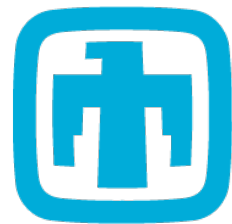


Localized and Randomized Algorithms for Electronic Structure

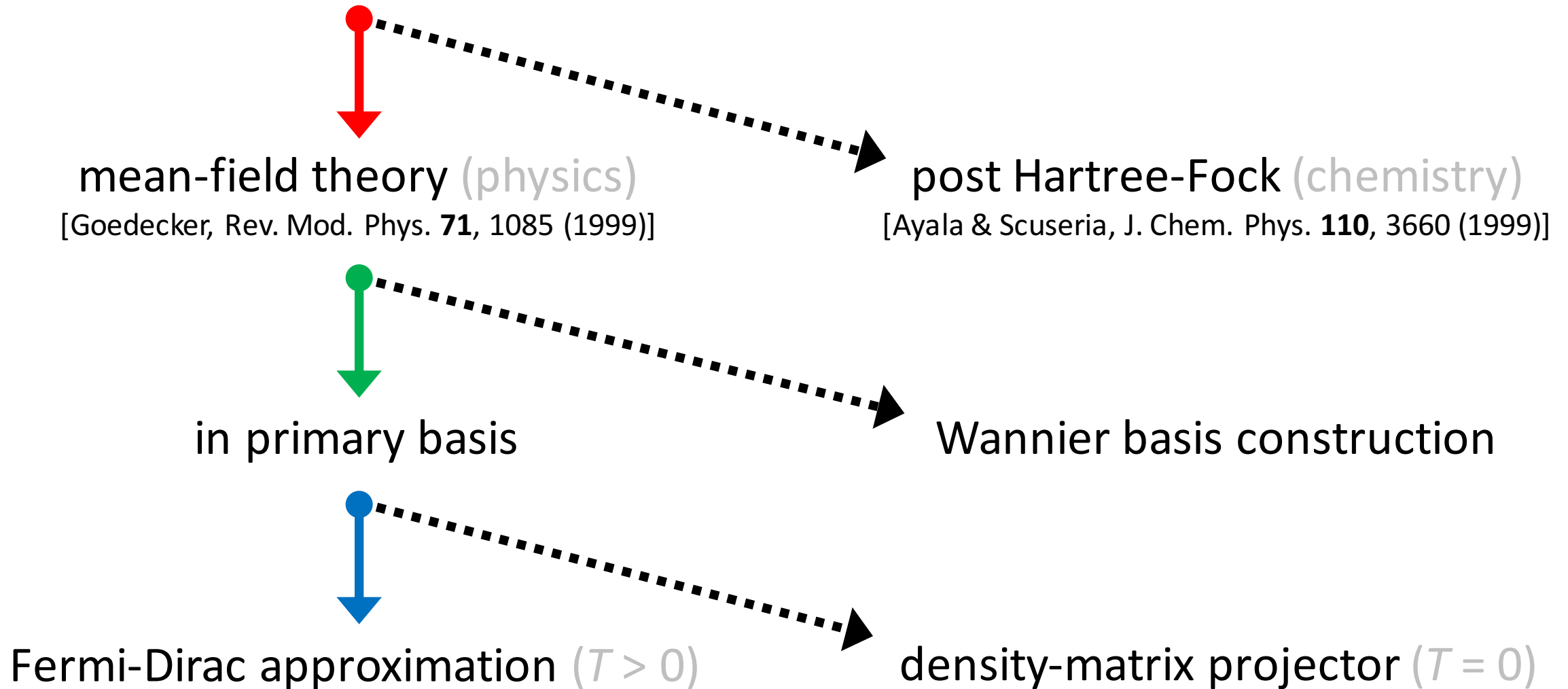
Jonathan Moussa & Andrew Baczewski



Sandia National Laboratories



Linear-scaling electronic structure landscape



Finite-temperature methods

$$\langle X \rangle = \text{tr}[X f(H)]$$

function approximation

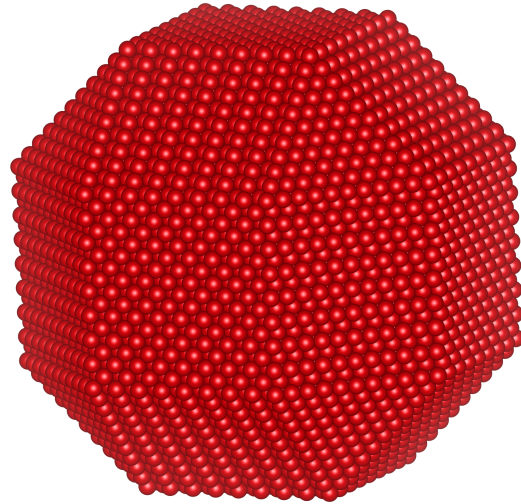
- polynomial: $f(x) \approx \sum_{i=1}^m c_i x^{i-1}$
- rational: $f(x) \approx \sum_{i=1}^m w_i (x - z_i)^{-1}$
[Moussa, JCP **145**, 164108 (2016)]
- telescoping
- recursive

trace approximation

- exact: rational + selected inversion (PEXSI)
[Lin *et al.*, TOMS **37**, 40 (2011)]
- localized: sparse $F \approx f(H)$
- randomized: $\text{tr}[X f(H)] \approx \frac{1}{s} \sum_{i=1}^s y_i^\dagger X f(H) y_i$
- hybrid: $\text{tr}[X F] + \frac{1}{s} \sum_{i=1}^s y_i^\dagger X [f(H) - F] y_i$
or colored rotors [Wang *et al.*, arXiv:1711.10570 (2017)]
or embedded fragments [Arnon *et al.*, JCP **146**, 224111 (2017)]

Test problem

system:



copper clusters (unrelaxed)

@ $T = 0.03$ eV



ambient

⋮

$T = 3.00$ eV



warm dense matter

model:

NRL tight binding w/ *spd* basis (9 orbitals per atom)
[Mehl & Papaconstantopoulos, PRB **54**, 4519 (1996)]

accuracy:

