

Ultrathin nonlinear metasurfaces for 'flat nonlinear optics'

SAND2018-9605C

**N. Nookala¹, J. Lee¹, Y. Liu¹, D. Palaferri¹, M. Tymchenko¹, G. Boehm²,
M.-C. Amann², O. Wolf³, J.F. Klem³, I. Brener³, A. Alu¹, and M.A. Belkin¹**

¹Department of Electrical and Computer Engineering, University of Texas at Austin

²Walter Schottky Institute, Technical University of Munich

³Sandia Laboratories, Albuquerque, NM



“Flat” nonlinear optics

Phase-matching

Bulk: $\vec{k}_1 + \vec{k}_2 = \vec{k}_3$

– requires special efforts

Surface: $k_{1//} + k_{2//} = k_{3//}$

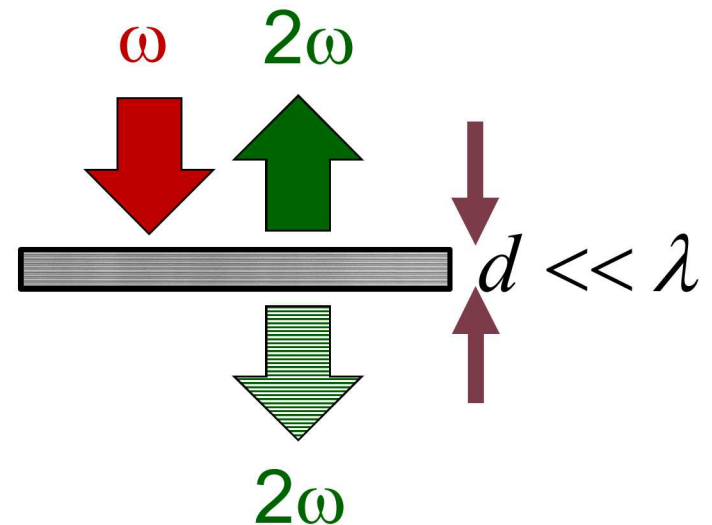
– satisfied automatically

Generation efficiency:

$$I_{\omega_3} = \frac{\omega_3^2}{2\varepsilon_0 n_{\omega_1} n_{\omega_2} n_{\omega_3} c^3} |\chi_{eff}^{(2)}|^2 I_{\omega_1} I_{\omega_2} d^2$$

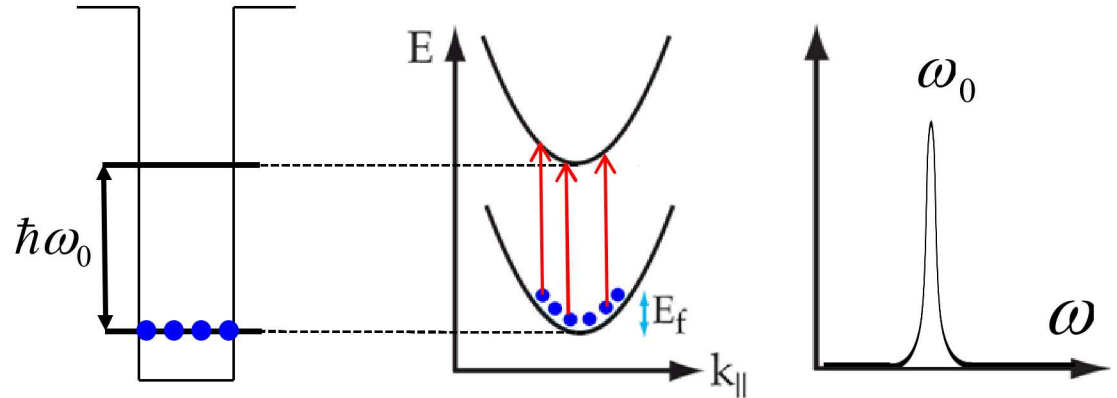
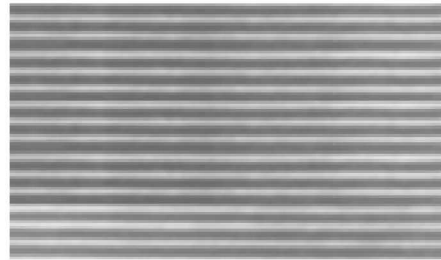
$$\eta_{SHG} \equiv \frac{I_{2\omega}}{I_{\omega}} \approx \left(\frac{d}{\lambda_{2\omega}} \right)^2 |\chi_{eff}^{(2)} E_{\omega}|^2$$

Need $|\chi_{eff}^{(2)} E_{\omega}| \sim 1$



Intersubband transitions

n-doped quantum wells



Key features:

- Control of energy levels positions, transition dipole moments, lifetime
- Tailored linear and nonlinear optical properties for TM-polarized light
- Ultra-fast electron dynamics (0.3-3 ps)
- Various materials AlInAs/InGaAs/InP, GaAs/AlGaAs, GaN/AlInGaN...
- Foundation of quantum cascade lasers

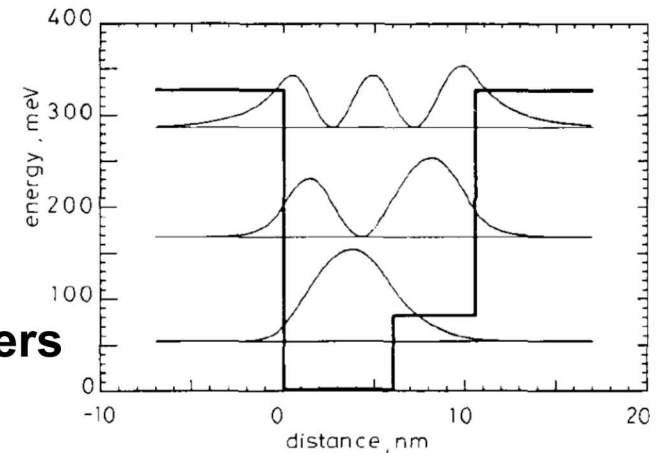
Intersubband nonlinearities

Giant nonlinearities for wave mixing

Mid-IR SHG $\chi^{(2)}$ 10^4 - 10^5 pm/V

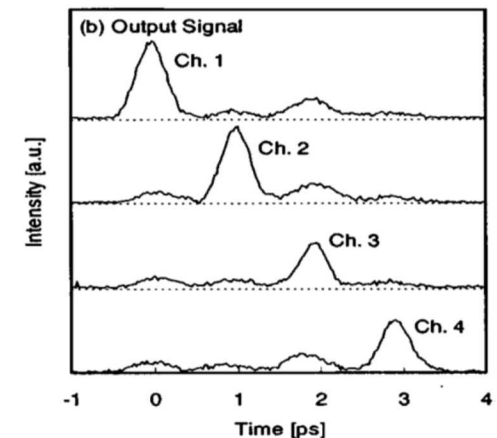
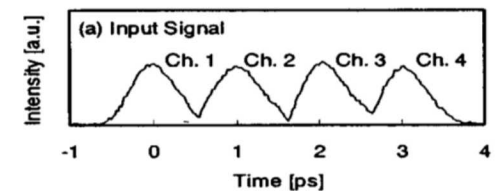
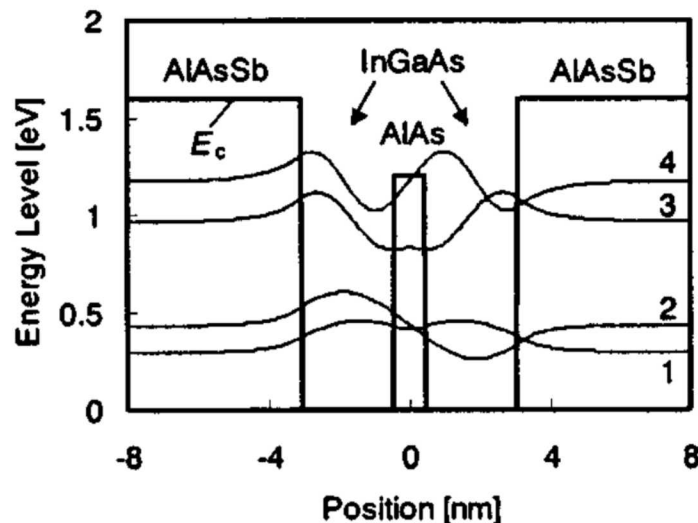
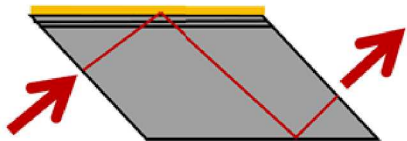
(*E. Rosencher et al. 1989; M. Fejer et al. 1989*)

+ Other nonlinear processes of 2nd and higher orders



Ultrafast optical switching

Akiyama et al, *IEEE Photon. Technol. Lett.* **14**, 495 (2002)



