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The lattice Boltzmann method for isothermal micro-gaseous flow and its application in shale gas flow: a review

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Abstract

The lattice Boltzmann method (LBM) has experienced tremendous advances and been well accepted as a popular method for simulating various fluid flow problems in porous media. With the introduction of an effective relaxation time and slip boundary conditions, the LBM has been successfully extended to solve micro-gaseous transport phenomena. As a result, the LBM has the potential to become an effective numerical method for gas flow in shale matrix in slip flow and transition flow regimes. Additionally, it is very difficult to experimentally determine the permeability of extremely low permeable media like shale. In this paper an extensive review on a number of slip boundary conditions and Knudsen layer treatments used in LB models for micro-gaseous flow is carried out. Furthermore, potential applications of the LBM in flow simulation in shale gas reservoirs on pore scale and representative elementary volume(REV) scale are evaluated and summarised. Our review indicates that the LBM is capable of capturing gas flow in continuum to slip flow regimes which cover significant proportion of the pores in shale gas reservoirs and identifies opportunities for future research.

Keywords: shale, lattice Boltzmann method, micro-gaseous flow, slip flow

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1 1. Introduction

2 Shale gas reservoirs are thought to contain a significant proportion of
3 hydrocarbon, and successful exploitation of such resource plays an increasing-
4 ingly important role in meeting world's demand for natural gas. Shale gas
5 reservoirs are known to be fine grained sedimentary rocks which have com-
6 plex porous structures with pores and fractures ranging from nano- to meso-
7 scale[1][2], and in each level of pores and fractures different flow mechanisms
8 are involved[3]. An in-depth understanding of flow processes involve in com-
9 plex porous system in shale is essential for prediction of reservoir permeabil-
10 ity and estimating production potential of shale gas reservoirs. This can be
11 achieved by developing detailed descriptive transport simulators which are
12 capable of predicting flow dynamics in shale.

13 Knudsen number (Kn), which is the ratio of the gas mean free path to
14 the characteristic length of the media, is an important dimensionless param-
15 eter for gas transport in shale. Current studies conclude that gas trans-
16 port through shale matrix can best be characterized by Kn in slip flow
17 ($0.001 < Kn < 0.1$) and transition flow ($0.1 < Kn < 10$) regimes[4][5](see
18 Fig.1). Under these conditions, continuum hypothesis is broken down and
19 other rarefied gas transport mechanisms such as slip flow and Knudsen diffu-
20 sion start to dominate the flow. Additionally, as a source rock, the presence
21 of organic matter (kerogen) in shale matrix instigates other processes and
22 adds complexities to gas flow simulation. Gas transport in nano-pores inside
23 the kerogen involves adsorption/desorption as well as surface diffusion due
24 to strong molecular interactions between gas and kerogen.

25 A variety of experimental and mathematical studies shows that rarefi-
26 cation effects influence the shale permeability measurements by increasing
27 the apparent permeability values[6][7][8][9][10][11][12][13]. The effect of ad-
28 sorption gas and the following surface diffusion on the permeability of shale,
29 however, is not well understood and less widely explored. On one hand, stud-
30 ies confirmed that the multilayer adsorption can take place in organic pores
31 because of the capillary condensation phenomenon[14][15], which will lead to
32 a lower permeability in shale reservoirs[16]. On the other, it is confirmed that
33 surface diffusion can account for 25% of total flux at low pressure[17]. Wu
34 et al.[18]stated that when the pore size is less than 2 nm, the contribution of
35 surface diffusion to total mass transfer can be as much as 92.95%.

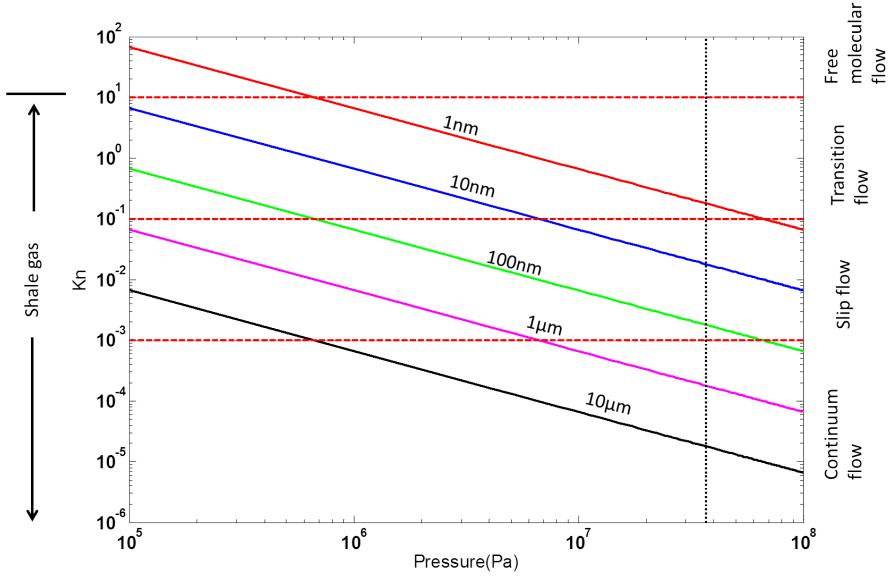


Figure 1: Knudsen number relationship to pore diameter and mean reservoir pressure at 400 K. Vertical dash line represent a typical reservoir pressure condition of 37 MPa.(Figure adapted from Javadpour et al.[3] and Sondergeld et al.[2])

36 Generally, on the basis of pore size distribution, two possible mathematical
 37 approaches are proposed to describe the gas transport mechanism and
 38 to calculate gas apparent permeability of organic shales. The first approach
 39 is to modify the non-slip boundaries in continuum model by accounting for
 40 slip boundary conditions. Beskok-Karniadaki[19] derived a unified Hagen-
 41 Poiseuille-type formula to take into account all flow regimes. Later, Civan
 42 and coworkers[20][21] and Florence et al.[22] proposed different forms of rar-
 43 efaction coefficient for Beskok-Karniadaki model. By simply adding the mass
 44 transfer of adsorbed gas into Beskok-Karniadaki model, the impact of the
 45 adsorption and surface diffusion on gas apparent permeability is studied by
 46 Xiong et al.[23]. The second approach is the superposition of various trans-
 47 port mechanisms. Javadpour[3] combined slip flow and Knudsen diffusion
 48 into gas flux equation and derived an equation for apparent permeability.
 49 Freeman et al.[5] used dusty gas model to account for Knudsen diffusion in
 50 shale gas reservoir. Singh et al.[24] combined viscous flow with Knudsen dif-
 51 fusion in their non-empirical apparent permeability model(NAP), and then
 52 validated with previous experimental data. Results have shown that the
 53 NAP can be used for Kn less than unity. Wu et al.[25] further proposed two

54 weighted factors for viscous flow and Knudsen diffusion, respectively. The
55 surface diffusion was also coupled in their apparent permeability model.

56 Most of the above mentioned analytical/semi-analytical studies are orig-
57 inally proposed based on simple geometries such as channels and tubes, and
58 are not suitable for more complex porous media, such as shales. Therefore,
59 the numerical methods of solving transport equations to obtain an estimate
60 for the permeability are attracting more attention. Especially after the cur-
61 rent well-established characterization techniques such as BIB-SEM, FESEM,
62 FIB-SEM and micro-CT enable us to identify a variety of pore structures in
63 shale matrix[1][26][27][28][29][30], the detailed rock images further promote
64 the use of image-based numerical simulation tools. Among them, the lattice
65 Boltzmann method (LBM), which is vastly different from traditional com-
66 putational fluid dynamics(CFD) methods, has proven to be an effective flow
67 simulation choice in porous media, as the geometry definition in LB model
68 is reduced to defining nodes as being either fluid or solid, which allows the
69 complex pore structure to be modelled with ease and allows for flexibility of
70 the parallelization.

71 Historically, the LBM was derived from lattice gas automata[31][32].
72 Later, it was shown that LB equation can also be directly derived by dis-
73 cretising the Boltzmann equation[33][34]. Shortly after its introduction, the
74 LBM became an attractive technique to study single/multi-phase flow[35][36]
75 [37][38][39] and reactive transport[40][41] in porous media, covering ground-
76 water flow[42], fabric materials[43] and fuel cells[44][45] etc. Detailed pore
77 structure obtained by FIB-SEM and micro-CT have made the LBM a pop-
78 ular alternative to direct numerical solution of the Navier-Stokes equation
79 for flows in tight rocks[46][47][48][49]. Because of its kinetic nature, the
80 LBM is, however, far more than just a N-S solver on pore scale[50]. Ad-
81 vances in micro electrical mechanical system (MEMS) and nanotechnology
82 have spurred interest in the use of the LBM for simulation of microfluidics
83 and tremendous efforts have been made to advance the LBM since 2002.
84 One noteworthy advance is its extension to simulation of gaseous flows in
85 slip flow regime [51][52][53][54][55][56][57][58]. Advances of the LBM have
86 also allowed us to simulate fluid flow in single capillary in transition flow
87 regime[59][60][61][62][63]. In previous studies, most of the micro-gaseous flow
88 was based on single relaxation time (SRT) model[52][55][56][57][59][64][65][66].
89 Luo[67] argued that slip velocity predicted by SRT is merely an artefact
90 at the solid boundaries. For this reason, other LB models, such as multi-
91 ple relaxation times (MRT)[60][62], two relaxation times (TRT)[68][69] and

92 Filter-matrix lattice Boltzmann (FMLB)[61] were proposed. Results of these
 93 studies are in good agreement with that of the benchmark studies includ-
 94 ing force-driven Poiseuille flow, pressure driven Poiseuille flow, and planar
 95 Couette flow. For example, by incorporating the Bosanquet-type effective
 96 viscosity and applying slip boundary conditions, Li et al.[62] successfully
 97 used MRT models to simulate micro-channel gas flow at Kn of up to 3. This
 98 also gives a similar result as that of MRT model with a Stops expression
 99 of effective viscosity proposed by Guo et al[60]. Most Recently, Zhuo and
 100 Zhong [61] developed a Filter-matrix Boltzmann model with Bosanquet-type
 101 effective viscosity and produced reasonable results for micro-channel flow at
 102 Kn of up to 10.

