

Comparing liquid/solid & all-solid interfaces^{SAND2018-3095C}

Towards computational study of LiPON interfaces in all-solid batteries

Kevin Leung

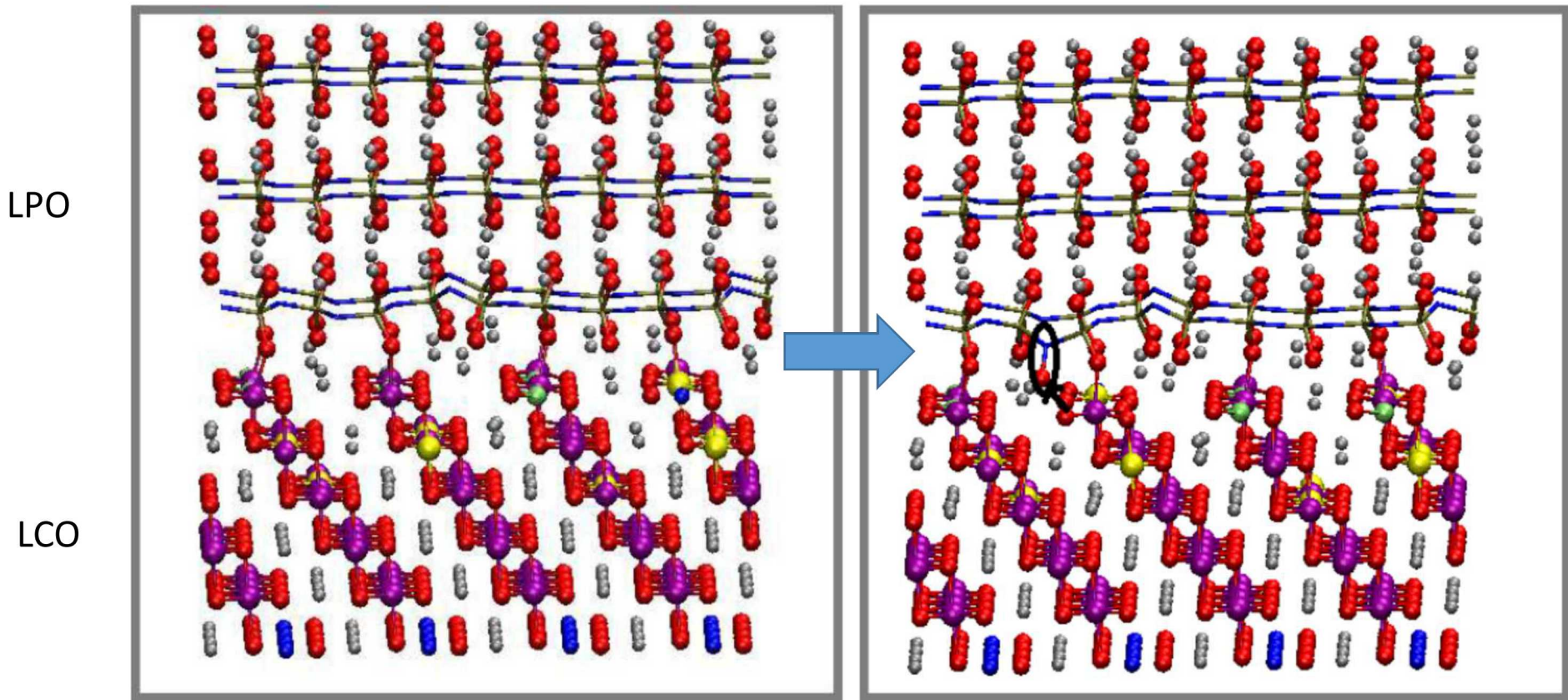
Sandia National Laboratories

Acknowledgement

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To model interfacial reactions between model LiPON, Li metal & LiCoO_2 for interfaces in all-solid-state batteries

... but probably first need a few perspective slides on model techniques



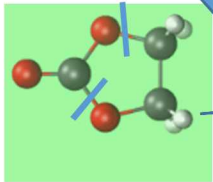
Most interfaces are metastable

Liquid electrolyte

- organic electrolytes – always metastable

EC, key battery solvent, astonishingly unstable

potential vs. $\text{Li}^+/\text{Li(s)}$ (V)

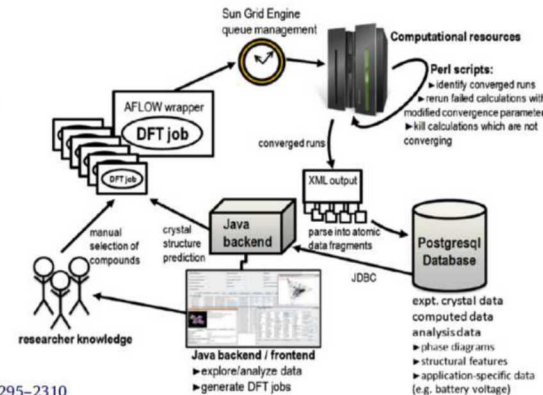


at any voltage,
 $\text{EC} \rightarrow \text{CO}_2 + \text{C}_2\text{H}_4\text{O}$
 is exothermic

