

1 **Advanced genetic tools enable synthetic biology in the oleaginous microalgae**
2 *Nannochloropsis* sp.

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14
15 **Abstract**

16 *Nannochloropsis* is a genus of fast-growing microalgae that are regularly used for biotechnology
17 applications. *Nannochloropsis* species have a high triacylglycerol content and their polar lipids are
18 rich in the omega-3 long-chain polyunsaturated fatty acid, eicosapentaenoic acid. Placed in the
19 heterokont lineage, the *Nannochloropsis* genus has a complex evolutionary history. Genome
20 sequences are available for several species, and a number of transcriptomic datasets have been
21 produced, making this genus a facile model for comparative genomics. There is a growing interest
22 in *Nannochloropsis* species as models for the study of microalga lipid metabolism and as a chassis
23 for synthetic biology. Recently, techniques for gene stacking, and targeted gene disruption and
24 repression in the *Nannochloropsis* genus have been developed. These tools enable gene specific,
25 mechanistic studies, and have already allowed the engineering of improved *Nannochloropsis*
26 strains with superior growth, or greater bioproduction.

27
28 **Keywords**

29 *Nannochloropsis*, algal biotechnology, marker-free engineering, gene stacking, synthetic
30 biology, episomes

31
32 **Key Message**

33 *Nannochloropsis* is emerging as a facile chassis for synthetic biology of microalgae and beyond.

34 **Introduction**

35 Algae are highly efficient at turning solar energy into biomass, and are sources of unique
36 bioproducts, such as omega-3 fatty acids (Mühlroth et al. 2013; Zou et al. 2000), carotenoids
37 (Yaakob et al. 2014), and interesting polysaccharides, such as agarose, alginate, and β -1,3-
38 glucans (Chew et al. 2017; Sheehan et al. 1998; Yaakob et al. 2014). Several groups have screened
39 algae for productivity and production of valuable compounds (Rodolfi et al. 2009; Sheehan et al.
40 1998; Unkefer et al. 2017). *Nannochloropsis* was identified as a genus with rapid growth, and high
41 lipid content, including triacylglycerol (TAG) (Rodolfi et al. 2009) and the omega-3 (ω 3) long-
42 chain polyunsaturated fatty acid, eicosapentaenoic acid (EPA) (Zou et al. 2000). Under nutrient-
43 replete conditions *Nannochloropsis* species have a lipid content of approximately 25-30% of dry-
44 weight (Jia et al. 2015; Meng et al. 2015; Rodolfi et al. 2009; Xiao et al. 2015). Abiotic stresses,
45 such as high-light or nutrient deprivation, in particular nitrogen (N) deprivation, cause microalgae
46 to pause growth and accumulate storage compounds. Under these conditions, *Nannochloropsis*
47 species accumulate high quantities of TAG, up to 60% of biomass (Jia et al. 2015; Meng et al.
48 2015; Rodolfi et al. 2009; Simionato et al. 2013; Vieler et al. 2012; Xiao et al. 2015). In recent
49 years, genomes for several *Nannochloropsis* species have become available (Table 1) (Corteggiani
50 Carpinelli et al. 2013; Radakovits et al. 2012; Vieler et al. 2012; Wang et al. 2014) and molecular
51 tools have been developed (Table 2-4) (Ajjawi et al. 2017; Kilian et al. 2011; Poliner et al. 2017;
52 Wei et al. 2017c), making species in this genus excellent microalgal models for comparative
53 genomics (Hu et al. 2014; Wang et al. 2014).

54 Synthetic biology is an emerging field based on rationally designing biological systems
55 (Andrianantoandro et al. 2006). To develop systems that behave as desired, an approach described
56 as design, build, test is used to iteratively test refinements and determine how elements of the
57 system influence the outcome (Agapakis 2014). The design phase is often based on information
58 drawn from genome-wide data and databases of related systems. Organisms with high-quality
59 genome-wide data and advanced genetic engineering tools that can be redesigned are known as
60 chassis organisms. Metabolic maps are built using genome assemblies, functional annotation, and
61 databases of known enzymatic pathways. Regulatory networks are coming into focus through
62 integrating RNA-seq, chromatin immunoprecipitation DNA sequencing (CHIP-Seq), and
63 databases of transcription factors and their target DNA motifs. In order to build or refine
64 biosynthetic pathways or develop chassis organisms, several molecular tools are needed to modify

65 the genome of an organism. While molecular tools, such as mutant libraries, transgenic
66 overexpression, and reporter protein fusions are instrumental in gaining a molecular understanding
67 of biological processes, they are by themselves insufficient to create optimized biological systems.
68 Redesigned algae will require a new generation of tools that enable precise and marker-free
69 knockout mutants, and high-capacity gene stacking systems that can robustly and predictably
70 express multiple genes. Finally, in order to test the synthesized system, highly facile methods to
71 select or screen for the desired modifications as quickly as possible post transformation are needed.

72 The *Nannochloropsis* genus is also an emerging algal model for genetic engineering of
73 lipid accumulation (Ajjawi et al. 2017; Hu et al. 2014; Li et al. 2014b). Several *Nannochloropsis*
74 species seem particularly amenable to transgene expression, with a moderate GC (guanine
75 cytosine) content and simple gene structure facilitating genetic engineering (Jinkerson et al. 2013;
76 Vieler et al. 2012; Wang et al. 2014). Several endogenous promoters and terminators are in use,
77 including bidirectional promoters that are helpful in stacking transgenes, i.e. expressing multiple
78 genes (Jinkerson et al. 2013; Kilian et al. 2011; Moog et al. 2015; Nobusawa et al. 2017; Poliner
79 et al. 2017). Methods exist for targeted DNA insertion by homologous recombination into the
80 genome (Dolch et al. 2017; Kilian et al. 2011; Nobusawa et al. 2017). CRISPR (Clustered
81 Regularly Interspaced Short Palindromic Repeats) based methods have been developed, making
82 targeted gene disruption and editing possible (Ajjawi et al. 2017; Wang et al. 2016). Genetic
83 engineering toolkits are becoming publicly available and should accelerate development of
84 *Nannochloropsis* species as chassis organisms.

85

86 **Taxonomy and Evolution of the *Nannochloropsis* genus**

87 *Nannochloropsis* is a genus in the heterokont phylum. Photosynthetic heterokonts are secondary
88 endosymbionts originating from an unicellular heterotrophic eukaryotic cell that engulfed a red
89 alga, which, over time, became a plastid. The evolutionary relationships of organisms in this clade
90 are complex and still not well defined. The red-algal type plastid shows several signatures of its
91 origin distinct from green lineage plastids (Janouskovec et al. 2010; Keeling 2009; Wei et al.
92 2013). Brown algae and diatoms are also algae of the heterokont lineage that share 57% and 51%
93 of genes with *N. gaditana* CCMP526 genome, respectively (Radakovits et al. 2012).

94 The *Nannochloropsis* genus was established by Hibberd based on morphological
95 characteristics (Hibberd 1981; Lubián 1982) and constituent species identified (*oceanica*,

96 *granulata*, *limnetica*, *salina*, *gaditana*) based on the 18S ribosomal RNA (18S rDNA) and/or the
97 plastid genome RuBisCO large subunit-encoding gene (*rbcL*) (Andersen et al. 1998; Fawley et al.
98 2015; Suda et al. 2002; Vieler et al. 2012). Whole genome phylogeny of six species of
99 *Nannochloropsis* showed *N. gaditana* and *N. salina* to be closely related and separate from *N.*
100 *oceanica*, *N. granulata*, and *N. oculata* (Wang et al. 2014). Fawley et al. proposed a separate genus
101 (*Microchloropsis*) for *N. gaditana* and *N. salina* (Fawley et al. 2015); however in this review the
102 *Nannochloropsis* genus will be inclusive to *N. salina* and *N. gaditana*.

