

# Residual Stress measurement of Aerosol Deposited Films

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# Aerosol Deposition (AD)

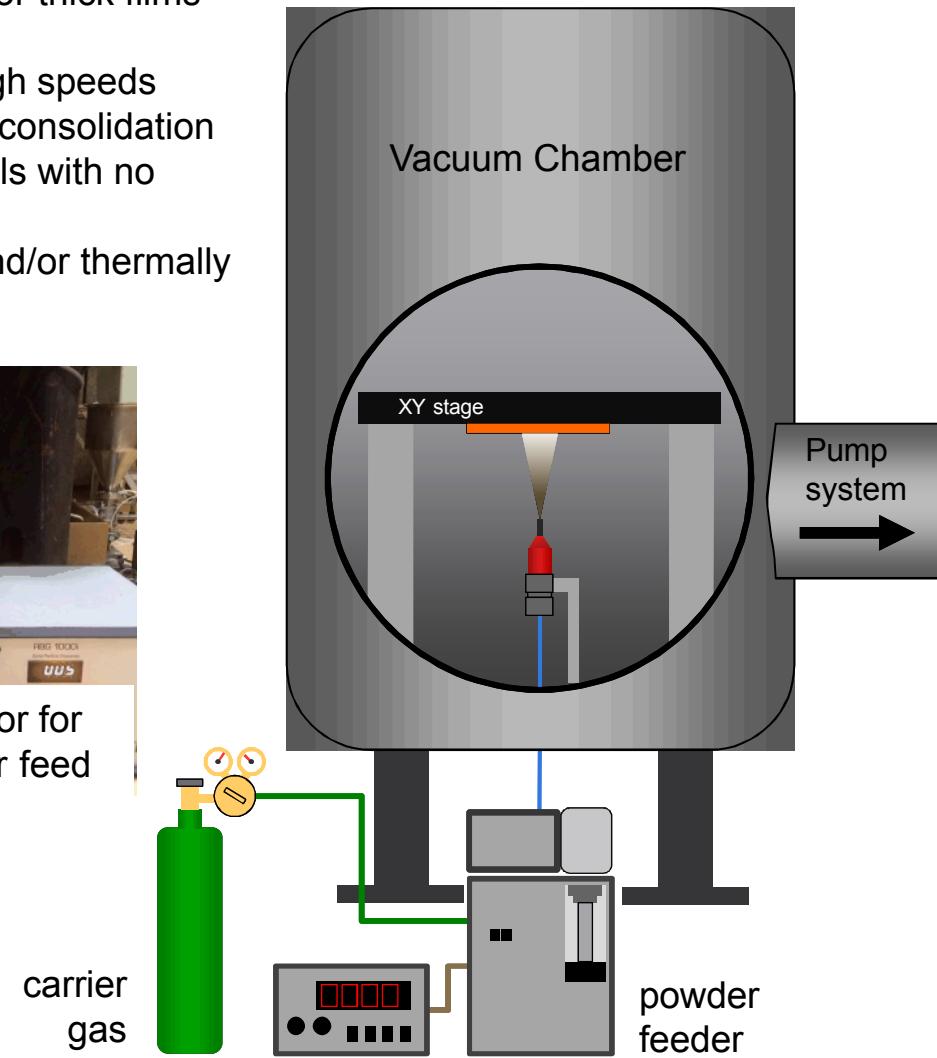
- Room temperature, solid state deposition process for thick films ( $>1\mu\text{m}$ )
- Relies on aerosolized fine powder accelerated to high speeds ( $>300\text{m/s}$ ) within a vacuum environment for coating consolidation
- Capable of depositing ceramics, carbides, and metals with no feedstock melting or substrate heating
- Enabling integrations of high melting temperature and/or thermally sensitive materials



Nozzles for achieving supersonic particle flow



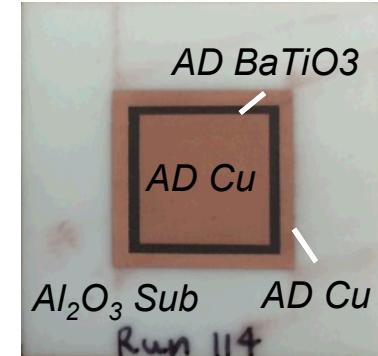
Aerosol Generator for controlled powder feed



# Residual Stress in AD films

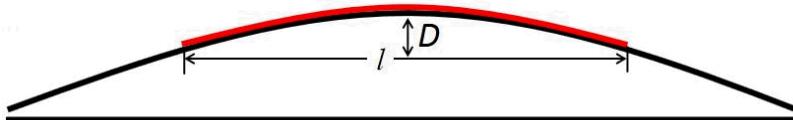
## ■ Motivation for study

- Multi-layer integration, device building utilizing AD films
- Understand process parameter influence on stress, coating formation dynamics, and resultant properties
- Additional method for assessing repeatability of process

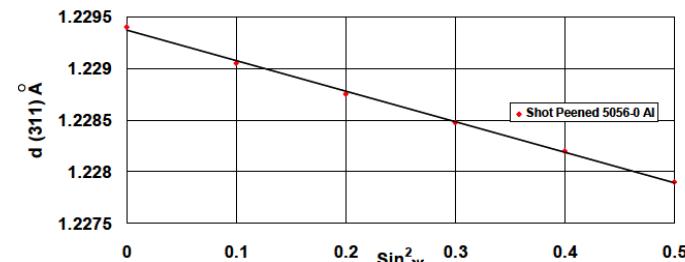


## ■ Common measurement techniques for thin/thick films

- X-Ray diffraction ( $\Psi^2$  method)
- Neutron diffraction
- Substrate Curvature – Stoney Formula
- Layer removal



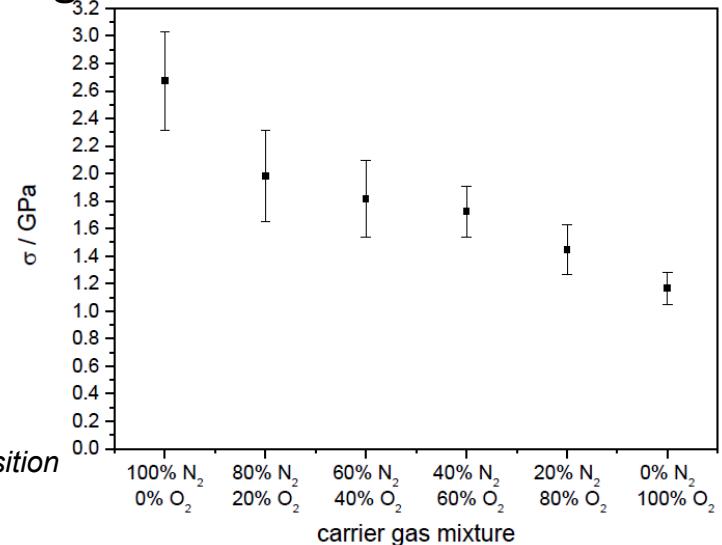
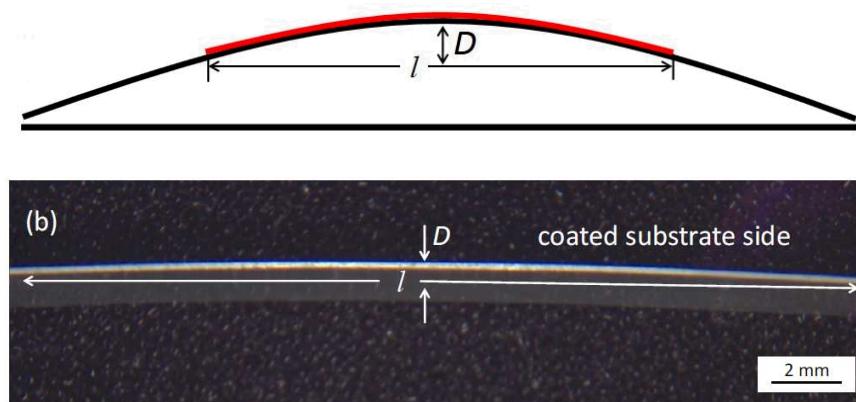
Schubert, Michael, Jörg Exner, and Ralf Moos. "Influence of carrier gas composition on the stress of Al<sub>2</sub>O<sub>3</sub> coatings prepared by the aerosol deposition method." *Materials* 7.8 (2014): 5633-5642.