- >5 V: oxidation (glassy carbon) (Gasteiger group)
- ~5.0 V: electrochemical oxidation (Borodin)
- ~4.8 V: reacts with NMC to give CO , CO_2 , O_2 (Gasteiger)
- < 3.5 V: $\text{EC} + \text{LiMn}_2\text{O}_4 \rightarrow \text{MnO} + \text{Li}_2\text{CO}_3$ (KL, thermodynamic)
- ~1.2 V: thermodynamic instability $\text{EC} + 6 \text{Li} \rightarrow 3 \text{Li}_2\text{O} + 3 \text{C} + 2 \text{H}_2$ (KL)
- 0.7-0.8 V: observed electrochemical reduction

solid state materials

- modeling of cathode materials has focused on thermodynamic stability
- expt. synthesis: at 1000 °C for 10 hours
- Phase diagram find most stable phase ..



Computational Materials Science 50 (2011) 2295–2310

A high-throughput infrastructure for density functional theory calculations

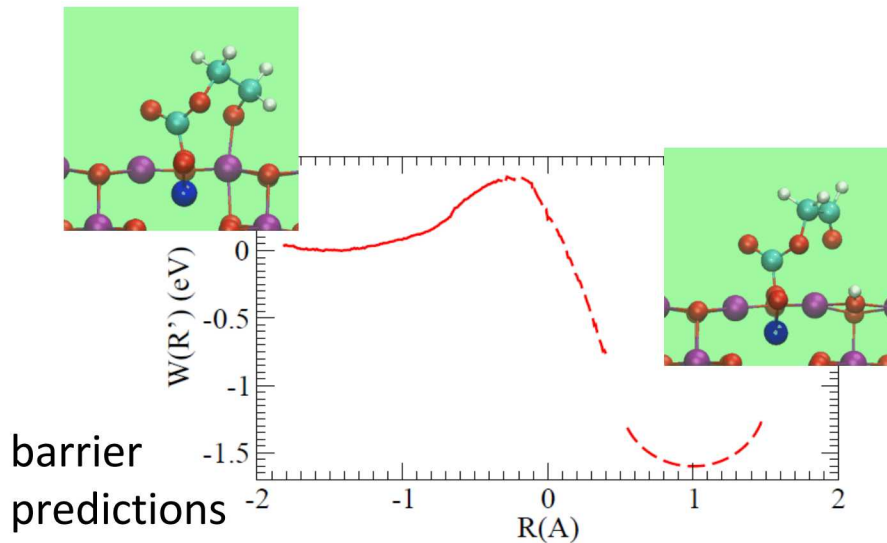
Anubhav Jain, Geoffroy Hautier, Charles J. Moore, Shyue Ping Ong, Christopher C. Fischer, Tim Mueller, Kristin A. Persson, Gerbrand Ceder*

- but interfaces in even all-solid batteries fabricated at $\ll T = 1000$ °C (e.g., 250 °C)
- documented cases that solid interfaces are kinetics-controlled

Need to calculate kinetics at interfaces

Liquid electrolyte

- products not governed by thermodynamics
- always look at reaction rates, barriers

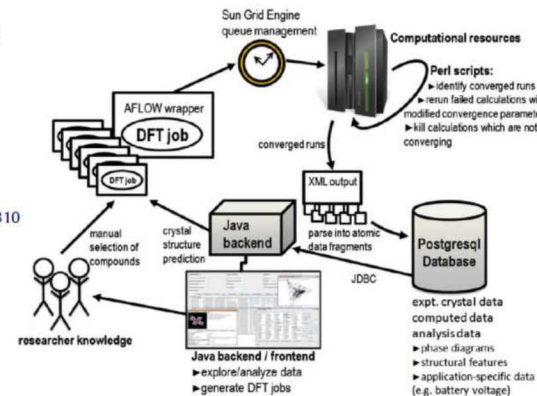


- Interfacial kinetics calculations messy
- doesn't predict final product
- predict rates of 1st or primary reaction steps

solid state

- modeling of cathode materials has focused on thermodynamic stability
- expt. synthesis: at 1000 °C for 10 hours
- calculations: elegant, efficient phase diagram, find most stable phase ...

