

Combined computational and experimental study of zirconium tungstate

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We have investigated cubic zirconium tungstate (ZrW_2O_8) using density functional perturbation theory (DFPT), along with experimental characterization to assess and validate computational results. Cubic zirconium tungstate is among the few known materials exhibiting isotropic negative thermal expansion (NTE) over a broad temperature range, including room temperature where it occurs metastably. Isotropic NTE materials are important for technological applications requiring thermal-expansion compensators in composites designed to have overall zero or adjustable thermal expansion. While cubic zirconium tungstate has attracted considerable attention experimentally, a very few computational studies have been dedicated to this well-known NTE material. Therefore, spectroscopic, mechanical and thermodynamic properties have been derived from DFPT calculations. A systematic comparison of the calculated infrared, Raman, and phonon density-of-state spectra has been made with Fourier transform far-/mid-infrared and Raman data collected in this study, as well as with available inelastic neutron scattering measurements. The thermal evolution of the lattice parameter computed within the quasi-harmonic approximation exhibits negative values below the Debye temperature, consistent with the observed negative thermal expansion characteristics of cubic zirconium tungstate, $\alpha\text{-ZrW}_2\text{O}_8$. These results show that this DFPT approach can be used for studying the spectroscopic, mechanical and thermodynamic properties of prospective NTE ceramic waste forms for encapsulation of radionuclides produced during the nuclear fuel cycle.

I. INTRODUCTION

Negative thermal expansion (NTE), occurring in Si and Ge, elemental U, β -quartz, elastomers, some zeolites, and ceramics with framework structures, has been actively investigated experimentally and theoretically for decades owing to its potential applications in high-

precision optical systems, nanoscale semiconductor devices, high-performance thermoelectric converters or fuel cells^{1,2,3}. While typical NTE materials exhibit anisotropic expansion, that is, contraction in one or two directions coupled with positive thermal expansion in other directions, zirconium tungstate is among the few known materials exhibiting isotropic negative thermal expansion (NTE) over a broad temperature range, including room temperature where it crystallizes in the acentric cubic $\alpha\text{-ZrW}_2\text{O}_8$ phase. Isotropic NTE materials are important for technological applications requiring thermal-expansion compensators in composites designed to have overall zero or adjustable thermal expansion. While cubic zirconium tungstate has attracted considerable attention experimentally, a very few computational studies have been dedicated to this well-known NTE material. Here we will discuss about structural and spectroscopic properties including the measured and calculated X-ray Diffraction (XRD) patterns and infrared (IR)/Raman spectra. We have also investigated defects in zirconium tungstate using density functional theory (DFT) to guide ongoing experimental efforts to encapsulate radionuclides into ceramic waste forms.

II. METHODS

First-principles calculations were carried out using DFT, as implemented in the Vienna ab initio simulation package (VASP).⁴ The XC energy was calculated using the generalized gradient approximation (GGA), with the PBE⁵ parameterization and its PBEsol⁶ revised version for solids. Both functionals were found in previous studies to correctly describe the geometric parameters and properties of a variety of Zr compounds and oxides.⁷⁻¹² The interaction between valence electrons and ionic cores was described by the projector-augmented wave method.^[13-14] The $\text{Zr}(4p^6, 5s^2, 4d^2)$, $\text{W}(6s^2, 5d^4)$, and $\text{O}(2s^2, 2p^4)$ electrons were treated explicitly as valence electrons in the Kohn–Sham equations, and the remaining core electrons together with the nuclei were represented by projector-augmented wave pseudopotentials. The Kohn–

Sham equation was solved using the blocked Davidson¹⁵ iterative matrix diagonalization scheme. The plane-wave cutoff energy for the electronic wavefunctions was set to a value of 500 eV, ensuring the total energy of the system to be converged to within 1 meV per atom. Structural optimization was carried out using the α -ZrW₂O₈ crystal structure (space group $P2_13$, IT No. 198; $Z = 4$) reported by Evans et al.¹⁶ as the initial guess. The Brillouin zone was sampled using the Monkhorst–Pack k-point scheme,¹⁷ with a k-point mesh of $5 \times 5 \times 5$. No symmetry constraints were imposed in unit-cell optimization calculations. Relaxation calculations were first carried out until the Hellmann–Feynman forces acting on atoms were converged within 0.01 eV/Å. Density functional perturbation theory (DFPT) linear response calculations were then carried out at these levels of theory with VASP to determine the vibrational frequencies and associated intensities. The latter were computed based on the Born effective charges (BEC) tensor, which corresponds to the change in atoms polarizabilities with respect to an external electric field. Thermal properties were further derived from phonon calculations within the QHA, which introduces a volume dependence of phonon frequencies as a part of the anharmonic effect

