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Y. Yuan, D. Su

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Cu-Catalyzed Synthesis of CdZnSe-CdZnS Alloy Quantum Dots with Highly Tunable Emission

*Yucheng Yuan[†], Hua Zhu[†], Xudong Wang[‡], Dingzhou Cui[†], Zehua Gao[†], Dong Su[§], Jing Zhao[‡],
and Ou Chen^{*,†}*

[†] Department of Chemistry, Brown University, 324 Brook St., Providence, RI 02912, United States

[‡] Department of Chemistry, University of Connecticut, 55 North Eagleville Rd., Storrs, CT 06269, United States

[§] Center for Functional Nanomaterials, Brookhaven National Laboratory, 735 Brookhaven Ave., Upton, NY, 11973, United States

*Email: ouchen@brown.edu

ABSTRACT: Fabrication of quantum dots (QDs) with emission covering a wide spectral region has been persistently intriguing due to their potentials in a range of practical applications such as biological labelling and imaging, solar cells, light-emitting diodes (LEDs) and displays. In this work we report a synthesis of CdZnSe-CdZnS core-shell alloy QDs through a Cu-catalyzed solid-solution alloying strategy starting from CdSe-CdS core-shell QDs. The resulting CdZnSe-CdZnS alloy QDs exhibit emission profiles covering a wide wavelength range of 470-650 nm while maintaining high photoluminescence quantum yields. In addition, high morphological uniformity of the starting CdSe-CdS QDs can be largely retained in the final alloy QDs. We attribute this alloying process to the high mobility nature of Cu cation in Cd-chalcogenide crystals at elevated reaction temperature, which allows Cu cation act as a transporting agent to transfer Zn component

into CdSe-CdS QDs while maintaining the particle integrity. We show that this unique alloying strategy is independent of the shape of starting QDs and can be also applied to the synthesis of CdZnSe-CdZnS nanorods. We anticipate our study will instigate synthesis of various high-quality alloy QDs and other alloy nanocrystals beyond what currently can be achieved.

INTRODUCTION

Semiconductor quantum dots (QDs) exhibit unique properties including high absorbance cross section, broad excitation spectrum, tunable emission profile, high photoluminescence quantum yields (PL QYs) and superior photo-chemical/physical stabilities.¹⁻² These properties offer QDs great potential in diverse practical applications including solar energy harvestings,³⁻⁸ biological imaging and labelling,⁹⁻¹³ light-emitting diodes (LEDs) and next generation displays.¹⁴⁻²³ As a result, synthesizing QDs with uniform size, different shape, high PL QY, and tunable and narrow emission spectrum has been a long-lasting active research topic and largely investigated in the past three decades.²⁴⁻⁴⁹ One of the most profound discoveries towards improving the optical properties of QDs is through epitaxial deposition of shell semiconductor materials, especially with a wider bandgap than that of the core QDs (also known as type-I core-shell QDs).^{1, 35, 50} To date, various type-I core-shell QD systems have been synthesized and reported,³⁵ among which CdSe-ZnS, CdSe-CdS and CdS-ZnS core-shell QDs are arguably the most studied ones with great synthetic control and superior optical performance.^{39, 51-52} However, due to the intrinsic properties of the core and shell materials, those core-shell systems either can only emit photons covering a limited range of the visible spectrum (i.e., CdSe-CdS and CdS-ZnS systems),^{39, 52-53} or possess a large lattice mismatch between core and shell materials (i.e., CdSe-ZnS system) which usually limits the morphological uniformity and optical properties of the obtained core-shell QDs.^{51, 54} To this

extent, alloying core and/or shell compositions of QDs has later been realized as an efficient mean to enlarge spectral coverage as required in many of their potential applications.⁵⁵⁻⁵⁶ In addition, by choosing appropriate alloy compositions, the bandgap alignment and lattice mismatch between core and shell materials can be widely tuned and optimized for desired optical properties.⁵⁷⁻⁶⁷ To date, a variety of alloy QD systems has been reported through either simultaneous injection of the required precursors^{55, 58, 61-62, 64} or post-core-synthetic cation exchanges.^{56, 63, 65} Especially, it is known that when CdZnSe alloy QDs serving as cores, a wide range of spectral tunability from deep blue to dark red can be achieved by altering the ratio of Cd and Zn compositions.^{65, 68} However, unlike CdSeS alloy QDs which are relatively easy to fabricate due to minimal lattice mismatch (3.9%), the synthesis of CdZnSe QDs has proven challenging due to its relatively large crystal lattice mismatch (8.0%) between CdSe and ZnSe materials, and unbalanced reactivities of commonly used Cd and Zn precursors.⁵⁵⁻⁵⁶

