

Tailoring Second Harmonic Diffraction in GaAs Metasurfaces via Crystal Orientation

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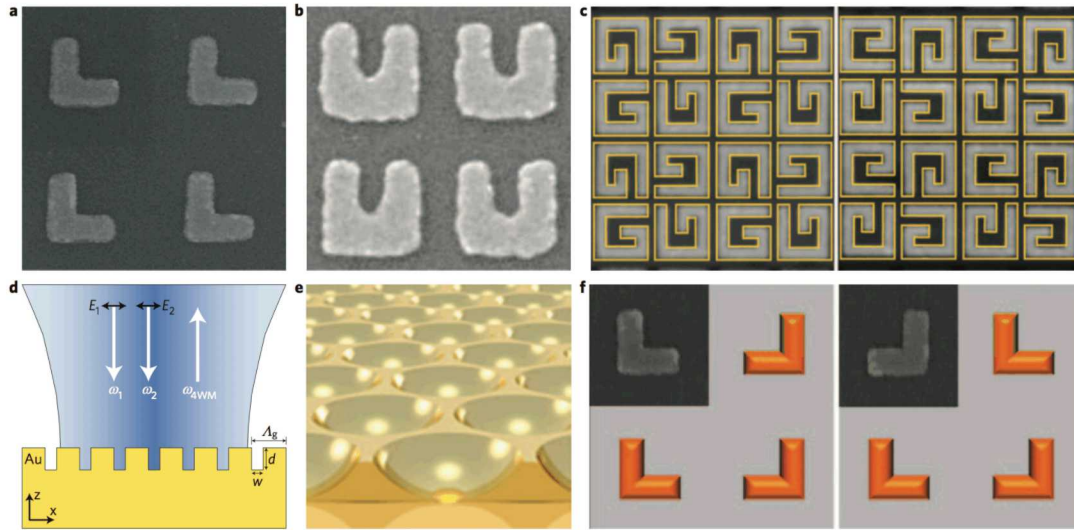
³ Institute of Applied Physics, Abbe Center of Photonics, Friedrich Schiller University Jena, Albert-Einstein-Str. 15, 07745 Jena, Germany

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Nonlinear Photonic Metasurfaces

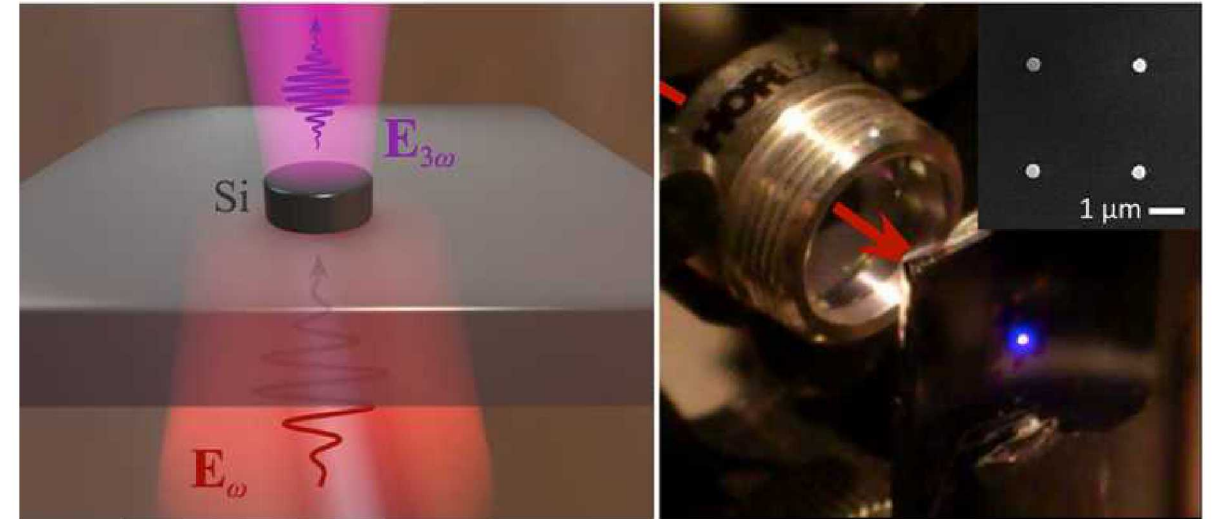


Kauranen, M., and Zayats, A. V. *Nature Photonics*, 6(11), 737, (2012)

- Surface/Local Plasmon resonances
- Mie resonances



Resonant enhancement of EM field



M .R. Shcherbakov, et. al. *Nano Letters* 14, 11, 6488–6492, 2014

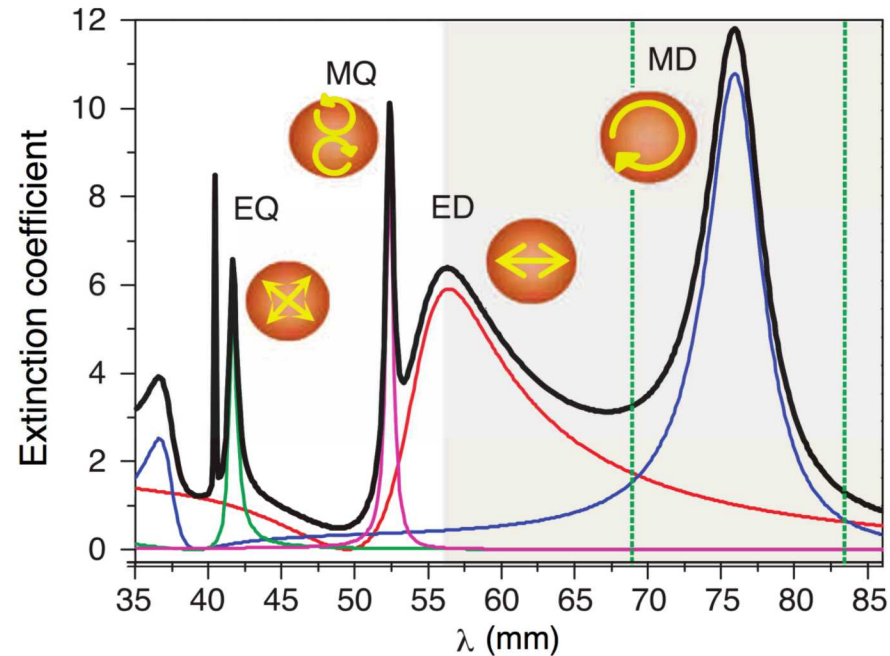
Dimensions < wavelength



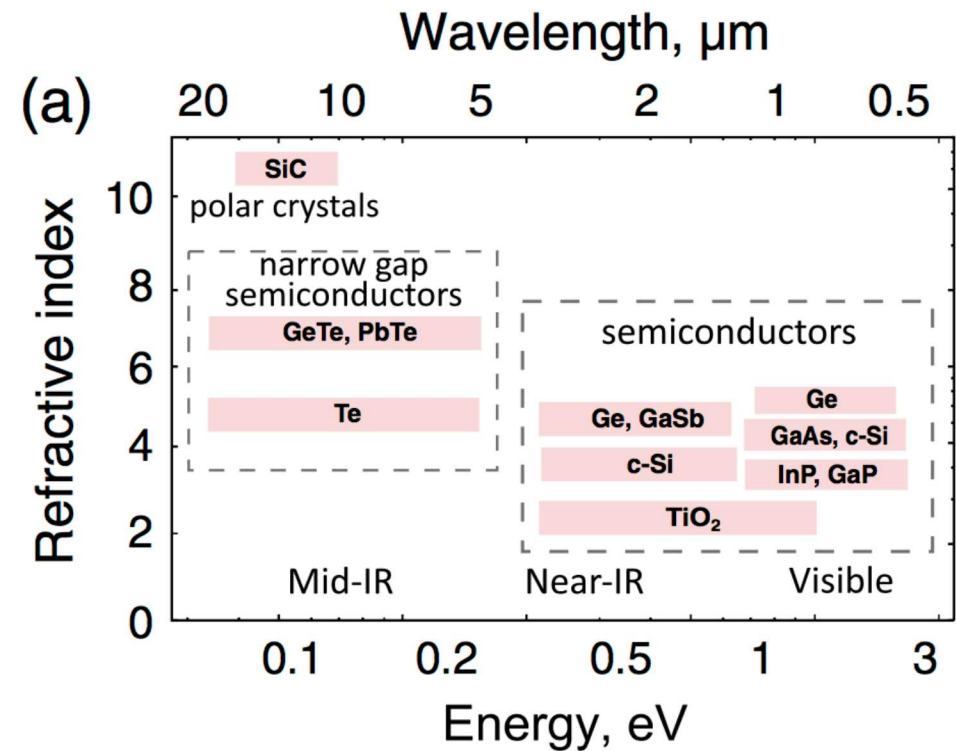
Relaxed phase matching conditions

Mie resonances in dielectric spheres

Mie resonances in Dielectric spheres



Geffrin, J.-M., et al. *Nat. Commun.* 3, 1171, **2012**



Baranov, D.G., et al. *Optica* 4.7, 814-825, **2017**

Mie resonances

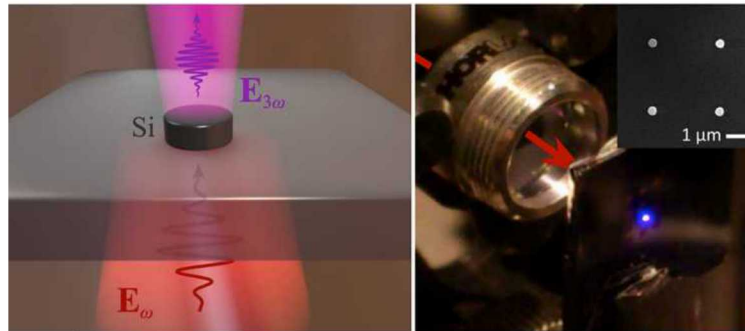
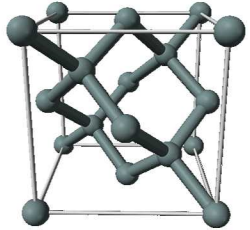
- Low losses
- Much larger mode volume
- High nonlinear coefficient materials (GaAs: $\sim 200 \text{ pm/V}$)

