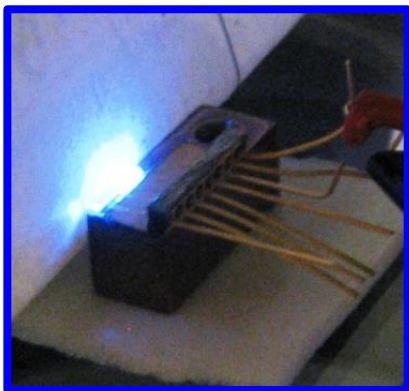


352-nm laser diodes enabled by low-dislocation-density AlGaIn templates



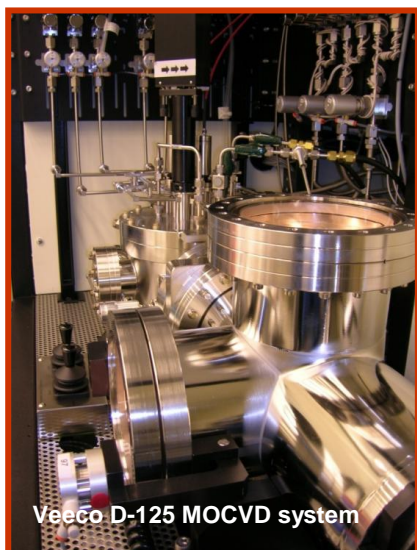
Mary H. Crawford, Andrew A. Allerman, Michael L. Smith and Karen C. Cross

Sandia National Labs, Albuquerque, NM

Acknowledgements: A. Armstrong, W. Chow, B. Clarke
Sandia National Laboratories

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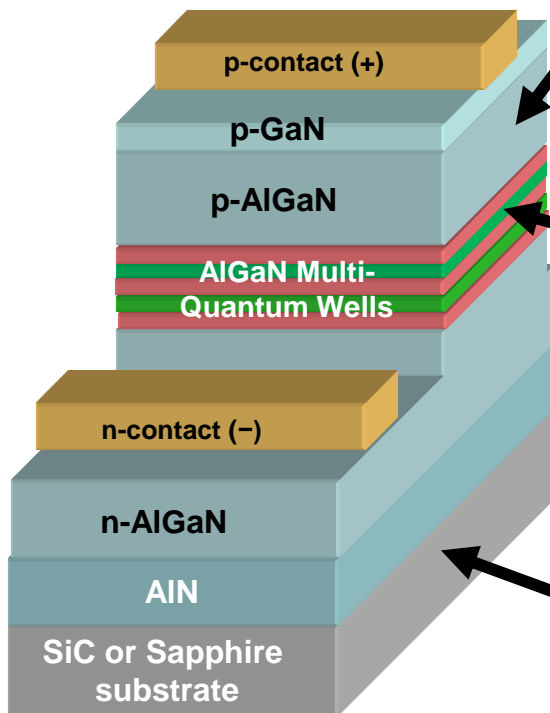


Outline

- **Introduction:**
 - Materials Challenges for UV LDs
 - Previous work on AlGaN LDs
- **Dislocation reduction of $\text{Al}_{0.32}\text{Ga}_{0.68}\text{N}$ grown over etched trenches**
 - Processing and growth
 - Improvements in PL and EL
- **UV Lasing**
 - Optical pumping
 - Electrical injection
- **Summary**

Materials challenges for high performance AlGaN deep UV LDs

AlGaN Deep UV LD (p-n junction device)



p-type AlGaN is very difficult

- Large acceptor ionization energies
- Compensating defects

AlGaN Quantum Wells may have low optical efficiency

- Non-radiative crystalline defects (e.g., impurities, vacancies)

Lack of AlGaN Substrates

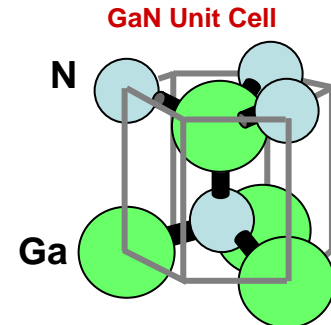
- high densities of extended defects (threading dislocations) $> 10^9 \text{ cm}^{-2}$
- Reduced device efficiency and operational lifetime

E_c —

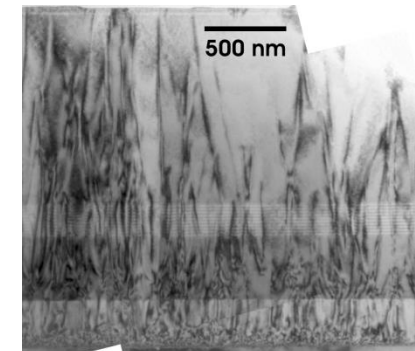
$kT = 0.026 \text{ eV!}$

Mg

E_v —

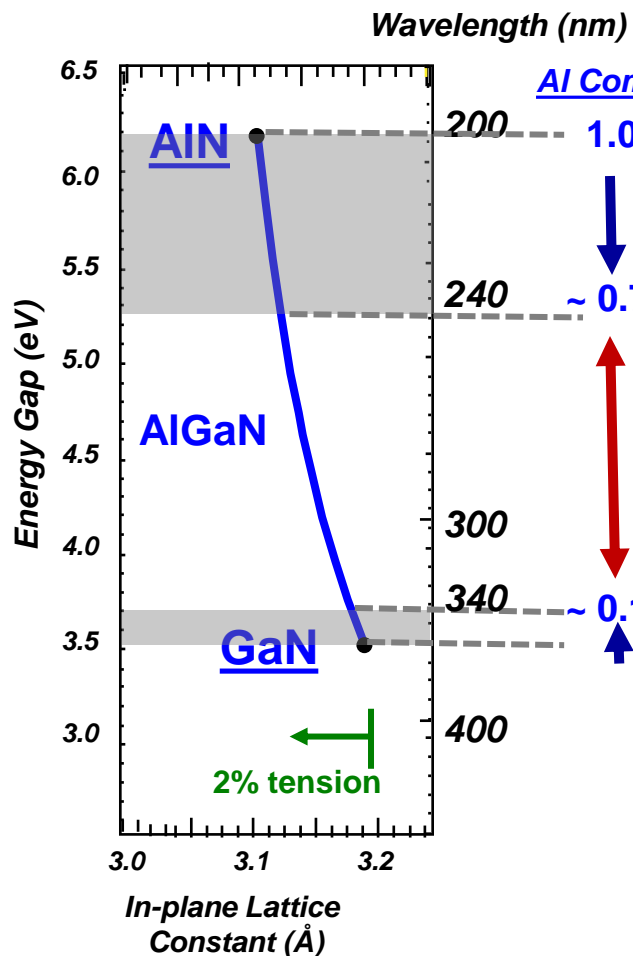


TEM image of AlGaN on sapphire



Sapphire substrate

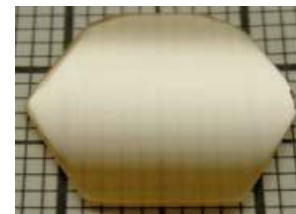
Options for Low Defect Substrates



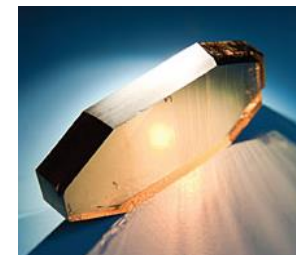
Pseudomorphic limit
(relaxation by dislocation generation)