103 The *Nannochloropsis* genus seems to possess a number of nuclear genes derived from
104 endosymbiotic gene transfer, particularly in lipid biosynthetic and carbohydrate degradation
105 pathways, e.g. glycosyl hydrolases (Wang et al. 2014). The diverse genetic background of the
106 *Nannochloropsis* genus may have contributed to its oleaginousness, with a particularly large set of
107 putative lipid biosynthetic genes. For example, *Nannochloropsis oceanica* possess 11 type-2
108 diacylglycerol acyltransferases (DGATs) referred to also as DGTTs (Vieler et al. 2012; Wang et
109 al. 2014; Xin et al. 2017; Zienkiewicz et al. 2017), and a high copy number of other predicted lipid
110 biosynthetic genes such as: enoyl-ACP reductase (ENR), ketoacyl-ACP synthase (KAS), ketoacyl-
111 ACP reductase (KAR), acyl-ACP thioesterase (TE), long-chain fatty acyl-CoA synthetase (LC-
112 FACS), phosphatidic acid phosphatase (PAP), and lysophosphatidyl acyltransferase (LPAT)
113 (Wang et al. 2014). Of these, only DGTTs (Li et al. 2016a; Wei et al. 2017a; Xin et al. 2017;
114 Zienkiewicz et al. 2017), and LPATs (Nobusawa et al. 2017) have been characterized. It has been
115 proposed that the ancestral heterotroph, the endosymbiotic red alga, and additional horizontal gene
116 transfer contributed to the present genome (Wang et al. 2014).

117 The secondary endosymbiosis event also led to interesting cellular structure characteristics,
118 such as four membranes surrounding the plastid, complicating intracellular trafficking (Keeling
119 2009; Kroth and Strotmann 1999; Murakami and Hashimoto 2009). Trafficking of nuclear encoded
120 proteins into the plastid has been extensively studied in diatoms (Bolte et al. 2009), which led to
121 the specialized protein location prediction software HECTAR (Gschloessl et al. 2008). While in
122 several *Nannochloropsis* species examples of protein localization by fluorescent protein (FP)
123 fusions have been published, including to the plastid (Moog et al. 2015), endoplasmic reticulum
124 (ER) (Gee and Niyogi 2017; Poliner et al. 2017), mitochondria (Ma et al. 2017) and lipid droplets
125 (Nobusawa et al. 2017; Zienkiewicz et al. 2017), there have not been investigations into the signals
126 and mechanisms of protein localization. The transport of metabolites across subcellular

127 compartments also has not been studied in the *Nannochloropsis* genus, although it represents a
128 plausible target for optimizing metabolite production (Loira et al. 2017).

129 Genetic diversity has also arisen frequently by horizontal gene transfer during algae
130 evolution (Bowler et al. 2008; Diner et al. 2017), and has enabled adaption to unique environments
131 or metabolic niches (Schonknecht et al. 2013). Several lipid biosynthetic genes appear to be related
132 to bacterial homologs and likely were acquired by horizontal gene transfer, including KAR, PAP,
133 ENR, KAS, TE, and LC-FACS genes (Wang et al. 2014). An operon encoding proteins specialized
134 in hydrogen generation possibly derived from bacteria has also been identified in *N. oceanica*
135 (Vieler et al. 2012). Transkingdom gene transfer by bacterial conjugation to diatoms and the
136 capacity of heterokonts to maintain episomal DNA indicates a possible route of gene acquisition
137 (Diner et al. 2017; Karas et al. 2015). This evolutionary diversity of the *Nannochloropsis* genus
138 and the genetic plasticity of the heterokonts provide an interesting model for symbiotic evolution
139 and may lead to a chassis organism that can be robustly adapted for genetic engineering.

140

141 **Genomes and transcriptomes across the *Nannochloropsis* genus**

142 A complete genome sequence forms the foundation for gene-specific studies, and is a prerequisite
143 for the drafting of metabolic and regulatory maps. Several genome assemblies have been generated
144 for different species of *Nannochloropsis*, including multiple strains within a species, such as, *N.*
145 *oceanica* CCMP1779 (Vieler et al. 2012) and IMET1 (Wang et al. 2014), *N. gaditana* CCMP526
146 (Radakovits et al. 2012; Wang et al. 2016) and B-31 (Corteggiani Carpinelli et al. 2013), *N. salina*
147 CCMP537, *N. oculata* CCMP525 (Wang et al. 2014), and *N. granulata* CCMP529 (Table 1)
148 (Wang et al. 2014). The genomes of the examined *Nannochloropsis* species are approximately 30
149 megabases, and contain 7-11,000 genes each (Radakovits et al. 2012; Vieler et al. 2012; Wang et
150 al. 2014). The *N. gaditana* B-31 genome is estimated to be distributed over 30 chromosomes
151 (Corteggiani Carpinelli et al. 2013), and the presumed *N. oceanica* IMET1 chromosomes separated
152 by pulse-field gel electrophoresis as 22 individual genome fragments (Wang et al. 2014).

153 The plastid and mitochondrial genomes of representatives from five *Nannochloropsis*
154 species were used to produce a pangenome of each organelle (Wei et al. 2013). The *N. oceanica*
155 IMET1 plastid genome is 117,548 basepairs (bp) and contains 160 genes consisting of 126 protein-
156 coding genes, and 34 RNA genes, and the mitochondrial genome is 38,057 bp and contains 63
157 genes consisting of 35 protein-coding genes and 28 RNA genes (Wei et al. 2013). The

158 *Nannochloropsis* genus plastid pangenome contains signatures of a red algal origin, including red
159 algal-type Rubisco and Rubisco activase genes (Starkenburg et al. 2014).

160 Extensive transcriptome data based on RNA-sequencing of cells grown under different
161 conditions reveal characteristic transcriptional changes, providing a whole-genome view of
162 possible adjustments to maintaining homeostasis. Examined conditions include phosphorus
163 (Mühlroth et al. 2017) and N deprivation (Corteggiani Carpinelli et al. 2013; Li et al. 2014b; Vieler
164 et al. 2012), alternating light:dark cycles (Poliner et al. 2015), varying light intensities (Alboresi
165 et al. 2016), and different growth phases of batch cultures (Radakovits et al. 2012) for various
166 *Nannochloropsis* species (Table 1). These datasets suggest that different aspects of metabolism
167 and other cellular processes, such as the cell cycle are coordinated on a transcriptional level in
168 response to environmental conditions. For example, N deprivation, which generally leads to a
169 transition from the normal cell division cycle to quiescence, also causes transcriptional
170 downregulation of photosynthesis and protein production, while lipid biosynthesis is upregulated
171 as was observed for *Nannochloropsis* species (Corteggiani Carpinelli et al. 2013; Li et al. 2014b;
172 Radakovits et al. 2012) and *Chlamydomonas* (Miller et al. 2010; Tsai et al. 2014). When *N.*
173 *oceanica* is grown in a light:dark cycle, there is phased expression of certain genes at different
174 times of day, including those involved in cell division at night, and anabolic processes during the
175 day (Poliner et al. 2015). A majority (64%) of the DNA-binding transcription factors and 56% of
176 other transcriptional regulators have phased expression during light:dark cycles. These genome-
177 wide datasets are an asset for further studies into metabolic adjustments occurring in response to
178 environmental changes and the underlying regulation. Links to currently published genome-wide
179 datasets are listed in Table 1.

180

181 **Light regulation and photosynthesis**

182 In heterokont algae, light, either by capture and conversion of solar energy during photosynthesis
183 or by perception through photosensory regulatory proteins, affects metabolite levels (pigments,
184 lipids, and carbohydrates) (Chauton et al. 2013; Poliner et al. 2015), coordinates the cell cycle
185 (Ashworth et al. 2013; Chauton et al. 2013; Huysman et al. 2013; Huysman et al. 2010; Poliner et
186 al. 2015), and may entrain a circadian clock (Braun et al. 2014). In *Nannochloropsis* species, high-
187 intensity light results in accumulation of TAG and a decrease in plastid size, thus maximizing
188 energy conversion while avoiding photodamage (Alboresi et al. 2016; Sukenik et al. 1989; Xiao

189 et al. 2015). The day:night cycle influences most organisms to coordinate behavior and/or
190 metabolism with either phase (Ashworth et al. 2013; Chauton et al. 2013; Poliner et al. 2015). The
191 transitory storage compounds used by *N. oceanica* during a light:dark cycle are under study with
192 TAG and carbohydrates (measured in the form of hexoses) oscillating throughout a light:dark cycle
193 (Fábregas et al. 2002; Poliner et al. 2015; Sukenik and Carmeli 1990). *Nannochloropsis* species
194 accumulate TAG (Sukenik and Carmeli 1990) and carbohydrates during the day, which are both
195 metabolized during the night, in accordance with transcriptional changes in genes encoding
196 enzymes of the respective biosynthesis and utilization pathways (Poliner et al. 2015).

