

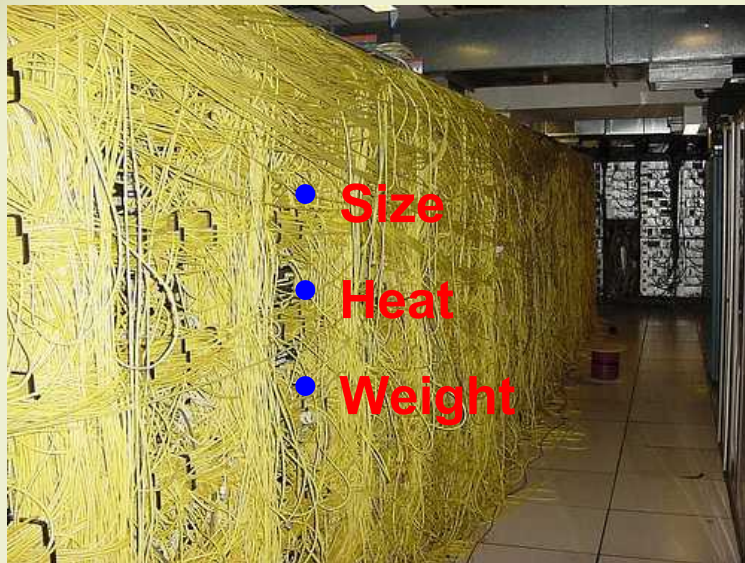
New nonlinear signal processing methods based on photon-phonon emitter-receiver

Heedeuk Shin¹, Jonathan A. Cox², Robert Jarecki², Andrew Starbuck², Zheng Wang³, and Peter T. Rakich¹

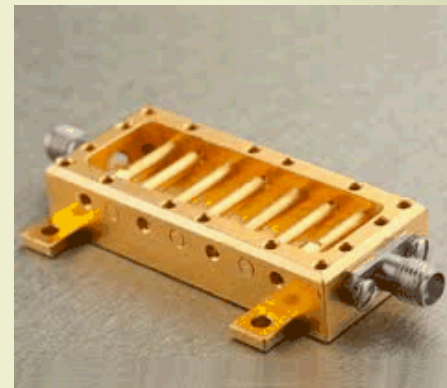
1. Department of Applied Physics, Yale University, New Haven, CT
2. Sandia National Laboratories, Albuquerque, NM
3. Department of ECE, University of Texas at Austin, Austin, TX



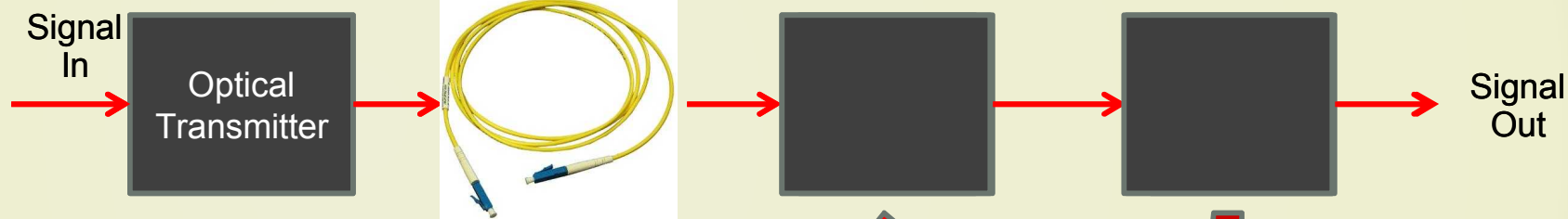
SPIE Photonics West 2015



- Size
- Heat
- Weight

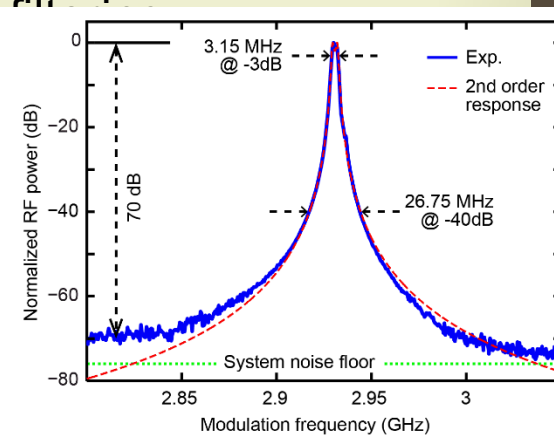
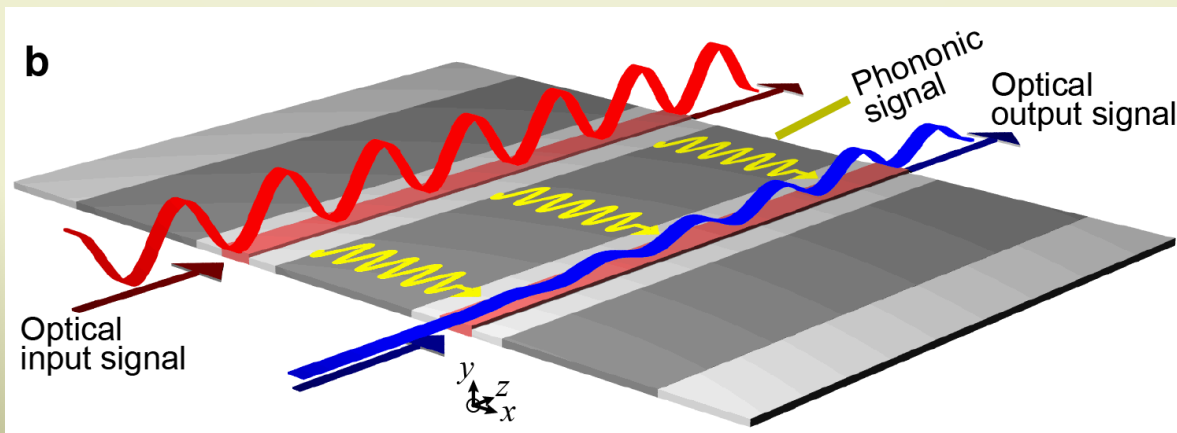


Yellow wall



Traveling-Wave Photon-Phonon Emitter-Receiver

time delay
phase shift





Outline

1. Photon-phonon interaction & SBS

2. Brilloun Active Membrane

3. Photonic-phononic emitter-receiver

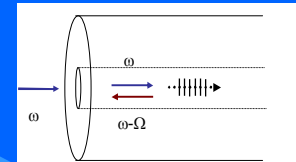
A **phonon** is an elementary vibrational mode in QM with the wave-particle duality.

Photon-phonon interaction

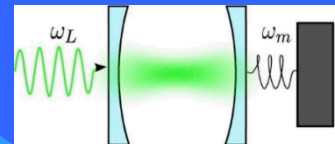
Raman scattering



Brillouin scattering



Opto-mechanics



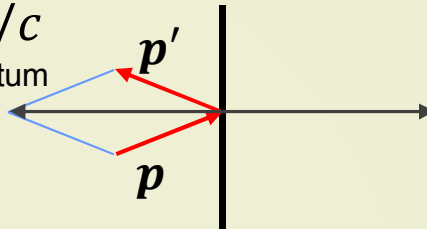
Optically driven
mechanical vibration

Optical Force 1: Radiation Pressure

Radiation Pressure.