103 The rapid growth in unconventional gas, especially shale gas demands
 104 a deeper understanding of the physics of fluid flow at the nanoscale to mi-
 105 croscale. As a mesoscale method, the LB approach is an effective means
 106 of dealing with flow problems whose scales are too small for the continuum
 107 mechanics and too large for molecular methods. Although there have been
 108 several excellent reports and reviews discussing the LBM for micro-gaseous
 109 flow[70][71][72], the particular applications and strengths of LBM in simu-
 110 lating gas flow in shale gas reservoir have not been well addressed. In this
 111 review, we briefly introduce some ideas and equations fundamental to the
 112 LBM. We also introduce the typical slip boundary conditions and Knudsen
 113 layer treatments used in the LB algorithms for micro-gaseous flow, which
 114 may be useful in shale gas flow simulation. Finally, we focus on recent de-
 115 velopment in LB theory and applications for gas flow in shale, the feasibility
 116 of these applications are also demonstrated.

117 **2. Lattice Boltzmann method for isothermal micro-gaseous flow**

118 In general, the lattice Boltzmann equation with a body force term can be
 119 written as[73]:

$$f_i(\mathbf{x} + \mathbf{c}_i \delta t, t + \delta t) - f_i(\mathbf{x}, t) = \Omega_i(f_i) + \delta t \mathbf{F}_i, \quad (1)$$

120 where \mathbf{c}_i indicates the finite number of discrete velocities of particles, f_i is the
 121 distribution function of particles with speed \mathbf{c}_i , Ω_i is the collision term, \mathbf{F}_i is
 122 the force term which is defined according to the collision operator, δx is the
 123 uniform lattice spacing and δt is the time between two simulation iterations.

124 The microscopic variables (density and velocity) are defined as:

$$\rho(\mathbf{x}, t) = \sum_i f_i(\mathbf{x}, t), \quad (2)$$

$$\rho(\mathbf{x}, t)\mathbf{u}^*(\mathbf{x}, t) = \sum_i f_i(\mathbf{x}, t)\mathbf{c}_i + \frac{\delta t}{2}\mathbf{F}. \quad (3)$$

125 The most popular velocity sets for two dimensions and three dimensions
126 are given in Fig.2(a) and Fig.2(b), respectively. When one considers appli-
127 cations to micro-gaseous flow, some multi-speed or higher-order LB models
128 such as D2Q21(Fig.2(c))[74] and D3Q39(Fig.2(d))[71][75] have also been de-
129 veloped to increase the order of accuracy in the discretization of velocity
130 phase space.

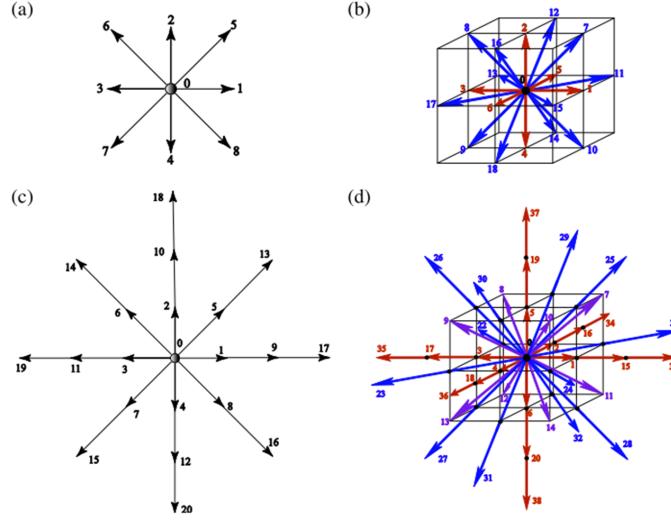


Figure 2: Discrete velocity models; (a)D2Q9 model, (b) D3Q19model, (c) D2Q21 model,
(d) D3Q39 model

131 *2.1. Collision operators*

132 The collision operators describe the collision behaviour of particles at
133 every lattice location, which represents the variation of distribution functions
134 caused by collision between particles. The requirements are that they should
135 be physically correct and efficiently computable. In the following, collision
136 operators commonly used in micro-gaseous flow are presented.

137 2.1.1. Bhatnagar-Gross-Krook collision operator (BGK)

138 Bhatnagar, Gross and Krook [76] proposed an efficient simplification op-
139 erator to approximate the collision term as:

$$\Omega_i(f_i) = -\tau^{-1}(f_i - f_i^{eq}), \quad (4)$$

140 where, τ is the relaxation time and f_i^{eq} is the Maxwell Boltzmann distribution
141 of \mathbf{c}_i for a given macroscopic fluid velocity \mathbf{u} and density ρ . The Maxwell-
142 Boltzmann distribution describes the density distribution of a fluid in its
143 equilibrium state to which every fluid strives. The equilibrium distribution
144 f_i^{eq} in its discrete form can be expressed as:

$$f_i^{eq}(\mathbf{x}, t) = \rho(\mathbf{x}, t)\omega_i[1 + \frac{3(\mathbf{c}_i \cdot \mathbf{u})}{c^2} + \frac{9(\mathbf{c}_i \cdot \mathbf{u})^2}{2c^4} - \frac{3(\mathbf{u} \cdot \mathbf{u})}{2c^2}]. \quad (5)$$

145 Suga [71] summarized \mathbf{c}_i , the sound speed c_s and the weight parameter
146 ω_i in accordance with each subfigure of Fig.2 (see Table 1).

Table 1: Parameters of the discrete velocity models for 2D/3D

| Models | c_s^2/c^2 | \mathbf{c}_i/c | w_i |
|--------|-------------|---|-----------------------|
| D2Q9 | 1/3 | (0,0) | $4/9(i = 0)$ |
| | | $(\pm 1,0), (0,\pm 1)$ | $1/9(i = 1 - 4)$ |
| | | $(\pm 1,\pm 1)$ | $1/36(i = 5 - 8)$ |
| D2Q21 | 2/3 | (0,0) | $91/324(i = 0)$ |
| | | $(\pm 1,0), (0,\pm 1)$ | $1/12(i = 1 - 4)$ |
| | | $(\pm 1,\pm 1)$ | $2/27(i = 5 - 8)$ |
| | | $(\pm 2,0), (0,\pm 2)$ | $7/360(i = 9 - 12)$ |
| | | $(\pm 2,\pm 2)$ | $1/432(i = 13 - 16)$ |
| | | $(\pm 3,0), (0,\pm 3)$ | $1/1620(i = 17 - 20)$ |
| D3Q19 | 1/3 | (0,0,0) | $12/36(i = 0)$ |
| | | $(\pm 1,0,0), (0,\pm 1,0), (0,0,\pm 1)$ | $2/36(i = 1 - 6)$ |
| | | $(\pm 1,\pm 1,0), (\pm 1,0,\pm 1), (0,\pm 1,\pm 1)$ | $1/36(i = 7 - 18)$ |
| D3Q39 | 2/3 | (0,0,0) | $1/12(i = 0)$ |
| | | $(\pm 1,0,0), (0,\pm 1,0), (0,0,\pm 1)$ | $1/12(i = 1 - 6)$ |
| | | $(\pm 1,\pm 1,\pm 1)$ | $1/27(i = 7 - 14)$ |
| | | $(\pm 2,0,0), (0,\pm 2,0), (0,0,\pm 2)$ | $2/135(i = 15 - 20)$ |
| | | $(\pm 2,\pm 2,0), (\pm 2,0,\pm 2), (0,\pm 2,\pm 2)$ | $1/432(i = 21 - 32)$ |
| | | $(\pm 3,0,0), (0,\pm 3,0), (0,0,\pm 3)$ | $1/1620(i = 33 - 38)$ |

¹⁴⁷ The forcing term, \mathbf{F}_i can be specified with respect to the relaxation pa-
¹⁴⁸ rameter τ and the body force \mathbf{F} as[73]:

$$F_i = \left(1 - \frac{1}{2\tau}\right)\omega_i \left[\frac{\mathbf{c}_i - \mathbf{u}}{c_s^2} + \frac{\mathbf{c}_i \cdot \mathbf{u}}{c_s^4} \mathbf{c}_i \right] \cdot \mathbf{F}. \quad (6)$$

¹⁴⁹ Also in order to be valid, the LB equation requires the parameter to fulfil
¹⁵⁰ the following relation:

$$\mu = \rho c_s^2 \left(\tau - \frac{1}{2}\right) \delta t, \quad (7)$$

¹⁵¹ where, μ is the dynamic viscosity of the fluid.

