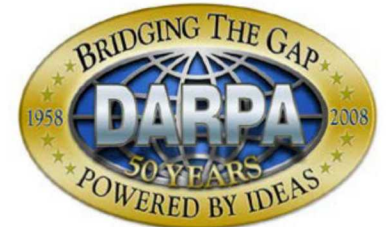
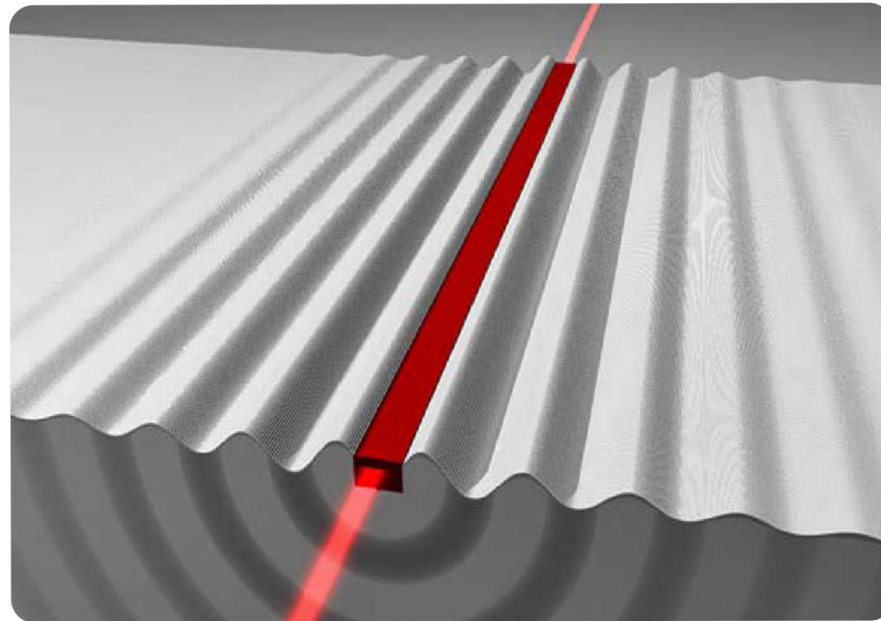
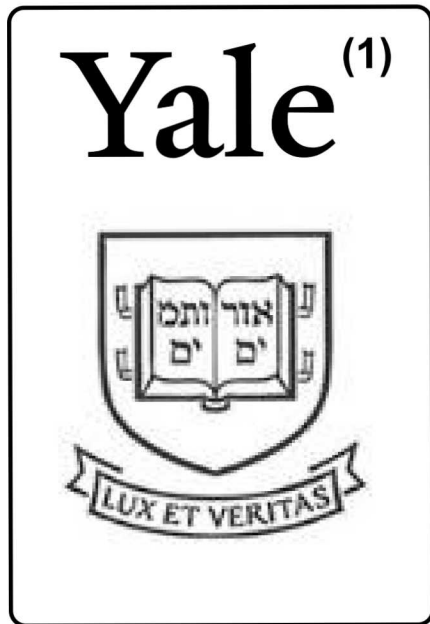


Control of Coherent Information by Mixing Light and Sound in Photonic Circuits

SAND2015-9147C

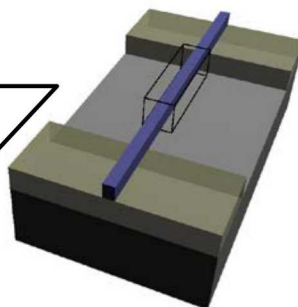
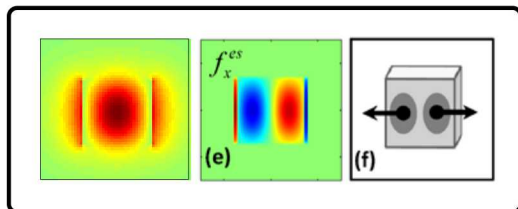
FIO – San Jose – Oct 20, 2015 : FTU5C.4

Peter T. Rakich⁽¹⁾, Heedeuk Shin¹, Eric Kittlaus¹, Jonathan Cox²,
Zheng Wang³, Rob Jarecki².

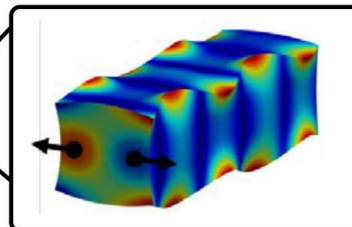


Physics of Stimulated Brillouin Scattering (SBS) at Nanoscales.

Electrostriction + Radiation Pressure



10-40 GHz Phonons

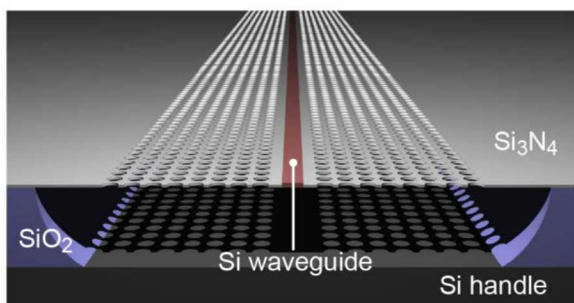


Radically enhanced SBS.

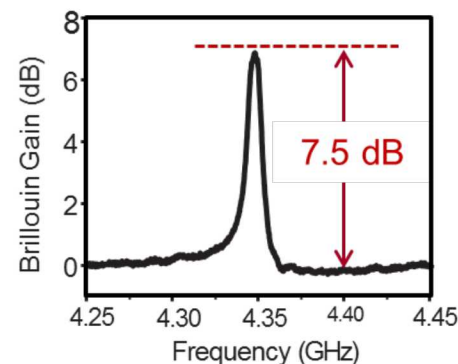
New Understanding:

- Origins of optical forces at nanoscale.
- New mechanisms for photon-phonon coupling

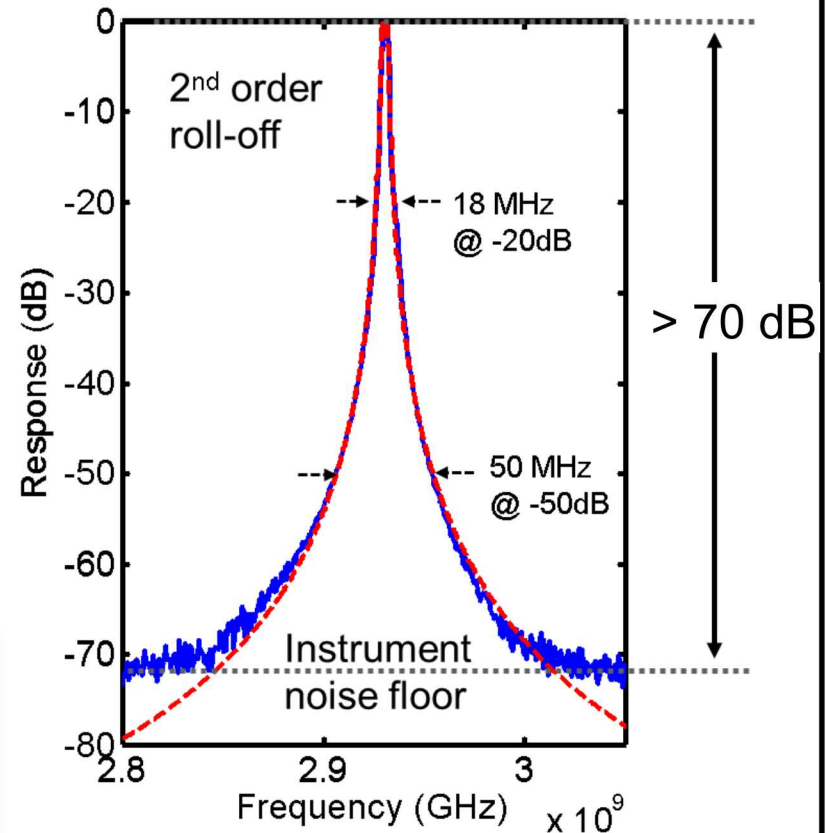
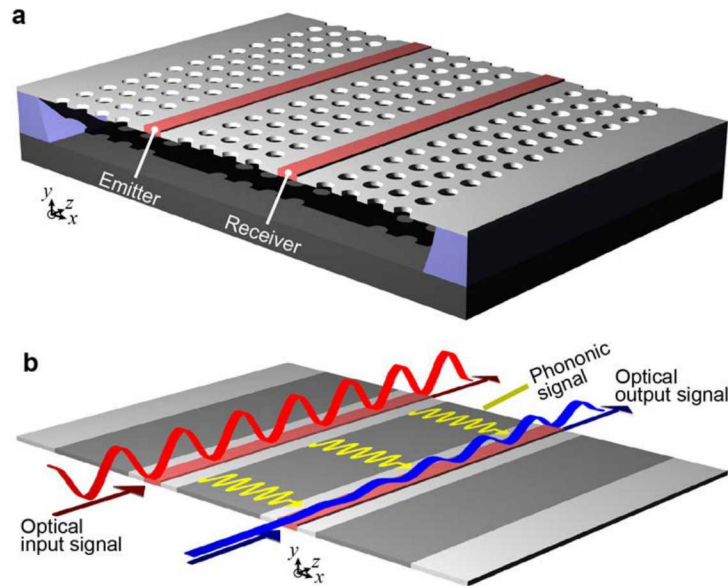
Experimental Realizations of Forward-SBS in Silicon.



- First demonstration of stimulated Brillouin scattering in silicon.
- Tailorable nonlinear susceptibilities.
- High power signal amplification via traveling-wave photon-phonon coupling in silicon!



Traveling-Wave Phonon Emit-Receive Functionalities.



New Paradigm for RF-Photonic Signal Processing:

- Phonons mediated information transfer between distinct waveguides.
- Flexible new signal processing approaches.

Outline of Presentation:

1. Introduction and Background

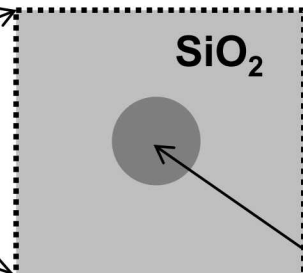
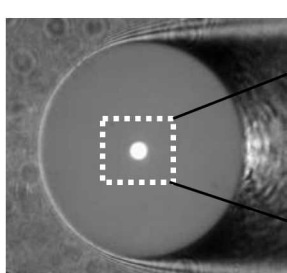
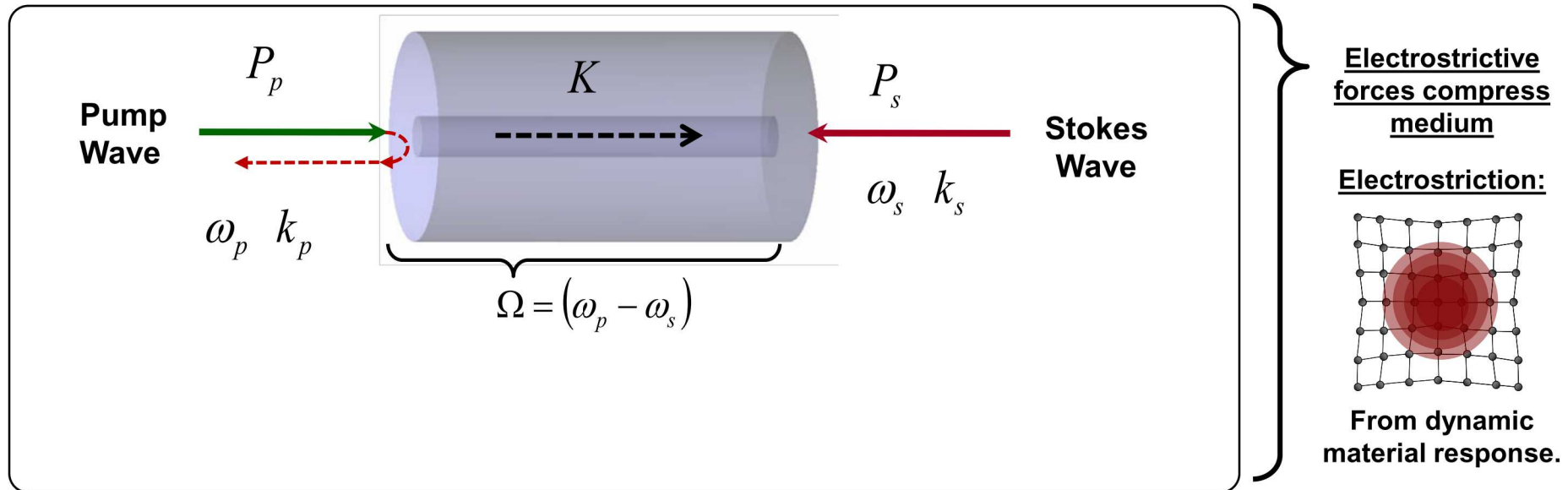
2. Light scattering and nonlinearity

3. Optical forces at nanoscales

4. Stimulated light scattering in nanophotonics

5. Novel signal processing applications

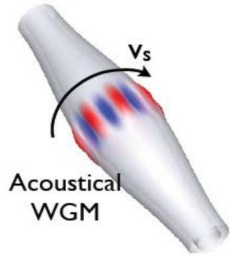
How does backward-SBS work?



Ge doped SiO_2

- Both light and sound are guided in silica core.
- Brillouin nonlinearities are 100x larger than any other nonlinearity in silica fiber.
- **Treated as a bulk material nonlinearity.**

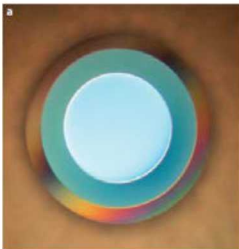
What can you do with Brillouin nonlinearities?



Bahl Group



Carmon Group



Vahala Group

Slow and Fast Light

Y. Okawachi et al., *Physical review letters*, vol. 94, no. 15, p. 153902, 2005.

R. W. Boyd, "Slow and fast light: fundamentals and applications," *Journal of Modern Optics*, vol. 56, no. 18-19, pp. 1908-1915, Oct. 2009.

Narrow Band SBS Lasers

L. F. Stokes, M. Chodorow, and H. J. Shaw, "All-fiber stimulated Brillouin ring laser with submilliwatt pump threshold.," *Optics letters*, vol. 7, no. 10, pp. 509-11, Oct. 1982.

