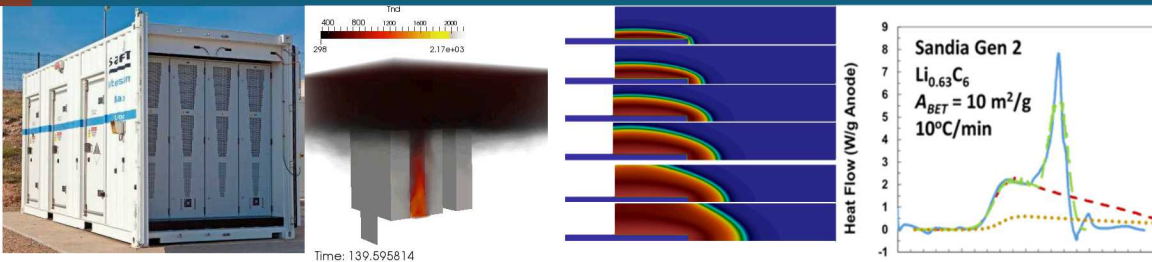
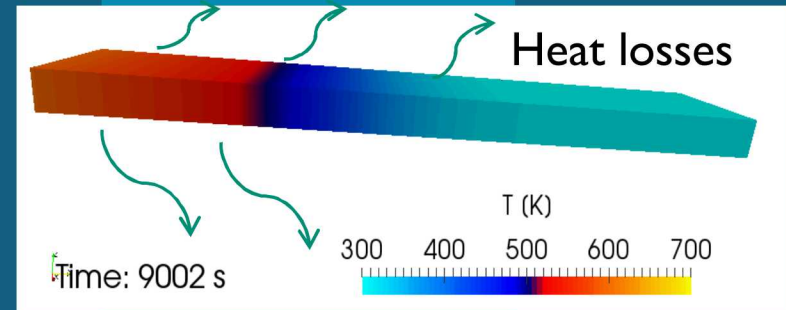


Predicting and mitigating cascading failure of thermal runaway in stacks of Li-ion pouch cells



Presented by

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Thermal runaway and cascading failure

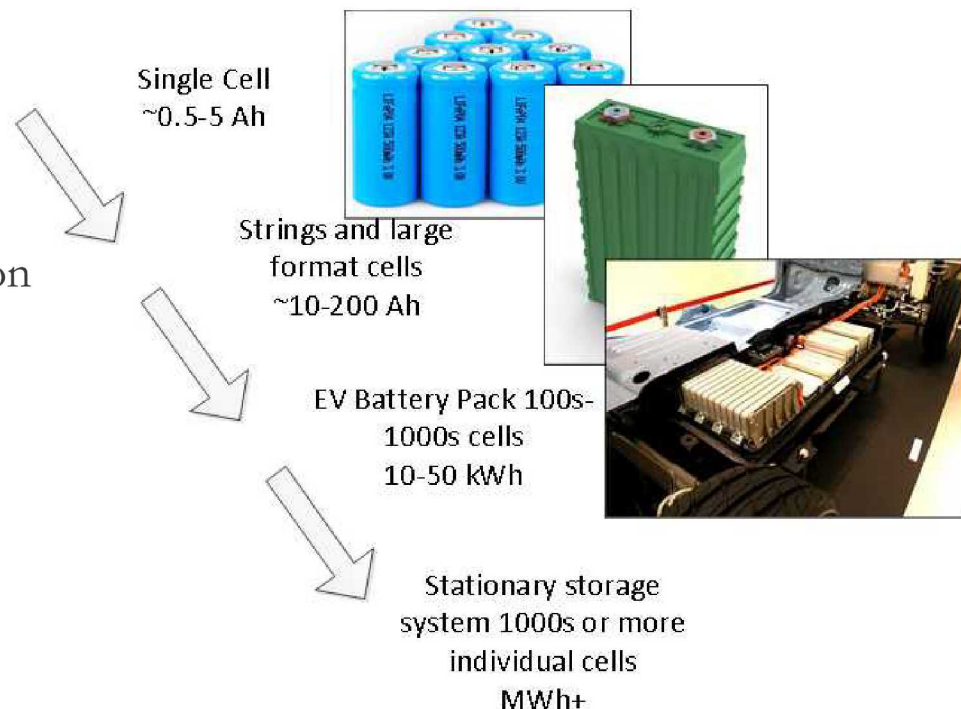
Validated reliability and safety is one of four critical challenges identified in 2013 Grid Energy Storage Strategic Plan

- Failure rates as low as 1 in several million
- Potentially many cells used in energy storage
- Moderate likelihood of ‘something’ going wrong

Increased energy densities and other material advances lead to more reactive systems

A single cell failure that propagates through the pack can have an impact even with low individual failure rates.

How do we decrease the risk?



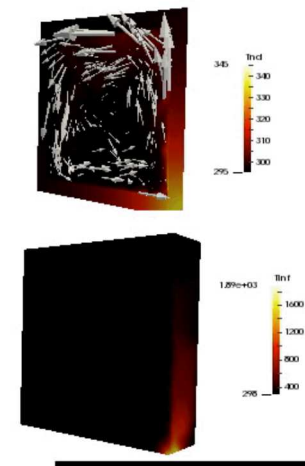
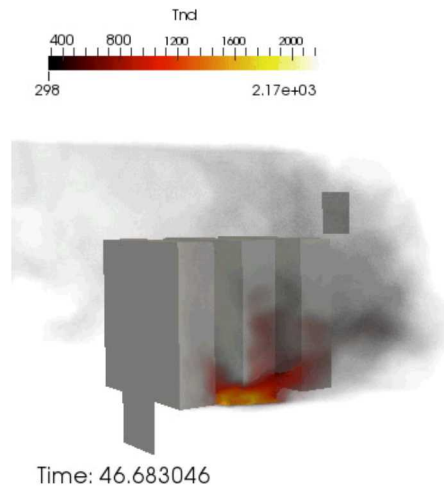
Approaches to designing in safety

The current approach is to test our way into safety

- Large system ($>1\text{MWh}$) testing is difficult and costly.

Supplement testing with predictions of challenging scenarios and optimization of mitigation.

- Develop multi-physics models to predict failure mechanisms and identify mitigation.
- Build capabilities with small/medium scale measurements.
- Still requires some testing and validation.



Cascading failure testing with passive mitigation

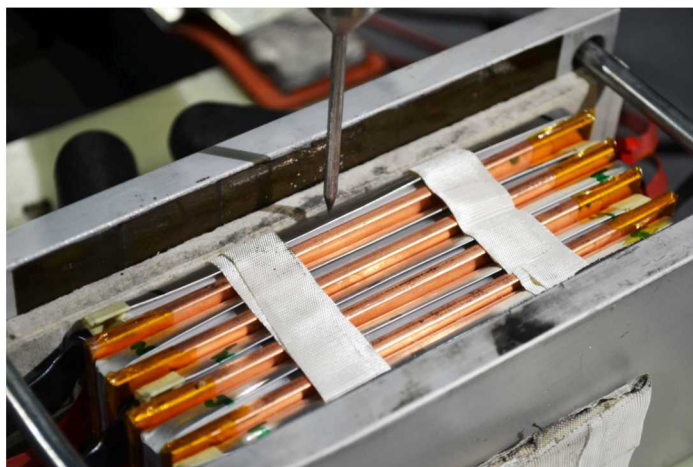
LiCoO₂ 3Ah pouch cells

5 closely packed cells with/without aluminum or copper spacer plates

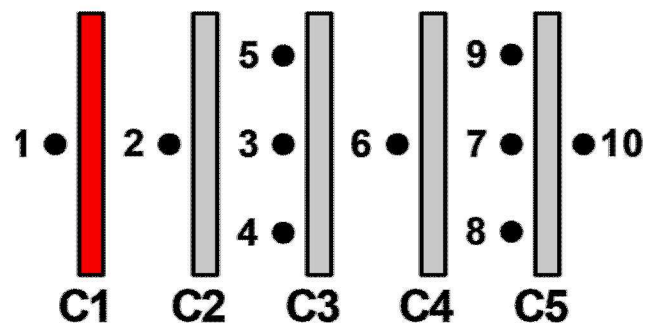
- Spacer thicknesses between 1/32" and 1/8"
- State of charge between 50% and 100%

