

Understanding and Controlling Deep Level Defects for Next-Generation Power Electronics

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Albuquerque, NM USA**

Outline

- Why III-N's for solid-state lighting and power electronics?
- Importance of defects in III-N materials
- Deep Level Optical Spectroscopy (DLOS)
- Impact of defects on doping efficacy of Al-rich AlGaN
- Summary

Outline

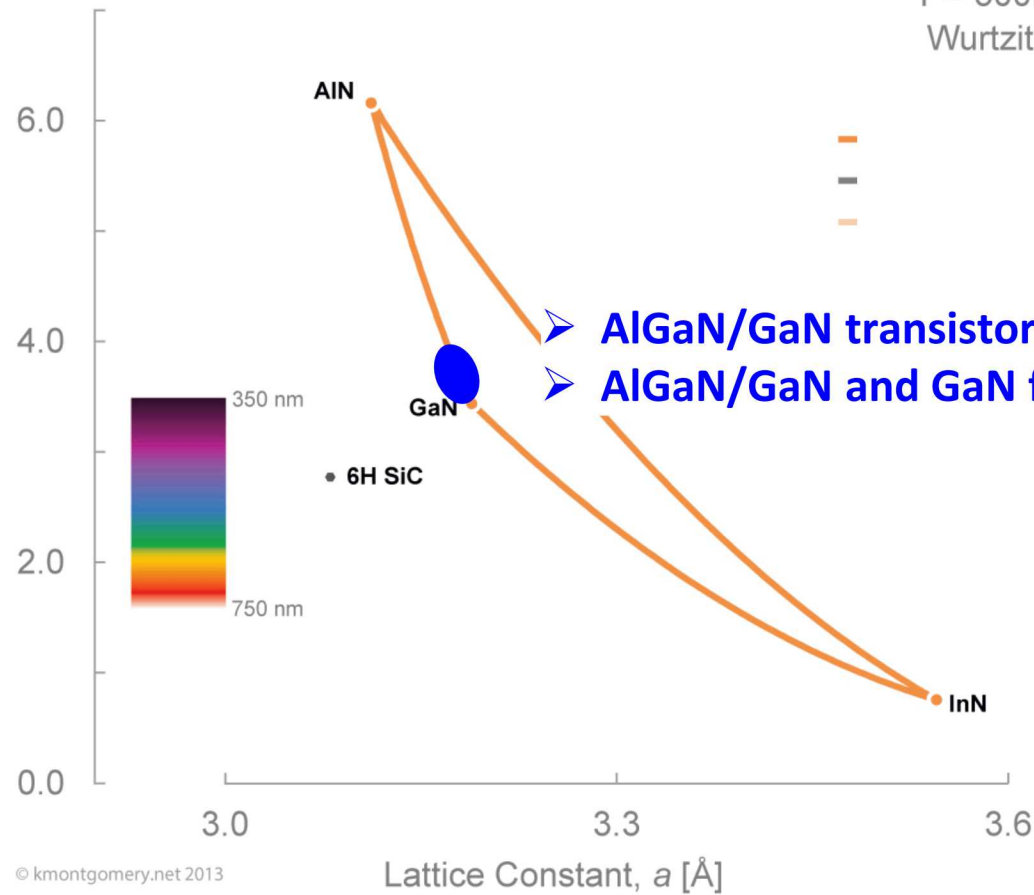
- **Why III-N's for solid-state lighting and power electronics?**
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Why III-N's?

Band Gap Energy
[eV]

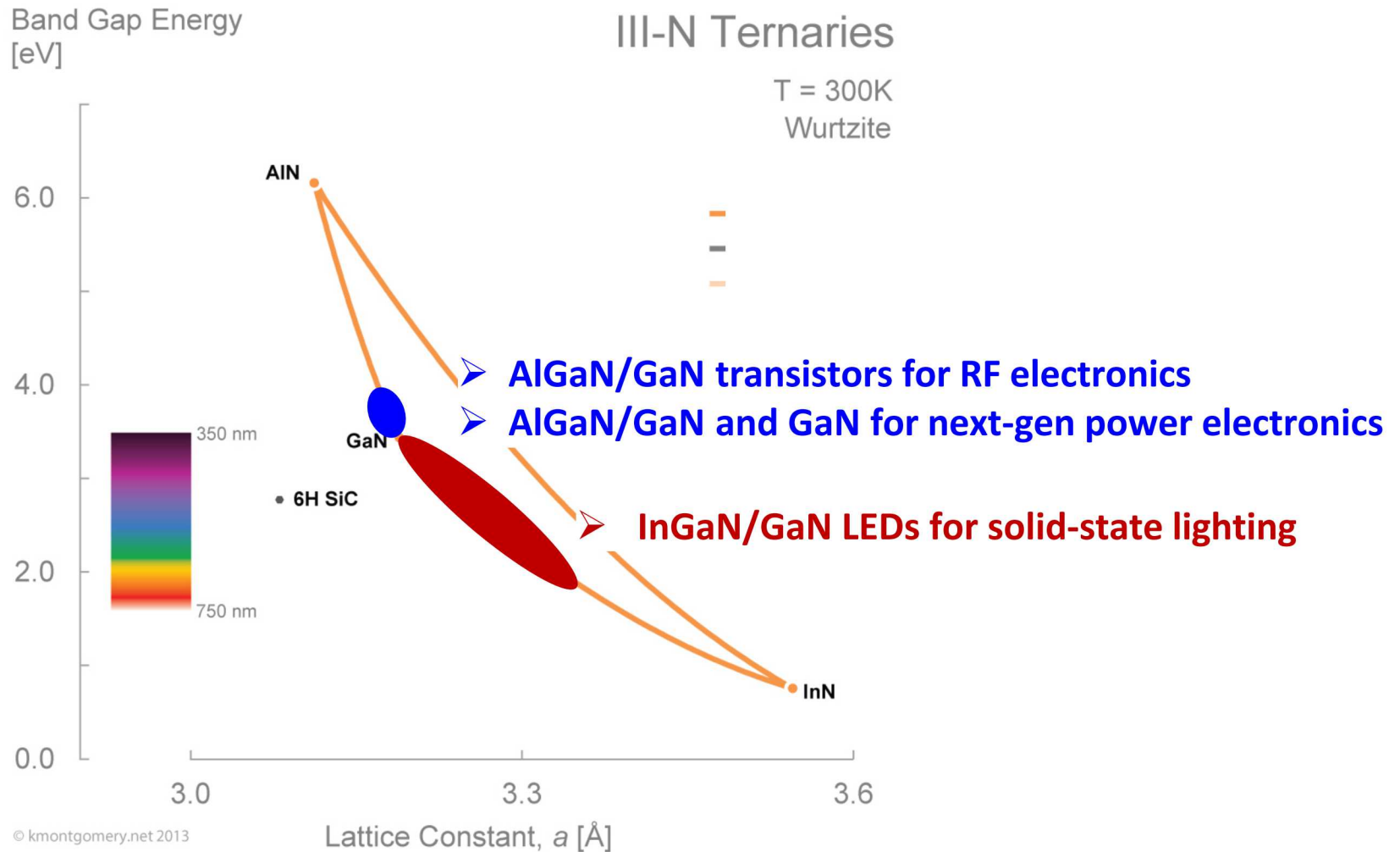
III-N Ternaries

T = 300K
Wurtzite



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Why III-N's?

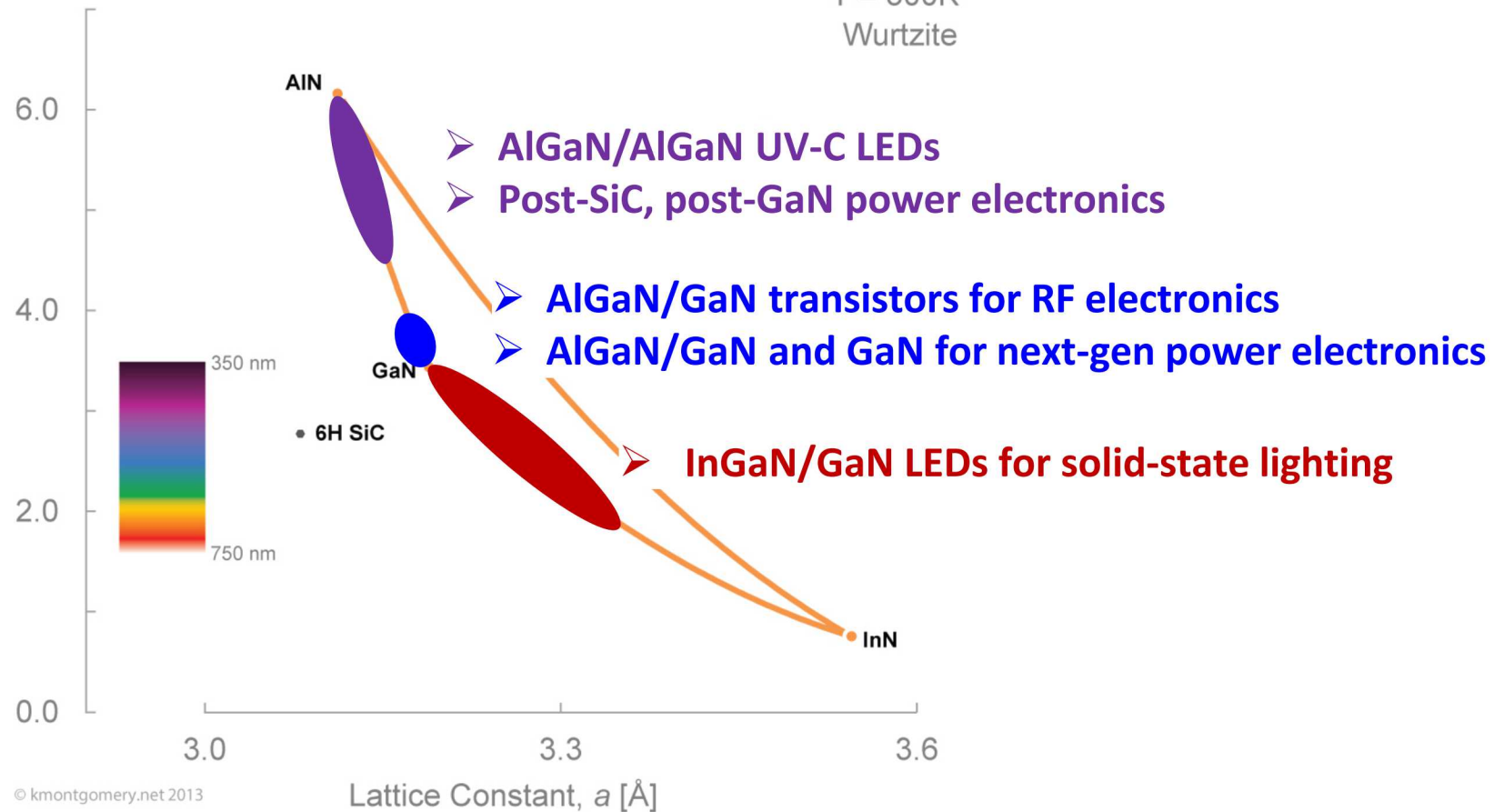


Why III-N's?

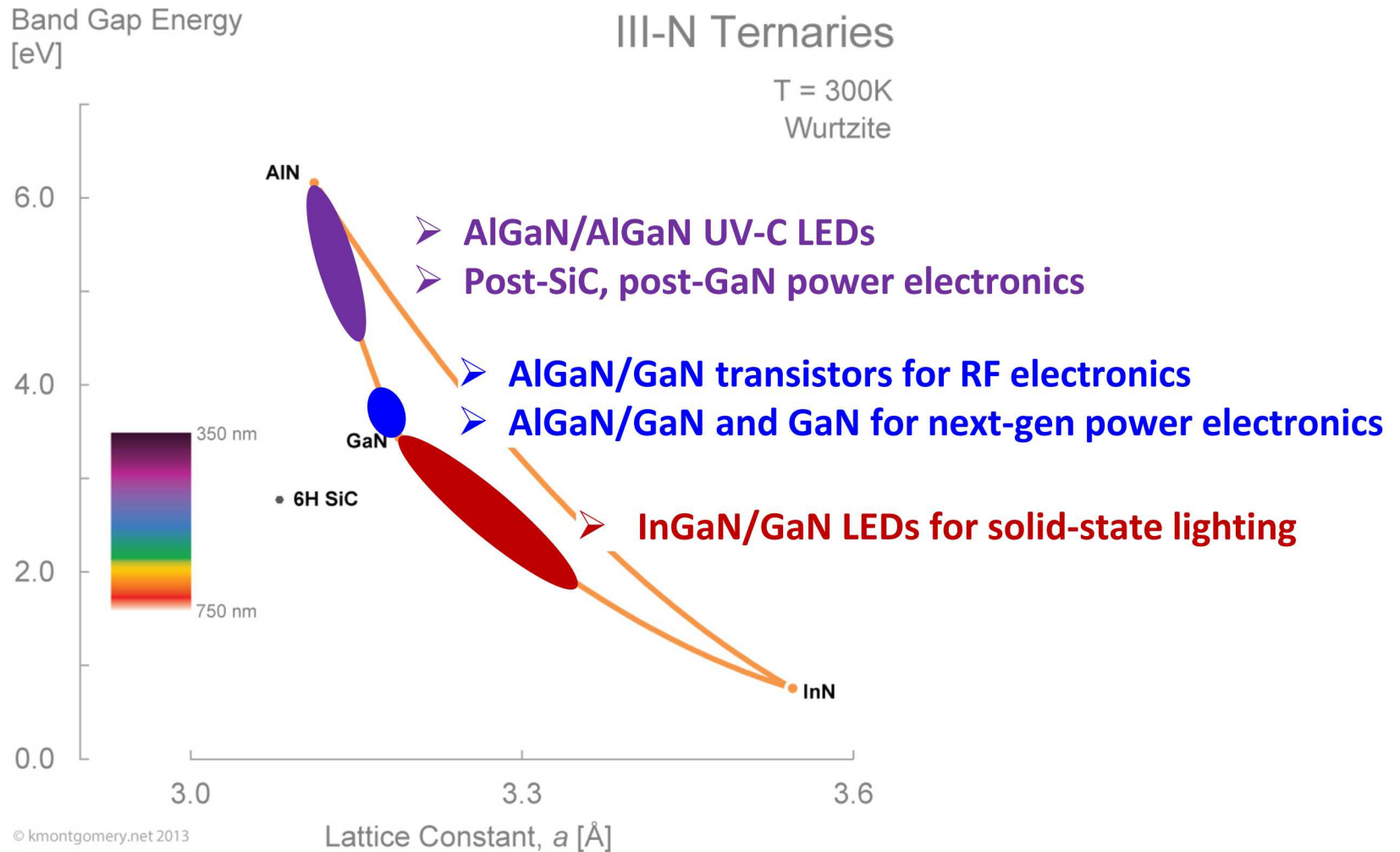
Band Gap Energy
[eV]

III-N Ternaries

T = 300K
Wurtzite



Why III-N's?



