

## Effect of Coulomb Correlation on the Magnetic Properties of Mn Clusters

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4 **Effect of Coulomb Correlation on the Magnetic Properties of Mn Clusters**  
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1819 **Abstract**  
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2122 In spite of decades of research, a fundamental understanding of the unusual  
23 magnetic behavior of small Mn clusters remains a challenge. Experiments show that Mn<sub>2</sub>  
24 is *antiferromagnetic* while small clusters containing up to five Mn atoms are  
25 ferromagnetic with magnetic moments of 5  $\mu_B$ /atom, and become ferrimagnetic as they  
26 grow further. Theoretical studies based on density functional theory (DFT), on the other  
27 hand, find Mn<sub>2</sub> to be *ferromagnetic*, with ferrimagnetic order setting in at different sizes  
28 that depend upon the computational methods used. While quantum chemical techniques  
29 correctly account for the antiferromagnetic ground state of Mn<sub>2</sub>, they are computationally  
30 too demanding to treat larger clusters, making it difficult to understand the evolution of  
31 magnetism. These studies clearly point to the importance of correlation and the need to  
32 find ways to treat it effectively for larger clusters and nanostructures. Here, we show that  
33 the DFT+*U* method can be used to account for strong correlation. We determine the  
34 on-site Coulomb correlation, Hubbard *U* self-consistently by using the linear response  
35 theory and study its effect on the magnetic coupling of Mn clusters containing up to 5  
36 atoms. With a calculated *U* value of 4.8 eV, we show that the ground state of Mn<sub>2</sub> is  
37 *antiferromagnetic* with a Mn-Mn distance of 3.34 Å, which agrees well with the electron  
38 spin resonance experiment. Equally important, we show that on-site Coulomb  
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3 correlation also plays an important role in the evolution of magnetic coupling in larger  
4 clusters, as the results differ significantly from standard DFT calculations. We conclude  
5 that for a proper understanding of magnetism of Mn nanostructures (clusters, chains and  
6 layers) one must take into account the effect of strong correlation.  
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## I. Introduction

Magnetic materials with large magnetic moments per atom are important for technological applications<sup>1,2</sup>. Since the magnetic moments are enhanced in reduced dimensions, there has been a long-standing interest to study the magnetic moments per atom in clusters, nanowires, and two-dimensional materials. Mn is an interesting element in this regard; owing to its atomic  $3d^5 4s^2$  configuration, it binds weakly with other atoms and usually carries a magnetic moment of  $5 \mu_B$ /atom. Due to this reason, there is considerable interest in the design and synthesis of Mn-based ferromagnetic materials for use as magnetic storage and magnetic memory materials.

However, a fundamental understanding of the evolution of magnetism in Mn clusters has been challenging. For example, it has been difficult to predict even the ground state of Mn dimer ( $Mn_2$ ). A previous experiment using the electron spin resonance (ESR) showed that  $Mn_2$  prefers antiferromagnetic (AFM) coupling with a bond length of  $3.2 \text{ \AA}$ .<sup>3</sup> However, calculations based on density functional theory (DFT) using generalized gradient approximation (BPW91) and hybrid functional (B3LYP) yield a ferromagnetic (FM) ground state with a much shorter bond length of  $\sim 2.6 \text{ \AA}$ .<sup>4-11</sup> The only theoretical result based on DFT that correctly predicts the ground magnetic state of the neutral  $Mn_2$  dimer is due to Barborini<sup>12</sup> who used the BHHPW91 hybrid functional. The controversy becomes more complicated when the cluster becomes bigger, especially for  $Mn_5$ . While it is understood that  $Mn_4$  is a ferromagnetic cluster<sup>13</sup> with a magnetic moment of  $5 \mu_B$ /atom, the magnetic moment of  $Mn_5$  cluster is still an open question. In a previous ESR experiment,  $Mn_5$  cluster, isolated in a matrix, was found to be FM with  $5 \mu_B$ /atom.<sup>3,14</sup> However, a more recent Stern-Gerlach molecular beam experiment claimed that  $Mn_5$  prefers ferrimagnetic (FIM) coupling with a magnetic moment of  $0.79 \pm 0.25 \mu_B$ .<sup>15</sup> On the theoretical side, Nayak *et al.* predicted the  $Mn_5$  cluster to be FM with a total magnetic moment of  $25 \mu_B$ .<sup>4,5</sup> Pederson *et al.* also obtained a FM ground state, but with a total spin moment of  $23 \mu_B$ .<sup>6</sup> On the contrary, recent DFT-based calculations by Kabir,

Gutsev, and their coworkers yield a FIM ground state with a total magnetic moment of 3  $\mu_B$ , i.e. 0.6  $\mu_B$ /atom.<sup>9-11</sup> In bulk  $\alpha$ -Mn, each Mn atom only carries magnetic moment of 0.2  $\sim$  1.9  $\mu_B$ /atom, and the coupling between Mn atoms is antiferromagnetic.<sup>16,17</sup> Thus, to clearly understand the magnetic behavior of Mn clusters as well as Mn-based crystals, more accurate calculations are required.

