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## Preparation and Structural Characterization of $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$ Inverse Model Catalysts

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### Abstract

$\text{CO}_2$  hydrogenation to methanol is regarded as a promising reaction to catalytically convert a major greenhouse gas ( $\text{CO}_2$ ) into a value-added product (methanol). In the current study, scanning tunneling microscopy (STM) and X-ray photoelectron spectroscopy (XPS) were applied to investigate the growth mode of low coverages ( $<0.2$  ML) of  $\text{ZrO}_2$  in an inverse  $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$  system, which has the potential to achieve a high selectivity for a direct  $\text{CO}_2$  to methanol transformation. It was found that the morphology of  $\text{ZrO}_2$  was strongly affected by the preparation method. The  $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$  model catalyst prepared by the oxidation at 600 K of Zr pre-deposited on  $\text{Cu}(111)$  exhibited substantial mixing of  $\text{ZrO}_2$  and  $\text{CuO}_x$ . In contrast, the direct deposition of Zr under an  $\text{O}_2$  ambient over  $\text{CuO}_x/\text{Cu}(111)$  at 600 K produced small  $\text{ZrO}_2$  islands (10-12 nm in size) with a two-dimensional structure (i.e. only one layer of  $\text{ZrO}_2$ ). XPS studies indicate that both preparation methods lead to  $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$  surfaces. The model catalyst prepared by the direct deposition of Zr in  $\text{O}_2$  was annealed up to 700 K in ultra-high vacuum. Both STM and XPS results suggest no apparent change in  $\text{ZrO}_2$ , while  $\text{CuO}_x$  was reduced at such annealing conditions. The island size of 10-12 nm observed for  $\text{ZrO}_2$  on  $\text{Cu}(111)$  is much smaller than island sizes seen for  $\text{CeO}_2$  (30-50 nm) and  $\text{ZnO}$  (300-500 nm) on the same substrate, opening the possibility for unique chemical properties.

## 1. Introduction

The concentration of anthropogenic CO<sub>2</sub> is projected to increase dramatically by the end of the century, in part, due to the combustion of fossil fuels, which may create a severe impact on the global climate.<sup>1,2</sup> CO<sub>2</sub> is regarded as an alternative feedstock by recycling it into value-added chemicals such as methanol, higher hydrocarbons, and oxygenates, thus creating a carbon-neutral fuel cycle.<sup>3,4</sup> CO<sub>2</sub> hydrogenation to methanol is a promising approach because methanol itself is an excellent liquid fuel and can be blended with gasoline. Methanol can also be directly converted into valuable chemicals, such as ethylene following the MTO (methanol-to-olefins) process as a raw material.<sup>5,6</sup>

Cu-based catalysts show promising activity and selectivity for methanol synthesis reactions.<sup>7-9</sup> Several studies have illustrated the importance of the Cu-ZnO interface in industrial Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalysts. High activity is attributed to a synergy between the Zn oxide support and the Cu.<sup>10-14</sup> The results of high-resolution transmission electron microscopy (HR-TEM) have shown that the active phase of Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalysts exhibits an inverse ZnO/copper configuration with the noble metal partially covered by aggregates of zinc oxide.<sup>12</sup> Indeed, a very large increase in catalytic activity of Cu (111) was seen after the deposition of ZnO islands on this substrate.<sup>13,14</sup> In general, the deposition of oxide nanoparticles (ZnO, CeO<sub>2</sub>, TiO<sub>2</sub>) on Cu(111) produces very good catalysts for C1 chemistry (CO oxidation, forward and reverse water-gas shift reaction, methanol synthesis)<sup>8,13,14</sup> These results have led to a large interest on the performance of inverse oxide/metal catalysts.<sup>8</sup> ~~during CO<sub>2</sub> hydrogenation.~~ ZrO<sub>2</sub> has also been considered as an excellent component of catalysts for methanol synthesis due to its high stability under reducing or oxidizing conditions.<sup>15-19,20-24</sup> It appears that the ZrO<sub>2</sub>-Cu interface can achieve

a higher selectivity for a direct  $\text{CO}_2 \rightarrow \text{CH}_3\text{OH}$  transformation than the traditional  $\text{ZnO-Cu}$  interface.<sup>21,24</sup> Due to the enormous complexity of the catalytic process for methanol synthesis, the understanding of the roles of  $\text{ZrO}_2$  remains unsolved.

Several studies point out that the synthesis of methanol from  $\text{CO}_2$  over  $\text{Cu/ZrO}_2$  catalysts is dependent on the  $\text{ZrO}_2$  morphology.<sup>15,20–22</sup> Whichever  $\text{ZrO}_2$  phase is the critical component for this reaction is still in debate. Some researchers suggest that the presence of tetragonal (t-) $\text{ZrO}_2$  promotes the methanol formation from  $\text{CO}_2$ ,<sup>15,22</sup> while others claim that monoclinic (m-) $\text{ZrO}_2$  is more active in the methanol formation.<sup>21</sup> In general, the differences in the  $\text{ZrO}_2$  structure can be attributed to the concentration of hydroxyl (OH) groups and therefore, different acidity of these structures.<sup>15</sup> However, amorphous (a-) $\text{ZrO}_2$  is also proposed to be a good option as support.<sup>18,23</sup> It has been shown that the oxidation of a  $\text{Cu}_7\text{Zr}_3$  alloy can form a combination of amorphous  $\text{ZrO}_2$  and Cu clusters which have an activity and selectivity comparable to  $\text{Cu/ZrO}_2$  catalysts prepared by conventional coprecipitation.<sup>23</sup> The adsorption of methanol on a- $\text{ZrO}_2$  is reported to be weaker than that on m- $\text{ZrO}_2$ , which may aid in the mitigation of further decomposition of the desired product and in turn, promote the selectivity towards methanol.<sup>18,19</sup> Furthermore, theoretical calculations have predicted that the  $\text{ZrO}_x/\text{Cu}(111)$  inverse configuration formed by the reduction of  $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$  is an excellent catalyst for the binding and hydrogenation of  $\text{CO}_2$ .<sup>24</sup>