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A high-throughput infrastructure for density functional theory calculations

Anubhav Jain, Geoffroy Hautier, Charles J. Moore, Shyue Ping Ong, Christopher C. Fischer, Tim Mueller, Kristin A. Persson, Gerbrand Ceder *

- but interfaces in even all-solid batteries fabricated at $\ll T = 1000\text{ °C}$ (e.g., 250 °C)
- documented cases that solid interfaces are kinetics-controlled
- need more “liquid state” type kinetics

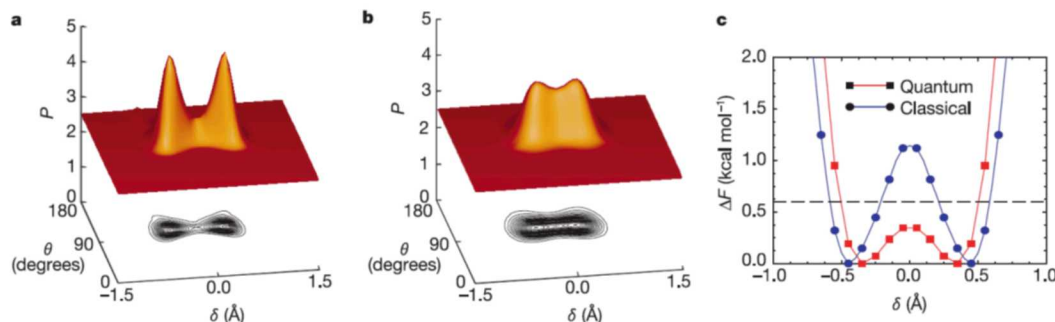
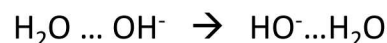
Detail: Thermodynamics, kinetics, and driving forces

- no *a priori* connection between exothermicity (thermodynamics) and reaction barrier (kinetics)
- For example, H^+ hopping in water (“Grothuss mechanism”) is strictly thermoneutral,
- but is lightning fast (\sim zero barrier)

The nature and transport mechanism of hydrated hydroxide ions in aqueous solution

Mark E. Tuckerman^{*,†}, Dominik Marx[†] & Michele Parrinello^{‡,§}

NATURE | VOL 417 | 27 JUNE 2002

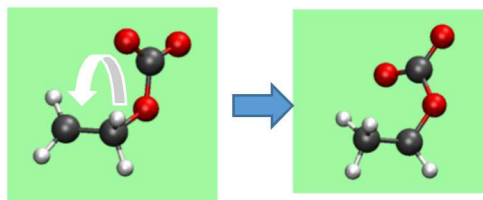


$$\Delta F = -0.0 \text{ kJ/mol} \quad \Delta F^* = +1.20 \text{ kJ/mol!}$$

- Yet H^+ migration from one C atom to another in a molecule (radical anion) is *exothermic but slow*

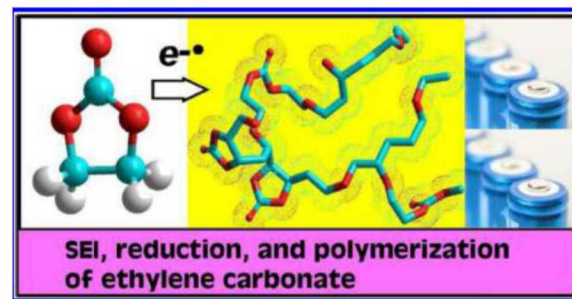
Reduction of Carbonate Electrolytes and the Formation of Solid-Electrolyte Interface (SEI) in Lithium-Ion Batteries. 2. Radiolytically Induced Polymerization of Ethylene Carbonate

Ilya A. Shkrob,^{*,†} Ye Zhu,[†] Timothy W. Marin,^{‡,§} and Daniel Abraham[†]



$$\Delta F = -16.2 \text{ kJ/mol} \quad \Delta F^* = +160 \text{ kJ/mol!}$$

(unpublished calculations)



J. Phys. Chem. C 2013, 117, 19270–19279

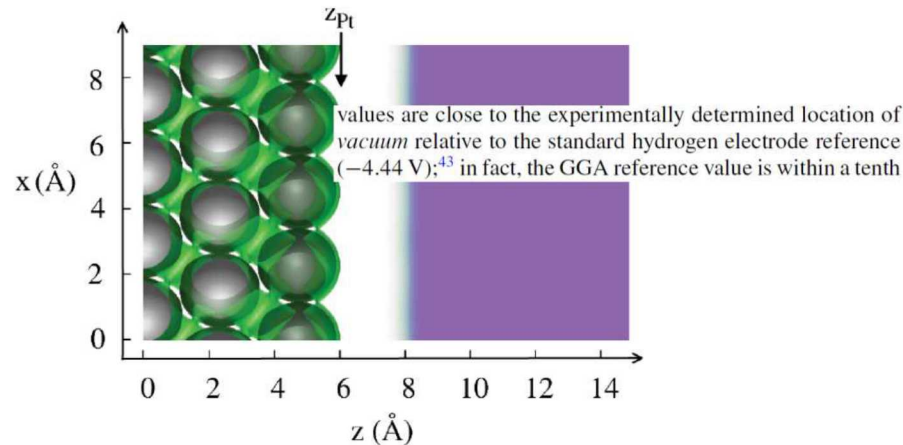
Need instantaneous voltages

Liquid/solid interfaces

- well recognized: voltage \leftrightarrow Fermi level

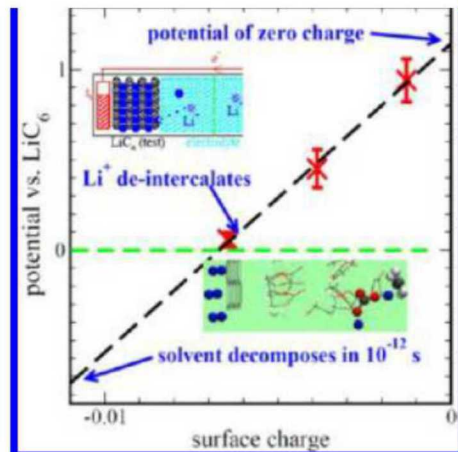
Joint density functional theory of the electrode-electrolyte interface: Application to fixed electrode potentials, interfacial capacitances, and potentials of zero charge

