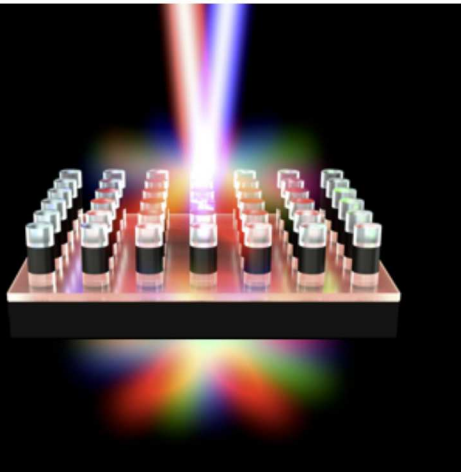


Nonlinear III-V semiconductor metasurfaces



Polina Vabishchevich

Sheng Liu^{1,2}, Aleksander Vaskin³, John L. Reno^{1,2},
Gordon A. Keeler¹, Gregory M. Peake¹, Michael B. Sinclair¹,
Isabelle Staude³, Igal Brener^{1,2}

1 Sandia National Laboratories, Albuquerque, NM 87185, USA

*2 Center for Integrated Nanotechnologies, Sandia National Laboratory, Albuquerque,
NM 87185, USA*

*3 Institute of Applied Physics, Abbe Center of Photonics, Friedrich Schiller University
Jena, Albert-Einstein-Str. 15, 07745 Jena, Germany*



*Exceptional
service
in the
national
interest*



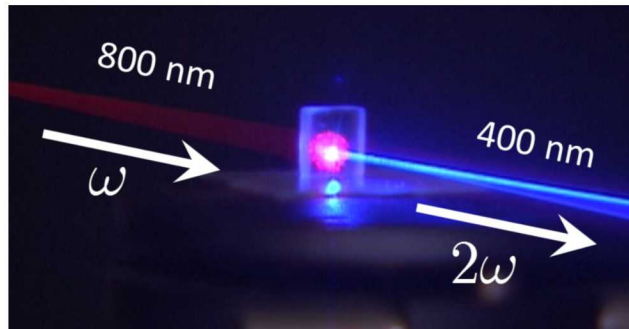
This work was supported by the U.S. Department of Energy, Office of Basic Energy Sciences, Division of Materials Sciences and Engineering and performed, in part, at the Center for Integrated Nanotechnologies, an Office of Science User Facility operated for the U.S. Department of Energy (DOE) Office of Science. Sandia National Laboratories is a multimission laboratory managed and operated by National Technology and Engineering Solutions of Sandia LLC, a wholly owned subsidiary of Honeywell International Inc. for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-NA0003525.

Outline

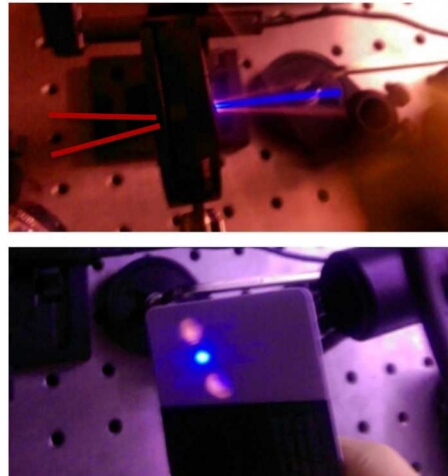
- Nonlinear optics
- Metasurfaces: All-dielectric metasurfaces with Mie resonances
- Nonlinear metasurfaces
- Frequency mixing in III-V semiconductor metasurface: an optical metamixer
- Enhanced Second-Harmonic Generation Using Broken Symmetry III-V Semiconductor Fano Metasurfaces.

Nonlinear optics: frequency mixing

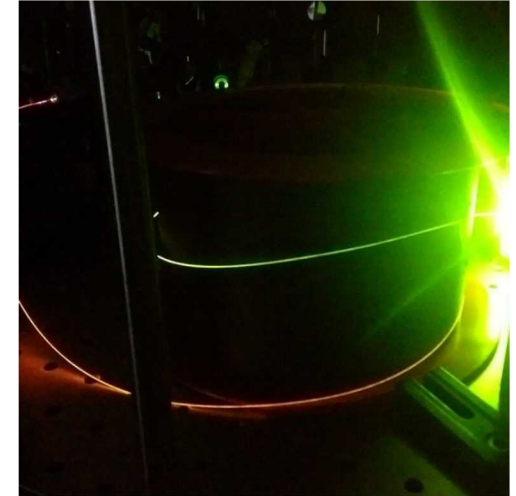
Second-harmonic generation (SHG)



Sum-frequency generation



Supercontinuum generation

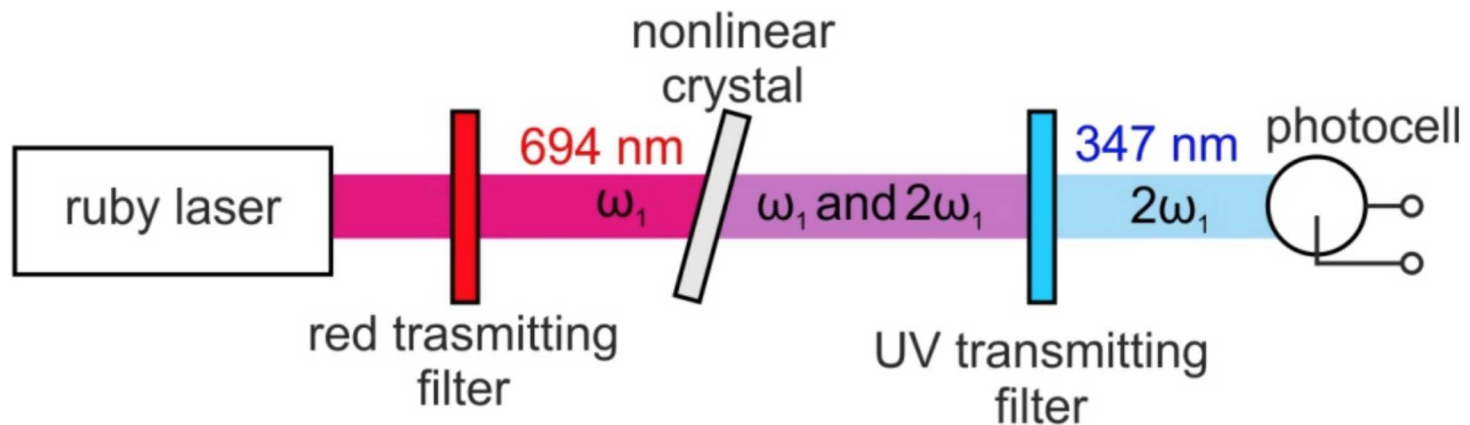


Green laser pointers – SHG!

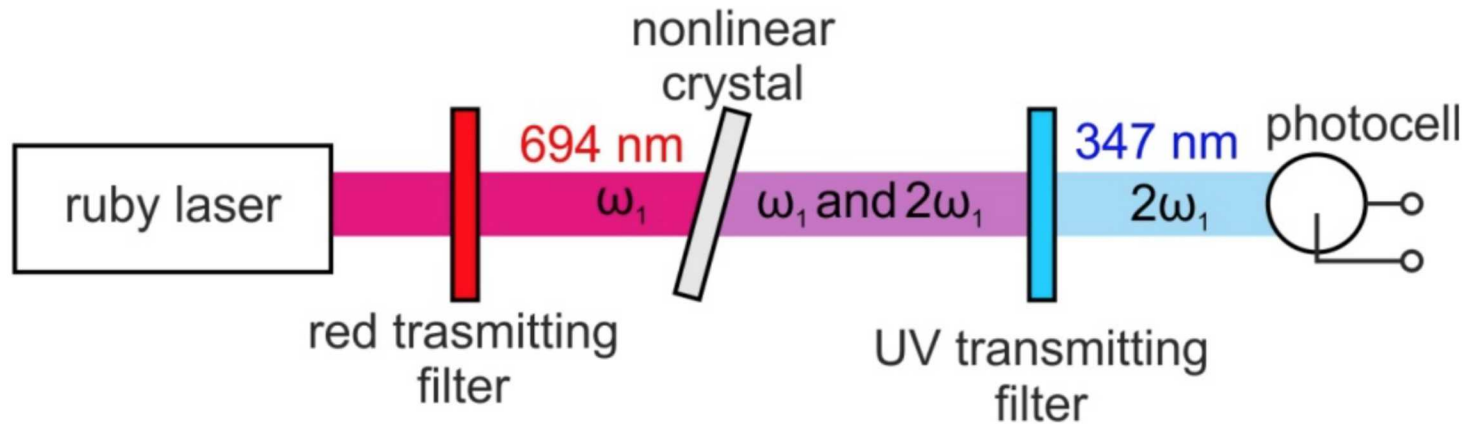


Historical Background

First demonstration of second-harmonic generation by
P. A. Franken et. al. in 1961 started nonlinear optics



Where is nonlinearity?



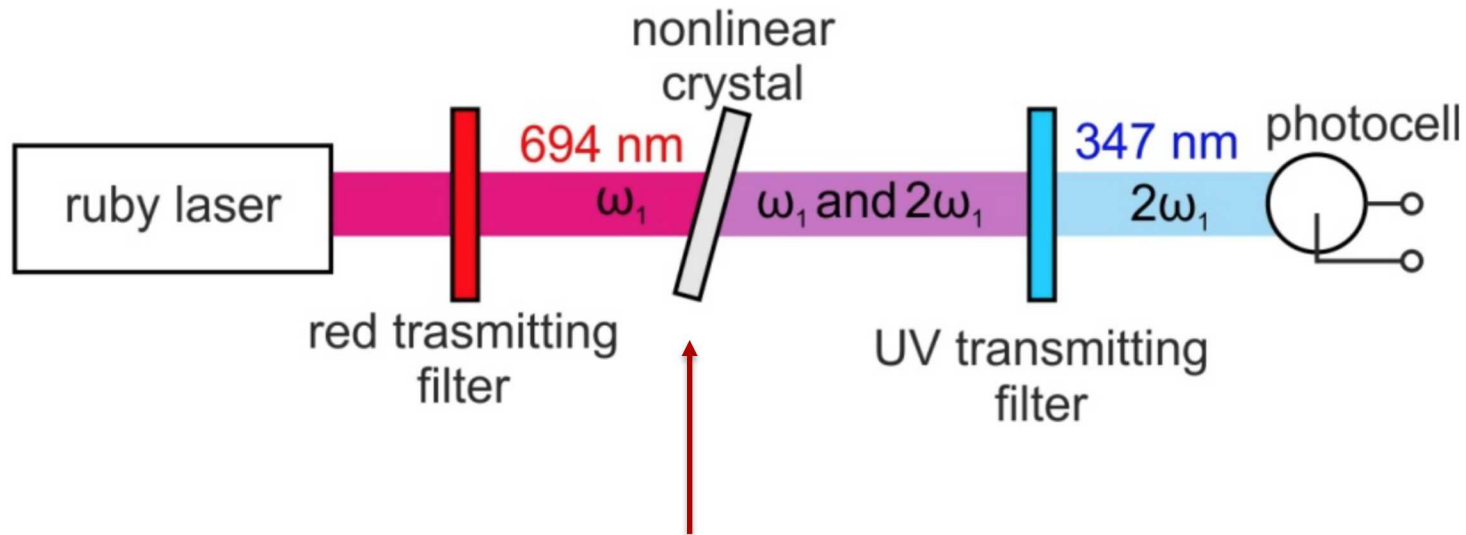
Strong electromagnetic field $\longrightarrow$ Distorted electronic cloud $\longrightarrow$ Induced dipole

Dielectric polarization density $\mathbf{P}(t)$
– dipole moment per unit volume

$$\mathbf{P}(t) = \varepsilon_0 (\chi^{(1)} \mathbf{E}(t) + \chi^{(2)} \mathbf{E}^2(t) + \chi^{(3)} \mathbf{E}^3(t) + \dots),$$

where the coefficients $\chi^{(n)}$ are the n -th-order **susceptibilities** of the medium.

Where is nonlinearity?



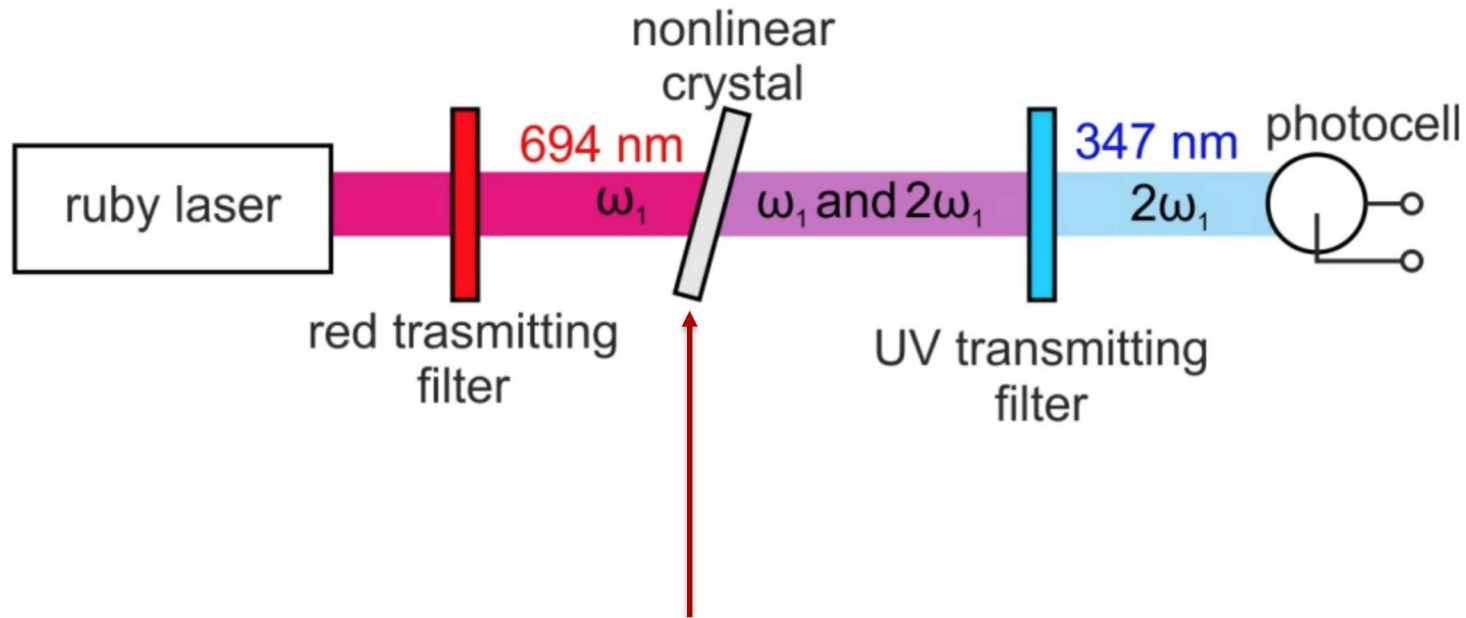
Dielectric polarization density $\mathbf{P}(t)$
– dipole moment per unit volume

Linear optics

$$\mathbf{P}(t) = \epsilon_0 (\chi^{(1)} \mathbf{E}(t)) + \chi^{(2)} \mathbf{E}^2(t) + \chi^{(3)} \mathbf{E}^3(t) + \dots,$$

$$\chi^{(1)} = n^2 - 1$$

Where is nonlinearity?



Dielectric polarization density $\mathbf{P}(t)$
– dipole moment per unit volume

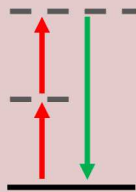
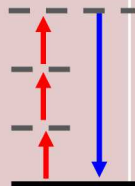
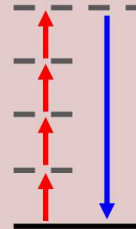
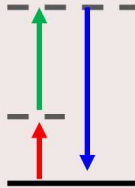
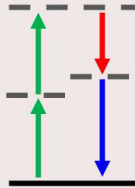
Nonlinear optics

$$\mathbf{P}(t) = \varepsilon_0 (\chi^{(1)} \mathbf{E}(t) + \chi^{(2)} \mathbf{E}^2(t) + \chi^{(3)} \mathbf{E}^3(t) + \dots),$$

where the coefficients $\chi^{(n)}$ are the n -th-order **susceptibilities** of the medium.

Nonlinear processes

$$\mathbf{P}(t) = \varepsilon_0 (\chi^{(1)} \mathbf{E}(t) + \chi^{(2)} \mathbf{E}^2(t) + \chi^{(3)} \mathbf{E}^3(t) + \dots)$$

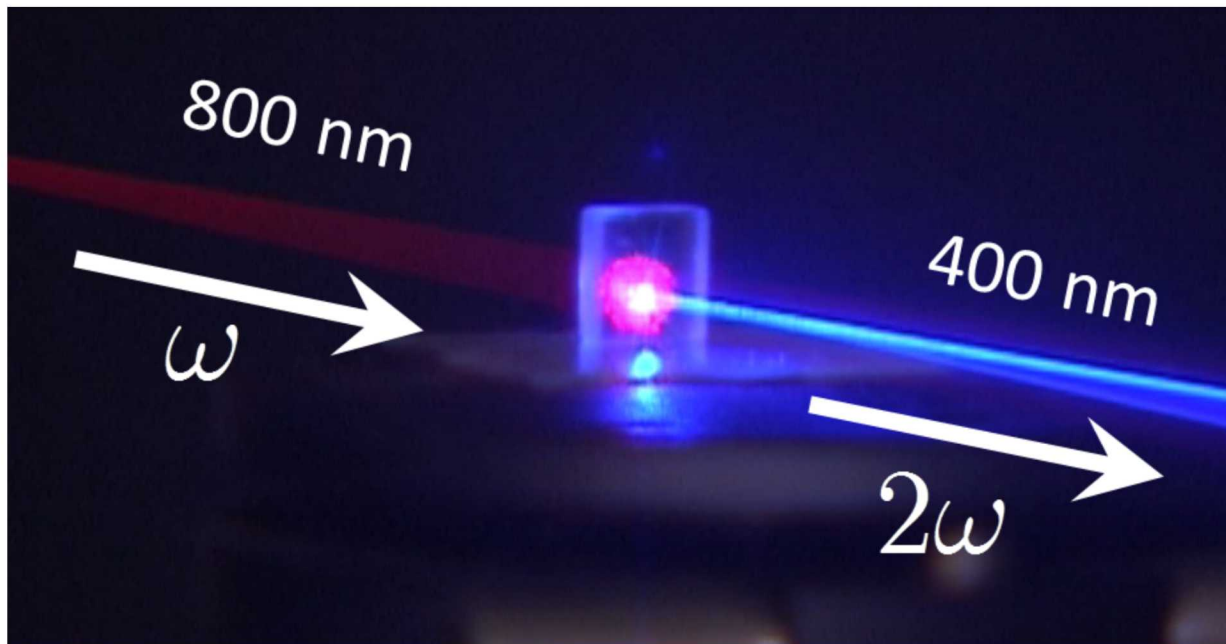
$\chi^{(2)}$	$\chi^{(3)}$	$\chi^{(4)}$	$\chi^{(5)}$
Second harmonic generation ($2\omega_1$) 	Third harmonic generation ($3\omega_1$) 	Fourth harmonic generation ($4\omega_1$) 	Fifth harmonic generation ($5\omega_1$)
Sum-frequency generation ($\omega_1 + \omega_2$) 	Four wave mixing ($2\omega_1 - \omega_2$, $(2\omega_1 + \omega_2)$) 		

etc.

