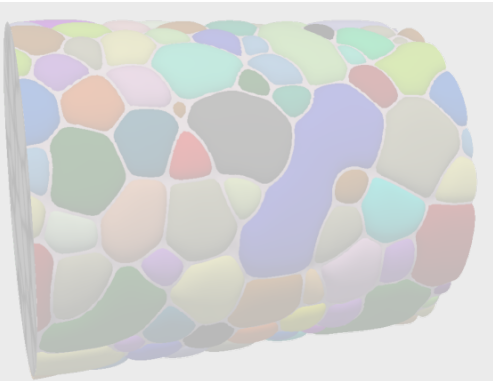


$$v_n = M\gamma^H \quad \gamma = \Delta F - \Gamma \left. \frac{\partial f_{mix}}{\partial c} \right|_{eq}$$

$$\frac{\partial \mathbf{c}}{\partial t} = \nabla \cdot \left[\mathbf{M}_c \nabla \left(\frac{\delta \mathcal{F}_{tot}}{\delta \mathbf{c}} \right) \right]$$



A Mesoscale Model of Grain Boundary Faceting: The Role of Facet Junctions

Fadi Abdeljawad, Douglas Medlin,
Jonathan Zimmerman, Khalid Hattar,
Stephen M. Foiles
Sandia National Laboratories



*Exceptional
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in the
national
interest*



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■ Introduction

- Observations: Interface faceting

■ Modeling framework

- Motivation
- Analytical treatments: Vector thermodynamics, free body diagram
- Modeling framework

■ Results

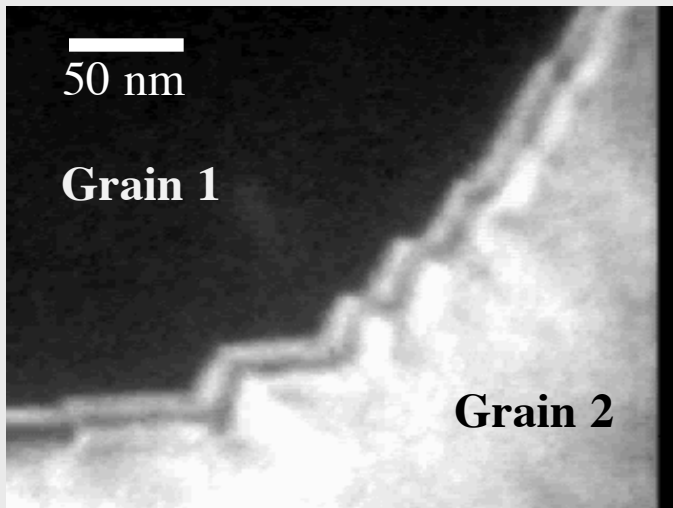
- Critical quench and spontaneous faceting
- Facet junctions and interactions

■ Concluding remarks and future work

Interface Faceting

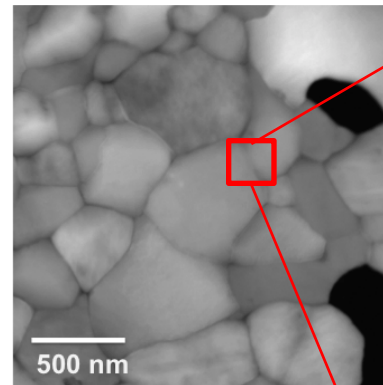
- $\Sigma 5$ $\langle 001 \rangle$ tilt GB in BCC Fe
- GB breaks into $\{130\}/\{120\}$ facets
- Thin film (36nm) annealed at 657°C