Kendra Letchworth-Weaver and T. A. Arias PHYSICAL REVIEW B 86, 075140 (2012)



Toward First Principles Prediction of Voltage Dependences of Electrolyte/Electrolyte Interfacial Processes in Lithium Ion Batteries

Kevin Leung* and Craig M. Tenney



Pure single phase solid state

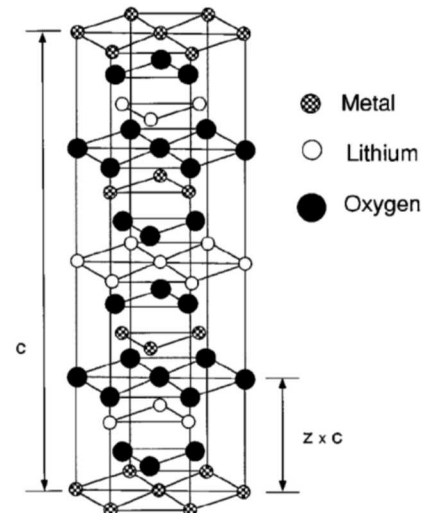
Ab initio study of lithium intercalation in metal oxides and metal dichalcogenides

M. K. Aydinol, A. F. Kohan, and G. Ceder

K. Cho and J. Joannopoulos

PHYSICAL REVIEW B 49/56(3)/1354

- single phase calculation (no interface)
- “the average voltage is” $\overline{V} = \frac{-\Delta G_r}{(x_2 - x_1)F}$



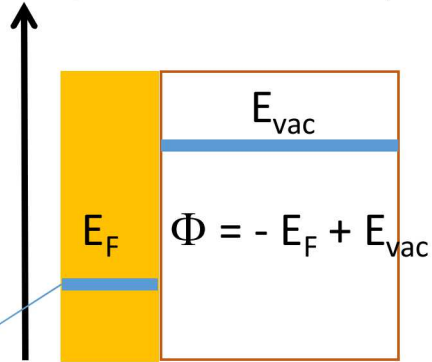
- purely Li chemical potential effect for Li insertion
- no info on absolute Fermi level (no interface)
- at interfaces, this doesn't give the actual (instantaneous) voltage
- only reveals whether at overpotential!

Quiz: what is the “voltage” of Li (100) metal in vacuum?

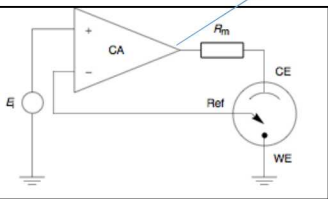
$$\mathcal{V}_e = \Phi/|e| - 1.37 \text{ V}$$

$$= 1.56 \text{ V vs Li}^+/\text{Li(s)}$$

($\Phi = 2.93 \text{ eV}$, CRC handbook)



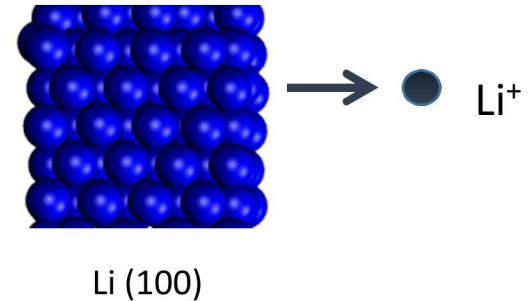
True instantaneous voltage



$$\mathcal{V}_i = (\mu_{\text{Li}} - E_{\text{Li(s)}})/|e|$$

$$= 0.00 \text{ V vs Li}^+/\text{Li(s)}$$

for $\text{Li(s)} \rightarrow \text{Li}^+ (\text{solv}) + e^-$



disconnected Li metal is not
at electrochemical equilibrium

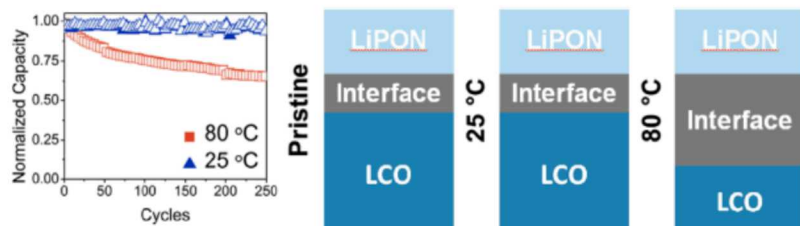
- $\text{Au}^{3+} + 3 e^- \rightarrow \text{Au(s)}$ is at 1.52 V vs. SHE
- yet we never assume Au slab is at 1.52 V in DFT calculations
- should not assume Li electrode is always in equilibrium either

Computational Details:

- PBE functional, DFT+U
- VASP, 400 eV cutoff, 1 fs time step ...
- ...

