



# Spatial and compositional dependence of deep level defects in InGaN LEDs

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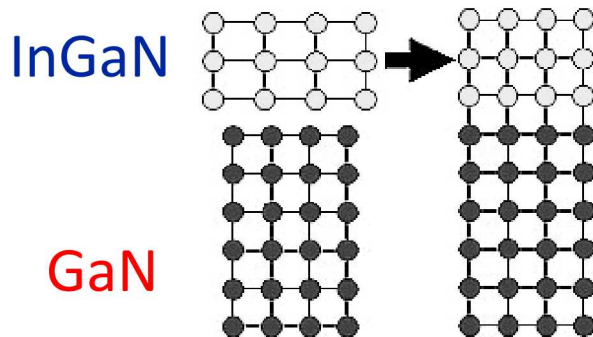
# Outline

- Importance of point defects in InGaN/GaN LEDs
- Application of deep level optical spectroscopy (DLOS) to InGaN/GaN LEDs
- Indium compositional dependence of QW deep level defects
- Nanoscale depth-dependence of QW deep levels in MQW region
- Controlling deep levels in active regions designed for droop reduction
- Summary

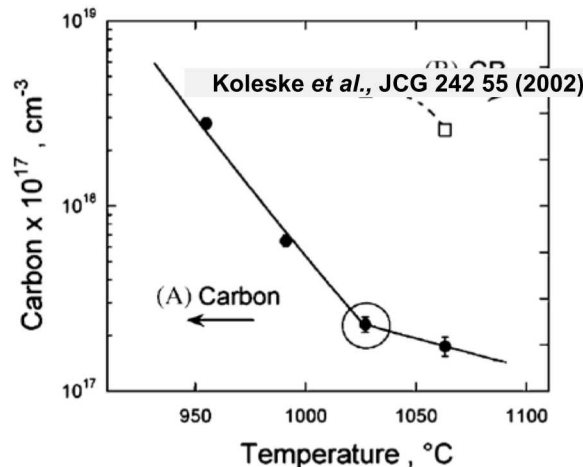


# Defects must be carefully managed in InGaN/GaN LEDs

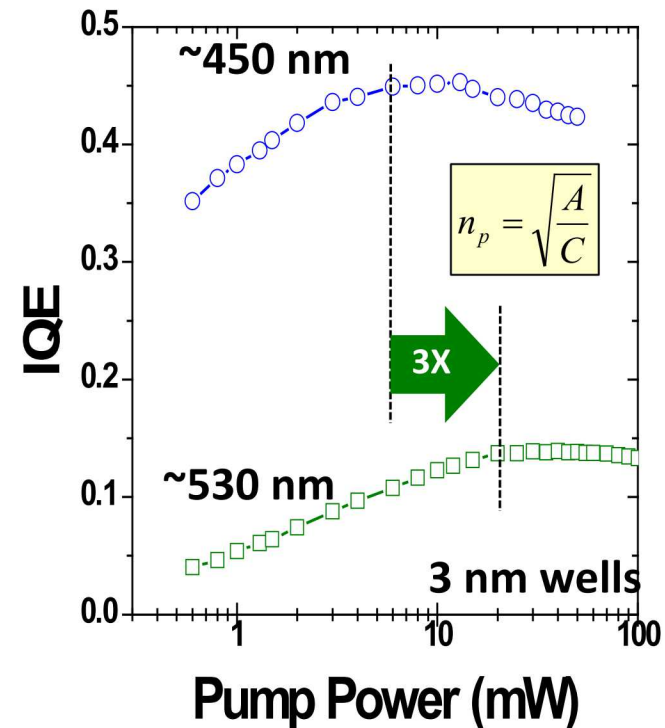
## Mis-matched epitaxy and strain



## Carbon incorporation



## LED IQE vs. optical pump power



$$IQE = \frac{Bn^2}{An + Bn^2 + Cn^3}$$

- Defects depress peak IQE
- Defect incorporation increases with increasing indium content
- Defects likely to contribute to "green gap"



# Outline

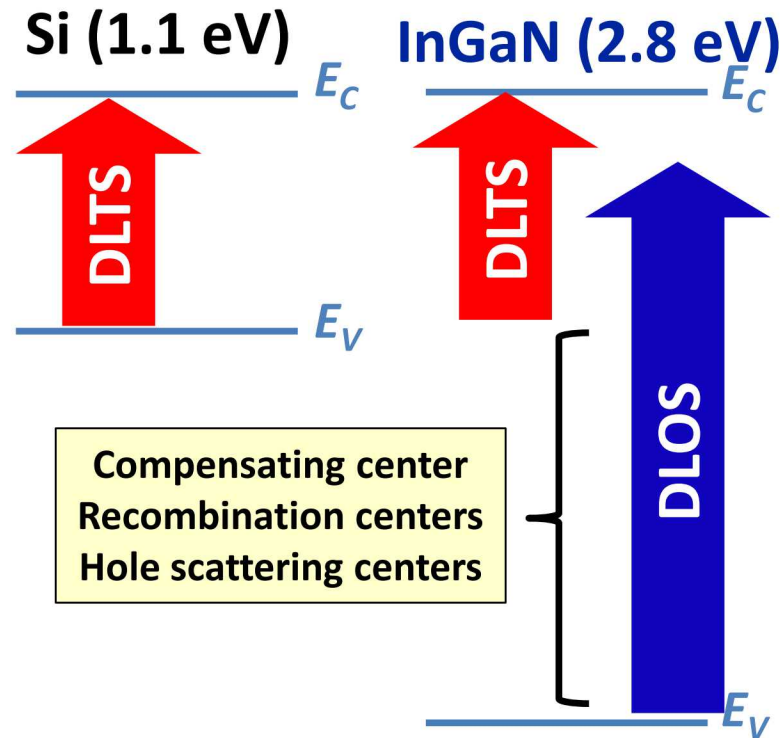
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# Deep level spectroscopy of InGaN LEDs is difficult

- Thermal emission techniques limited to  $\sim 1$  eV of band edge
- Luminescence insensitive to non-radiative centers and not quantitative



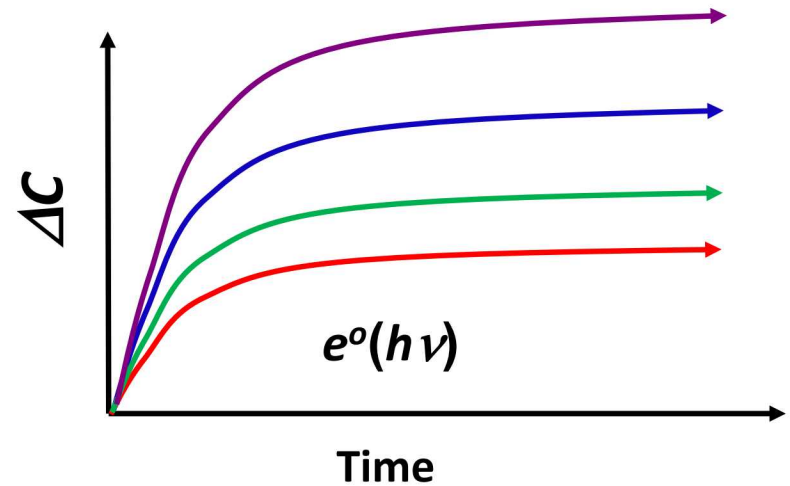
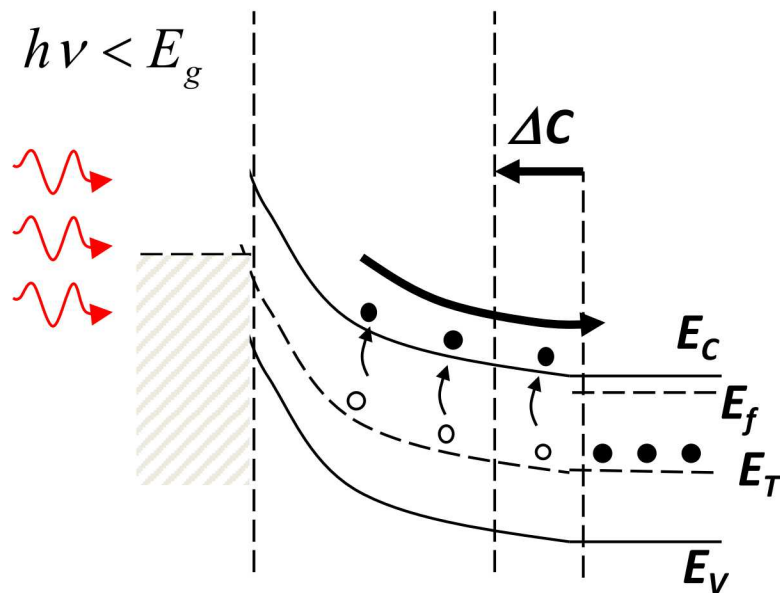
**Solution: Deep Level Optical Spectroscopy (DLOS)**



