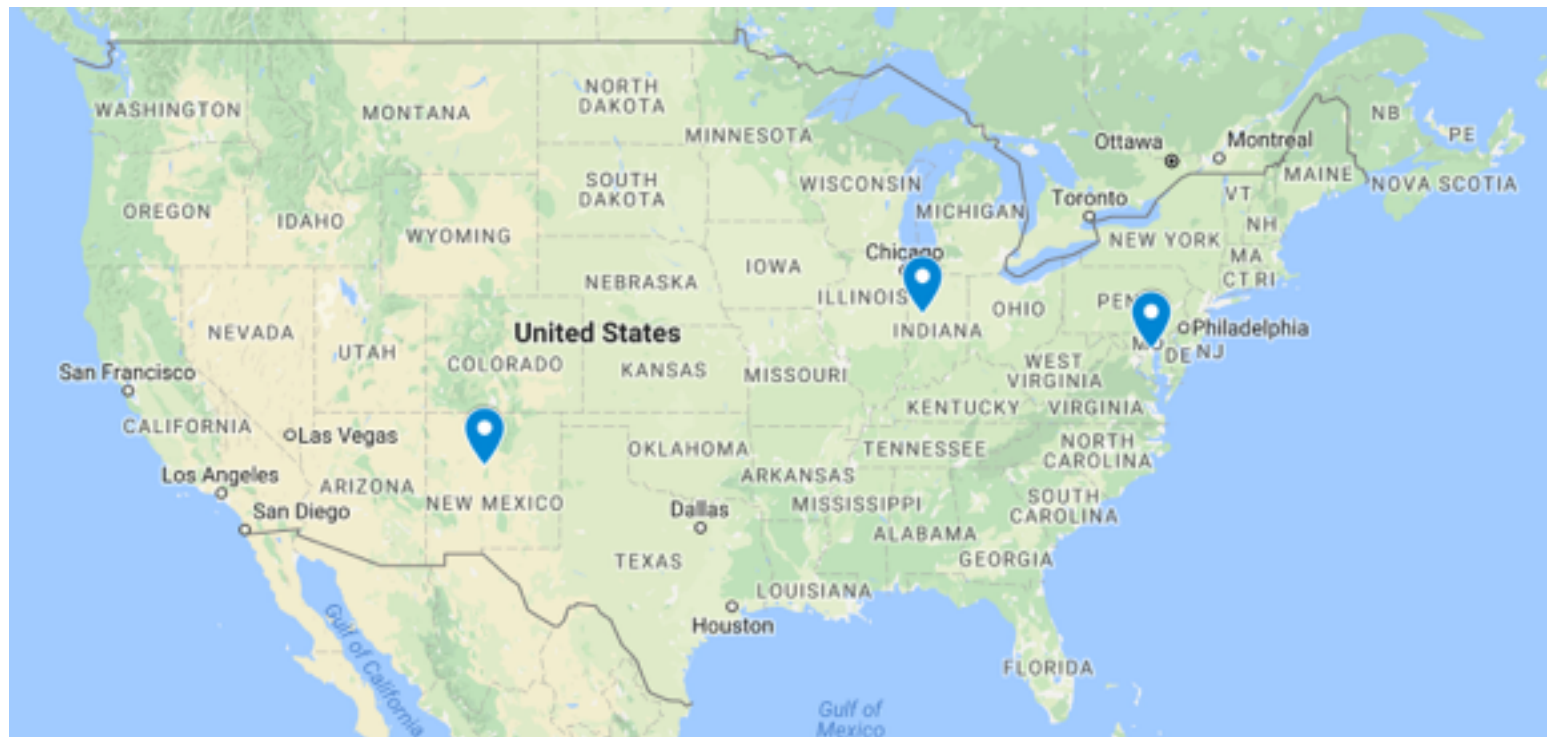


Real-Time TDDFT of Warm Dense Matter: Linear Response and Beyond

Andrew D. Baczewski
Non-Conventional Computing Technologies
Center for Computer Research
Sandia National Laboratories

9th International Workshop on Warm Dense Matter
April 12, 2017





Many thanks to my **collaborators**

New staff from MPI Halle —→ **Attila Cangi**

Daniel Jensen ← New postdoc from Purdue Uni

Michael P. Desjarlais

Stephanie B. Hansen

Luke Shulenburger

Now at Northrop-Grumman —→ **Rudolph J. Magyar**

- At Sandia, we have a **first principles** capability for studying excitations in **warm dense matter**.
- We can study:
 - Dynamic structure factor
 - Stopping power
 - Optical conductivity
- Our method is **computationally expensive**, but all electrons are treated **consistently** and we have **few free parameters**.
- Using our XRTS work as motivation, we will describe:
 - What happens when we (naively) go **beyond linear response**
 - Point to deficiencies in our TDDFT (as implemented)
 - Sketch out a path forward
- **To describe non-LTE properties, we need to expand vanilla TDDFT**



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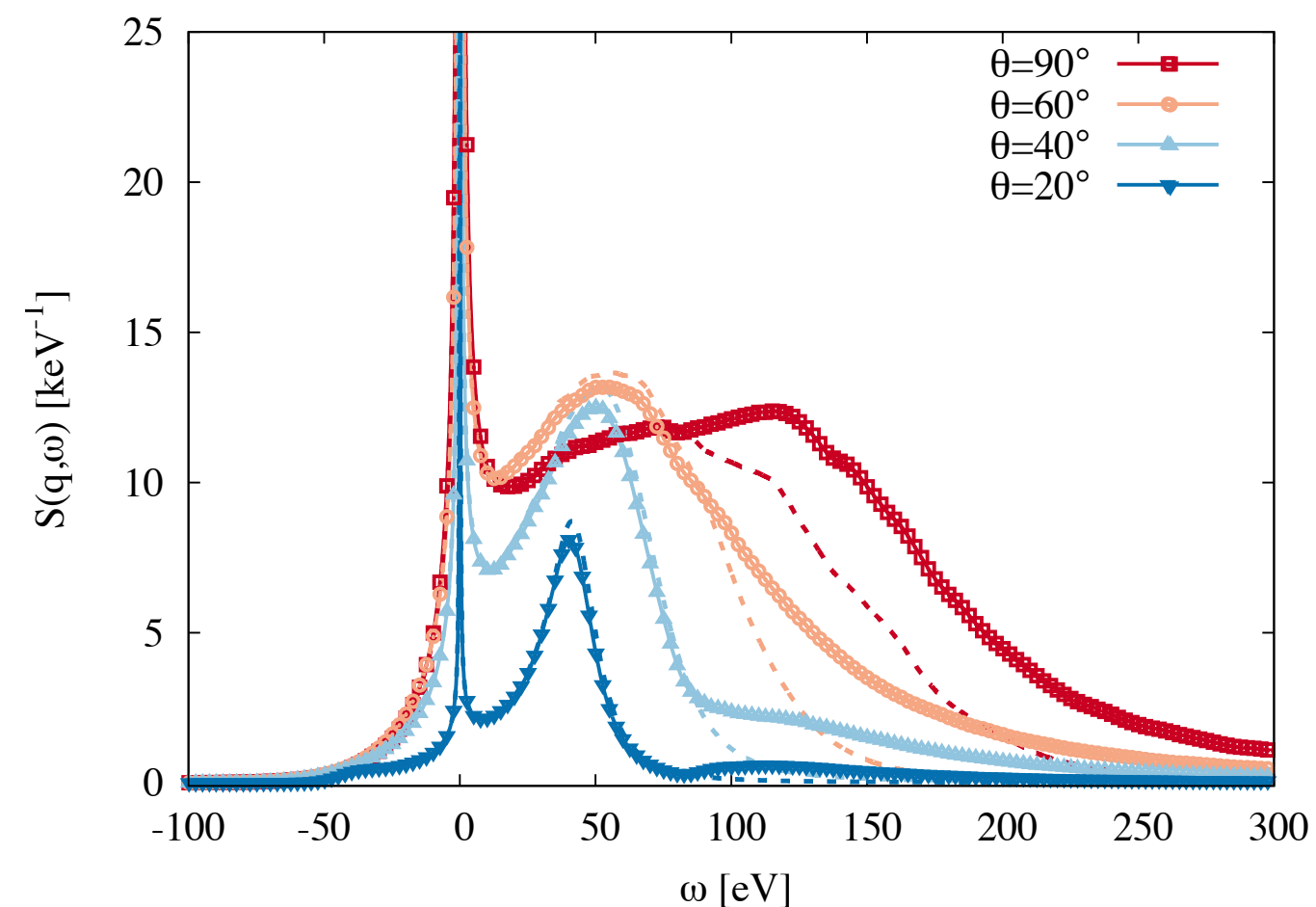
PHYSICAL REVIEW LETTERS

X-ray Thomson Scattering in Warm Dense Matter without the Chihara Decomposition

A. D. Baczewski, L. Shulenburger, M. P. Desjarlais, S. B. Hansen, and R. J. Magyar
Phys. Rev. Lett. **116**, 115004 – Published 18 March 2016

DSF of 3x-compressed Be

Lee, et al., PRL **102** (2009)



