

Elastically-mediated interactions between grain boundaries and precipitates in two-phase coherent solids

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(Dated: September 28, 2016)

We investigate analytically and numerically the interaction between grain boundaries and second phase precipitates in two-phase coherent solids in the presence of misfit strain. Our numerical study uses amplitude equations that describe the interaction of composition and stress [R. Spatschek and A. Karma, *Phys. Rev. B* 81, 214201 (2010)] and free-energies corresponding to two-dimensional hexagonal and three-dimensional BCC crystal structures that exhibit isotropic and anisotropic elastic properties, respectively. We consider two experimentally motivated geometries where (i) a lamellar precipitate nucleates along a planar grain boundary that is centered inside the precipitate, and (ii) a circular precipitate nucleates inside a grain at a finite distance to an initially planar grain boundary. For the first geometry, we find that the grain boundary becomes morphologically unstable due to the combination of long-range elastic interaction between the grain boundary and compositional domain boundaries, and shear-coupled grain boundary motion. We characterize this instability analytically by extending the linear stability analysis carried out recently [P.-A. Geslin, Y.-C. Xu, and A. Karma, *Phys. Rev. Lett.* 114, 105501 (2015)] to the more general case of elastic anisotropy. The analysis predicts that elastic anisotropy hinders but does not suppress the instability. Simulations also reveal that, in a well-developed non-linear regime, this instability can lead to the break-up of low-angle grain boundaries when the misfit strain exceeds a threshold that depends on the grain boundary misorientation. For the second geometry, simulations show that the elastic interaction between an initially planar grain boundary and an adjacent circular precipitate causes the precipitate to migrate to and anchor at the grain boundary.

I. INTRODUCTION

Phase separation into domain structures of distinct chemical compositions occurs in a wide range of technological materials. Nucleation and growth of second phase precipitates inside the matrix of a primary phase is commonly used as a strengthening mechanism of structural materials¹. Domain structures also commonly form by spinodal decomposition into two phases, which has been widely investigated in various contexts²⁻¹². Due to the dependence of the crystal lattice spacing on composition, domain formation typically generates a misfit strain that can be large in some cases, e.g. several percent in phase-separating lithium iron phosphate battery electrode materials⁷.

The effect of a coherency stress has been investigated theoretically in the context of both single-crystalline and polycrystalline materials. In single-crystalline materials, Cahn demonstrated that coherency stress hinders spinodal decomposition, requiring a larger chemical driving force than in the absence of misfit to generate phase-separation inside

a bulk material². A recent extension of this analysis showed that stress relaxation near a free surface can lead to spinodal decomposition for smaller chemical driving forces than inside a bulk material, with compositional domain formation confined at the surface¹⁰. In polycrystalline materials, numerical simulations have been used to investigate the interaction between compositional domain boundaries (DBs) and dislocations using continuum dislocation-based models^{4,5,8} phase-field approaches⁶. More recently, the interaction between DBs and grain boundaries (GBs) has also been investigated using phase-field-crystal (PFC) simulations^{11,12}, **and amplitude equations derived from the PFC framework**¹³. Those studies have shown that dislocations generically migrate to DBs to relax the coherency stress thereby strongly impacting microstructural evolution and domain coarsening behavior^{5,11,12}.

Experimental observations also testify of strong interactions between GBs and precipitates. For example, in Ni-Al superalloys, γ' precipitates in the vicinity of GBs have been shown to be responsible for

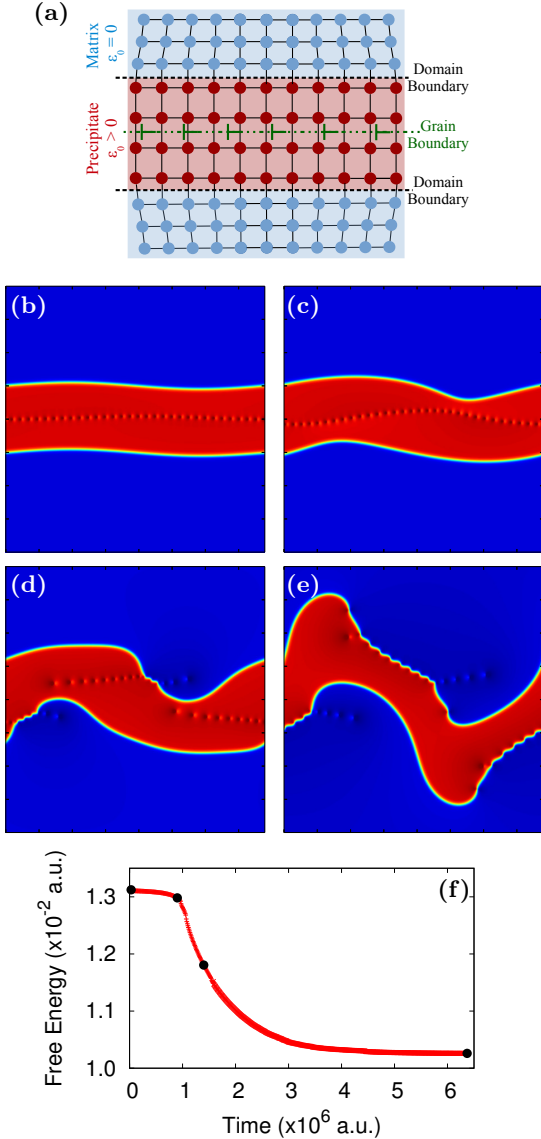


FIG. 1. (a) Scheme representing the configuration consisting of a misfitting lamellar precipitate centered on a low angle grain boundary. (b-e) Color plots of the composition field c at times of (b) 0.03×10^6 , (c) 0.9×10^6 , (d) 1.4×10^6 and (e) 6.37×10^6 illustrating the destabilization of a low-angle GB ($\theta = 7.2^\circ$) due to the presence of a misfitting precipitate (red domain) computed with the amplitude equation model for hexagonal symmetry and with a misfit eigenstrain $\varepsilon_0 = 0.043$. Dislocations are visible because the composition profile is altered in their vicinity. The system size is $249.6a \times 361.6a$ (a being the lattice spacing) and periodic boundary conditions are used in both x and y directions. Only part of the system is shown in the y direction. (f) Time evolution of the free energy, showing the relaxation of the system towards an equilibrium state. Black dots locate the snapshots of panels (b-e).

GB serration, leading to improved mechanical properties at high temperature^{14,15}. In addition, in steel and Ti-based alloys submitted to thermo-mechanical treatments, acicular Widmanstätten precipitates, are observed to grow from the GBs in a direction normal to the GB plane^{16,17}. While the stationary growth kinetics of these structures have been recently clarified¹⁸, the initial stage of growth that involves the nucleation of precipitates along the GBs is not understood. In both examples, elastic interactions between GBs and precipitates might play a central role in the development of these microstructures. However, these interactions remain largely unexplored due to the complexity of the problem at hand that involves elastic interactions, grain boundary migration, and solute diffusion.

In a recent study¹⁹, we provided further insight into the complex interaction between crystal defects and precipitates by investigating the situation in which a planar GB is centered inside a misfitting lamellar precipitate (see Fig. 1.a). This choice of geometry is physically motivated by the fact that dislocations act as preferred sites of nucleation²⁰⁻²². Hence GBs naturally seed the formation of lamellar precipitates of this approximate geometry^{3,23}. Using a nonlinear elastic model^{24,25} and amplitude equations (AE) that describe the interaction between composition and stress²⁶, we showed that **this configuration is morphologically unstable. This instability is illustrated in Fig. 1.b-e that shows a sequence of GB and precipitate configurations obtained by AE simulations**¹⁹. Furthermore, we carried out a linear stability analysis to predict the onset and wavelength of this instability. The starting point of this analysis is a free-boundary problem governing the coupled evolution of DBs and GBs, which corresponds to the sharp-interface limit of the AE model (i.e. the limit where the DBs and GB can be treated as sharp boundaries). The physical mechanism of this instability can be qualitatively understood by considering a small initial sinusoidal perturbation of DBs of wavelength $\Lambda = 2\pi/k$. In the case of isotropic elasticity, the elastic energy is unchanged by this perturbation because the Bitter-Crum theorem^{27,28} implies that this energy is independent of the shape of the precipitate and only depends on its volume, which remains constant. In the absence of a GB inside the precipitate, the DB is stable because the perturbation of its interface increases the total DB surface, therefore increasing the total energy of the system. In contrast, with a GB present, the elastic energy can be decreased by the relaxation of the shear stress, induced by the DB perturbation, along the GB plane

via shear-coupled GB motion^{29–41}. Namely, the GB can move normal to its plane under an applied shear stress. This behavior referred as *coupling* is characterized by the relation

$$v_{\parallel} = \beta v_n \quad (1)$$

between the velocity v_n of the GB normal to the GB plane and the rate v_{\parallel} of parallel grain translation. In the case of pure coupling, the coefficient β is a geometrical factor depending only on GB bicrystallography with the coupling factor β obtained from the geometrical relation between dislocation glide motion and crystal lattice translation^{29,30,42}. Computations and experiments have shown that a wide range of both low- and high-angle GBs display shear-coupled motion^{30–37}. Furthermore, GB coupling has been found to influence significantly the coarsening behavior of polycrystalline materials in more complex multi-grain geometries where GBs form a complex network^{35,38,39,43,44}.

Our recent study¹⁹ has highlighted the fundamental role of shear-coupled GB motion in the interaction between GBs and precipitates. However, this study only considered a limited range of misfit strain and GB misorientation and was limited to isotropic elasticity and a lamellar precipitate geometry. Materials forming second phase precipitates are often elastically anisotropic. This anisotropy is known to influence the shape of misfitting precipitates by inducing DBs to align along preferred crystallographic directions to minimize the elastic energy⁴⁵. Moreover, it also influences the elastic interaction between GBs and precipitates. In particular, the Bitter-Crum theorem^{27,28} invoked above to explain the destabilization of the GB for isotropic elasticity no longer applies within elastic anisotropy. In this case, deformation of the precipitate shape increases the elastic energy and can hinder or even potentially suppress the GB morphological instability. Furthermore, in several important experimental situations, precipitates interacting with GBs have a circular or cuboid geometry if nucleation occurs away from the GB at multiple sites, e.g. γ' precipitates in Ni-Al superalloys. It is unclear how in those situations, closed-shape precipitates interact with GBs and what role shear-coupled motion plays in this interaction.

In this paper, we extend the study of Ref. [19] to investigate the interaction between GBs and precipitates of different shapes with and without elastic anisotropy. We first focus on the lamellar precipitate geometry and extend the linear stability analysis of Ref. [19] to anisotropic elastic behavior. This extension is conceptually straightforward even though

the anisotropy makes the analysis more lengthy. The analysis predicts that elastic anisotropy hinders the instability, because of the energetic cost of deforming the lamellar precipitate, but does not suppress it. We test this prediction using the same AE approach as in Refs. [26] and [19], albeit with a free-energy form that favors an elastically anisotropic 3D body-centered-cubic (BCC) structure. The simulation results are in good quantitative agreement with the predictions of the linear stability analysis. For a free-energy form that favors an elastically isotropic two-dimensional (2D) hexagonal structure, we investigate the nonlinear development of the instability over a wider range of misfit strain and misorientation than in Ref. [19]. Simulations yield the novel insight that, in a well-developed non-linear regime, this instability can lead to the break-up of low-angle GBs when the misfit strain exceeds a threshold that depends on misorientation. Next, we investigate in 2D the interactions between a circular precipitate and a grain boundary. We find that a similar elastic interaction mediated by shear-coupled GB motion leads to the attraction of the precipitate to the GB. The GB and precipitate shape are simultaneously deformed in this process that can also lead to GB break-up for large enough misfit strain.

Some properties of the amplitude equations (AE) approach relevant to the present study are worth pointing out. AE models can be generally derived from the phase-field-crystal (PFC) model^{46–48} via a multiscale expansion^{26,49,50}. This expansion is formally valid in the limit where the correlation length of liquid density fluctuations (which sets of the width of the spatially diffuse solid-liquid interface at the melting point of a pure material) is much larger than the lattice spacing. AE models can also be derived in the spirit of Ginzburg-Landau expansions of a free-energy functional in terms of complex density wave amplitudes from symmetry considerations (see Ref. [51] and earlier references therein for a comparison of both approaches for solid-liquid interface properties). The latter approach provides more flexibility to formulate AE models with a minimal set of model parameters that can be related to material parameters. For this reason, it was used in Ref. [26] to derive the set of AEs that describes the interaction of composition, stress, and crystal defects. The parameters of this AE model, used here and in our previous study¹⁹, are uniquely fixed by the DB energy γ , the misfit strain ε_0 , linear elastic properties, and the dislocation core size that is proportional to the correlation length. Like PFC, the AE method describes dislocation glide, therefore reproducing salient features of GB shear-coupled motion for a wide range of

GB bi-crystallography³⁵, and also dislocation climb. Since PFC and AE models do not track explicitly the vacancy concentration, the climb kinetics is modeled only heuristically. However, the incorporation of dislocation climb is important in that it provides an additional mechanism to relax the total free-energy as is apparent in Fig. 1 where the final equilibrium configuration was attained by a combination of both dislocation glide and climb. Finally, as shown in Ref. [26], the AE model can only describe GBs over a limited range of misorientation due to the choice of a fixed reference set of crystal axes to represent the crystal density waves. However, this limitation is not too stringent as the method is able to describe both low-angle GBs with separate dislocations and higher angle ones with overlapping dislocation cores.

This paper is organized as follows. We start by introducing in section II the AE model for both hexagonal (isotropic elasticity) and BCC ordering (anisotropic elasticity). The following section III is dedicated to generalizing the linear stability analysis to the case of anisotropic elasticity. In particular, we show that the introduction of elastic anisotropy inhibits the instability by reducing the growth rate and decreasing the range of unstable wavelengths. Next, in section IV, we investigate more closely the nonlinear regime of instability for isotropic elasticity, showing that a sufficiently large misfit strain can lead to GB break-up. Finally, in section V, we investigate the interactions between circular precipitates and a GB, showing that similar elastic interaction leads to the attraction of the precipitate to the GB and can also lead to GB break-up.