# Deep Level Optical Spectroscopy

## Deep Level Optical Spectroscopy (DLOS)<sup>1</sup>

- Photocapacitance technique
- Sub-band gap light photoionizes defect levels
- DLOS only senses deep level defects in the depletion region



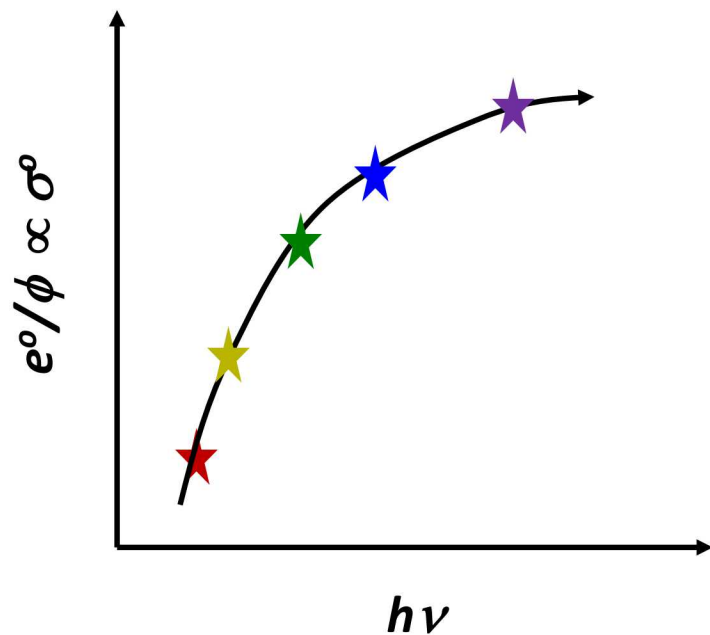


# Deep Level Optical Spectroscopy

## Deep Level Optical Spectroscopy (DLOS)<sup>1</sup>

- Fundamental physics from optical cross-section:  $\sigma^o(h\nu) = e^o(h\nu)/\phi(h\nu) = \alpha/N_t$
- Determine deep level energy  $E_o$  from spectral line shape of  $\sigma^o(h\nu)$
- Discuss defect density later...

## Optical analog of Arrhenius plot



$$\sigma^o(h\nu) \propto \frac{1}{E_o} \left\{ \left( \frac{E_o}{h\nu} \right) \cdot \left( 1 - \frac{E_o}{h\nu} \right) \right\}^{3/2}$$

$$\sigma^o(h\nu, T) \propto \frac{E_o^2}{h\nu \sqrt{4k_B T \pi d_{FC}}} \int_1^\infty dx \sqrt{\frac{x-1}{E_o}} \frac{1}{[(x-1)/E_o + m]^2} \times \exp \left[ -\frac{E_o^2 (h\nu/E_o - x)^2}{4k_B T d_{FC}} \right], \quad (4)$$



# Outline

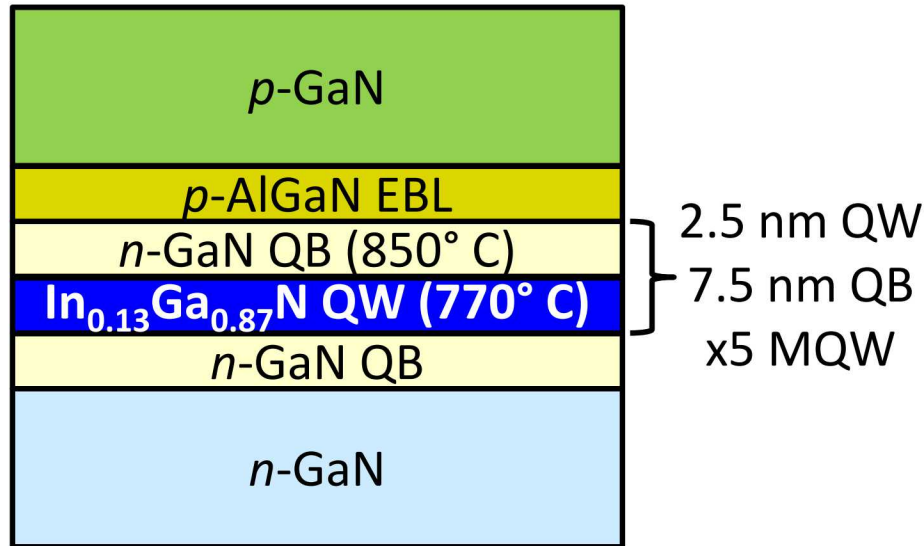
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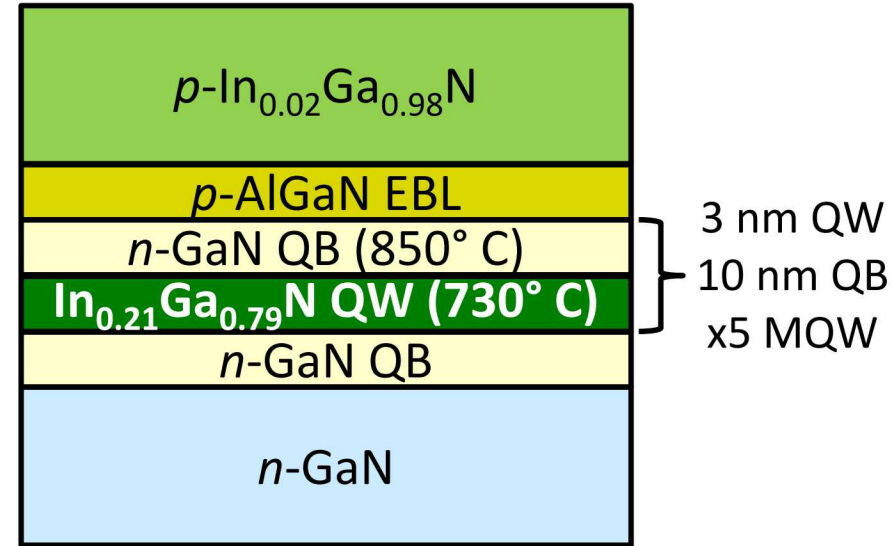


# Compare MQW deep levels in blue vs. green LEDs

## 440 nm InGaN/GaN LED



## 530 nm InGaN/GaN LED

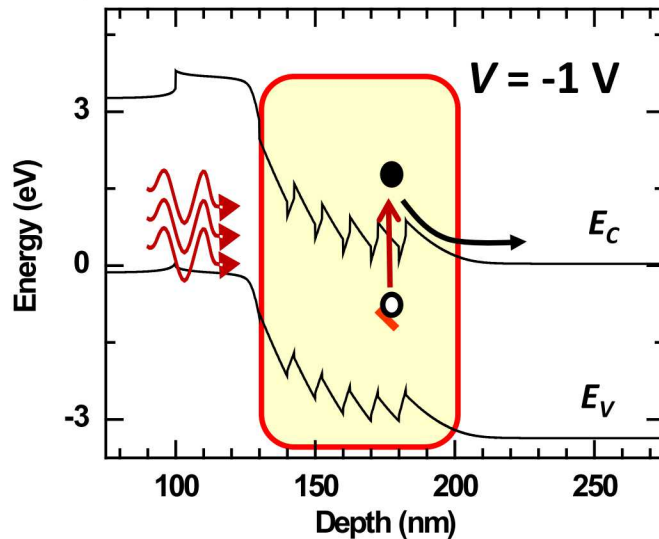


- Sandia-grown MOCVD c-plane LEDs on sapphire
- What is the impact of increased In and reduced  $T_g$  on QW deep levels?