α -ZrW₂O₈ samples were synthesized using a cubic hydrated precursor, ZrW₂O₇(OH)₂(H₂O)₂, following the procedure from Closmann et al.,¹⁸ The precursor ZrW₂O₇(OH)₂(H₂O)₂ is nearly isostructural with the target α -ZrW₂O₈ phase, which was then heated to 600 °C for 10 hr and allowed to cool to ambient temperature. Experimental details can be found in Weck et al.¹⁹ X-ray Diffraction (XRD) analysis was performed at room temperature using a Bruker D2 Phaser diffractometer. This system was equipped with a sealed-tube X-ray source (Cu K α radiation, $\lambda = 1.5406$ Å) operated at 30 kV and 10 mA. A Ni-filter was employed on the diffracted-beam side of the instrument for suppression of K β radiation. XRD analysis was performed using the program JADE 9.0 (Materials Data, Inc. Livermore, CA). Infrared spectra were collected at room temperature using a Thermo Scientific Nicolet 6700 Fourier transform infrared (FT-IR) spectrometer and OMNIC 8.3 software suite, with a Spectra Tech Collector II-DRIFTS (diffuse reflectance) and an aluminum mirror as background and blank; powder was placed in a holder and spectra were collected.

III. RESULTS AND DISCUSSION

Fig. 1(a) depicts the scanning electron microscope (SEM) images of the synthesized α -ZrW₂O₈ sample with elongated thin crystalline plates or filiform pointed needles while Fig. 1(b) shows the crystal unit cell of the cubic zirconium tungstate, α -ZrW₂O₈. The space group of

the relaxed cubic α -ZrW₂O₈ is $P2_13$ (IT No. 198). There are four formula units in the unit cell ($Z = 4$).

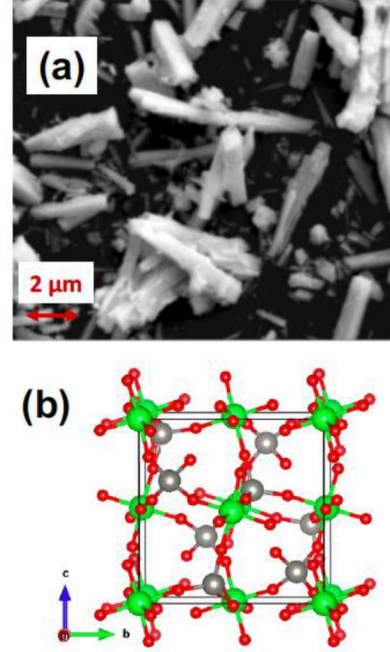


Fig. 1 Synthesized α -ZrW₂O₈ samples: (a) scanning electron microscope (SEM) image and (b) crystal unit cell of α -ZrW₂O₈ (space group $P2_13$, IT No. 198; $Z = 4$). The green, grey, and red spheres represent Zr, W, and O atoms, respectively.

Fig. 2 illustrates the measured and calculated XRD patterns [see Fig. 2(a)], FTIR [see Fig. 2(b)] and Raman [see Fig. 2(c)] spectra analysis. The observed X-ray diffraction (XRD) patterns of α -ZrW₂O₈ (Cu K α radiation, $\lambda = 1.5406$ Å) and simulated patterns from crystal geometries optimized with DFT at GGA/PBESol levels of theory indicate that the crystal unit cell parameters of a is 9.241 Å ($V = 789.10$ Å³) for GGA/PBESol and GGA/PBE, respectively, at $T = 0$ K, which is $\sim 1.0\%$ larger than the present XRD estimate of 9.1493 Å at $T = 298$ K, due to NTE in the range 0–298 K. The predicted PBESol lattice parameters reproduce, within $\sim 0.6\%$, the value of 9.1846 Å refined by Evans et al. at $T = 0.3$ K using a rigid unit model. It is also found that the predicted PBE lattice parameter is 9.310 Å ($V = 806.87$ Å³).