RESULTS AND DISCUSSION

In this work, we report a new synthetic paradigm for the fabrication of CdZnSe-CdZnS core-shell alloy QDs through a Cu-catalyzed solid-solution alloying strategy. Taking advantage of the high mobility of Cu cation, we demonstrate that Zn component can be transported into the starting CdSe-CdS core-shell QDs even using a relatively less reactive Zn precursor (i.e., Zn-oleate). We show that the obtained CdZnSe-CdZnS alloy QDs can emit at a wide range of wavelength with the peak center covering nearly full visible spectral region (470 – 650 nm) while maintaining high PL QYs (> 50%). By monitoring the reaction process, we propose a new alloying mechanism highlighting the unique ‘catalytic’ role Cu cations played in the process. In addition, we demonstrate that the surface defects caused by the remaining Cu cations in the CdZnSe-CdZnS alloy QDs can be effectively removed through a post-synthetic treatment with trioctylphosphine

(TOP) based on hard and soft acids and bases (HSAB) theory. Finally, this approach can be easily adapted to the synthesis of CdZnSe-CdZnS alloy nanorods (NRs) system, suggesting its generality.

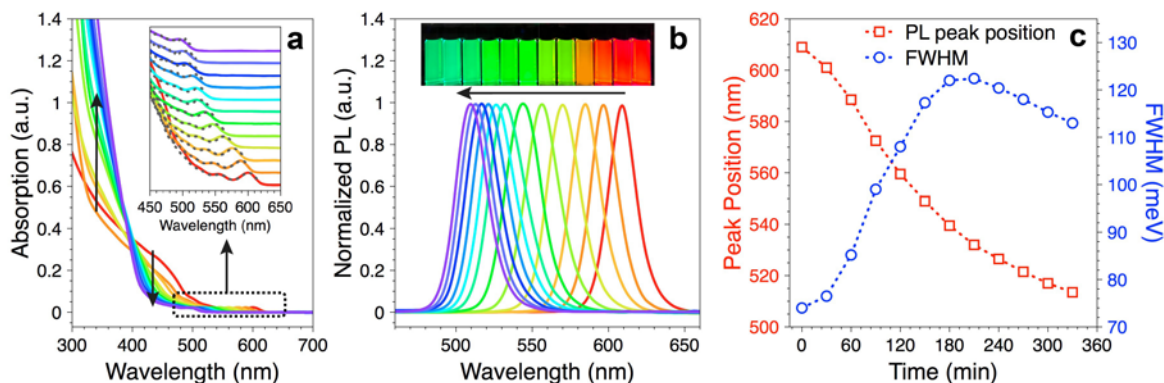


Figure 1. (a) Absorption spectral evolution during the synthesis of CdZnSe-CdZnS core-shell alloy QDs. Inset: zoomed-in spectra showing the blueshift of core absorption feature (solid line) and the corresponding photoluminescence excitation (PLE) (dashed line). (b) The PL spectral evolution during the synthesis. Inset: photograph of the aliquots taken during the synthesis under UV light illumination. (c) Peak position (red open square) and full width at the half maximum (FWHM, blue open circle) of the PL profile as a function of reaction time.

The starting CdSe-CdS core-shell QDs were synthesized following a previous reported method with minor modification (see SI and Figure S1).³⁹ For the typical synthesis of alloy QDs (see synthetic details in SI), 10 mg (~11.5 nmol) CdSe-CdS core-shell QDs, 2.5 mL 1-octadecene (ODE) and 2.5 mL oleylamine (OAm) were treated with 0.1 mL 0.5 mM Cu(acac)₂/OAm (~ 4 Cu per QD) at 240 °C for 20 min, followed by a slow injection of Zn-oleate (0.1 M in ODE) and 1-dodecanethiol (0.1 M in ODE) with a rate of 0.4 mL/hr at 330 °C, simultaneously. The alloying process was monitored by absorption and PL spectroscopy measurements. During the process, the first absorption feature (PL peak) continuously blue-shifted from 600 nm to 493 nm (610 nm to 509 nm) (Figure 1a, b), indicating an increase of the bandgap of QDs, which was attributed to the incorporation of Zn²⁺ cation into the CdSe-CdS core-shell QDs. The decrease (increase) of absorbance in the wavelength range of 400-500 nm (below 400 nm) indicated the incorporation of ZnS with a larger bandgap (i.e., 3.91 eV) in the CdSe-CdS QDs. While the PL QY of the QDs

remained above 50% (Table S1), the full width at half maximum (FWHM) of the PL peak increased from ~ 22 nm (~ 74 meV) to ~ 28 nm (~ 122 meV) and then decreased to ~ 23 nm (~ 113 meV) (Figure 1c). The initial increase of the PL FWHM was unlikely due to inhomogeneous broadening effect as a high morphological uniformity of the core-shell QDs was preserved during the entire alloying process evidenced by both transmission electron microscopy (TEM) and PL excitation measurements (Figure 1a, inset and Figure 2a-f).^{39, 69} Accordingly, we attribute this PL broadening effect to the homogeneous broadening caused from Cu-induced defects (e.g., crystal defects, unbalanced charges) inside the particles, as well as enhanced internal electrical field and enlarged dipole moment caused by a spontaneous polarization of the Wurtzite (WZ) crystal structure while developing particle shape anisotropy (discussed below).^{44, 70-72}