Based on the good activity seen for inverse oxide/metal catalysts for  $\text{CO}_2$  hydrogenation,<sup>10,13,14,24</sup> this current work is focused on the preparation of different  $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$  systems for future studies on the role of  $\text{ZrO}_2$  and the  $\text{ZrO}_2\text{-Cu}$  interface during methanol synthesis. With these model systems, the unique chemical and

physical properties of  $\text{ZrO}_2$  nanostructures can be compared with bulk structures in a more straightforward manner.<sup>25</sup> Furthermore, experimental observations can be compared with the results of theoretical calculations where a very good catalytic performance is predicted<sup>24</sup> and with data reported for other Cu- $\text{ZrO}_2$  interfaces.<sup>15-24</sup> Herein, two different vapor deposition pathways were applied to investigate the growth modes of  $\text{ZrO}_2$  on Cu(111). ~~÷ (1) Zr was vapor deposited on Cu(111) at 300 K and then oxidized at 600 K in a  $5 \times 10^{-7}$  Torr oxygen ambient, and (2) Zr was vapor deposited on pre-oxidized  $\text{CuO}_x/\text{Cu}(111)$  at 600 K in a  $5 \times 10^{-7}$  Torr oxygen ambient.~~ The as-prepared surfaces were characterized by scanning tunneling microscopy (STM) and X-ray photoelectron spectroscopy (XPS). The STM results reveal that the morphology of  $\text{ZrO}_2$  is strongly influenced by the preparation method.

## 2. Experimental Methods

An Omicron STM chamber was used to obtain STM images, and a preparation chamber connected to the STM chamber was used for sample cleaning, annealing, and catalyst surface preparation by vapor deposition. A Cu(111) single crystal (Princeton Scientific Corp) was cleaned by repeated cycles of  $\text{Ar}^+$  ion sputtering followed by annealing at 850 K. The Zr was vapor deposited using a commercial metal evaporator (Scienta Omicron). Two different vapor deposition pathways were applied to investigate the growth modes of  $\text{ZrO}_2$  on Cu(111): (1) Zr was vapor-deposited on Cu(111) at 300 K and then oxidized at 600 K in a  $5 \times 10^{-7}$  Torr oxygen ambient, and (2) Zr was vapor-deposited on pre-oxidized  $\text{CuO}_x/\text{Cu}(111)$  at 600 K in a  $5 \times 10^{-7}$  Torr oxygen ambient. Deposition of Zr on pre-

oxidized  $\text{CuO}_x/\text{Cu}(111)$  without  $\text{O}_2$  in the background led to oxygen deficient systems which have to be oxidized in order to get  $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$ . All STM images were obtained at room temperature, using a Pt-Ir tip.

XPS analysis was performed to follow the oxidation state of zirconium using a commercial SPECS chamber for Ambient-pressure X-ray photoelectron spectroscopy (AP-XPS) equipped with a PHOIBOS 150 EP MCD-9 analyzer (Mg  $K\alpha$  X-ray source) and a home-built XPS with a Scienta SES 100 spectrometer (Al  $K\alpha$  X-ray source), which are located at the Chemistry Division in Brookhaven National Laboratory. Zr was vapor-deposited on  $\text{Cu}(111)$  or pre-oxidized  $\text{CuO}_x/\text{Cu}(111)$  surface at the same condition as the STM samples. For the XPS spectra, Zr 3d, Cu 2p, O 1s, and C 1s regions were collected for each surface. The binding energies were calibrated by the position of Cu 2p. The Zr or  $\text{ZrO}_x$  coverage was determined by attenuation of the primary peak of the Cu substrate. The XPS spectra of the samples were collected under UHV ( $10^{-9}$  to  $10^{-10}$  Torr) or under an  $\text{O}_2$  pressure of  $\sim 1 \times 10^{-6}$  Torr.

### 3. Results and Discussions

Here, two preparation methods for inverse  $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$  catalysts were adopted following our previously reported studies for  $\text{ZnO}/\text{CuO}_x/\text{Cu}(111)$ .<sup>26,27</sup> In one of the methods, we start with bimetallic Zr/Cu systems as precursors for the mixed-metal oxide. This approach has been followed by many research groups when preparing inverse oxide/metal catalysts.<sup>28,29</sup> Following a second approach,<sup>26–29</sup> the Zr was vapor-deposited under a background of  $\text{O}_2$  over a  $\text{CuO}_x/\text{Cu}(111)$  substrate. In the next two sections, the

influence of different preparation conditions on surface structure and composition will be discussed.