Non-Reciprocal Devices (i.e. isolators)

M. S. Kang, A. Nazarkin, A. Brenn, and P. S. J. Russell, *Nature Physics*, vol. 5, no. 4, pp. 276-280, Mar. 2009.

M. S. Kang, a. Butsch, and P. S. J. Russell, *Nature Photonics*, no. August, pp. 1-5, Aug. 2011.

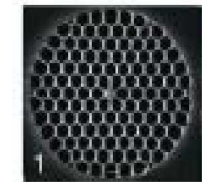
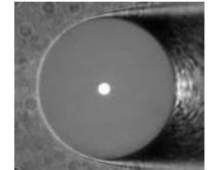
High Frequency Synthesis, Gyros, etc....

[2] Li, J., Lee, H. & Vahala, K. J.. *Nature Communications* 4, 1–7 (2013).

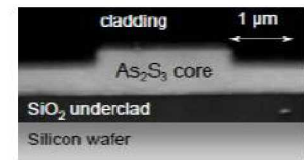
On Chip Brillouin Photonics

Eggleton, Benjamin J., Christopher G. Poulton, and Ravi Pant. *Advances in Optics and Photonics* 5.4 (2013): 536-587.

- Gross simplification of growing field....

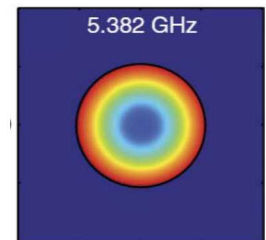


Russel



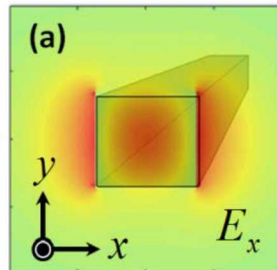
As₂S₃ waveguide

Eggleton Group



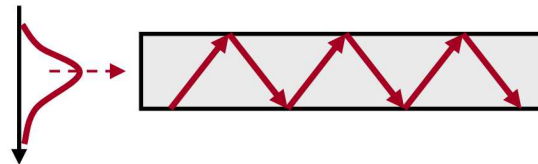
Sylvestre

Silicon Waveguide



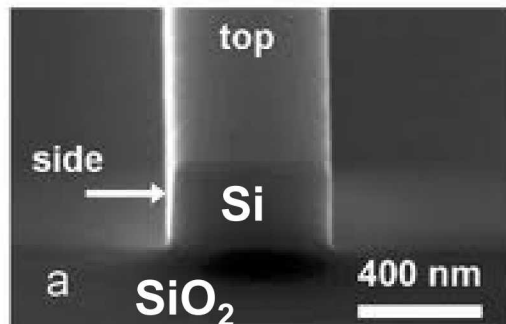
300nm x 300nm

Total internal reflection:



- Sub-wavelength confinement.
- Wavelength = 1550 nm.

Nanoscale Silicon Waveguide



Intrinsic nonlinearities enhanced:

- Stimulated Raman Scattering.
- Electronic Nonlinearities
 - Kerr Nonlinearities
 - Four Wave Mixing, etc.

Silicon: $n = 3.5$

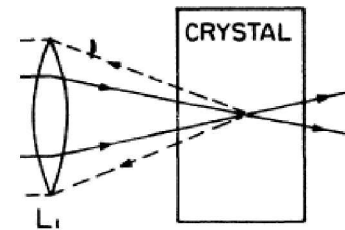
Silica: $n = 1.45$

1. Bulk SBS with MASER (Chaio 1964)
2. Guided-wave SBS (Ippen, 1972)
3. Engineered SBS in fibers. (Russel 2007)

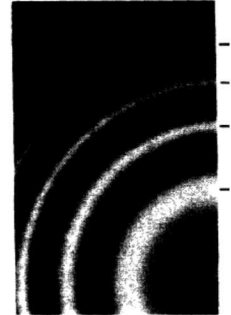
Macro ← Micro

← Nano ← Micro →

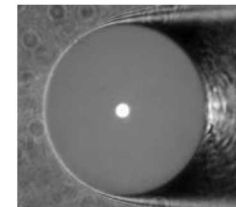
SBS in Bulk X-tal



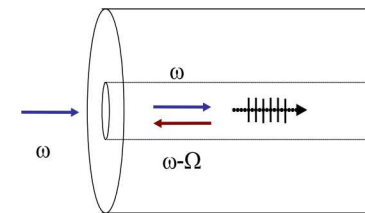
Chiao (1964)



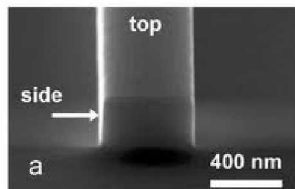
SBS in Optical Fibers



Ippen (1972)



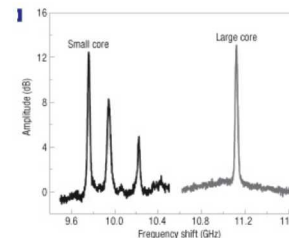
SBS in Nanophotonics?



Micro-structured Fiber



Russel (2007)



Q: What's needed to form strong SBS? A: Large optical forces & phonon confinement.

Outline of Presentation:

1. Introduction

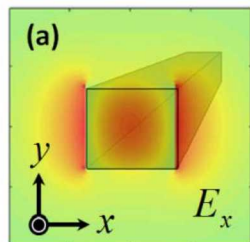
2. Light scattering and nonlinearity

3. Optical forces at nanoscales

4. Stimulated light scattering in nanophotonics

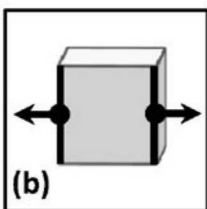
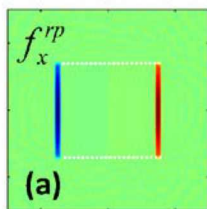
5. Novel signal processing applications

Silicon Waveguide.

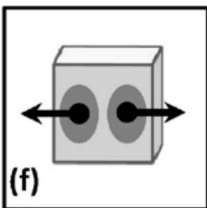
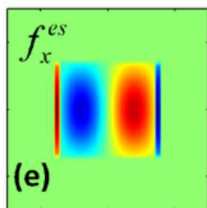


300nm x 300nm

Radiation Pressure



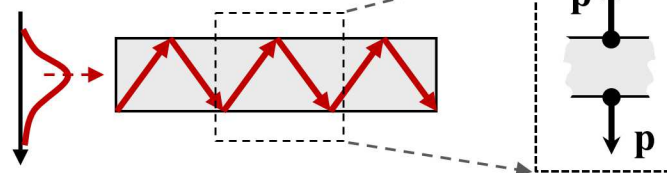
Electrostrictive Forces



Radiation Pressure.

Radiation Pressure: Scattering from boundaries.

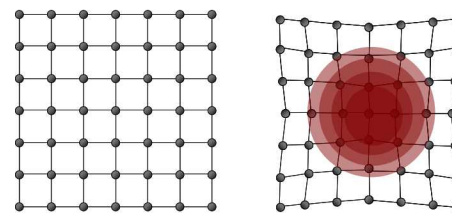
Light within a waveguide:



Entirely depends on geometry.

Electrostrictive Forces

Electrostriction: dynamic response of media to light.



Photoelastic Response

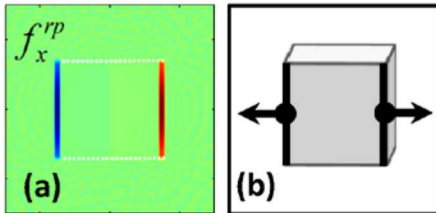
Depends primarily on material properties.

Both: Radically enhanced at nanoscales.

- What factors dictate the magnitude of the force?
- How can we enhance forces and SBS?

Yale Nanoscales: The Neglected Optical Force Dominates!

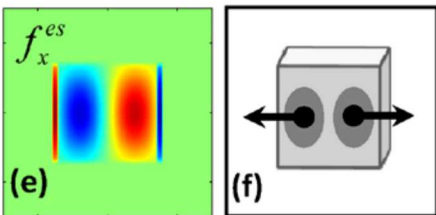
Radiation Pressure



Rad. Pressure:
$$\bar{p}^{rp} = \frac{P_{opt}}{c \cdot A} \cdot (n_g - n_p) = \frac{P_{opt}}{c \cdot A} \cdot \alpha^{rp}$$

Electrostriction:
$$\bar{p}^{es} \cong \frac{P_{opt}}{c \cdot A} \cdot n_g n^2 (p_{11} + 2p_{12}) / 2 = \frac{P_{opt}}{c \cdot A} \cdot \alpha^{es}$$

Electrostrictive forces



Material	Symmetry	p_{11}	p_{12}	$p_{11} + 2p_{12}$	n	α^{rp}	α^{es}
Si	cubic	-0.09	+0.017	-0.056	3.5	-5	-1.7
Ge	cubic	0.27	0.235	0.74	4.2	-6.4	+40
GaAs	cubic	-0.165	-0.14	-0.445	3.4	-4.8	-12
Silica	amorphous	0.121	0.27	0.661	1.45	-0.89	+1.0
As ₂ S ₃	amorphous	0.25	0.24	0.73	2.4	-2.8	+6.5
As ₂ Se ₃	amorphous	-	-	-	2.8	-3.6	-

How Large are Forces?

Material	Pressure (Pwr = 100mW)
Si	$\sim 10^5$ N/m ²
Ge	$\sim 10^6$ N/m ²

5-50 People
standing on
chair

Material	Pressure (Pwr = 1kW)
Si	$\sim 5 \times 10^8$ N/m ²
Ge	$\sim 10^{10}$ N/m ²

Stresses
Approach
Material Yield
Strength

Outline of Presentation:

1. Introduction

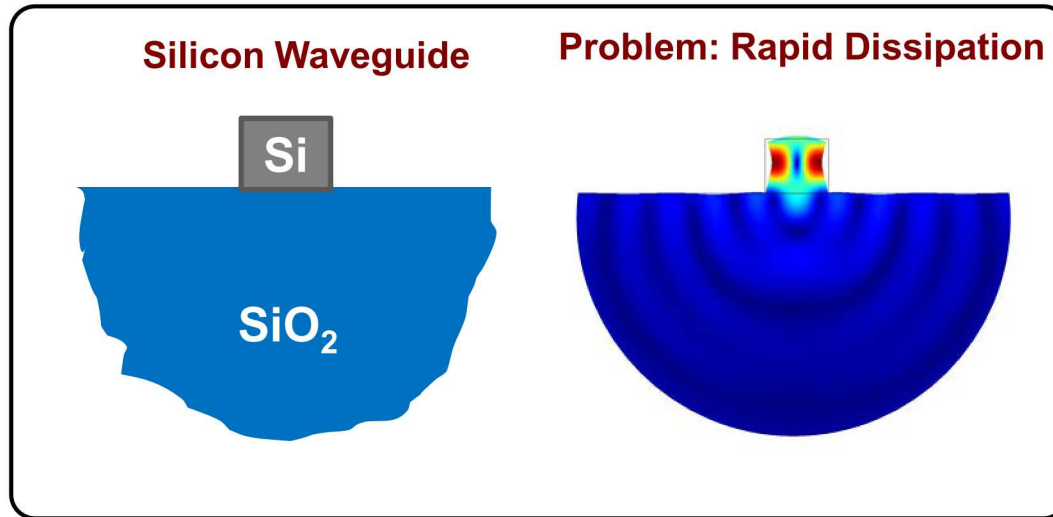
2. Light scattering and nonlinearity

3. Optical forces at nanoscales

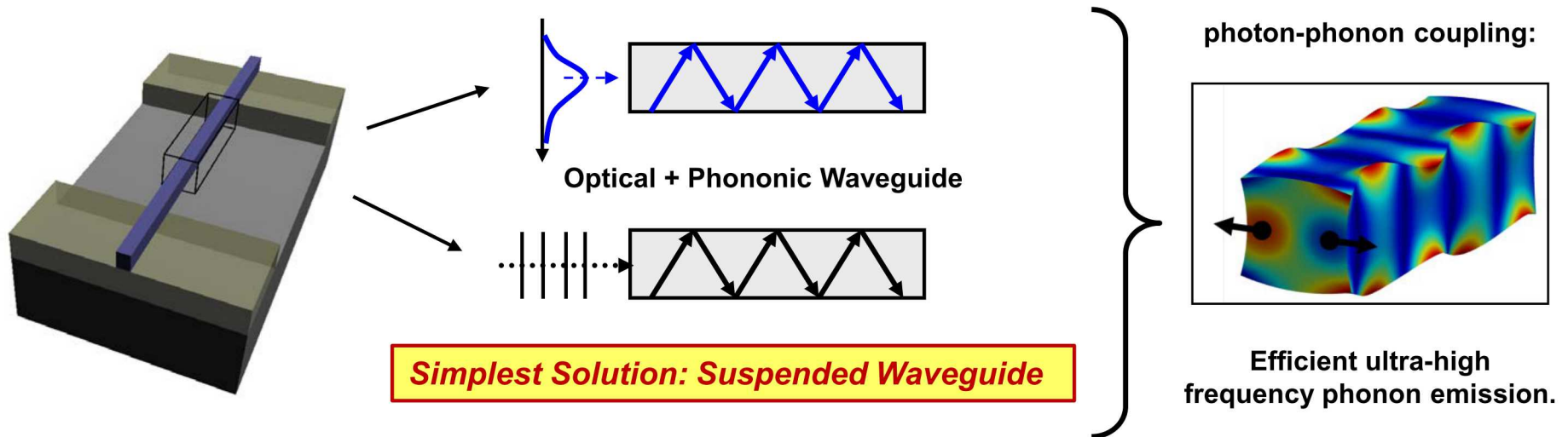
4. Stimulated light scattering in nanophotonics

5. Novel signal processing applications

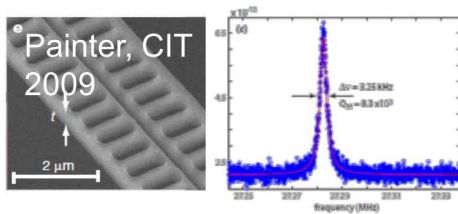
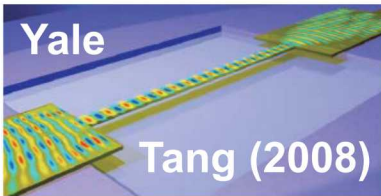
Q: Why hasn't SBS been observed in Silicon?



