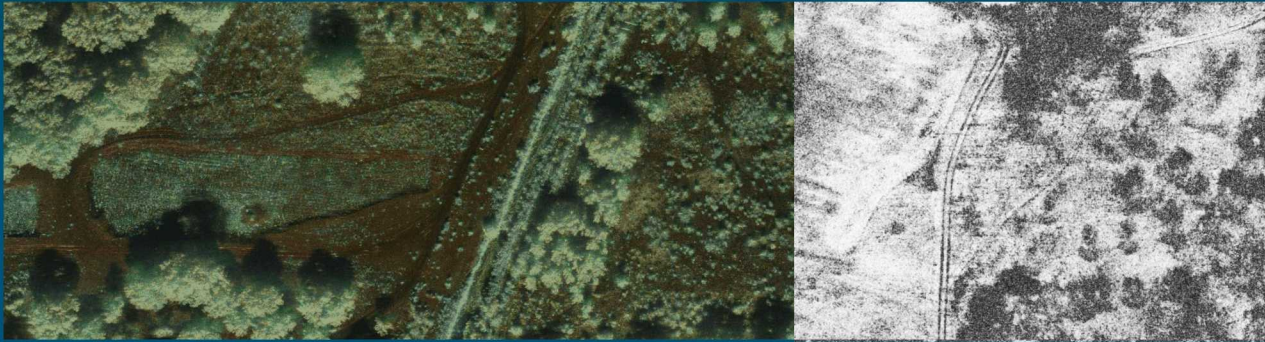
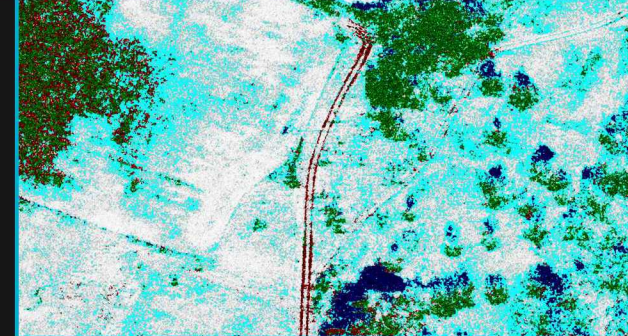


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SAND2018-6379C

# Polarimetric Synthetic-Aperture-Radar Change-Type Classification with a Hyperparameter-Free Open-Set Classifier



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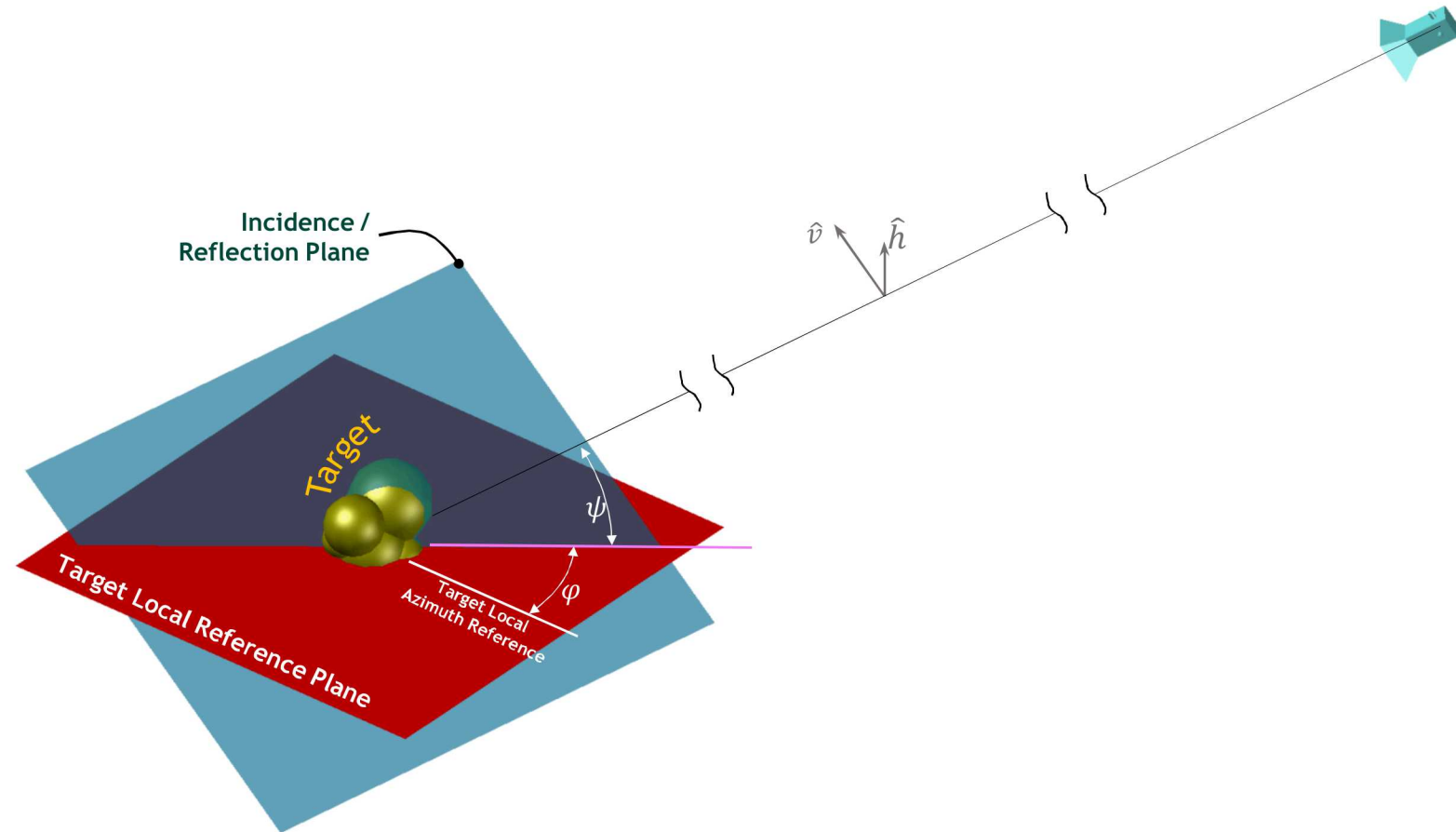
Mark W. Koch, R. Derek West, Robert Riley, Tu-Thach Quach

