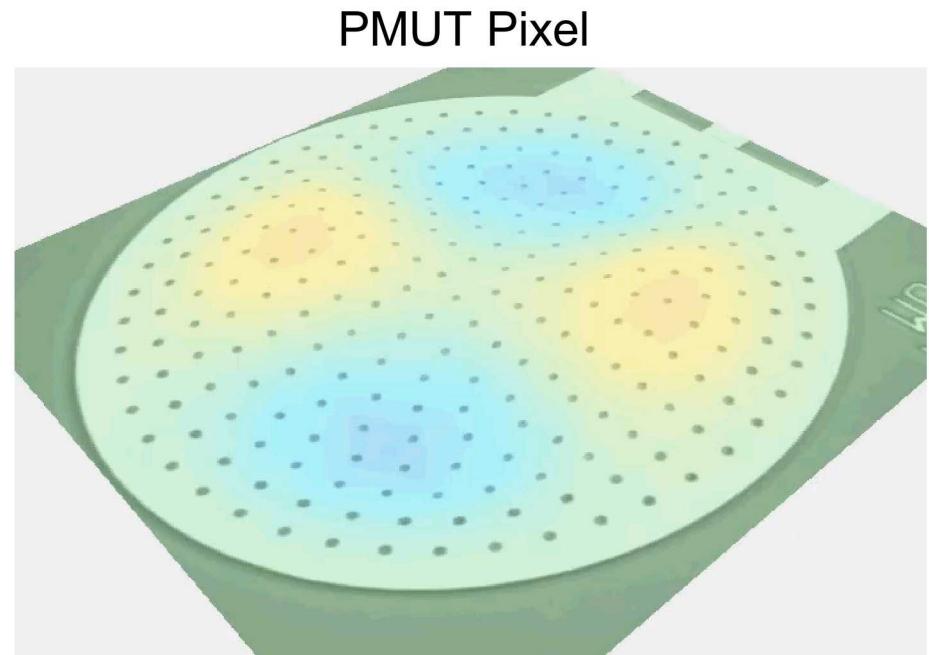


# Post-CMOS Compatible Piezoelectric Micromachined Ultrasonic Transducers

Benjamin A. Griffin, Adam M. Edstrand, Sean Yen, and Robert W. Reger

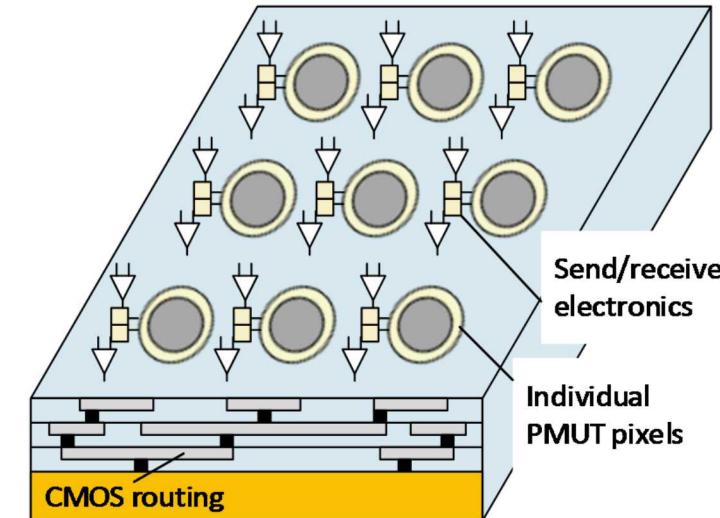
# Outline

- **Ultrasonic Fingerprint Sensing**
- Piezoelectric Micromachined Ultrasonic Transducers Design
- Fabrication
- Experimental Results
- Conclusion and Future Work



# Fingerprint Sensors

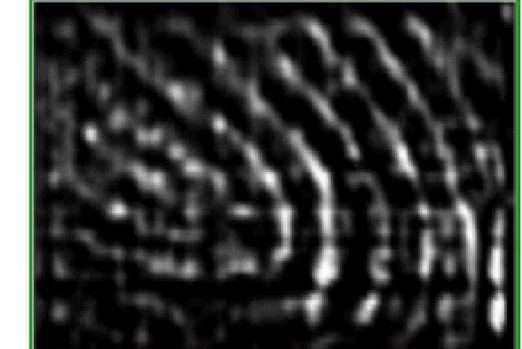
- With the advent of the smartphone, fingerprint sensors have become ubiquitous
- Current commercial fingerprint sensors rely on capacitive sensing
  - Unreliable in the presence of contamination (e.g. water, dirt, etc)
- An ultrasonic fingerprint sensor would:
  - Allow for deeper dermal imaging
  - Be more secure and reliable
  - Be robust to contaminants