Effects of cathode electrolyte interfacial (CEI) layer on long term cycling of all-solid-state thin-film batteries

Ziying Wang^a, Jungwoo Z. Lee^a, Huolin L. Xin^b, Lili Han^b, Nathanael Grillon^c, Delphine Guy-Bouyssou^c, Emilien Bouyssou^c, Marina Proust^c, Ying Shirley Meng^{a,*}



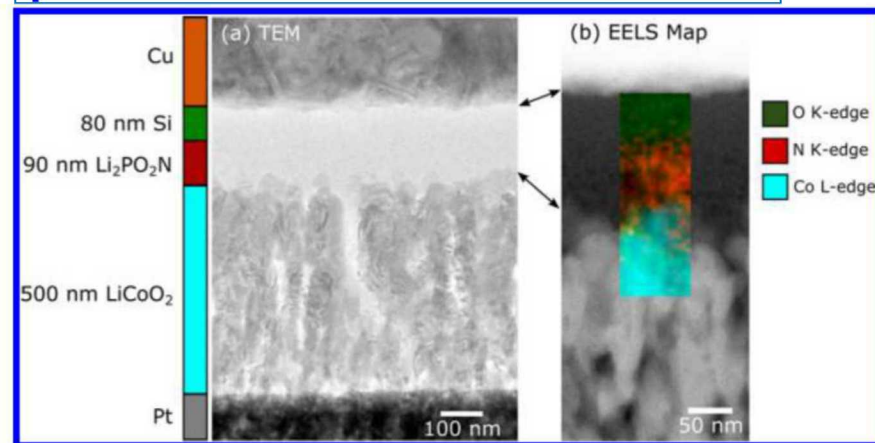
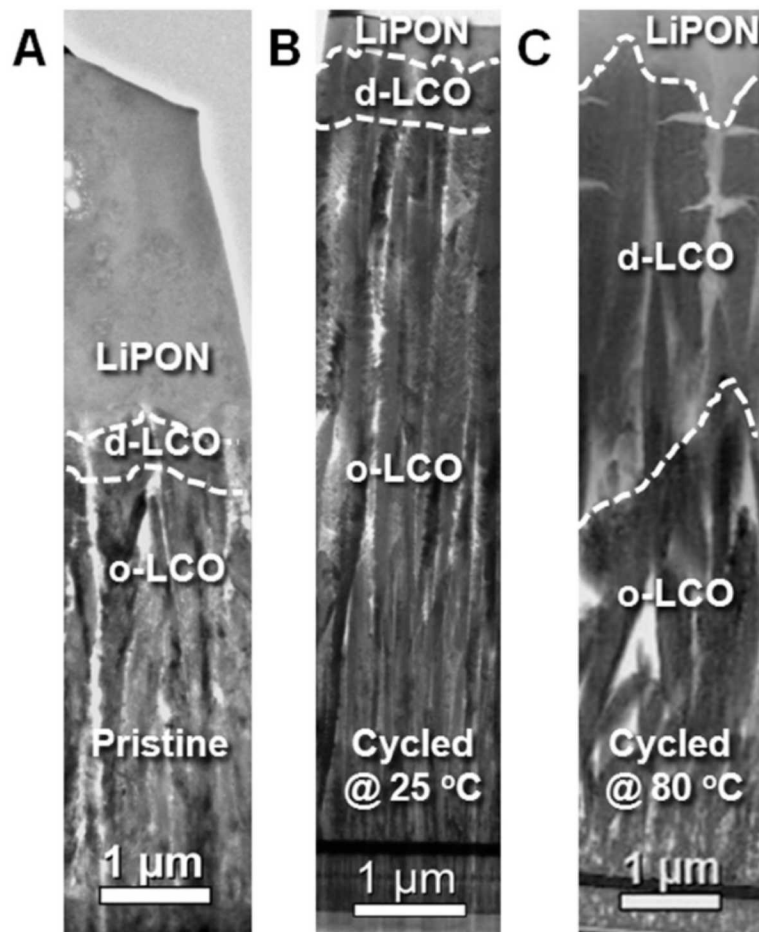
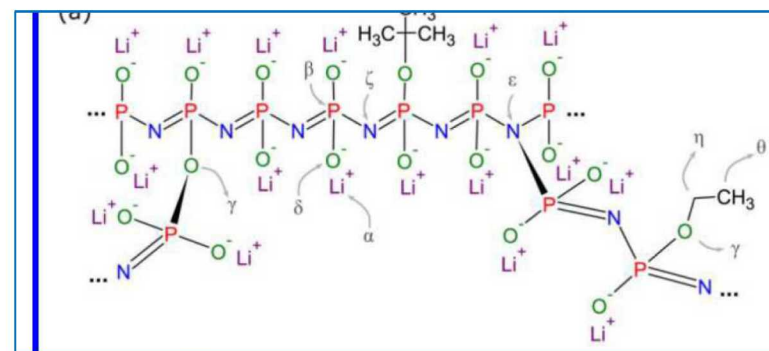
Origin of Outstanding Stability in the Lithium Solid Electrolyte Materials: Insights from Thermodynamic Analyses Based on First-Principles Calculations

