

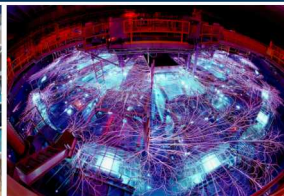
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Deep neural networks for compressive hyperspectral imaging

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Compressive hyperspectral imaging reduces measurements



- Compressive sensing reduces the number of bands from the full spectra.
- Less measurements results in faster acquisition time.
- Reconstruction requires heavy computation.
- Can neural networks reduce computation time for reconstruction?
- Can neural networks improve classification accuracy of spectra?
- Can neural networks classify the raw, compressed spectra?

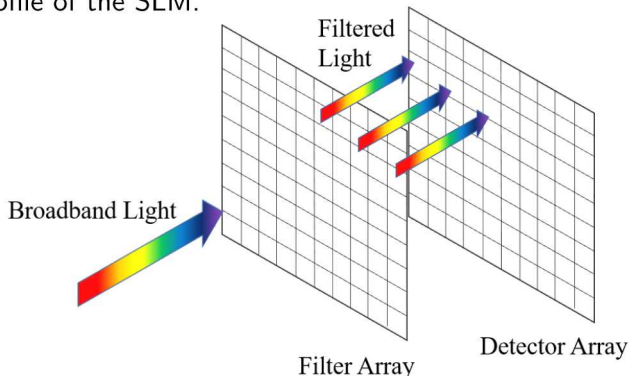
Outline: How to reconstruct and classify compressive hyperspectral images?

1. Hyperspectral imaging based on compressive sensing
2. Task 1: Reconstruction of hyperspectral images from compressive sensing data
3. Task 2: Classification of hyperspectral images from compressive sensing data

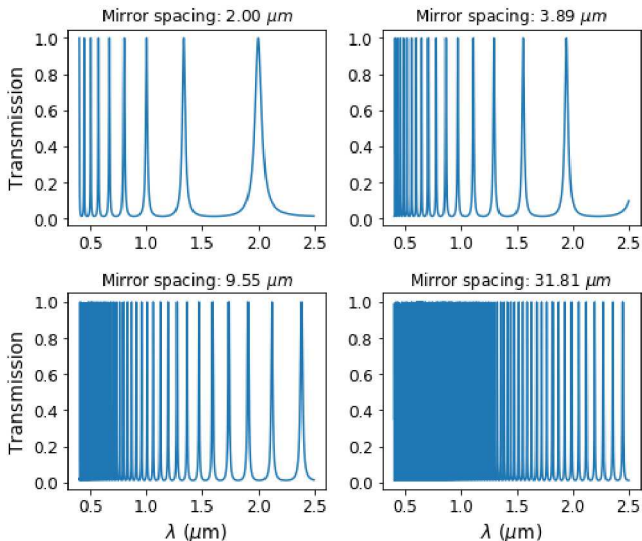
Hyperspectral imaging based on compressive sensing

Hyperspectral imager based on compressive sensing

- Measure the spectra of incoming light using a spatial light modulator (SLM) before the focal plane array.
- The transmission of the SLM can be controlled by applying different voltages.
- Each measurement corresponds to a different transmission profile of the SLM.



Simulate transmission of Fabry Perot resonators

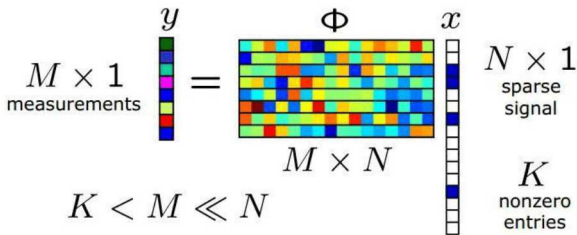


D. J. Lee and E. A. Shields, "Compressive hyperspectral imaging using total variation minimization" SPIE (2018).

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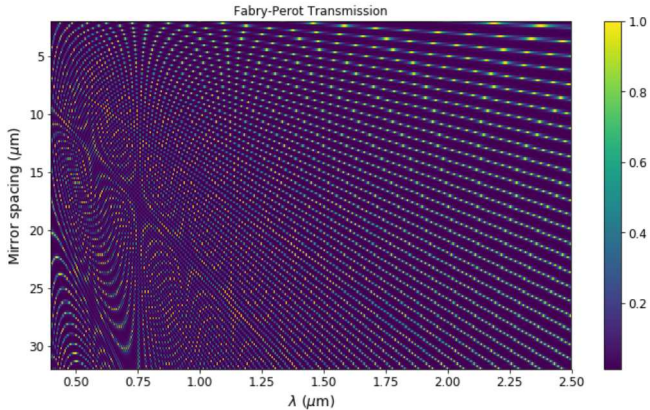
Compress measurements using Fabry Perot resonators