II. AMPLITUDE-EQUATION MODEL

A. Free-energies

In the present study, we used the AE approach developed by Spatschek and Karma²⁶, which provides a general methodology for modeling the interaction of composition and stress²⁶. **In this AE framework, the atomic density field is expanded as a sum of crystal density waves**

$$n(\vec{r}, t) = n_0 + \delta n_s \sum_{n=1}^{N/2} \left(A_n e^{i\vec{k}_n \cdot \vec{r}} + A_n^* e^{-i\vec{k}_n \cdot \vec{r}} \right), \quad (2)$$

where $\pm\vec{k}_n$ ($1 \leq n \leq N/2$) correspond to the N principal reciprocal lattice vectors (RLVs) of equal magnitude $|\vec{k}_n| = q_0 = 2\pi/a$, where a

is the lattice spacing, n_0 is a reference average value of this field, and δn_s is a scale factor that can be adjusted to match arbitrary values of solid density wave amplitudes. The amplitudes have a constant value $|A_n| = A_s$ in a perfect crystal, and decrease to low values in the atomically disordered core region of dislocations, which is similar to the liquid phase where the amplitudes vanish.

The total free-energy of the system is given by the functional:

$$F = \int dV f_c + \int dV f_{el}, \quad (3)$$

where the chemical and elastic parts of the free-energy density are defined respectively by

$$f_c = \frac{K}{2} |\nabla c|^2 + f_{dw}(c) \quad (4)$$

and

$$f_{el} = F_0 \left[\xi_d^2 \sum_{n=1}^N |(\square_n + i\varepsilon_0 q_0 c) A_n|^2 + f_b(\{A_n\}, \{A_n^*\}) \right], \quad (5)$$

where the ‘‘box operator’’ is defined by $\square_n = \hat{k}_n \cdot \nabla - \frac{i}{2q_0} \nabla^2$. This elastic free energy density represents the energy cost of an arbitrary perturbation of the atomic density field associated with linear elastic deformations and crystal defects (nonlinear deformations) such as dislocations or grain boundaries.

The free-energy cost of defects is captured by the box operator that is introduced to insure that the elastic part of the free-energy is rotationally invariant²⁶. In addition, the operator $i\varepsilon_0 q_0 c$, accounts for the influence of the compositional field on the lattice spacing through the misfit strain ε_0 , where we assume a linear relationship between strain and concentration (Vegard’s law). The parameter ξ_d is a dimensionless coefficient that is proportional to the width of the solid-liquid interface at the melting point and also sets the scale of the dislocation core.

As in our previous study¹⁹, we use a version of the AE model where the bulk chemical free-energy density has a standard double-well Cahn-Hilliard-like contribution⁵² $f_{dw}(c)$ that favors phase separation into two solid phases of distinct chemical compositions¹⁹.

The bulk chemical free-energy density has the double-well form

$$f_{dw}(c) = g(c - c_0^-)^2 (c - c_0^+)^2, \quad (6)$$

where the minima (c_0^\pm) represent the equilibrium concentrations in the composition domains in the absence of stress and the expressions

$$g = \frac{12\gamma}{w_i(c_0^+ - c_0^-)^4} \quad (7)$$

$$K = \frac{3w_i\gamma}{2(c_0^+ - c_0^-)^2}, \quad (8)$$

relate the parameters g and K to the width w_i and excess free-energy γ of the spatially diffuse boundary between those domains.

The RLVs and the bulk part of the elastic energy density, $f_b(\{A_n\}, \{A_n^*\})$, can be chosen to stabilize different crystalline structures⁵³⁻⁵⁵. In this study, we consider the 2D hexagonal lattice described by $N = 6$ RLVs $\vec{k}_n = \pm q_0 \hat{k}_n$ where

$$\hat{k}_1 = \left(-\frac{\sqrt{3}}{2}, -\frac{1}{2}\right), \quad \hat{k}_2 = (0, 1), \quad \hat{k}_3 = \left(\frac{\sqrt{3}}{2}, -\frac{1}{2}\right)$$

and

$$f_b^{HEX}(\{A_n\}, \{A_n^*\}) = \frac{1}{6} \sum_{n=1}^3 A_n A_n^* + \frac{1}{2} (A_1 A_2 A_3 + A_1^* A_2^* A_3^*) + \frac{1}{15} \left(\sum_{n=1}^3 A_n A_n^* \right)^2 - \frac{1}{30} \sum_{n=1}^3 |A_n|^4, \quad (9)$$

which reproduce isotropic elasticity for small deformations²⁶. To investigate the effect of anisotropic elasticity, we also consider BCC ordering described by $N = 12$ RLVs $\vec{k}_n = \pm q_0 \hat{k}_n$ where:

$$\hat{k}_1 = \frac{1}{\sqrt{2}}(1, 1, 0), \quad \hat{k}_2 = \frac{1}{\sqrt{2}}(1, 0, 1), \quad \hat{k}_3 = \frac{1}{\sqrt{2}}(0, 1, 1), \quad \hat{k}_4 = \frac{1}{\sqrt{2}}(1, -1, 0), \quad \hat{k}_5 = \frac{1}{\sqrt{2}}(1, 0, -1), \quad \hat{k}_6 = \frac{1}{\sqrt{2}}(0, 1, -1),$$

and the function²⁶

$$\begin{aligned} f_b^{BCC}(\{A_n\}, \{A_n^*\}) = & \frac{1}{12} \sum_{n=1}^6 A_n A_n^* + \frac{1}{90} \left\{ \left(\sum_{n=1}^6 A_n A_n^* \right)^2 - \frac{1}{2} \sum_{n=1}^6 |A_n|^4 + 2A_1^* A_2 A_4^* A_5 + 2A_1 A_2 A_4^* A_5^* \right. \\ & + 2A_1^* A_3 A_4 A_6 + 2A_1 A_3^* A_4^* A_6^* + 2A_2 A_3^* A_5^* A_6 + 2A_2^* A_3 A_5 A_6^* \left. \right\} \\ & - \frac{1}{8} \left\{ A_2 A_3^* A_4^* + A_2 A_3^* A_4 + A_1 A_3^* A_5^* + A_1^* A_3 A_5 \right. \\ & \left. + A_1 A_2^* A_6^* + A_1^* A_2 A_6 + A_4^* A_5 A_6^* + A_4 A_5^* A_6 \right\}. \quad (10) \end{aligned}$$

The effect of the anisotropic elasticity of the BCC structure on the precipitate morphology is illustrated in Fig. 2. **An initially circular precipitate of radius $R = 40.5a$ and eigenstrain $\varepsilon_0 = 0.043$** (Fig. 2.a) evolves into a square with rounded corners (Fig. 2.b). Even though this morphology increases the surface energy, it is the equilibrium state of the system because of the drop of elastic energy due to anisotropic elasticity effects⁴⁵.

B. Determination of model parameters

The free-energies of the AE model depend on eight parameters c_0^\pm , g , K , q_0 , ξ_d , ε_0 , and F_0 . Their

value can be generally determined uniquely in terms of material parameters as follows. The phase diagram determines c_0^\pm , the lattice spacing a determines $q_0 = 2\pi/a$, the compositional domain width w_i and the interface free-energy γ determine g and K via Eqs. (7) and (8). The misfit strain ε_0 is a known material parameter and the microscopic length $\sim \xi_d$ can be in principle estimated by matching the dislocation core size to experimental measurement or the results of atomistic simulations; for simplicity here we choose $\xi_d q_0 = 1$. In addition, F_0 can be related to elastic constants of the material using relations derived in Ref. [26]. In the case of the elastically isotropic hexagonal model, the elastic constants are $C_{11} = C_{22} = \lambda + 2G = \frac{9}{4} F_0 A_3^2 \xi_d^2 q_0^2$ and

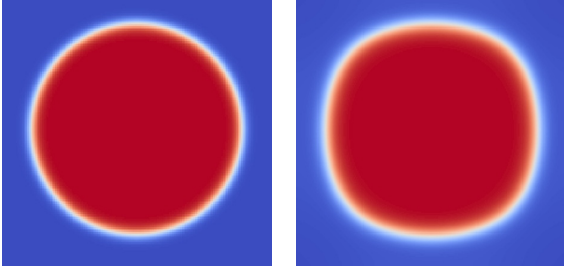


FIG. 2. An initially circular precipitate of radius $R = 40.5a$ and eigenstrain $\varepsilon_0 = 0.043$ (a) relaxes to a square shape with rounded corners (b) due to the anisotropic elasticity in the BCC AE model.

$C_{12} = C_{44} = \lambda = \frac{3}{4}F_0A_s^2\xi_d^2q_0^2$, yielding a Poisson ratio $\nu = \lambda/[2(\lambda + G)] = 0.25$ (λ and G denote the Lamé coefficients). For the elastically anisotropic BCC model, $C_{11} = C_{22} = C_{33} = 2F_0A_s^2\xi_d^2q_0^2$ and $C_{12} = C_{23} = C_{44} = F_0A_s^2\xi_d^2q_0^2$. In these definition, the coefficient A_s denotes the amplitude of solid density waves in a perfect crystal and can be expressed as

$$A_s = \frac{3 + \sqrt{1 - Z\varepsilon_0^2c_0^2\xi_d^2q_0^2}}{4}, \quad (11)$$

where $Z = 48$ ($Z = 96$) for the hexagonal (BCC) lattice. Let us notice that for small values of ε_0 , A_s depends weakly on composition c_0 via a shift of the lattice constant induced by the misfit strain.

In this study, simulations are performed for a generic set of material parameters similar to the one used to model phase separation in Li-ion battery materials¹⁰. In particular, we choose $c_0^- = 0.05$, $c_0^+ = 0.95$, $\gamma = 0.2$ J/m², and $w_i = 2$ nm, yielding $g = 1.8 \times 10^9$ J/m³ and $K = 7.4 \times 10^{-10}$ J/m using Eqs. (7) and (8). In addition, we take $a = 0.5$ nm, $\xi_d q_0 = 1$, and $G = C_{44} = 39$ GPa, yielding $F_0 = 4G/(3A_s^2\xi_d^2q_0^2) = 5.2 \times 10^{10}$ J/m³ for the hexagonal lattice and $F_0 = C_{44}/(A_s^2\xi_d^2q_0^2) = 3.9 \times 10^{10}$ J/m³ for the BCC lattice where $A_s \approx 1$ is used in those relations to compute F_0 . This is equivalent to neglecting the dependence of A_s on c_0 in Eq. (11), which is negligible for small misfit strain. In the following, the simulations used to test the predictions of the linear stability analysis are carried out with $\varepsilon_0 = 0.043$ for both the hexagonal and BCC models. Additional simulations are carried out for various values of ε_0 to explore the influence of the misfit strain on the equi-

ilibrium state of the microstructure. **Mathematically, the AE model is only valid for small misorientations between grains. However, it has been shown²⁶ that the predictions of the GB energy from AE remain valid over roughly half the complete range allowed by the full crystal symmetry. Therefore, the limitations of the AE model on grain rotations does not influence significantly the results obtained for misorientations below 30° investigated in this article.**

C. Dynamical equations

The concentration field c is assumed to follow a conserved dynamics

$$\frac{\partial c}{\partial t} = M\nabla^2 \frac{\delta F}{\delta c}. \quad (12)$$

where the mobility $M = Df''_{dw}(c_0^\pm)$ is chosen such that Fickian diffusion is recovered for vanishing stresses and composition close to the equilibrium values c_0^\pm . We note here that for finite misfit, the equilibrium concentrations in the low (c^-) and high (c^+) concentration domains are slightly shifted from their equilibrium values c_0^\pm as described further in the paper, but this shift has a negligible effect on the effective value of the mobility.

On the other hand, The amplitudes A_n are evolved using a formulation of non-conserved dynamics introduced previously in the context of the PFC model^{56,57} to relax the elastic field rapidly over the entire system by the damped propagation of density waves:

$$\rho \frac{\partial^2 A_n}{\partial t^2} + \frac{\partial A_n}{\partial t} = -M_A \frac{\delta F}{\delta A_n^*}, \quad (n = 1 \dots N) \quad (13)$$

where the parameters M_A and ρ , which control the wave damping rate and propagation velocity are chosen such that the amplitudes and hence the elastic field relax quickly on the diffusive time scale of the concentration field evolution.

To see how to choose those parameters, and for the purpose of numerical implementation, it is useful to rewrite Eqs. (13) and (12) in dimensionless form by measuring lengths in unit of $1/q_0$ and time in unit of $1/(Mgq_0^d)$ where the space dimension is $d = 2$ ($d = 3$) for the hexagonal (BCC) lattice. After rescaling space and time, Eqs. (13-12) become for the hexagonal lattice:

$$c_w^{-2} \frac{\partial^2 A_n}{\partial t^2} + \beta_w \frac{\partial A_n}{\partial t} = \alpha_d^2 [\square_n^2 A_n + 2i\varepsilon_0 c \square_n A_n + i\varepsilon_0 A_n \square_n c + \varepsilon_0 \nabla A_n \cdot \nabla c - \varepsilon_0^2 c^2 A_n] \quad (14)$$

$$- \frac{1}{6} A_n - \frac{1}{2} \prod_{j \neq n}^3 A_j^* - \frac{2}{15} A_n \sum_{j=1}^3 A_j A_j^* + \frac{1}{15} A_n |A_n|^2, \quad (15)$$

$$\frac{\partial c}{\partial t} = \nabla^2 \left\{ -\alpha_c^2 \nabla^2 c + 2(c - c_0^-)(c - c_0^+)(2c - c_0^- - c_0^+) \right.$$

$$\left. + 2F'_0 \alpha_d^2 \left(\varepsilon_0 \sum_{j=1}^3 \text{Im} \{ A_j^* \hat{k}_j \cdot \nabla A_j \} - \frac{1}{2} \varepsilon_0 \sum_{j=1}^3 \text{Re} \{ A_j^* \nabla^2 A_j \} + \varepsilon_0^2 c \sum_{j=1}^3 A_j A_j^* \right) \right\},$$

where we have defined the dimensionless parameters $\alpha_c = q_0 \sqrt{K/g} = q_0 w_i (c_0^+ - c_0^-) / (2\sqrt{2})$, $\alpha_d = q_0 \xi_d$, $F'_0 = F_0/g$, $c_w^{-2} = \rho (Mgq_0^d)^2 / (M_A F_0)$, and $\beta_w = Mgq_0^d / (M_A F_0)$. For the choice of parameters given in section II B, $\alpha_d = 1$, $\alpha_c = 8$, and $F'_0 = 29.1$ ($F_0 = 21.7$) for the hexagonal (BCC) lattice. Furthermore, in rescaled units, c_w and β_w determine the wave propagation velocity and damping rate, respectively. Since the diffusion constant is of order unity in those units, choosing $c_w = 1$ and $\beta_w = 0.05$ insures that the mechanical degrees of freedom relax faster than the concentration field.