The calculated IR spectrum using PBESol is overall in excellent agreement with experiment as shown in Fig. 2(b). The IR-active frequency observed by Evans et al. at 646 cm⁻¹ (w) and several weak lines in the range 600–400 cm⁻¹ (w), which may originate from the formation of ZrO₂ and/or WO₃, were not predicted by DFPT calculations, nor observed in the present experiments.

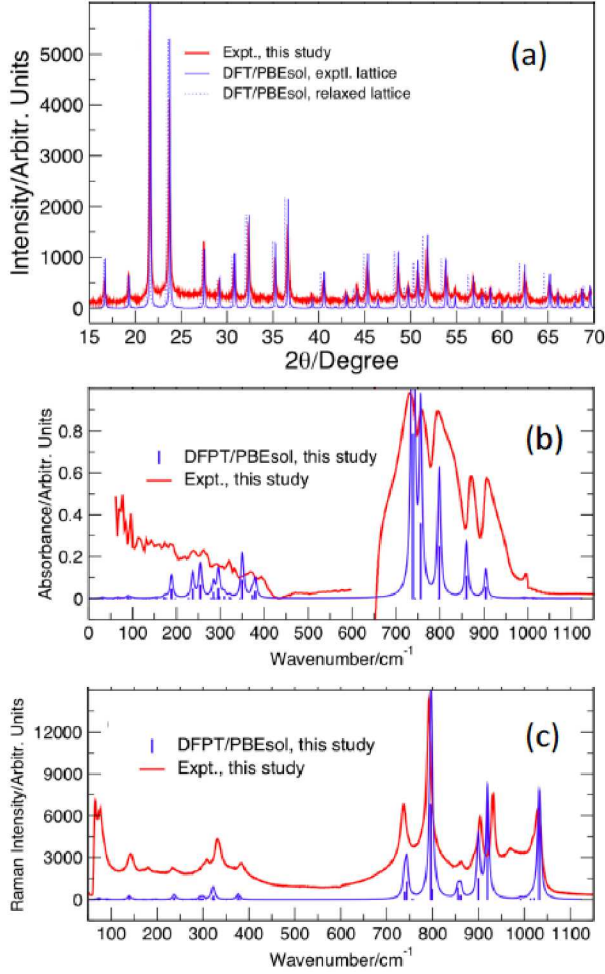


Fig. 2 (a) Observed (red) and calculated (blue) XRD patterns, (b) FT-IR spectrum, and (c) Raman spectrum of α -ZrW₂O₈.

Fig. 2(c) depicts the calculated Raman spectrum with DFPT at the PBEsol levels and the measured Raman-active bands at room temperature in this study, indicating excellent agreement between theory and experiment. No Raman peaks were observed, both theoretically and experimentally, between 400-700 cm⁻¹ in this study, implying no formation of ZrO₂ and/or WO₃ in the synthesized ZrW₂O₈ sample, which is consistent with the measured and calculated IR spectra data.

Within the QHA approach, the variations of the linear coefficient of thermal expansion (CTE) of α -ZrW₂O₈ were predicted with DFPT at the GGA/PBE and GGA/PBEsol levels and are depicted in Fig. 3, along with experimental linear CTE estimates extracted from the high-resolution neutron diffraction data of David *et al.*²¹

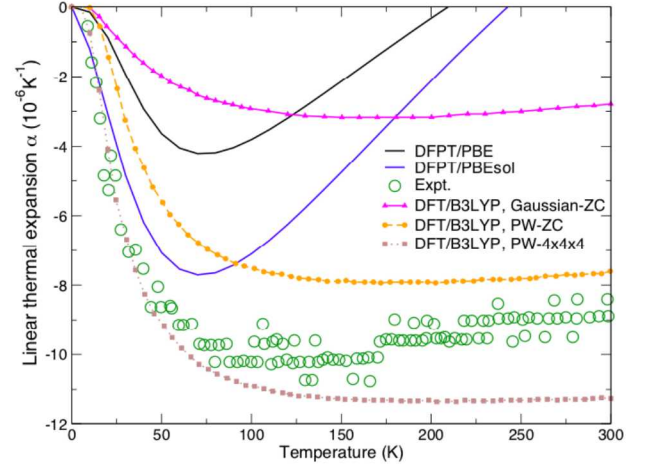


Fig. 3 Linear coefficient of thermal expansion (CTE) of α -ZrW₂O₈ single crystal computed with density functional perturbation theory (DFPT) within the quasi-harmonic approximation (QHA). Scaled DFT predictions by Gava *et al.* [ref. 20] using the B3LYP hybrid functional with Gaussian-zone-center (ZC), plane-wave (PW) zone-center and PW-(4x4x4) grid approaches within the Debye-Einstein QHA are also displayed in pink, yellow, and brown, respectively. Experimental linear CTE estimates (green circles) is extracted from the high-resolution neutron diffraction data for polycrystalline ZrW₂O₈ (Ref. 21).