**Consistent treatment of all
electrons**

Dashed lines = **frozen** K-shell
Decorated lines = **thawed** K-shell

Open Access

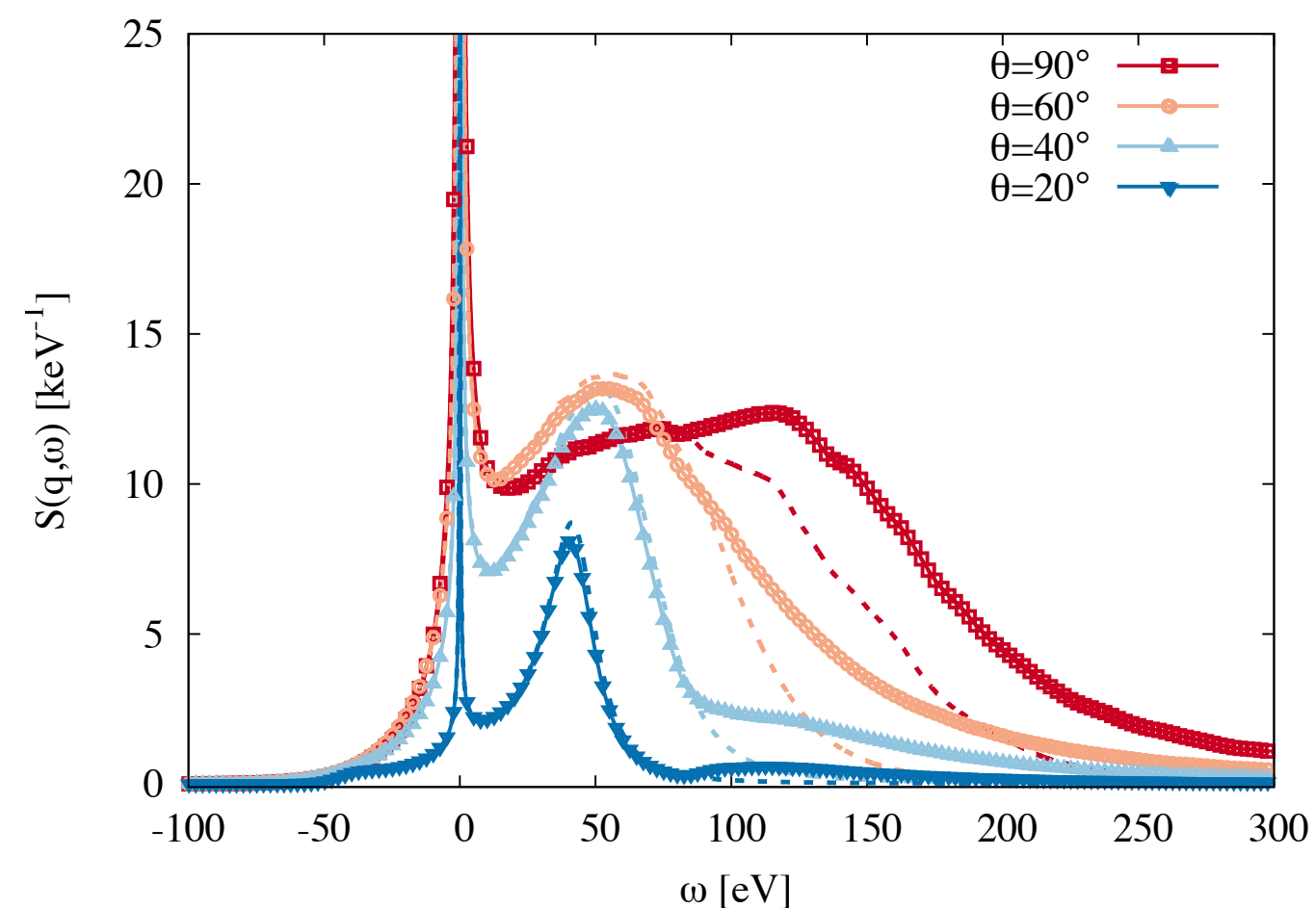
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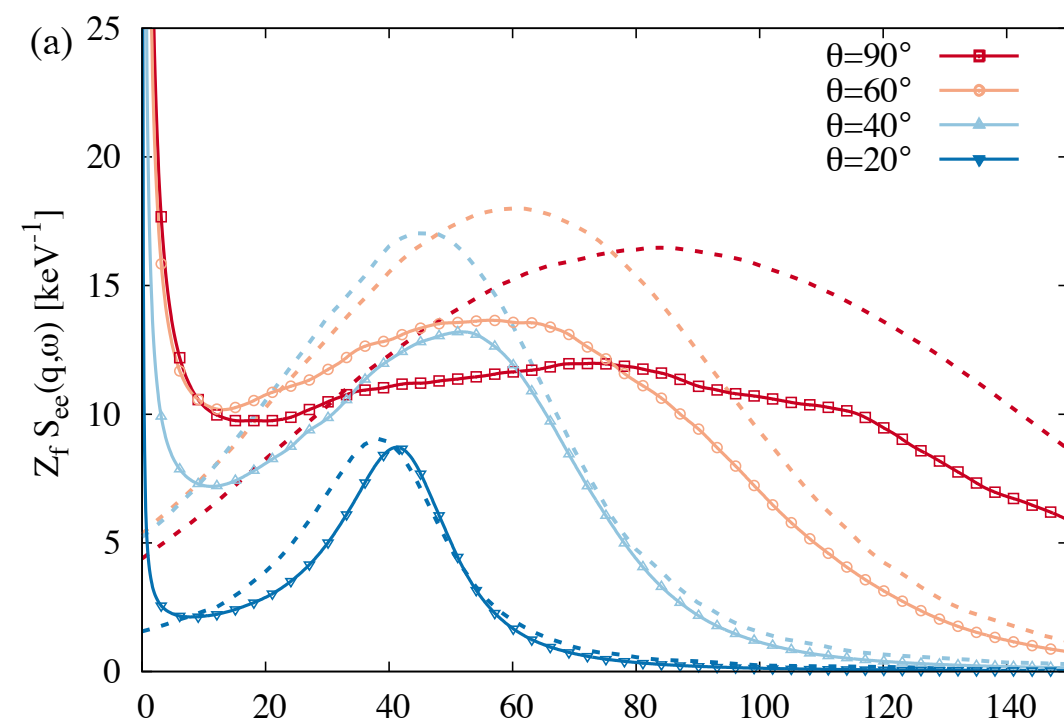
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DSF of 3x-compressed Be

Lee, et al., PRL **102** (2009)



Consistent treatment of all electrons



Dashed = Mermin dielectric + ab-initio conductivity
Plagemann, et. al., NJP **14** (2012)