For the BCC lattice, the dimensionless dynamical equations analogous to Eqs. (14) and (15) are quite lengthy and are detailed in appendix A.

D. Numerical implementation

We use a pseudo-spectral method to solve the dynamical Eqs. (14) and (15). Following the same steps as in Ref. [58], the evolution equations of the amplitude equations in Fourier space read

$$c_w^{-2} \partial_{tt} \tilde{A}_n^k + \beta_w \partial_t \tilde{A}_n^k = L_A^k \tilde{A}_n^k + \tilde{f}_A^k(\{A_n\}, c) \quad (16)$$

where the linear operator $L_A^k = \alpha_d^2 (\tilde{\square}_n^k)^2 - 1/6$ is the Fourier transform of $L_A = \alpha_d^2 \square_n^2 - 1/6$, and \tilde{f}_A^k is the Fourier transform of the non-linear term of f_A which contains all the remaining terms in the right hand side of Eq. (14). We use the algorithm described in appendix A.2 of Ref. [58] to solve efficiently Eq. (16).

The evolution equation for the concentration field becomes in Fourier space

$$\partial_t \tilde{c}^k = L_c^k \tilde{c}^k + \tilde{f}_c^k(\{A_n\}, c), \quad (17)$$

where the Fourier transform of the linear operator $L_c = -\alpha_c^2 \nabla^4$ is $L_c^k = -\alpha_c^2 k^4$, and \tilde{f}_c^k is the Fourier

transform of the non-linear term f_c containing all the remaining terms in the right hand side of Eq. (15). The algorithm described in appendix A1 of Ref. [58] is used to solve Eq. (17).

Periodic boundary conditions are used in both directions. Thus, two grain boundaries are introduced in the simulation cell, located respectively at the center and the edge of the simulation box. The domain size in the direction y (normal to the GBs) is chosen sufficiently large to consider that the influence of the second grain boundary is negligible. **The simulations to obtain the growth rate of the instability (see Fig. 5) are performed using a fine grid spacing $\Delta x \approx 1$ and a time step $\Delta t = 0.05$ to obtain fully converged numerical results for an accurate quantitative comparison with analytical predictions. The simulations presented in Figs. 1 and 6 to 10 are performed with coarser discretization parameters $\Delta x \approx 2$ and $\Delta t = 0.2$ to follow the fully nonlinear development of the instability on much longer time scales while retaining a reasonable level of convergence.**

III. LINEAR STABILITY ANALYSIS

We now analyze the morphological stability of a lamellar precipitate centered on a GB such that the GB is sandwiched between two parallel DBs as depicted schematically in Fig. 3. This geometry arises naturally when it is energetically favorable for the second phase precipitate to nucleate along the GB. We denote by w the half-width of the lamellar precipitate. Its value depends on the composition and growth history of the second phase after nucleation. In the case of isotropic elasticity, the linear stability is detailed in the supplemental material of Ref. [19].

In this section, we will follow similar steps to extend this calculation to the more complicated case of anisotropic elasticity for a cubic crystal symmetry.

We take advantage of the fact that the GB shape and the elastic field (i.e. the displacive degrees of freedom) adapt instantaneously to a change of DB shape that occurs on a slow diffusive time scale. In other words, the elastic fields and GB evolutions are slaved to the DB evolution. This allows us to split the analysis into two main steps.

In a first step, carried out in subsections A, B, and C, we compute the equilibrium GB shape and stress field resulting from imposing a wavy perturbation of the DBs. We first write down the anisotropic elastostatic equations in subsection A. We then solve those equations in the four separate domains depicted in Fig. 3.b by imposing appropriate boundary conditions on the displacement and stress fields at the different interfaces (GB and DBs) separating those domains. We then compute the solutions for unperturbed planar interfaces in subsection B, and for an imposed DB perturbation of the form $h(x) \sim \sin(kx)$ in subsection C. In particular, it will be shown that under the geometrical coupling relation given by Eq. (1), the GB relaxes to a stationary shape $H(x) \sim \cos kx$ with vanishing shear stress on the GB.

The second main step of the analysis carried out in subsection D consists of computing the growth rate of the instability. For this we write down the equivalent free-boundary problem governing the evolution of the DBs in the limit where the DB width is much smaller than the wavelength of the perturbation, which allows to treat the DB as a sharp interface. This free-boundary problem consists of the diffusion equation for concentration coupled to two boundary conditions that must be self-consistently satisfied at the DBs: a Stefan-like mass conservation condition that relates the normal interface (DB) velocity to the normal gradient of chemical potential, and a local equilibrium condition that determines how the value of the chemical potential at the interface is shifted by stresses and interface curvature (as in the standard Gibbs-Thomson condition).

A. Elastostatic equations

We consider a straight symmetric tilt grain boundary of angle θ obtained from a rotation of the two grains of angles $\pm\theta/2$ around the z -axis as depicted in Fig. 3.a. For low angle GBs, this tilt grain boundary can be seen as a wall of edge dislocations.

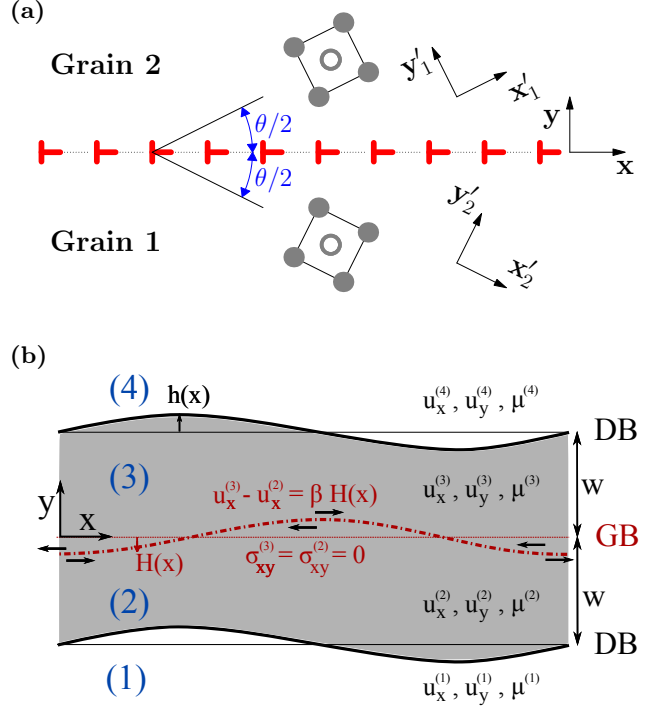


FIG. 3. Schematic representation of lamellar precipitate geometry. (a) A low-angle symmetrical tilt GB of angle θ with the reference frame (x, y) and the frames (x'_1, y'_1) and (x'_2, y'_2) associated with both grains. (b) a GB centered on a slightly perturbed lamellar precipitate. We distinguish four regions (numbered 1 to 4). The displacement fields and chemical potentials in the different regions are respectively denoted $u_x^{(n)}, u_y^{(n)}$ and $\mu^{(n)}$ ($n = 1 \dots 4$). The horizontal arrows show the directions of grain translation resulting from GB coupled motion via Eq. (1), which relaxes the shear stress induced by the perturbation of the surface of the precipitate.

We consider that the reference frame (x, y) coincides with the cubic axes of the crystal structure. In this frame, the elastic constants are $\bar{C}_{11} = \bar{C}_{22} = \bar{C}_{33}$, $\bar{C}_{12} = \bar{C}_{13} = \bar{C}_{23}$ and $\bar{C}_{44} = \bar{C}_{55} = \bar{C}_{66}$. The system is invariant along the z direction such that we can consider plain strain conditions. We define S as the anisotropy coefficient by:

$$S = 1 - \frac{\bar{C}_{11} - \bar{C}_{12}}{2\bar{C}_{44}}. \quad (18)$$

We note that for isotropic elasticity, we have $S = 0$. In the frames (x'_1, y'_1) and (x'_2, y'_2) associated to the grains rotated by an angle $\pm\theta/2$, the values of the elastic constants are given by:

B. Non-perturbed problem

$$C_{11}(\psi) = \bar{C}_{11} + S\bar{C}_{44} \sin^2(2\psi), \quad (19)$$

$$C_{12}(\psi) = \bar{C}_{12} - S\bar{C}_{44} \sin^2(2\psi), \quad (20)$$

$$C_{44}(\psi) = \bar{C}_{44} - S\bar{C}_{44} \sin^2(2\psi), \quad (21)$$

$$C_{14}(\psi) = \frac{S\bar{C}_{44}}{2} \sin(4\psi) \quad (22)$$

where $\psi = \pm\theta/2$ is the rotation angle between the crystal axis of the grains 1 and 2 and the reference frame.

To keep the elastostatic equations analytically solvable, we consider the limit of small θ where the elastic constants are the same in both grains and in the reference frame and we note them C_{11} , C_{12} and C_{44} .

We consider that the concentration is homogeneous in the different domains and is denoted by $c^{(n)}$ (where the superscript (n) denotes different domains, $n = 1 \dots 4$). The stresses can therefore be simply expressed in terms of the displacements in the different domains:

$$\sigma_{xx}^{(n)} = C_{11}(\partial_x u_x^{(n)} - \varepsilon_0 c^{(n)}) + C_{12}(\partial_y u_y^{(n)} - \varepsilon_0 c^{(n)}) \quad (23)$$

$$\sigma_{yy}^{(n)} = C_{12}(\partial_x u_x^{(n)} - \varepsilon_0 c^{(n)}) + C_{11}(\partial_y u_y^{(n)} - \varepsilon_0 c^{(n)}) \quad (24)$$

$$\sigma_{xy}^{(n)} = C_{44}(\partial_x u_y^{(n)} + \partial_y u_x^{(n)}) \quad (25)$$

where the coordinate x and y refer to the reference basis (x, y) . Substituting these equations for stresses into the elastic equilibrium $\nabla \cdot \sigma = 0$, we obtain the following elastostatic equations in terms of the displacements fields:

$$C_{11} \frac{\partial^2 u_x^{(n)}}{\partial x^2} + C_{44} \frac{\partial^2 u_x^{(n)}}{\partial y^2} + (C_{12} + C_{44}) \frac{\partial^2 u_y^{(n)}}{\partial x \partial y} = 0 \quad (26)$$

$$(C_{12} + C_{44}) \frac{\partial^2 u_x^{(n)}}{\partial x \partial y} + C_{44} \frac{\partial^2 u_y^{(n)}}{\partial x^2} + C_{11} \frac{\partial^2 u_y^{(n)}}{\partial y^2} = 0 \quad (27)$$

We first consider the non-perturbed problem where the DBs and the GB are perfectly straight ($h(x) = H(x) = 0$) and solve for the equilibrium displacement field \bar{u} and composition field. In this case, the problem is invariant along the x direction and $\bar{u}_x = 0$ in all the domains. For the component \bar{u}_y , Eqs. (26-27) admit the following solution in the different domains

$$\begin{aligned} \bar{u}_y^{(1)} &= \alpha^-(y+w) - \alpha^+ w \\ \bar{u}_y^{(2)} &= \bar{u}_y^{(3)} = \alpha^+ y \\ \bar{u}_y^{(4)} &= \alpha^-(y-w) + \alpha^+ w \end{aligned} \quad (28)$$

where $\alpha^+ = (C_{11} + C_{12})\varepsilon_0 c^+ / C_{11} = \varepsilon_0 c^+ \left(1 + \frac{1}{\zeta}\right)$ and $\alpha^- = (C_{11} + C_{12})\varepsilon_0 c^- / C_{11} = \varepsilon_0 c^- \left(1 + \frac{1}{\zeta}\right)$ with $\zeta = C_{11}/C_{12}$.