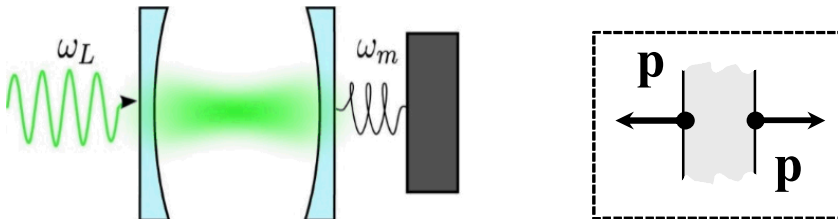
$$p = \hbar k = hv/c$$

Momentum change

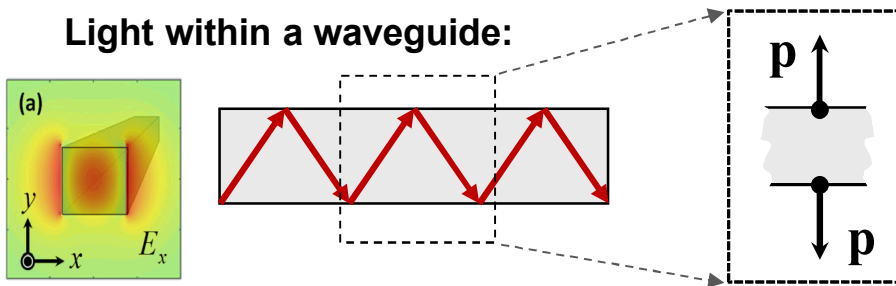


Radiation Pressure

Light within a cavity:



Light within a waveguide:



1. Large at nanoscales.
2. Force localized to boundary.
3. Entirely depends on geometry.

P. T. Rakich, et al., *Optics Letters* **36**, 217 (2011).

P. T. Rakich, et al., *Physical Review X* **2**, 011008 (2012).

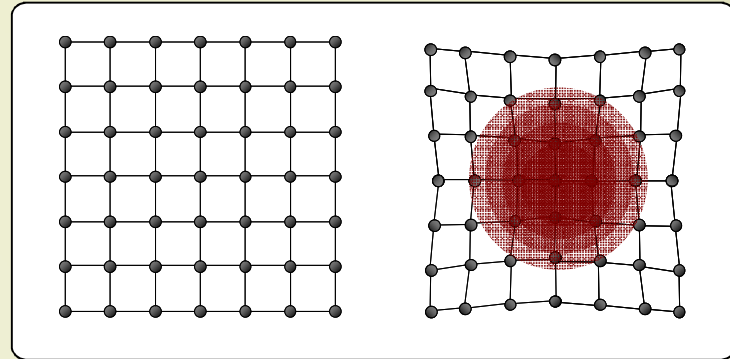
W. Qiu, et al., *Optics Express* **21**, 31402 (2013).

Optical Force 2: Electrostrictive Force

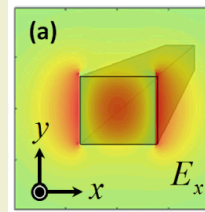
Electrostriction:

Dynamic response of media to light.

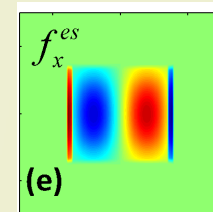
1. Force distributed within volume.
 - Sign of p_{ijkl} constants
2. Directed outward or inward.
 - Sign of p_{ijkl} constants
3. Depends on material.
 - Increases as n^4 .
 - proportional to p_{ijkl} constants



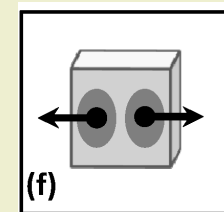
Geometry
& E_x -field



Force Density



Force Direction



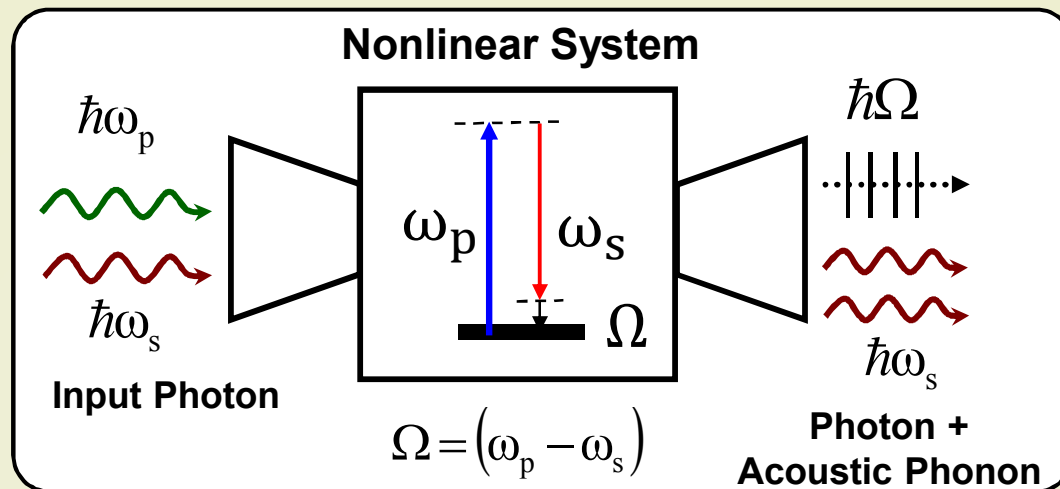
Net SBS effect

$$\propto \left(f^{ES} + f^{RP} \right)^2$$

SBS: Stimulated Brillouin scattering

Stimulated Brillouin Scattering

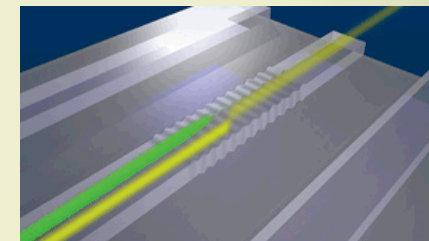
Light scattering from acoustic waves (phonons)



1. Coupling between photons and acoustic phonons.
2. Absorbing a pump photon (ω_p).
3. Creating a Stokes photon (ω_s) and a GHz frequency phonon (Ω).

Applications: narrow band amplifier, sensor, SBS laser, slow/fast light, etc.

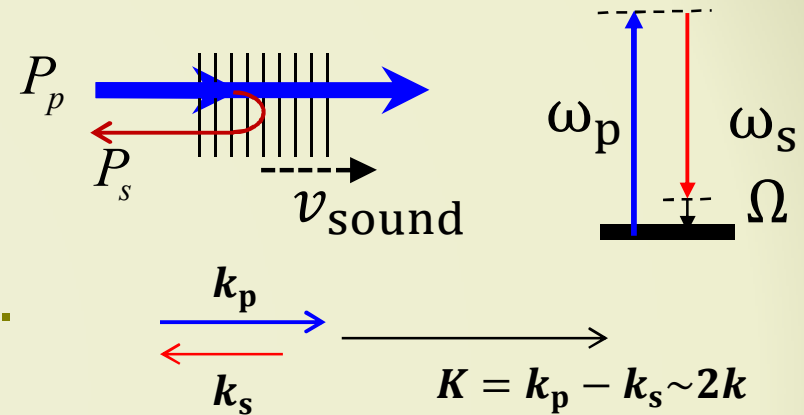
Phase matching conditions ?



SBS Phase matching condition - 1

Backward SBS

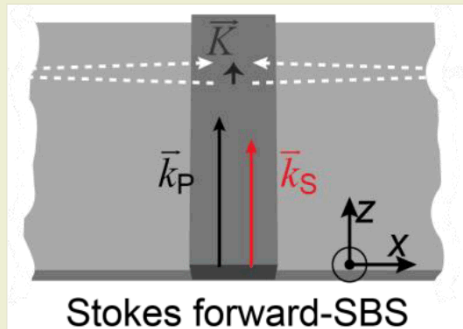
- Light is scattered backward.
- Strong effect in optical fibers.