Nonlinear dielectric metasurfaces

Third Harmonic Generation

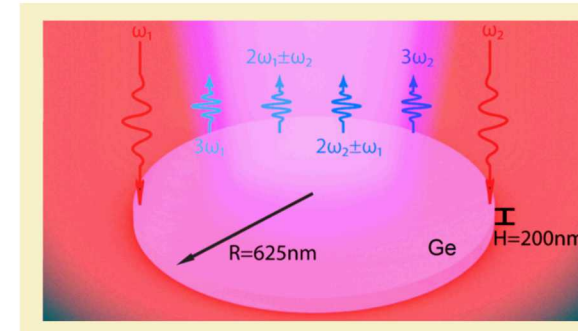
centrosymmetric

Si
Ge



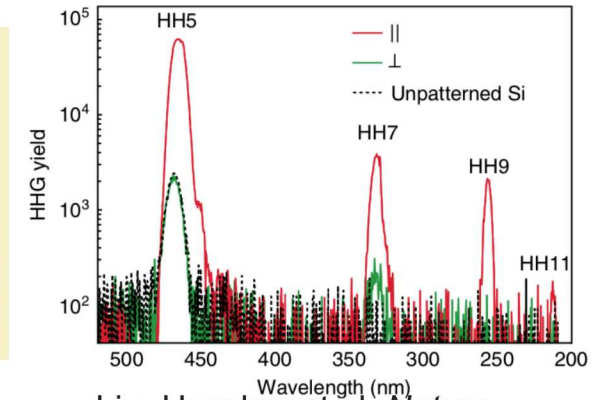
M .R. Shcherbakov, et. al. *Nano Letters* **14**, 11, 6488–6492, **2014**

Four-Wave Mixing



Grinblat, G., et al, *ACS Photonics* **4**, 2144-2149, **2017**

High-Harmonic Generation

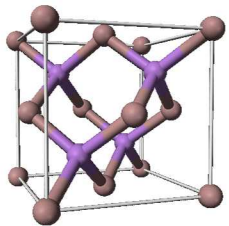


Liu, Hanzhe, et al. *Nature Physics* **14**.10,1006, **2018**

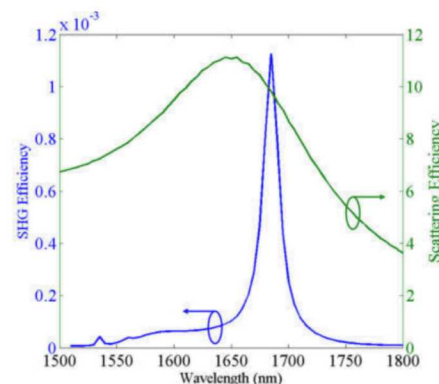
Second Harmonic Generation (10^{-8} W/W²) Nonlinear Frequency Mixing

Non-centrosymmetric

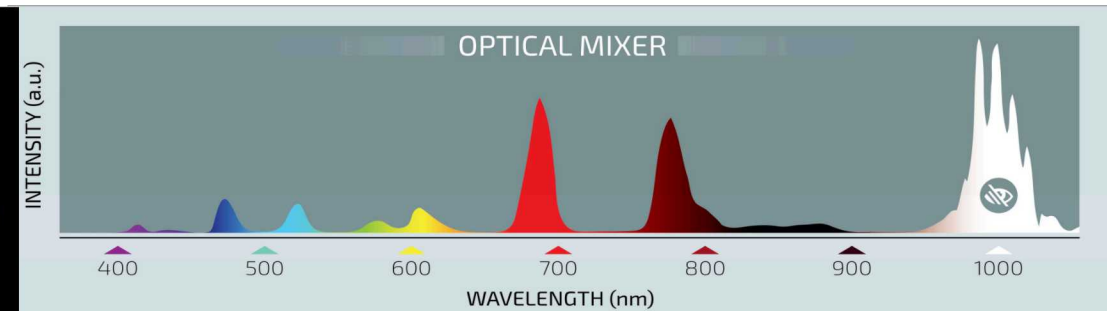
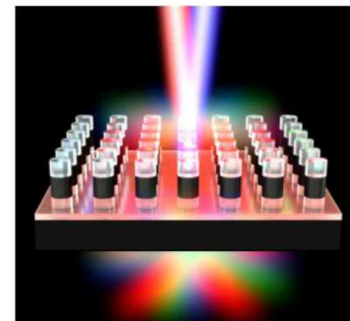
GaAs
AlGaAs



<https://www.wikipedia.org>

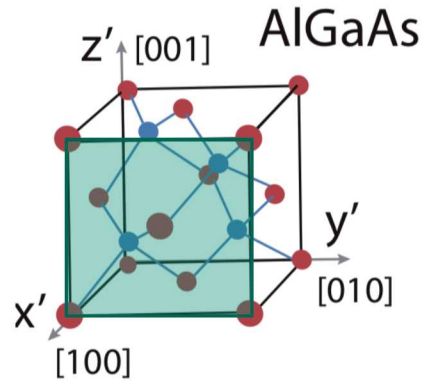


L. Carletti et al., *ACS Photonics*, **3**(8), 1500-1507, **2016**



Liu, Sheng, et al. *Nature communications* **9**.1, 2507, **2018**

GaAs (100) lattice plane

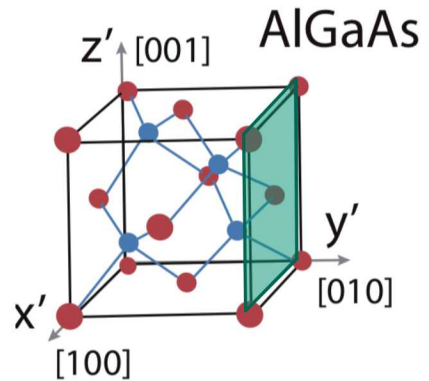


$$\begin{pmatrix} P_x(2\omega) \\ P_y(2\omega) \\ P_z(2\omega) \end{pmatrix} = 2\varepsilon_0 d_{eff} \begin{pmatrix} E_x(\omega)^2 \\ E_y(\omega)^2 \\ E_z(\omega)^2 \\ 2E_y(\omega) \cdot E_z(\omega) \\ 2E_x(\omega) \cdot E_z(\omega) \\ 2E_y(\omega) \cdot E_x(\omega) \end{pmatrix}$$

$$d_{eff} = \begin{pmatrix} 0 & 0 & 0 & d_{41} & 0 & 0 \\ 0 & 0 & 0 & 0 & d_{41} & 0 \\ 0 & 0 & 0 & 0 & 0 & d_{41} \end{pmatrix}$$

Absence of SHG in normal direction

GaAs (100) single disk and metasurface emission patterns

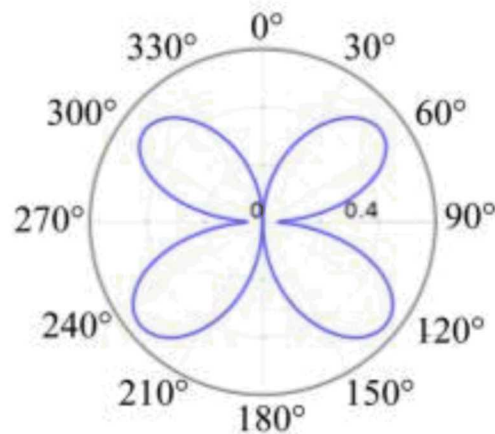
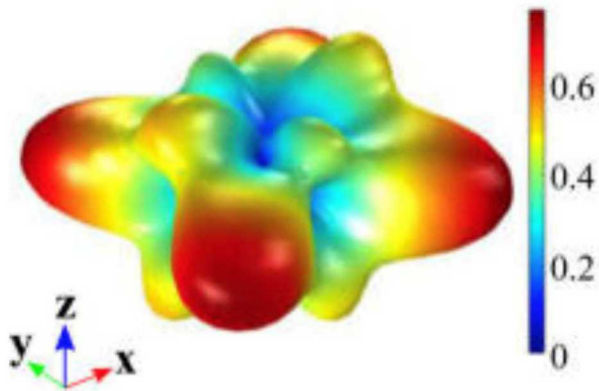


$$d_{eff} = \begin{pmatrix} 0 & 0 & 0 & d_{41} & 0 & 0 \\ 0 & 0 & 0 & 0 & d_{41} & 0 \\ 0 & 0 & 0 & 0 & 0 & d_{41} \end{pmatrix}$$