➤ Persistent III-N challenges: doping and defects

Outline

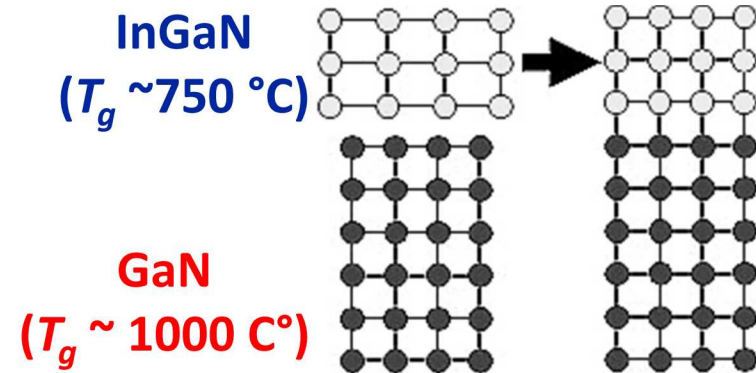
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Importance of defects for III-Nitride materials

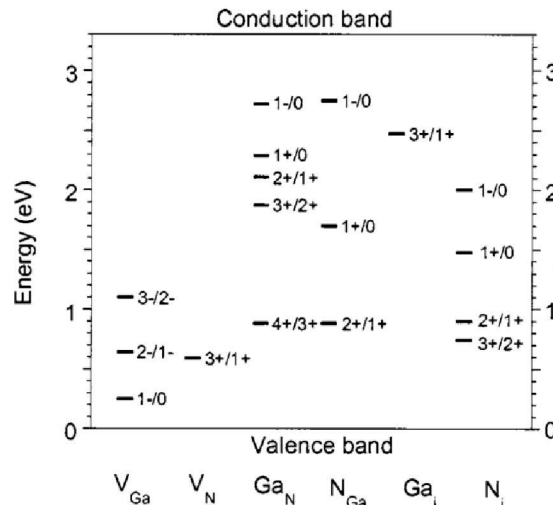
Defects incorporate with large density in III-N's

- Highly mis-matched epitaxy
- Non-ideal heterostructure growth conditions
- Facile defect formation: $N_t \sim \exp(E_g/kT)$

Mis-matched epitaxy and strain

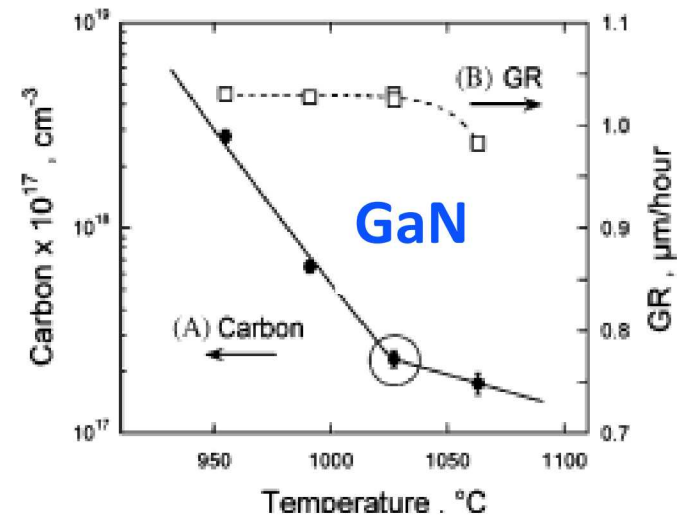


Reduced defect formation energy



Limpijumnong and Van de Walle, PRB 2004.

Non-ideal growth conditions



Koleske et al., JCG 242 55 (2002)

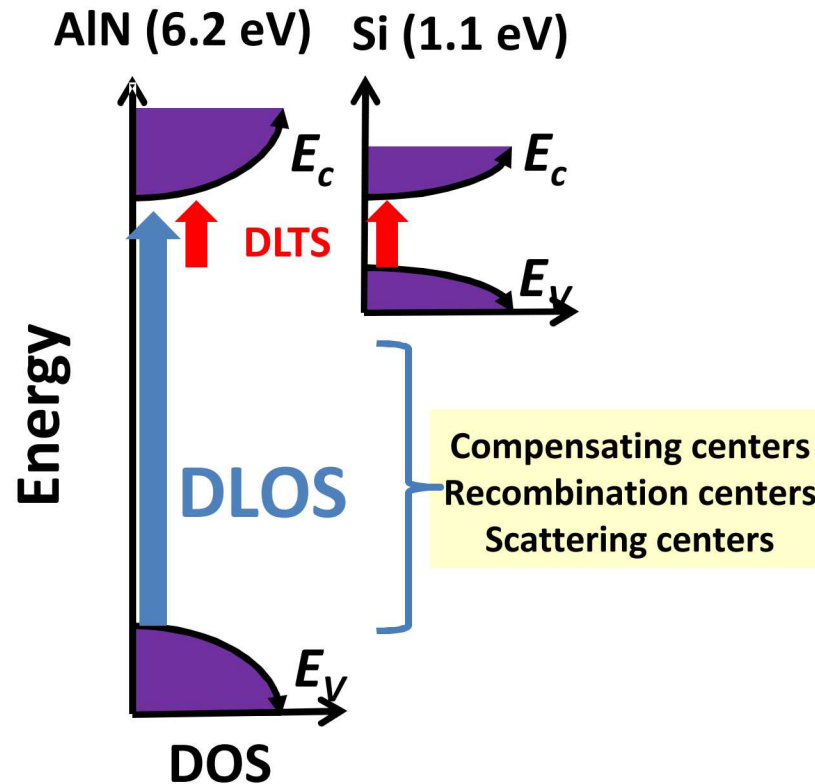
Outline

- Why III-N's for solid-state lighting and power electronics?
- Importance of defects in III-N materials
- **Deep Level Optical Spectroscopy (DLOS)**
- Impact of defects on InGaN/GaN LED performance
- Impact of defects on doping efficacy of Al-rich AlGaN
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Challenges to studying defects in III-Nitrides

Quantitative defect spectroscopy is difficult for wide bandgap semiconductors

- Thermal emission techniques limited to ~ 1 eV of band edge
- Luminescence insensitive to non-radiative centers and not usually quantitative

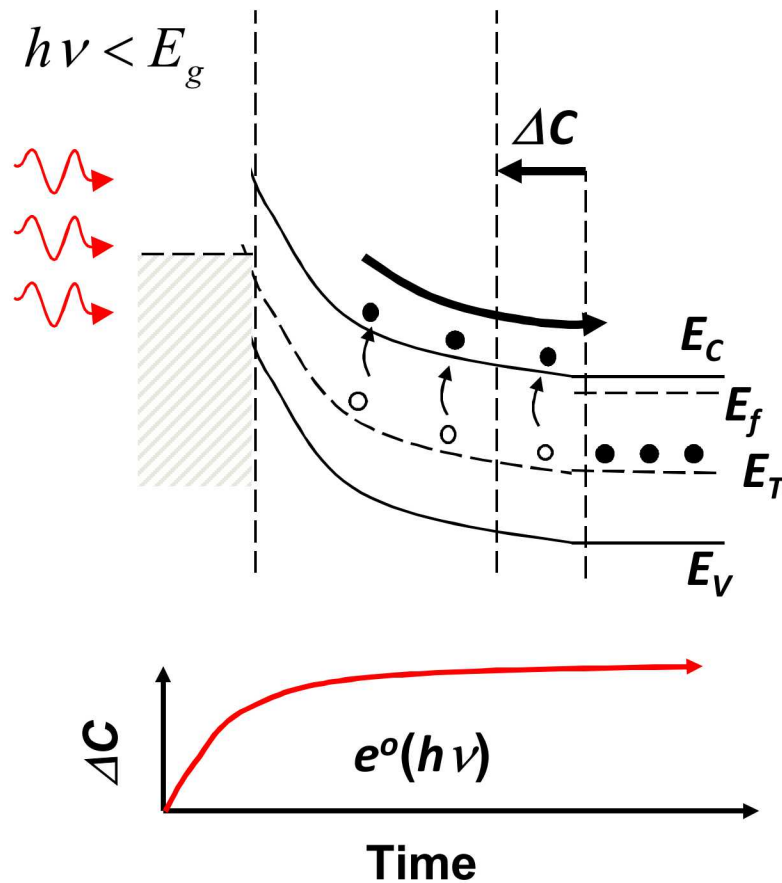


Solution: Deep Level Optical Spectroscopy (DLOS)

Deep Level Optical Spectroscopy

Deep Level Optical Spectroscopy (DLOS)¹

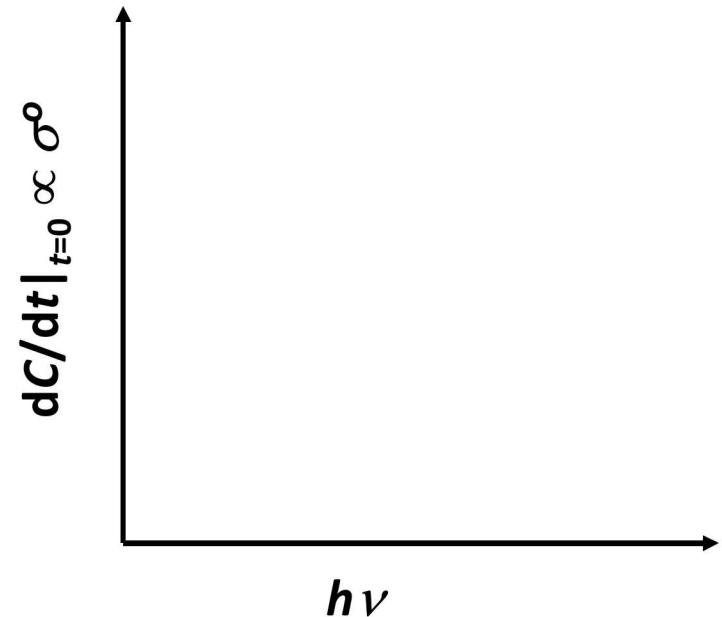
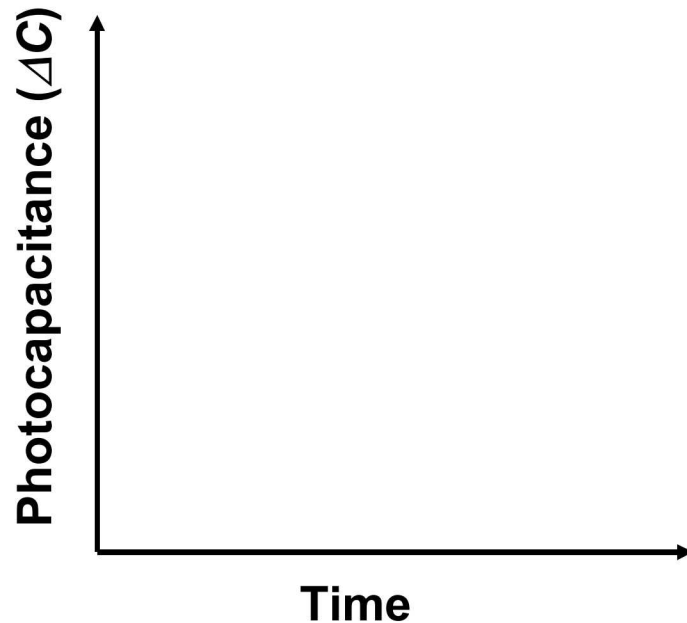
- Electrical measurement of optical absorption by deep level defects
- Photocapacitance technique
- Sub-band gap optical stimulation to photoionize defect levels