- Each measurement corresponds to a different transmission profile.
- Take less measurements than the number of bands.


$$\begin{matrix} y \\ M \times 1 \\ \text{measurements} \end{matrix} = \begin{matrix} \Phi \\ M \times N \end{matrix} \begin{matrix} x \\ N \times 1 \\ \text{sparse signal} \end{matrix}$$

$K < M \leq N$
 K nonzero entries

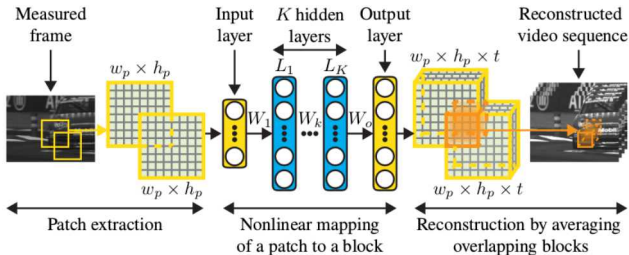
Sample mirror spacing of Fabry Perot resonators



Task 1: Reconstruction of hyperspectral images from compressive sensing data

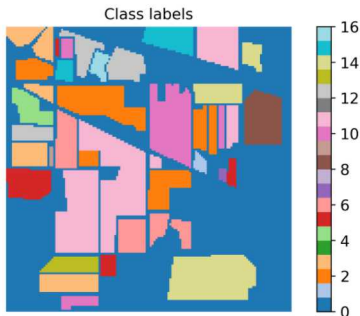
Multi-layer perceptrons reconstruct hyperspectral images

- The output is the full hyperspectral image with 220 bands.
- The inputs are the compressed hyperspectral images with the number of bands varying from 160, 80, 40, 20, and 10.
- Vary the number of layers: $K = 1, 2, 4, 7, 14$.



The Indian Pines dataset is a hyperspectral image

- Dataset consists of 145×145 pixels with a spatial resolution of 20 m and a 10 nm spectral resolution over the range of 400–2500 nm.
- We use the entire 220 bands including the water absorption region. This simulates the real application, where the entire spectrum is modulated by a LCD.

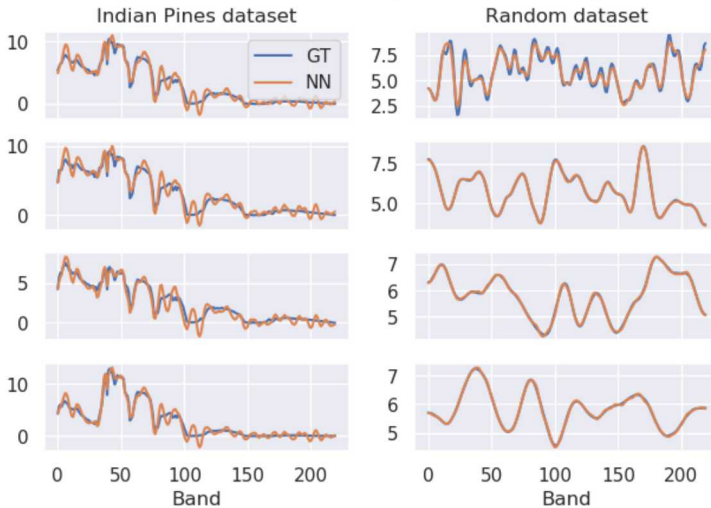


Train on 2 datasets: Indian Pines and random spectra

- Indian Pines contains 21025 spectra (145×145). We reserve 60% for training, 20% for validation, and 20% for testing.
- We generate random spectra from a normal distribution (unit mean, zero variance), smoothed by a Hanning filter with window sizes that vary from 11, 21, 31, and 41. There are equal numbers of Indian Pines and random spectra.
- Random noise is added to the Indian Pines training data, generated from the distribution described above.
- The training set is split 50% between Indian Pines and random spectra.
- The random dataset and additive noise help to prevent overfitting. Both datasets are normalized to zero mean and unit variance.