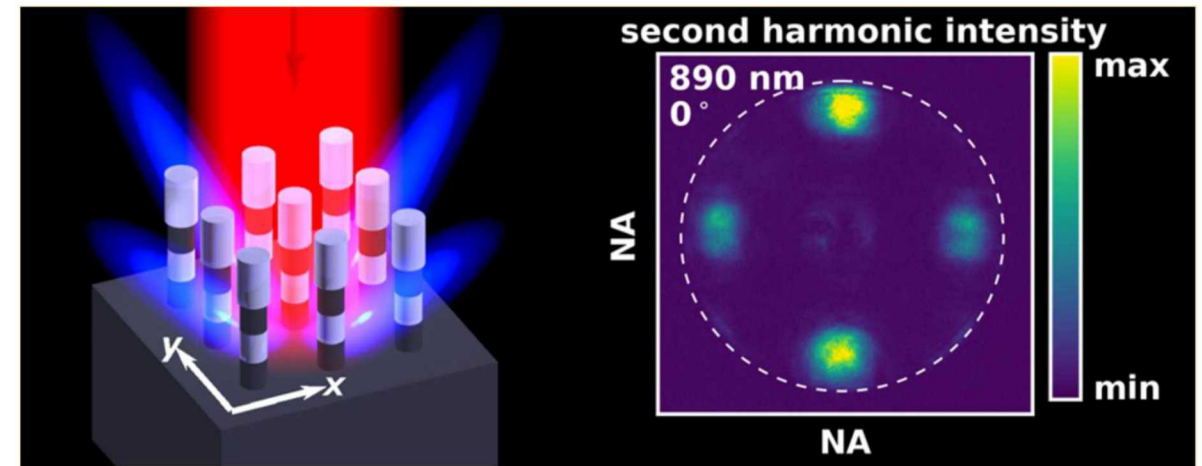
Absence of SHG in normal direction

SHG radiation pattern in AlGaAs (100) nanodisks at MD resonance



L. Carletti et al., *ACS Photonics*, 3(8), 1500-1507, 2016

SHG diffraction in the GaAs (100) metasurface

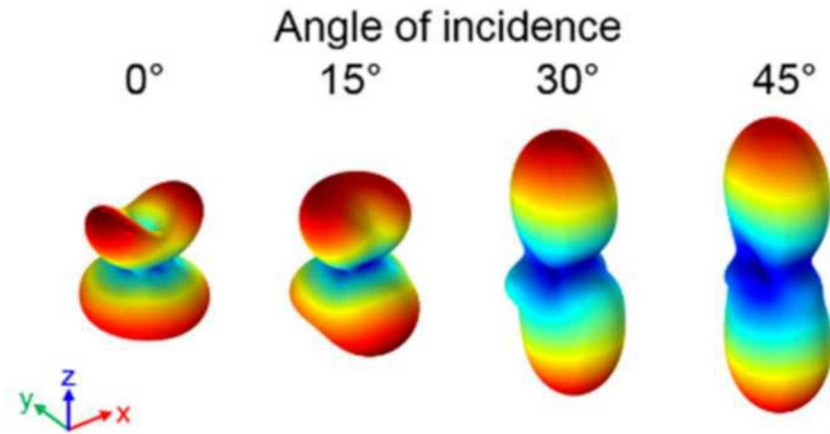


Löchner, F. J., *ACS Photonics*, 5(5), 1786-1793, 2018

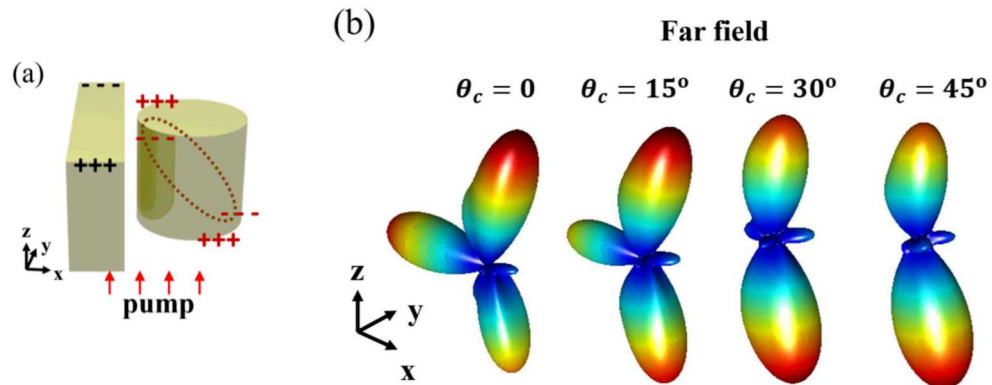
Absence of the zeroth-order

Tailoring of SHG in normal direction

Use of non-normal incidence

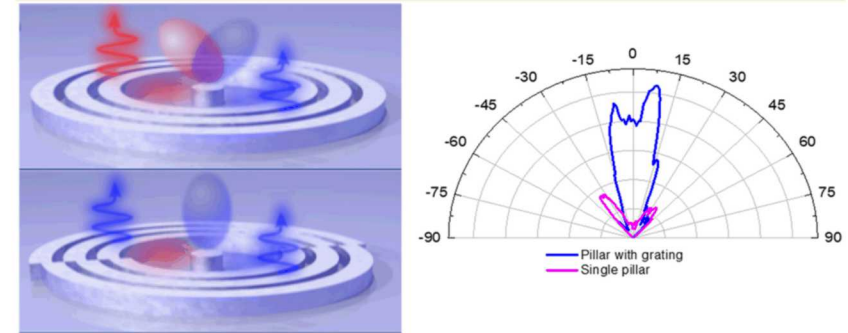


Carletti, L., *Nanotechnology*, 28(11), 114005, **2017**

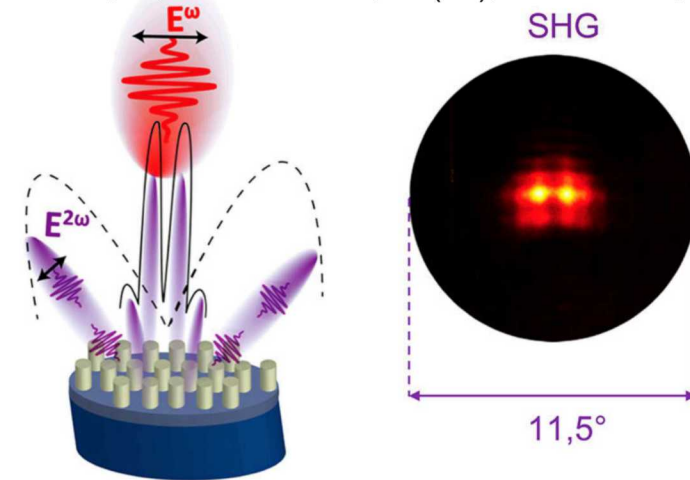


Xu, L., Rahmani, In *Photonics* (Vol. 5, No. 3, p. 29). Multidisciplinary Digital Publishing Institute, **2018**

Use of additional gratings

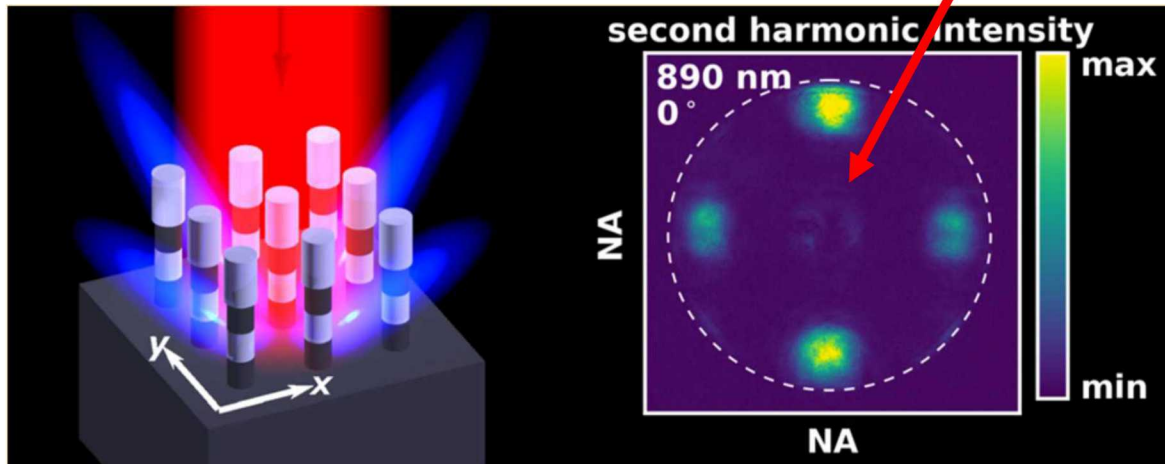


Ghirardini, L., *Nano letters*, 18(11), 6750-6755, **2018**

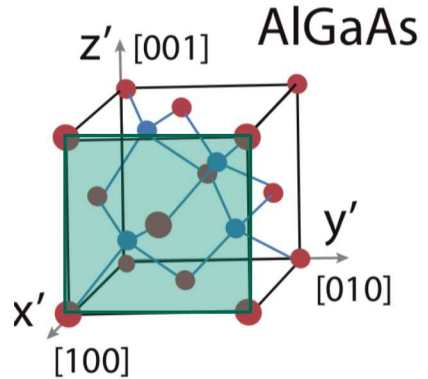


Marino, G., *ACS Photonics*, Articles ASAP **2019**

Goal: Redirection of the SHG emission
to zeroth diffraction order in GaAs
metasurfaces



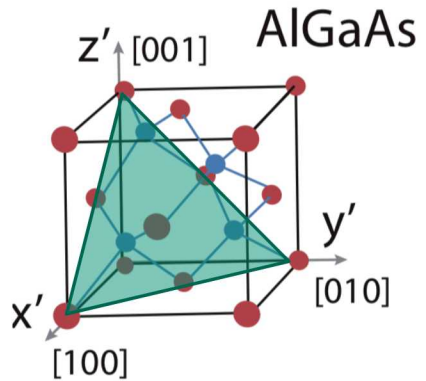
Use of (111) GaAs/AlGaAs



$$d_{eff} = \begin{pmatrix} 0 & 0 & 0 & d_{41} & 0 & 0 \\ 0 & 0 & 0 & 0 & d_{41} & 0 \\ 0 & 0 & 0 & 0 & 0 & d_{41} \end{pmatrix}$$



Absence of SHG under normal incidence



$$d_{eff} = \begin{pmatrix} -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{6}} & 0 & 0 & -\frac{1}{\sqrt{3}} & 0 \\ 0 & 0 & 0 & -\frac{1}{\sqrt{3}} & 0 & -\frac{1}{\sqrt{6}} \\ -\frac{1}{2\sqrt{3}} & -\frac{1}{2\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 & 0 & 0 \end{pmatrix} \cdot d_{41}$$



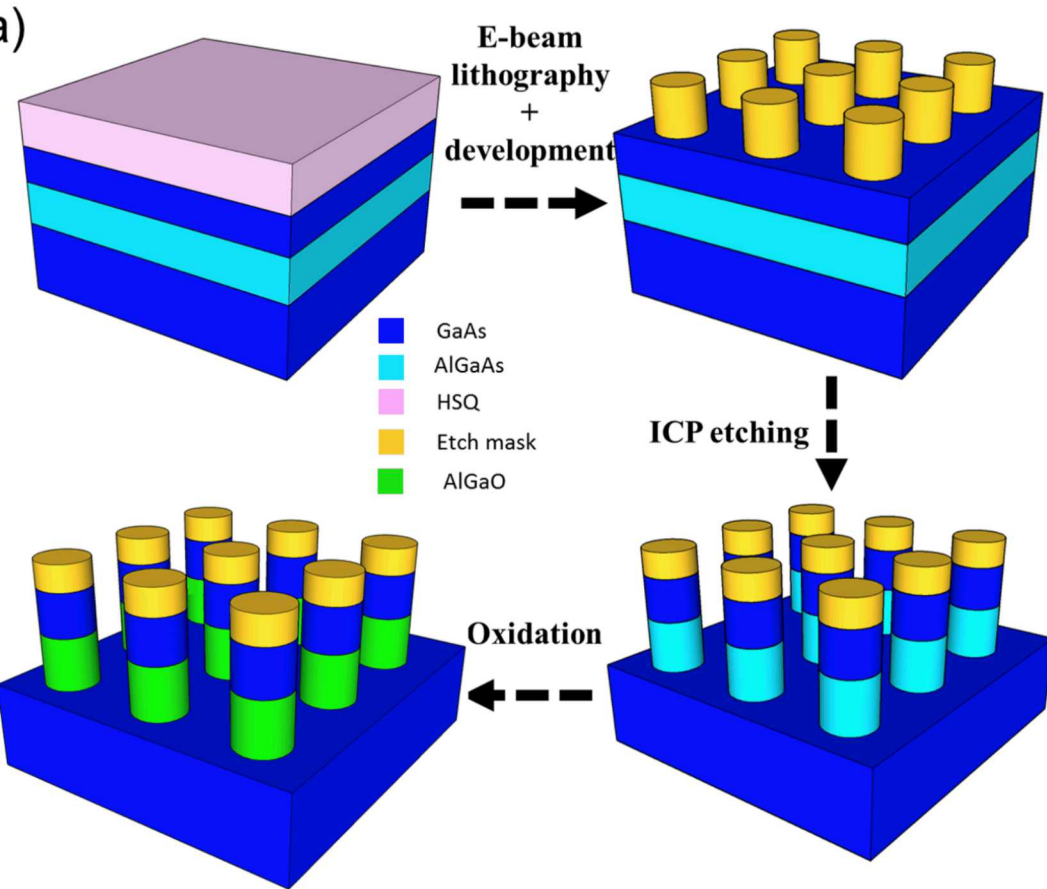
Non-zero SHG signal for
(111) Lattice plane under normal
incidence