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## 2 Single polarization synthetic aperture radar (SAR)

Radar can coherently transmit and receive two orthogonal E-Field polarization states (H or V), orthogonal to direction of propagation

Single polarization  $\Rightarrow$  transmit and receive only one polarization



### 3 Synthetic aperture radar (SAR) coherent change detection (CCD)



Low coherence corresponds

- Ground change
- Low return (shadows)
- Trees

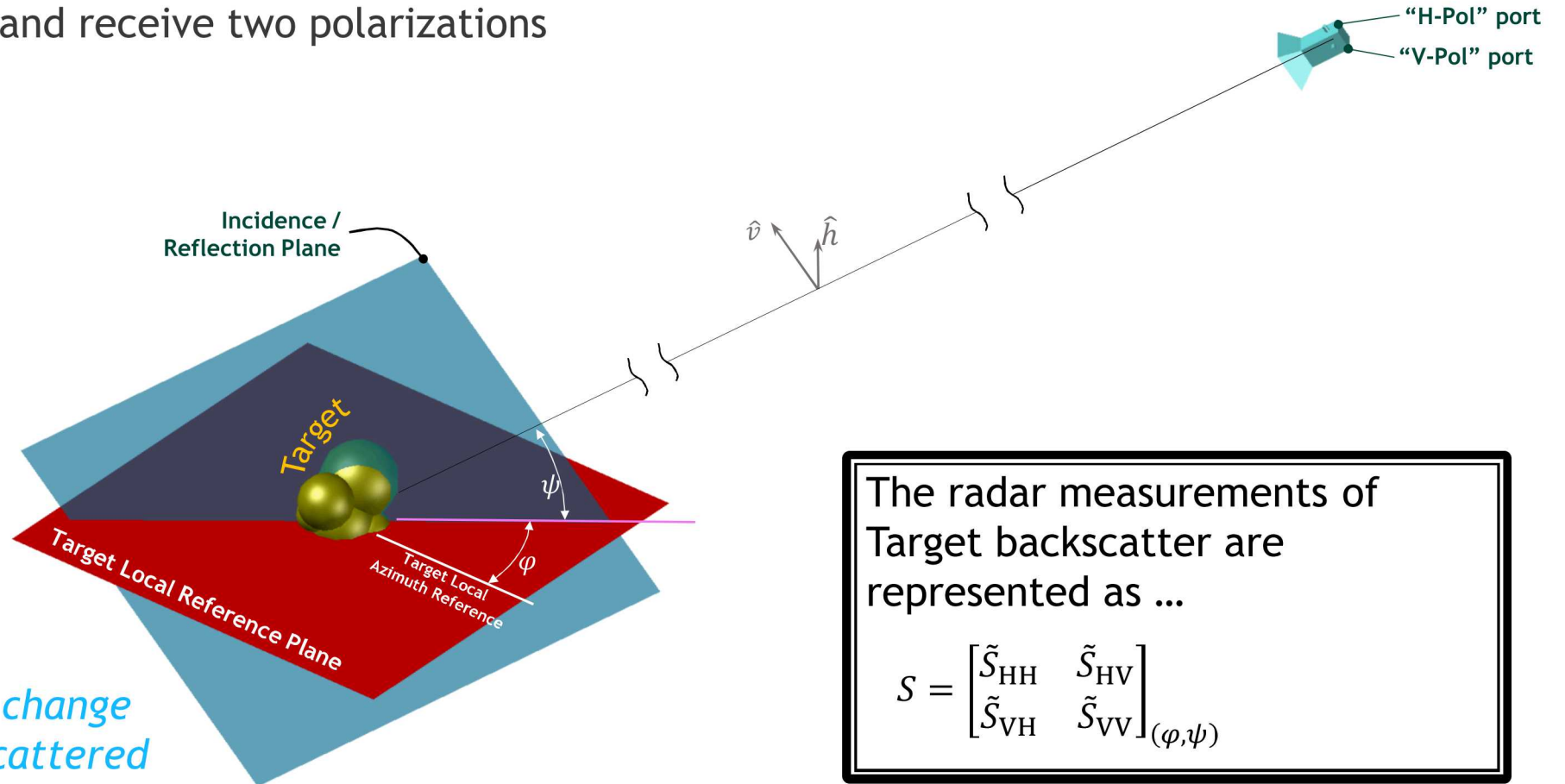
How can we determine the type of change??

$$\left| \frac{\sum_{k=1}^N f_k^* g_k}{\sqrt{\sum_{k=1}^N |f_k| \sum_{k=1}^N |g_k|}} \right|$$