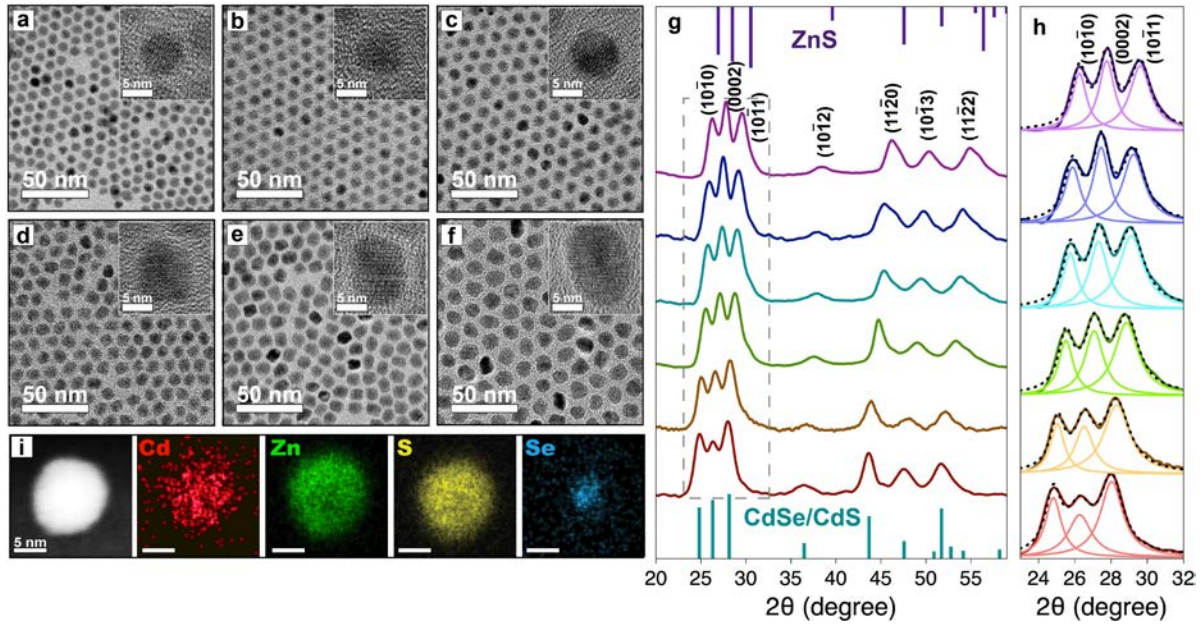


Figure 2. TEM images of starting CdSe-CdS core-shell QDs (a) and CdZnSe-CdZnS core-shell alloy QDs (b-f) with different amount of Zn precursor addition (b, 0.02 mmol; c, 0.06 mmol; d, 0.10 mmol; e, 0.12 mmol; f, 0.22 mmol). Insets are high-resolution TEM (HR-TEM) images of these QDs. (g) XRD spectral evolution during the synthesis of CdZnSe-CdZnS alloy QDs. The green and purple sticks show the positions of XRD peaks for the starting CdSe-CdS QDs and bulk WZ-ZnS, respectively. (h) Zoomed-in XRD spectra of the rectangular area in (g) to show $(10\bar{1}0)$, (0002) and $(10\bar{1}1)$ peaks with the fitted constituent peaks. (i) HAADF-STEM image and the corresponding EDX elemental mappings for one CdZnSe-CdZnS alloy QD.