197 *Nannochloropsis* species are studied as a model for photosynthesis in secondary
198 endosymbionts. The *Nannochloropsis* genus is notable for only possessing chlorophyll *a*, the
199 unusual carotenoids violaxanthin and vaucheriaxanthin ester, and a xanthophyll cycle utilizing
200 violaxanthin, antheraxanthin, and zeaxanthin (Alboresi et al. 2017; Cao et al. 2013; Chukhutsina
201 et al. 2017). Red algae and their derived endosymbionts contain LHC_r type antenna proteins that
202 link core complex pigment protein components, and participate in energy transfer and
203 photoprotection (Alboresi et al. 2017; Cao et al. 2013; Umetani et al. 2017). Characterization of
204 the photosystem II (PSII) of *N. gaditana* identified the light harvesting complex proteins of the
205 classes LHC_x, LHC_f, Red-CLH-like LHC, and LHC_r that are characteristic of the red alga-type
206 plastid (Umetani et al. 2017). Characterization of the *N. gaditana* photosystem I (PSI) discovered
207 the absence of several subunits (PsaH, PsaK, PsaG) that are typically present in land plants, and
208 identified the light harvesting complex proteins of the classes LHC_r, LHC_f, and LHC_x associated
209 with PSI (Alboresi et al. 2017).

210

211 **Lipid and carbon metabolism**

212 Lipid biosynthesis is the best characterized metabolic pathway in *Nannochloropsis* species, in
213 particular the production of TAG and EPA. The *Nannochloropsis* genus is hypothesized to possess
214 a cytosolic type-I fatty acid synthases (FAS) in addition to the plastid type-II FAS complex, but
215 further studies to corroborate this hypothesis are needed (Alboresi et al. 2016; Poliner et al. 2015;
216 Vieler et al. 2012). The TAG biosynthetic pathway involves the transfer of acyl chains to a glycerol
217 backbone by the sequential action of glycerolphosphate acyltransferase (GPAT), LPAT, and
218 DGAT, in addition phospholipidiacylglycerol acyltransferases (PDATs) have also been identified
219 in *Nannochloropsis* species (Vieler et al. 2012). The four LPATs of *N. oceanica* have been

220 investigated for their roles in membrane lipid and TAG biosynthesis with LPAT1 and LPAT4
221 having primary roles in each process respectively, and LPAT2 and LPAT3 possibly playing roles
222 in both processes (Nobusawa et al. 2017). Of the 13 DGAT-encoding genes, 6 are upregulated
223 during N deprivation, a condition that also favors TAG accumulation (Zienkiewicz et al. 2017). A
224 particularly robust DGAT of *Nannochloropsis* that functions in many different hosts has been
225 identified (Zienkiewicz et al. 2017).

226 The *Nannochloropsis* genus contains the omega-3 fatty acid EPA in its membrane lipids
227 (15-30% total fatty acids (TFA)) (Schneider et al. 1995; Schneider and Roessler 1994; Vieler et
228 al. 2012; Zou et al. 2000). Reconstruction of the *N. oceanica* EPA biosynthetic pathway in *S.*
229 *cerevisiae* by introducing four LC-PUFA fatty acid desaturases (FADs) and a fatty acid elongase
230 (FAE), resulted in the production of EPA (0.1% TFA) (Poliner et al. 2017). FADs are named for
231 the double bond introduced, a specific number of carbons from either the carboxyl (Δ , delta-) or
232 methyl (ω , omega-) end of a fatty acid chain. Thus, omega-3 and delta-6 FADS act on the third
233 carbon from the methyl end and the sixth carbon from the carboxyl end, respectively. The FADs
234 of *N. oceanica* resemble those of other heterokont algae with their histidine box motifs for
235 coordinating a diiron center, and contain in two cases (delta-5 and delta-6) a cytochrome b domain
236 (Poliner et al. 2017). Eleven fatty acid elongases have been identified in *N. oceanica* (Vieler et al.
237 2012) and the delta-6 and palmitic fatty acid specific elongases from *N. oceanica* and *N. gaditana*,
238 respectively, have been characterized in some detail. The palmitic acid elongase controls flux into
239 the EPA pathway by conversion of 16:0 to 18:0 (Dolch et al. 2017), while the delta-6 elongase
240 converts 18:3 to 20:3, two intermediates with low *in vivo* abundance (Poliner et al. 2017). EPA is
241 likely produced in the ER but accumulates on diacylglycerol-trimethylhomoserine (DGTS) and
242 monogalactosyl diacylglycerol (MGDG), and to a lesser extent on digalactosyldiacylglycerol
243 (DGDG) and phosphatidylglycerol (PG) (Simionato et al. 2013; Vieler et al. 2012). It has been
244 proposed that EPA is imported into the plastid by a DGTS mediated transport (Schneider and
245 Roessler 1994).

246 Carbohydrates play structural, storage, and osmoprotectant roles in *Nannochloropsis*
247 species. In *N. oceanica* glucose is the predominant hexose in the total complex carbohydrate
248 fraction, which contains smaller amounts of mannose, and trace amounts of rhamnose, fucose,
249 arabinose, xylose, and galactose (Vieler et al. 2012). Marine *Nannochloropsis* species reduce their
250 level of the sugar alcohol mannitol and the disaccharide trehalose content in response to low-salt

251 stress consistent with a role of these carbohydrates in osmoprotection (Pal et al. 2013). Heterokont
252 algae lack starch but produce β -1,3 linked polysaccharides (chrysolamarinin), by the activity of a
253 β -1,3-glucan synthase which is predicted to be encoded in the genome of *Nannochloropsis* species
254 (Corteggiani Carpinelli et al. 2013; Vieler et al. 2012). Approximately 20% of alcohol insoluble
255 polysaccharides are in this form in *N. oceanica* (Vieler et al. 2012). Chrysolamarinin is also a
256 storage compound in diatoms (Chauton et al. 2013; Hildebrand et al. 2017), and has been suggested
257 to have a similar role in *Nannochloropsis* species (Arnold et al. 2015; Li et al. 2014b; Xiao et al.
258 2015), but further studies are needed to confirm this hypothesis. Cellulose is a major
259 polysaccharide in *Nannochloropsis* species, with approximately 80% of the of alcohol insoluble
260 polysaccharides in this form in *N. oceanica* (Vieler et al. 2012). Cellulose serves as a major
261 component of the cell wall (Jeong et al. 2017; Scholz et al. 2014; Vieler et al. 2012), but the cell
262 wall is quite complex in *Nannochloropsis* species (Scholz et al. 2014). Four putative cellulose
263 synthase-encoding genes have been identified (Jeong et al. 2017; Scholz et al. 2014). A large
264 number of carbohydrate-degrading enzymes, 48-49 glycosyl hydrolases, with very diverse
265 taxonomic relations, are found encoded across the pangenome (Wang et al. 2014). The complete
266 repertoire of carbohydrate metabolism in the *Nannochloropsis* genus has yet to be fully
267 established.

268 In order to understand the metabolic networks of *Nannochloropsis* species, a summary of
269 possible chemical reactions in the form of a metabolic map has been generated. A mass-balanced
270 metabolic map for *N. salina* CCMP537 has been produced by Loira and colleagues taking into
271 account 9 organelles (as well as the plastid lumen) of the cell (Loira et al. 2017). The model was
272 validated by modeling different growth conditions and comparing it to *in vivo* data. The conditions
273 of N and phosphate deprivation were used to maximize lipid production and determine essential
274 nutrients, respectively. The iNS934 map-based model indicated several genes whose disruption
275 may result in increased TAG.