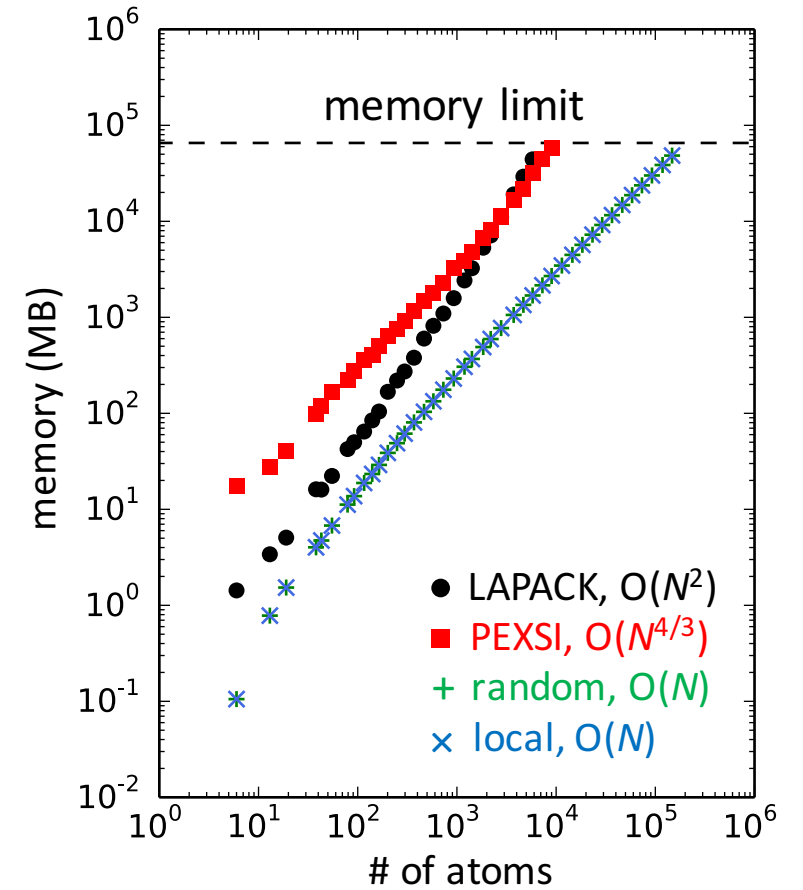
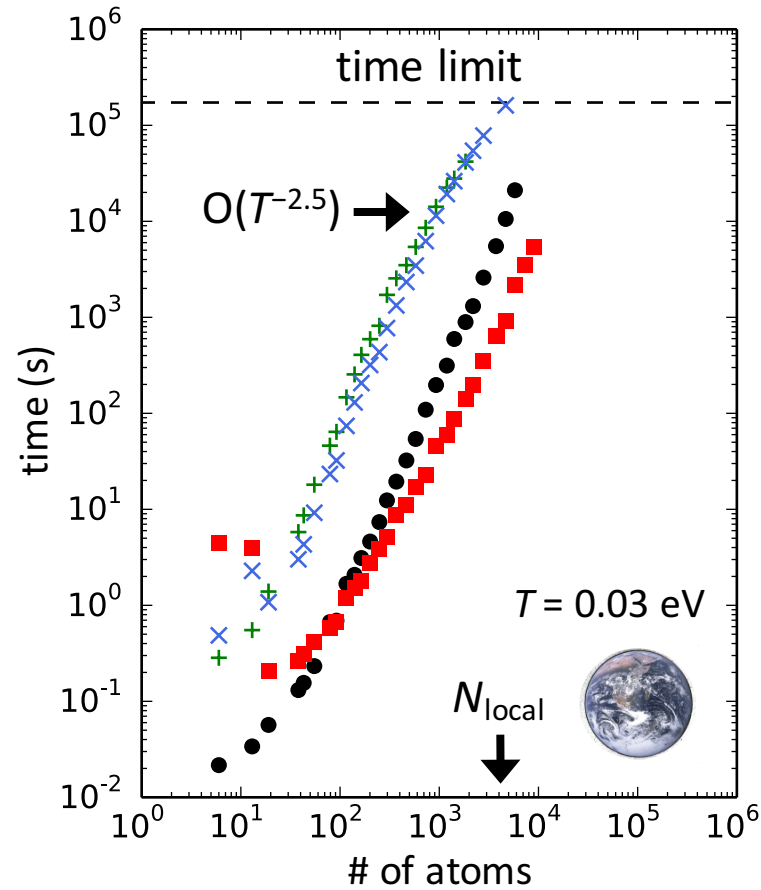