Failure initiated by a mechanical nail penetration in the outer cell (cell 1)

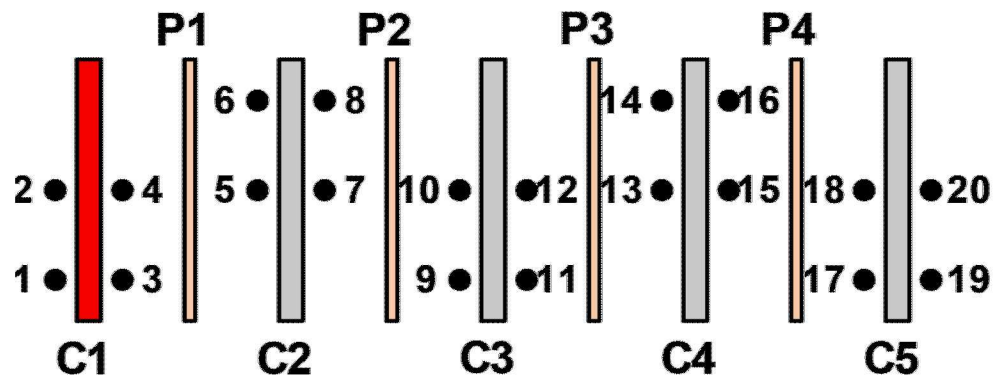
Thermocouples (TC) between cells and spacers (if present)