## 4 Polarimetric synthetic aperture radar (SAR)

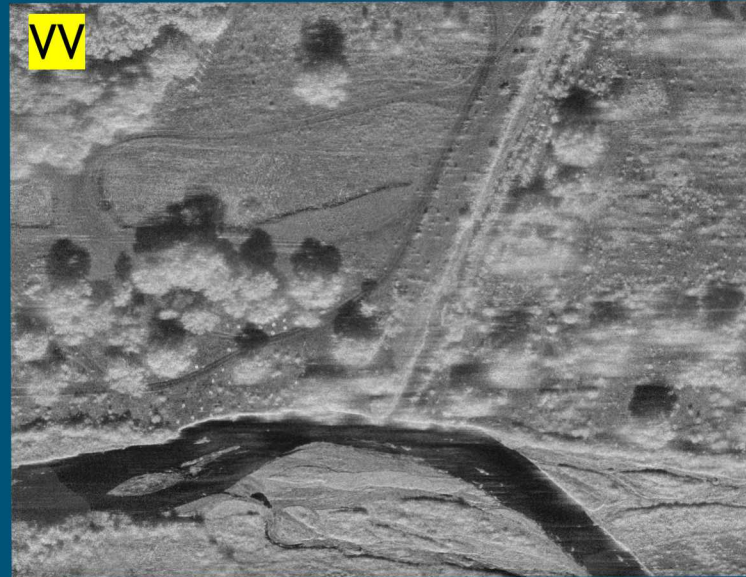
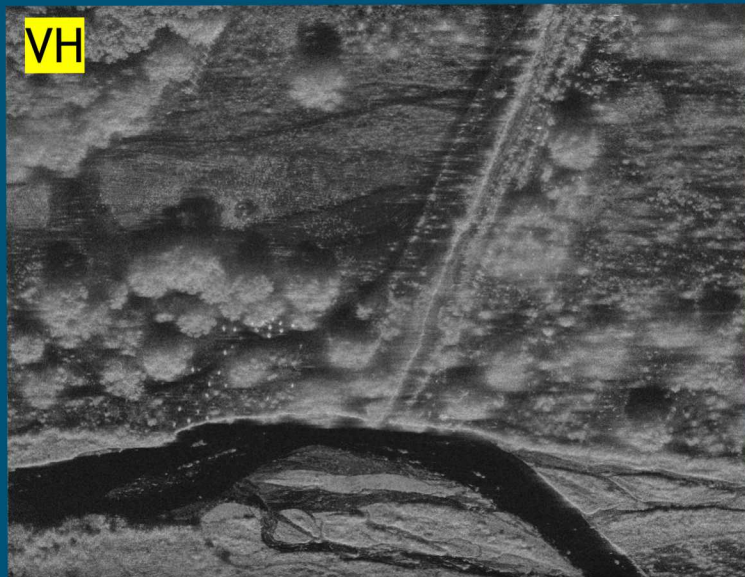
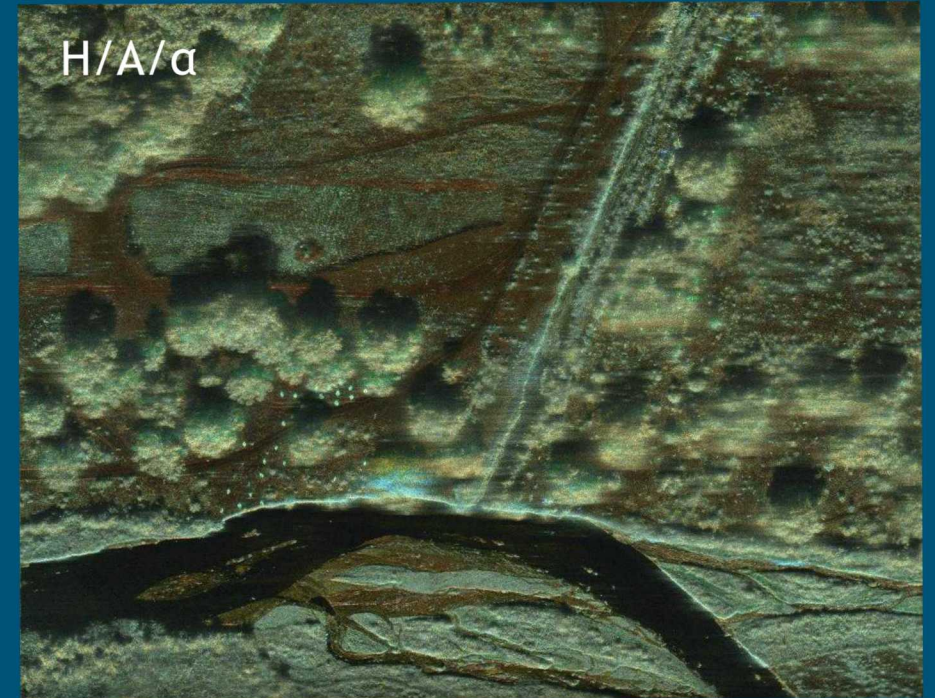
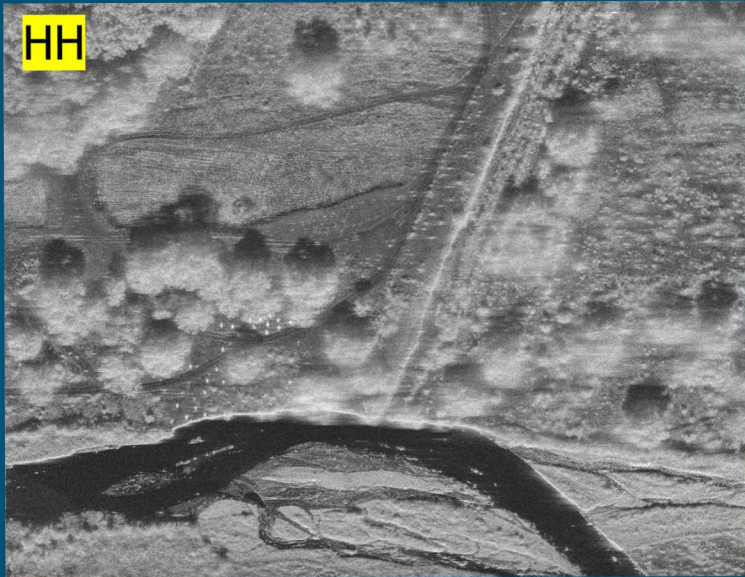
Radar coherently can transmit and receive two orthogonal E-Field polarization states (H or V), orthogonal to direction of propagation