¹⁵² *2.1.2. Multiple Relaxation Times collision operator (MRT)*

¹⁵³ The MRT-LB model is the most general form within the theoretical frame-
¹⁵⁴ work of the LB equation and kinetic theory. The MRT collision operator
¹⁵⁵ relaxes the kinetic moments separately, which can be retrieved from the dis-
¹⁵⁶ tribution functions. The MRT collision operator is defined as follows[77]:

$$\Omega(f) = -(\mathbf{M}^{-1} \mathbf{S} \mathbf{M})(\mathbf{f} - \mathbf{f}^{eq}). \quad (8)$$

¹⁵⁷ In D2Q9 model, $\mathbf{f} = (f_0, f_1, \dots, f_7, f_8)^T$ denotes the column vector of the
¹⁵⁸ distribution functions, \mathbf{S} is the non-negative relaxation matrix:

$$\mathbf{S} = \text{diag}(\tau_\rho, \tau_e, \tau_\epsilon, \tau_j, \tau_q, \tau_j, \tau_q, \tau_s, \tau_s)^{-1}, \quad (9)$$

¹⁵⁹ and \mathbf{M} is an orthogonal transform matrix, which maps the distribution func-
¹⁶⁰ tions onto the moment space, and defined as:

$$\mathbf{M} = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ -4 & -1 & -1 & -1 & -1 & 2 & 2 & 2 & 2 \\ 4 & -2 & -2 & -2 & -2 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & -1 & 0 & 1 & -1 & -1 & 1 \\ 0 & -2 & 0 & 2 & 0 & 1 & -1 & -1 & 1 \\ 0 & 0 & 1 & 0 & -1 & 1 & 1 & -1 & -1 \\ 0 & 0 & -2 & 0 & 2 & 1 & 1 & -1 & -1 \\ 0 & 1 & -1 & 1 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & -1 & 1 & 1 \end{bmatrix}. \quad (10)$$

¹⁶¹ The distribution function and equilibrium function can be projected onto
¹⁶² the moment space by using the transform matrix:

$$\mathbf{m} = \mathbf{M}\mathbf{f} = (\rho, e, \epsilon, j_x, q_x, j_y, q_y, p_{xx}, p_{xy})^T, \quad (11)$$

163

$$\mathbf{m}^{eq} = \mathbf{M}\mathbf{f}^{eq} = (\rho, e^{eq}, \epsilon^{eq}, j_x, q - x^{eq}, j_y, q_y^{eq}, p_{xx}^{eq}, p_{xy}^{eq})^T = \rho(1, -2 + 3|u|^2, 1 - 3|u|^2, u, -v, u, -v, u^2 - v^2, uv)^T, \quad (12)$$

164 all of these moments have a physically meaningful interpretation: ρ is the
 165 density; e is the energy mode; ϵ is related to the energy square; j_x and j_y
 166 are the x and y components of the momentum; q_x and q_y correspond to the
 167 x and y components of the energy flux, p_{xx} and p_{xy} and are related to the
 168 diagonal and off-diagonal component of the stress tensor. u and v are x and
 169 y components of velocity \mathbf{u} .

170 The forcing terms, \mathbf{F}_i can also be mapped onto the moment space which
 171 gives the vector $\bar{\mathbf{F}}$ as:

$$\bar{\mathbf{F}} = (0, 6\mathbf{u} \cdot \mathbf{F}, -6\mathbf{u} \cdot \mathbf{F}, \mathbf{F}_x, -\mathbf{F}_x, \mathbf{F}_y, -\mathbf{F}_y, 2(u\mathbf{F} - v\mathbf{F}_y), (u\mathbf{F} + v\mathbf{F}_y))^T. \quad (13)$$

172 MRT is able to overcome the deficiencies of the BGK collision operator
 173 because it has more degrees of freedom, which can also be used to increase
 174 the numerical stability of the method significantly[78].

175 *2.1.3. Two relaxation time collision operator (TRT)*

176 The scheme for two relaxation time was developed by Ginzburg [79], for
 177 which the collision operator is split into symmetric and anti-symmetric parts
 178 as:

$$\Omega_i(f_i) = -\tau_s^{-1}(f_i^s - f_i^{seq}) - \tau_a^{-1}(f_i^a - f_i^{aeq}), \quad (14)$$

179 where relaxation time, τ_s related to shear viscosity, and relaxation time, τ_a
 180 related to energy fluxes. The symmetric and anti-symmetric components of
 181 distribution function and equilibrium distribution function can be computed
 182 as:

$$f_i^s = \frac{f_i + f_{\bar{i}}}{2}, f_i^{seq} = \frac{f_i^{eq} + f_{\bar{i}}^{eq}}{2}, \quad (15)$$

$$f_i^a = \frac{f_i - f_{\bar{i}}}{2}, f_i^{aeq} = \frac{f_i^{eq} - f_{\bar{i}}^{eq}}{2}. \quad (16)$$

183 The TRT model is comparable with SRT model in the simplicity of imple-
 184 mentation and computational efficiency, but retains the advantages of MRT
 185 model in terms of accuracy and stability[68][78].

187 *2.2. Capture gas-solid interfacial slip*

188 In traditional fluid mechanics, the assumption of non-slip at a solid bound-
189 ary is used as the boundary condition. The non-slip assumption, however,
190 breaks down at micro- and nanoscales[80][81]. Generally, the interfacial slip
191 is generated by hydrophobicity in liquid flow and by Knudsen effect for gas
192 flow[82]. To capture the liquid-solid interfacial slip, the Shan-Chen inter-
193 particle potential model is usually used, where the solid-liquid interaction is
194 modelled via an explicit solid-liquid intermolecular potential to predict be-
195 haviours at the interface[82][83][84]. This approach appears to have a sound
196 physical basis. However, it may face with more restrictive constraints in ac-
197 tual practice[53] and no definitive results have been provided to demonstrate
198 its ability to capture gas slip in transition flow regime[85].

199 To capture the gas slip at the solid boundary, single phase LB model is
200 widely used, and the slip boundary condition is adopted to implicitly consider
201 the solid-fluid interaction. Tremendous efforts have been devoted to develop
202 accurate and efficient boundary schemes for the LBM. In the following section
203 we summarize typical boundary conditions that could be useful for micro-gas
204 simulations.

205 *2.2.1. Bounce-back boundary condition(BB)*

206 The half way bounce-back scheme [86] is typically utilized for its simplic-
207 ity and its second-order accuracy. This boundary condition assumes that a
208 particle which collides with the wall is reflected in opposite direction, which
209 means that its momentum is reversed. In the implementation, particles leav-
210 ing a boundary fluid node x bounce back from the boundary to the original
211 site in the reversed lattice velocity, this behaviour can be described by Eq.
212 17 as:

$$f_i^{bb}(\mathbf{x}, t + \delta t) = f_i^*(\mathbf{x}, t), \quad (17)$$

213 where f_i^* denotes the opposing distribution function to f_i leaving x after
214 collision at time t such that $c_i = -c_i^*$. The bounce-back boundary condition
215 was used by Nie et al.[87] to mimic the microscopic flow, however, lately it
216 has been shown that the boundary slip observed from Nie et al.[87] with a
217 pure bounce-back scheme is just a numerical artefact[33] and cannot reflect
218 the physical slip over the surface.

219 *2.2.2. Specular reflection boundary condition(SR)*

220 The specular reflection boundary condition is motivated by the obser-
221 vation of elastic collisions between a relatively light particle and a heavy

222 boundary, the physical effect of such a collision is that the velocity compo-
 223 nent, which is orthogonal to the boundary, is reversed. This is described by
 224 the following equation for the D2Q9 model:

$$f_i^{sr}(\mathbf{x}) = f_i^*(\mathbf{x}), \quad (18)$$

225 where, f_i^* and f_i^{sr} are approaching and specular reflecting distribution func-
 226 tions as shown in Fig.3. This boundary condition is applied by Lim et al.[88]
 227 to investigate pressure driven and shear driven micro-channel flows, however,
 228 it was found that the mesh size has a significant effect on the numerical re-
 229 sults in their work[88][89] and pure specular reflection may overestimates the
 230 slip velocity[90].

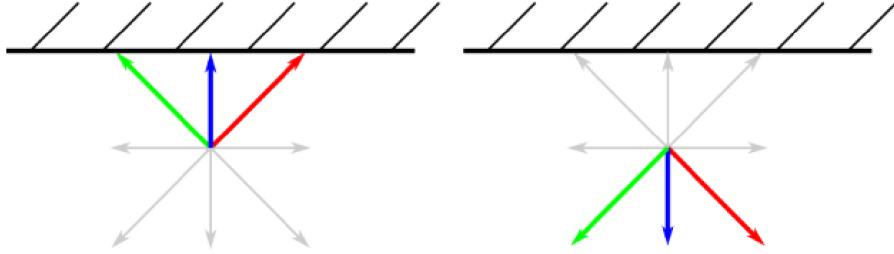


Figure 3: Illustration of the reflection process (in the left image, the distributions before the collision are highlighted. In the right image, the specular reflected distributions after collision with the wall are shown)[91]

231 *2.2.3. Maxwellian diffuse reflection boundary condition(MD)*

232 The Maxwellian diffuse reflection boundary condition is derived from
 233 the continuum kinetic theory for non-absorbing walls. The underlying idea of
 234 this boundary condition is that impinging particles lose the memory of their
 235 movement direction and are scattered back following a Maxwellian distribu-
 236 tion in which the wall density, ρ_w and the wall velocity, u_w are known. The
 237 fully diffusive boundary condition can be described by the following equation
 238 [92]:

$$f_i^{ldr}(\mathbf{x}) = \frac{\sum_{(c_k - u_w) \cdot n < 0} |(c_k - u_w) \cdot n| f_k^*(\mathbf{x})}{\sum_{(c_k - u_w) \cdot n > 0} |(c_k - u_w) \cdot n| f_k^{eq}(\rho_w, u_w)} \cdot f_i^{eq}, (\rho_w, u_w) \quad (19)$$

239 with $(c_k - u_w) \cdot n > 0$. The condition $(c_k - u_w) \cdot n < 0$ enforces that
 240 all incidental distributions are summed up and then redistributed over the

241 outgoing distributions such that they obey the equilibrium distribution with
 242 mass conservation. The process of the boundary treatment is illustrated in
 243 Fig. 4.