Epidermal fingerprint



Dermal fingerprint



H. Y. Tang *et al.*, "3-D Ultrasonic Fingerprint Sensor-on-a-Chip," *IEEE Journal of Solid-State Circuits*, vol. 51, no. 11, pp. 2522-2533, 2016

# How does ultrasonic imaging work?

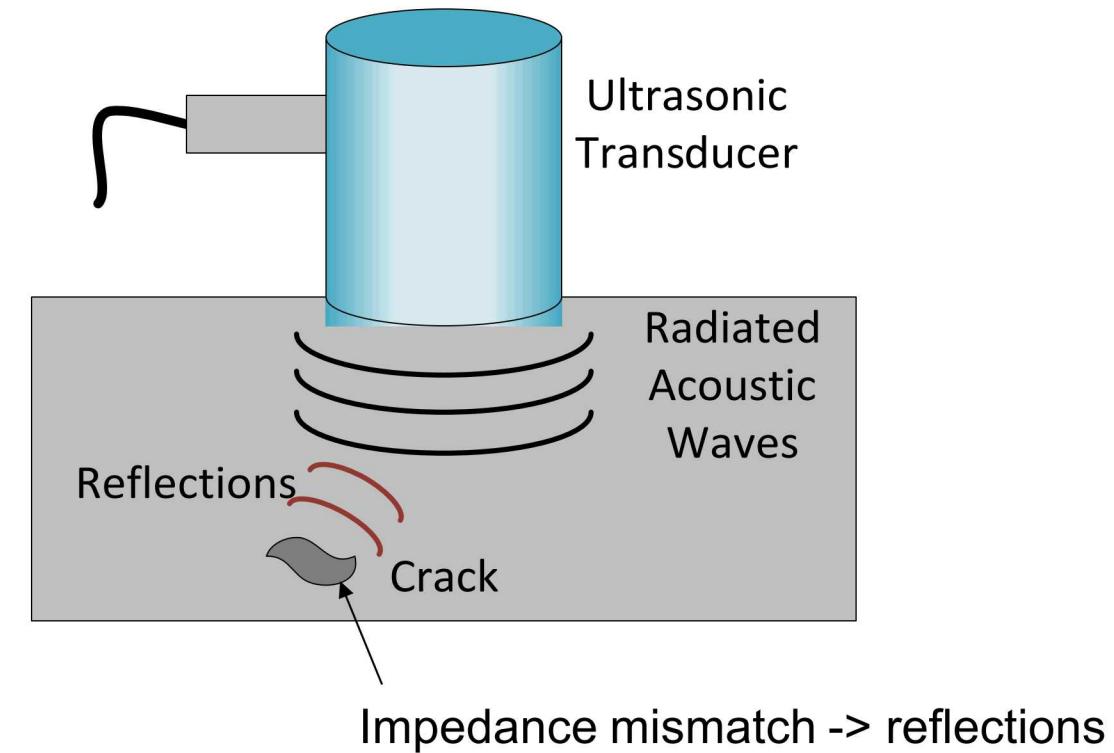
- High frequency sound waves are launched into the target medium from an ultrasonic transducer
- Sound waves reflect off differences in acoustic impedance
- Reflected waves are sensed by the ultrasonic transducer
- Similar to echolocation of bats or SONAR
- The time of flight determines the distance to the echo for a given speed of sound

Material acoustic impedance

$$Z_{ac} = \rho_0 c_0$$

Density      Speed-of-sound

- Transducers are generally piezoelectric



# Chip Scale Ultrasonic Imaging

## Conventional Ultrasonic Imaging System



Example: Linear Phased Array Probe



128 elements,  $\sim 10^5 \text{ mm}^3$

Example: Phased Array Instrument  
(contains send/receive electronics)

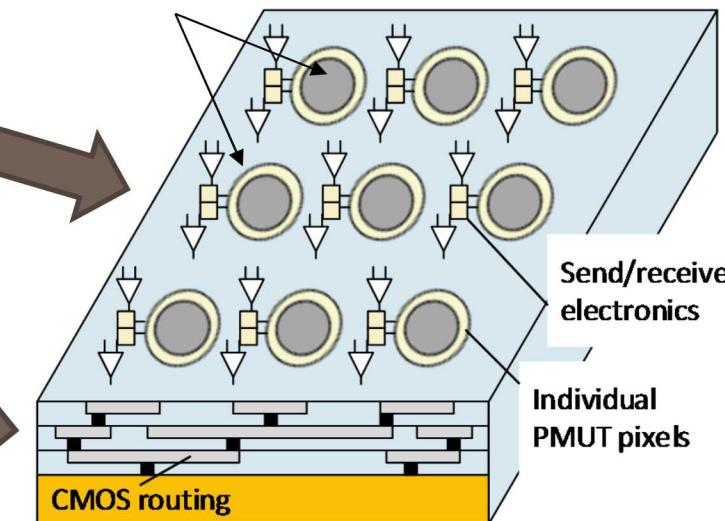


$\sim 10^7 \text{ mm}^3$

## MEMS Single Chip Ultrasonic Imaging System

- Replace a conventional 2D ultrasonic phased array with a chip-scale ultrasonic imaging system for applications require SWAP superiority