Dotted = **TDDFT “free-free”**

Open Access

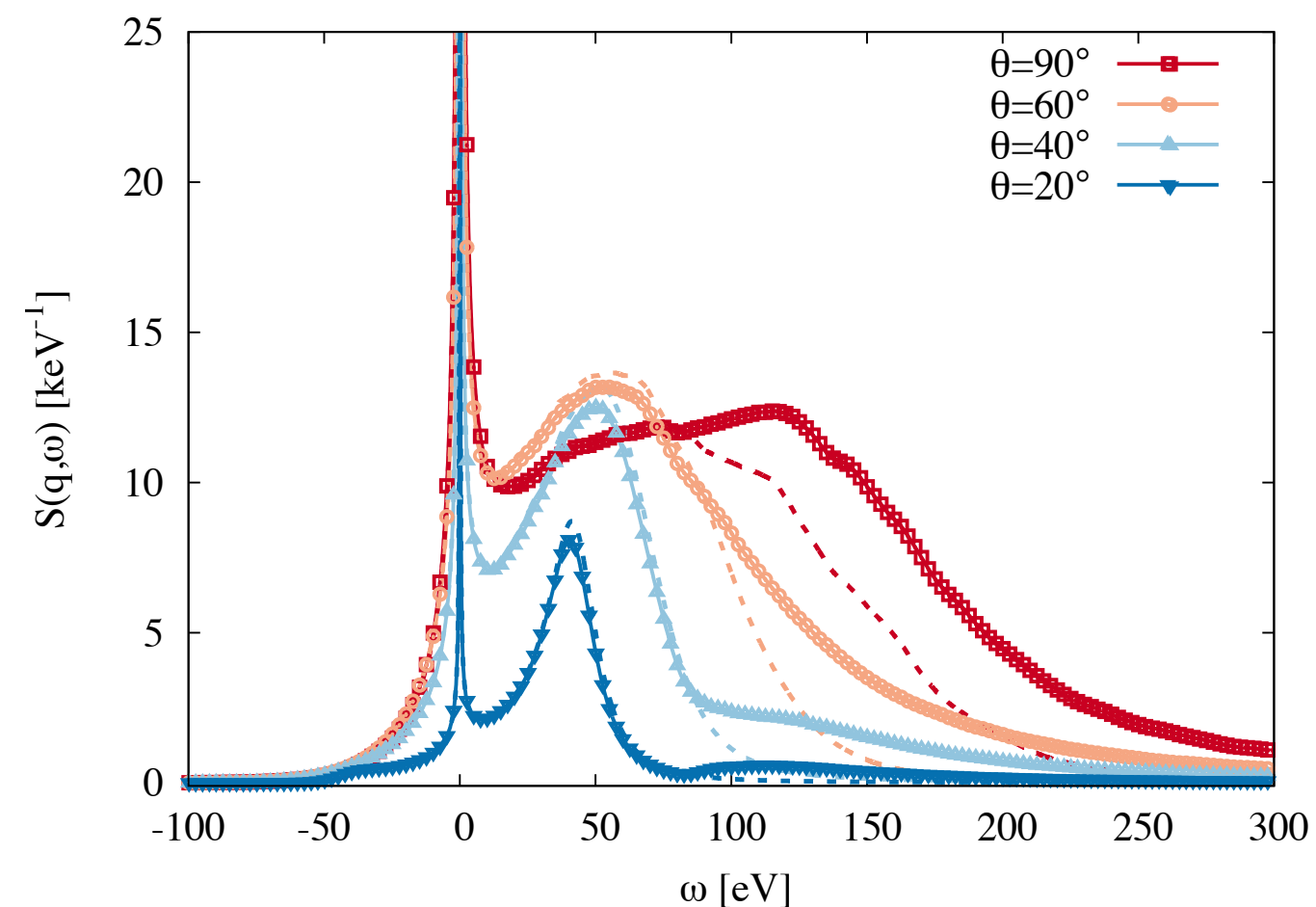
PHYSICAL REVIEW LETTERS

X-ray Thomson Scattering in Warm Dense Matter without the Chihara Decomposition

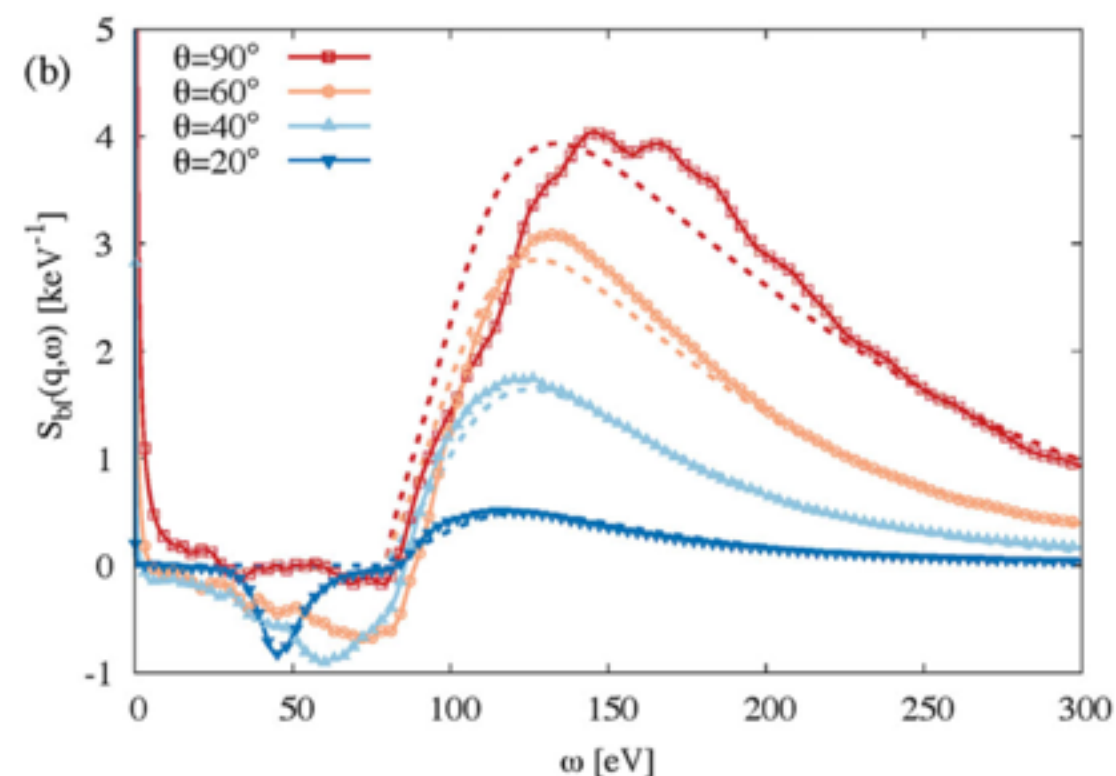
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Phys. Rev. Lett. **116**, 115004 – Published 18 March 2016

DSF of 3x-compressed Be

Lee, et al., PRL **102** (2009)



Consistent treatment of all electrons



Dashed = Average atom with Slater exchange
Souza, et. al., PRE **89** (2014)

Dotted = **TDDFT "bound-free"**

- Density built from **TD** orbitals with **fixed** Mermin weights

$$\rho(\mathbf{r}, t) = \sum_{n, \mathbf{k}} f_{n, \mathbf{k}}(T_e) |\phi_{n, \mathbf{k}}(\mathbf{r}, t)|^2$$

- Orbitals verify the **TD Kohn-Sham equation**

$$i \frac{\partial}{\partial t} \phi_{n, \mathbf{k}}(\mathbf{r}, t) = \left(-\frac{\nabla^2}{2} + v_S[\rho](\mathbf{r}, t) \right) \phi_{n, \mathbf{k}}(\mathbf{r}, t)$$

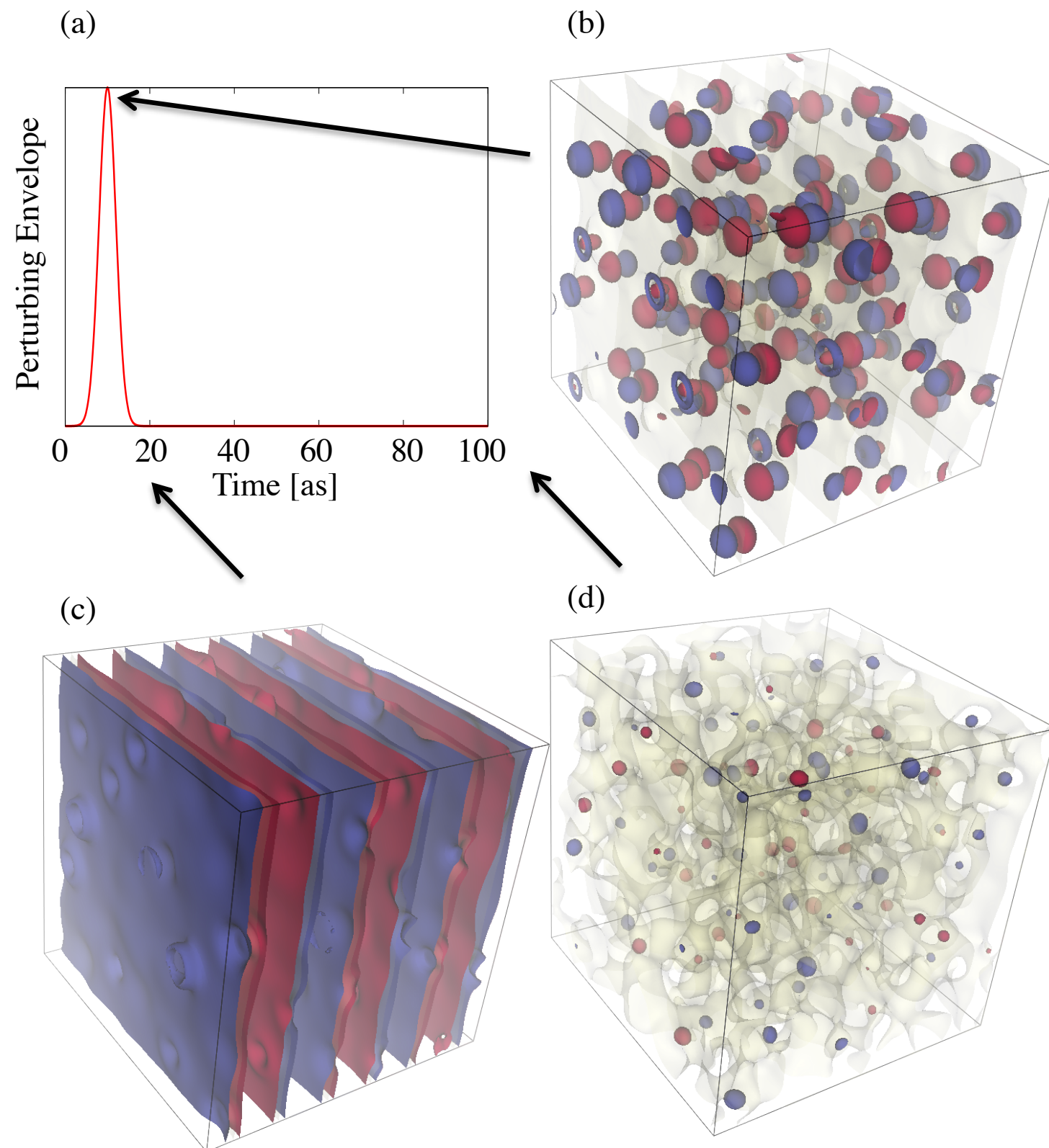
- Potential = **x-ray probe envelope** + **adiabatic** Hxc approximation

$$v_S[\rho](\mathbf{r}, t) = v_{ext}(\mathbf{r}, t) + v_H[\rho](\mathbf{r}, t) + v_{xc}[\rho](\mathbf{r}, t)$$

- Fluctuation-dissipation** gives us an **exact** DSF functional

$$\chi_{\rho, \rho}(\mathbf{q}, -\mathbf{q}, \omega) = \frac{\delta \rho(\mathbf{q}, \omega)}{\delta v_{ext}(\mathbf{q}, \omega)} \rightarrow S(\mathbf{q}, \omega) = -\frac{1}{\pi} \frac{\Im [\chi_{\rho, \rho}(\mathbf{q}, -\mathbf{q}, \omega)]}{1 - e^{-\omega/k_B T_e}}$$

- A. Time-dependence of perturbing pulse
- B. Density response at pulse peak
- C. Density response at response peak
- D. Plasmons ring around system at late times



Energy domain

$$\chi_{\rho,\rho} = \chi_{\rho,\rho}^0 + \chi_{\rho,\rho} (v_C + f_{xc}) \chi_{\rho,\rho}^0$$

- Diagonalize dense matrix (cubic)
- Requires many unoccupied virtual states
- Limited scalability (100s of CPUs)
- Restricted to linear response

Time domain

$$i \frac{\partial}{\partial t} \phi_{n,\mathbf{k}}(\mathbf{r}, t) = \left(-\frac{\nabla^2}{2} + v_S[\rho](\mathbf{r}, t) \right) \phi_{n,\mathbf{k}}(\mathbf{r}, t)$$