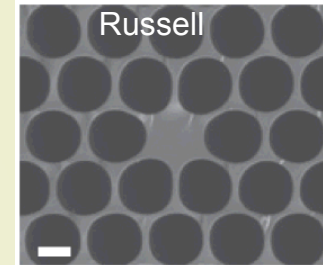
SBS Phase matching condition - 2

Forward SBS

- Co-propagation
- Structure dependent resonant frequency



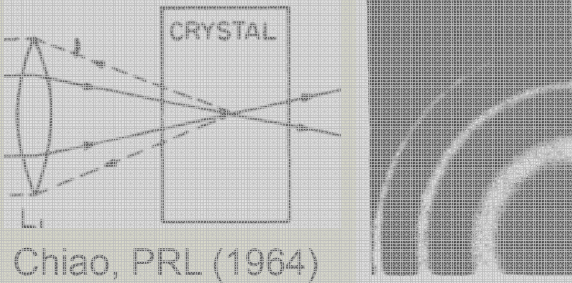
Strong forward SBS



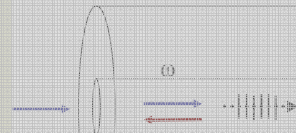
Kang, et al., Nature Phys. **5**, 276 (2009)

Timeline for SBS

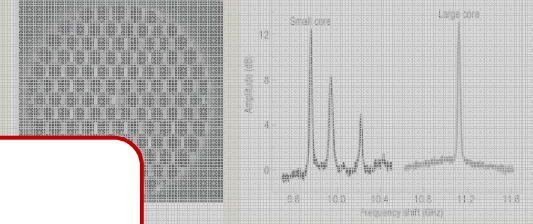
SBS in Bulk X-tal



SBS in Optical Fibers



SBS in Micro-Structured Fibers

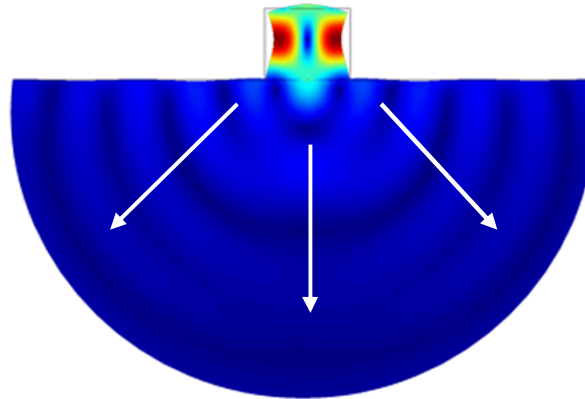


Macro



Trend: Brillouin interaction

Phonon Dissipation

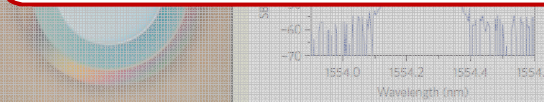
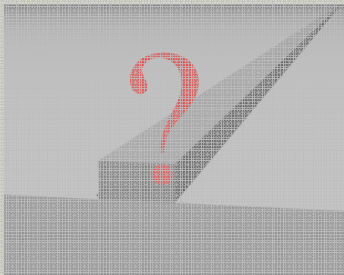


Engineered

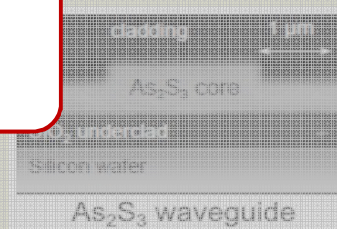
length-scales

← Waveguide ←

SBS in Silicon waveguide



On-chip SBS



Nano-structure



Micro-structure

Trend: Photon-phonon waveguides at nanoscale can enhance SBS effects



Outline

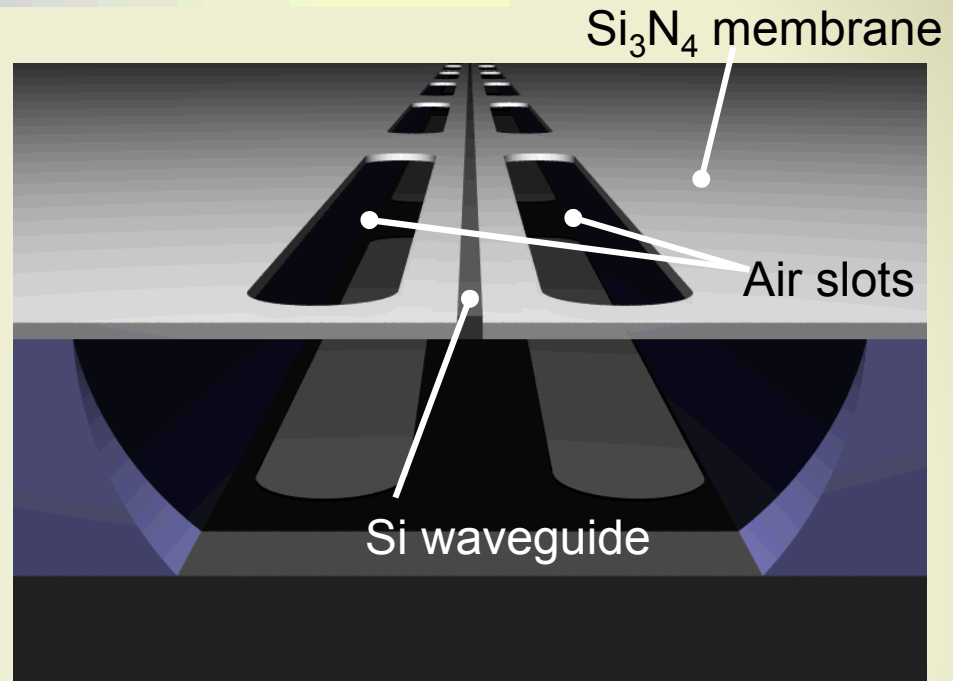
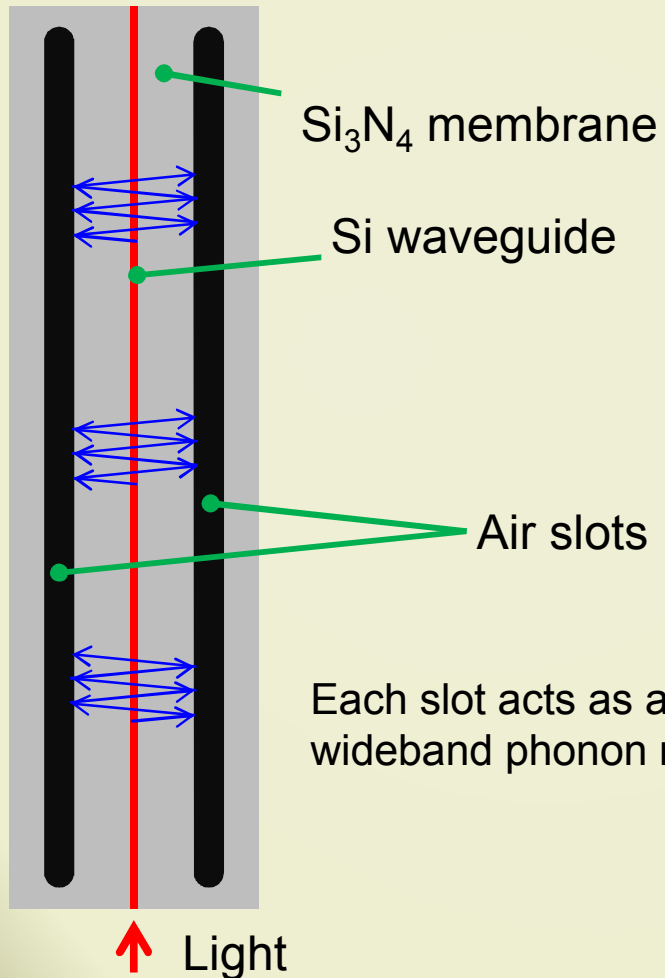
1. Photon-phonon interaction & SBS