### 3.1 Oxidation of Zr/Cu(111) systems

A Zr/Cu(111) surface was prepared by vapor-depositing Zr metal onto a Cu(111) substrate at 300 K in UHV ( $1 \times 10^{-9}$  Torr background). Figure 1(a-b) shows the STM images with different Zr coverages of 0.05 and 0.15 ML (monolayer). Under these preparation conditions, small Zr-related particles (2.0–2.5 Å in height, 5–10 nm in size) are randomly distributed on Cu(111). With the increase in coverage, as shown in Figure 1(b), most of the patches remain small in size, while some of them agglomerate into larger islands (more than 40 nm) as indicated by the white arrow. However, the growth of islands is in a two-dimensional (2D) pattern since the height of these islands remains the same (2.0–2.5 Å). XPS data to be discussed below showed that these features did not belong to Zr but to oxidized  $\text{ZrO}_x$  or  $\text{ZnOH}_x$  species formed by reaction of the admetal with O-containing molecules present in the gas background of the chamber while dosing the zirconium.

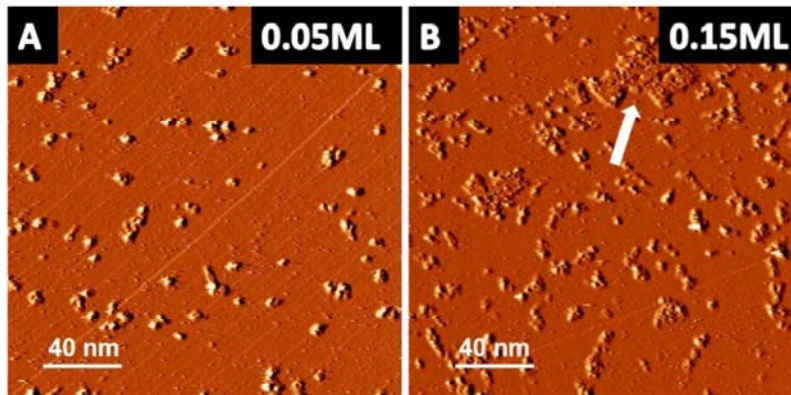


Figure 1. Series of STM  $dI/dV$  images ( $200 \times 200 \text{ nm}^2$ ) after Zr was deposited onto Cu(111) at 300 K in UHV with different coverage: (a) 0.05 ML ( $V_t = -1.8 \text{ V}$ ,  $I_t = 0.06 \text{ nA}$ ),



and (b) 0.15 ML ( $V_t = -2.1$  V,  $I_t = 0.06$  nA). To get a better separation of the admetal and the substrate features, the images are shown in the current mode.

After the characterization mentioned above, the Zr/Cu(111) surfaces with different coverages (0.05 and 0.15 ML) were further oxidized at 600 K in a  $5 \times 10^{-7}$  Torr  $O_2$  ambient for 20 minutes. Figure 2(a-b) shows the large scale STM images after the oxidation of the two Zr/Cu(111) surfaces. The small particles associated with zirconium in Figure 1, before full oxidation, can no longer be observed on both surfaces. Instead, large isolated islands form on the terraces of  $CuO_x$  after oxidation and the number of these islands increases with increasing Zr coverage as can be seen by comparing Figure 2(a) and 2(b) panels, which indicates a correlation between the initial presence of Zr and the formation of these islands, suggesting that they correspond to  $ZrO_2$  or  $ZrO_2-CuO_x$  features. A zoomed-in STM image of such an island from Figure 2(a) is shown in Figure 2(c) and it clearly shows small  $CuO_x/Cu(111)$  domains of different orientations with typical  $CuO_x$  line structures separated by disordered structures. Similar formations can also be found elsewhere on the surface. As shown in Figure 2(d), a zoomed-in image of the terrace part in Figure 2(a) exhibits the well-ordered  $CuO_x$  “29” structure along with similar disordered structures indicated by the yellow circle.<sup>30</sup> The presence of such disordered structures forces the distortion of  $CuO_x$  structures instead of forming the typical long-ordered  $CuO_x$  structures under such oxidation conditions.<sup>31</sup> Therefore, those unidentified disordered structures are likely to be  $ZrO_2$ , which is incorporated within the  $CuO_x$  to form  $ZrO_2-CuO_x$  mixtures. The formation of  $ZrO_2-CuO_x$  islands was also due to the existence of  $ZrO_2$ , which delayed the nucleation and growth of  $CuO_x$  structures by limiting the diffusion of  $CuO_x$  precursors originating

from the Cu(111) step edges and terraces.<sup>31,32</sup> The same hindrance effect was also reported during the oxidation of Cu(100) in the presence of deposited Ag.<sup>33</sup>