Yizhou Zhu,[†] Xingfeng He,[†] and Yifei Mo^{*,†,‡}

LiPON	0.68	Li_3P , LiPN_2 , Li_2O
	2.63	P_3N_5 , $\text{Li}_4\text{P}_2\text{O}_7$, N_2

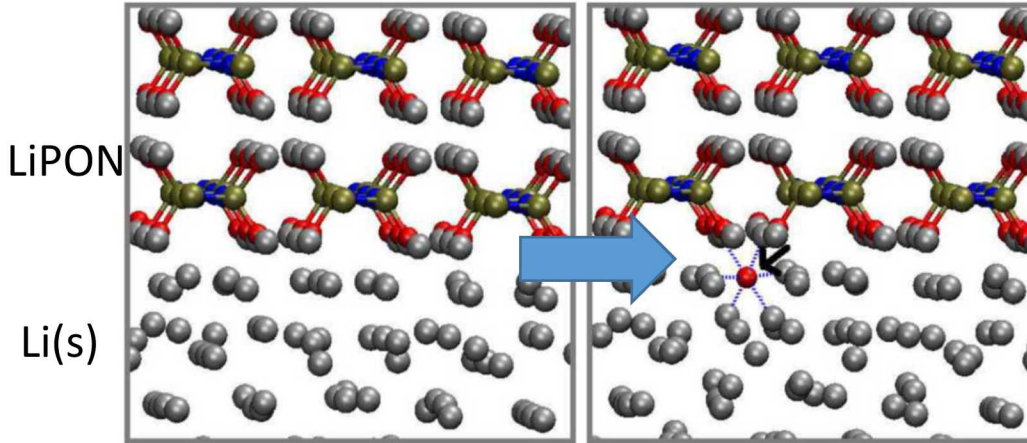
Nanoscale Solid State Batteries Enabled by Thermal Atomic Layer Deposition of a Lithium Polyphosphazene Solid State Electrolyte

Alexander J. Pearse,^{*,†} Thomas E. Schmitt,[†] Elliot J. Fuller,^{||} Farid El-Gabaly,^{||} Chuan-Fu Lin,[†] Konstantinos Gerasopoulos,[‡] Alexander C. Kozen,[§] A. Alec Talin,^{||} Gary Rubloff,[†] and Keith E. Gregorczyk^{*,†}



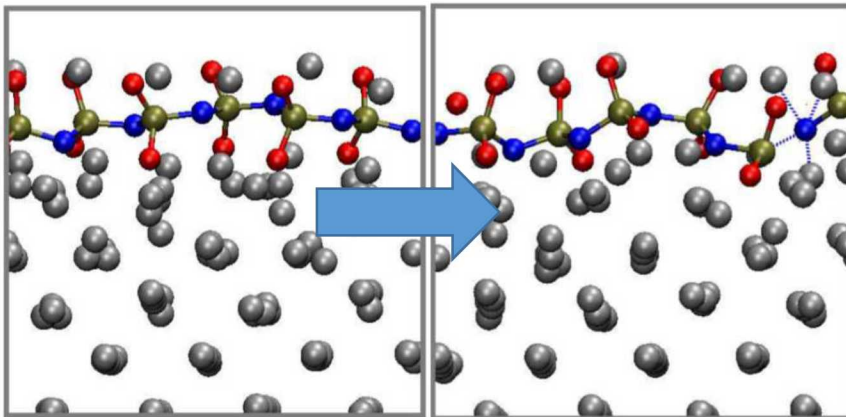
Model LiPON robust on Li metal surface during cycling

slab



- P-O bond breaking exothermic (tried 18)
- barrier ~ 2 eV (tried 3)
- reacts within 1 hour at $T=600$ K
- “age of universe” at $T=300$ K
- P-N bond don’t break at all

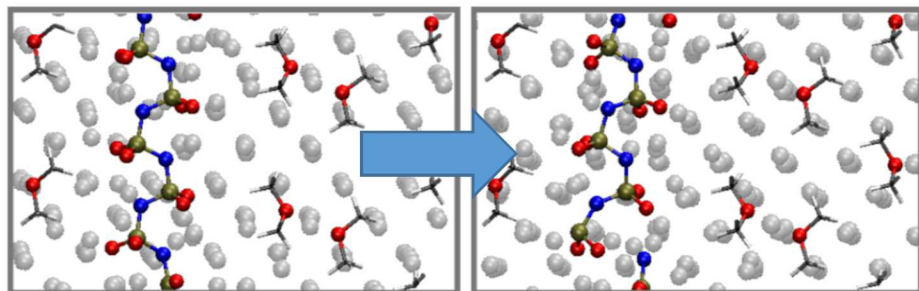
chain



- P-O bond breaking exothermic
- Barrier for P-N breaking is lower
- P-N breaking exothermic (tried 12)
- barrier ~ 1.5 eV (tried 3)
- “age of universe” at $T=300$ K