Deep Level Optical Spectroscopy

Deep Level Optical Spectroscopy (DLOS)¹

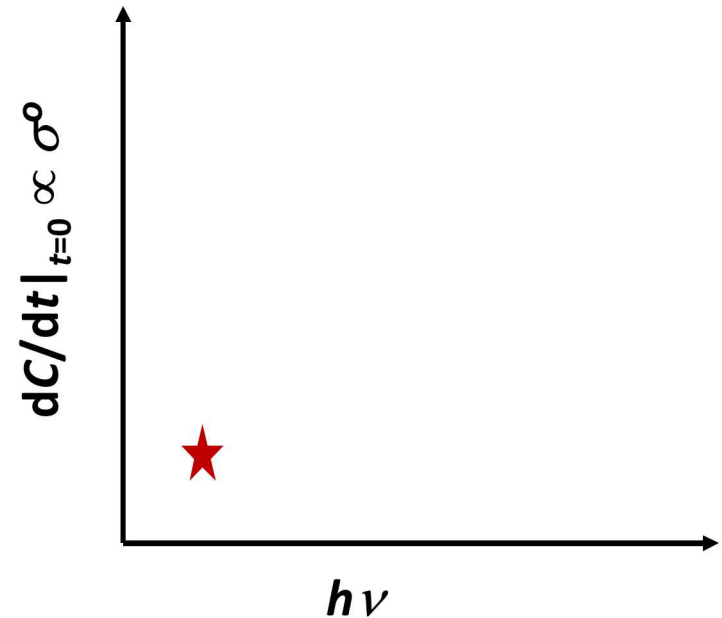
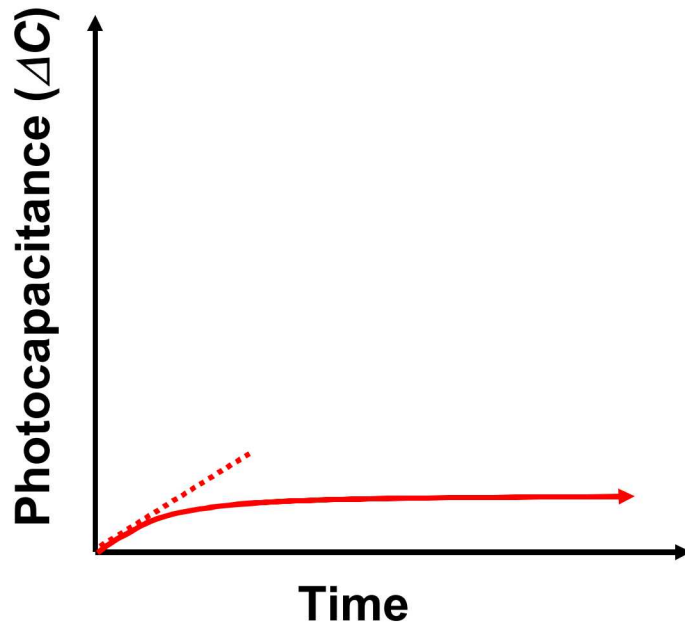
- Deep level defect optical cross-section: $\sigma^o = e^o / \phi = \alpha / N_t$
- $\sigma^o(h\nu) \propto dC(t)/dt|_{t=0}$



Deep Level Optical Spectroscopy

Deep Level Optical Spectroscopy (DLOS)¹

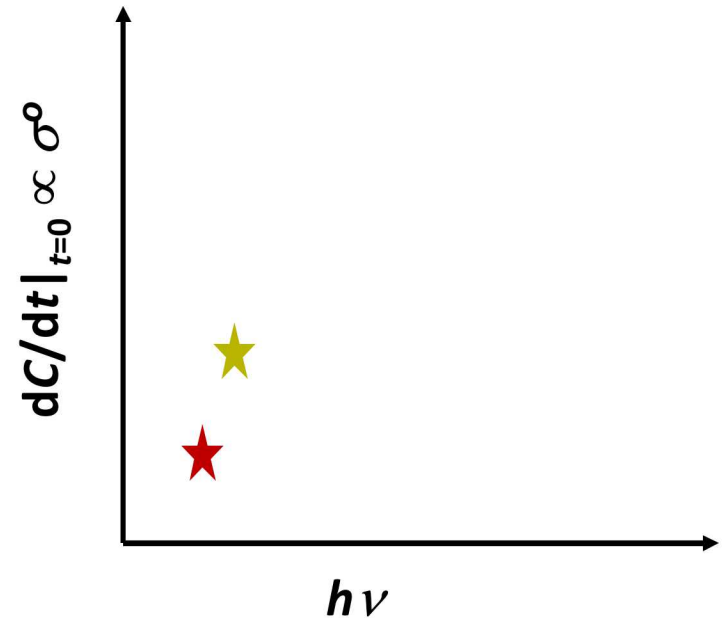
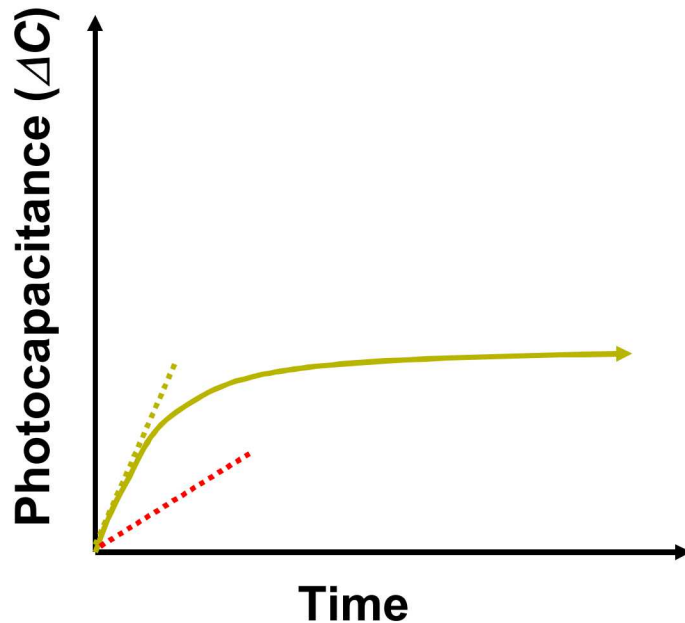
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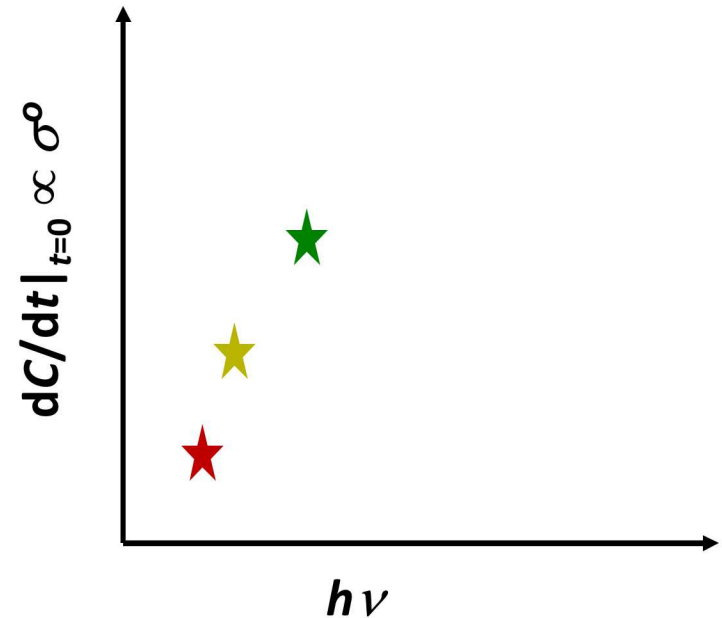
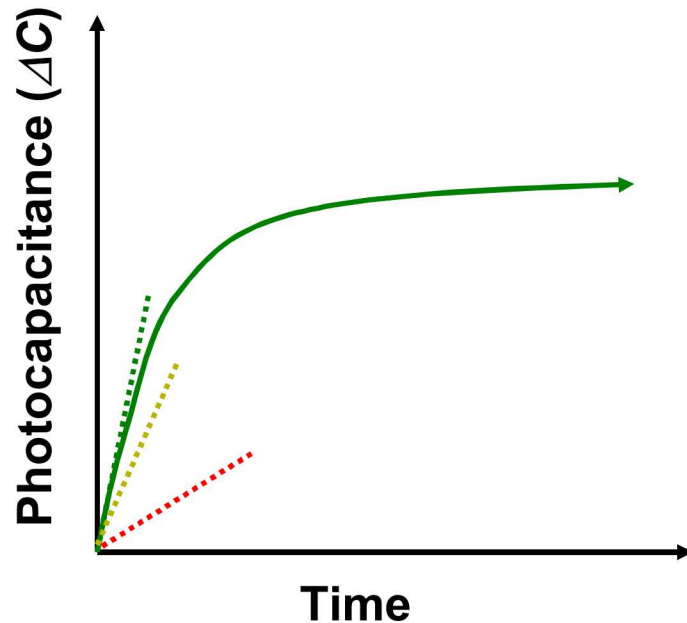
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Deep Level Optical Spectroscopy

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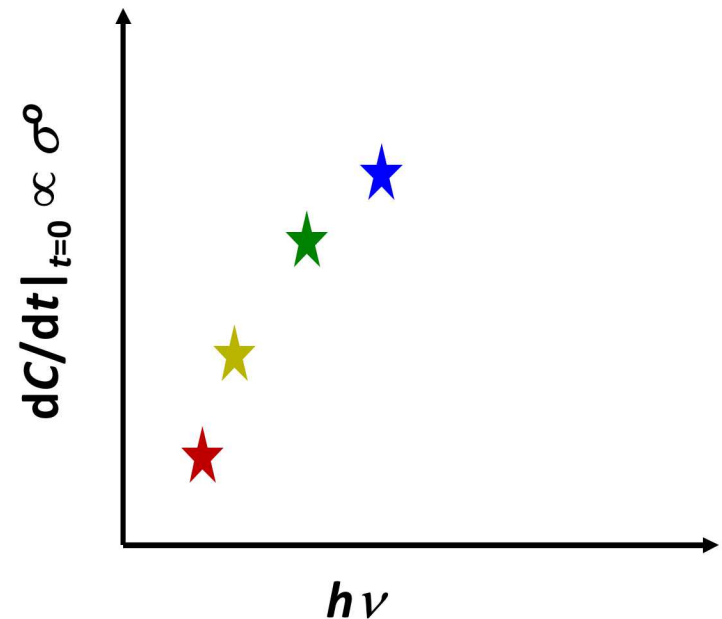
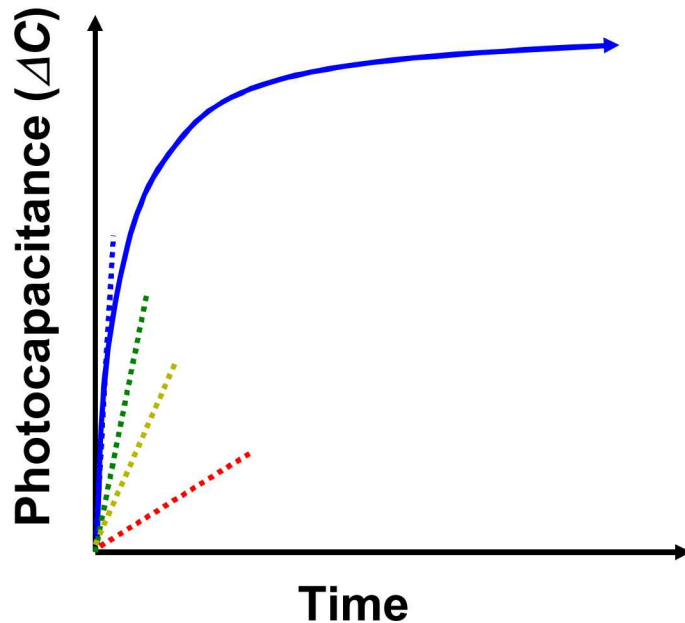
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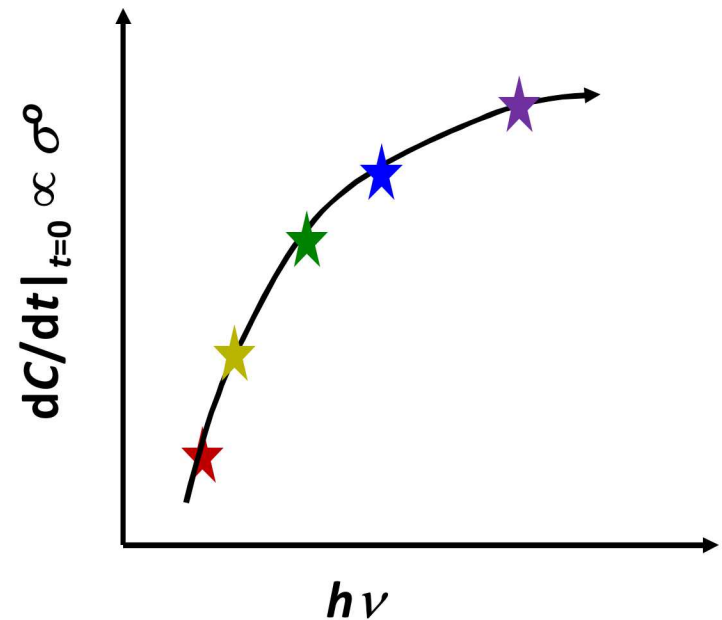
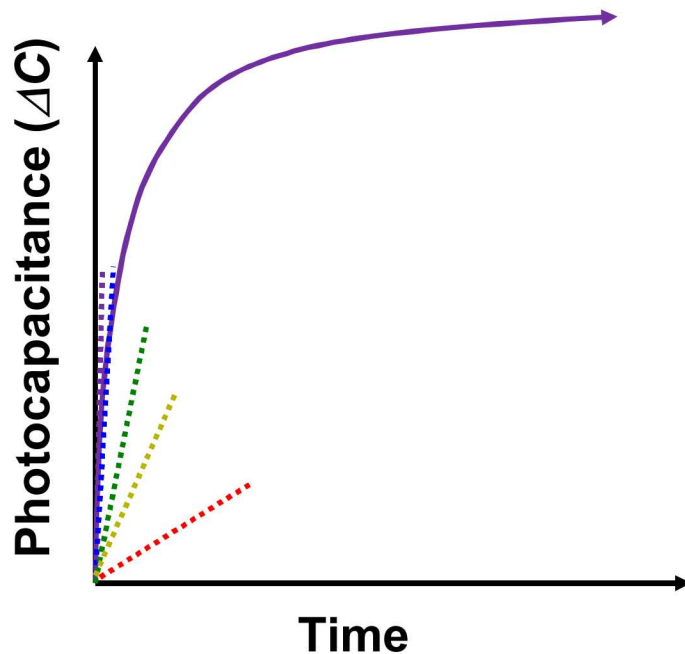
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Deep Level Optical Spectroscopy

Deep Level Optical Spectroscopy (DLOS)¹

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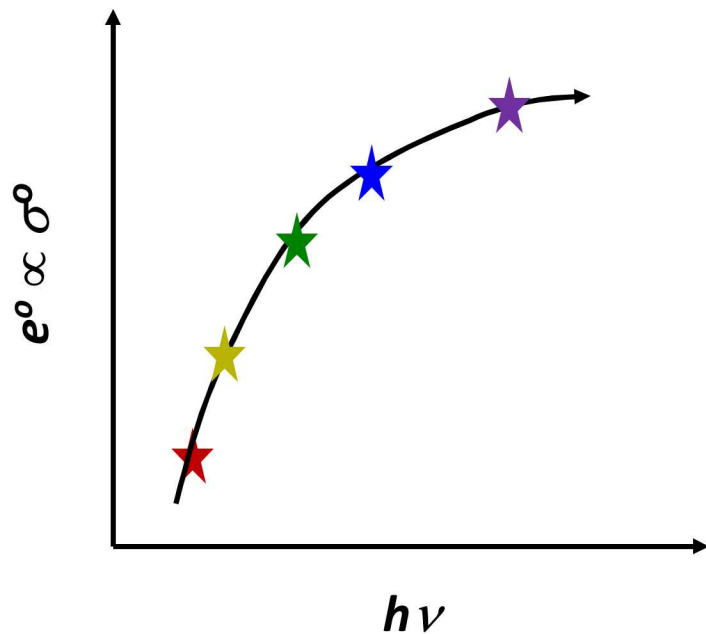


Deep Level Optical Spectroscopy

Deep Level Optical Spectroscopy (DLOS)¹

- Determine deep level energy E_o from lineshape of $\sigma^o(h\nu)$
- Discuss defect density later...