Because of the stresses arising from the precipitate eigenstrain, the equilibrium concentrations c^+ and c^- inside and outside the precipitate differ slightly from c_0^+ and c_0^- . This deviation can be computed by minimizing the total free energy with respect to $\Delta c = c_0^+ - c^+ = c^- - c_0^-$. The free energy is minimum for

$$\Delta c = \frac{1}{2} \left[(c_0^+ - c_0^-) - \sqrt{(c_0^+ - c_0^-)^2 - \frac{(C_{11}^2 - C_{12}^2)\varepsilon_0^2}{gC_{11}}} \right]. \quad (29)$$

C. Perturbed problem

1. Solutions of the elastostatic equations

We consider now that the DBs position are perturbed by a periodic function $h(x) = h_0 \sin(kx)$ whose amplitude h_0 is assumed to be small compared to its wave length $2\pi/k$ and the width of the precipitate w . The total displacement $u^{(n)}(x, y)$ can be decomposed into a non-perturbed part $\bar{u}^{(n)}(x, y)$ derived in Eq. (28) and a perturbed part $\tilde{u}^{(n)}(x, y)$ arising from the perturbation:

$$u_x^{(n)}(x, y) = \bar{u}_x^{(n)}(x, y) + \tilde{u}_x^{(n)}(x, y) \quad (30)$$

$$u_y^{(n)}(x, y) = \bar{u}_y^{(n)}(x, y) + \tilde{u}_y^{(n)}(x, y) \quad (31)$$

Following the supplemental material of Ref. [36], we consider that the perturbed displacements take the following form in the different domains:

- In domain (1):

$$\tilde{u}_x^{(1)} = \text{Re} \left\{ e^{ikx} (A_1 e^{-ikp_1(y+w)} + B_1 e^{-ikp_2(y+w)}) \right\} \quad (32)$$

$$\tilde{u}_y^{(1)} = \text{Re} \left\{ e^{ikx} (M_1 A_1 e^{-ikp_1(y+w)} + M_2 B_1 e^{-ikp_2(y+w)}) \right\} \quad (33)$$

- In domain (2):

$$\tilde{u}_x^{(2)} = \text{Re} \left\{ e^{ikx} (A_2 e^{ikp_1(y+w)} + B_2 e^{ikp_2(y+w)}) \right\} + \text{Re} \left\{ e^{ikx} (C_2 e^{-ikp_1 y} + D_2 e^{-ikp_2 y}) \right\} \quad (34)$$

$$\tilde{u}_y^{(2)} = -\text{Re} \left\{ e^{ikx} (M_1 A_2 e^{ikp_1(y+w)} + M_2 B_2 e^{ikp_2(y+w)}) \right\} + \text{Re} \left\{ [e^{ikx} (M_1 C_2 e^{-ikp_1 y} + M_2 D_2 e^{-ikp_2 y})] \right\} \quad (35)$$

- In domain (3):

$$\tilde{u}_x^{(3)} = \text{Re} \left\{ e^{ikx} (A_3 e^{-ikp_1(y-w)} + B_3 e^{-ikp_2(y-w)}) \right\} + \text{Re} \left\{ e^{ikx} (C_3 e^{ikp_1 y} + D_3 e^{ikp_2 y}) \right\} \quad (36)$$

$$\tilde{u}_y^{(3)} = \text{Re} \left\{ e^{ikx} (M_1 A_3 e^{-ikp_1(y-w)} + M_2 B_3 e^{-ikp_2(y-w)}) \right\} - \text{Re} \left\{ e^{ikx} (M_1 C_3 e^{ikp_1 y} + M_2 D_3 e^{ikp_2 y}) \right\} \quad (37)$$

- In domain (4):

$$\tilde{u}_x^{(4)} = \text{Re} \left\{ e^{ikx} (A_4 e^{ikp_1(y-w)} + B_4 e^{ikp_2(y-w)}) \right\} \quad (38)$$

$$\tilde{u}_y^{(4)} = -\text{Re} \left\{ e^{ikx} (M_1 A_4 e^{ikp_1(y-w)} + M_2 B_4 e^{ikp_2(y-w)}) \right\}, \quad (39)$$

where A_i, B_i, C_i, D_i, M_i and p_i are constants left to be determined. One can show (see 36) that these equations are solutions of the elastostatic equations Eqs. (26) and (27) only if the coefficients M_1 and M_2 are written as:

$$M_1 = \frac{(C_{12} + C_{44})p_1}{C_{44} + C_{11}p_1^2} \quad (40)$$

$$M_2 = \frac{(C_{12} + C_{44})p_2}{C_{44} + C_{11}p_2^2}, \quad (41)$$

where p_1 and p_2 are solutions of the equation

$$p^4 + \frac{C_{11}^2 - C_{12}^2 - 2C_{12}C_{44}}{C_{11}C_{44}}p^2 + 1 = 0. \quad (42)$$

This polynomial admits two complex roots of the form

$$p_1 = e^{i\xi}, \quad p_2 = -e^{-i\xi} \quad (43)$$

with

$$\xi = \frac{1}{2} \arccos \frac{C_{12}^2 - C_{11}^2 + 2C_{12}C_{44}}{2C_{11}C_{44}}. \quad (44)$$

In the limiting case of isotropic elasticity, $p_1 = p_2 = i$ and Eqs. (32) to (39) reduce to the displacements function used in Refs. [59],[36] and [19].

The other coefficients A_i, B_i, C_i, D_i can be determined from the boundary conditions at the DBs and GB as detailed in the following sections.

2. Boundary conditions on the DBs

We first examine the boundary conditions at the DB located at $y = w + h(x)$ separating domains (3) and (4). Because the DB is coherent, the total displacement $u(x, y)$ must be continuous across the boundary. We first consider the continuity of the x -component: $u_x^{(3)}(x, w + h(x)) = u_x^{(4)}(x, w + h(x))$. Using Taylor expansions around $y = w$ and keeping only the lowest order terms in $h_0 k$ yields a continuity equation on the perturbed part of the displacements:

$$\tilde{u}_x^{(4)}(x, w) = \tilde{u}_x^{(3)}(x, w). \quad (45)$$

For the same boundary, a similar procedure ap-

plied to the component u_y yields

$$\tilde{u}_y^{(4)}(x, w) = \tilde{u}_y^{(3)}(x, w) + \alpha h(x), \quad (46)$$

where $\alpha = \alpha^+ - \alpha^- = \varepsilon_0 \left(1 + \frac{1}{\zeta}\right) (c^+ - c^-)$.

Also, the stress vector across the DBs of normal \mathbf{n} defined as $\mathbf{T} = [T_x, T_y] = \boldsymbol{\sigma} \cdot \mathbf{n}$ must be continuous⁶⁰.

Substituting Eqs. (30) and (31) into Eqs. (23) to (25) and using Eq. (28), we get the stress expressed in terms of the perturbed displacements in

different domains:

$$\begin{aligned} \sigma_{xx}^{(n)} &= C_{11} \partial_x \tilde{u}_x^{(n)} + C_{12} \partial_y \tilde{u}_y^{(n)} - \frac{C_{11}^2 - C_{12}^2}{C_{11}} \varepsilon_0 c^{(n)} \\ \sigma_{yy}^{(n)} &= C_{11} \partial_y \tilde{u}_y^{(n)} + C_{12} \partial_x \tilde{u}_x^{(n)} \\ \sigma_{xy}^{(n)} &= C_{44} [\partial_y \tilde{u}_x^{(n)} + \partial_x \tilde{u}_y^{(n)}] \end{aligned} \quad (47)$$

where $c^{(2)} = c^{(3)} = c^+$ and $c^{(1)} = c^{(4)} = c^-$.

Substituting Eq. (47) for domains (3) and (4) into the continuity of the stress vector and keeping only the lowest order terms after performing Taylor expansions in $h_0 k \ll 1$, we obtain two additional boundary conditions on the perturbed displacement components \tilde{u}_x and \tilde{u}_y . Finally, the boundary conditions on the DB located at $y = w + h(x)$ can be summarized as follow:

$$\tilde{u}_x^{(4)}(x, w) - \tilde{u}_x^{(3)}(x, w) = 0 \quad (48)$$

$$\tilde{u}_y^{(4)}(x, w) - \tilde{u}_y^{(3)}(x, w) = \alpha h(x) \quad (49)$$

$$\left[\partial_y \tilde{u}_x^{(4)} + \partial_x \tilde{u}_y^{(4)} \right]_{y=w} - \left[\partial_y \tilde{u}_x^{(3)} + \partial_x \tilde{u}_y^{(3)} \right]_{y=w} = \frac{C_{11} - C_{12}}{C_{44}} \alpha h'(x) \quad (50)$$

$$\left[\partial_x \tilde{u}_x^{(4)} + \zeta \partial_y \tilde{u}_y^{(4)} \right]_{y=w} - \left[\partial_x \tilde{u}_x^{(3)} + \zeta \partial_y \tilde{u}_y^{(3)} \right]_{y=w} = 0 \quad (51)$$

We derive similar boundary conditions for the DB between domains (1) and (2) located at $y = -w + h(x)$:

$$\tilde{u}_x^{(1)}(x, -w) - \tilde{u}_x^{(2)}(x, -w) = 0 \quad (52)$$

$$\tilde{u}_y^{(1)}(x, -w) - \tilde{u}_y^{(2)}(x, -w) = \alpha h(x) \quad (53)$$

$$\left[\partial_y \tilde{u}_x^{(1)} + \partial_x \tilde{u}_y^{(1)} \right]_{y=-w} - \left[\partial_y \tilde{u}_x^{(2)} + \partial_x \tilde{u}_y^{(2)} \right]_{y=-w} = \frac{C_{11} - C_{12}}{C_{44}} \alpha h'(x) \quad (54)$$

$$\left[\partial_x \tilde{u}_x^{(1)} + \zeta \partial_y \tilde{u}_y^{(1)} \right]_{y=-w} - \left[\partial_x \tilde{u}_x^{(2)} + \zeta \partial_y \tilde{u}_y^{(2)} \right]_{y=-w} = 0 \quad (55)$$

3. Boundary conditions on the GB

The perturbation of DBs produces shear stresses on the GB which is considered to relax entirely the shear stresses by coupling. We note $H(x)$ the perturbation of the GB position whose amplitude is assumed to be of the order of h_0 . Boundary conditions accounting for the GB coupling behavior can then be written assuming that the GB behaves like a sharp interface located at $H(x)$.

As explained in Section I, the coupling behavior of the GB can be translated into the well-known geometrical relation of Eq. (1) between the normal GB

velocity v_n and the velocity of parallel grain translation v_{\parallel} ^{29,30}. A simple time integration of this equation leads to a relationship between the GB perturbation, $H(x)$, and the jump of the total displacement u_x across the GB. After performing Taylor expansions around $y = H(x)$ and keeping the dominant term, we obtain

$$\tilde{u}_x^{(3)}(x, 0) - \tilde{u}_x^{(2)}(x, 0) = \beta H(x). \quad (56)$$

Substituting the displacements $\tilde{u}_x^{(2)}$ and $\tilde{u}_x^{(3)}$ described in Eqs. (34) and (36) into this equation, we deduce that the function $H(x)$ takes the form $H(x) = H_0 \cos(kx)$, where H_0 is a constant un-

known at the moment. Therefore, the GB perturbation $H(x)$ is out of phase compared to the DB perturbation $h(x)$, as depicted in Fig. 1.

The coupling behavior of the GB does not influence the component u_y of the displacement field, which remains continuous across the boundary. The procedure explained in section III C 2 can be applied straightforwardly to the component u_y , yielding:

$$\tilde{u}_y^{(3)}(x, 0) - \tilde{u}_y^{(2)}(x, 0) = 0. \quad (57)$$

Just like in the case of DBs, the components of the stress vector \mathbf{T} is continuous across the GB. The continuity of the component T_x leads to the following equation:

$$\left[\partial_x \tilde{u}_x^{(3)} + \zeta \partial_y \tilde{u}_y^{(3)} \right]_{y=0} - \left[\partial_x \tilde{u}_x^{(2)} + \zeta \partial_y \tilde{u}_y^{(2)} \right]_{y=0} = 0 \quad (58)$$

In addition to the continuity of the component T_y , we assume that the GB relaxes completely the shear stresses through coupling. In other words, the GB adapts its shape to the shear stress environment produced by the perturbation on the DBs such that the

shear stresses vanish at $y = H(x)$. This leads to the following relation on the perturbed displacements:

$$\left[\partial_y \tilde{u}_x^{(2)} + \partial_x \tilde{u}_y^{(2)} \right]_{y=0} = 0 \quad (59)$$

$$\left[\partial_y \tilde{u}_x^{(3)} + \partial_x \tilde{u}_y^{(3)} \right]_{y=0} = 0 \quad (60)$$

Finally, we obtained five boundary conditions (Eqs. (57) to (60)) that have to be fulfilled on the GB by the displacement field.

4. Solution of the elastostatic equations

Substituting the expression of the displacements Eqs. (32) to (39) into the boundary conditions (48)-(60) yields 13 linear equations. The 13 unknowns of the problem (A_i , B_i , C_i , D_i , H_0) are then determined uniquely by solving the linear system of equations. In particular, we obtain an expression of the GB amplitude H_0 :

$$\begin{aligned} H_0 = & (2ih_0(M_1p_1 - M_2p_2)\alpha(1 + \zeta) [(e^{ikp_1w} M_2(-M_1 + p_1) - e^{ikp_2w} M_1(-M_2 + p_2))(-1 + \zeta)C_{12} \\ & + (e^{ikp_1w} - e^{ikp_2w})(-M_1 + p_1)(-M_2 + p_2)C_{44}]) \\ & / ((M_2p_1 - M_1p_2)[-p_1 + p_2 + M_1(1 + M_2(p_1 - p_2)\zeta - p_1p_2\zeta) + M_2(-1 + p_1p_2\zeta)] C_{44}\beta) \end{aligned} \quad (61)$$

The full expression of the other unknowns A_i , B_i , C_i and D_i are quite lengthy and are detailed in appendix B. To highlight the influence of the anisotropic elasticity on the instability, we express the elastic constants C_{11} , C_{12} and C_{44} as a function of an equivalent shear modulus $G = C_{44}$, Poisson's ratio $\nu = C_{12}/(C_{11} + C_{12})$ and the anisotropic factor

$$S = 1 - \frac{C_{11} - C_{12}}{2C_{44}}:$$

$$C_{44} = G \quad (62)$$

$$C_{12} = \frac{2(1 - S)G\nu}{1 - 2\nu} \quad (63)$$

$$C_{11} = \frac{2(1 - S)G(1 - \nu)}{1 - 2\nu} \quad (64)$$

Finally, we expand Eq. (44) in the limit of small S : $\xi = \left(\pi - \sqrt{2S/(1 - \nu)} \right) / 2$. After substituting these expressions into Eq. (61) and performing a Taylor expansion for small S , we obtain

$$H_0 = -\frac{4\varepsilon_0(c^+ - c^-)h_0e^{-kw}}{\beta} + \frac{\varepsilon_0(c^+ - c^-)h_0e^{-kw}(1 - 3kw + k^2w^2)S}{\beta(1 - \nu)} + O(S^{3/2}) \quad (65)$$

In the isotropic limit ($S = 0$), we recover exactly our previously derived result (Eq. (13) in Ref. [19]).

