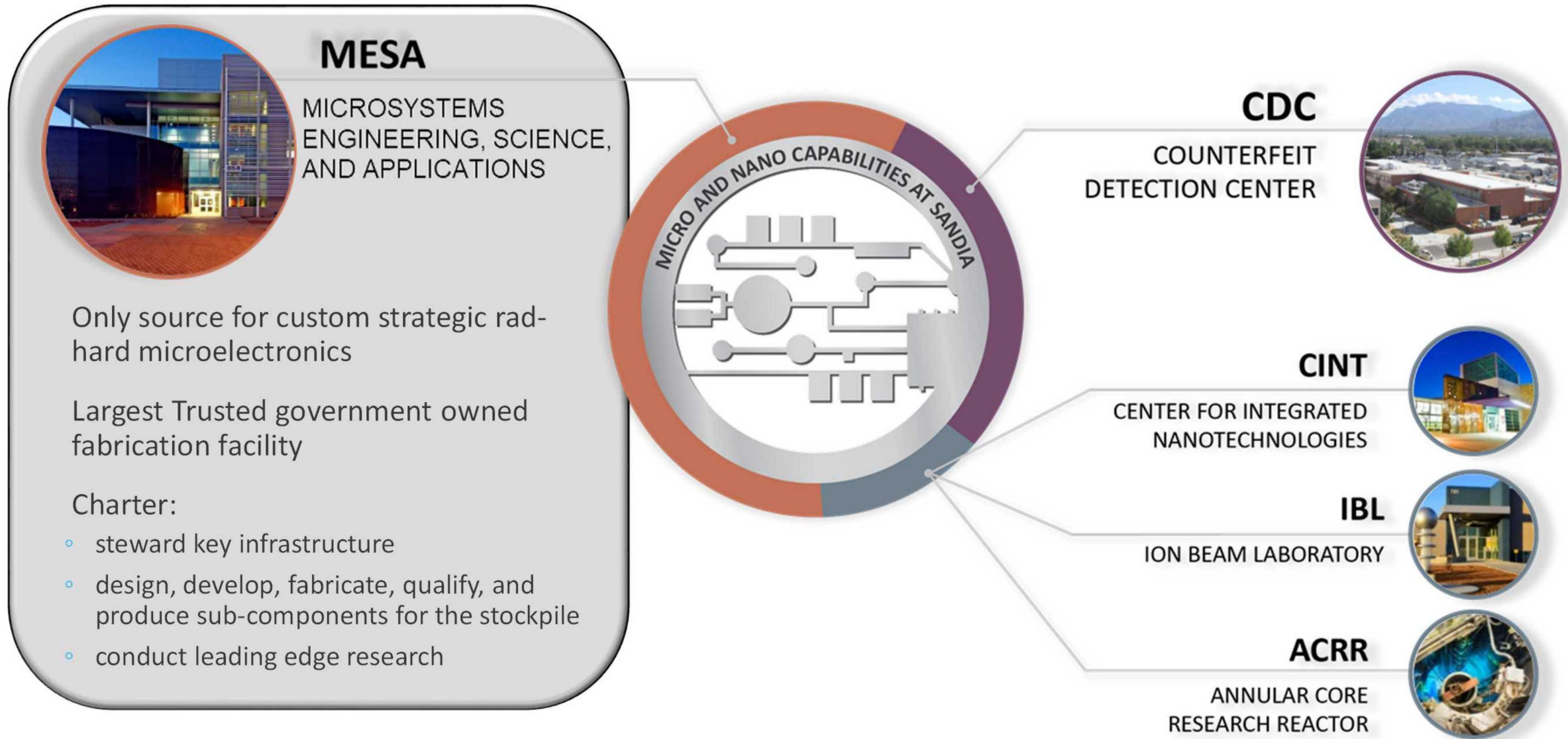
SANDIA IS A FEDERALLY FUNDED
RESEARCH AND DEVELOPMENT CENTER
MANAGED AND OPERATED BY

National Technology & Engineering
Solutions of Sandia, LLC, a wholly
owned subsidiary of Honeywell
International Inc.: 2017 – present