Facet coarsening

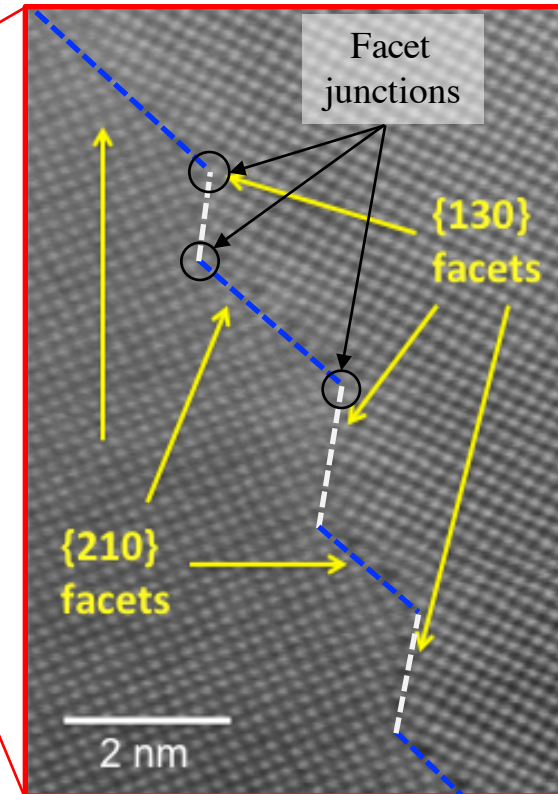


Medlin and Lucadamo (2000)

$\Sigma 3$ GB in Au
 $\{11\bar{2}\}$ facets

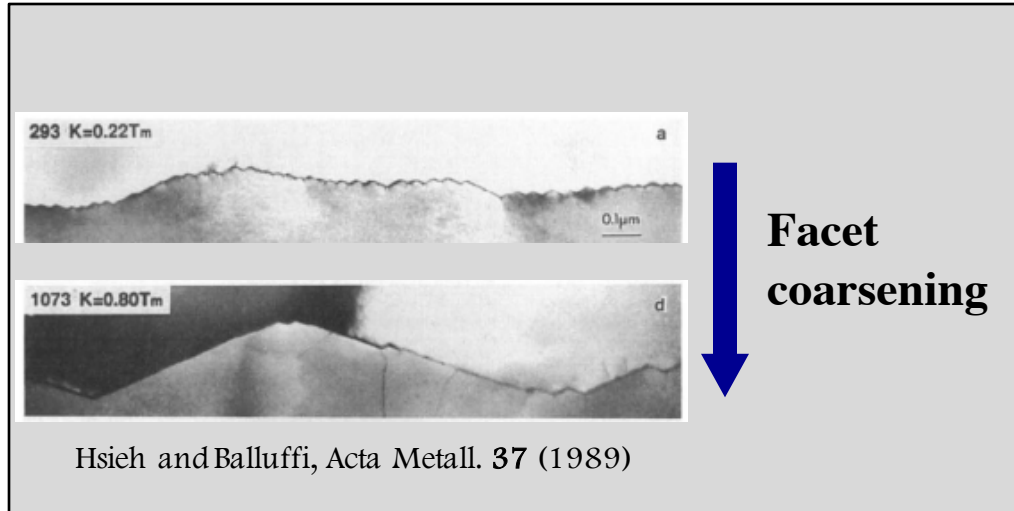


HAADF-STEM



D. Medlin, Sandia National Labs

Interface Faceting



Key Questions

- Energetics associated with GB faceting
- Mesoscale model beyond vector thermodynamics: Facet **coarsening**
- Use the framework in models of microstructural evolution

Grain Boundaries (GBs)

Free energy: $\gamma_{gb} = \gamma_o + f(\{\theta_1, \theta_2\}, T, \mu_i, \{\phi_1, \Phi, \phi_2\})$

High school
definition

The real deal

6+i dimensional space

Cahn, J. Phys. (1982)