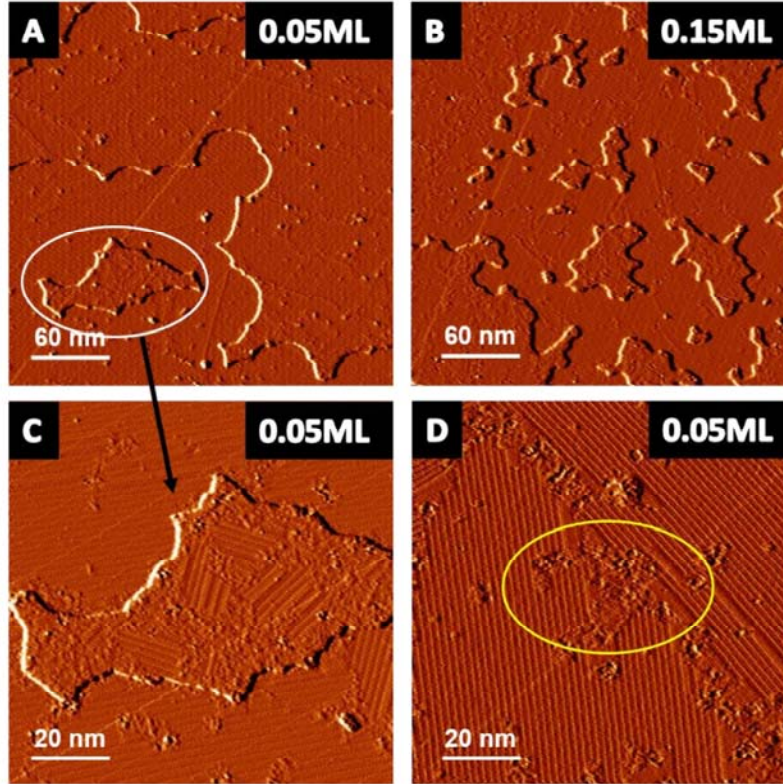


Figure 2. STM  $dI/dV$  images collected after the oxidation of Zr/Cu(111) surfaces (0.05 and 0.15 ML of Zr) at 600 K in  $5 \times 10^{-7}$  Torr  $O_2$  for 20 minutes. (a) Oxidized 0.05 ML Zr/Cu(111) surface in a large scale ( $300 \times 300 \text{ nm}^2$ ,  $V_t = -1.1 \text{ V}$ ,  $I_t = 0.10 \text{ nA}$ ), (b) Oxidized 0.15 ML Zr/Cu(111) surface in large scale ( $300 \times 300 \text{ nm}^2$ ,  $V_t = -1.8 \text{ V}$ ,  $I_t = 0.10 \text{ nA}$ ), (c) A zoomed-in image of  $ZrO_2$ - $CuO_x$  island from (a) ( $100 \times 100 \text{ nm}^2$ ), and (d) A zoomed-in image of a terrace from the oxidized 0.05 ML Zr/Cu(111) surface. ( $100 \times 100 \text{ nm}^2$ ). (c-d) were collected at  $V_t = -1.1 \text{ V}$ ,  $I_t = 0.10 \text{ nA}$  tunneling conditions. To get a better separation of the admetal and the substrate features, the images are shown in the current mode.

The chemical state of the deposited Zr on Cu(111) and the oxidation process was followed using XPS. Figure 3 shows a series of Zr 3d (left) and O 1s (right) XPS spectra for a surface with 0.2 ML of zirconium. The Zr 3d doublet peaks with binding energies of  $\sim 182.4$  ( $3d_{5/2}$ ) and  $\sim 184.7$  eV ( $3d_{3/2}$ ) for the as-prepared sample (Figure 3, left, “As prepared” label) correspond to  $Zr^{4+}$  ( $ZrO_2$ ).<sup>34</sup> Given the condition that Zr metal was deposited under UHV and without an  $O_2$  ambient (background pressure of  $1 \times 10^{-9}$  Torr), this can be explained by the fact that Zr nanoparticles have a very high oxygen affinity so that they would scavenge all of the oxygenated residual gases in the chamber background during the timescale of the deposition ( $\sim 20$  min).<sup>35</sup> The O 1s XPS spectrum for the as-prepared sample (Figure 3, right, “As prepared” label) also shows a minor peak at  $\sim 530.5$  eV which proves that the deposition of Zr metal introduces oxygen species onto the surface, compared to the O 1s spectra for the Cu(111) surface before deposition (Figure 3, right, “clean Cu(111)” label). We always observed this behavior when small coverages of zirconium ( $\leq 0.2$  ML) were deposited on Cu(111). The studies of zirconium surfaces on Cu(111) performed by Paulidou *et al.*, where metallic Zr was confirmed by XPS after depositing more than 2 ML of Zr metal on Cu(111) in UHV,<sup>35</sup> disagree with our low coverage case for the Zr/Cu system. However, considering the lack of oxidant gases in the chamber background together with more than 2 ML of Zr in the previous study,<sup>35</sup> their conclusion that most of the Zr species are in metallic form is reasonable. In our system, the Zr coverage is less than 0.2 ML, and the dominant Zr species is found to be  $ZrO_2(4+)$ . However, according to the shape of the “valley” at  $\sim 183$  eV in the Zr 3d spectrum after deposition (Figure 3, left, “As prepared” label), it is possible that there may be overlapping species, such as  $Zr(OH)_4$  or  $Zr(3+)$ .<sup>36</sup> Due to the limited resolution of the lab-based XPS,

the concentration of different species cannot be quantitatively assigned. Therefore, in the following content, Zr-related structures by UHV deposition will be referred as Zr(4+) species, which may suggest a combination of oxides and hydroxides.

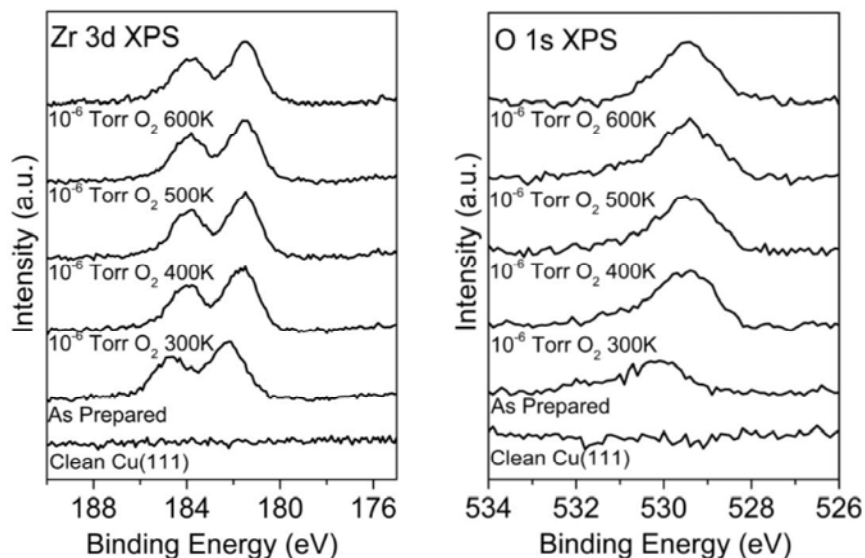


Figure 3. Zr 3d (left) and O 1s (right) XPS spectra for as-deposited Zr/Cu(111) at 300 K and ZrO<sub>2</sub>/CuO<sub>x</sub>/Cu(111) by subsequent oxidation at elevated temperatures: 300, 400, 500 and 600 K in  $1 \times 10^{-6}$  Torr O<sub>2</sub>

