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**Seismic Characterization of the Nevada National Security Site Using Joint Body Wave,
Surface Wave and Gravity Inversion**

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Abstract

As part of the series of Source Physics Experiments (SPE) conducted on the Nevada National Security Site in southern Nevada, we have developed a local-to-regional scale seismic velocity model of the site and surrounding area. Accurate earth models are critical for modeling sources like the SPE to investigate the role of earth structure on the propagation and scattering of seismic waves. We combine seismic body waves, surface waves, and gravity data in a joint inversion procedure to solve for the optimal 3-D seismic compressional and shear wave velocity structures and earthquake locations subject to model smoothness constraints. Earthquakes, which are relocated as part of the inversion, provide P- and S-body wave absolute and differential travel times. Active source experiments in the region augment this dataset with P-body wave absolute times and surface wave dispersion data. Dense ground-based gravity observations and surface wave dispersion derived from ambient noise in the region fill in many areas where body-wave data are sparse. In general, the top 1-2 km of the surface is relatively poorly sampled by the body waves alone. However, the addition of gravity and surface waves to the body wave dataset greatly enhances structural resolvability in the near surface. We discuss the methodology we developed for simultaneous inversion of these disparate data types and briefly describe results of the inversion in the context of previous work in the region.

43 **INTRODUCTION**

44

45 The Source Physics Experiments (SPE) consisted of a series of buried chemical explosions
46 conducted near the north end of Yucca Flat, Nevada National Security Site (NNSS), in southern
47 Nevada (Snelson *et al.*, 2013). The purpose of SPE is to explore and gain a better understanding
48 of explosion source phenomenology with particular emphasis on the generation and propagation
49 of seismic shear waves, especially in terms of nuclear non-proliferation. The 3-D structure of the
50 earth affects propagation of seismic waves via refraction, reflection and scattering of the generated
51 wavefield. Therefore, to accurately simulate propagation of seismic waves, the 3-D structure of
52 the earth must be accurately known. In pursuit of this goal, we use a joint inversion technique to
53 estimate the regional 3-D seismic velocity structure near the SPE site. The joint inversion uses
54 compressional (P) and shear (S) body wave travel times in combination with seismic surface wave
55 dispersion and gravity measurements.

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57 Using these three different types of data allows us to obtain a better resolved image of the earth
58 structure than if only one type of data were used. This is due to the fact that these datasets
59 complement each other; the strengths in one dataset can offset the weaknesses in another. Body
60 waves can provide excellent resolution of earth structure in areas of ample crossing ray paths.
61 Body wave resolution is typically best at the depths where most earthquakes occur. Near the
62 surface, however, ray paths are nearly vertical, providing poor resolution in this region.
63 Additionally, below the turning depths of most rays, body wave resolution is poor to non-existent.
64 Surface waves, on the other hand, are most sensitive to earth structure at the surface of the earth.
65 Their sensitivity as a function of depth depends on the frequency of the surface wave, with longer

66 period waves being more sensitive to deeper structure (e.g., Aki and Richards, 2002). This allows
67 surface waves to help with both the shallowest portions of the model as well as the deepest,
68 improving vertical resolution. Their weakness, however, is that they are sensitive to a relatively
69 large volume of the earth and, thus, have poorer horizontal resolution compared to body waves
70 alone. Gravity measurements depend on the distribution of mass within the earth. For a given
71 size and density contrast, a structure located deep within the Earth will produce a spatially broad,
72 low amplitude gravity signature compared to a similar structure located near the Earth's surface,
73 which will produce a relatively high amplitude, short spatial wavelength gravity signature.
74 However, since each gravity observation has sensitivity to every point in the model, a trade-off
75 exists between depth to an anomaly and the anomaly's density (e.g., Turcotte and Schubert, 1982).
76 When gravity measurements are combined with body waves and surface waves, however, this non-
77 uniqueness can be mitigated. The high wavenumber gravity information can provide excellent
78 horizontal resolution in the near surface, while longer wavenumber structure can help control
79 deeper structure, constrained by the seismic datasets.

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81 The advantages of using multiple types of data in inversions has been recognized and used by
82 various authors for decades. The most common usage is the combination of two different types of
83 data (such as gravity and body waves) in order to remove some non-uniqueness from the solution
84 space. For example, Obrebski *et al.* (2012) utilized joint inversion of body waves and surface
85 waves in a regional tomographic image of China, and Zhang *et al.* (2014) used the same
86 combination of data for a local 3-D P- and S-wave model of the San Andreas Fault Observatory
87 (SAFOD) site. Data from gravity and body waves were jointly inverted by Roecker *et al.* (2004)
88 to obtain a structural model near the SAFOD site and by Bergman *et al.* (2012) to derive a regional

89 model in Eurasia. Julià *et al.* (2000) inverted teleseismic P-wave receiver functions and surface
90 wave phase and group speed dispersion curves for crustal and upper mantle structure for the
91 Arabian Shield. Maceira and Ammon (2009) jointly inverted surface wave and gravity data for a
92 regional model of central Asia. More recently, Syracuse *et al.* (2017) and Roecker *et al.* (2017)
93 combined body wave, gravity, and surface wave data to jointly invert for 3-D P- and S-wave
94 structural models of Utah and eastern Africa, respectively. Syracuse *et al.* (2017) utilized P- and
95 S-body wave arrival times, maps of surface wave group and phase speed derived from ambient
96 noise, and satellite-based gravity observations in their model of the crust and upper mantle under
97 Utah. Similarly, Roecker *et al.* (2017) inverted P- and S-travel times from local earthquakes jointly
98 with surface wave dispersion data from ambient noise and gravity observations for a crustal model
99 under the East African Rift.

100

101 In this paper, we first describe the datasets used in this study, then we discuss the methodology we
102 use to perform the simultaneous inversion of absolute and differential P- and S-body wave travel
103 times, surface wave dispersion curves, and ground-based gravity data. Finally, we briefly examine
104 results of the joint inversion primarily in the context of our previous P-body-wave-only inversion
105 of the region (Preston *et al.*, 2007).

106

107 **Geologic Background of the Nevada National Security Site**

108

109 The study region, including the Nevada National Security Site (NNSS), is situated within the
110 southern Walker Lane Belt (Stewart, 1988) in the broader context of the Basin and Range province.
111 Northwest striking, strike-slip faulting within the East California Shear Zone in the southwest

112 portion of the study area transitions toward roughly north-south oriented normal faulting to the
113 north and east. In addition to these broader geological contexts, the NNSS region is punctuated
114 by Miocene caldera complexes and waning post-Miocene volcanism (O’Leary *et al.*, 2002;
115 Vaniman *et al.*, 1982; Crowe *et al.*, 1995). The Timber Mountain, Oasis Valley, and Silent Canyon
116 caldera complexes produced voluminous tuff sequences that cover or fill much of the area’s mesas
117 and basins. As is typical of the Basin and Range, many basins in the area are covered surficially
118 with Cenozoic alluvium deposits, and surficial exposures of pre-Cenozoic rocks are primarily
119 limited to mountain ranges. The NNSS proper contains pre-Cenozoic exposures in the ranges
120 surrounding Yucca Flat and in the far south in the Specter Range, and notably in the Climax (site
121 of SPE phase 1) and Gold Meadows granitic stocks (Phelps *et al.*, 2004). Most other surficial
122 deposits on the NNSS are Cenozoic tuff and alluvium deposits. The major geologic and
123 geographic features discussed herein are shown in Figure 1a.

124

125 **DATA**

126 **Body Waves**

127

128 The body wave data set contains absolute and differential travel times from earthquakes and active
129 sources. We utilize P- and S-wave travel times from earthquakes recorded on the United States
130 Geological Survey (USGS) analog seismograph network from 1981-1992, the same network
131 operated by the University of Nevada Reno (UNR) from 1992-1995, and the combined analog and
132 digital array from 1995-2014. Earthquakes recorded on the combined network (operated by the
133 UNR) provide the vast majority of body wave travel times. Nearly 66,800 earthquakes contribute
134 approximately 615,000 P-wave and 345,000 S-wave absolute travel times, with a median number

135 of 17 picks per earthquake. Additionally, cross-correlations of UNR earthquake catalog
136 waveforms provides a differential arrival time data set with a total of 297,000 P- and 197,000 S-
137 wave differential times. We did not pick any body wave travel times as part of this study. Rather,
138 the absolute arrival times and their estimated arrival time picking errors were obtained from their
139 respective source earthquake catalogs, which were picked and verified by various analysts. No
140 automatic (computer) picks are included. Starting earthquake locations are based on global
141 relocations (not perturbations) of the events within the starting 3-D model. Differential times were
142 derived by cross-correlating selected earthquake waveforms recorded at common stations, keeping
143 only differential times from pairs of events with correlation coefficients greater than 0.7 (Preston
144 and von Seggern, 2007; Waldhauser and Ellsworth, 2000). Figure 1b shows the distribution of
145 earthquakes used in this study as black dots.

146

147 In addition to body waves derived from earthquakes, absolute P-wave arrival times from active
148 sources are also included in the data set. These consist of arrival times from 90 underground
149 nuclear explosions (S. Harmson, personal communication, 1993), a series of USGS experiments
150 focused on Yucca Mountain from 1983-1985 (Hoffman and Mooney, 1984; T. Brocher, personal
151 communication, 2005), the 1993 Non-Proliferation Experiment (Smith *et al.*, 2000; Denny, 1994),
152 the Watusi experiments (Bhattacharyya *et al.*, 2003), the SPE Large-N array (Mellors *et al.*, 2018),
153 the THOR1 and THOR2 experiments (Toney *et al.*, 2019), and the SPE experiments. The locations
154 and times of the underground nuclear experiments were corrected using the information from
155 Springer *et al.* (2002). However, due to large misfits of the underground experiment data
156 compared to other data, the origin times of the nuclear experiments are not fixed but are allowed
157 to float during inversion. The active source data are shown in Figure 1b as red squares.

158

159 The body wave data (both active and earthquake) were recorded on a total of 526 unique seismic
160 stations. Some of the stations were only temporarily used for specific experiments, while others
161 recorded events for several years. Seismic stations used in the study are shown as green triangles
162 in Figure 1b. In total, there are 1,577,727 body wave travel times, absolute and differential, of
163 which about 541,000 are S-wave picks. In relation to the data set used in Preston *et al.* (2007),
164 which only utilized absolute P body wave travel times, this new work includes all picks from the
165 older study plus S wave picks from earthquakes included in that study and new earthquakes and
166 active source data that were not available at the time.