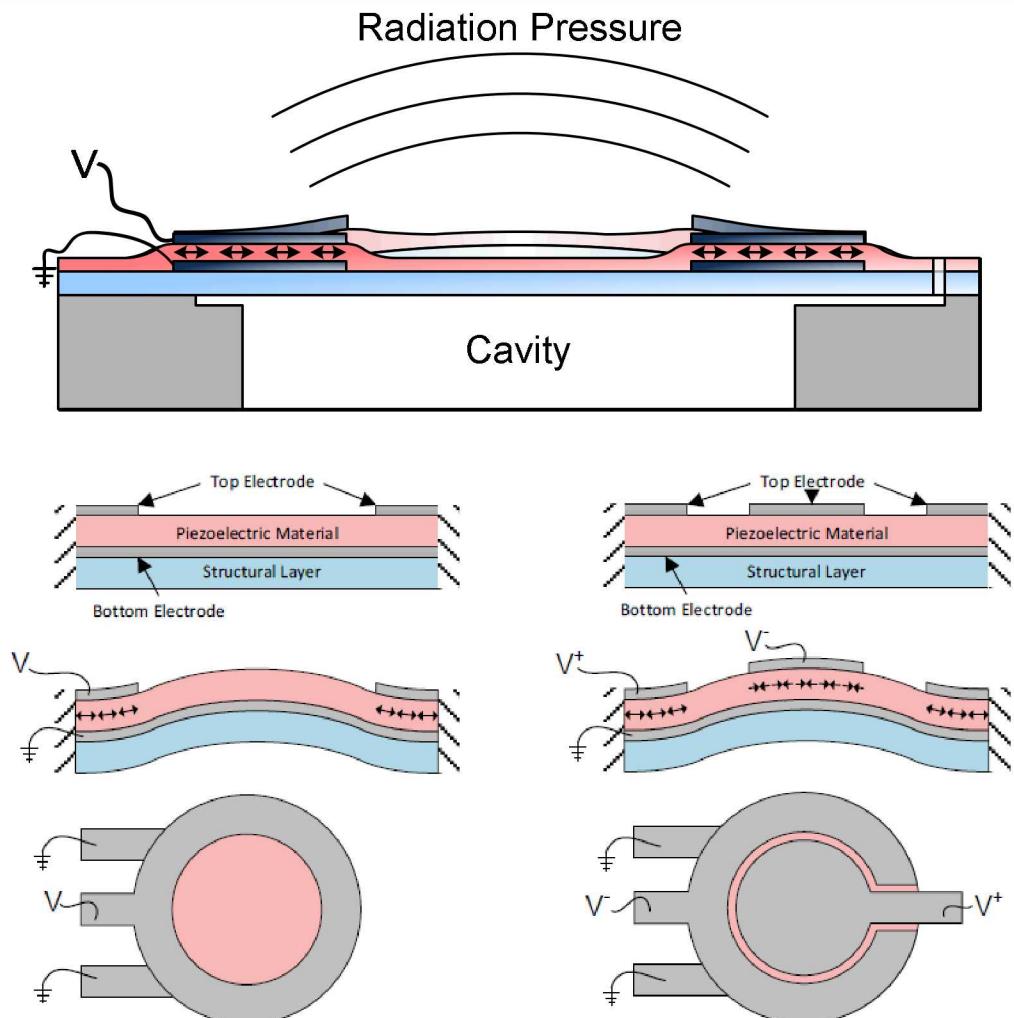
### MEMS ultrasonic transducers



**>1,000 elements, ~15 mm<sup>3</sup>**

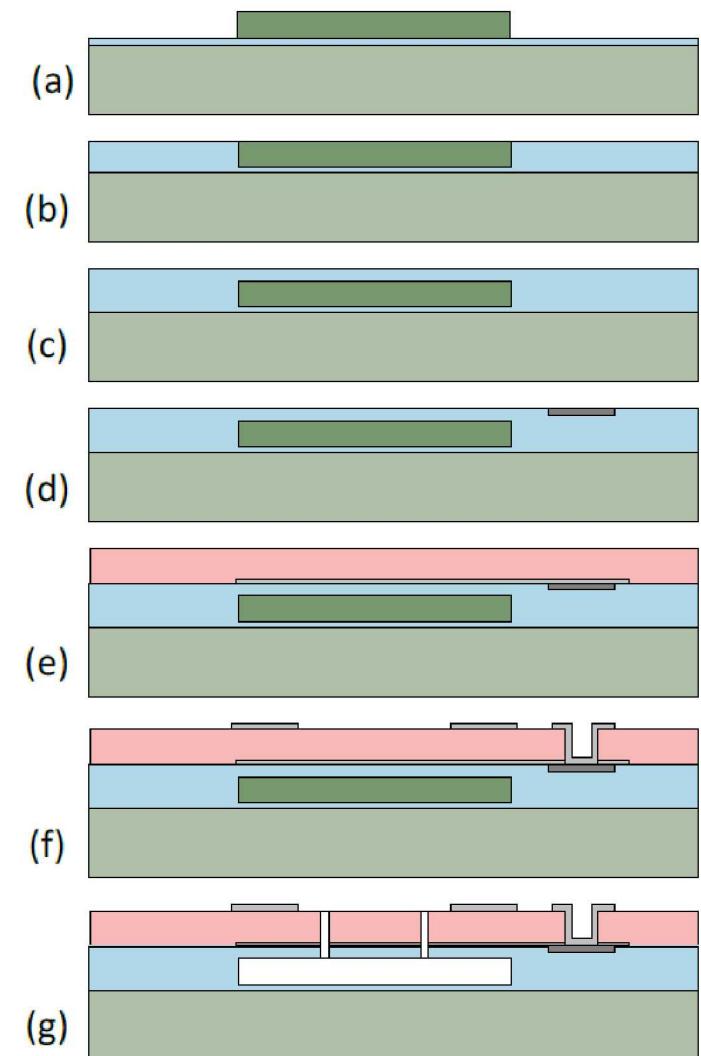
# PMUT Design

- Conventional ultrasonic transducers utilize thickness mode vibrations via d33
- PMUTs utilize the d31 coefficient to induce drum-head like bending
  - Allows for smaller devices with lower acoustic impedances
- Aluminum nitride based for CMOS compatibility
  - Direct integration onto CMOS will allow for tightly-packed PMUTs arrays to be fully controlled with a chip-scale solution
- Two electrode configurations investigated
  - The two-port PMUT allows for pulse-receive in a single pixel