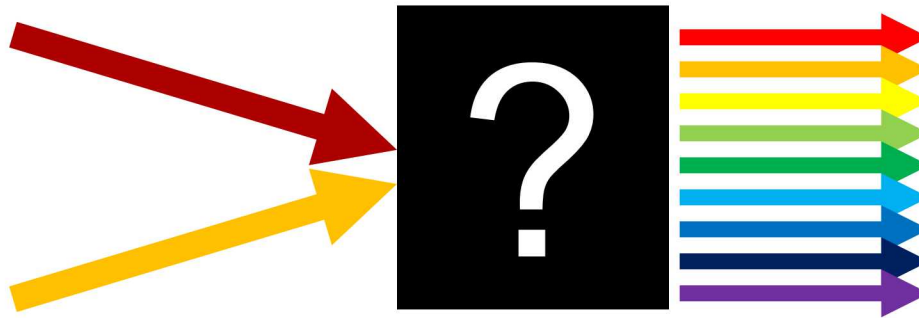
Restrictions

$$\mathbf{P}(t) = \varepsilon_0 (\chi^{(1)} \mathbf{E}(t) + \chi^{(2)} \mathbf{E}^2(t) + \chi^{(3)} \mathbf{E}^3(t) + \dots),$$

- Strong electromagnetic fields
- Phase matching (dispersion) is critical:
nonlinear crystal dimensions $\gg$ wavelengths
- Special conditions for each nonlinear process



“Super” frequency mixing?

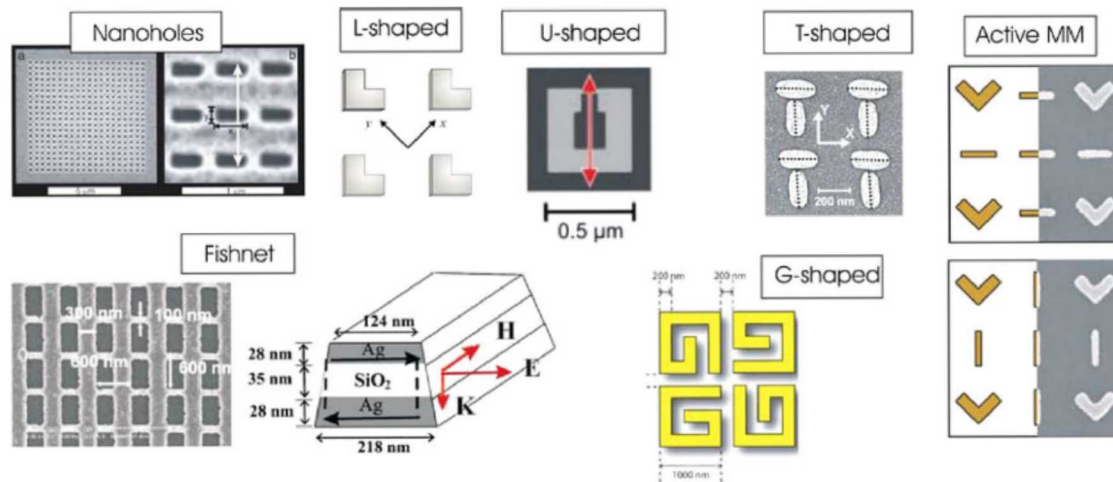


Can we see all of these mixings at the same time?

Nonlinear optical frequency mixing in metamaterials and metasurfaces

Engineered structures that have specific optical properties on demand by choosing materials, shapes and dimensions of the metaatoms.

Dimensions < Wavelength



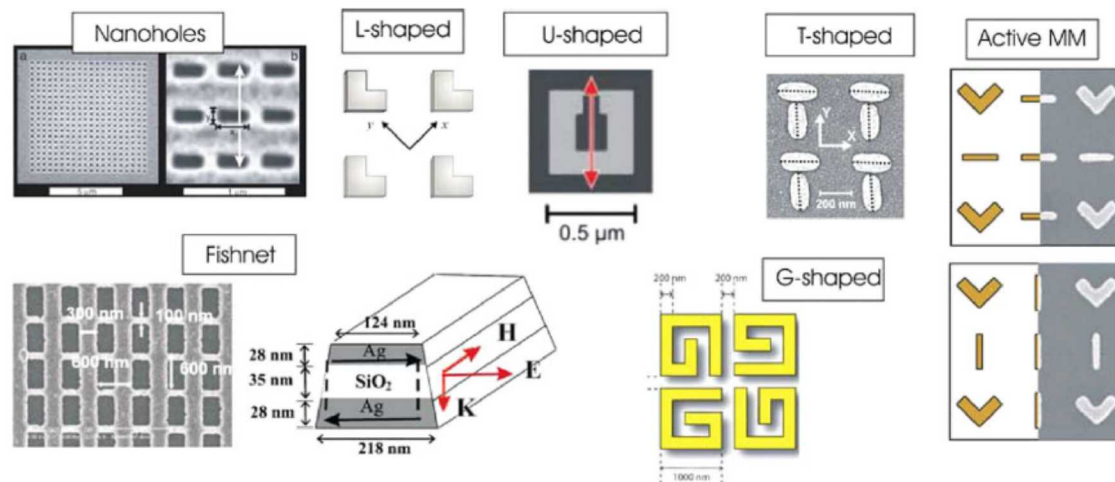
Y. Kivshar et al, Laser Photonics Review, **9**, 195 (2015)

Nonlinear optical frequency mixing in metamaterials and metasurfaces

Engineered structures that have specific optical properties on demand by choosing materials, shapes and dimensions of the metaatoms.

Dimensions < Wavelength

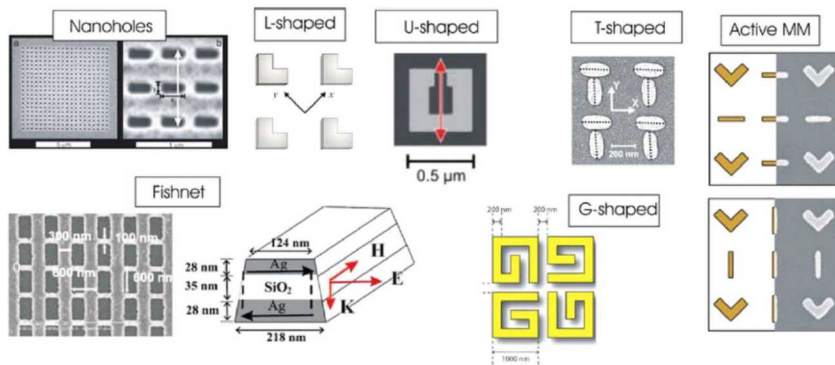
- **Relaxed phase matching conditions**
- **Resonant enhancement of EM field**



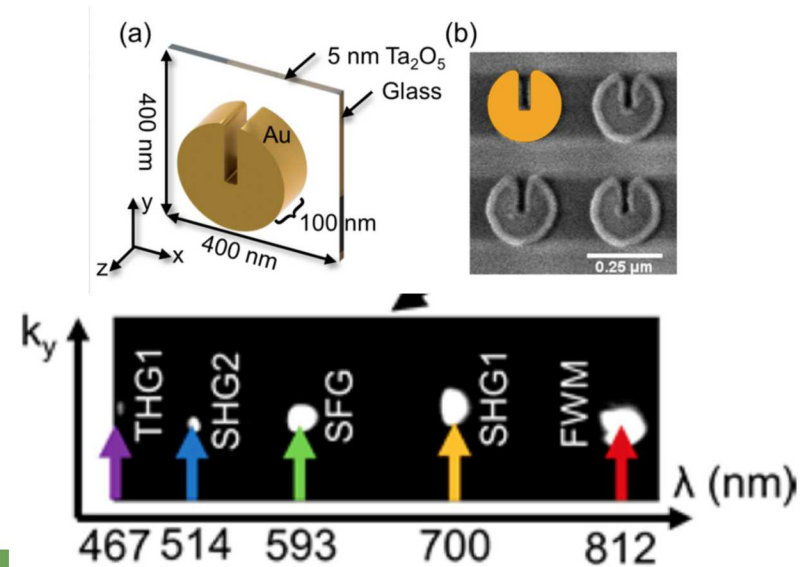
Y. Kivshar et al, Laser Photonics Review, **9**, 195 (2015)

Nonlinear plasmonics

- Relaxed phase matching conditions
- Resonant enhancement of EM field induced by Plasmon excitation



Y. Kivshar et al, Laser Photonics Review, **9**, 195 (2015)



Sartorello, et al. *ACS Photonics* 3.8 (2016): 1517-1522.

nature
photonics

FOCUS | REVIEW ARTICLES
PUBLISHED ONLINE: 31 OCTOBER 2012 | DOI: 10.1038/NPHOTON.2012.244

Nonlinear plasmonics

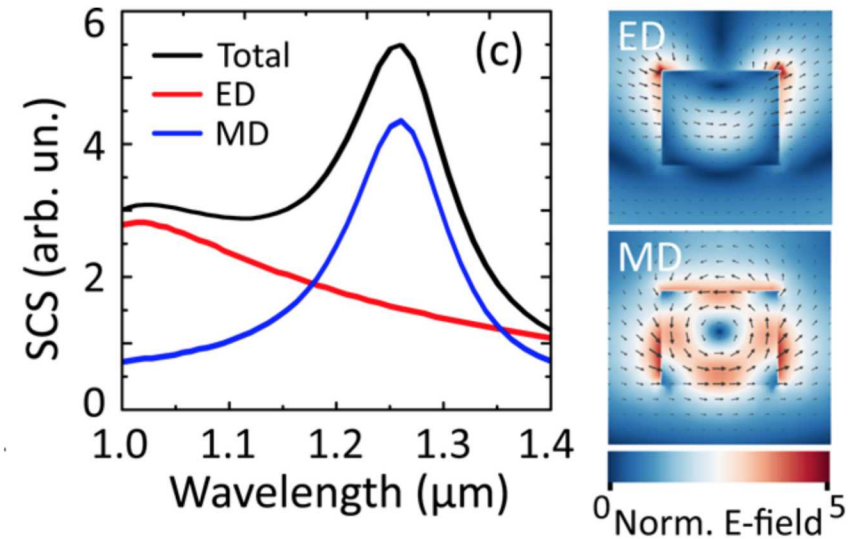
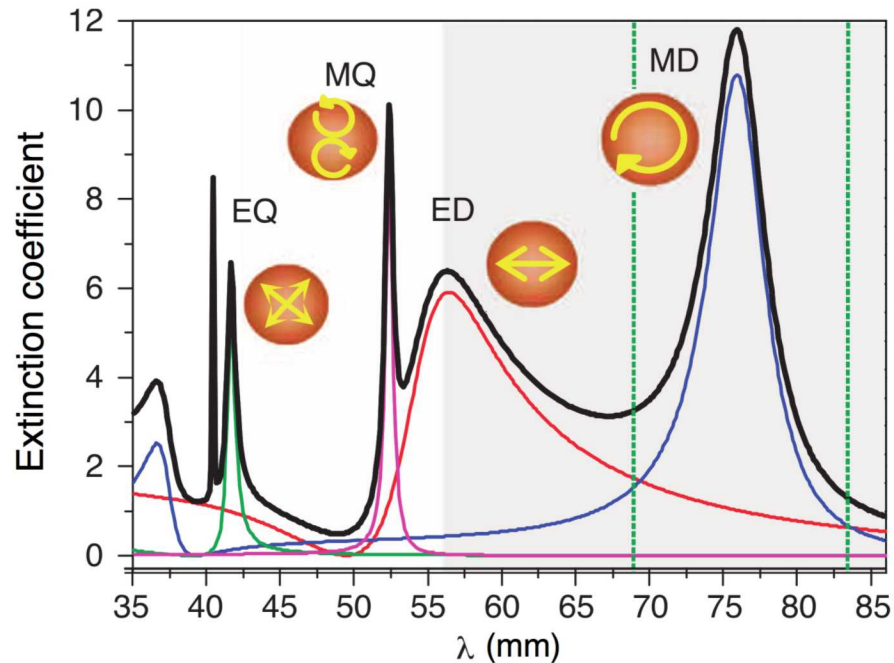
Martti Kauranen¹ and Anatoly V. Zayats²

SHG efficiency $\sim 10^{-9}$

Plasmonic structure: small modal volume
(usually only use surface nonlinearities)

Mie resonances in dielectric metasurfaces

Mie resonances in Dielectric spheres

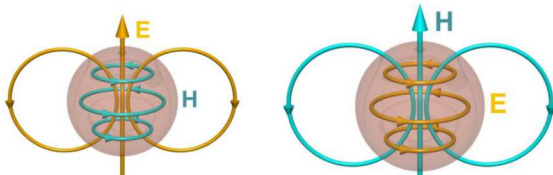


Jean-Michel Geffrin et. al. / Nat. Comm.— 2012.— Vol.3,p.11

M .R. Shcherbakov, et. al. *Nano Letters* **14**, 11, 6488–6492, 2014

**Electric
Dipole**

**Magnetic
Dipole**



Images: A. Miroshnichenko

Mie resonances

- Low losses
- Much larger mode volume
- High nonlinear coefficient materials (GaAs: $\sim 200\text{pm/V}$)

Optical frequency mixing in dielectric metasurfaces

Second Harmonic Generation (10^{-5})

AlGaAs – Carletti, L., et al. *Optics express* 23.20 (2015): 26544–26550.

Kruk, S. et al. *Nano Lett.*, **2017**, 17 (6), pp 3914–3918

GaAs – Liu, S. et. al. *Nano letters* 16.9 (2016): 5426–5432.

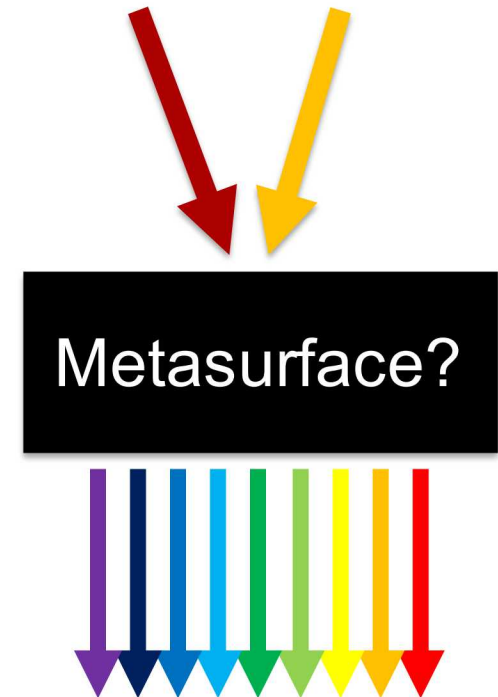
Third Harmonic Generation (10^{-7})

Si – Shcherbakov et.al. *ACS Photonics*, **2015**, 2 (5), pp 578–582

a-Si – Shorokhov et.al. *Nano Lett.*, **2016**, 16 (8), pp 4857–4861

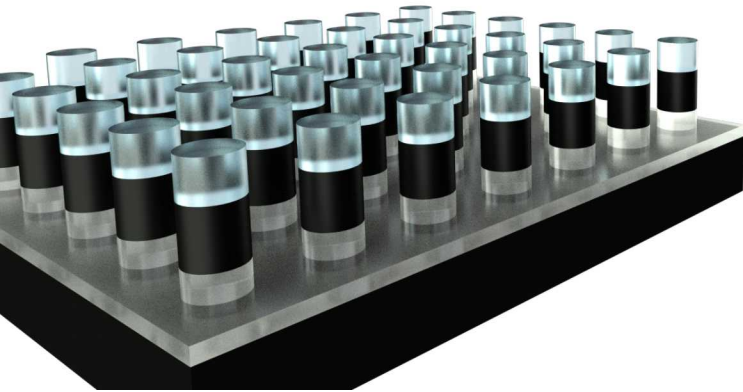
Four-Wave Mixing and THG

Ge – Grinblat, G., et al, *ACS Photonics* 4, 2144–2149

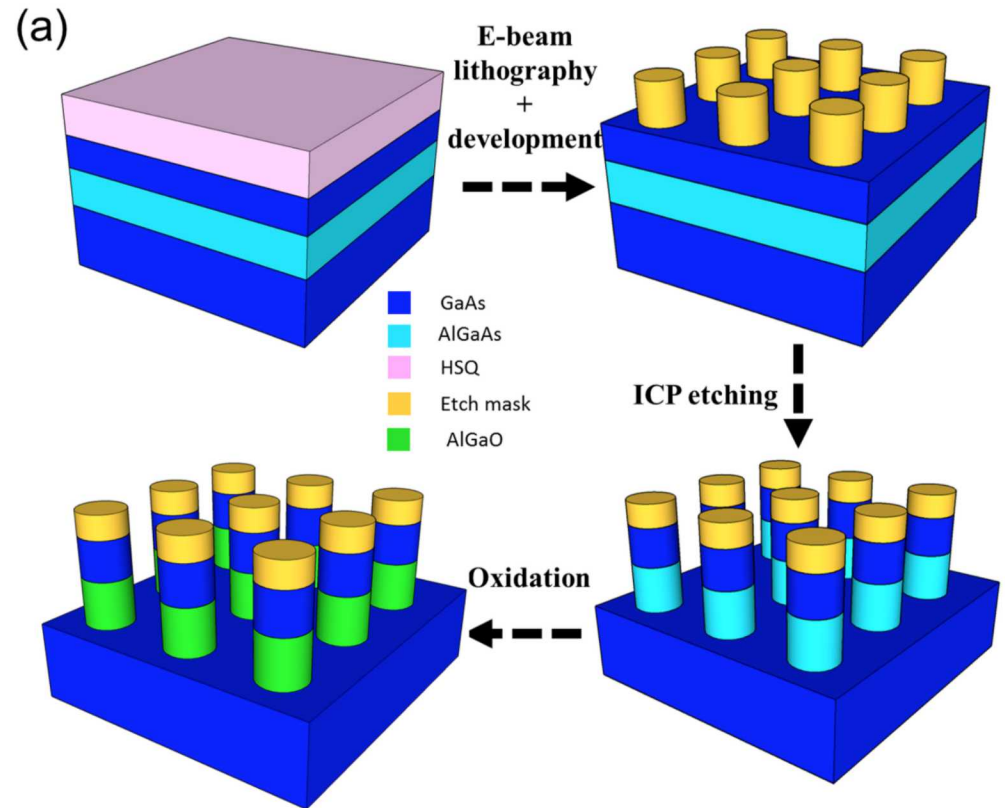
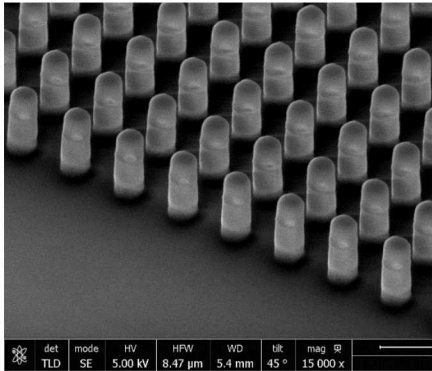