It is well-known that 3d transition metals are strongly correlated systems due to the on-site repulsion effects of localized *d* orbitals. However, in most previous calculations<sup>4-11</sup> on Mn clusters, such strong electronic correlation was not properly treated. Recently quantum chemical calculation based on multi-reference method<sup>18</sup> did predict  $Mn_2$  to be antiferromagnetic, but such methods are computer intensive and have not been applied to study larger clusters. One simple way to include strong correlation is to add an empirical Hubbard term (*U*) in the Kohn-Sham Hamiltonian (DFT+*U*), which has been well proved to improve the prediction compared with standard DFT calculations.<sup>19-23</sup> The value of *U* is usually estimated by comparing with the experiments. As the magnitude of *U* varies in different materials<sup>24,25</sup>, and there are no experiments on clusters that can be used to estimate it, a way to obtain a reasonable *U* value, without experimental input, is to perform a self-consistent linear response calculation for a specific structure.<sup>26</sup> In the current study, we use such a method to determine the Hubbard *U* for the  $Mn_2$  cluster, and adopt this value to study the magnetic properties of larger Mn clusters. With the *U* value of 4.8 eV, we obtain the same results as in the ESR experiment for  $Mn_2$ , i.e. AFM ground state with a Mn-Mn distance of 3.34 Å. This demonstrates that adding the Hubbard *U* is crucial to obtain the correct ground state of  $Mn_2$ . We then apply the same procedure to study larger  $Mn_x$  ( $x = 3-5$ ) clusters. For comparison, we also calculate the magnetic properties by using the conventional DFT method. Very different results are obtained between DFT and DFT+*U* calculations. Furthermore, we analyze the effect of Hubbard *U* on the electronic structure by focusing on  $Mn_2$  and  $Mn_5$ . Generally speaking, adding Hubbard *U* reduces the *s-d* hybridization, resulting in weaker binding and longer bond

length between Mn atoms. In addition, the increase of Mn-Mn bond length narrows the expansion of *d* orbitals in the energy space, thus, enlarging spin-splitting. We argue that the Hubbard *U* is mainly responsible for the magnetic state transitions, despite the geometry difference. A magnetic phase diagram as a function of average Mn-Mn bond length and Hubbard *U* for Mn<sub>5</sub> is presented to describe the unusual FIM-to-FM-to-FIM transition in Mn<sub>5</sub>. We also present the magnetic properties of Mn<sub>5</sub><sup>-</sup> and Mn<sub>5</sub><sup>+</sup> ions to discuss the environmental effect on the magnetic states of the cluster. Our calculations indicate that both Mn<sub>5</sub><sup>-</sup> and Mn<sub>5</sub><sup>+</sup> are FIM with the total spin of 6 (1.2  $\mu_B$ /atom) and 4  $\mu_B$  (0.8  $\mu_B$ ), respectively. These results may explain the controversy associated with experimental results on the magnetic states of Mn<sub>5</sub>.

## II. Theoretical Methods

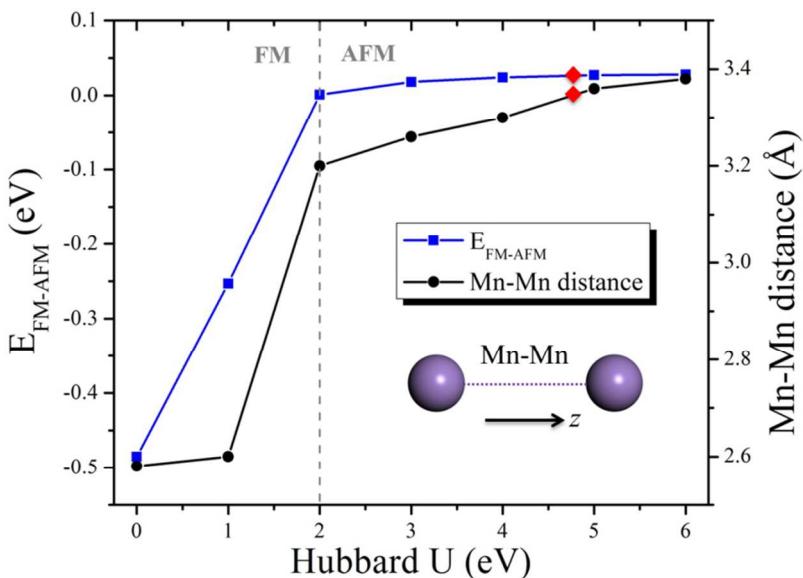
Our first-principles calculations are based on spin-polarized DFT with generalized gradient approximation (GGA) for the exchange-correlation functional.<sup>27,28</sup> We used linear response approach implemented in the Quantum-ESPRESSO (QE) code to determine the appropriate Hubbard *U* value self-consistently.<sup>29</sup> The cutoff energies for plane-wave function and charge density were set to be 80 and 960 Ry, respectively. Next, we used the Vienna *Ab initio* Simulation Package (VASP)<sup>30</sup> to calculate the geometric and electronic structures of Mn<sub>x</sub> (*x* = 2-5) clusters. The projector augmented wave (PAW) method<sup>31</sup> was used to treat the core electrons and the valence electrons were expanded using the plane-wave basis set with a cutoff energy of 400 eV. The effective Hubbard *U* was added using the Dudarev's method<sup>32</sup> for the Mn-*d* orbitals. Both Perdew-Burke-Ernzerhof (PBE)<sup>27</sup> and Perdew-Wang (PW91)<sup>28</sup> forms of GGA functionals were used and consistent results were obtained. The Vosko-Wilk-Nusair<sup>33</sup> interpolation scheme was included in order to obtain correct magnetic configuration. A vacuum space of 20 Å along all directions was adopted to model the isolated molecular system. The reciprocal space was represented by the  $\Gamma$  point. The convergence criteria for

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energy and Hellmann-Feynman force component were set to be  $1 \times 10^{-5}$  eV and 0.01 eV/Å, respectively. Note that, our calculated energy differences are larger than 10 meV, which is within the accuracy of the DFT and DFT+*U* methods. For the charged clusters, dipole-dipole interaction corrections<sup>34</sup> were included.