Nonlinear polaritonic metasurfaces

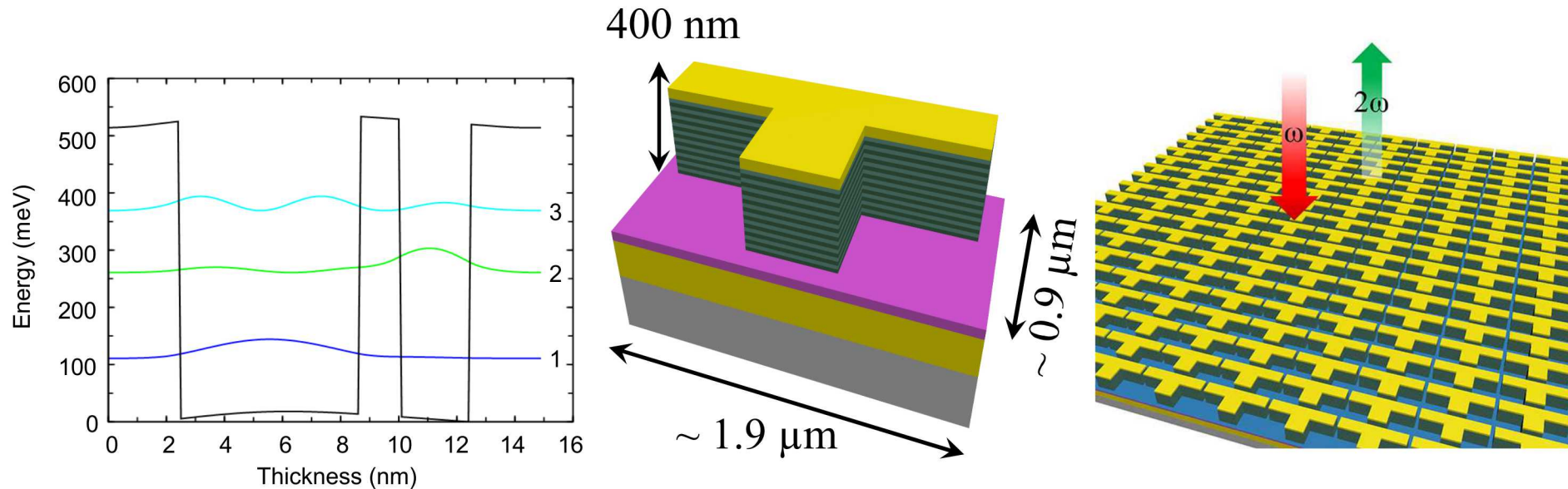
Quantum-engineered intersubband transitions

+

Electromagnetically-engineered modes in metallic nanostructures

➔ Large-area ultrathin metasurfaces with tailored nonlinear response

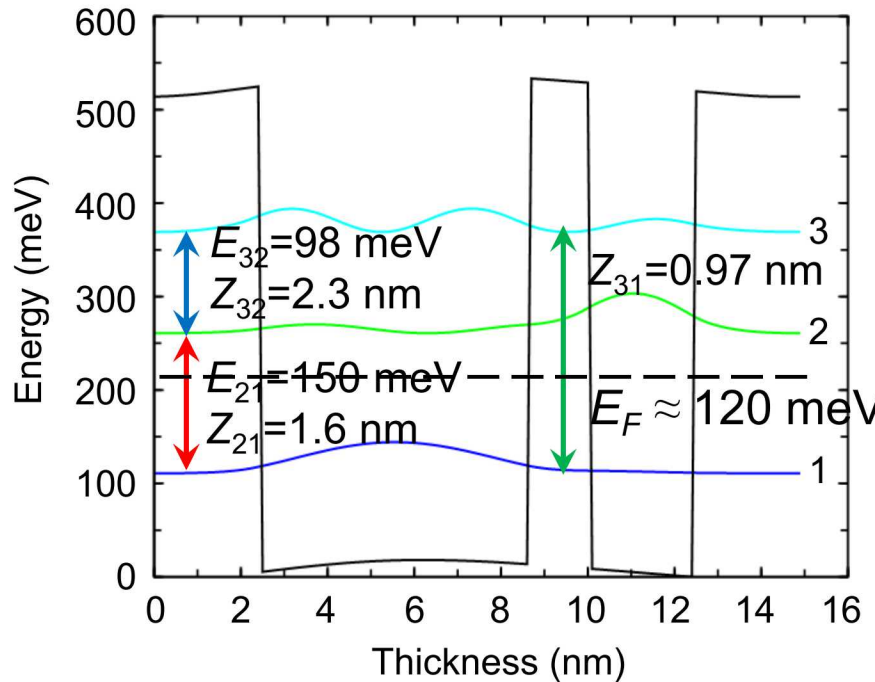
Lee *et al.*, *Nature*, **511**, 65-69 (2014)



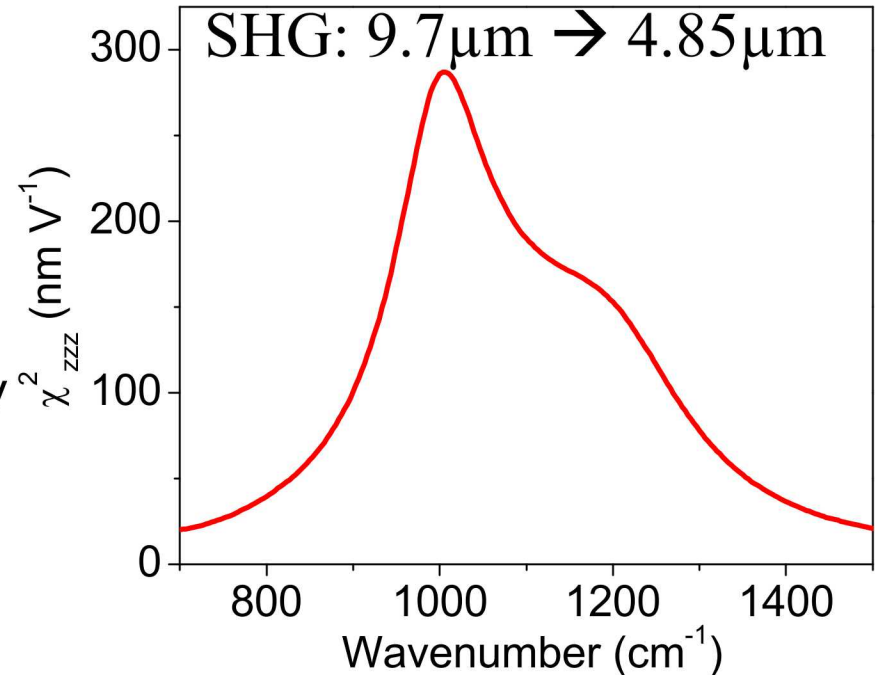
SHG mirror: $8\text{-}10\mu\text{m} \rightarrow 4\text{-}5\mu\text{m}$ (LWIR $\rightarrow$ MWIR)

Semiconductor heterostructure design

Heterostructure

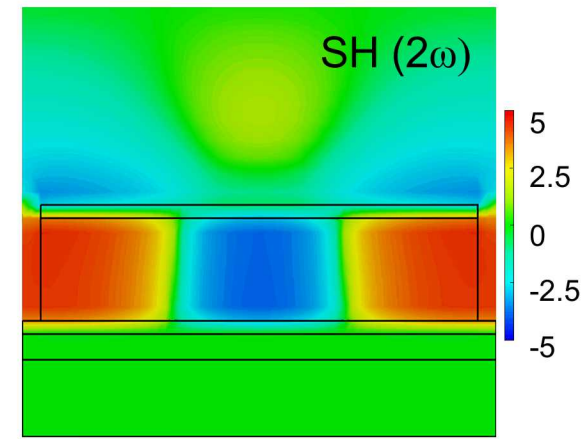
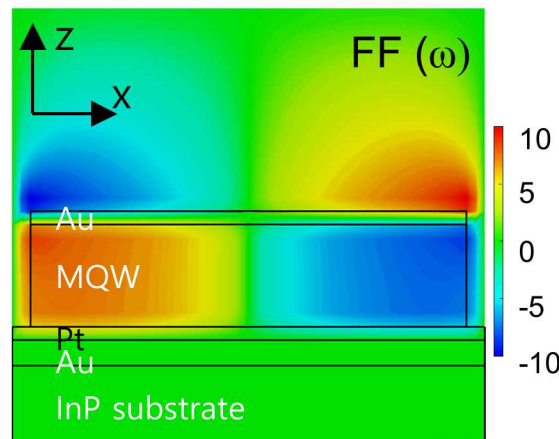
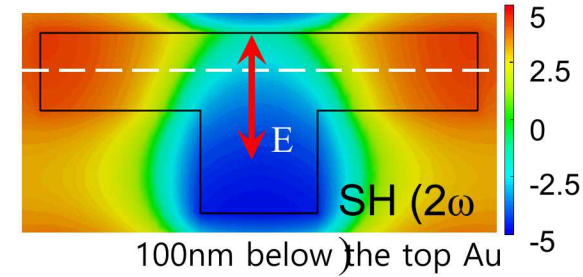
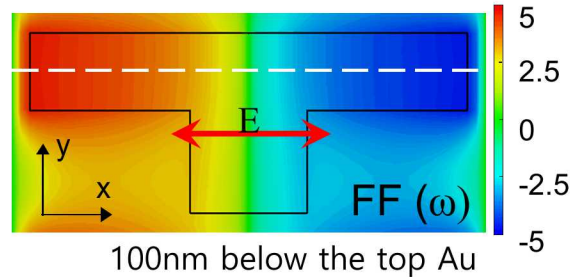
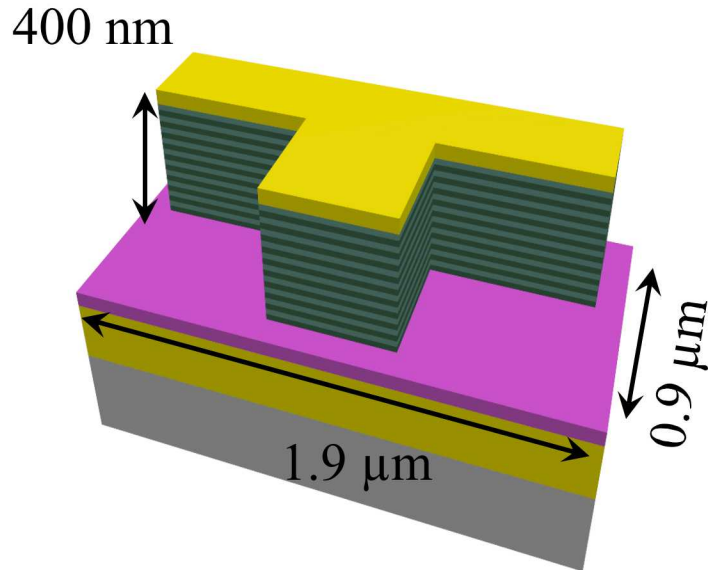