As shown in Fig. 3, the negative thermal expansion computed using PBEsol is in very good agreement with experimental data up to ~70 K. Above this temperature, both PBEsol and PBE results exhibit smaller NTE values and, eventually, positive thermal expansion is predicted above 209 K and 243 K with PBE and PBEsol, respectively. While reduction in NTE also appears above ~70 K in the experimental data of David *et al.*²¹ for polycrystalline ZrW₂O₈, computational predictions for single crystal α -ZrW₂O₈ are markedly different from measurements, in the temperature range ~70–300 K. Discrepancies between finite-displacement DFT calculations with the B3LYP hybrid functional and experimental thermal expansion data above ~60 K were also found by Gava *et al.*²⁰ These differences may be attributed to the creation of thermally induced defects in real α -ZrW₂O₈ samples, triggering the thermally activated phase transition in cubic ZrW₂O₈, which occurs around 431 K.²² Such a low-temperature defect creation scenario is plausible owing to the metastable character of α -ZrW₂O₈, which is only thermodynamically stable in a narrow temperature range around 1400 K.

The excellent agreement between theory and experiments indicates that the same DFT framework at the GGA/PBE and GGA/PBESol levels can be used to investigate the formation of defects in zirconium tungstate.

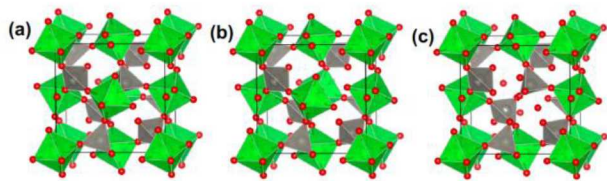


Fig. 4 Crystal unit cells of (a) pristine α -ZrW₂O₈; two possible vacancies: (b) V(W) and (c) V(Zr). Colour legend: Zr, green; W, grey; O, red.

Two different types of vacancies in bulk α -ZrW₂O₈ are considered in this study, denoted as V(Zr) and V(W) when V(Zr) and V(W) represent vacancies at Zr and W sites, respectively. The optimized unit cells for those configurations, along with pristine α -ZrW₂O₈, are shown in Fig. 4 and associated defect formation energies calculated using the GGA/PBE level of theory are listed in Table 1.

Table 1 Defect formation energies in α -ZrW₂O₈. V(Zr) and V(W) represent vacancies at Zr and W sites, respectively.

Type	sites	Defect formation energy (eV)
Vacancies	V(Zr)	28.40
	V(W)	28.73

Further investigation is necessary to examine the possible scenarios of radionuclides encapsulation into zirconium tungstate, ZrW₂O₈. Therefore, ongoing computational efforts include carrying out DFT calculations to evaluate the defect formation energy of substitutional impurities such as S(Zr) and S(W). In this case S(Zr) and S(W) represent the defects when radionuclides substituting Zr and W sites, respectively.

III. CONCLUSIONS

Density functional perturbation theory (DFPT) has been used to investigate structural, vibrational, and thermal properties of cubic zirconium tungstate. A systematic comparison of the calculated infrared and Raman spectra has been made with Fourier transform far-/mid-infrared and Raman data collected, showing excellent agreement between theory and experiments. The theoretically predicted variations of the linear coefficient of thermal expansion (CTE) of cubic ZrW₂O₈ using DFPT at the GGA/PBE and GGA/PBESol levels are compared with experimental linear CTE estimates extracted from

the high-resolution neutron diffraction data. The calculated negative thermal expansion is in very good agreement with experimental data up to ~70 K. These results show that this DFPT approach can serve as a valuable tool to study the spectroscopic and thermo-mechanical properties of prospective NTE ceramic waste forms for encapsulation of radionuclides produced during the nuclear fuel cycle.

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