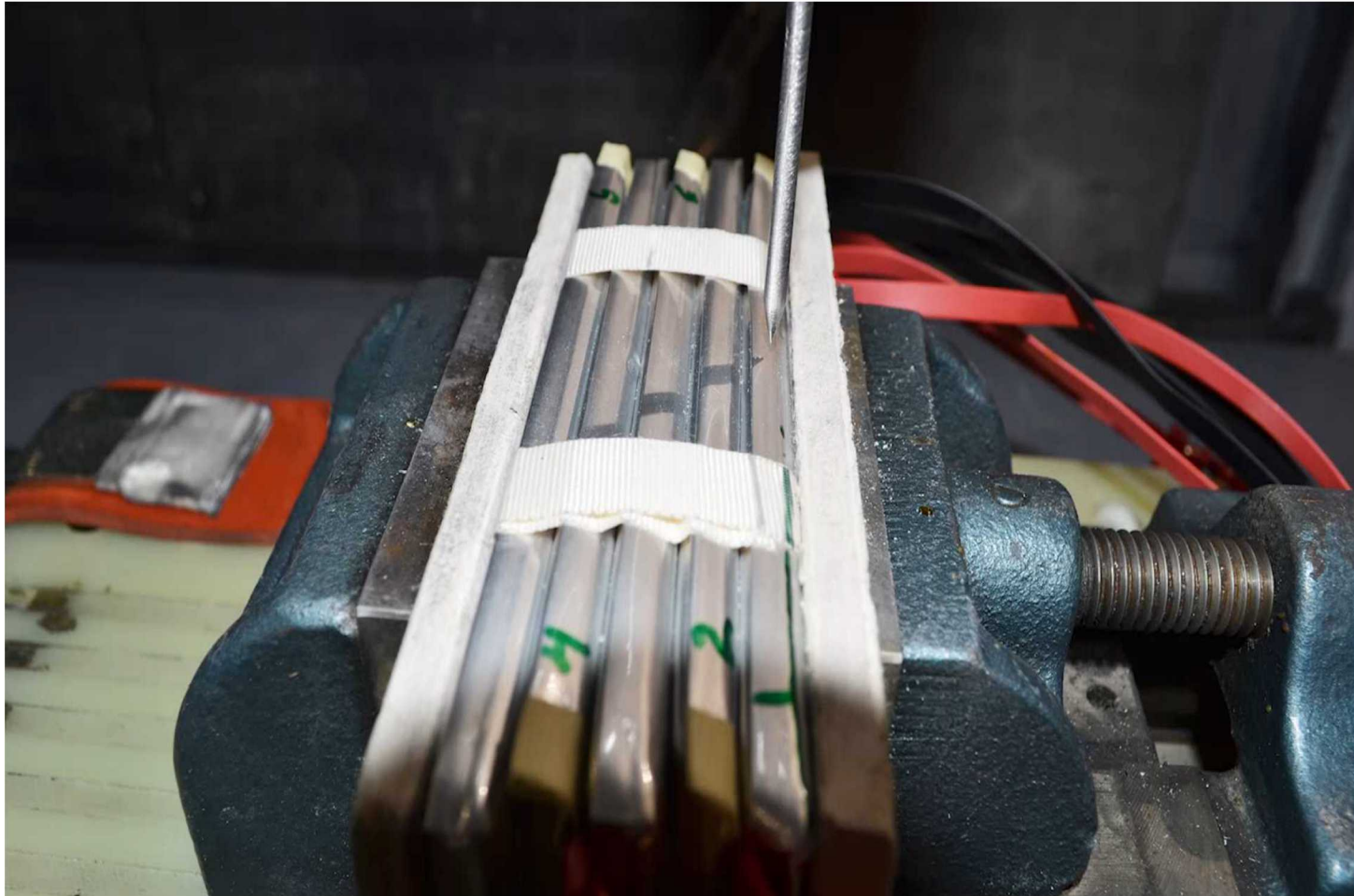


Thermocouple Locations



Thermocouple Locations with spacer plates



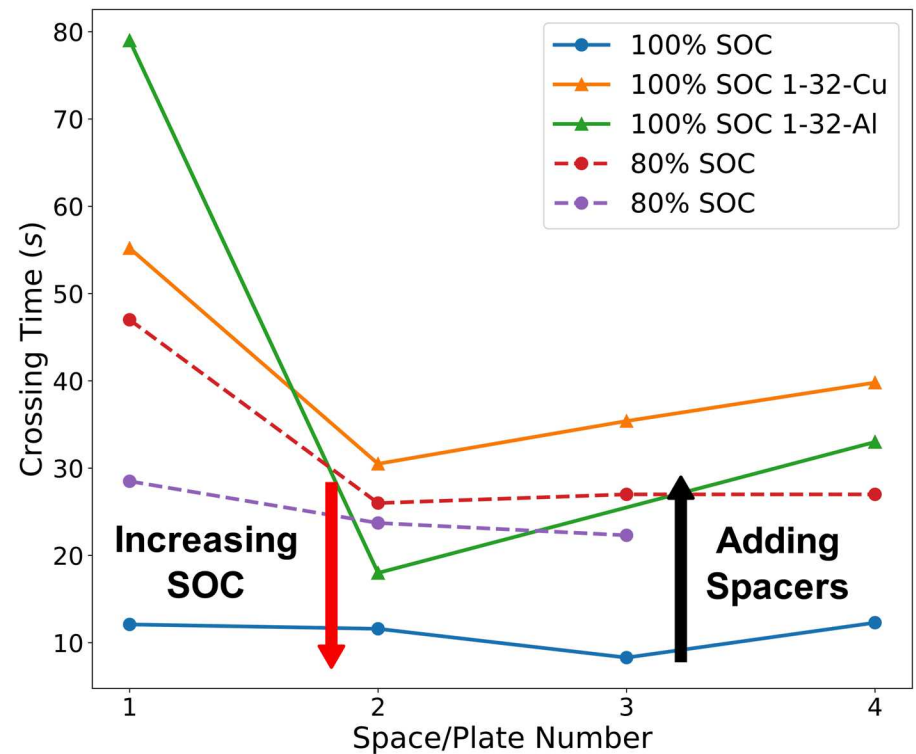
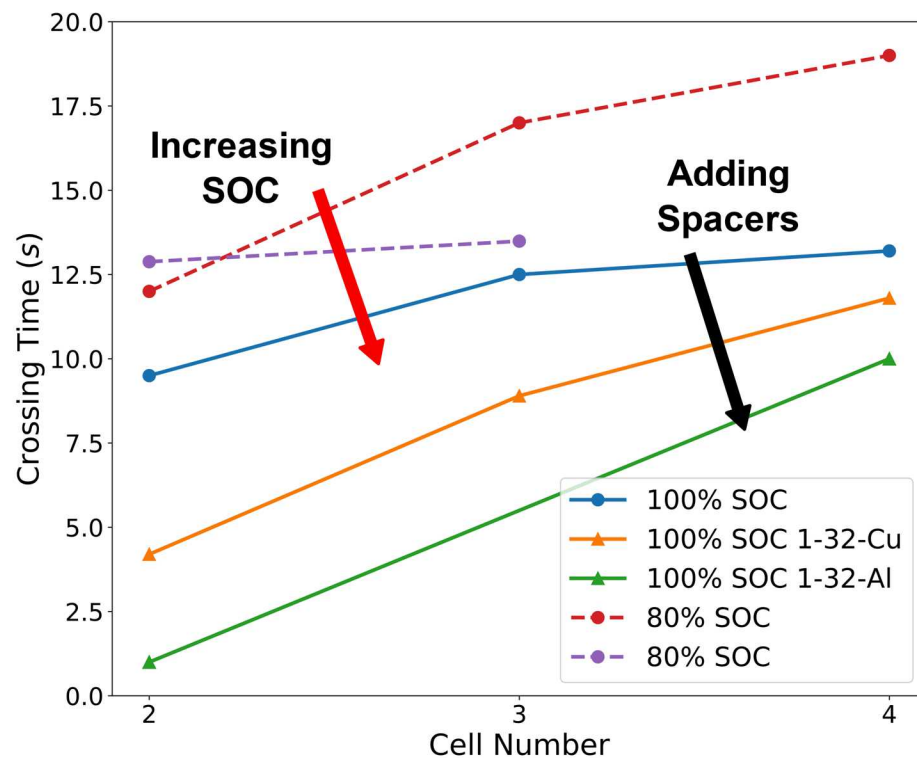


Cascading failure: propagation speeds

Adding spacers **increases** space crossing time, but **decreases** cell crossing time

Increasing state of charge (SOC) decreases both space and cell crossing time

Interplay between **heat capacity** of system and **energy release**



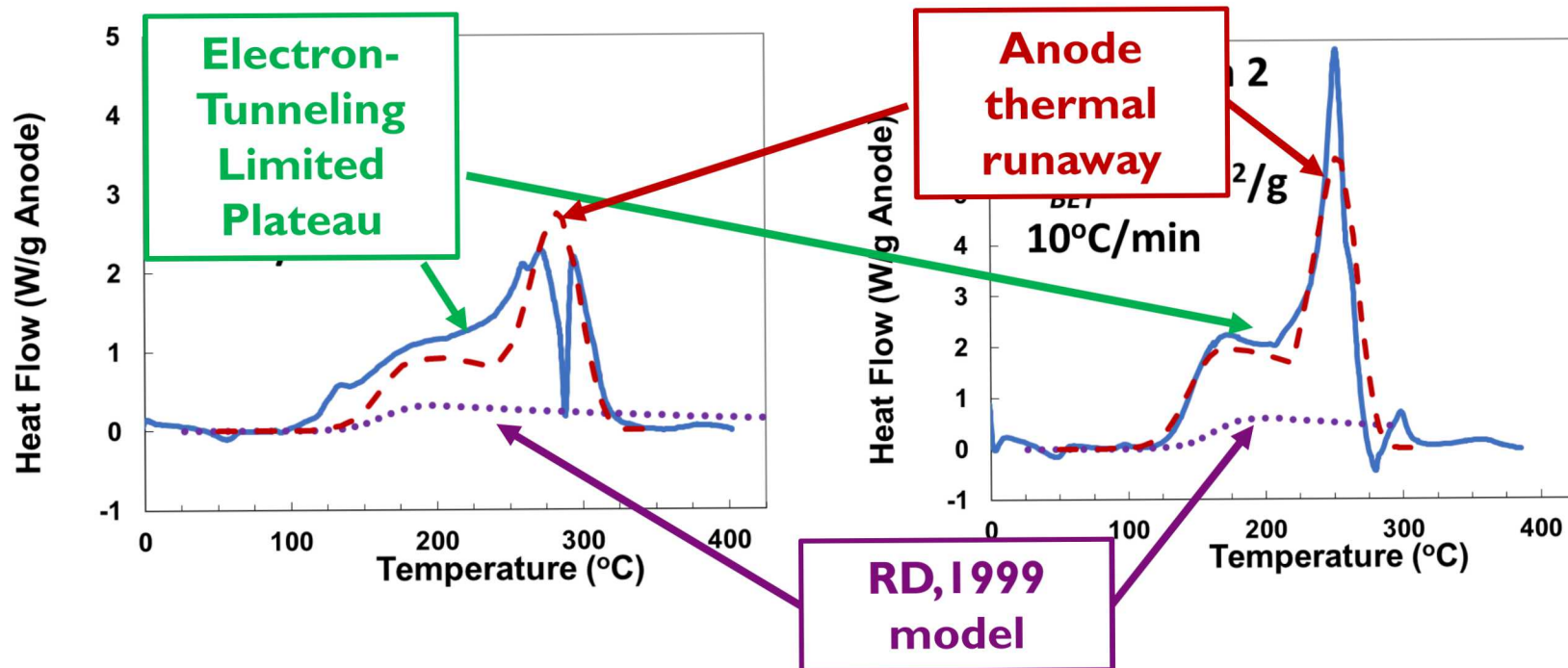
Anode-electrolyte calorimetry and modeling

Anode-electrolyte calorimetry suggest several regimes during thermal runaway

- Initiation – Plateau -Runaway

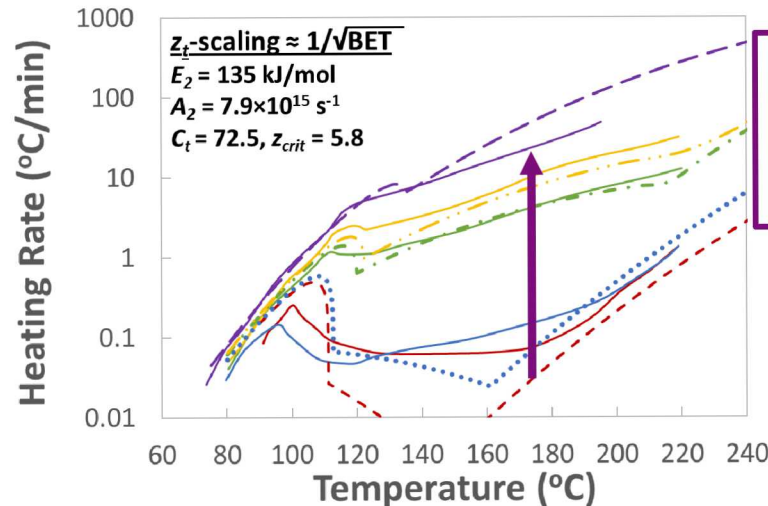
Anode-electrolyte reactions generate heat