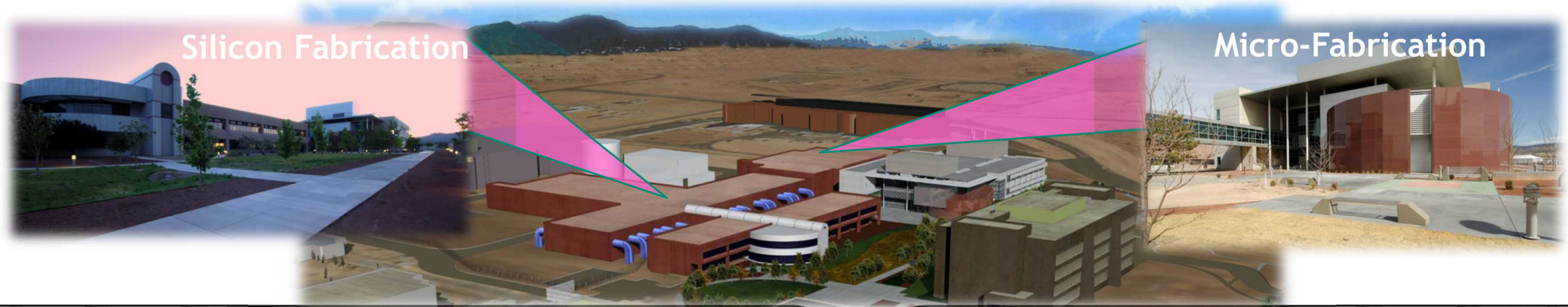
Government owned, contractor
operated



Sandia's Micro & Nano Capability - Strategic National Resource



MESA's Fabs: Co-Located Production and R&D



Clean room area 34,500 ft² (11,900 ft² Class 1)

23 laminar flow clean bays

3.3V 350nm SOI Rad Hard CMOS (CMOS7) in production

3.3/1.8V 180nm SOI Rad Hard CMOS (CMOS8) in development

Supplier of custom Rad-Hard ICs for life extension programs

DOD Defense Microelectronics Activity (DMEA) Category1A Trusted Supplier for design, fab, and test

Micro-Electro-Mechanical System (MEMS)

Custom Technologies: Ion Traps, Silicon Photonics, AlN Resonators

Clean room area 30,400 ft² (14,900 ft² Class 10/100)