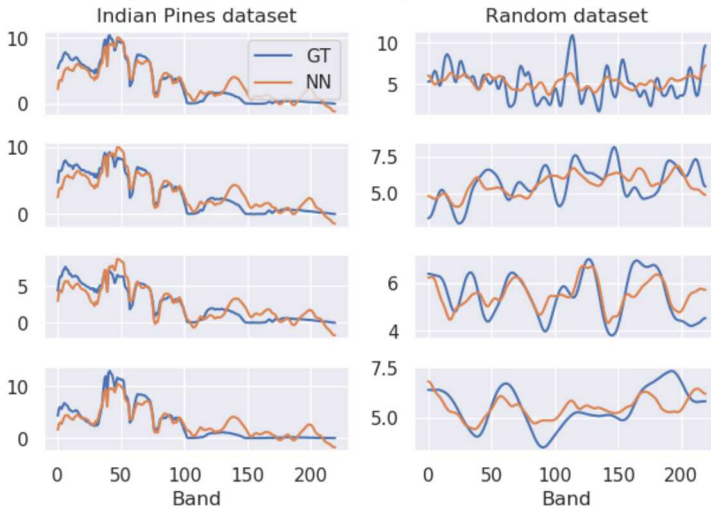
Moderate compression (160/220) => small error

Example Reconstructions, Input size: 160, Layers: 1

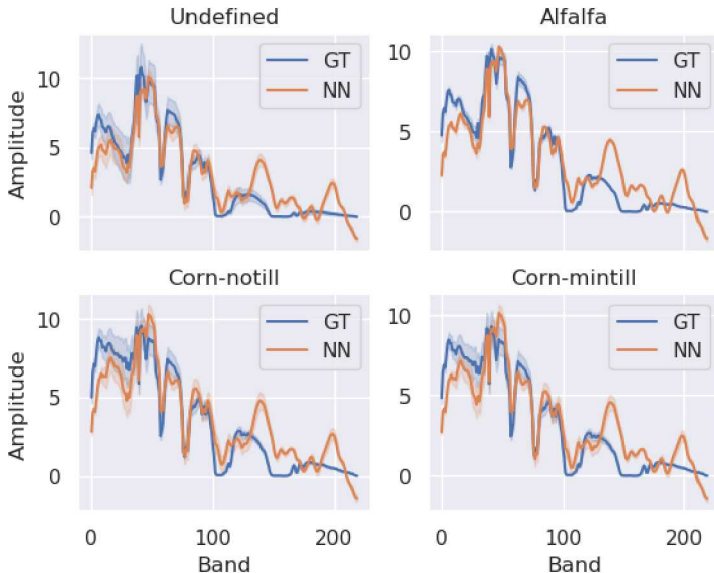


Larger compression (10/220) => larger error

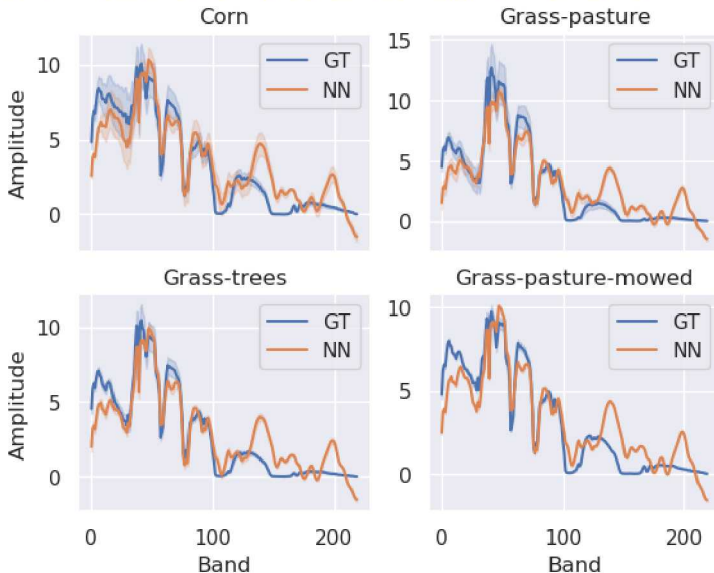
Example Reconstructions, Input size: 10, Layers: 1