$$P_x(2w) = 2\varepsilon_0 d_{eff} \left(-\frac{1}{\sqrt{6}}\right) E_x(w)^2 + \frac{1}{\sqrt{6}} E_y(w)^2 - \frac{1}{\sqrt{3}} E_x(w) E_z(w)$$

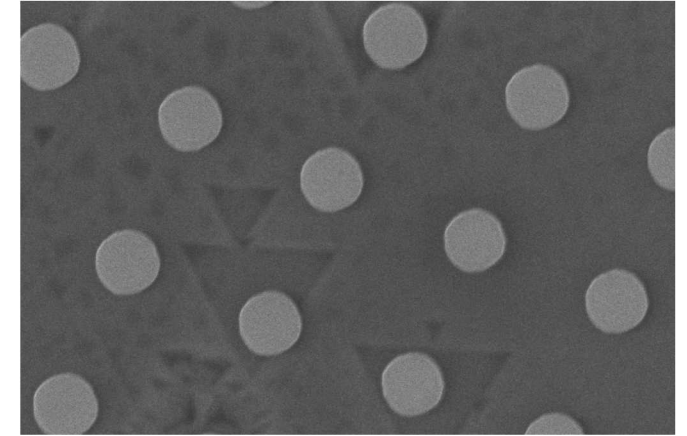
$$P_y(2w) = 2\varepsilon_0 d_{eff} \left(-\frac{2}{\sqrt{3}}\right) E_y(w) E_z(w) - \frac{1}{\sqrt{6}} E_x(w) E_z(w)$$

$$P_z(2w) = 2\varepsilon_0 d_{eff} \left(-\frac{1}{2\sqrt{3}}\right) E_x(w)^2 - \frac{1}{2\sqrt{3}} E_y(w)^2 - \frac{1}{1\sqrt{3}} E_z^2$$

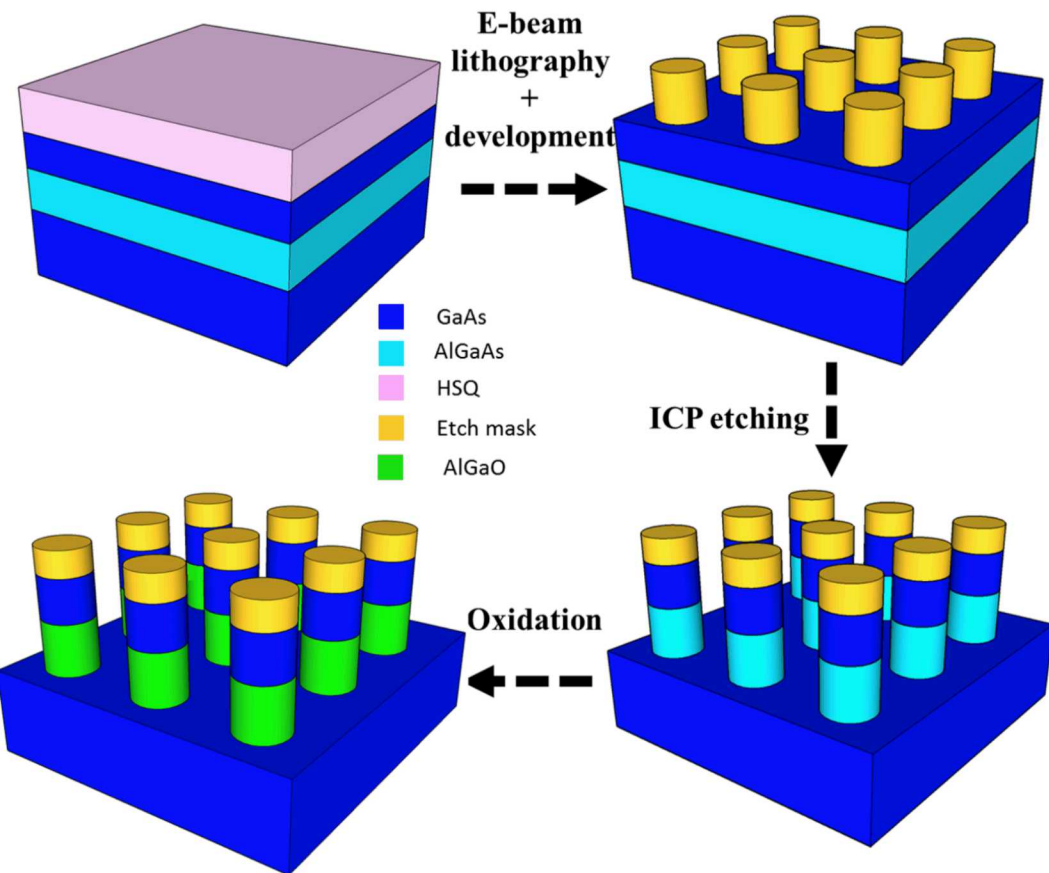
Fabrication of GaAs metasurface



SiO_x – 400 nm
 $\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}$ – 400 nm
 $(\text{Al}_x\text{Ga}_{1-x})_2\text{O}_3$ – 500 nm
 Diameter – 340 nm
 Period – 1200 nm

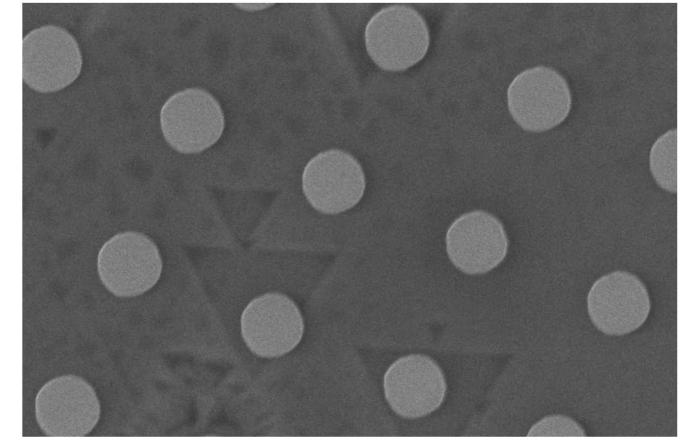


Fabrication of GaAs metasurface

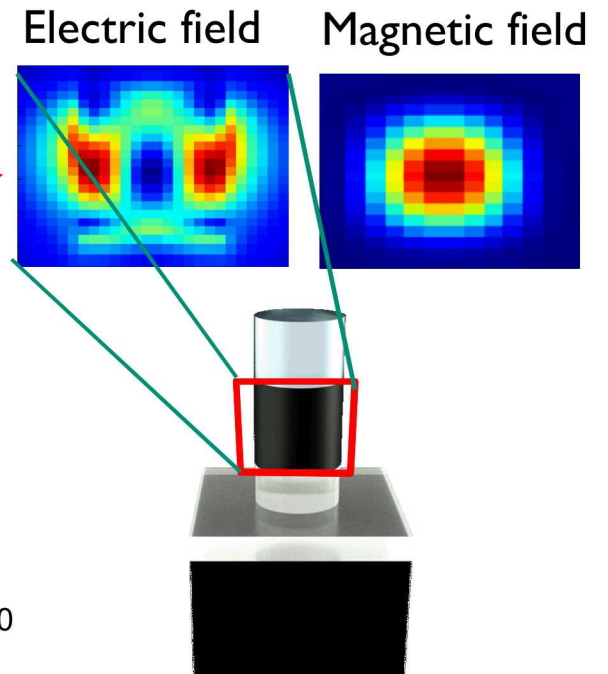
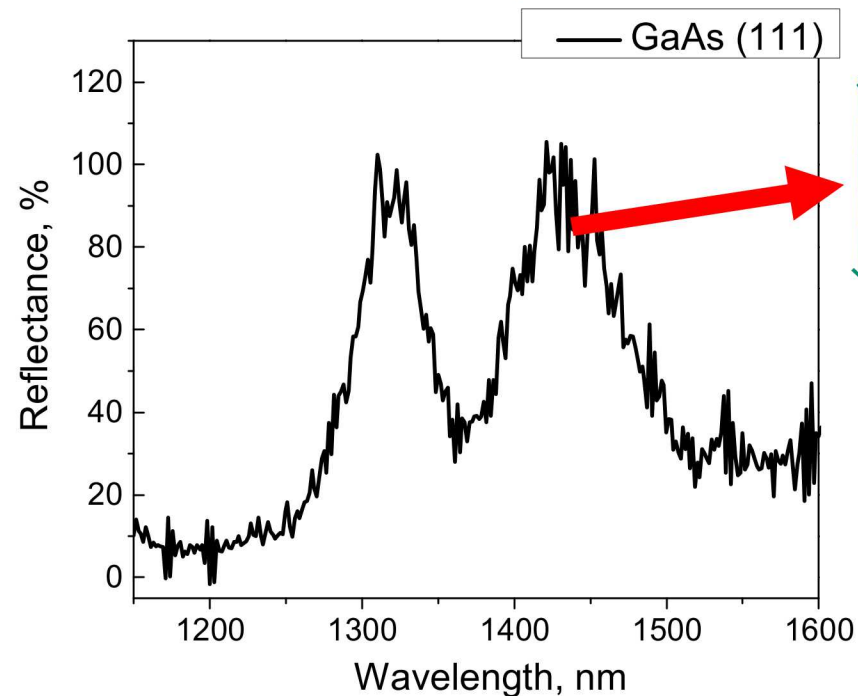