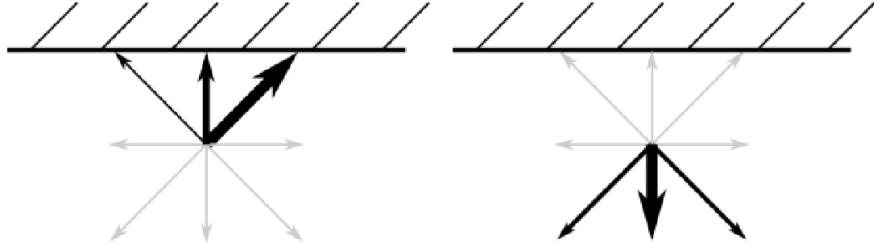


Figure 4: Illustration of the diffuse process(in the left image, the distributions before the collision and in the right image, the diffusive distributions collided with the wall are shown. The thickness of the arrows indicates the value of the distributions)[91]

244 This boundary condition has been implemented in LB model and the
 245 the results show good agreement with the analytical solution of Boltzmann
 246 equation for Kramer's problem as the Kn tends to be zero[92], the similar
 247 concepts are used to simulate micro-gaseous flow at higher Kn using D2Q9
 248 LBM [52] and high-order LBM[93].Later Chai et al.[94] argued that the slip
 249 velocity is orverpredicted when MD scheme is applied to Poiseuille flow in a
 250 micro channel.

251 *2.2.4. Combined form*

252 The above mentioned three boundary conditions normally are not directly
 253 applied in the LB method, some improved version of the boundary conditions
 254 are proposed recently to mimic the macroscopic slip boundary condition by
 255 introducing a combination coefficient to control the boundary slip, which
 256 includes:

257 The combined specular with diffusive reflection boundary condition(MR)
 258 [66][57][95][96]:

$$f_i(\mathbf{x}) = (1 - \sigma)f_i^{sr}(\mathbf{x}) + \sigma f_i^{dr}(\mathbf{x}). \quad (20)$$

259 The combined bounce-back with specular reflection boundary condition
 260 (BR)[54]:

$$f_i(\mathbf{x}) = (1 - r)f_i^{sr}(\mathbf{x}) + r f_i^{bb}(\mathbf{x}). \quad (21)$$

261 The combined bounce-back with diffusive reflection boundary condition
 262 (MB)[58]:

$$f_i(\mathbf{x}) = (1 - \chi)f_i^{bb}(\mathbf{x}) + \chi f_i^{dr}(\mathbf{x}). \quad (22)$$

263 Historically, there is no consensus on how to choose combination coefficients [55][58][61][62][66][97]. Recent studies[58][60][98] indicate that the
 264 analytic solution of LBM with bounce-back or combined boundary conditions in Poiseuille flow is just a parabolic profile of N-S equation shifted by
 265 a numerical slip, u_s , and when combined boundary conditions are applied,
 266 by setting this numerical slip u_s to Maxwellian slip boundary condition, the
 267 combination coefficients can be obtained[58][60]. A detailed analysis of three
 268 kinds of combined form of boundary conditions are given by Zheng et al.[99]
 269 in which they pointed out that if one chooses combination coefficient equal
 270 to tangential momentum accommodation coefficient (TMAC)(TMAC=0.8 is
 271 used in their case studies), the discrete effects of the boundary conditions will
 272 induce large numerical errors. Specifically, MR overestimates the slip velocity,
 273 while BR and MB underestimate the slip velocity (see Fig.5), and the
 274 three boundary conditions cause large errors in the slip velocity ($> 60\%$ for
 275 the BR scheme, $> 20\%$ for the MB scheme, and $> 40\%$ for the MR scheme)
 276 within the slip regime (see Fig. 6). For micro-tube flow, the Maxwell-type
 277 second order slip boundary can be expressed as:
 278

$$u_s = A_1 Kn \left. \frac{\partial u}{\partial y} \right|_{wall} - A_2 Kn^2 \left. \frac{\partial^2 u}{\partial y^2} \right|_{wall}, \quad (23)$$

280 where u_s is the slip velocity, A_1 and A_2 are the first order and second order
 281 slip coefficients, respectively. In order to achieve the second order slip
 282 boundary condition, if one chooses the combination coefficients as the following
 283 forms (Eq.24 to Eq.26), the three kinds of combined forms are identical
 284 in a parametric range, and the discrete effects caused by boundary conditions
 285 can be eliminated(see RBR, RMB and RMR in Fig.5 and Fig.6).

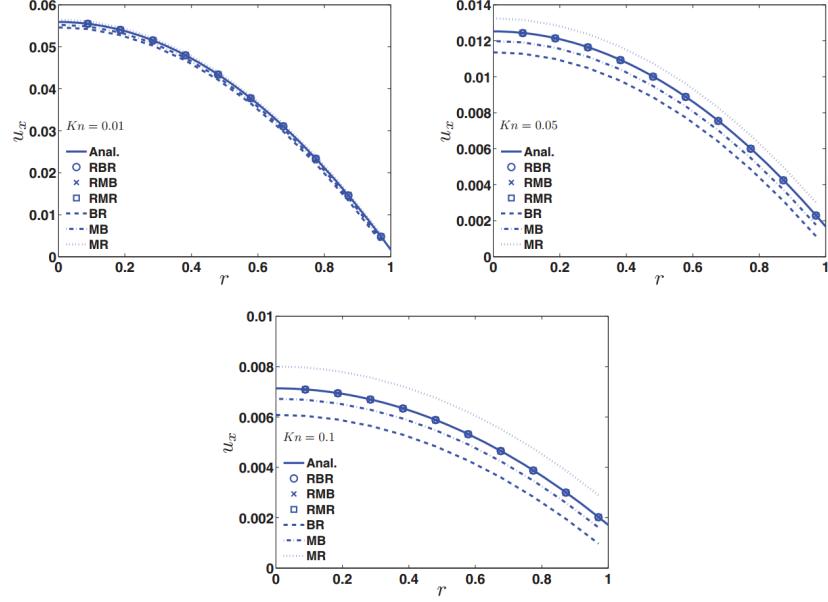


Figure 5: Velocity profiles with TMAC=0.8[99]

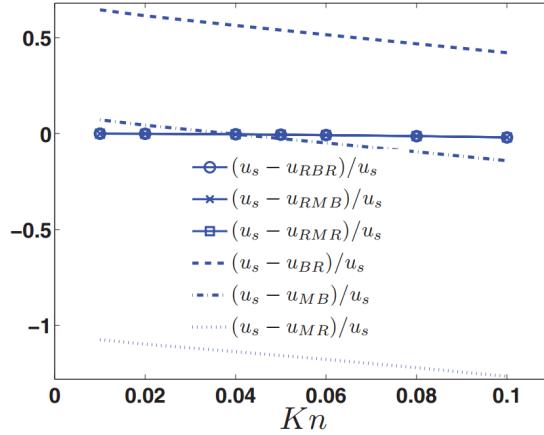


Figure 6: Relative error of velocity slip vs Kn with TMAC=0.8[99]

$$\sigma = \left\{ 1 - \sqrt{\frac{\pi}{6}} \left[A_1 + \left(A_2 + \frac{8}{\pi} \right) Kn \right] + \frac{3\pi^{1/2} \Delta^2}{8\sqrt{6}Kn} \right\}^{-1}, \quad (24)$$

$$r = 2 - \left\{ \frac{1}{2} - \sqrt{\frac{\pi}{6}} \left[\frac{A_1}{2} + \left(\frac{A_2}{2} + \frac{4}{\pi} \right) Kn \right] + \frac{3\pi^{1/2}\Delta^2}{8\sqrt{6}Kn} \right\}^{-1}, \quad (25)$$

$$\chi = \left\{ \frac{1}{2} - \sqrt{\frac{\pi}{6}} \left[\frac{A_1}{2} + \left(\frac{A_2}{2} + \frac{4}{\pi} \right) Kn \right] + \frac{3\pi^{1/2}\Delta^2}{8\sqrt{6}Kn} \right\}^{-1}. \quad (26)$$

286 From the above description, LBM can be viewed as an alternative form
 287 of slip N-S equation. However, it should be noted that, in Maxwell's original
 288 paper, the author used a phenomenological argument to derive slip boundary
 289 conditions[100]. This is evident in the fact that the "momentum accommoda-
 290 tion coefficients" for each particular gas/surface combination are required in
 291 Maxwell-type slip boundary conditions. Typically, the accommodation coef-
 292 ficients can only be inferred from experimental results, rather than directly
 293 measured. As a result, the boundary slip observed from combined forms are
 294 more phenomenological than physical compared to those from other methods
 295 such as DSMC and Boltzmann equation [95] [101], and therefore this fails to
 296 demonstrate the physical kinetic nature of the LBM, which has been claimed
 297 a distinguishing feature of LBM than other CFD methods. To enable the
 298 LBM with combined from boundary conditions to be a predictive tool, Sbra-
 299 gaglia and Succi[53] suggested that a micro-scale simulation, such as MD
 300 needs to be used to obtain the values of coefficients corresponding to a given
 301 intermolecular potential, prior to the LB simulations at a larger scale which
 302 is not accessible by micro-scale simulations.