Multiple frequency mixing in dielectric metasurfaces

GaAs based dielectric metasurface



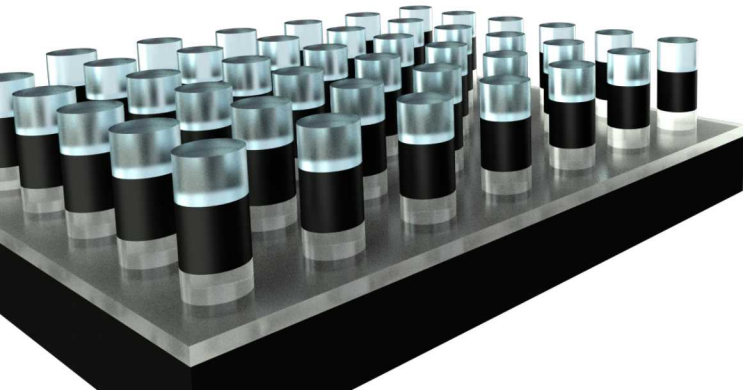
SiO_x – 300 nm
GaAs – 450 nm
 $(\text{Al}_x\text{Ga}_{1-x})_2\text{O}_3$ – 400 nm
Diameter 430 nm
Period 840 nm



Liu, S. et. al. *Nano letters* 16.9 (2016): 5426-5432

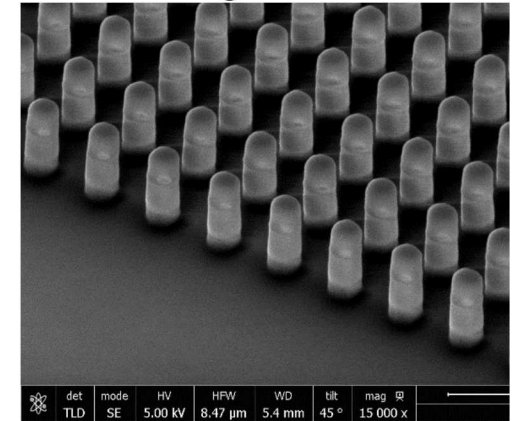
- **High nonlinear coefficient material**

GaAs based dielectric metasurface

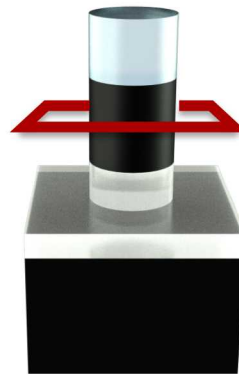
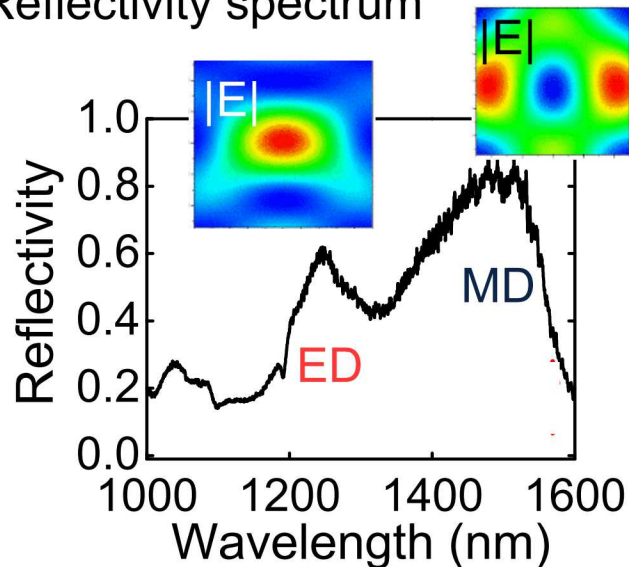


SiO_x – 300 nm
GaAs – 450 nm
 $(\text{Al}_x\text{Ga}_{1-x})_2\text{O}_3$ – 400 nm
Diameter 430 nm
Period 840 nm

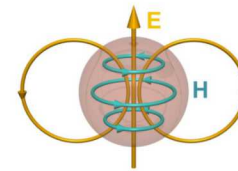
SEM image



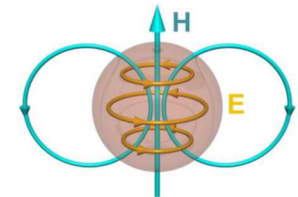
Reflectivity spectrum



Electric
Dipole



Magnetic
Dipole



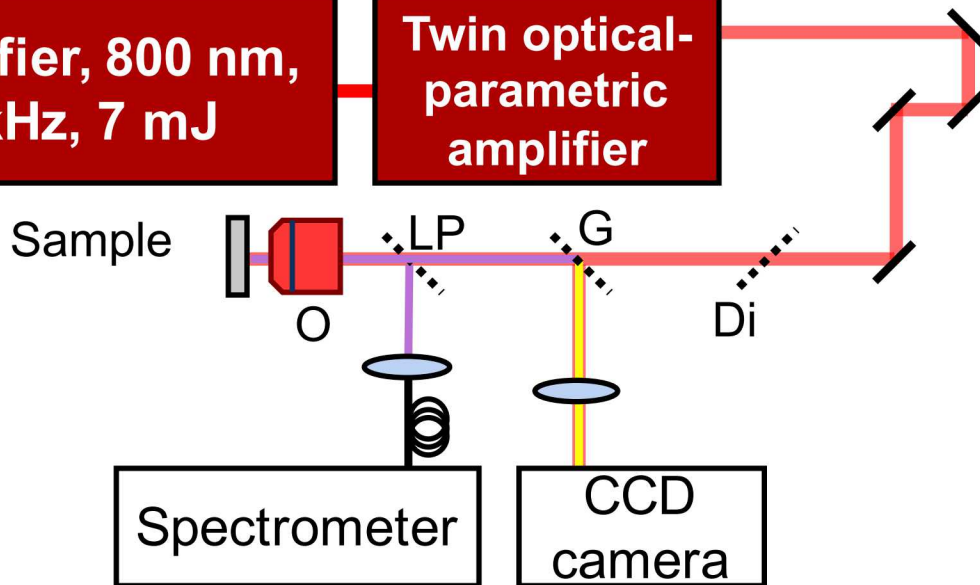
Images: A. Miroshnichenko

- Resonance enhancement of EM field

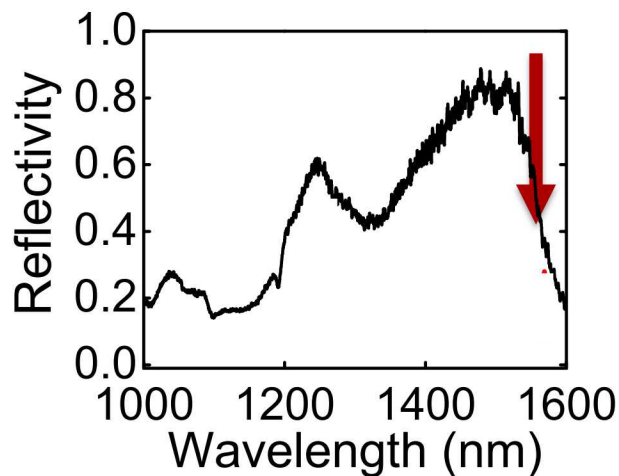
Single beam experiment

**Ti:Sa amplifier, 800 nm,
35 fs, 1kHz, 7 mJ**

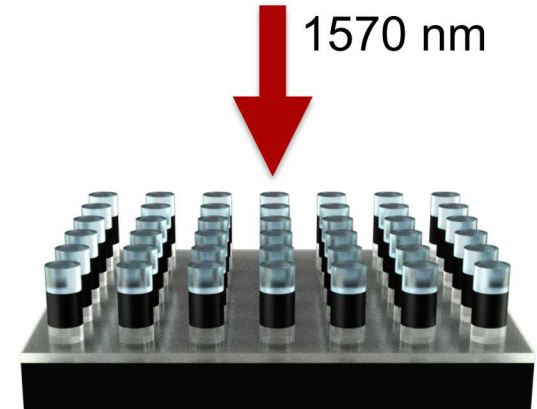
**Twin optical-
parametric
amplifier**



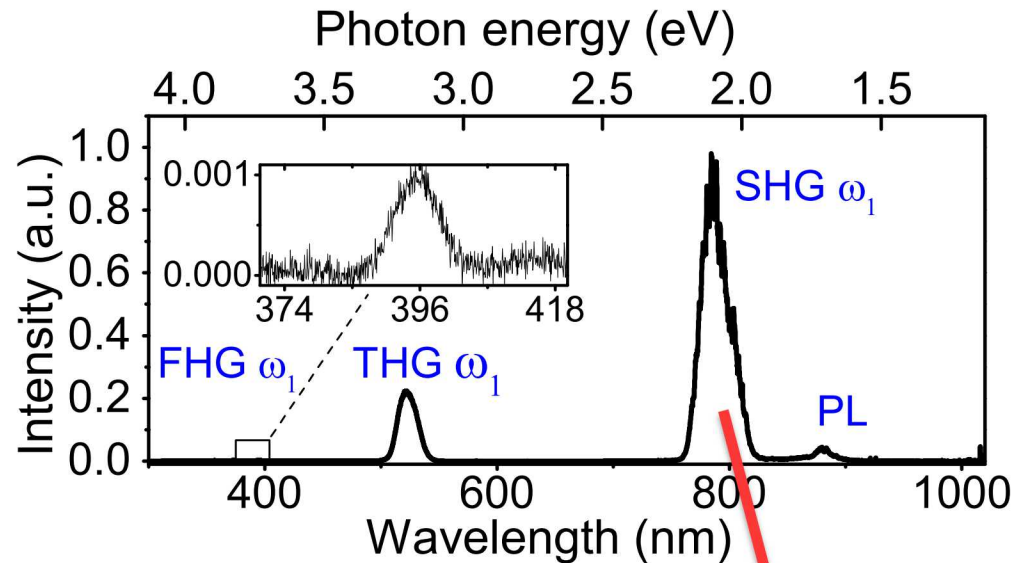
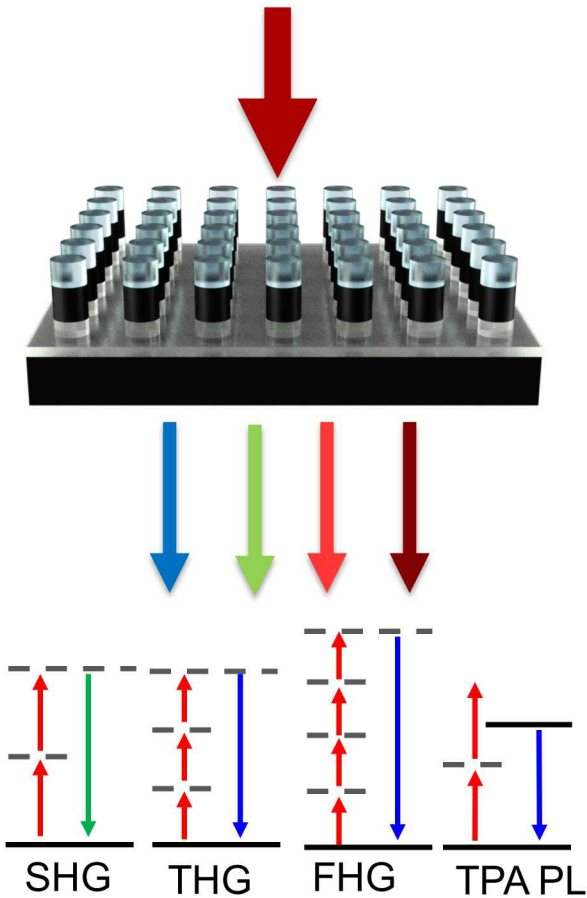
50 fs
1 kHz
Power 4.5 mW
0.4 NA objective



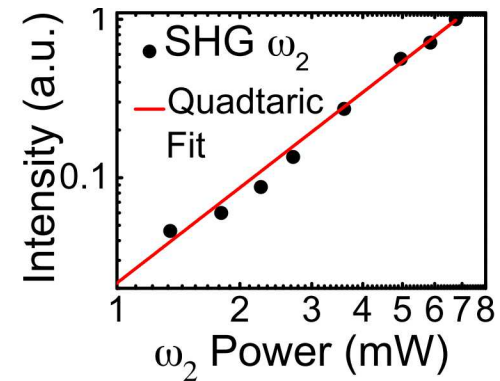
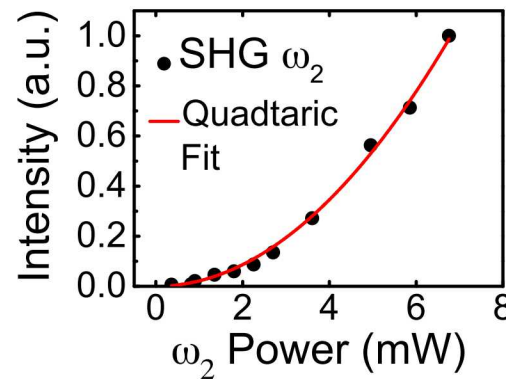
Magnetic dipole resonance