### III. Results and Discussions

We begin our analysis with the magnetism of Mn<sub>2</sub>. Although it is the smallest and simplest Mn cluster, as stated in the introduction, the calculated ground state of Mn<sub>2</sub> is not consistent with the experimental results. Both the resonance Raman spectroscopy<sup>35</sup> and ESR<sup>3</sup> experiments showed that Mn<sub>2</sub> favors AFM coupling with a Mn-Mn distance of 3.17 Å, while almost all the previous DFT based calculations predicted a FM ground state with much shorter Mn-Mn distance (~2.6 Å). To verify previous theoretical results, we also performed spin-polarized DFT calculations using PBE and PW91 functionals and obtained the same FM ground state with Mn-Mn distance of 2.63 Å (using PBE) and 2.58 Å (using PW91). Inclusion of van der Waals (vdW) interaction corrections (DFT-D2)<sup>36</sup> did not change these results. Next, we introduced Hubbard *U* in the PW91 functional with the value of *U* ranging from 1 to 6 eV. As shown in Fig. 1, adding Hubbard *U* increases the Mn-Mn distance monotonically, and the exchange energy (defined as  $E_{\text{FM-AFM}} = E_{\text{FM}} - E_{\text{AFM}}$ ) increases drastically. *U* = 2 eV represents a critical point where FM and AFM configurations are degenerate, and the Mn-Mn distance becomes 3.2 Å, indicating weak binding energy. When *U* > 2 eV, the exchange energy becomes positive, namely, the ground state converts to an AFM state. Further increasing *U* (up to 6 eV) retains the AFM ground state, and the Mn-Mn distance and exchange energy increase slightly (see the supporting information). Apparently, the calculated magnetic ground state of Mn<sub>2</sub> strongly depends on the value of Hubbard *U*. Very similar results were obtained when PBE+*U* method was adopted.



**Figure 1.** Energy difference between ferromagnetic (FM) and antiferromagnetic (AFM) states and Mn-Mn distance for  $\text{Mn}_2$  as a function of Hubbard  $U$ . The red diamonds denote the results with  $U$  of 4.8 eV derived from linear response approach.

The value of  $U$  varies in different systems and different bonding environments. Therefore, in order to obtain the correct ground state, we use linear response method proposed by Cococcioni and Gironcoli to calculate the value of  $U$ .<sup>26</sup> The effective  $U$  is expressed as the difference of the second derivative of total energy with respect to occupation number in interacting and non-interacting Kohn-Sham equations,

$$U = \frac{d^2 E \left[ \left\{ n^I \right\} \right]}{d \left( n^I \right)^2} - \frac{d^2 E_0 \left[ \left\{ n^I \right\} \right]}{d \left( n^I \right)^2}.$$

Here  $E$  and  $E_0$  are total energy of the interacting and non-interacting Kohn-Sham equations, respectively, and  $n^I$  is the occupation number of localized  $d$ -orbital at site  $I$ . After calculation, we obtain an effective  $U$  value of 4.8 eV (see the supporting information for details). This corresponds to a weak AFM coupling with exchange energy of 26 meV. The calculated Mn-Mn distance of 3.3 Å is close to the experimental result of 3.2 Å.<sup>3</sup>

After studying the effect of Hubbard  $U$  on  $\text{Mn}_2$ , we now move to bigger Mn clusters. Table 1 lists the calculated results without and with Hubbard  $U$  for  $\text{Mn}_x$  ( $x = 2\text{--}5$ ). When Hubbard  $U$  is not included,  $\text{Mn}_2$  to  $\text{Mn}_4$  clusters all show FM ground states which are much lower in energy than the AFM and FIM states. This agrees with previous DFT calculations.<sup>4,8-10</sup>  $\text{Mn}_5$  exhibits an FIM ground state with a total magnetic moment of  $3 \mu_B$ . When Hubbard  $U = 4.8 \text{ eV}$  is included, the ground state of  $\text{Mn}_2$  and  $\text{Mn}_3$  become AFM and FIM, but  $\text{Mn}_4$  retains its FM ground state, although the energy differences between AFM and FM states are small ( $< 0.03 \text{ eV}$ ).  $\text{Mn}_5$  becomes FM with a total magnetic moment of  $25 \mu_B$ . Besides the magnetic phases, distinct differences are also found in their vertical detachment energy (VDE) and vertical ionization potential (VIP) by using these two methods. These results further reveal that Hubbard  $U$  could drastically influence the magnetic and electronic states of small Mn clusters. Note that, spin-frustration and spin-orbit interaction may result in non-collinear spin order. However, for Mn clusters, this effect is usually very small compared to the magnetic exchange coupling.<sup>37</sup> Thus, here we focus on the spin collinear calculations.

