

Table 1: Significance Criteria

Category of Issue	Low Significance	Moderate Significance	High Significance
Environmental Safety & Health	<ul style="list-style-type: none">• Non-Occurrence trackable events (NOTES) or occurrence report level “I”• Conditions identified where cause and needed action are known and potential impact to workers or environment is acceptable with action, or• Near miss of impact to a worker or environment contained within a single facility	<ul style="list-style-type: none">• Occurrence report level “L”, or• Conditions identified that impact the safety of personnel or the environment and the causes are not readily apparent and needed actions require further analysis to determine• Near miss to co-located (multiple) workers or impact to the environment in multiple facilities contained onsite	<ul style="list-style-type: none">• Occurrence report level “H”, or• Formal DOE initiated investigations, or• Near miss with impacts to the general public or environment extending offsite
Security	<ul style="list-style-type: none">• Security Incident Management Program (SIMP) designated “Low Risk” event• Other security conditions identified where cause and needed action are known and security impacts are acceptable with action	<ul style="list-style-type: none">• Security Incident Management Program (SIMP) designated “Medium Risk” event• Other security conditions that if left unresolved could result in a security event/breach (potential for recurrence)	<ul style="list-style-type: none">• Security Incident Management Program (SIMP) designated “High Risk” event• Other security conditions that if left unresolved could result in a serious security event/breach (high probability of recurrence)
Product quality Products include tangible output items provided to a customer (internal or external) as well as inputs externally provided from a supplier	<ul style="list-style-type: none">• Product does not conform to requirements but does not affect form, fit or function• Product in field requires acceptance by customer	<ul style="list-style-type: none">• Product does not conform to requirements in a way that effects form fit or function and internal customer negotiation is needed,• Product in field requires rework or product exchange for product on hand for customer to accept (minor effect on form fit or function)	<ul style="list-style-type: none">• Product does not conform to requirements in a way that effects form fit or function and outside customer negotiation on acceptability of reworked product is necessary or• Product in field is not acceptable and requires new product delivery or additional design work that is not planned and unfunded
Service Quality Services include intangible outputs provided to a customer (internal or external) as well as inputs externally provided from a supplier	<ul style="list-style-type: none">• Service response is delayed• Service provided was incomplete, required additional service call	<ul style="list-style-type: none">• Service delay impacts operations• Service was incomplete and required multiple requests from customer to complete	<ul style="list-style-type: none">• Service cannot be delivered• Service provided was not service requested, required multiple service calls and additional funding
Process Quality	<ul style="list-style-type: none">• Process inefficiencies or editorial documentation issues• Process or lack of written process results in output defect	<ul style="list-style-type: none">• Process workflow inadequacies that create rework or user confusion• Process or lack of written process produces intermittent output defects	<ul style="list-style-type: none">• Process workflow inadequacies that result in irrecoverable defects• Process cannot be used without producing defects• No written process exists and is required by contractual or customer requirements
Less than adequate performance	<ul style="list-style-type: none">• Performance does not meet expectations but does not impact internal or external customer/stakeholder• Deliverable is delayed without increased costs, or impact to overall schedule,	<ul style="list-style-type: none">• Performance does not meet expectations and impacts internal customer/stakeholder• Performance adversely effects internal customer relationship.• Deliverable(s) are delayed and require acceptance by internal customer or stakeholder due to impact to schedule.• Additional funding must be identified within Sandia to meet deliverable, or	<ul style="list-style-type: none">• Performance is unacceptable to external customer• Performance may adversely affect Sandia’s relationship with external customer.• Deliverable(s) delay requires adjustment to milestones or reduction in scope of deliverable(s),• Additional funding must be requested from customer to meet deliverable, or