For the oxidation process of the Zr(4+)/Cu(111) surface, as shown in Figure 3, the Zr 3d XPS spectra (left) were collected at elevated temperatures from 300 to 600 K under a  $1 \times 10^{-6}$  Torr O<sub>2</sub> ambient. Fitting the Zr 3d data (Figure S1 in Supporting Information) using an asymmetric lineshape (Doniach-Sunjich) and constraining the peaks (3d<sub>5/2</sub> and 3d<sub>3/2</sub>) to both an identical full width at half the maximum (FWHM) and proper area ratio (2:3) resulted in peak narrowing under oxygen exposure (FWHM = 1.70 eV to  $1.51 \pm 0.02$  eV)

due to a convergence of oxidation states present in the Zr (i.e. from trace amounts of  $\text{Zr}^{3+}$  to completely  $\text{Zr}^{4+}$ ), allowing for a qualitative identification of Zr species present. Surprisingly, the Zr 3d peaks shifted to lower binding energies with the increasing oxidation temperature  $\{\sim 181.5 \text{ eV (3d}_{5/2}\text{)} \text{ and } \sim 183.8 \text{ eV (3d}_{3/2}\text{)}\}$ , which may indicate that the Zr cations are partially “reduced” under oxidation conditions. However, according to the O 1s spectra in Figure 3(right), the growth of O peak from  $\text{CuO}_x$  ( $\sim 529.4 \text{ eV}$ ) proves that the decrease in binding energy of Zr 3d spectra cannot be interpreted by only considering the change of oxidation state because Zr has a much higher formation enthalpy with oxygen ( $-1097.5 \text{ kJ/mol}$ ) compared to that of Cu ( $-170.7 \text{ kJ/mol}$ ).<sup>37</sup> A similar phenomenon was also observed in other studies, in which  $\text{Ti}_3\text{O}_5$  clusters were deposited on Cu(111) and  $\text{CuO}_x/\text{Cu(111)}$ .<sup>38</sup> It was found that for  $\text{Ti}_3\text{O}_5$  clusters on  $\text{CuO}_x/\text{Cu(111)}$ , the Ti 2p shifted to lower energies compared to  $\text{Ti}_3\text{O}_5$  on the bare Cu(111) surface, which was explained by the uncompensated changes in the local work functions after the shift from Cu(111) to  $\text{CuO}_x/\text{Cu(111)}$  and also by possible final state effects. In addition, an XPS study on  $\text{ZrO}_2/\text{Pt(111)}$  also observed that under an oxygen ambient, the Zr 3d peaks shifted to slightly lower binding energies because of the presence of adsorbed oxygen on Pt(111).<sup>39</sup> Therefore, the shift of Zr 3d to lower binding energies has a high correlation with a change in the Cu substrate instead of the reduction of Zr cations.

### **3.2 Zr Deposition on $\text{CuO}_x/\text{Cu(111)}$ at 600 K in a molecular oxygen background**

According to our previous research on the preparation of the  $\text{ZnO}/\text{CuO}_x/\text{Cu(111)}$  model catalysts for  $\text{CO}_2$  hydrogenation, Zn deposited on  $\text{CuO}_x/\text{Cu(111)}$  in the ambient of  $\text{O}_2$  at 600 K exhibited a better thermal stability under typical reaction temperatures (550-600 K).<sup>26</sup> Therefore, in the second preparation method, the same methodology was applied to

generate the  $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$  model catalyst.  $\text{Cu}(111)$  was first heated to 600 K with an exposure of  $5 \times 10^{-7}$  Torr  $\text{O}_2$  for 15 minutes to make a well-ordered  $\text{CuO}_x/\text{Cu}(111)$  surface as reported previously.<sup>30–32</sup> This  $\text{CuO}_x/\text{Cu}(111)$  system had a characteristic O 1s binding energy of 529. eV.<sup>40</sup> The temperature of the  $\text{CuO}_x/\text{Cu}(111)$  surface was maintained at 600 K, and Zr was deposited in a background of  $\text{O}_2$  ( $5 \times 10^{-7}$  Torr). In XPS, Figure 4, we observed the expected position for  $\text{ZrO}_2$  on  $\text{CuO}_x/\text{Cu}(111)$  in the Zr 3d region and a broad O 1s feature which indicates O signal coming from  $\text{ZrO}_2$  and  $\text{CuO}_x$  oxides. The binding energies for Zr 3d are  $\{\sim 181.5 \text{ eV (3d}_{5/2}) \text{ and } \sim 183.8 \text{ eV (3d}_{3/2})\}$ , which matches the position for  $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$  model after the oxidation of  $\text{Zr}/\text{Cu}(111)$  system mentioned above. Besides the O1s signal from  $\text{CuO}_x$  (529.3eV, FWHM = 1.57eV), a new O1s peak was resolved after the deposition of  $\text{ZrO}_2$  (529.9eV, FWHM = 1.67eV) and it's attributed to the O from  $\text{ZrO}_2$  (fitting parameters are shown in Table S1, Supporting Information). Figure 5(a) shows a large scale STM image under these preparation conditions, where two distinct features can be observed on the  $\text{CuO}_x/\text{Cu}(111)$  surface. The first feature in Figure 5(a) is assigned as  $\text{ZrO}_2$  islands (labelled by yellow circles). A smaller-scale image of a selected island is shown in Figure 5(b) with an average size of  $\sim 10 \text{ nm}$  and an average height of  $\sim 3 \text{ \AA}$ . It has been recently reported that one layer of  $\text{ZrO}_2$  thin film grown on  $\text{Pt}(111)$ ,  $\text{Rh}(111)$  and  $\text{Ru}(0001)$  is around  $3 \text{ \AA}$ .<sup>39,41</sup> Therefore, the  $\text{ZrO}_2$  islands obtained by this method appear to be only one layer of  $\text{ZrO}_2$  and no growth of further layers is observed at this coverage. The second feature noted on the  $\text{CuO}_x/\text{Cu}(111)$  surface were small dots (labelled as “ $\text{O}_{\text{ads}}$ ”) of nearly identical size as indicated by the white circle in Figure 5(a). A zoomed-in image of the small dots is shown in Figure 5(c). When obtaining the STM images with smaller scales, these small dots were not stable on the