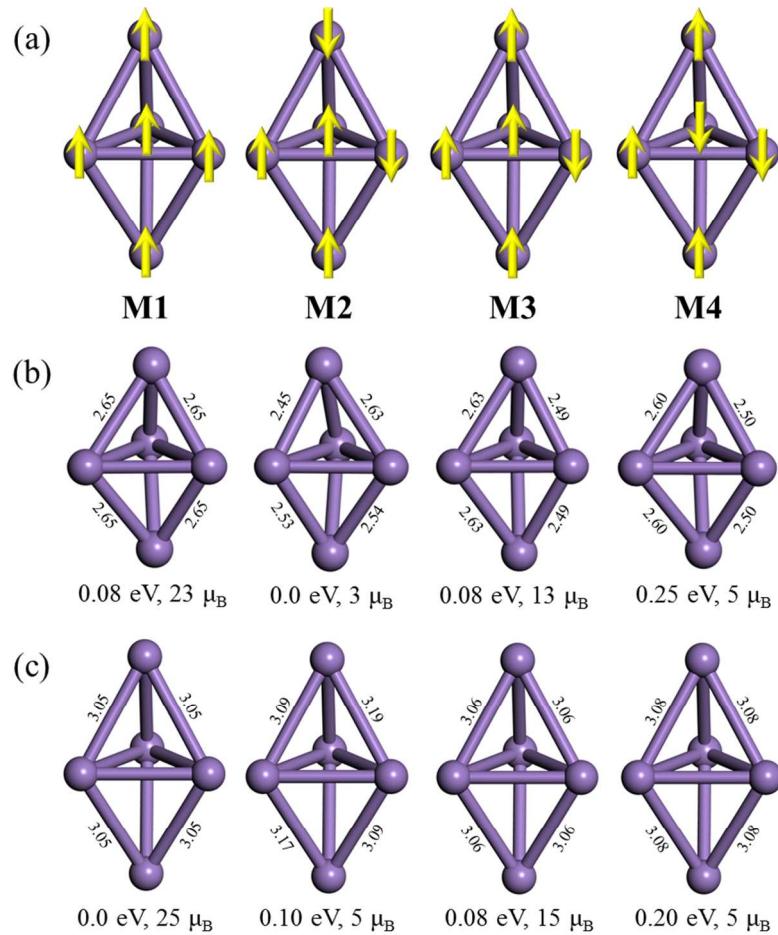
**Table 1.** Effect of Hubbard  $U$  on the magnetism of  $\text{Mn}_x$  ( $x = 2\text{--}5$ ) clusters. We list all the magnetic configurations considered in our calculations. Total magnetic moments ( $m_{\text{tot}}$  in  $\mu_B$ ), relative energy ( $\Delta E$ , in eV), vertical detachment energy (VDE, in eV), and vertical ionization potential (VIP, in eV) are listed. For  $\text{Mn}_5$  cluster, we list the magnetic configurations in parentheses.

Structure	Without $U$				With $U$ (4.8 eV)			
	$m_{\text{tot}}$	$\Delta E$	VDE	VIP	$m_{\text{tot}}$	$\Delta E$	VDE	VIP
$\text{Mn}_2$	0	0.48	0.99	6.34	0	0.00	0.60	5.84
	10	0.00			10	0.03		
$\text{Mn}_3$	5	0.09	1.41	6.11	5	0.00	0.80	5.89
	15	0.00			15	0.01		
$\text{Mn}_4$	0	0.29	1.83	6.01	0	0.03	1.12	6.05
	10	0.15			10	0.02		
	20	0.00			20	0.00		

Mn <sub>5</sub>	3 (M2)	0.00	1.59	5.61	5 (M2)	0.10	1.15	5.23
	5 (M4)	0.25			5 (M4)	0.20		
	5 (M5)	0.27			5 (M5)	0.28		
	13 (M3)	0.08			15 (M3)	0.08		
	13 (M6)	0.004			15 (M6)	0.10		
	23 (M1)	0.08			25 (M1)	0.00		

To understand how Hubbard  $U$  affects the magnetic properties of Mn clusters, we focus on Mn<sub>5</sub> whose ground state is still under intensive debate. In Fig. 2(a), we plot four possible magnetic configurations for the triangular bi-pyramid (TBP) geometry. The M1 state is FM, and the M2, M3, and M4 states are candidates for FIM states, according to previous calculations. Other possible geometries (square pyramid and pentagon) and magnetic configurations have much higher energies and can be found in the supporting information. The relative energies of these states using different functionals with and without vdW correction are listed in Table 2. Without Hubbard  $U$ , the FIM (M2) configuration with a total spin moment of 3  $\mu_B$  (0.6  $\mu_B$ /atom) has the lowest energy, and the FM (M1) state with 23  $\mu_B$  lies 0.08 eV higher [Fig. 2(b)]. The average Mn-Mn bond length (denoted as  $d_{\text{Mn-Mn}} = 2.53 \text{ \AA}$ ) is insensitive to the choice of GGA (PBE and PW91). The effect of vdW interaction on the geometric structure is also marginal. These facts are consistent with previous calculations. However, similar to the case of Mn<sub>2</sub>, the inclusion of the strong correlation changes the relative energies of these magnetic states. After including Hubbard  $U$  of 4.8 eV, the FM (M1) state with 25  $\mu_B$  becomes the ground state of Mn<sub>5</sub>, while the M2 state with 5  $\mu_B$  is 0.1 eV higher in energy [Fig. 2(c)]. From the optimized structures, we find that the  $d_{\text{Mn-Mn}}$  is increased to  $\sim 3.02 \text{ \AA}$  after including Hubbard  $U$  (actually the structures also distort slightly after  $U$  is introduced). Such bond length increment is similar to the case of Mn<sub>2</sub> (from 2.58 to 3.34  $\text{\AA}$ ). Again, these results do not change if PBE functional is adopted. In order to confirm our results, we repeated the calculations with  $U = 4.0$  and 5.6 eV (Table 2). We find that the energy difference

between FM and M2 states decreases (increases) under larger (smaller) Hubbard  $U$ , but the ground state remains FM with a magnetic moment of  $25 \mu_B$ .