Fitzpatrick, M., T. Fry, and P. Holdway. "NPL good practice guide no. 52: determination of residual stresses by X-ray diffraction—Issue 2." *NPL, Great Britain* (2005).

# Residual Stress in AD films: Literature Survey

- Curvature based measurements – Schubert et al.
  - Use of curvature to determine process gas influence on stress



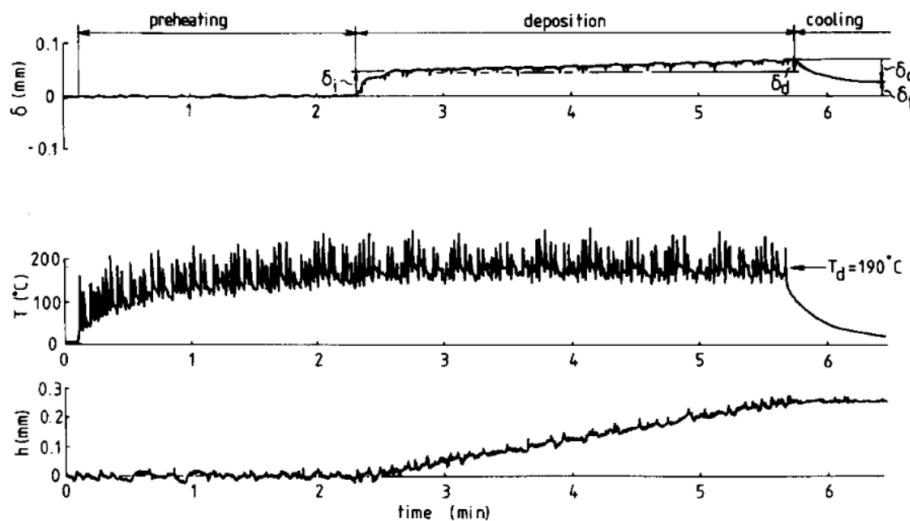
Schubert, Michael, Jörg Exner, and Ralf Moos. "Influence of carrier gas composition on the stress of  $\text{Al}_2\text{O}_3$  coatings prepared by the aerosol deposition method." *Materials* 7.8 (2014): 5633-5642.

- XRD based measurements - Hoshina et al.
  - Determined 180-250 MPa of residual stress in  $\text{BaTiO}_3$  films

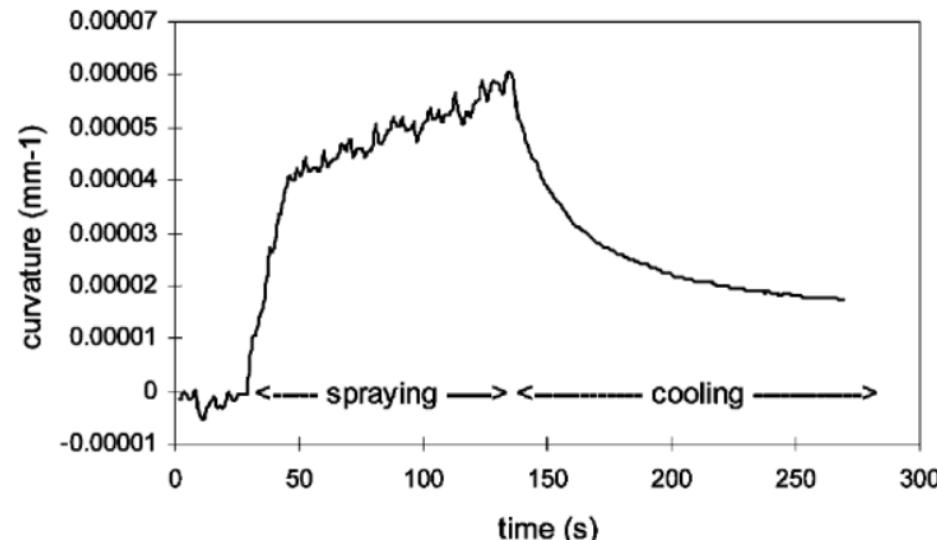
Hoshina, Takuya, et al. "Size effect of nanograined  $\text{BaTiO}_3$  ceramics fabricated by aerosol deposition method." *Japanese Journal of Applied Physics* 49.9S (2010): 09MC02.

# In-situ Curvature Measurements in Thermal Spray

- Allows observation of coating dynamics in real time
- Discrete measurements of stress during deposition and cooling
- Now available as commercial tool

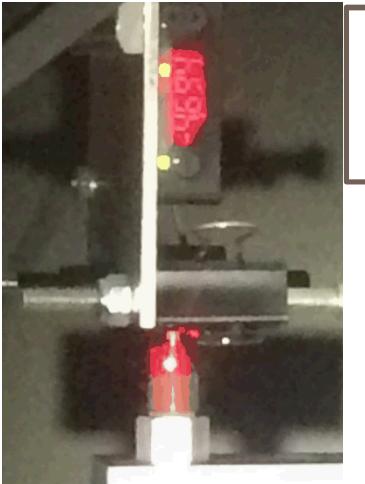


Kuroda, S., T. Fukushima, and S. Kitahara. "Simultaneous measurement of coating thickness and deposition stress during thermal spraying." *Thin solid films* 164 (1988): 157-163.



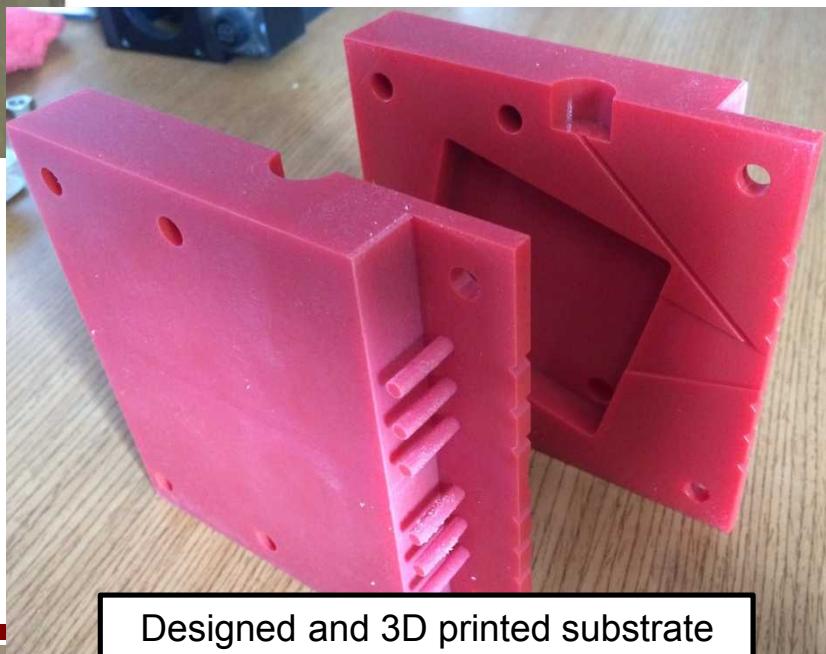
Matejicek, J., et al. "In situ measurement of residual stresses and elastic moduli in thermal sprayed coatings: Part 2: processing effects on properties of Mo coatings." *Acta Materialia* 51.3 (2003): 873-885.