To further confirm the alloying process and characterize the final QDs, X-ray diffraction (XRD) measurements were performed. The QDs unambiguously showed a WZ crystal structure with the fingerprint Bragg diffraction peaks of $(10\bar{1}2)$ and $(10\bar{1}3)$, demonstrating the epitaxial incorporation of ZnS (Figure 2g). However, all Bragg peaks red-shifted to higher angles during the growth, indicating a continuous shrinkage of the unit cell lattice (Table S2), consistent with the smaller WZ lattice parameters ($a = 3.82 \text{ \AA}$, $c = 6.26 \text{ \AA}$) of ZnS. In addition, the (0002) diffraction peak became narrower during the reaction (Figure 2h), indicating the preferential growth along the $[0002]$ direction.^{30-32, 73} The ZnS growth was further confirmed by the increase of particle size from $7.7 \pm 0.6 \text{ nm}$ for the starting QDs to $11.9 \pm 0.9 \text{ nm}$ for the final particles determined by the TEM measurements (Figures 2a-f and S2). Instead of retaining the spherical shape, the particles became elongated with an aspect ratio of ~ 1.2 for the final obtained QDs (Figure 2f), in accordance with the favored $[0002]$ growth direction. To verify the alloy core-shell structure of the QDs, high-angle-annular dark-field scanning TEM (HAADF-STEM) was employed to map out the atomic distributions of Cd, S, Se, and Zn atoms. The energy dispersive X-ray (EDX) elemental mapping result clearly showed that Cd and S atoms were distributed in the entire QDs, while Se atoms were located at the center of the particles throughout the alloying process, suggesting an intact anionic core-shell framework (Figures 2i and S3, Table S3).⁷⁴ Interestingly, unlike traditional core-shell growth, Zn atoms were also distributed in the entire particles and not only at the outer layer as a shell (Figure 2i). These results unambiguously led to the conclusion that a Zn alloying process instead of epitaxial shell growth took place during the reaction. This conclusion was in accordance to the continuous blueshift of both absorption and PL features as shown in Figure 1a, b.

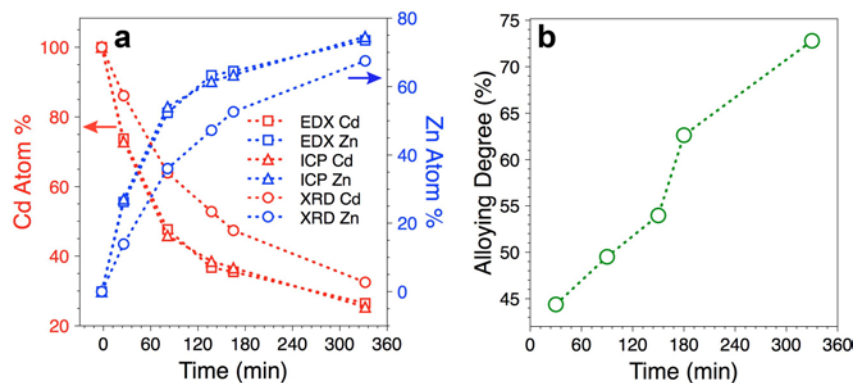


Figure 3. (a) Cd (red dotted lines) and Zn (blue dotted lines) atomic percentage evolutions based on energy dispersive X-ray (EDX) (open square), ICP (open triangle), XRD (open circle) analyses. See detailed analysis data in SI. (b) Zn-alloying degree evolution in the CdZnSe-CdZnS alloy QDs as a function of reaction time.

Inductively coupled plasma atomic emission spectroscopy (ICP-AES) and energy dispersive X-ray spectroscopy (EDX) were employed to further determine the atomic percentages of Cd and Zn components for the core-shell QDs. As shown in Figure 3a, the atomic percentages of Cd and Zn measured by ICP-AES and EDX showed a high consistency. ICP data showed an increase of Zn percentage from 0% to ~74.3%, and a corresponding decrease of Cd percentage from unity to ~25.7% as reaction progressed (Figure 3a). To evaluate if the final particles were homogenous or gradient alloy, the experimentally-determined Cd and Zn atomic percentages were compared with the calculated values from the corresponding XRD patterns (Table S2) on the basis of Vegard's law.⁷⁵ The percentage offsets between the calculated and the experimentally-determined values indicated that the core-shell QDs were not a homogenous cationic solid-solution, but a partial gradient alloy of Zn component instead (Figure 3a). To quantify the partial alloying level, we express the cation alloying degree (A) of CdZnSe-CdZnS QDs as: $A = (X_{Zn}/X_{Cd})/(Zn/Cd)_{nom}$, where, X_{Zn} and X_{Cd} are the percentages of Zn and Cd atoms calculated based on the XRD data, and $(Zn/Cd)_{nom}$ is the atomic ratio of Zn and Cd obtained from ICP-AES measurements.⁷⁶ Note that the core and core-shell interface effects were ignored due to the relative

small volume of the CdSe or CdZnSe cores (less than 5% of the total particle volume). Based on this calculation, the alloying degree of the CdZnSe-CdZnS QDs increased from 44.4% after 30-min injection of Zn and S precursor injection, to 72.8% of the final core-shell QDs. This increase of alloying degree can be ascribed to the high temperature (i.e., 330 °C) and long-time annealing effect during the course of the reaction (Figure 3b).⁷⁷