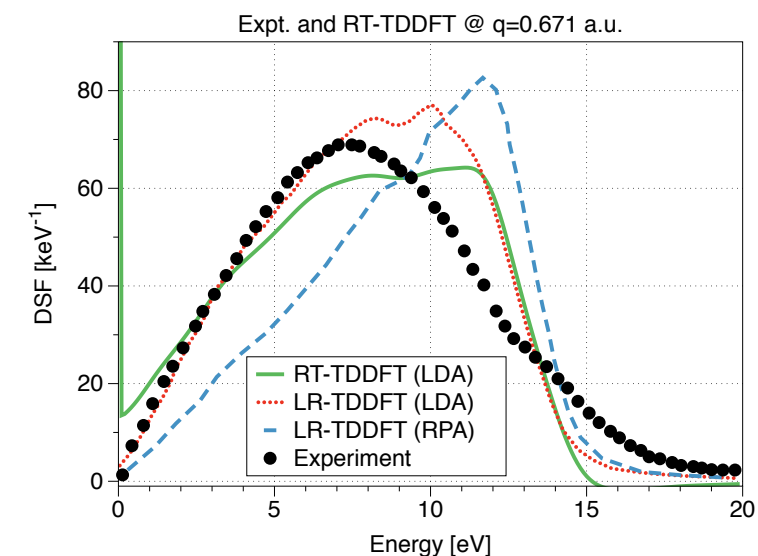
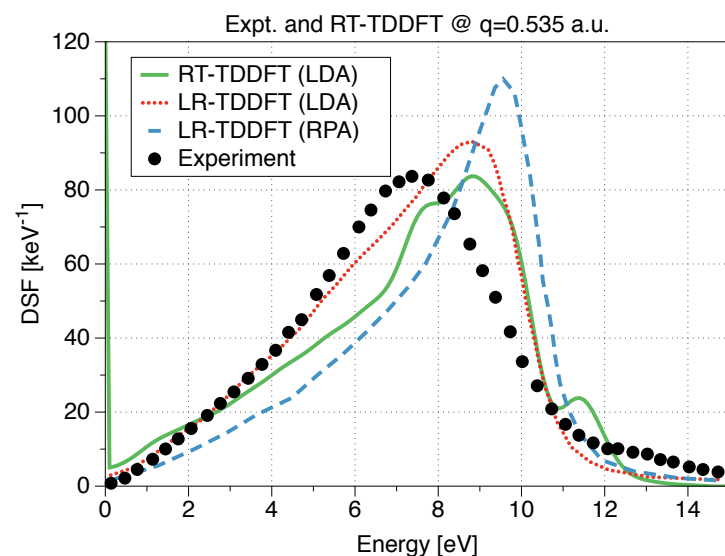
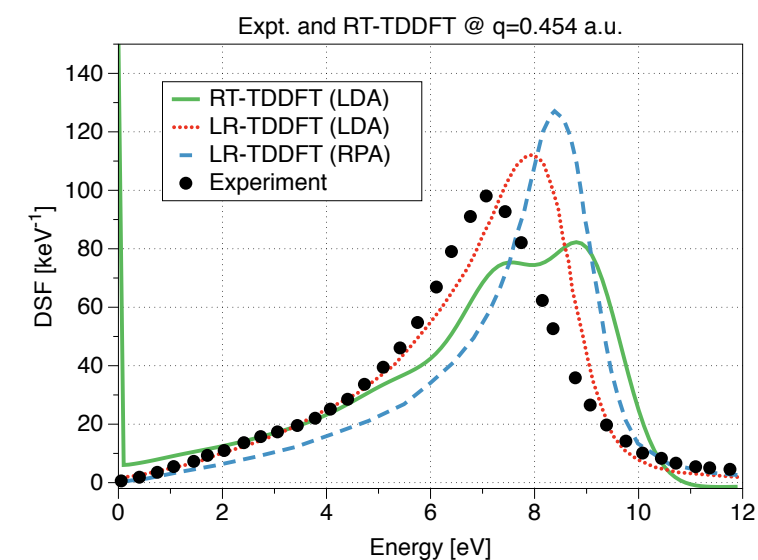
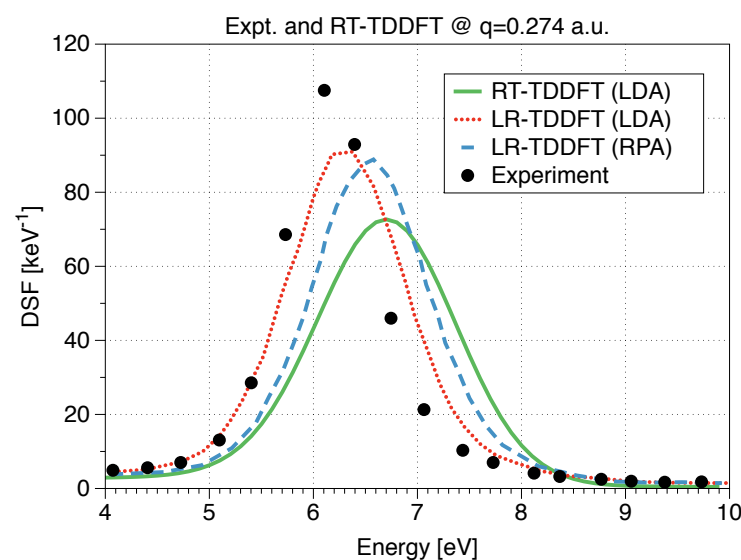
- Propagate KS orbitals (linear)
- Requires only thermally occupied states
- Massively parallel implementation (100ks of CPUs)
- Linear response is simply one limit of the theory

- Just because we CAN go beyond linear response, doesn't mean it is easy...

High resolution data from condensed matter **calibrates expectations**

Compare to energy
domain TDDFT and
experiment from
**Cazzaniga, et al., PRB
(2011)**

RPA = time-dependent
Hartree approximation,
but **not** applied to jellium



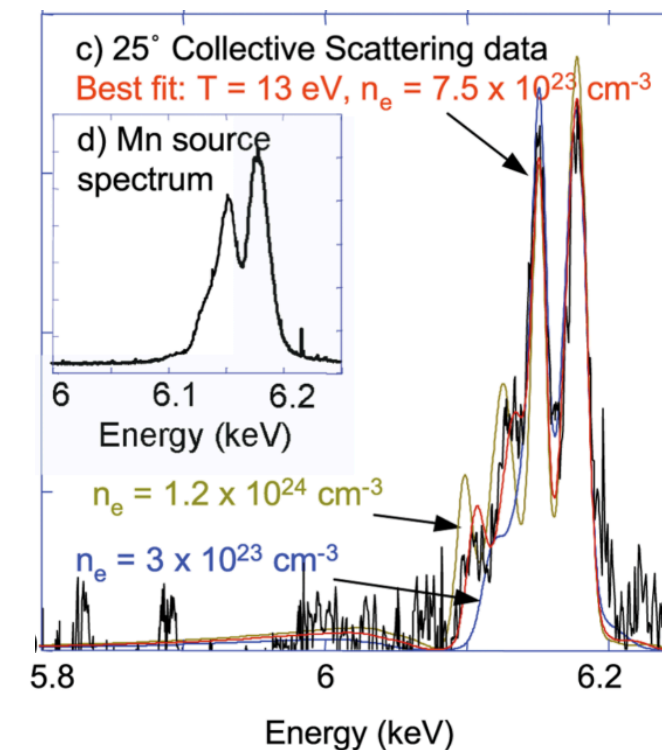
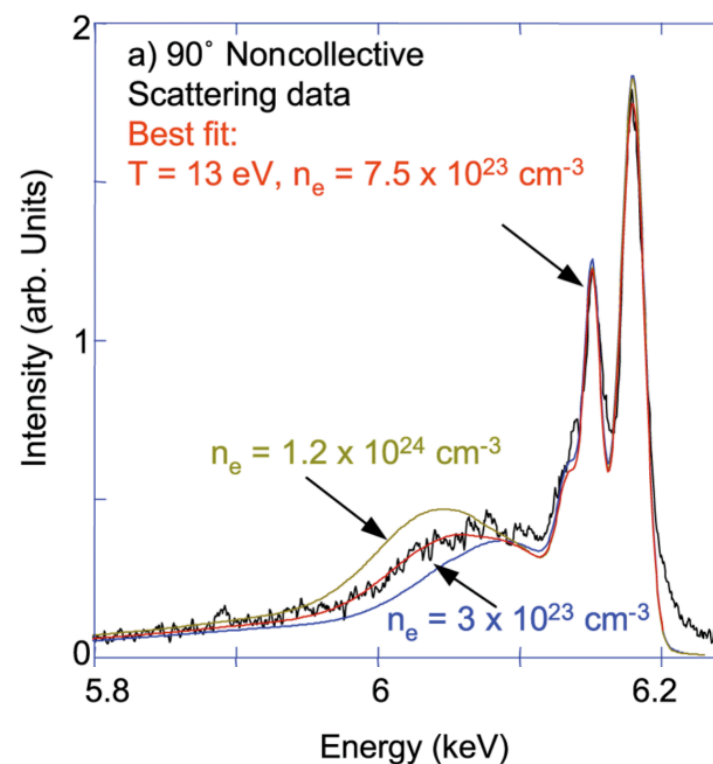
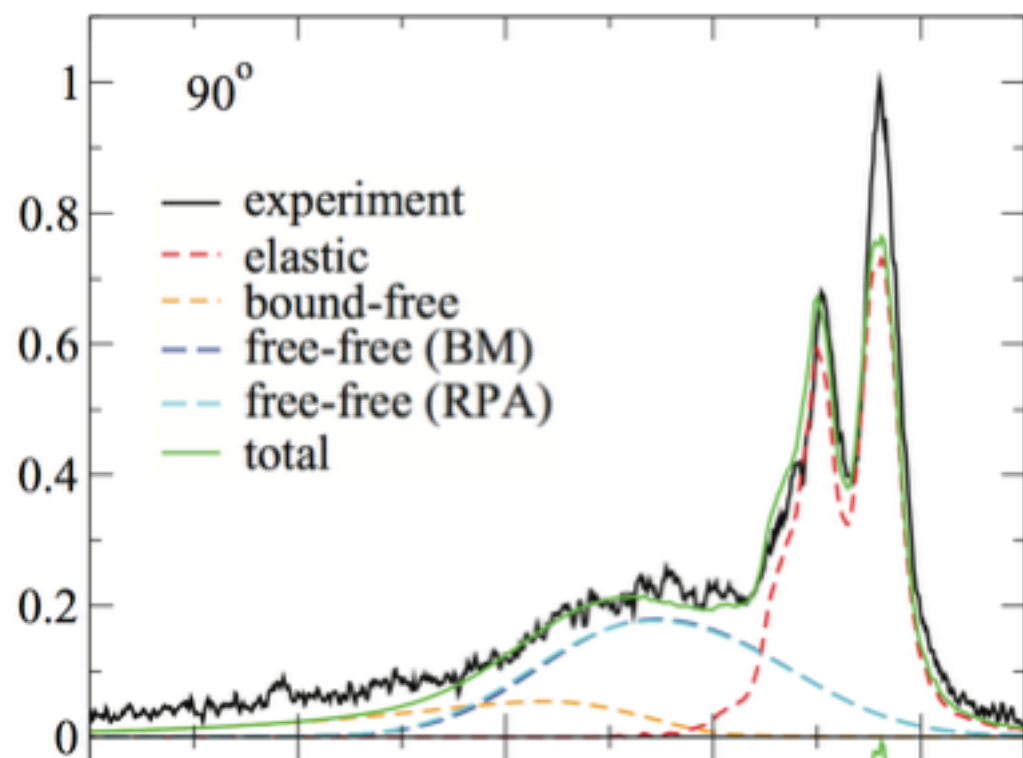
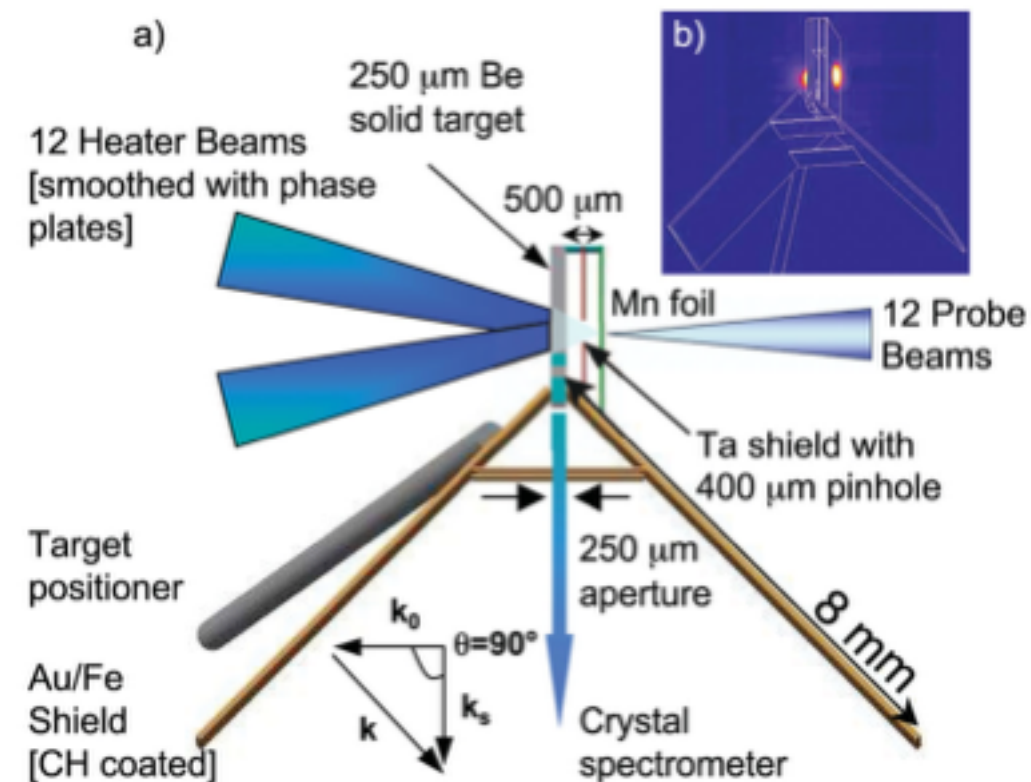
3x-compressed Be, non-collective

