

# Convolutional Neural Networks for Automatic Threat Detection in Security X-Rays

Funding Source: DHS



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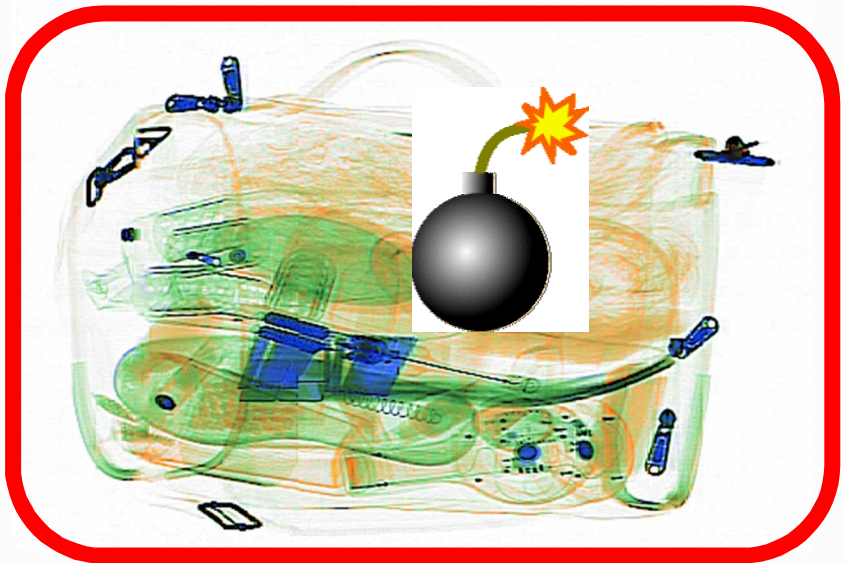
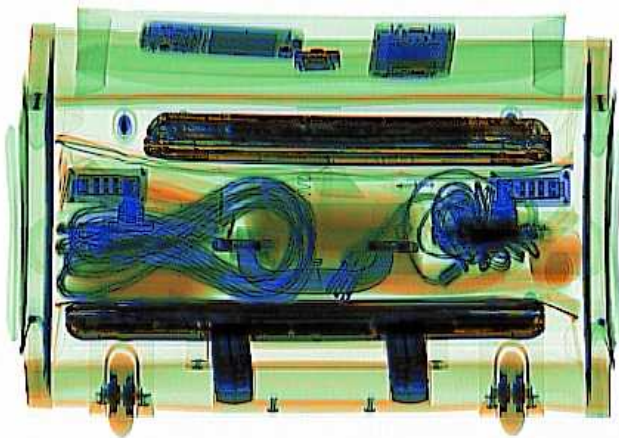
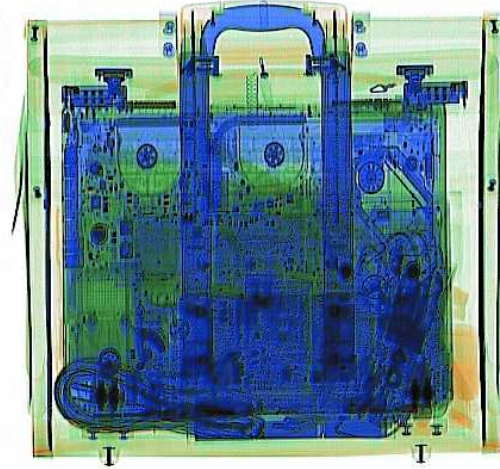
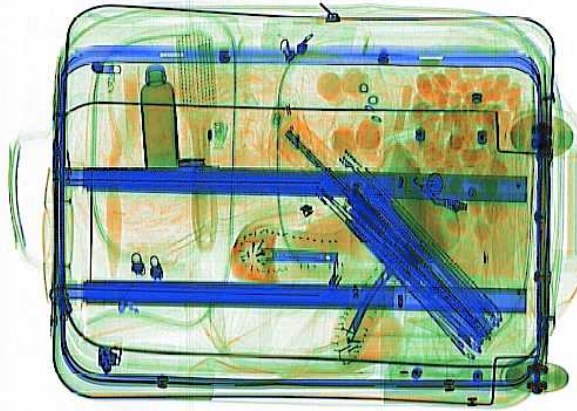


Eric Goodman/9365

This project applies Convolutional Neural Networks (CNNs) to the task of automatic threat detection, specifically explosives, in security X-ray scans of passenger baggage. Our data preparation methods preserve important features of the images while making our data compatible with different models. Using three different prebuilt state-of-the-art models and taking advantage of the properties of the X-ray scanner, we achieve reliable detection of threats. We employ transfer learning and training set augmentation to overcome our relatively small dataset. We also use visualizations to help interpret and adjust the training of our models.