The role of anisotropic elasticity enters as a correc-

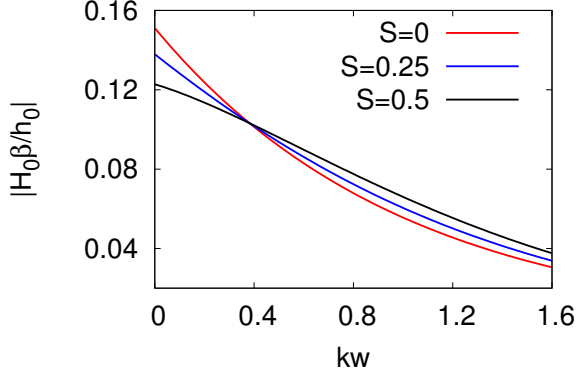


FIG. 4. Ratio $|H_0\beta/h_0|$ versus the dimensionless wavevector kw obtained from Eq. (65) for different values of the anisotropic coefficient S , showing the influence of the elastic anisotropy on the relative amplitudes of the GB and DB perturbations.

tion proportional to $\varepsilon_0 h_0/\beta$ in the limit of small S . We note that the term $(1-3kw+k^2w^2)$ entering this corrective term can be positive or negative depending on value the kw . This is illustrated in Fig. 4 where $|H_0\beta/h_0|$ is plotted as a function of kw for different values of S .

D. Linear stability analysis

In the previous section, we solved the elastostatic equations when the DB position is modified by a periodic perturbation. In this section, we formulate a Stefan-like free boundary problem that governs the diffusion-controlled motion of the DBs in the sharp-interface limit where the DB width is much smaller than the perturbation wavelength. Furthermore, we perform a linear stability analysis of the evolution equations for the DBs to obtain the growth rate of the morphological instability driven by the elastic interaction between the DBs and GB. This analysis makes use of the results of the previous section for the stresses on the perturbed DBs.

Far from the DBs, the concentration is close to its equilibrium value \bar{c}^\pm such that Eq. (12) reduces to the diffusion equation. Moreover, in this limit, the chemical potential defined as $\mu = \delta F/\delta c$ is proportional to the solute concentration. Therefore, the same diffusion equation holds for μ :

$$\frac{\partial \mu^{(n)}}{\partial t} = D \nabla^2 \mu^{(n)}, \quad n = 1 \dots 4, \quad (66)$$

where $D = M f''_{dw}(c^\pm)$ is the diffusion coefficient.

Similarly to what has been done for the displacement field in the previous section, the chemical potential is decomposed as a sum $\mu = \bar{\mu} + \tilde{\mu}$ where $\bar{\mu}$ is the equilibrium chemical potential for the non-perturbed configuration and $\tilde{\mu}$ is a small variation due to DB perturbations.

We first consider the non-perturbed DB located between domains (1) and (2), at $y = -w$. The composition field $c(x, y)$ does not depend on x and adopts an equilibrium profile along y denoted by $\bar{c}(y)$, reaching the values \bar{c}^- and \bar{c}^+ in domains (1) and (2) respectively. At equilibrium, the chemical potential is constant across the DB interface and is given by

$$\bar{\mu} = f'_{dw}(\bar{c}(y)) - \varepsilon_0(\bar{\sigma}_{xx}(y) + \bar{\sigma}_{yy}(y)) - K \partial_y^2 \bar{c}(y) \quad (67)$$

where $\bar{\sigma}_{xx}(y)$ and $\bar{\sigma}_{yy}(y)$ are the stress profiles along the y direction. The second term emerges from the derivative of the elastic energy density Eq. (5) linearized for small deformations $f_{el}^{lin} = \frac{1}{2} \sigma_{ij}(\varepsilon_{ij} - \delta_{ij} \varepsilon_0 c)$.

We then consider a perturbation $h(x)$ of the DBs and elastic displacements. Using the linearity of elasticity, the total stress fields can be written as $\sigma_{ij} = \bar{\sigma}_{ij} + \tilde{\sigma}_{ij}$, where σ_{ij} is the stress field induced by the perturbation. Considering that the perturbation $h(x)$ is a slowly varying function of x , we can assume that, in the vicinity of the DB, the concentration field takes the form $c(x, y) \approx \bar{c}(y - h(x))$. Substituting these expressions for the stress and composition fields into the definition of the chemical potential and keeping only the dominant terms, we obtain

$$\mu = \bar{\mu} - \varepsilon_0(\tilde{\sigma}_{xx} + \tilde{\sigma}_{yy}) + K \partial_y \bar{c} \kappa \quad (68)$$

where κ is the domain interface curvature. The chemical potential μ and the stress fields $\tilde{\sigma}_{xx}$ and $\tilde{\sigma}_{yy}$ vary on a much larger length-scale than the interface width and can be assumed to be constant across the DB. We then multiply Eq. (68) by $\partial_y \bar{c}$ and integrate over the interval $[-w - \delta, -w + \delta]$ where δ is an arbitrary intermediate length, larger than the interface width but much smaller than the characteristic scale on which the stresses and chemical potential vary. We finally obtain the chemical potential acting on the DBs:

$$\mu_{\text{DB}} = \bar{\mu} - \varepsilon_0[\tilde{\sigma}_{xx} + \tilde{\sigma}_{yy}]_{\text{DB}} + \frac{\gamma \kappa}{\bar{c}^+ - \bar{c}^-} \quad (69)$$

where $\gamma = K \int_{-w-\delta}^{-w+\delta} (\partial_y \bar{c})^2 dy$ is the interfacial energy and $[\tilde{\sigma}_{xx} + \tilde{\sigma}_{yy}]_{\text{DB}}$ is the sum of the stresses at the DB.

In the case of a periodic perturbation $h(x) = h_0 \sin(kx)$, the stresses at the DB are obtained by substituting Eqs. (32) to (39) into Eq. (47) and using the expression of A_i , B_i , C_i and D_i listed in

Appendix B. Similarly to the expression of the GB perturbation, the stresses can be expressed as a Taylor expansion, treating the anisotropic coefficient S as a small parameter:

$$\begin{aligned} [\tilde{\sigma}_{xx} + \tilde{\sigma}_{yy}]_{DB} &= \text{Re}\{-k(C_{11} + C_{12})[(A_1 + B_1) - (M_1 p_1 A_1 + M_2 p_2 B_1)]_{y=-w}\} \\ &= \text{Re}\{-k(C_{11} + C_{12})[(A_4 + B_4) - (M_1 p_1 A_4 + M_2 p_2 B_4)]_{y=w}\} \\ &= \left\{ -\frac{4\varepsilon_0(\bar{c}^+ - \bar{c}^-)kGe^{-2kw}}{1 - \nu} + \frac{\varepsilon_0(\bar{c}^+ - \bar{c}^-)kGS[1 + (4(1 - \nu) - 4kw + 2k^2w^2)e^{-2kw}]}{(1 - \nu)^2} + O(S^{3/2}) \right\} h \end{aligned} \quad (70)$$

In the limit of isotropic elasticity ($S = 0$), we recover the stresses obtained in Ref. [19].

We now perform a linear stability analysis by considering that the amplitude of the perturbation $h_0(t)$ evolves exponentially in time: $h_0(t) = h_i \exp(\omega_k t)$, with ω_k the growth rate of the instability and h_i the initial amplitude of the perturbation.

For simplicity, we define the function⁶¹

$$\Gamma(k) = -\frac{\varepsilon_0[\tilde{\sigma}_{xx} + \tilde{\sigma}_{yy}]_{DB}}{h(x, t)} + \frac{\gamma k^2}{\bar{c}^+ - \bar{c}^-}, \quad (72)$$

such that the chemical potential on the DB between domains (1) and (2) is simply given by

$$\mu_{DB}^{(1,2)}(t) = \bar{\mu} + \Gamma(k)h(x, t) \quad (73)$$

Similarly, the chemical potential on the DB between domains (3) and (4) is:

$$\mu_{DB}^{(3,4)}(t) = \bar{\mu} - \Gamma(k)h(x, t). \quad (74)$$

Eqs. (73) and (74) serve as boundary conditions for the solution of Eq. (66). In addition, two additional boundary conditions are obtained by considering that the chemical potential reaches $\bar{\mu}$ far from the DBs (i.e. for $y \rightarrow \pm\infty$). The solution of Eq. (66) satisfying these boundary conditions is of the form

$$\mu^{(1)} = \bar{\mu} + \Gamma(k)e^{q(y+w)}h(x, t) \quad (75)$$

$$\mu^{(n)} = \bar{\mu} - \Gamma(k)\frac{\sinh(qy)}{\sinh(qw)}h(x, t), \quad n = 2, 3 \quad (76)$$

$$\mu^{(4)} = \bar{\mu} - \Gamma(k)e^{-q(y-w)}h(x, t) \quad (77)$$

where $q = \sqrt{k^2 + \omega_k/D}$.

Next, the normal velocity of the DBs is given by the mass conservation (Stefan-like) condition

$$v_{DB} = -\frac{M}{\bar{c}^+ - \bar{c}^-} [[\partial_y \mu]]_{DB}, \quad (78)$$

where the double brackets denotes the jump of the normal gradient of chemical potential $\partial_y \mu$ across the DB, neglecting higher order nonlinear terms originating from the change of normal direction induced by the perturbation of the DB (i.e. $\sqrt{1 + (\partial_x h)^2} \approx 1$). Using the fact that $v_{DB} = \partial_t h = \omega_k h(x, t)$ and Eqs. (75) to (77) to evaluate the right-hand-side of Eq. (78), we obtain an implicit transcendental equation for ω_k :

$$\omega_k = \frac{M\Gamma(k)}{c^+ - c^-} \sqrt{\frac{\omega_k}{D} + k^2} \left(1 + \coth \left(w \sqrt{\frac{\omega_k}{D} + k^2} \right) \right). \quad (79)$$

We can consider the quasistatic limit where the concentration field that evolves on a time-scale $1/Dk^2$ reaches quickly an equilibrium profile compared to the time-scale of the evolution of the DB $1/\omega_k$. Our simulations are performed within this quasistatic limit. With $\omega_k \ll Dk^2$, $q \simeq k$ and Eq. (79) reduces to a straightforward expression for ω_k :

$$\omega_k = \frac{M\Gamma(k)k}{c^+ - c^-} (1 + \coth(kw)) \quad (80)$$

We note that for typical material values of $\nu < 1/2$, the second term of Eq. (71) has the same sign as the anisotropic coefficient S . Therefore, if $S > 0$ ($S < 0$), the elastic anisotropy inhibits (promotes) the development of the instability compared to the isotropic case.

To check the validity of this analysis, we performed AE simulations with both the isotropic hexagonal and anisotropic BCC models. In both cases, we choose $C_{44} = 39$ GPa. In the BCC AE model, we have necessarily $C_{11} = 2C_{12} = 2C_{44}$, fixing $S = 0.5$. The misfit eigenstrain is $\varepsilon_0 = 0.043$. In addition, the GB misorientation is 7.2° and 11.5°

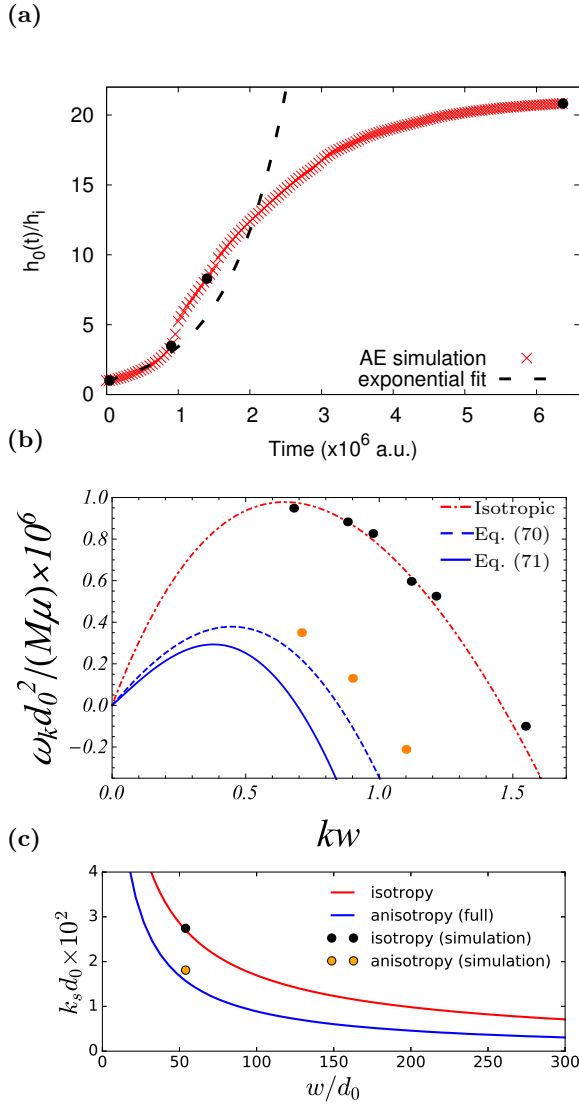


FIG. 5. (a) Amplitude of perturbed DB v.s. time for the simulation shown in Fig. 1. Black dots locate the snapshots in Fig. 1. The dashed line represents the exponential fit performed to obtain the growth rate of the instability. (b) Dimensionless growth rate ω_k as a function of the normalized wavevector kw (w is the half-width of the compositional domain) in the isotropic case (red) and anisotropic case (blue) with $S = 0.5$. In both cases, $w = 32a$ and $\varepsilon_0 = 0.043$ while the GB misorientation is 7.2° and 11.5° in the isotropic and anisotropic case, respectively. For the anisotropic case, both the exact solution (derived using Eq. (70)) and the approximate solution (derived using Eq. (71)) are represented respectively with a dashed and continuous line. (c) Marginal wavevector k_s as a function of the domain half-width w for both isotropic (red) and anisotropic (blue) elasticity. The theoretical prediction in the anisotropic case is obtained from the exact solution (derived using Eq. (70)).

in the isotropic and anisotropic simulations, respectively.