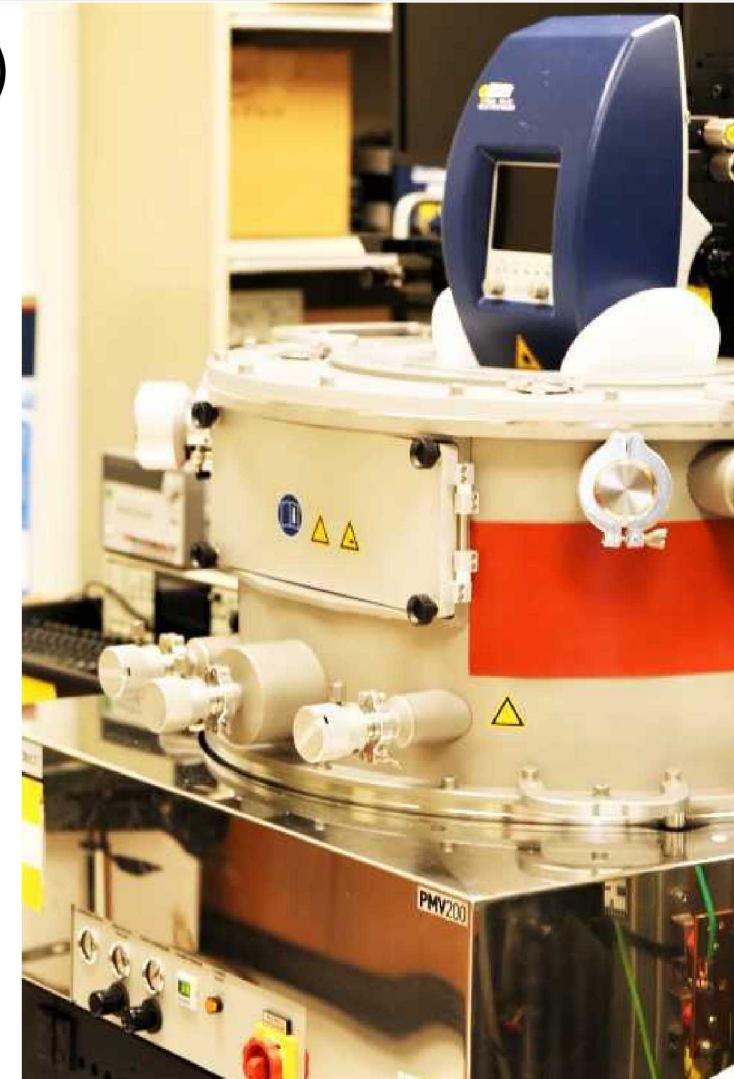
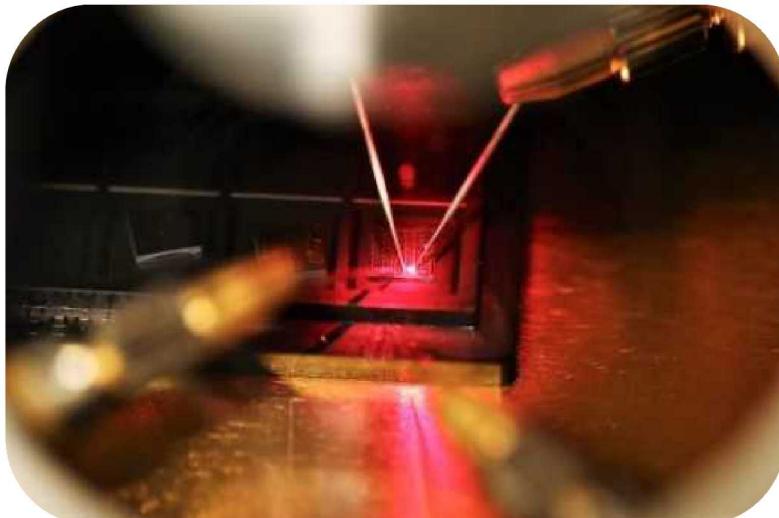
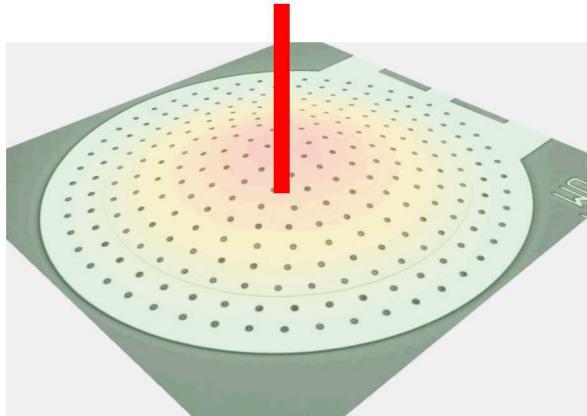
# Fabrication

- a) Deposit 600 nm PETEOS followed by 2  $\mu\text{m}$  PolySi
- b) Deposit 2.6  $\mu\text{m}$  PETEOS and CMP to expose PolySi
- c) Deposit 1.5  $\mu\text{m}$  PETEOS as structural layer for PMUT
- d) Pattern and etch 0.6  $\mu\text{m}$  into PETEOS; then deposit W and CMP, this will serve as a via etch stop
- e) Sputter deposit bottom electrode of 20/25/100 nm Ti/TiN/AlCu; then sputter deposit 750 nm AlN
- f) Pattern and etch vias to the W etch stop; then deposit and pattern top electrode of 200/25 nm of AlCu/TiN
- g) Pattern and etch release holes through AlN; then release the PMUT diaphragm using a XeF<sub>2</sub> etch of the Poly Si