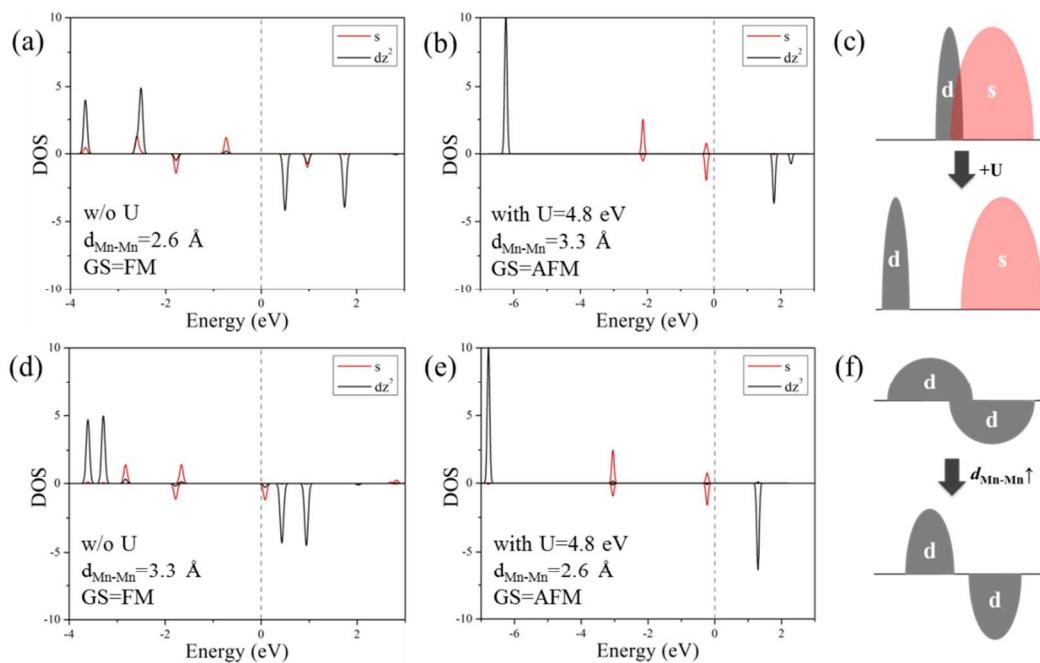
**Figure 2.** (a) Four different magnetic configurations for  $\text{Mn}_5$ . Optimized structures, relative energies and total magnetic moment for  $\text{Mn}_5$  calculated using PW91+vdW method without (b) and with (c) Hubbard  $U$  of 4.8 eV. The bond lengths are represented in Å.

**Table 2.** Relative energies ( $E_{M1}$ ,  $E_{M2}$ ,  $E_{M3}$ ,  $E_{M4}$ ) of four magnetic configurations of  $Mn_5$  and average Mn-Mn bond lengths ( $d_{Mn-Mn}$ ) of the optimal configuration calculated using various functionals. The number in parenthesis is the value obtained including Hubbard  $U$ .

	$E_{M1}$ (eV)	$E_{M2}$ (eV)	$E_{M3}$ (eV)	$E_{M4}$ (eV)	$d_{Mn-Mn}$ (Å)
PBE	0.18	0	0.11	0.26	2.53
PW91	0.12	0	0.09	0.26	2.53
PW91+vdW	0.08	0	0.08	0.25	2.54
PW91+ $U$ (4.8)	0	0.13	0.08	0.18	2.94
PW91+vdW+ $U$ (4.8)	0	0.10	0.08	0.20	3.02
PBE+vdW+ $U$ (4.8)	0	0.10	0.08	0.19	3.02
PW91+vdW+ $U$ (4.0)	0	0.20	0.11	0.22	2.97
PW91+vdW+ $U$ (5.6)	0	0.02	0.05	0.12	3.07

We now discuss the effect of Hubbard  $U$  on the electronic structure of  $Mn_2$  and  $Mn_5$ , and explore the physical origin of their magnetic states. Fig. 3 shows the partial density of states (PDOS) of a single Mn atom in  $Mn_2$ . We focus on the  $s$ - $d$  hybridization and expansion of  $d$  orbitals in the energy space. In an isolated Mn atom, the five  $d$  orbitals are degenerate in energy, thus, the  $d$  orbitals are not expanded. When two Mn atoms are bonded, the  $d$  orbitals form bonding and antibonding states. Thus, the  $d$  orbitals of a Mn atom expand, which reflects the bonding between the Mn atoms. Without  $U$  [Fig. 3(a)], one sees a strong hybridization between  $s$  and  $d$  orbitals. Here only  $d_z^2$  is plotted because the  $s$  and other  $d$  orbitals ( $d_{xy}$ ,  $d_{x^2-y^2}$ ,  $d_{xz}$ , and  $d_{yz}$ ) have different eigenvalues under  $C_\infty$  operator and will not hybridize. When two Mn atoms form a dimer, the  $s$ - $d_z^2$  hybridization helps the formation of the bonding and antibonding state. Such hybridization yields small Mn-Mn distance (2.6 Å) with large binding energy (1.02 eV).