# DLOS provides depth resolution in LEDs

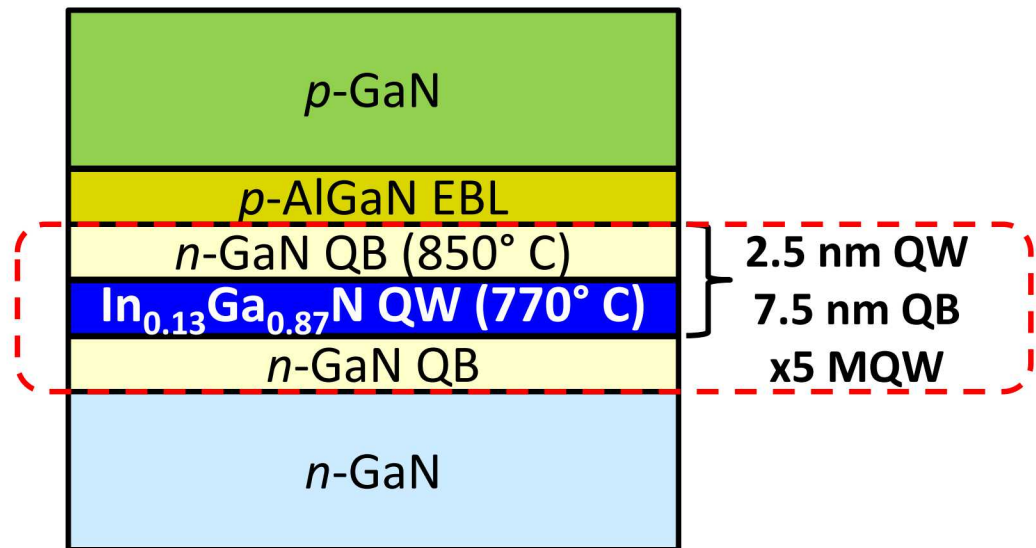
## LED MQW 1D-SP calculations\*



\*G. Snider UND

- Control DLOS depth resolution with applied bias
- DLOS selective to the MQW region for  $V = -1$  V

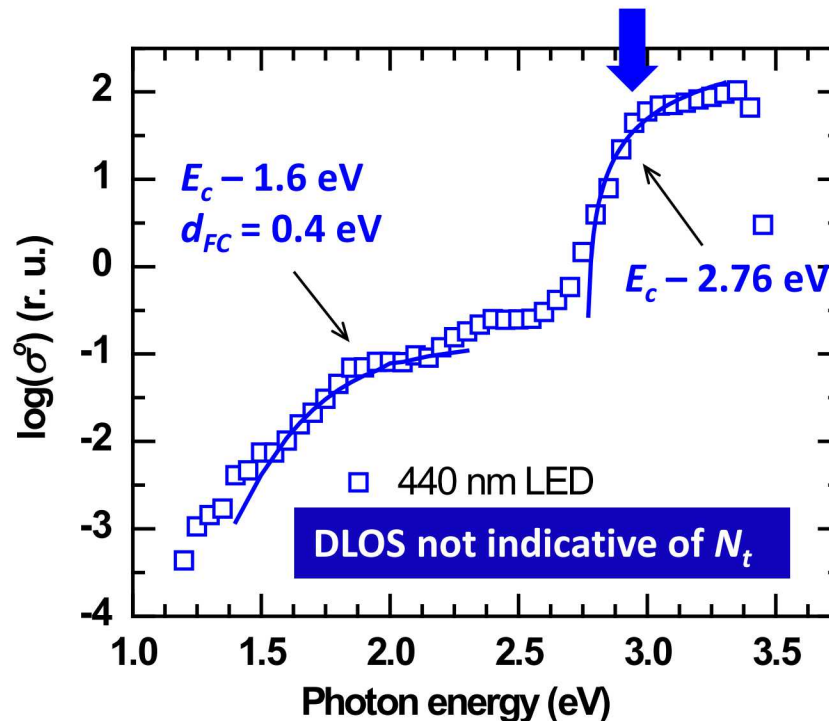
## 440 nm InGaN/GaN LED



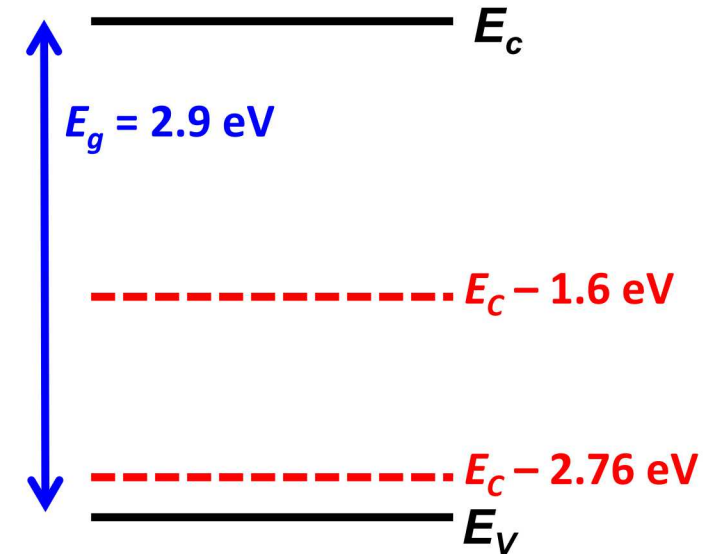


# Identify QW-related defects in LEDs

## DLOS of $\text{In}_{0.13}\text{Ga}_{0.87}\text{N}$ LED QWs



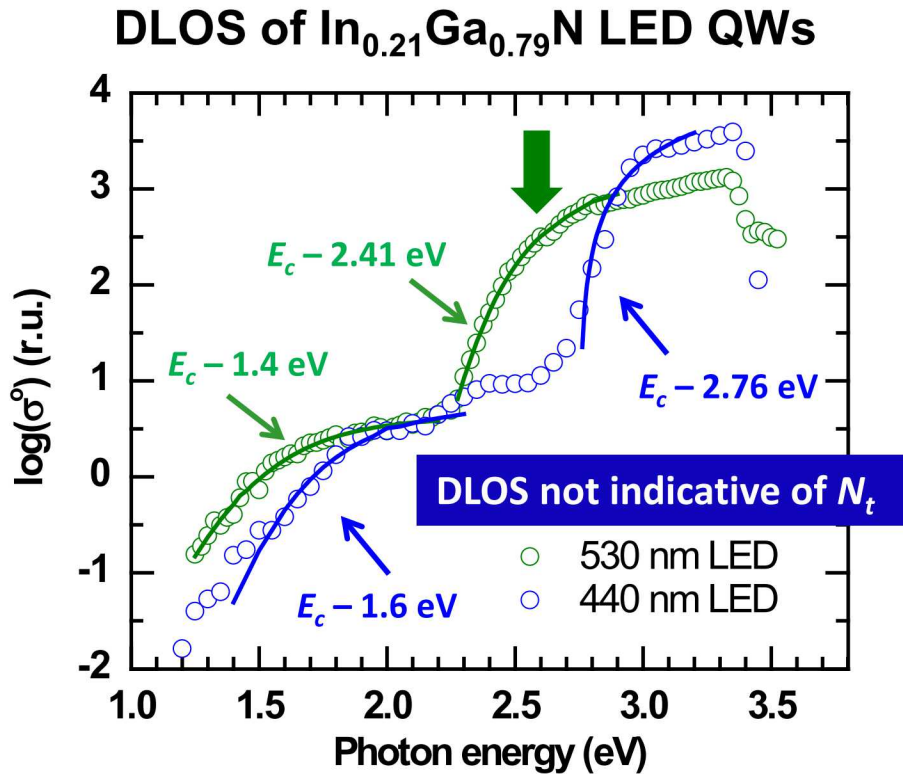
## $\text{In}_{0.13}\text{Ga}_{0.87}\text{N}$ QW deep levels



- 2.9 eV InGaN band edge confirms sensitivity to QWs
- QW-related deep levels at  $E_c - 1.6$  eV and  $E_c - 2.76$  eV
- How to know these are QW- and not QB-related deep levels?