To obtain the growth rate numerically, we perform simulations where the DBs are initially gently deformed from their planar configuration with a small amplitude sinusoidal perturbation. As demonstrated by the linear stability analysis, the stresses induced by this perturbation lead to an increase of the perturbation amplitude $h_0(t)$. Fig. 5.a displays the amplitude of the DB perturbation as a function of time for the simulation presented in Fig. 1. The black dots along the curve locate the snapshots shown in Fig. 1. We can distinguish two regimes. First, the perturbation amplitude grows exponentially with time as predicted by the linear stability analysis. The growth rate of the simulation is obtained by performing an exponential fit on this part of the curve. Second, at longer times, nonlinearities play a significant role and are responsible for the deviation of the simulation results from the exponential fit. As depicted in Fig. 1.c, the DBs collide with the GB, leading to a highly non-linear regime where GB breaks-up and the position of the individual dislocations are relaxed by both glide and climb (see Fig. 1.d).

The growth rates are obtained for different simulations performed with various wavevector k and for a precipitate width $w = 32a$. **We note that large wave-lengths (i.e. $kw < 0.7$) are not investigated computationally due to the large simulation box sizes necessary to explore this part of the dispersion diagram.** For both the isotropic and anisotropic AE models, the results are compared to analytical predictions in Fig. 5.b. For the sake of consistency with Ref. [19], the growth rate ω_k is normalized by the characteristic time d_0^2/GM where d_0 is defined for an isotropic material by $d_0 = \gamma(1 - \nu)/[8G\varepsilon_0^2(c^+ - c^-)]$. As already discussed in Ref. [19], the simulation results in the isotropic case ($S = 0$) agree well with the analytical prediction.

As discussed previously, Fig. 5.b clearly shows that for our choice of parameters ($S = 0.5$), the anisotropic elasticity reduces significantly the growth rate and shifts the unstable range (where $\omega_k > 0$) to larger wavelengths, therefore inhibiting the instability. This can be understood with the following qualitative argument: in the isotropic case, the Bitter-Crum theorem^{27,28} insures that the elastic energy of a precipitate does not depend on its shape. Therefore, the perturbation of the DB interface leads automatically to a decrease of the elastic energy due to the relaxation of the shear stresses at the GBs. If this energy drop compensates the in-

crease of energy attributed to the lengthening of the perturbed DBs, the system is unstable. This reasoning does not hold in the anisotropic case where the Bitter-Crum theorem does not apply. In our case, the lamellar precipitate is oriented along an elastically soft direction. Any perturbation of such a well-oriented precipitate increases the elastic energy. Therefore, the destabilization of the system occurs only if the stress relaxation at the GB compensates this additional amount of energy. We note that a lamellar precipitate oriented along an elastically hard direction (e.g. with a 45° angle with the x -axis) is intrinsically unstable⁴⁵.

The simulations performed with the BCC AE model show a good agreement with the growth rate predicted by the linear stability analysis. The small discrepancy between the numerical and analytical results is attributed to the homogeneous elasticity approximation. Indeed, to perform the linear stability analysis, we considered that the elastic constants are the same in both grains, regardless of the rotations introduced by the GB. Also, numerical limitations such as limited system sizes might also contribute to this small discrepancy.

The marginally stable wavevector k_s defined as the positive root of $\omega_k = 0$ can be deduced for both numerical and theoretical results and is plotted as a function of the normalized composition domain half-width w in the isotropic and anisotropic case in Fig. 5.c. This plot shows again that the elastic anisotropy shifts the domain of instability to longer wavelengths, thus inhibiting the morphological instability. **Even though we only presented numerical results for one value of w , the dependence of the results on w can be deduced from the predictions of the linear stability analysis. For isotropic elasticity, this analysis predicts that, in the physically relevant limit $w \gg d_0$ where the precipitate width is much larger than the microscopic capillary length scale d_0 , the marginally stable wavevector $k_s \approx \frac{1}{2w} \ln(w/d_0)$ and the fastest growing wavevector $k_0 \approx C/w$ where $C = 0.797\dots$ is a numerical constant¹⁹. The same scalings holds for the anisotropic case but with the constant C depending generally on the magnitude S of the anisotropy. As can be seen in Fig. 5.b, the fastest growing wavevector is smaller in the anisotropic case than the isotropic case, consistent with the fact that anisotropy has a stabilizing effect when the lamellar precipitate is oriented along an elastically soft direction. However, in both the isotropic and anisotropic cases, the most unstable wave-**

length $2\pi/k_0$ is proportional to w so that the instability will generally develop on the scale of the precipitate width.

IV. GRAIN BOUNDARY BREAK-UP

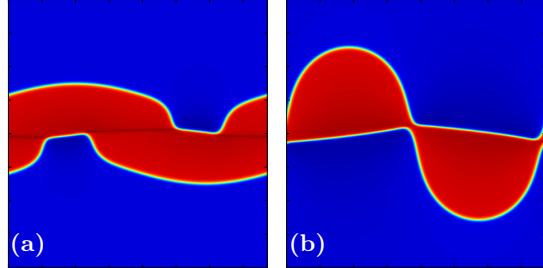


FIG. 6. Snapshots of the concentration field during a simulation performed with a GB misorientation angle $\theta = 30.4^\circ$ centered on a lamellar precipitate of eigen-strain $\varepsilon_0 = 0.043$. The system size is $7.8w \times 10.8w$ where $2w$ is the initial width of the precipitate (the vertical length of each frame is smaller than the box dimension). The snapshots correspond to dimensionless times (a) 1.2×10^6 and (b) 5.12×10^6 . See online supplemental material⁶² for the movie of this simulation (file movie1.avi).

In polycrystalline materials, the density and properties of GBs influence significantly the properties of the bulk material. They are preferred nucleation sites for second phase precipitates⁴², and also facilitate impurities diffusion through a pipe diffusion effect⁶³. Moreover, GBs are natural obstacles to dislocation motion, and fine grain structures often present high yield stresses⁴². Therefore, controlling the GB density and properties is of first importance to obtain high material properties.

The instability described in this article affects significantly the GB and can even lead to the break-up of the GB as shown in Fig. 1. For this low angle GB ($\theta = 7.2^\circ$), $\beta = 0.126$ and the perturbation of the GB expressed in Eq. (65) is significant as shown in Fig. 1.b. In the equilibrium state represented in Fig. 1.e., the dislocations that were forming the low-angle GB decorate the precipitate interface, relaxing the misfit stresses.

Increasing the GB angle does not modify the development nor the growth rate of the instability. However, for higher misorientation angles, the coupling factor β is greater and therefore the amplitude

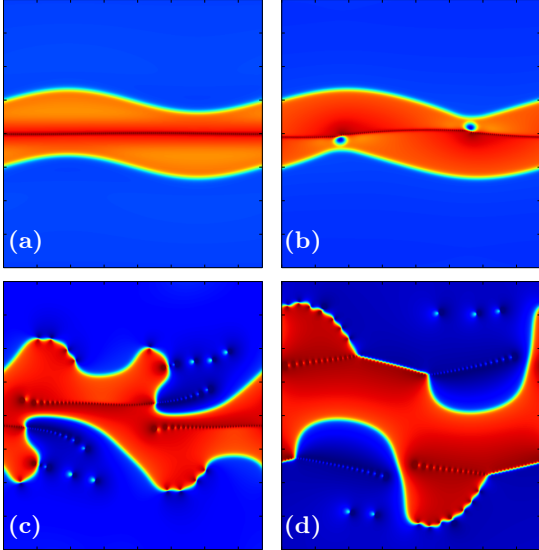


FIG. 7. Snapshots of the concentration field during a simulation performed with a GB misorientation angle $\theta = 30.4^\circ$ centered on a lamellar precipitate of eigenstrain $\varepsilon_0 = 0.086$. The system size is $7.8w \times 10.8w$ where $2w$ is the initial width of the precipitate (the vertical length of each frame is smaller than the box dimension). The snapshots correspond to dimensionless times (a) 4×10^3 , (b) 7.6×10^4 , (c) 2.84×10^5 and (d) 4.2×10^6 . See online supplemental material⁶² for the movie of this simulation (file movie2.avi).

of the GB perturbation is smaller. So, one can expect the influence of the instability on the GB to be less important. Fig. 6 shows the late stages of the development of the instability for a misorientation angle $\theta = 30.4^\circ$ GB, everything else being identical to the simulation presented in Fig. 1. As expected, the GB is less affected by the instability: its position is only slightly modified and the precipitate shape evolves until the DBs wet the GB. Fig. 6.b represents the equilibrium state of the system where the GB remains continuous and the precipitate forms lobes on both sides of the GB. We note here that this destabilization can represent the first stage of development of the Widmanstätten structure found in steel and Ti-based alloys^{16,17}. It has been shown experimentally that Widmanstätten structures develop in two steps: first, a thin elongated precipitate nucleates on the GB and grow laterally; then, the precipitate develops acicular arms growing perpendicularly to the GB, towards the center of the grain. The instability presented in this paper and

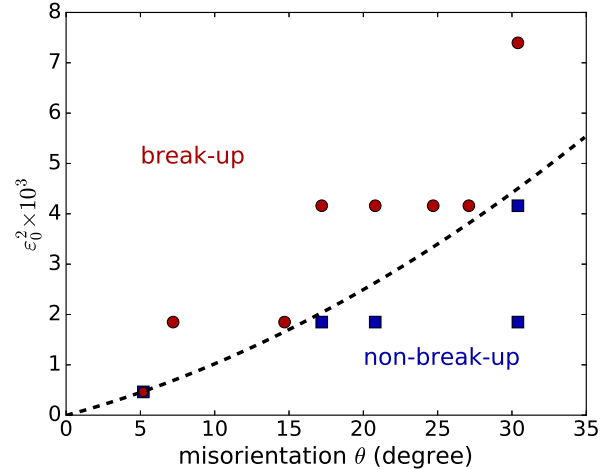


FIG. 8. GB behavior as a function of misorientation angle θ and misfit strain ε_0 . Simulations where the GB breaks up are represented with red dots while the ones where the GB is morphologically unstable but remains continuous are shown with blue squares. The dashed line is a second order polynomial fit of the boundary between break-up and non-break-up regions of the $(\theta, \varepsilon_0^2)$ plane.

more precisely the morphology shown in Fig. 6.b could trigger the growth of elongated precipitates perpendicular to the GB.

However, increasing the misfit strain can destabilize a high angle GB as well: Fig. 7 shows a simulation performed with $\theta = 30.4^\circ$ and a misfit eigenstrain of $\varepsilon_0 = 0.086$. During the development of the instability, we notice the nucleation of low composition domains close to the GB (blue droplets in Fig. 7.b) promoted by the high compressive stress appearing in the vicinity of the deformed GB. Later in the simulation, the high angle GB breaks up (Fig. 7.c) and the system relaxes into a configuration presenting two lower angle GBs (Fig. 7.d). The equilibrium configuration also shows that dislocations decorate the precipitate surface, relaxing the high misfit stresses.

The appearance of GB break-up then depends on a balance between the misfit stresses and the GB misorientation. This is summarized in Fig. 8 where the results of several simulations for various values of the misorientation angle θ and eigenstrain ε_0 are presented: the GB break-up occurs for low angle GBs and high values of ε_0^2 . The dashed line separating both regions serves as a guide to the eye and is linear for small values of the misorientation angle. It also shows that for a large enough misfit, the

instability break up all GBs.

V. INTERACTION BETWEEN CIRCULAR PRECIPITATES AND GRAIN BOUNDARIES

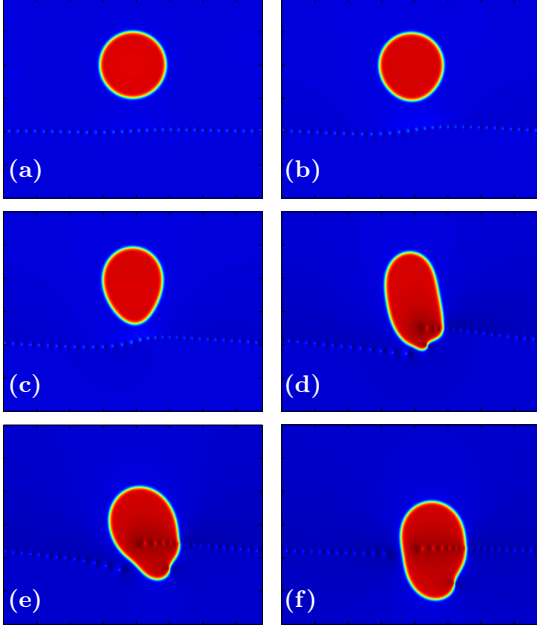


FIG. 9. Snapshots of the concentration field during a simulation performed with a GB misorientation $\theta = 7.2^\circ$ and a circular precipitate with a misfit eigenstrain $\varepsilon_0 = 0.043$. The system size is $7.8R \times 11.3R$ where R is the radius of the circular precipitate. The snapshots correspond to dimensionless times (a) 1×10^4 , (b) 1.6×10^5 , (c) 5.1×10^5 , (d) 1.01×10^6 , (e) 2.72×10^6 and (f) 6.18×10^6 . See online supplemental material⁶² for the movie of this simulation (file movie3.avi).