Nonlinear susceptibility



$$\chi_{zzz}^{(2)} \approx (N_1 - 2N_2 + N_3) \frac{e^3}{\hbar^2 \epsilon_0} \frac{z_{12} z_{13} z_{23}}{(\omega - \omega_{12} - i\gamma_{12})(2\omega - \omega_{13} - i\gamma_{13})}$$

Nanoresonator design



Cross-section along the white line

Cross-section along the white line

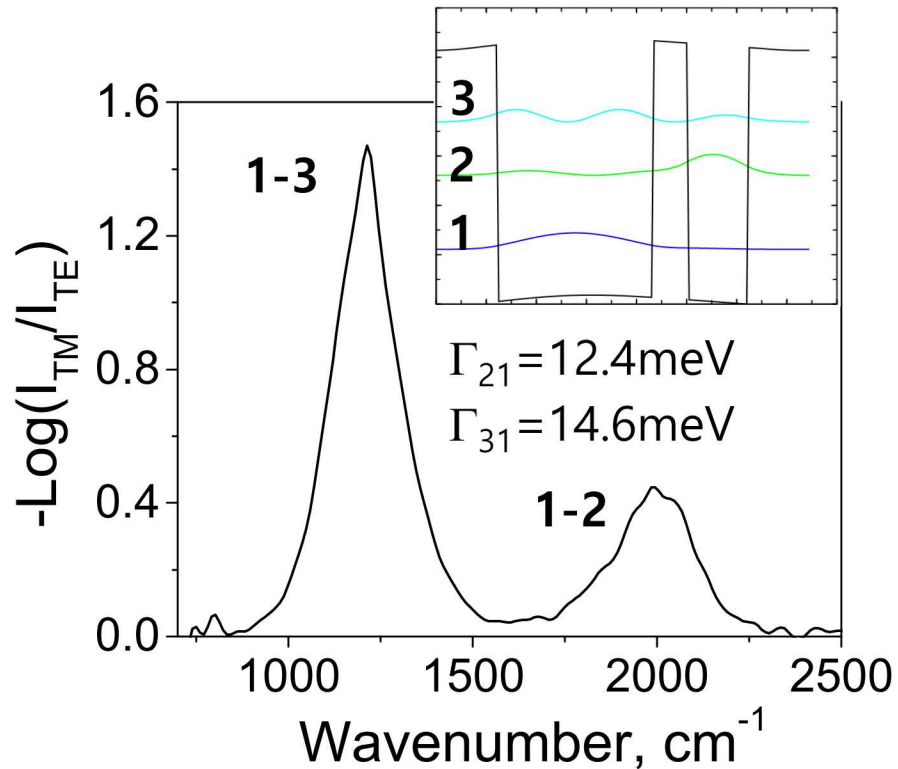
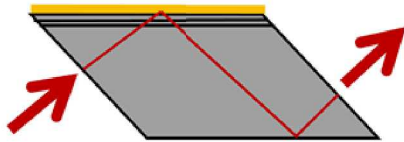
$$I_{2\omega} = \frac{2\omega^2}{\epsilon_0 n_\omega^2 n_{2\omega} c^3} \left| \chi_{eff}^{(2)} \right|^2 I_\omega I_\omega d^2$$

$$\chi_{ijk}^{(2)eff} = \chi_{zzz}^{(2)} \cdot \frac{\int \frac{E_{z(i)}^{2\omega}}{E_{i(inc)}^{2\omega}} \cdot \frac{E_{z(j)}^\omega}{E_{j(inc)}^\omega} \cdot \frac{E_{z(k)}^\omega}{E_{k(inc)}^\omega} dV}{V_{unit_cell}}, \quad (i, j, k = x, y, z)$$

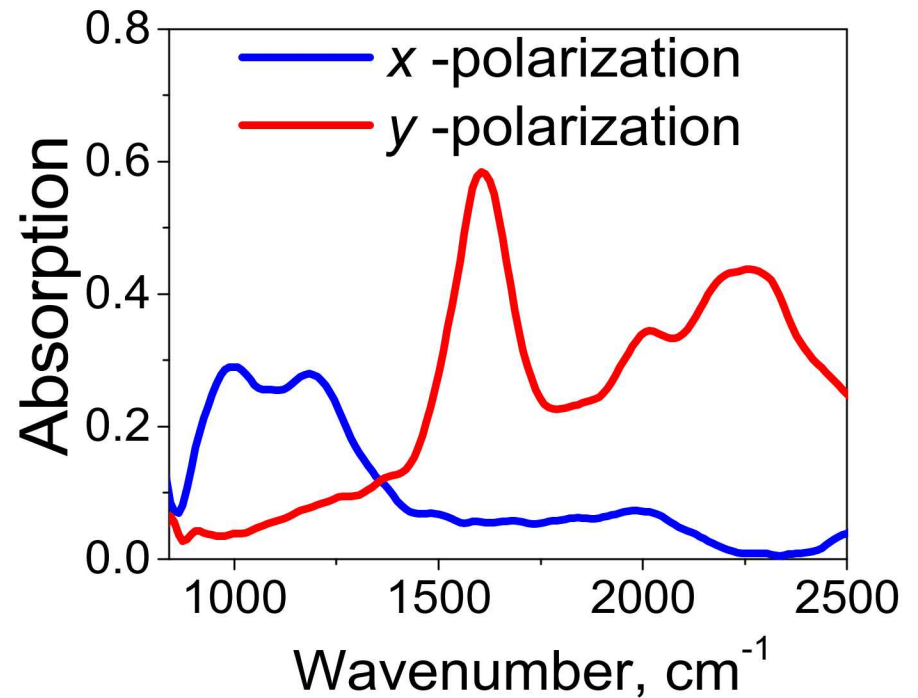
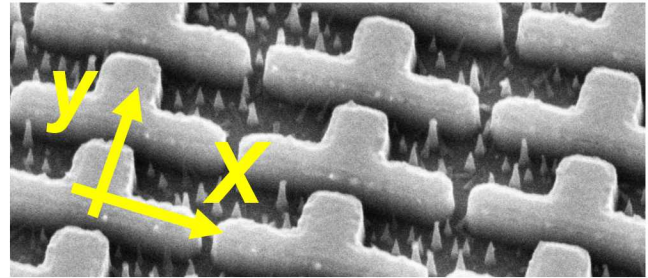
Overlap integral, ~4

Characterization

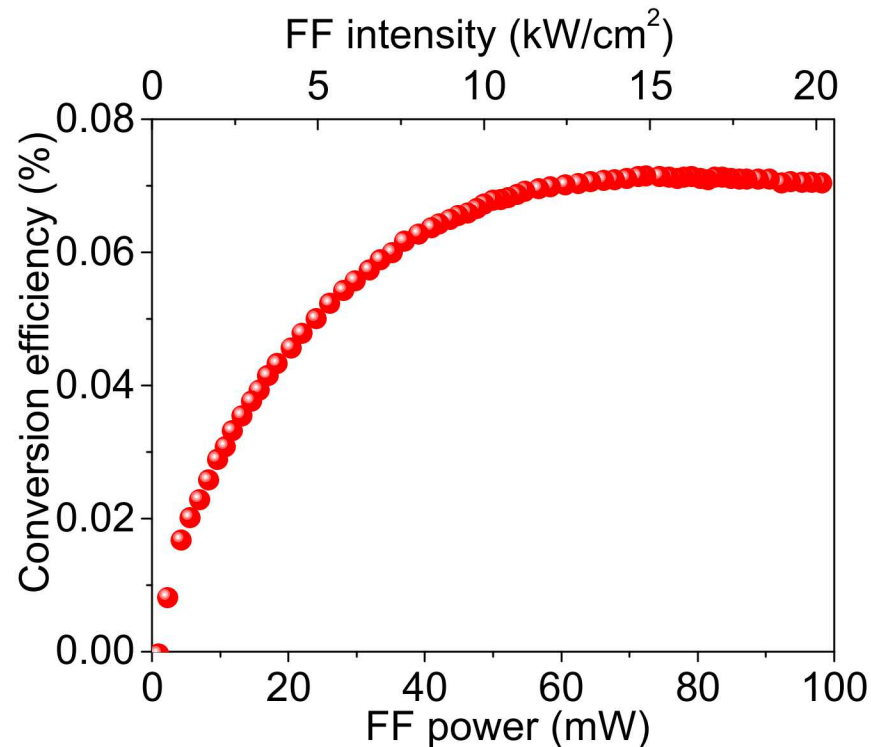
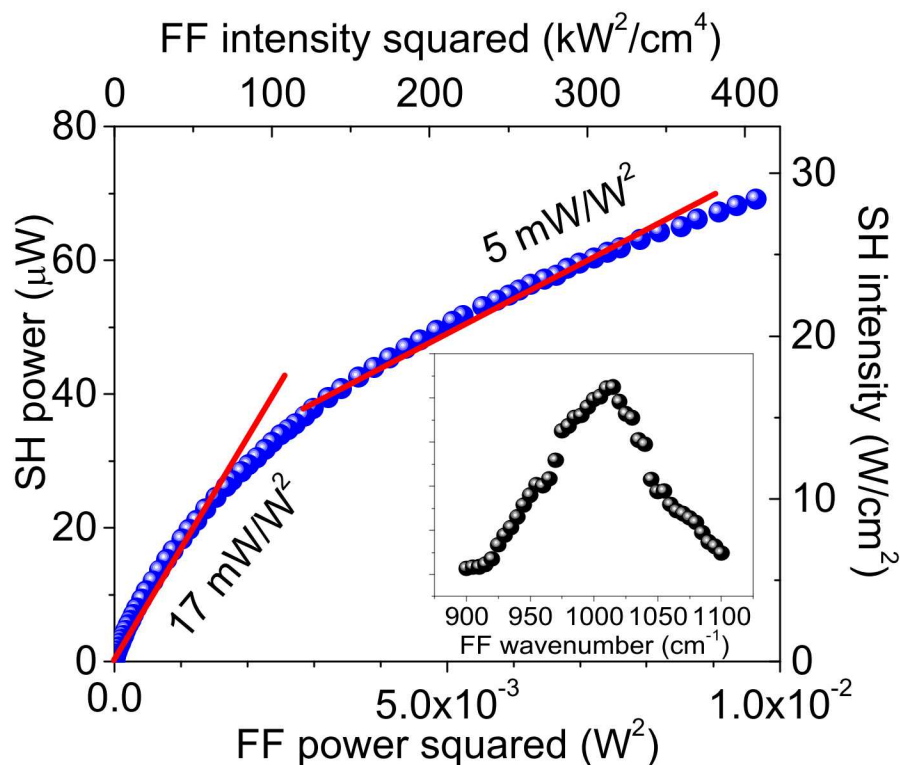
Heterostructure