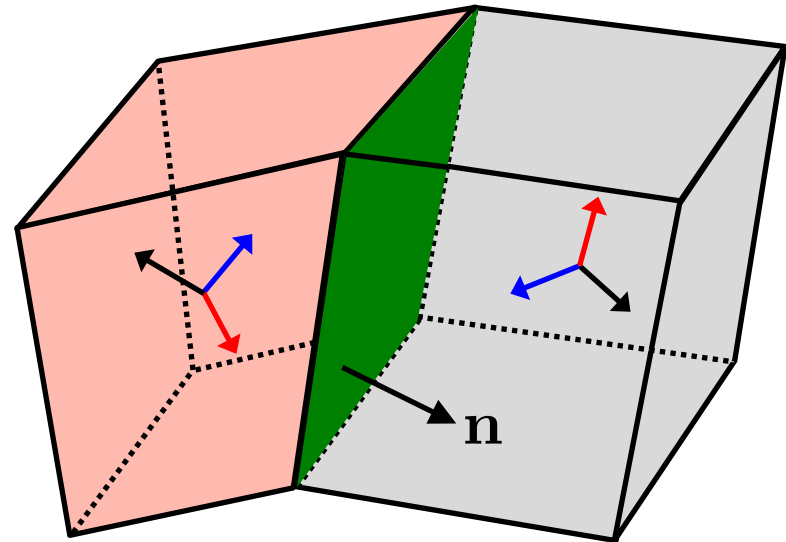
GB geometry described by five
“macroscopic” degrees of freedom

- **Misorientation:** (3 DOF)

Angles $\{\phi_1 \Phi \phi_2\}$ to rotate grain 2 into 1

- **Inclination:** GB plane normal (2 DOF)

$\mathbf{n}$ unit vector. $\{\theta_1 \theta_2\}$ polar angles

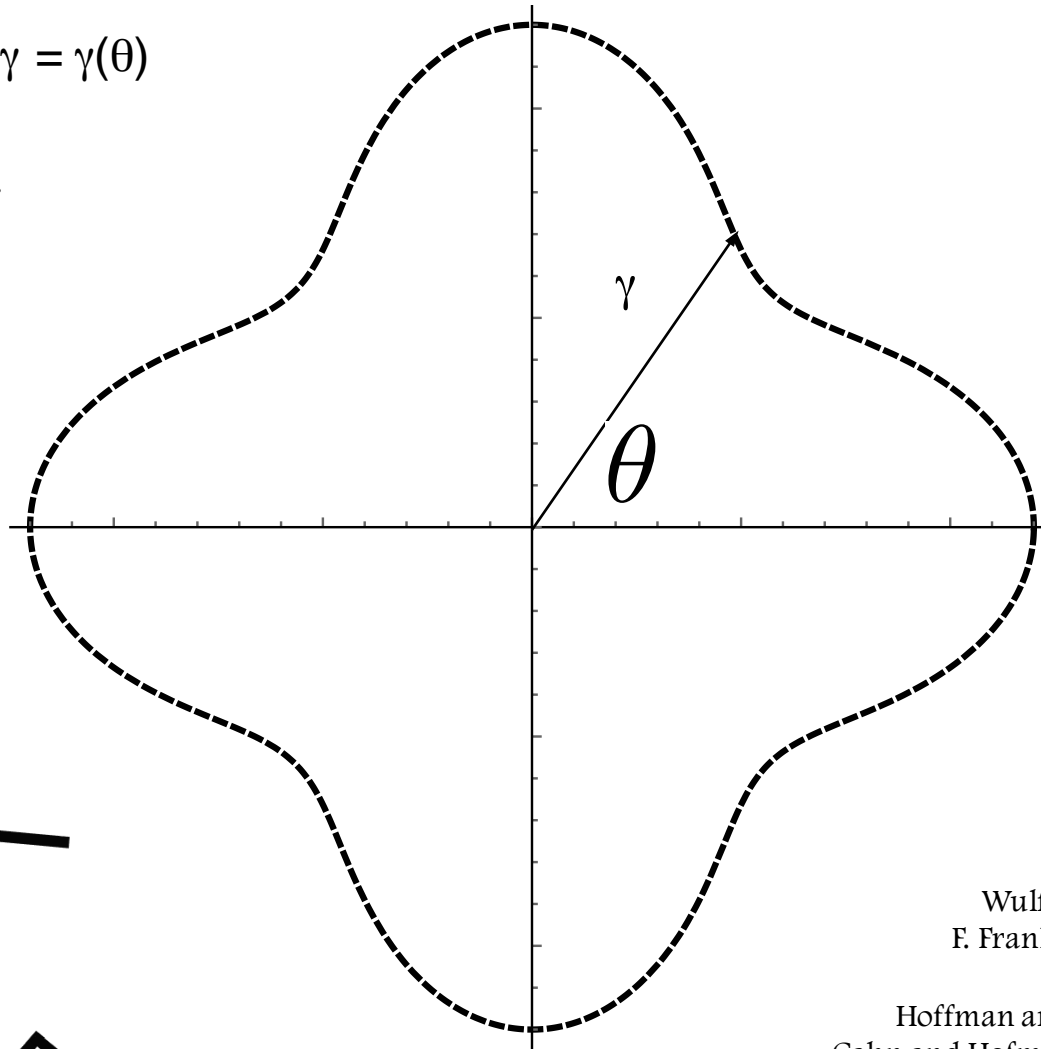
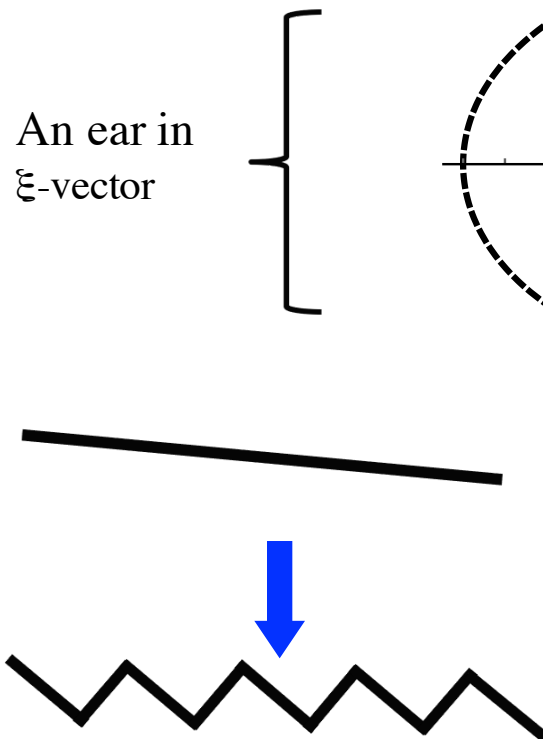