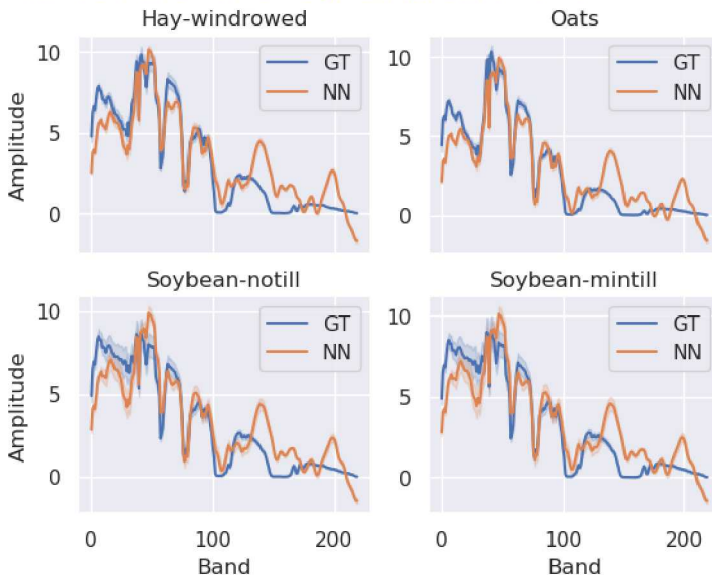
Crop spectra vary with input size of 10 and single layer



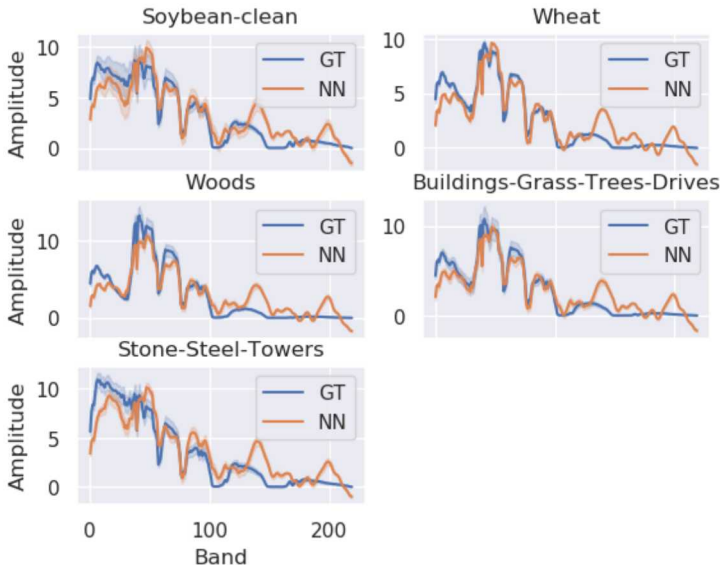
Crops with fewer examples show higher variance



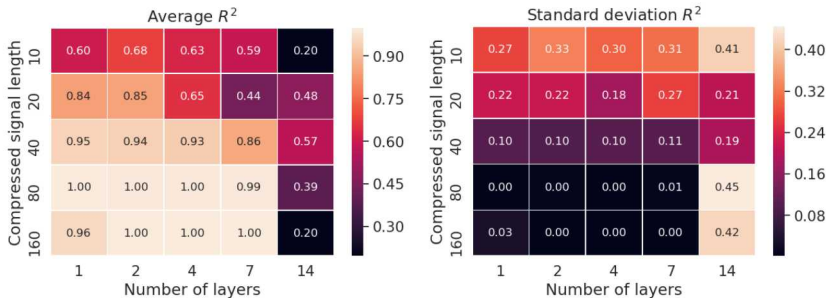
Higher bands have lower signal, greater error