Metasurface



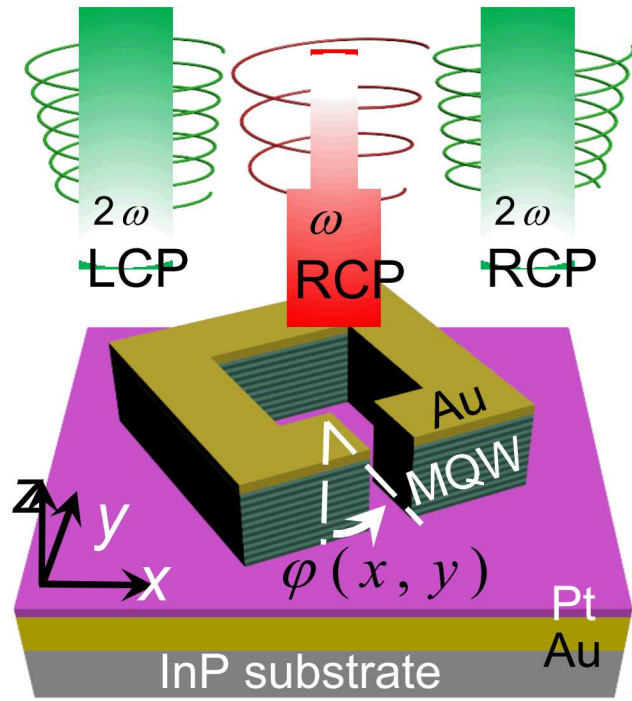
Experiment



$$\chi_{yxx}^{(2)eff} \approx 1.2 \times 10^6 \text{ pm} / V$$

$$(|\chi^{(2)} E_{\omega}| \sim 1 \text{ @ } I_{\omega} \sim 100 \text{ kW}/\text{cm}^2)$$

Gradient nonlinear metasurfaces

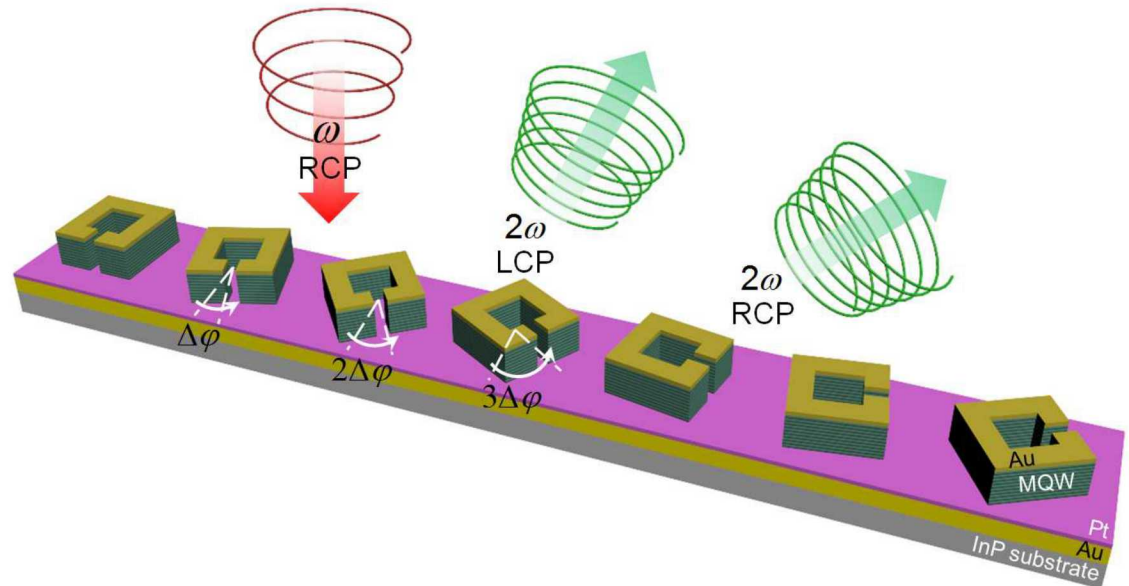


$$\chi_{L'L'L'}^{(2)} = \chi_{LLL}^{(2)} \exp(-i3\varphi)$$

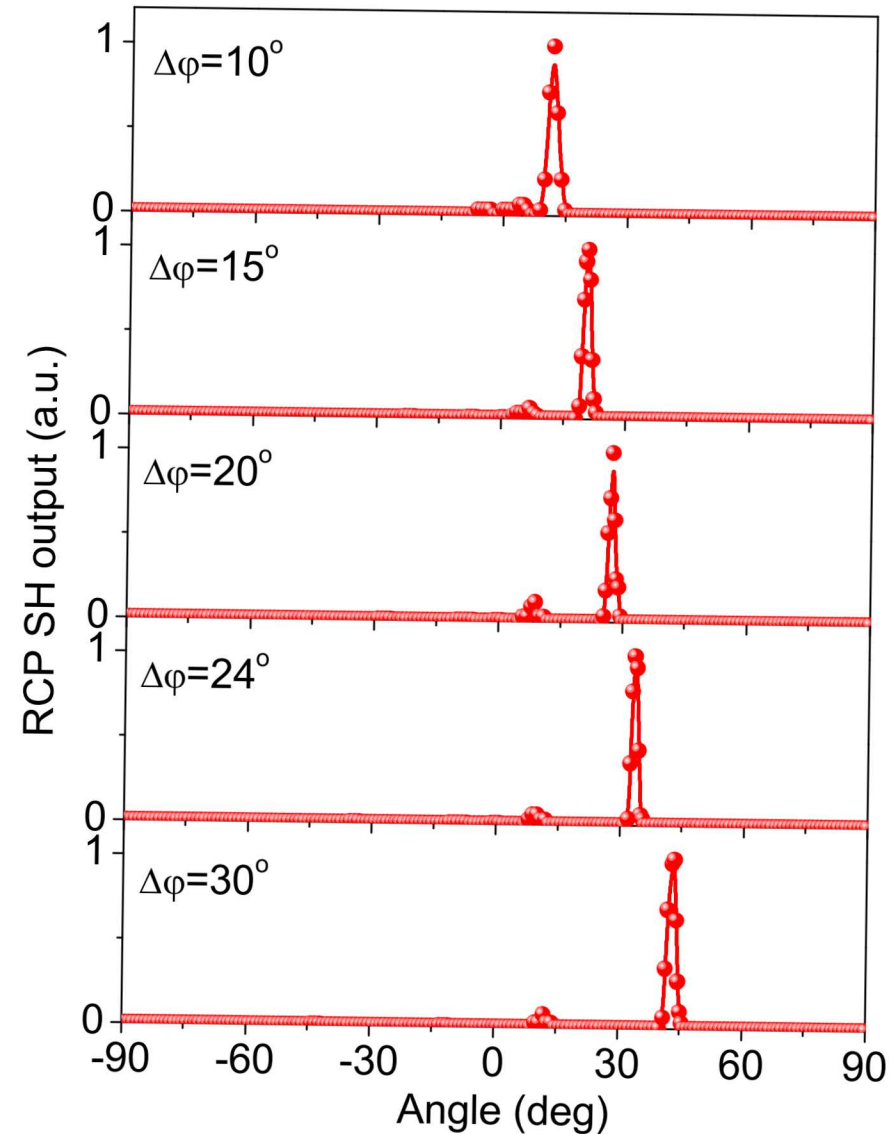
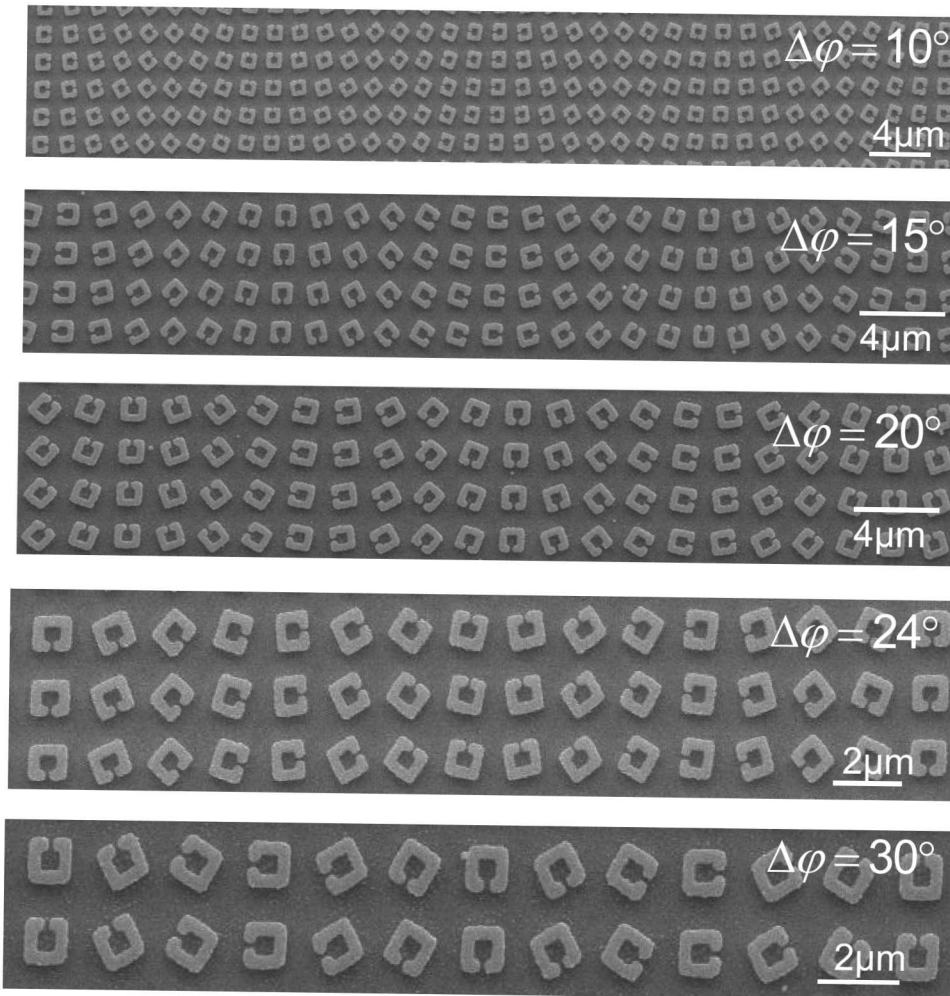
$$\chi_{R'L'L'}^{(2)} = \chi_{RLL}^{(2)} \exp(-i\varphi)$$