surface, which points to a high degree of mobility. In addition, depressions can be found in each small dot, as shown in the line profile of one of the dots, which are believed to be non-metallic adsorbates since the local density of states (LDOS) of the adsorbed site would be a minimum.<sup>32,42</sup> The apparent depth of the depression in the center of the small dot is  $\sim 0.5$  Å, which matches a previous report for O adatom on Cu(111).<sup>32</sup> The formation of adsorbed O might be related to our preparation method in that the O<sub>2</sub> ambient was still maintained after deposition and during the cooling of the surface.

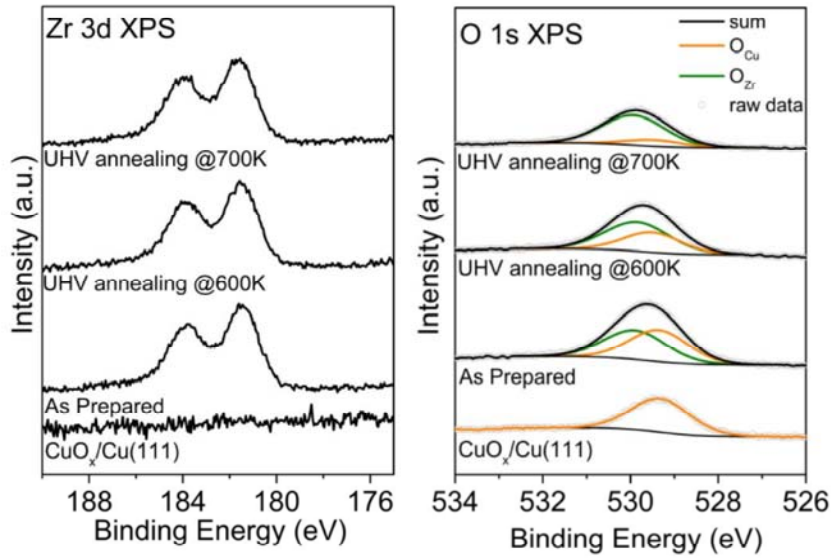


Figure 4. Zr 3d (left) and O 1s (right) XPS spectra for a ZrO<sub>2</sub>/CuO<sub>x</sub>/Cu(111) surface prepared by deposition of Zr at 600 K under  $5 \times 10^{-7}$  Torr of O<sub>2</sub>. The spectra after annealing the surface at 600 and 700 K in UHV were also collected.



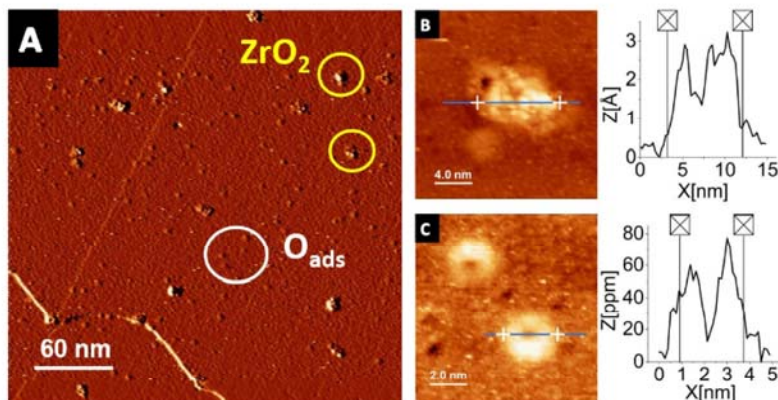


Figure 5. STM images after Zr was vapor-deposited on  $\text{CuO}_x/\text{Cu}(111)$  at 600 K in an atmosphere of  $5 \times 10^{-7}$  Torr  $\text{O}_2$ . (a) The  $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$  surface (0.02 ML) after the deposition ( $300 \times 300 \text{ nm}^2$ ) is shown in derivative mode, (b) a smaller scale image of a selected  $\text{ZrO}_2$  island and its line profile ( $20 \times 20 \text{ nm}^2$ ), and (c) a smaller scale image of adsorbed O atoms and the line profile ( $10 \times 10 \text{ nm}^2$ ). All the images were collected at  $V_t = -1.5 \text{ V}$ ,  $I_t = 0.10 \text{ nA}$  tunneling conditions.