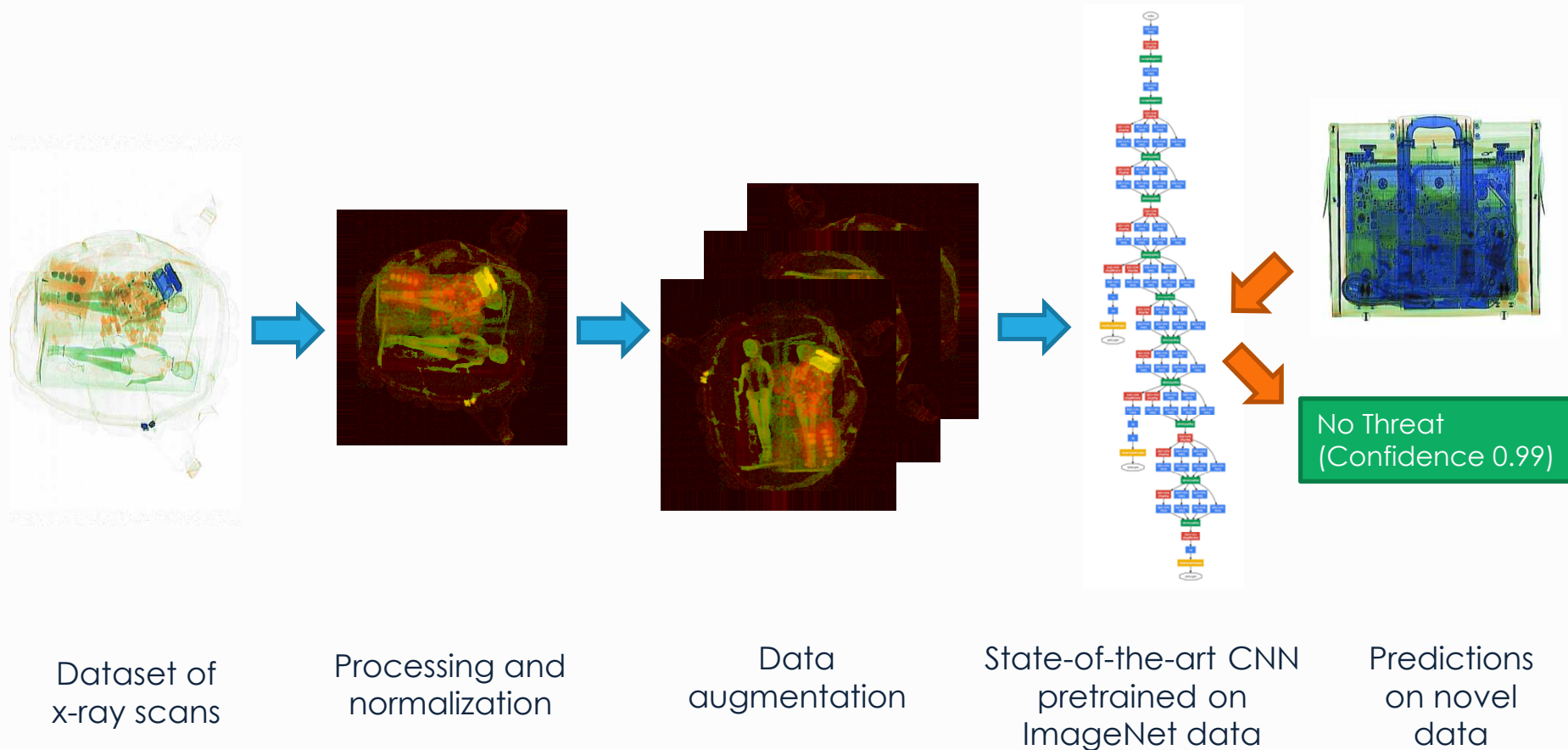
# Problem you are trying to solve

Detect explosives in passenger baggage X-rays



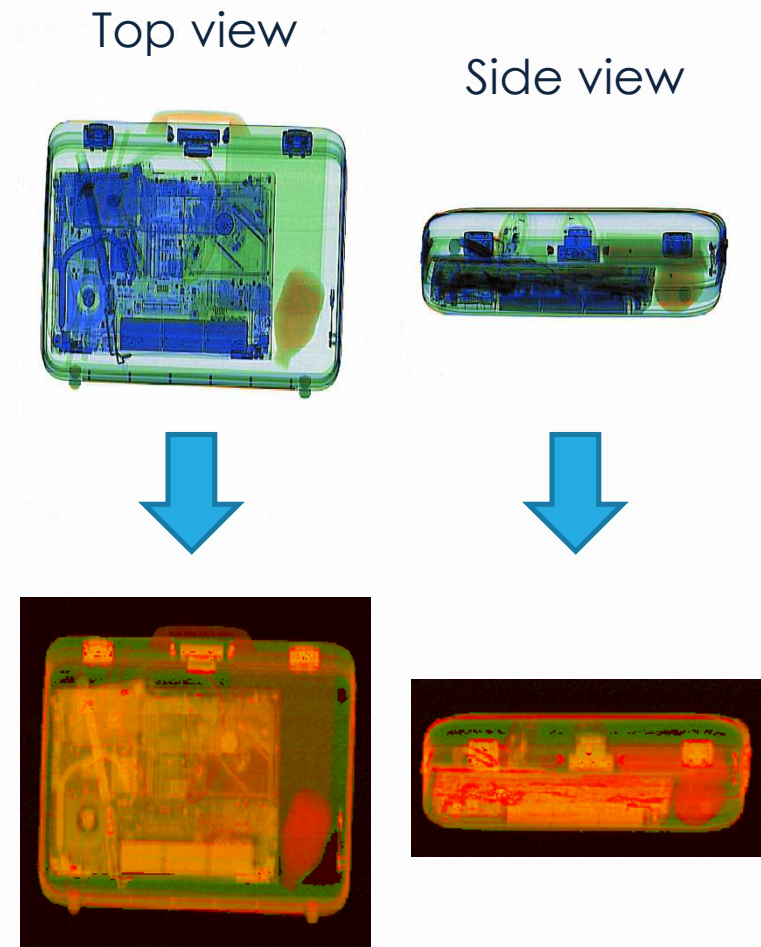


# Algorithmic approach of your solution

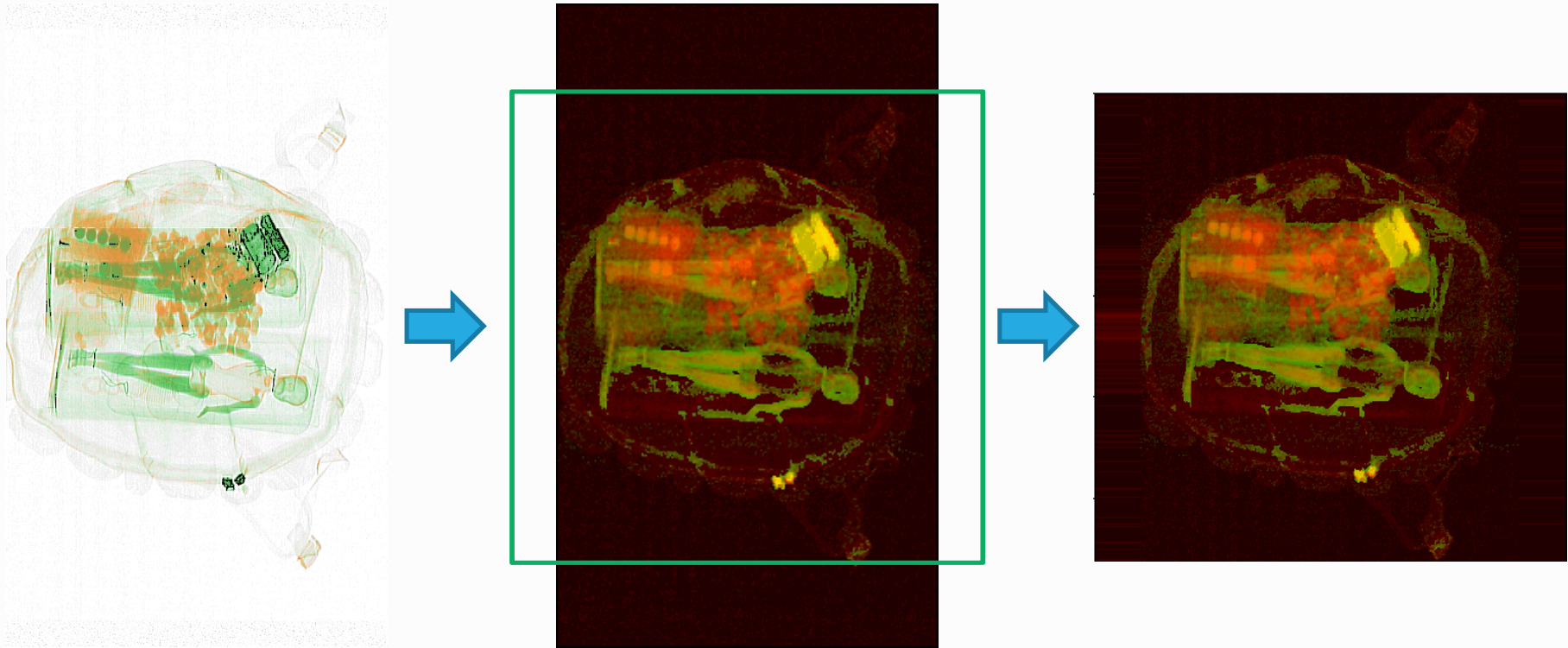


# Passenger Baggage Object Dataset (PBOD)

- Around 7000 scans in DICOS format
- Two channels:
  - Intensity (red)
  - Z-effective (green)
- Two views:
  - Top
  - Side
- Scans are repeated
  - Problematic