It is very interesting that unlike conventional core-shell QD growth,^{39, 52} a concurrent alloying process occurred during the addition of Zn and S precursors in our reaction system. The obvious difference as compared to the reaction conditions for conventional core-shell growth was a Cu precursor treatment of the starting core-shell QDs (see SI). Therefore, it is straightforward to hypothesize that Cu cations play the vital role of the observed alloying process. To confirm the necessity of Cu cations in the reaction, a control experiment without adding the Cu precursor was carried out while maintaining other reaction conditions for the growth of ZnS (see details in SI). No obvious Zn-alloying effect was observed during the reaction as proved by both optical and TEM measurements (Figures S4 and S5). It was remarkable that only about 4 Cu per CdSe-CdS QD in average could make such a difference in achieving the CdZnSe-CdZnS core-shell alloy QDs, which stimulated us to further investigate the mechanism of this alloying process. First, the sample kept electron paramagnetic resonance (EPR) silent throughout the entire reaction (Figure S6), suggesting that Cu^{2+} was quickly reduced to Cu^+ in the presence of electron-rich solvent and ligand (i.e., ODE and OAm) under the synthetic condition used in this study.⁷⁸⁻⁸⁰ These Cu^+ cations can occupy Cd-sites substitutionally in the CdSe-CdS QDs rather to be in interstitial positions.⁷⁷ Second, the effective ionic radius of Cu^+ (77 ppm) is nearly identical to the Zn^{2+} (74 ppm) and is ~ 20% smaller than the radius of Cd^{2+} (95 ppm), suggesting a possible two-step process of Cd-to-

Cu followed by Cu-to-Zn exchanges.⁸¹ Last, the diffusion coefficient of Cu⁺ ions in CdS single crystal can be calculated based on the Equation (1):⁷⁷

$$D = 2.1 \times 10^{-3} e^{-(0.96 \text{ eV}/kT)} \text{ cm}^2/\text{s} \quad \text{Equation (1)}$$

Where, k is Boltzmann constant, $8.617 \times 10^{-5} \text{ eV/K}$, and T is temperature. At the reaction temperature of 330 °C, the diffusion coefficient of Cu ion was calculated to be $\sim 2,000 \text{ nm}^2/\text{s}$. This calculated result suggested that Cu⁺ ions can diffuse across the entire QDs in only ~ 7 milliseconds (ms) for the initial CdSe-CdS QDs (a diameter of 7.7 nm) and ~ 18 ms for the final CdZnSe-CdZnS alloy QDs (a diameter of 11.9 nm) under the reaction condition (see SI). Meanwhile, the diffusion coefficient of Cu⁺ ions in CdS is about 4 orders of magnitude smaller at room temperature than at the reaction temperature (i.e., 330 °C), which implies the need of the high reaction temperature (see calculation details in SI).⁷⁷ All the statements listed above lead us to hypothesize a possible Cu-catalyzed solid-solution alloying mechanism for the formation of CdZnSe-CdZnS core-shell alloy QDs (Figure 4). In this process, initially, a small amount of Cu₂S can grow on the surface of CdSe-CdS QDs (Figure 4 i), followed by the growth of a layer of ZnS (Figure 4 ii). Subsequently, Cu ions can diffuse into the particles through Cd-to-Cu cation exchanges (Figure 4 ii), followed by the occurrence of Cu-to-Zn cation exchange (Figure 4 iii). In this two-step cation exchange process, the Cu ions served as transporting agents to continuously transport Zn ions across the

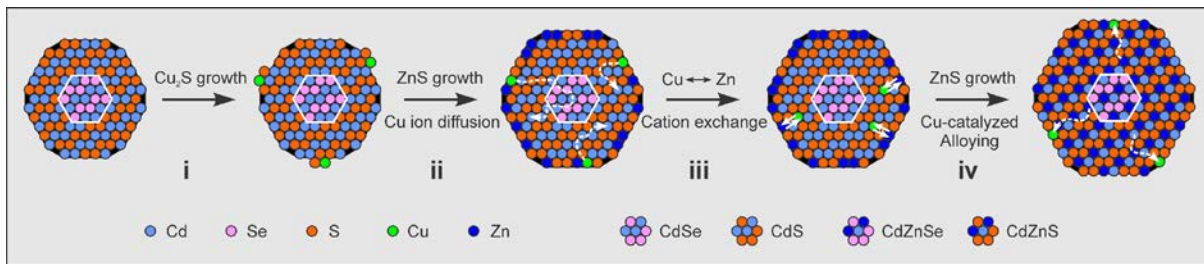


Figure 4. Schematic illustration for Cu-induced alloying process during the synthesis of CdZnSe-CdZnS core-shell alloy QDs.

entire core-shell QDs, germinating the alloying process (Figure 4 iv). By repeating this process, Zn component can be transferred into the CdS shell and then CdSe core eventually. This core alloying process (from CdSe to CdZnSe) was in line with the fact that the final positions of first absorption feature (493 nm) and PL peak (509 nm) were located at shorter wavelengths than those of the starting CdSe QDs (absorption: 539 nm, and PL at 550 nm). We did not observe any noticeable Cu-impurity emission in the alloy QDs, which was likely due to the minimal amount of Cu addition (~ 4 Cu per QD),⁸²⁻⁸³ and commonly observed ‘self-purification’ mechanism in QD systems owing to their finite particle size, thus the increased formation energy of substitutional impurities.⁸⁴ So the Cu ions can ‘self-purify’ and be expelled to the surface of QDs during the cooling process after the reaction.⁸⁵ Therefore, overall, Cu ions paly as catalysts in this alloying process.