X-Ray Thomson-Scattering Measurements of Density and Temperature in Shock-Compressed Beryllium

H. J. Lee, P. Neumayer, J. Castor, T. Döppner, R. W. Falcone, C. Fortmann, B. A. Hammel, A. L. Kritcher, O. L. Landen, R. W. Lee, D. D. Meyerhofer, D. H. Munro, R. Redmer, S. P. Regan, S. Weber, and S. H. Glenzer
Phys. Rev. Lett. **102**, 115001 – Published 16 March 2009

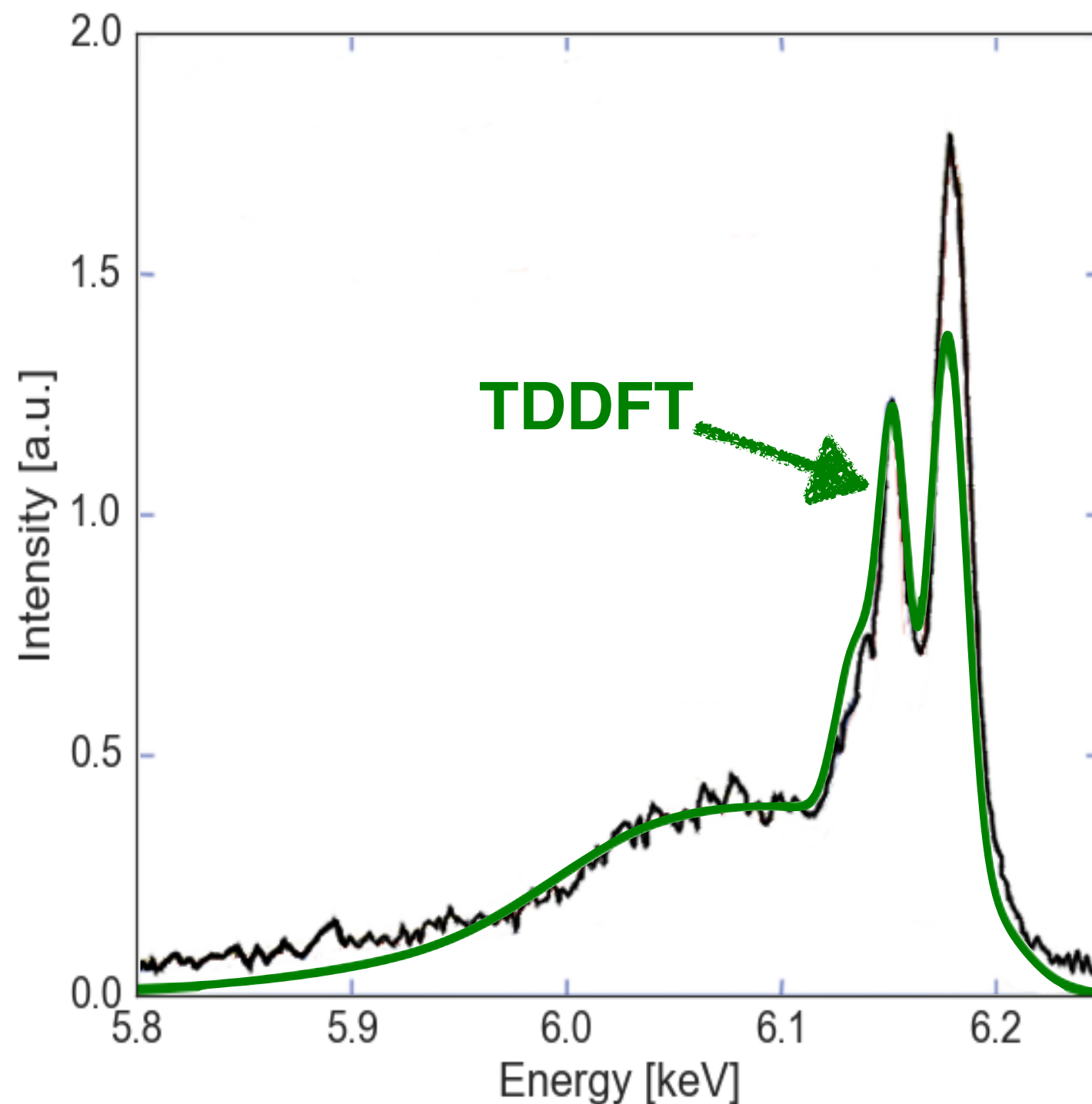
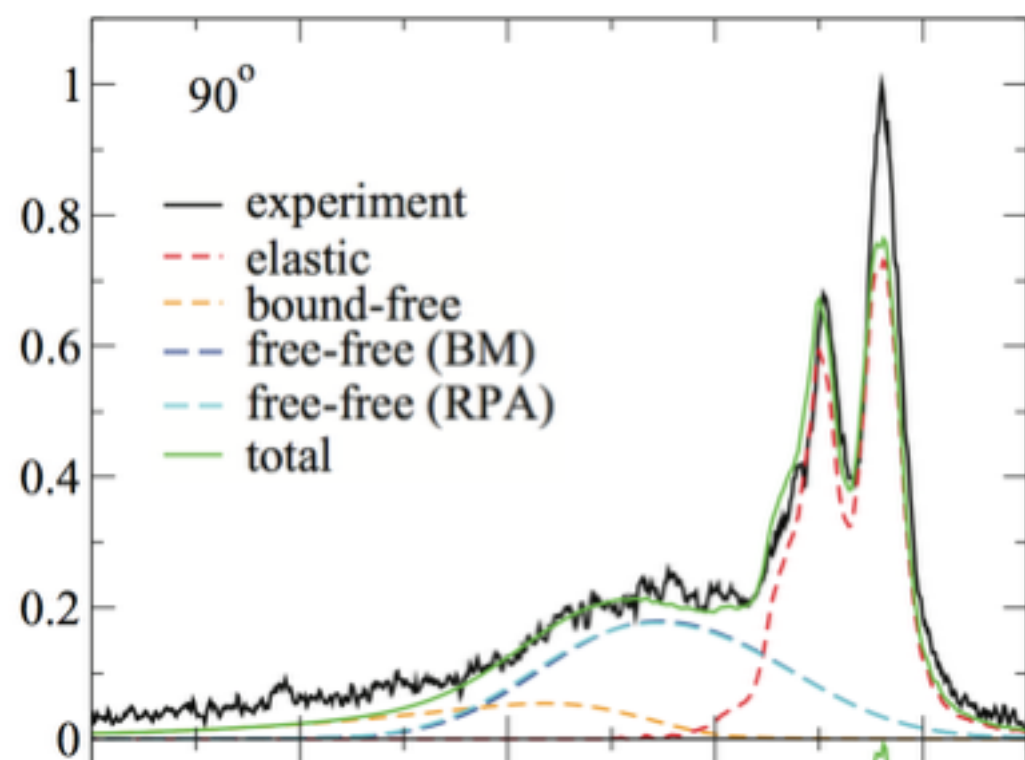
3x-compressed (+/-7%) Be
Ti=Te=13 eV (+/- 3 eV)