- Could raise cell temperatures $\sim 650^{\circ}\text{C}$
- Nominal reaction:

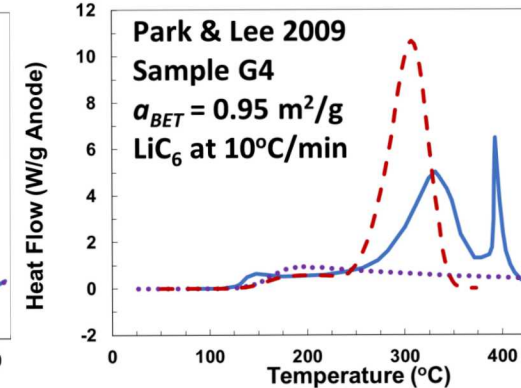
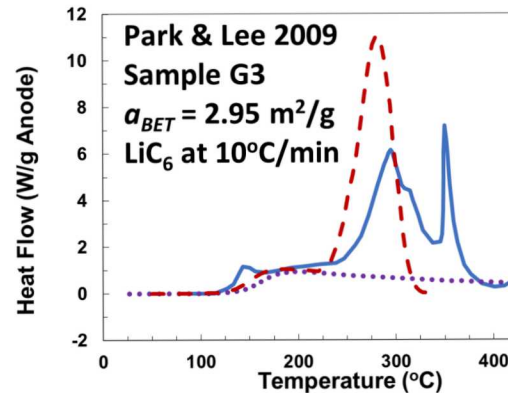
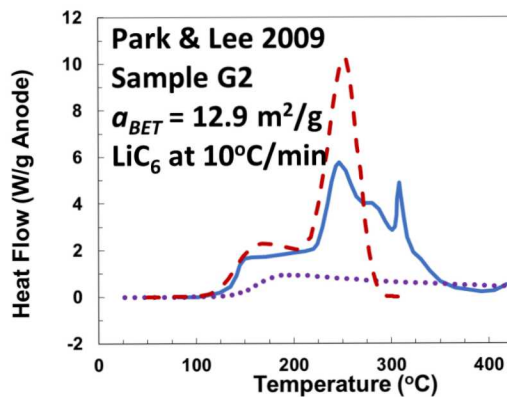
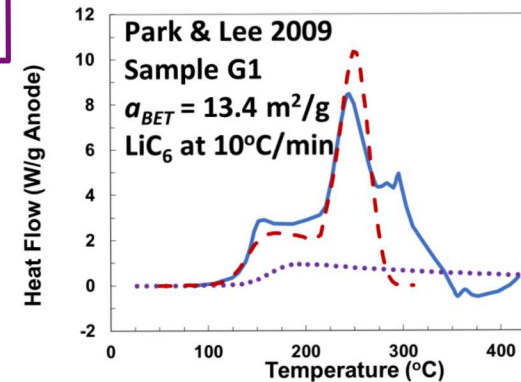