A: Requires control of the phonon modes.

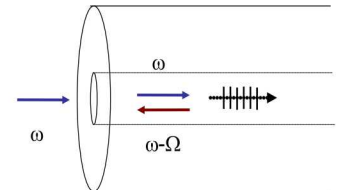


Optomechanics

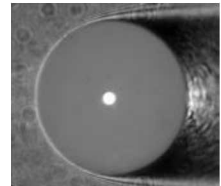


Nanoscales:
Radiation pressure
mediated photon-
phonon coupling.

Microscales:
Electrostrictively
mediated photon-
phonon coupling.

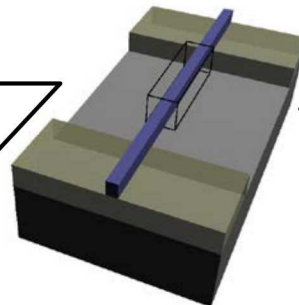
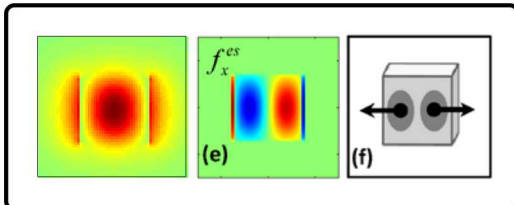


Fiber-SBS

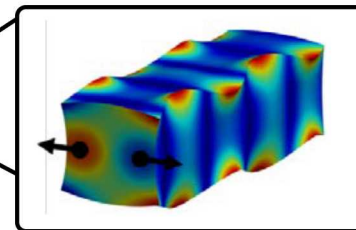


Result: Giant Enhancement of Stimulated Brillouin Scattering at Nanoscales

Electrostriction + Radiation Pressure

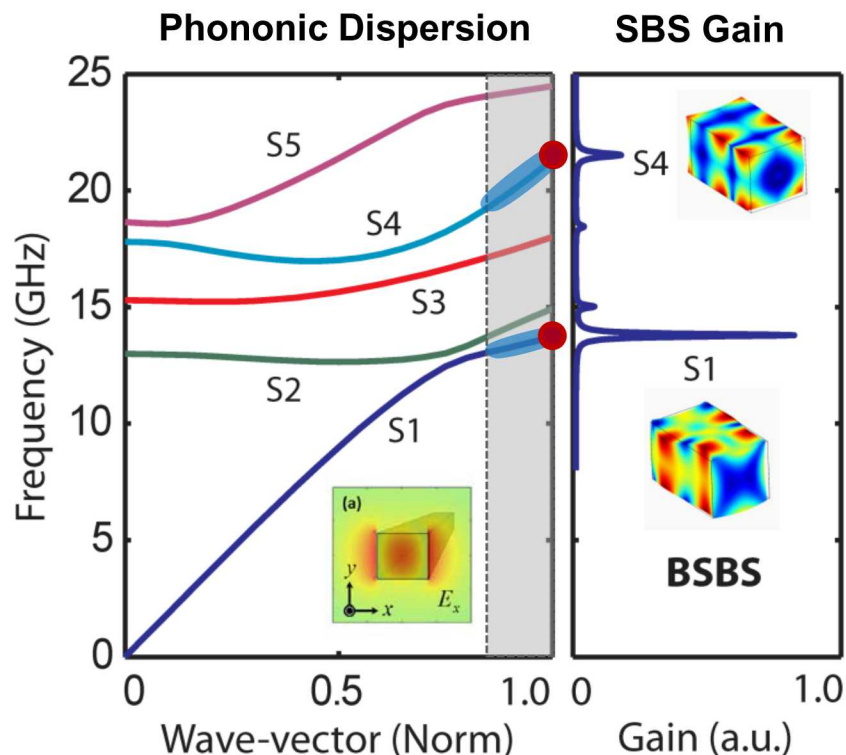


10-40 GHz Phonons

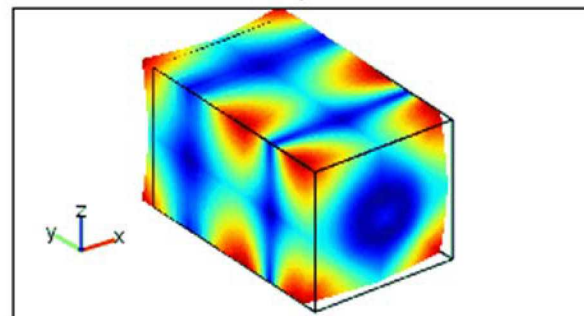


Radically enhanced SBS.

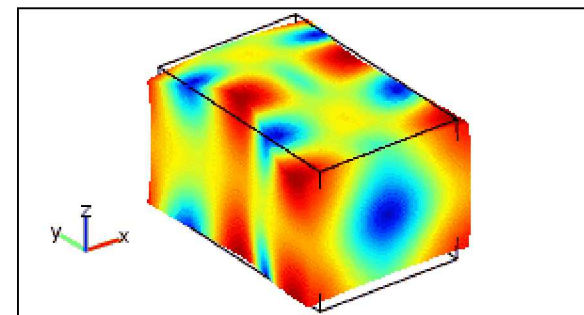
- No longer *bulk* nonlinearity.
- Geometry introduces new nonlinearities.



Excitation: 21.6 GHz Phonons

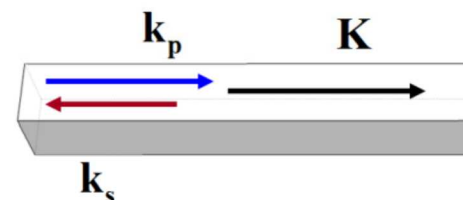


Excitation: 13.8 GHz Phonons



Nano-optomechanical backward-SBS:

1. Narrow-band ultra-high frequency phonons.
2. Gain is 10^4 x Larger than in Fibers.
3. SBS occurs for any optical wavelength.
4. 20% frequency tunable phonon emission.



ω_p k_p



Russel

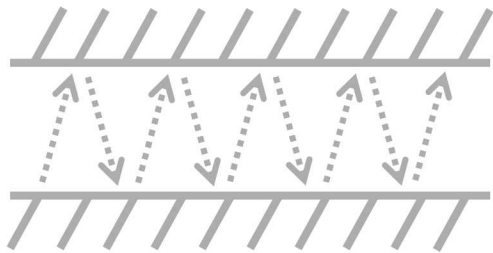
M. S. Kang, A. Nazarkin, A. Brenn, and P. S. J. Russell, *Nature Physics*, vol. 5, pp. 276-280, (2009).

G. Bahl, J. Zehnpfennig, M. Tomes, T. Carmon, *Nature Communications*, Vol 2, pp. 1412 (2011).



Bahl - Carmon

Reflecting boundaries:



$$v_g \cdot v_p = v_a^2$$

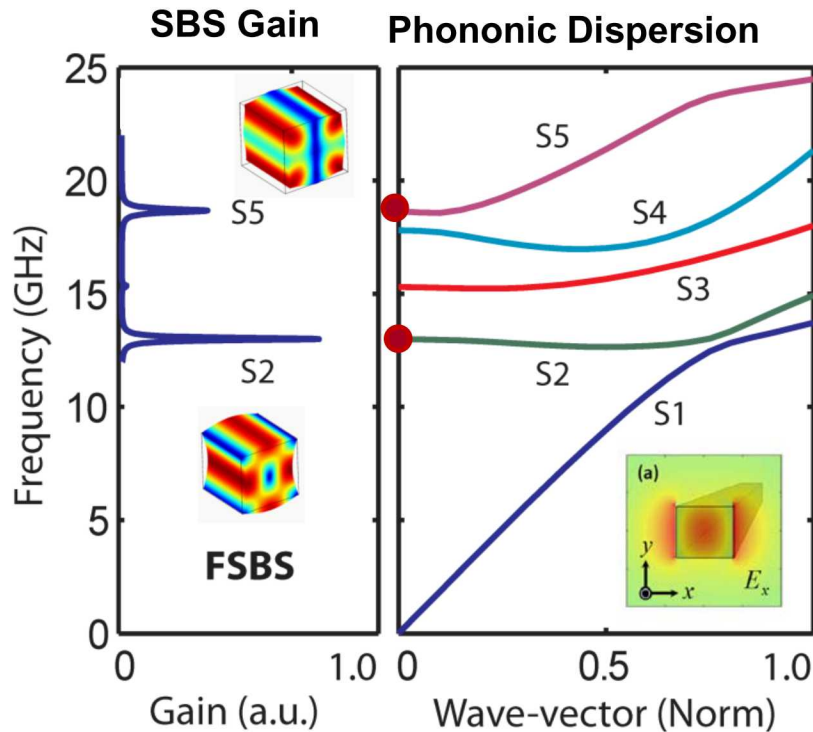
Result:

$$\left\{ \begin{array}{l} v_p \approx 10^8 \text{ m/s} \\ v_g \approx 1 \text{ m/s} \end{array} \right\}$$

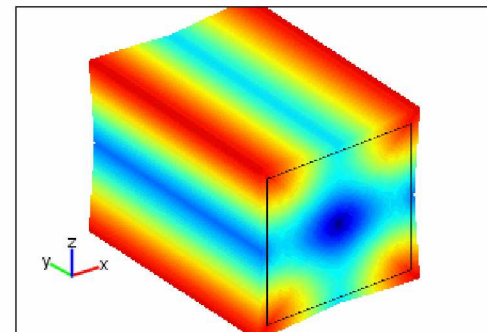


$$\mathbf{k}_p = \mathbf{k}_s + \mathbf{K}$$

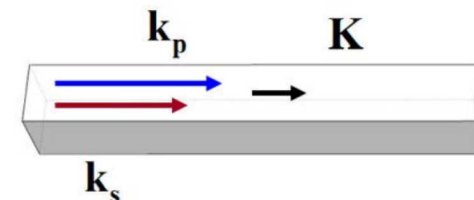
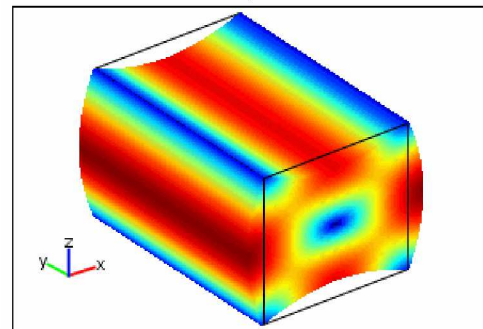
Problem: since phonon modes delocalized, forward-SBS exceedingly weak



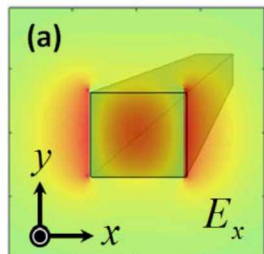
Excitation: 18.6 GHz Phonons



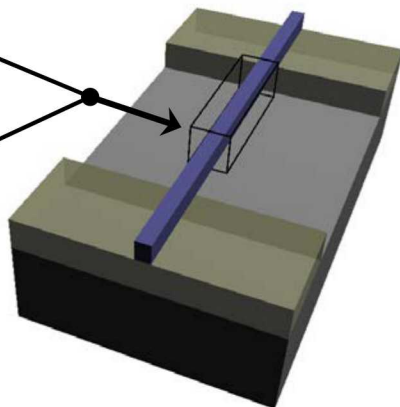
Excitation: 13.0 GHz Phonons

**Nano-optomechanical Forward-SBS:**

1. Stronger than backward SBS
2. Coupling mediated by slow group velocity phonons.
3. Perhaps better suited to silicon photonics: no isolator necessary

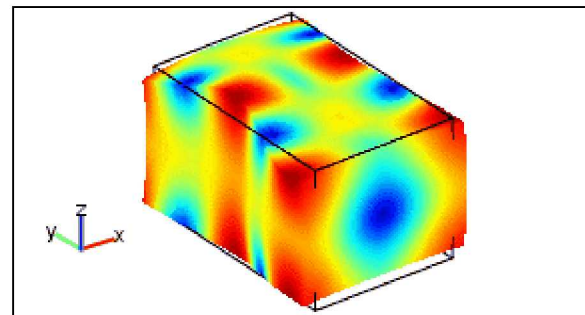


Guided mode within
suspended dielectric
waveguide. (300x300nm)



Suspended waveguide: $L = 100$ microns

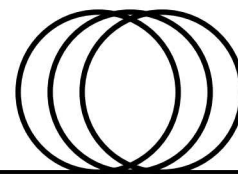
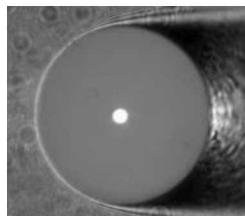
Excitation: 13.8 GHz Phonons



**= Equivalent nonlinearity
of 10-1000 meters of fiber**

New Technologies:

- Slow light & information storage
- Narrow-band signal amplification and lasers.
- Tailorable nonlinear susceptibilities.



Fiber optic: $L = 10$ -1000 meters

P. Rakich, C. Reinke, R. Camacho, P. Davids, and Z. Wang, "Giant Enhancement of Stimulated Brillouin Scattering in the Subwavelength Limit," *Physical Review X*, vol. 2, no. 1, pp. 1-15, Jan. 2012.

Outline of Presentation:

1. Introduction

2. Light scattering and nonlinearity

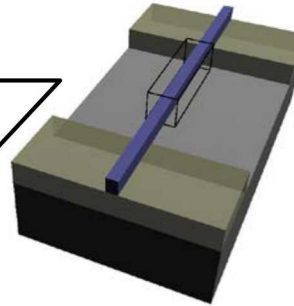
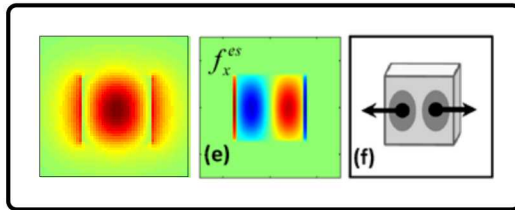
3. Optical forces at nanoscales

4. Stimulated light scattering in nanophotonics: Experiment

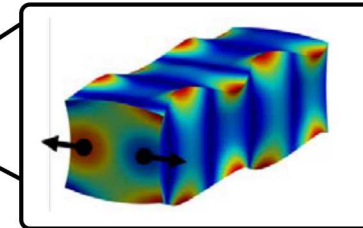
5. Novel signal processing applications

Part I: Physics of Stimulated Brillouin Scattering (SBS) at Nanoscales.