# LED QW deep levels evolve with Indium content



$\text{In}_{0.13}\text{Ga}_{0.87}\text{N}$  QW

$E_c$

-----  $E_c - 1.6$  eV

-----  $E_c - 2.76$  eV

$E_v$

$\text{In}_{0.21}\text{Ga}_{0.79}\text{N}$  QW

$E_c$

-----  $E_c - 1.4$  eV

-----  $E_c - 2.41$  eV

$E_v$

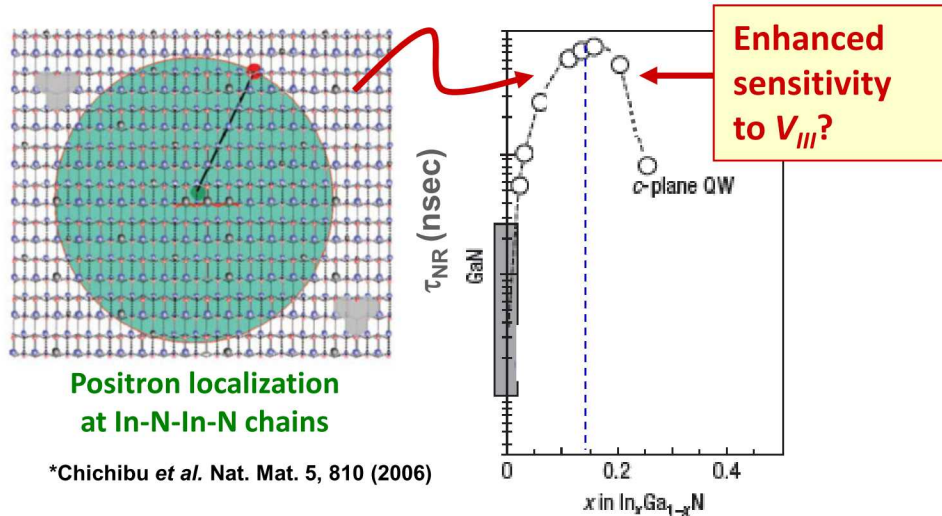
- Red shift in QW band edge with increased indium
- Corresponding red shift of deep level spectra with indium alloying
- Confirms QW-related defects



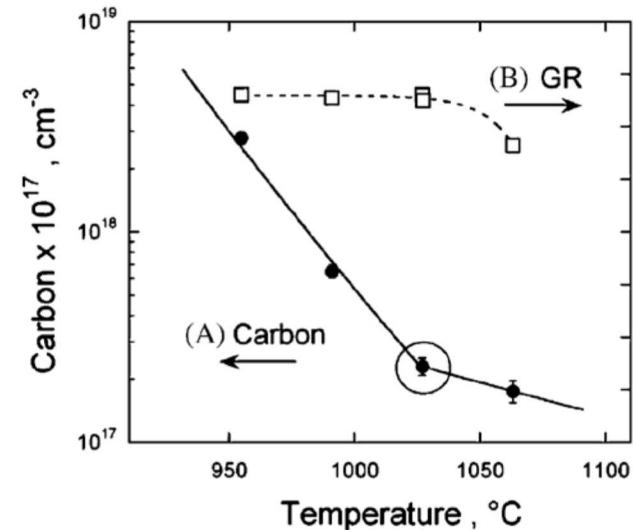


# Possible origin of QW defects – $V_{III}$ and/or carbon

$V_{III}$  density increases with indium



$[C]$  increases with lower  $T_g$



Koleske *et al.*, JCG 242 55 (2002)

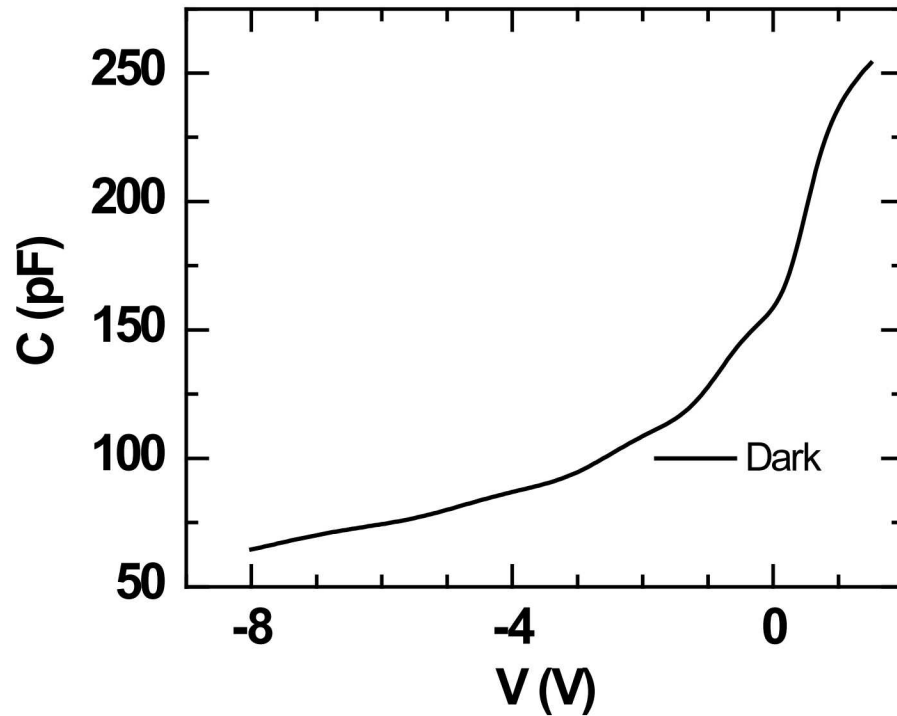
- $V_{III}$  and carbon are well known non-radiative recombination centers in GaN
- PAS/PL studies\* show that  $[V_{III}]$  increases with indium and likely contributes to green gap
- Reasonable that carbon incorporation also increases with reduced QW  $T_g$





# Lighted-CV quantifies QW defect density

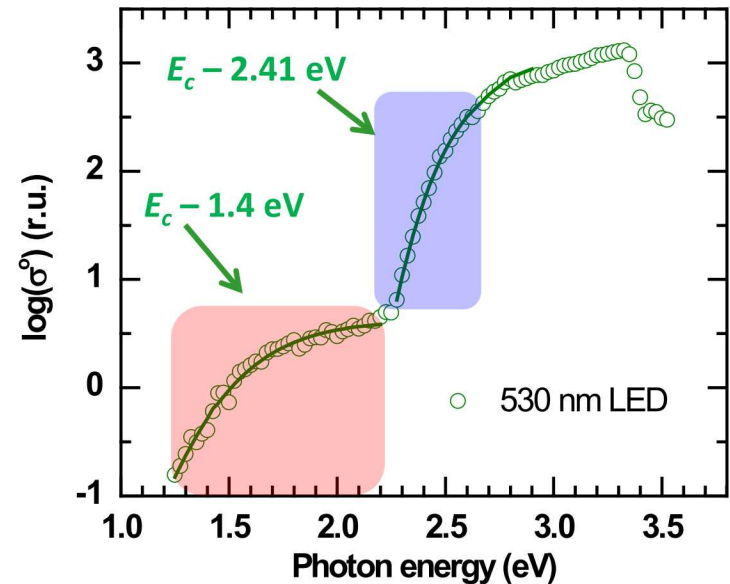
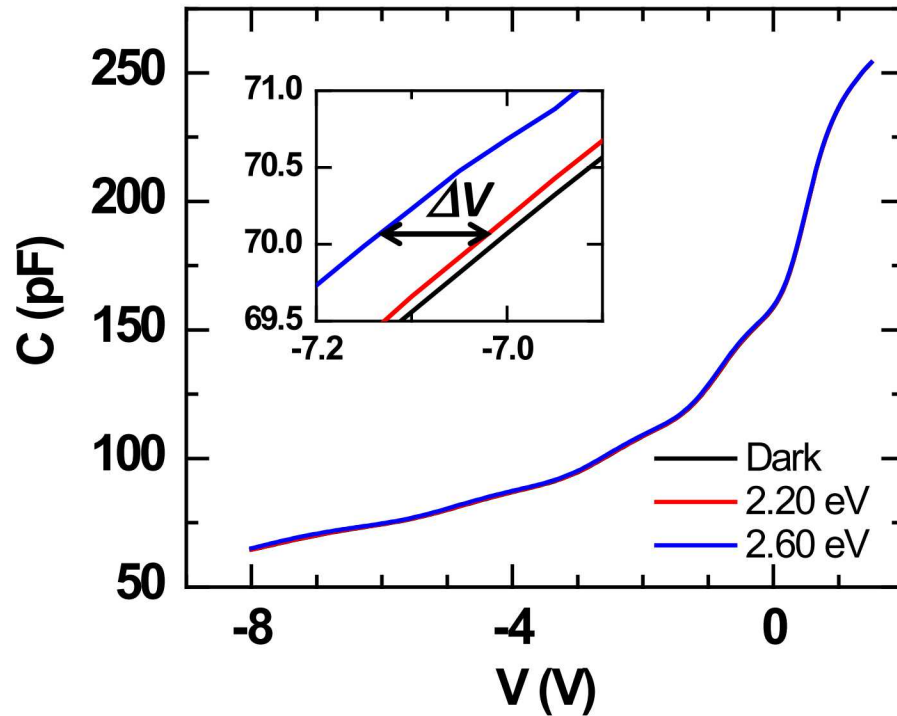
## Lighted Capacitance-Voltage