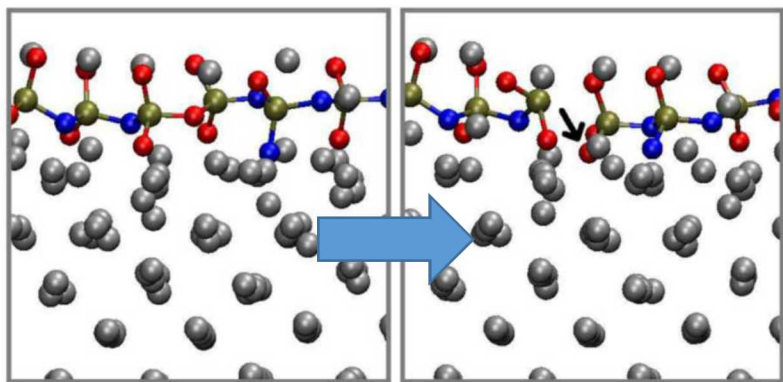
But what about voltage effects?

Is lack of reactivity due to voltage too high? No.



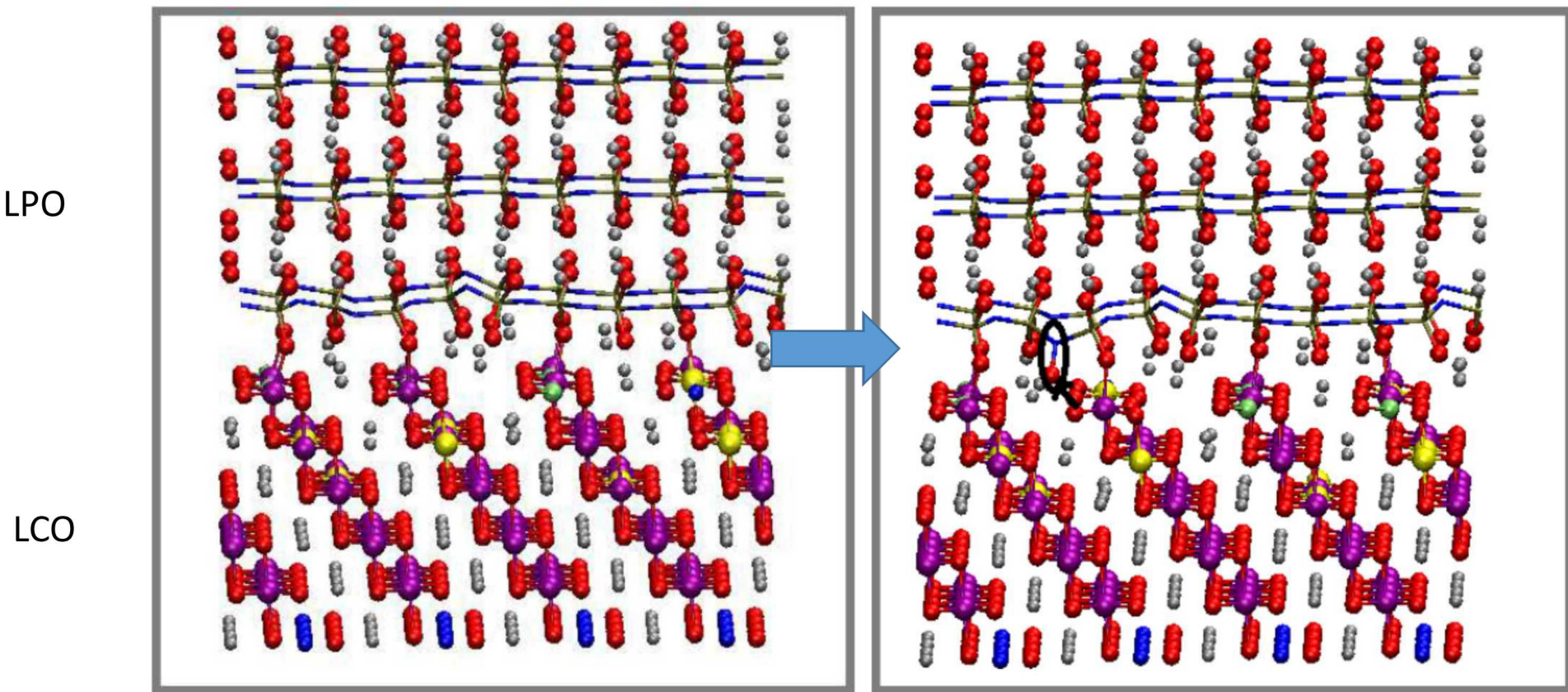
- previous chain calculations done at ~ 0.6 V (out of electrochemical equilibrium)
- Shift to ~ 0.0 V by adding dipolar layer (Li^+ coordinated to ether molecules)
- Little change in barriers or exothermicity
- Reaction is chemical, not electrochemical?