The thermal stability of this  $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$  surface was also investigated by annealing the sample up to 700 K in UHV. Firstly, it was annealed in UHV at 600 K for 15 minutes. XPS showed that this can induce the reduction of plain  $\text{CuO}_x/\text{Cu}(111)$  (Figure S2, Supporting Information). As shown in Figure 6(a), one noticeable change is clearly seen for the  $\text{CuO}_x/\text{Cu}(111)$  substrate in that some line defects of  $\text{CuO}_x$  were observed after annealing to 600 K, as indicated by the white arrow. The morphology of  $\text{ZrO}_2$  islands was not affected by this annealing, and the average size and height of the islands remained around 10 nm and 3 Å, respectively. The surface was further annealed in UHV at 700 K for 15 minutes (Figure 6(b)). A larger area of defects can be observed on  $\text{CuO}_x/\text{Cu}(111)$  with the increasing annealing temperature, as indicated by the white arrow. No adatoms of



O can be resolved on the  $\text{CuO}_x/\text{Cu}(111)$  anymore, which suggests the desorption of O adsorbates under such annealing conditions. The size of the  $\text{ZrO}_2$  islands remained around 10 nm; however, the apparent height was lowered to  $\sim 1.5 \text{ \AA}$  (Figure 6(c)), which might be related to the reduction of the  $\text{CuO}_x$  underneath the  $\text{ZrO}_2$  islands, since no change in the  $\text{ZrO}_2$  oxidation state was observed during the annealing process as suggested by the Zr 3d XPS spectra in Figure 4 (left). The O 1s XPS spectra (right) also indicate no reduction of  $\text{O}_{\text{Zr}}$  signal ( $\sim 529.9 \text{ eV}$ ) after annealing up to 700 K, while the  $\text{O}_{\text{Cu}}$  signal ( $529.4 \text{ eV}$ ) decreased with elevated annealing temperature. This corroborates the STM images which show the reduction of  $\text{CuO}_x$  lines. The same annealing experiment was conducted on bare  $\text{CuO}_x/\text{Cu}(111)$  at 600K, the reduction of the  $\text{CuO}_x/\text{Cu}(111)$  is nearly complete at such temperature according to the O 1s XPS spectra (Figure S2, Supporting Information), while system with  $\text{ZrO}_2$  still presents a small peak of  $\text{CuO}_x$  from the O 1s XPS spectra (Figure 4). Therefore,  $\text{ZrO}_2$  may have an ability to reserve  $\text{CuO}_x$  at lower annealing temperatures like 600K.

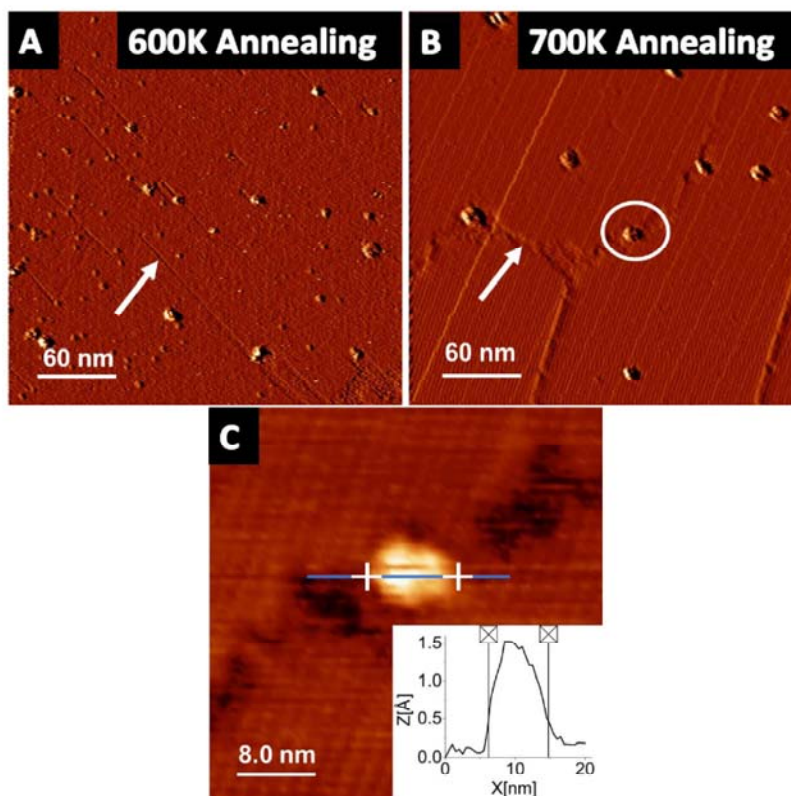


Figure 6. STM images of  $\text{ZrO}_2/\text{CuO}_x/\text{Cu}(111)$  surfaces (0.02 ML) after annealing at 600 and 700 K in UHV. All the images were obtained at room temperature: (a) Annealed at 600 K in UHV for 15 minutes, ( $300 \times 300 \text{ nm}^2$ ), shown in derivative mode, (b) annealed at 700 K in UHV for 15 minutes, ( $300 \times 300 \text{ nm}^2$ ), in derivative mode, (c) a smaller scale image of a selected  $\text{ZrO}_2$  island from (b) and its line profile ( $40 \times 40 \text{ nm}^2$ ). All images were collected at  $V_t = -1.2 \text{ V}$ ,  $I_t = 0.10 \text{ nA}$  tunneling conditions.