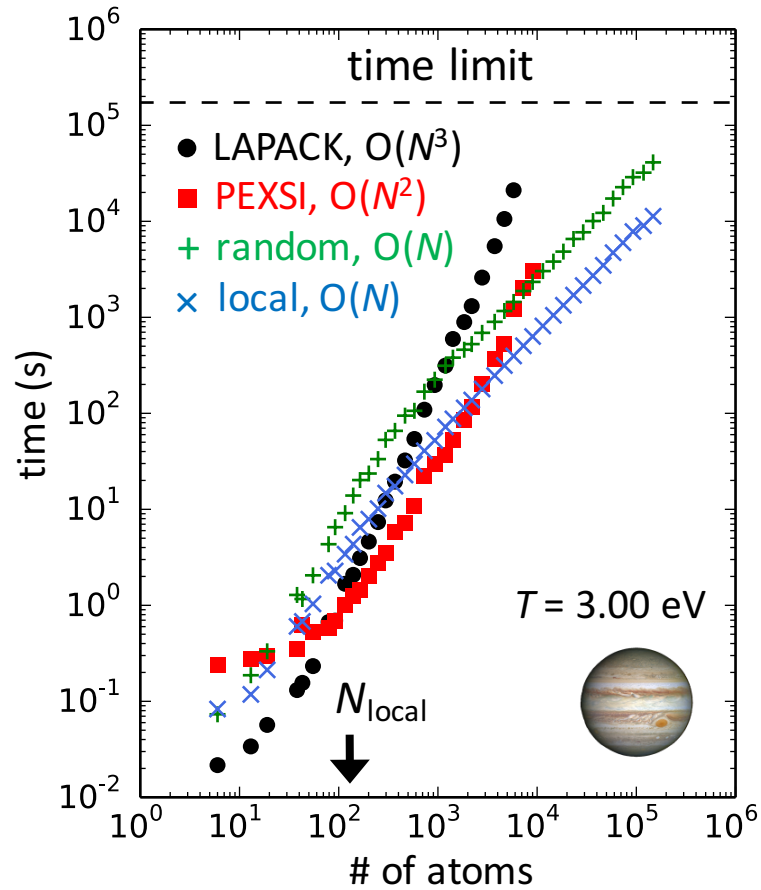
number
0.01 #/atom