Crystals are like people, it is the defects in them
which tend to make them interesting

C. Humphreys (some argue it is by C. Frank)

Faceting: Graphically

- Wulff (polar) plot: $\gamma = \gamma(\theta)$
- Plot of $1/\gamma$
- ξ -capillarity vector
 $\xi = \gamma \mathbf{e}_r + \gamma \theta \mathbf{e}_\theta$



----- $\gamma = \gamma(\theta)$

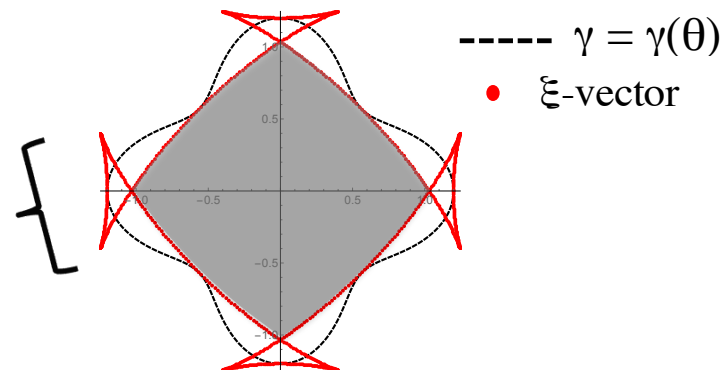
Wulff, Z. Krys. Miner. 34 (1901)
F. Frank, Amer. Soc. Metals (1963)
N. Cabrera, Sur. Sci. (1964)
Hoffman and Cahn, Sur. Sci. 31 (1972)
Cahn and Hofmann, Acta Metall. 22 (1974)
Herring, Phys. Rev. 82 (1951)