Electrostriction + Radiation Pressure

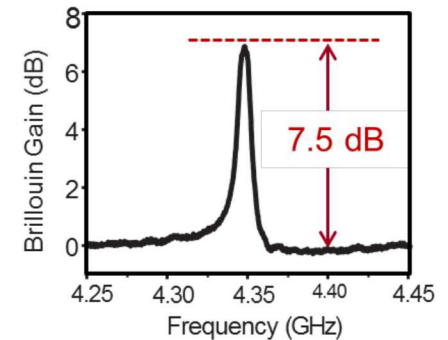
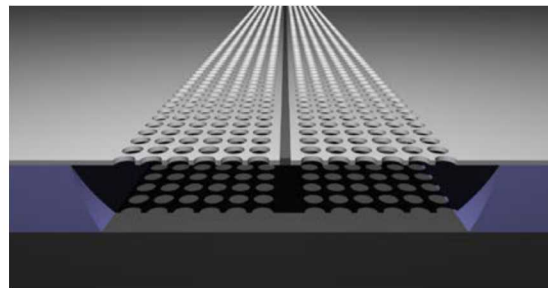
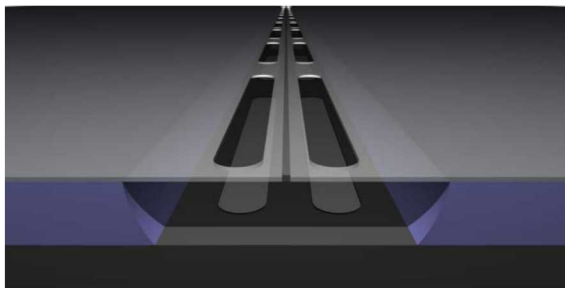


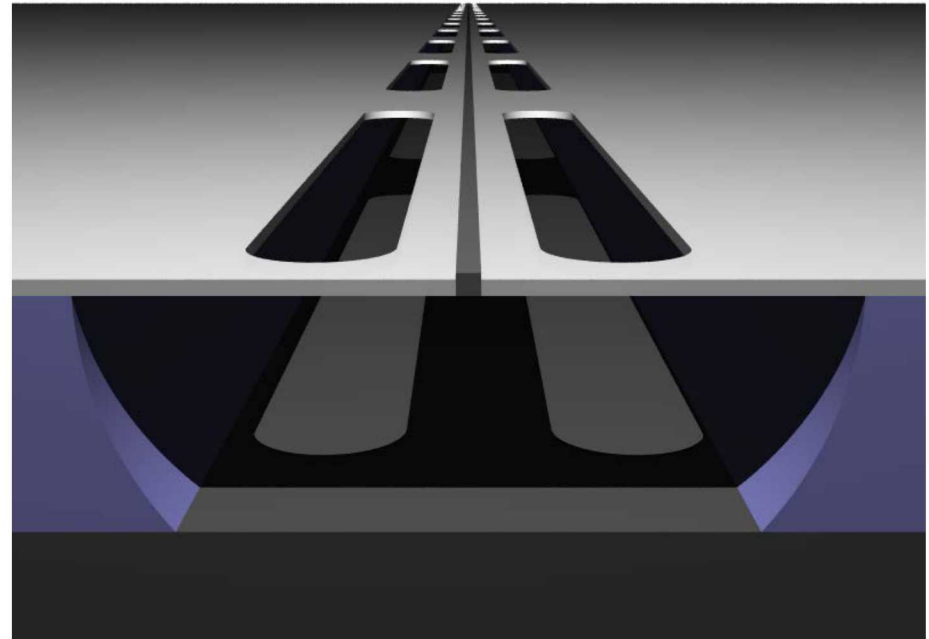
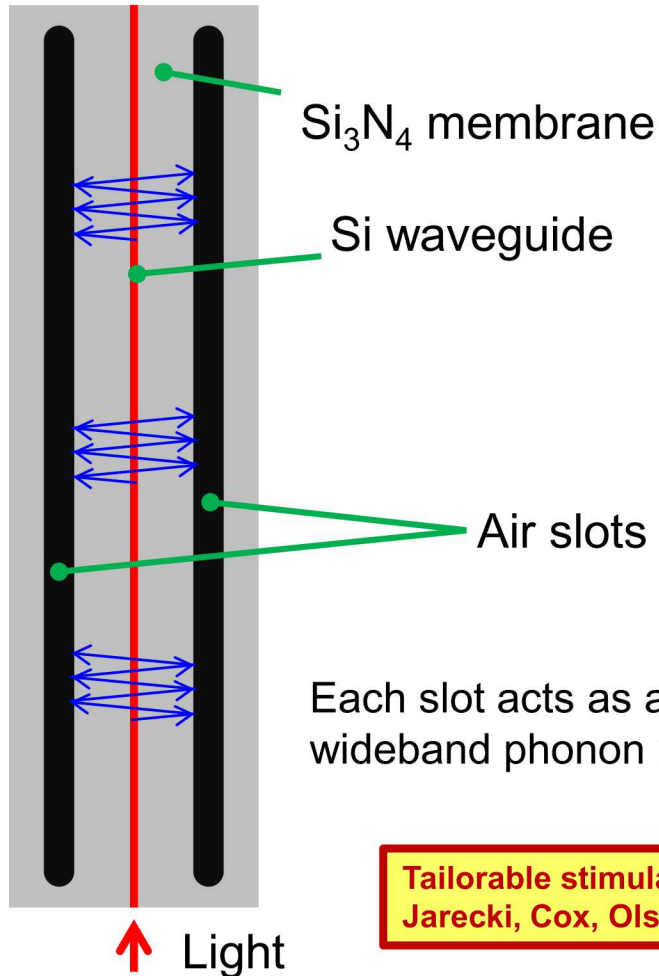
10-40 GHz Phonons



Radically enhanced SBS.

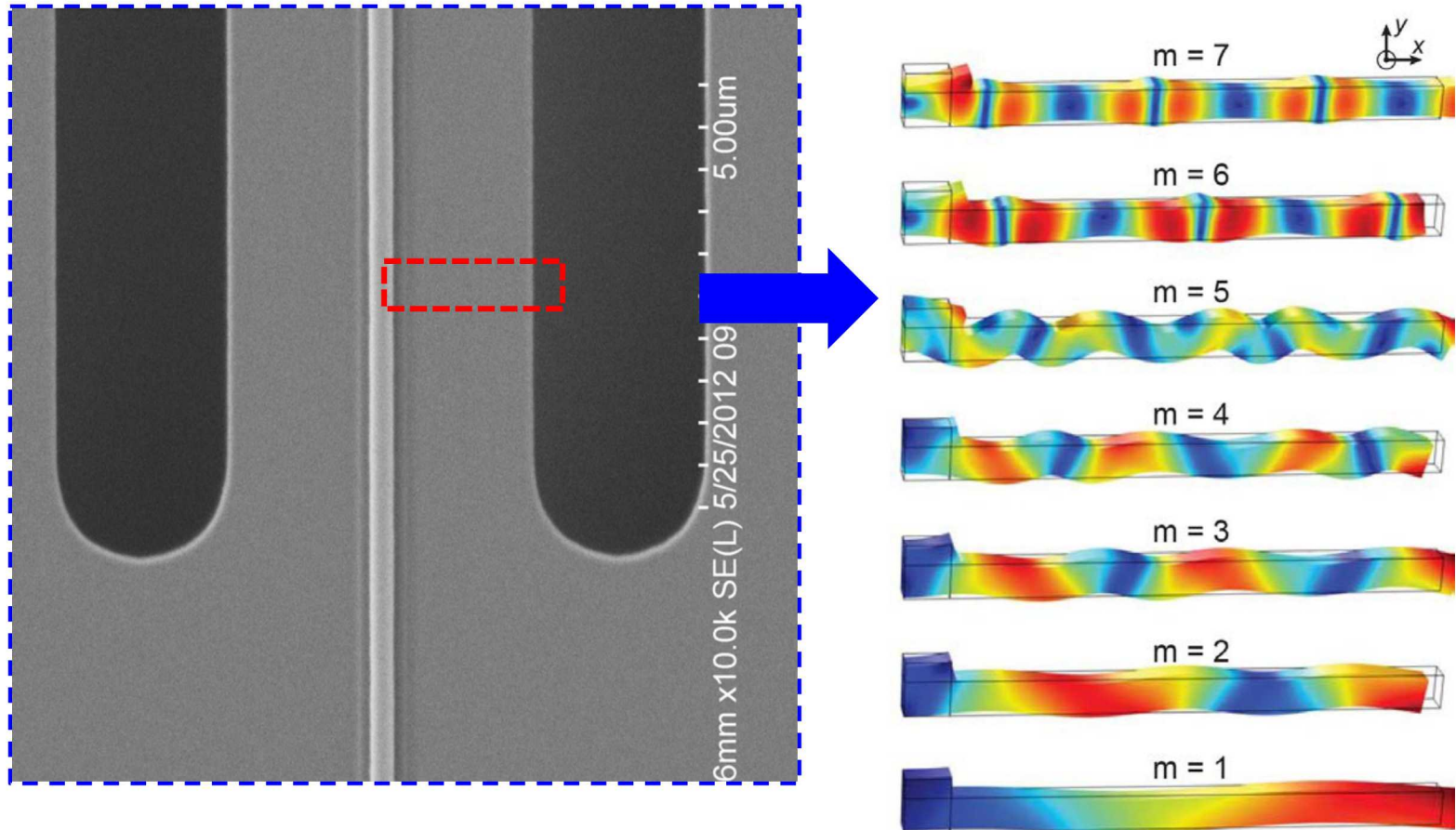
Part II: Experimental Demonstration of Forward-SBS in Silicon.



Brillouin Active Membrane
(BAM) waveguide

- First demonstration of SBS in silicon
- Combination of pressure & electrostriction
- Tailor nonlinear susceptibility in silicon.

Tailorable stimulated Brillouin scattering in nanoscale silicon waveguides, Shin, Qiu, Jarecki, Cox, Olsson III, Starbuck, Wang, Rakich, Nature Comm. 4, 1944 (2013).

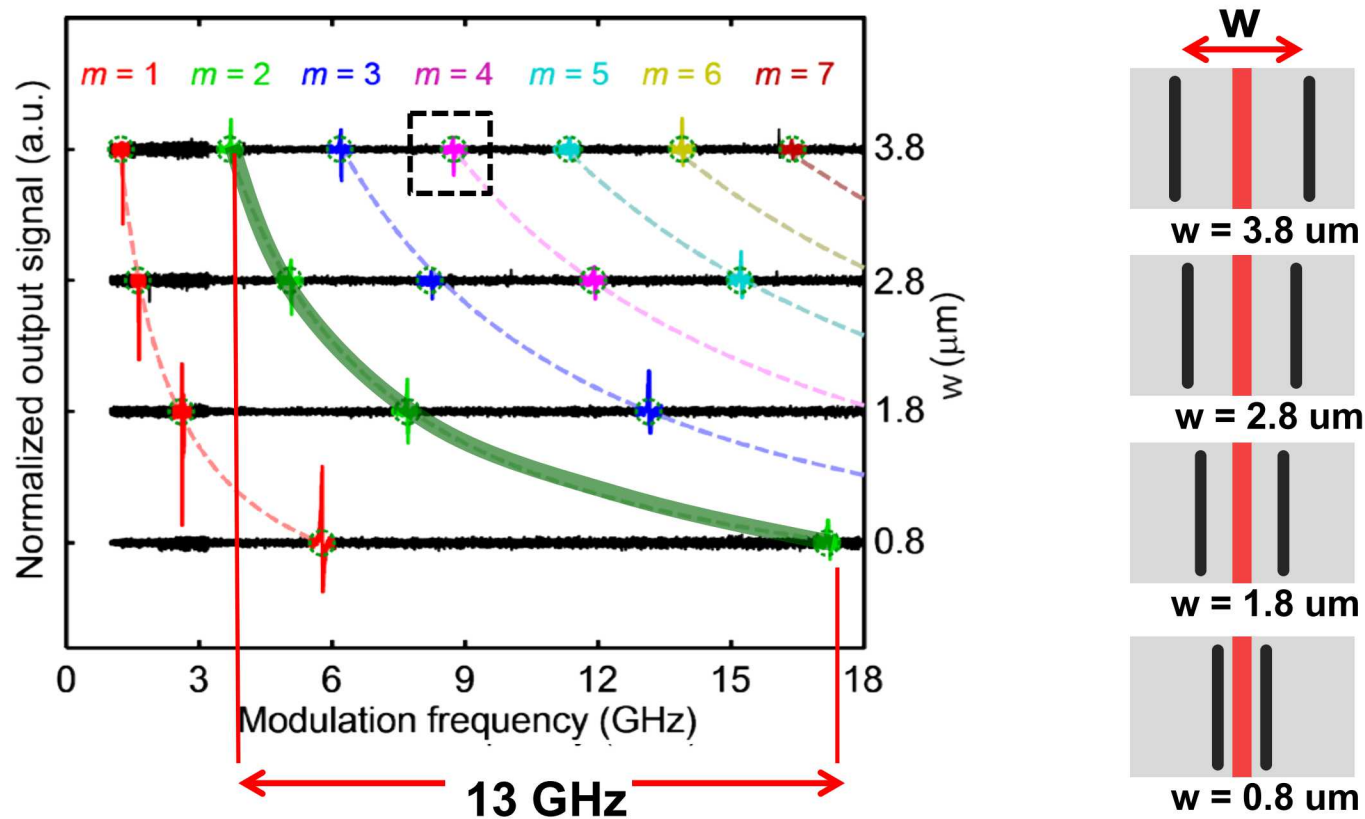


Phonons are created in the optical waveguide, but extended to the air slot edges.

22

This extended phononic mode enables delocalized photon-phonon interaction.