To prove the existence of Cu atoms in CdZnSe-CdZnS core-shell alloy QDs, ICP-AES measurement was performed to quantify the Cu amount in the final alloy QDs after purification (see details in SI). The ratio between Cu and Cd accessed from ICP-AES was 0.074%, which approximately equaled to the feeding ratio of 0.090%, suggesting the preservation of Cu in the

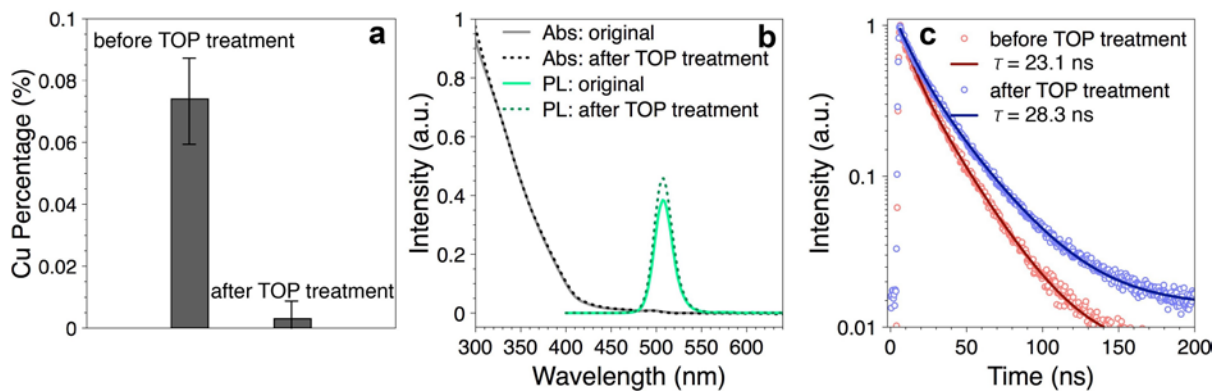


Figure 5. (a) Cu amounts determined by ICP-AES in the as-synthesized alloy QDs before and after TOP treatment. (b) Absorption and PL spectra of the CdZnSe-CdZnS QDs before (solid line) and after (dashed line) TOP treatment. (c) Decay curves of PL lifetimes of the CdZnSe-CdZnS alloy QDs before and after TOP treatment.

QDs (Figure 5a). As Cu^+ ion is soft acid (absolute hardness, $\eta = 6.28$ eV) compared to Cd^{2+} ($\eta = 10.29$ eV) and Zn^{2+} ($\eta = 10.88$ eV) cations,⁸⁶ it could be expected that Cu would be removed by employing soft bases, such as TOP ($\eta \sim 6$ eV),⁸⁷⁻⁸⁸ relying on Pearson's HSAB theory.^{86-87, 89} To examine this speculation, the final CdZnSe-CdZnS QDs were treated with TOP at 250 °C for 5 min (see SI).³⁷ As expected, the amount of Cu in the CdZnSe-CdZnS alloy QDs after the treatment decreased drastically to nearly background level (Figure 5a, Table S4). This result further supported our hypothesis that Cu ions self-purified to the surface of the QDs.⁸⁴ The absorption spectrum of CdZnSe-CdZnS QDs showed nearly no change after TOP treatment, indicating the intact structural integrity of the QDs (Figure 5b). While the PL peak position remained the same, its intensity increased by $\sim 7\%$ (the PL QY increased from 57% to 61%), which can be attributed to the reduced trap states by removing Cu impurities (Figure 5b). This removal of defects was