Faceting: Mathematically

■ Interface anisotropy

○ ξ - vector: $\xi = \gamma \mathbf{e}_r + \gamma_\theta \mathbf{e}_\theta$

An ear in
 ξ -vector



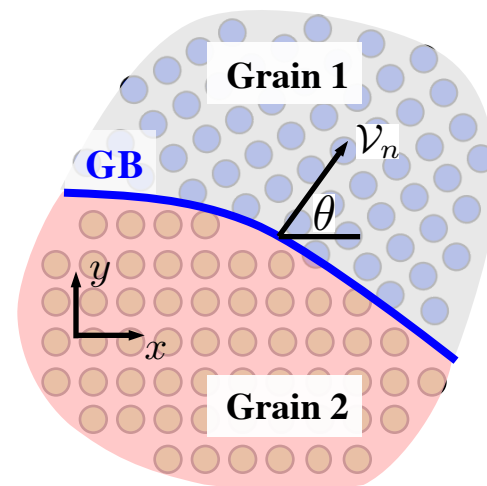
■ Sharp interface law

$$v_n = M_{gb} \left(\gamma_{gb} + \frac{\partial^2 \gamma_{gb}}{\partial \theta^2} \right) \kappa$$

Linearization: $\frac{\partial y}{\partial t} = M_{gb} \left(\gamma_{gb} + \frac{\partial^2 \gamma_{gb}}{\partial \theta^2} \right)_o \frac{\partial^2 y}{\partial x^2}$

When $\gamma_{gb} + \partial^2 \gamma_{gb} / \partial \theta^2 < 0$

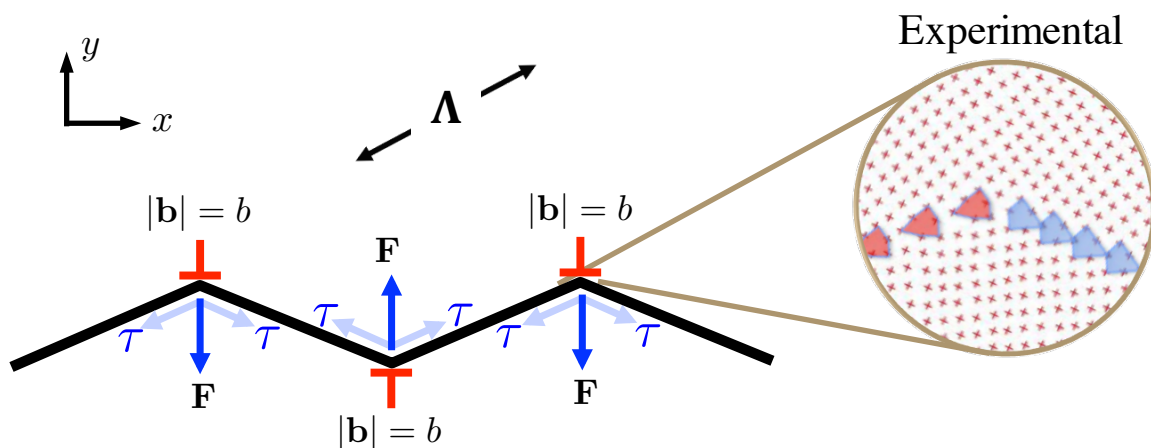
Locally backward parabolic PDE



Thermodynamic instability of an initially planar surface with negative surface stiffness leads to faceting (hill and valley) structure

Energetics of a Faceted GB

- Fix misorientation and vary inclination



Λ : Average facet length scale

$\perp$: Dislocation with Burgers vector $\mathbf{b}$ due to mismatch in translation vectors of interfaces

Dimitrakopoulos *et al.*, Inter. Sci. (1996)

τ : Interface stress. Discontinuity across junction leads to line forces
Sutton and Balluffi (1994)

- Total energy

$$\mathcal{F}_{tot} = f_{inter} + f_{local} + f_{nonlocal}$$

Anisotropic
interface

Junction energy due to dislocation
and interface stress

Non-local interactions between
dislocations and point forces

$$\mathcal{F}_{tot} = \frac{a_1}{\Lambda} \ln \Lambda + \frac{a_2}{\Lambda}$$

Λ : facet length

a_1, a_2 : material constants

Hamilton *et al.*, Phys. Rev. Lett. (2003)