2nd, 3rd, 4th harmonic generation spectra

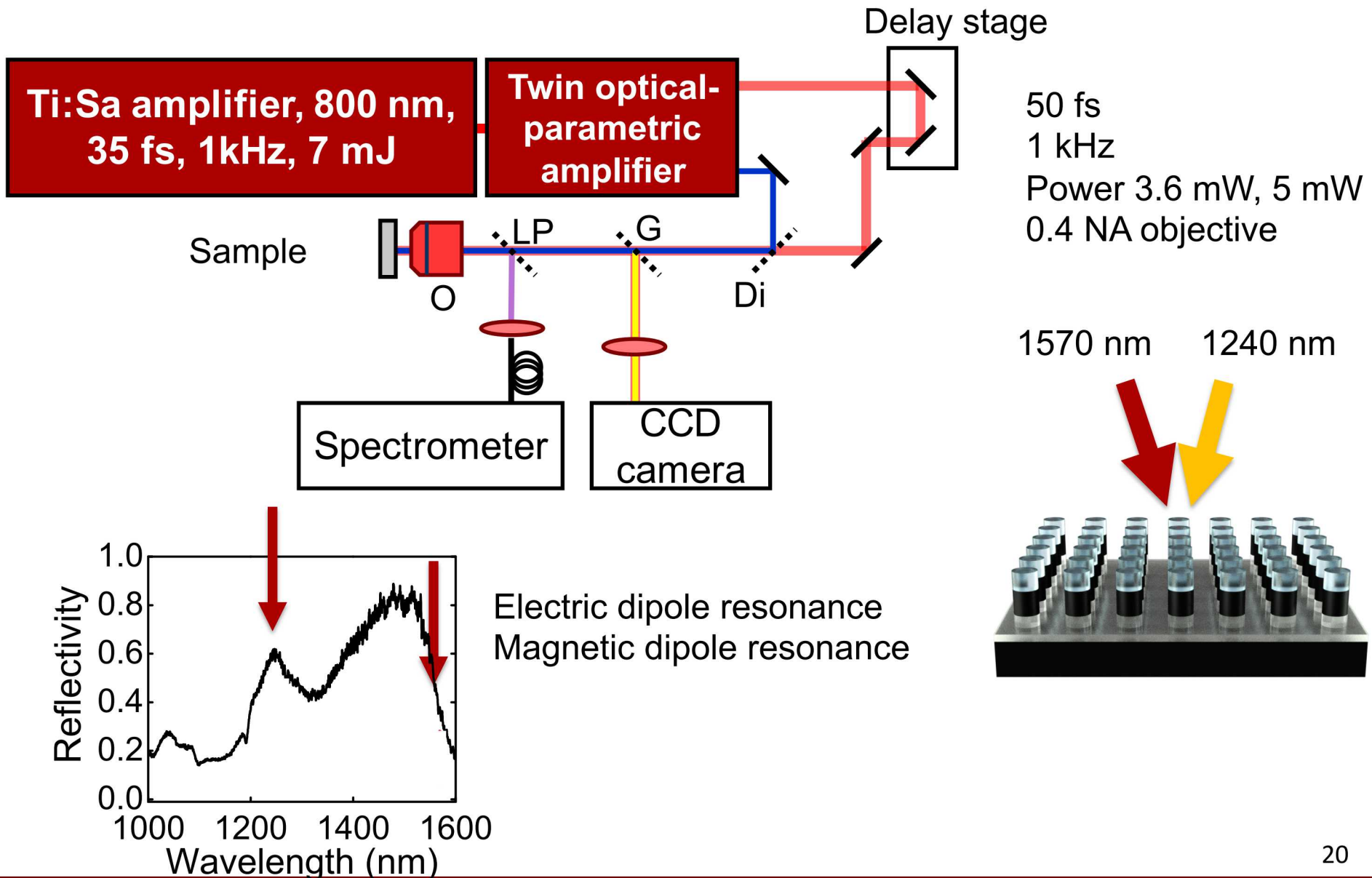


SHG power dependence

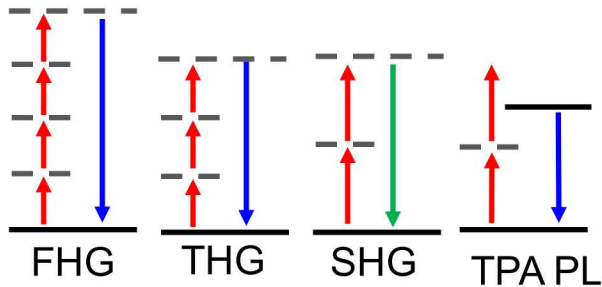
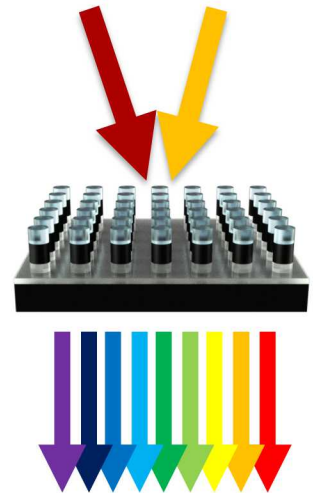
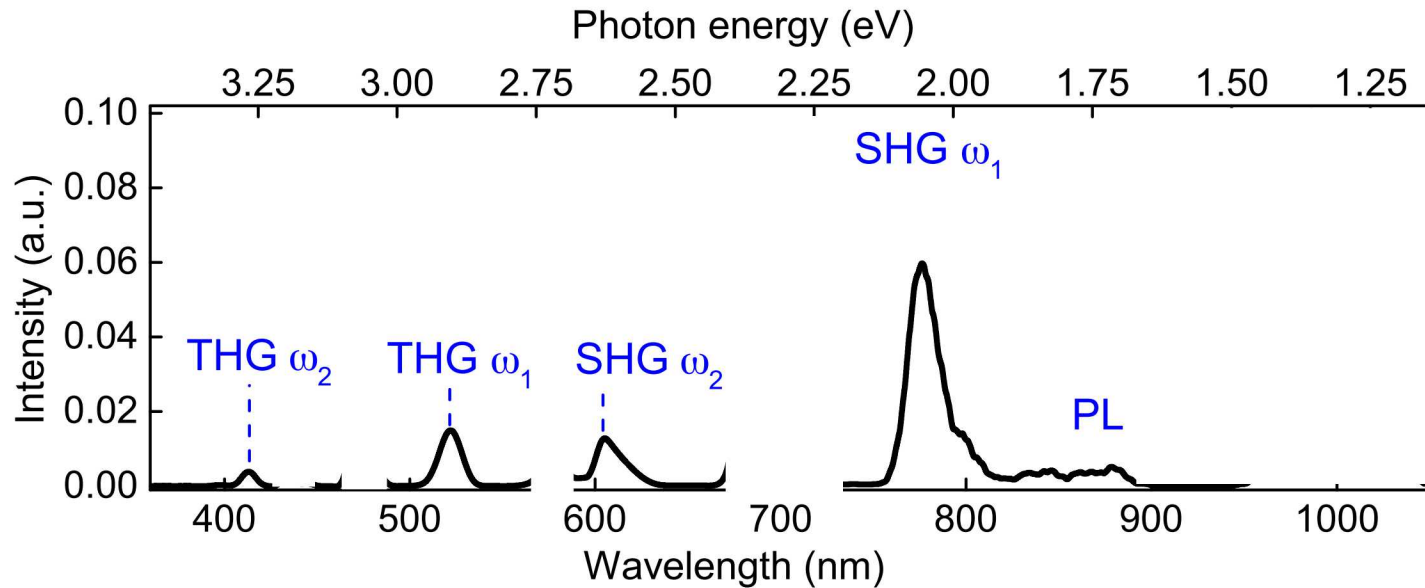


SHG efficiency $\sim 2.3 \times 10^{-6}$

Two-beam experiment

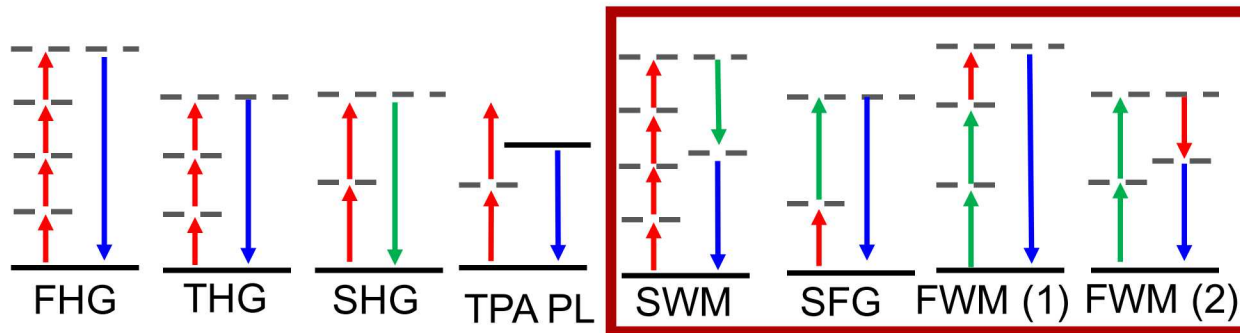
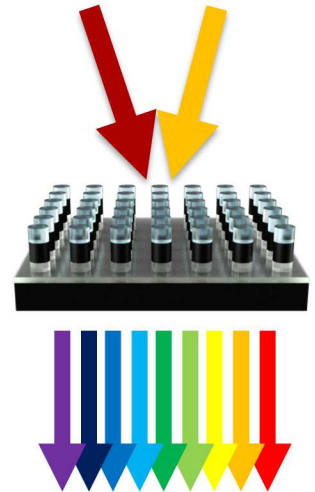
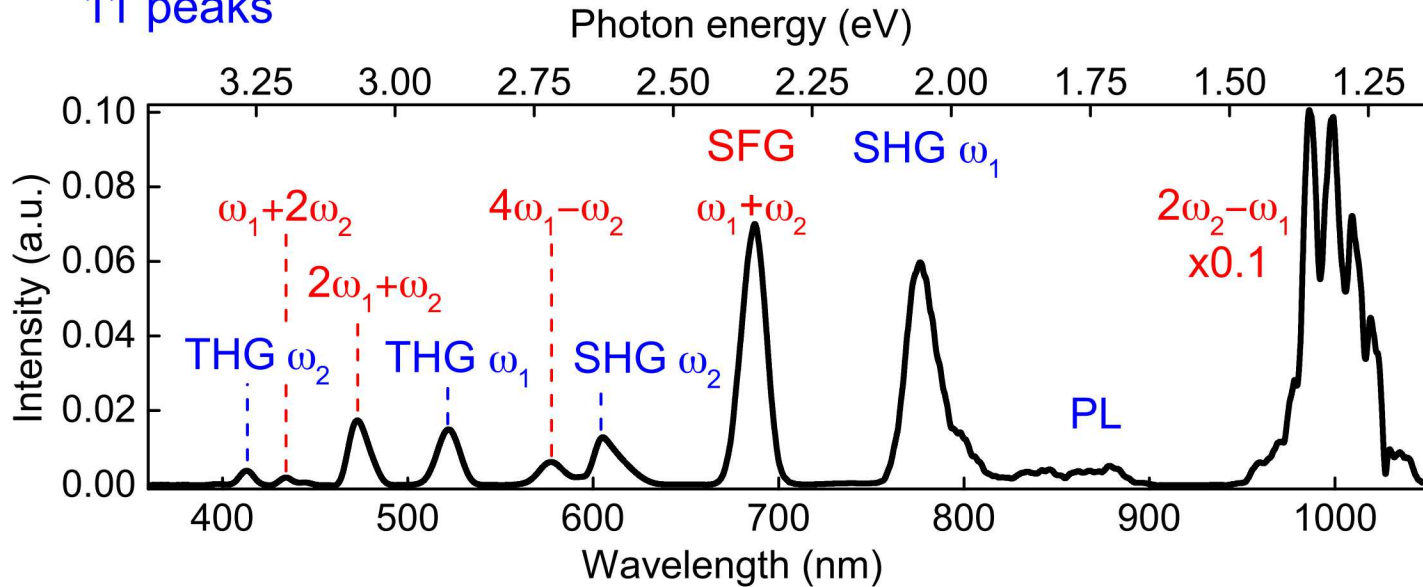


Frequency mixing spectra



Frequency mixing spectra

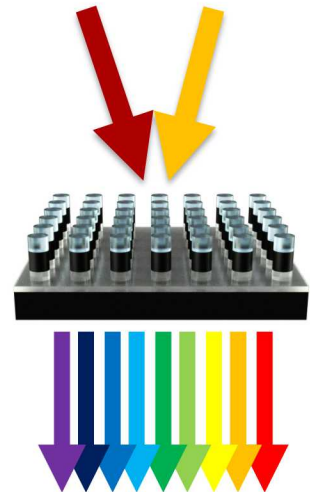
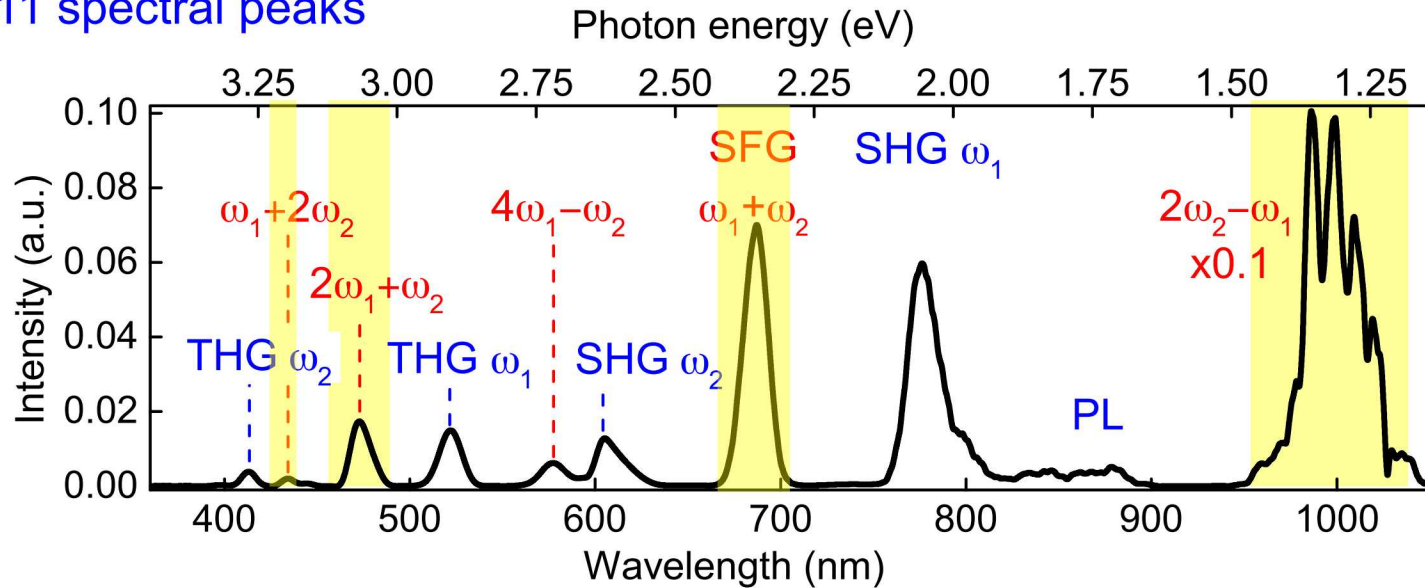
11 peaks



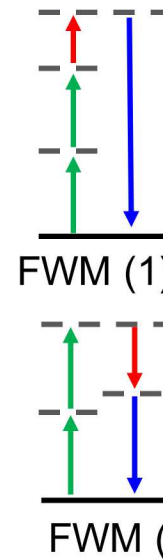
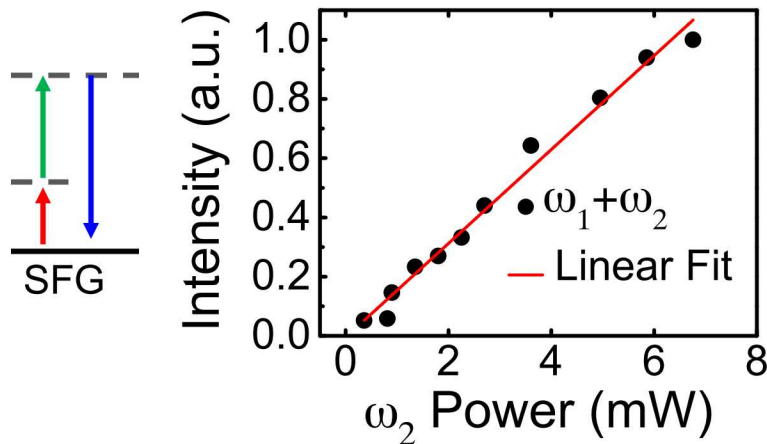
7 different nonlinear processes: 2nd, 3rd, 4th harmonics, sum frequency generation, 4 wave-mixing, six-wave mixing, PL induced by two-photon absorption

Frequency mixing spectra

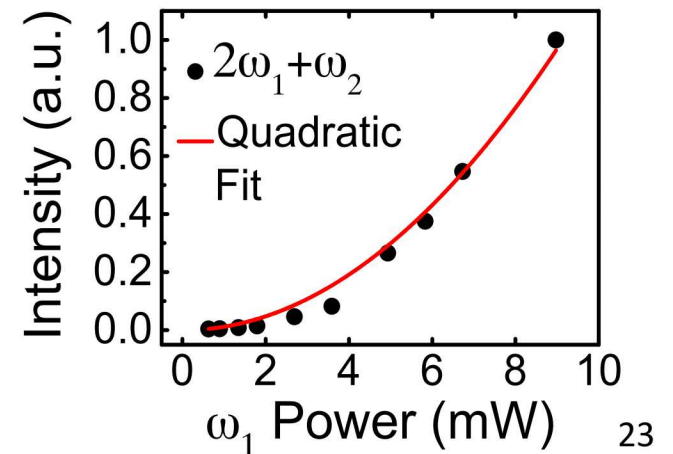
11 spectral peaks



SFG power dependence

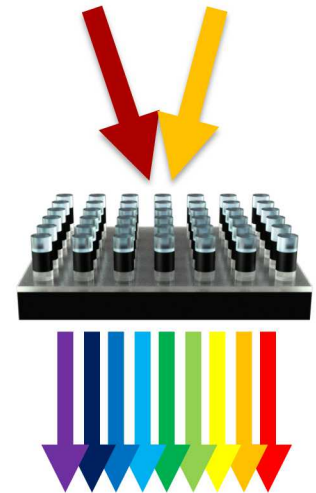
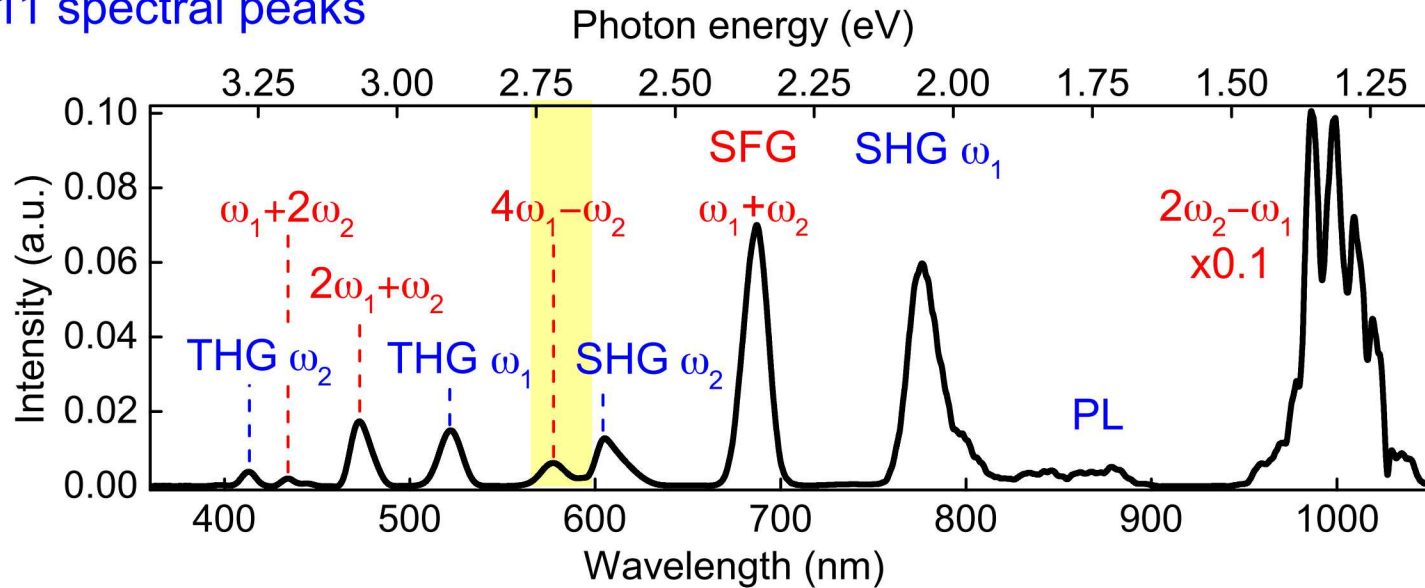


FWM power dependence

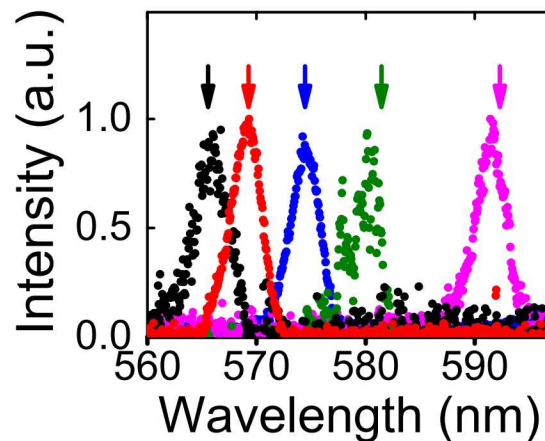
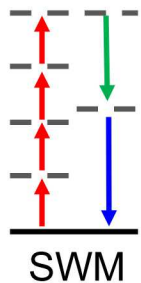