Liu, S. et. al. *Nano letters* 16.9: 5426-5432, 2016

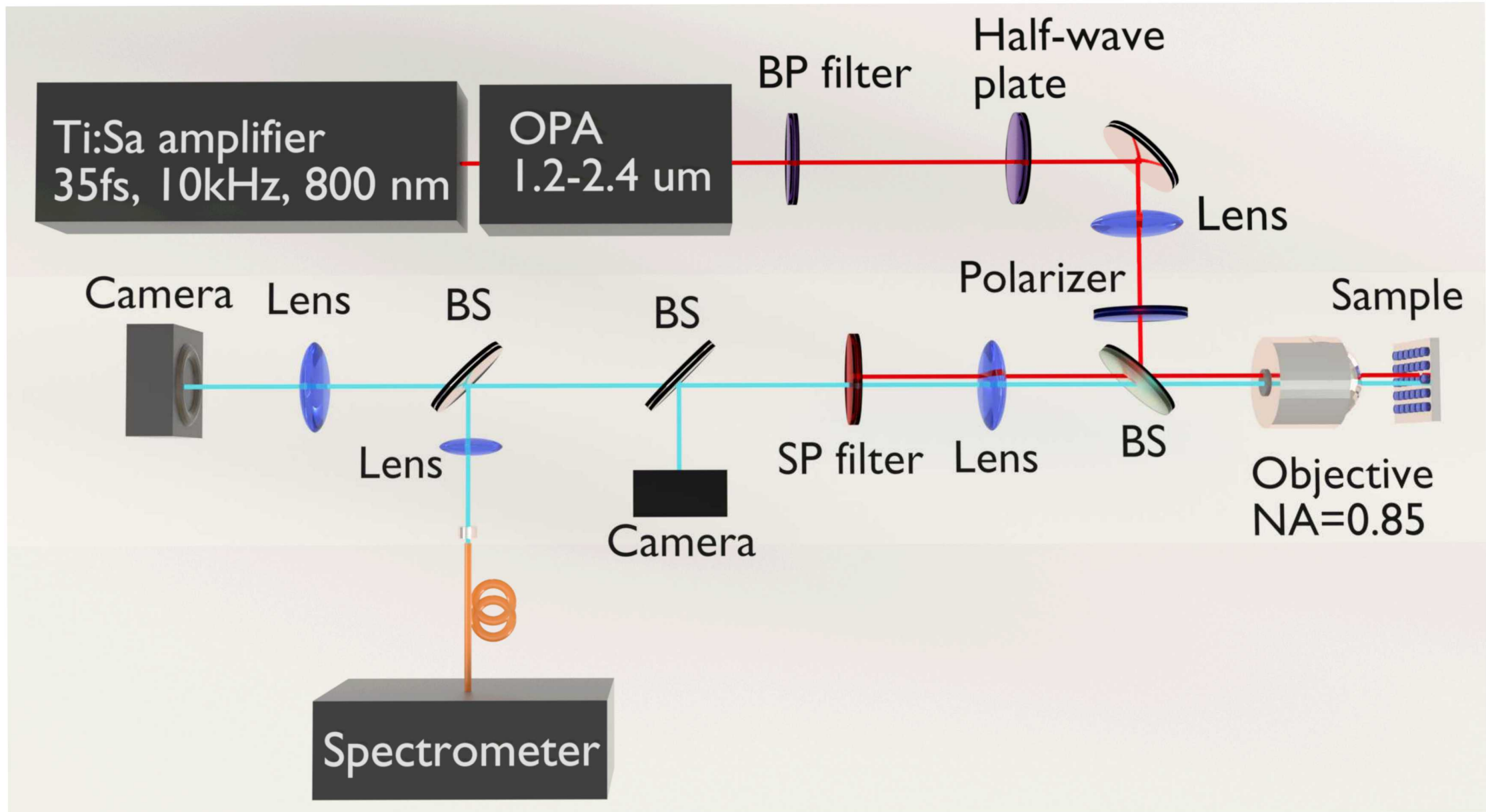
SiO_x – 400 nm
 $\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}$ – 400 nm
 $(\text{Al}_x\text{Ga}_{1-x})_2\text{O}_3$ – 500 nm
 Diameter – 340 nm
 Period – 1200 nm