In the previous sections, we considered configurations consisting of a lamellar precipitate centered on a GB. Even though this geometry is relevant for heterogeneous nucleation of precipitates on GBs, circular precipitates also commonly appear in the vicinity of a GB as exemplified by Ni-Al superalloys^{14,15,64}. The γ' precipitates in these alloys are known to influence the GB morphology by causing their serration and this mechanism has been shown to improve the creep properties of the alloy by preventing GB sliding¹⁴. The GB serration has been proposed to be due to a balance between the elastic energy released by the coherency loss of the precipitate interface in contact with the GB and the GB surface tension^{14,64},

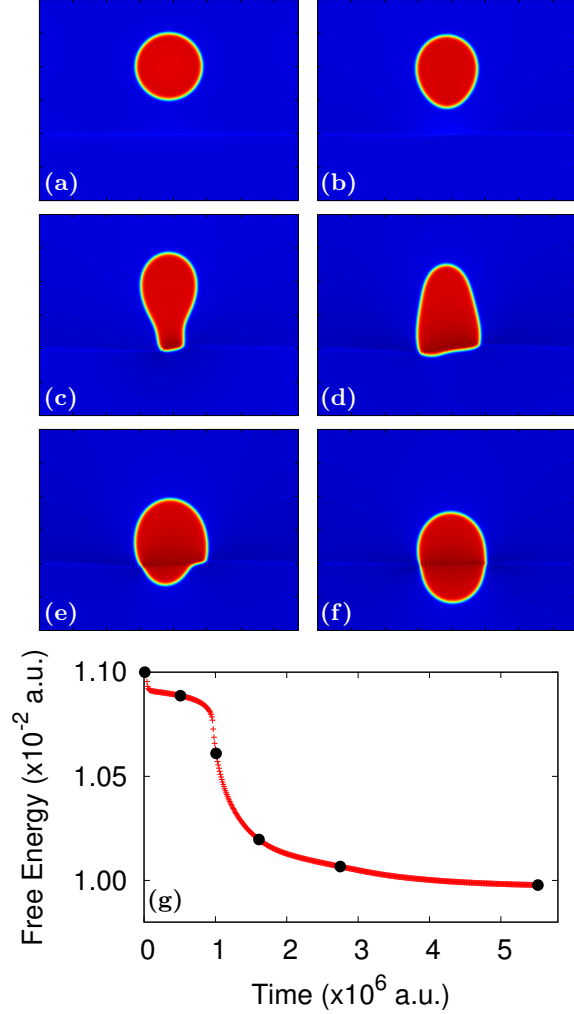


FIG. 10. Snapshots of the concentration field during a simulation performed with a GB misorientation $\theta = 20.8^\circ$ and a circular precipitate with a misfit eigenstrain $\varepsilon_0 = 0.043$. The system size is $7.7R \times 10.8R$ where R is the radius of the circular precipitate. The snapshots correspond to dimensionless times (a) 1×10^4 , (b) 5.1×10^5 , (c) 1.01×10^6 , (d) 1.61×10^6 , (e) 2.75×10^6 and (f) 5.52×10^6 . The evolution of the total free energy is represented in panel (g) in which black dots locate the snapshots in (a-f). See online supplemental material⁶² for the movie of this simulation (file movie4.avi).

two ingredients that are naturally taken into account in the AE model.

We consider a configuration consisting of a circular precipitate of radius $R = 32a$ and of misfit $\varepsilon_0 = 0.043$ located at a distance $2R$ of a symmetrical GB. Snapshots of simulations performed with

two different misorientation angles $\theta = 7.2^\circ$ and $\theta = 20.8^\circ$ are presented in Figs. 9 and 10, respectively. Even though the circular and lamellar precipitate geometries differ significantly, the simulations reveal that the mechanism of the GB instability is similar in both cases. The GB deforms slightly by shear-coupled motion to relax shear stresses produced by the misfitting particle. In turn, the deformed GB produces an heterogeneous stress field, inducing the migration of the precipitate towards the GB (see Fig. 9.a-c and Fig. 10.a-b). This migration is mediated by the elongation of the precipitate. The additional surface energy caused by this elongation is compensated by the relaxation of shear stresses by the GB coupling mechanism. Therefore, the interplay between the elastic energy and the surface energy lead to the destabilization of the configuration through a mechanism similar to the morphological instability of lamellar precipitates described previously. Eventually, the misfitting particle enters in contact with the GB. For the low angle GB, the misfit stress is large enough to break-up the GB (see Fig. 9.d), allowing the GB dislocations to relax part of the misfit stress. For the higher angle GB, the precipitate interface wets the GB without leading to its break-up (see Fig. 10.d). In both cases, the equilibrium configuration show a slightly elliptic precipitate centered on the somewhat perturbed GB. This configuration relaxes the total energy as shown in Fig. 10.g.

Despite a large value of the misfit compared to γ' precipitates in Ni-Al superalloys, these simulations show that elastic interactions between the misfitting particle and the GB induce a driving force for the migration of the particle, thereby providing a mechanism for how γ' precipitates nucleated in the GB vicinity migrate towards the GB. **In the case of an isolated misfitting particle, the shear stress induced along the GB by the particle decays as $(R/\ell)^d$ where R and ℓ are the particle radius and its initial perpendicular distance to the GB, respectively, and d is the dimension of space. Hence, in both two and three dimensions, the GB will be deformed on a scale comparable to ℓ . This deformation will in turn perturb the stress field on a scale ℓ , thereby causing the precipitate to migrate at a rate that becomes vanishingly small in the limit $\ell \gg R$. In the case where several particles are present, the interaction between particles and GB is more complex. However, significant migration is generally expected to only occur when a particle is located at a distance from the GB comparable to its size.**

VI. CONCLUSIONS AND OUTLOOK

In summary, we used the AE framework to investigate computationally the interaction between GBs and second phase precipitates in two-phase coherent solids in the presence of misfit strain. We focused on two generic geometries where a GB is centered inside a lamellar precipitate formed by heterogeneous nucleation on the GB, and where the GB is adjacent to a circular precipitate that nucleates inside a grain. We find that, in both geometries, the GB becomes deformed away from its initial planar configuration by a coordinated motion of the GB and the adjacent compositional DB(s) that relaxes the elastic strain energy created by the misfit precipitate. The motion of the GB is driven by shear stresses along the GB (shear-coupled motion) while the motion of the DBs is driven by concentration gradients and controlled by atomic diffusion.

For the lamellar precipitate geometry, the coordinated motion of the GB and DBs is manifested as a pattern-forming instability with a fastest growing wavelength. This instability bears similarities with the Asaro-Tiller-Grinfeld (ATG) instability^{65,66} where destabilization is mediated by the relaxation of the normal stresses at a free surface or a solid-liquid interface. However, the present instability is more complex in that it involves the interaction of two fundamentally different types of interfaces (GB and DBs). Furthermore, it is mediated by the relaxation of a shear stress at the GB. We have characterized analytically this instability by extending our previous linear stability analysis for isotropic elasticity¹⁹ to the more complex case of anisotropic elasticity. The analysis predicts that, if the lamellar precipitate is oriented along an elastically soft direction, elastic anisotropy hinders the instability by reducing the growth rate of the instability and the range of unstable wavelengths. However, anisotropy does not suppress the instability even though the lamellar precipitate would be stable in this configuration in the absence of misfit. Analytical predictions for the growth rate of perturbations and the range of unstable wavelengths are in good overall quantitative agreement with the results of AE simulations for three-dimensional BCC crystal structures.

For a circular precipitate adjacent to a planar GB, the coordinated motion of the GB and DB is manifested by an elongation of the precipitate shape and concomitant migration of the precipitate towards the deformed GB. The increase of interfacial energy associated with this elongation is compensated by

the relaxation of shear stresses by the GB coupling mechanism. Hence, the interplay between elastic and interfacial energy leads to the destabilization of the initial GB-precipitate configuration by a physical mechanism similar to the morphological instability of the GB inside a lamellar precipitate.

Simulations also reveal that, in the lamellar geometry, instability can lead to the break-up of low-angle GBs when the misfit strain exceeds a threshold that depends on the grain boundary misorientation. Stationary equilibrium configurations after break-up can be quite complex and consist of dislocations that reside inside or outside the precipitate and decorate its surface to relax the misfit stress. For the circular precipitate, GB break-up also occurs for low angle GBs even though the final equilibrium configuration is typically an oval shape precipitate centered on an approximately flat GB, at least for the few cases investigated here. For both the lamellar and circular precipitates, dislocation climb is seen to provide an important mechanism to relax the total free-energy in addition to glide.

The present findings should be relevant for interpreting a host of experiments where GBs interact

strongly with precipitates, including the aforementioned examples of Ni-Al superalloys where γ' precipitates lead to GB serration^{14,15} and Widmanstätten precipitates in steel and Ti-based alloys, which are observed to grow out in a direction normal to the GB plane^{16,17}. In the more general setting of spinodal decomposition occurring in a polycrystalline material, our results suggest that a large difference of lattice spacing between compositional domains could influence significantly the grain structure by the break-up of GBs or the nucleation of new grains (e.g. Fig. 7), thereby affecting the resulting properties of the bulk material. *In situ* experimental observations that characterize the interactions between GBs and precipitates in both controlled bicrystal geometries and complex networks of GBs remain needed to validate more directly the instability mechanisms highlighted in the present study.

ACKNOWLEDGMENTS

This research was supported by Grant No. DE-FG02-07ER46400 from the U.S. Department of Energy, Office of Basic Energy Sciences.

Appendix A: Amplitude equations for BCC

For BCC ordering, the evolution equation for concentration is the same as Eq. (12) but with six amplitude variables $A_1, A_2, A_3, A_4, A_5, A_6$. We just list here the six amplitude equations.

For A_1 :

$$c_w^{-2} \frac{\partial^2 A_1}{\partial t^2} + \beta_w \frac{\partial A_1}{\partial t} = \alpha_d^2 [\square_1^2 A_1 + 2i\varepsilon_0 c \square_1 A_1 + i\varepsilon_0 A_1 \square_1 c + \varepsilon_0 \nabla A_1 \cdot \nabla c - \varepsilon_0^2 c^2 A_1] \quad (\text{A1})$$

$$- \frac{1}{12} A_1 - \frac{1}{45} A_1 \sum_{j=1}^6 A_j A_j^* + \frac{1}{90} A_1 |A_1|^2 - \frac{1}{45} (A_4^* A_2 A_5 + A_4^* A_3 A_6) + \frac{1}{8} (A_3 A_5 + A_6 A_2)$$

For A_2 :

$$c_w^{-2} \frac{\partial^2 A_2}{\partial t^2} + \beta_w \frac{\partial A_2}{\partial t} = \alpha_d^2 [\square_2^2 A_2 + 2i\varepsilon_0 c \square_2 A_2 + i\varepsilon_0 A_2 \square_2 c + \varepsilon_0 \nabla A_2 \cdot \nabla c - \varepsilon_0^2 c^2 A_2] \quad (\text{A2})$$

$$- \frac{1}{12} A_2 - \frac{1}{45} A_2 \sum_{j=1}^6 A_j A_j^* + \frac{1}{90} A_2 |A_2|^2 - \frac{1}{45} (A_1 A_4 A_5^* + A_6^* A_5 A_3) + \frac{1}{8} (A_3 A_4 + A_6^* A_1)$$

For A_3 :

$$c_w^{-2} \frac{\partial^2 A_3}{\partial t^2} + \beta_w \frac{\partial A_3}{\partial t} = \alpha_d^2 [\square_3^2 A_3 + 2i\varepsilon_0 c \square_3 A_3 + i\varepsilon_0 A_3 \square_3 c + \varepsilon_0 \nabla A_3 \cdot \nabla c - \varepsilon_0^2 c^2 A_3] \quad (\text{A3})$$

$$- \frac{1}{12} A_3 - \frac{1}{45} A_3 \sum_{j=1}^6 A_j A_j^* + \frac{1}{90} A_3 |A_3|^2 - \frac{1}{45} (A_4^* A_6^* A_1 + A_6 A_5^* A_2) + \frac{1}{8} (A_2 A_4^* + A_5^* A_1)$$

For A_4 :

$$c_w^{-2} \frac{\partial^2 A_4}{\partial t^2} + \beta_w \frac{\partial A_4}{\partial t} = \alpha_d^2 [\square_4^2 A_4 + 2i\varepsilon_0 c \square_4 A_4 + i\varepsilon_0 A_4 \square_4 c + \varepsilon_0 \nabla A_4 \cdot \nabla c - \varepsilon_0^2 c^2 A_4] \quad (\text{A4})$$

$$- \frac{1}{12} A_4 - \frac{1}{45} A_4 \sum_{j=1}^6 A_j A_j^* + \frac{1}{90} A_4 |A_4|^2 - \frac{1}{45} (A_1^* A_2 A_5 + A_3^* A_6^* A_1) + \frac{1}{8} (A_3^* A_2 + A_6^* A_5)$$

For A_5 :

$$c_w^{-2} \frac{\partial^2 A_5}{\partial t^2} + \beta_w \frac{\partial A_5}{\partial t} = \alpha_d^2 [\square_5^2 A_5 + 2i\varepsilon_0 c \square_5 A_5 + i\varepsilon_0 A_5 \square_5 c + \varepsilon_0 \nabla A_5 \cdot \nabla c - \varepsilon_0^2 c^2 A_5] \quad (\text{A5})$$

$$- \frac{1}{12} A_5 - \frac{1}{45} A_5 \sum_{j=1}^6 A_j A_j^* + \frac{1}{90} A_5 |A_5|^2 - \frac{1}{45} (A_1 A_4 A_2^* + A_6 A_5 A_3^*) + \frac{1}{8} (A_3^* A_1 + A_6 A_4)$$