Souza, et al., PRE, **89** (2014)



- Convolving with Mn source yields **agreement with experiment** comparable to other levels of theory

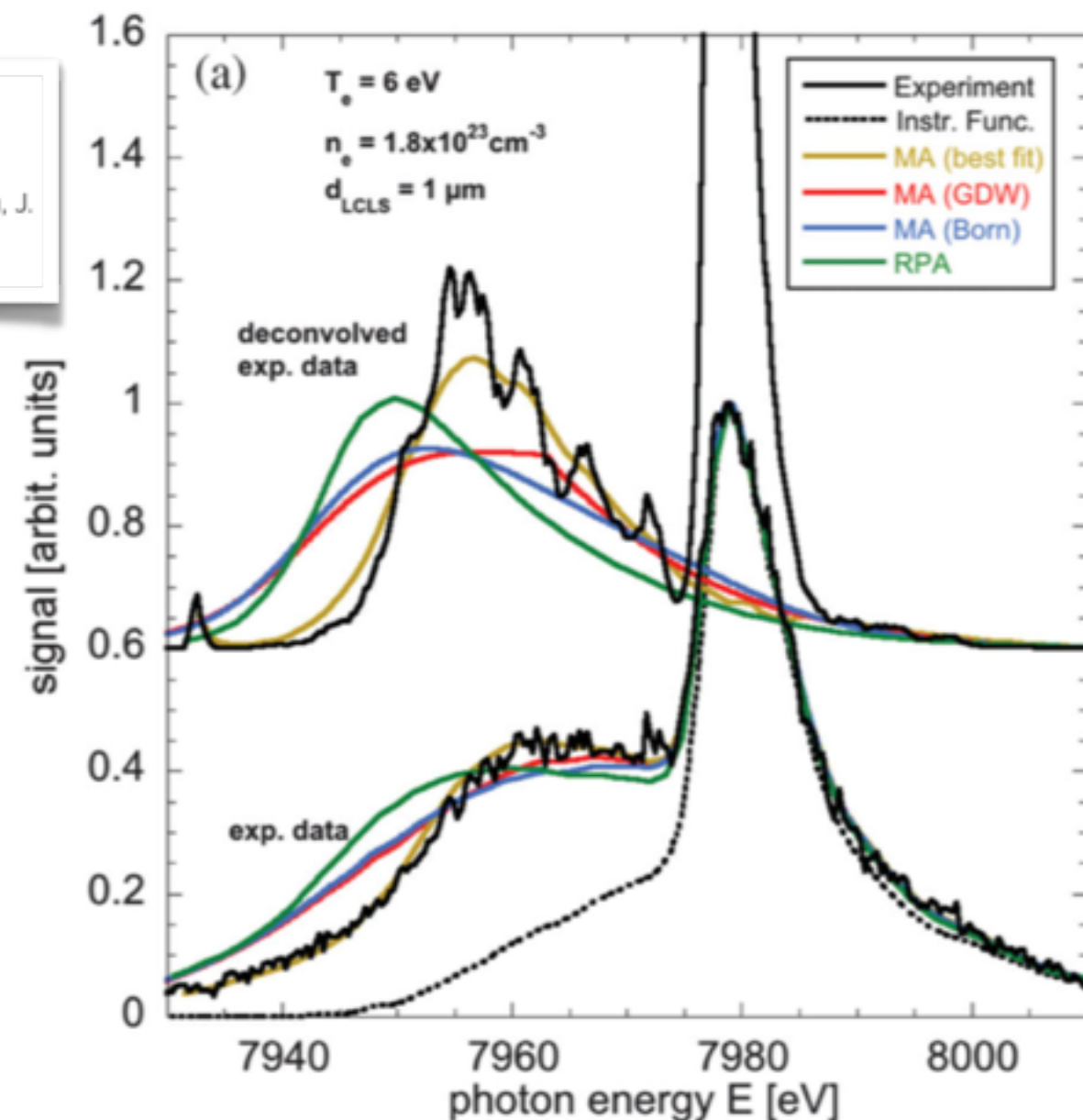
Souza, et al., PRE, **89** (2014)



Free-Electron X-Ray Laser Measurements of Collisional-Damped Plasmons in Isochorically Heated Warm Dense Matter

P. Sperling, E. J. Gamboa, H. J. Lee, H. K. Chung, E. Galtier, Y. Omarbakiyeva, H. Reinholz, G. Röpke, U. Zastrau, J. Hastings, L. B. Fletcher, and S. H. Glenzer
Phys. Rev. Lett. **115**, 115001 – Published 9 September 2015

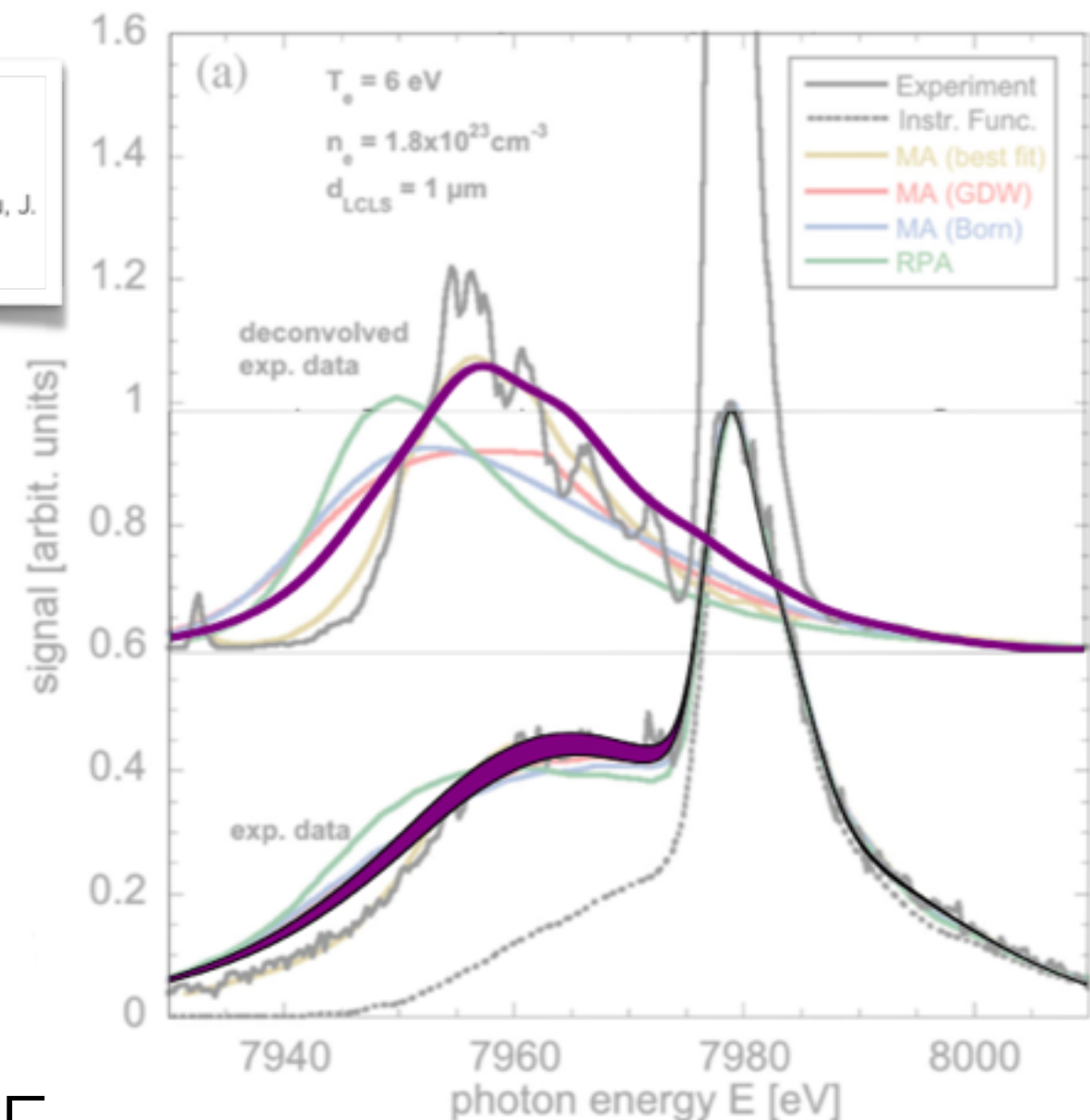
- LCLS beam used to isochorically heat and probe **Al to $T_e = 6$ eV**
- Collision models **beyond Born approximation** needed for fit



Free-Electron X-Ray Laser Measurements of Collisional-Damped Plasmons in Isochorically Heated Warm Dense Matter