Polarimetric  $\Rightarrow$  transmit and receive two polarizations



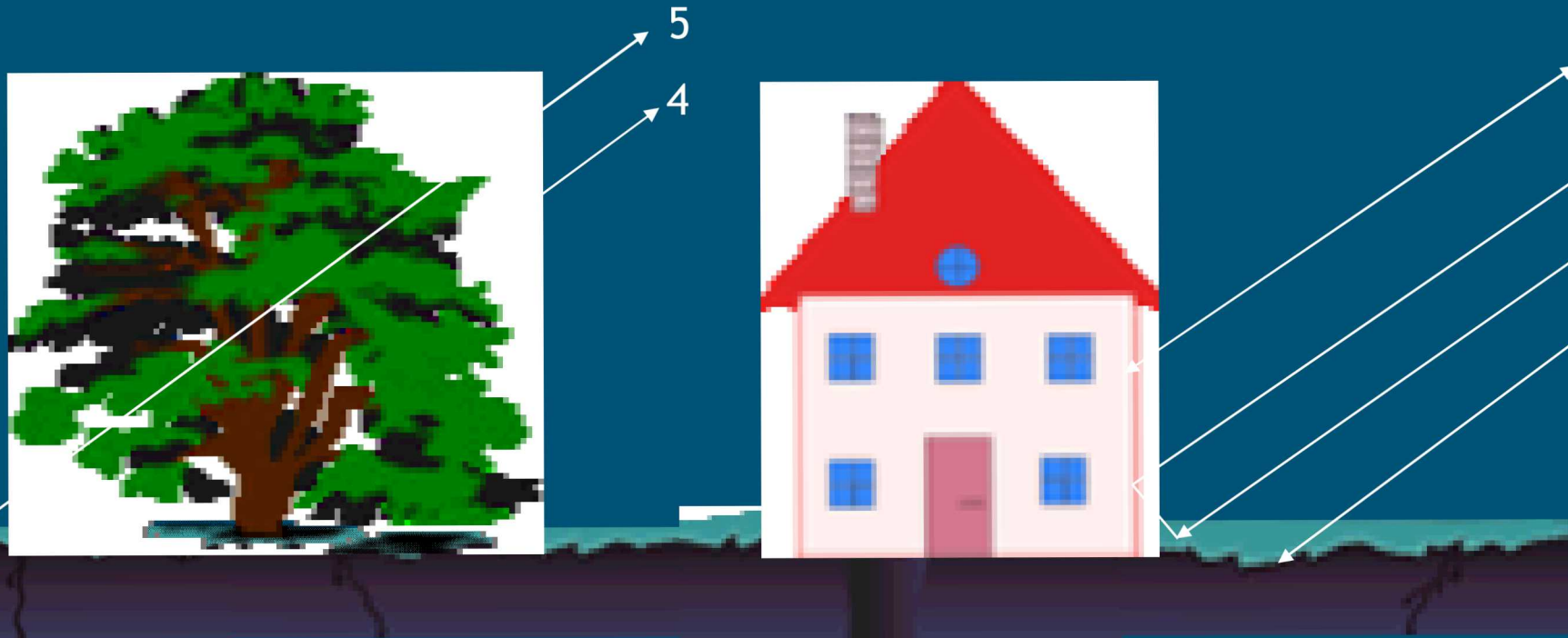
*The target scatterer can change the polarization of the scattered wave to be different from the polarization of the incident wave*

*Order of polarization follows **scattered** / **incident** order*



### H/A/ $\alpha$ decomposition

- Using scattering matrix determine the type of surface that created the radar return



1. Rough surface
2. Dihedral
3. Single
4. Surface
5. Random volume

# 7 H/A/ $\alpha$ decomposition

H

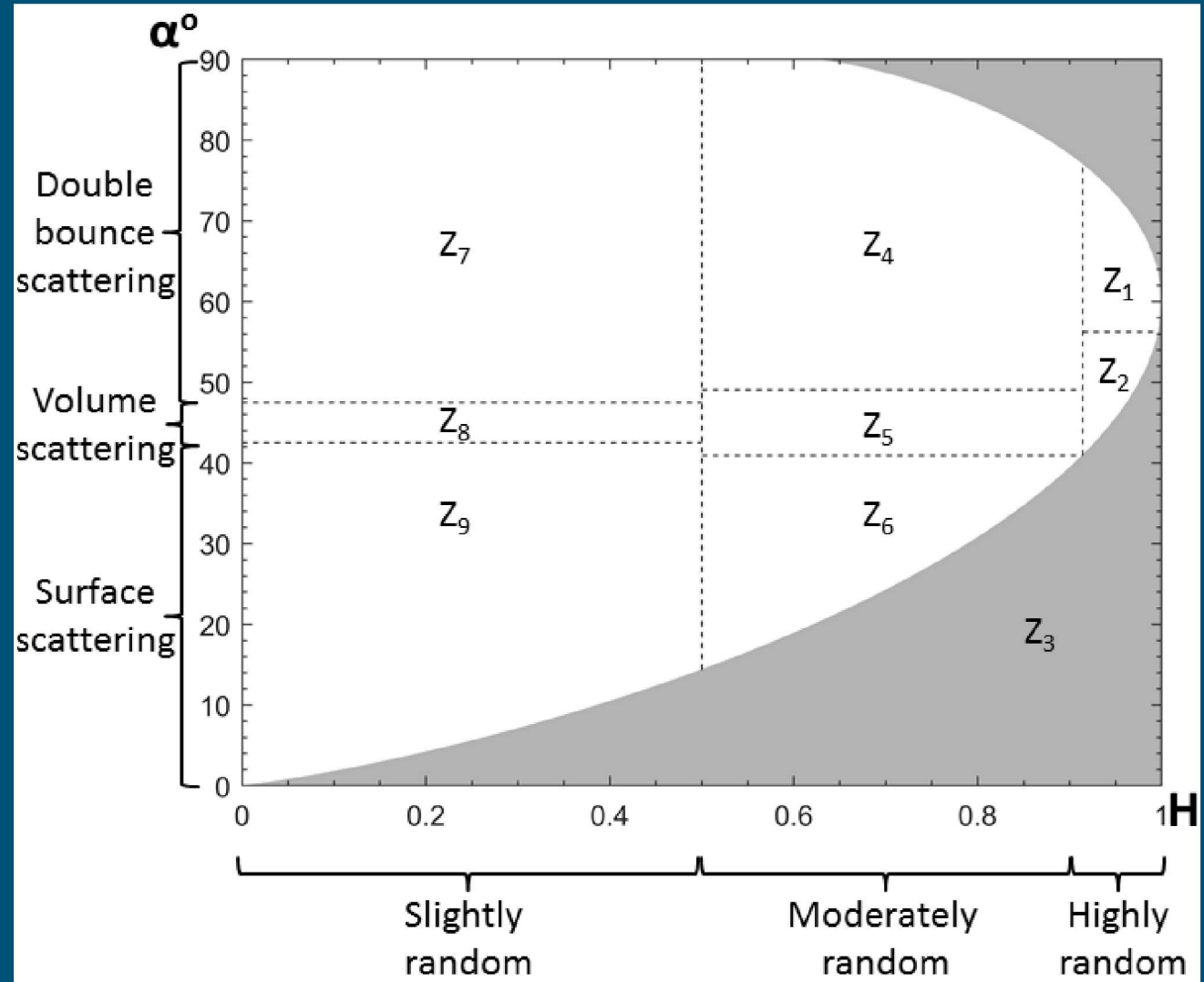
- Entropy or randomness of the scatter

A

- Anisotropy or the direction of scatter

$\alpha$

- Scattering mechanism



## 8 Feature vector & data

Pixel magnitude in each polSAR image

H/A/ $\alpha$  decomposition for each pixel at both passes

H/A/ $\alpha$  decomposition for each pixel in an “optimal coherence” (OC) image OC values (1)