Frequency mixing spectra: six wave mixing

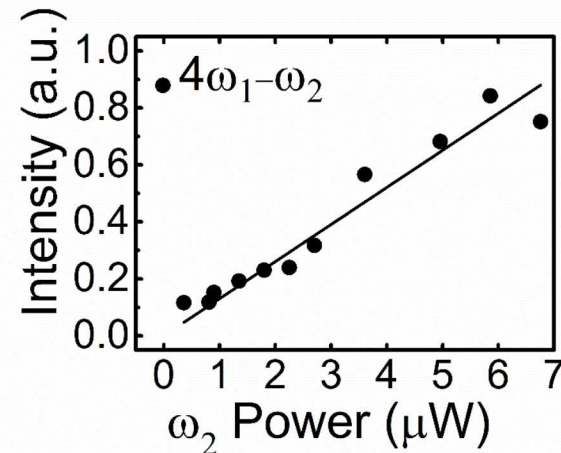
11 spectral peaks



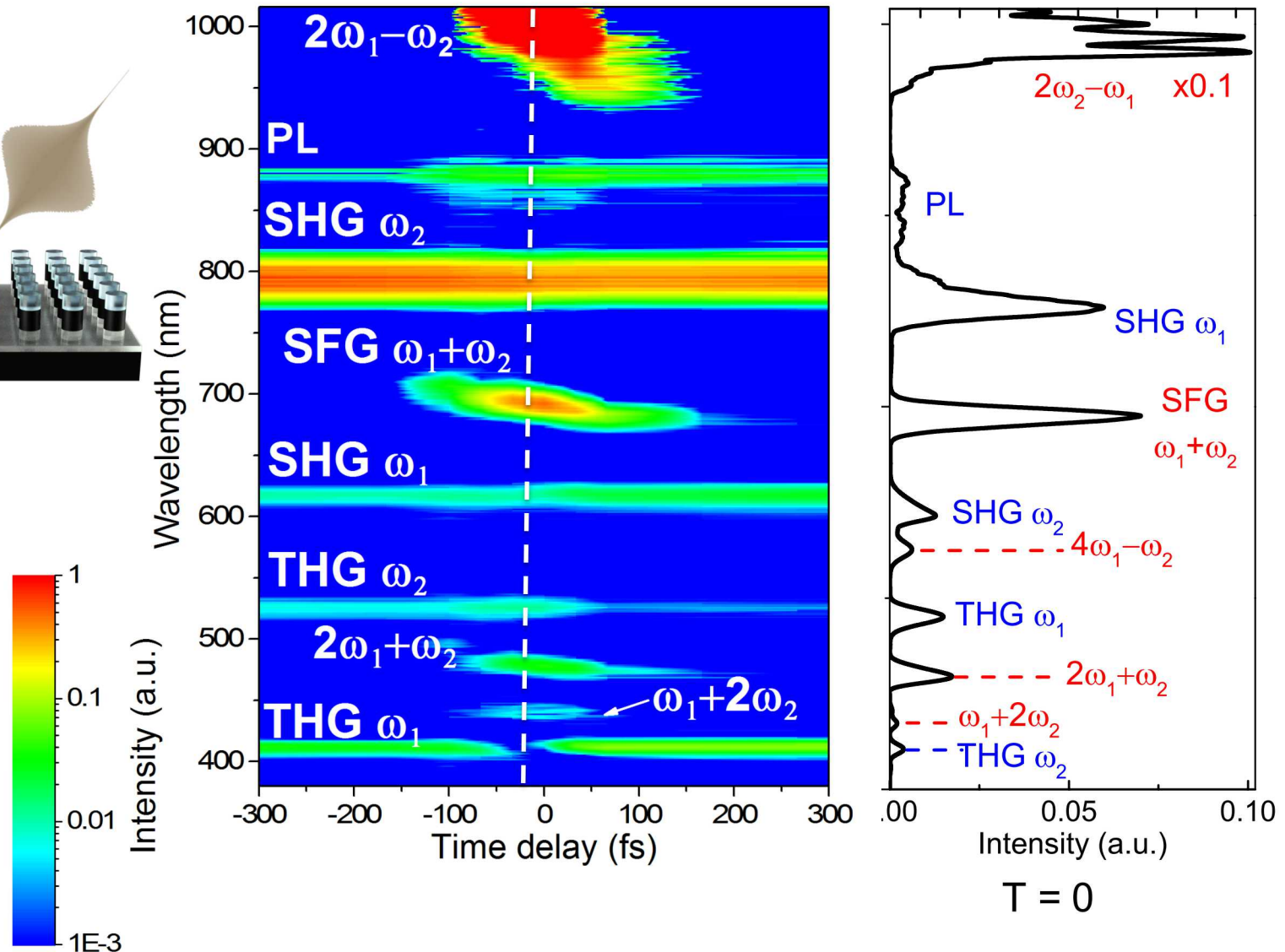
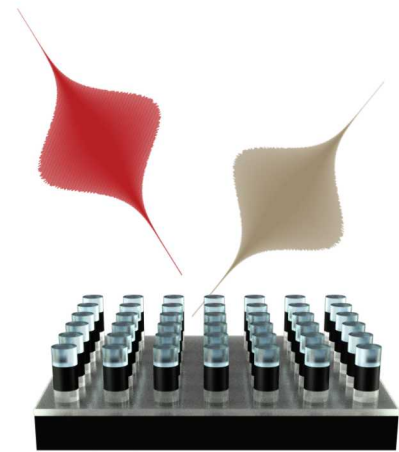
Wavelength shift



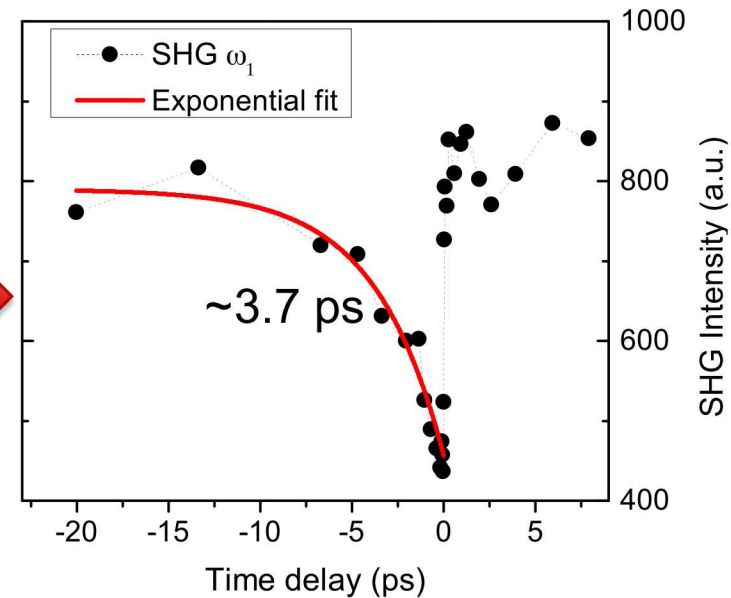
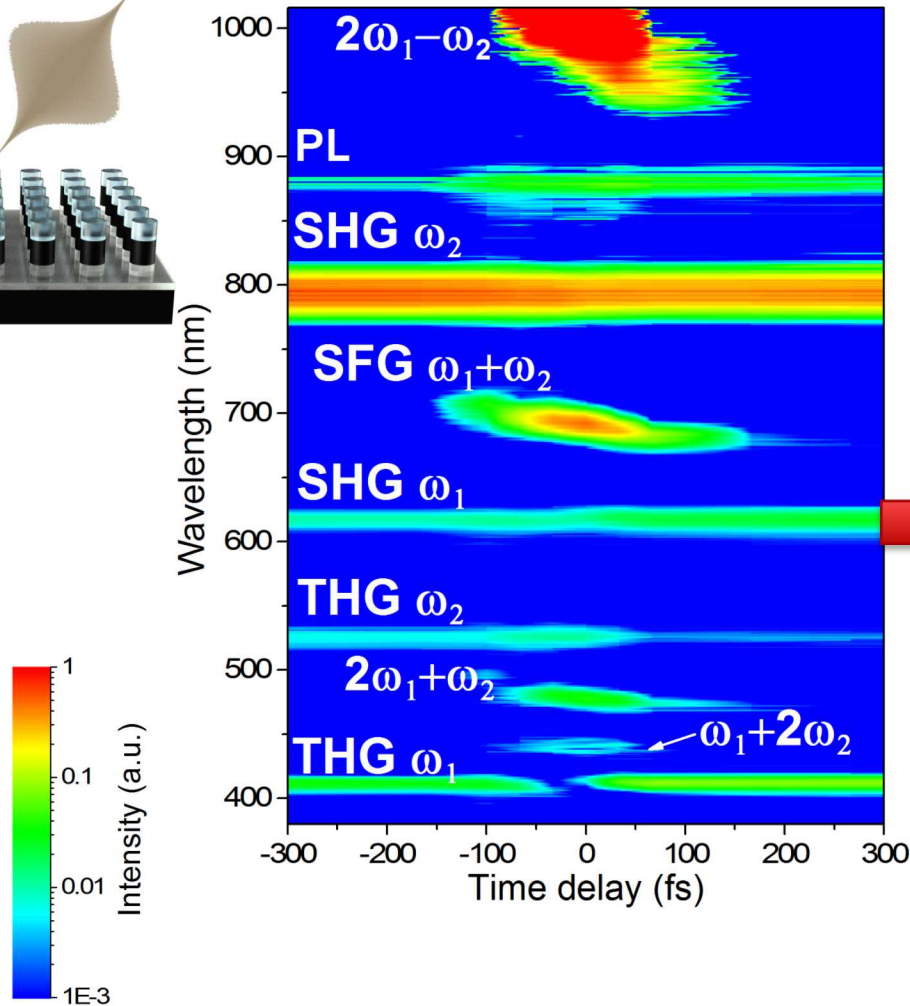
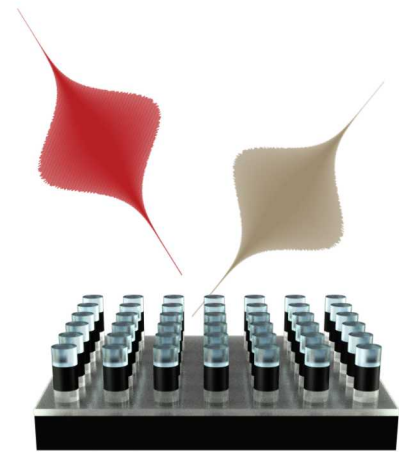
SWM power dependence



Temporal dynamics of frequency mixing



Temporal dynamics of frequency mixing



Conclusions I

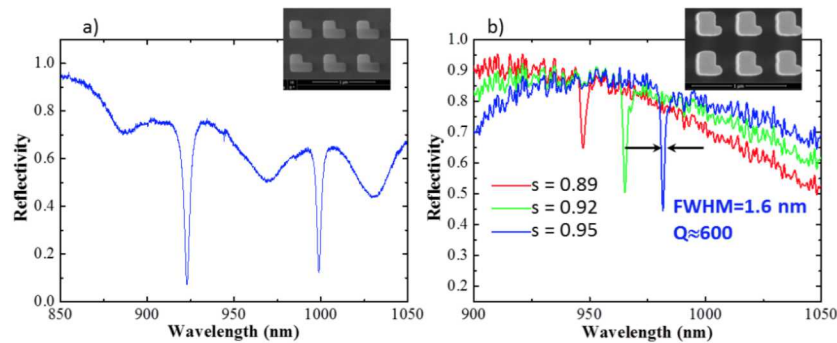
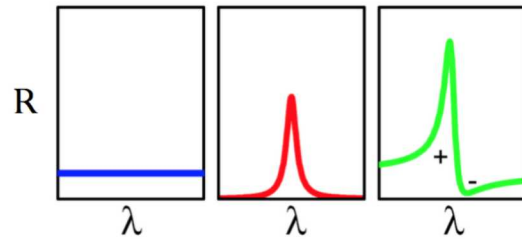
Our work	Other Dielectric metasurfaces	Plasmonic Nanotransistors
SHG THG FHG SFG FWM (1) FWM (2) SWM	SHG THG SFG FWM	SHG THG SFG FWM

a

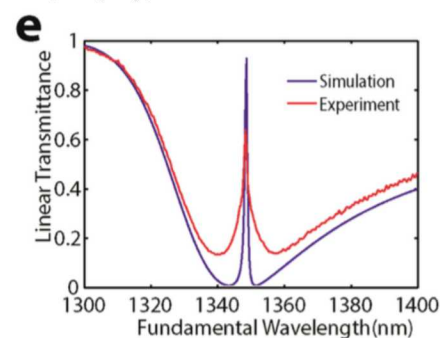
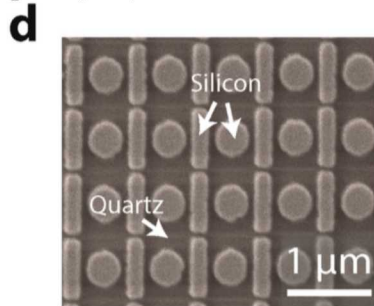
- The even and odd order nonlinearities of GaAs enable our observation of second-, third- and fourth-harmonic generation, sum-frequency generation, two-photon absorption induced photoluminescence, four-wave mixing, and six-wave mixing.

Liu, S.*, Vabishchevich, P. P.*, Vaskin, A., Reno, J. L., Keeler, G. A., Sinclair, M. B., ... & Brener, I. (2017). An optical metamixer. *arXiv preprint arXiv:1711.00090*.

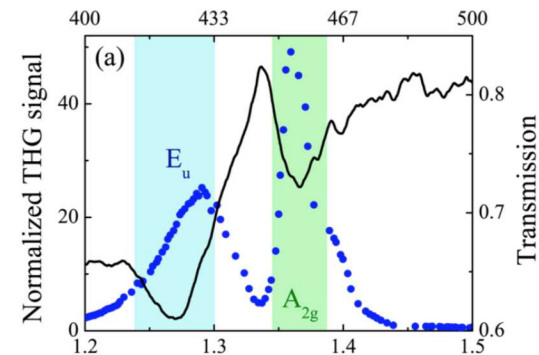
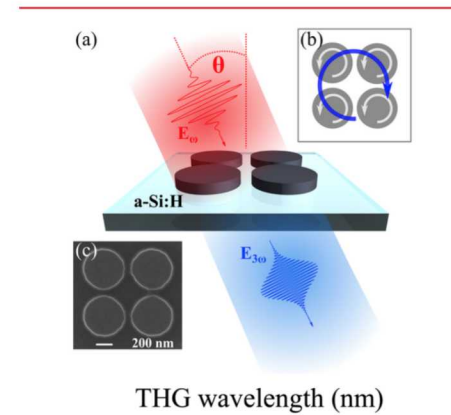
High-Q Fano resonances in metasurfaces



Campione, S.; et.al ACS Photonics 2016, 3 (12), 2362–2367.



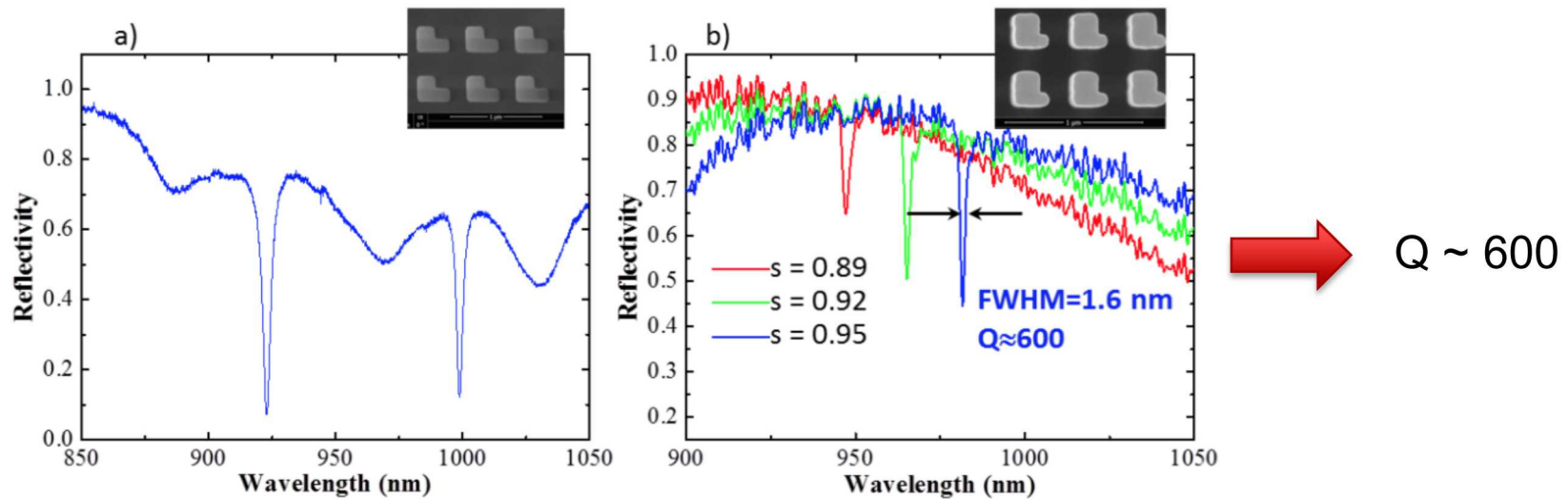
Yang, Y et. al. Nano Lett. 2015, 15 (11), 7388–7393.



Shorokhov, A. S et al Nano Lett. 2016, 16 (8), 4857–4861.

10-fold enhancement of Third-Harmonic Generation
in Si metasurfaces with Fano resonances

Fano resonances in metasurfaces

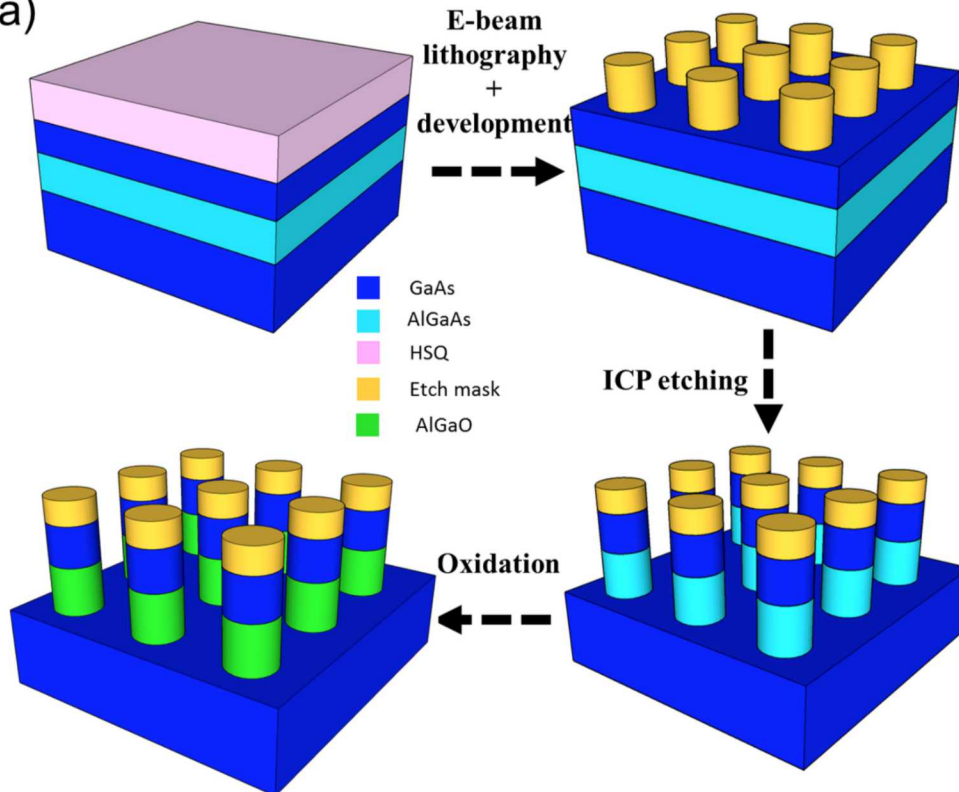


Campione, S.; et.al ACS Photonics 2016, 3 (12), 2362–2367.