# Lighted-CV quantifies QW defect density

## Lighted Capacitance-Voltage

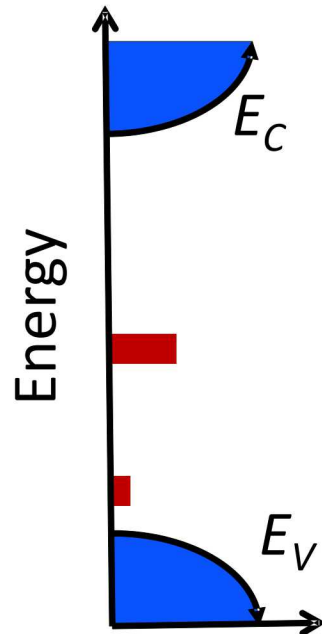


$$\Delta V = \frac{q}{\epsilon} \int_{x_1}^{x_2} x N_t dx$$

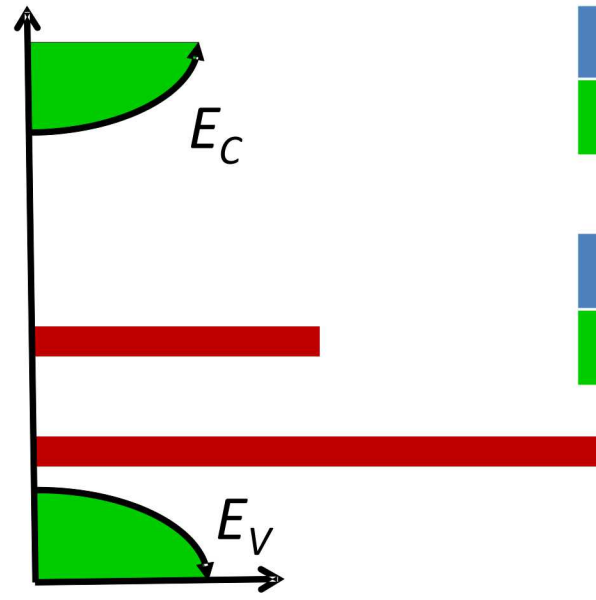


# QW deep level defect density vs. Indium content

440 nm QW



530 nm QW



DOS

| Defect Level (eV) | $N_t$ (cm <sup>-3</sup> ) | $\Delta N_t$ |
|-------------------|---------------------------|--------------|
| $E_c - 1.6$       | 4.6e15                    | 4.3x         |
| $E_c - 1.4$       | 2.0e16                    |              |
| $E_c - 2.76$      | 1.3e15                    | 30x          |
| $E_c - 2.43$      | 4.0e16                    |              |

- Substantial increase in mid-band gap and near- $E_v$  deep levels
- Expect QW defects contribute to green gap problem by:
  - Increasing non-radiative recombination
  - Reducing hole injection efficiency

Andrew J. Arthur, et al. - GaInAs/AlGaAs Quantum Wells



# Outline

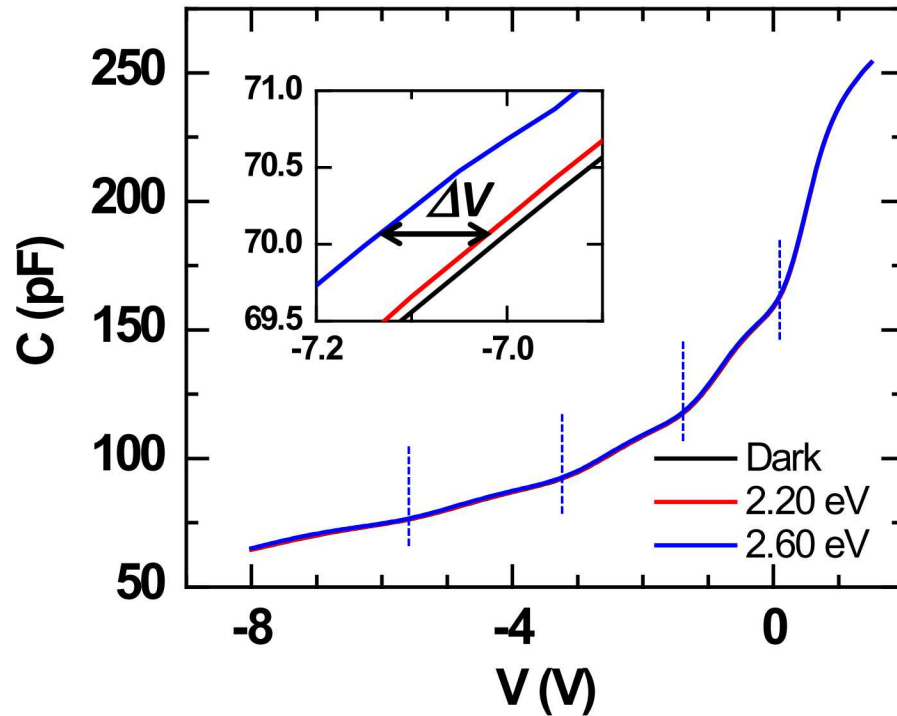
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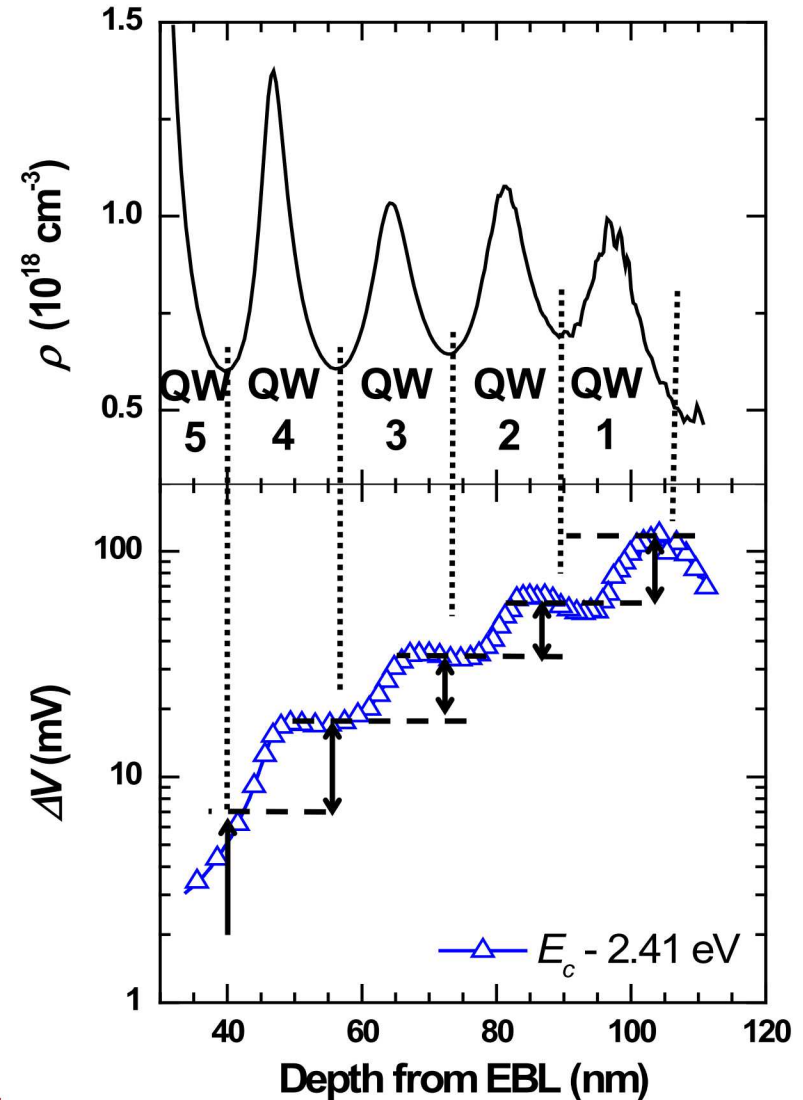