# Data Processing



- Normalize channels to range from 0 to 1
- Crop to 299x299

# Problem: Not a lot of data

- Augmentation: Random flips, rotations, shifts, and zooms
- Transfer learning

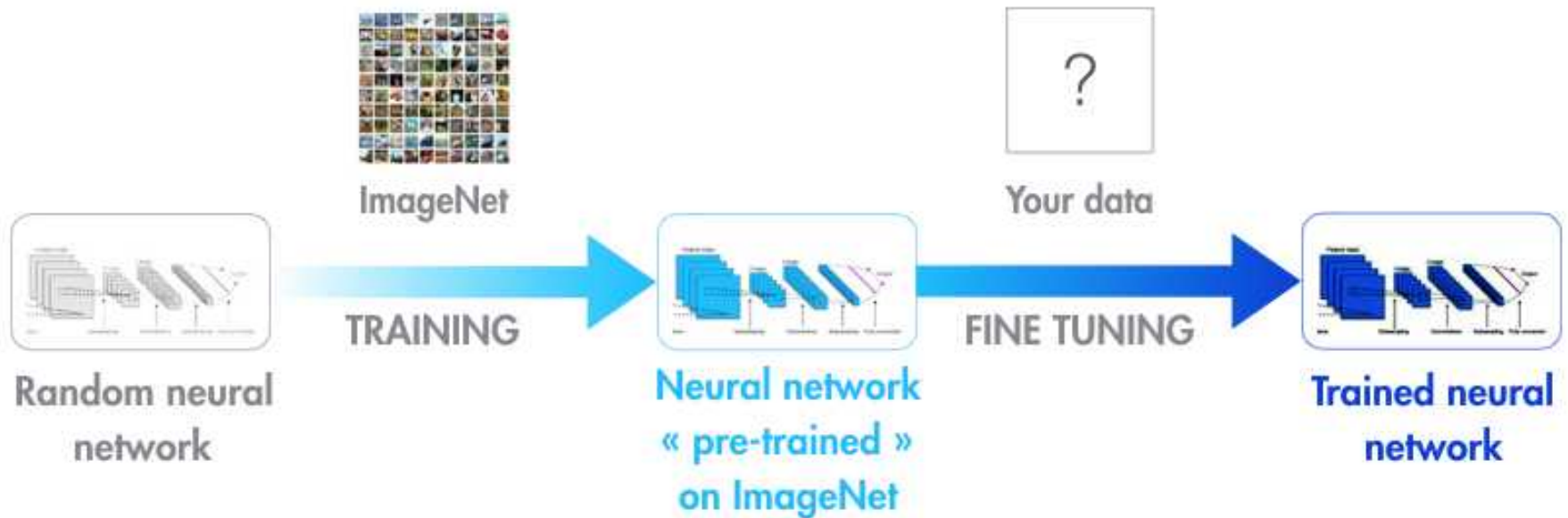


Diagram illustrating a deep convolutional neural network (CNN) architecture for handwritten digit recognition. The network consists of an input layer, eight convolutional layers, three pooling layers, and a fully connected layer.

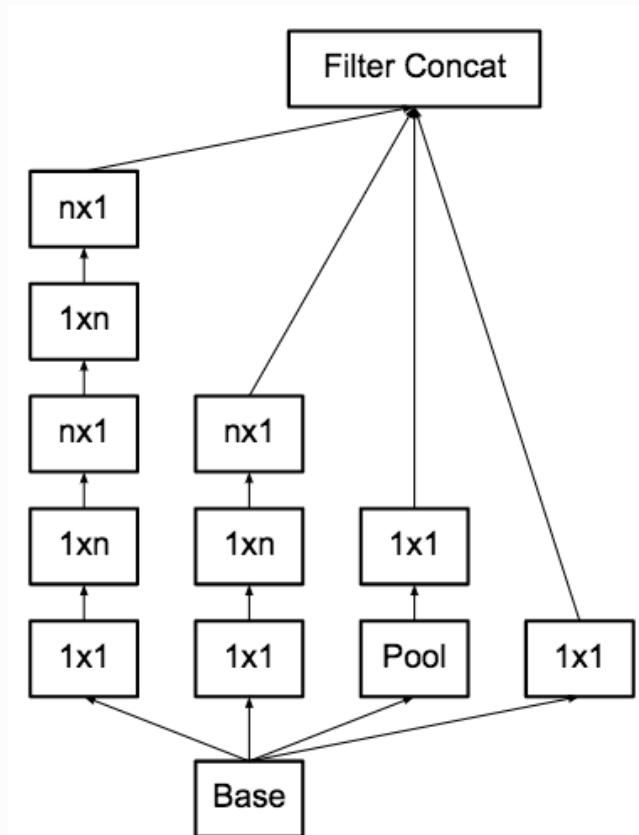
**Legend:**

- Convolution (Orange)
- AvgPool (Blue)
- MaxPool (Green)
- Concat (Red)
- Dropout (Purple)
- Fully connected (Dark Blue)
- Softmax (Dark Red)