2. Brillouin Active Membrane waveguide

3. Photonic-phononic emitter-receiver

Creation of SBS in novel structure

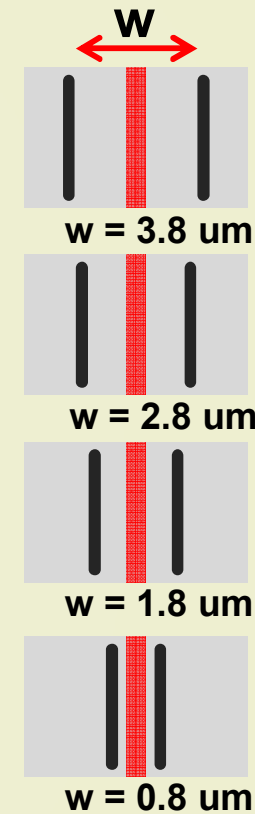
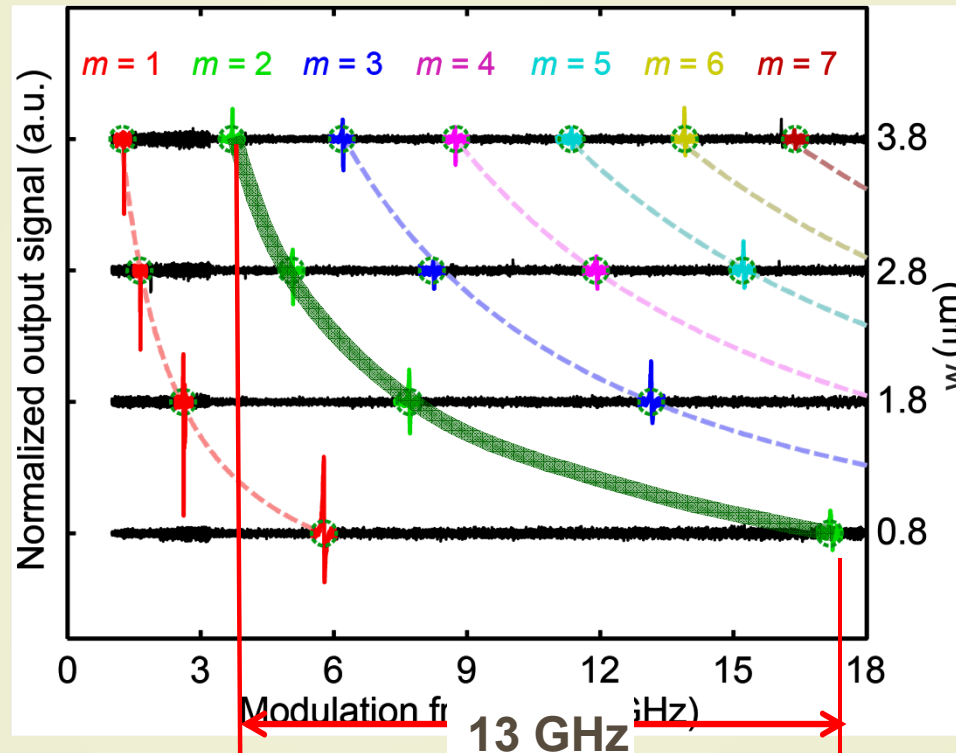
Brillouin Active Membrane (BAM) waveguide



- Reducing phonon dissipation
- Photonic waveguide (silicon)
- Phononic waveguide (SiN between slots)
- Strong photon-phonon **confinement**

Tailorable SBS nonlinearity

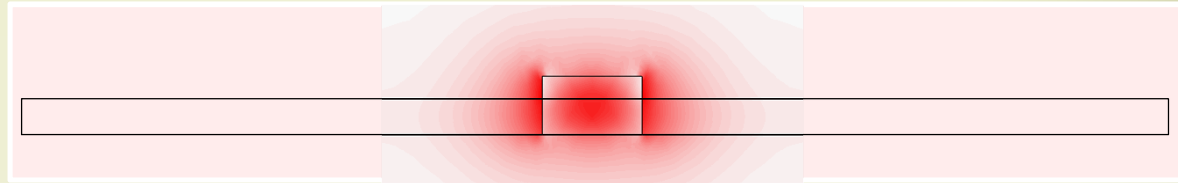
By varying phononic waveguide dimension.



- As waveguide dimension increases, more guided modes are allowable.
- By lithographically varying waveguide dimension, the phononic resonant frequency is tuned by 13 GHz
- Unprecedented tailorability of nonlinearities

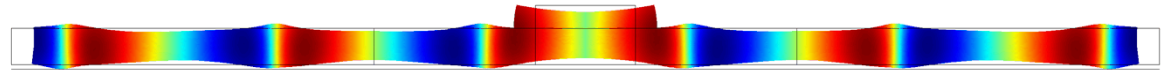
Elastic wave displacement

E-field distribution



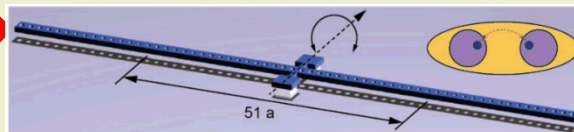
- Photons are confined near the waveguide.

Elastic wave displacement



- Phonons are created in the optical waveguide.
- Phonons exist all over the membrane.
- Change at distinct place from pump light.

Delocalized photon-phonon interaction.



litter-receiver



Outline

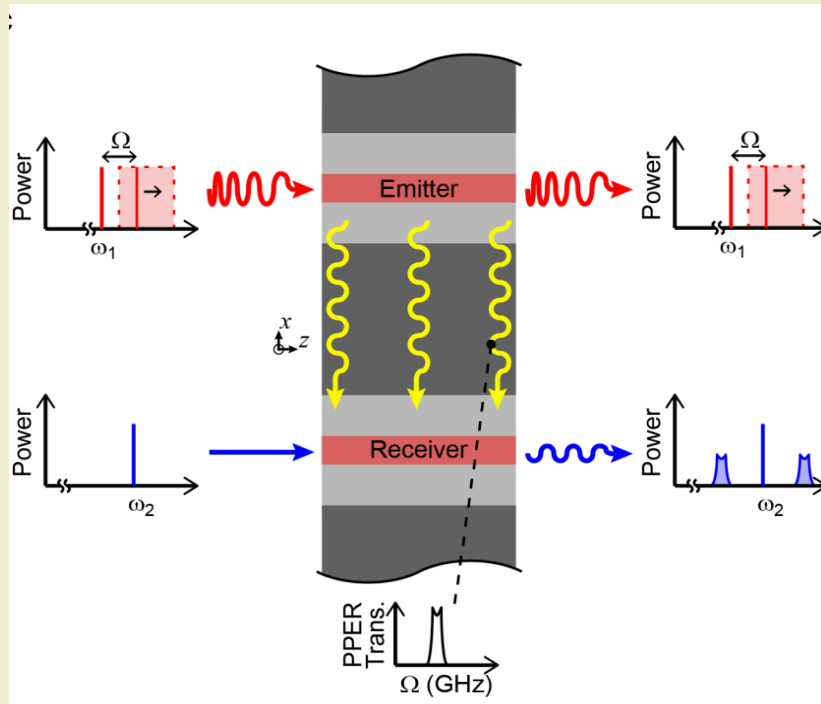
1. Photon-phonon interaction & SBS

2. Brillouin Active Membrane

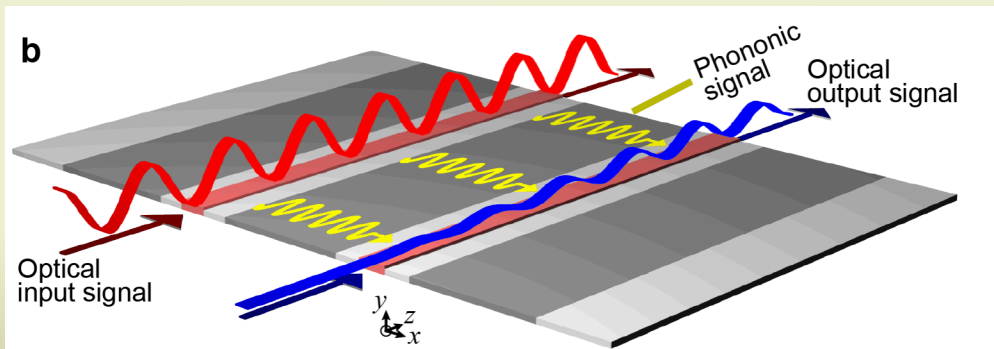
3. Photonic-phononic emitter-receiver

Traveling-wave photonic-phononic emitter-receiver (PPER)

Optical signals \rightarrow Phononic signals \rightarrow Phononic Filter \rightarrow Optical signals



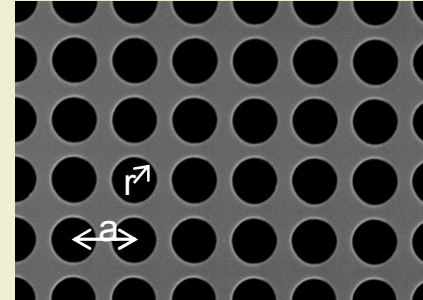
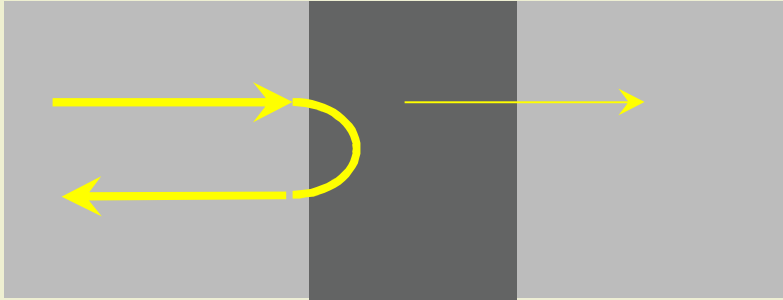
- Dual channel BAM waveguides
- Selective information transduction between distinct waveguides
- Optical input signals **emit** phonons.
- Phonons with certain frequency range can be transferred to the **receiver** channel.
- Phonons impart a phase shift on the probe field.