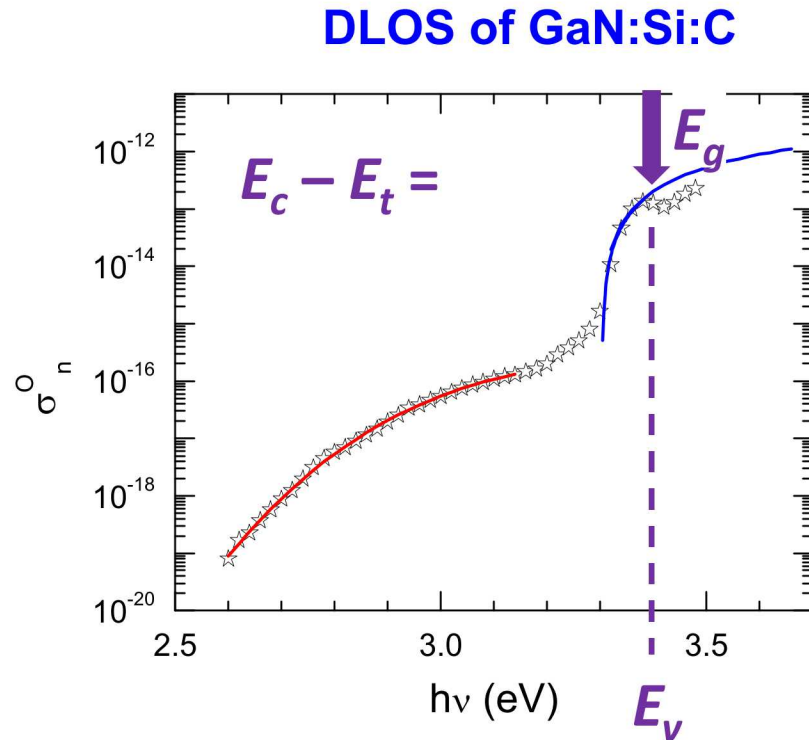
Optical analog of Arrhenius plot



$$\sigma^o(h\nu) \propto \frac{1}{E_o} \left\{ \left(\frac{E_o}{h\nu} \right) \cdot \left(1 - \frac{E_o}{h\nu} \right) \right\}^{3/2}$$

$$\sigma^o(h\nu, T) \propto \frac{E_o^2}{h\nu \sqrt{4k_B T \pi d_{FC}}} \int_1^\infty dx \sqrt{\frac{x-1}{E_o}} \frac{1}{[(x-1)/E_o + m]^2} \times \exp \left[-\frac{E_o^2 (h\nu/E_o - x)^2}{4k_B T d_{FC}} \right], \quad (4)$$

Interpretation of DLOS spectra



Armstrong et al, APL 84, 374 (2004)

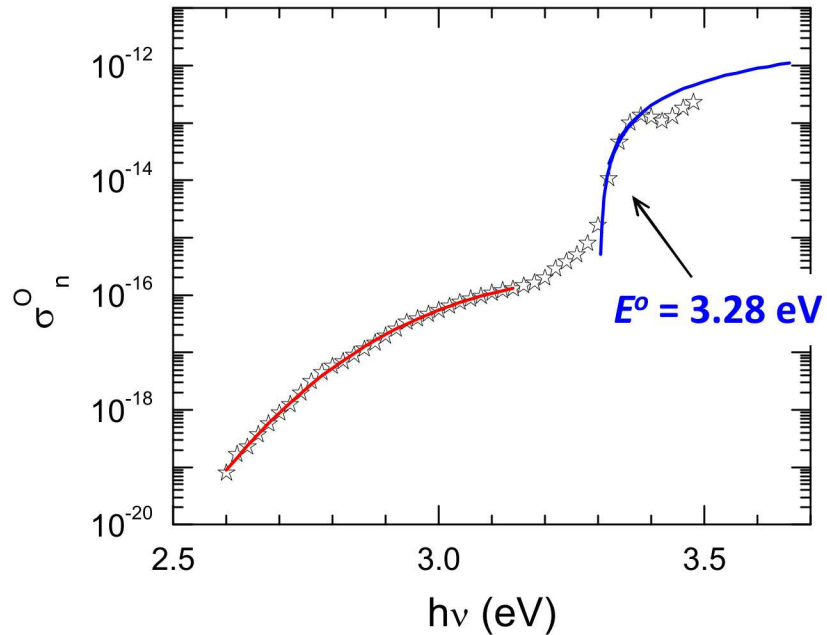
Chantre, et al., PR B 23, 5335 (1981)

Lucovsky, Solid State Commun. 3, 299 (1965)

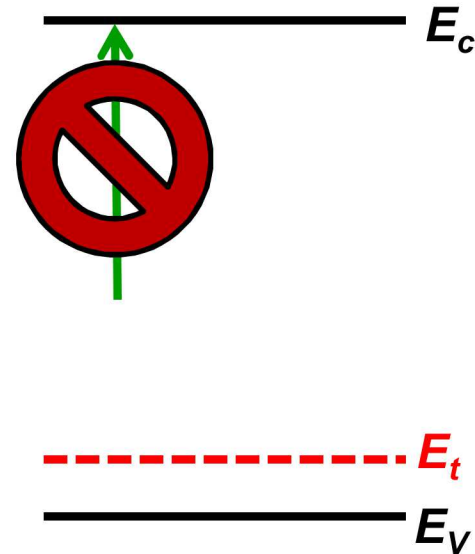
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Interpretation of DLOS spectra

DLOS of GaN:Si:C



Armstrong et al, APL 84, 374 (2004)



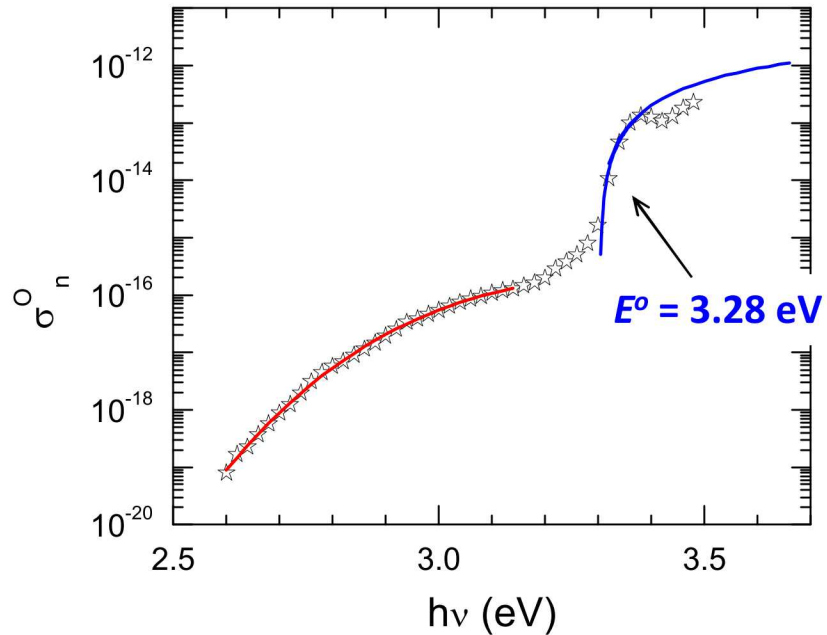
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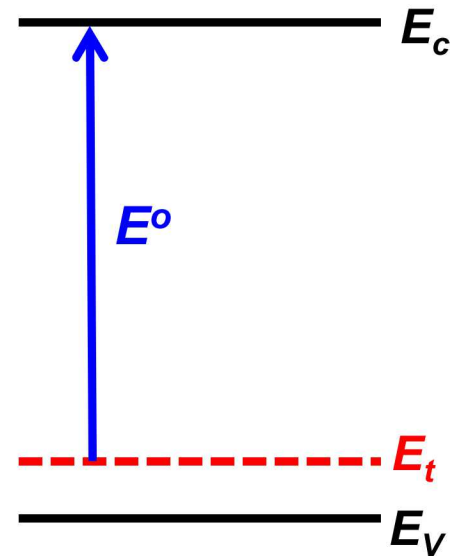
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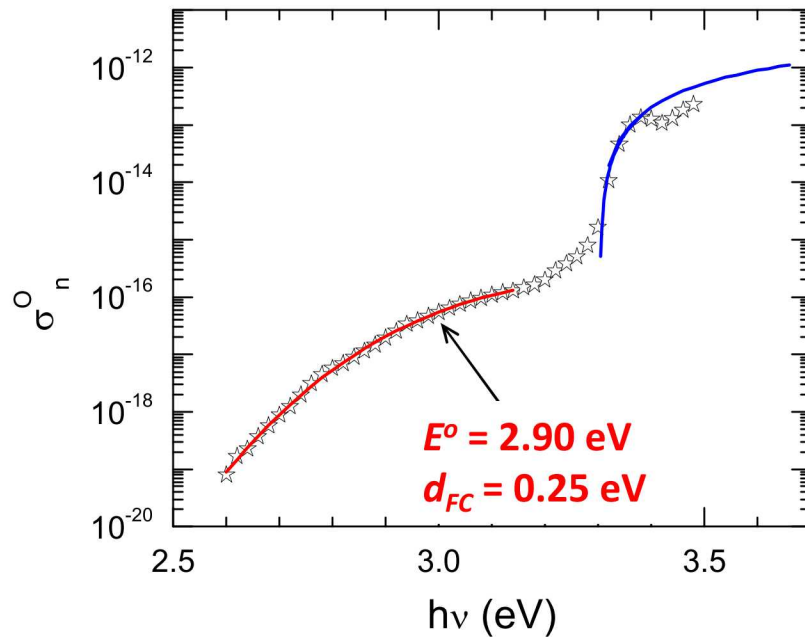
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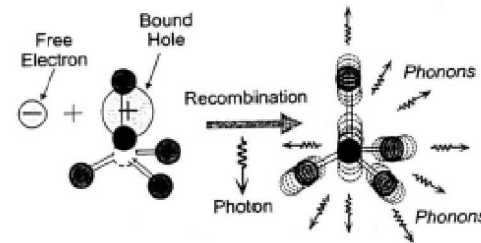
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Interpretation of DLOS spectra

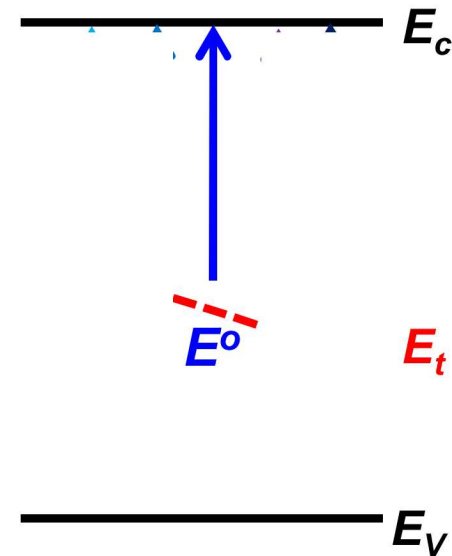
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M. A. Reshchikov JAP 97 061301 2005



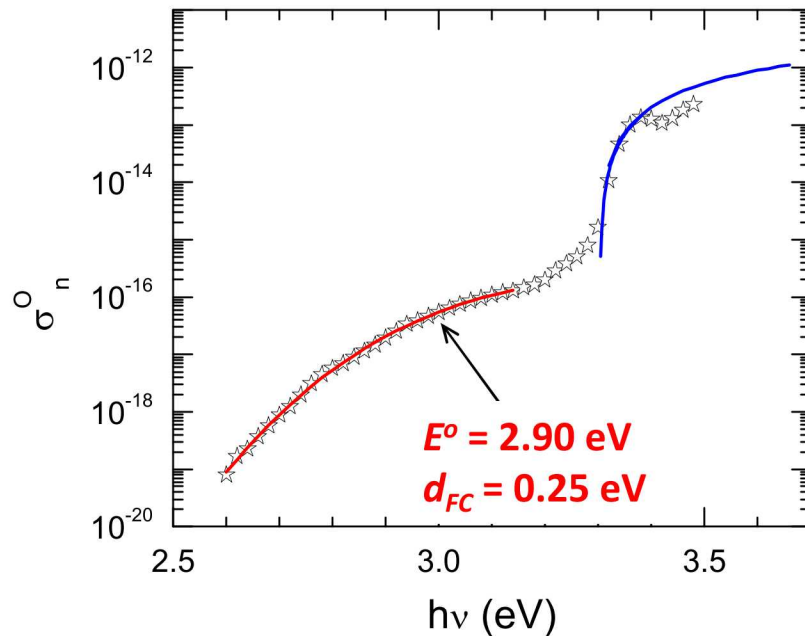
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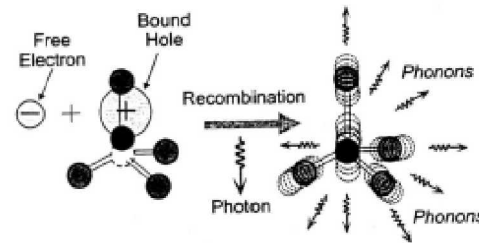
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Interpretation of DLOS spectra