303 *2.2.5. Langmuir slip boundary (LSB)*

304 Another slip boundary used in the LBM is based on Langmuir slip model.
 305 The Langmuir slip model has been developed by Eu et al.[102] and Myong
 306 [103]. The slip velocity can be expressed as:

$$u_s = \alpha u_w + (1 - \alpha) u_g, \quad (27)$$

307 where, u_g denotes the velocity adjacent to the wall, α is the fraction of surface
 308 coved by adsorbed atoms at thermal equilibrium which varies with the type
 309 of gas and the nature of wall material. For monatomic gases and diatomic
 310 gases α can be expressed by:

$$\alpha|_{mon} = \frac{\beta p}{1 + \beta p}; \alpha|_{di} = \frac{\sqrt{\beta p}}{1 + \sqrt{\beta p}}, \quad (28)$$

311 with:

$$\beta = \frac{A\lambda/Kn}{k_b T} \exp\left(\frac{D_e}{K_b T}\right) = \frac{1}{4\omega Kn}, \quad (29)$$

312 where, k_b represents the Boltzmann constant, A is the mean area of a site, D_e
313 is the potential adsorption parameter and T is the temperature. Compared to
314 Maxwell slip model, in which the slip coefficient is a free parameter from the
315 concept of diffusive reflection, the major advantage of Langmuir slip model is
316 to utilize a physical coefficient of heat and gas particle interaction potential,
317 ω [103], Nevertheless, Langmuir slip model still has the same difficulty in
318 determining the value of the coefficient as the Maxwell slip model[104].

319 The LB model with Langmuir slip boundary condition was firstly used
320 to study gas bearing problems[105]. Later, Chen and Tian [106] implement
321 Langmuir slip boundary by non-equilibrium extrapolation scheme to study
322 gas flow in the micro-channel, with an approximation that the local gas
323 density at the wall equals to gas density at the nearby cell, the distribution
324 functions for wall boundary nodes can be expressed as:

$$f_i(\mathbf{x}_b) \approx \alpha[f_i^{eq}(\rho(\mathbf{x}_f), u(\mathbf{x}_f)) - f_i^{eq}(\rho(\mathbf{x}_f), u(\mathbf{x}_f))] + f(\rho(\mathbf{x}_f), u(\mathbf{x}_f)), \quad (30)$$

325 where, \mathbf{x}_b is the boundary node and \mathbf{x}_f is the nearest node to the boundary
326 node.

327 2.3. Capture the Knudsen layer effect

328 For micro-gaseous flow simulation, Once $Kn > 0.1$, presence of Knud-
329 sen layer near the solid boundary cannot be ignored. Inside of the Knud-
330 sen layer, the intermolecular collisions become insufficient and the quasi
331 thermodynamic-equilibrium assumption, upon which the N-S equation de-
332 pends, does not hold. The standard LBM with a slip boundary condition
333 captures a few low-order moments of the solutions of the Boltzmann equation,
334 and is only accurate at the N-S level[107]. Thus, just like N-S equation, the
335 standard LBM fails to describe the gas motion within the Knudsen layer(See
336 Fig.7). To improve the capability of the LBM for high- Kn flows in the
337 transition flow regime, some lattice Boltzmann schemes have been proposed
338 recently.

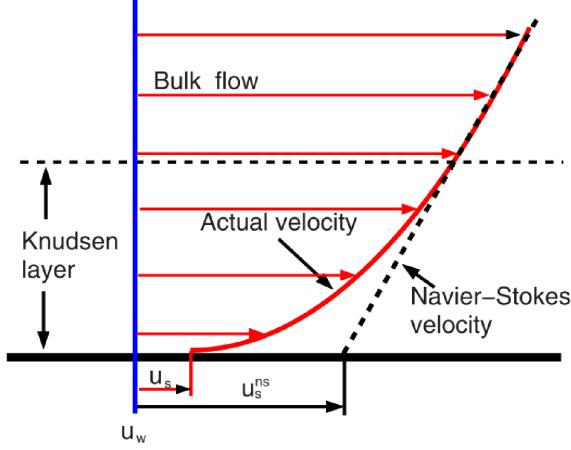


Figure 7: Schematic of the Knudsen layer

339 *2.3.1. Effective viscosity/mean free path model*

340 The dynamic viscosity μ of a fluid plays a critical role in its dynamic
 341 behaviour, and thus is an important consideration for fluid flow simulation.
 342 The viscosity describes the internal friction between moving fluid layers and
 343 the spanwise momentum conductivity. Furthermore, it determines the relax-
 344 ation time τ and τ_s used in the BGK-LB model and MRT-LB model, respec-
 345 tively. With an increase in Knudsen number, especially when the mean free
 346 path becomes comparable with the characteristic length, the local mean free
 347 path is significantly affected by the wall boundaries, which are much smaller
 348 than that defined in unbounded systems[95][108]. Since the viscosity and the
 349 mean free path are interrelated[109], by introducing an effective relaxation
 350 time that corresponds to the effective mean free path or effective viscosity to
 351 reflect the gas molecular/wall interactions, the LB models are able to capture
 352 the effects of the Knudsen layer for high Knudsen numbers.

353 *2.3.1.1. Relations of relaxation time with Kn .* By introducing an empirical
 354 parameter a , Nie et al.[87] built a linear relationship of Kn with relaxation
 355 time τ . The problem of this model is that the artificial parameter, a needs
 356 to be determined by comparing simulation results with that obtained from
 357 experiments, and therefore it cannot be directly used in other situations[52].
 358 To improve this, other attempts have been proposed in the literature, and
 359 it has been confirmed that the relaxation time can be related to Kn by
 360 multiplying a certain microscopic velocity. The choice of this velocity is
 361 rather diverse in the literature(see Table 2), and most recently, Zhang et

362 al.[110] and Guo et al.[57] argued that to satisfy a “consistent requirement”,
 363 this certain microscopic velocity must be chosen as $\sqrt{\pi RT/2}$, and τ can be
 364 given as:

$$\tau = HKn/(\delta x \sqrt{\pi/6}) + 0.5. \quad (31)$$

Table 2: Relationships of relaxation time with Kn

| | |
|---------------------------------|--|
| Nie et al. [87] | $\tau = HKn/a + 0.5$ |
| Lim et al. [88] | $\tau = HKn/\delta x$ |
| Lee and Lin [51] | $\tau = HKn/\delta x + 0.5$ |
| Niu et al. [52] | $\tau = HKn/(c\sqrt{6/\gamma\pi}) \approx HKn$ |
| Tang et al. [66] | $\tau = (HKn)/(\delta x \sqrt{8/3\pi}) + 0.5$ |
| Zhang et al.[56] Guo et al.[57] | $\tau = HKn/(\delta x \sqrt{\pi/6}) + 0.5$ |

365 2.3.1.2. *Wall function approach.* One way to capture the Knudsen layer is
 366 to modify the mean free path by implementing a “geometry dependent”
 367 correction function to reflect the wall confinement as:

$$\lambda_e = \lambda J(r, \lambda), \quad (32)$$

368 where, $J(r, \lambda)$ is the correction function which considers the effect of wall
 369 surface on the mean free path λ , and r is distance from the wall. Wall
 370 function approach has been widely used to study micro-channel and micro-
 371 tube flows[68][60][63], whilst it is a phenomenological approach like that of
 372 Maxwell’s slip model[111]. Despite the fact that by introducing an effective
 373 wall function approach with a more complex collision operator, the LBM
 374 can predict the flow behaviour up to the upper end of the transition flow
 375 regime[68], the existing wall functions themselves are only accurate in a finite
 376 range of Kn . The different correction functions for parallel walls situations
 377 and their valid Kn are listed in Table 3.

Table 3: Summarize of different correction functions

| | J | Kn |
|-----------------------|--|---------------|
| Stops [112] | $1 + \frac{1}{2} \left[\begin{array}{l} (r/\lambda - 1) \exp(-r/\lambda) \\ + ((H-r)/\lambda - 1) \exp(-(H-r)/\lambda) \\ - (r/\lambda)^2 E_i(r/\lambda) \\ - ((H-r)/\lambda)^2 E_i((H-r)/\lambda) \end{array} \right]$ | ≈ 0.2 |
| Zhang et al. [85] | $\frac{1}{1 + 0.7[\exp(-Cr/\lambda) + \exp(-C(H-r)/\lambda)]}$ | ≈ 1 |
| Arlemark et al. [113] | $1 - \frac{1}{82} \left[\begin{array}{l} \exp\left(-\frac{H/2+y}{\lambda}\right) + \exp\left(-\frac{H/2-r}{\lambda}\right) \\ + 4 \sum_{i=1}^7 \exp\left(-\frac{H/2+r}{\lambda \cos\left[\frac{(2i-1)\pi}{28}\right]}\right) \\ + 4 \sum_{i=1}^7 \exp\left(-\frac{H/2-r}{\lambda \cos\left[\frac{(2i-1)\pi}{28}\right]}\right) \\ + 2 \sum_{i=1}^6 \exp\left(-\frac{H/2+r}{\lambda \cos\left[\frac{i\pi}{14}\right]}\right) \\ + 2 \sum_{i=1}^6 \exp\left(-\frac{H/2-r}{\lambda \cos\left[\frac{i\pi}{14}\right]}\right) \end{array} \right]$ | ≈ 0.2 |
| Dongari et al. [108] | $1 - \frac{1}{96} \left[\begin{array}{l} \left(1 + \frac{r}{\lambda}\right)^{-2} + \left(1 + \frac{H-r}{\lambda}\right)^{-2} \\ + 4 \sum_{i=1}^8 \left(1 + \frac{r}{\lambda \cos\left[\frac{(2i-1)\pi}{32}\right]}\right)^{-2} \\ + 4 \sum_{i=1}^8 \left(1 + \frac{H-r}{\lambda \cos\left[\frac{(2i-1)\pi}{32}\right]}\right)^{-2} \\ + 2 \sum_{i=1}^7 \left(1 + \frac{r}{\lambda \cos\left[\frac{i\pi}{16}\right]}\right)^{-2} \\ + 2 \sum_{i=1}^7 \left(1 + \frac{H-r}{\lambda \cos\left[\frac{i\pi}{16}\right]}\right)^{-2} \end{array} \right]$ | ≈ 2 |

378 A general problem of wall functions is that it has been derived based on
 379 the distance to the wall and therefore it is difficult to deal with complex
 380 geometries and the Knudsen layer overlap effect[63]. To solve this problem,
 381 an approximate Stop's expression is proposed by Guo et al.[57] as:

$$J(Kn) = \frac{2}{\pi} \arctan(\sqrt{2}Kn^{-3/4}). \quad (33)$$

382 It can be seen that this correction function is not related to the distance
 383 from the wall. In other words, with this formulation it is possible to tackle
 384 the problem of Knudsen layer interference in more complex geometry by
 385 computing the average of all effective mean free paths.