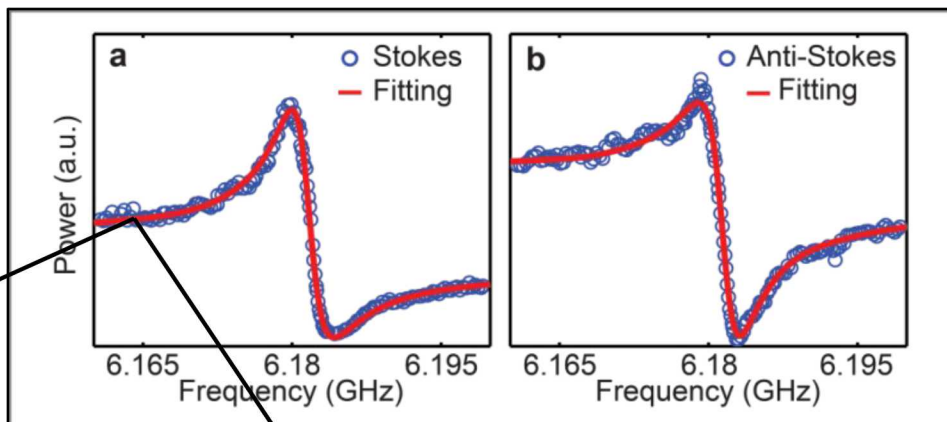
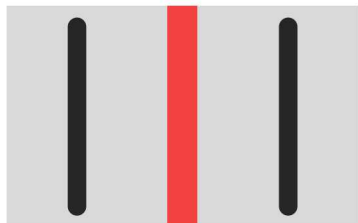
How can coupling be tailored?



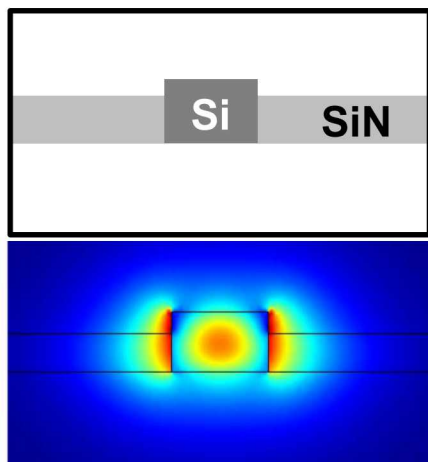
- As waveguide dimension increases, more guided modes are allowable.
- Highly tailorable nonlinearities.

Q: How strong is photon-phonon coupling?

$$w = 3.8 \mu\text{m}$$



Si Waveguide



Kerr Nonlinearity

Intrinsic Si Nonlinearity:

$$n_2 = 4.5 \cdot 10^{-18} \text{ m}^2/\text{W}$$

NL Waveguide Coefficient:

$$\gamma_k = 188 \text{ W}^{-1}\text{m}^{-1}$$

Brillouin nonlinearities are quantified relative Kerr nonlinearities.

Line-Shape Analysis:

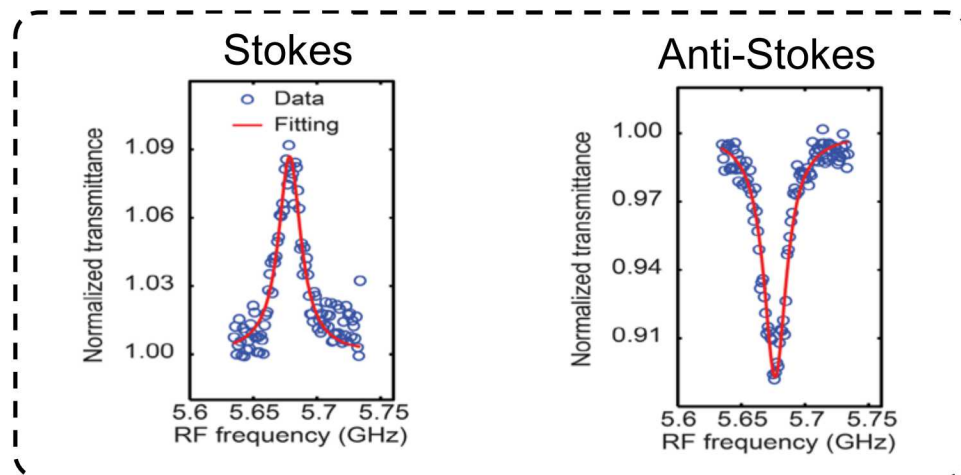
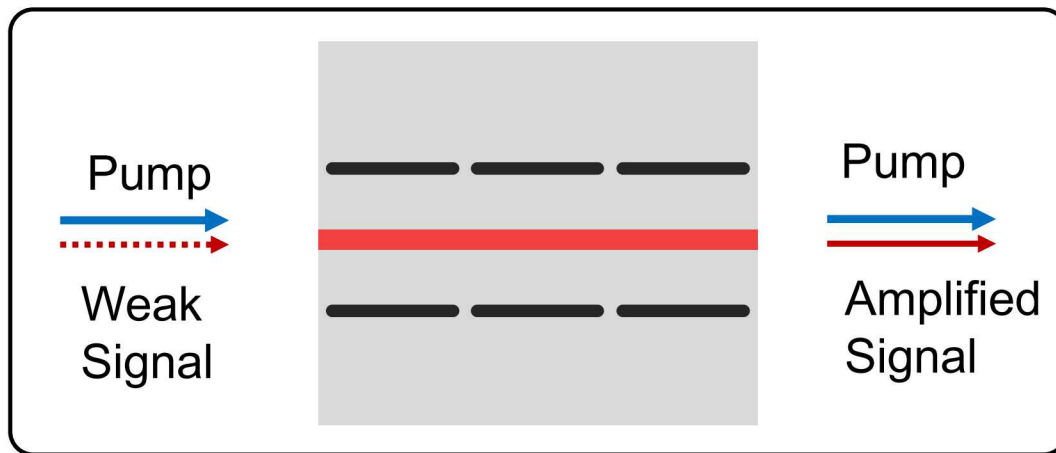
$$G_B/\gamma_k \approx 10.43$$

$$\Rightarrow G_B \approx 1960 \text{ W}^{-1}\text{m}^{-1}$$

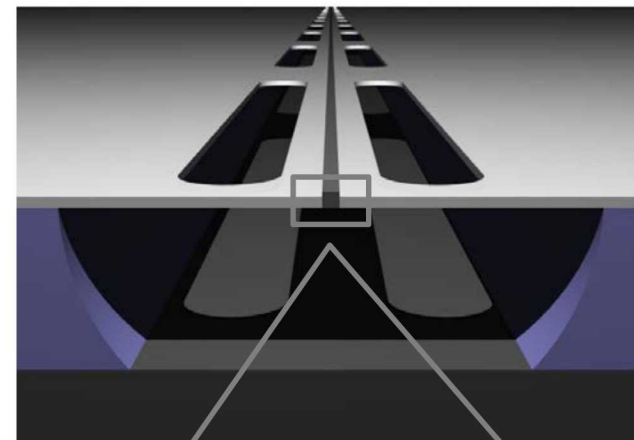
$$Q \approx 1561$$

- 1000 X larger than any prior forward-SBS system.
- First SBS in Silicon!

How large is the SBS amplification?



Si/SiN Waveguide

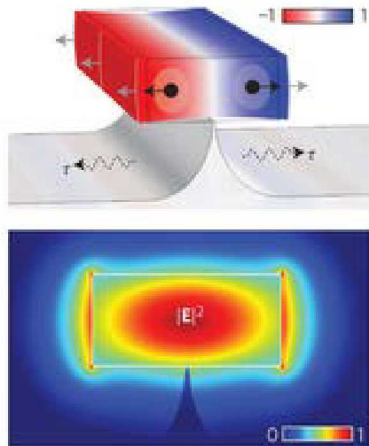


Gain coefficient: $\sim 2800 \text{ W}^{-1}\text{m}^{-1}$

Losses 7dB/cm

SBS Gain < 1dB; (Gain < Loss)

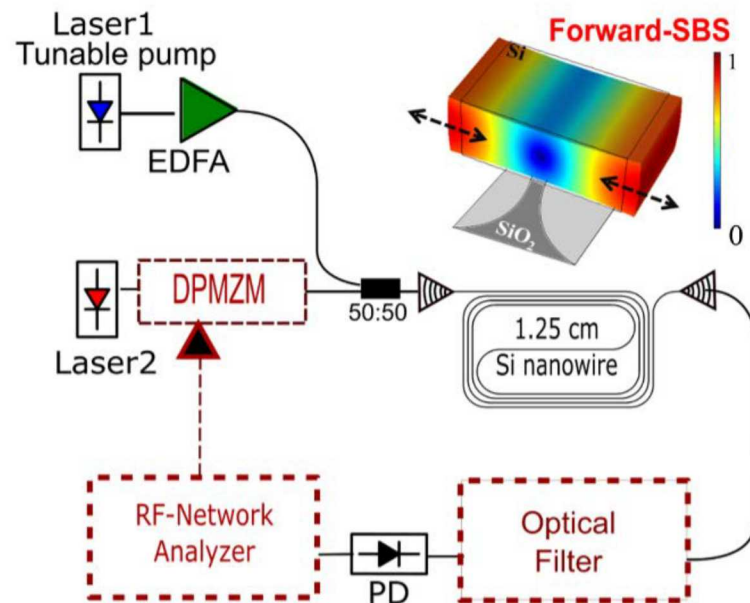
Q: Can we do better?



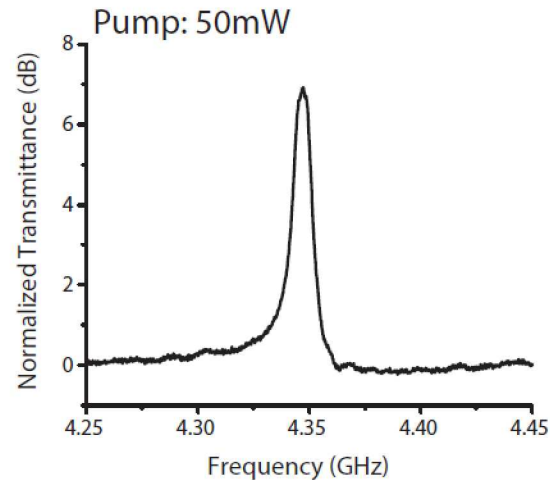
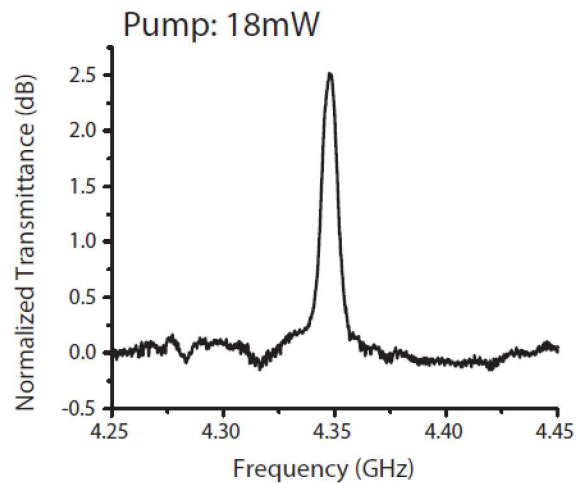
Van Laer, Raphaël, et al. "Interaction between light and highly confined hypersound in a silicon photonic nanowire." *Nature Photonics* 9.3 (2015): 199-203.

SBS Gain 1-4 dB;

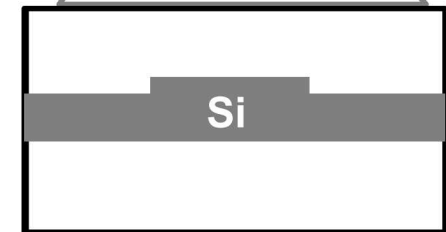
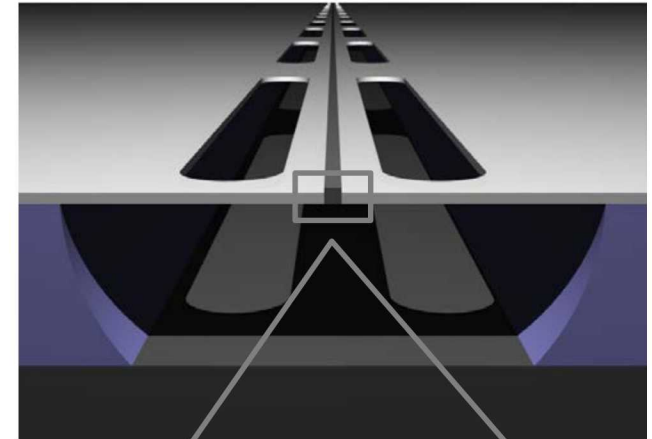
Losses High (Gain < Loss)



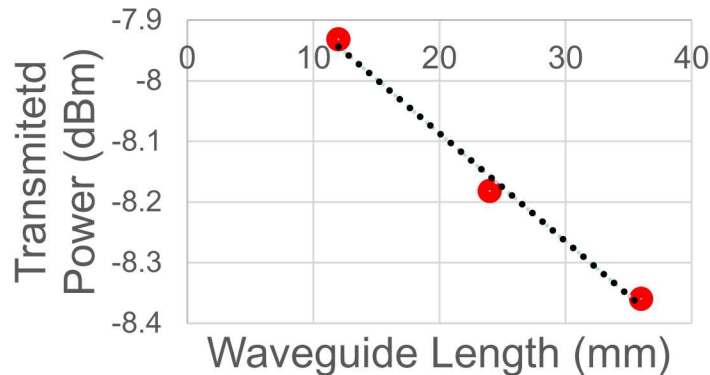
Casas-Bedoya, Alvaro, et al. "Tunable narrowband microwave photonic filter created by stimulated Brillouin scattering from a Silicon nanowire." *arXiv preprint arXiv:1506.07637* (2015).