167

168 **Surface Waves**

169

170 We use surface wave data that were derived from cross-correlations of ambient noise at select
171 stations in the UNR and SPE seismic networks, from surface waves observed as part of the THOR1
172 and THOR2 active source experiments in Yucca Flat (Toney *et al.*, 2019), and from active source
173 interferometry of SPE-5 observed on the SPE Large-N array (see Mellors *et al.*, 2018 for
174 information on the Large-N deployment). For the ambient noise study, 51 station pairs are used,
175 with 36 pairs from the UNR network and 15 pairs from the SPE backbone network (Figure 2). We
176 used the MSNoise software package (Lecocq *et al.*, 2014) to process the ambient noise data set to
177 produce empirical Green's functions for each station pair. To estimate the Green's functions
178 needed for the dispersion analysis, we stacked one year of ambient seismic noise, processed in one
179 day increments. Additionally, we used 382 station pairs from the SPE-5 Large-N array to produce
180 vertical-component empirical Green's functions using source interferometry (e.g., Halliday and

181 Curtis, 2008; Wapenaar *et al.*, 2010). Specifically, we found four linear sub-arrays of the Large-
182 N that were co-linear with the SPE-5 source location and cross correlated the demeaned and
183 filtered (1-5 Hz) vertical component seismogram with all the other seismograms in each linear
184 sub-array, producing a total of 11,193 surface wave dispersion picks.

185

186 To estimate the dispersion relationships for the station pairs in the UNR network, we used the
187 single station multiwavelet algorithm introduced in Poppeliers and Preston (2019). The primary
188 advantage of this method is that it provides error estimates along with group- and phase-speeds
189 using only a single time series. A second advantage is that the decomposition can be tuned to
190 produce stable, higher resolution results over a desired passband, or to emphasize certain pass
191 bands over others (see Figure 4 of Poppeliers and Preston, 2019). The multiwavelets, when applied
192 as the multiwavelet transform, act as a series of mutually orthogonal filter banks. Hence the
193 method decomposes the cross correlograms into several suites of narrow band realizations, from
194 which we obtain multiple dispersion estimates. Standard statistical methods can then be used to
195 produce a mean dispersion curve and its uncertainty for each station pair. By knowing the
196 separation for each station pair, we can transform the dispersion curve into a group velocity. For
197 our analysis, we used ten wavelet pairs to compute ten dispersion estimates for each station pair.
198 We then computed the mean and standard deviation for each station pair which was then used in
199 the inversion (Figure 3). To obtain phase velocity, we use the phase-matched filter method
200 introduced by Herrin and Goforth (1977) as implemented in Poppeliers and Preston (2019). If the
201 phase matched filter accurately represents the surface wave dispersion along the path between the
202 stations, then cross correlating the phase matched filter with the original correlogram should result
203 in a symmetric, band limited pulse centered at zero lag for all frequencies (Figure 3d).

204

205 Only group speeds were found for the SPE stations. The above described method of phase and
206 group speed determination proved to be too unstable for the SPE stations, primarily because of a
207 strong long period wave at near-zero lag time interfering with the surface wave. This near-zero
208 lag time wave could be the body wave arrivals on the Green's Function. Thus, in order to compute
209 the group speeds for the SPE station pairs, the cross-correlograms were narrow band-pass filtered
210 and the peaks of the envelope functions of the resultants were picked manually.

211

212 In total, 237 phase and nearly 92,000 group speed measurements were collected. The UNR station
213 pairs allowed phase and group speeds to be determined from 2 to 10 s period. The SPE backbone
214 station pairs, due to their shorter offsets, allowed determination from about 1 to 5 s period. Due
215 to the close station spacing and very short offsets for the SPE Large-N array, we obtained surface
216 wave dispersion estimates from 4-30 Hz for stations in this array.

217

218 **Gravity**

219

220 We obtained the gravity data from the University of Texas El Paso's (UTEP) gravity and magnetic
221 national data repository (Hildenbrand *et al.*, 2002). Approximately 25,000 individual gravity
222 measurements, collected on-site, were obtained for the data region (Figure 4). The density of
223 measurements varies greatly throughout the study area with the central portions of the model
224 tending to have the highest concentration. For the inversion, we used the complete Bouguer
225 anomalies as provided in the UTEP dataset.

226

227 **METHOD**

228

229 Due to the different physics embodied in seismic body-waves, seismic surface-waves and the
230 gravity field, we require different implementations for the forward and inverse problems for each
231 data type. The theory behind body wave tomography, surface waves, and gravity are provided in
232 many texts (e.g., Aki and Richards, 2002; Shapiro *et al.*, 2005; Turcotte and Schubert, 1982).
233 These diverse datasets are combined in a nonlinear, iterative inversion technique that
234 simultaneously solves for optimal earthquake hypocentral locations and P- and S-wave three-
235 dimensional velocity structure subject to model smoothness constraints (see Regularization
236 section). P- and S-body waves utilize 3-D ray-tracing and travel time calculations via the Vidale-
237 Hole algorithm (Vidale, 1990; Hole and Zelt, 1995). The specifics of the P-wave tomography
238 implementation are described in Preston (2003). We perform the S-wave tomography in precisely
239 same manner as the P-wave tomography, but we use a separate 3-D S-wave grid to trace S-wave
240 arrivals. Rather than solve for P- and S-velocities directly, we solve for P-wave slowness ($1/V_p$),
241 since the P-wave travel time is linearly related to the wave slownesses along a ray path, and the
242 V_p/V_s ratio. The V_p/V_s ratio allows us to place permissibility constraints on S-wave velocities in
243 areas well sampled by P-waves but poorly sampled by S-waves in our bound least squares
244 algorithm (see Regularization section). The following sections describe the implementation of
245 V_p/V_s , surface waves and gravity in the algorithm.

246

247 **Vp/Vs**

248

249 The forward problem predicts the seismic travel time for a given model. The S-wave velocities
250 are computed from the current Vp model and Vp/Vs model, i.e.,

251

$$252 \quad s_S = s_P R_{PS}$$

253

254 with s_S the S-slowness, s_P the P-slowness and R_{PS} the Vp/Vs ratio; thus, the S-wave travel time
255 is

256

$$257 \quad t_S = \sum S_S l$$

258

259 summed along the S-wave ray path, with each segment of length l .

260

261 The inverse problem is the sensitivity of the travel time to perturbations in the Vp/Vs ratio. This
262 sensitivity can be derived from the above two equations, so

263

264

$$265 \quad \delta t_S = \sum (R_{PS} \delta S_P + S_P \delta R_{PS}) l$$

266

267

268 with the linearizing assumption that the ray path remains fixed. Since the ray path does depend on
269 the distribution of velocities, i.e., this is a non-linear problem, the inversion procedure is iterated
270 until changes in the model are small.

271

272 **Surface Waves**

273

274 Unlike body waves, which we approximate as a ray path, we approximate the surface waves as
275 affecting, and being affected by, a volume of the earth. Because of the computational expense of
276 computing full 3-D surface wave phase and group velocities and sensitivity kernels, we use an
277 approximation in order to compute these quantities rapidly, while still allowing reasonable
278 accuracy. Specifically, we use separate Vp and Vs model grids for surface wave inversions that
279 are tied via constraint equations to the primary body wave Vp and Vs grids (Figure 5). The surface
280 wave grids have a node density that is inversely proportional to depth: the highest node density
281 exists at the surface and becomes coarser with depth. The surface layer, which follows topography,
282 matches the resolution of the underlying body wave grid. Three nodes below the lowest
283 topography in the model, the surface wave grid coarsens by doubling the node spacing in all three
284 dimensions. This node spacing is retained for three grid nodes in depth before another doubling
285 in the node spacing occurs. This process continues until the maximum depth of the model domain.
286 The surface wave P and S slownesses associated with a given grid node are simply the mean
287 slownesses of the body wave nodes contained within that surface wave cell; this mean value
288 constraint is enforced during the matrix inversion step so that the surface and body wave grids
289 never differ by more than 1 m/s. These 3-D Vp and Vs models are converted to 1-D average
290 models individually for each source-receiver pair using the surface wave sensitivity volume, which

291 is a function of period (Lin and Ritzwoller, 2010). These sensitivity kernels are truncated at the
292 first zero crossing, giving an approximately oval shape at the surface; thus, the sensitivity outside
293 this oval is zero and inside it is normalized so that the sum over the area is unity within each 1-D
294 layer.

295
296 Using this series of 1-D layers, the surface wave phase and group speeds at different frequencies
297 are computed using a finite element Rayleigh solver (e.g., Lysmer, 1970). The sensitivity of
298 changes in phase and group speeds to changes in the 1-D V_p and V_s layers are computed using
299 finite differences by perturbing each layer's V_p and V_s individually. We then distribute these 1-
300 D sensitivities to the 3-D surface wave grid nodes contained in each layer based on the areal
301 sensitivity kernels described above. This approximates the 3-D sensitivity of changes in the phase
302 or group speed to changes in the 3-D V_p and V_s models.

303

304 **Gravity**

305

306 Each gravity measurement is dependent on every point in the model; therefore, we use a separate
307 grid for the prediction and inversion of the gravity data. Also, because gravity varies with the
308 inverse of distance squared, deeper structures are smoothed, allowing the separate gravity grid to
309 coarsen with depth (Figure 5). As with the surface wave grid, the value of the P-slowness for each
310 gravity cell is the mean value of the body wave nodes contained within each gravity cell. Extra
311 constraint equations additionally ensure that the two grids never differ by more than 1 m/s during
312 the inversion process. This greatly reduces required computational memory and effort, while still
313 honoring the strengths of the gravity dataset.

314

315 In order to predict and find gravity sensitivities to changes in the 3-D P-wave velocities, we must
316 assume a relationship between density and V_p . For our work here, we use 30% of the density
317 computed from Eq. 1 of Brocher (2005) plus 70% of the density from Gardner's relation (Gardner
318 et al., 1974). This admixture gives reasonable values of densities for the full range of P-wave
319 velocities encountered in the model. The derivative of this relation with respect to changes in P-
320 wave velocity provides the necessary sensitivities for inversion. We assume that the direct effects
321 of S-waves on density (and vice versa) are zero.

322

323 The predicted value of gravity at any point on the surface depends upon all of the density values
324 in the earth. However, since we are not modeling the entire earth we need to account for effects
325 outside the model domain. This is achieved in two ways: first, we use extra buffer zones in the
326 horizontal dimensions to mitigate against edge effects in the X and Y directions. These buffer
327 zones assume linearly decreasing density anomalies outside the model domain. Secondly, we also
328 invert for a constant gravity value, common to all observation points. This is equivalent to finding
329 the best-fit infinite slab of a given thickness and density perturbation lying somewhere under the
330 model domain and can be thought of as removal of the mean gravity anomaly.

331

332 **Regularization**

333

334 Regularization is the method by which the system of equations is made solvable with standard
335 matrix inversion techniques. The system of equations as defined by the above data types is over-
336 determined in certain areas where much of the data overlap and under-determined in other areas

337 where data are sparse. The model matrix, defined by the sensitivity to changes in the model
338 parameters described above, is augmented by further equations that regularize the matrix. For our
339 work here, we employ differing methods of regularization for each of the data types used in the
340 inversion.