386 2.3.1.3. *Bosanquet-type effective viscosity approach.* The larger the Knudsen
 387 number, the closer the mean free path to the characteristic length H . In the
 388 case $\lambda \gg H$, the effective mean free path equals H and the viscosity, $\mu_\infty =$
 389 $a_\infty \rho \bar{c} H$ with a_∞ being a numerical constant. Michalis et al.[114] observed
 390 that the effective viscosity can be approximated by the terms μ and μ_∞ .
 391 They conducted micro-flow simulations with the Direct Simulation Monte
 392 Carlo(DSMC) method to investigate the rarefaction effects on the viscosity
 393 in the transition regime. The calculated densities and velocity profiles were
 394 used to compute the actual viscosity of the fluid. With these results Michalis
 395 et al.[114] showed that the Bosanquet-type of approximation describes quite
 396 satisfactorily the Kn-dependence of the viscosity over the entire transition
 397 flow regime and it can be expressed as:

$$\mu_e \approx \frac{\mu}{1 + aKn}, \quad (34)$$

398 where, a is the rarefaction factor and $a = a_0/a_\infty$. Because the Bosanquet-
 399 type effective viscosity considers the overall rarefaction effect on gas viscosity
 400 such as the approximate wall function approach proposed by Guo et al.[57],
 401 it can be utilized in rarefied flow simulations in porous media. However,
 402 the choice of a is still an open question. Beskok and Karniadakis[19] used
 403 $a = 2.2$ together with their general slip boundary condition to simulate fluid
 404 flow in channels. Later, Sun and Chan[115] used $a = 2$ in estimating effective
 405 viscosity at different Knudsen number in channels with aspect ratio between
 406 15 and 20. They found the results of effective viscosity is reasonable when
 407 compared with that obtained from the DSMC method. Michalis et al.[114]
 408 observed that the value of a depends on the Knudsen number and it varies
 409 slightly over the majority of the transition flow regime. Recently, Kalarakis
 410 et al.[116] suggested $a = 3.4$ based on a study in which authors estimated
 411 permeability using LB method and then compared the results with that from
 412 DSMC for porous media with porosity equal to 0.7 and 0.8.

413 2.3.2. *High-order lattice Boltzmann model*

414 While the effective viscosity/mean free path model is one way of extend-
 415 ing slip LB models into the non-equilibrium gas flow, developing high-order
 416 LB models has also attracted significant recent interest. Since the LB equa-
 417 tion is a discrete approximation to the continuous Boltzmann equation which
 418 is capable of describing gas flow in a wide range of Knudsen number, some ef-
 419 forts have been made to design higher-order LB models by increasing discrete

420 velocities to achieve its approximation accuracy to the continuous Boltzmann
421 equation[93][107][117][118][119][120].

422 It has been shown that the high-order LB models can improve the predictions
423 for non-equilibrium flows and the simulation results are qualitatively
424 agree with previous studies[93][107][118], Ansumali et al.[117] presented analytical
425 solutions of the discrete velocity Boltzmann equation for Couette flow
426 using the so-called D2Q9 and D2Q16 schemes and showed that the increase
427 of order in the GaussHermite quadrature results in a much more accurate
428 treatment of finite Kn flows. However, no systematic study on the quadrature
429 set higher than D2Q16 were discussed by them. Later, Kim et al.[74] compared
430 several high-order models including D2Q12, D2Q16, D2Q21, D2Q25
431 and D2Q36 models, and they concluded that the accuracy of the higher-order
432 LB models does not increase monotonically with the increase of the order of
433 Gauss-Hermite quadrature and cannot guarantee an improved accuracy for
434 microscale gas flow with the Kn up to $O(1)$. This was further confirmed by
435 Meng and Zhang[121] with a study of D2Q16, D2Q25 D2Q36 D2Q49 and
436 D2Q64 LB models. Kim et al.[74] also reported that the Knudsen layer can
437 be observed with the minimum of D2Q16 discrete velocities even this particular
438 higher-order LB model fails to reproduce the Knudsen minima correctly.
439 In fact, numerical studies suggest that a very high-order LB model is needed
440 to reproduce the Knudsen paradox phenomena[74][121]. In addition to the
441 inconsistencies at higher Kn, large computational costs hinder the applications
442 of high-order LB models in the simulation of more complex flow. Meng
443 and zhang[122] compared the computational costs associated with D2Q25
444 and D2Q400 models for a quasi-steady standing-shear-wave problem, and
445 they found D2Q400 is more than 240 times slower than D2Q25 while the
446 difference of two simulation results is less than 3%. Recently, Suga and
447 coworkers[71][123][124] compared D2Q9/D3Q19 with D2Q21/D3q39 in the
448 simulation of fluid flow in micro-porous media with a porosity around 0.9
449 at Kn ranges from 0.04 to 0.24. They concluded that the flow field results
450 provided by D2Q9/D3Q19 are less accurate than D2Q21/D3Q39. However,
451 the deficiency is not significant in the complex flow fields, and MRT-LB models
452 almost perfectly overlap with those of the high-order LB models, which
453 also means that a higher-order lattice may not be significantly important for
454 predicting general flow profiles in micro porous media.

455 **3. Simulation of fluid/gas flow in shale gas Reservoirs with the
456 LBM**

457 Shale gas reservoirs are considered unique because of its complex petro-
458 physical properties and its inherent multiscale nature, with details at the mi-
459 croscale affecting the overall operation of macroscopic gas production. Gen-
460 erally, theoretical treatments of flow in porous shale are usually associated
461 with three different length scales: pore-(microscopic), representative elemen-
462 tary volume(REV)- and stimulated reservoir volume (SRV)-scales. The SRV-
463 scale is the largest scale, which includes tight matrix and multi-scale fracture
464 networks[125]. One of the critical issues in SRV-scale simulation of shale
465 gas reservoir is how to handle fracture flow and fracture/matrix interactions.
466 Compared to LBM, traditional CFD methods such as FEM[126][127][128]
467 and FDM[125][129] are currently primary simulation tools on SRV- scale
468 simulation in dealing with multiple flow mechanism and rock deformation
469 despite the fact that they are still far behind the industry's demand[125].
470 Pore scale is the smallest scale where the flow is studied on pore geometries.
471 Pore scale results can provide quantities such as permeability, porosity at var-
472 ious locations. With these results, some fundamental issues such as medium
473 variability can be quantitatively assessed and REV can be quantified. The
474 REV scale is larger than the pore scale but much smaller than the field scale,
475 within the range of an REV, the macroscopic variables (such as permeability
476 and porosity) do not change with the magnitude of the averaging volume.
477 As an image based simulation tool, the LBM has been developed to simulate
478 fluid/gas flow in porous shale on pore scale and REV scale.

479 *3.1. Pore scale simulation*

480 At the pore scale, the flow of fluid through the pores of shale reservoir
481 is directly simulated by the LBM. Initially, standard LBM is developed with
482 non-slip boundary conditions to study continuum flow in porous media. As
483 gas flow in shale is involved with different flow regimes, recently, some at-
484 tempts have been made to extend standard LBM to capture non-continuum
485 phenomenon.

486 *3.1.1. Estimation of intrinsic permeability*

487 Under the continuum assumption, standard LBM can be easily applied to
488 complex boundary geometries due to its kinetic nature and a simple bounce-
489 back rule for non-slip boundary condition. This particular feature makes

490 the LBM superior to classical numerical techniques (e.g., finite differences
 491 and finite elements) and other simplified network models for studying flow
 492 in realistic porous media. Moreover, as the incompressible N-S equation can
 493 be obtained in the nearly incompressible limit of the LB model, LBM is
 494 widely used to estimate the intrinsic permeability of shale along with tomog-
 495 raphy techniques[130][131] [132]. For example, Chen et al.[133] generated
 496 3D FIB-SEM images of shale samples and estimated intrinsic permeability
 497 and tortuosity with standard LBM. Later the same techniques were applied
 498 but the computation was carried out using a pore-scale GPU-accelerated LB
 499 simulator (GALBS) which increases the computing speed (1000 times faster
 500 than the serial code and 10 times faster than the paralleled code run on a
 501 standalone CPU)[134]. The relative permeability of shale was also studied
 502 by Cantisano et al.[135] and Nagarajan et al.[136]. Nagarajan et al.[136]
 503 calculated the gas-oil relative permeability of Liquid Rich Shale (LRS) with
 504 the LBM and compared their results with laboratory studies. The authors
 505 observed that standard LBM can give similar remaining oil saturation to that
 506 of the experimental observations, however, significant difference exists in the
 507 relative permeability curves.