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## Lighted Capacitance-Voltage



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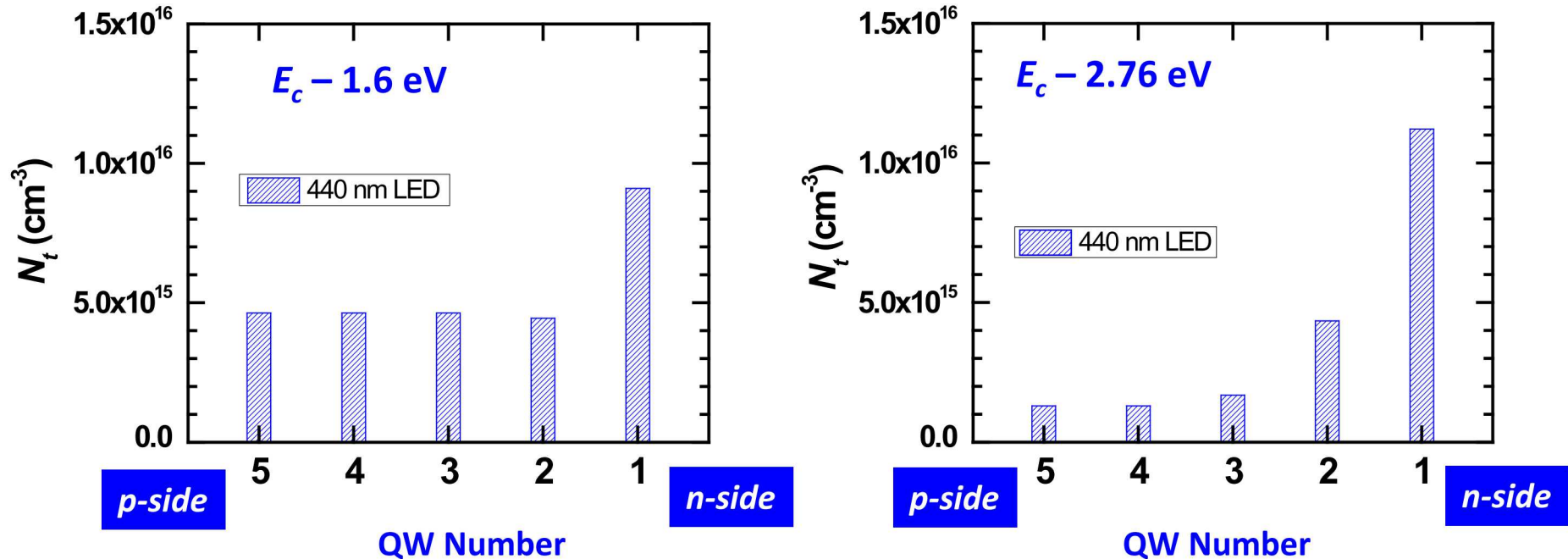


Andrew Armstrong - aarmstr@sandia.gov



# LCV reveals strong depth dependence of QW deep levels

## Depth profile of QW defects in 440 nm LED



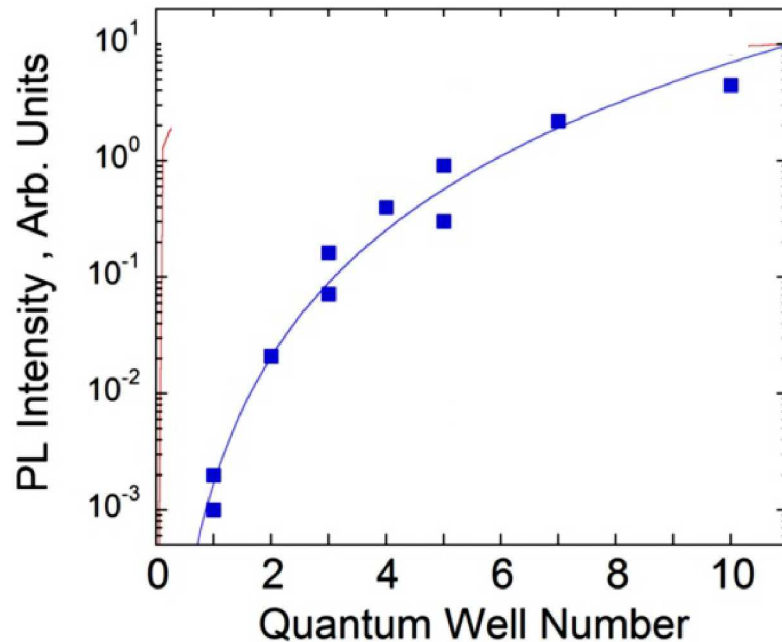
- Non-destructive, TEM-like spatial resolution of point defect distribution in LEDs
- First QWs grown are more defective than subsequently grown QWs
- InGaN/GaN may act as gettering<sup>1</sup> or conditioning layer that sequesters defects

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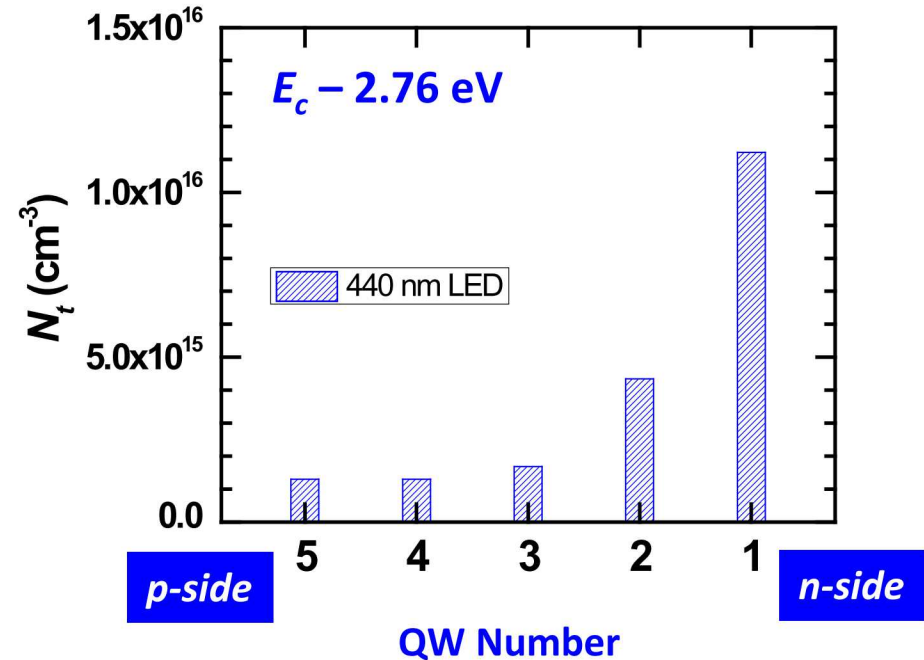