$$\chi_{R'R'R'}^{(2)} = \chi_{RRR}^{(2)} \exp(i3\varphi)$$

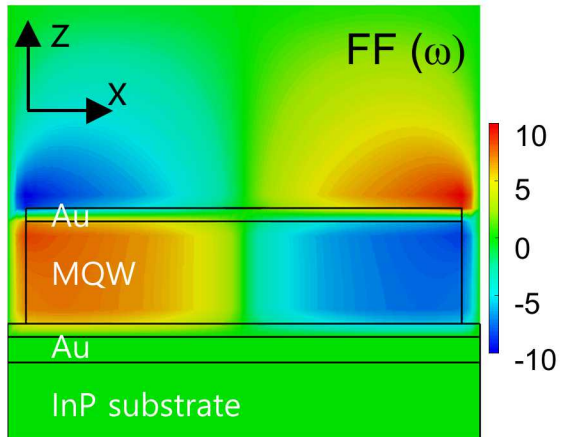
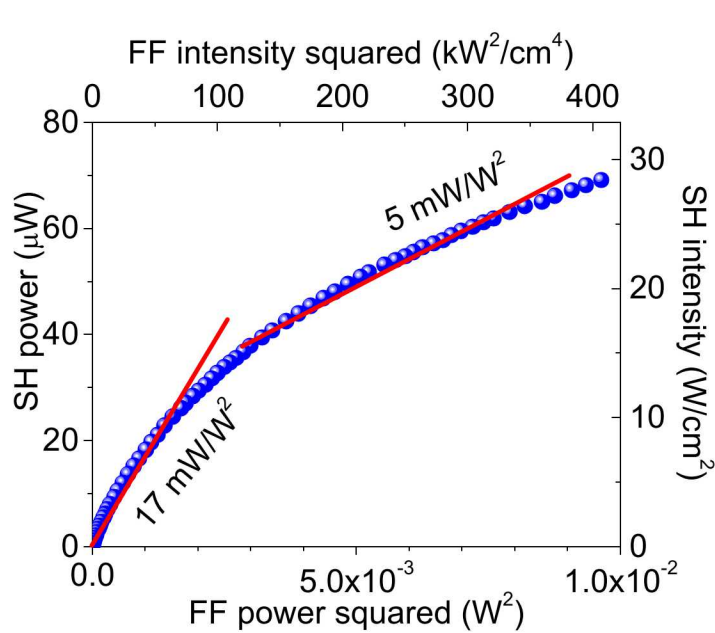
$$\chi_{L'R'R'}^{(2)} = \chi_{LRR}^{(2)} \exp(i\varphi)$$



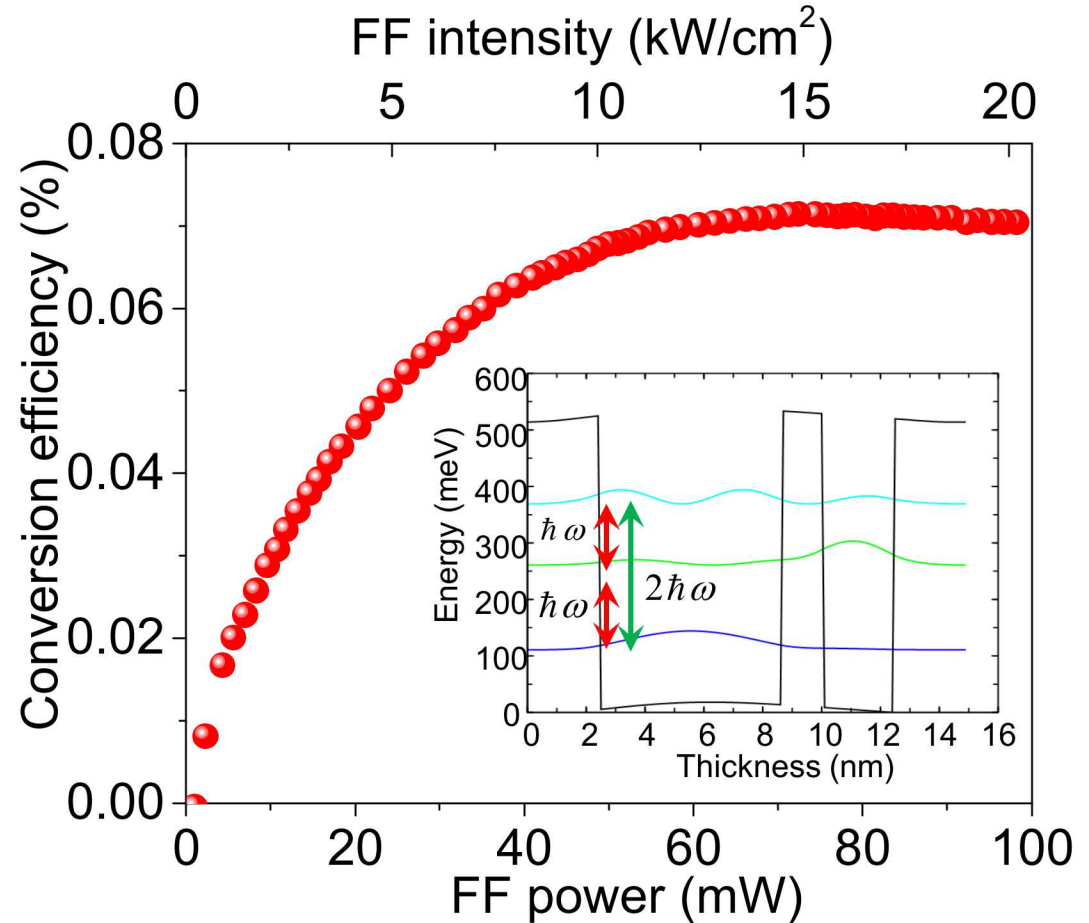
Experiment



Intensity Saturation



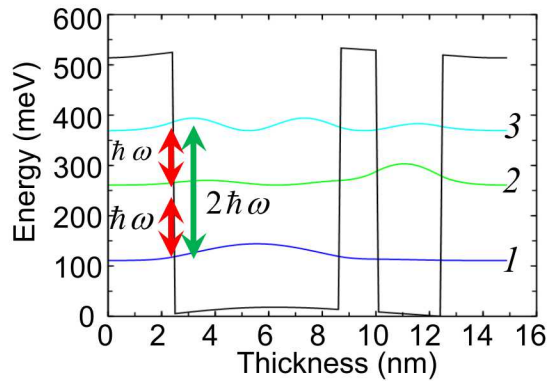
Cross-section along the white line



$$\chi_{zzz}^{(2)} \approx (N_1 - 2N_2 + N_3) \times$$

$$\times \frac{e^3}{\hbar^2 \epsilon_0} \frac{z_{12} z_{13} z_{23}}{(\omega - \omega_{12} - i\gamma_{12})(2\omega - \omega_{13} - i\gamma_{13})}$$

Potential improvements in performance



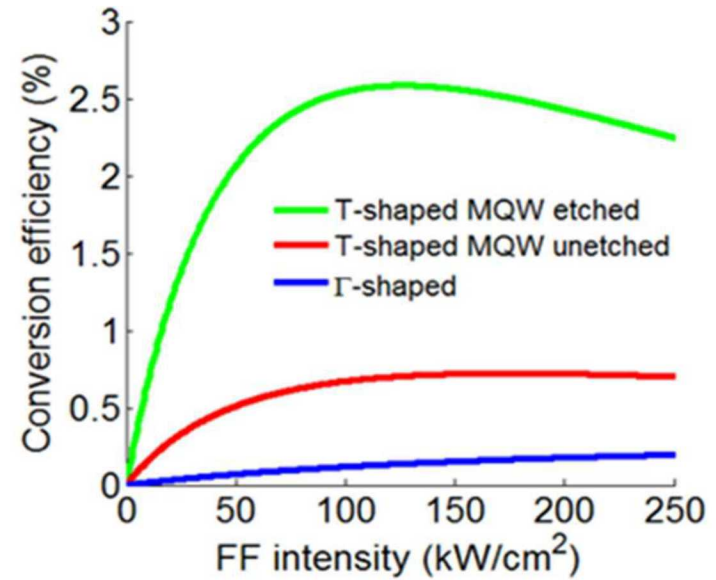
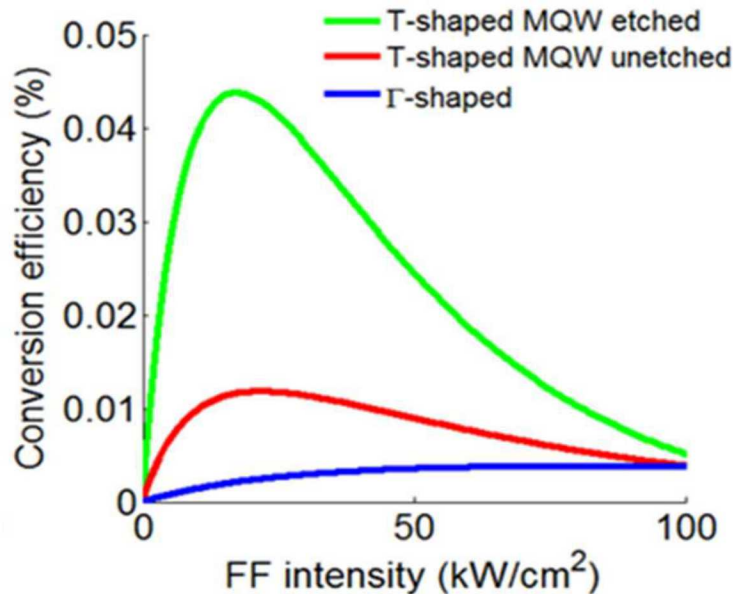
$$I_{sat} (1-2) = \frac{cn\epsilon_0\hbar}{2e^2 z_{12}^2 \tau_2} \frac{(\hbar\omega - E_{21})^2 + \gamma_{21}^2}{\gamma_{21}} \approx 1.5 \text{ MW/cm}^2$$