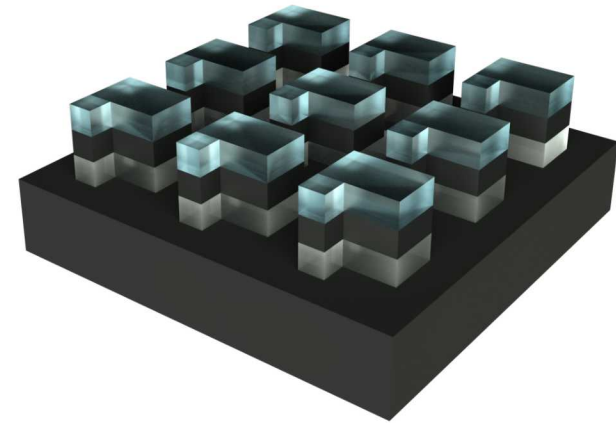
Resonantly Enhanced Second-Harmonic Generation Using Broken Symmetry III–V Semiconductor All-Dielectric Metasurfaces

GaAs Fano metasurface

(a)



Liu, S. et. al. *Nano letters* 16.9 (2016): 5426-5432

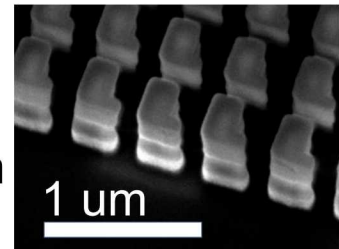
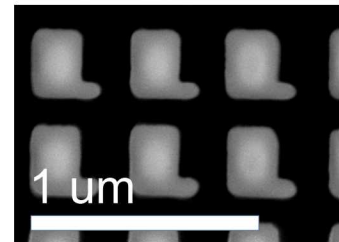
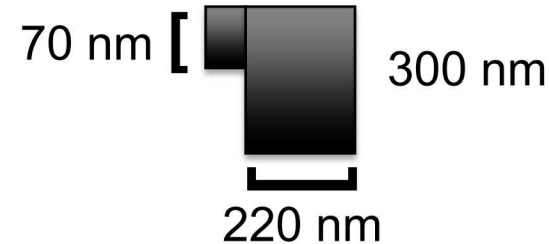


SiO_x – 300 nm

GaAs [100]– 300 nm

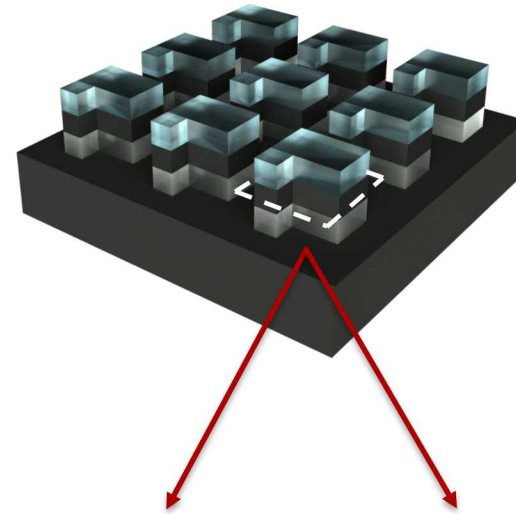
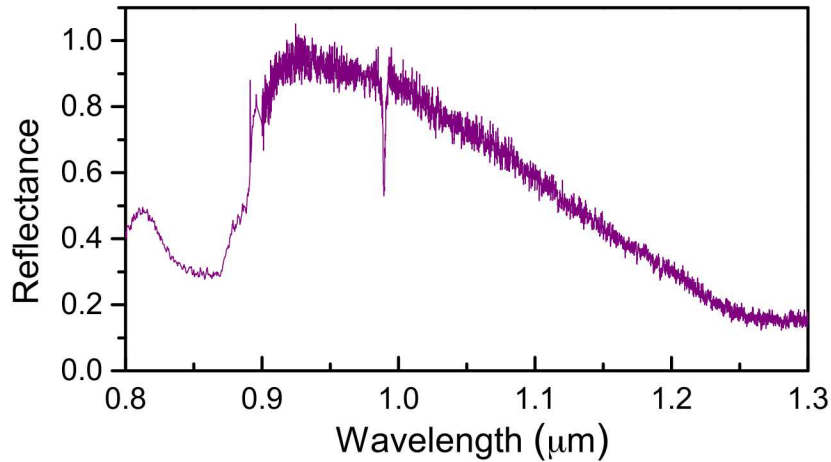
$(\text{Al}_x\text{Ga}_{1-x})_2\text{O}_3$ – 300 nm

Period 470 nm

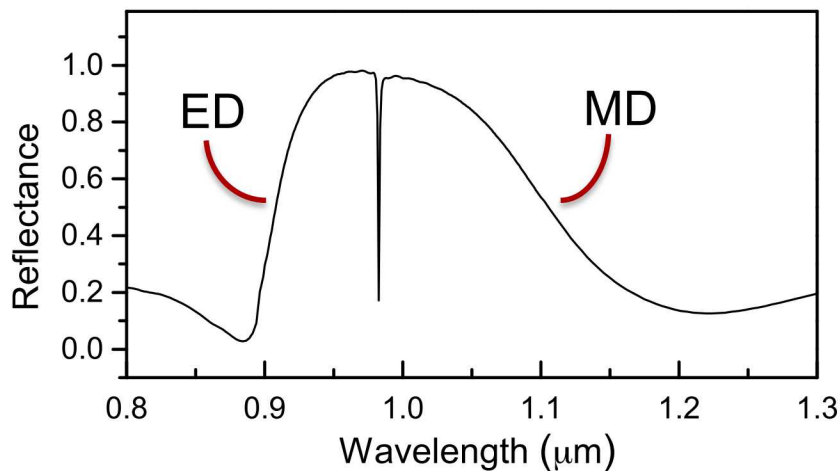


Fano metasurface reflectance spectrum

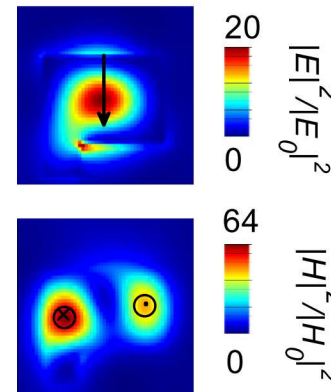
Experimental measurements



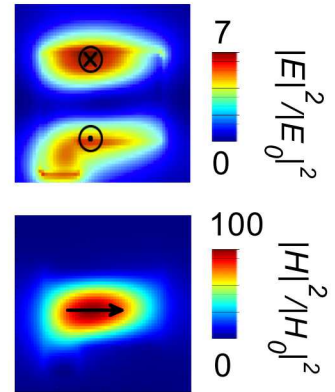
FDTD simulations



ED resonance

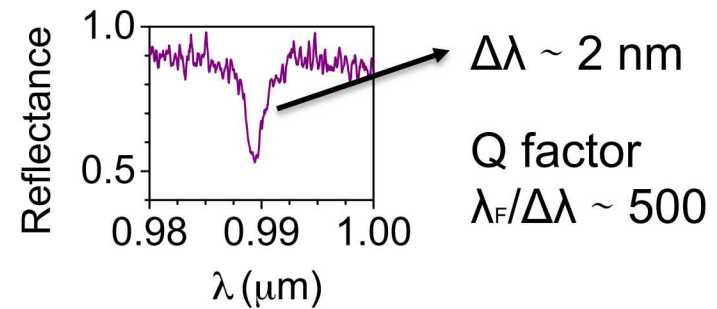
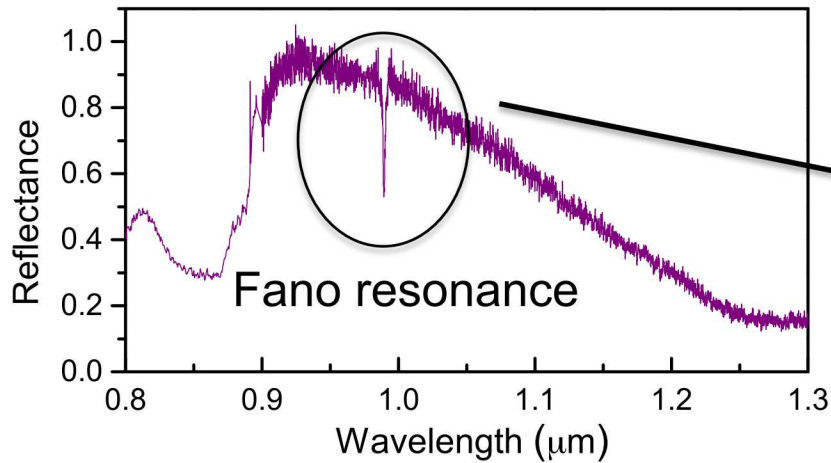


MD resonance

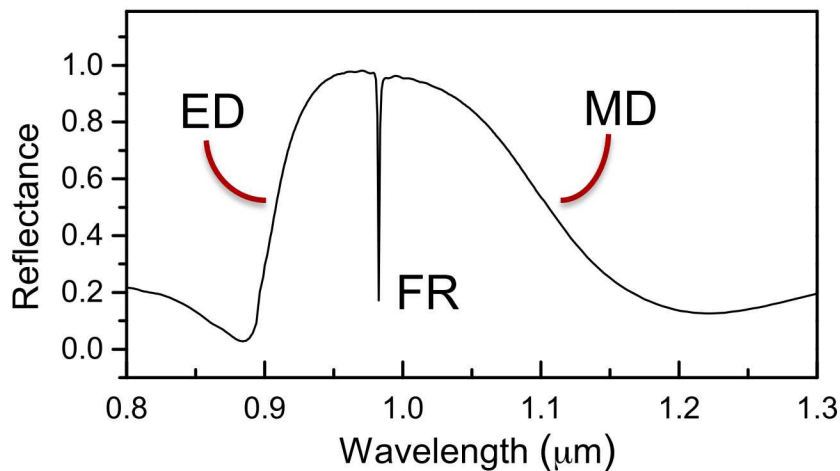


Fano metasurface reflectance spectrum

Experimental measurements

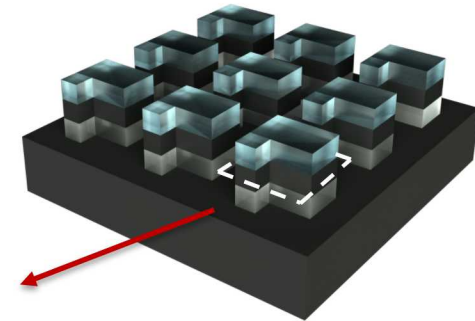
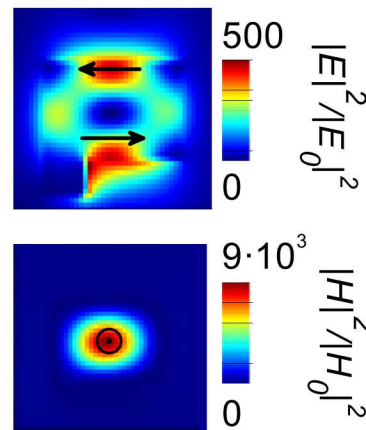


FDTD simulations

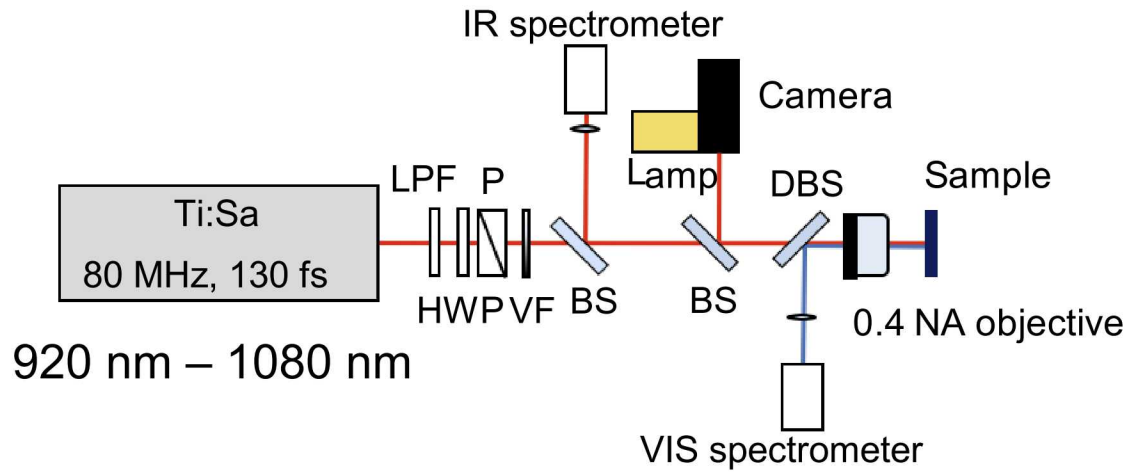


Fano resonance

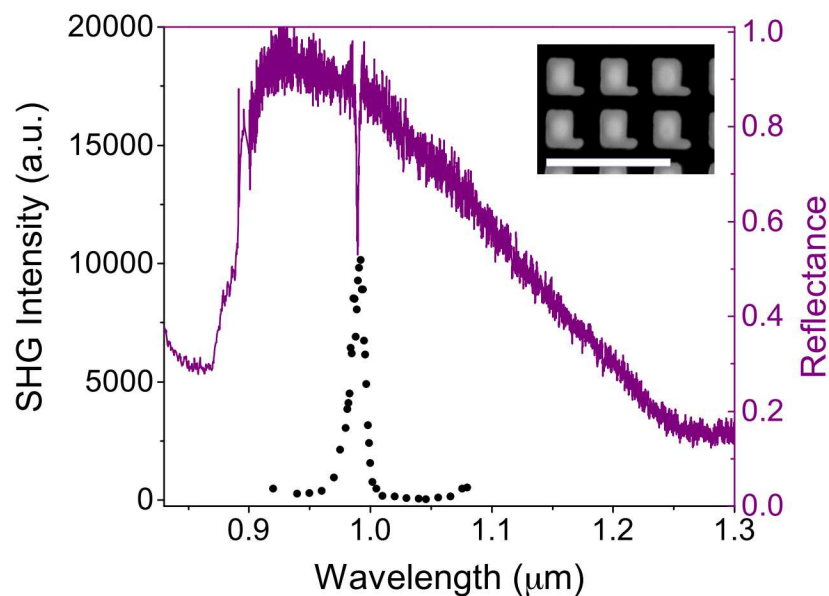
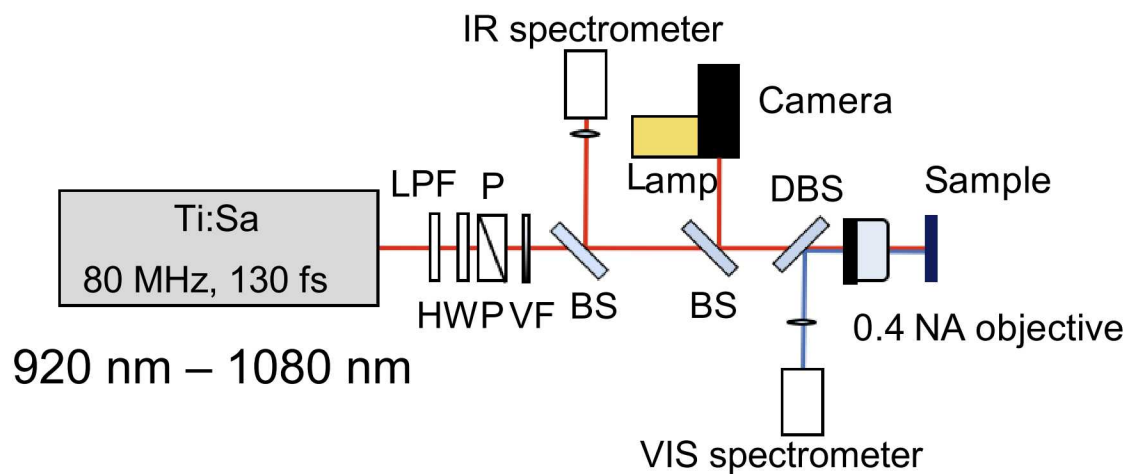
$\lambda_R \sim 0.98 \text{ nm}$