# Experimental setup for substrate deflection from AD films

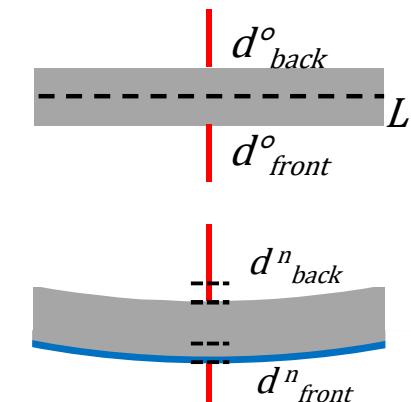
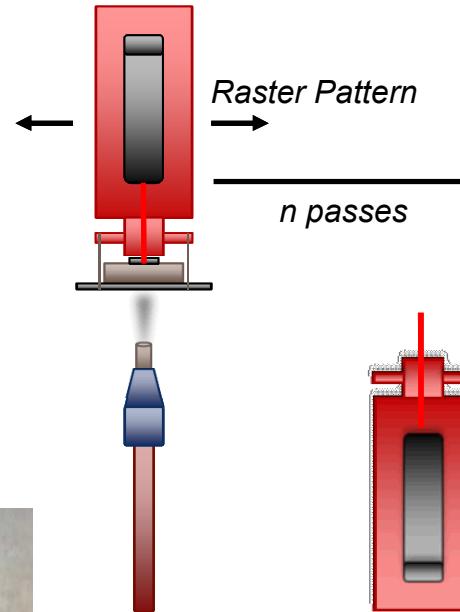


Center Point : 30mm  
Range :  $\pm 4\text{mm}$   
Resolution:  $\pm 1\mu\text{m}$

Preliminary measurements  
and feasibility



Designed and 3D printed substrate  
holder/laser measurement fixture



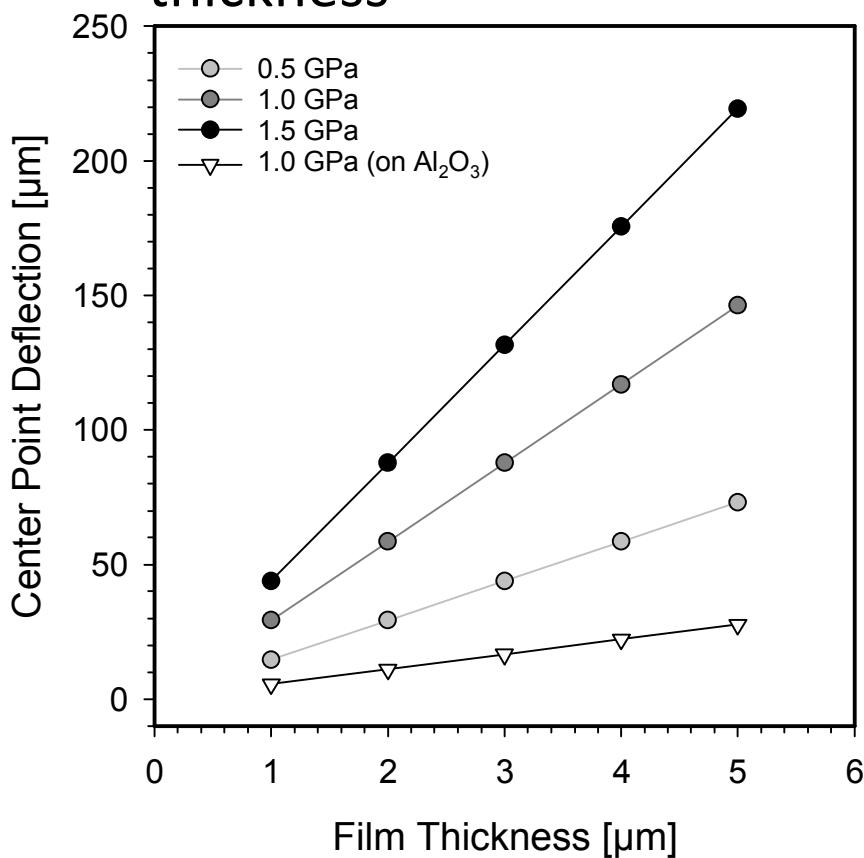
$$t^n_{film} = d^o_{front} - d^n_{front} - d^n_{back}$$

$$K^n = \frac{2 dn_{front}}{d^n_{front}^2 + \frac{L^2}{4}}$$

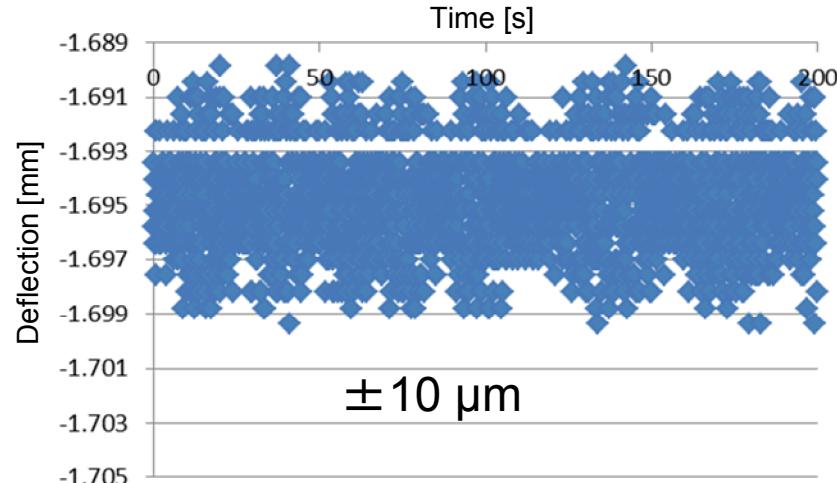
$$\text{Stoney } \sigma_{Film} = \frac{E'_{sub} t_{sub}^2 (Kn - K^o)}{6 tn_{film}}$$

# Expected substrate deflection in AD

- Back calculations of expected deflection based on expected film stress, substrate dimensions and stiffness, and film thickness