Lossy Phononic Mirror

Phononic mirrors with partial transmittance.

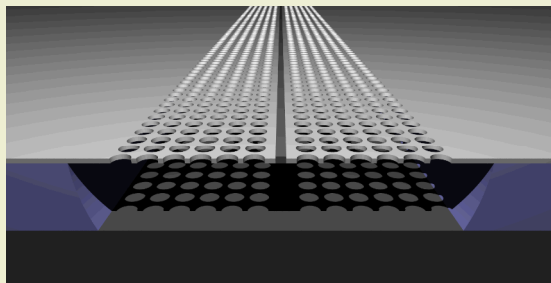
- Periodic pattern in 2D (square lattice)



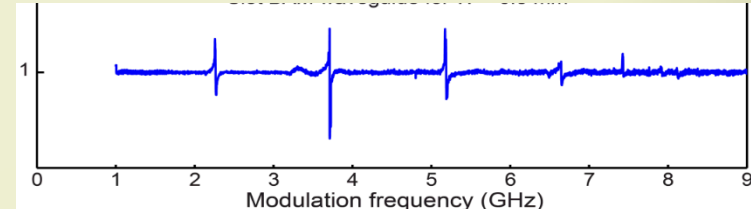
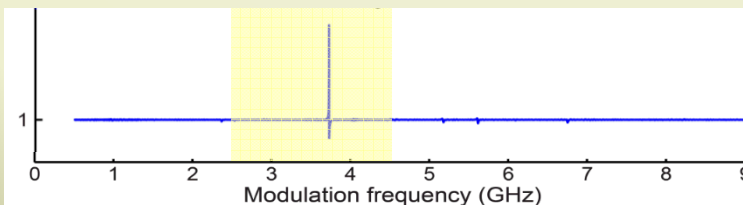
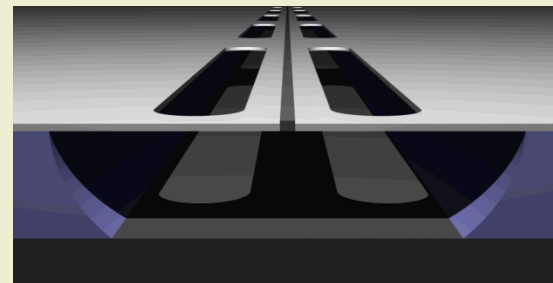
$$a = 1 \mu\text{m}$$

$$r = 0.385 \mu\text{m}$$

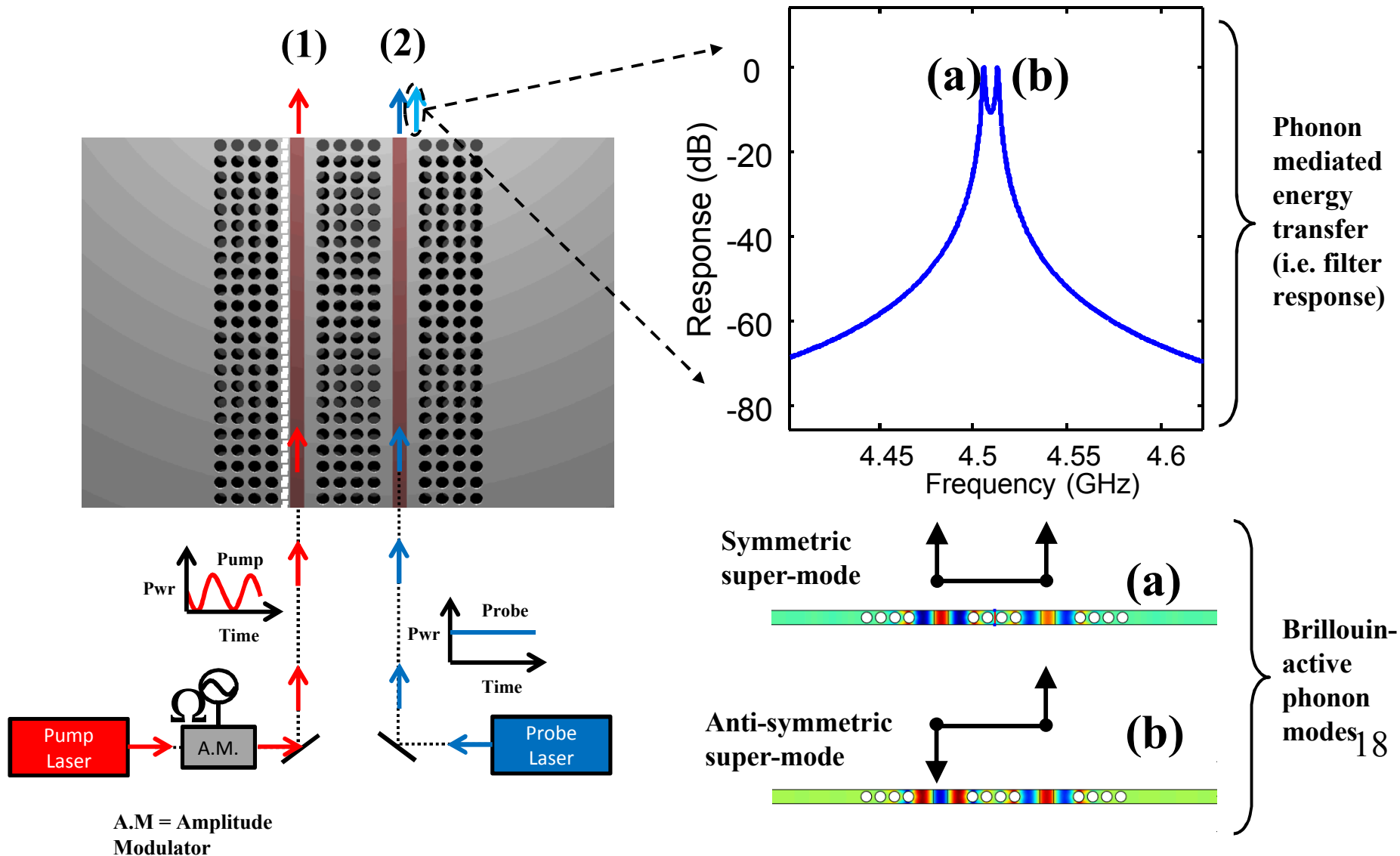
Phononic Bragg reflection



Impedance mismatching



How does this PPER system work?