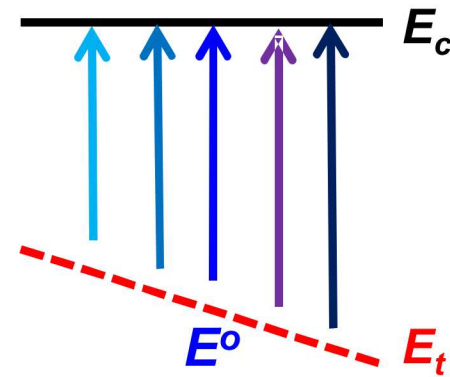
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M. A. Reshchikov JAP 97 061301 2005



Quantify E^0 for sharp and broad defect absorption spectra

Chantre, et al., PRB 23, 5335 (1981)

Lucovsky, Solid State Commun. 3, 299 (1965)

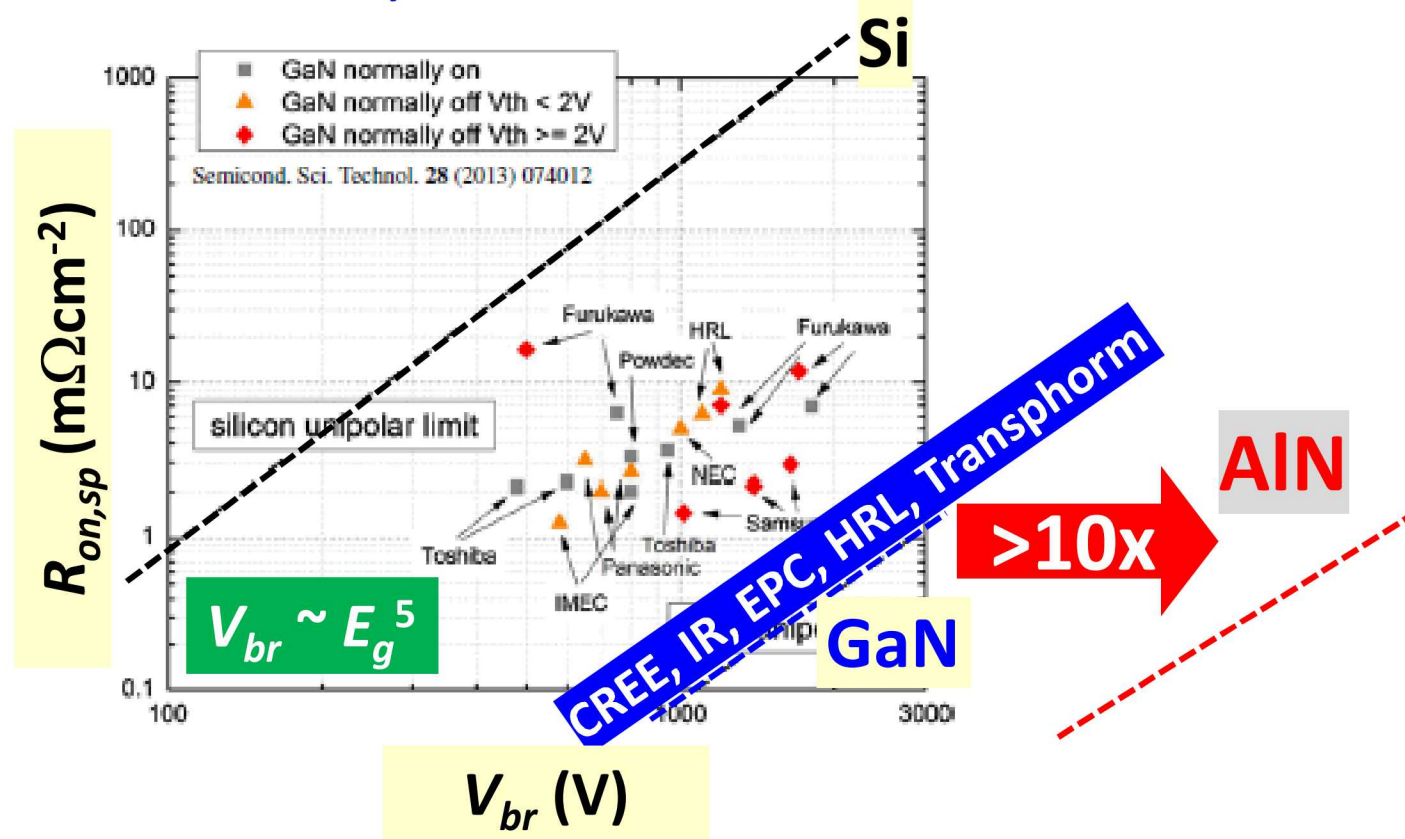
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Ultra-wide band gaps: Next frontier of power electronics

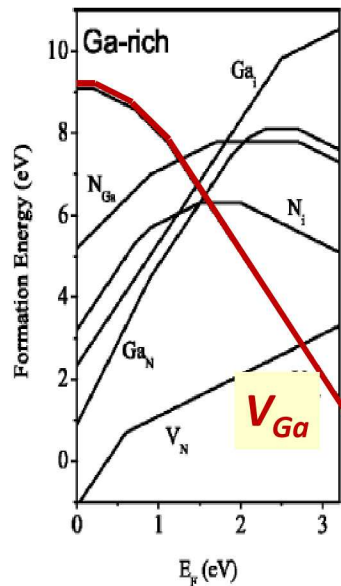
Post-SiC, Post-GaN Power Electronics



- Ultra-wide band gap (UWBG) semiconductors ($E_g > 4$ eV) have potential for dramatic increase in breakdown voltage (V_{br})
- Must understand fundamental relationship between UWBG doping and defects

Theory: UWBGs self-compensate by native defect formation

GaN defect formation



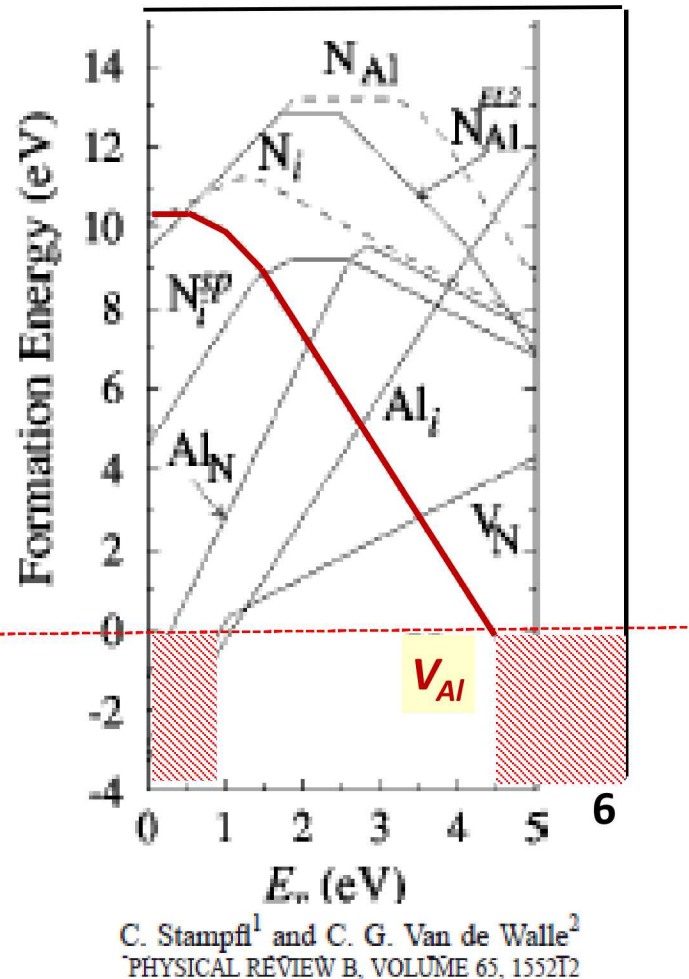
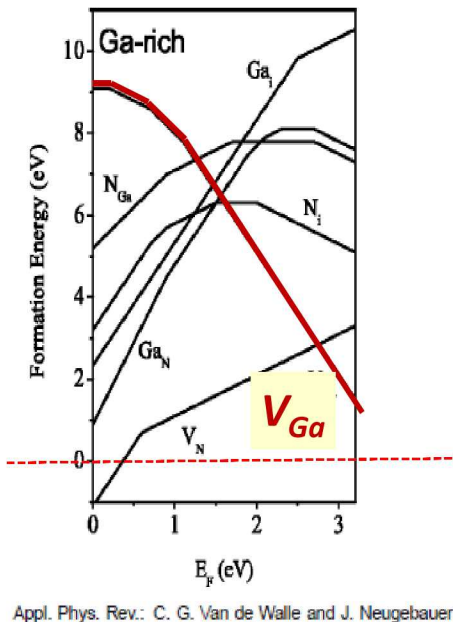
Appl. Phys. Rev.: C. G. Van de Walle and J. Neugebauer

- Compensating defects become more favorable with increasing E_g
- Carrier capture reduces defect formation energy by $\sim E_g$

Theory: UWBGs self-compensate by vacancy formation

AlN defect formation

GaN defect formation

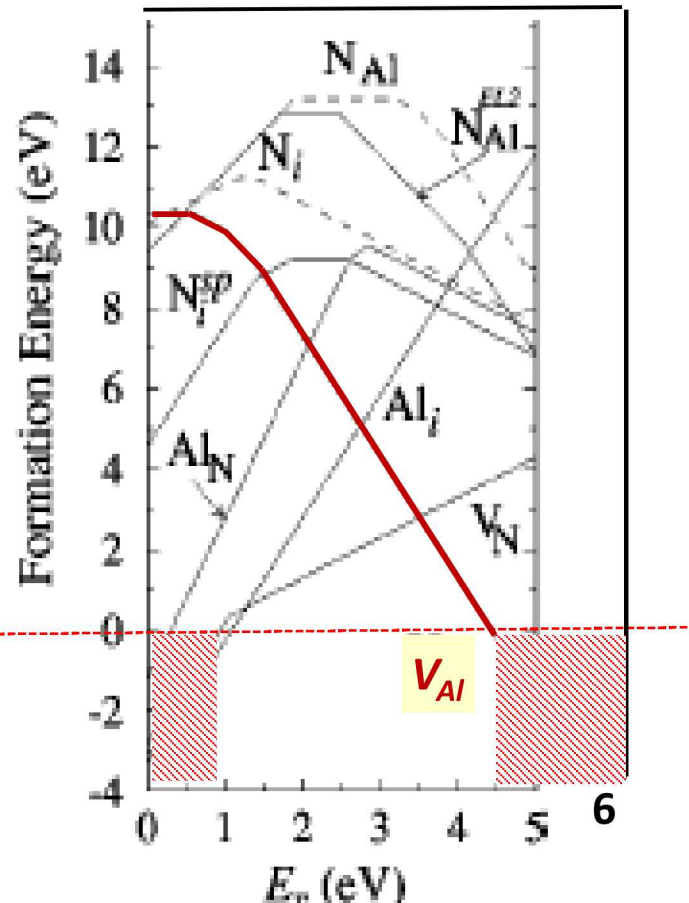
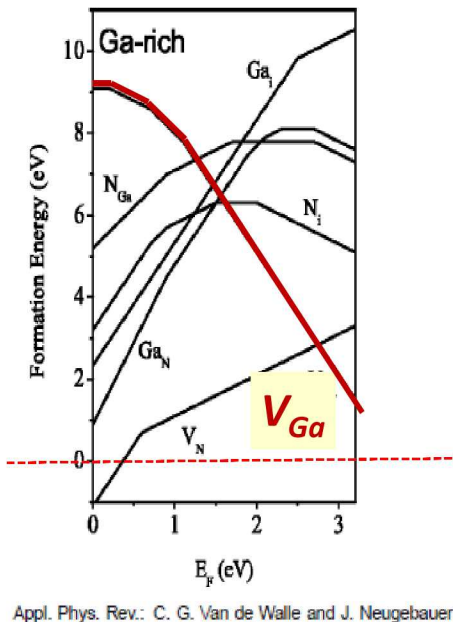


- Vacancy formation energy becomes negligibly small for UWBGs
- Defect formation pins E_f and completely compensates dopants