More predictions with the comprehensive model

Predicting the full range of behavior over a range of particle sizes



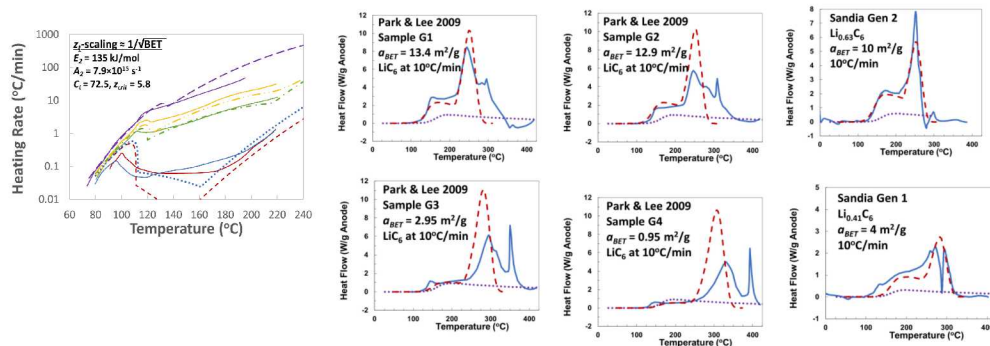
Increasing
specific
area



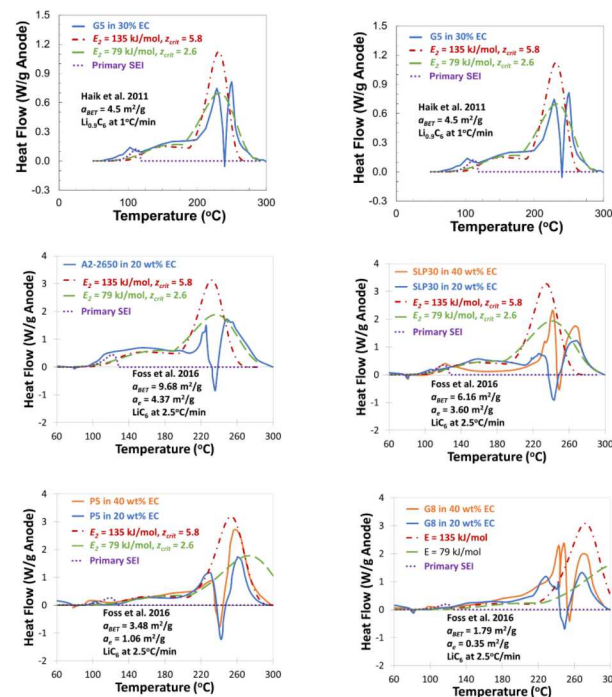
Increasing specific area

Many predictions with the comprehensive model 24 x DSC, 5 x ARC

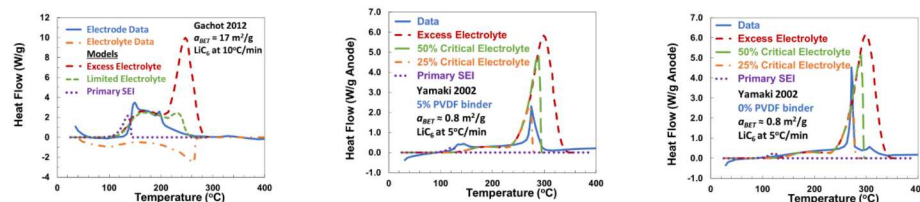
Shown earlier



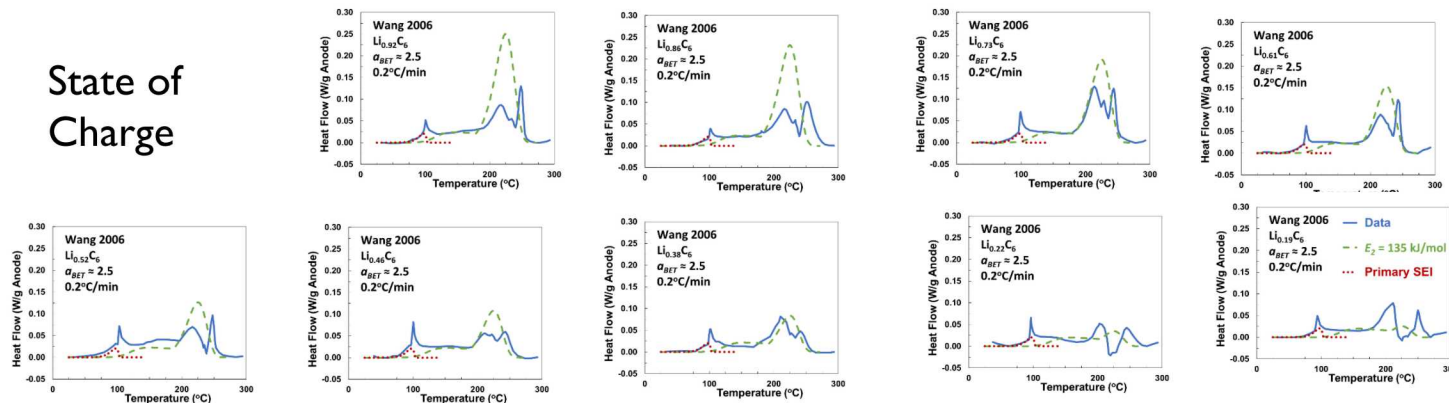
Detailed area measurements



Limiting Electrolyte



State of Charge



A comprehensive model for anode-electrolyte runaway

$$-\frac{dx_i}{dt} = x_i \frac{a_e}{a_0} \frac{m_E}{(m_{50} + m_E)} \overbrace{A_2 \exp\left(-\frac{E_2}{RT}\right) \exp(-z_t)}^{\text{Electron-Tunneling Limiter}}$$

Edge Area Effect
Limiting Electrolyte

$$\overbrace{\frac{dz_t}{dt}}^{\text{Barrier Growth}} = -\frac{dx_i}{dt} \frac{C_t}{\left(\frac{a_{BET}}{a_0}\right)^{n_t}} \quad \text{for } \underbrace{z_t < z_{crit}}_{\text{Critical Barrier}}, \text{ and } \overbrace{\frac{dz_t}{dt} = 0}_{\text{Allows Acceleration}} \text{ otherwise}$$

Variable Area Effect
Critical Barrier
Allows Acceleration

$$Q [W/g] = -\frac{dx_i}{dt} \frac{\Delta H_{rxn}}{W_a} \quad \} \quad \text{Heat Release with new } \Delta H_{rxn}$$

Finite element model for full cells in thermal runaway

Discretization in one direction (x)

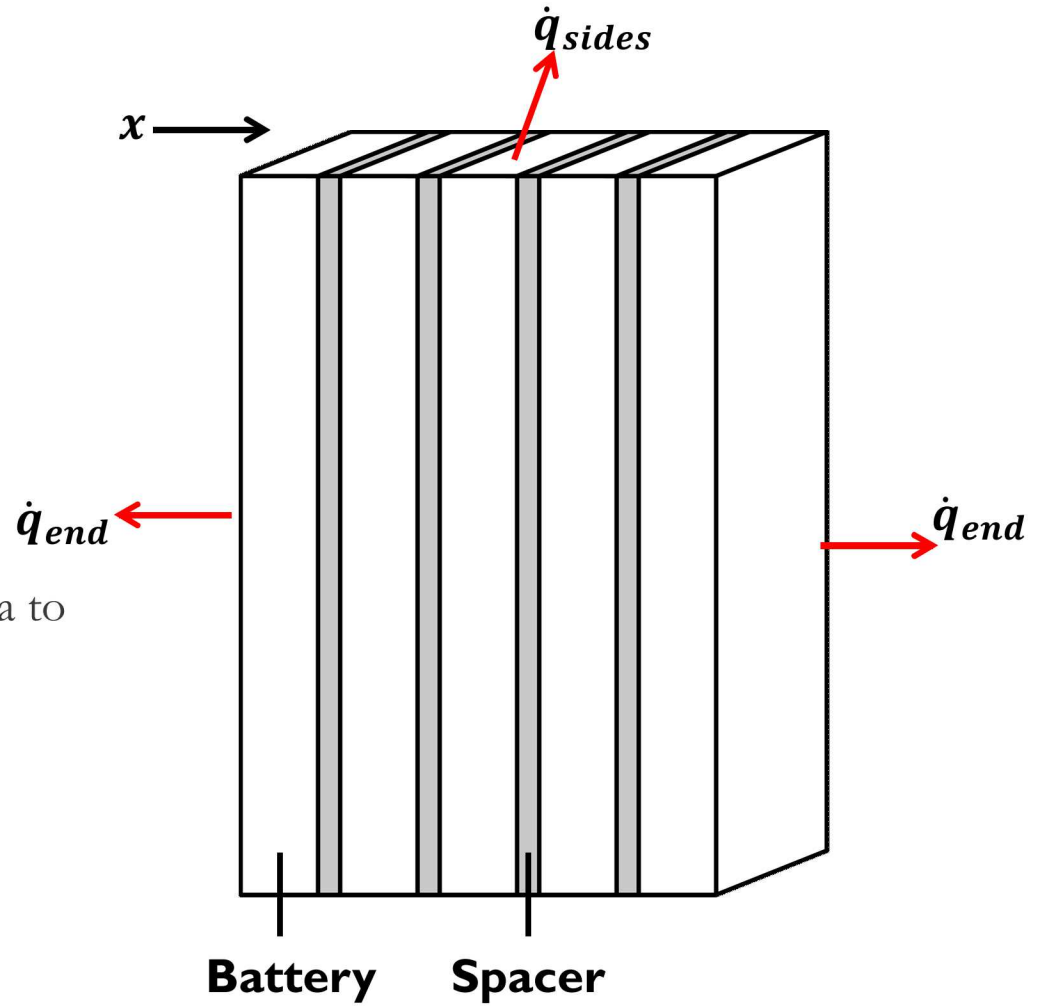
Modeled as a quasi 1-D domain of thin hexahedron elements

Multi-layered system

- Lumped battery material
- Spacers
- End block insulators

Convective heat transfer to surroundings (scaled by surface area to volume ratio for thin domain)

Heat conduction with chemical sources inside battery material



Energy conservation:

$$\rho c_p \frac{\partial T}{\partial t} = \nabla \cdot (K \nabla T) + \dot{q}'''$$

Mass conservation for species i with N_r reactions:

$$\frac{\partial \rho_i}{\partial t} = \sum_{j=1}^{N_r} (v''_{ij} - v'_{ij}) r_j$$

Energy source:

$$\dot{q}''' = \sum_{j=1}^{N_r} \Delta H_j r_j$$

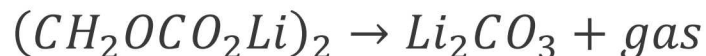
Chemical source terms for thermal runaway

Preliminary chemistry model from literature

- Based on Dahn group (1999-2001)
- Derived from calorimetry
- Good onset predictions
- Under-predicts peak temperature

Empirical chemical reactions:

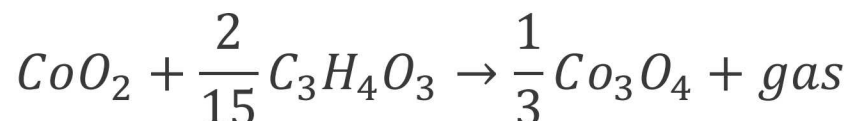
- SEI decomposition (Richard 1999)