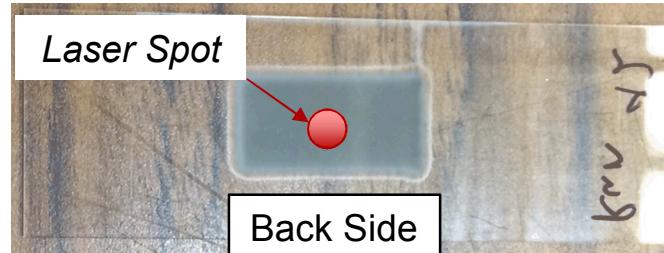
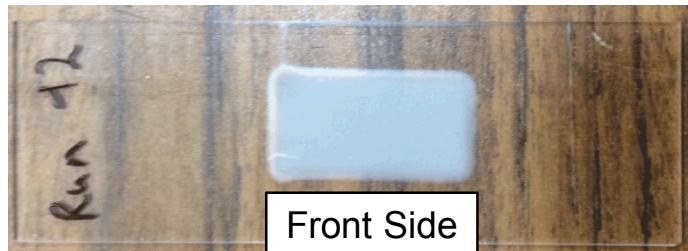


Laser measurement background noise

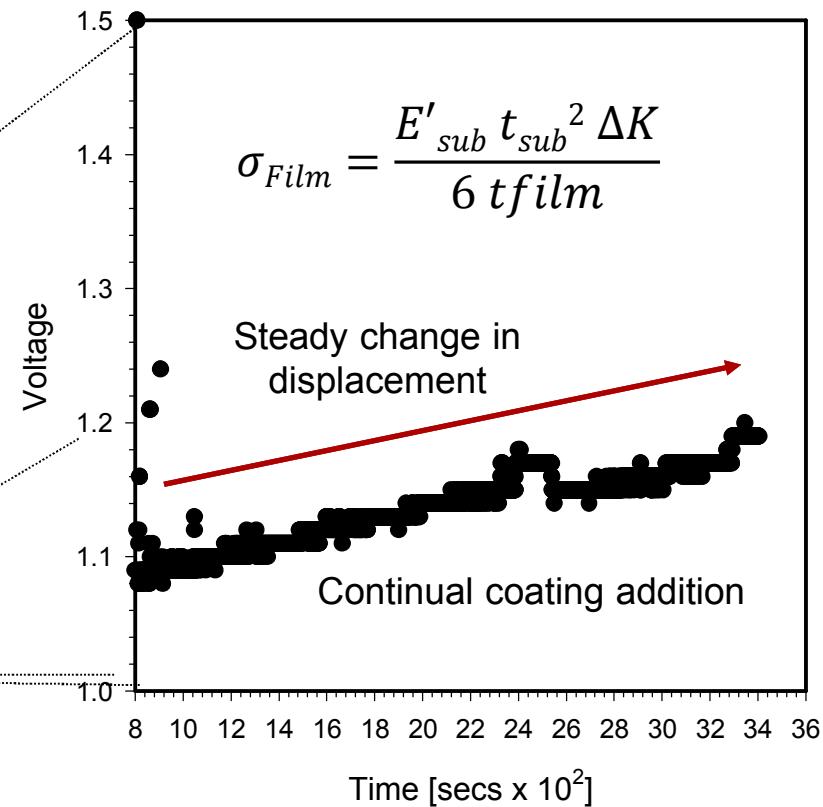
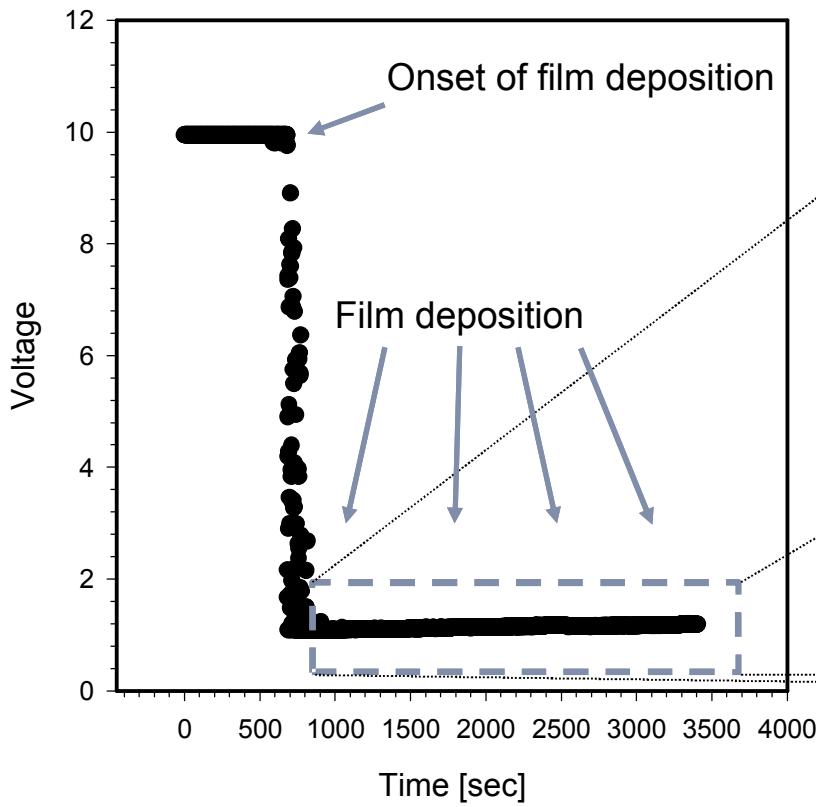


Based on 1mm thick soda-lime glass substrates

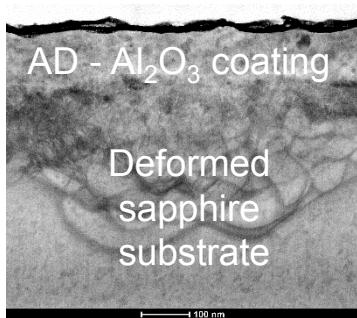
# Preliminary Experimental Results – Deposition