341

342 The body P-wave and V_p/V_s grids are regularized by applying an anisotropic smoothness
343 constraint. We use the second order accurate finite difference 3-D Laplacian function but allow
344 sharper gradients in the depth dimension compared to the horizontal dimensions. This allows
345 resolution of the high vertical velocity gradients typically found in the shallowest depths of the
346 crust. Even with this anisotropic Laplacian smoothing we are still capable of imaging strong
347 horizontal gradients that could be associated with faults. Furthermore, since model resolution is
348 expected to decrease once below the seismogenic depth, we force the model to be smoother below
349 15 km depth. We apply a final regularization layer by smoothing areas more where data density
350 is low compared to where data density is high. These regularizations both impose realistic physical
351 constraints and control the effect of outliers.

352

353 We admit that regularization is a somewhat subjective process. The smoothness or roughness of
354 the resulting model is subject to the researcher's biases, assumptions, and preconceptions. For this
355 model, our basic assumption is that a smooth model is preferable to a rougher model which equally
356 fits the data. We tested many different combinations of smoothing parameters for V_p , V_p/V_s ,
357 depth smoothing, and data density smoothing. We desired a model that would be rough enough at
358 the surface to honor the density of active sources and gravity observations and yet not over-fit data
359 at depth. We found that a smoother model at depth could fit the data equally well as a rougher

360 model; thus, our bias is towards the smoother model. Obviously, this choice eliminates the
361 possibility of imaging very small structures at depth, but it also prevents small, likely fictitious
362 features (e.g., numerical artifacts) from being interpreted as actual Earth structure.

363

364 We also place constraints on the range of values permissible for V_p and V_p/V_s . These are imposed
365 directly within the matrix inversion step so that a fully self-consistent solution is computed
366 (Bierlaire *et al.*, 1991). These constraints ensure that V_p , and most importantly V_p/V_s , are
367 physically realistic. For example, in areas with many active sources, the V_p model is well
368 constrained at shallow depths, showing lower P-wave velocities there. However, with no
369 comparable S-wave control, S-wave velocities at depth would get smoothed upwards toward the
370 surface. This could cause the shallow S-wave velocity to be larger than is physically possible
371 compared to the P-wave speeds. By constraining the V_p/V_s ratio to physically realistic limits, this
372 problem can be avoided.

373

374

375 **Assembly of the Joint Inversion**

376

377 We perform a classical linearized least squares inversion, solving the general set of linear equations
378 of the form

379

$$380 \quad \mathbf{Ax} = \mathbf{b}, \quad (1)$$

381

382 where the matrix \mathbf{A} contains the sensitivities of changes in the observations to changes in medium
 383 parameters augmented by regularization and constraint equations, the vector \mathbf{x} contains
 384 perturbations to the model parameters (body wave P- and S-slownesses, earthquake hypocentral
 385 parameters, surface wave grid P- and S-wave slownesses, and gravity grid P-wave slownesses),
 386 and the vector \mathbf{b} contains observed residuals augmented by regularization and constraint residuals.
 387 Specifically, Equation 1 takes the form:

388

$$\begin{bmatrix} P_{body} & S_{body} & E_{hypo} & 0 & 0 & 0 \\ 0 & 0 & 0 & P_{surf} & S_{surf} & 0 \\ 0 & 0 & 0 & 0 & 0 & P_{grav} \\ L_P & 0 & 0 & 0 & 0 & 0 \\ 0 & L_S & 0 & 0 & 0 & 0 \\ 0 & 0 & L_{hypo} & 0 & 0 & 0 \\ K_{Pb} & 0 & 0 & K_{Ps} & 0 & 0 \\ 0 & K_{Sb} & 0 & 0 & K_{Ss} & 0 \\ G_{Pg} & 0 & 0 & 0 & 0 & G_{Pg} \end{bmatrix} \begin{pmatrix} \partial P_{body} \\ \partial S_{body} \\ \partial E_{hypo} \\ \partial P_{surf} \\ \partial S_{surf} \\ \partial P_{grav} \end{pmatrix} = \begin{bmatrix} r_{body} \\ r_{surf} \\ r_{grav} \\ -L_P m^{(i)} \\ -L_S m^{(i)} \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

390

391 where P_{body} are the sensitivities of changes in travel times to changes in P-body wave slownesses,
 392 S_{body} are the Vp/Vs-body wave sensitivities, E_{hypo} are sensitivities to changes in earthquake
 393 hypocentral parameters and explosion experiment origin times (i.e., travel time gradients evaluated
 394 at the current iteration's locations), P_{surf} are sensitivities of changes in group or phase speed to
 395 changes in surface wave P slownesses, S_{surf} are the Vp/Vs-surface wave sensitivities, P_{grav} are
 396 the sensitivities in changes of gravity to changes in the gravity grid slownesses (via the Vp-gravity
 397 relation described above), L_P forms the P-body wave slowness regularization matrix of the
 398 anisotropic 3-D Laplacian, L_S forms the Vp/Vs body wave regularization matrix, L_{hypo} is the
 399 hypocentral regularization matrix (a scaled identity matrix to favor small perturbations), K_{Pb} and
 400 K_{Ps} forms the linkage between the P body wave and surface wave grids, K_{Sb} and K_{Ss} forms the

401 linkage between the Vp/Vs body wave and surface wave grids, and G_{Pb} and G_{Pg} forms the linkage
402 between the P body wave and gravity grids. The column vector on the left side of the equation
403 explicitly shows the unknowns we are solving for: perturbations in the P body wave slownesses,
404 Vp/Vs body wave values, hypocentral parameters, P surface wave slownesses, Vp/Vs surface wave
405 ratios, and P gravity grid slownesses, from top to bottom. The right hand side of the equation
406 contains the body wave travel time residuals, r_b , surface wave group and phase speed residuals,
407 r_s , gravity residuals, r_g , and regularization residuals, which, since we are aiming for a smooth total
408 model, not just in perturbation, is equal to the negative of the roughness of the present model, $m^{(i)}$.
409 We desire the current iteration's perturbations in hypocentral parameters be small; thus, the
410 hypocentral regularization term is equal to zero. Since the linkage equations enforce the mean of
411 the body wave slownesses within a surface wave or gravity cell be equal to the value of that surface
412 or gravity cell, there is no residual on the right-hand side for these terms. Data are weighted by
413 the inverse of each observation's estimated uncertainty, and each regularization matrix contains a
414 scalar parameter that can be used to adjust each regularization term's weight in the overall
415 inversion.

416

417

418 **Model Parameters**

419

420 The spatial extent of the model is defined by -117.33° to -115.43° longitude, $+36^\circ$ to $+38^\circ$ latitude,
421 (about 220 km N-S by 160 km E-W) and from the topographic surface to ~ 40 km depth. Model
422 node spacing is set at 2 km by 2 km horizontally and 1 km vertically. The starting model consisted
423 of the model presented in Preston *et al.* (2007) expanded by smooth extrapolation outside that

424 model's domain. Note that the depths discussed below are relative to sea level, with the mean
425 topographic surface about 1.5 km above sea level (depth of -1.5 km). The lowest elevations in the
426 model are just below sea level in Death Valley, in the southwest portion of the model domain.
427 Elevations generally increase south to north in the model region, with the southern Amargosa
428 Desert having an elevation of ~250 m in the south increasing to over 2000 m (-2 km depth) for
429 Pahute Mesa in the north. The final weighted root mean square (RMS) residuals and variance
430 reductions of the various data types are provided in Table 1.

431

432 **RESULTS**

433

434 The addition of multiple data types increases the resolution of the estimated seismic velocity
435 structure relative to body-wave-only images. For example, Figure 6a shows the estimated V_p
436 obtained by inverting only seismic body waves, and Figure 6b shows the V_p model obtained by
437 inverting the joint data set. Note that in this figure, the displayed V_p is a constant-depth (0 km,
438 i.e. sea level) slice through the model. When using the joint data set, the basins and ranges in the
439 north portion of the model are well defined with high velocity ranges and lower velocity basins.
440 Conversely, when using only the body wave data, there is no clear V_p structure in this region:
441 velocities vary smoothly, being nearly constant in the northern portion of the model. Earthquakes
442 (black dots in the figures are events within 1 km of sea level) also relocate differently between the
443 two models due to these changes in structure (the earthquakes in common between the two data
444 sets average ~400 m shallower in the new model). The effects of surface waves are apparent by
445 comparing the checkerboard test cross-sections in Figure 7, which shows the V_s checkerboard
446 results at 37.2°N latitude where only P- and S-body waves and gravity data are included (Figure

447 7a) versus the same results when surface waves are added (Figure 7b). As noted above, gravity
448 data is assumed to contribute nothing to the S-wave structure except through the V_p/V_s constraints,
449 and the S-body-waves come solely from earthquakes. The addition of surface waves enhances
450 resolution at the very shallowest depths (<0 km depth), on the east and west edges of the model,
451 and in the deeper portions of the model. Thus, the surface waves contribute to S-model resolution
452 via both their stronger depth sensitivity in the central portion of the model and their different
453 horizontal spatial coverage relative to earthquake S-body wave ray paths.

454

455 The P-wave velocities from the surface down to a depth of at least 4 km (Figure 8a-d, S1-S4)
456 closely mimic topographic features. In general, the large-wavelength P-wave velocity features
457 mimic the topography at greater depths but tend to be smoother than the P-wave structures at
458 shallow depths (Figures 8e,f, S5-S6). Low velocity sediments and alluvium can be many
459 kilometers thick within basins while ranges generally consist of stronger materials with faster
460 seismic velocities. Below maximum basin depths, lower than average seismic velocities could be
461 associated with weaker, fractured zones (Catchings, 1992) or by changes in rock type. The
462 estimated P-wave velocities of the Death Valley region also tend to mimic the topography, with
463 high velocity ranges and low velocity basins, which persists to about 10 km depth (Figure 8e, S5).
464 For depths greater than 10 km, the P-wave velocity blends into a larger region of generally elevated
465 velocities (>6.45 km/s) lying to the south at depths of approximately 15 km (Figure 8f, S6). Also
466 at these depth, a north to south general increase in P-wave velocities is apparent (Figures 8e and
467 8f, S5-S6). This pattern mimics the north to south increase in the complete Bouguer anomaly
468 (Figure 4), which has been interpreted by Saltus and Thompson (1995) as being due to differences
469 in the properties and thickness of the crust and to more buoyant asthenosphere to the north. In

470 order to test how much of the deep north-south seismic velocity gradient is possibly due to this
471 gravity gradient, we compared the joint modeling results with results from body-wave-only results.
472 We find that the same general north-south velocity gradient is present in the body-wave-only
473 model, making us conclude that the deep velocity gradients in the joint model are not solely an
474 artifact of the gravity data.