All Silicon Waveguide



Measured Waveguide Loss



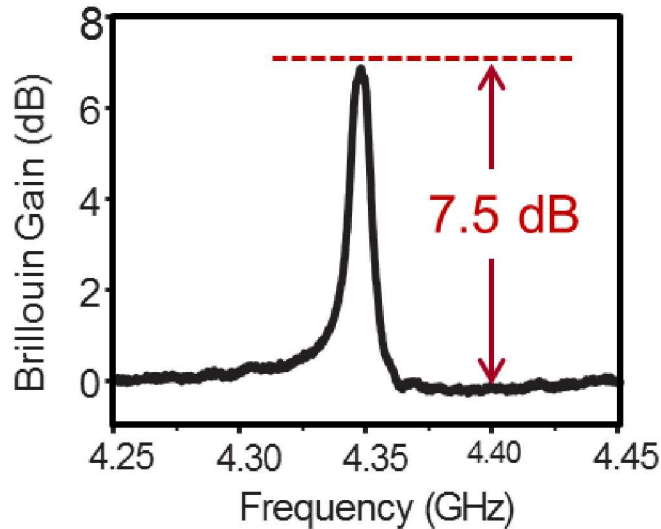
Gain coefficient: $\sim 2000 \text{ W}^{-1}\text{m}^{-1}$

Losses $\sim 0.18 \text{ dB/cm}$

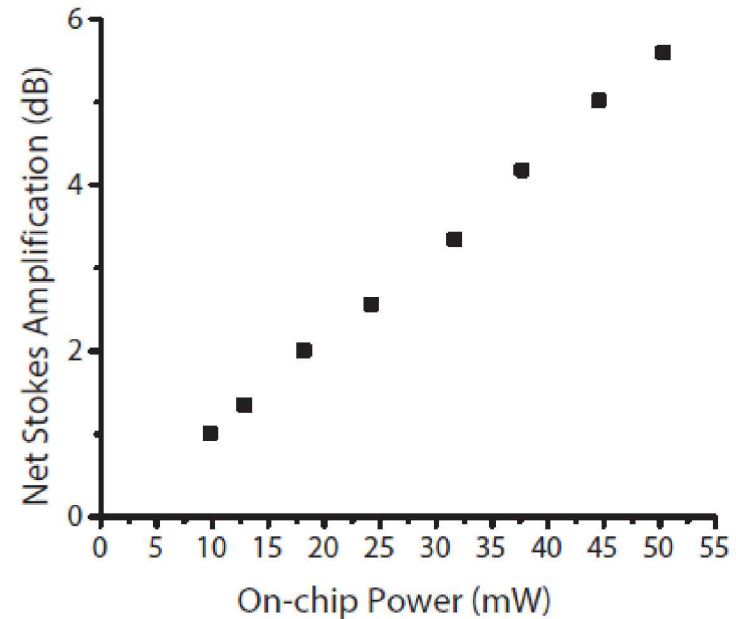
SBS Gain 7.5 dB; (Gain $\gg$ Loss)

Yale Recent Experimental Progress: Highlights

Gain Spectrum



Net Amplification = (Gain - Nonlinear loss)



FOM	Current	Projected
G_{BPL}	~1.5	~4.5
Net Gain	6 dB	15-20 dB

- Losses ~ 0.18 dB/cm
- **Net amplification of 6 dB.**
- Gain bandwidth ~ 10 MHz

How else can we put this effect to use?

Outline of Presentation:

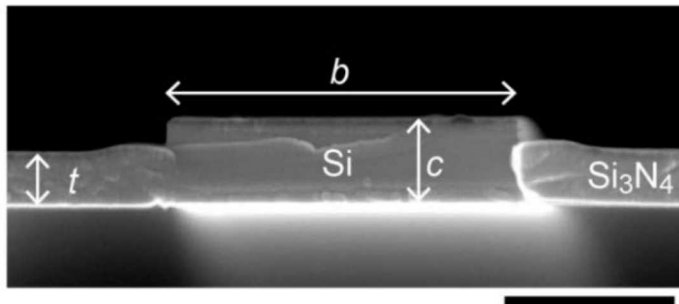
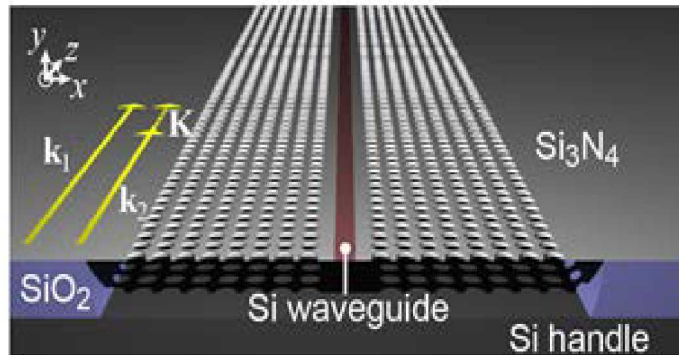
1. Introduction

2. Light scattering and nonlinearity

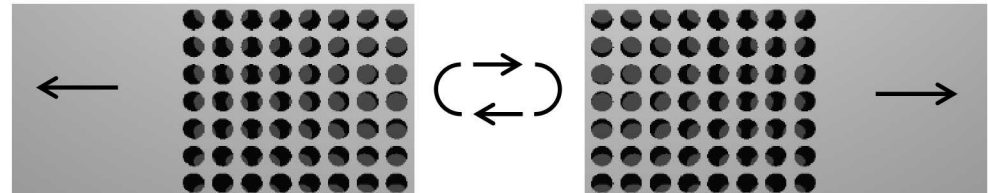
3. Optical forces at nanoscales

4. Stimulated light scattering in nanophotonics

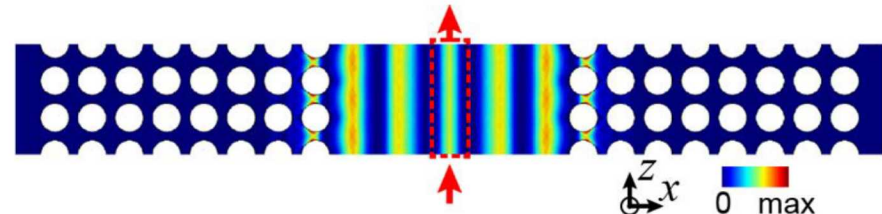
5. Novel signal processing applications



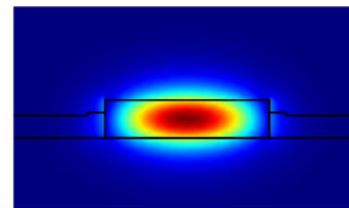
Phonons guided via Bragg Reflection.



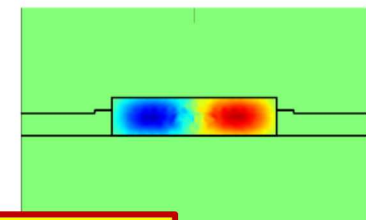
Bragg confinement of acoustic mode.



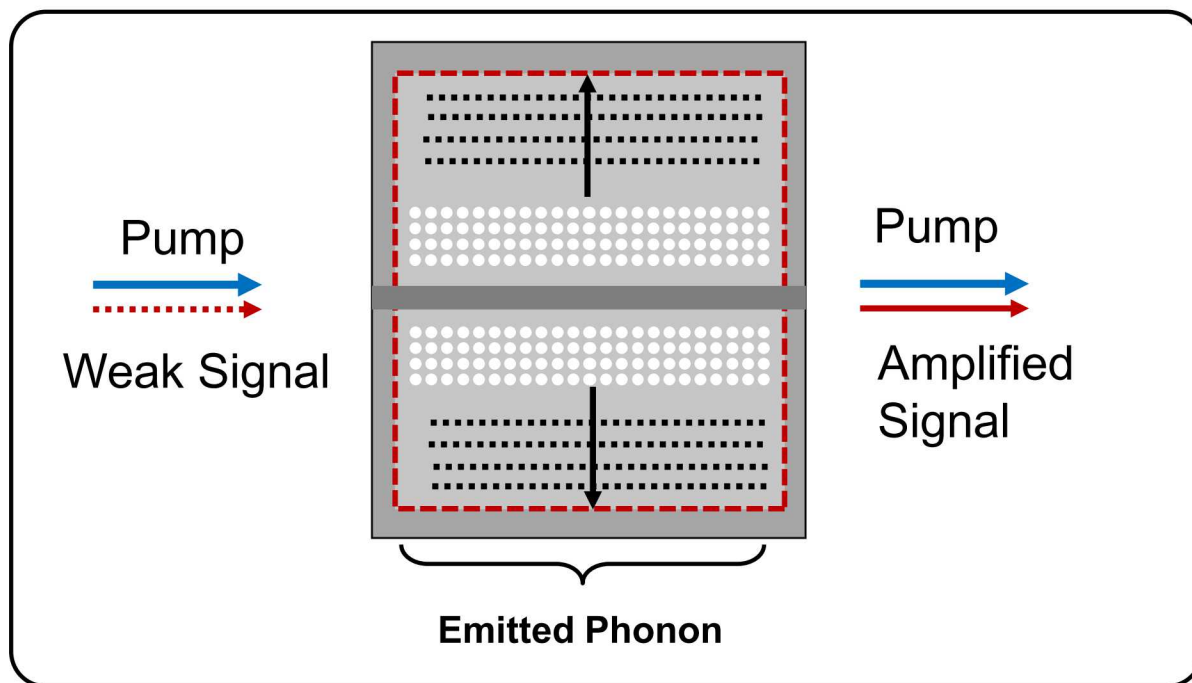
Optical mode



Electrostrictive forces.

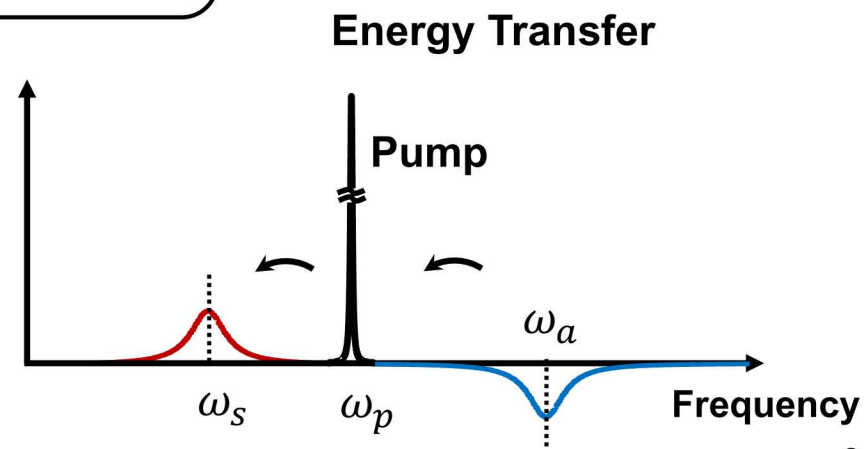
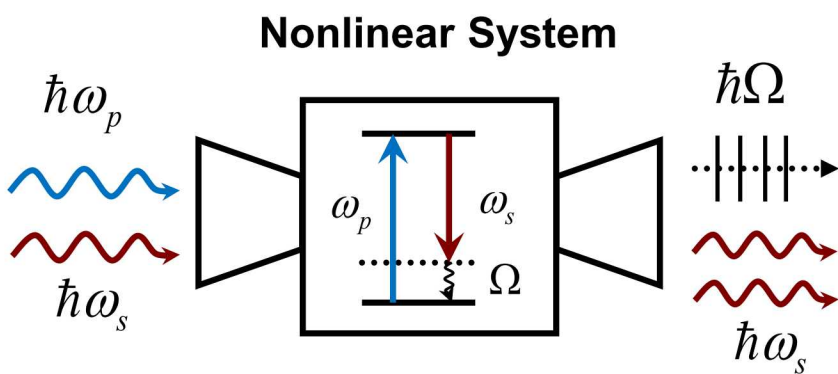


Phononic crystal offers new opportunities...

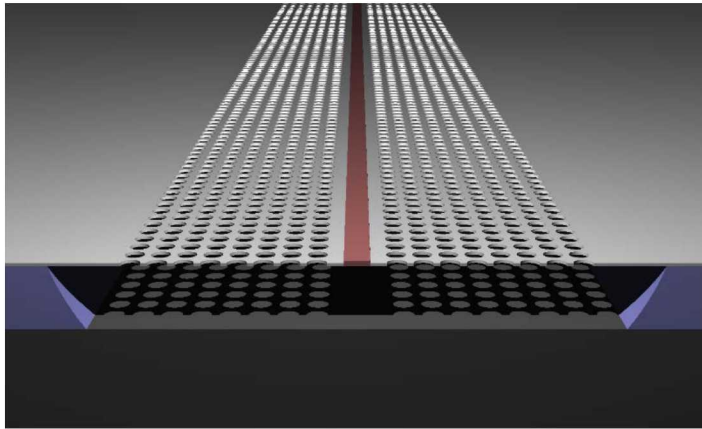


Result:

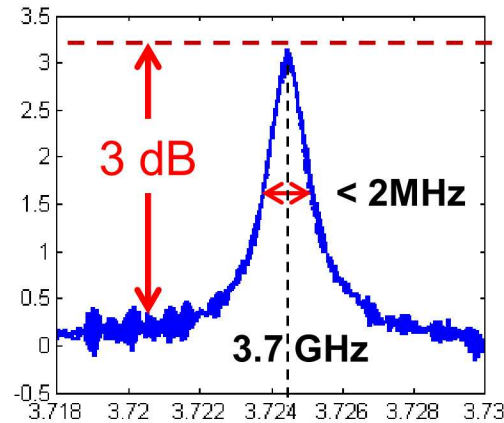
- Signal amplification
- Optical energy transfer
- Phonon emission