- Ternary (AlGaIn) “substrate” needed for emitters at many UV wavelengths

Excess tensile strain
leads to cracking



AlN
(Hexatech)

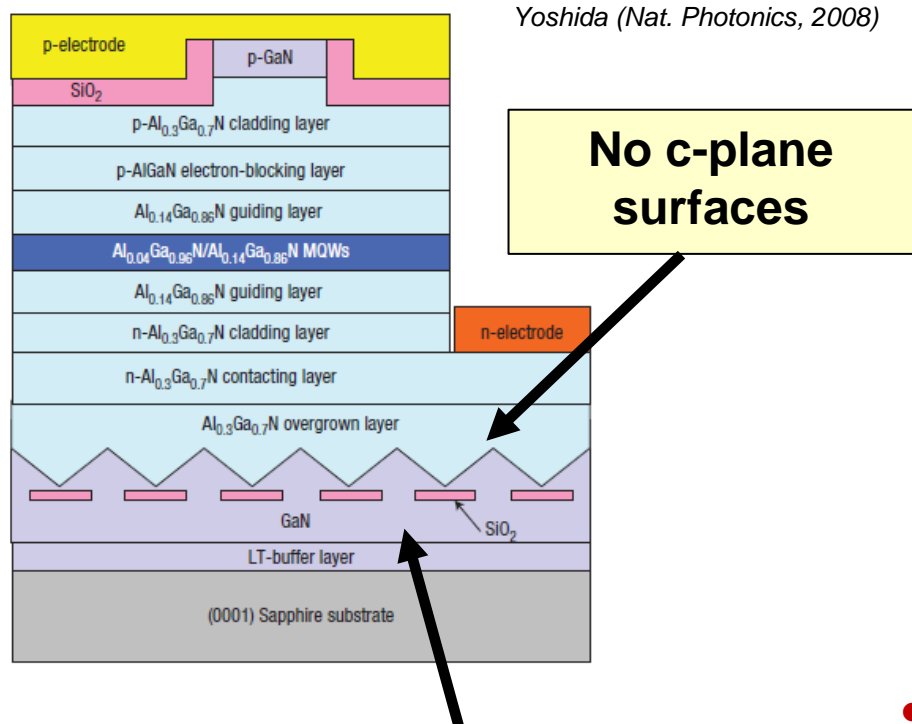


(Ammono)
GaN

➡ How to fabricate a low dislocation template for mid-alloy AlGaIn UV-emitters?

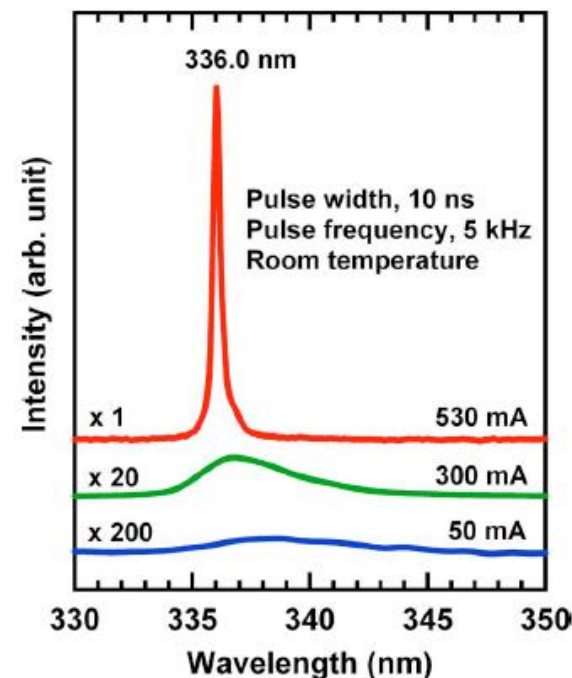
Previous Work: Laser Diodes Employing Patterned Overgrowth

Laser Heterostructure



- Dislocations uniformly reduced across wafer

Pulsed Laser Performance

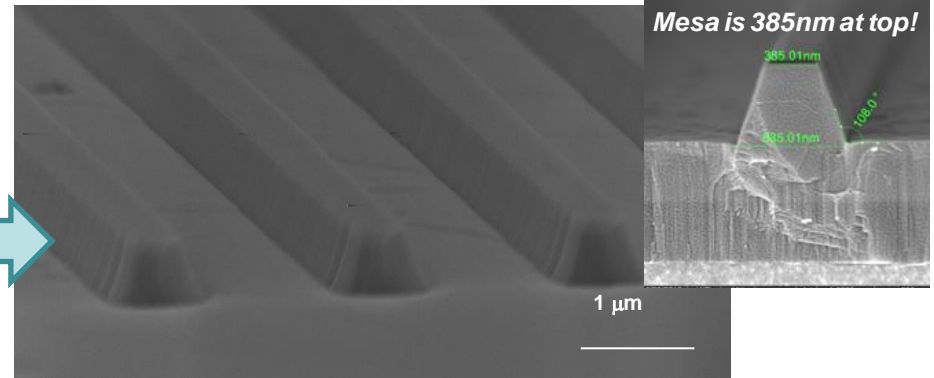
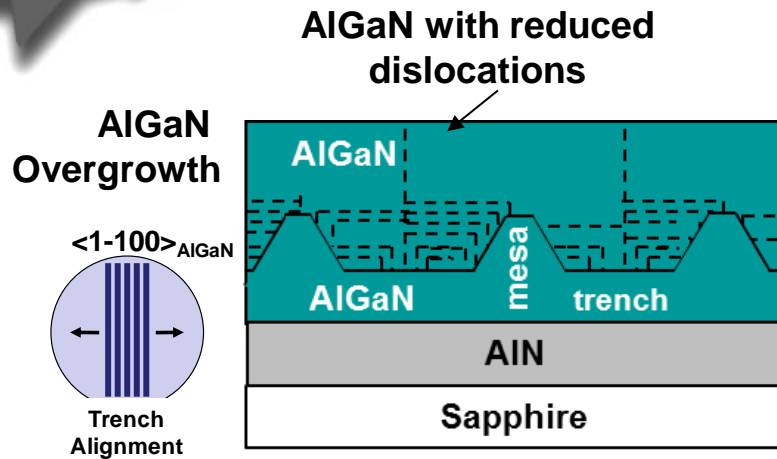


- ELOG-GaN with $\text{Al}_{0.3}\text{Ga}_{0.7}\text{N}$ claddings:**
 - 336 nm, 17.6 kA/cm²
 - 342 nm, 8.7k A/cm²
 - Etched facets, 10 ns,

Yoshida (APL, 2008)

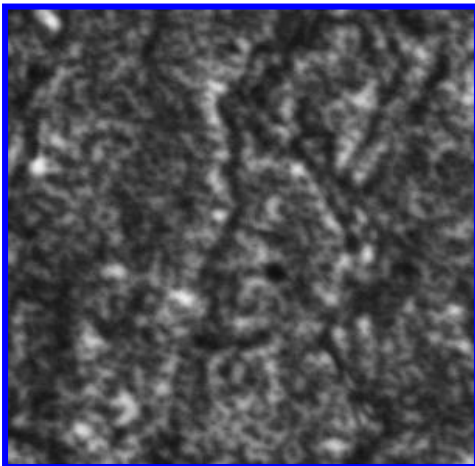
Dislocation reduction with AlGaN overgrowth of etched trenches