$$2\gamma_{21} \approx 25 \text{ meV}$$

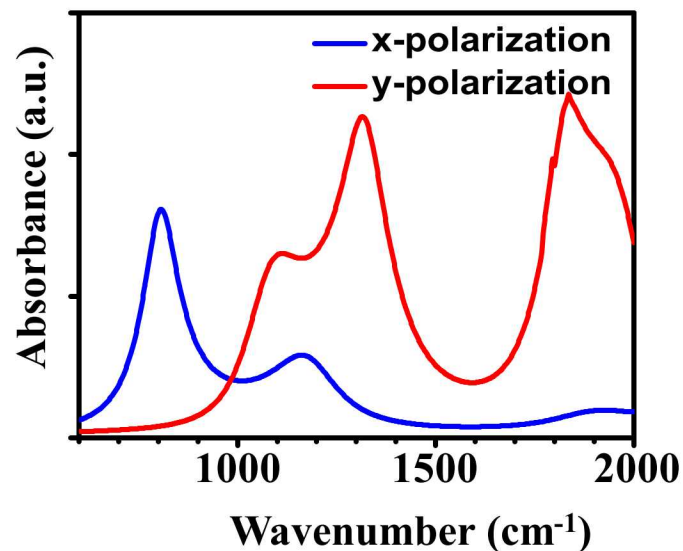
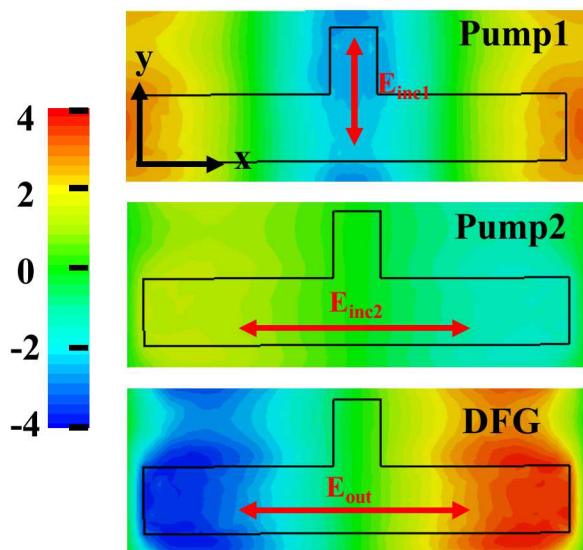
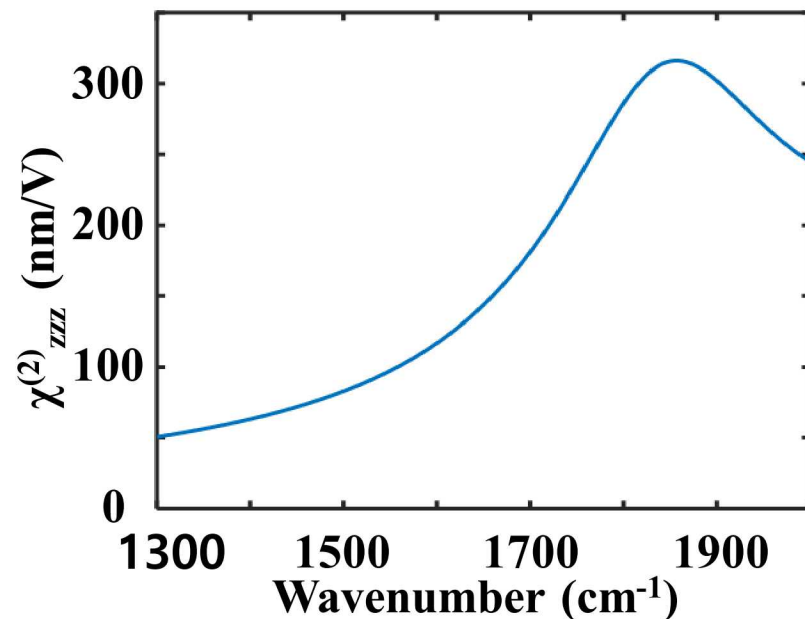
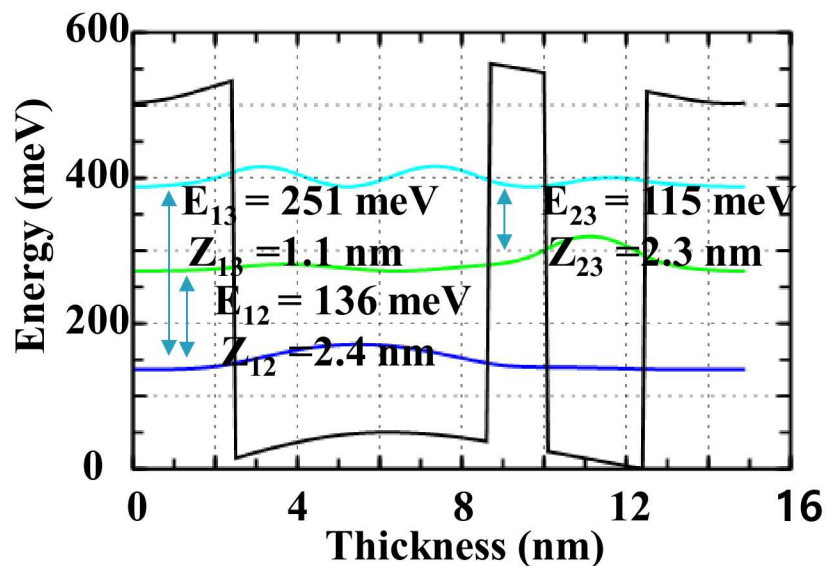
$$\tau_2 \approx 1.5 \text{ ps}; \hbar/\tau_2 \approx 1 \text{ meV}$$

Current MQW structure ($2\gamma \approx 30 \text{ meV}$)

Same MQW structure with ($2\gamma \approx 10 \text{ meV}$)