475
476 We estimate higher P-wave velocities under the Eleana Range, which persist from near the surface
477 down to about 15 km depth. Lower than average seismic velocities are coincident with the eastern
478 end of the Rock Valley Fault Zone, located on the eastern edge of the NNSS, that extend from the
479 surface to ~15 km depth. The estimated P-wave velocity at the surface (-1 km depth) of Yucca
480 Flat, located on the eastern edge of the NNSS are lower in the eastern portion compared to the
481 western boundary (Figure 9), with the break roughly coincident with the Carpetbag Fault,
482 consistent with Phelps *et al.* (1999). The estimated P-wave velocities of the ranges surrounding
483 Yucca Flat are higher than average, which is common of the ranges in this region. In general, the
484 P- and S-wave velocities that we estimated using our joint inversion are higher than other Yucca
485 Flat models (e.g., Schramm *et al.*, 2012) at the shallowest depths, which may be due to a bias
486 introduced by the Vp-density relation we assumed. Higher Vp velocities at depths greater than 1
487 km (~2 km below the surface) suggest a maximum depth of Yucca Flat consistent with Phelps *et*
488 *al.* (1999). As the depth increases beyond 2 km, seismic velocities beneath Yucca Flat actually
489 decrease relative to those above it, nearly merging with a larger low velocity anomaly that extends
490 southward to the Rock Valley Low Velocity Zone. However, resolution analysis suggests that
491 under Yucca Flat at this depth, structures on the scale of this feature would be marginally resolved,
492 so the low estimated Vp velocity structure at this depth may be an artifact smeared northward from

493 eastern Rock Valley region, where it is resolvable. At 10 km depth Yucca Flat consists of higher
494 velocities in the north, with features merging with the larger high velocity structure to its west,
495 under Syncline Ridge, and lower velocities in the south, but is generally seismically fast overall,
496 probably due to smearing from the high velocity area to its west. Timber Mountain, located in the
497 central portion of the NNSS, is imaged as a relatively high velocity island encircled by lower
498 velocities at the surface. Low velocities lie immediately north under the Silent Canyon Caldera,
499 Pahute and Rainier Mesas, for the entire depth range of the model.

500

501 In cross-section (Figure 9) basins and ranges are clearly seen. A broad higher V_p region
502 approaches the surface under the Bullfrog Hills. The expression of Timber Mountain can be seen
503 in this image as a relatively narrow, high velocity region with lower velocities to each side (Oasis
504 Valley and Buckboard Mesa). The shallow portion of Yucca Flat is also imaged with a shallower
505 western and deeper eastern portion as mentioned above.

506

507 P-wave velocity structures imaged here bear a close correspondence to structures seen in the well-
508 resolved portions of the P-wave velocity model presented in Preston *et al.* (2007), especially above
509 5 km depth. The primary differences at shallow depth are due to the higher resolution available
510 by using the joint data set. Basins such as Crater Flat, Amargosa Basin, Jackass Flat and Yucca
511 Flat are better resolved in higher resolution compared to the older study. Not only are mountain
512 ranges on the peripheries of the old model (e.g., the Funeral Mountains) better resolved in the new
513 model but also those near the central portion of the model, such as Timber Mountain. However,
514 below about 5 km depth, even in areas with high data coverage in the older model, differences do
515 emerge generally on the smaller scale. For example, Preston *et al.* (2007) discusses a low velocity

516 zone under NE Jackass Flat at 5 km depth. This structure is still resolved using the joint data set
517 but is much more subtle. Regardless, larger scale structures have a good correspondence between
518 the two models even at these depths. Low seismic velocities are imaged under the Silent Canyon
519 Caldera complex region and higher seismic velocities are centered under Syncline Ridge. These
520 differences in images at depth can be largely attributed to the much smoother model being sought
521 in the current study. Although the RMS P-wave travel time misfits between the two models are
522 virtually identical, we are able to achieve this with a smoother model at depth using our joint
523 inversion. Despite these differences, none of the basic conclusions of Preston *et al.* (2007) are
524 contradicted by these new results.

525

526 The S-wave velocity structure roughly follows the same trends as the P-wave structure; however,
527 V_p/V_s images are smoother primarily due to volume averaging effects. High V_p/V_s ratios (>1.8)
528 near the surface occur over an area that stretches from the Silent Canyon Caldera complex in the
529 north to just east of Death Valley in the south (Figure 10a, S7). This north-south trend is
530 interrupted by an ENE trending low V_p/V_s (<1.67) structure that roughly follows seismicity within
531 the Rock Valley Fault zone into the Little Skull Mountain region between sea level and ~ 4 km
532 depth (Figures 10a-d, S7-S10). For depths of 8 km and more, we observe broad areas of somewhat
533 elevated V_p/V_s ratios (>1.74) south and west of Timber Mountain to Death Valley, roughly
534 coincident with the southern half of Kawich-Greenwater rift proposed by Carr (1990) (Figures
535 10e,f, S11-S12). At the shallowest depths (< 1 km), V_p within the proposed rift structure generally
536 tends to be slower than average, but we see no V_p structural correlation at deeper depths. This
537 high V_p/V_s region is abruptly truncated at the northern portions of Bare Mountain and Yucca
538 Mountain, where farther north, lower V_p/V_s values associated with the Timber Mountain Caldera

539 are present. Lower than average V_p/V_s ratios lie under Yucca Flat and in a north-south line south
540 of Shoshone Peak through the main sequence of Little Skull Mountain aftershocks (Figures 10e,
541 f, S11-S12). V_p/V_s is at or below average under Pahute Mesa and Timber Mountain Caldera from
542 the surface through the deepest resolved portions of the model.

543

544 Model resolution, as depicted in the checkerboard tests (with errors distributed the same as the real
545 data), shows excellent definition of the P-wave structure within the central portion of the model
546 down to at least 4 km depth below sea level. Error analysis demonstrates that, with data errors,
547 adjacent structures with V_p contrasts of about 10-15% or less are resolvable at all scales evaluated.
548 Good to excellent recovery of 6 by 6 km horizontal blocks with 15% velocity perturbation is
549 observed down to about 4 km depth generally within NNSS proper, with rapidly decreasing
550 resolution at this scale at greater depths (Figure 11a). At the 10 by 10 km scale with 10%
551 perturbations, excellent resolution covers a broader region and persists to ~6 km depth, but
552 resolution degrades at greater depths (Figure 11b, c). At the 20 by 20 km scale, however, there is
553 near perfect recovery throughout the model area down to about 4 km depth, below which,
554 resolution begins to decrease except under NNSS proper where reasonable resolution remains until
555 ~10 km depth (Figure 11d, e). A similar pattern is observed for the 40 by 40 km block sizes at
556 10% perturbation but with overall stronger checkerboard pattern return relative to the 20 by 20 km
557 block size (Figure 11f). The strong decrease in resolvability below about 10 km depth is due to
558 the effects of a strong drop-off in body ray density at this depth coupled with the choice of greater
559 smoothing below 15 km. The increased smoothing with depth can clearly be seen on the cross-
560 section plots as well. S-wave resolution is roughly similar to the P-wave resolution, except that
561 the minimum resolvable scale is 10 km horizontally and the horizontal coverage of high resolution

562 is somewhat more restricted compared to P-waves (Figure 12). However, due to the presence of
563 surface wave data, S-waves have better resolvability at depths greater than ~5 km.

564

565 **DISCUSSION AND CONCLUSIONS**

566

567 We have jointly inverted P- and S-body wave travel times, surface wave dispersion, and gravity
568 data and derived an image of the 3-D P- and S-wave velocity structure in southern Nevada,
569 including the NNSS. The joint inversion demonstrates greater fidelity and resolution compared
570 to a model based on body waves alone. The increased resolution of the seismic velocity model
571 was the primary goal of this paper, as this model will be used to simulate the propagation of
572 seismic wavefields in this region. Specific tectonic interpretations are outside the scope of this
573 paper.

574

575 High velocity mountain ranges and low velocity basins are clearly imaged over a majority of the
576 model region using the combined dataset whereas only gross features in the central portion of the
577 model are resolved when only using seismic body waves. Although P-wave resolution is greatly
578 enhanced by the addition of gravity data, the S-wave resolution is more subtly affected by the
579 addition of surface waves in this study, most likely due to the greater volume averaging effects
580 of surface waves. However, despite this limitation, the addition of surface wave information
581 allowed greater resolvability of the shallower and deeper portions of the S-wave model and to a
582 greater lateral extent compared to the body-wave-only model. This study demonstrates the
583 improvements to geophysical modeling that can be achieved by merging disparate datasets,

584 where the strengths of one data type can offset weaknesses in another, providing greater fidelity
585 and resolution of these models.

586

587 **DATA AND RESOURCES**

588

589 The SPE data used in this paper is available from the IRIS data center (www.iris.edu) under the
590 name “Source Physics Experiment” (last accessed June 2019). Earthquake and surface wave data
591 were obtained from the University of Nevada Reno Seismology Laboratory. David von Seggern
592 (UNR) provided the cross-correlation differential body wave travel times. Rob Abbott (Sandia
593 National Laboratories) provided the body and surface wave data from the THOR experiments.
594 Ting Chen (Los Alamos National Laboratory) provided the Large-N P-wave arrival times. Tom
595 Brocher (USGS) provided the USGS Yucca Mountain active source data. The gravity dataset was
596 downloaded from <http://research.utep.edu/Default.aspx?tabid=37229> (last accessed April 2019).
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598

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772 **Tables:**

773 Table 1: Final weighted RMS (wRMS) and variance reduction (Var Red) relative to the starting

774 3-D model for various data types. The first 5 listed are body waves.

<i>Data Type</i>	wRMS	Var Red (%)
<i>Earthquake P</i>	0.06 s	74%*
<i>Earthquake S</i>	0.09 s	60%*
<i>Active Source P</i>	0.04 s	75%*
<i>Differential P</i>	7 ms	>99%†
<i>Differential S</i>	7 ms	>99%†
<i>Gravity</i>	5.32 mgal	99%
<i>Group Speed</i>	0.20 km/s	82%
<i>Phase Speed</i>	0.07 km/s	86%

775 * Only includes new data added to the Preston *et al.* (2007) data set. For S-waves from earthquakes

776 this also includes S-waves added to existing events that only had P-picks in Preston *et al.* (2007).

777 † To nearest whole percentage, differential times variance reductions actually round to 100%, but

778 are 99.5% and 99.7% variance reductions for P and S, respectively, to higher precision.