# LCV reveals strong depth dependence of QW deep levels

## MQW PL intensity vs # of QWs



## Depth profile of QW defects



➤ Explains rapid, super-linear in MQW PL intensity vs. # of QWs



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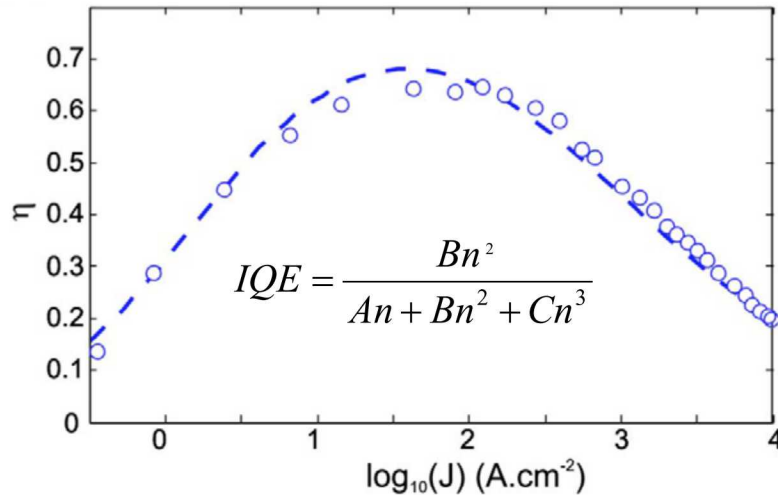
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# Deep levels can become important to reducing droop

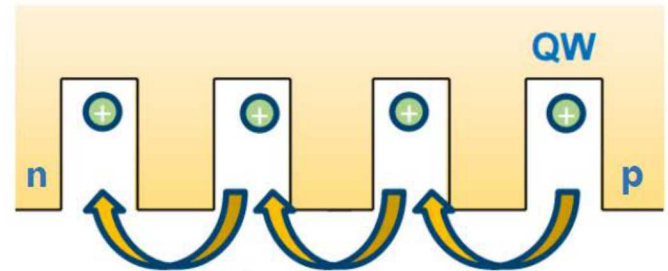
## InGaN LED efficiency droop



David and Grundmann, APL 96 106504 (2010)



Standard active region design:  
carriers localized to p-side wells



Improved hole transport design:  
carriers distributed more evenly

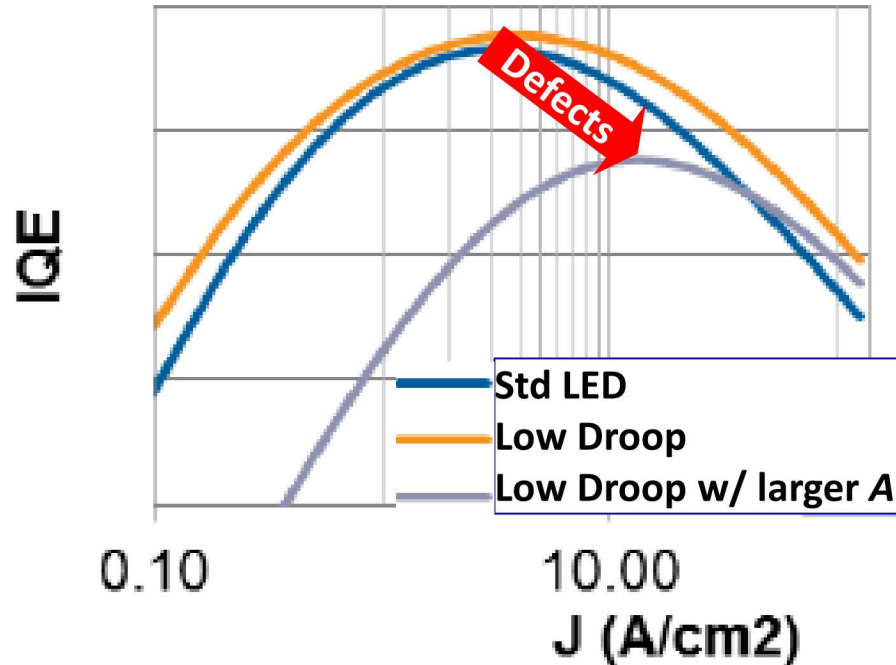
- Efficiency droop attributed to Auger recombination<sup>1,2</sup> at large carrier density
- Reduce droop by improving carrier transport
- DLOS of Lumileds LEDs to understand impact of defects on active region design



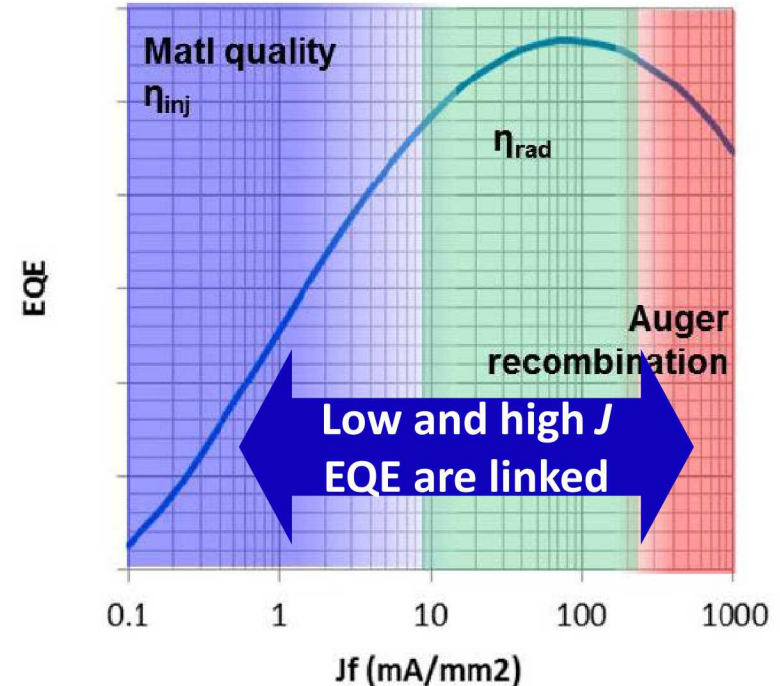


# Deep levels can become important to reducing droop

Representative LED IQE



Typical LED EQE vs.  $J$



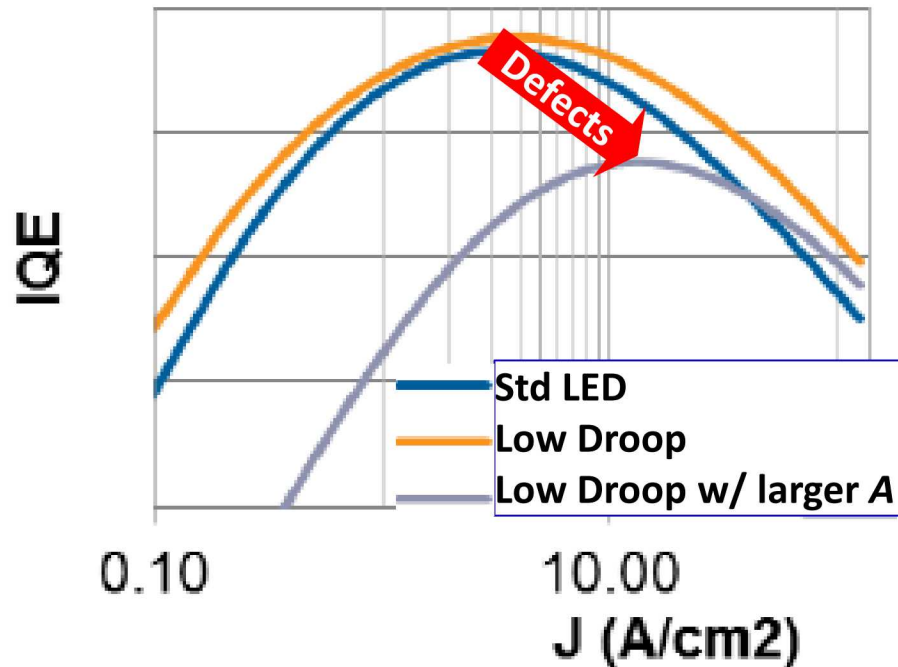
- Initial active region designs improve droop but at the cost of peak efficiency
- Maximum reduction in droop requires reducing  $n$  while maintaining low  $N_t$