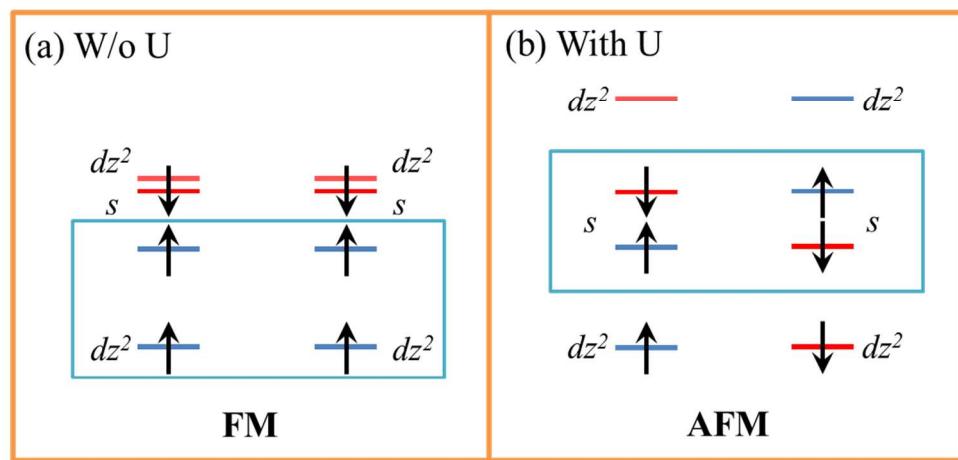
When the Hubbard  $U$  is introduced, the  $d$  orbitals are pushed far away from the Fermi level and the  $s$  orbital. Hence, the  $s-d_z^2$  hybridization is greatly reduced [Fig. 3(b)], though bonding and antibonding molecular orbitals of  $Mn_2$  are preserved (see the supporting information). Consequently, the Mn-Mn distance increases to 3.3 Å (in the range of vdW interaction), and the binding energy becomes very small (0.23 eV).



**Figure 3.** (a), (b), (d), (e) Partial density of states (PDOS) for single Mn atom of  $Mn_2$ . Schematic diagram of the effect of (c) Hubbard  $U$  and (f) Mn-Mn distance on the electronic structure of  $Mn_2$ .

The most dramatic effect after including Hubbard  $U$  is the changing of magnetic ground state of  $Mn_2$  from FM to AFM. From the density of states, we have found that the energy level of  $s$  and  $d_z^2$  orbitals and hybridizations between them depend on Hubbard  $U$ . Inclusion of Hubbard  $U$  enlarges the spin splitting and localizes the  $d$  orbitals, which diminishes their hybridization with other orbitals, e.g. the  $s-d_z^2$  hybridization in our case. Keeping this in mind and to further reveal the mechanism of FM-to-AFM transition of the magnetic ground state for  $Mn_2$  after including Hubbard  $U$ , we analyze the magnetic exchange interactions in this system based on the mean-field approximation (see Fig. 4).

When the Hubbard  $U$  is not included, the exchange splitting between  $d_z^2\uparrow$  and  $d_z^2\downarrow$  orbitals is relatively small. The  $d_z^2$  orbitals can strongly hybridize with the  $s$  orbitals and the  $s$  orbital is spin-polarized. In this case, the exchange interactions between occupied  $s\downarrow$  and empty  $d_z^2\downarrow$  orbitals will stabilize the FM state. When Hubbard  $U$  is included, the exchange splitting of  $d$  orbitals is enlarged. The  $s-d_z^2$  hybridization can be omitted so that the  $s$  orbitals are no longer spin-polarized. Thus, the exchange interactions only occur between  $d$  orbitals. Because the Mn- $d$  orbitals are half-filled, the direct exchange interactions between them must be AFM (Fig. 4b).



**Figure 4.** Schematic diagram of magnetic coupling in ferromagnetic (FM) and antiferromagnetic (AFM) alignments for the  $\text{Mn}_2$  cluster based on the mean-field approximation. (a) When Hubbard  $U$  is not included. (b) When Hubbard  $U$  of 4.8 eV is included. Red and blue bars represent spin-down and spin-up orbitals. Black arrows represent the spins.