508 *3.1.2. Estimation of apparent permeability*

509 When continuum hypothesis breaks down, the gas molecules tend to
 510 “slip” on the solid surface, and the measured gas permeability through a
 511 porous media is higher than that of intrinsic permeability due to gas slippage.
 512 To estimate the gas apparent permeability(k_a) of shale, several approaches
 513 have been proposed from the literature.

514 *3.1.2.1. Klinkenberg model based LBM.* In Klinkenberg model, the apparent
 515 permeability is calculated based on a linear correlation factor(f_c) for correct-
 516 ing the intrinsic permeability (k_0):

$$k_a = k_0 f_c, \quad (35)$$

517 where, f_c is a correction factor and is given by [137]:

$$f_c = \left(1 + \frac{b_k}{p}\right), \quad (36)$$

518 with a slip factor b_k which depends on Kn . Later, it is confirmed that
 519 Klinkenberg’s correlation is only first order accurate. Beskok and Karni-
 520 adakis [19] proposed a second-order correlation by considering the different

521 flow regimes from continuum flow to free molecular flow:

$$f_c = [1 + \alpha(Kn)Kn] \left[1 + \frac{4Kn}{1 - bKn} \right], \quad (37)$$

522 where, slip coefficient b equals to -1 for slip flow, and $\alpha(Kn)$ is a rarefaction
523 coefficient which is given by Florence et al.[22] for a purely diffusive
524 (TMAC=1) situation as:

$$\alpha(Kn) = \frac{128}{15\pi^2} \tan^{-1}[4Kn^{0.4}]. \quad (38)$$

525 Allan and Mavko[138] used the combination of Beskok and Karniadakis-
526 Florence's correlation along with a 3D incompressible LB model to estimate
527 the k_a for a shale image with a 167nm length in each dimension. In their
528 study, the intrinsic permeability k_0 was predicted by standard LBM. Further,
529 the adsorption gas was induced in their model as an immobile phase which
530 affects permeability in two manners: decreasing the gas permeability and
531 changing the TMAC. Moreover, they pointed out that a supercritical phase
532 transition may take place during the pressure depression and diffusive flow
533 mechanisms becomes a negligible mass transport mechanism when gas is in
534 a supercritical phase (see Fig. 8).

535 Allan and Mavko's work appears to be the first to apply the LBM to
536 quantify the effect of slip and adsorption on micro-porous shale rock transport
537 properties. With an average Kn which is derived from flux weighted average
538 pore width, however, the realistic gas flow through the pore space cannot
539 be obtained. Also, the surface diffusion of adsorption gas is not considered
540 in their model, and no further discussions are provided on the influence of
541 adsorption gas on TMAC.

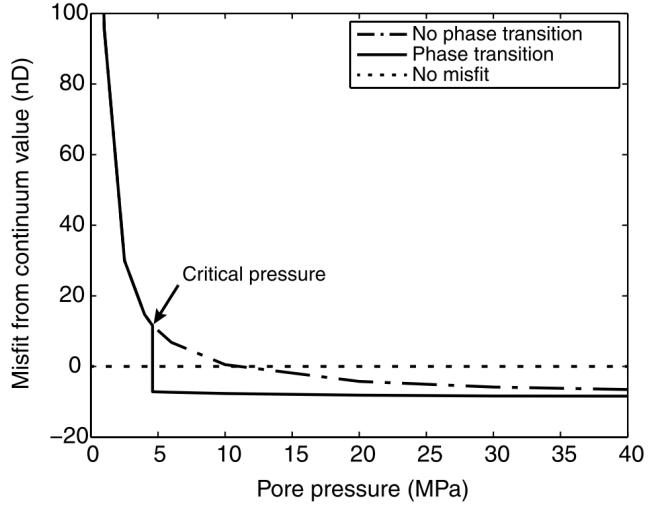


Figure 8: The difference between the total permeability and the continuum permeability as a function of pore pressure. For comparison, two curves are presented corresponding to a gaseous phase (dot-dashed curve), and to a fluid phase that undergoes a supercritical transition (solid curve). The horizontal dotted line marks that the total permeability is equal to the continuum permeability when adsorption layer is not considered.

542 *3.1.2.2. Dusty gas model (DGM) based LBM.* The dusty gas model is based
 543 on the superposition of convection and molecular spatial diffusion(Knudsen
 544 diffusion):

$$J = -\frac{\rho k_a}{\mu} = J_d + J_k = -\frac{\rho k_d}{\mu} \nabla p + -\frac{\rho}{p} D_{eff} \nabla p, \quad (39)$$

545 where, J is the mass flux per unit area, J_d is the viscous flow flux and J_k is
 546 the Knudsen diffusion flux. D_{eff} is the effective Knudsen diffusivity. Based
 547 on Eq.39, the apparent permeability can be calculated as:

$$k_a = k_0 \left(1 + \frac{D_{eff} \mu}{p k_0}\right). \quad (40)$$

548 Very recently, a DGM based LB model was proposed by Chen et al.[139]
 549 to calculate the apparent permeability of shale. In this model a MRT-LBM
 550 for fluid flow and a SRT-LBM for mass transport were used to estimate
 551 intrinsic permeability k_0 and effective Knudsen diffusivity D_{eff} , respectively.
 552 In order to account for the variation of local Knudsen diffusivity with local

553 pore diameter, the relaxation time used in the LBM transport model was
 554 modified based on:

$$\tau_g = \frac{d_p}{d_{p,ref}}(\tau_{p,ref} - 0.5) + 0.5, \quad (41)$$

555 where d_p is local pore diameter, $d_{p,ref}$ is a reference pore size which is chosen
 556 as 25 nm in their simulation, and $\tau_{p,ref}$ is set as 1.0.

557 The DGM based LB model developed by Chen et al.[139] was adopted
 558 to estimate the tortuosity and the gas apparent permeability of four recon-
 559 structed shale samples from Sichuan Basin (China). Their simulation results
 560 indicate that commonly used Bruggeman equation greatly underestimates
 561 tortuosity of shale, and DGM based LB model can give a comparable results
 562 of apparent permeability as that given by Beskok and Karniadakis-Civan's
 563 correction[140](see Fig.9).

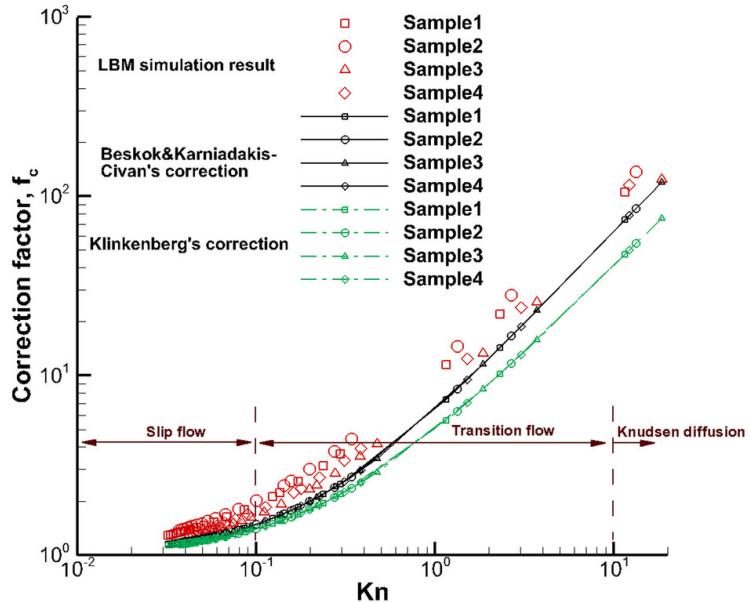


Figure 9: Correction factors predicted by the LBM and empirical correlations under different Knu

564 Later, the same techniques were applied by them to estimate the appar-
 565 ent permeability of 250 reconstructed kerogen samples using a reconstruc-
 566 tion method called overlapping sphere method, with a porosity ranging from 0.1
 567 to 0.55, and a mean diameter of 30, 45, and 60 nm[141]. The simulation

568 results further confirm the high tortuosity of kerogen pores and Beskok
 569 and Karniadakis-Civan's correlation for calculating apparent permeability
 570 of shale matrix.

571 To our best knowledge, Chen et al.'s work[139][141] is the first study of nu-
 572 merically investigating the effective Knudsen diffusivity based on real porous
 573 structures of shale with the LBM. The advantage of this method is ease
 574 of implementation. In their DGM based LBM, gas adsorption and surface
 575 diffusion are not considered, and the Knudsen diffusion term used in DGM
 576 contains the assumption of fully diffusive boundary condition (TMAC=1)
 577 which underestimates the mass flux in the transition regime[142].

578 *3.1.2.3. Slip N-S model based LBM.* Slip N-S model based LBM is the direct
 579 simulation of gas flow through pore structure with the use of appropriate
 580 slip boundary conditions and effective relaxation time(See section 2). A few
 581 attempts have been made in the literature by applying slip LBM to shale
 582 gas flow through kerogen pores. In these applications, additional physical
 583 properties are added into slip boundary conditions and LB model to reflect
 584 the adsorption gas and/or surface diffusion effect.