779

780

781 **List of Figure Captions**

782

783 **Figure 1:** Maps of study region with shaded relief. a) Geologic and geographic reference map:
784 SCCC: Silent Canyon Caldera complex, PM: Pahute Mesa, RM: Rainier Mesa, SR: Syncline
785 Ridge, TM: Timber Mountain, YF: Yucca Flat, CF: Crater Flat, YM: Yucca Mountain, JF:
786 Jackass Flat, RVFZ: Rock Valley Fault Zone, FM: Funeral Mountains, AB: Amargosa Basin,
787 DV: Death Valley, SPE: Source Physics Experiment site. Outline of NNSS and NV-CA state
788 line indicated by black lines. b) Data summary map: Black dots are earthquakes; red symbols
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799 multiwavelet dispersion analysis, performed with ten wavelet pairs. The colormap shows the
800 normalized wavelet transform for the first wavelet pair, where the small red crosses correspond
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813

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823

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826 (earthquake and active) within 1 km of the depth section. The estimated P-wave velocity is
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828

829 **Figure 7: Comparison of Vs checkerboards from a) P- and S-body-wave and gravity**
830 **tomography and b) joint body wave, gravity, and surface wave inversion at 37.2°N. Vs**
831 **checkerboard with ±10% perturbation with 20 km horizontal by 4 km vertical blocks.**

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859

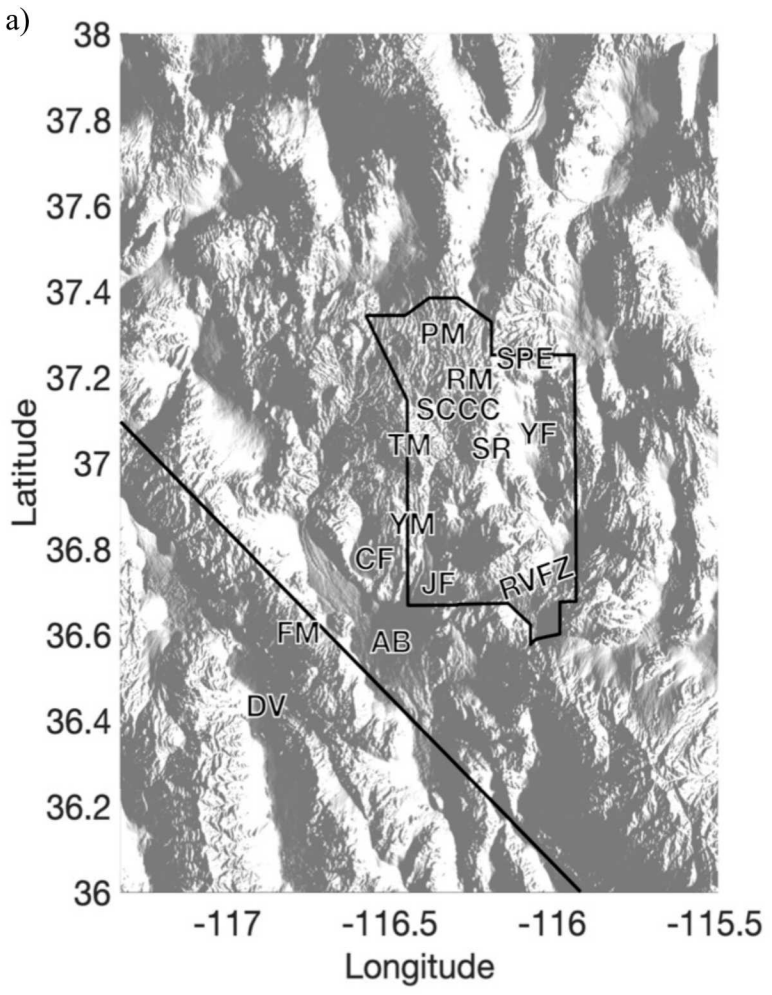
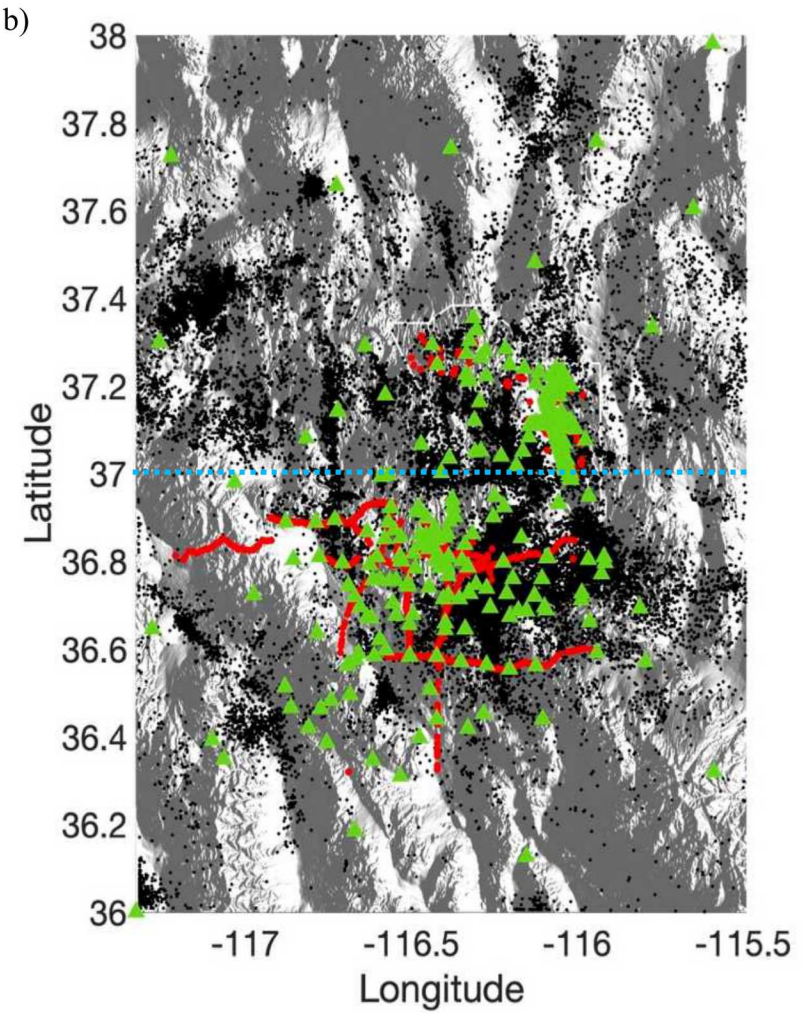


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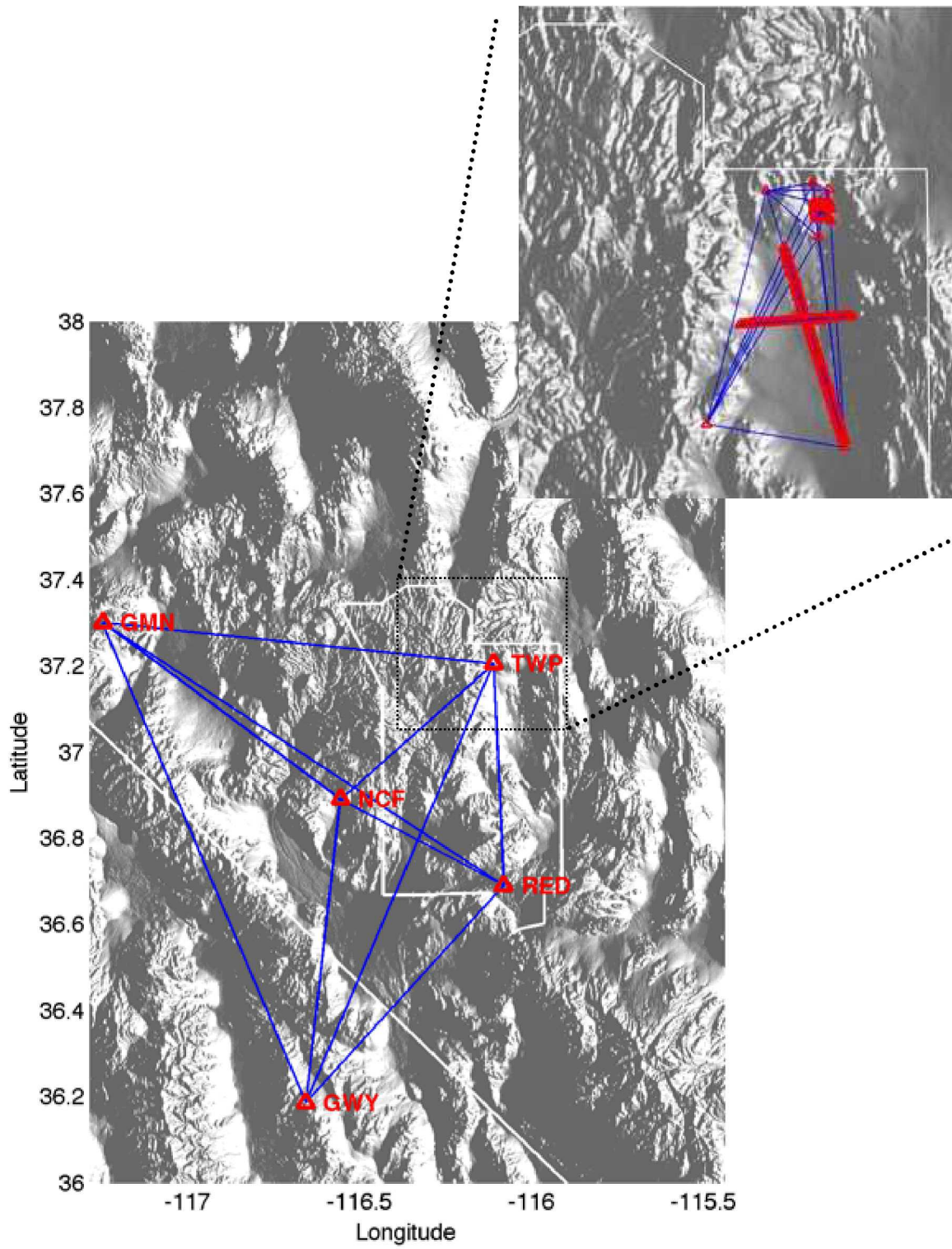
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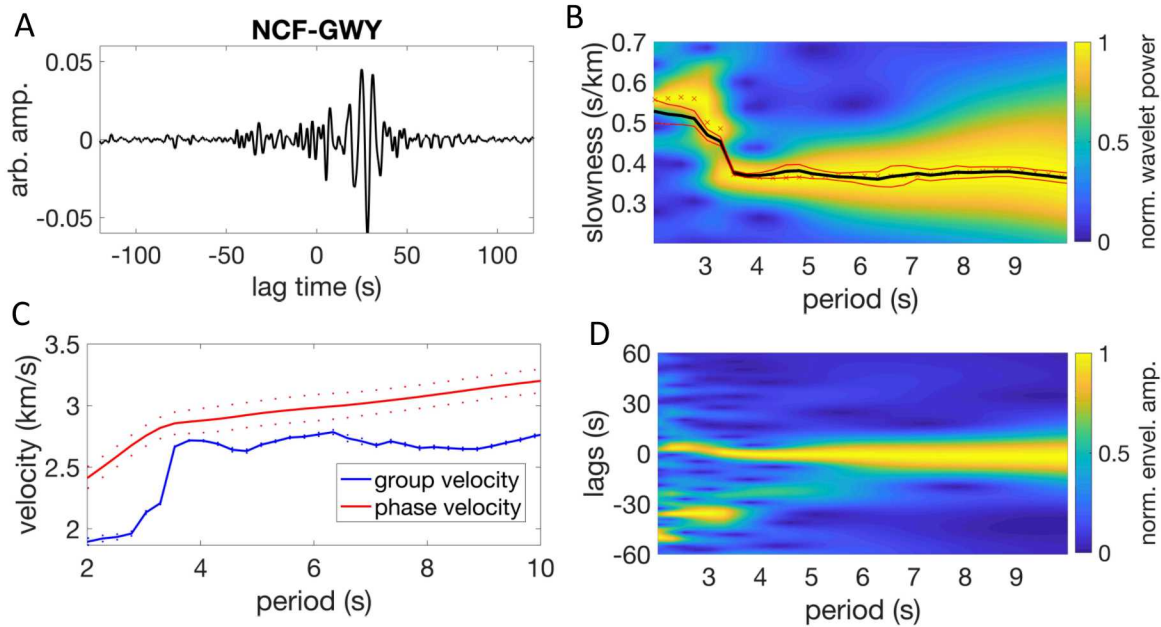