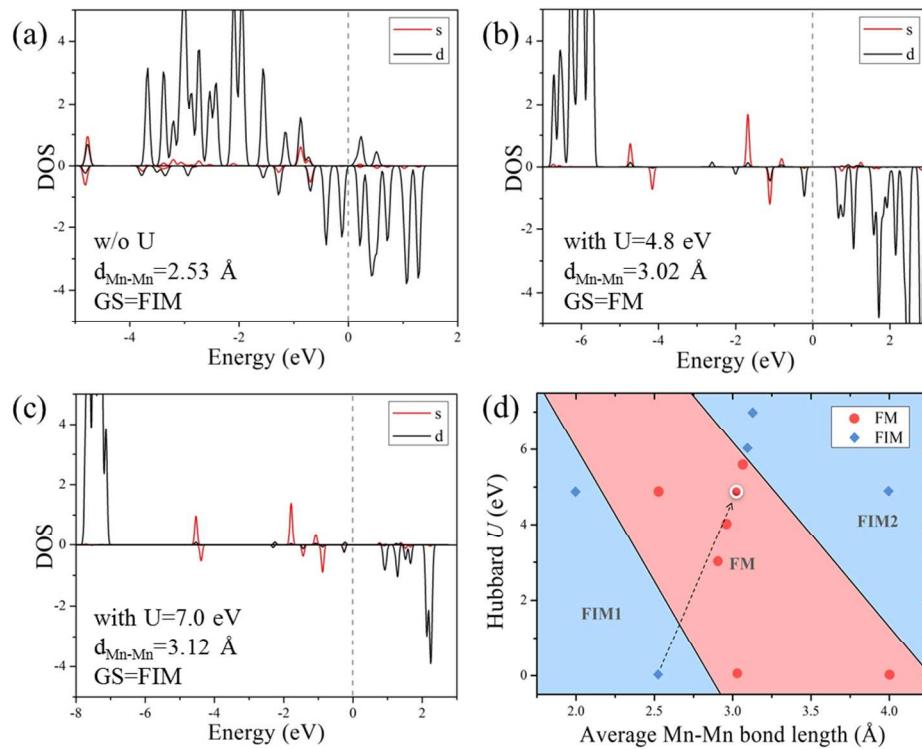
Previous studies have claimed that the exchange coupling between two Mn atoms in a semiconductor (e.g. GaN)<sup>38</sup> can be controlled by their distance. In the  $\text{Mn}_2$  case, since  $U = 0$  and  $U = 4.8$  eV yield very different Mn-Mn distance, one may wonder if the calculated FM and AFM magnetic states are due to the geometry difference. To find out the answer, here we perform calculations with fixed Mn-Mn distance of 3.3 and 2.6 Å,

without and with Hubbard  $U$ . When Hubbard  $U$  is absent, the increase of Mn-Mn distance (from 2.6 to 3.3 Å) reduces the strength of  $s-d_z^2$  hybridization [Fig. 3(d)] and the expansion of  $d$  orbitals in energy [Fig. 3(f)]. The exchange energy also increases from  $-0.48$  eV to  $-0.13$  eV, but the ground state remains FM. On the other hand, when the Hubbard  $U$  is included, the ground state of  $\text{Mn}_2$  is always AFM, regardless of the Mn-Mn distance [Fig. 3(b) and (e)].

Next, we analyze the electronic structure of  $\text{Mn}_5$ , which is more complex because the five Mn atoms are not equivalent. Here, we only show PDOS of a single Mn atom of  $\text{Mn}_5$ , because the main features of PDOS for the five Mn atoms are similar. Fig. 5(a) shows the PDOS for FIM (M2) state without Hubbard  $U$ . One sees a large expansion of  $d$  orbitals in energy due to strong bonding between the Mn atoms having the TBP geometry. The spin up and spin down  $d$  states do not distinctly split in energy and the spin down  $d$  states are partially occupied. After including Hubbard  $U$  of 4.8 eV, the occupied and unoccupied states are separated in energy [Fig. 5(b)]. The expansion of  $d$  states in each spin channel is also reduced due to the increment of  $d_{\text{Mn-Mn}}$  (from 2.53 to 3.02 Å). However, the  $s-d$  hybridization is still observed from the PDOS. If we continue to increase the value of Hubbard  $U$  to 7 eV, we observe no  $s-d$  hybridization (similar as in  $\text{Mn}_2$  case of  $U = 4.8$  eV) and the  $d_{\text{Mn-Mn}}$  further increases (from 3.02 to 3.12 Å) [Fig. 5(c)]. The ground state changes to FIM (M2) again. Clearly, this FIM state ( $U = 7$  eV) is different from the FIM state with  $U = 0$  eV.

To explore the geometric effect, we calculated the magnetic coupling with the value of  $U$  fixed at 0 eV and 4.8 eV, while proportionally increasing the Mn-Mn bond length in  $\text{Mn}_5$  (thus, increasing  $d_{\text{Mn-Mn}}$ ) from their corresponding ground state value (i.e. FIM/M2 and FM/M1). We summarize these results in Fig. 5(d) where a clear FIM1-FM-FIM2 transition can be found. In the FIM1 state, the  $s-d$  hybridization is very strong and the expansion of  $d$  orbitals is large in energy, both corresponding to strong

Mn-Mn interaction. On the contrary, in the FIM2 state, the *s-d* hybridization is weak and the expansion of *d* orbitals is also small, giving longer Mn-Mn bond length. This is also same as the ground state of AFM  $Mn_2$  cluster.



**Figure 5.** (a), (b), (c) Partial density of states of single Mn atom in  $Mn_5$ . (d) Magnetic phase diagram as a function of average Mn-Mn bond length and Hubbard  $U$  for  $Mn_5$ . Blue squares and red circles represent the calculated results with certain average Mn-Mn bond length and Hubbard  $U$ . The red circle with white frame represents the optimal result with Hubbard  $U$  of 4.8 eV. The black dashed arrow represents the trend of phase transition after including Hubbard  $U$ .