276

277 **Transcriptional Regulation**

278 The dynamic control of metabolism in response to environmental changes and intracellular cues
279 is multilayered but inevitably involves transcription factors (TF, possess DNA binding activity)
280 and transcriptional regulators (TR, regulators of TF activity) that modulate gene expression.
281 Databases of known TFs and corresponding position-weighted matrices (PWM) (Stormo 2000)

282 can be used to systematically determine potential interacting TF-DNA sequences. Having a large
283 number of closely related organisms is a valuable resource for *in silico* predictions of conserved
284 regulatory DNA motifs (Hu et al. 2014). Genome sequencing and cataloging of TFs as a first step
285 has been undertaken for the *Nannochloropsis* genus (Hu et al. 2014; Vieler et al. 2012).
286 Comparative genomic studies of the heterokont lineage have identified TF signatures based upon
287 organismal lifestyle (autotrophic, parasitic), multicellularity, or lifecycle stages (Buitrago-Flórez
288 et al. 2014; Rayko et al. 2010; Thiriet-Rupert et al. 2016). TF prediction of *N. oceanica* implied
289 the presence of 115 putative TFs and 109 putative TRs, which combined represent about 2% of
290 the predicted proteins encoded in the genome (Vieler et al. 2012). The *Nannochloropsis* genus has
291 a reduced number of TF and TR families (20-26) compared to land plants and green algae, possibly
292 due to a simpler lifecycle and its unicellularity (Hu et al. 2014; Vieler et al. 2012). Putative TFs of
293 the Myb family (29-35 members), a TF family known to regulate growth and metabolism in other
294 organisms, are enriched in the *Nannochloropsis* genus (Hu et al. 2014; Vieler et al. 2012). Several
295 TFs in *Nannochloropsis* species have been investigated but studies into their targets are only
296 beginning. Despite the large amounts of predicted TFs and TRs, their roles need to be
297 experimentally corroborated.

298 Several approaches have been used to identify regulators of lipid biosynthesis in
299 *Nannochloropsis* species. Hu and colleagues (Hu et al. 2014) took advantage of the extensive
300 genome sequences available to predict conserved transcription factor binding sites (TFBS). They
301 determined the enrichment of gene ontology (GO) terms associated with each motif, and
302 enrichment of motifs associated with lipid biosynthetic genes. Using a TF catalogue and RNA-seq
303 during N deprivation, Hu et al. identified TFs that showed positive or negative co-expression with
304 lipid biosynthetic genes. Finally, they predicted putative connections between TFs and lipid
305 biosynthetic gene promoters based on a TF-DNA motif database (Wingender 2008). One of these
306 predicted lipid biosynthesis regulating TFs (bZIP1) was recently investigated (Kwon et al. 2017).
307 In addition, Ajjawi and colleagues (Ajjawi et al. 2017) identified 20 TFs possibly involved in TAG
308 accumulation based on changes in expression under N deprivation, and used CRISPR/Cas9
309 disruption to assess the predictions.

310 As a photosynthetic organism, light sensing is likely important for tuning metabolism in
311 *Nannochloropsis* species. Aureochromes are heterokont specific photosensitive transcription
312 activators, with a bZIP DNA binding domain and a photosensing dimerization LOV domain

313 (Vieler et al. 2012). In diatoms the aureochromes are implicated in regulating several processes,
314 including cell cycle and light acclimation (Huysman et al. 2013; Mann et al. 2017; Schellenberger
315 Costa et al. 2013). Cryptochromes are blue light photoreceptors derived from DNA repair
316 enzymes, which have been characterized in diatoms (Coesel et al. 2009) and found to oscillate
317 during light:dark cycles in diatoms (Ashworth et al. 2013) and *N. oceanica* (Poliner et al. 2015).
318 Recently, the *Chlamydomonas reinhardtii* animal-like cryptochrome was reported to be involved
319 in regulating the cell cycle and the circadian clock (Müller et al. 2017; Zou et al. 2017). Finally,
320 although phytochromes were thought to be absent in most heterokonts, they have recently been
321 identified in diatoms (Fortunato et al. 2016). *N. oceanica* possesses three aureochromes, an animal-
322 like cryptochrome, and lacks phytochromes, but these proteins have not been functionally
323 characterized (Vieler et al. 2012).

324

325 **Transformation and gene expression platforms**

326 The most widely adapted method for transformation of *Nannochloropsis* species is by
327 electroporation (Kilian et al. 2011; Radakovits et al. 2012; Vieler et al. 2012), but other protocols
328 based on biolistics (Kang et al. 2015a; Kang et al. 2015b) or agrobacterium have been developed
329 (Beacham and Ali 2016; Cha et al. 2011) (Table 2). For insertion of a transgene into the genome
330 by electroporation, a linear piece of DNA is required; a constructed DNA (construct) is therefore
331 digested with restriction enzymes or PCR-amplified (Kilian et al. 2011; Li et al. 2014a; Poliner et
332 al. 2017; Vieler et al. 2012). Each transformant is likely to have a distinct insertion site, and
333 therefore may display different phenotypes due to genome context-specific regulation of the
334 transgene's expression or disruption of endogenous genes by transgene insertion (Cha et al. 2011).

335 Introduction of circular DNA has so far had mixed success in producing transformants
336 (Kilian et al. 2011; Li et al. 2014a; Vieler et al. 2012). Synthetic Genomics Inc. has described
337 plasmid/episome maintenance in algae by use of autonomous replication sequences from a
338 *Nannochloropsis* species (Dehoff et al. 2014), and the utilization of an *S. cerevisiae* centromere
339 (autonomous replication sequence, CEN/ARS) region in pennate and centric diatoms (Diner et al.
340 2016a; Diner et al. 2017; Karas et al. 2015). In diatoms, episomes are maintained under antibiotic
341 selection but are gradually lost without selection pressure (Diner et al. 2016a; Diner et al. 2017;
342 Karas et al. 2015). The transgene expression levels from episomes are more uniform compared to
343 genome integrated constructs between independent transformants, likely due to the absence of

344 insertion site-specific effects using this approach (Diner et al. 2016a; Karas et al. 2015). The
345 capacity of episomes for maintenance of foreign DNA have been reported to be up to 94 kilobases
346 (kb) in diatoms (Karas et al. 2015).

347

348 **Antibiotic resistance marker genes**

349 Several antibiotics are effective depending on the *Nannochloropsis* strain, including hygromycin,
350 zeocin, and blastidicin, and genes conferring resistance are used to isolate *Nannochloropsis*
351 transformants (Ajjawi et al. 2017; Kilian et al. 2011; Nobusawa et al. 2017; Radakovits et al. 2012;
352 Vieler et al. 2012). Zeocin in combination with its respective marker gene is the most widely used
353 selection in *Nannochloropsis* species due to its stringency at low concentrations (Table 2-4).
354 However, it is mutagenic and can lead to secondary mutations (Lin et al. 2017). In diatoms, the
355 selection agents nourseothricin and G418 are frequently utilized with their respective antibiotic
356 resistance genes (Hildebrand et al. 2017; Karas et al. 2015; Zaslavskia et al. 2001). Mutated
357 endogenous proteins in conjunction with competitive inhibitors, such as phytoene desaturase and
358 the inhibitor norflurazon can also be used as a selection marker in some algae (Huang et al. 2008).
359 We have made a number of vectors containing *N. oceanica*-adapted antibiotic selection marker
360 genes that will be available on Addgene (www.addgene.com). When several selection agents and
361 resistance genes are available, multiple transgenic tools can be used in conjunction in one
362 transgenic line (Fig. 1a). However, techniques for generation of transgenic algae without antibiotic
363 resistance markers are necessary for deployment into open ponds. The removal of an antibiotic
364 resistance marker gene could be achieved by the use of a recombinase or endogenous homologous
365 recombination (Cheah et al. 2013). The cotransformation of an episome carrying an antibiotic
366 resistance gene with an insertion construct without a selection marker may also enable generation
367 of marker-free mutants after episome loss in the absence of selection pressure.