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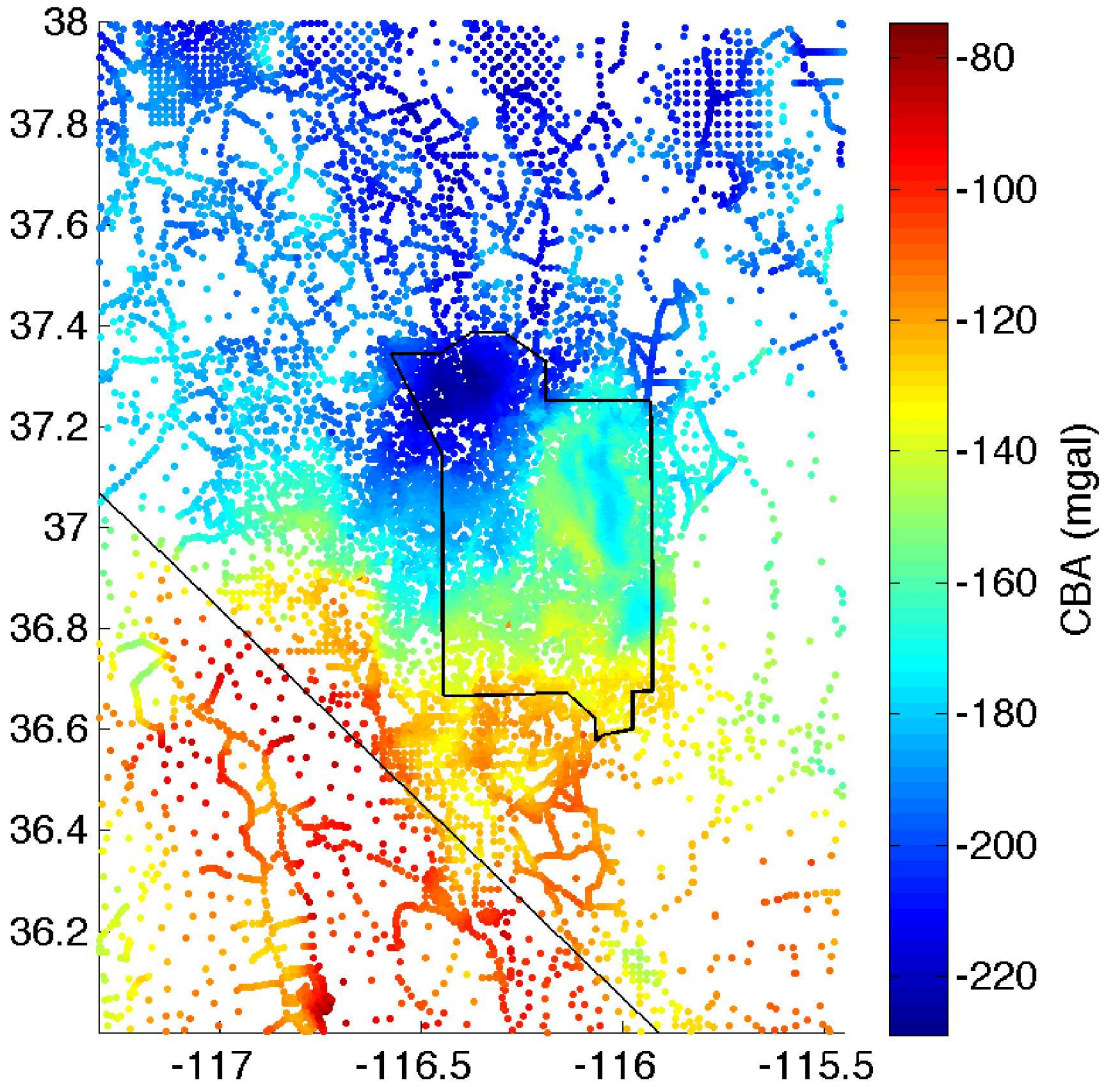
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895

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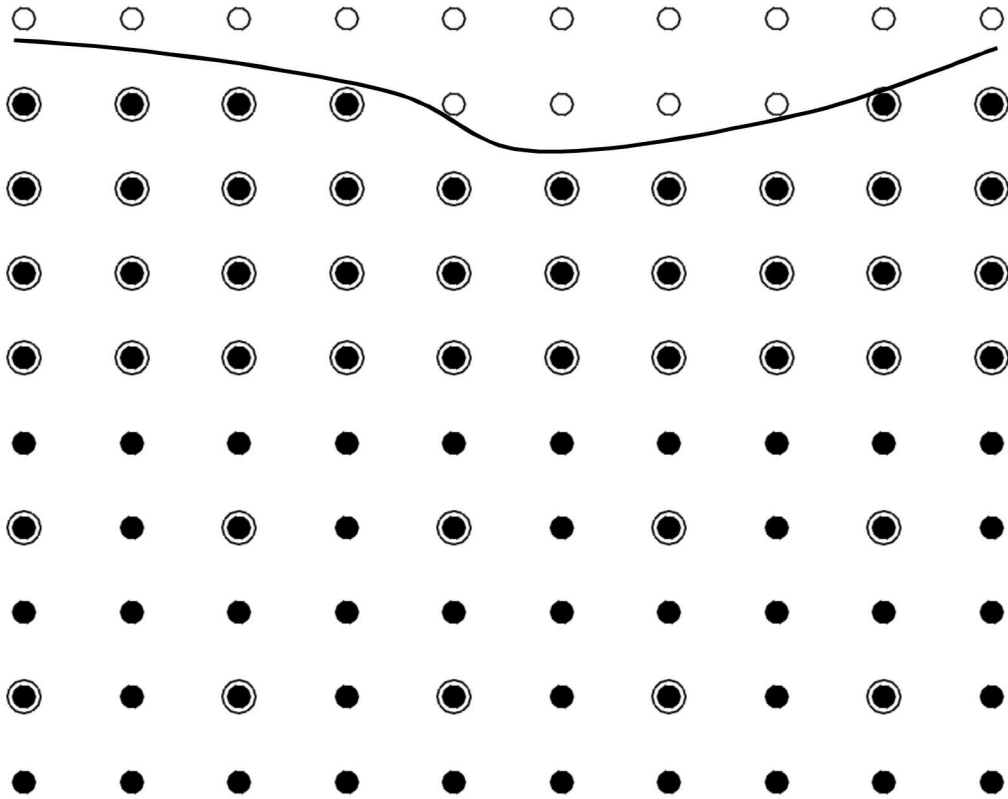
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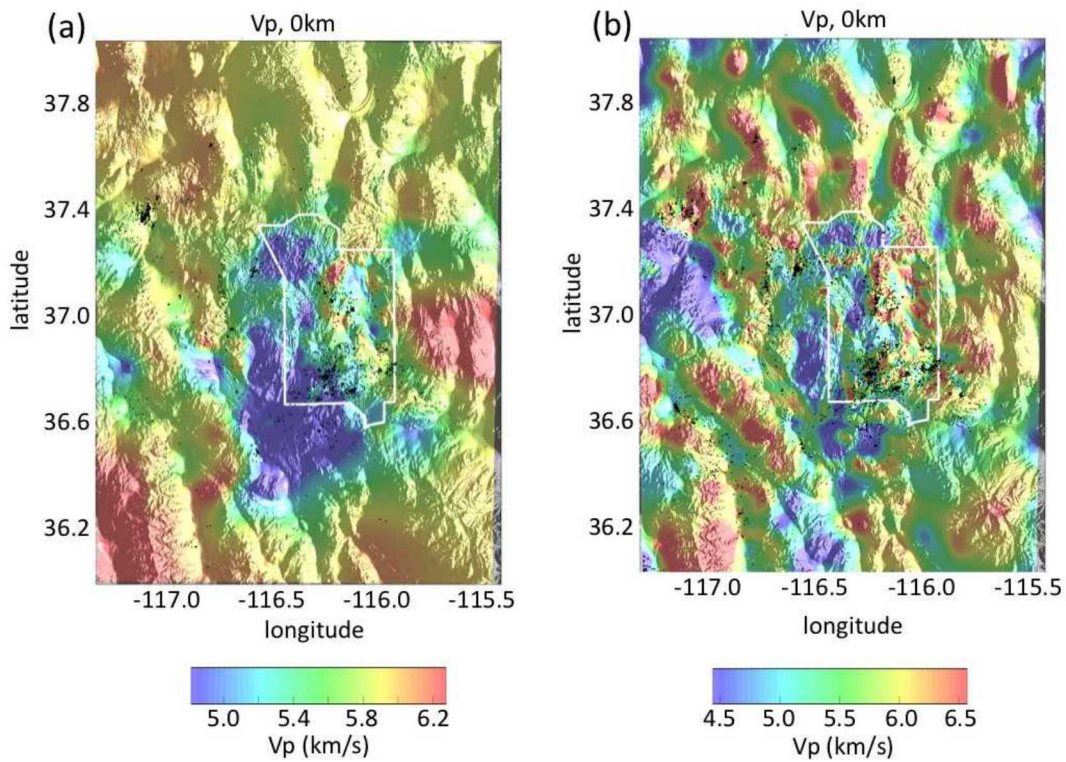
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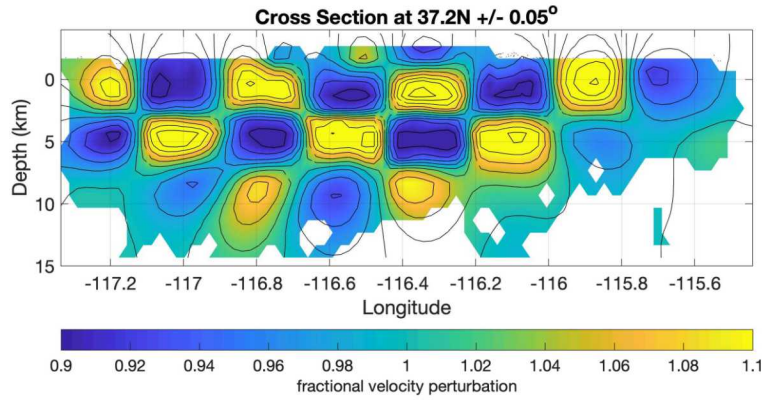
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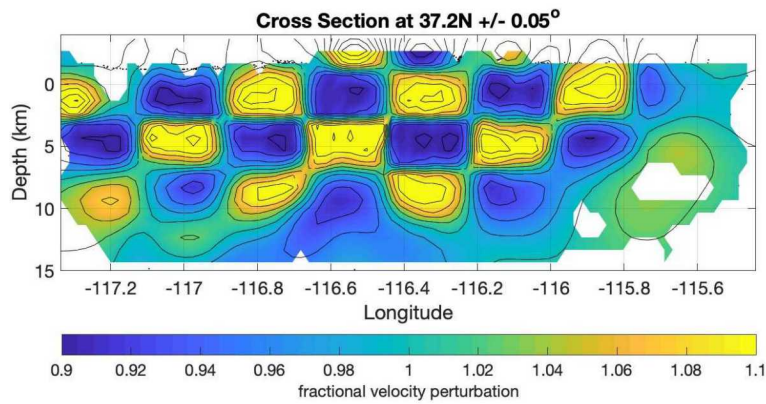
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a)