BaTiO<sub>3</sub> on 25 x 75mm Glass Slide

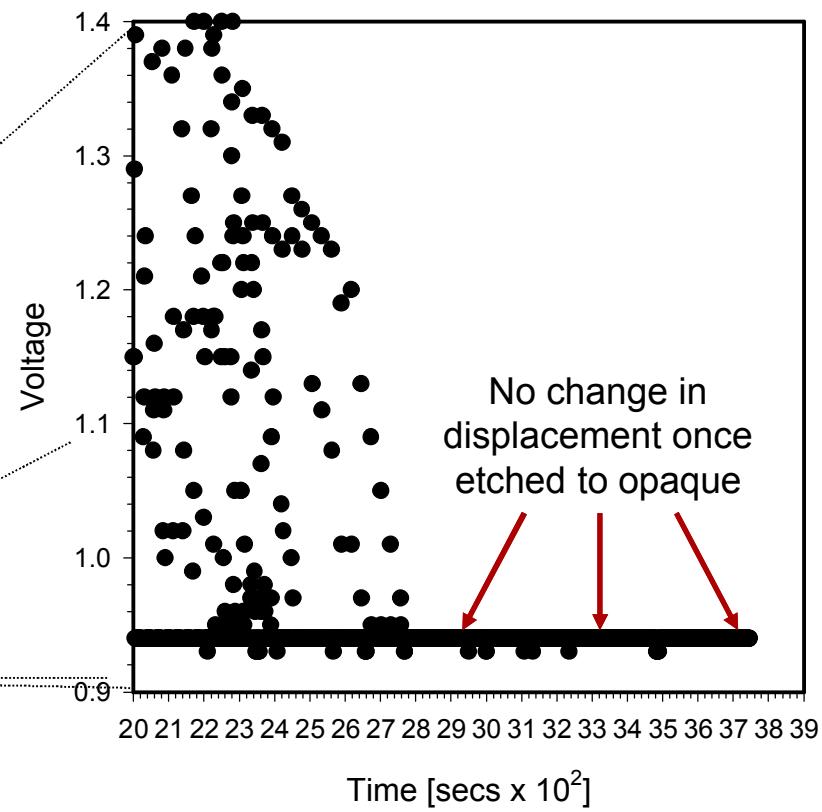
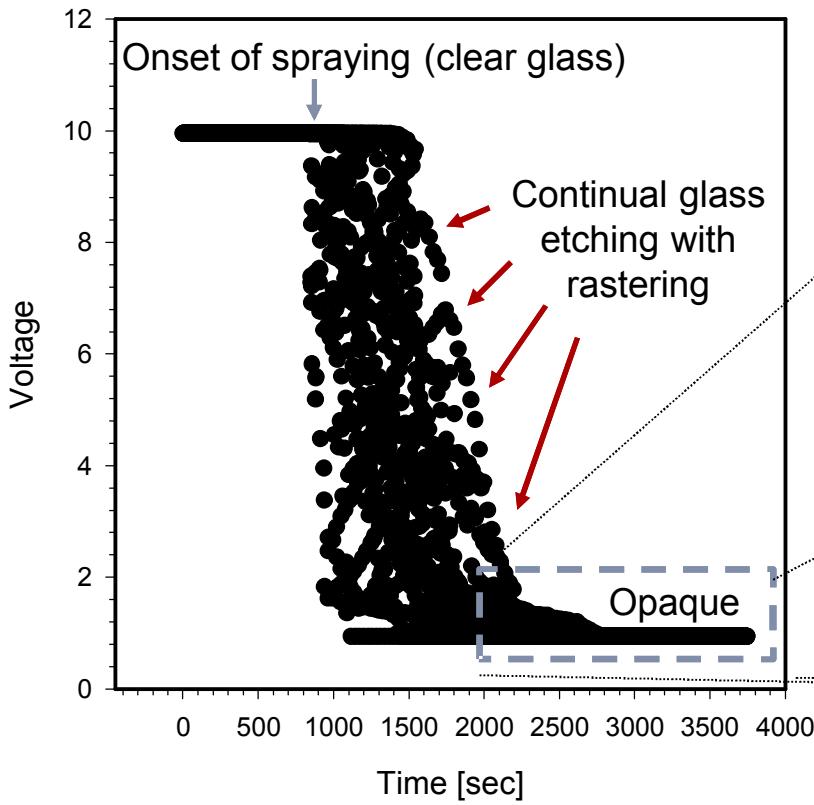


# Preliminary Experimental Results – Etching



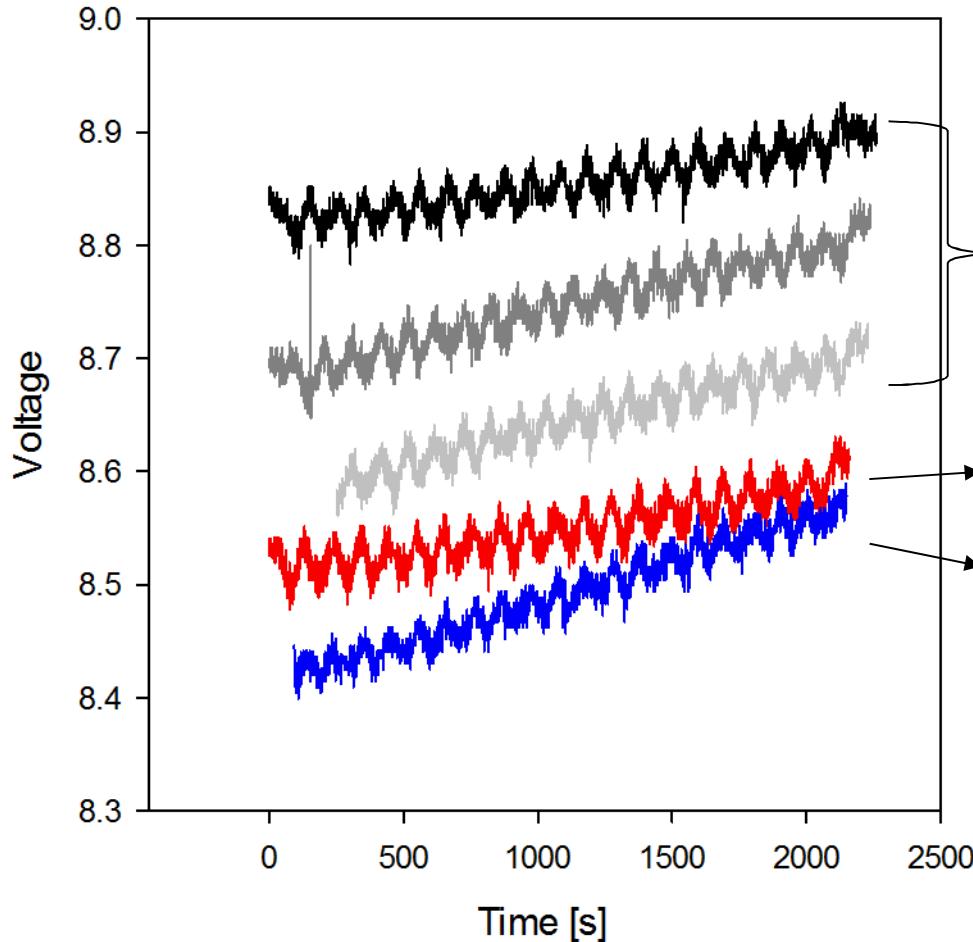
## Al<sub>2</sub>O<sub>3</sub> on Glass

- Highly damaging to substrate – Etches glass
- Self limiting film growth – Requires harder substrate for deposition



# Substrate Deflection Measurements of AD Deposition

BaTiO<sub>3</sub> on Glass



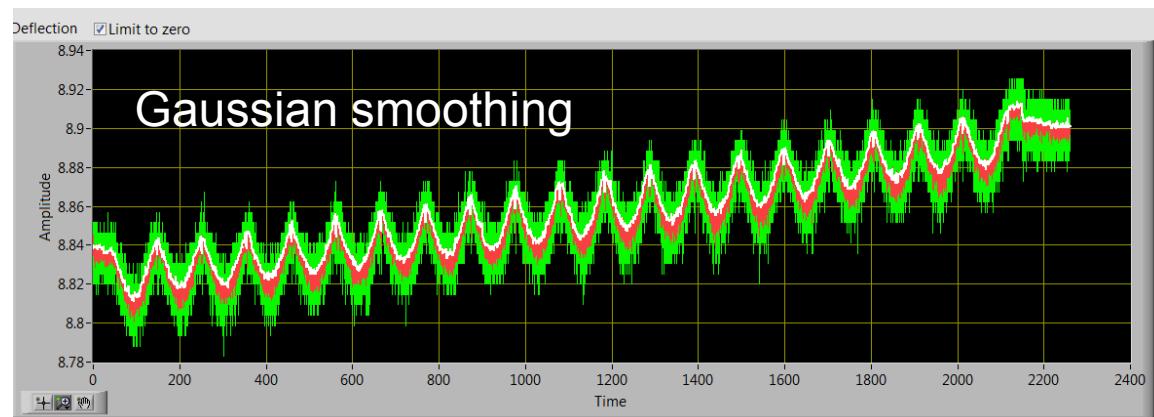
6 psig Nozzle Pressure (Repeats)