368

369 **Transgenic expression in *Nannochloropsis* species**

370 A fundamental technique for genetic engineering and synthetic biology is the overexpression of
371 target genes by increasing transcriptional and/or translational efficiency. Most often, strong
372 promoters that mediate high transcription rates are utilized to express heterologous or endogenous
373 genes at elevated levels. Several endogenous promoters from a variety of *Nannochloropsis* species
374 have been isolated and applied to transgenic expression (Table 2-4), including those driving the

375 genes encoding ubiquitin extension protein (UEP) (Dolch et al. 2017; Kang et al. 2015a;
376 Radakovits et al. 2012; Wei et al. 2017a), β -tubulin (β -tub) (Kang et al. 2015a; Li et al. 2014a; Ma
377 et al. 2017; Radakovits et al. 2012; Wang et al. 2016), lipid droplet surface protein (LDSP) (Kaye
378 et al. 2015; Nobusawa et al. 2017; Poliner et al. 2017; Vieler et al. 2012), and elongation factor
379 (EF) (Poliner et al. 2017; Zienkiewicz et al. 2017). Several bidirectional promoters are utilized for
380 transgenic expression, including those driving the expression of the genes encoding violaxanthin
381 chlorophyll binding proteins (VCP) (Kilian et al. 2011; Ma et al. 2017; Moog et al. 2015) or
382 ribosomal subunits (Ribi) (Fig. 1b) (Poliner et al. 2017). To enhance translational efficiency of
383 transgenes, a 5' UTR can include a consensus Kozak sequence (Dehoff and Soriaga 2014) or
384 leader-enhancing sequence (Gallie et al. 1987; Li et al. 2016a; Xue et al. 2015).

385 Reporter genes are useful for evaluating transgenic strategies and understanding
386 gene/promoter function. In several *Nannochloropsis* species, members of the classes of the
387 fluorescent- (FP), luminescent- (lux), and chromoproteins (CP) are used (Table 2-4). Green
388 fluorescent protein (GFP) is the most widely reported fluorescent protein and has been utilized for
389 subcellular localization of fusion proteins throughout *Nannochloropsis* cells (Ma et al. 2017; Moog
390 et al. 2015; Nobusawa et al. 2017). Other fluorescent proteins such as a red fluorescent protein
391 (RFP, sfCherry) (Kang et al. 2015a), yellow FP (YFP, Venus variant) (Gee and Niyogi 2017;
392 Nobusawa et al. 2017; Zienkiewicz et al. 2017), and cyan FP (CFP, Cerulean variant) (Poliner et
393 al. 2017) are employed in different *Nannochloropsis* species. Luciferases have the advantage of a
394 high signal to noise ratio, and specific substrates allow their use in combination. Codon-optimized
395 firefly luciferase (Flux) and the ultra-bright NanoLuciferase (Nlux) for *in-vivo* assays in *N.*
396 *oceanica* are in use (Poliner et al. 2017). The ultra-bright Nlux allows detection of very low protein
397 quantities and is an effective photon donor for bioluminescence resonance energy transfer (BRET)
398 (Hall et al. 2012; Suzuki et al. 2016). Chromoproteins are colored and do not need a substrate,
399 while the β -Glucuronidase (GUS) reporter is an enzyme that produces a blue stain after conversion
400 of 5-bromo-4-chloro-3-indolyl-beta-D-glucuronic acid (X-Gluc). A purple chromoprotein
401 (shPCP) from the sea anemone *Stichodactyla haddoni* was successfully produced in *N. oculata* and
402 used for screening of transformants (Shih et al. 2015), while GUS was utilized in *N. salina* (Li et
403 al. 2014a).

404 Virus-derived 2A peptides allow production of two discrete proteins from a single
405 transcript by preventing the formation of a peptide bond (peptide bond "skipping") (Fig. 1c). The

406 nascent protein interacts with the ribosome causing it to stall, then during the release of the
407 ribosome a peptide bond is “skipped” between the final two amino acids (Sharma et al. 2012). 2A
408 peptides are widely applied to different hosts and the efficiency of skipping depends on the peptide
409 variant and host. After screening several variants and lengths in *N. oceanica*, the P2A peptide of
410 60 amino acids was found to be most efficient (Poliner et al. 2017). The F2A peptide has also been
411 used in *N. salina* (Unkefer et al. 2017) and *Chlamydomonas* (Plucinak et al. 2015). In order to
412 facilitate multigene expression, bidirectional promoters with P2A peptide sequences were
413 assembled in the pNOC-stacked vector series and will be made available through Addgene (Poliner
414 et al. 2017). Toolkits for assembly of multiple transgenic expression cassettes into a single vector
415 have been developed for some algae (Hamilton et al. 2014; Jia et al. 2016), and would further
416 facilitate gene stacking in *Nannochloropsis* species (Fig. 1d).

417

418 **Generation of targeted gene disruption and transcriptional repression**

419 RNA interference (RNAi) is a powerful technique that can suppress gene expression to varying
420 degrees. RNAi by antisense or double-stranded RNA has been developed in a number of algae
421 including *Chlamydomonas* (Moellering and Benning 2010; Rohr et al. 2004), diatoms (De Riso et
422 al. 2009; Hildebrand et al. 2017; Schellenberger Costa et al. 2013), and several *Nannochloropsis*
423 species (Ajjawi et al. 2017; Ma et al. 2017; Wei et al. 2017a; Wei et al. 2017c). RNAi using an
424 inverted repeat of regions of a target gene has been found to be effective throughout the
425 *Nannochloropsis* genus (Fig. 2a) (Table 4) (Ajjawi et al. 2017; Ma et al. 2017; Wei et al. 2017a;
426 Wei et al. 2017c). In order to generate a strong and stable repression effect, several strategies are
427 available including fusing the interfering RNA to an antibiotic resistance gene (De Riso et al. 2009;
428 Wei et al. 2017c), co-silencing a gene that can be counter selected (Rohr et al. 2004), or expression
429 of the interfering RNA from a bidirectional promoter that also drives expression of an antibiotic
430 resistance gene. In case of essential genes, full disruption of the target gene can result in slow
431 growth or lethality while transcriptional repression targeting the same gene may result in a
432 moderate phenotype (Ajjawi et al. 2017).

433 Homologous recombination has been demonstrated in several *Nannochloropsis* species for
434 insertion of an antibiotic resistance marker with flanking regions of 1 kb identical to the insertion
435 site (Fig. 2b). Targeting efficiency has been reported to be high when transforming low-density
436 cultures (Kilian et al. 2011). Several groups have used this technique for gene disruption (Table 4)

437 (Dolch et al. 2017; Gee and Niyogi 2017; Kilian et al. 2011; Nobusawa et al. 2017). Homologous
438 recombination is adaptable to several purposes, such as, insertion of protein tags, insertion into
439 neutral sites, or replacement of genes with altered functionality.

440 CRISPR/Cas9 is an RNA-guided nuclease-based approach that is dramatically expanding
441 the capabilities of biologists to modify genomes, particularly through the ability to disrupt specific
442 genes or perform precise gene editing (Fig. 2c) (Cong et al. 2013; Ran et al. 2013). In this system,
443 two components have to be localized to the nucleus, a single-guide RNA (sgRNA) and the Cas9
444 nuclease, which together form a ribonucleoprotein complex. The sgRNA requires production
445 without extraneous sequences or modifications on the termini; strategies for sgRNA production
446 include the use of RNA polymerase III-driven promoters (most often the U6 promoter) (Nymark
447 et al. 2016), direct introduction into the cell (Ajjawi et al. 2017), expression of modified tRNAs
448 containing the sgRNA in a spliced region (Cermak et al. 2017), co-expression of a ribonuclease
449 and sgRNA with cleavage sites (Cermak et al. 2017), and use of self-cleaving ribozymes (Cermak
450 et al. 2017). The U6 promoter from diatoms appear to be active and suitable for sgRNA production
451 (Nymark et al. 2016). However, there have been no reports of successful U6 promoter use in the
452 *Nannochloropsis* genus, and two publications utilized alternative strategies (Table 4). Wang and
453 colleagues (Wang et al. 2016) expressed the sgRNA from an V-ATPase promoter and had a low
454 mutational efficiency. In a strategy developed by Ajjawi and coworkers (Ajjawi et al. 2017), the
455 sgRNA is synthesized and introduced by transformation into a *Nannochloropsis* strain expressing
456 Cas9. The generation of off-target mutations is an unresolved issue for CRISPR-based gene
457 editing, which has not been examined in the *Nannochloropsis* genus. Several strategies exist to
458 reduce the number of potential off-targets, including transient expression of one or both
459 components (Ajjawi et al. 2017; Baek et al. 2016; Xie et al. 2017), paired nickase Cas9 (Ran et al.
460 2013), or high-fidelity Cas9 enzymes (Kleinstiver et al. 2016; Slaymaker et al. 2016).