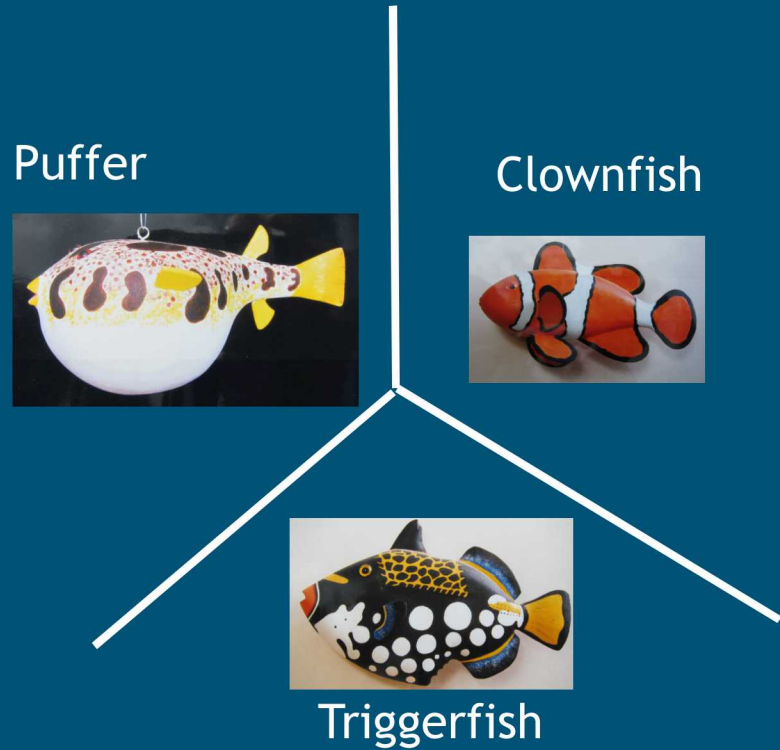
Total power

29 element feature vector total

Change-Type	Training Samples	Test Samples
Tree	97,862	73,098
Low	106,169	75,874
Grnd	5,816	5,763

Train & test comes from different images

9 Closed set classifiers



Closed set: training and test sample come from known classes

# What happens when an unknown class is in the test set??

Puffer



Clownfish



Triggerfish



Seahorse (unknown)

Closed set: training and test sample come from known classes

Puffer



Clownfish

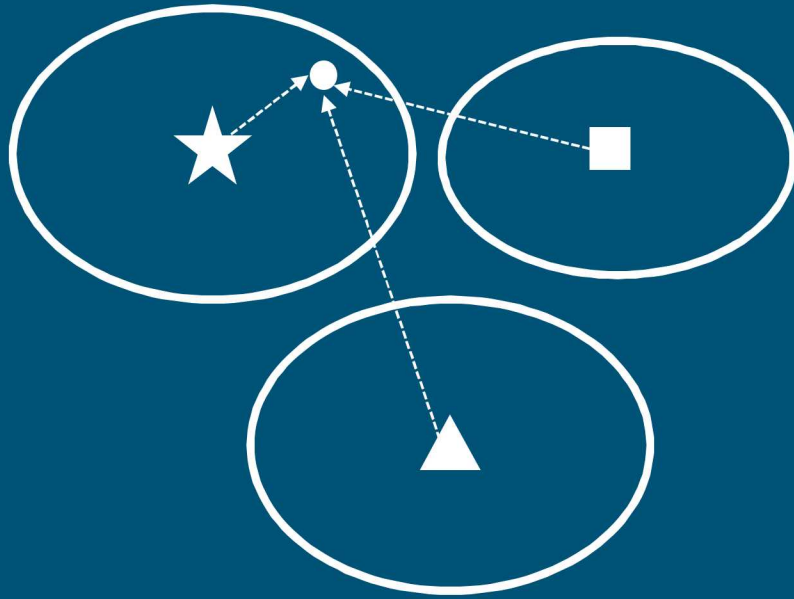


Triggerfish



Seahorse (unknown)

Open set: multiple known classes, but many unknown (optional add more classes)



Develop a template for each class

Use a distance metric to measure how close you test sample is to the template

Compare smallest distance to a threshold to determine class membership or unknown

## Classifier has two types of parameters

- Learned parameters
  - Weights, biases, probabilities, means, ...
- Hyperparameters (control learned parameters)
  - Learning rate, momentum, learning rate decay, epochs, minibatch size, weight initialization, regularization (dropout rate)
  - Selection is an empirical process

# Create a quantized feature vector

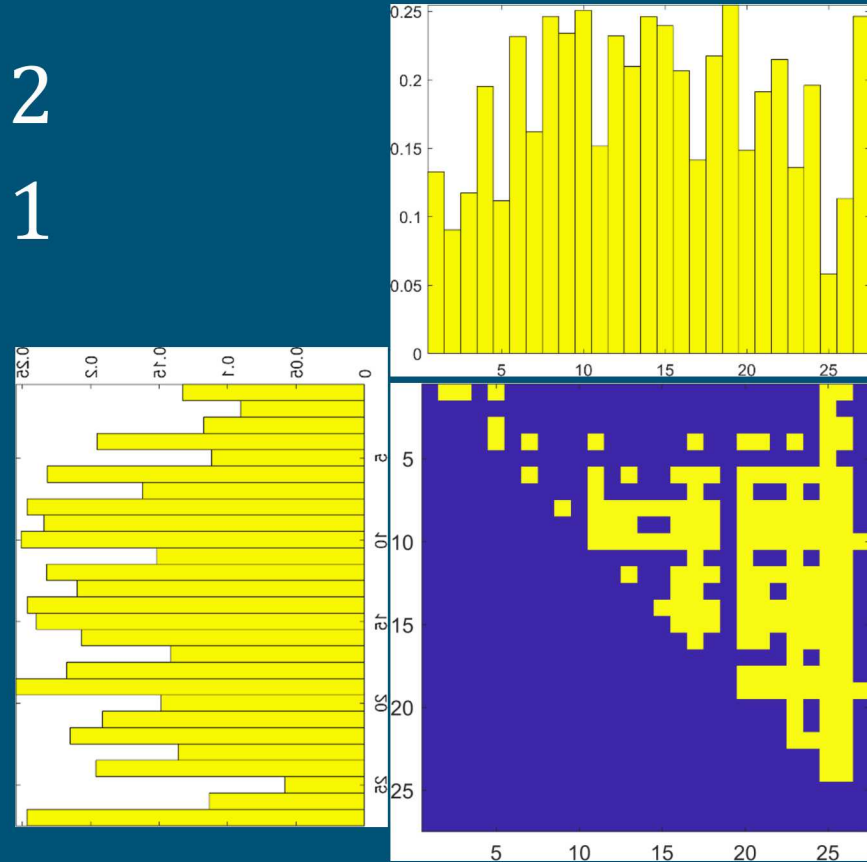
$$\text{for } i > j \quad \begin{array}{ll} \text{if } d_i > d_j & y_k = 2 \\ \text{otherwise} & y_k = 1 \end{array}$$