10.5 psig Nozzle Pressure

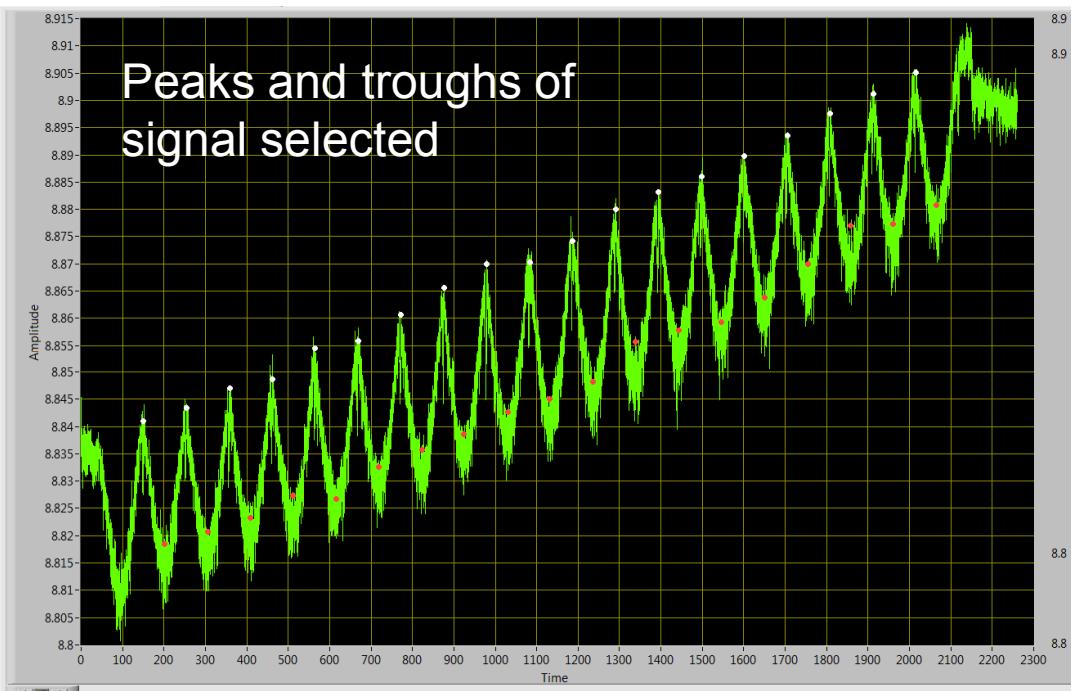
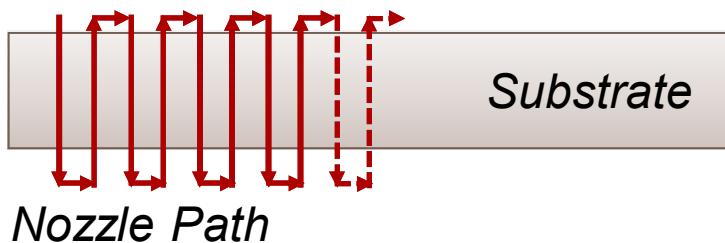
1 psig Nozzle Pressure

- 1 Torr Chamber pressure
- ~3-4  $\mu$ m Coating thickness
- Glass Slide Substrates (60mm x 12.5mm)

# Substrate Deflection Measurements of AD Deposition – Analysis



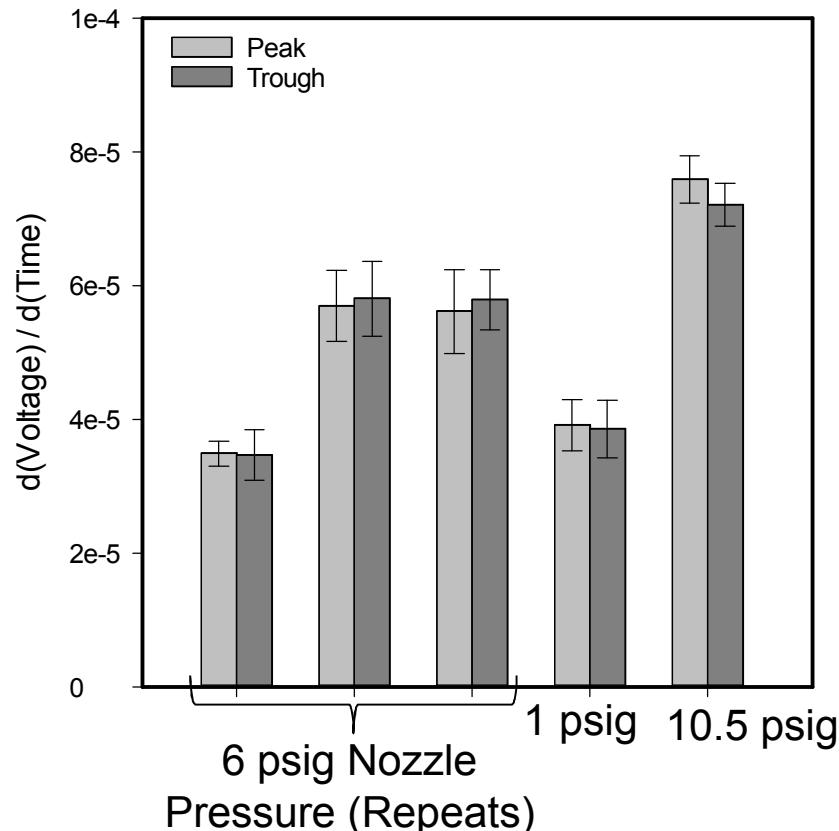
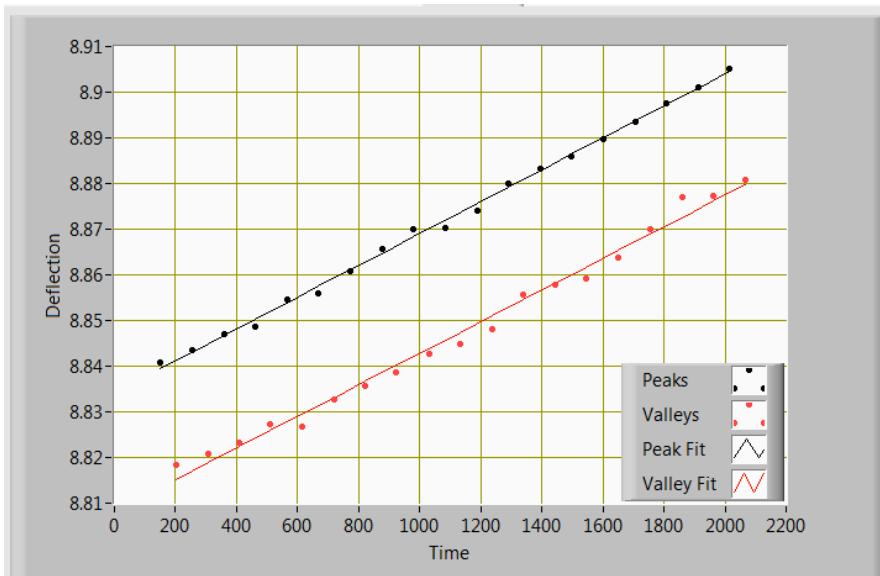
Spray path over substrate creates  
undulating deflection signal