6 Class 100 clean bays and 20 Class 10 clean bays

Reconfigurable tools from wafer pieces to 6" wafers

III-V compound semiconductor epitaxial growth and circuit fabrication

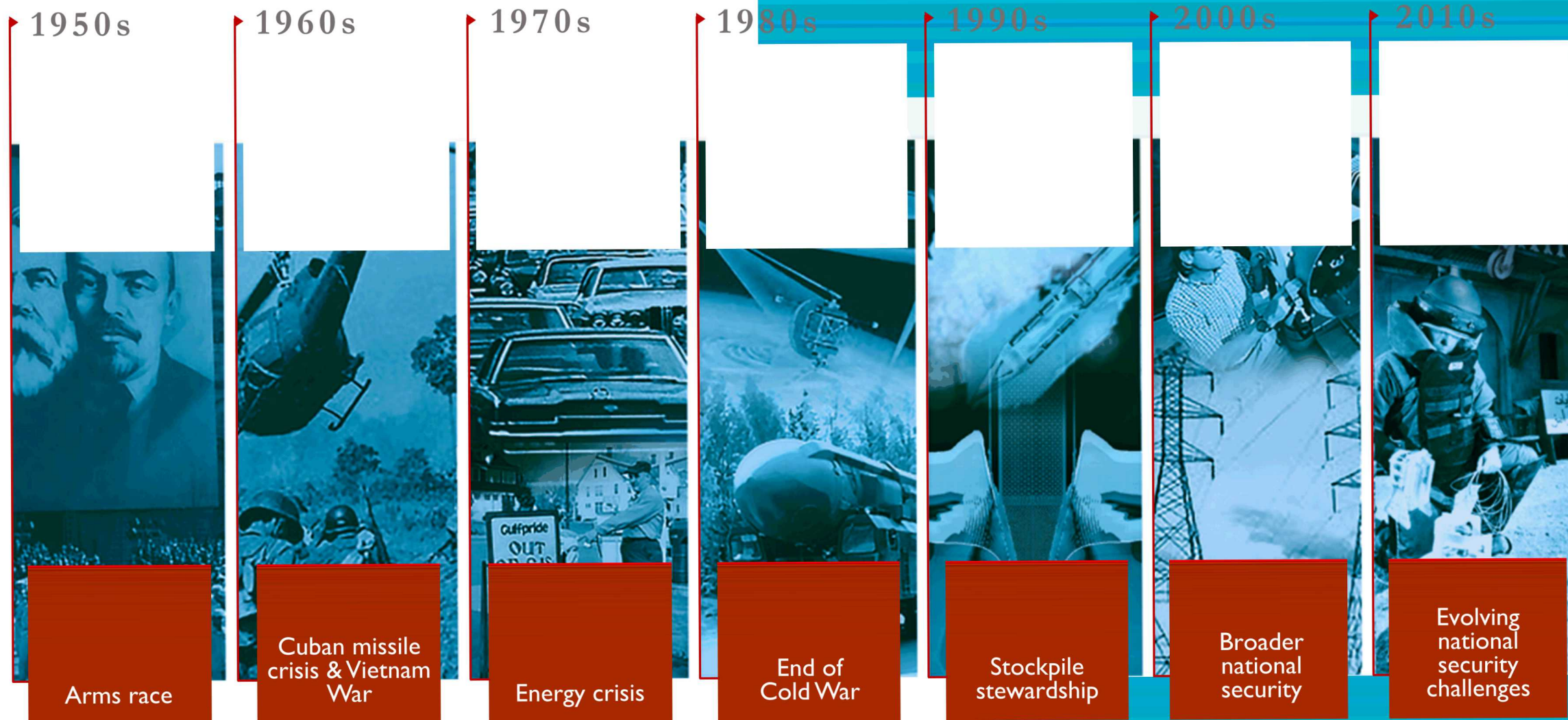
Gallium Arsenide & Indium Phosphide HBT production

Breadth of III/V materials

Optoelectronics, VCSELs, and photo diodes

3D Packaging & Heterogeneous Integration Post-processing hybridization

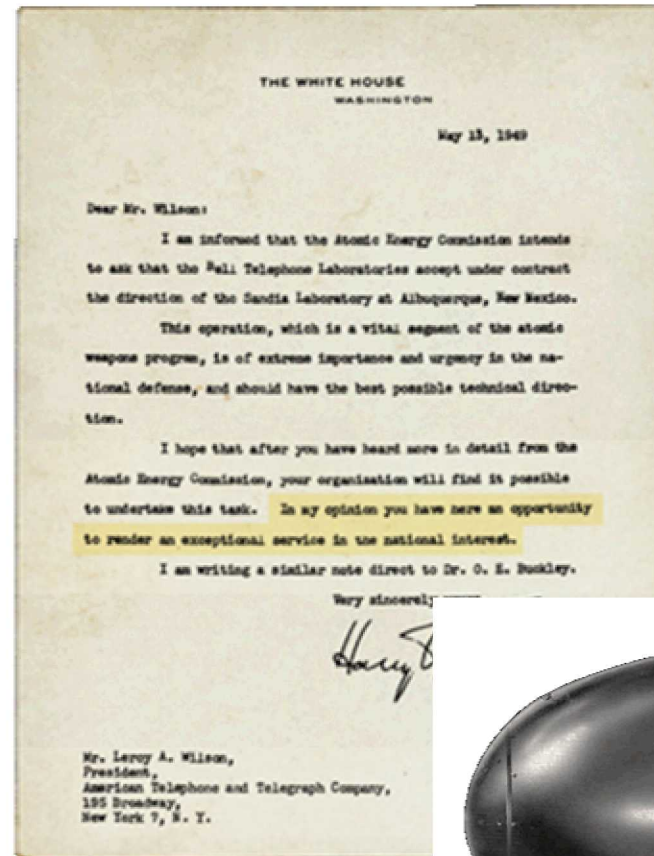
SANDIA ADDRESSES NATIONAL SECURITY CHALLENGES



SANDIA'S HISTORY IS TRACED TO THE MANHATTAN PROJECT

...In my opinion you have here an opportunity to render an exceptional service in the national interest.