In previous studies, overlayers of  $\text{ZrO}_2$  have been prepared on  $\text{Pt}(111)$ ,  $\text{Rh}(111)$  and  $\text{Ru}(0001)$ .<sup>39,41</sup> These  $\text{ZrO}_2$ -metal interfaces can be useful for  $\text{CO}_2$  hydrogenation. The  $\text{ZrO}_2$ -copper interface has the advantage of involving a metal with a low cost. Furthermore, the  $\text{ZrO}_x/\text{Cu}(111)$  system has displayed good catalytic activity for  $\text{CO}_2$  hydrogenation in

theoretical calculations.<sup>24</sup> Under the reducing conditions of CO<sub>2</sub> hydrogenation, any O bound to Cu(111), Pt(111), Rh(111) or Ru(0001) would be removed leaving only ZrO<sub>2</sub> on top of the metal substrate.<sup>14,26</sup> Pt, Rh and Ru can attack the CO formed by the decomposition of CO<sub>2</sub> to yield surface carbon through the Boudouard reaction ( $2\text{CO} \rightarrow \text{C} + \text{CO}_2$ ). The deposited C eventually would lead to deactivation and poisoning of the catalysts. This negative phenomenon would not be seen in the ZrO<sub>2</sub>/Cu systems because copper does not dissociate CO. Thus, for the ZrO<sub>2</sub>/Cu(111) catalysts, one can expect a low cost, high activity<sup>24</sup> and large stability.

Cu(111) has been used as a substrate for the generation of inverse CeO<sub>2</sub>/Cu(111) and ZnO/Cu(111) catalysts.<sup>13,14,26,43</sup> Ce and Zn atoms react with Cu(111) forming metallic alloys which do not show an easy reaction with O-containing molecules present in the background of UHV chambers, as seen in the case of Zr on Cu(111). ZnO has a relatively low heat of formation (-83 kcal/mol), but the heats of formation of CeO<sub>2</sub> (-260 kcal/mol) and ZrO<sub>2</sub> (-262 kcal/mol) are very similar. The rapid reaction of the Zr atoms with O-containing molecules probably reflects a negligible stability of CuZr alloys when compared to a ZrO/Cu configuration. For the CeO<sub>2</sub>/Cu(111) systems, the ceria islands acquired after dosing Ce on Cu(111) at 650K under an atmosphere of O<sub>2</sub> ( $5 \times 10^{-7}$  Torr) exhibit sizes of in the range of 20-50 nm.<sup>43</sup> In the case of ZnO/Cu(111), the size of the oxide islands by depositing Zn in the same O<sub>2</sub> pressure at 550-600 K is in the range of 300-500 nm.<sup>26</sup> The relatively small island size seen for ZrO<sub>2</sub> on Cu(111), 10-12 nm, points to stronger oxide-metal interactions in the ZrO<sub>2</sub>/Cu(111) system. These strong interactions probably prevent the formation of big islands of ZrO<sub>2</sub> and may play a role in the activation of CO<sub>2</sub> and its selective conversion to methanol.<sup>18,24</sup> Previous studies compared ZnO/Cu(111) and

CeO<sub>x</sub>/Cu(111) with their conventional configuration Cu/ZnO(000 $\bar{1}$ ) and Cu/CeO<sub>2</sub>(111) respectively, and the oxide/metal arrangement led to a much higher methanol production rate from CO<sub>2</sub>.<sup>44</sup> The special catalytic activity of such a configuration is probably the results of strong oxide-metal interaction, which lead to significant electronic perturbations in the oxides and to the novel chemical properties in consequence.<sup>45</sup> Therefore, a unique catalytic behavior for the ZrO<sub>2</sub>/Cu(111) system may be expected.

#### 4. Conclusion

The growth mode of low coverages (<0.2 ML) of zirconia in an inverse ZrO<sub>2</sub>/CuO<sub>x</sub>/Cu(111) configuration was investigated using STM and XPS. Two different preparation methods were applied to study their influence on the morphology and composition of the ZrO<sub>2</sub> nanoparticles formed. In the first method, the ZrO<sub>2</sub>/CuO<sub>x</sub>/Cu(111) model catalyst was prepared by two steps: (1) Zr was deposited on Cu(111) under UHV conditions, and (2) the Zr/Cu(111) surface was oxidized at 600 K. By this method, Zr and Cu(111) were oxidized at the same time, which leads to the intermixing of ZrO<sub>2</sub> and CuO<sub>x</sub>. In the second method, the ZrO<sub>2</sub>/CuO<sub>x</sub>/Cu(111) surface was prepared in one step by directly depositing Zr under an O<sub>2</sub> ambient over a pre-oxidized CuO<sub>x</sub>/Cu(111) surface, giving small ZrO<sub>2</sub> islands (~10 nm) with 2D structure. XPS proved the existence of ZrO<sub>2</sub>(4+) by both methods; however, the oxidation of Zr/Cu(111) involved multiple species of Zr, due to the high reactivity of this metal under UHV conditions. Therefore, only the oxidative deposition was found to be a robust recipe to prepare ZrO<sub>2</sub>/CuO<sub>x</sub>/Cu(111) model catalysts. In addition, the thermostability of the ZrO<sub>2</sub>/CuO<sub>x</sub>/Cu(111) surface prepared by oxidative deposition was also investigated by annealing the surface up to 700 K in UHV. Neither the

morphology nor the oxidation state of  $\text{ZrO}_2$  was affected under such annealing conditions, while the  $\text{CuO}_x$  was significantly reduced at elevated temperatures.

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## TOC Graphic