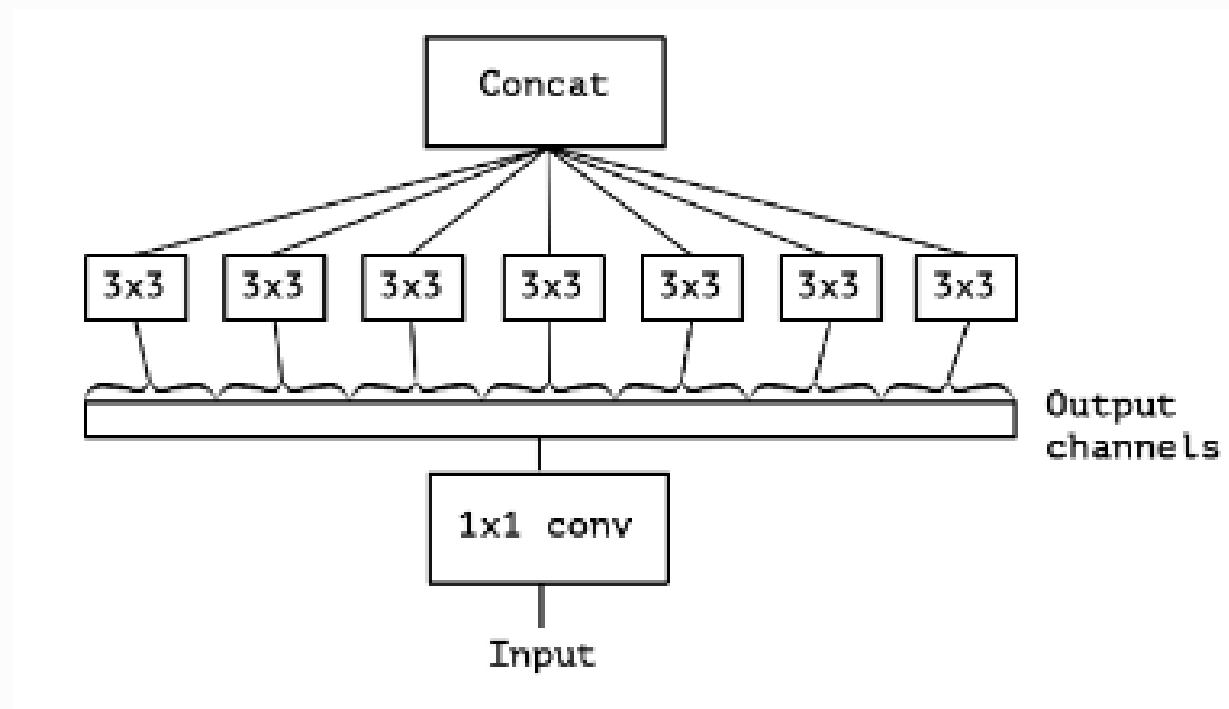
# Models – InceptionV3 Inception Module

- “Multi-level feature extractor”
- Two performance increasing principles:
  - 5x5 convolution filters can be replaced by two consecutive 3x3 filters which achieves:
    - Same effective receptive input size and output depth
    - Less computationally expensive
  - 3x3 convolution filters can be replaced by consecutive asymmetric convolutions: 3x1 and then 1x3



## Xception (Google)

- Also 25 million parameters
- Replaced Inception modules with “Depthwise-separable convolutions”