$g$  is the feature vector

Creates a binary feature vector

Can estimate probabilities of  $y_k$ ,  $P_{kq}$  ( $q=1$  or  $2$ )

Probabilities are used to create a template



# Quantized distance metric

$$Z_{MPM} = \sum_{k=0}^{B-1} \frac{(1 - \hat{P}_{k,yk})^2 - \hat{E}_k}{\sqrt{C \times \hat{V}_k}}$$

Penalty Function

$$\hat{E}_k = \sum_{q=0}^{Q-1} \tilde{P}_{kq} (1 - \hat{P}_{kq})^2$$

$$\hat{V}_k = \sum_{q=0}^{Q-1} \tilde{P}_{kq} (1 - \hat{P}_{kq})^4 - \hat{E}_k^2$$

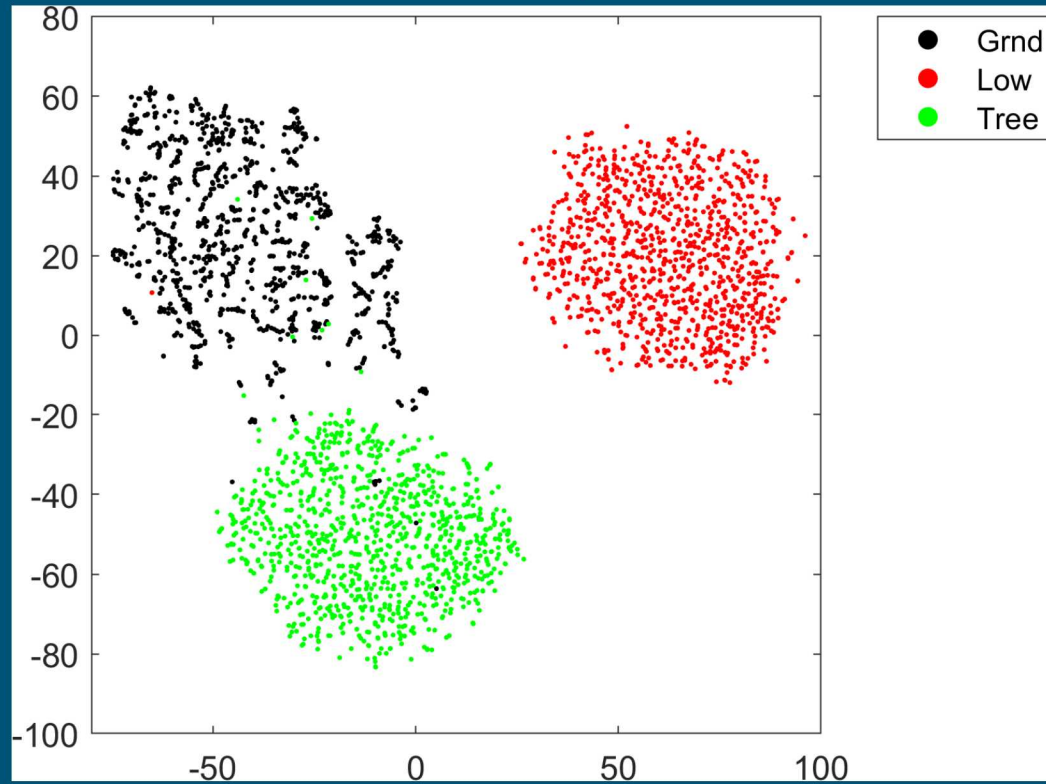
$C$  accounts for the correlation between the features