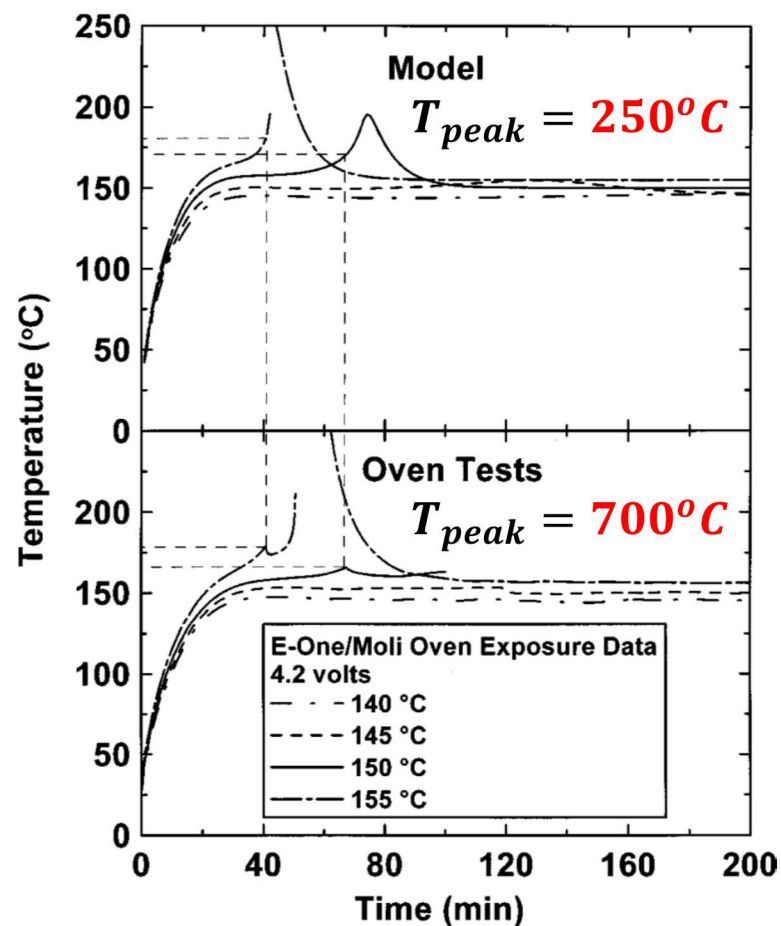
- Anode-electrolyte (Shurtz 2018)



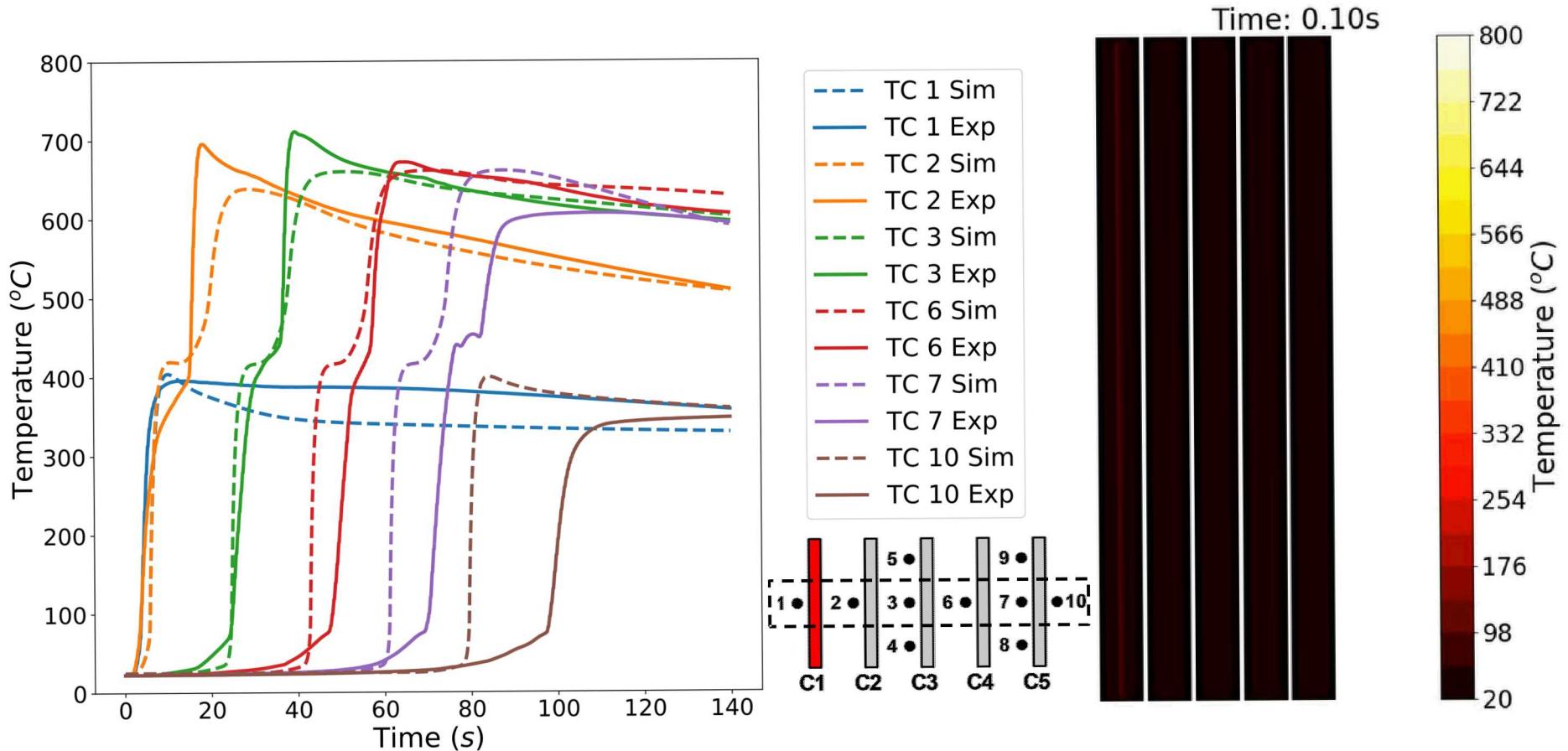
- Cathode-electrolyte (Hatchard 2001)