Patterned template formed by plasma etching

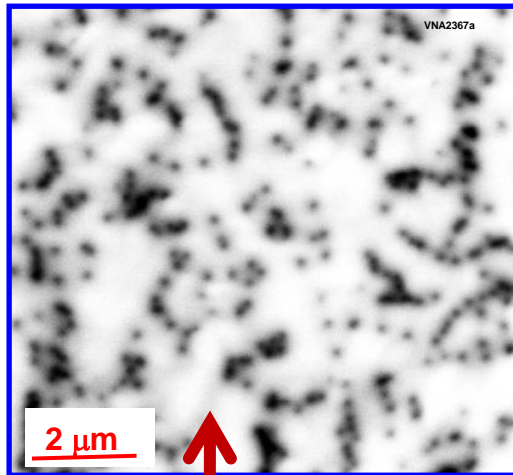


CL of 340 nm AlGaN QWs (Al=0.30)

Planar Growth



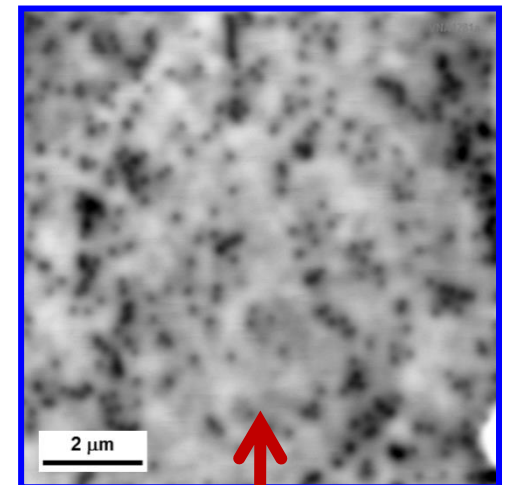
Patterned Overgrowth



10-30X TD reduction to $2-4 \text{ e}8 \text{ cm}^{-2}$

CL of 280 nm AlGaN QWs (Al=0.70)

Patterned Overgrowth



$3-4 \text{ e}8 \text{ cm}^{-2}$

Two-beam BF-STEM of $\text{Al}_{0.32}\text{Ga}_{0.68}\text{N}$

Overgrowth of patterned $\text{Al}_{0.32}\text{Ga}_{0.68}\text{N}$

B. Clarke

Mask: 1 / 1 (μm)