461 Insertional mutagenesis, whereby an antibiotic resistance gene is randomly integrated
462 into a genome, results in gene disruptions and gene deletions (Figure 2d) (Li et al. 2016b; Tsai et
463 al. 2014). Insertional mutagenesis conducted in *N. gaditana* produced mutants with a variety of
464 growth and photosynthetic phenotypes, screening of which identified lines with enhanced light-
465 use efficiency (Perin et al. 2015). While insertional mutagenesis is an efficient method for forward-
466 genetic screens, ready-to-use lines with a disruption of a desired gene requires a mutant library
467 that takes a significant investment to establish (Li et al. 2016b).

468 **Altering metabolism in *Nannochloropsis* species by protein engineering**

469 The usefulness of the aforementioned genetic engineering tools has been demonstrated by
470 modifying different aspects of metabolism. The majority of studies have targeted lipid
471 biosynthesis, either to enhance TAG or EPA production (Table 2-4). In several cases endogenous
472 or heterologous (from *S. cerevisiae* or *C. reinhardtii*) DGATs have been overproduced in different
473 *Nannochloropsis* species (Beacham and Ali 2016; Iwai et al. 2015; Li et al. 2016a; Wei et al.
474 2017a; Xin et al. 2017; Zienkiewicz et al. 2017). Overexpression of the endogenous DGAT1a-
475 encoding gene in *N. oceanica* resulted in a 39% increase in TAG content per cell and RNAi
476 repression resulted in a 20% decrease in TAG content per cell following N deprivation (Wei et al.
477 2017a). Overexpression of the endogenous DGTT5-encoding cDNA in *N. oceanica* resulted in a
478 3.5 fold increase in TAG (as %TFA) (Zienkiewicz et al. 2017). Furthermore, the DGTT7-encoding
479 cDNA has also been overexpressed in *N. oceanica* IMET1 resulting in 69% and 129% increase in
480 neutral lipid (% dry weight) content under N-replete and N-deprivation conditions (Li et al. 2016a).
481 The malonyl-CoA transacylase of *N. oceanica* IMET1, which loads the malonyl group onto the
482 acyl-carrier protein for fatty acid synthesis, has been characterized (Tian et al. 2013) and its
483 overproduction resulted in a 36% (% dry weight) increase in lipids without compromised growth
484 (Chen et al. 2017). In *N. salina* RNAi conducted against the pyruvate dehydrogenase complex
485 (PDC) kinase (PDCK), in order to increase acetyl-CoA levels for fatty acid production (21),
486 resulted in enhanced TAG content at the expense of protein (Ma et al. 2017). Increased expression
487 of the desaturase genes in the EPA pathway using gene stacking techniques achieved up to a 25%
488 increase in EPA (as %TFA) (Poliner et al. 2017). Engineering efforts are only beginning and the
489 enhanced productivity of modified *Nannochloropsis* strains will require further identification of
490 targets to affect metabolite partitioning into the desired pathways, and/or the reduction of final
491 product turnover.

492

493 **Altering metabolism in *Nannochloropsis* species by regulatory engineering**

494 Manipulation of entire metabolic pathways on a greater scale could be accomplished by TF
495 engineering. Recently, TF overproduction, or inactivation (CRISPR) and repression (RNAi) of a
496 TF encoding gene have been used in various *Nannochloropsis* species with the goal of increasing
497 biomass and/or lipid production (Table 3-4) (Ajjawi et al. 2017; Kang et al. 2015b; Kang et al.
498 2017; Kwon et al. 2017). Overproduction of the bHLH2 TF, in *N. salina* resulted in an increased

499 growth rate and a greater biomass productivity, although the transcriptional reprogramming was
500 not described (Kang et al. 2015b). Overproduction of the bZIP1 TF, a predicted lipid biosynthetic
501 TF (Hu et al. 2014), in *N. salina* resulted in enhanced growth, and under stress conditions enhanced
502 lipid content (Kwon et al. 2017). In the bZIP1 overexpressor lines, the expression of putative target
503 genes involved in lipid biosynthesis (Hu et al. 2014) was increased under normal conditions and
504 more dramatically under stress conditions (Kwon et al. 2017). Incredibly, the introduction of the
505 *Arabidopsis thaliana* WRINKLED1 TF (WRI1), a regulator of seed oil production, into *N. salina*
506 enhanced lipid accumulation, possibly by upregulating lipid biosynthetic genes containing the
507 WRI1 motifs in the promoter (Kang et al. 2017). CRISPR inactivation of the ZnCys TF, likely
508 involved in N assimilation, resulted in a large increase in TAG content in *N. gaditana* but a strong
509 reduction of growth. An optimization of the balance between growth versus lipid accumulation
510 was achieved through decreased expression of ZnCys by RNAi or by the CRISPR/Cas9 mediated
511 insertion of an antibiotic resistance cassette into the 3' UTR of this gene (Ajjawi et al. 2017).

512

513 **Additional challenges for the development of improved *Nannochloropsis* strains**

514 To develop improved strains optimized for biosynthetic yield, either by increasing flux into the
515 target pathway or by disruption of competing pathways multiple specific modifications are likely
516 to be required. For example, stacking gene modifications will be necessary to introduce new
517 pathways or for the optimization of existing pathways. Furthermore, the development of marker-
518 free strategies or the utilization of auxotrophic markers for selection of genetically modified strains
519 are necessary for deployment of engineered strains into open ponds exposed to the environment.

520 Disrupting competing metabolic pathways may yield enhanced productivity of certain
521 bioproducts. Carbohydrates are a competing sink for lipid production and polysaccharide
522 biosynthetic genes have been targeted for repression in green algae and diatoms to increase lipid
523 accumulation (Daboussi et al. 2014; Hildebrand et al. 2017; Work et al. 2010). The tough cell wall
524 of the *Nannochloropsis* genus takes considerable cellular resources to construct and is an
525 impediment to efficient processing of cells to obtain bioproducts. Therefore, *Nannochloropsis*
526 strains with weakened walls may be superior for bioproduction. Reducing the turnover of desired
527 products may enhance their accumulation, and identification of the genes involved in product
528 degradation will be an avenue towards enhancing the productivity of algae (Trentacoste et al. 2013;
529 Xue et al. 2013).

530 The successful adoption of gene disruption technology will facilitate disruption of
531 biosynthetic genes for essential metabolites, generating strains that require supplementation or
532 gene complementation (auxotrophy). Nitrate reductase (NR)-deficient strains of *Chlamydomonas*
533 strains can be complemented with a wild-type NR gene and selected on nitrate media (Kindle et
534 al. 1989). The NR gene has been targeted in several studies in various *Nannochloropsis* species
535 (Ajjawi et al. 2017; Kilian et al. 2011; Wang et al. 2016) and diatoms (Diner et al. 2016b;
536 McCarthy et al. 2017). Auxotrophic selection based on the NR-disrupted strains may be
537 particularly useful when paired with episomal artificial chromosomes, enabling a nutrient selection
538 pressure on episome maintenance.

539

540 **Call for an open alga**

541 Establishing a model organism (or bioproduct chassis) requires dissemination of the skills and
542 tools developed to a wide network of scientists. A niche is developing for third-party repositories
543 (TPR) that facilitate the maintenance of accumulated biological materials and are making these
544 materials more accessible than ever before. However, for a TPR to be sustainable, innovators must
545 be willing to transfer their materials and utilize the TPR as part of their own workflow. A notable
546 nonprofit TPR for plasmid and strain dissemination is Addgene that we are collaborating with by
547 depositing the collection of *Nannochloropsis* engineering vectors developed in our studies. Some
548 of the model *Nannochloropsis* species such as CCMP1779 (*N. oceanica*), CCMP526 (*N. gaditana*),
549 and CCMP537 (*N. salina*) are publicly available from algae culture collections (NCMA,
550 <https://ncma.bigelow.org/>). We are making an engineered NR knockout strain publicly available
551 through NCMA, as well. As transgenic tools and knockout and chassis strains are produced,
552 deposition with TPRs will accelerate innovation, allow researchers to do more with less, build
553 genome-wide overexpression and knockout strain libraries, and establish quality controls and
554 standardization in the field.