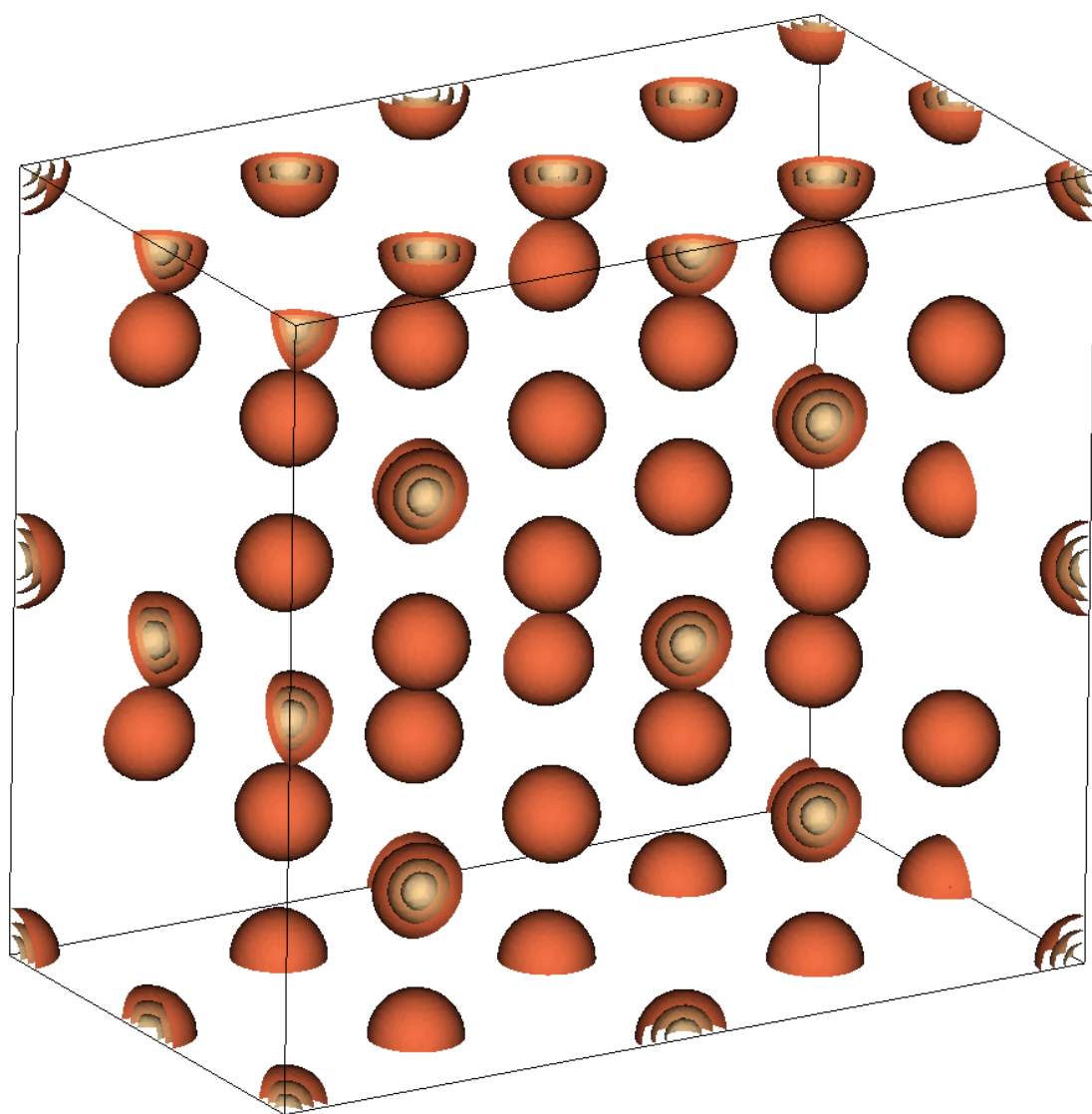
P. Sperling, E. J. Gamboa, H. J. Lee, H. K. Chung, E. Galtier, Y. Omarbakiyeva, H. Reinholz, G. Röpke, U. Zastrau, J. Hastings, L. B. Fletcher, and S. H. Glenzer
Phys. Rev. Lett. **115**, 115001 – Published 9 September 2015

- LCLS beam used to isochorically heat and probe **Al to $T_e = 6$ eV**
- Collision models **beyond Born approximation** needed for fit
- TDDFT uses 11-electron PAW + PBE
- Spread indicates plausible range of ion features

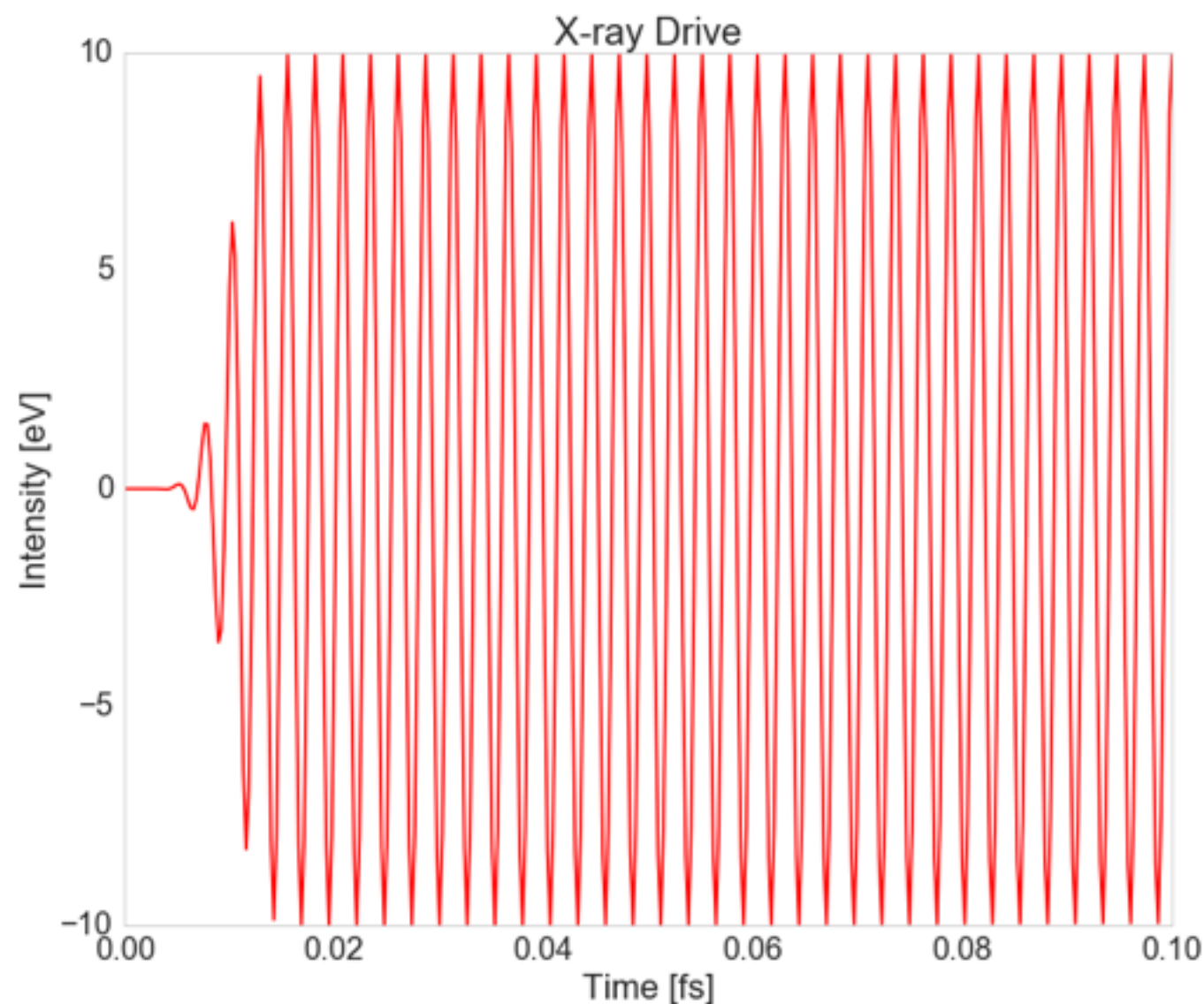


Can we simulate both the pump and the probe?

Ambient Be...