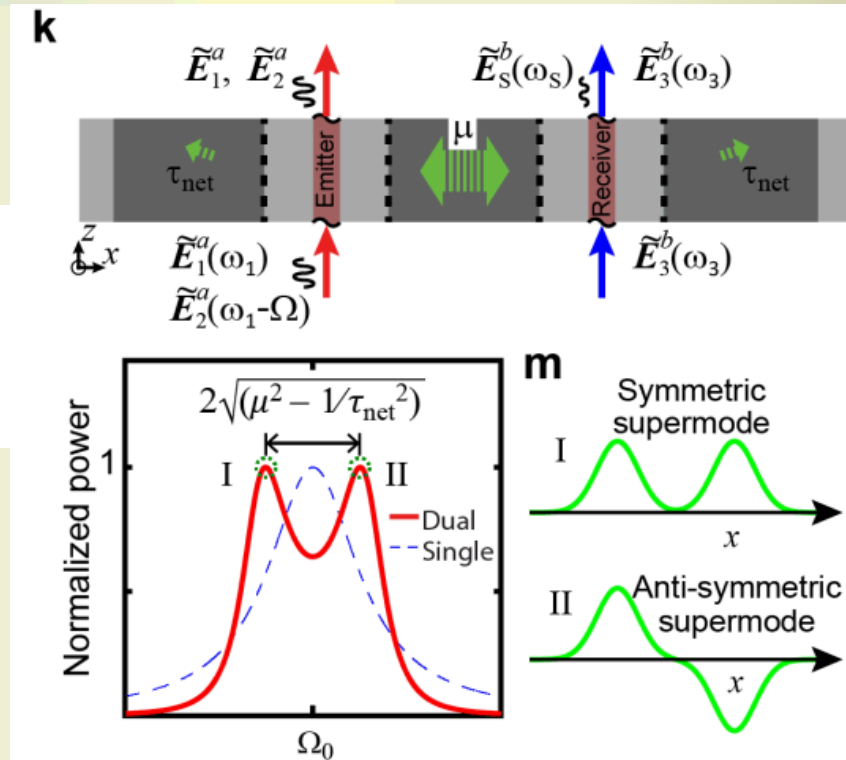
Theoretical study of PPER system

Coupled mode theory

$$\frac{dc_a(t)}{dt} = -\left(i\Omega_0 + \frac{1}{\tau_{\text{net}}}\right)c_a(t) + i\mu c_b(t) + \eta(t)A_1A_2^*$$

$$\frac{dc_b(t)}{dt} = -\left(i\Omega_0 + \frac{1}{\tau_{\text{net}}}\right)c_b(t) + i\mu c_a(t)$$

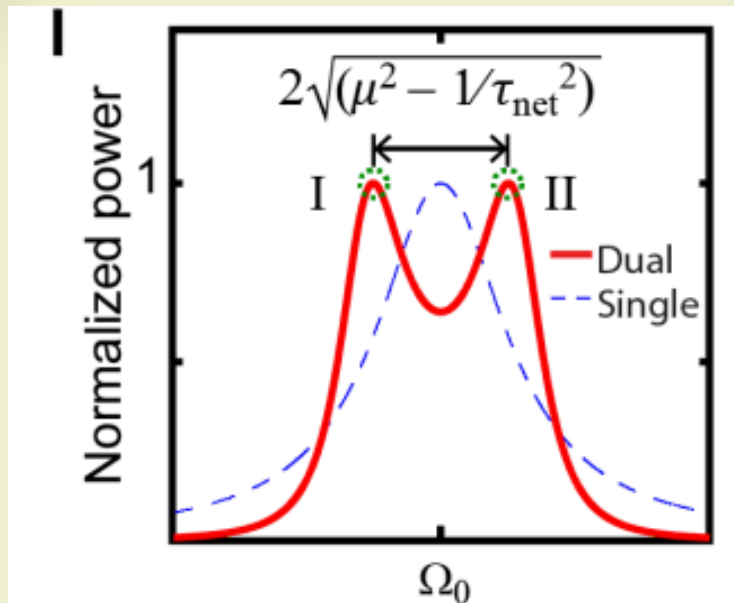
Nonlinear susceptibility (or gain coefficient)



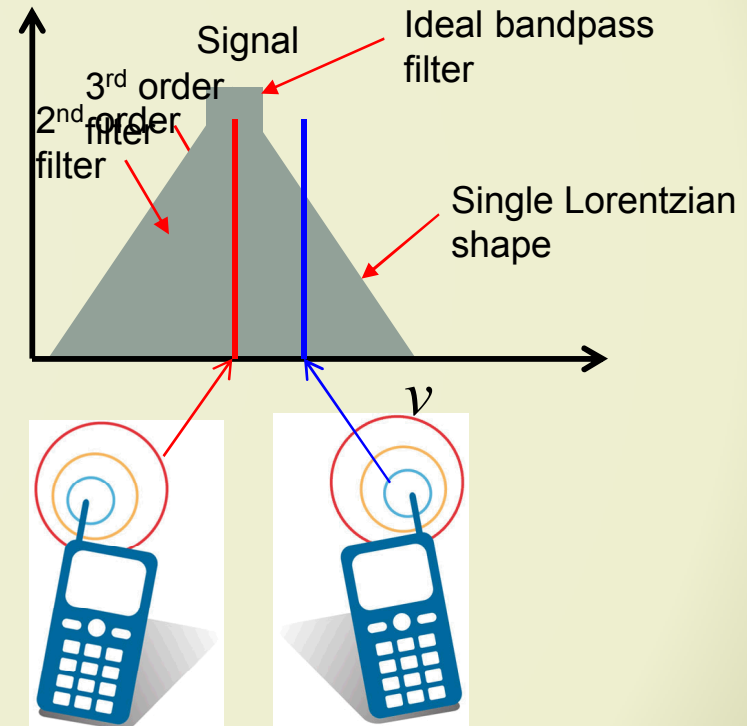
$$\gamma_{a \rightarrow b}(\Omega) = \left[\frac{\omega_3 \tau_{\text{net}}}{2\Omega_0} \frac{\langle \mathbf{f}_n^a, \mathbf{e}_a \rangle \langle \mathbf{e}_b, \mathbf{f}_n^b \rangle}{\langle \mathbf{e}_a, \rho \mathbf{e}_a \rangle} \frac{2\mu/\tau_{\text{net}}}{\left[\Omega - \Omega_0 - \sqrt{\mu^2 - 1/\tau_{\text{net}}^2} \right] \left[\Omega - \Omega_0 + \sqrt{\mu^2 - 1/\tau_{\text{net}}^2} \right]} \right].$$