SHG in Fano metasurface



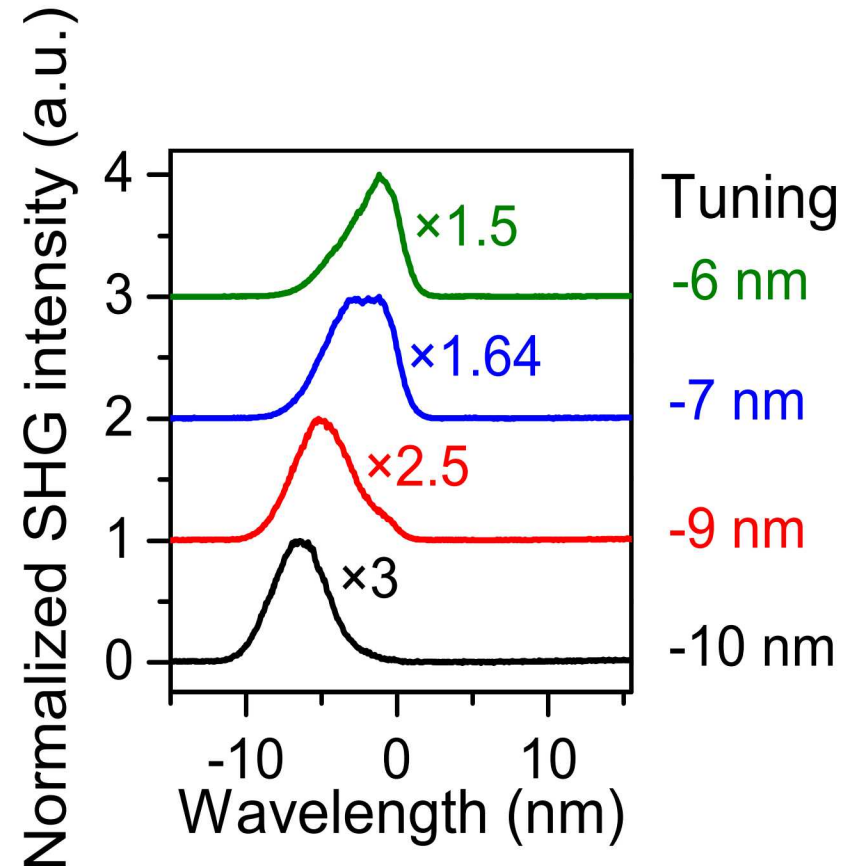
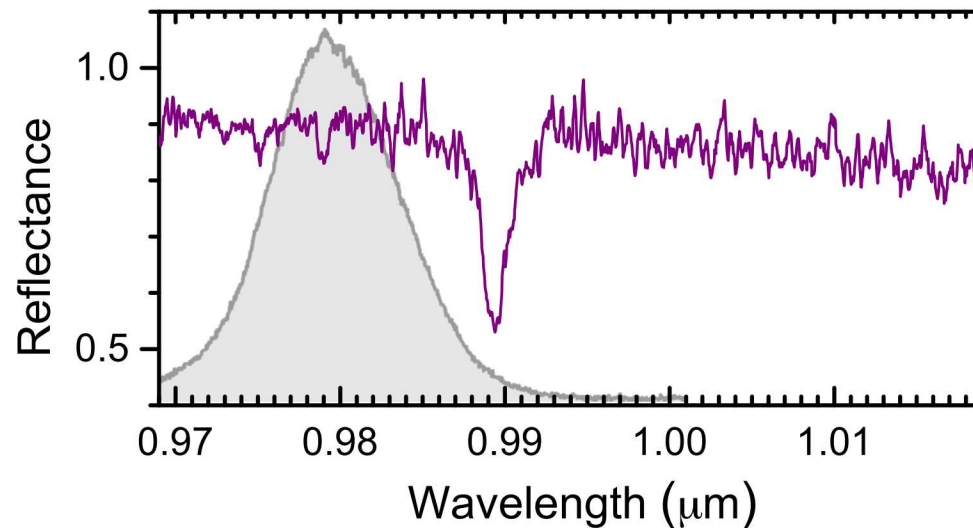
SHG in Fano metasurface



SHG intensity enhancement in the vicinity of the Fano resonance

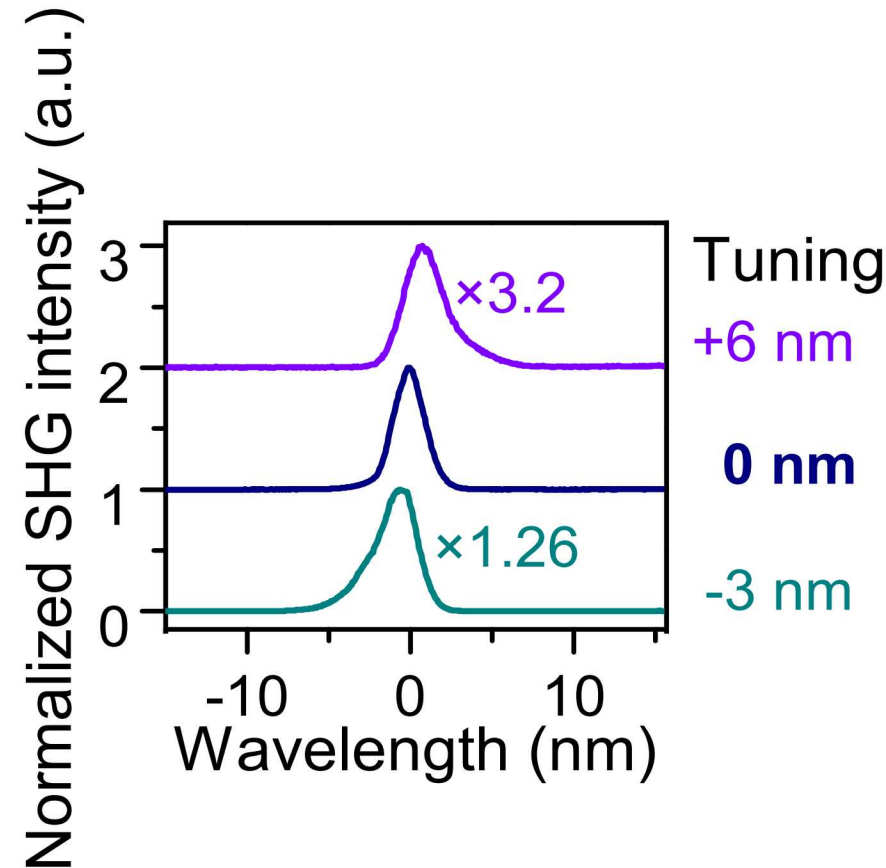
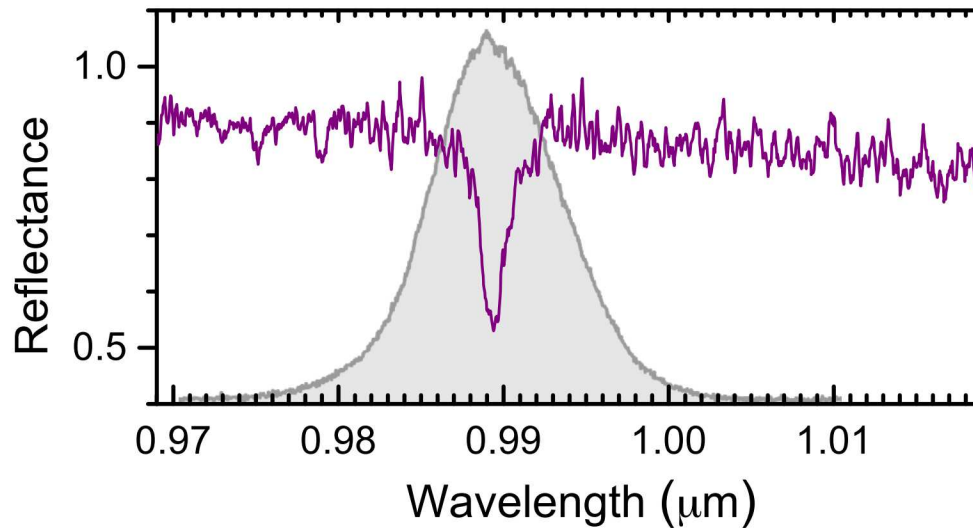
SHG spectra modification

- The modification of a SHG spectrum when the pump carrier wavelength is detuned from the Fano resonant wavelength



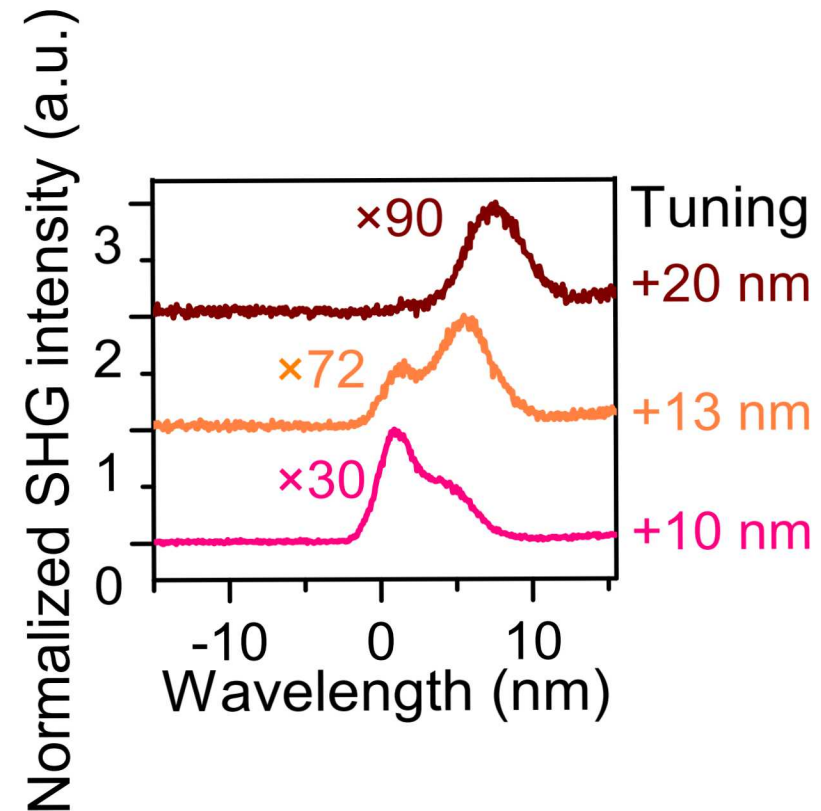
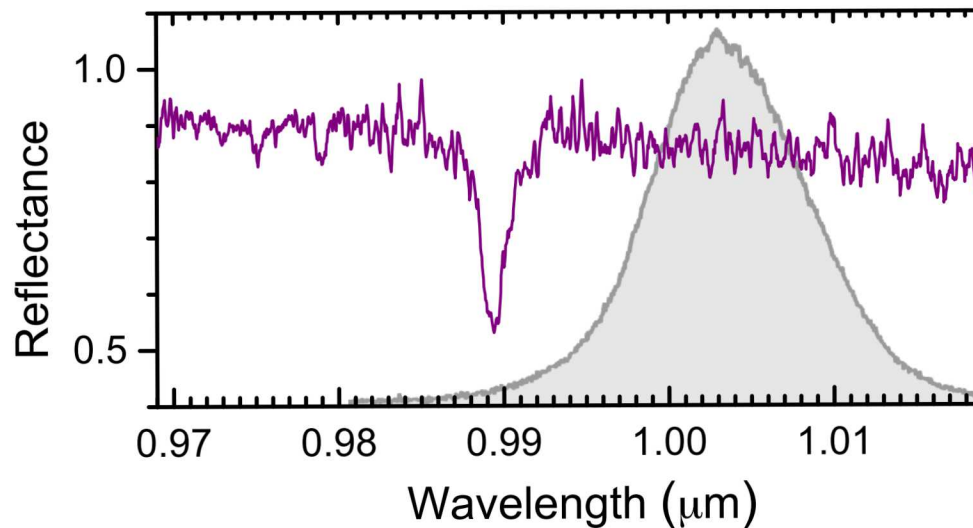
SHG spectra modification

- The modification of a SHG spectrum when the pump carrier wavelength is detuned from the Fano resonant wavelength



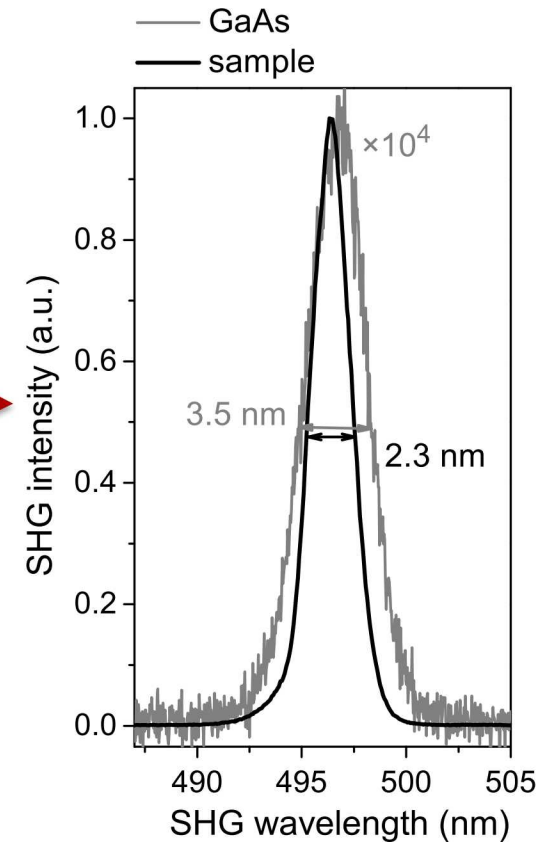
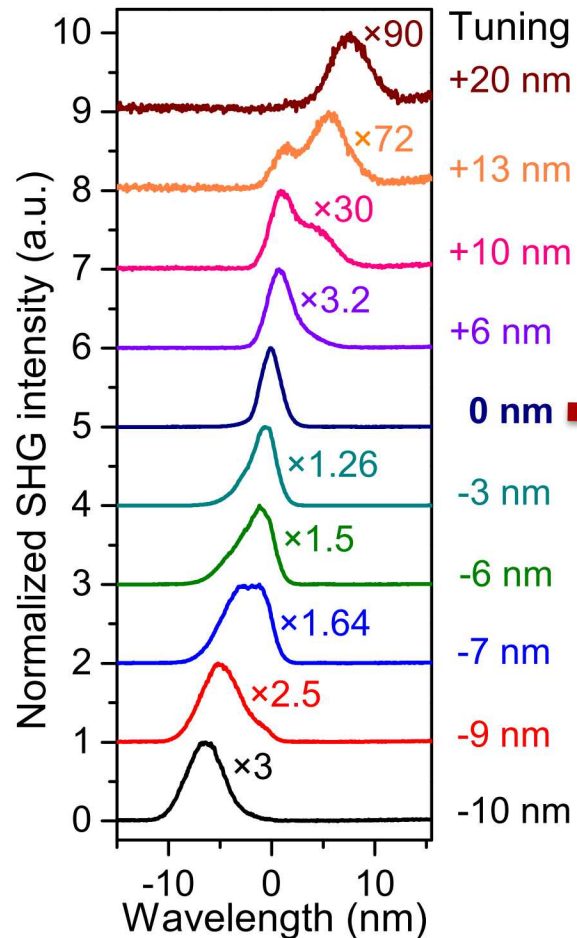
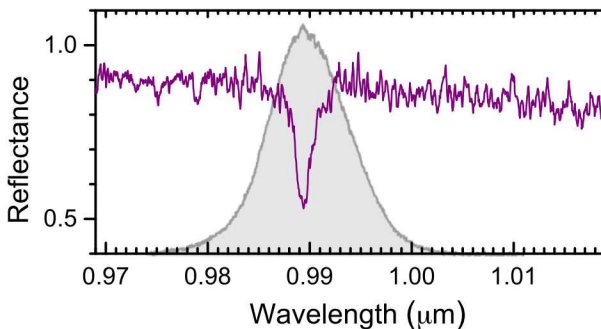
SHG spectra modification

- The modification of a SHG spectrum when the pump carrier wavelength is detuned from the Fano resonant wavelength

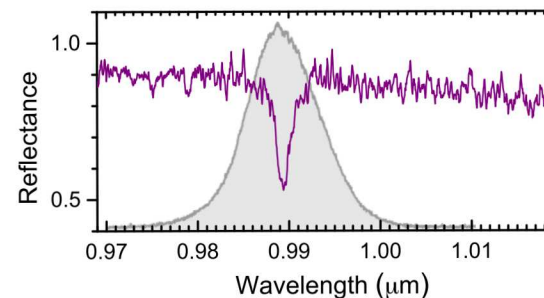
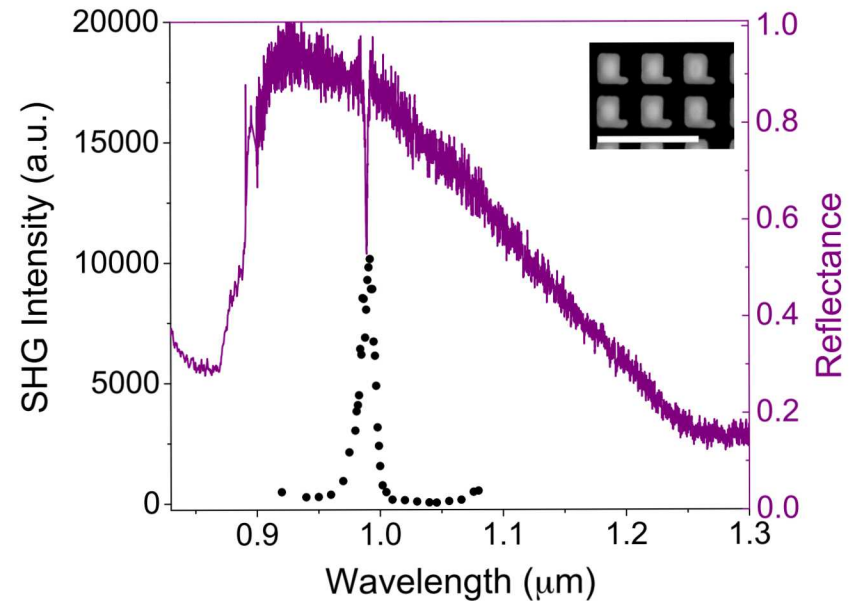
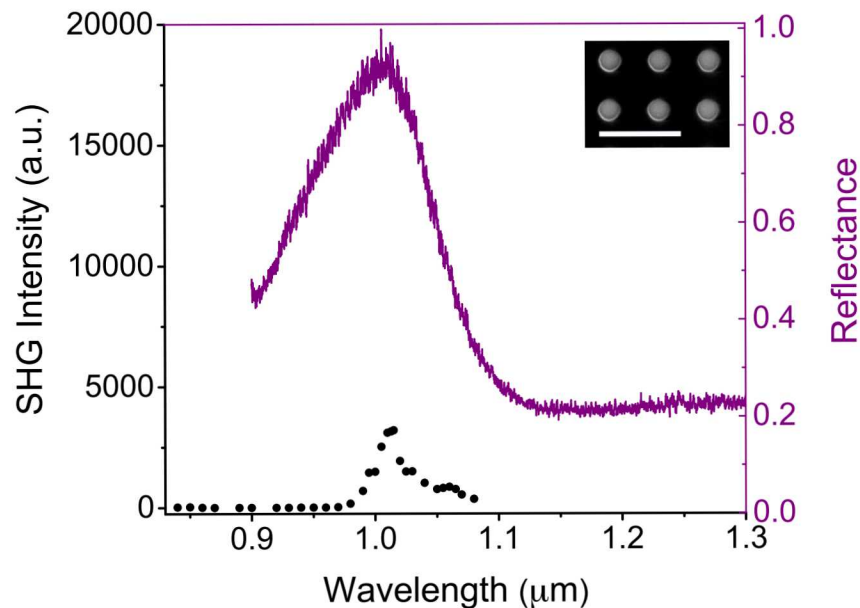