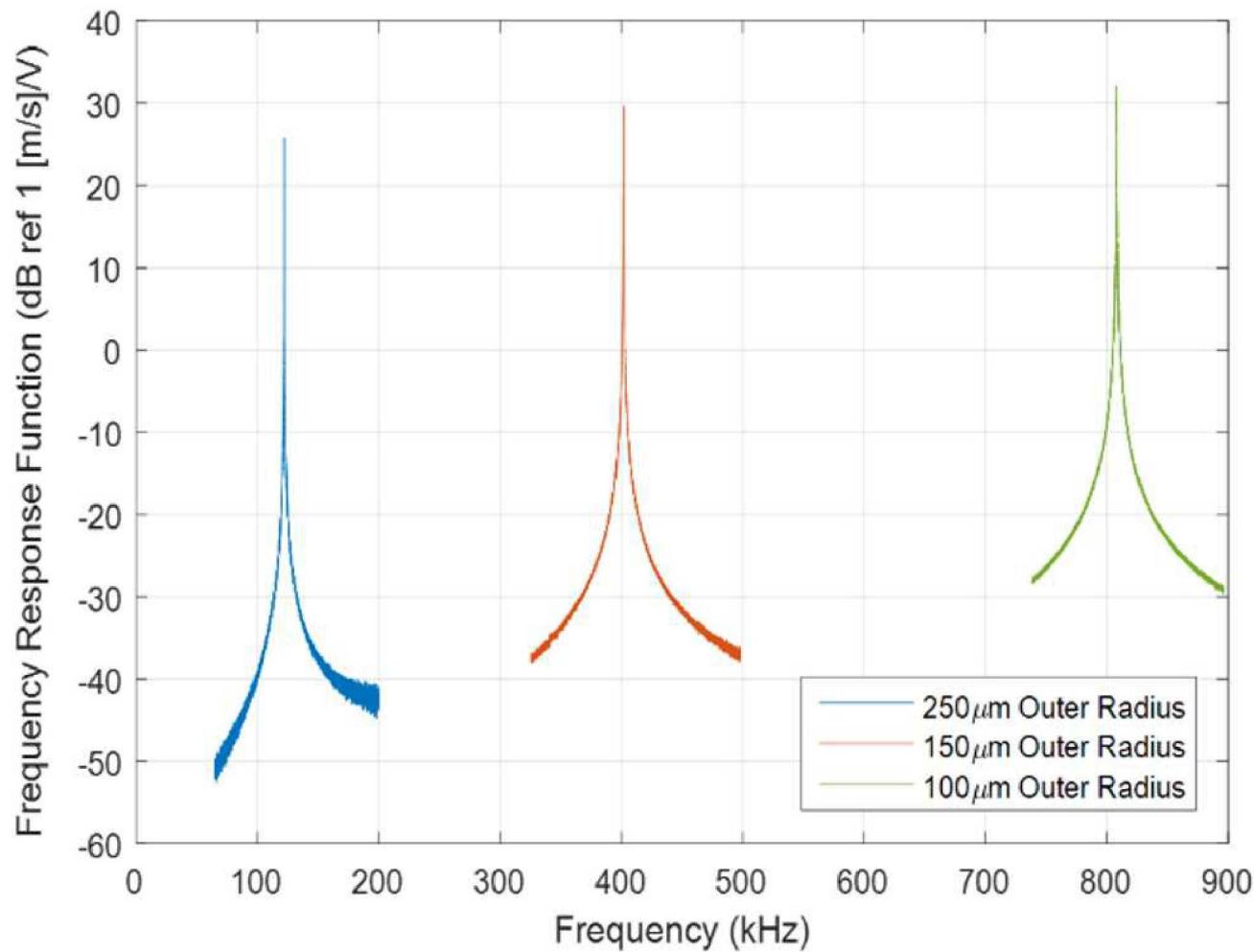
# Test Setup

- Initial testing is performed via laser doppler vibrometry (LDV)
  - Utilizing a scanning LDV system in a vacuum capable chamber
    - Provide an AC input voltage excitation to the device
    - Measure the diaphragm vibration velocity output
- Measurements obtained in vacuum
  - Vacuum measurements allow us to accurately capture device electromechanics in the absence of fluid interaction