$Z_{MPM}$  – should be  $N(0,1)$  for target data

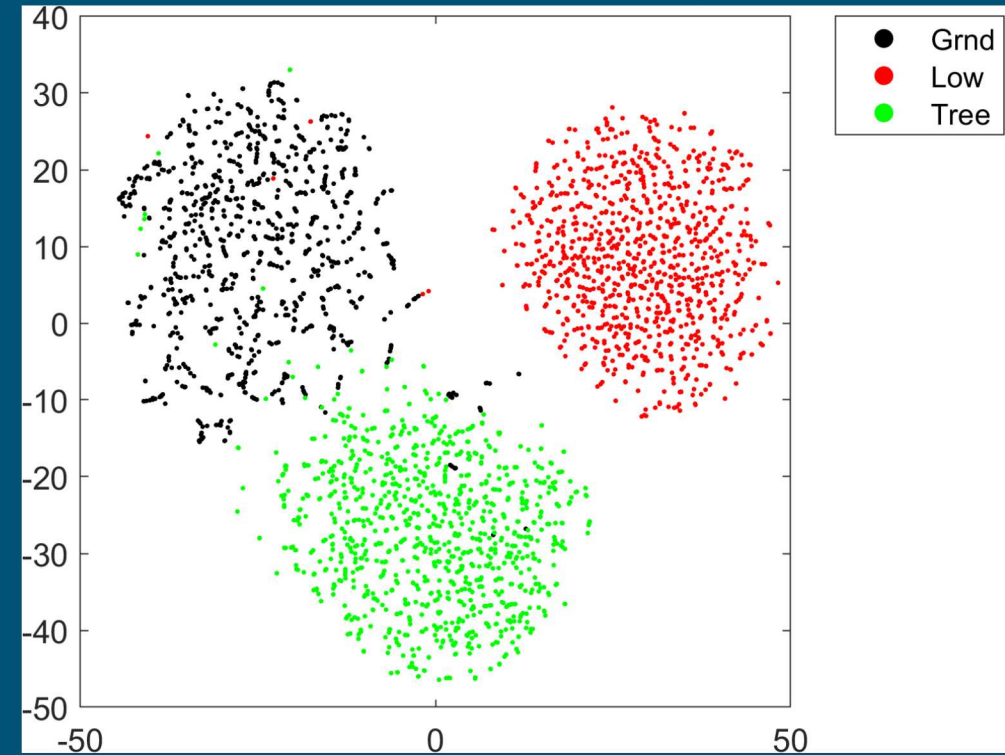
- Central limit theorem

# Do we lose any information? tSNE plots

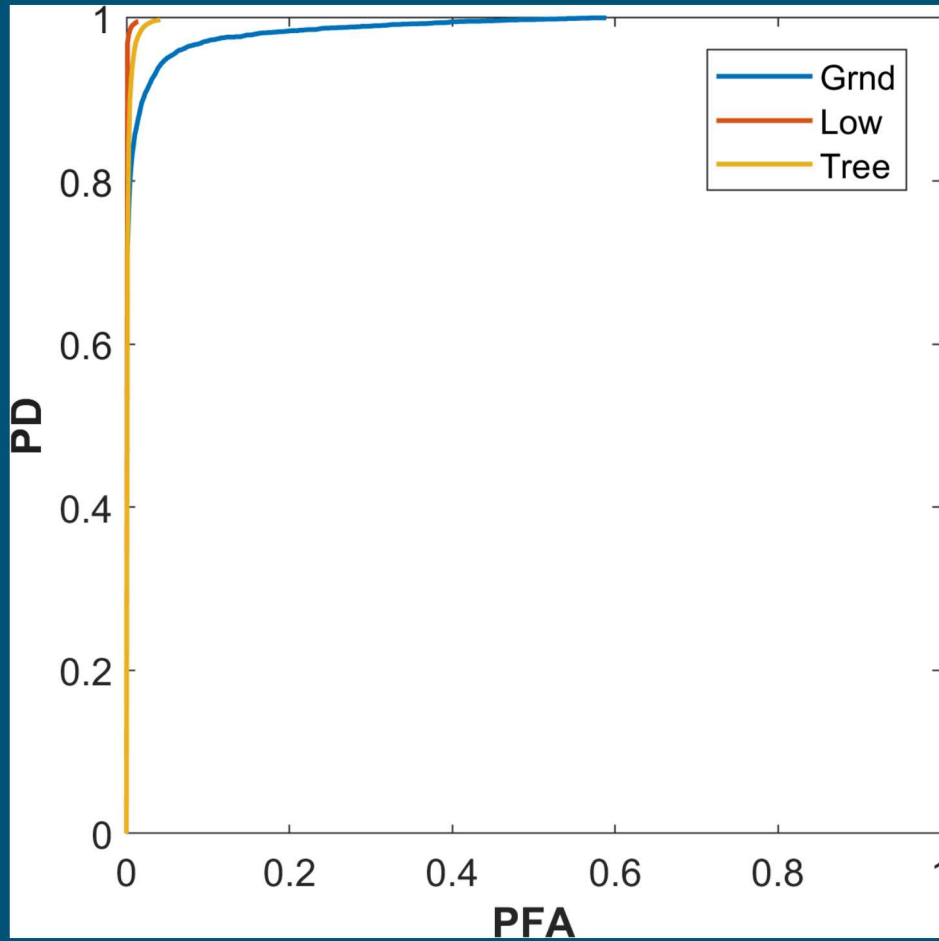
Raw feature space



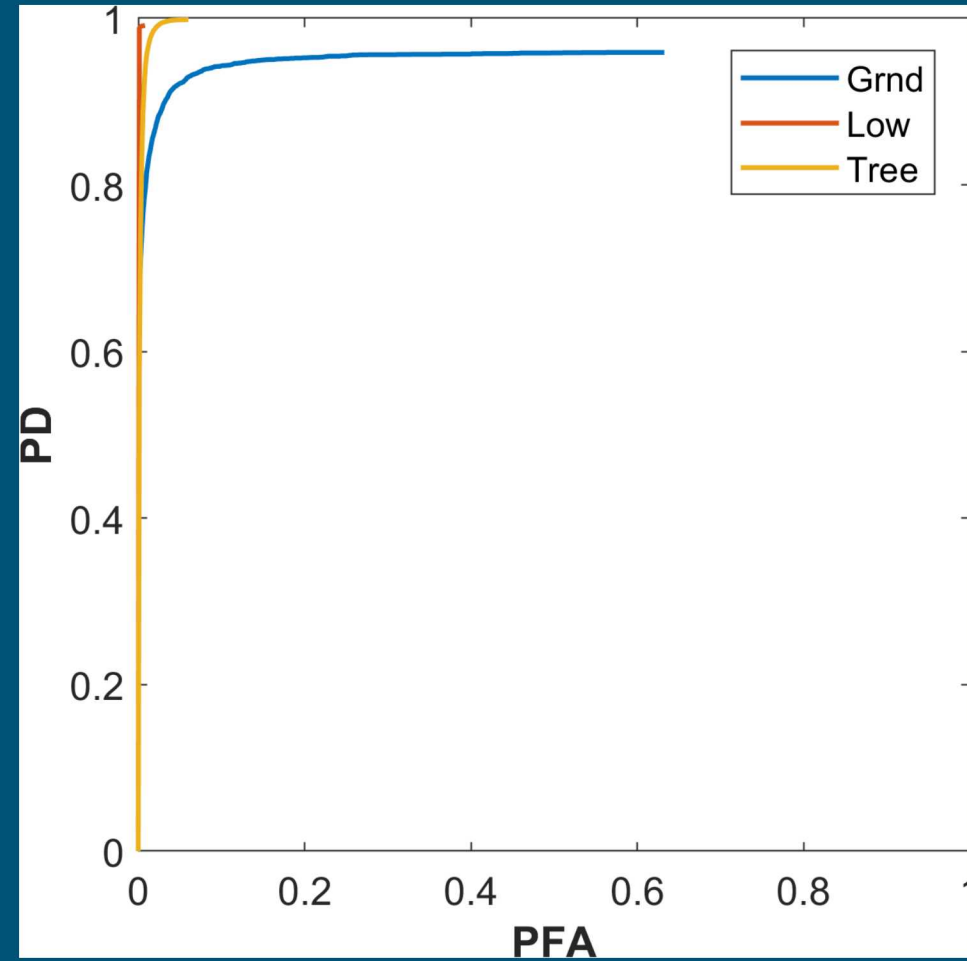
Quantized feature space



tSNE: Unsupervised algorithm for dimensionality reduction and for visualizing high-dimensional data on a 2 or 3 dimensional manifold