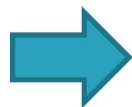
Up- and down-conversion



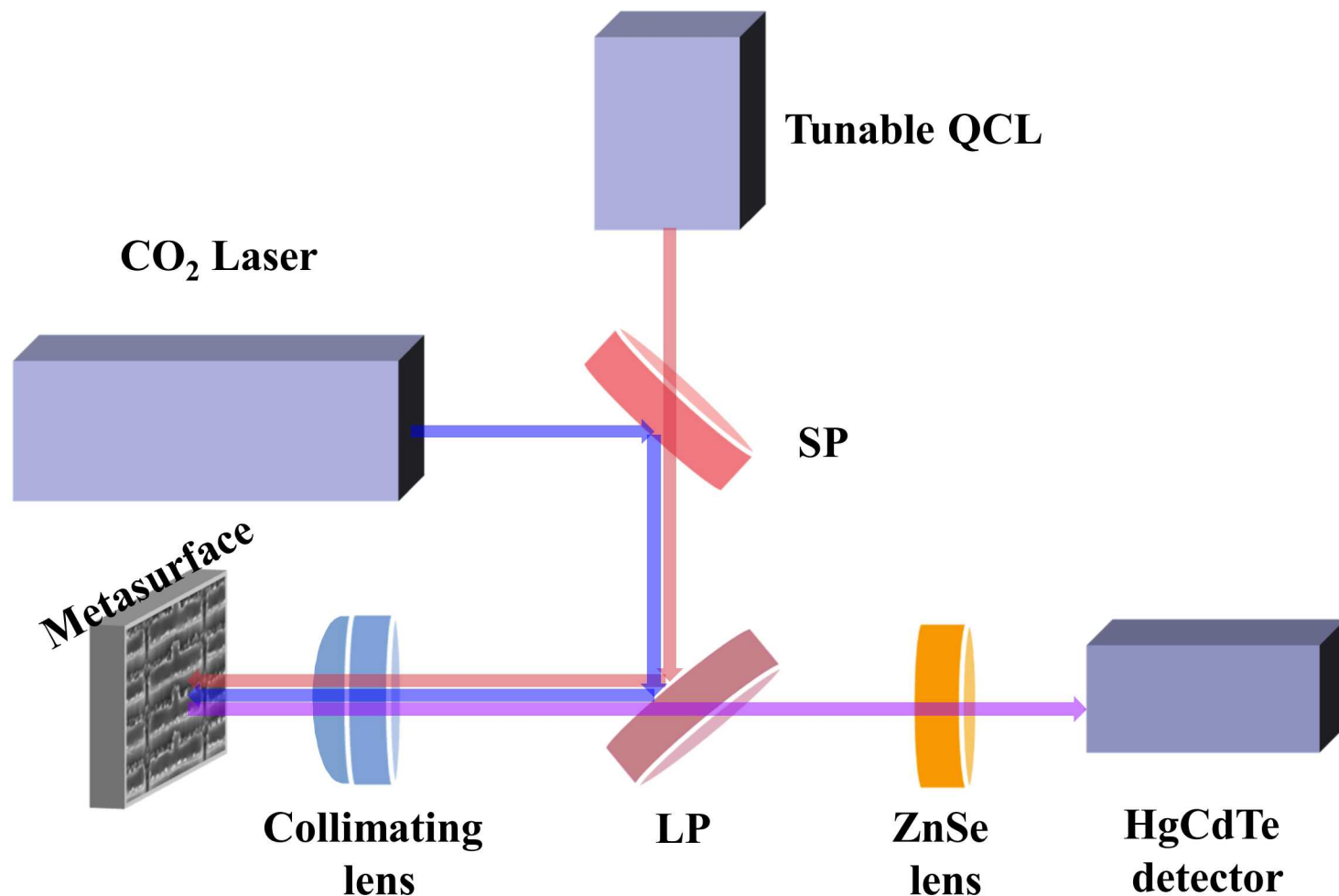
Down-conversion setup

$$\lambda_1 \approx 5.1-5.7 \mu\text{m}$$

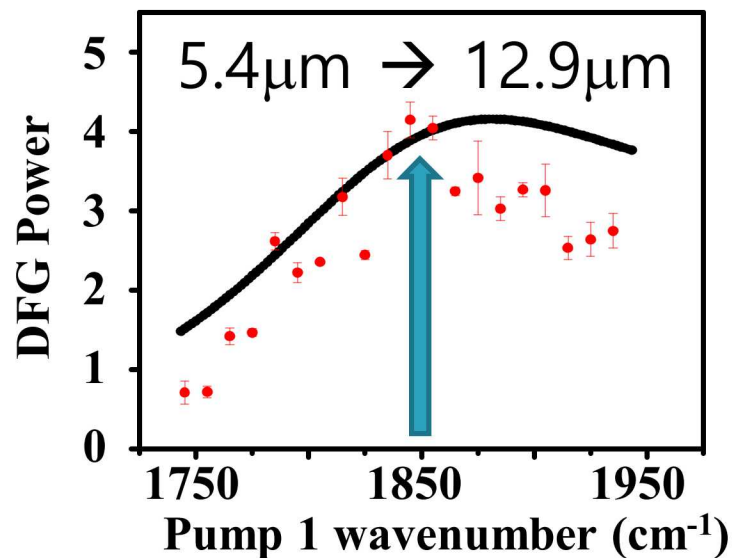
$$\lambda_2 \approx 9.3 \mu\text{m}$$



$$\lambda_{\text{DFG}} \approx 11.3-14.7 \mu\text{m}$$



Down-conversion results

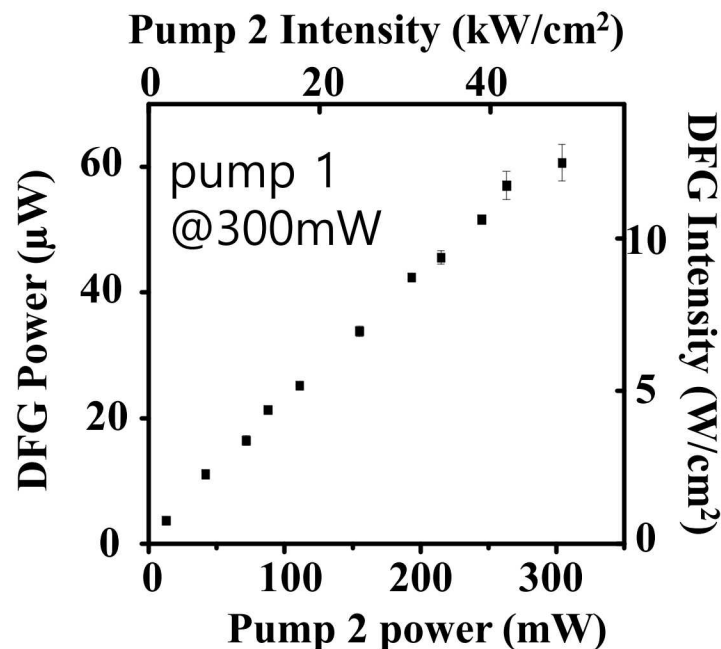
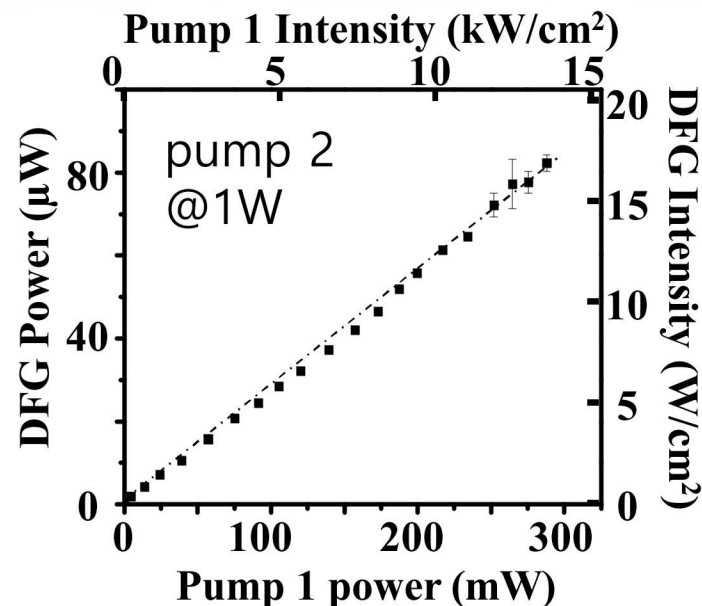


$$I_3 = \frac{\omega_3^2}{2\varepsilon_0 c^3} |\chi_{eff}^{(2)}|^2 d^2 I_1 I_2$$

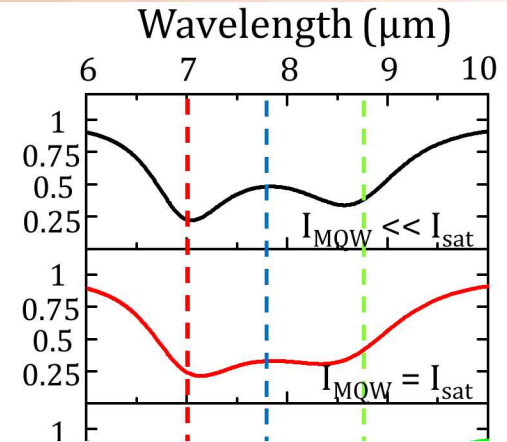
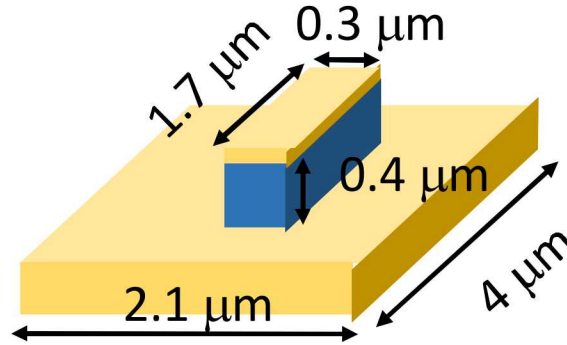
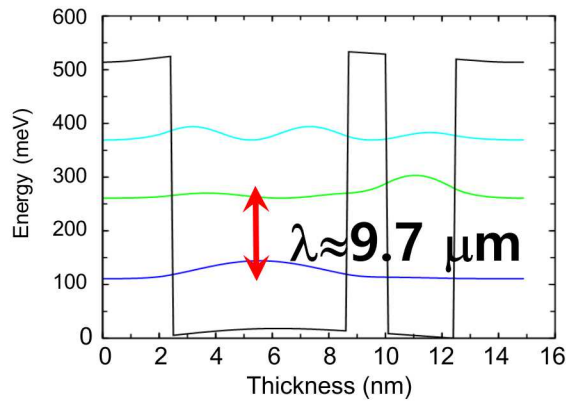
$$\frac{I_3}{I_1} = 0.13\% \quad (\text{at } I_2 = 150 \text{ kW/cm}^2)$$

(photon conversion efficiency 0.3%)

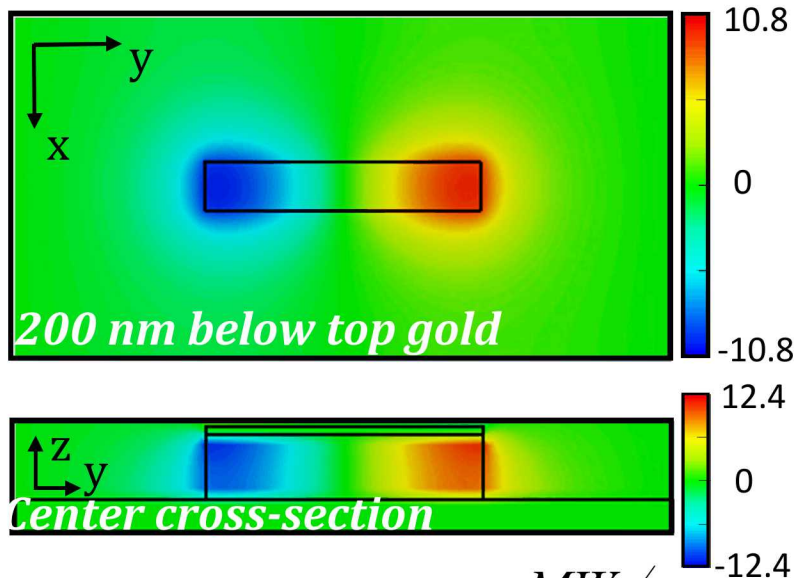
Up-conversion (12.9 μm $\rightarrow$ 5.4 μm)
power efficiency of ~0.75% is expected



