

Table 1: Significance Criteria

Category of Issue	Low Significance	Moderate Significance	High Significance
<b>Environmental Safety &amp; Health</b>	<ul style="list-style-type: none"> <li>Non-Occurrence trackable events (NOTES) or occurrence report level “I”</li> <li>Conditions identified where cause and needed action are known and potential impact to workers or environment is acceptable with action, or</li> <li>Near miss of impact to a worker or environment contained within a single facility</li> </ul>	<ul style="list-style-type: none"> <li>Occurrence report level “L”, or</li> <li>Conditions identified that impact the safety of personnel or the environment and the causes are not readily apparent and needed actions require further analysis to determine</li> <li>Near miss to co-located (multiple) workers or impact to the environment in multiple facilities contained onsite</li> </ul>	<ul style="list-style-type: none"> <li>Occurrence report level “H”, or</li> <li>Formal DOE initiated investigations, or</li> <li>Near miss with impacts to the general public or environment extending offsite</li> </ul>
<b>Security</b>	<ul style="list-style-type: none"> <li>Security Incident Management Program (SIMP) designated “Low Risk” event</li> <li>Other security conditions identified where cause and needed action are known and security impacts are acceptable with action</li> </ul>	<ul style="list-style-type: none"> <li>Security Incident Management Program (SIMP) designated “Medium Risk” event</li> <li>Other security conditions that if left unresolved could result in a security event/breach (potential for recurrence)</li> </ul>	<ul style="list-style-type: none"> <li>Security Incident Management Program (SIMP) designated “High Risk” event</li> <li>Other security conditions that if left unresolved could result in a serious security event/breach (high probability of recurrence)</li> </ul>
<b>Product quality</b> Products include tangible output items provided to a customer (internal or external) as well as inputs externally provided from a supplier	<ul style="list-style-type: none"> <li>Product does not conform to requirements but does not affect form, fit or function</li> <li>Product in field requires acceptance by customer</li> </ul>	<ul style="list-style-type: none"> <li>Product does not conform to requirements in a way that effects form fit or function and internal customer negotiation is needed,</li> <li>Product in field requires rework or product exchange for product on hand for customer to accept (minor effect on form fit or function)</li> </ul>	<ul style="list-style-type: none"> <li>Product does not conform to requirements in a way that effects form fit or function and outside customer negotiation on acceptability of reworked product is necessary or</li> <li>Product in field is not acceptable and requires new product delivery or additional design work that is not planned and unfunded</li> </ul>
<b>Service Quality</b> Services include intangible outputs provided to a customer (internal or external) as well as inputs externally provided from a supplier	<ul style="list-style-type: none"> <li>Service response is delayed</li> <li>Service provided was incomplete, required additional service call</li> </ul>	<ul style="list-style-type: none"> <li>Service delay impacts operations</li> <li>Service was incomplete and required multiple requests from customer to complete</li> </ul>	<ul style="list-style-type: none"> <li>Service cannot be delivered</li> <li>Service provided was not service requested, required multiple service calls and additional funding</li> </ul>
<b>Process Quality</b>	<ul style="list-style-type: none"> <li>Process inefficiencies or editorial documentation issues</li> <li>Process or lack of written process results in output defect</li> </ul>	<ul style="list-style-type: none"> <li>Process workflow inadequacies that create rework or user confusion</li> <li>Process or lack of written process produces intermittent output defects</li> </ul>	<ul style="list-style-type: none"> <li>Process workflow inadequacies that result in irrecoverable defects</li> <li>Process cannot be used without producing defects</li> <li>No written process exists and is required by contractual or customer requirements</li> </ul>
<b>Less than adequate performance</b>	<ul style="list-style-type: none"> <li>Performance does not meet expectations but does not impact internal or external customer/stakeholder</li> <li>Deliverable is delayed without increased costs, or impact to overall schedule,</li> </ul>	<ul style="list-style-type: none"> <li>Performance does not meet expectations and impacts internal customer/stakeholder</li> <li>Performance adversely effects internal customer relationship.</li> <li>Deliverable(s) are delayed and require acceptance by internal customer or stakeholder due to impact to schedule.</li> <li>Additional funding must be identified within Sandia to meet deliverable, or</li> </ul>	<ul style="list-style-type: none"> <li>Performance is unacceptable to external customer</li> <li>Performance may adversely affect Sandia’s relationship with external customer.</li> <li>Deliverable(s) delay requires adjustment to milestones or reduction in scope of deliverable(s),</li> <li>Additional funding must be requested from customer to meet deliverable, or</li> </ul>