Train



Test

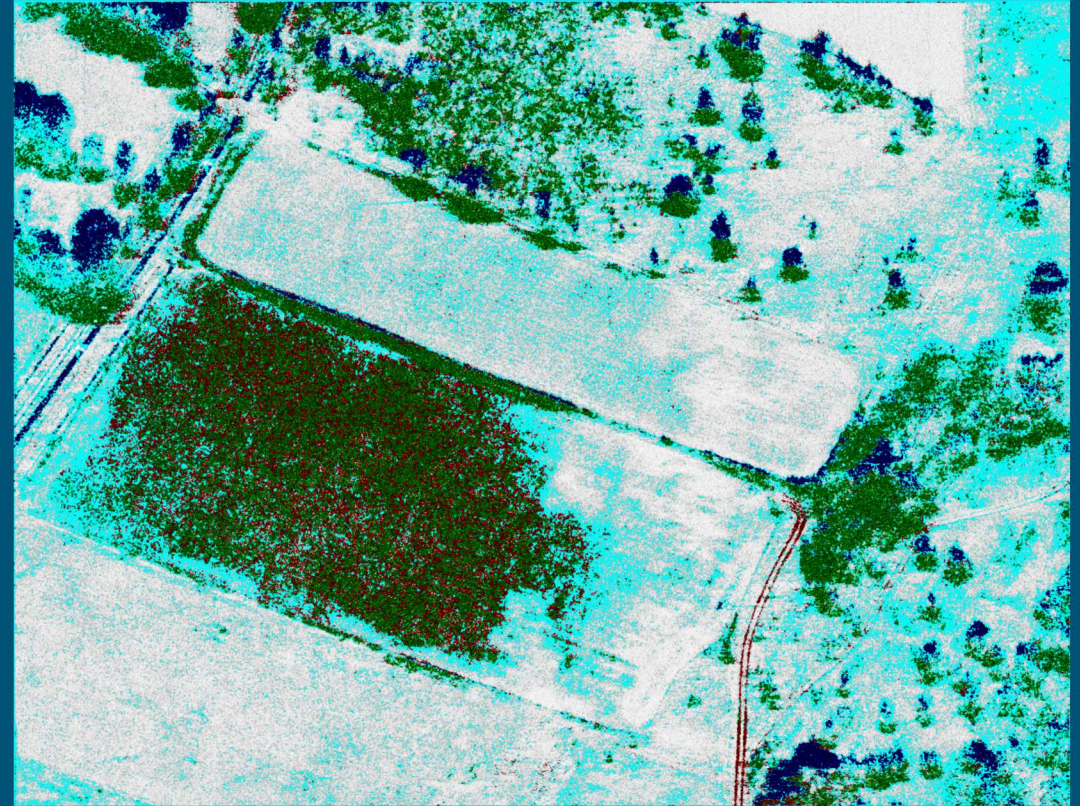
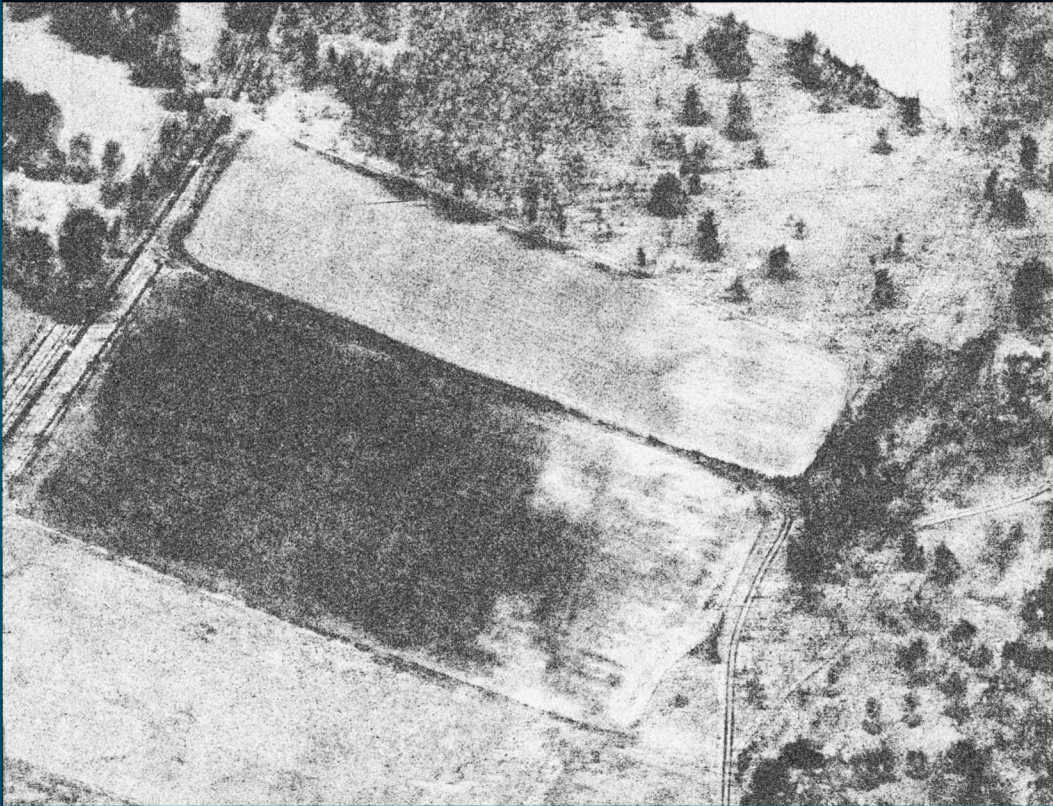
Train

	Grnd	Low	Tree	UNK
Grnd	93%	0%	6%	1%
Low	0%	97%	0%	3%
Tree	2%	0%	96%	3%

Test

	Grnd	Low	Tree	UNK
Grnd	74%	0%	17%	9%
Low	0%	96%	0%	4%
Tree	0%	0%	99%	1%

# Confusion images



Tree - green  
Low - blue  
Ground - red  
Unknown - cyan  
White - no change

Extend H/A/ $\alpha$  polarimetric decomposition to detect the type of change in CCD images

Identified three type of change

- Low return
- Ground
- Trees

Open set classification is important when you can't train with all the possible classes