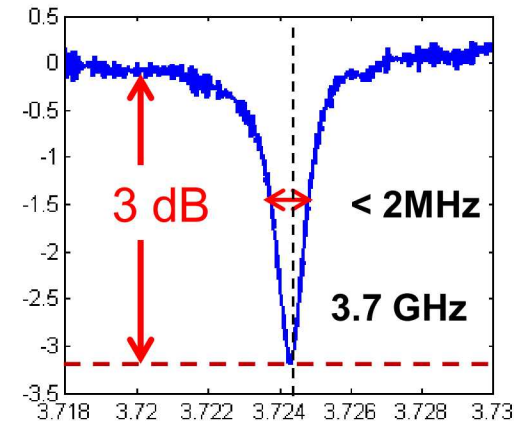
Phononic Crystal Waveguide



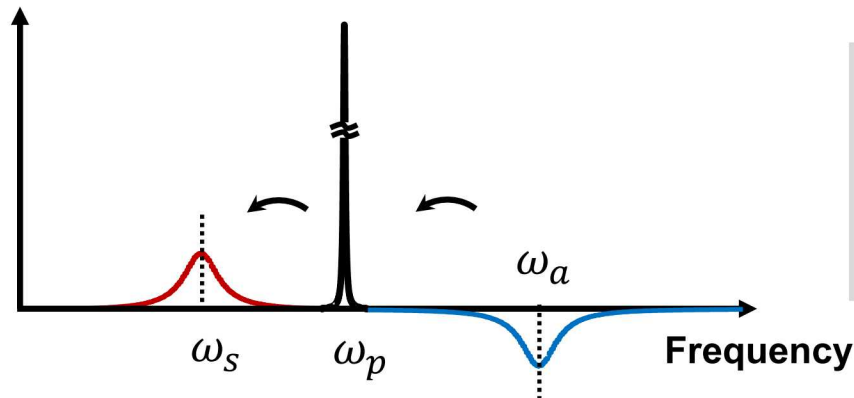
Stokes: Amplification



Anti-Stokes: Depletion

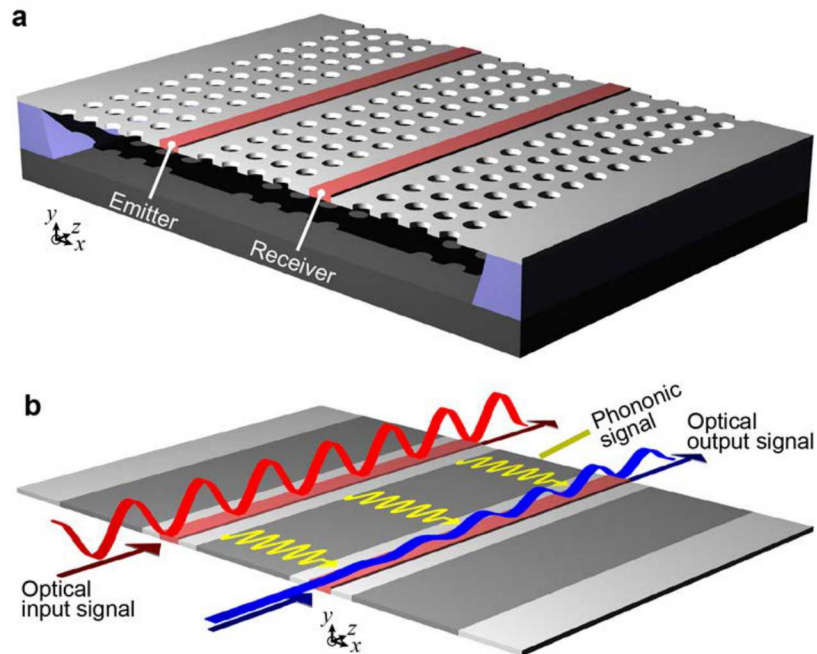


Energy Transfer

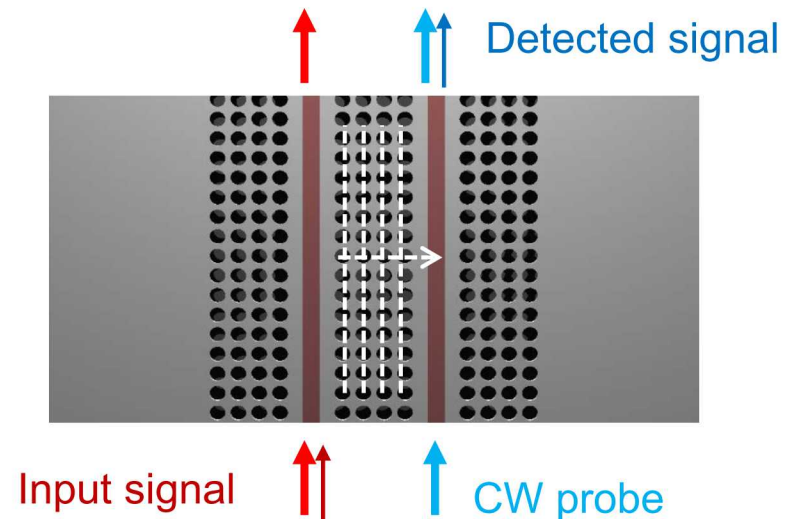


Experimental data:

- Tailorable form of photon-phonon coupling (Brillouin interaction)
- Engineerable phonon emission.

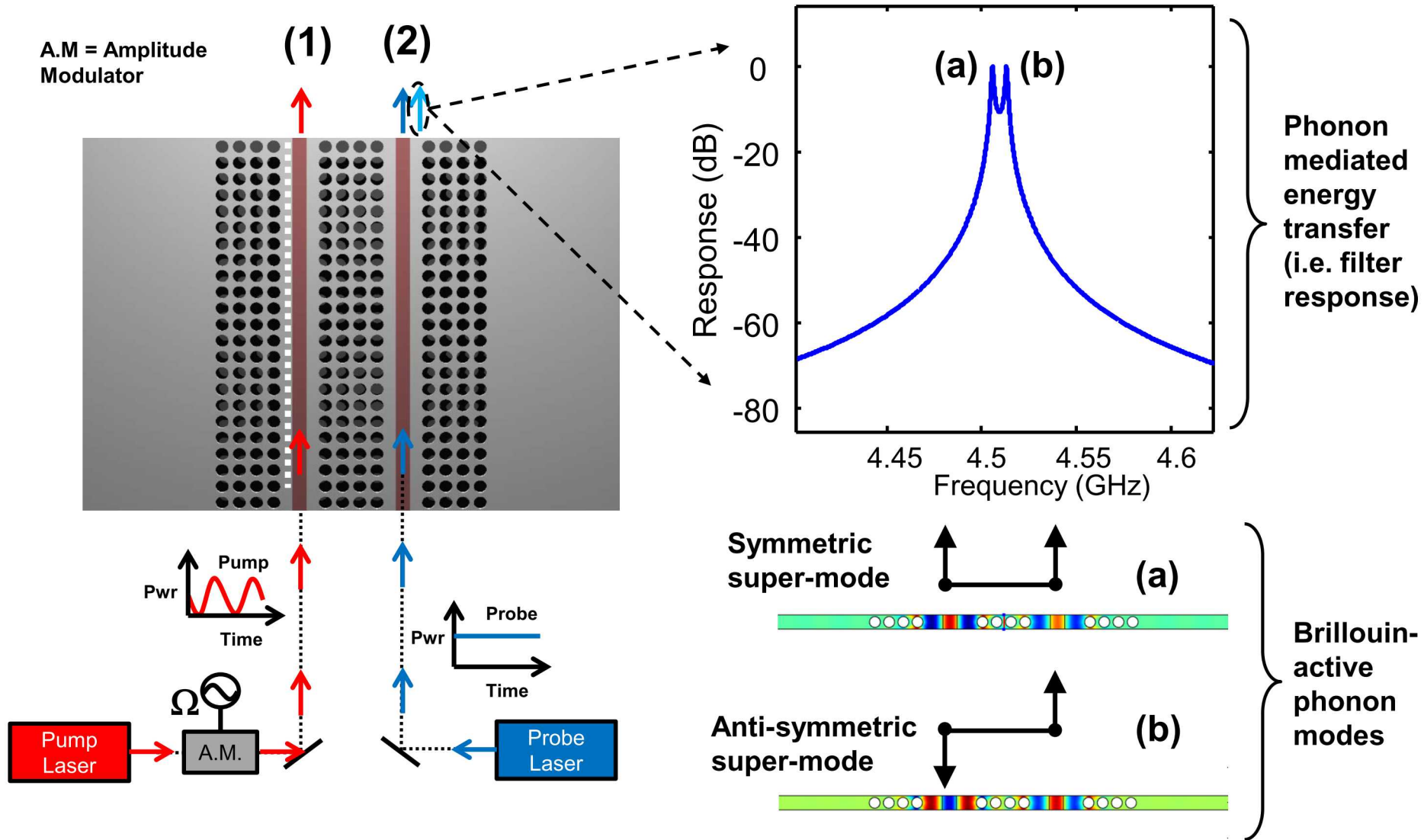


Basic Concept of Operation:



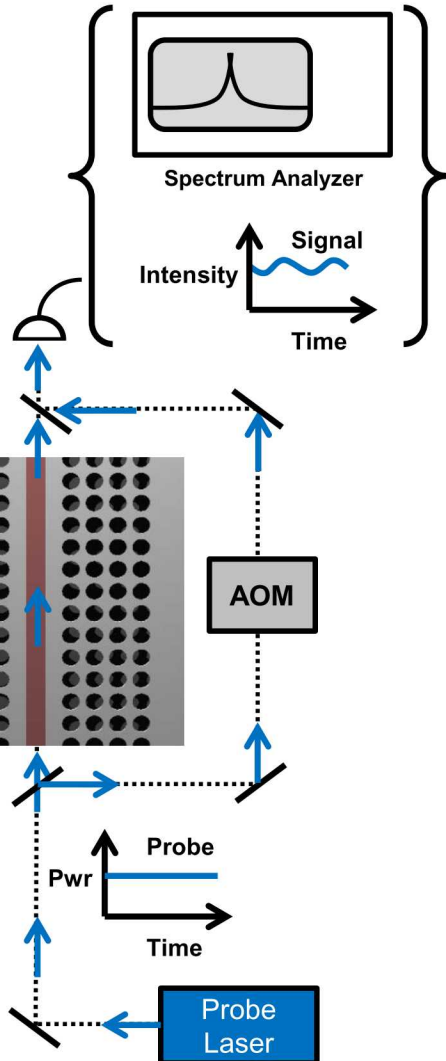
- Optical waves are highly localized (No cross-talk)
- Phonon-modes are delocalized (Couples both waveguides)
- Hybrid interaction: nonlocal effective nonlinear response

How does this dual-waveguide system work?

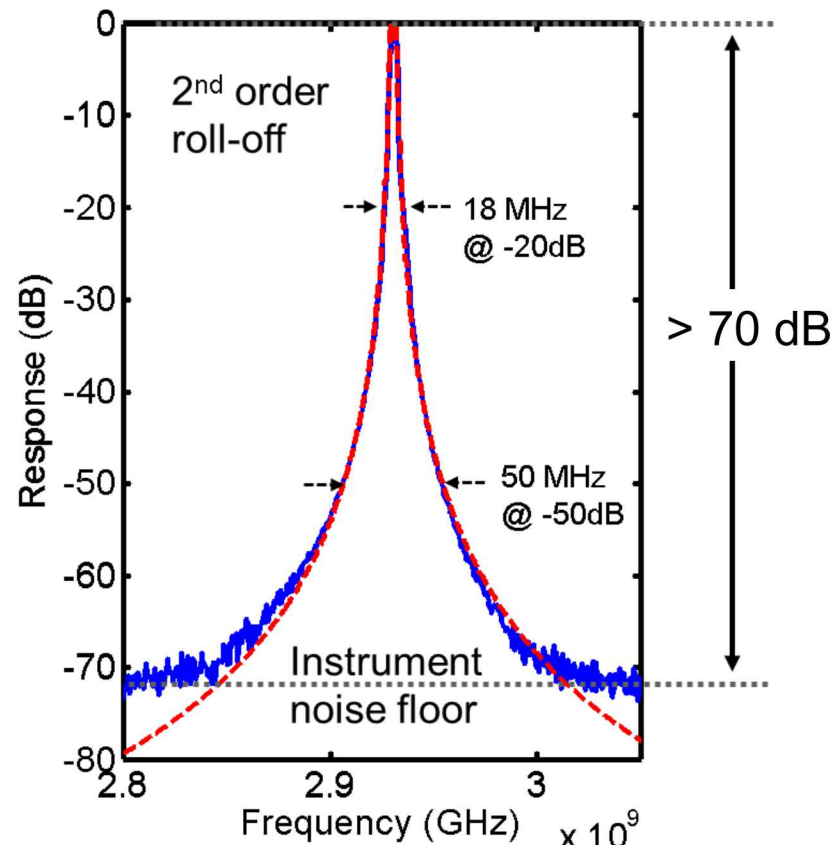


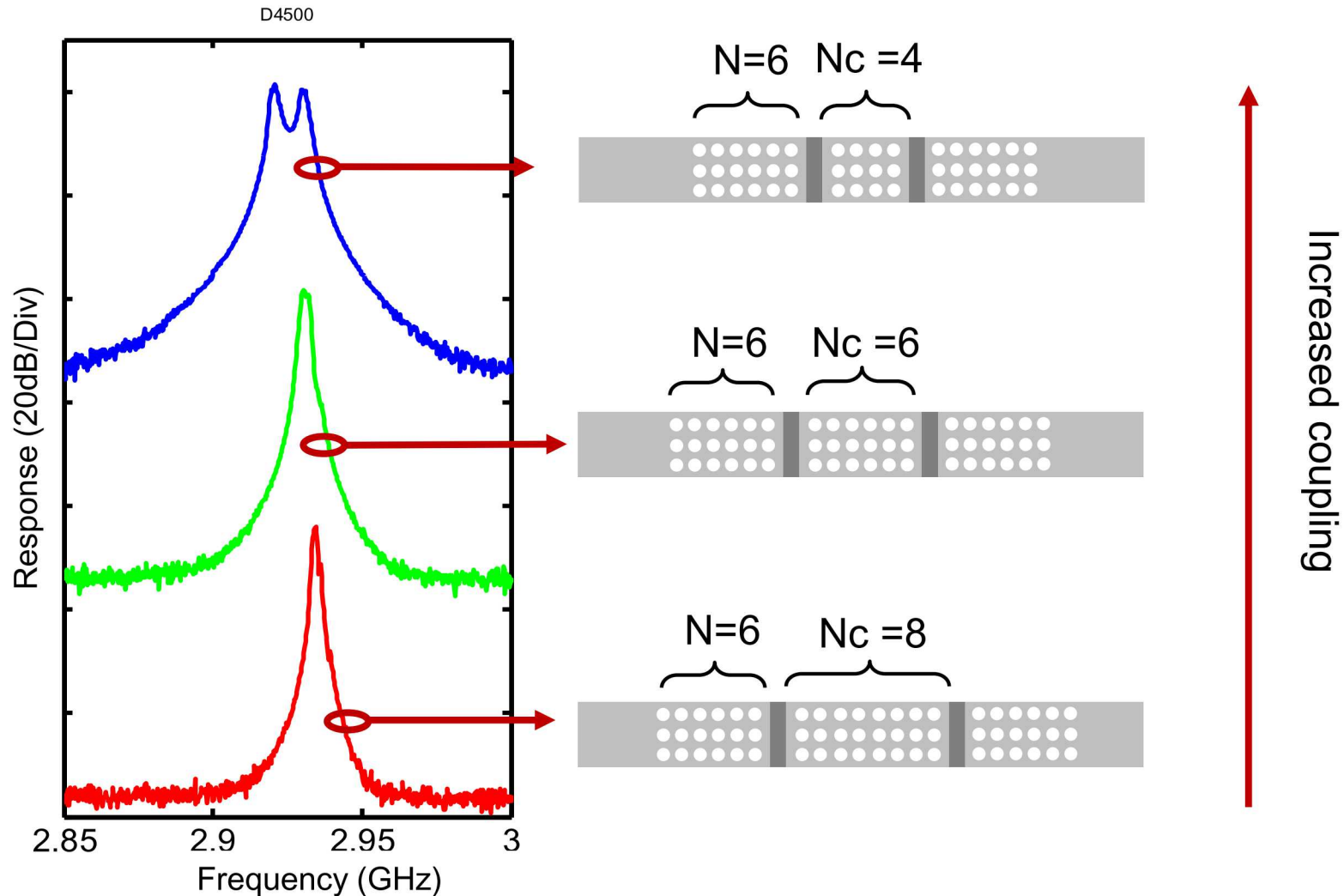
Simplified Apparatus :

1. Modulated pump-wave excites phononic super-mode.
2. Signal is imprinted on the new-probe wavelength.
3. Filter response measured as a modulation on the probe beam at frequency Ω .