SHG spectra modification

- Narrowing of the second harmonic spectra at resonant wavelength



Nanodisk metasurface vs Fano metasurface Sandia National Laboratories

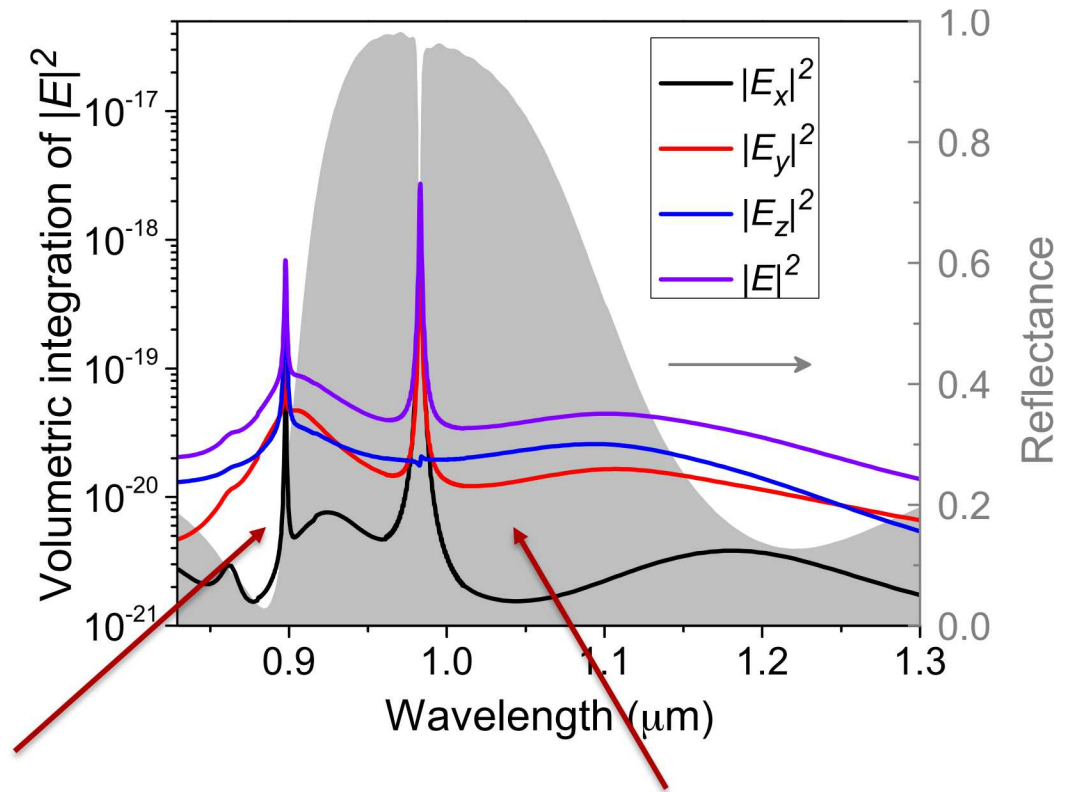
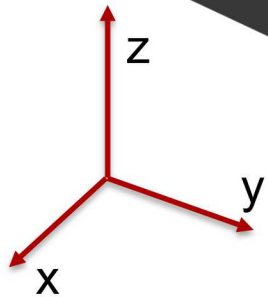
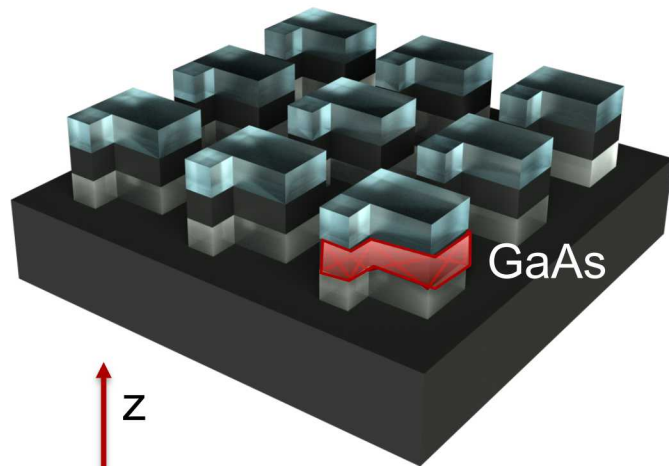


~30% of pump power is used

13-fold enhancement of SHG in Fano metasurface in comparison with the nanodisk metasurface

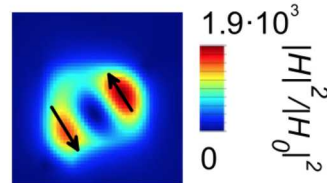
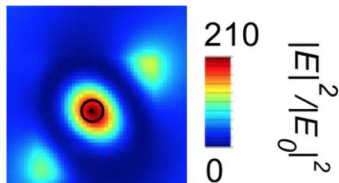
Numerical calculations

Efficiency $\sim$ electromagnetic field enhancement



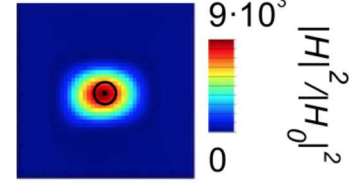
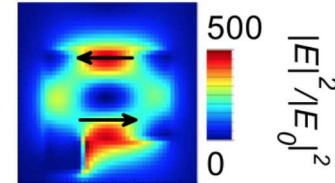
Fano resonance

$\lambda_R \sim 0.9 \text{ nm}$

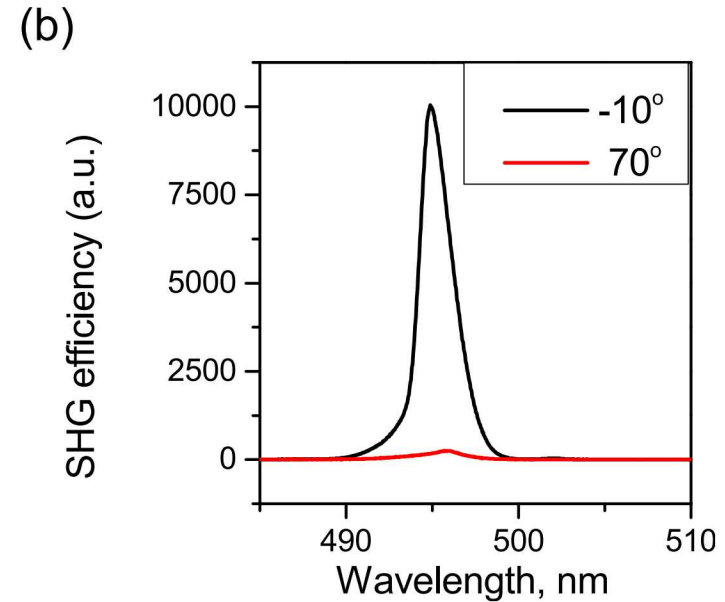
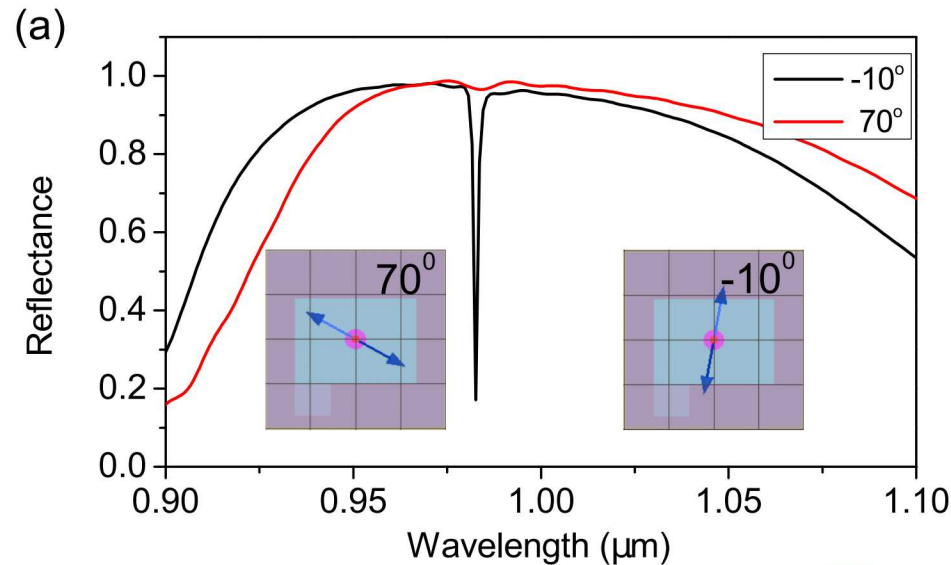


Fano resonance

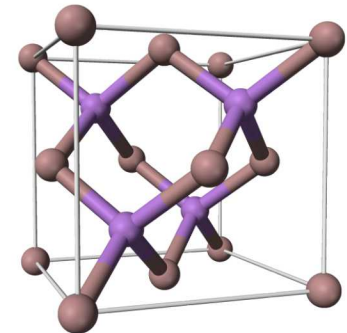
$\lambda_R \sim 0.98 \text{ nm}$



Polarization dependence of SHG

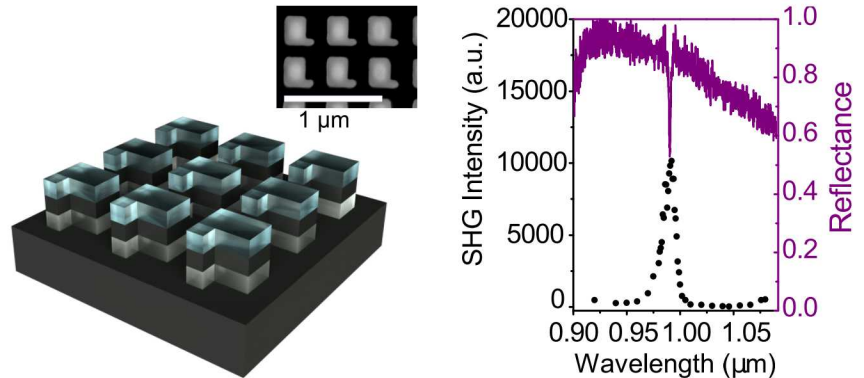


Match the asymmetric shape of the sample with the crystalline axis of GaAs



Conclusions II

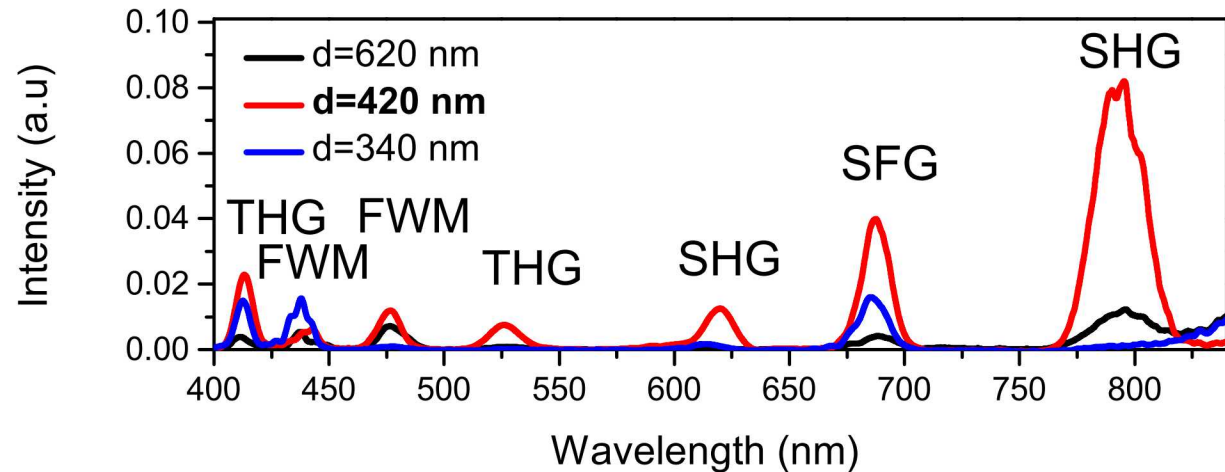
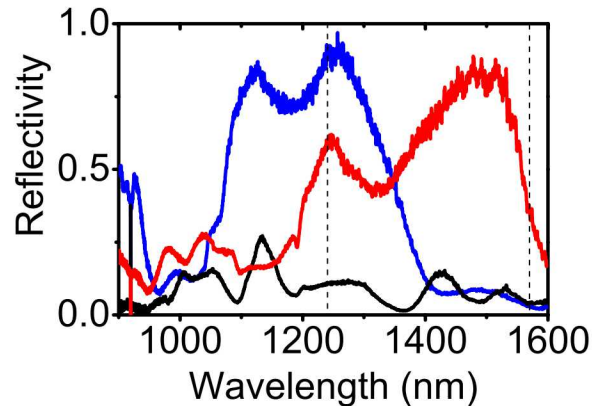
- Nontrivial spectral shaping of second-harmonic spectra in symmetry broken III-V semiconductor metasurface
- Multifold efficiency enhancement induced by high electromagnetic field localization and enhancement inside the nanoresonators.



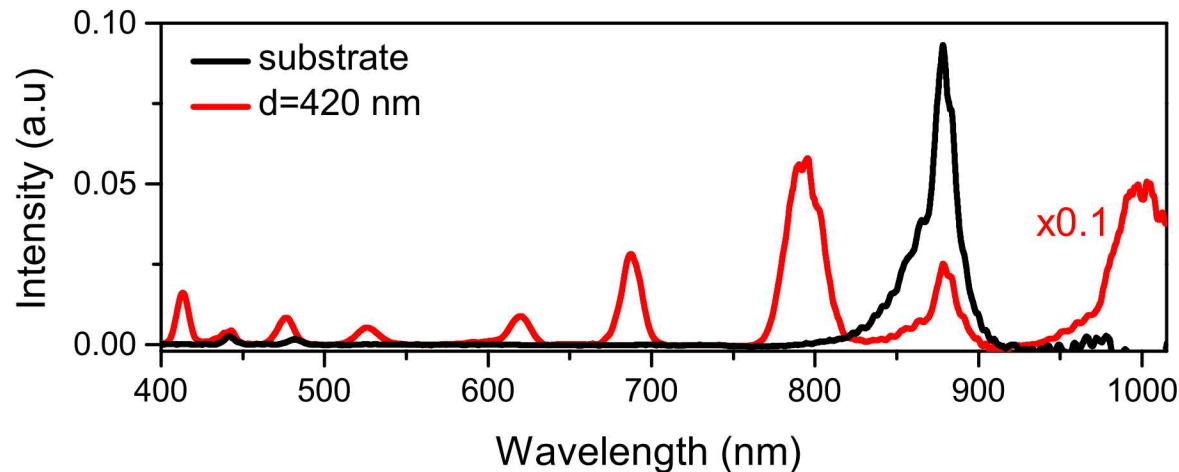
Vabishchevich, P., Liu, S., Sinclair, M. B., Keeler, G. A., Peake, G. M., & Brener, I. (2018). Enhanced Second-Harmonic Generation Using Broken Symmetry III-V Semiconductor Fano Metasurfaces. *ACS Photonics*. Article ASAP

DOI: 10.1021/acsphotonics.7b01478

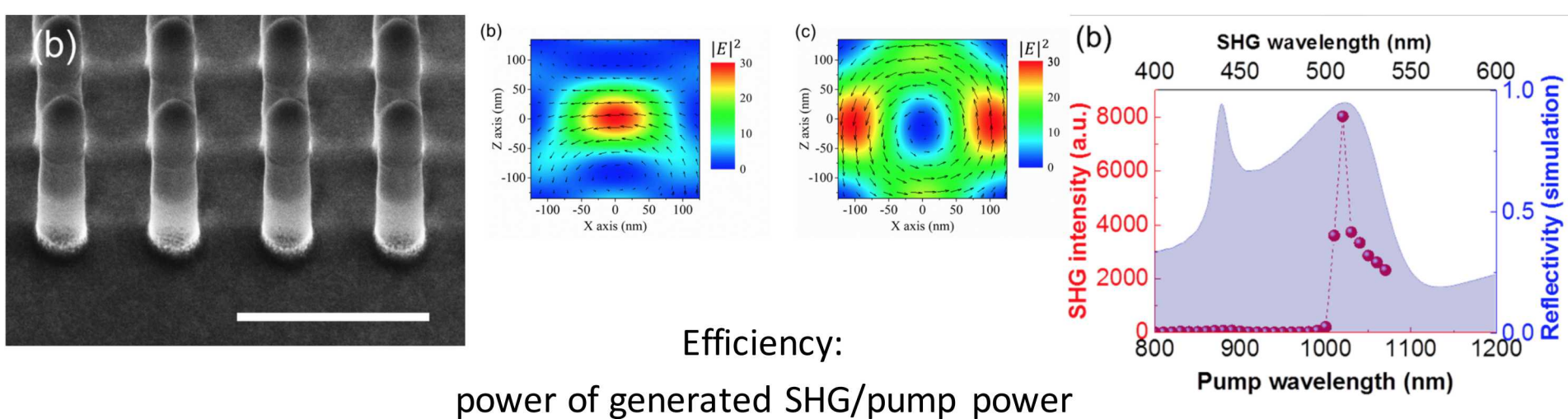
Resonant enhancement – other dimensions



- Signal was optimized for SFG at Diameter = 420 nm.
- Mixing signal is much stronger at D=420 for most of the frequencies.



Nonlinear semiconductor metasurfaces



Surface nonlinearity
Bulk nonlinearity

Second Harmonic Generation (10^{-5})

AlGaAs – Carletti, L., et al. *Optics express* 23.20 (2015): 26544-26550.

Kruk, S. et al. *Nano Lett.*, **2017**, 17 (6), pp 3914–3918

GaAs – Liu, S. et. al. *Nano letters* 16.9 (2016): 5426-5432.

Third Harmonic Generation (10^{-7})

Si – Shcherbakov et.al. *ACS Photonics*, **2015**, 2 (5), pp 578–582

a-Si – Shorokhov et.al. *Nano Lett.*, **2016**, 16 (8), pp 4857–4861