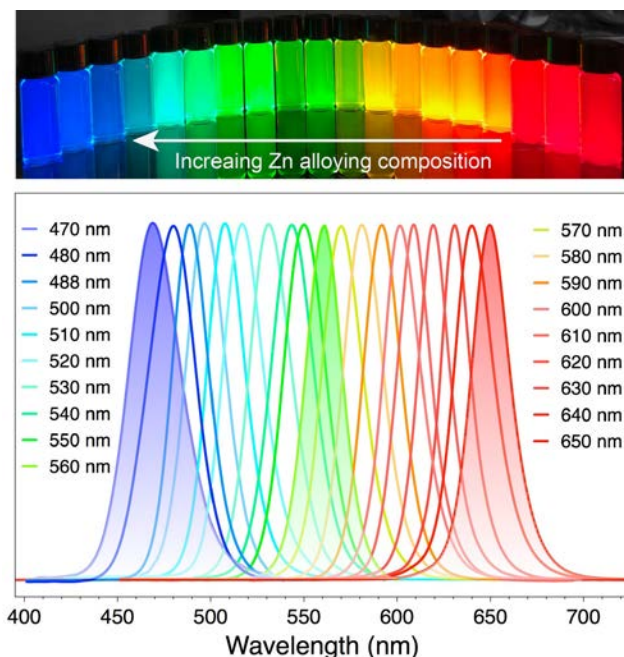


Figure 6. Photograph image (top) and PL spectra (bottom) of the CdSe-CdS core-shell QDs and CdZnSe-CdZnS core-shell alloy QDs showing the fully coverage of the visible spectral range from 470 nm to 650 nm.

further evidenced by the prolonged PL lifetime of the QDs (from 23.1 ns to 28.3 ns) after the TOP treatment (Figure 5c and S7).

We then applied this Cu-catalyzed alloying method to the synthesis of alloy QDs starting from the CdSe-CdS QDs with different CdSe core sizes and CdS shell thicknesses. A wide spectral coverage from 470-650 nm (for the center of the PL peaks) with high PL QYs (50-80%) of the obtained CdZnSe-CdZnS core-shell alloy QDs was achieved by tuning the amount of Zn and S precursors (Figures 6 and S8). To further explore the generality of the Cu-induced alloy synthesis, CdSe-CdS core-shell NRs were also examined.³² A sample of CdSe-CdS NRs with an aspect ratio of 9.4 and emitting at 602 nm was successfully converted to CdZnSe-CdZnS alloy NRs with a reduced aspect ratio of 6.2 and blue-shifted PL peak centered at 534 nm (Figure 7a). Correspondingly, absorption features of NRs blue-shifted to shorter wavelength region, accompanied by the decrease of absorption for CdS and increase of absorption for ZnS (Figures 7a, b and S9). TEM and XRD measurements indicated the preservation of shape, uniformity, and WZ crystal structure of the NRs (Figure 7c-g and S10-13). HR-STEM images showed cross-fringes of (10 $\bar{1}$ 0) and (0002) planes with *d*-spacings of 3.4 Å and 3.2 Å, respectively (Figure 7f and S12). The slightly smaller lattices were caused by Zn alloying into the CdSe-CdS NRs, in good agreement with the XRD data showing red-shifted Bragg diffraction peaks (Figures 7g and S13, Tables S5 and S6). Additionally, the formation of CdZnSe-CdZnS alloy NRs was confirmed indisputably by STEM-electron energy-loss spectroscopy (EELS) elemental mapping results, showing that Zn atoms were fully distributed in the entire NRs (Figure 7h and S14). Time-resolved PL measurements showed that the average PL lifetime increased from 18.7 ns for CdSe-CdS NRs to 30.2 ns for CdZnSe-CdZnS alloy NRs, in line with the increased average volume of single NRs