Etch Depth: 0.66 μm

Overgrowth: 7 μm

➔ *Introducing surface roughness
drives dislocation reduction*

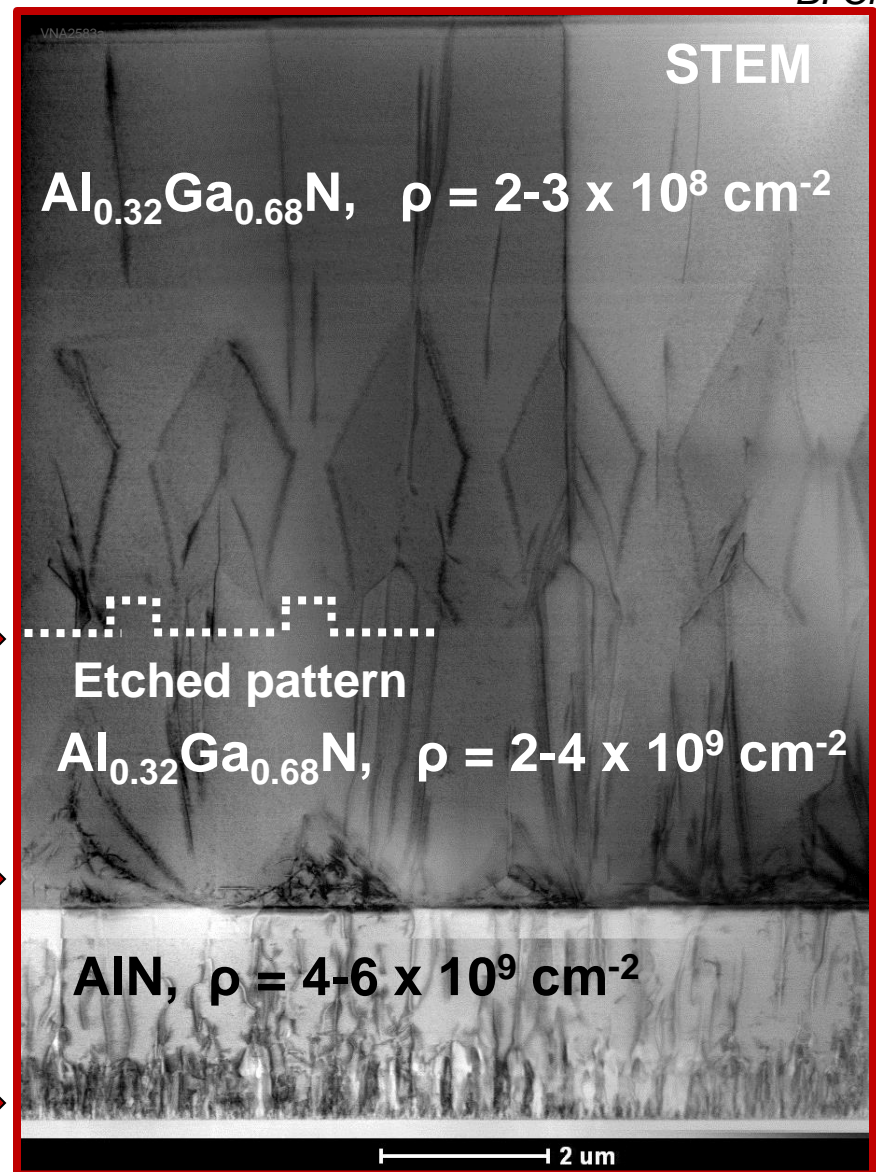
- Overgrowth of etched trenches



- Strain induced 3D islanding

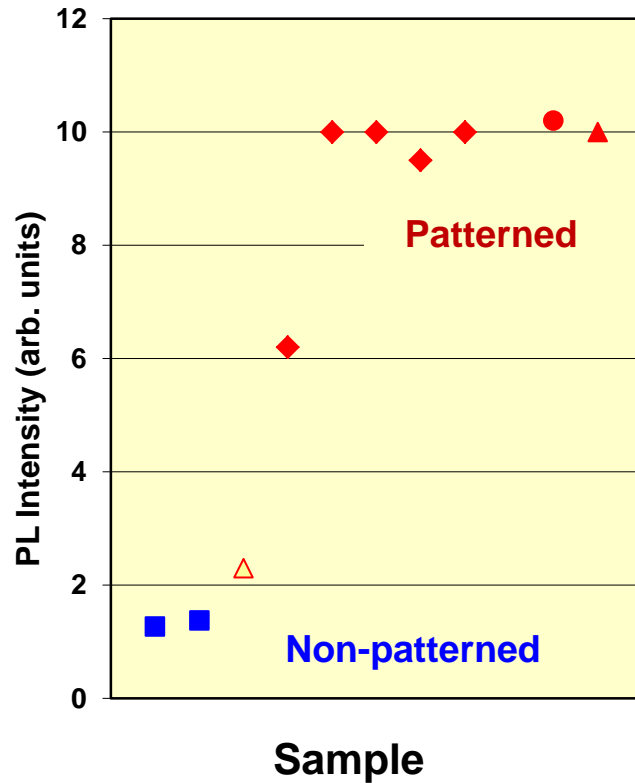


- Roughened, transitional layer

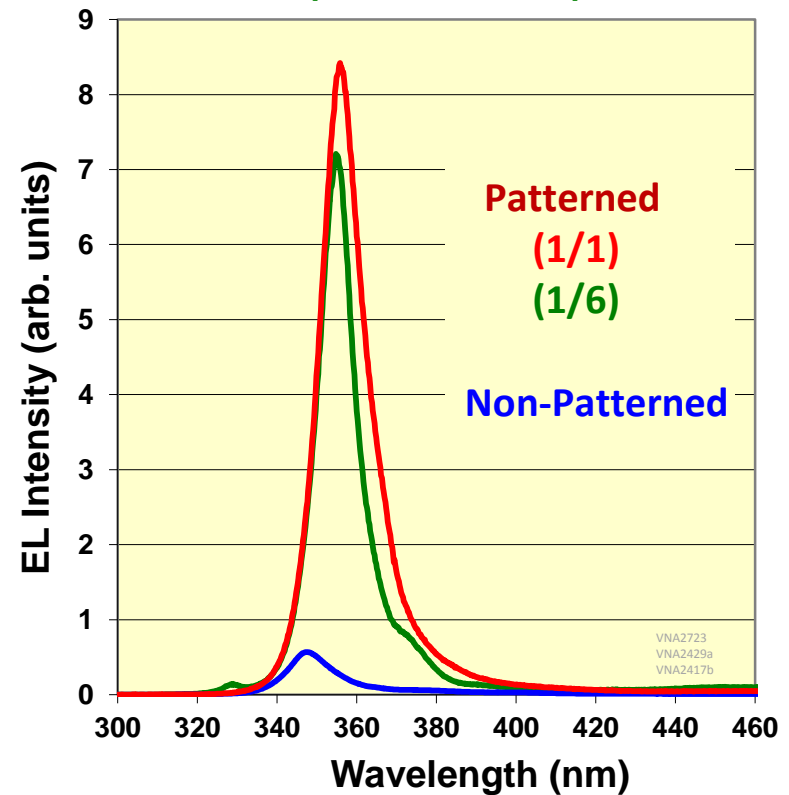


Photoluminescence and electroluminescence of GaN-AlGaN QWs on patterned and non-patterned templates

Photoluminescence (Quantum Wells)



Electroluminescence (LD structure)

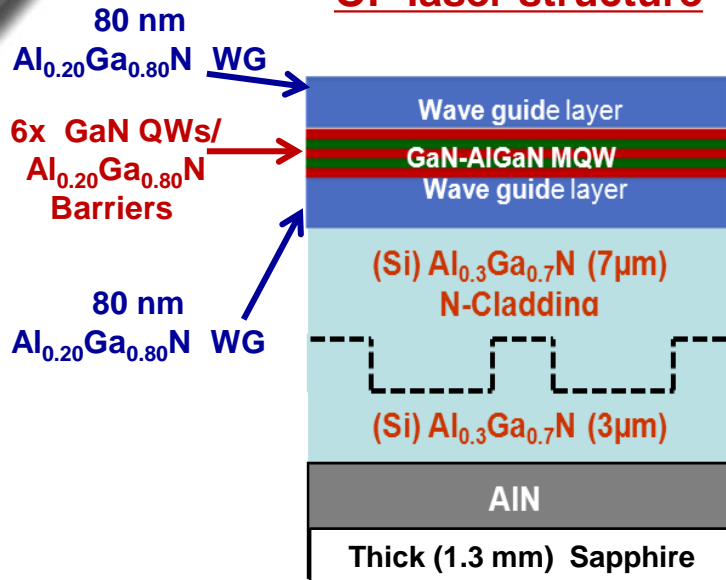


With AlGaIn overgrowth of patterned templates:

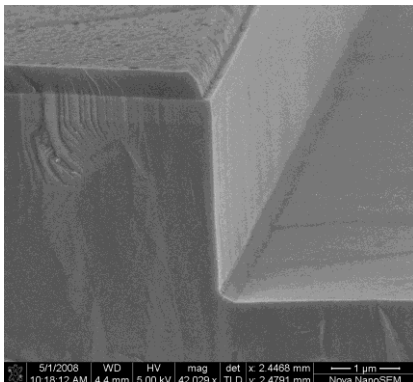
- ➡ ~7-8x increase in PL
- ➡ ~15x increase in EL

Optically pumped lasing at 346nm

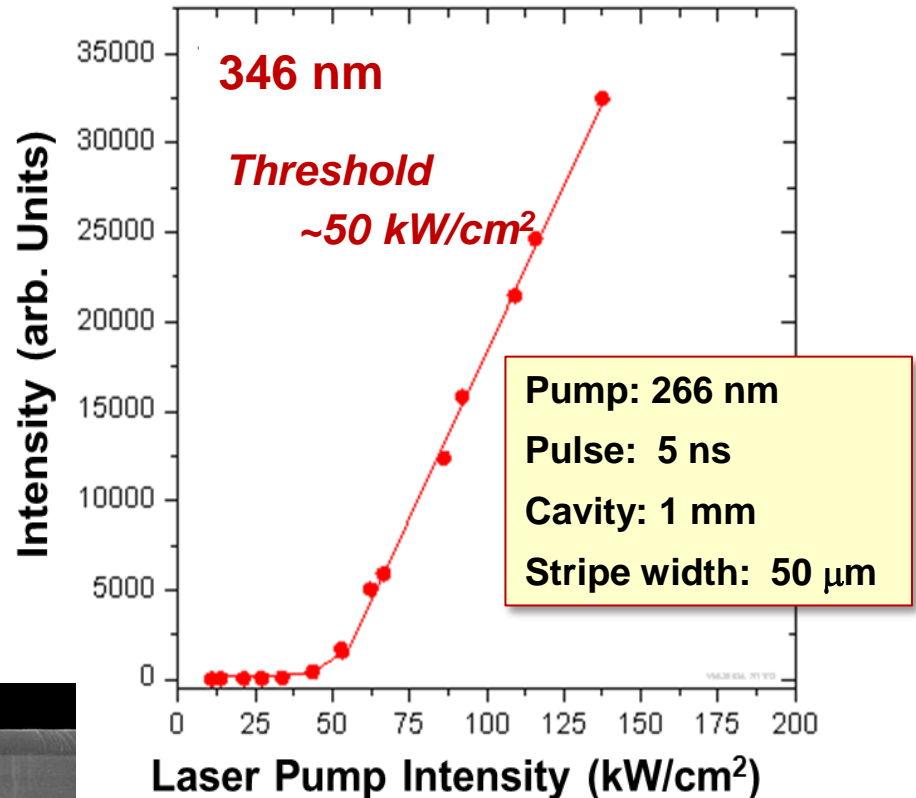
OP laser structure



Etched Facets



→ Cl_2 -based plasma etch and crystallographic wet etch*



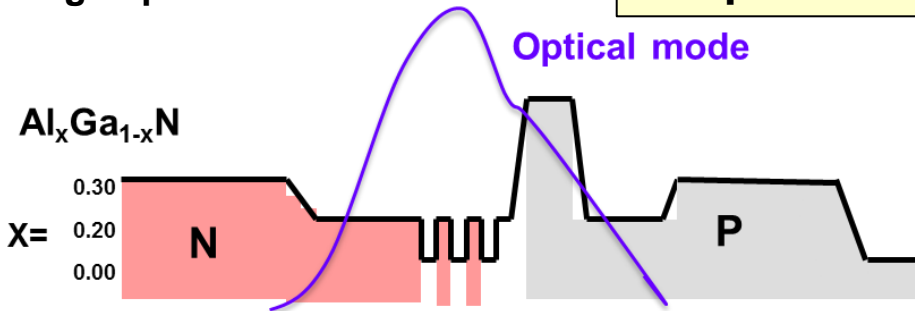
→ Low lasing threshold
~50 - 150 kW/cm^2