# Substrate Deflection Measurements of AD Deposition – Analysis

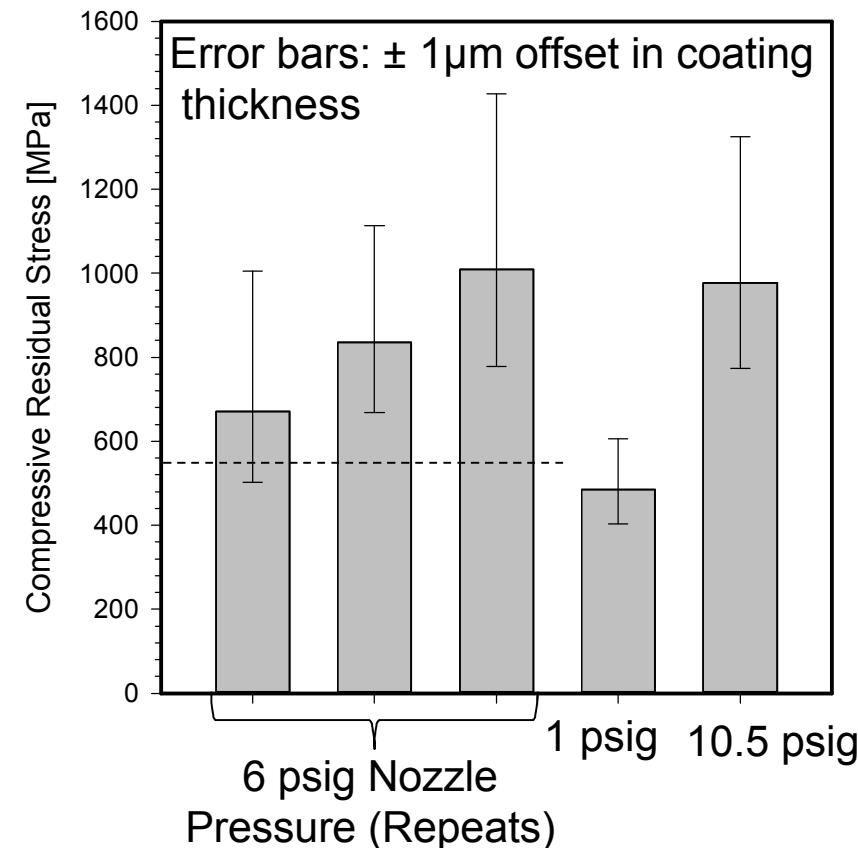
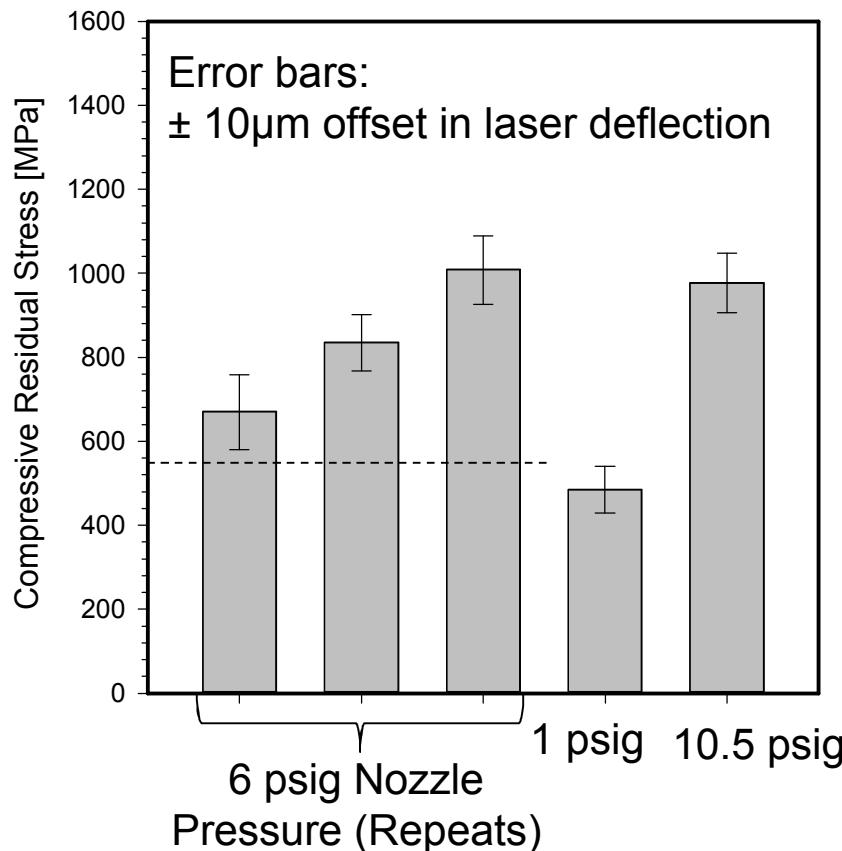
## Plot of Peak Heights and Troughs

- Linear Accumulation of Deflection with Film Growth
- Little divergence between peak height and trough

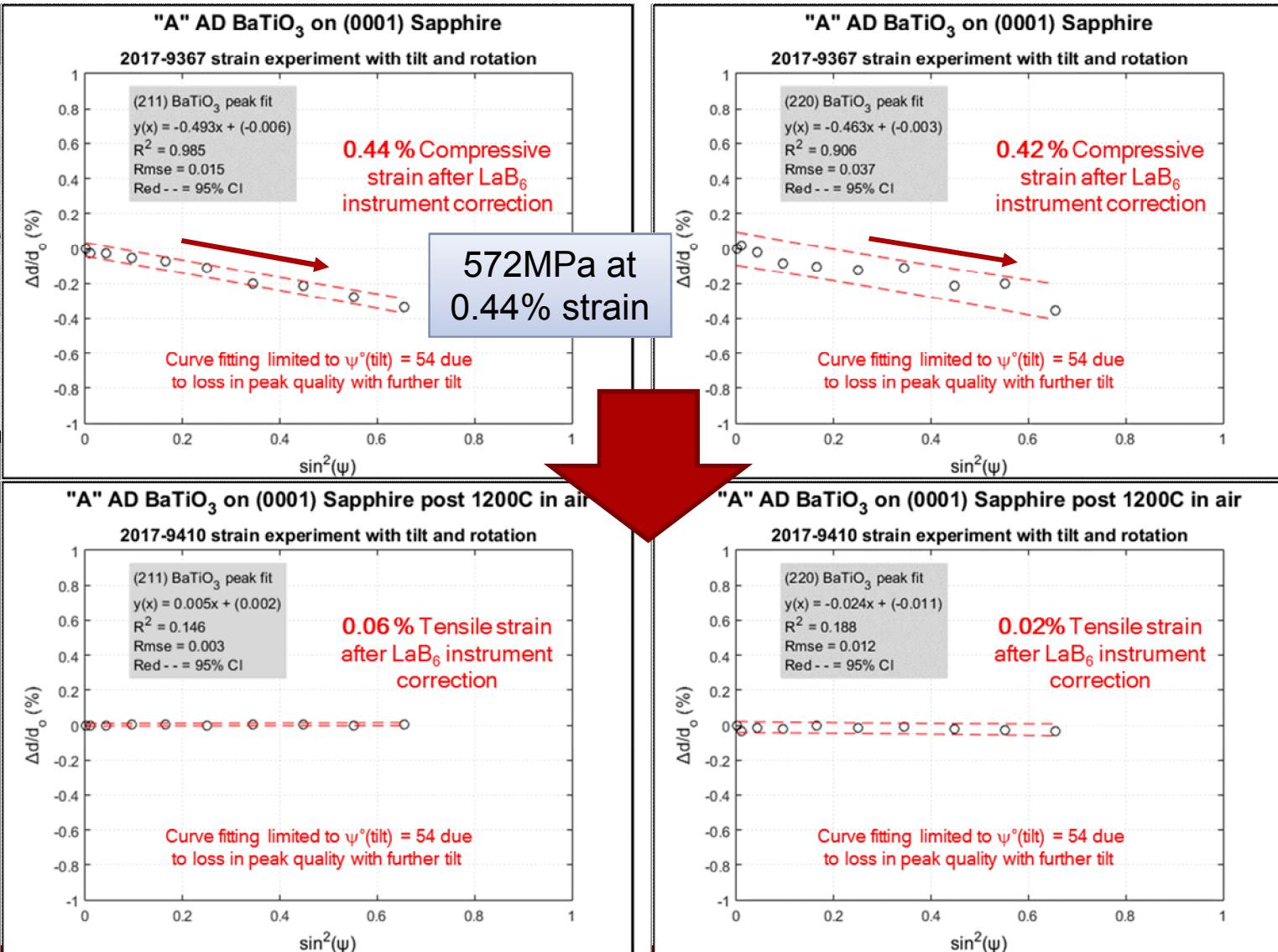
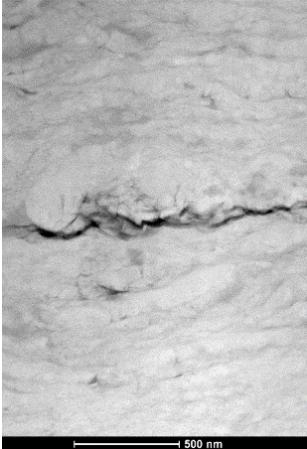