**DLOS provides quantitative feedback for rational design and growth optimization**

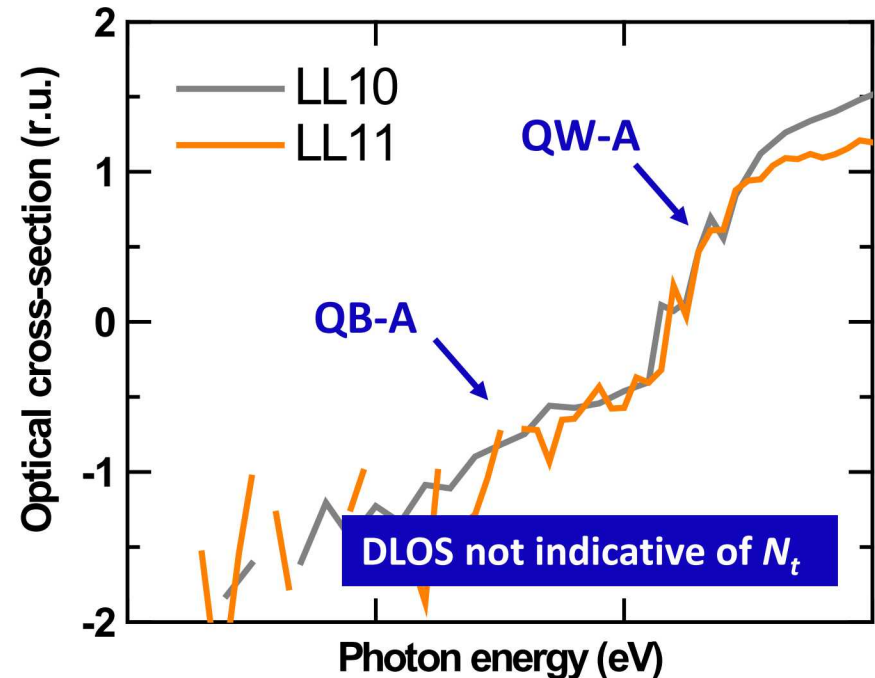


# DLOS of low droop LEDs

Representative LED IQE



DLOS of Lumileds 450 nm LEDs



- Examined low droop LEDs LL10 vs. LL11 with improved design and growth
- Same types of deep level defects in both low droop LEDs
- Differences EQE likely due to differences in  $N_t$

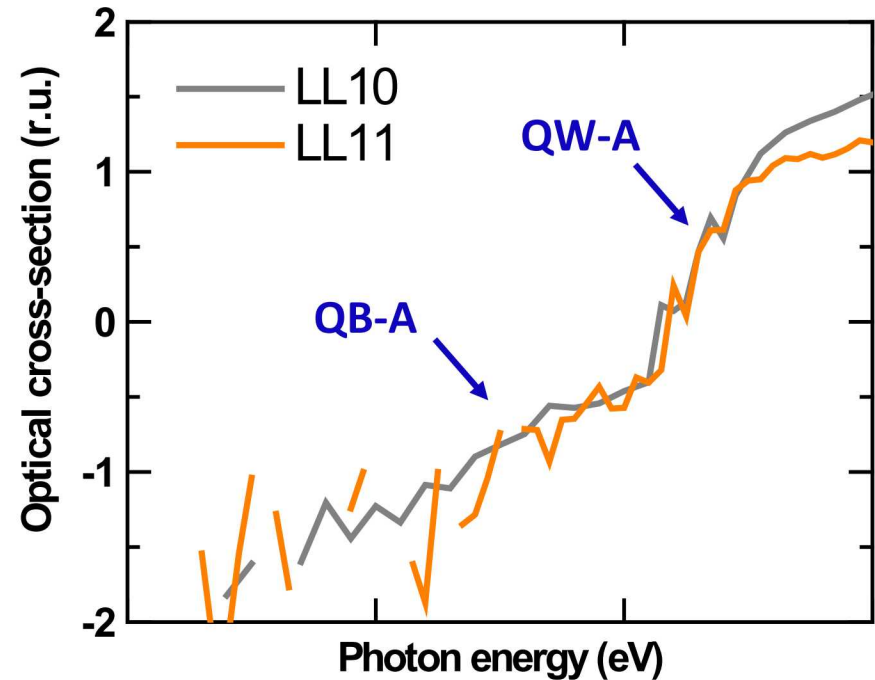


# Deep level reduction correlated with improved EQE

LED  $N_t$  from LCV

|          | QB-A<br>(cm <sup>-3</sup> ) | QW-A<br>(cm <sup>-3</sup> ) |
|----------|-----------------------------|-----------------------------|
| LL10     | 9.3e15                      | 1.0e16                      |
| LL11     | 3.6e15                      | 4.9e15                      |
| $\Delta$ | -62%                        | -51%                        |

DLOS of Lumileds 450 nm LEDs



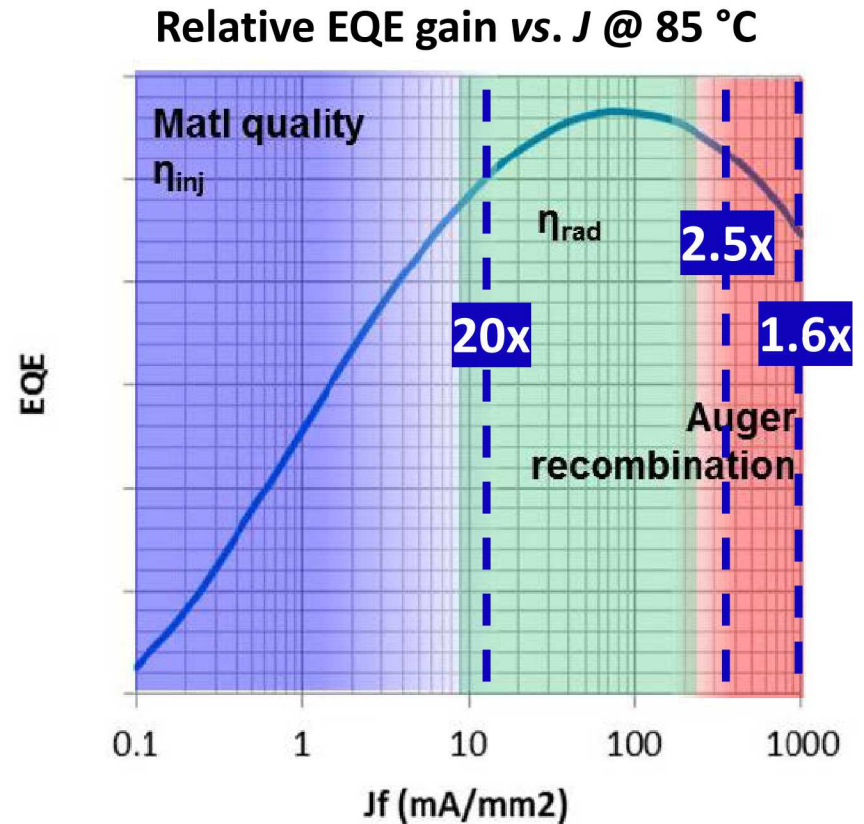
➤ >2x reduction of  $N_t$  for QB-A and QW-A in LL11



# Deep level reduction correlated with improved EQE

LED  $N_t$  from LCV

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| LL10     | 9.3e15                      | 1.0e16                      |
| LL11     | 3.6e15                      | 4.9e15                      |
| $\Delta$ | -62%                        | -51%                        |



- >2x reduction of  $N_t$  for QB-A and QW-A in LL11
- Correlated with significant EQE gains throughout LED operational range





# Summary

- DLOS quantifies deep level defect energy in LED QWs
- LCV provides nanoscale depth-dependence of QW deep level density
- Increase in QW  $N_t$  with increasing indium that likely contributes to green gap
- Depth-dependence of QW deep levels suggests InGaN/GaN defect gettering
- Controlling deep levels can be important to maximizing droop reduction