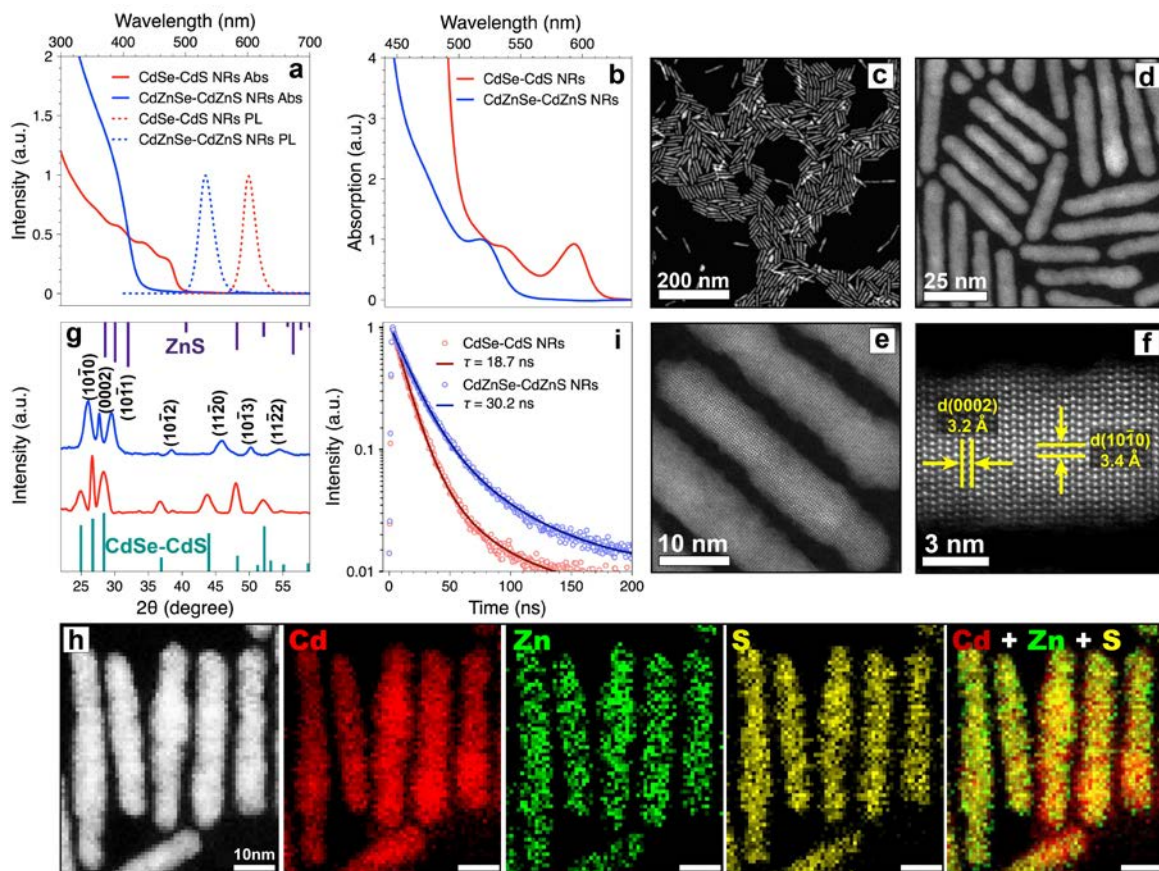


Figure 7. (a) Absorption (solid lines) and PL (dashed lines) spectra of the starting CdSe-CdS nanorods (NRs, red) and CdZnSe-CdZnS alloy NRs (blue). (b) Zoomed-in spectrum to show the first absorption peak shift comparing the CdSe-CdS NRs to the CdZnSe-CdZnS alloy NRs. (c-f) HAADF-STEM images of the final CdZnSe-CdZnS alloy NRs at different magnifications. (g) XRD spectra of CdSe-CdS NRs and CdZnSe-CdZnS alloy NRs. The green and purple sticks show the positions of XRD peaks for starting CdSe-CdS NRs and bulk WZ-ZnS, respectively. (h) HAADF-STEM image and the corresponding STEM-EELS elemental mappings for Cd, Zn and S atoms. (i) Decay curves of PL lifetimes of CdSe-CdS NRs and CdZnSe-CdZnS NRs.

from $\sim 3,900 \text{ nm}^3$ to $\sim 6,700 \text{ nm}^3$ (Figure 7i). The successful employment of CdSe-CdS NRs suggested that this Cu-induced alloying process is independent of the shape of the starting QDs.

CONCLUSION

In conclusion, we demonstrate a new way of fabricating CdZnSe-CdZnS core-shell alloy QDs by using Cu cations as transporting catalysts to effectively transfer Zn component into CdSe-CdS core-shell QDs. The morphological uniformity, core-shell structure, and crystal phase can be

well-preserved from the starting CdSe-CdS QDs during the synthesis. By employing this methodology, high-quality core-shell alloy QDs with high PL QYs and narrow emission profiles covering nearly the full range of visible spectrum have been synthesized. The mechanistic investigation attributes the alloying process to highly diffusive Cu ions acting as alloying catalysts to transfer Zn component across the whole CdSe-CdS QDs. Moreover, we show that the remaining surface Cu can be removed with TOP treatment based on the HSAB theory, affording QDs with less surface defects, thus higher QYs. Finally, we demonstrate this approach can be easily adapted to the synthesis of CdZnSe-CdZnS NRs, suggesting a generality of this alloying process. Our study sheds light on a new synthetic paradigm of generating various alloy nanocrystals with desired properties through Cu-catalyzed ion diffusion and solid-solution alloying processes.

ASSOCIATED CONTENT

Supporting Information. The Supporting Information is available free of charge on the ACS Publications website.

Synthetic methods. Characterization methods. Cu diffusion rate calculation. Absorption and PL spectra, QYs, XRD fittings, TEM and HR-TEM images, HAADF-STEM images, elemental mapping images, EDX data, EPR spectra, ICP data, and lifetime spectra for CdSe-CdS QDs and CdZnSe-CdZnS alloy QDs. Absorption and PL spectra, TEM images for control experiment. Absorption and PL spectra, TEM and HR-TEM images, XRD spectra and fittings, HAADF-STEM image and elemental mapping images for CdZnSe-CdZnS alloy NRs.

AUTHOR INFORMATION

Corresponding Author

*ouchen@brown.edu

Notes

The authors declare no competing financial interest.

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