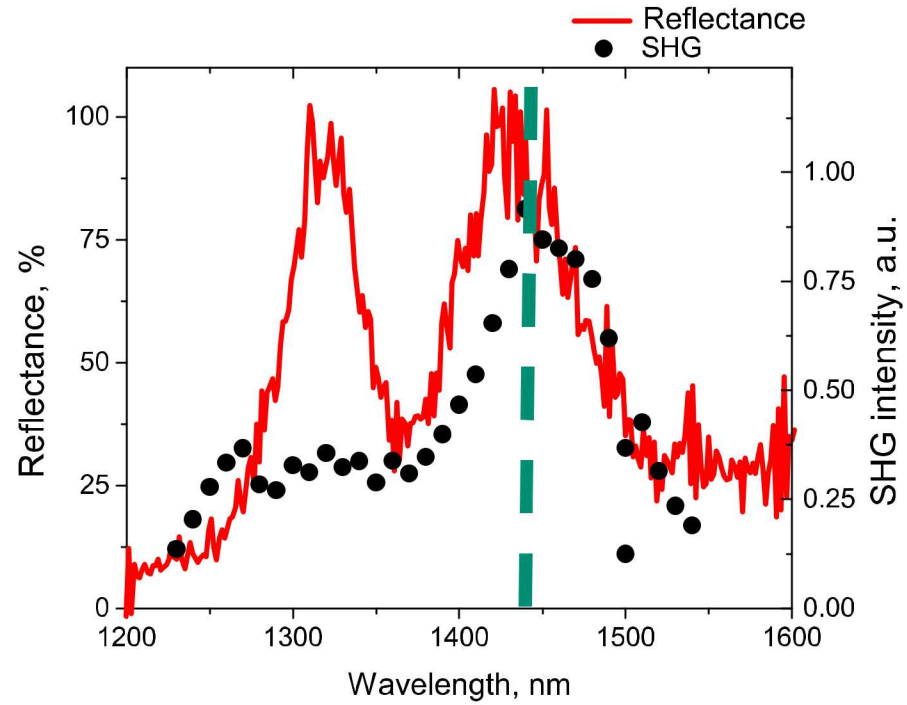
Reflectance spectrum



Experimental setup: Fourier-space imaging

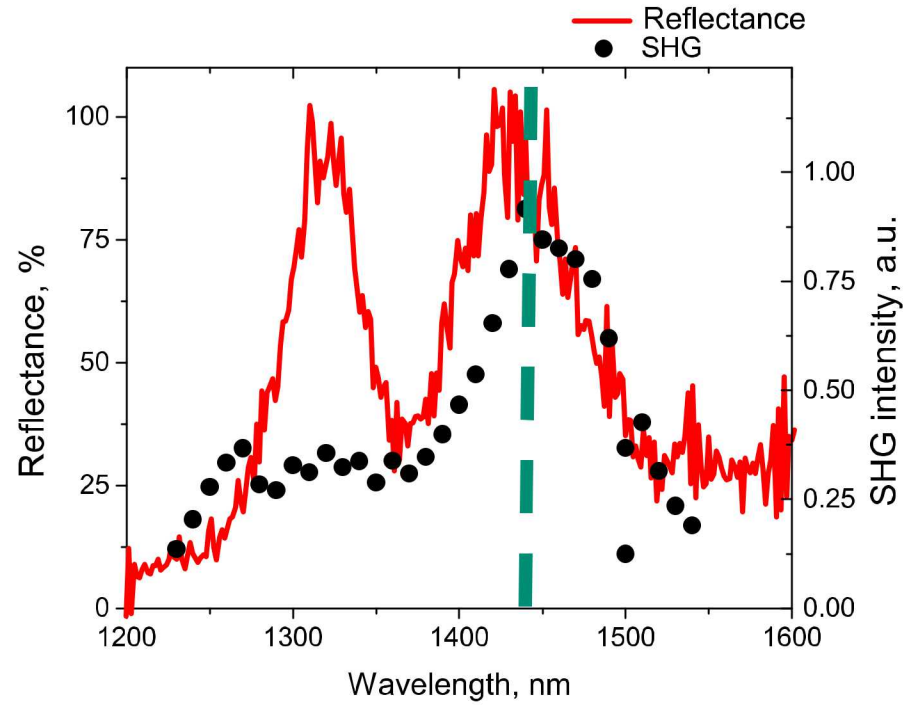


SHG in GaAs (111) metasurface

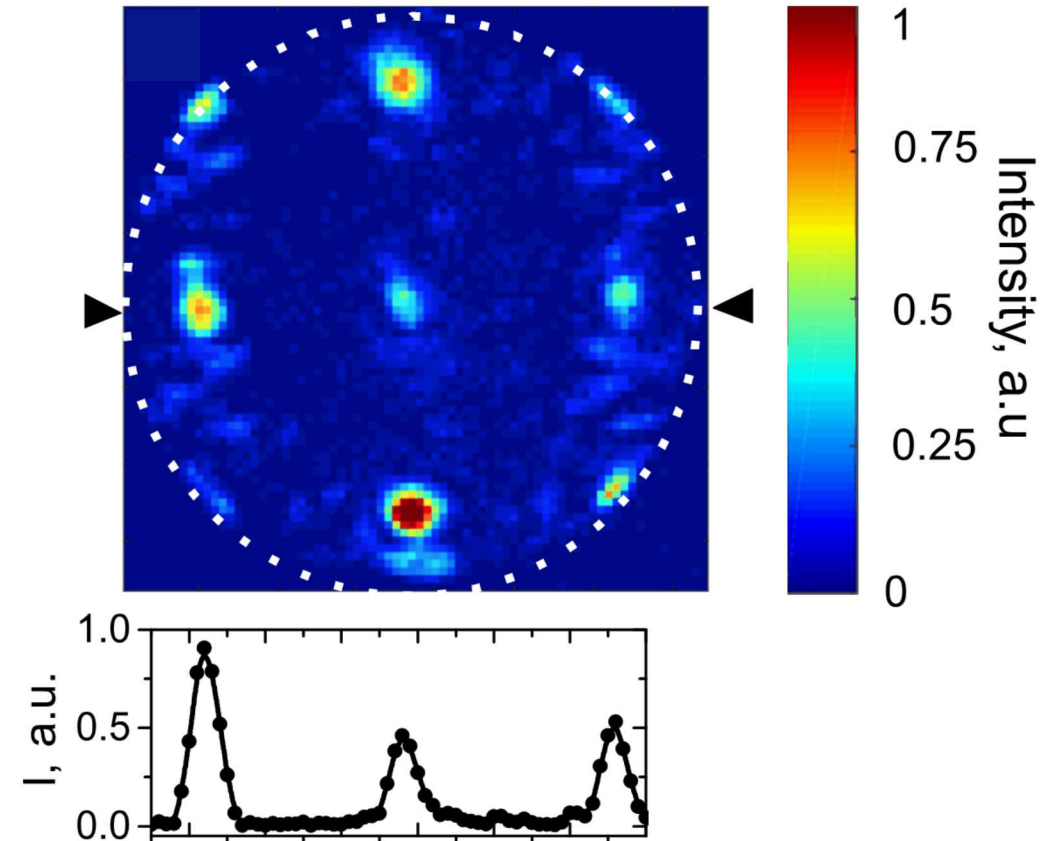


**SHG enhancement at the wavelength
of MD resonance**

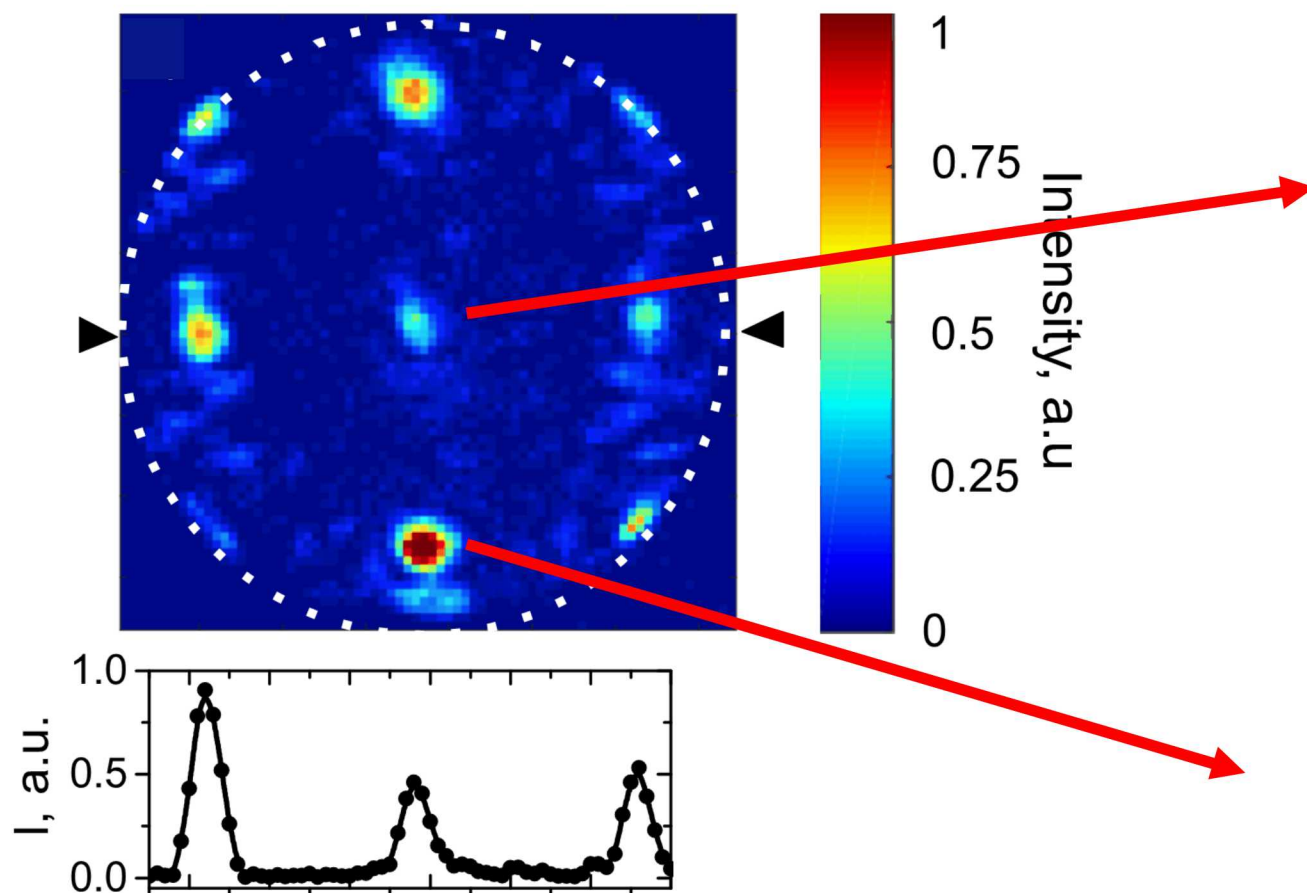
SHG in GaAs (111) metasurface: Fourier-space image



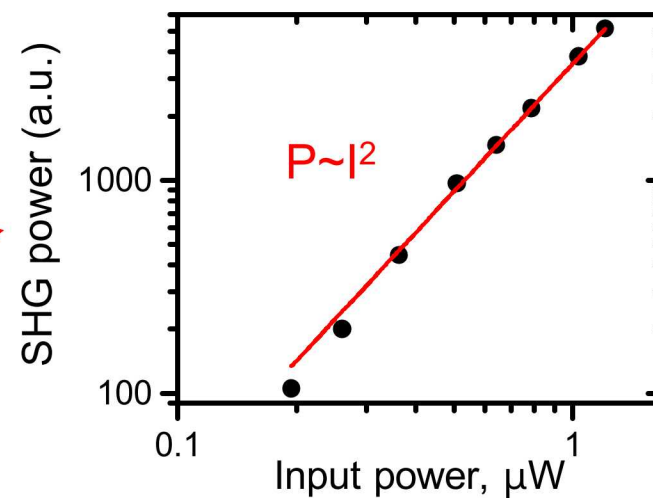
SHG enhancement at the wavelength of MD resonance



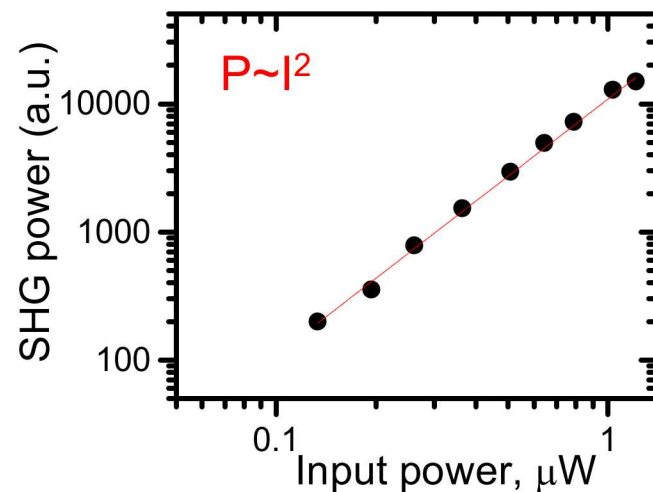
SHG in GaAs (111) metasurface: Fourier-space image



Power dependence for the zeroth-order diffraction

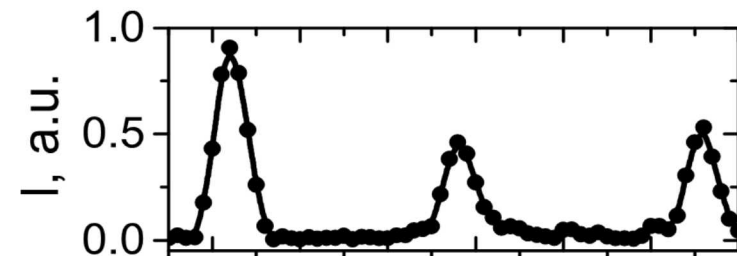
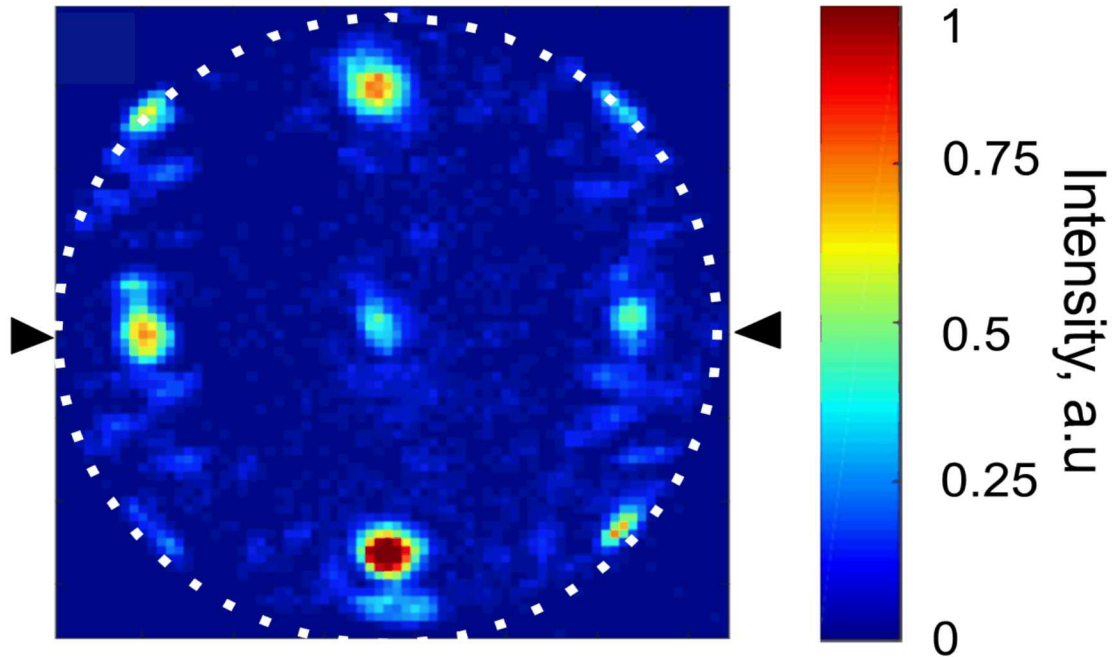