- Short-circuit

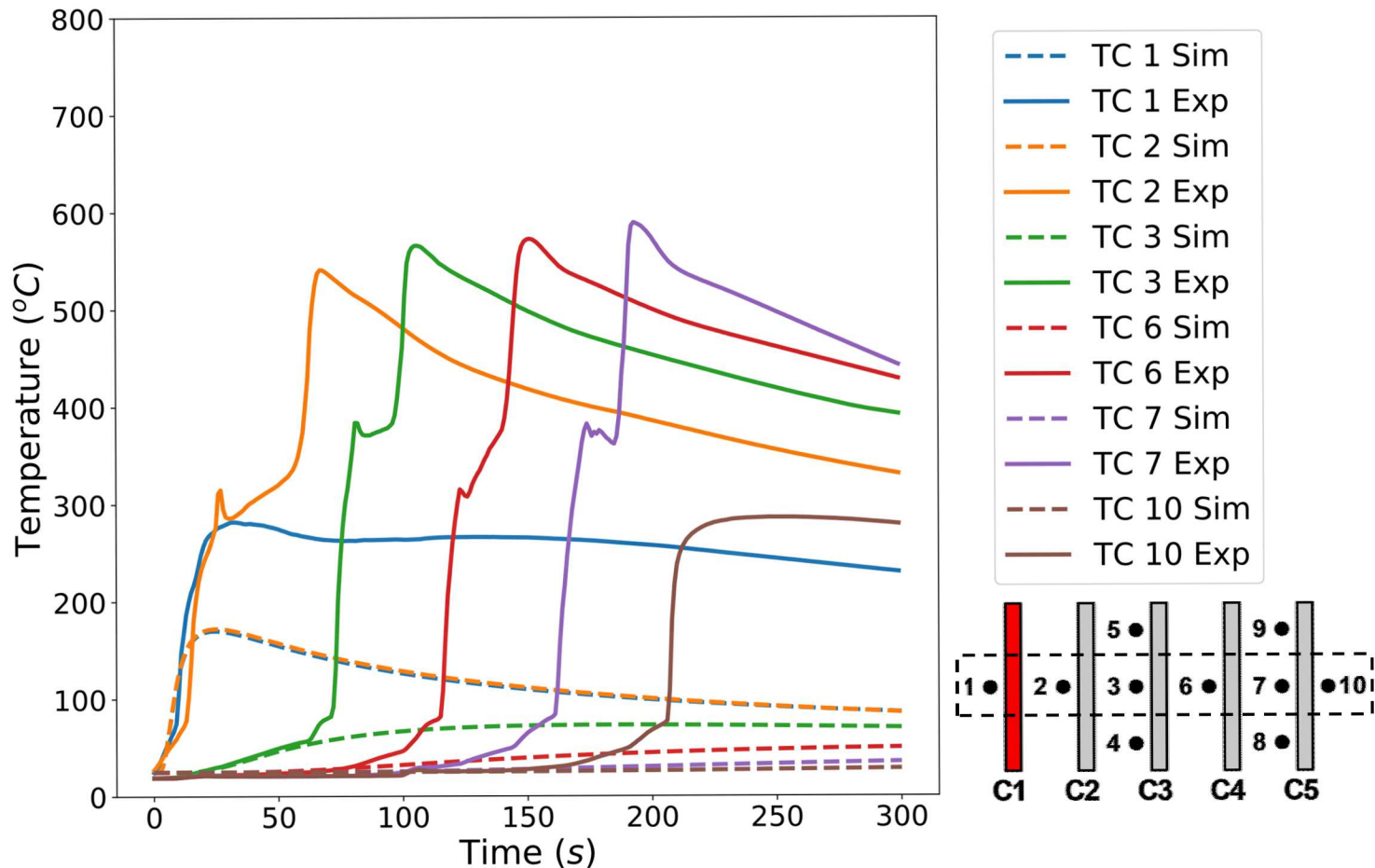


Simulation results: 100% SOC, no spacers



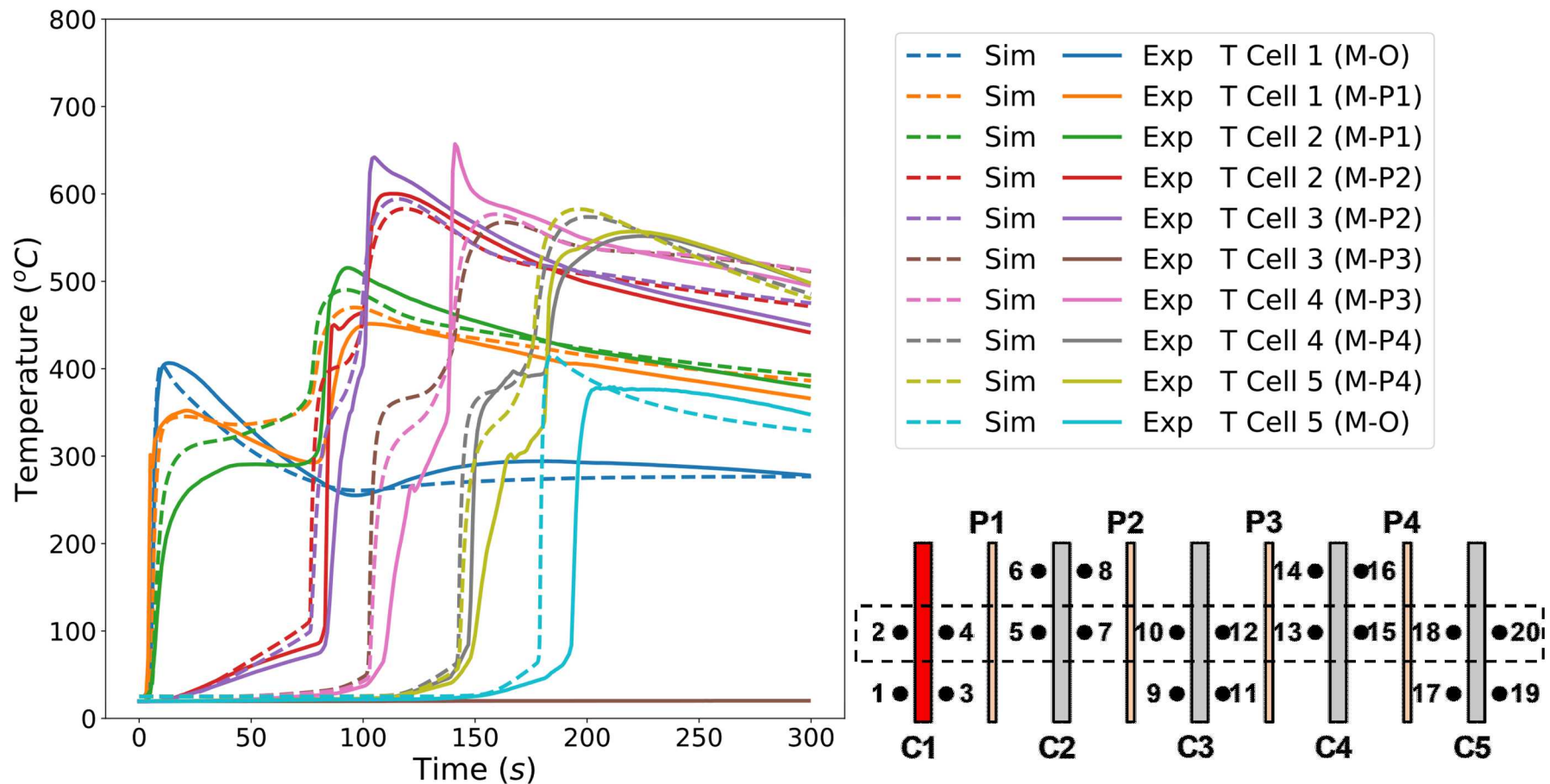
- Prediction of peak temperatures and cooling
- Cell crossing speed over-predicted