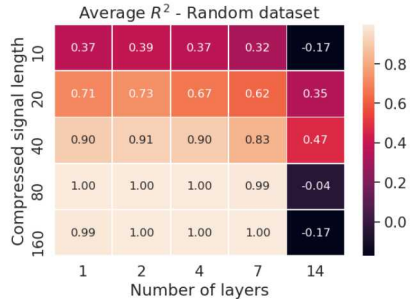
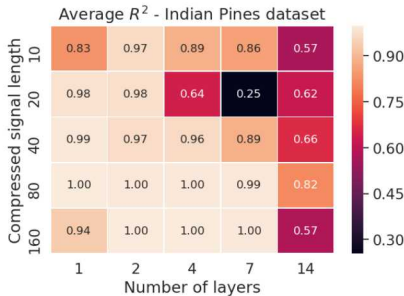
Stone-steel-towers has fewest examples, greatest error



Overall R^2 shows too many layers increases error



Single layer shows least overfitting



Regularize the model to further reduce overfitting

- With a single layer, the Indian Pines reconstruction seemed to show the least overfitting.
- The random dataset serves as a measure of overfitting.
- Can the training dataset be further augmented beyond random spectra?
- How can the multilayer perceptron be further regularized? Some ideas are to add dropout, noise augmentation.

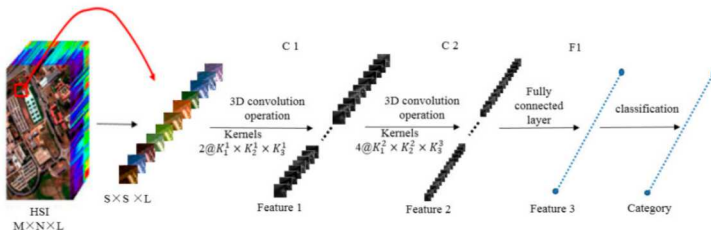
Task 2: Classification of hyperspectral images from compressive sensing measurements

Evaluate classifier performance on compressed inputs

- Compress the full spectra (220 bands) to 160, 80, 40, 20, and 10 bands.
- Apply a classifier (SVM, KNN, Neural networks) to the reconstructed spectra (220 bands).
- Evaluate classifier performance on compressed spectra (160, 80, 40, 20, 10 bands)..
- Evaluate reconstructed spectra (220 bands) as initial compressed input varies (160, 80, 40, 20, 10 bands).

3D convolutions extract spatial and spectral features

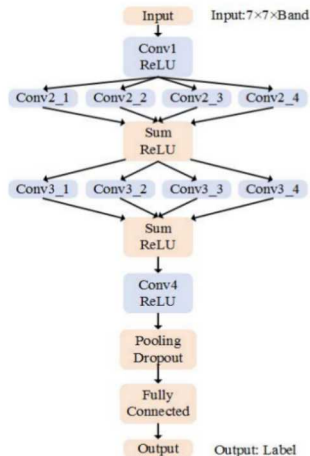
- Two convolutional layers have kernels of size $3 \times 3 \times 7$ and $3 \times 3 \times 3$.
- Previous approaches have applied principle components analysis to the spectral dimension independently of the spatial dimension.



Y. Li, et. al., "Spectral-spatial classification of hyperspectral imagery with 3D convolutional neural network" *Remote Sens.* (2017).

Extract multiscale spatial and spectral features

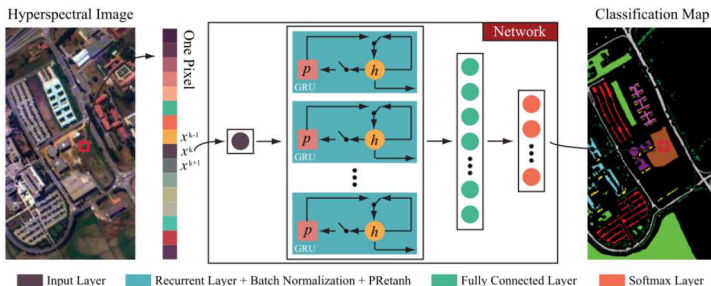
- Evaluate a multi-scale 3D deep convolutional neural network on compressed vs. reconstructed spectra.
- Convolutions occur in 3D across the spatial and spectral dimensions.
- The kernel size varies along the spectral dimension (1, 3, 5, 11).