Power dependence for the bottom diffraction peak

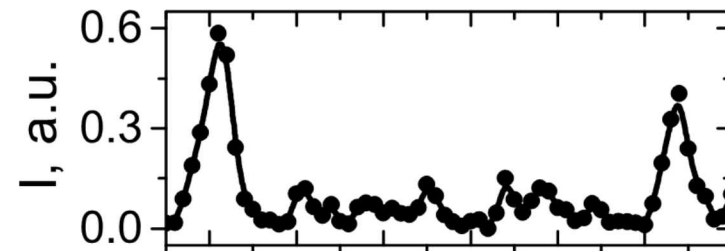
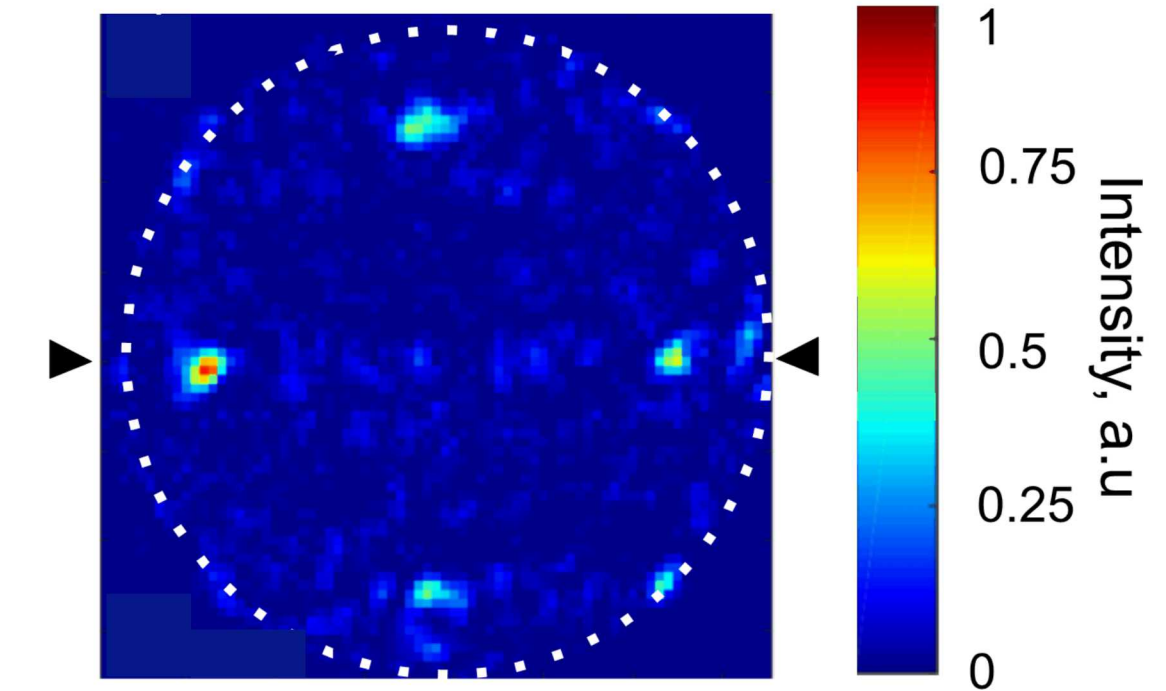


Comparison: Fourier-space images for metasurfaces with different crystallographic planes

(111)

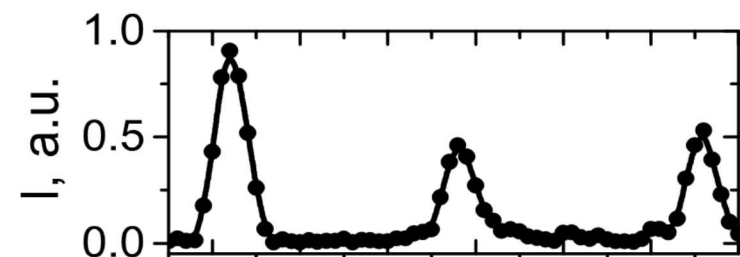
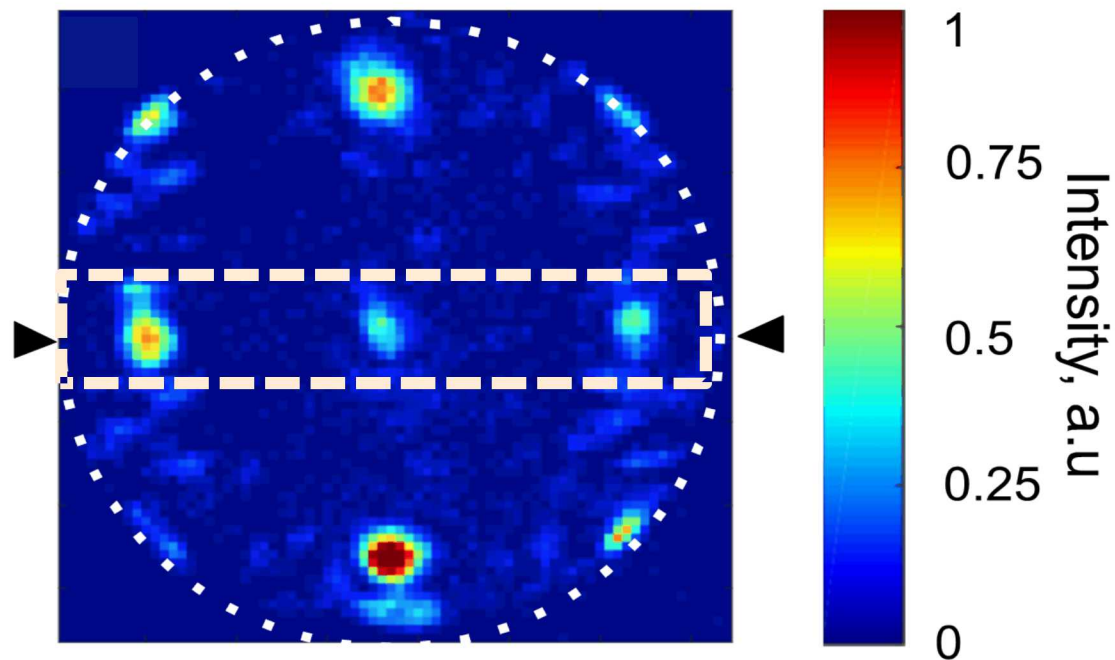


(100)

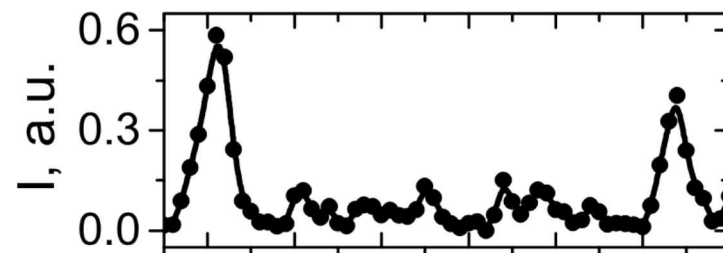
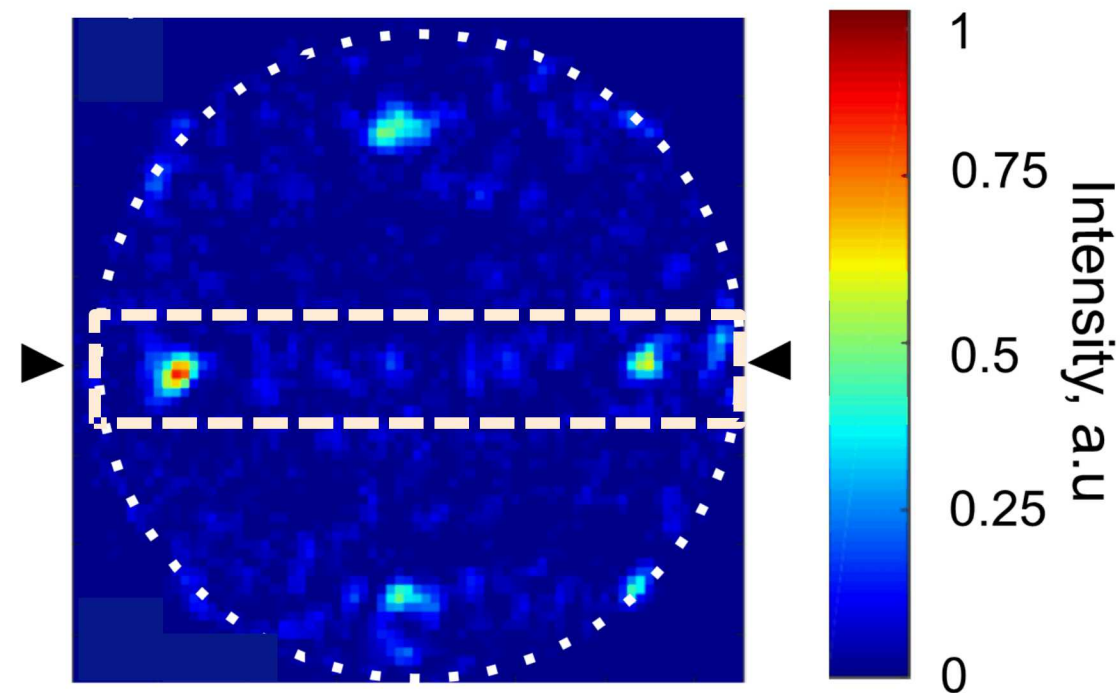


Comparison: Fourier-space images for metasurfaces with different crystallographic planes

(111)