# Stress Calculations

- Prone to error – laser measurement and coating thickness
- Limited repeatability



# Stress Calculations



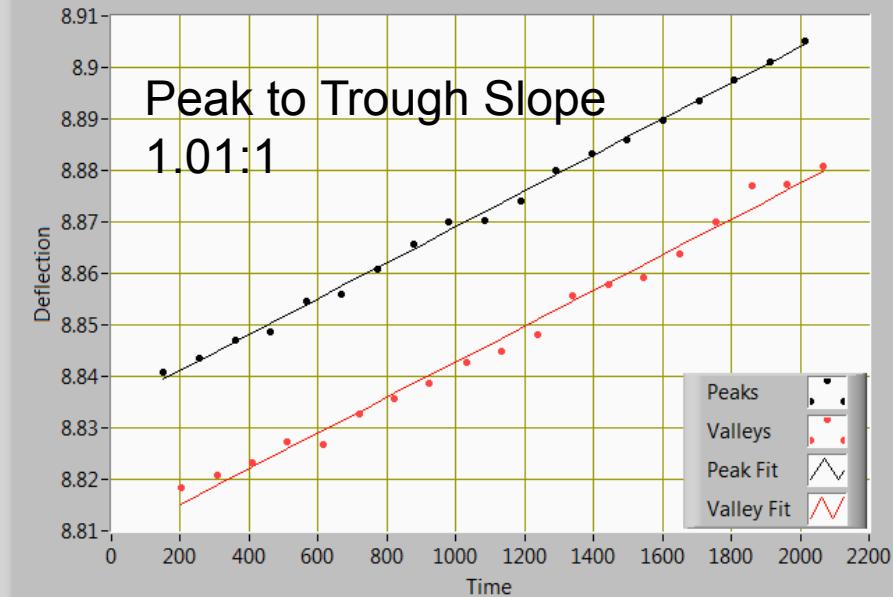
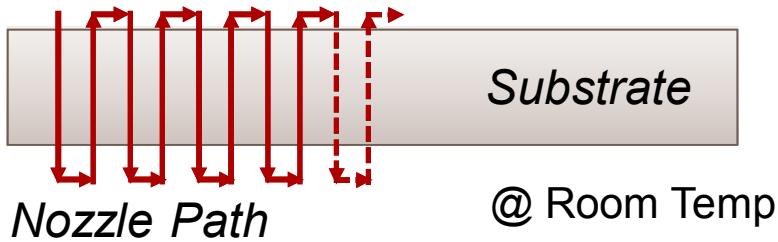
(211)

(220)

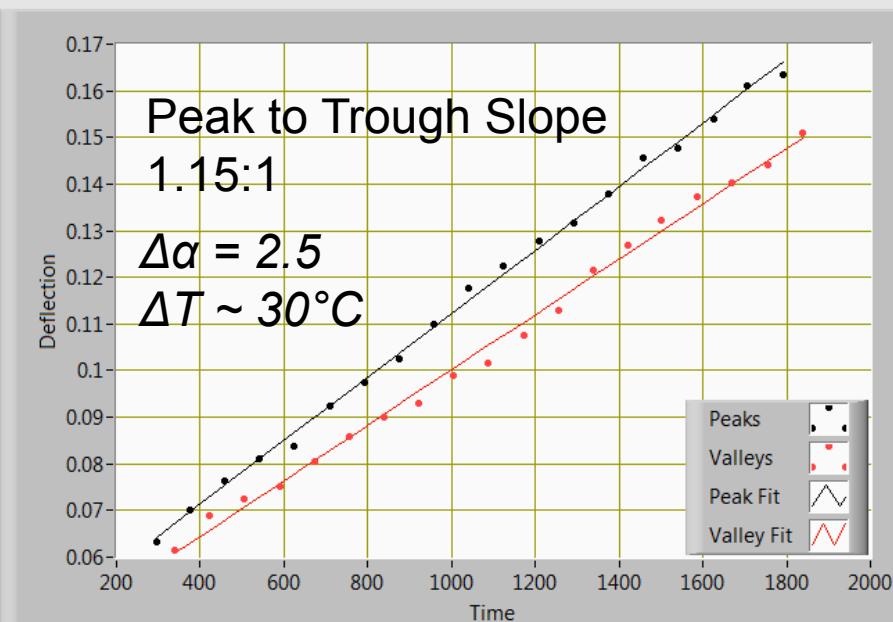
14

# Comparison to Thermal Spray

- AD has linear deflection accumulation with film growth
- Peak and Trough of deflection data correspond to raster pattern



- TS has linear deflection accumulation with film growth
- Peak and Trough of deflection data diverge with film growth due to CTE mismatch and temperature undulations with each layer addition



# Summary

- AD Films under considerable compressive stress
- In-situ substrate deflection measurement possible
  - Linear deflection accumulation with film growth
  - No fluctuations from temperature observed
  - Somewhat responsive to parameter differences
- With better measurement resolution, possibly a more rapid way to measure film stress
- Enables further in-situ investigation of films based on process parameters (gas pressures, nozzle geometries, stand off distance etc.)
- Enables in-situ detection of film growth, delamination

Thank you - Question?

# extras

$$\sigma = \frac{4Ed^2}{3(1-\nu)l^2} \cdot \frac{D}{t}$$