555

556 **Author contributions**

557 EP, EF, and CB wrote the manuscript. All authors read and approved the manuscript.

558

559

560 **Acknowledgements**

561 This work was supported by a National Science Foundation grant (IOS-1354721) to EF. In
 562 addition, parts of this work were supported by the Division of Chemical Sciences, Geosciences
 563 and Biosciences, Office of Basic Energy Sciences of the United States Department of Energy (DE-
 564 FG02-91ER20021) and MSU-AgBioResearch to CB.

565

566 **Table 1. Publicly available whole-genome datasets produced in *Nannochloropsis* species.**

Citation	Strains	Datasets	Public access	567
(Radakovits et al. 2012)	<i>N. gaditana</i> CCMP526	Genome assembly	http://nannochloropsis.genomeprojectsolutions-databases.com	568
(Cortegiani Carpinelli et al. 2013)	<i>N. gaditana</i> B-31	Genome browser and BLAST server	www.nannochloropsis.org	569
(Wang et al. 2014)	<i>N. oceanica</i> IMET1 and CCMP531, <i>N. granulata</i> CCMP529, <i>N. oculata</i> CCMP525, <i>N. salina</i> CCMP537, <i>N. gaditana</i> CCMP526	Genome browser and BLAST server	http://www.bioenergychina.org:8989/	
(Vieler et al. 2012)	<i>N. oceanica</i> CCMP1779	Genome browser and BLAST server	https://genome.jgi.doe.gov/Nanoce1779/Nanoce1779.home.html	
(Poliner et al. 2015)	<i>N. oceanica</i> CCMP1779	RNA-Seq during light:dark cycles	https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE69460	
(Mühlroth et al. 2017)	<i>N. oceanica</i> CCMP1779	RNA-Seq under phosphate deprivation	https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE95774	
(Hu et al. 2014)	<i>N. oceanica</i> IMET1 and CCMP531, <i>N. granulata</i> CCMP529, <i>N. oculata</i> CCMP525, <i>N. salina</i> CCMP537, <i>N. gaditana</i> CCMP526	Predicted regulatory connections of lipid biosynthetic genes	http://www.singlecellcenter.org/en/NanoRegulationDatabase/Download.htm	

Table 2. Genomic transformation protocols developed for the *Nannochloropsis* genus.

Reporter or epitope indicates if a protein tag was included, reporter abbreviations are FP - fluorescent protein, CP - chromoprotein, and GUS - β -glucuronidase. Promoters used to drive transcription of transgenes *in vivo* are listed; the species of origin for non-*Nannochloropsis* promoters are indicated in italics. Endogenous promoter abbreviations are LDSP - lipid droplet surface protein, β -tub - β -tubulin, UEP - ubiquitin extension protein, HSP - heat shock protein, and VCP - violaxanthin-chlorophyll a binding protein. Selection agent refers to antibiotic selection used to isolate *Nannochloropsis* transformants.

Citation	Strain(s)	Reporter or epitope	Promoter(s)	Selection agent(s)
(Vieler et al. 2012)	<i>N. oceanica</i> CCMP1779		LDSP	Hygromycin
(Radakovits et al. 2012)	<i>N. gaditana</i> CCMP526		β -tub, UEP, HSP	Zeocin
	<i>N. oceanica</i> PP983 and MBIC10090, <i>N. granulata</i> MBIC10054, <i>N. salina</i>			
(Li et al. 2014a)	MBIC10063, <i>N. gaditana</i> CCAP849/5, <i>N. oculata</i> CCAP 849/1, <i>N. limnetica</i> KR1998/3	GUS	β -tub	Zeocin
(Cha et al. 2011)	<i>N. sp.</i> UMT-M3		CMV 35S	Hygromycin
(Ma et al. 2016)	<i>N. oculata</i> CS-179		<i>C. reinhardtii</i> HSP70A::RBCS2	Zeocin
(Moog et al. 2015)	<i>N. oceanica</i> CCMP1779	Green FP	VCP	Zeocin
(Shih et al. 2015)	<i>N. oculata</i> NIES-2146	shCP	<i>C. reinhardtii</i> HSP70A::RBCS2	
(Kang et al. 2015a)	<i>N. salina</i> CCMP1776	sfCherry	β -tub and UEP	Zeocin
(Chen et al. 2008)	<i>N. oculata</i>		<i>C. reinhardtii</i> HSP70A::RBCS2	
(Kilian et al. 2011)	<i>N. oceanica</i> W2J3B		VCP	Zeocin, Hygromycin, Blasticidin

Table 3. Overexpression studies conducted in the *Nannochloropsis* genus.

Methods describe the genetic techniques used in the study. Strains refers to the *Nannochloropsis* subspecies. The target gene refers to the function of the primary gene manipulated. Reporter or epitope indicates if a protein tag was included. Promoters used to drive transcription of transgenes *in vivo* are listed; the species of origin for non-*Nannochloropsis* promoters are indicated in italics. Endogenous promoter abbreviations are LDSP - lipid droplet surface protein, EF - elongation factor, Ribi - ribosomal subunit bidirectional, β -tub - β -tubulin, UEP - ubiquitin extension protein, HSP - heat shock protein, and VCP - violaxanthin-chlorophyll α binding protein. Selection agent refers to antibiotic selection used to isolate *Nannochloropsis* transformants.

Citation	Method(s)	Strain	Target gene(s)	Reporter(s) or epitope(s)	Promoter(s)	Selection agent(s)
(Poliner et al. 2017)	Multi-gene overexpression	<i>N. oceanica</i> CCMP1779	delta-9, delta-12, delta-5 fatty acid desaturases	Firefly luciferase, NanoLuciferase, Cyan FP (Cerulean variant)	EF, LDSP, Ribi	Hygromycin, Zeocin
(Zienkiewicz et al. 2017)	Overexpression	<i>N. oceanica</i> CCMP1779	diacylglycerol acyltransferase type 2-5	Yellow FP (Venus variant)	EF	Hygromycin
(Kaye et al. 2015)	Overexpression	<i>N. oceanica</i> CCMP1779	delta-12 fatty acid desaturase		LDSP	Hygromycin
(Li et al. 2016a)	Overexpression	<i>N. oceanica</i> CCMP1779	diacylglycerol acyltransferase type 2-7	Flag tag	Hsp20	Zeocin
(Kang et al. 2015b)	Overexpression	<i>N. salina</i> CCMP1776	basic helix loop helix 2	Flag tag	β -tub, UEP	Zeocin
(Beacham and Ali 2016)	Overexpression	<i>N. salina</i> CCAP 849/3	<i>S. cerevisiae</i> DGA1		CMV Tef, 35S	Hygromycin
(Chen et al. 2017)	Overexpression	<i>N. oceanica</i> IMET1	malonyl CoA-acyl carrier protein transacylase	Flag tag	HSP20	Zeocin
(Wei et al. 2017b)	Overexpression	<i>N. oceanica</i> IMET1	RuBisCO activase		β -tub, HSP70	Zeocin
(Iwai et al. 2015)	Overexpression, inducible expression	<i>N. oceanica</i> NIES-2145	<i>C. reinhardtii</i> diacylglycerol acyltransferase type 2-4		<i>C. reinhardtii</i> SQD, VCP	Zeocin
(Kang et al. 2017)	Overexpression	<i>N. salina</i> CCMP1776	<i>A. thaliana</i> WRINKLED1	Flag tag	β -tub, UEP	Zeocin
(Kwon et al. 2017)	Overexpression	<i>N. salina</i> CCMP1776	basic leucine zipper domain 1	Flag tag	β -tub, UEP	Zeocin

Table 4. Gene inactivation or repression studies conducted in the *Nannochloropsis* genus.