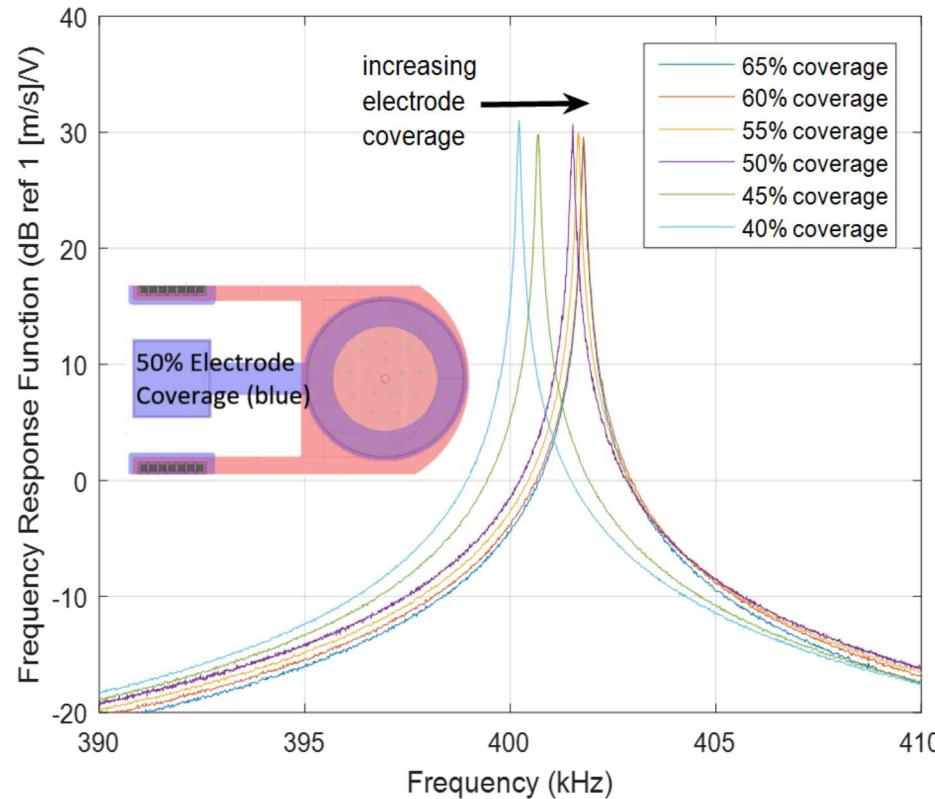
# Experimental Results

- PMUTs designed and fabricated to operate at three frequencies
  - 100, 400, 800 kHz
  - 100 kHz device is about 0.5mm laterally by 0.8mm thick
  - AlN based 100 kHz thickness mode device would be ~55mm thick
    - PZT based would be ~20mm thick
- High quality factors due to vacuum testing; expected to reduce significantly in application

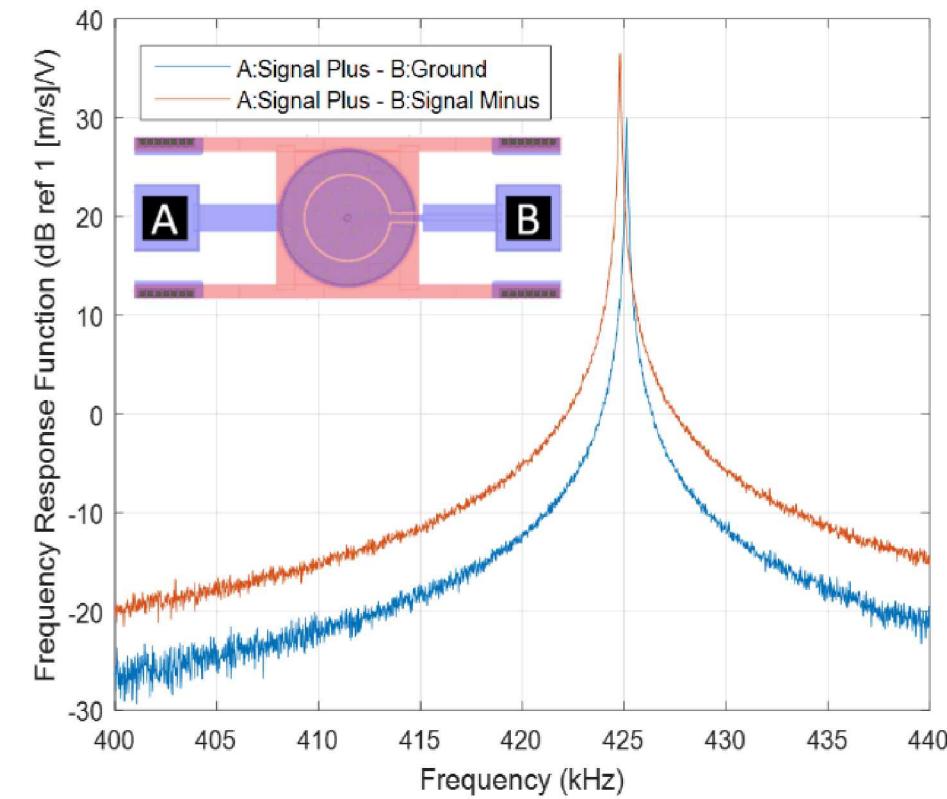


# Experimental Results Continued

- Increasing electrode coverage causes:
  - Reduced resonant frequency
  - Small increase in sensitivity