Laser Heterostructure Designs

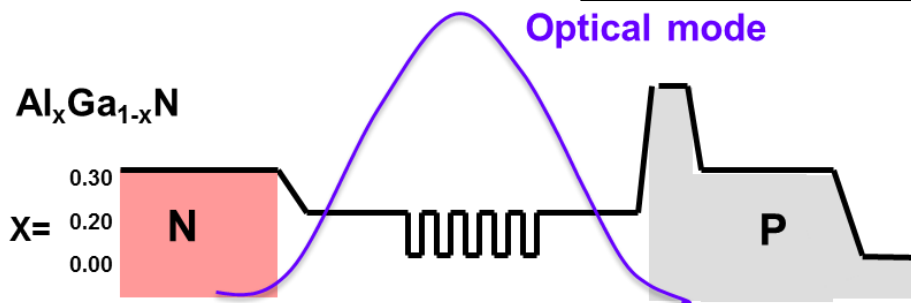
Two designs to examine trade-off between carrier injection efficiency and optical loss

■ = Si doped
■ = Mg doped

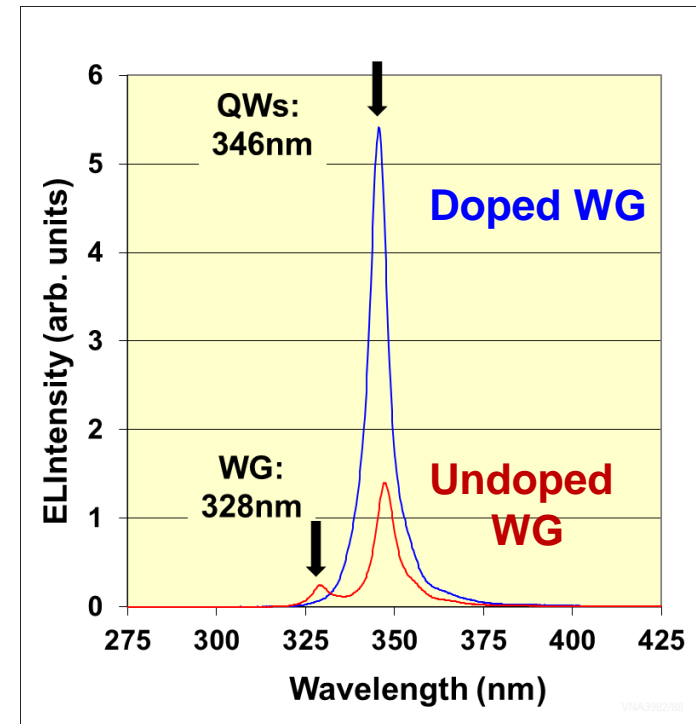
“Doped WG”



“Undoped WG”



Electroluminescence (~13 A/cm²)

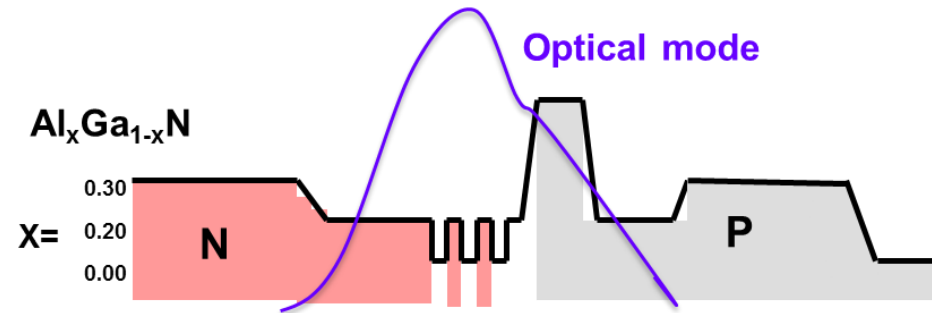
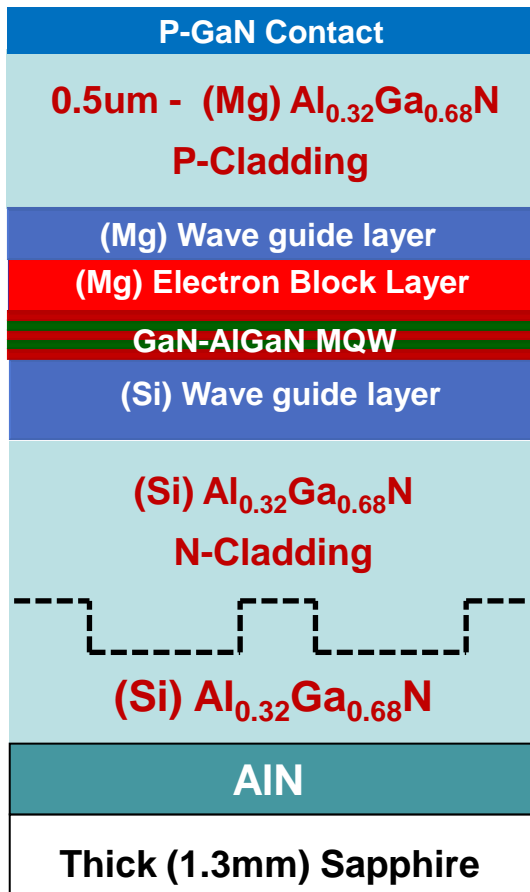


- Eliminate doping in waveguide for reduced optical loss
- Thin barriers, increase quantum well number for increased gain

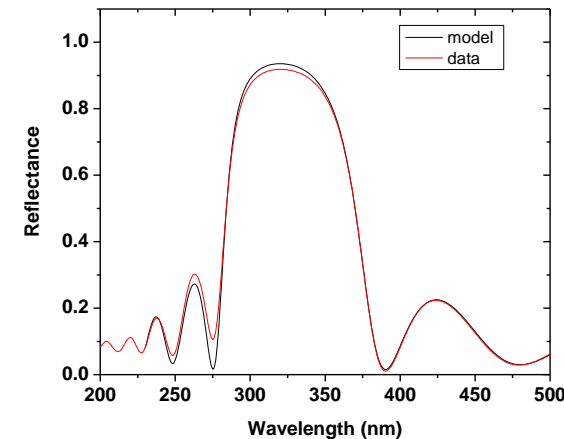
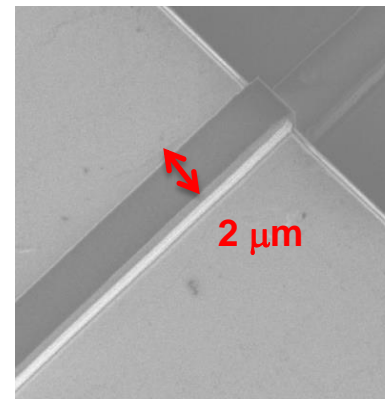
Doped waveguide laser design