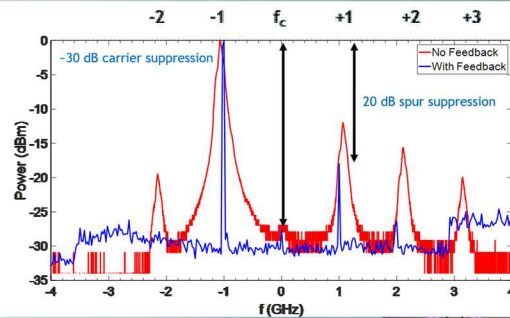
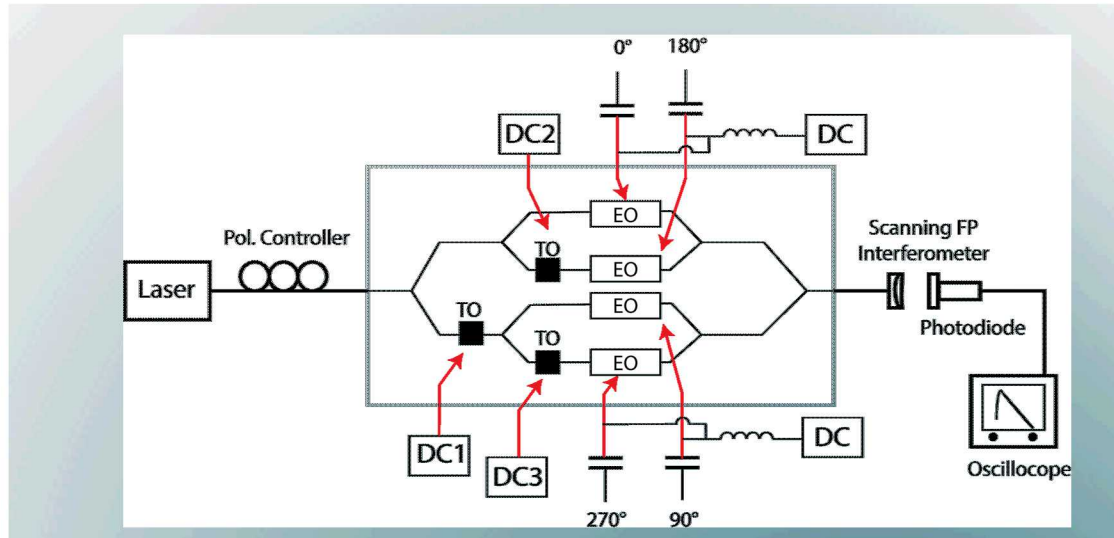
- July 1945
Los Alamos creates Z Division
 - Nonnuclear component engineering
- November 1, 1949
Sandia Laboratory established
 - AT&T: 1949-1993
 - Martin Marietta: 1993-1995
 - Lockheed Martin: 1995-2017
 - Honeywell: 2017-present



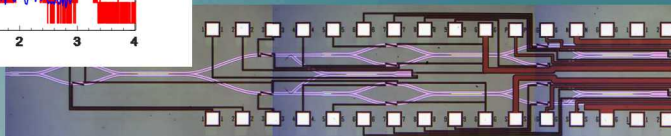
PURPOSE STATEMENT
DEFINES WHAT WE DO

Sandia develops
advanced technologies
to ensure global peace

SILICON PHOTONICS OPTICAL SINGLE-SIDEBAND MODULATOR



30dB carrier suppression
20dB sideband suppression
with active feedback



Silicon Photonic Single-Sideband Generation
with Dual-Parallel Mach-Zehnder Modulators
(Thur May 9 STh4N.6)

Frequency shifting/conversion for many applications

- high-resolution spectroscopy
- dense wavelength division multiplexed (DWDM) networks
- atom interferometry / quantum sensing