M. He, et. al., "Multi-scale 3D deep convolutional neural network for hyperspectral image classification" ICIP (2017).

Recurrent networks characterize spectral correlation

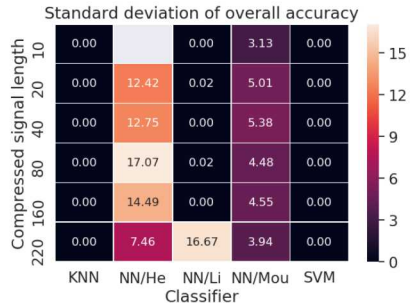
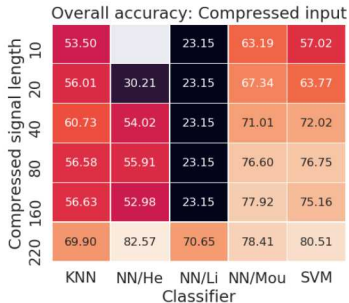
- Gated recurrent units predict bands of each hyperspectral pixel.
- Model accounts for spectral but not spatial correlations.



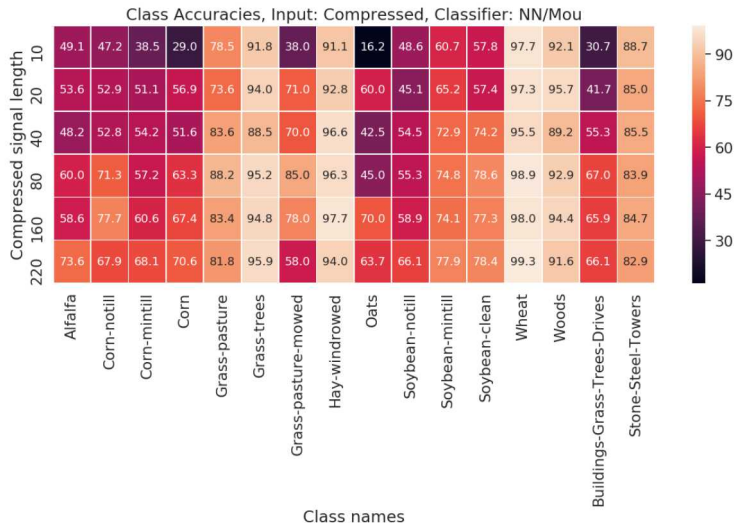
L. Mou, et. al., "Deep recurrent neural networks for hyperspectral image classification" *IEEE Trans. Geoscience and Remote Sens.* (2017).

Recurrent networks performs best on compressed input

- Metrics in paper: overall accuracy, average accuracy, kappa.
- Compressed spectra may lose spatial context.
- For SVM on compressed inputs, we use a RBF kernel with $C = 1000$, $\gamma = 0.001$, determined from a grid search.
- For KNN, we search over $K = 1, 3, 5, 10, 20$. In most cases, $K = 10$ performed best (eg, for compressed sizes of 10 and 160).

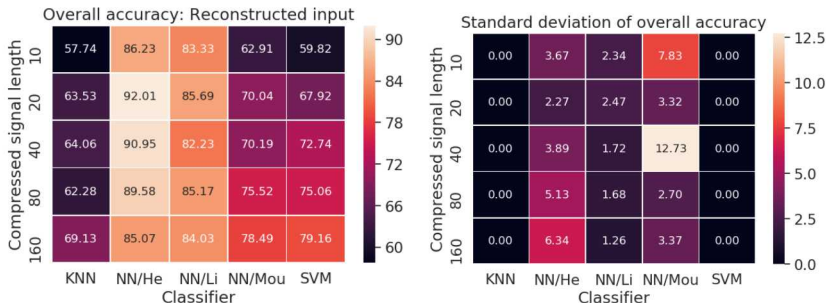


How do RNNs perform on compressed inputs?