$$P_s^b = |\gamma_{a \rightarrow b}(\Omega)|^2 P_1^a P_2^a P_3^b L^2$$

Filters with the second order response function

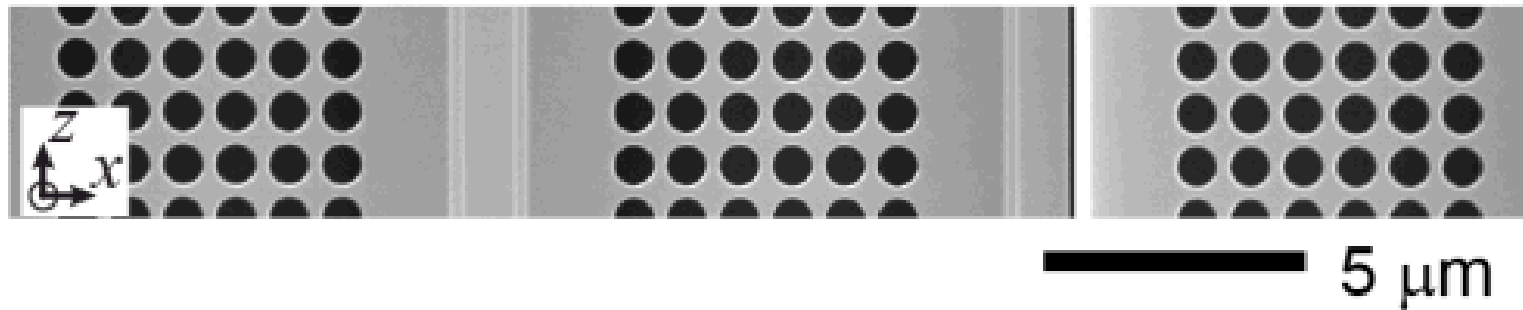
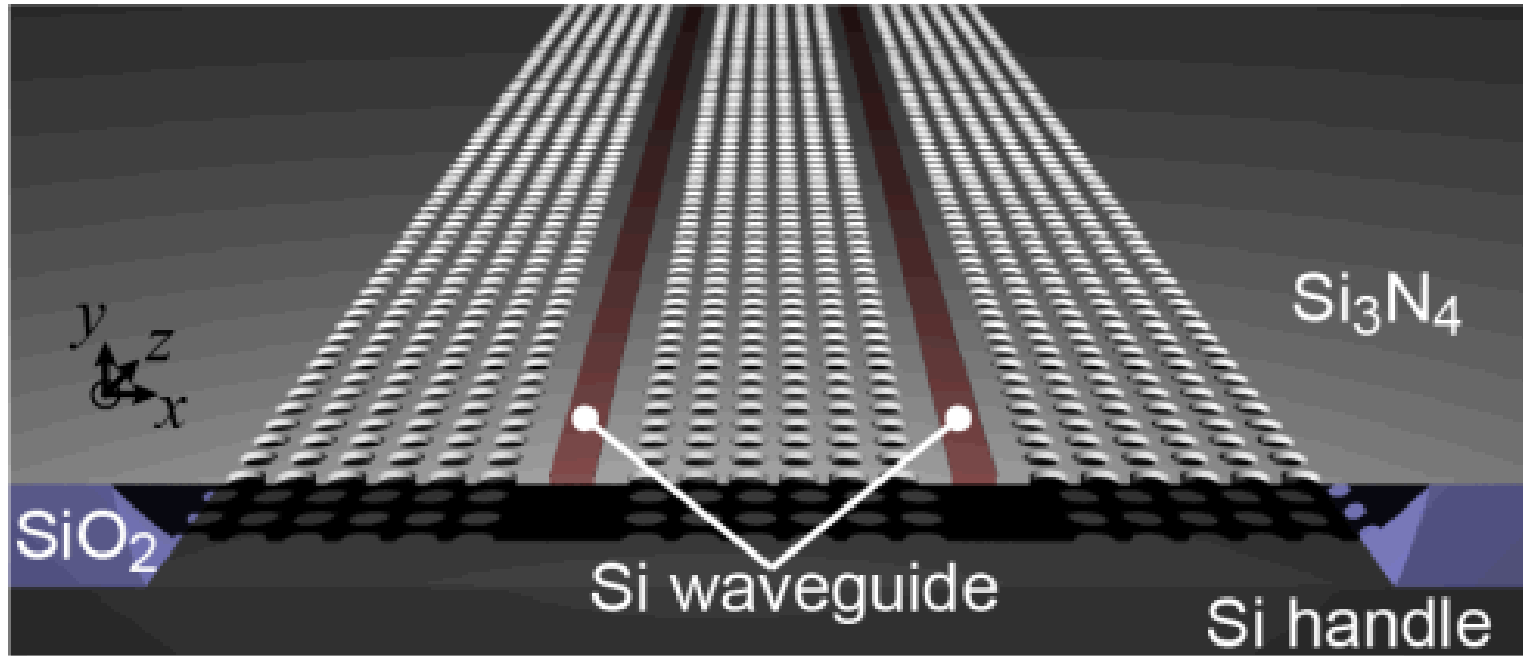


Second order response filter



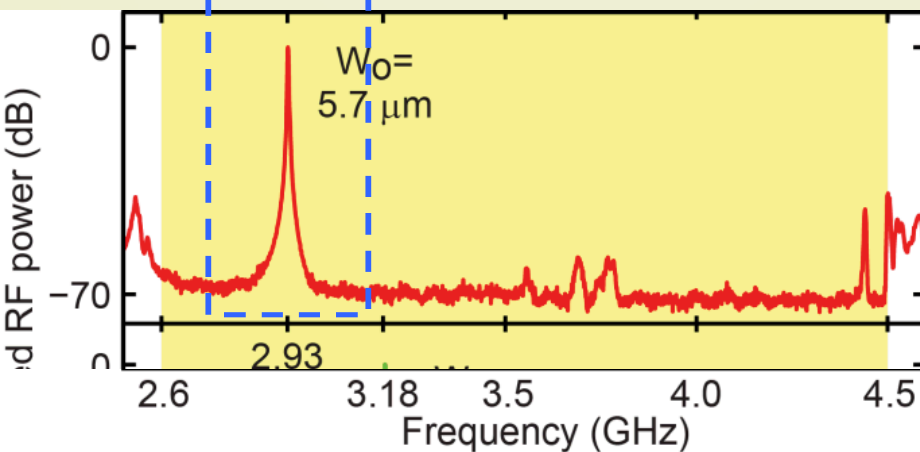
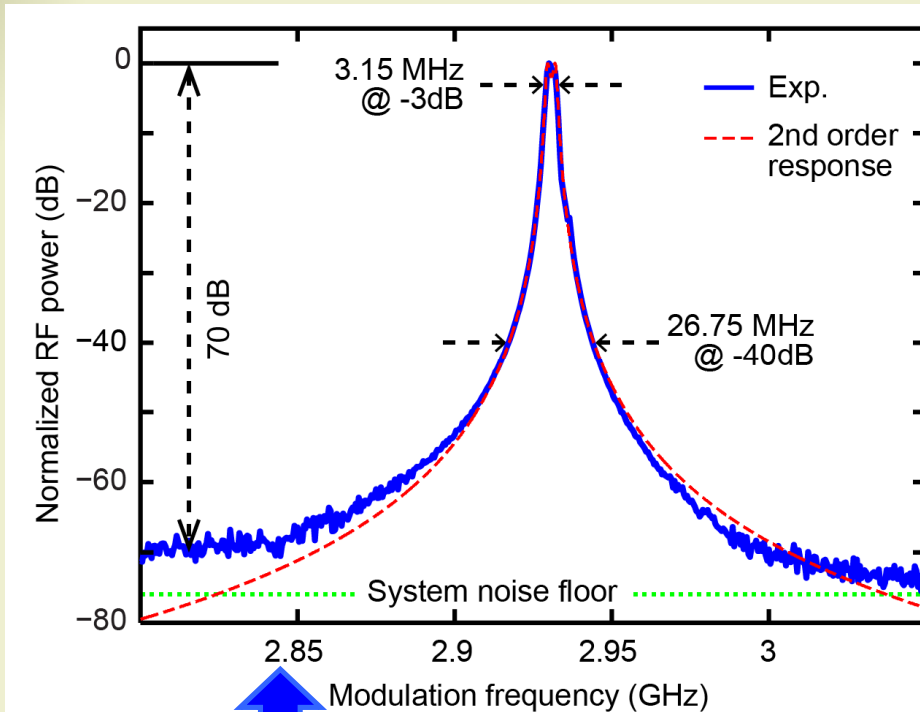
Sharp drop-off (high selectivity)

Traveling-wave photon-phonon emitter-receiver



Photonic-phononic emitter-receiver (PPER)

RF response of a PPER pair



Center frequency, $f_o = 2.93$ GHz

3-dB bandpass bandwidth, $B = 3.15$ MHz

Stopband attenuation, $A > 70$ dB

Rejection bandwidth, $B_R = 1.9$ GHz

High power handling, 36 mW
(110 mW for 3 dB/cm loss)

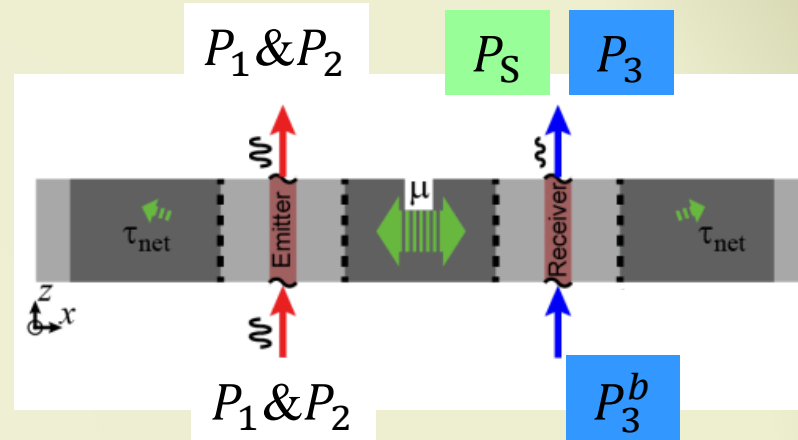
Laser bandwidth, 5 MHz

Wavelength 1535 nm & 1546 nm

Pump power dependence

- Peak signal conversion efficiency

$$\frac{\text{Created Stokes power}}{\text{Transmitted Probe power}} = \frac{P_s^b}{P_3^b}$$