Theory: UWBGs self-compensate by vacancy formation

AlN defect formation

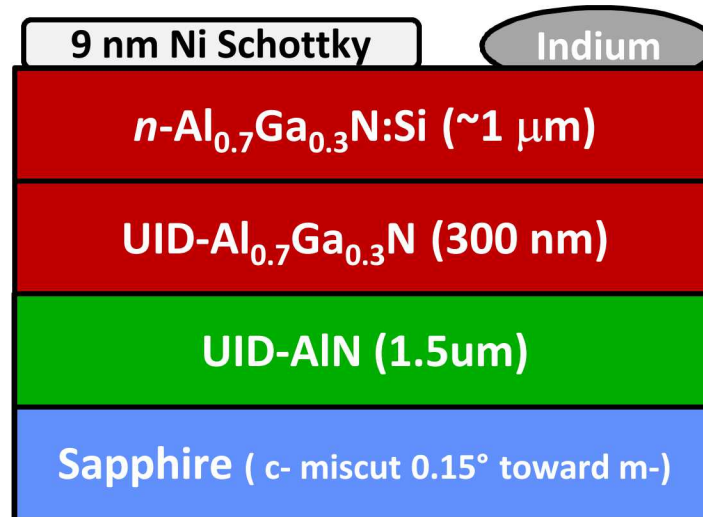
GaN defect formation



C. Stampfl¹ and C. G. Van de Walle²
¹PHYSICAL REVIEW B, VOLUME 65, 1552T2

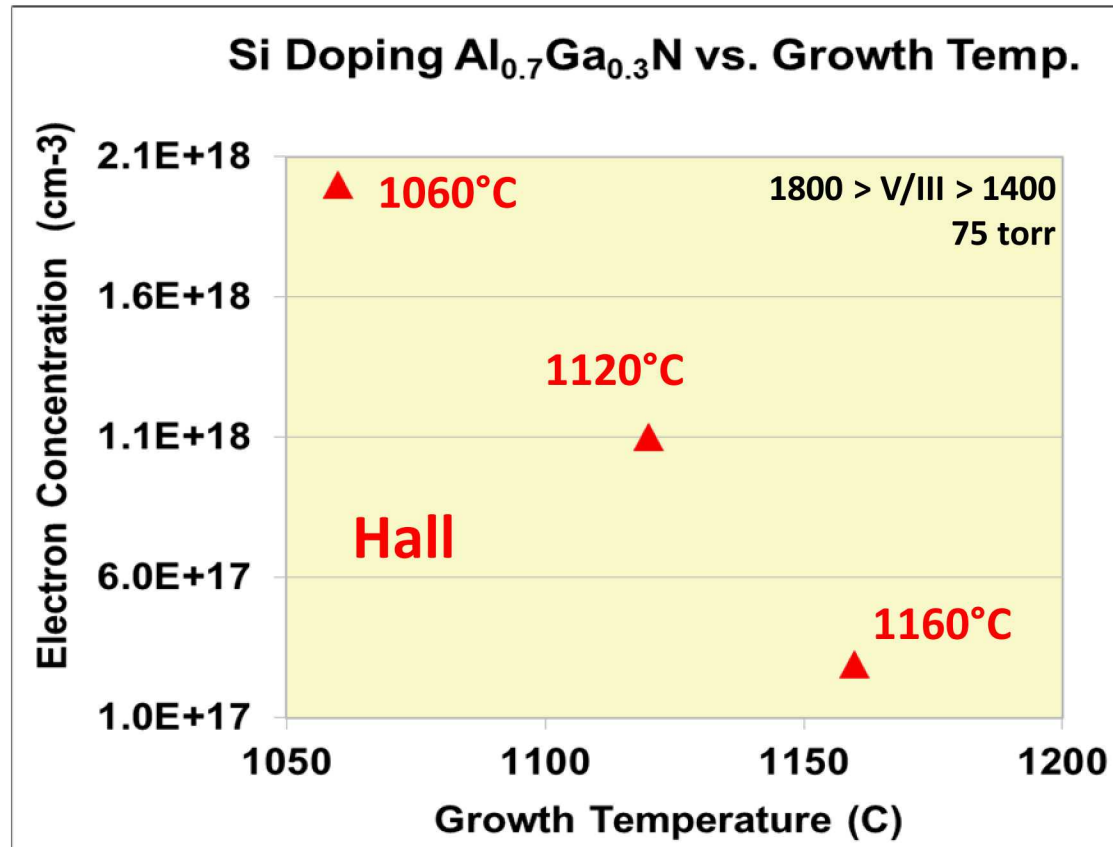
- Do compensating defects limit *n*-type doping in Al-rich AlGaN?
- If so, what are the physical origin of the defects?
- How can they be controlled?

Study interplay of defects and doping in $n\text{-Al}_{0.7}\text{Ga}_{0.3}\text{N}$



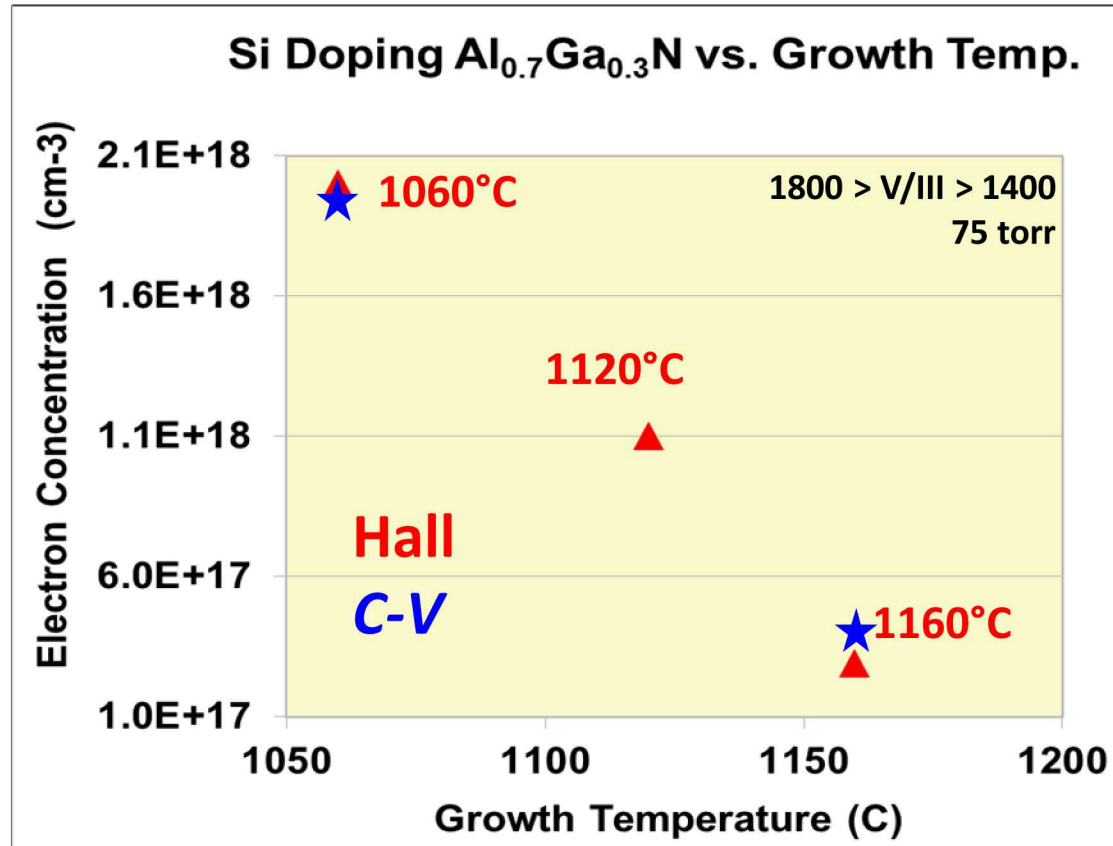
- $\text{Al}_{0.7}\text{Ga}_{0.3}\text{N}$ grown by metal-organic vapor phase epitaxy (MOVPE)
- TDD $\sim 1 - 3 \times 10^9 \text{ cm}^{-2}$
- **Growth temperature (T_g) of 1160 °C or 1060 °C**
- Increased TMGa flux (2x) to maintain $x_{\text{Al}} = 0.7$
- Growth rate ($\mu\text{m/hr}$): 0.25 (1160 °C), 0.38 (1060 °C)

$\text{Al}_{0.7}\text{Ga}_{0.3}\text{N}$ dopant efficacy depends strongly on T_g



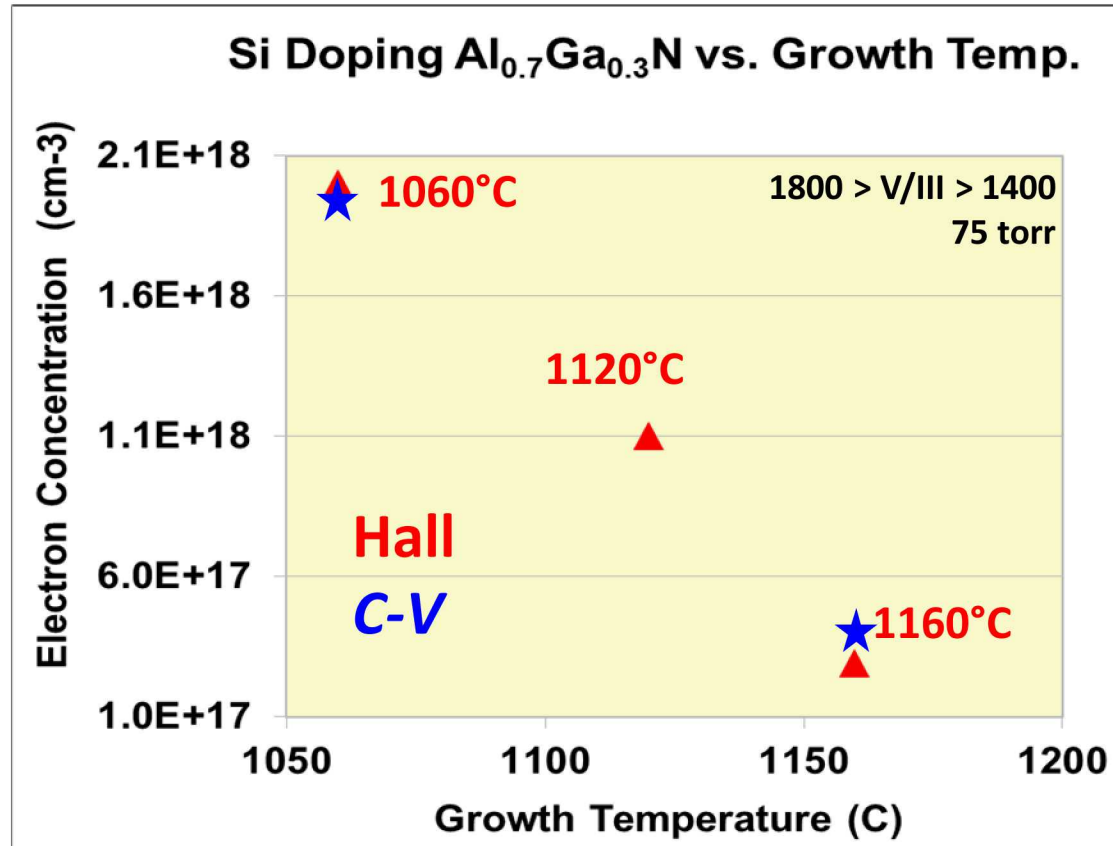
➤ ~4x decrease in free carrier concentration with increasing T_g

$\text{Al}_{0.7}\text{Ga}_{0.3}\text{N}$ dopant efficacy depends strongly on T_g



- Agreement of Hall and C-V confirms Si dopant remains shallow
- Carrier concentration decreases due to compensation by defects

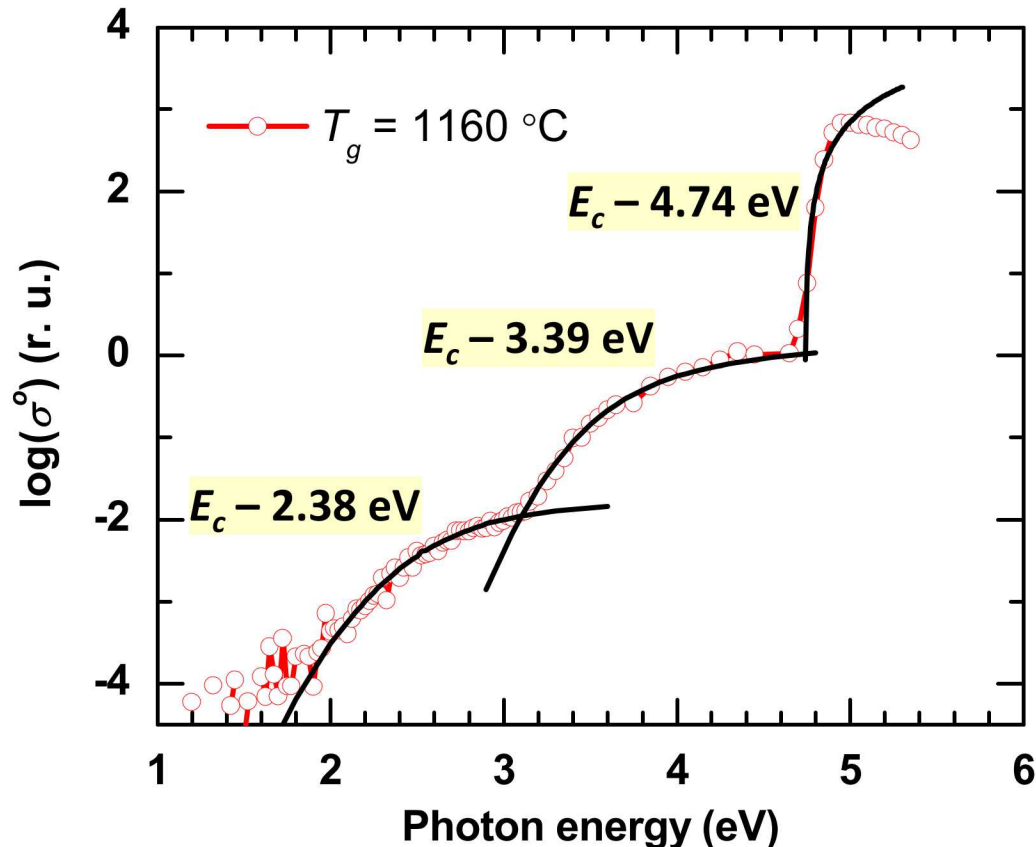
$\text{Al}_{0.7}\text{Ga}_{0.3}\text{N}$ dopant efficacy depends strongly on T_g



- Use deep level optical spectroscopy (DLOS) to understand how T_g mediates defects and doping in $n\text{-Al}_{0.7}\text{Ga}_{0.3}\text{N}$