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b)

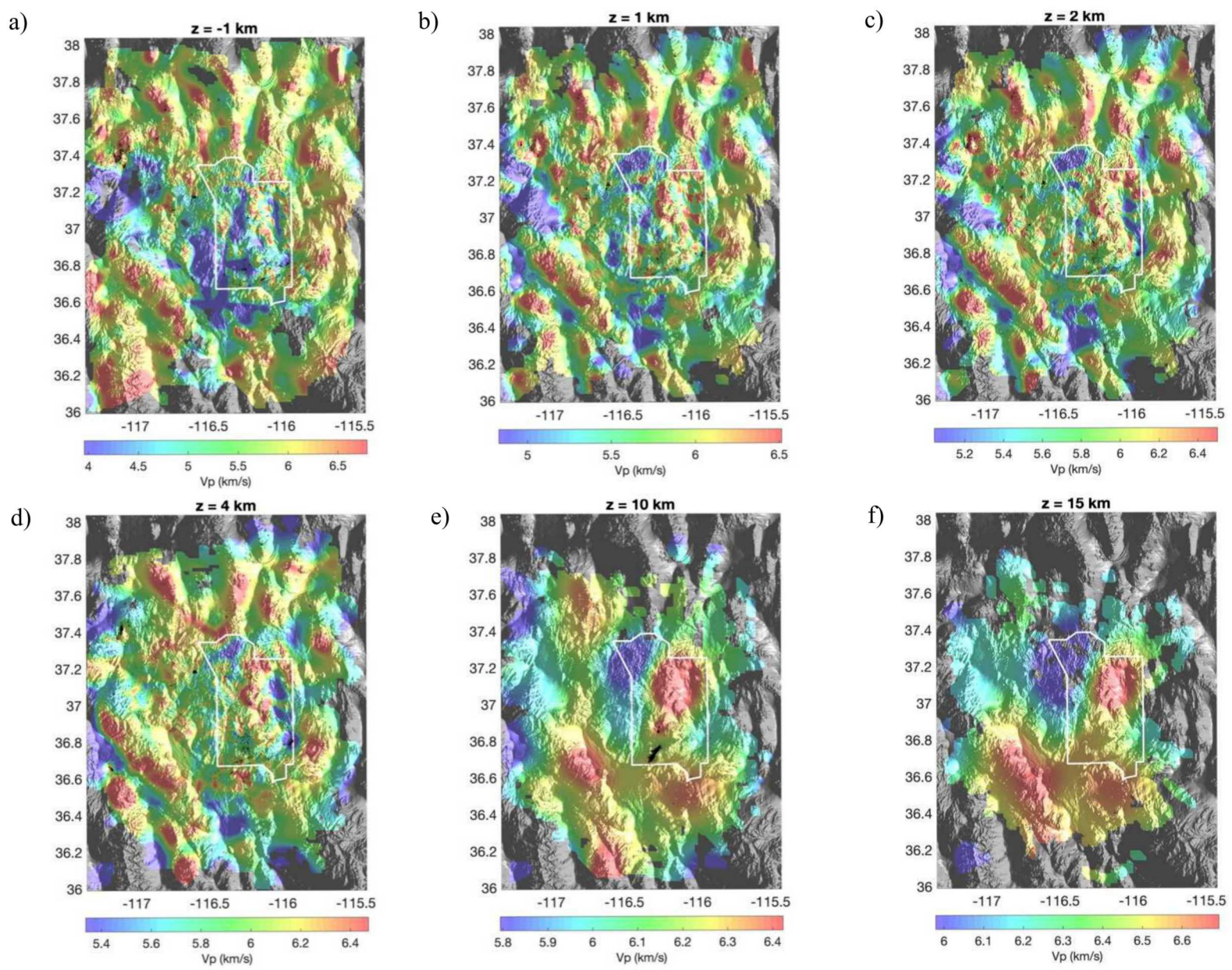


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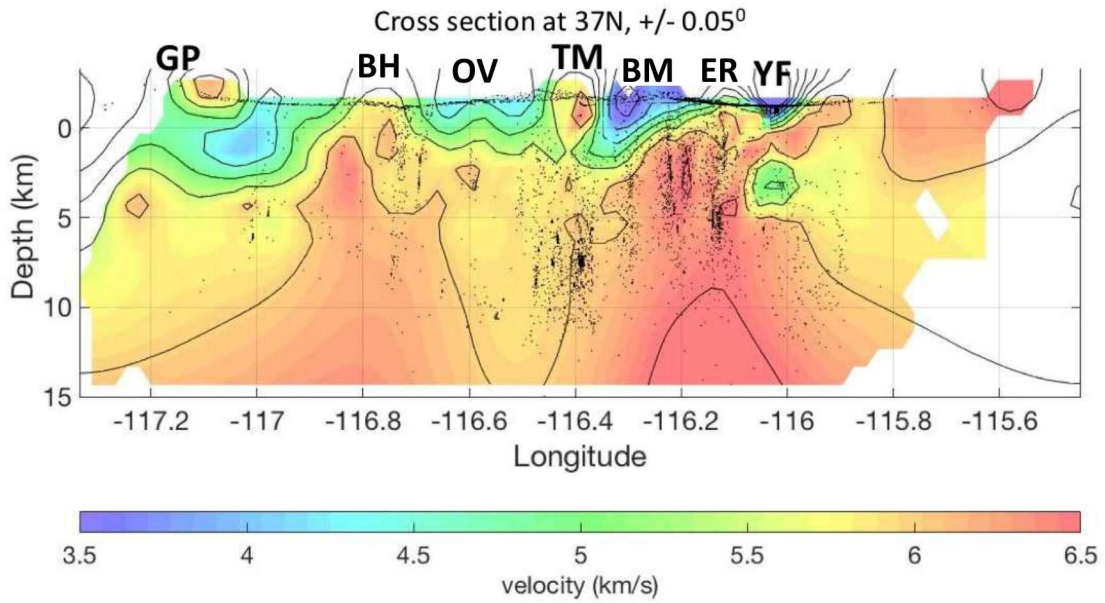


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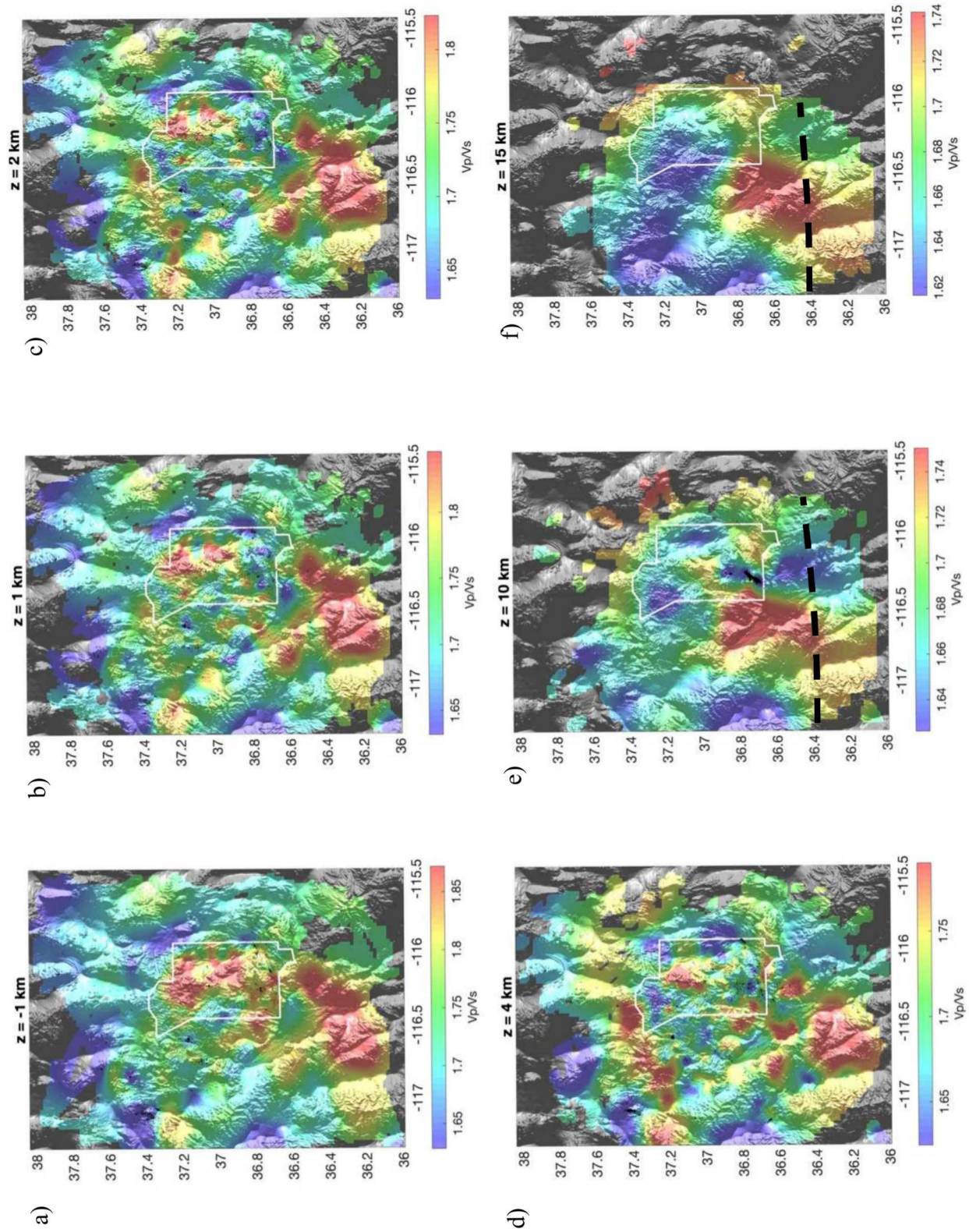