$$P_s^b = |\gamma_{a \rightarrow b}(\Omega)|^2 P_1^a P_2^a P_3^b L^2$$

Scalable conversion efficiency

$$\frac{P_s^b}{P_3^b} \propto \text{Length}^2$$

$$\frac{P_s^b}{P_3^b} \propto \text{gain}^2$$

$$\frac{P_s^b}{P_3^b} \propto \text{Pump power}^2$$

$$\frac{P_s^b}{P_3^b}$$

$$L = 7 \text{ mm}$$

$$G = 800 \text{ m}^{-1} \text{W}^{-1}$$

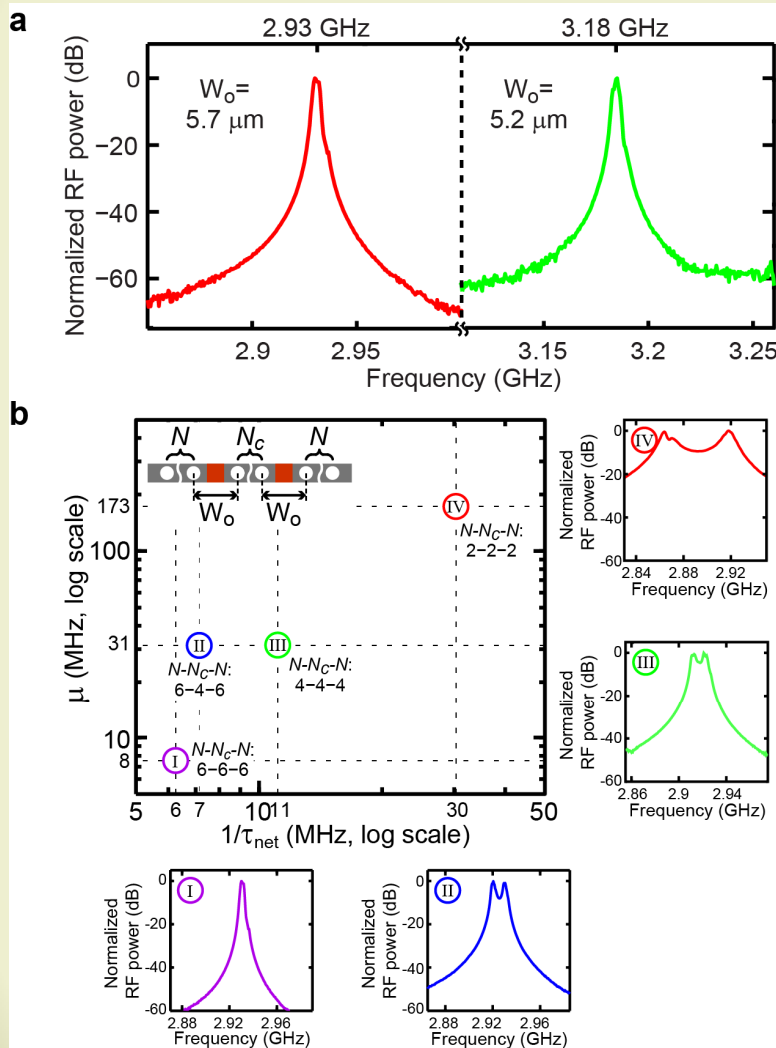
$$P_1^a = P_2^a = 3.5 \text{ mW}$$

$$0.01\%$$

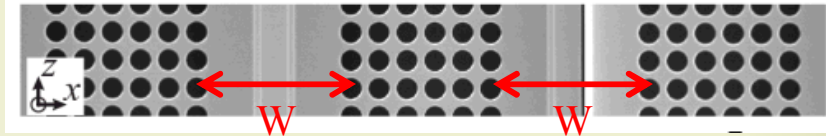
$$P_1^a = P_2^a = 36 \text{ mW}$$

$$1\%$$

Engineering RF response of a PPER pair



- Desired center frequency



- By changing the phononic waveguide dimension, W .
- Peak separation varies with the number of PnC hole layers between two waveguides by changing phononic coupling ratio.
- Bandwidth varies with the number of outer PnC hole layers by changing phonon lifetime.

RF Photonic Filters by All-optical methods

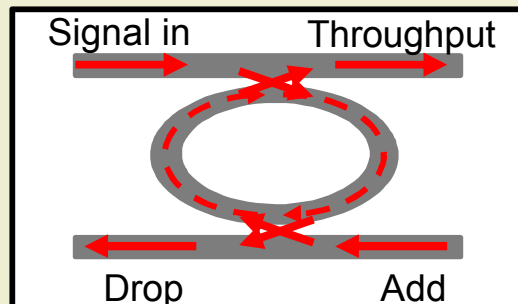
Asymmetric MZI

Bragg grating

Whispering gallery mode resonator

Ring resonator

- Resonator based optical filters

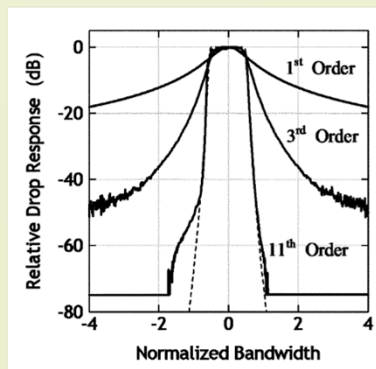
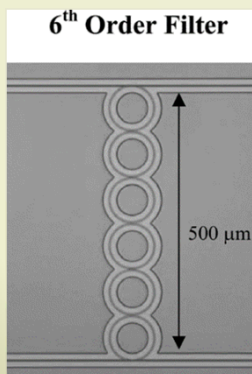


Requires high optical Q ($\sim 10^8$).

Low power handling.

Requires frequency locking.

First order response.

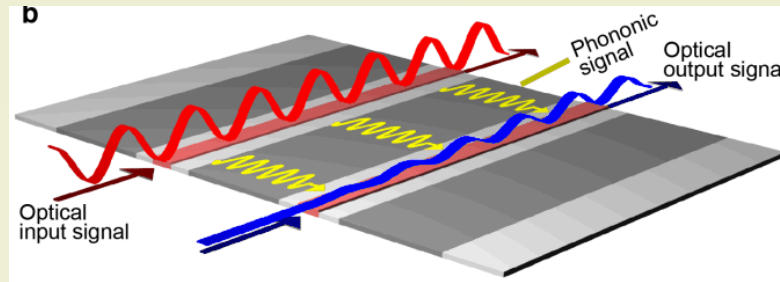


Higher order response.

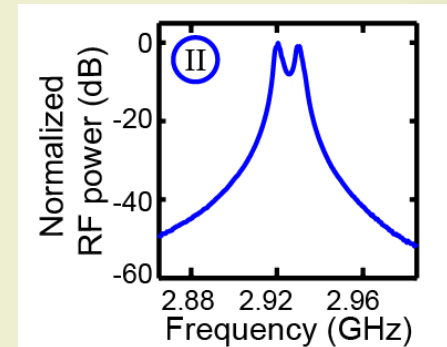
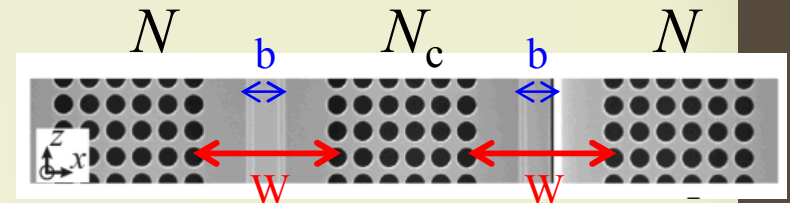
Difficult to fabricate coupled ring resonators.

Low power handling & frequency locking.

PPER as an RF photonic filter



- Wavelength (and bandwidth) insensitivity
- High power handling
- Independent control of photonic and phononic modes
- Narrow bandwidth (high selectivity) with high Q factor
- Multiport system with 2nd order response function
- High dynamic range
- Compatible with CMOS technologies





Thank you



- Prof. Peter T. Rakich
 - Dr. Ryan Behunin
- Dr. William Renninger
 - Prashanta Kharel
- Eric Kittlaus
 - Erik Stassen



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