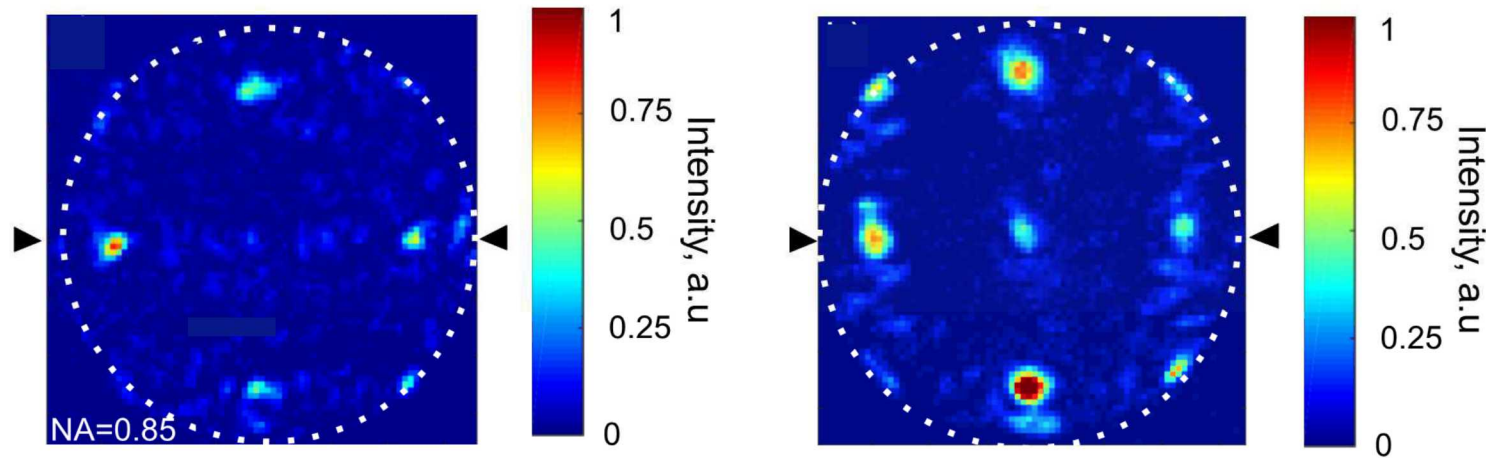


(100)

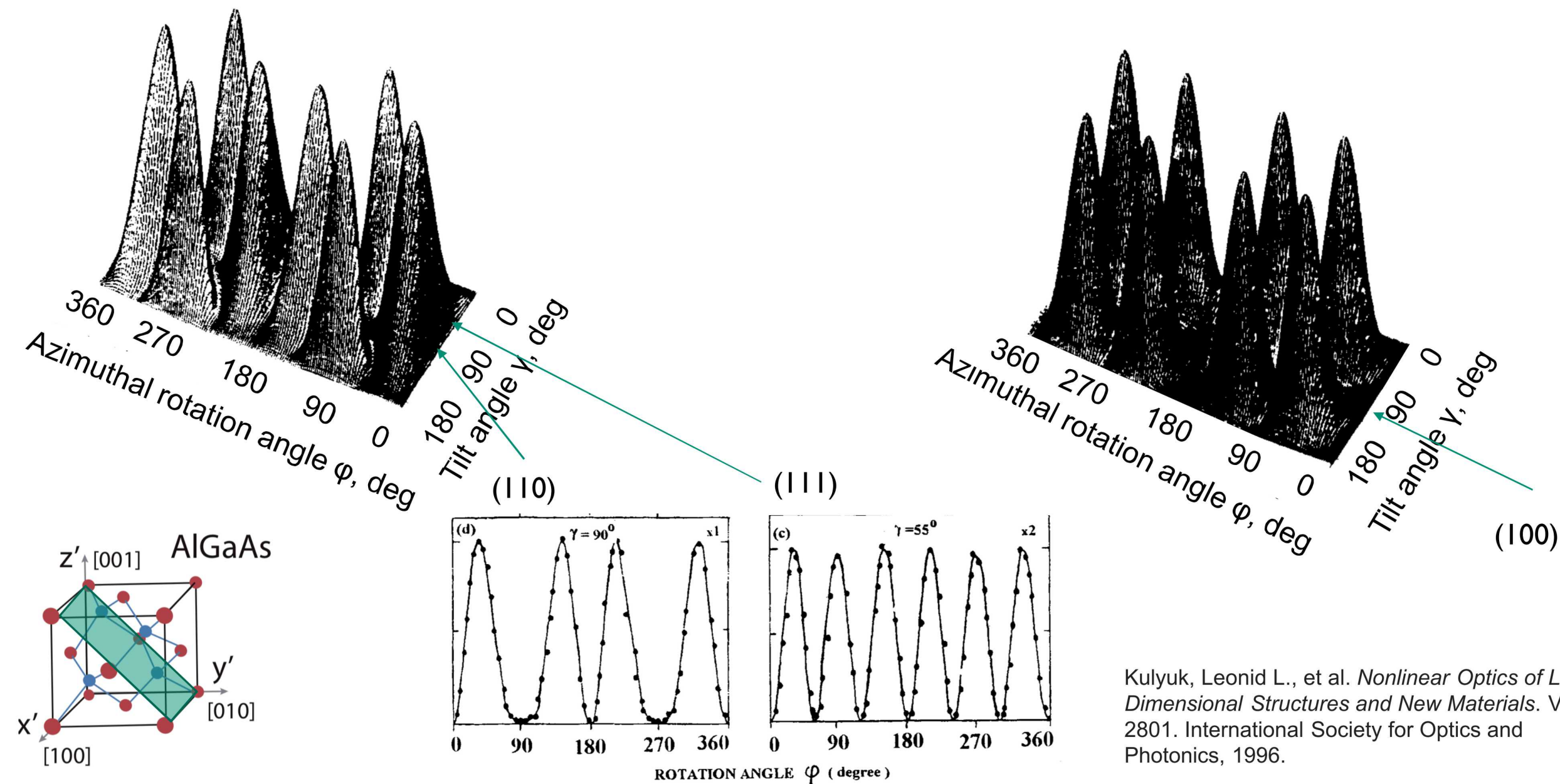


Conclusions

- We utilize III-V semiconductor metasurface fabricated from GaAs grown on (111) lattice plane to control the spatial distribution of the SHG intensity.
- By changing the orientation of the GaAs crystal from (100) to (111) plane we were able to redistribute the SHG generation to the zeroth diffraction order.

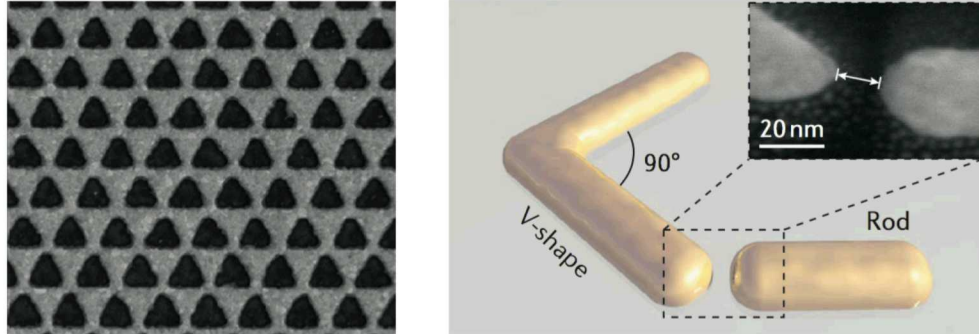


SHG for different orientations of the crystal, (111), (110), (100)

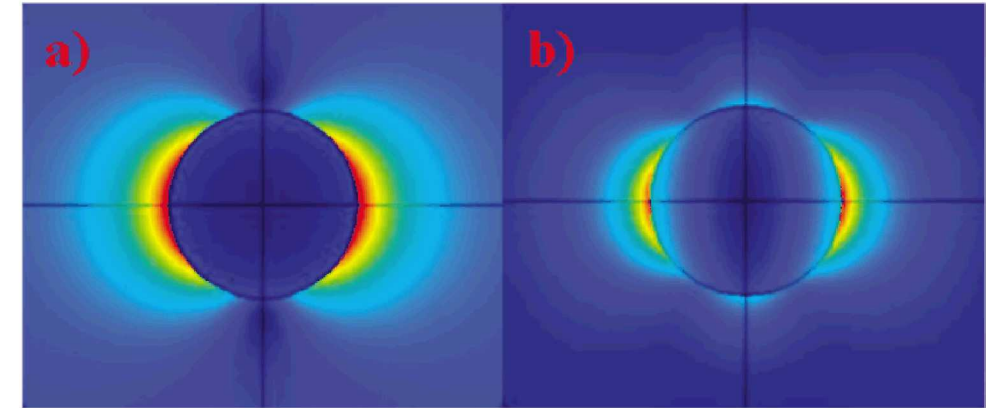


Nonlinear plasmonics

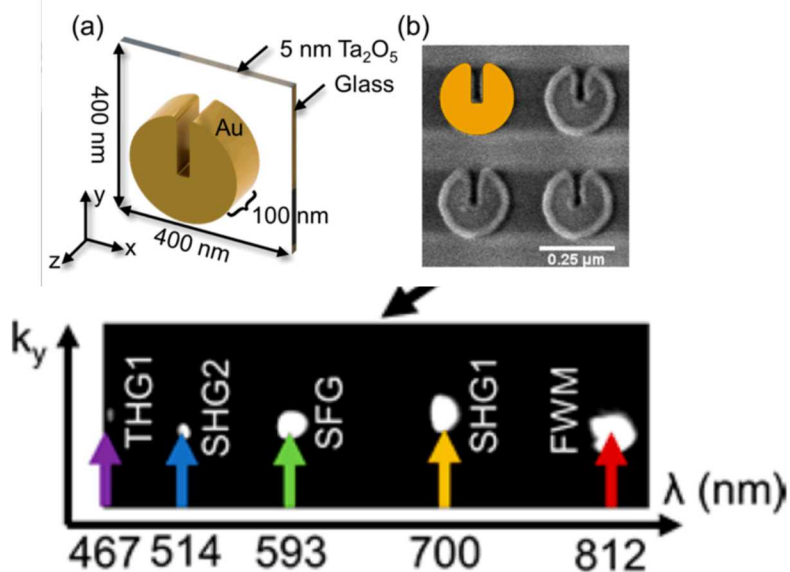
a Metasurfaces for SHG



Li, G., Zhang, S. and Zentgraf, T., "Nonlinear photonic metasurfaces" *Nature Reviews Materials*, (2017), 2(5), p.17010



Butet, Jérémy, et al. *Nano letters* 10.5 (2010): 1717-1721.



Sartorello, et al. *ACS Photonics* 3.8 (2016): 1517-1522.

Plasmonic metasurfaces:

- Ohmic losses
- Plasmonic structure: small modal volume (surface nonlinearities)

$$\text{SHG efficiency} \sim 5.1 \times 10^{-10} \text{ W/W}^2$$

Reflectance spectrum