DLOS identifies $\text{Al}_{0.7}\text{Ga}_{0.3}\text{N}$ deep levels

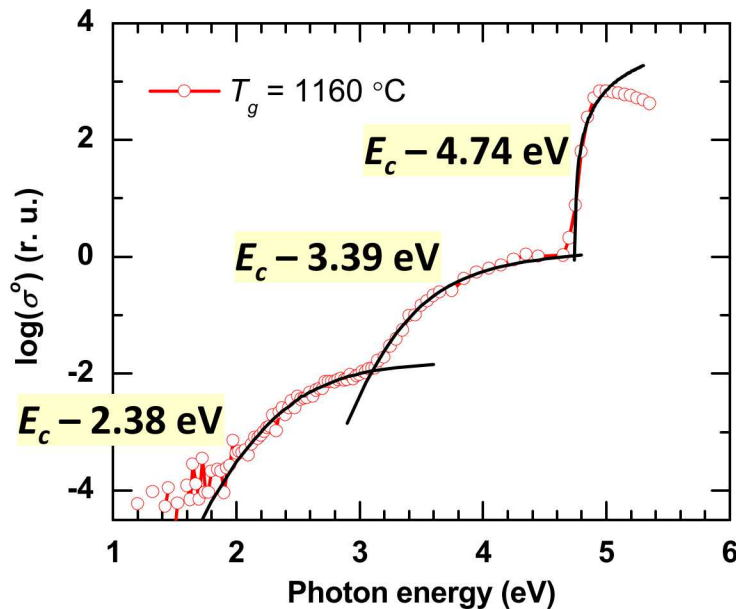
DLOS of $n\text{-Al}_{0.7}\text{Ga}_{0.3}\text{N}$ for $T_g = 1160\text{ }^\circ\text{C}$



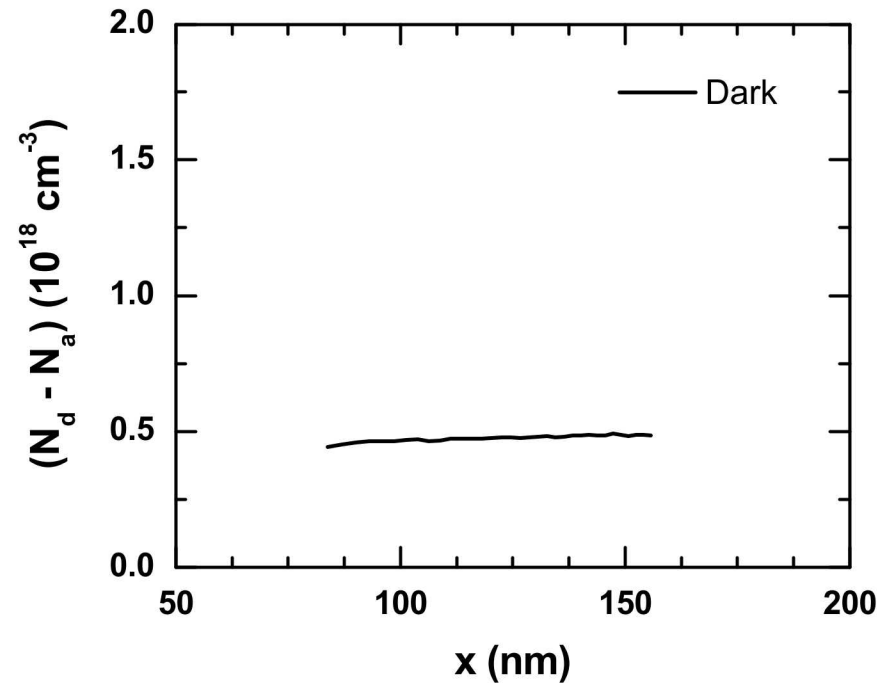
- Observe three deep level defects
- DLOS spectra does not convey defect density information

Lighted Capacitance-Voltage identifies compensators

DLOS of $n\text{-Al}_{0.7}\text{Ga}_{0.3}\text{N}$ for $T_g = 1160\text{ }^\circ\text{C}$



LCV of $n\text{-Al}_{0.7}\text{Ga}_{0.3}\text{N}$ for $T_g = 1160\text{ }^\circ\text{C}$

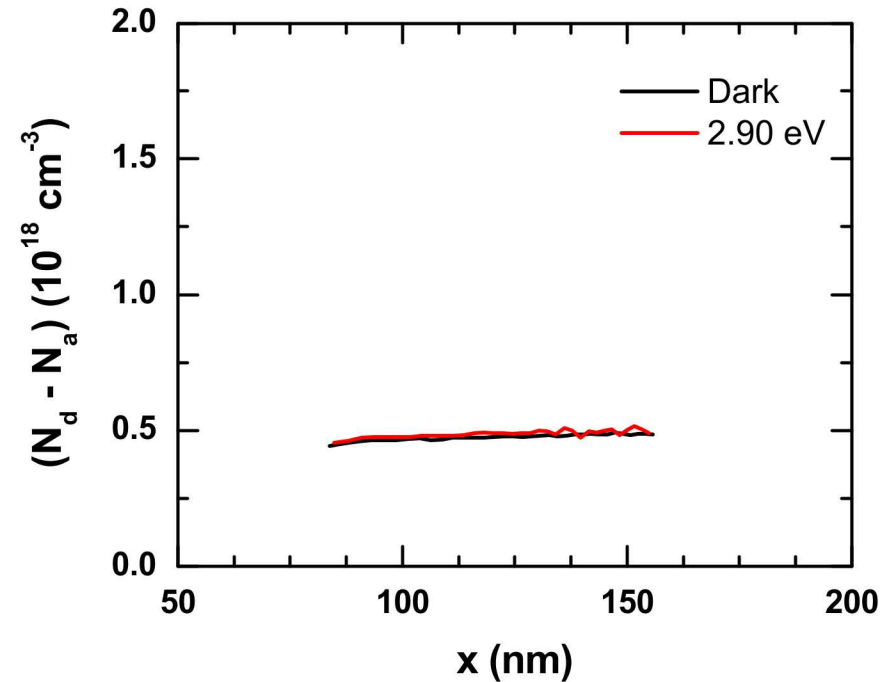
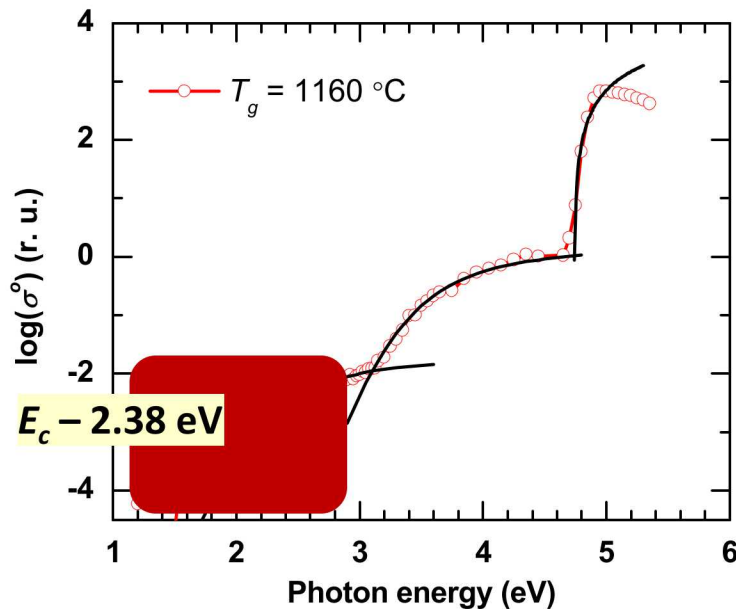


- Lighted Capacitance-Voltage (LCV) measures defect density (N_t)
- $N_t = \Delta(N_d - N_a)$ measured by CV under sub-gap, monochromatic illumination

Lighted Capacitance-Voltage identifies compensators

LCV for VNA4153A

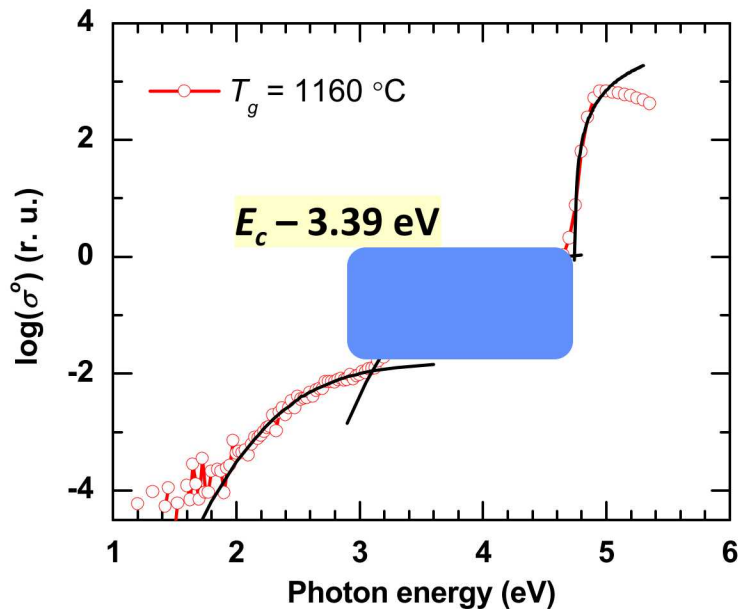
DLOS of $n\text{-Al}_{0.7}\text{Ga}_{0.3}\text{N}$ for $T_g = 1160^\circ\text{C}$



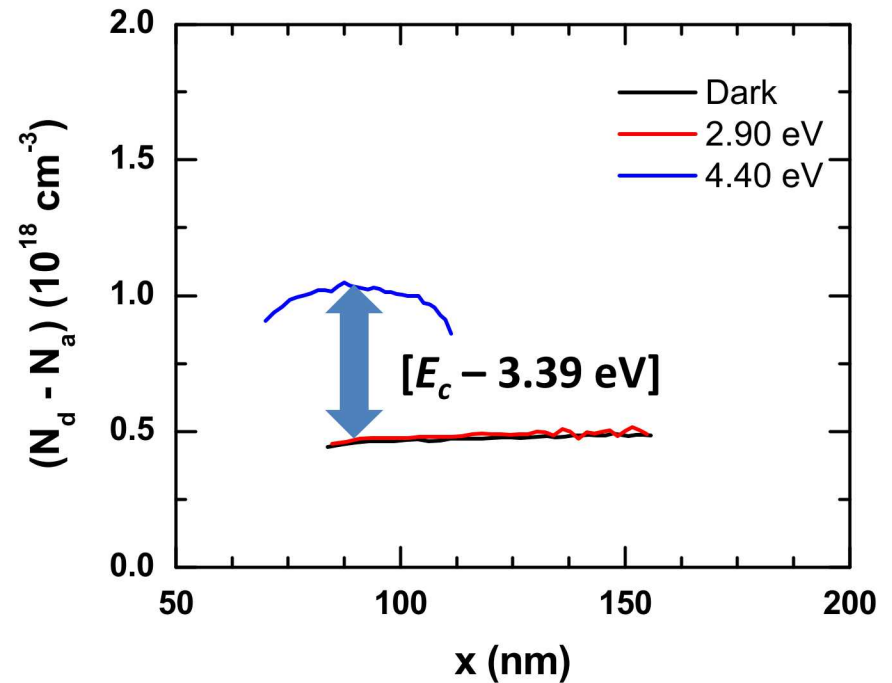
➤ $E_c - 2.38 \text{ eV}$ level is not a strong compensation center

Lighted Capacitance-Voltage identifies compensators

DLOS of $n\text{-Al}_{0.7}\text{Ga}_{0.3}\text{N}$ for $T_g = 1160\text{ }^\circ\text{C}$



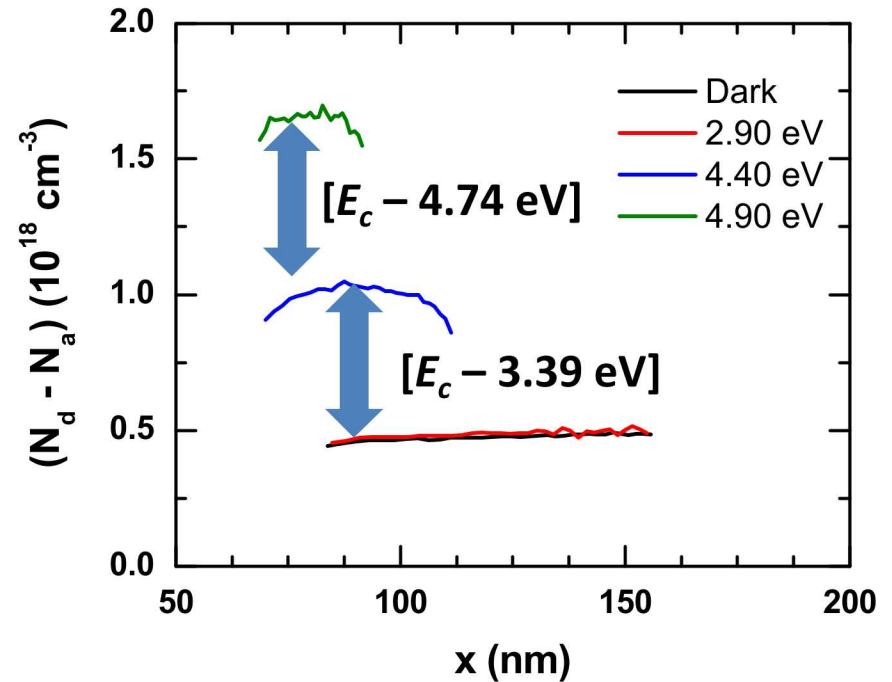
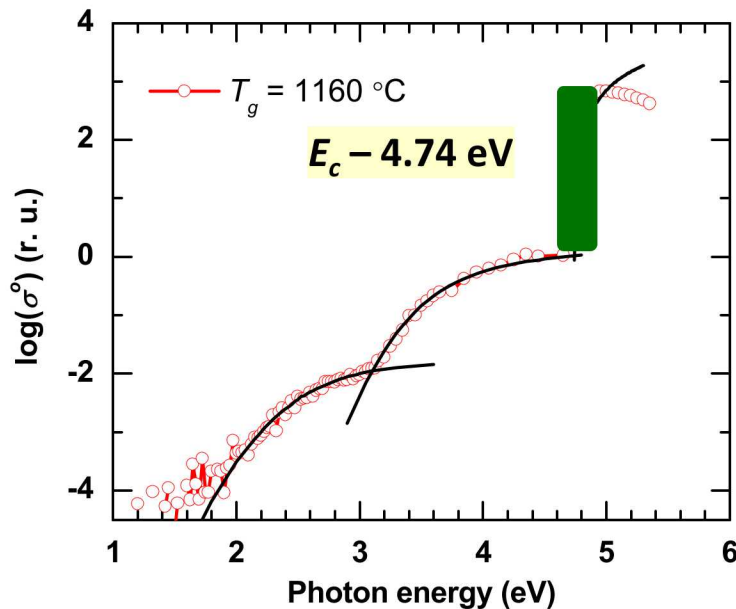
LCV for VNA4153A