Strain refers to the *Nannochloropsis* subspecies. Methods describe the genetic techniques used in the study. The target gene refers to the function of the primary gene manipulated. Reporter or epitope indicates if a protein tag was included, FP indicates fluorescent protein. Promoters used to drive transcription of transgenes *in vivo* are listed; the origin of transgenic promoters are indicated in italics. Endogenous promoter abbreviations are LDSP - lipid droplet surface protein, β -tub - β -tubulin, UEP - ubiquitin extension protein, HSP - heat shock protein, and VCP - violaxanthin–chlorophyll a binding protein. Selection agent refers to antibiotic selection used to isolate *Nannochloropsis* transformants.

Citation	Method(s)	Strain(s)	Target gene(s)	Reporter(s) or epitope(s)	Promoter(s)	Selection agent(s)
(Kilian et al. 2011)	Disruption by HR	<i>N. oceanica</i> W2J3B	nitrate reductase		VCP	Zeocin, Hygromycin, Blasticidin
(Wang et al. 2016)	Disruption by CRISPR/Cas9	<i>N. oceanica</i> IMET1	nitrate reductase		VCP, β -tub, V-ATPase	Hygromycin
(Ajjawi et al. 2017)	Disruption by CRISPR/Cas9, Repression by CRISPR/Cas9, RNAi	<i>N. gaditana</i> CCMP1894	ZnCys	Green FP, Flag tag	initiation factor 4AIII, 60S ribosomal protein L24, initiation factor 3, TCT	Hygromycin, Blasticidin
(Wei et al. 2017c)	Repression by RNAi	<i>N. oceanica</i> IMET1 and CCMP1779	carbonic anhydrase		β -tub	Zeocin
(Dolch et al. 2017)	Disruption by HR	<i>N. gaditana</i> CCMP526	palmitate elongase		UEP	Zeocin
(Perin et al. 2015)	Insertional mutagenesis	<i>N. gaditana</i> CCAP 849/5			UEP	Zeocin
(Wei et al. 2017a)	Overexpression and RNAi	<i>N. oceanica</i> IMET1	diacylglycerol acyltransferase type 1-1A	Green FP	VCP, UEP, β -tub	Zeocin
(Gee and Niyogi 2017)	Disruption by HR, complementation	<i>N. oceanica</i> CCMP1779	carbonic anhydrase	Flag tag, Yellow FP (Venus variant)	UEP	Zeocin
(Nobusawa et al. 2017)	Overexpression and disruption by HR	<i>N. oceanica</i> NIES-2145	lysophosphatidic acid acyltransferase 1-4	Green FP, Yellow FP (Venus variant)	LDSP	Zeocin, Hygromycin
(Ma et al. 2017)	Overexpression and repression by RNAI	<i>N. salina</i> CCMP537	pyruvate dehydrogenase kinase	Green FP	β -tub, VCP	Zeocin

Figure Legends

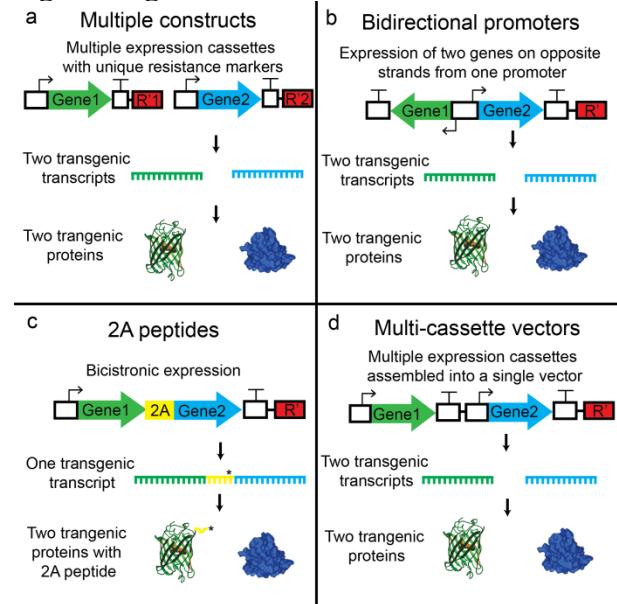


Fig. 1 Gene stacking strategies in *N. oceanica*.

Diagrams represent configuration for two protein coding transgenes. Gene1 represented by GFP is shown in green. Gene2 represented by NanoLuciferase is shown in blue. Promoters are indicated by arrows and terminators by a T (Synthetic Biology Open Language standard) (Galdzicki et al. 2014). Resistance markers are indicated as R' (red). *Nannochloropsis* expression cassettes without a plasmid backbone are shown. a. Constructs with unique selection markers (indicated as R'1 and R'2, red) can be introduced into one line. b. Bidirectional promoters regulate transcription on both DNA strands, and express two transcripts. c. Sequences encoding 2A peptides are placed between two protein-encoding genes. A peptide bond is not formed between the two final amino acids (*) during translation. Two discrete proteins are produced, the N' terminal protein (green) contains the majority of the 2A peptide (yellow) and the C terminal protein (blue) contains the last amino acid of the 2A peptide (not shown). d. Assembly of multiple expression cassettes into a single construct to produce multiple transcripts from different promoters. The image of the GFP protein was obtained from the NIH Image Gallery (<https://www.flickr.com/photos/nihgov/>) and is adapted under the terms of CC BY-NC 2.0. The NanoLuciferase image is adapted from Hall et al. with permission (Hall et al. 2012).

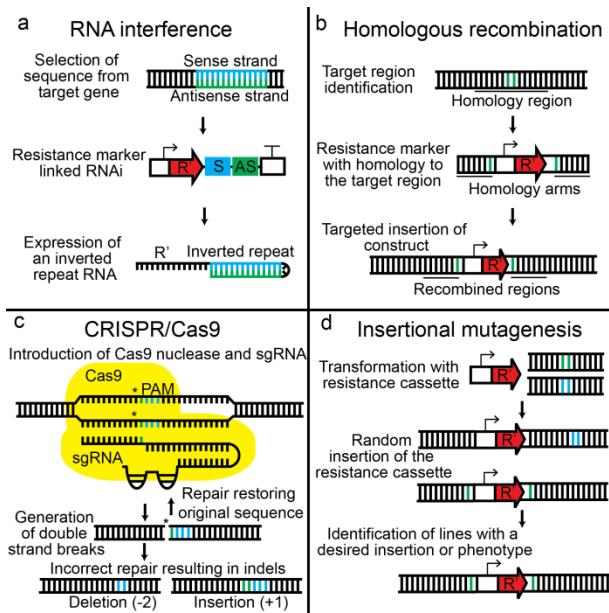


Fig. 2 Gene repression and inactivation techniques in the *Nannochloropsis* genus.

Promoters are indicated by arrows and terminators by a T (Synthetic Biology Open Language standard) (Galdzicki et al. 2014). Resistance markers are indicated as R' (red). Extended DNA is indicated by an overhanging backbone, and free ends of DNA are indicated by blunt ends. a. RNA interference by expression of sense (blue) and antisense (green) sequences of a target gene at the 3' end of a resistance gene. The sense and antisense sequences form an inverted repeat on the resistance marker transcript. b. Homology arms are the sequences flanking a desired insertion site (green). A resistance cassette with flanking homology arms to a target region is introduced by transformation. Homologous recombination between the homology arms of the resistance cassette and the target region results in a disrupted gene. c. CRISPR/Cas9 techniques utilize the Cas9 nuclease and an sgRNA, forming ribonucleoprotein complex (yellow). The PAM site (blue) and the final 3' nucleotide of the guide sequence (green) are indicated. Double stranded cuts are produced in the 3' end of the target region. Incorrect repair of the strand break prevents further Cas9 action. Insertion or deletion mutations are most likely to occur. d. Insertional mutagenesis by transformation with a resistance cassette. The resistance cassette is randomly inserted throughout the genome, resulting in mutant lines with unique insertion sites. Desired mutants (green) are identified by a phenotype screen, target gene screen, or from a mutant library.

Conflict of Interest

The authors declare that they have no conflict of interest.

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