LiPON Backbone Defect (P-O-P) explain some reactions



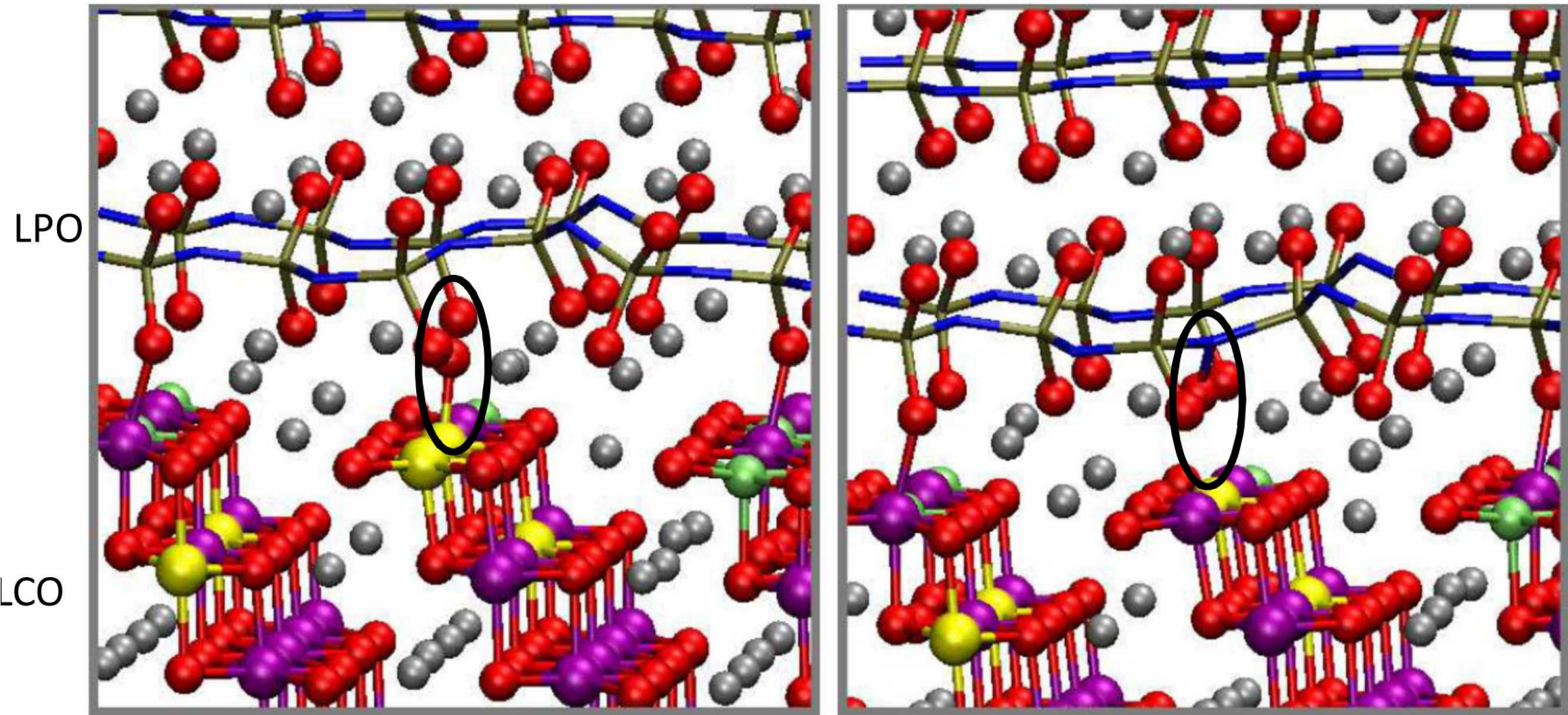
- P-O-P sequence (instead of P-N-P) can break exothermically, with < 1 eV barrier (< 1 hour at $T=300$ K)
- But subsequent reactions are again slow

Li_xCoO_2 (104) surface can lose oxygen to LiPON at interface, forming N-O bond seen in measurements



- Co(III) high, low, intermediate spins; Co(IV) high & low spins present
- extremely hard to converge DFT orbitals

If surface not flat, has C-O terminations sticking out
reacts even faster



Less N near the surface, less reactive

Conclusions for Solid State Electrolytes/LiPON

- thermodynamically, LiPON unstable at both anode (lithium) and Li_xCoO_2 (cathode) interfaces
- kinetically, LiPON reacts slowly with Li-metal, but at 1 hour rate with Li_xCoO_2 at $x < 0.8$ (partially charged state)
- in apparent agreement with measurements
- (measurements from Alec Talin, Elliot Fuller, Alex Pearse, Gary Rubloff; happy to discuss those in private)
- Battery modeling community needs to focus more on kinetics and voltage effects at interfaces