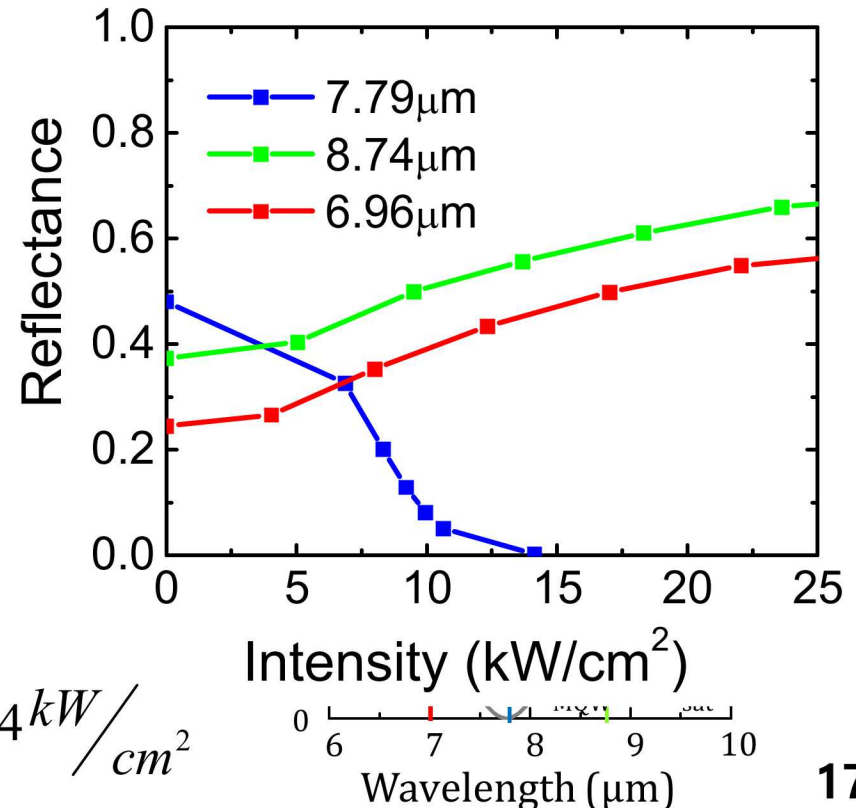
Optical switching and power limiting



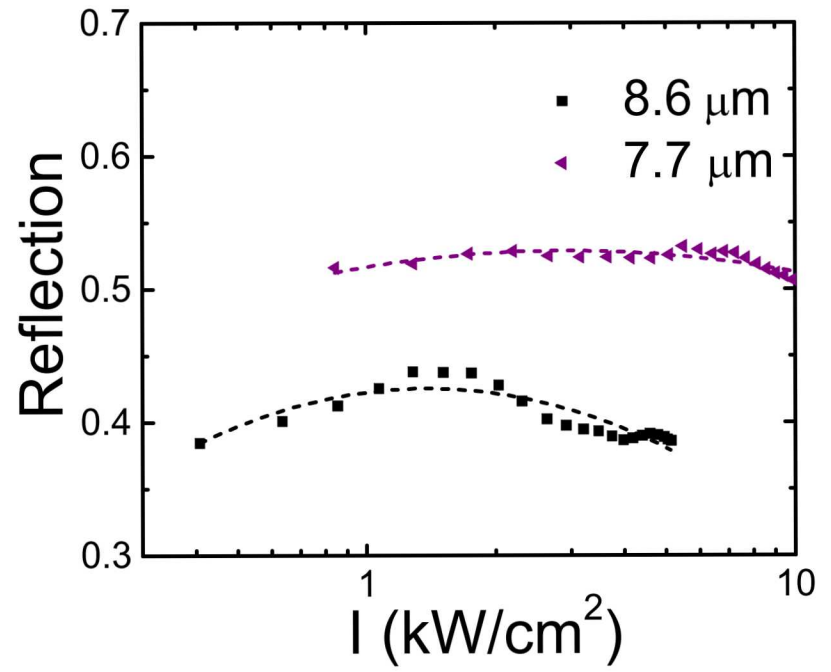
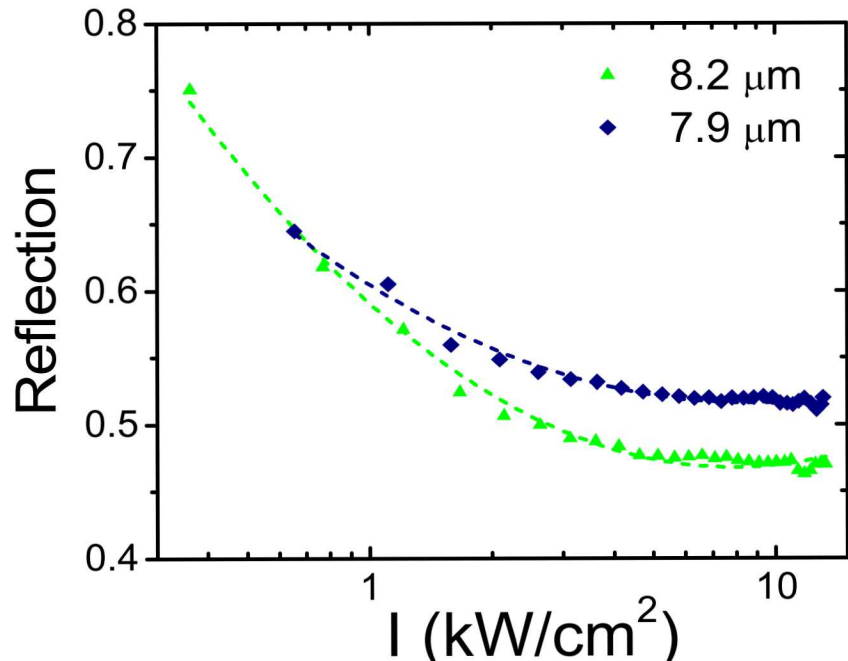
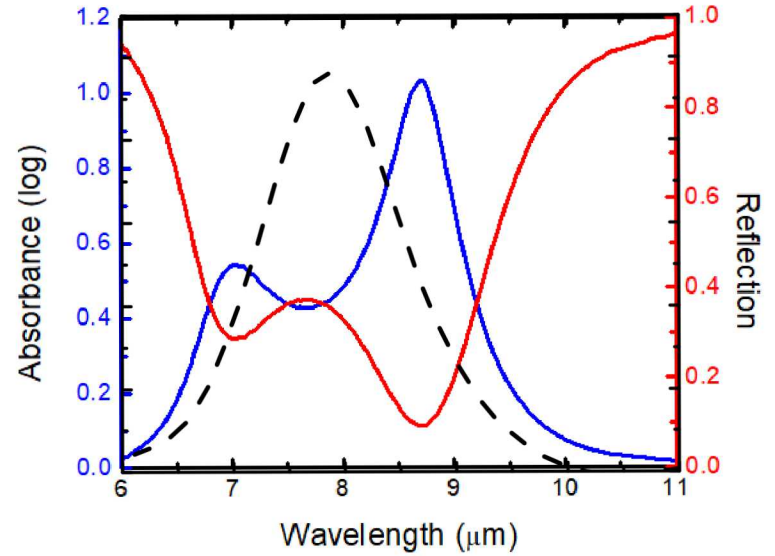
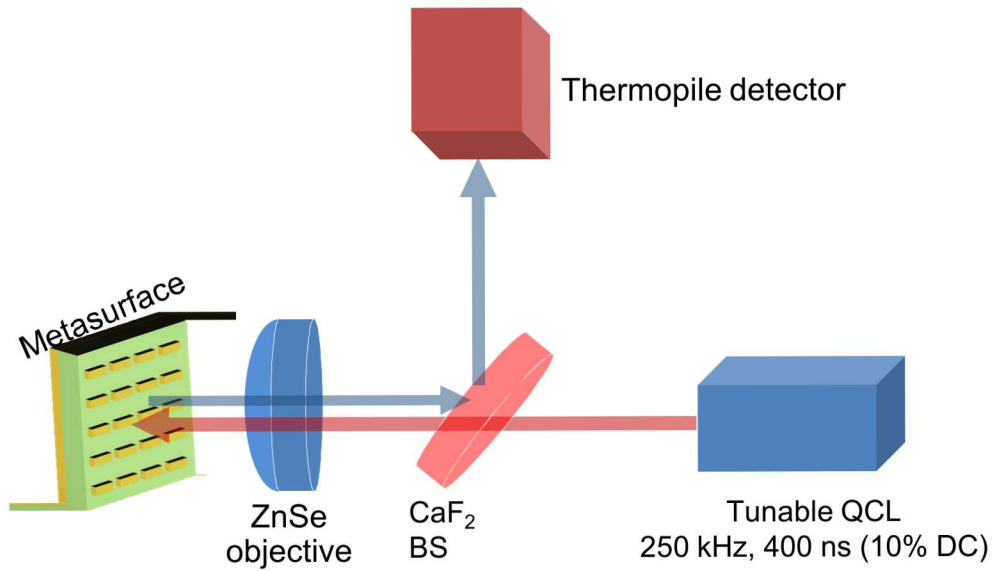
Local field enhancement, $I_{MQW} = 10I_{sat}$ E_z/E_{inc}



$$I_{inc} = \frac{I_{MQW}}{n_{MQW} \xi^2} = \frac{I_{sat} \times 10}{n_{MQW} \xi^2} = \frac{6.8 \text{ MW/cm}^2}{3.3 \times 12.4^2} \approx 13.4 \text{ kW/cm}^2$$



Preliminary experimental results



Summary

- *Highly-nonlinear metasurfaces by combining QM engineering of intersubband transitions and EM engineering of plasmonic resonators*
- *Giant nonlinear response of 1.2×10^6 pm/V for SHG*
- *Continuous local phase control of the nonlinear response*
- *Up- and down-conversion: 0.13 % down-conversion efficiency demonstrated; 0.75% up-conversion efficiency is expected*
- *Metasurfaces for optical power limiting and all-optical control*

Funding:



Sandia Laboratories