Lighted Capacitance-Voltage identifies compensators

LCV for VNA4153A

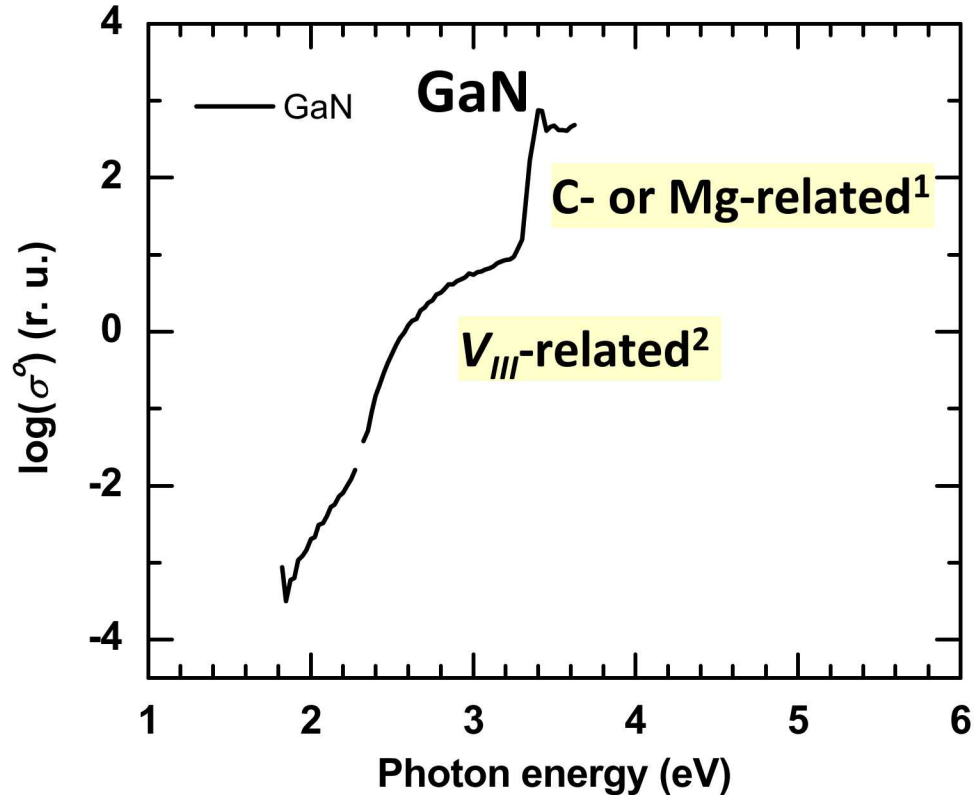
DLOS of $n\text{-Al}_{0.7}\text{Ga}_{0.3}\text{N}$ for $T_g = 1160^\circ\text{C}$



➤ $E_c - 3.39 \text{ eV}$ and $E_c - 4.74 \text{ eV}$ defects are dominate compensating centers

Identify physical origin from DLOS alloying study

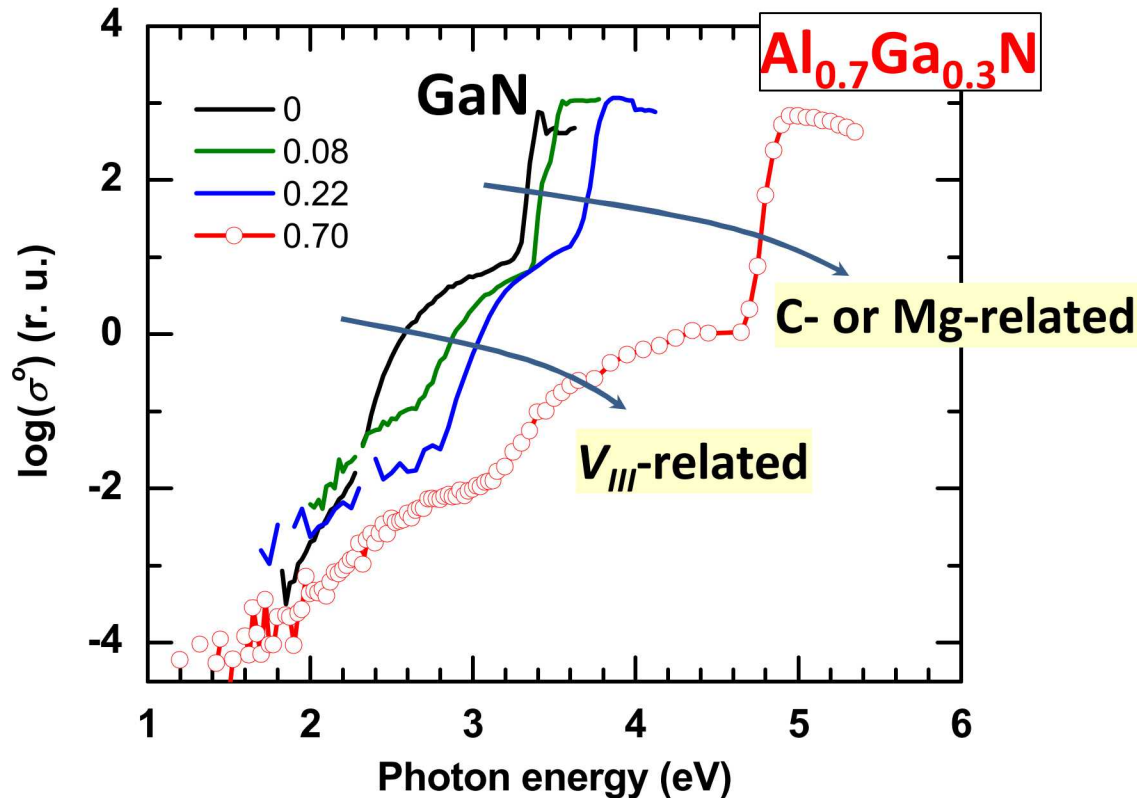
DLOS of (Al)GaN vs. Al mole fraction



1. Hierro et al. APL 76 3064 2000
2. Hierro et al. APL 77 1499 2000

Identify physical origin from DLOS alloying study

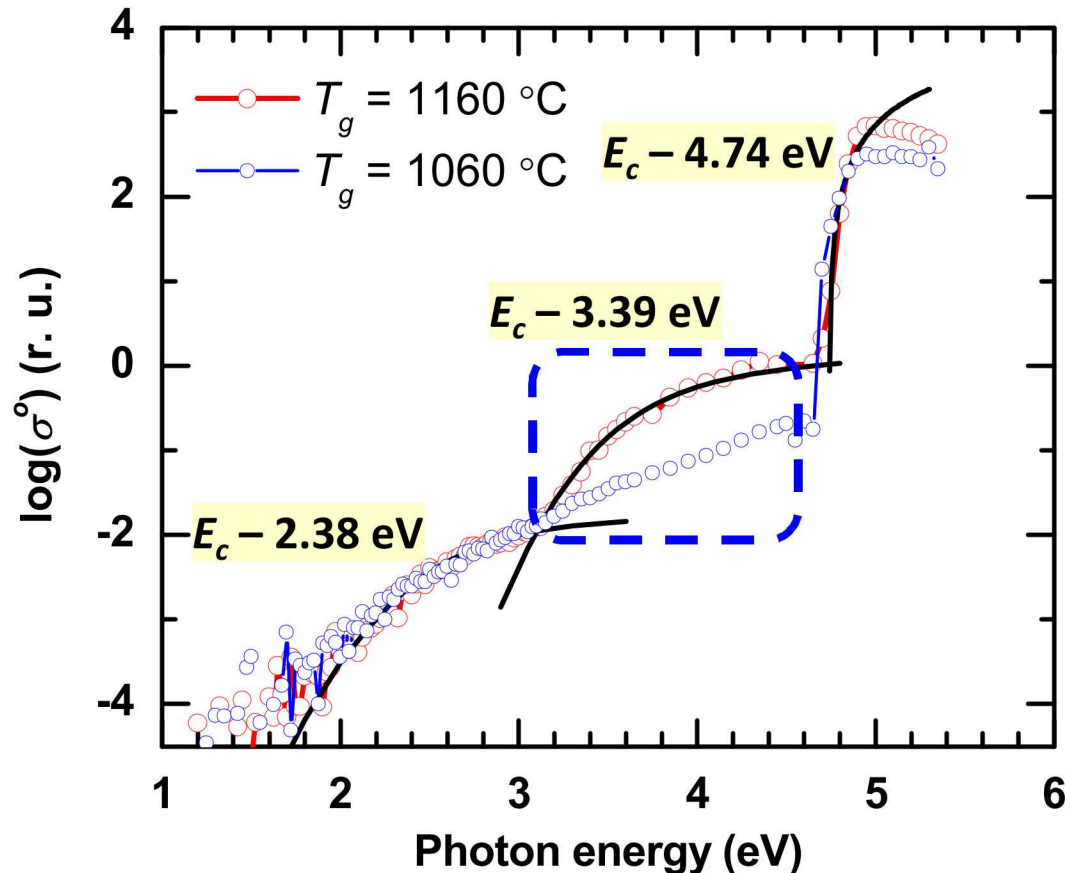
DLOS of (Al)GaN vs. Al mole fraction



- Deep level evolution with alloying suggests similar defect origins
- Attribute $E_c - 4.74$ eV level to C or Mg impurities
- Attribute $E_c - 3.39$ eV level to V_{III} -related defect

Lower $\text{Al}_{0.7}\text{Ga}_{0.3}\text{N}$ T_g suppresses defect formation

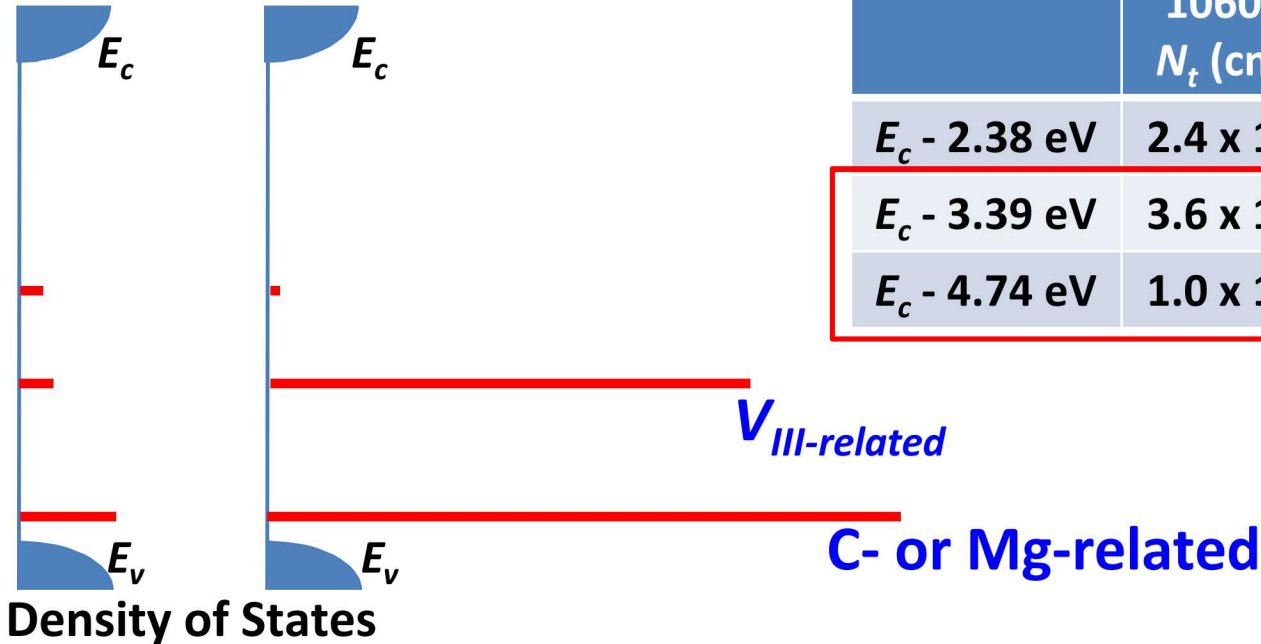
$n\text{-Al}_{0.7}\text{Ga}_{0.3}\text{N}$ DLOS spectra vs. T_g



- V_{III} -related $E_c - 3.39\text{ eV}$ deep level defect weakly evident for $T_g = 1060^\circ\text{C}$
- Qualitative evidence for reduced defect density

Lower $\text{Al}_{0.7}\text{Ga}_{0.3}\text{N}$ T_g suppresses defect formation

$T_g = 1060\text{ }^\circ\text{C}$ $T_g = 1160\text{ }^\circ\text{C}$



	1060 °C N_t (cm ⁻³)	1160 °C N_t (cm ⁻³)	ΔN_t
$E_c - 2.38\text{ eV}$	2.4×10^{16}	1×10^{16}	0.4x
$E_c - 3.39\text{ eV}$	3.6×10^{16}	5.1×10^{17}	14x
$E_c - 4.74\text{ eV}$	1.0×10^{17}	6.6×10^{17}	6.6x

- Net 10x reduction in V_{III} and impurity defects with lower T_g → **Unexpected**
- Lower T_g may inhibit the very strong thermodynamic drive to form compensating point defects in UWBGs
- Complex tradespace among structural and electrical quality general to UWBG film epitaxy not encountered in conventional semiconductors