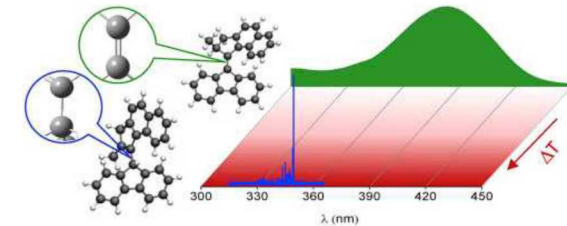


Photo Credit: Tetrahedron, 73(33, pp. 4887-4890, Aug 17, 2017

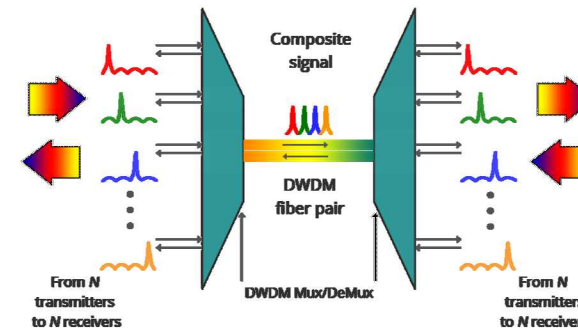


Photo Credit: <https://community.fs.com/blog/an-overview-of-dwdm-technology-and-dwdm-system-components.html>

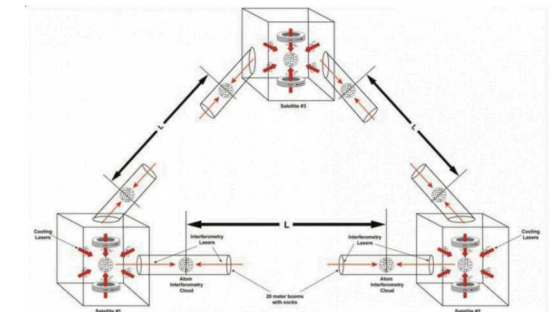


Photo Credit: <https://www.nasa.gov/content/atom-interferometry-for-detection-of-gravity-waves-a/>