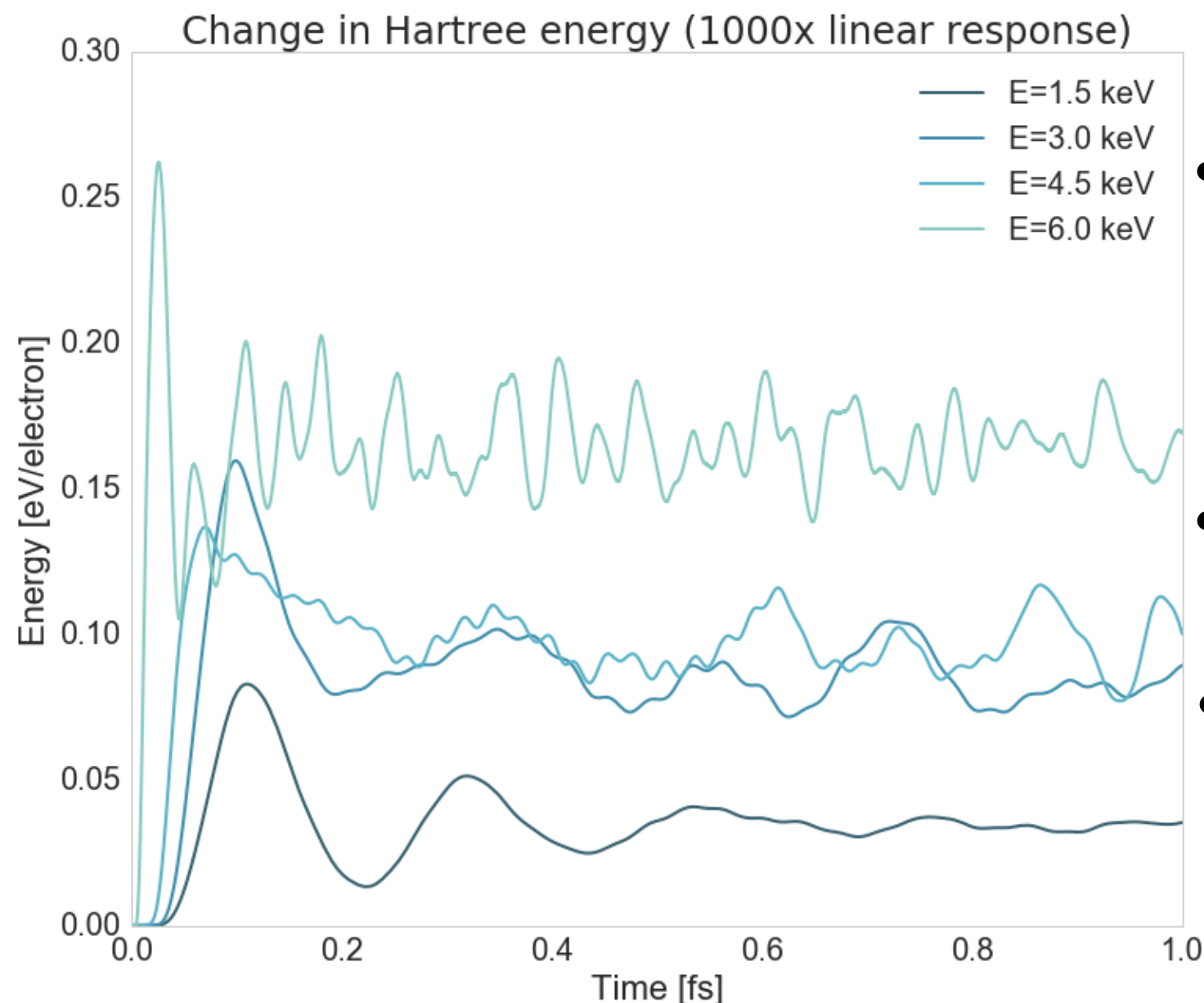


...exposed to 1.5 keV x-ray

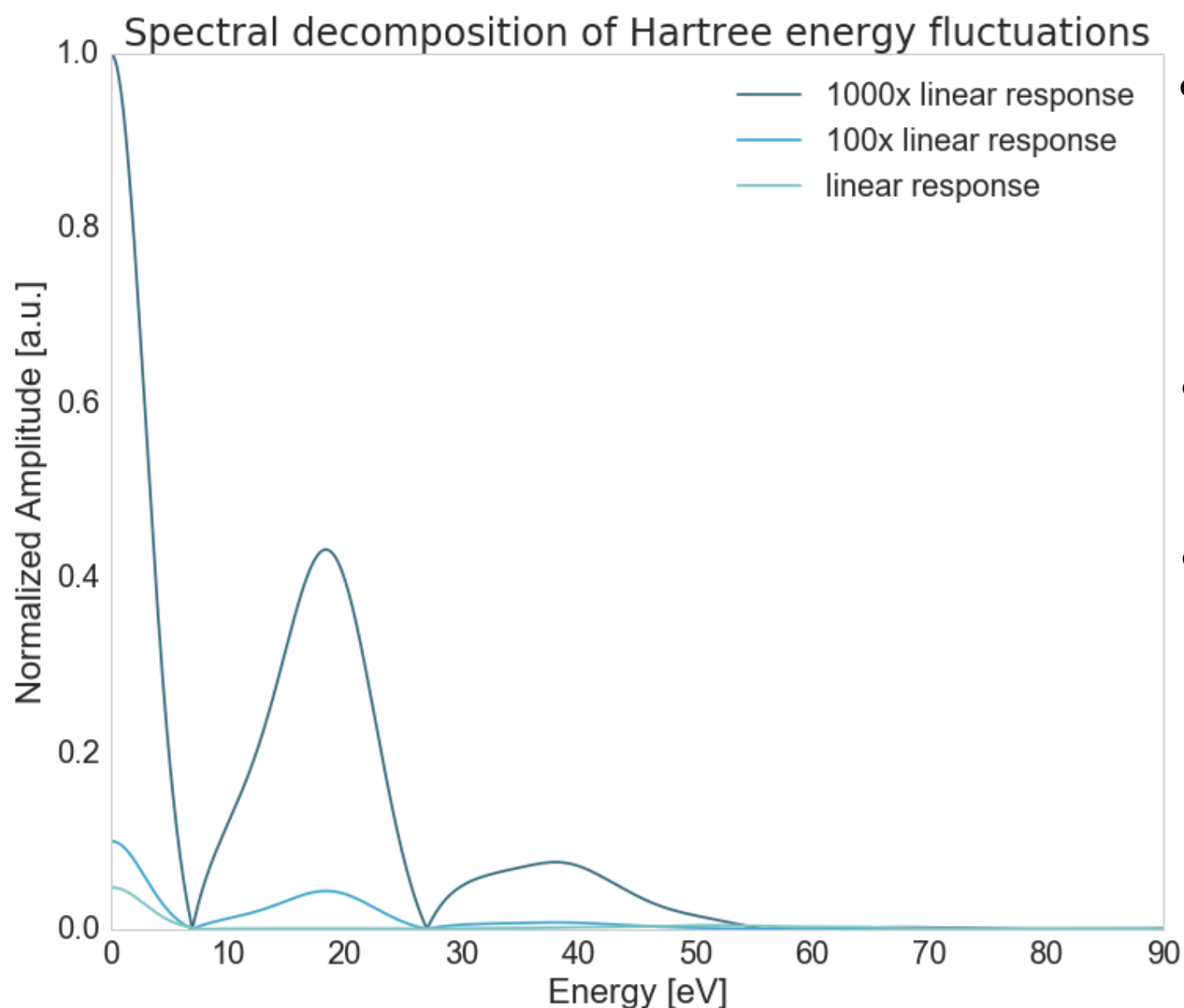


Linear response: time step set by **bound-free energy scale**

Beyond linear response: time step set by **x-ray energy scale**



- Drive amplitude is 1000x larger than amplitude that gives **linear response DSF**
- **Hartree energy** is observable
- Increases for < 1 fs and **saturates** for all energies



- Spectral decomposition **normalized by drive amplitude**
- **Plasmons** driven off-resonance
- Coupling to K-shell **does not** show up in Hartree energy...
- K-shell band energy **follows x-ray drive...**

1.) Kohn-Sham occupancies **don't know how to change!**

$$\rho(\mathbf{r}, t) = \sum_{n, \mathbf{k}} f_{n, \mathbf{k}}(T_e) |\phi_{n, \mathbf{k}}(\mathbf{r}, t)|^2$$

2.) Even if they could, **we don't have high (~keV) energy states** into which we can directly drive core electrons...

3.) Nor do we have the possibility of capturing **Auger processes...**

4.) Not only do we need to treat these excitations, but we need a reliable method for capturing their **equilibration...**

State-of-the-art Kinetics

- Multi-configuration atoms
- Electrons equilibrate instantly or classically
- Heuristic treatment of coupling to environment

Real-time TDDFT

- Single configuration atoms
- Electrons can't equilibrate on their own
- **Consistent treatment of coupling to environment**

- Partition Hamiltonian into low and high energy sectors:

$$\mathcal{H}_{KS}(\text{Be}^{n+}) + \mathcal{H}_{HE} + \mathcal{H}_{KS,HE}(\text{Be}^{n+})$$

**KS-DFT Hamiltonian for
each charge config.**

**Quasi-free
electrons**

**Coupling at fixed
total charge**

- Integrate out high energy sector / create self-energy

$$\mathcal{G}(\text{Be}^{n+}) = \mathcal{G}_{KS}(\text{Be}^{n+}) + \mathcal{G}_{KS}(\text{Be}^{n+})\Sigma(\text{Be}^{n+})\mathcal{G}(\text{Be}^{n+})$$

Art is in creating an efficient approximation

Another self-energy couples charge configs.

- Solve Kadanoff-Baym equations with x-ray drive and ambient initial condition

$$\left[i \frac{d}{dz} - \bigoplus_n \mathcal{H}_{TDKS}(\text{Be}^{n+}, z) \right] \mathcal{G}^o(z, z') = \delta(z, z') + \int_{\gamma} dz' \tilde{\Sigma}(z, z') \mathcal{G}^o(z, z')$$

**Adiabatic TDDFT Hamiltonian in
each charge sector**

**Self-energy approximation bootstraps in
non-adiabatic effects**

Real-time TDDFT is a powerful tool for capturing linear response of warm dense matter - but important non-LTE physics can only be captured by extending the theory

- Development of such a formalism is ongoing
- Green's functions in WDM facilitated by recent results from Moussa

THE JOURNAL OF CHEMICAL PHYSICS **145**, 164108 (2016)

Minimax rational approximation of the Fermi-Dirac distribution

Jonathan E. Moussa^{a)}

Center for Computing Research, Sandia National Laboratories, Albuquerque, New Mexico 87185, USA

Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000.



- DFT may **fail** low q electron-electron static structure factor

Ab initio approach to model x-ray diffraction in warm dense matter

J. Vorberger and D. O. Gericke

Phys. Rev. E **91**, 033112 – Published 24 March 2015

- TDDFT gives **exact functional** for static structure factor
... **DFT does not**

$$S_0(\mathbf{q}) = \int_{-\infty}^{\infty} d\omega S(\mathbf{q}, \omega)$$

- TDDFT agrees well with:
 - Quantum Monte Carlo
 - Configuration Interaction