Now we consider charged  $Mn_2$  and  $Mn_5$  clusters to discuss the environmental influence on their magnetic states. For synthesizing cluster based materials, a cluster is usually adsorbed on a substrate<sup>39</sup> or ligated. Charge transfer between the cluster and the substrate or the ligands will leave the cluster charged either positively or negatively. Charged clusters are also produced in photoelectron spectroscopy experiment.<sup>11</sup> It is,

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therefore, useful to know how the magnetic properties of a Mn cluster will change when supported or ligated. For  $Mn_2$ , when Hubbard  $U$  is not included, similar to neutral  $Mn_2$ , both  $Mn_2^-$  and  $Mn_2^+$  show FM ground states, which are 0.33 and 0.28 eV lower than the AFM states. When Hubbard  $U$  of 4.8 eV is included, the AFM states of  $Mn_2^-$  and  $Mn_2^+$  are 0.05 and 0.63 eV higher than the FM states. Our results agree with the experiment where  $Mn_2^+$  is known to be ferromagnetic with a magnetic moment of  $11 \mu_B$ .

Figure 6 shows the geometries, spin moments and symmetry group of the optimal states for  $Mn_5^-$ ,  $Mn_5$  and  $Mn_5^+$  calculated using DFT+ $U$  method. We find that the ground states of charged  $Mn_5$  clusters also have different magnetic configurations. For  $Mn_5^-$ , the ground state is FIM (M2) with a total spin of  $6 \mu_B$  ( $1.2 \mu_B$ /atom) and  $d_{Mn-Mn} = 3.05 \text{ \AA}$ . The FM state lies 0.09 eV higher in energy. For  $Mn_5^+$ , the ground state is also FIM, where the three Mn atoms in the triangle have spins up and the two capped Mn atoms have spins down. The total spin moment becomes  $4 \mu_B$  ( $0.8 \mu_B$ /atom) and the optimized  $d_{Mn-Mn}$  is  $3.03 \text{ \AA}$ . The FM coupling state is 0.1 eV higher in energy than the ground state. The relative energies of other possible magnetic configurations with the TBP geometry can be found in the supporting information. These results indicate that the magnetic state of  $Mn_5$  cluster is sensitive to its chemical environment.

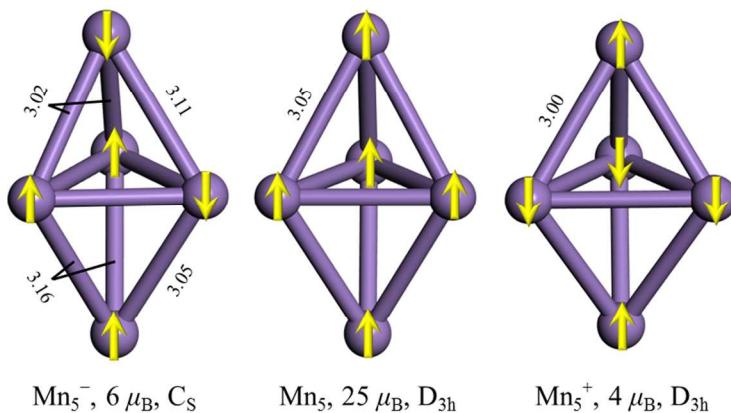


Figure 6. Optimized ground state of  $Mn_5^-$ ,  $Mn_5$ , and  $Mn_5^+$  calculated with Hubbard  $U$  of 4.8 eV. The bond lengths are represented in  $\text{\AA}$ .

#### IV. Summary

In summary, our first-principles calculations reveal that in order to achieve the correct magnetic configuration of Mn clusters, strong correlation correction needs to be included. We incorporated this effect using the DFT+*U* method. Using the linear response theory, we calculated the Hubbard *U* to be 4.8 eV for the Mn<sub>2</sub> dimer and used the same value for clusters containing up to 5 atoms. We obtain an AFM ground state with a total spin moment of 0  $\mu_B$  and Mn-Mn distance of 3.34 Å for Mn<sub>2</sub>, in good agreement with the experimental results. Using the same strategy, we demonstrate that the ground state of neutral Mn<sub>5</sub> is FM with a total spin moment of 25  $\mu_B$  and average Mn-Mn bond length of 3.02 Å. By analyzing their electronic structure, we show that addition of Hubbard *U* weakens the hybridization between *s* and *d* orbitals, which is responsible for the increase of Mn-Mn bond length, causing a transition in the magnetic states. A magnetic phase diagram is plotted to demonstrate the FIM1-to-FM-to-FIM2 transition along with Mn-Mn bond length and Hubbard *U*. We also show that the charged Mn<sub>5</sub> clusters (Mn<sub>5</sub><sup>-</sup> and Mn<sub>5</sub><sup>+</sup>) prefer FIM coupling rather than the FM coupling, in contrast to the results for Mn<sub>2</sub>. In conclusion, it is important to include Hubbard *U* while calculating the magnetic properties of Mn nanostructures within the density functional theory.

**Supporting Information Available:** The linear response calculations for Hubbard *U*, the considered atomic and magnetic configurations and the corresponding energies of Mn<sub>5</sub>, the HOMO and LUMO orbitals of Mn<sub>2</sub>, magnetic states of Mn<sub>5</sub><sup>-</sup> and Mn<sub>5</sub><sup>+</sup> and a Hubbard *U* test for Mn<sub>2</sub>.

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