Laser Heterostructure

600Å, (Mg)20%AlGaIn
120Å, (Mg)55%AlGaIn
3x GaN /
20%AlGaIn
700Å, (Si)20%AlGaIn



Fabrication



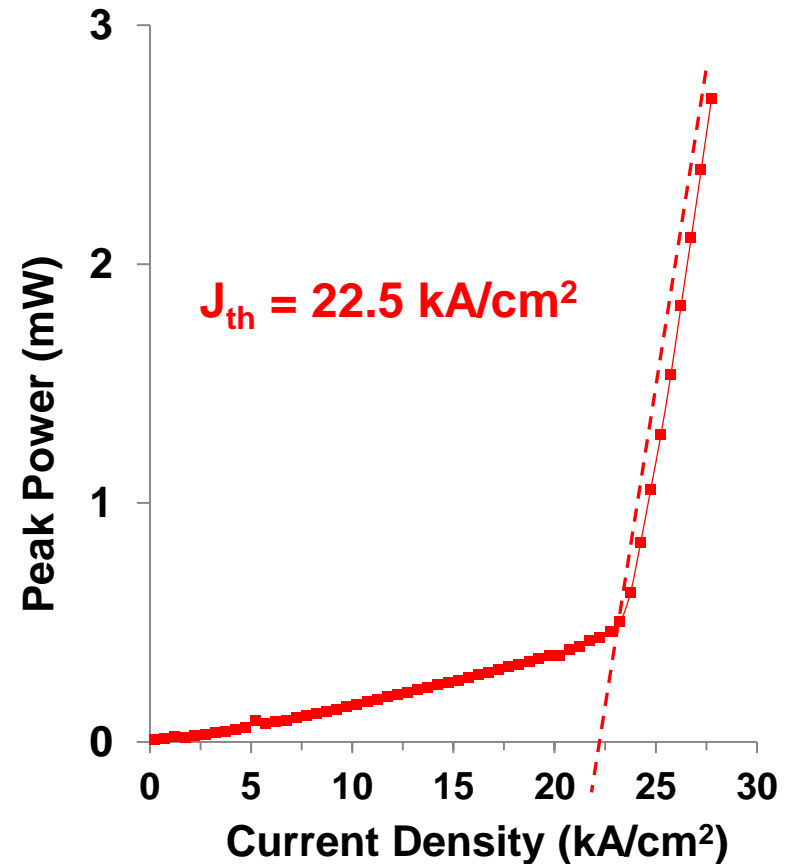
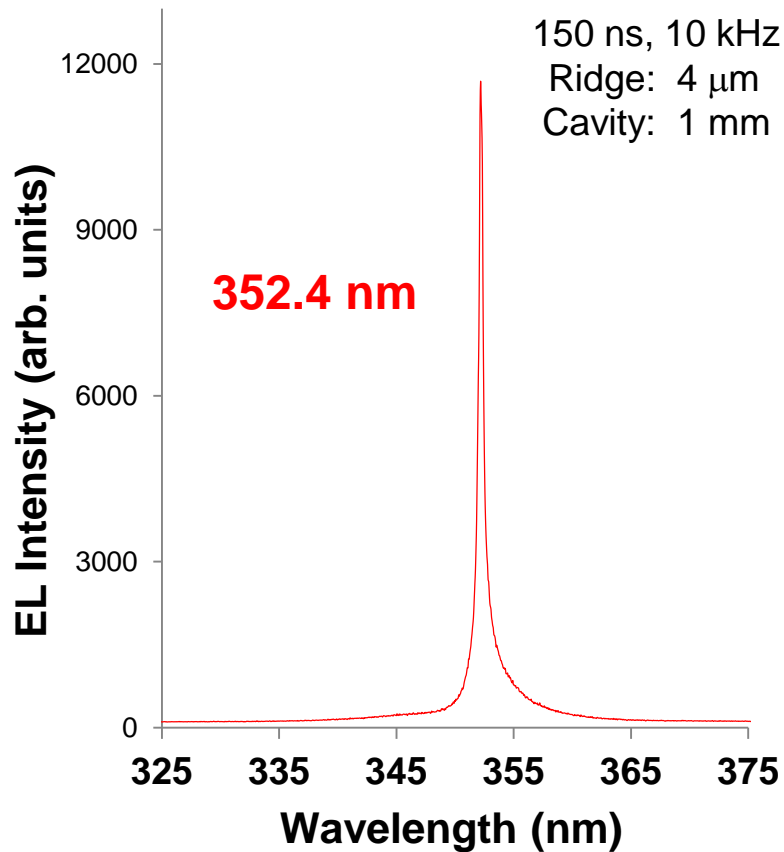
- Ridge Waveguide
→ 2-7 μm width
- Cavity lengths
→ 0.7-1.3 mm