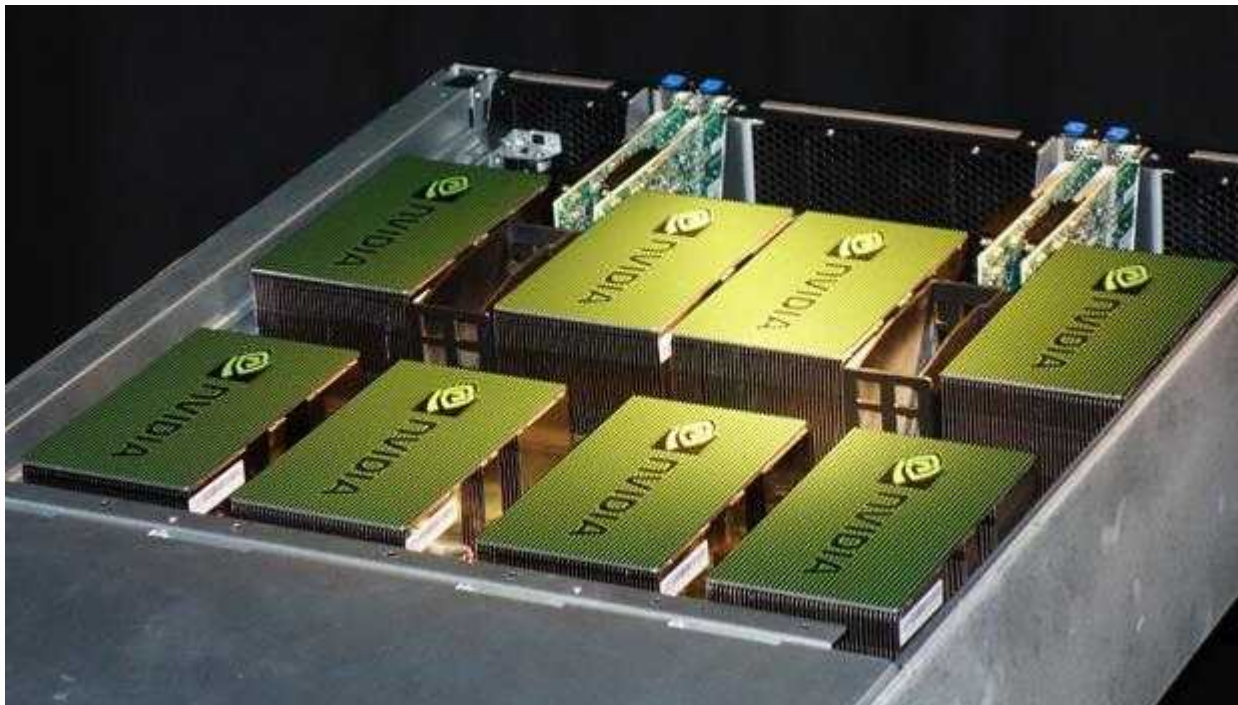
## VGGNet 19 (Visual Geometry Group, Univ. of Oxford)

- 144 million parameters

ConvNet Configuration					
A	A-LRN	B	C	D	E
11 weight layers	11 weight layers	13 weight layers	16 weight layers	16 weight layers	19 weight layers
input (224 × 224 RGB image)					
conv3-64	conv3-64 <b>LRN</b>	conv3-64 <b>conv3-64</b>	conv3-64 conv3-64	conv3-64 conv3-64	conv3-64 conv3-64
maxpool					
conv3-128	conv3-128	conv3-128 <b>conv3-128</b>	conv3-128 conv3-128	conv3-128 conv3-128	conv3-128 conv3-128
maxpool					
conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256 <b>conv1-256</b>	conv3-256 conv3-256 <b>conv3-256</b>	conv3-256 conv3-256 conv3-256 <b>conv3-256</b>
maxpool					
conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 <b>conv1-512</b>	conv3-512 conv3-512 <b>conv3-512</b>	conv3-512 conv3-512 conv3-512 <b>conv3-512</b>
maxpool					
conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 <b>conv1-512</b>	conv3-512 conv3-512 <b>conv3-512</b>	conv3-512 conv3-512 conv3-512 <b>conv3-512</b>
maxpool					
FC-4096					
FC-4096					
FC-1000					
soft-max					

## NVIDIA DGX-1

- 8 Tesla P100s
- 28672 total CUDA cores, 16GB/GPU
- 2x 20-Core Intel Xeon CPU
- Thanks to Sandia HPC



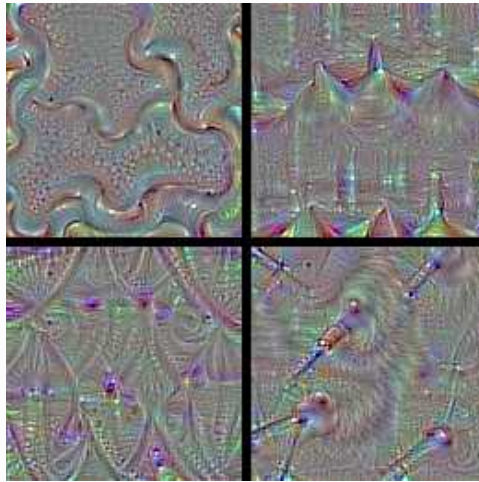


# Results

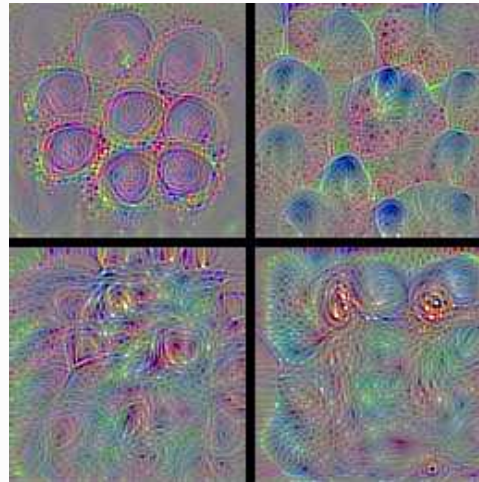
Model	Single-view Accuracy (%)	Combined-view Accuracy (%)
InceptionV3	82.14	85.28
Xception	86.17	86.32
VGG19	<b>88.20</b>	88.69
InceptionV3 Pretrained	85.08	87.23
Xception Pretrained	87.00	87.63
VGG19 Pretrained	87.99	<b>90.60</b>