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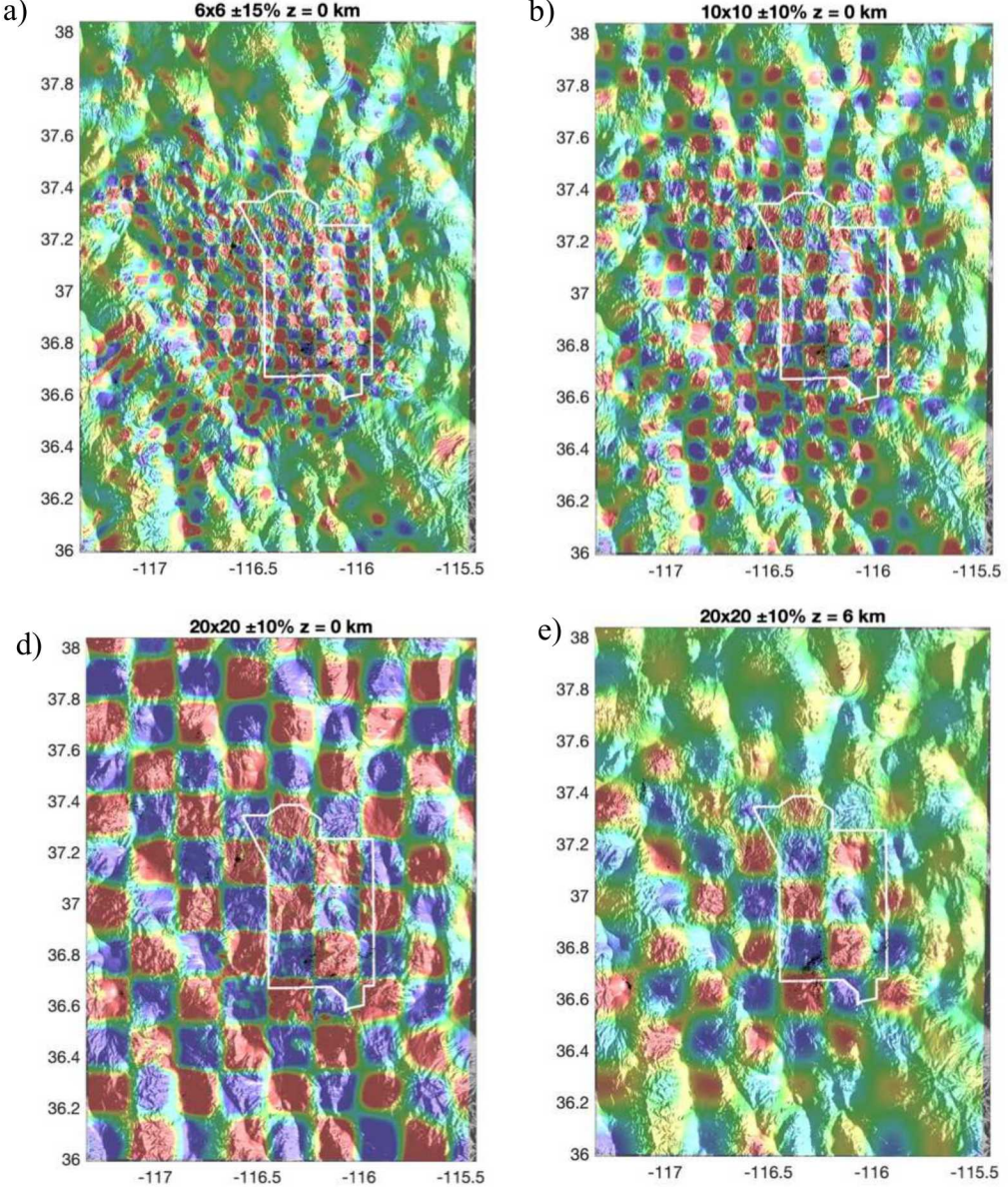
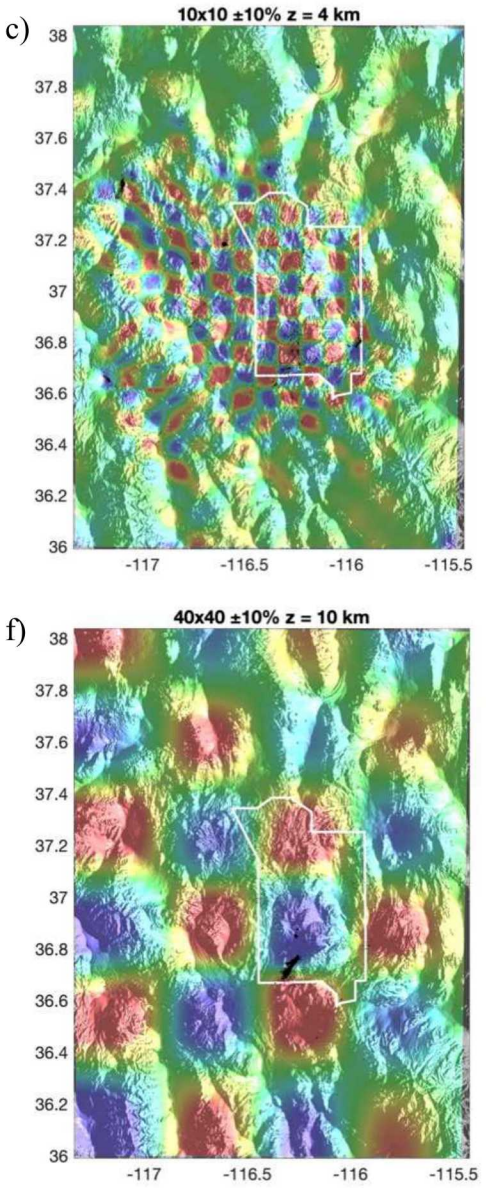
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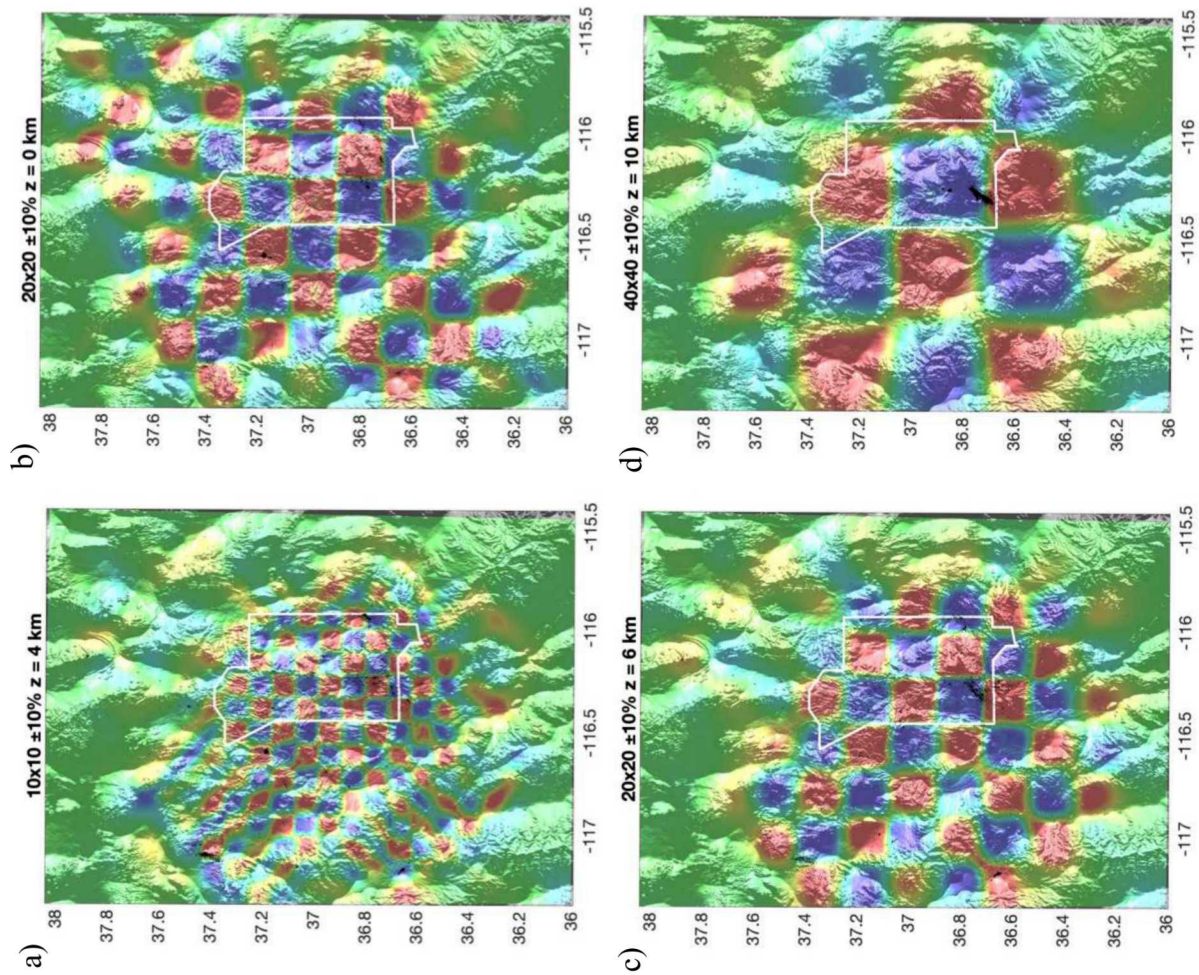
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