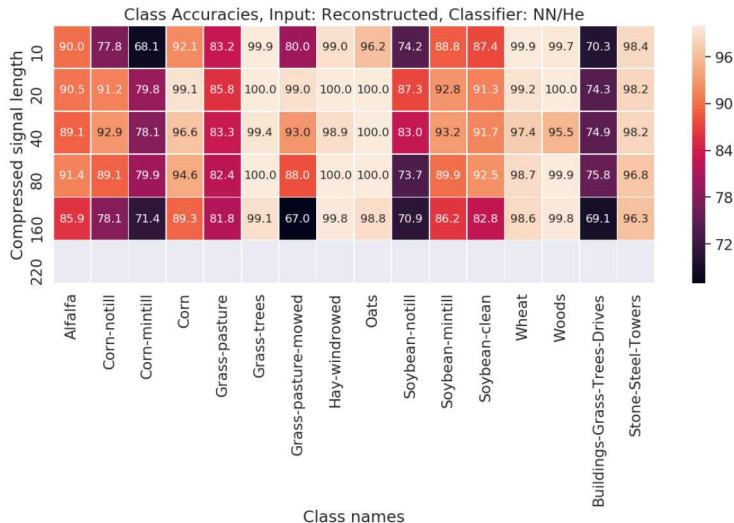


3D CNNs perform best on the reconstructed inputs

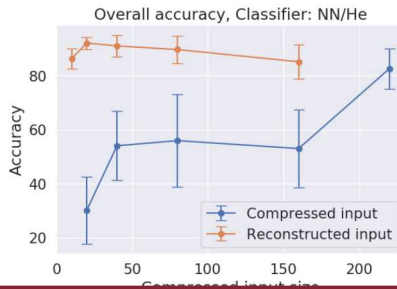
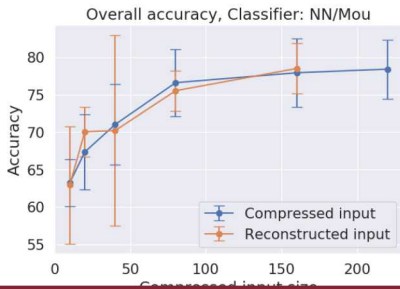
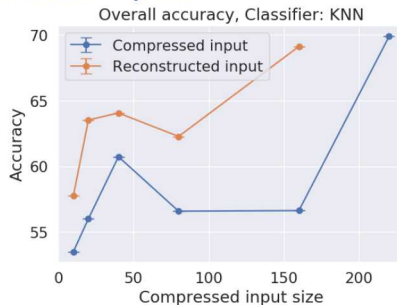
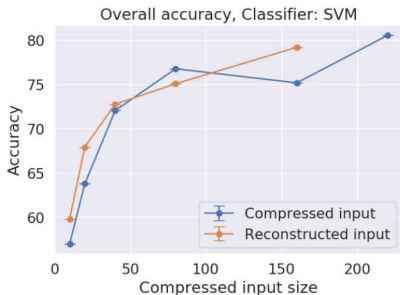
- Metrics in paper: overall accuracy, average accuracy, kappa.
- Reconstructed image contains meaningful spatial information.
- For SVM on compressed inputs, we use a RBF kernel with $C = 100$, $\gamma = 0.01$, determined from a grid search.
- For KNN, we search over $K = 1, 3, 5, 10, 20$. Usually, $K = 5$, 10 performed best (eg, for sizes 160, 10 respectively).



How do 3D CNNs perform on reconstructed inputs?



Accuracy improves on reconstructed inputs



Why does accuracy improve on reconstructed spectra?



- The multilayer perceptron reconstructs spectra accurately even for high compression.
- The compressed input may lost spatial context, so 3D convolutions may not be as effective.
- Compressive sensing can be an unstable inverse problem. Similar spectra can look completely different in compressed space.

Summary: Neural networks can reconstruct and classify compressive hyperspectral images

- We have demonstrated a two step process for hyperspectral image classification using compressive sensing measurements.
- First reconstruct the hyperspectral image from compressive sensing measurements. We investigated varying numbers of layers in a multilayer perceptron. We found that 1 layer minimizes overfitting.
- Second classify the reconstructed image. We explored SVMs, KNN, and 3 neural networks (3D CNNs, Multiscale 3D CNNs, RNNs). We calculated accuracy using the compressed (non-reconstructed) measurements. We found that accuracy improves using reconstructed spectra compared to raw compressed measurements.