energy
0.01 eV/atom

force
0.01 eV/Å

stress
0.1 GPa

Benchmarks

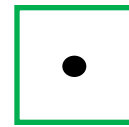


@ 1 node, 16 cores, 0.35 Tflop/s, 64 GB memory (w/ OpenMP)

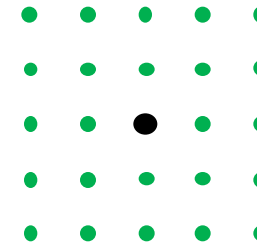
Lessons learned (little change since 1999)

- Useful **preconditioner** needed for rational approximation

- **Hybridization failure:**
Poisson equation example



local errors

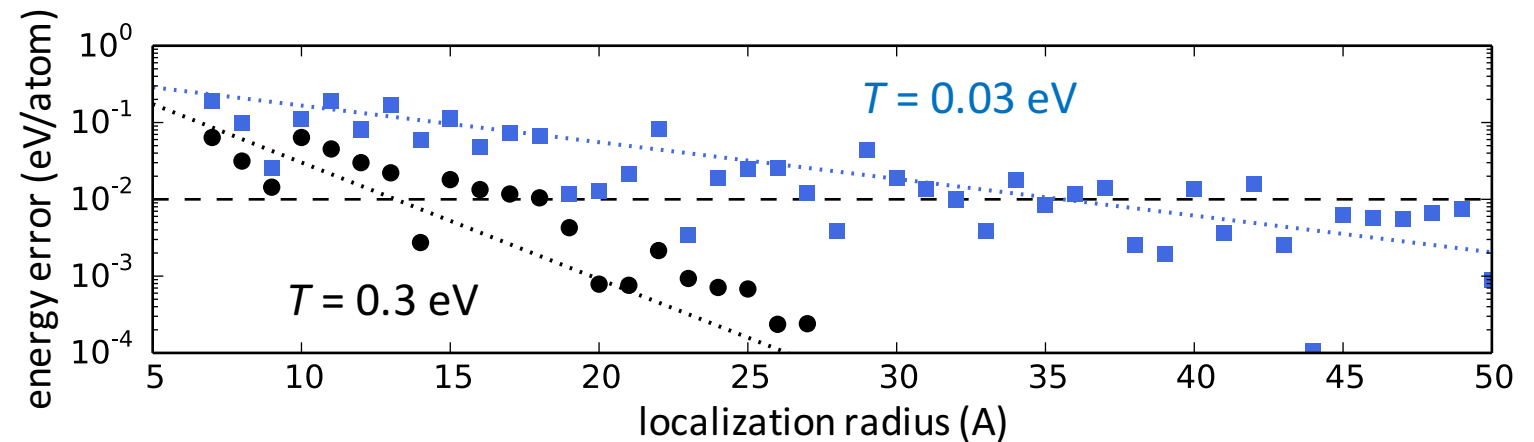


local+random errors

image charge
error analysis

- **Weak localization:**

$$\epsilon \propto \exp(-\sqrt{T}R_{loc})$$



Localization self-energy

Truncation:

independent columns

$$\begin{bmatrix} H_{\text{loc}} & \cancel{H_{\text{int}}^\dagger} \\ \cancel{H_{\text{int}}} & H_{\text{env}} \end{bmatrix} \begin{bmatrix} g_{\text{loc}} \\ \cancel{g_{\text{env}}} \end{bmatrix} = \begin{bmatrix} e \\ 0 \end{bmatrix}$$

Embedding:

$$\begin{bmatrix} H_{\text{loc}} + \Sigma_{\text{loc}} & 0 \\ 0 & H_{\text{env}} \end{bmatrix} \begin{bmatrix} g_{\text{loc}} \\ 0 \end{bmatrix} = \begin{bmatrix} e \\ 0 \end{bmatrix}$$

Environment modeling:

$$\Sigma_{\text{loc}} = -H_{\text{int}}^\dagger H_{\text{env}}^{-1} H_{\text{int}}$$

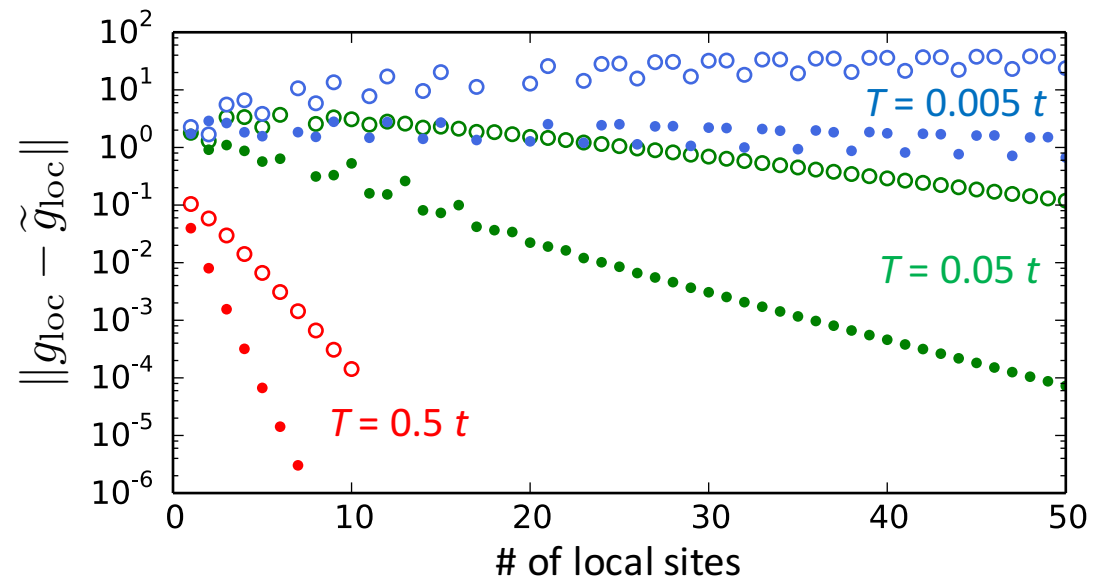
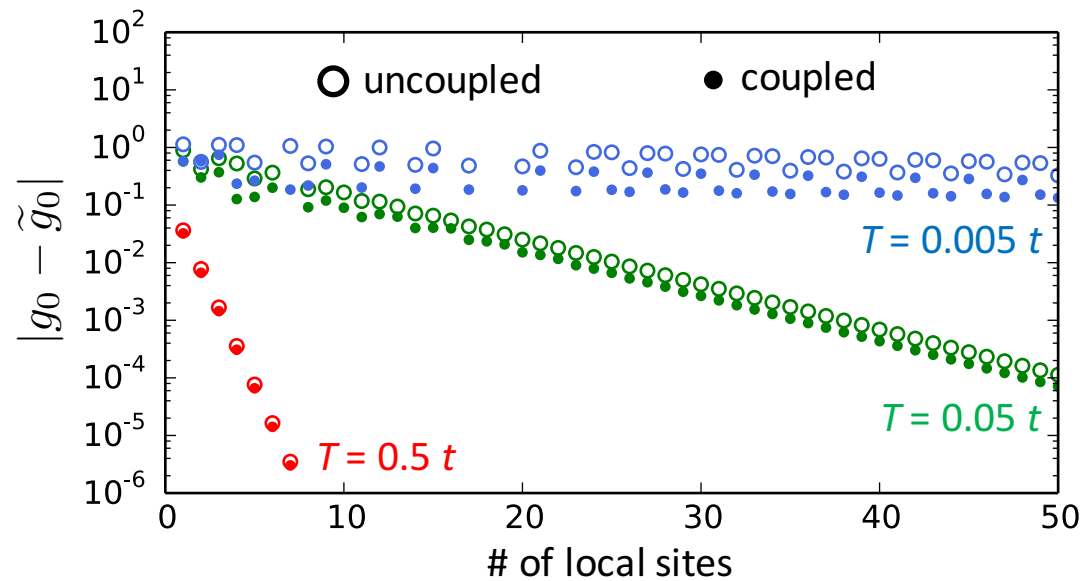
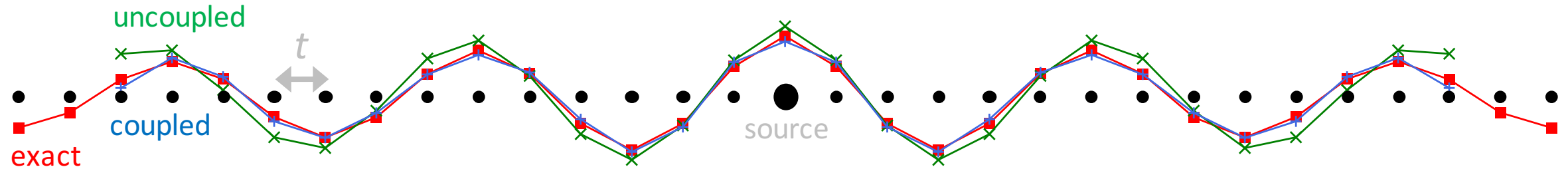
Environment approximation