Simulation results: 80% SOC, no spacers



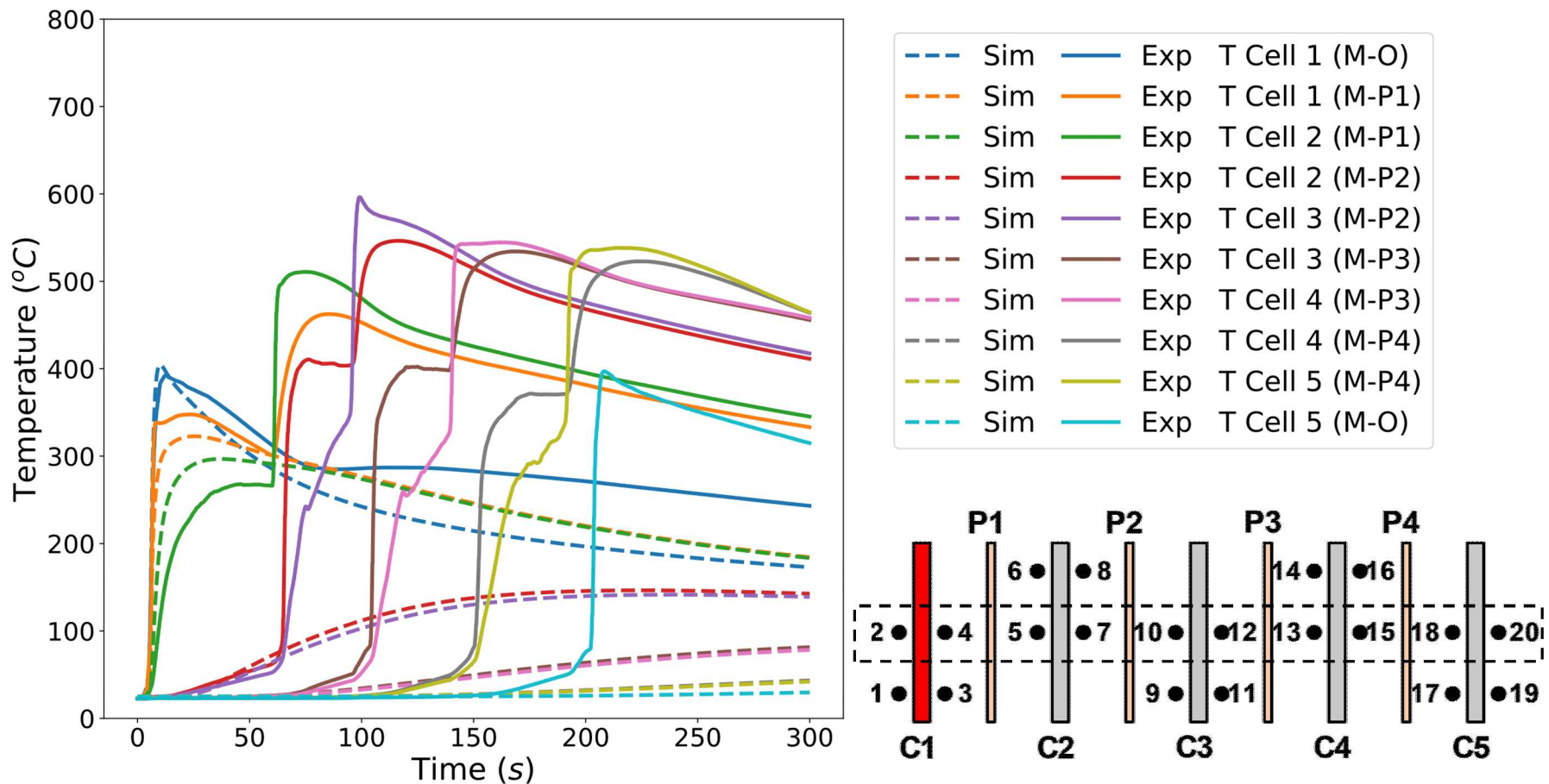
- Insufficient heat generation to initiate thermal runaway outside of the nail penetration region
- Experimental peak temperatures lower than 100% SOC

Simulation results: 100% SOC, 1/32" aluminum spacers



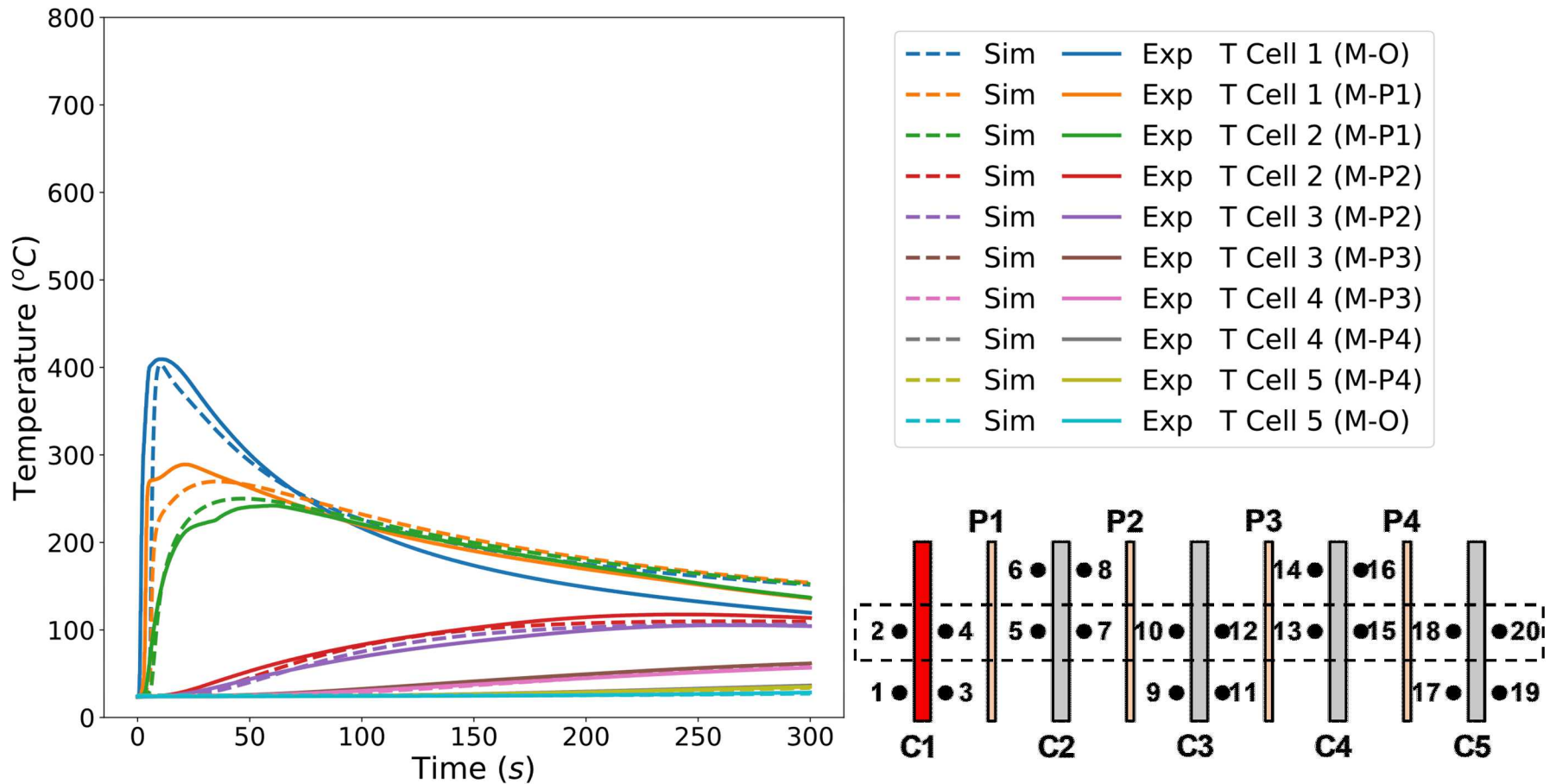
- Temperature difference in TCs on either side of the plates under-predicted
- Cell crossing speed still over-predicted

Simulation results: 100% SOC, 1/32" copper spacers



- Temperature in initiating cell captured
- Simulation does not predict propagation beyond cell 1

Simulation results: 100% SOC, 1/16" copper spacers



◦ No propagation in simulations and experiments

Heat capacity and SOC: limits of propagation

State of Charge	Experiment	Simulation
100% SOC	Propagation	Propagation
90% SOC	N/A	Propagation
80% SOC	Propagation	No Propagation
75% SOC	No Propagation	No Propagation

Effective Heat Capacity	Experiment	Simulation
778 J/kg/K (no spacers)	Propagation	Propagation
893 J/kg/K (1/32" Al)	Propagation	Propagation
941 J/kg/K (1/32" Cu)	Propagation	No Propagation
1009 J/kg/K (1/16" Al)	No Propagation (Cell 2 Failure)	No Propagation
1103 J/kg/K (1/16" Cu)	No Propagation (Cell 2 Failure)	No Propagation

Finite element model with chemical source terms tested against experimental data

- Captures trends at 100% SOC, over-predicts propagation velocity
- Model is under-conservative with predictions when heat capacity is increased and SOC is decreased

There is a need for validated chemical source models tested at higher heat release rates

Ongoing work to improve mechanistic understanding of thermal and chemical time scales

- Comprehensive cathode models
- Transport limited reaction kinetics

Acknowledgements

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