METAMATERIALS SIMULATION – MIRAGE 1.0

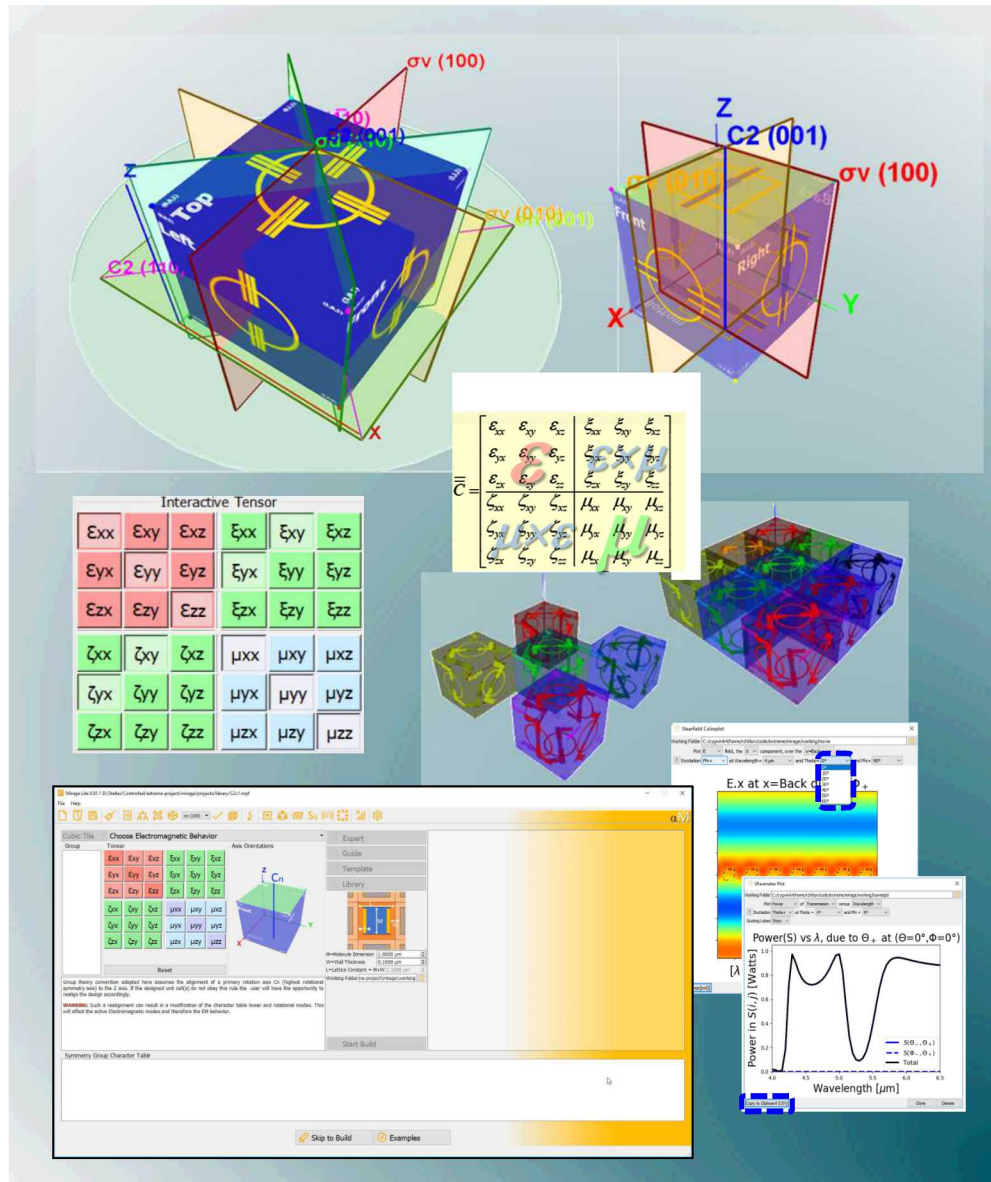


Multiscale Inversion Rapid Group-theory for Engineered-metamaterials (MIRAGE)

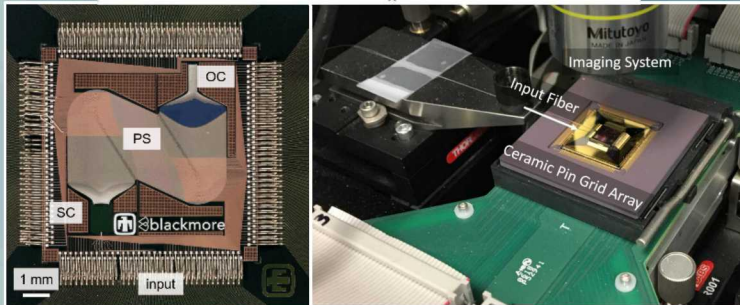
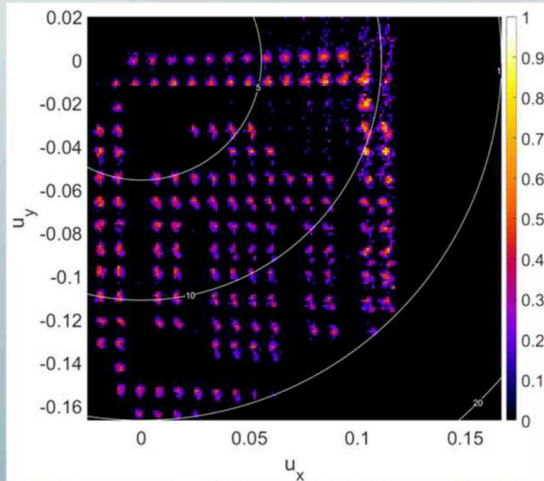
- All-in-one comprehensive simulation tool-set
- Tensor Based Inverse Design Methodology
- Heterogeneous Unit Cell Stitching Capable
- Optimization: geometry, shape, and topology
- Scalable: laptop, desktops and multicore machines
- Designed for novice and expert

Free copy may be available

- Valid research contract with the US government



CHIP-SCALE OPTICAL BEAM STEERING



Phase Optimization of Si Photonics
2D Electro-optic Phased Array
(Thur May 9 JTh2A.39)

2D Silicon Photonic Optical Beam Scanner

- Electronic (low-power EO phase shifter) and wavelength steering
- field of view: $24^\circ \times 10^\circ$; divergence angle: $0.3^\circ \times 0.3^\circ$
- 256 independent channels with 3-mm pitch
- Area: 750mm x 750mm with high fill factor

Applications

- Imaging and sensing
- Free-space communication