HfO₂/SiO₂ Facet Coating

- ➔ Demonstrated
R > 0.90 @ 320nm

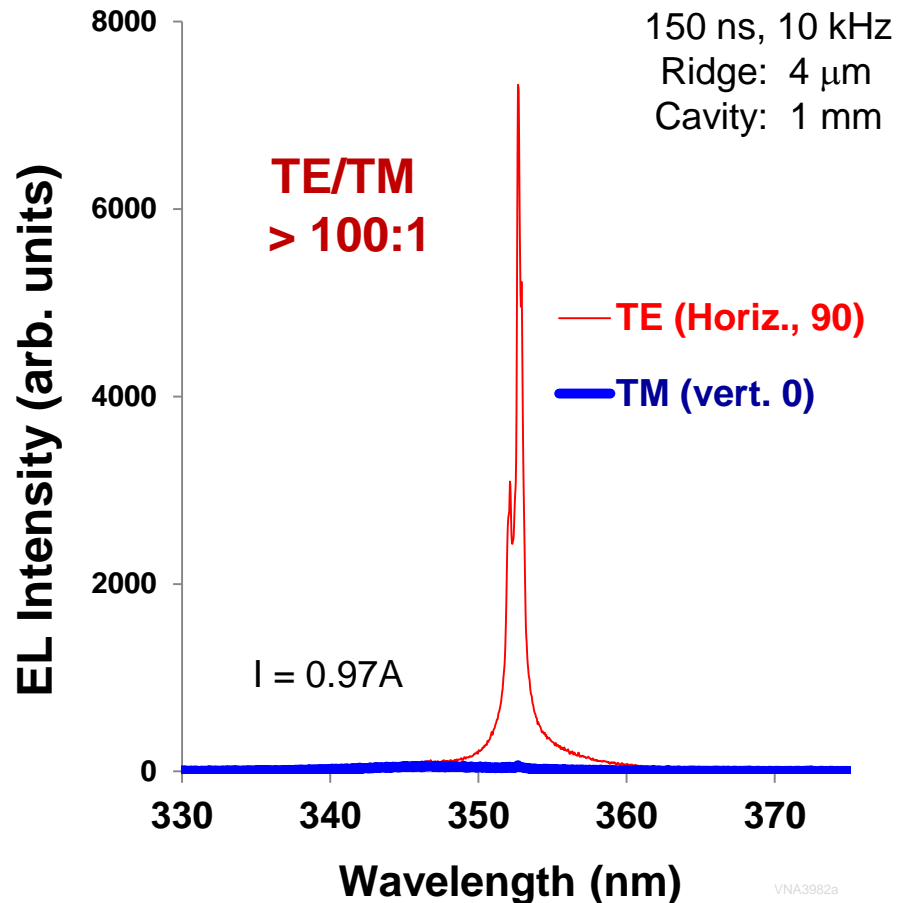
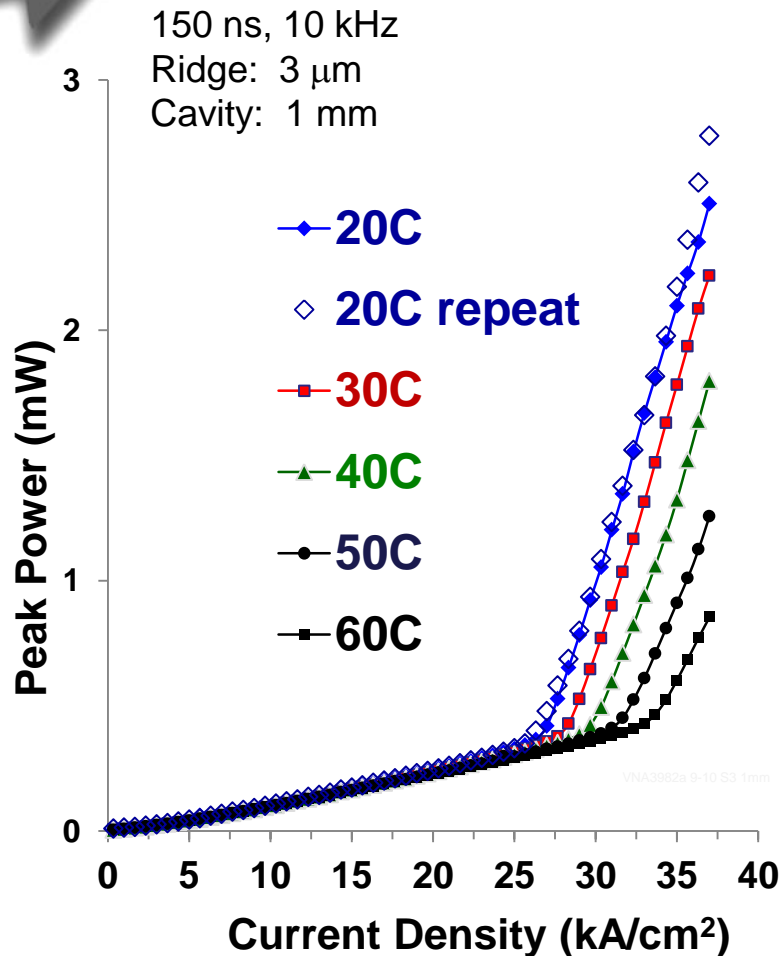
Doped waveguide design: Spectra and LI-data (pulsed)

Ridge waveguide process with etched, coated facets



➔ Lasing from devices with 2-4 μm ridges, 0.7 - 1.3 mm cavities

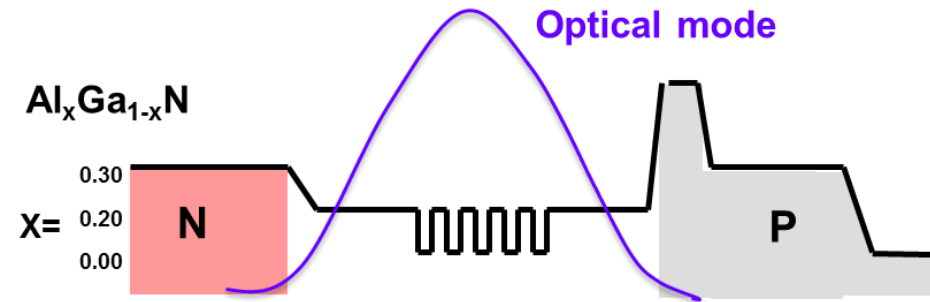
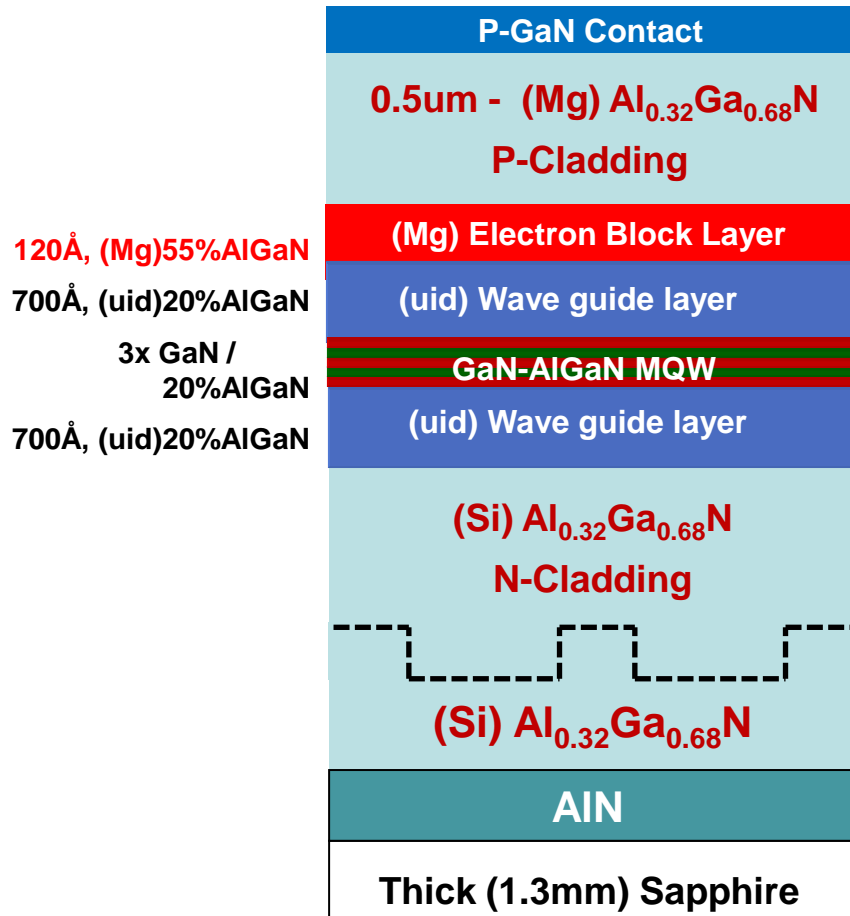
Doped waveguide design: Temperature dependent LI and polarization