Localization: $\tilde{G} = \begin{bmatrix} \tilde{G}_{\text{loc}} & \tilde{G}_{\text{int}}^\dagger \\ \tilde{G}_{\text{int}} & \tilde{G}_{\text{env}} \end{bmatrix} \approx P \circ G$
sparsity pattern

Uncoupled: $P \circ (I - H\tilde{G}) = 0 \rightarrow \Sigma_{\text{loc}} \approx 0$

Coupled: $P \circ (\tilde{G} - \tilde{G}H\tilde{G}) = 0 \rightarrow \Sigma_{\text{loc}} \approx \tilde{G}_{\text{loc}}^{-1} \tilde{G}_{\text{int}}^\dagger H_{\text{int}}$
non-linear self-preconditioning exact Σ for exact G

1D tight-binding example



Future of linear-scaling electronic structure?

- Long overdue for **warm dense matter**
- Large gap in **accuracy/cost** curve: (semi-empirical opportunity?)
machine-learned interatomic **potential**: **0.01** s/atom/time-step [Bartok *et al.*, PRL **104**, 136403 (2010)]
large-basis localized-Wannier **DFT**: **60** s/atom/time-step [Osei-Kuffuor & Fattbert, PRL **112**, 046401 (2014)]
- Limited use for **randomized** methods: SCF-free Langevin dynamics?
randomized linear algebra?
- **Software will be available w/ preprint** (to benchmark new ideas)