585 Fathi and Akkutlu [143] developed a LB-Langmuir isotherm model in
 586 which LSB was used to capture the velocity slip. To consider the impact of
 587 surface diffusion, u_w in Eq.27 was calculated based on:

$$u_w = u_a = \frac{D_s}{D_k \rho_a} \left[\rho_g \mu_g \frac{KC_{\mu s}}{(1 + KC)^2} \right], \quad (42)$$

588 where, C is the free gas density, $C_{\mu s}$ is the maximum adsorption capacity,
 589 D_k is the tortuosity-corrected coefficient of molecular diffusion, D_s is surface
 590 diffusion coefficient, ρ_a is the adsorbed-phase density, and K is the equilib-
 591 rium partition (or distribution) coefficient. Interestingly, the pseudopotential
 592 model proposed by Shan and Chen[144] was also employed in their LB model
 593 to consider the non-ideal gas effect and the interactions between solid and
 594 gas, however, it is argued that this treatment may lead to a double consider-
 595 ation of the gas-solid interactions[145] as pseudopotential model and slip LB
 596 are parallel in capturing gas slippage[82][83][84].

597 Based on BR and Langmuir isotherm model, Ren et al.[146] proposed a
 598 different form of LB slip boundary condition, in which the surface diffusion
 599 of adsorption gas is modelled as a moving wall. In their model, the transport
 600 rate of adsorbed gas is independent of D_k as mentioned in Eq.42 and can be

601 expressed as:

$$u_a = -D_s \frac{\rho_s M}{\rho_a V_{std}} \frac{q_L p_L}{(p_L + p_{free})^2} \frac{\partial p_{free}}{\partial x}, \quad (43)$$

602 where, M is the molecular weight of the gas, ρ_s is the organic solid density,
 603 V_{std} is the gas volume per mole at standard temperature and pressure, q_L ,
 604 p_L and p_{free} are Langmuir volume, Langmuir pressure, and free-gas pres-
 605 sure, respectively. They demonstrated that their model can predict more
 606 reasonable physical behaviour compared to that in Fathi and Akkutlu[143],
 607 however, no validation is provided when considering the adsorption gas and
 608 surface diffusion effect. The non-ideal gas effect was also studied by Ren et
 609 al.[146](see Fig.10), and they demonstrated the necessity to use LB under
 610 real gas conditions instead of the one under ideal gas conditions as large
 611 difference exists between the simulation results for ideal and non-ideal gas.

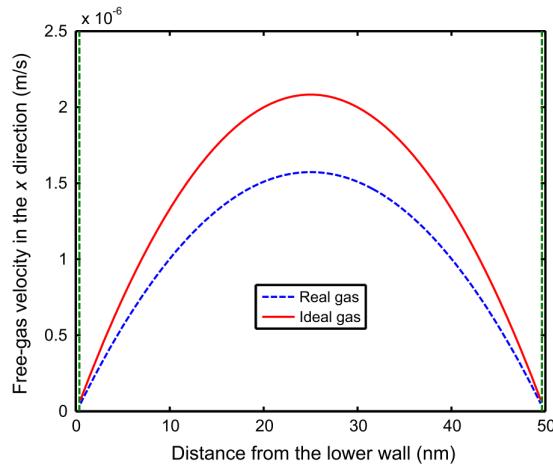


Figure 10: Comparison of free-gas velocity profiles in Kerogen pores using the LB under ideal and non-ideal gas conditions

612 Slip N-S based LBM gets rid of the usage of empirical or semi-empirical
 613 correlations, and it is believed that it can provide accurate simulation re-
 614 sults comparable with experimental studies. The main advantage of this
 615 method is that detailed local information of the flow (such as velocity field
 616 and pressure field) can be obtained and the local information can be used to
 617 study macroscopic relations. The slip N-S based LBM is, however, still in
 618 its infancy, and its applications in shale are limited to single channel flow.

619 Also current complex boundary treatments prevent its further application in
 620 porous media[82][94].

621 *3.2. REV scale simulation*

622 Due to the huge computational cost, pore-scale LBM is impractical to
 623 perform REV-scale simulations of porous shale. Therefore, several alternative
 624 approaches are proposed to apply the LBM on REV scale[36][147][148][149].

625 To apply the LBM for REV scale flow simulation, Guo et al.[36] proposed
 626 a generalized LB model, in which the forcing term(Eq.6) was related to the
 627 porosity of porous media:

$$F_i = (1 - \frac{1}{2\tau})\omega_i \left[\frac{\mathbf{c}_i - \mathbf{u}}{c_s^2} + \frac{\mathbf{c}_i \cdot \mathbf{u}}{\varepsilon c_s^4} \mathbf{c}_i \right] \cdot \mathbf{F}, \quad (44)$$

628 with

$$\mathbf{F} = -\frac{\varepsilon\mu}{k_0}\mathbf{u} - \frac{\varepsilon\rho F_\varepsilon}{\sqrt{k_0}}|\mathbf{u}|\mathbf{u} + \varepsilon\rho\mathbf{G}, \quad (45)$$

629 where, F_ε is the geometry function and k_0 is the intrinsic permeability. Both
 630 F_ε and k_0 need to be estimated based on the empirical relationships with
 631 porosity, ε .

632 Chen et al.[150] further extended this generalized LBM for slip flow by
 633 considering Klinkenberg's effect on REV scale, and this was achieved by the
 634 usage of apparent permeability, k_a instead of k_0 . Beskok and Karniadakis-
 635 Civan's correlation [20] was adopted to calculate k_a :

$$k_a = k_0 \left[1 + \frac{1.358}{1 + 0.17Kn^{-0.4348}} Kn \right] \left[1 + \frac{4Kn}{1 + Kn} \right], \quad (46)$$

636 where, k_0 is calculated based on Kozeny-Carman (KC) equation[151], and
 637 the local characteristic pore radius for the calculation of Kn was estimated
 638 by[152]:

$$r = 0.08886 \sqrt{\frac{k_0}{\varepsilon}}. \quad (47)$$

639 Chen et al.[150] performed several analysis based on a heterogeneous shale
 640 matrix with natural fractures, organic matter and inorganic minerals., Later
 641 the same technique were used by them to quantify the permeability of re-
 642 constructed shale matrix[153]. Their simulation results qualitatively and
 643 quantitatively confirm the increase in permeability induced by Klinkenberg's

644 effect. Moreover, Chen et al's study provides a framework of applying the
645 LBM on REV scale for further research, within this framework, other physi-
646 cal effects (such as adsorption and surface diffusion) can be easily integrated
647 by modifying the local apparent permeability.

648 4. Discussions and Conclusions

649 The LB method has gone through significant improvements over the years
650 and has become a viable and efficient substitute for N-S solver for many
651 flow problems. Because of the underlying kinetic nature, LB equation has
652 attracted a huge interest in its extension to mimic micro-gaseous flows. In
653 this paper we presented a general review of the LBM with an emphasis on
654 boundary conditions, treatments for relaxation time and application of the
655 LBM in isothermal fluid/gas flow simulation in shale gas reservoir.

656 It has been found that the LBM is an effective method for simulating
657 micro gas flow in continuum to slip flow regime. For example, through the
658 Chapman-Enskog expansion, lattice Boltzmann equation can be proved to
659 recover the macroscopic continuity and momentum (N-S) equations. In slip
660 flow regime, the Knudsen layer takes a small portion of the channel height,
661 which can be neglected by extrapolating the bulk gas flow towards the wall.
662 In this case, with the implementation of proper relaxation time and com-
663 bination coefficients for various boundaries such as BB, SR or MD, the LB
664 equation can give similar results to that of N-S equation with slip boundary
665 conditions for pressure driven/force driven micro-channel flow and micro-
666 Couette flow.

667 In transition flow regime, the Knudsen layer effect is significant, and the
668 N-S equation with first-order slip boundary breaks down. Second-order or
669 high-order slip boundary conditions are needed, and the change of the local
670 mean free path/Knudsen number inside of the Knudsen layer has to be con-
671 sidered. The validation with MD or DSMC simulation results indicates that
672 the LBM can be extended to simulate gas flow in transition flow regimes
673 with high-order velocity sites and/or using wall function approach or ap-
674 proximated viscosity approach. However, because current effective viscosity
675 models are only accurate with a moderate range of Knudsen number and the
676 degree of freedom in the momentum space is very limited, difficulties still
677 exist in studying non-equilibrium gas flow with high Knudsen numbers using
678 LBM.

679 Several LB approaches are proposed to study gas transport in shale gas
680 reservoir both on pore scale and REV scale. Pore scale simulation is an
681 effective way to improve the understanding of different flow mechanisms.
682 Application of the LBM to study shale gas transport on pore scale depends
683 very much on its ability to capture micro-gaseous flow and the transport of
684 adsorption gas. Slip N-S based LBM has the potential to provide accurate
685 simulation results comparable with experimental studies with small Knudsen
686 number. Existing slip N-S based LB models are premature to accurately
687 estimate micro-flow properties in porous shale, and Klinkenberg model or
688 DGM based LBM provide an alternative way to simulate gas flow on pore
689 scale. The development of REV scale based LBM enable us to simulate gas
690 flow on a larger scale, however, the simulation study should be complemented
691 by laboratory studies on core samples. Increase in efficiency of these methods
692 for simulation of micro-gaseous flow have the potential for applications in
693 evaluating shale gas reservoirs.

694 The LBM still has a number of limitations in studying micro-gaseous
695 flow, such as capturing the gas-solid interactions and non-ideal gas effect.
696 Compared to other methods such as MD and DSMC, most of the previous
697 applications of the LBM are more phenomenological than physical. For gas
698 flow in shale gas reservoir, studies based on experiments, MD and DSMC are
699 recommended to be carried out before using the LBM.

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