- ➔ Devices are robust to 60°C and 37 kA/cm^2
- ➔ TE / TM polarization > 100:1

Undoped waveguide laser design

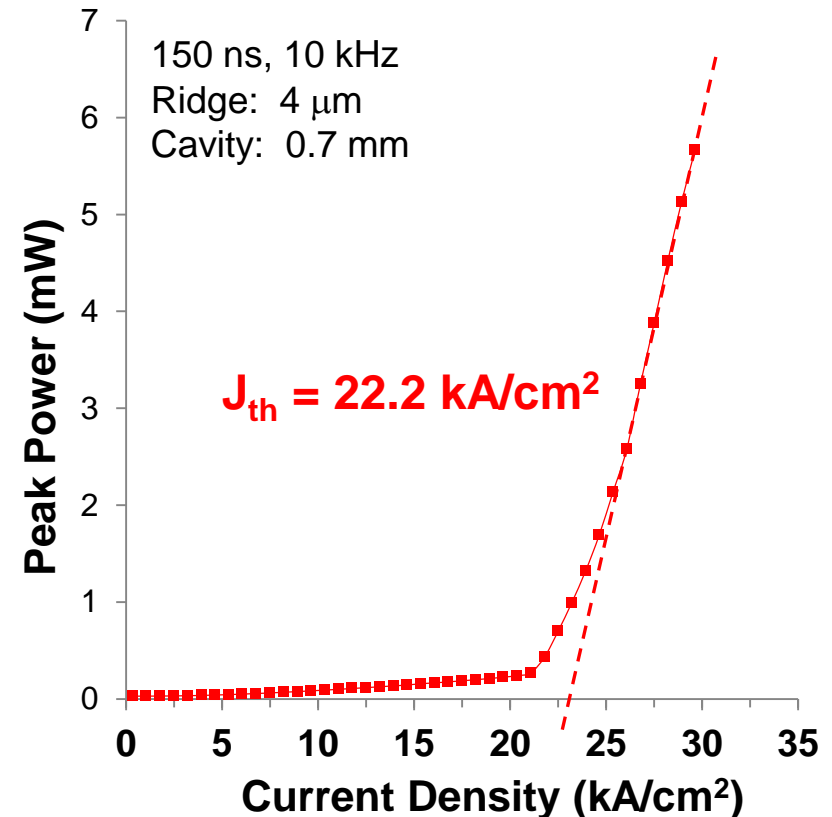
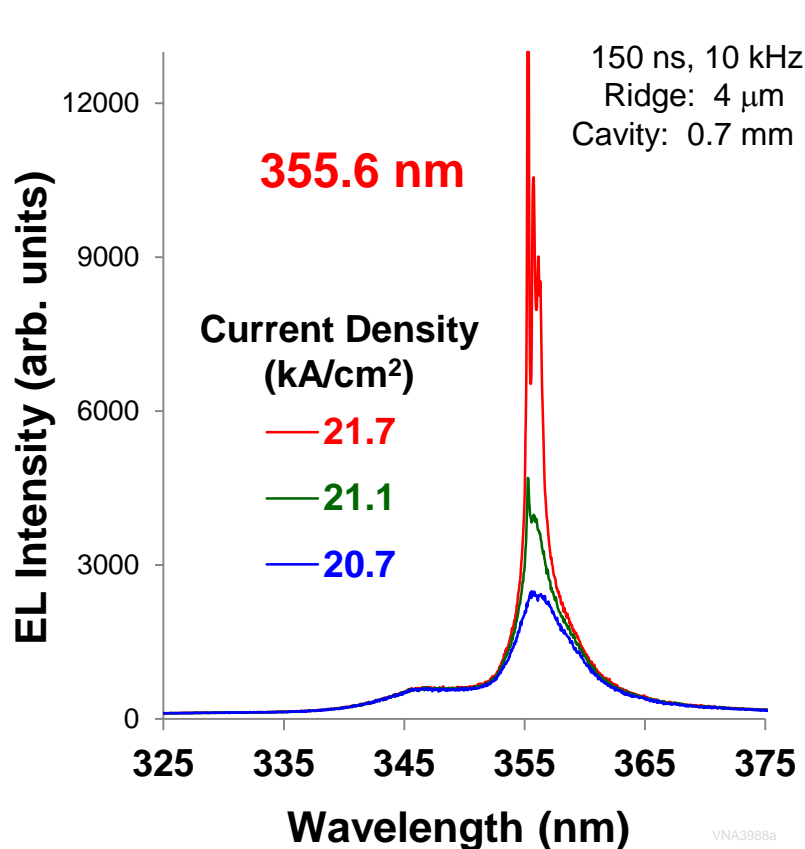
Undoped WG



- Lower optical losses
- Reduced carrier injection efficiency

Undoped waveguide design: Spectra and LI (pulsed)

Ridge waveguide process with etched, coated facets



- ➔ Threshold current densities are similar for both doped and undoped waveguide laser structures
- ➔ Anticipate common loss mechanism (e.g., p-cladding thickness)



Summary

- Reduced dislocation density of $\text{Al}_{0.3}\text{Ga}_{0.7}\text{N}$ epilayers by growing over trenches etched in $\text{Al}_{0.3}\text{Ga}_{0.7}\text{N}$.

$$\rho = 2\text{-}3 \times 10^8 \text{ cm}^{-2}$$

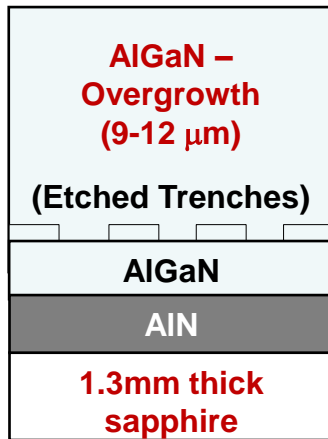
- Transparent template ➡ bottom-emitting LEDs
 - Spatially uniform reduction ➡ no device alignment to template
 - Doped with Si ➡ simplifies vertical structure
-
- Optically pumped lasing at low thresholds ($J_{\text{th}} \sim 50 \text{ kW/cm}^2$)
 - Diode lasing at 352-355nm from doped and undoped waveguide structures.



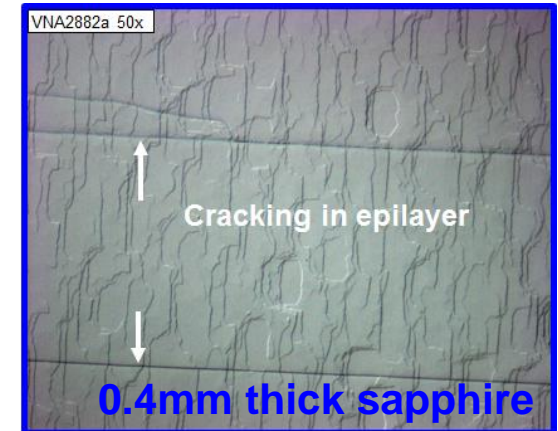
Back up Slides

Reduction of wafer bow and cracking using 3x thicker sapphire substrates

AlGaIn template for low
dislocation density



Optical Image of AlGaIn surface



- Tensile strain in thick AlGaIn overgrowth causes wafer to bow and epilayers to crack.
- 3x thicker sapphire substrate withstands strain and reduces wafer bowing and cracking.
- Photolithography over larger areas is enabled with less bow.

➡ 3x thicker sapphire substrate reduced wafer bow and epilayer cracking, greatly increasing wafer area for devices