For A_6 :

$$c_w^{-2} \frac{\partial^2 A_6}{\partial t^2} + \beta_w \frac{\partial A_6}{\partial t} = \alpha_d^2 [\square_6^2 A_6 + 2i\varepsilon_0 c \square_6 A_6 + i\varepsilon_0 A_6 \square_6 c + \varepsilon_0 \nabla A_6 \cdot \nabla c - \varepsilon_0^2 c^2 A_6] \quad (\text{A6})$$

$$- \frac{1}{12} A_6 - \frac{1}{45} A_6 \sum_{j=1}^6 A_j A_j^* + \frac{1}{90} A_6 |A_6|^2 - \frac{1}{45} (A_4^* A_3^* A_1 + A_5 A_2^* A_3) + \frac{1}{8} (A_1 A_2^* + A_5^* A_4^*)$$

Appendix B: Solution of the linear system of equations

We list below the solution of the linear system of 13 equations that determines the coefficients A_i , B_i , C_i , D_i and H_0 .

$$A_1 = - \{ ih_0 \alpha (1 + \zeta) [(-1 + \zeta) (-M_2 (-1 + e^{2ikp_1 w}) p_1 + p_2 - e^{2ikp_1 w} p_2 + M_2 (-1 + p_1 p_2 \zeta + e^{2ikp_1 w} (1 + p_1 p_2 \zeta))) + M_1 (-2e^{ik(p_1+p_2)w} p_2 + M_2^2 ((-1 + e^{2ikp_1 w}) p_1 + (1 + e^{2ikp_1 w} - 2e^{ik(p_1+p_2)w}) p_2) \zeta - M_2 (1 - p_1 p_2 \zeta + e^{2ikp_1 w} (1 + p_1 p_2 \zeta) - 2e^{ik(p_1+p_2)w} (1 + p_2^2 \zeta)))) C_{12} - (M_2 - p_2) (p_1 + e^{2ikp_1 w} p_1 - 2e^{ik(p_1+p_2)w} p_1 - p_2 + e^{2ikp_1 w} p_2 - M_2 (-1 + p_1 p_2 \zeta - 2e^{ik(p_1+p_2)w} p_1 p_2 \zeta + e^{2ikp_1 w} (1 + p_1 p_2 \zeta)) + M_1 (-1 + p_1 p_2 \zeta + M_2 (-p_1 + p_2) \zeta + e^{ik(p_1+p_2)w} (2 - 2M_2 p_2 \zeta) + e^{2ikp_1 w} (-1 - p_1 p_2 \zeta + M_2 (p_1 + p_2) \zeta))) C_{44}] \} / \{ 2(M_2 p_1 - M_1 p_2) \zeta (-p_1 + p_2 + M_1 (1 + M_2 (p_1 - p_2) \zeta - p_1 p_2 \zeta) + M_2 (-1 + p_1 p_2 \zeta)) C_{44} \} \quad (\text{B1})$$

$$B_1 = - \{ ih_0 \alpha (1 + \zeta) [(-1 + \zeta) (-2e^{ik(p_1+p_2)w} M_2 p_1 + M_1^2 (1 - 2e^{ik(p_1+p_2)w} M_2 p_1 \zeta + M_2 (p_1 - p_2) \zeta - p_1 p_2 \zeta + e^{2ikp_2 w} (-1 - p_1 p_2 \zeta + M_2 (p_1 + p_2) \zeta)) + M_1 ((-1 + e^{2ikp_2 w}) p_1 + (1 + e^{2ikp_2 w}) p_2 - M_2 (1 - p_1 p_2 \zeta - 2e^{ik(p_1+p_2)w} (1 + p_1^2 \zeta) + e^{2ikp_2 w} (1 + p_1 p_2 \zeta)))) C_{12} - (M_1 - p_1) (-p_1 + e^{2ikp_2 w} p_1 + p_2 + e^{2ikp_2 w} p_2 - 2e^{ik(p_1+p_2)w} p_2 - M_2 (1 - 2e^{ik(p_1+p_2)w} - p_1 p_2 \zeta + e^{2ikp_2 w} (1 + p_1 p_2 \zeta)) + M_1 (1 + M_2 (p_1 - p_2) \zeta - p_1 p_2 \zeta + 2e^{ik(p_1+p_2)w} p_1 (-M_2 + p_2) \zeta + e^{2ikp_2 w} (-1 - p_1 p_2 \zeta + M_2 (p_1 + p_2) \zeta))) C_{44}] \} / \{ 2(M_2 p_1 - M_1 p_2) \zeta (-p_1 + p_2 + M_1 (1 + M_2 (p_1 - p_2) \zeta - p_1 p_2 \zeta) + M_2 (-1 + p_1 p_2 \zeta)) C_{44} \} \quad (\text{B2})$$

$$A_2 = \{ ih_0 \alpha (1 + \zeta) (M_2 (-1 + \zeta) C_{12} + (-M_2 + p_2) C_{44}) \} / \{ 2(M_2 p_1 - M_1 p_2) \zeta C_{44} \} \quad (\text{B3})$$

$$B_2 = - \{ ih_0 \alpha (1 + \zeta) (M_1 (-1 + \zeta) C_{12} + (-M_1 + p_1) C_{44}) \} / \{ 2(M_2 p_1 - M_1 p_2) \zeta C_{44} \} \quad (\text{B4})$$

$$\begin{aligned}
C_2 = & - \{ih_0\alpha(1+\zeta)[(-1+\zeta)(2e^{ikp_2w}M_1(-M_2+p_2)(-1+M_2p_2\zeta) + e^{ikp_1w}M_2(p_1+p_2-M_2(1+p_1p_2\zeta)) \\
& + M_1(-1-p_1p_2\zeta + M_2(p_1+p_2)\zeta))]C_{12} + (M_2-p_2)(2e^{ikp_2w}(M_1-p_1)(-1+M_2p_2\zeta) \\
& + e^{ikp_1w}(M_2-p_1-p_2+M_2p_1p_2\zeta + M_1(1+p_1p_2\zeta - M_2(p_1+p_2)\zeta))]C_{44}\} \\
& / \{2(M_2p_1 - M_1p_2)\zeta(-p_1+p_2+M_1(1+M_2(p_1-p_2)\zeta - p_1p_2\zeta) + M_2(-1+p_1p_2\zeta))C_{44}\} \quad (B5)
\end{aligned}$$

$$\begin{aligned}
D_2 = & \{ih_0\alpha(1+\zeta)[(-1+\zeta)(-2e^{ikp_1w}M_2(-M_1+p_1)(-1+M_1p_1\zeta) - e^{ikp_2w}M_1(p_1+p_2-M_2(1+p_1p_2\zeta)) \\
& + M_1(-1-p_1p_2\zeta + M_2(p_1+p_2)\zeta))]C_{12} - (M_1-p_1)(2e^{ikp_1w}(M_2-p_2)(-1+M_1p_1\zeta) \\
& + e^{ikp_2w}(M_2-p_1-p_2+M_2p_1p_2\zeta + M_1(1+p_1p_2\zeta - M_2(p_1+p_2)\zeta))]C_{44}\} \\
& / \{2(M_2p_1 - M_1p_2)\zeta(-p_1+p_2+M_1(1+M_2(p_1-p_2)\zeta - p_1p_2\zeta) + M_2(-1+p_1p_2\zeta))C_{44}\} \quad (B6)
\end{aligned}$$

$$A_3 = - \{ih_0\alpha(1+\zeta)(M_2(-1+\zeta)C_{12} + (-M_2+p_2)C_{44})\} / \{2(M_2p_1 - M_1p_2)\zeta C_{44}\} \quad (B7)$$

$$B_3 = \{ih_0\alpha(1+\zeta)(M_1(-1+\zeta)C_{12} + (-M_1+p_1)C_{44})\} / \{2(M_2p_1 - M_1p_2)\zeta C_{44}\} \quad (B8)$$

$$\begin{aligned}
C_3 = & \{ih_0\alpha(1+\zeta)[(-1+\zeta)(2e^{ikp_2w}M_1(-M_2+p_2)(-1+M_2p_2\zeta) + e^{ikp_1w}M_2(p_1+p_2-M_2(1+p_1p_2\zeta)) \\
& + M_1(-1-p_1p_2\zeta + M_2(p_1+p_2)\zeta))]C_{12} + (M_2-p_2)(2e^{ikp_2w}(M_1-p_1)(-1+M_2p_2\zeta) \\
& + e^{ikp_1w}(M_2-p_1-p_2+M_2p_1p_2\zeta + M_1(1+p_1p_2\zeta - M_2(p_1+p_2)\zeta))]C_{44}\} \\
& / \{2(M_2p_1 - M_1p_2)\zeta(-p_1+p_2+M_1(1+M_2(p_1-p_2)\zeta - p_1p_2\zeta) + M_2(-1+p_1p_2\zeta))C_{44}\} \quad (B9)
\end{aligned}$$

$$\begin{aligned}
D_3 = & \{ih_0\alpha(1+\zeta)[(-1+\zeta)(-2e^{ikp_1w}M_2(-M_1+p_1)(-1+M_1p_1\zeta) - e^{ikp_2w}M_1(p_1+p_2-M_2(1+p_1p_2\zeta)) \\
& + M_1(-1-p_1p_2\zeta + M_2(p_1+p_2)\zeta))]C_{12} + (M_1-p_1)(2e^{ikp_1w}(M_2-p_2)(-1+M_1p_1\zeta) \\
& + e^{ikp_2w}(M_2-p_1-p_2+M_2p_1p_2\zeta + M_1(1+p_1p_2\zeta - M_2(p_1+p_2)\zeta))]C_{44}\} \\
& / \{2(M_2p_1 - M_1p_2)\zeta(-p_1+p_2+M_1(1+M_2(p_1-p_2)\zeta - p_1p_2\zeta) + M_2(-1+p_1p_2\zeta))C_{44}\} \quad (B10)
\end{aligned}$$

$$\begin{aligned}
A_4 = & \{ih_0\alpha(1+\zeta)[(-1+\zeta)(-M_2(-(1+e^{2ikp_1w})p_1+p_2 - e^{2ikp_1w}p_2 + M_2(-1+p_1p_2\zeta + e^{2ikp_1w}(1+p_1p_2\zeta))) \\
& + M_1(-2e^{ik(p_1+p_2)w}p_2 + M_2^2((-1+e^{2ikp_1w})p_1 + (1+e^{2ikp_1w} - 2e^{ik(p_1+p_2)w})p_2)\zeta \\
& - M_2(1-p_1p_2\zeta + e^{2ikp_1w}(1+p_1p_2\zeta) - 2e^{ik(p_1+p_2)w}(1+p_2^2\zeta))]C_{12} - (M_2-p_2)(p_1+e^{2ikp_1w}p_1 \\
& - 2e^{ik(p_1+p_2)w}p_1 - p_2 + e^{2ikp_1w}p_2 - M_2(-1+p_1p_2\zeta - 2e^{ik(p_1+p_2)w}p_1p_2\zeta + e^{2ikp_1w}(1+p_1p_2\zeta)) \\
& + M_1(-1+p_1p_2\zeta + M_2(-p_1+p_2)\zeta + e^{ik(p_1+p_2)w}(2-2M_2p_2\zeta) + e^{2ikp_1w}(-1-p_1p_2\zeta + M_2(p_1+p_2)\zeta))]C_{44}\} \\
& / \{2(M_2p_1 - M_1p_2)\zeta(-p_1+p_2+M_1(1+M_2(p_1-p_2)\zeta - p_1p_2\zeta) + M_2(-1+p_1p_2\zeta))C_{44}\} \quad (B11)
\end{aligned}$$

$$\begin{aligned}
B_4 = & \{ih_0\alpha(1+\zeta)[(-1+\zeta)(-2e^{ik(p_1+p_2)w}M_2p_1 + M_1^2(1-2e^{ik(p_1+p_2)w}M_2p_1\zeta + M_2(p_1-p_2)\zeta - p_1p_2\zeta \\
& + e^{2ikp_2w}(-1-p_1p_2\zeta + M_2(p_1+p_2)\zeta)) + M_1((-1+e^{2ikp_2w})p_1 + (1+e^{2ikp_2w})p_2 \\
& - M_2(1-p_1p_2\zeta - 2e^{ik(p_1+p_2)w}(1+p_1^2\zeta) + e^{2ikp_2w}(1+p_1p_2\zeta))]C_{12} - (M_1-p_1)(-p_1+e^{2ikp_2w}p_1+p_2 \\
& + e^{2ikp_2w}p_2 - 2e^{ik(p_1+p_2)w}p_2 - M_2(1-2e^{ik(p_1+p_2)w} - p_1p_2\zeta + e^{2ikp_2w}(1+p_1p_2\zeta)) \\
& + M_1(1+M_2(p_1-p_2)\zeta - p_1p_2\zeta + 2e^{ik(p_1+p_2)w}p_1(-M_2+p_2)\zeta + e^{2ikp_2w}(-1-p_1p_2\zeta + M_2(p_1+p_2)\zeta))]C_{44}\} \\
& / \{2(M_2p_1 - M_1p_2)\zeta(-p_1+p_2+M_1(1+M_2(p_1-p_2)\zeta - p_1p_2\zeta) + M_2(-1+p_1p_2\zeta))C_{44}\} \quad (B12)
\end{aligned}$$

$$\begin{aligned}
H_0 = & \{2ih_0(M_1p_1 - M_2p_2)\alpha(1+\zeta)[(e^{ikp_1w}M_2(-M_1+p_1) - e^{ikp_2w}M_1(-M_2+p_2))(-1+\zeta)C_{12} \\
& + (e^{ikp_1w} - e^{ikp_2w})(-M_1+p_1)(-M_2+p_2)C_{44}]\} \\
& / \{(M_2p_1 - M_1p_2)\beta(-p_1+p_2+M_1(1+M_2(p_1-p_2)\zeta - p_1p_2\zeta) + M_2(-1+p_1p_2\zeta))C_{44}\} \quad (B13)
\end{aligned}$$

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