- Nonlocal energy information transfer.
- Information coupled by phonons.
(No optical cross-talk)





Outline of Presentation:

1. Introduction

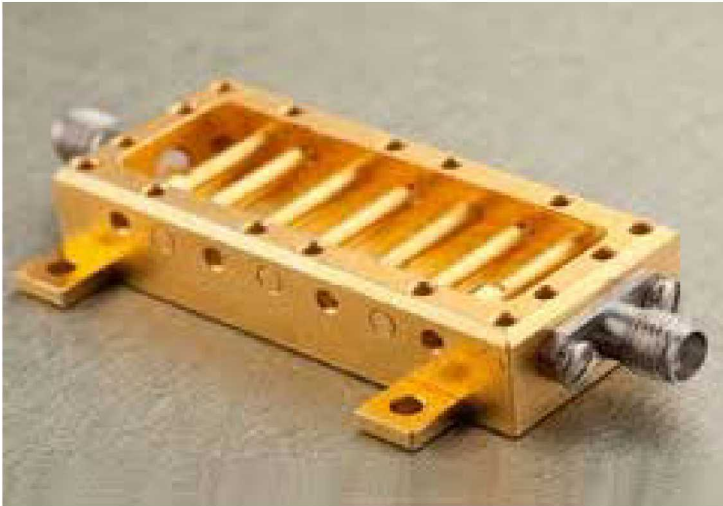
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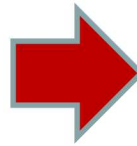
4. Stimulated light scattering in nanophotonics

5. Novel signal processing applications

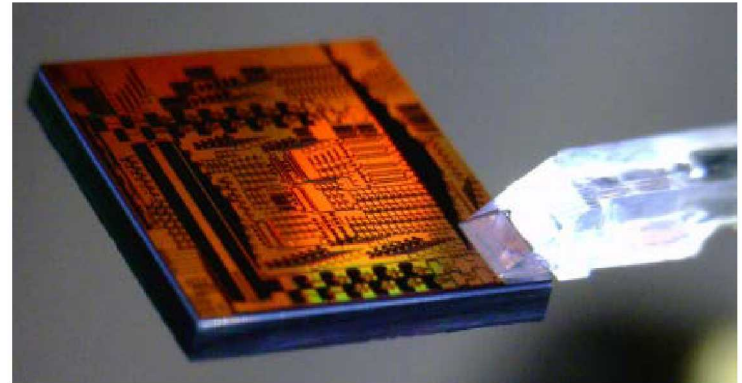
High Performance RF Components



- Many components must be comparable in size to RF wavelength.
- Prevents size reduction.



Optically Based RF-photonics

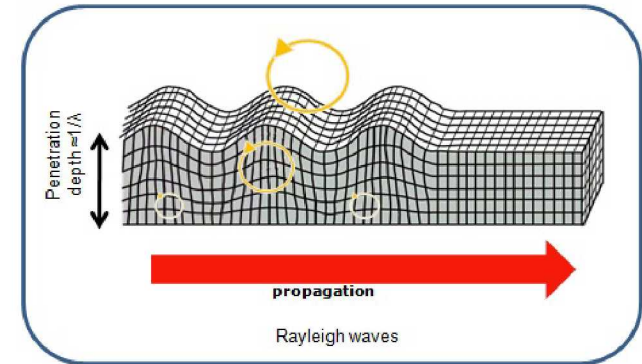
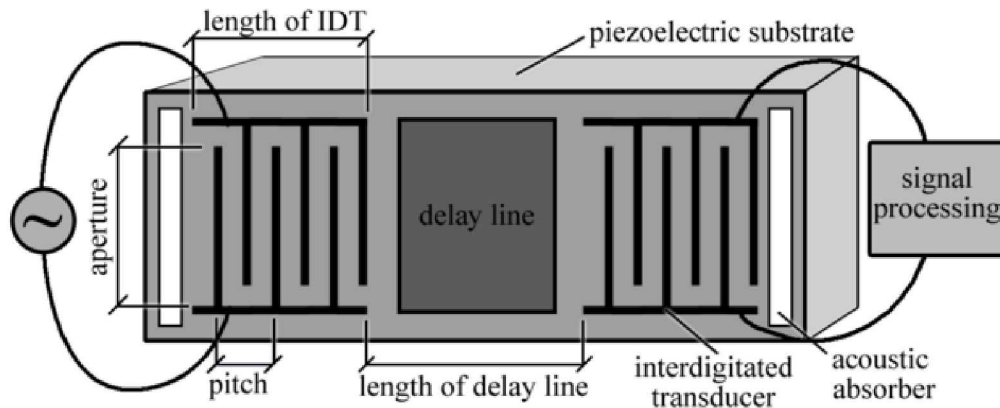


Opportunity:

- Encode information on guided optical waves.
- Integrated photonics could yield dramatic (10,000 x) size reductions.

We can't forget “unsung hero” of signal processing → Phonons!

Example: Compact signal delay routinely achieved with SAW technology



Surface acoustic wave (SAW) devices:

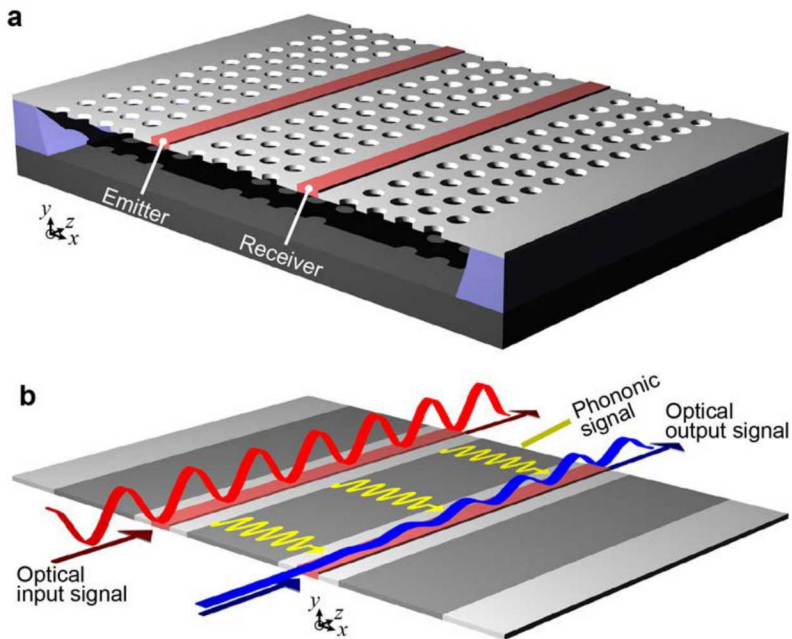
- Sound $\sim 10,000$ x slower than light.
- Lifetimes milli-microseconds.

Bottom line:

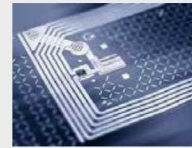
- Light and sound are just different.

Hybridization of Microwave, Photonic and Phononic signal processing

We can we marry all worlds...



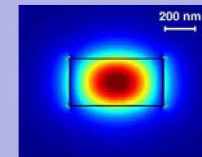
Microwaves



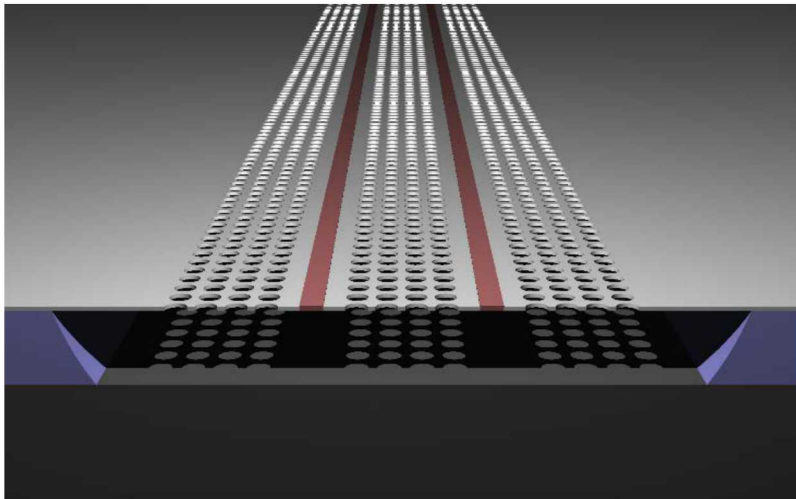
Phonons



Photons



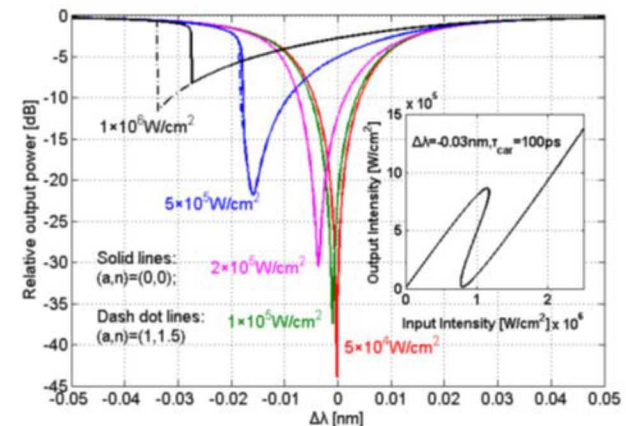
Combine the merits of all three domains.



Unique properties FSOBS configuration:

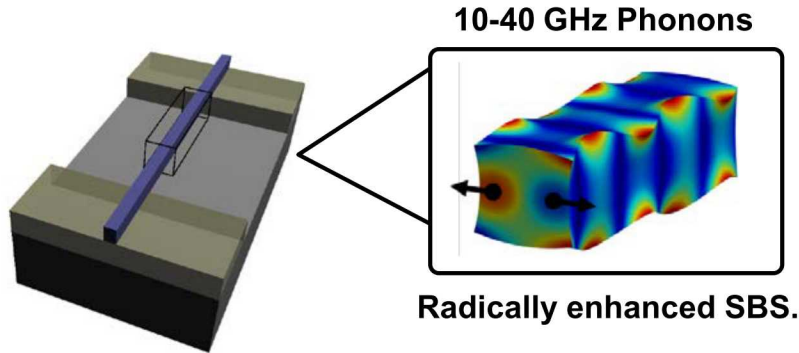
- Wavelength insensitive.
- Could actually be white light!
- Tremendous 70dB dynamic range.
- Can both amplify and filter signal.
- High power (300 mW) handling

Conventional RF-photonic filtering: High-Q microcavities



Problems:

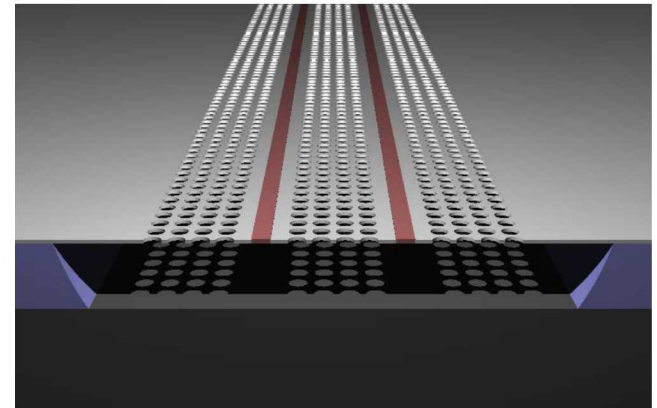
- Shape distorted at μW powers.
- Requires narrow line lasers
- Requires frequency locking.

Theory: photon-phonon coupling.**Part I Summary:**

- Radically enhanced SBS processes found.
- SBS is no longer a bulk nonlinearity.
- Boundaries alone responsible for SBS.

Part II Summary:

- First demonstration of SBS in silicon.
- Tailorable phonon emit-receive functionalities demonstrated.
- Presented new paradigm for high power RF-photonic filtering .
- Many applications to come.

**Traveling-wave phonon
emit-receive**



Our Team:

Yale



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Zheng Wang
Wenjun Qiu



Dr. Heedeuk Shin



Dr. Will Renninger



Dr. Ryan Behunin



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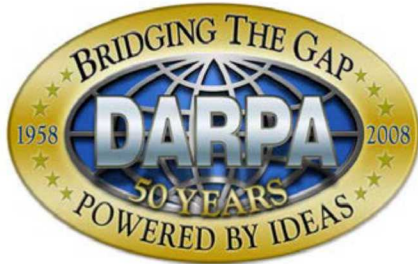
Eric Kittlaus

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FINE