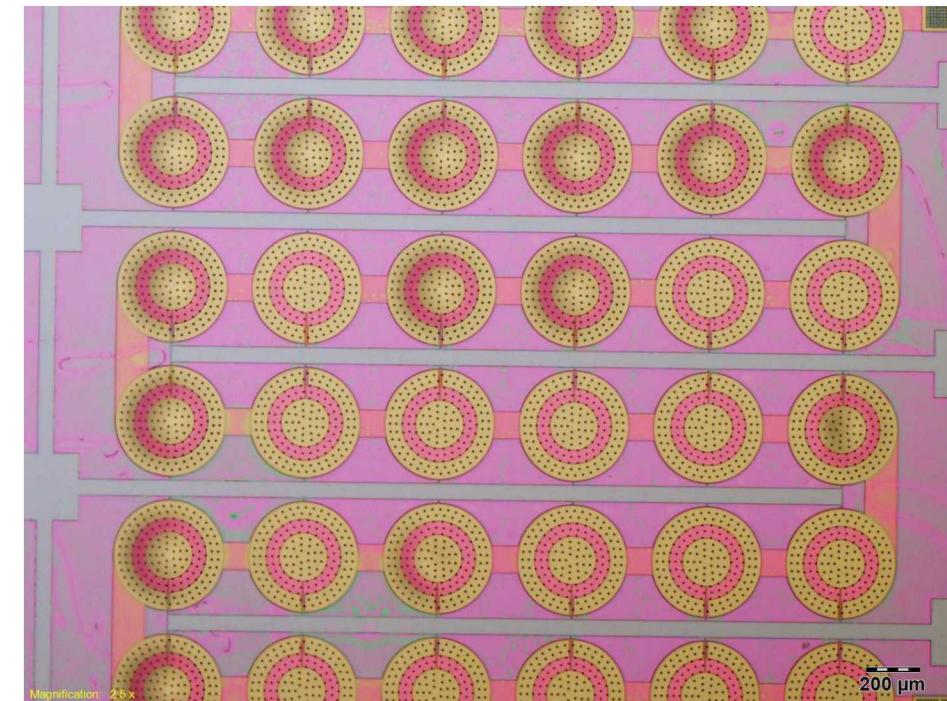


- Two-electrode PMUTs:
  - Increased output (6 dB, as expected)
  - Slight reduction in resonant frequency



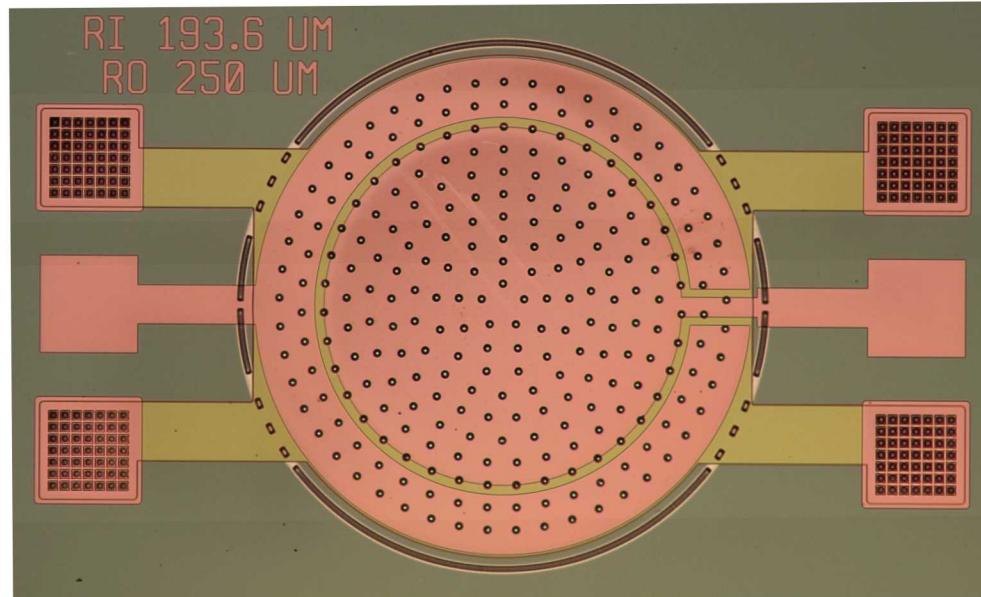
# Conclusions and Future Work

- Expanding market for fingerprint sensors
  - PMUTs enable sub-surface matching while capacitive sensors are unreliable in the presence of surface contamination
- Designed lateral mode PMUTs using piezoelectric aluminum nitride
  - Post-CMOS compatible allowing for densely packed PMUTs with electronic interface
  - Chip-scale access to lower frequencies and better penetration than traditional thickness mode devices
- Devices fabricated at 100, 400, 800 kHz
- Future direction is to array these devices to create an imaging system monolithically integrated with CMOS



# Acknowledgements and Questions

- Acknowledgements:
  - Funded by Laboratory Directed Research and Development from Sandia National Labs
  - Thanks to MESA fabrication support for processing these devices, especially Peggy Clews



**LABORATORY DIRECTED  
RESEARCH & DEVELOPMENT**

Questions?