# Visualizations – Maximal Activation

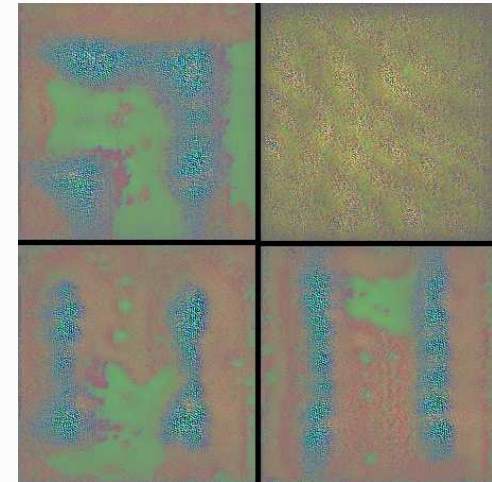
- Attempt to gain insight into how well our model is learning
  1. Take random noise and put it through the network
  2. Measure how much a certain neuron activates, and using gradient ascent iteratively modify the noisy image to maximize activation



Pretrained on  
ImageNet



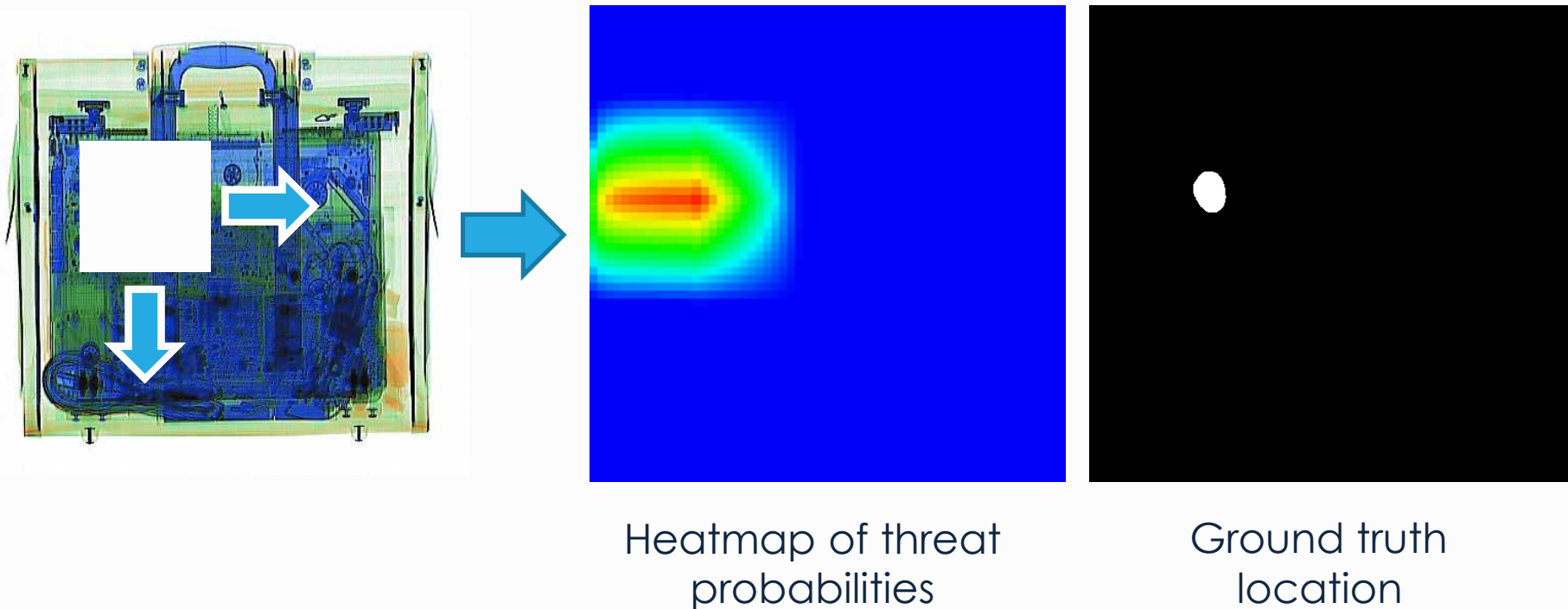
Retrained to our  
data



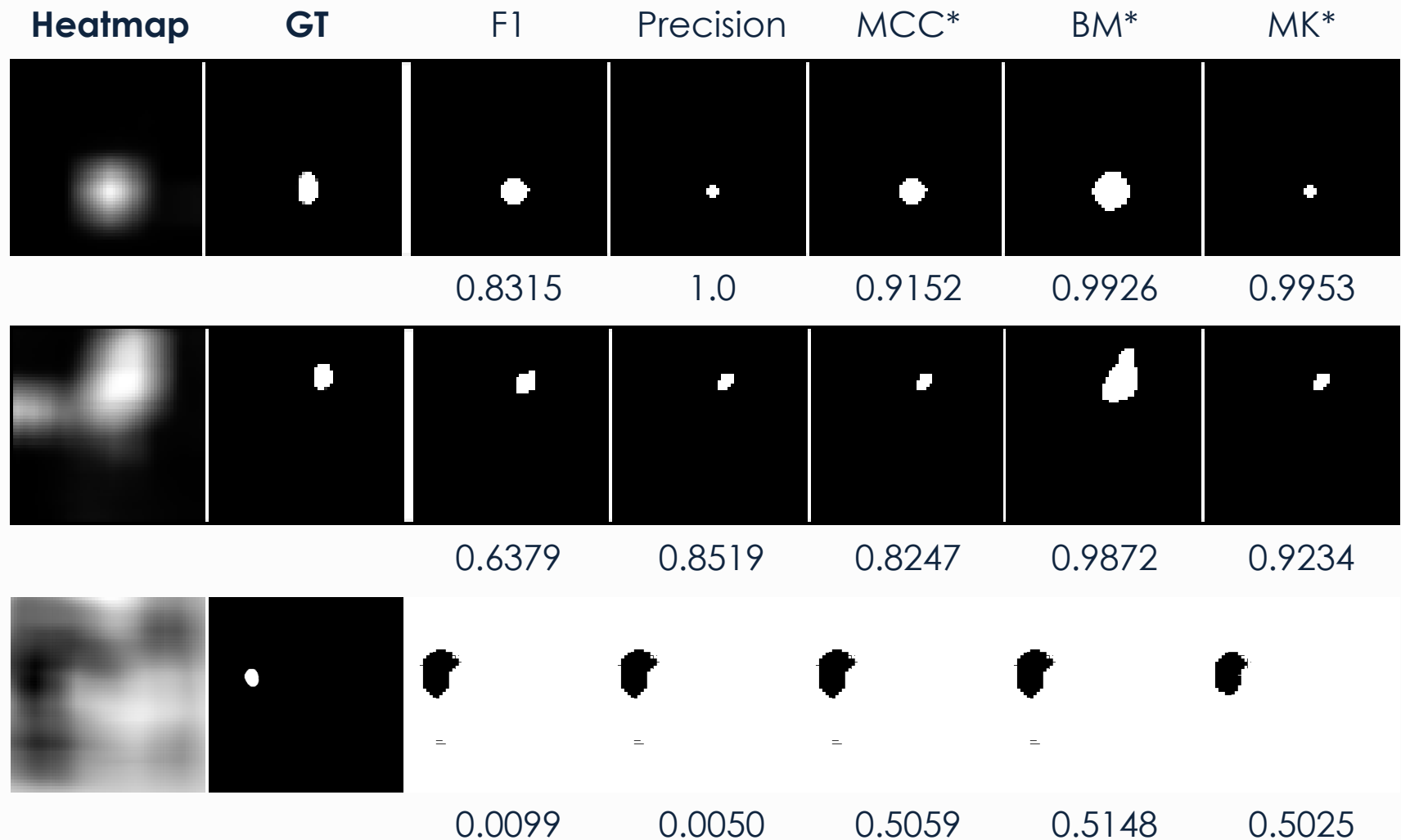
Trained from  
scratch

# Visualization – Heatmaps

- Without any additional training or modification to the network, we applied a “sliding occluder” method to output a heat map which can show where detected threats are



# Evaluation – Heatmaps



\* Modified to scale from 0 to 1 instead of -1 to 1



# Results - Heatmaps

Model	Avg F1	Avg PRE	Avg MCC	Avg BM	Avg MK	BM>0.7
INC	0.0881	0.0841	0.5530	0.6631	0.5393	0.3669
INC-P	0.1437	0.1581	0.5858	0.7361	0.5754	0.5556
XCP	0.1225	0.1301	0.5719	0.6964	0.5622	0.4393
XCP-P	0.2038	0.2381	0.6212	<b>0.8080</b>	0.6157	<b>0.7209</b>
VGG	0.0545	0.419	0.5331	0.6071	0.5183	0.2093
VGG-P	<b>0.2436</b>	<b>0.3027</b>	<b>0.6340</b>	0.7839	<b>0.6469</b>	0.6512

- Initial results indicate CNNs are effective in detecting explosives within x-rays images.
- Visualizations can provide insight into how to improve your models