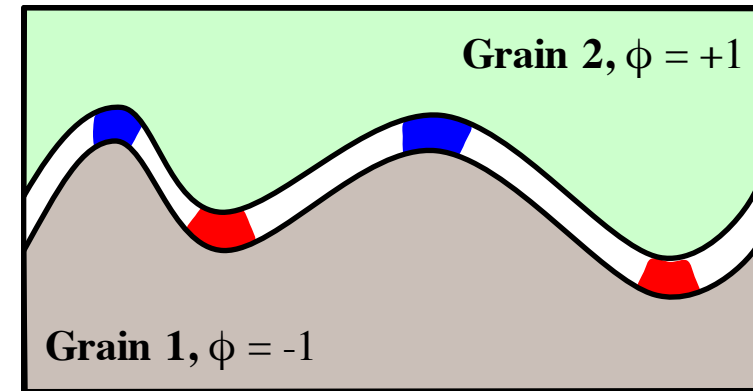
Phase Field Framework

■ Structural order parameter

- Mean curvature: $\kappa = \nabla \cdot \left(\frac{\nabla \phi}{|\nabla \phi|} \right) \sim \nabla^2 \phi$
- Higher order expansion:

$$\gamma = a_o(\theta) + a_2(\theta)\kappa^2 + a_4(\theta)\kappa^4 + \dots$$

Liu and Metiu, Phys. Rev. B **48** (1993)



■ $\nabla^2 \phi > 0$ ■ $\nabla^2 \phi < 0$

■ Total energy

$$\mathcal{F}_{tot} = \int d\mathbf{r} \left[A_H f(\phi) + \frac{\epsilon^2(\theta)}{2} |\nabla \phi|^2 + \Gamma_o (\nabla^2 \phi)^2 + \Gamma_1 \int_{|\mathbf{r}-\mathbf{r}'| > R_c} d\mathbf{r}' \frac{\nabla^2 \phi(\mathbf{r}) \nabla^2 \phi(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} \right]$$

**Anisotropic GB
(fit via atomistics)**

**Local facet junction energy
(Willmore regularization)**

**facet junction-junction
interactions**

Stewart and Goldenfeld, Phys. Rev. A (1992)

Wise *et al.*, App. Phys. Lett. (2005)

■ Dynamics

$$\frac{\partial \phi}{\partial t} = -L(\theta) \left(\frac{\delta \mathcal{F}_{tot}}{\delta \phi} \right)$$

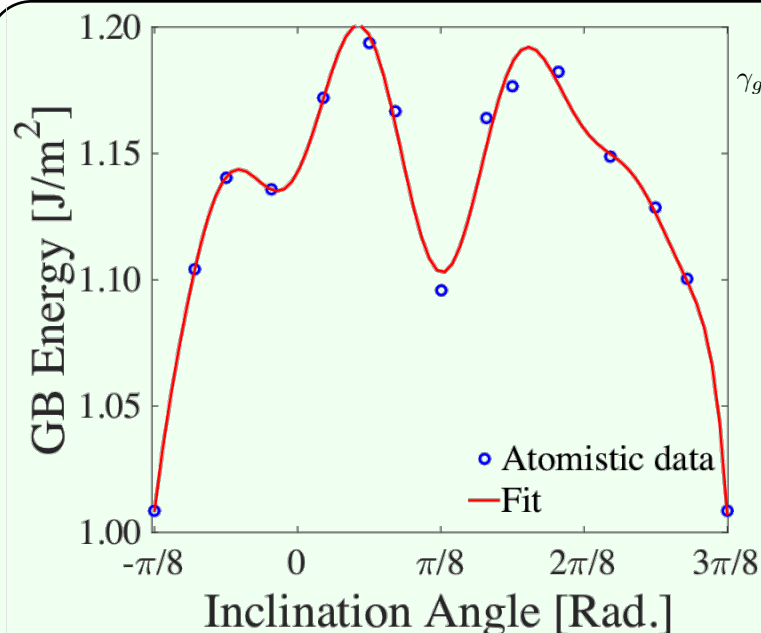
Model parameters: $\epsilon(\theta)$, Γ_o , Γ_1

Abdeljawad *et al.*, J. App. Phys. **119** (2016)

Model Parameters: Atomistics

- The case for $\Sigma 5$ $\langle 001 \rangle$ tilt GB in BCC Fe

Model parameters: $\varepsilon(\theta)$, Γ_o , Γ_1

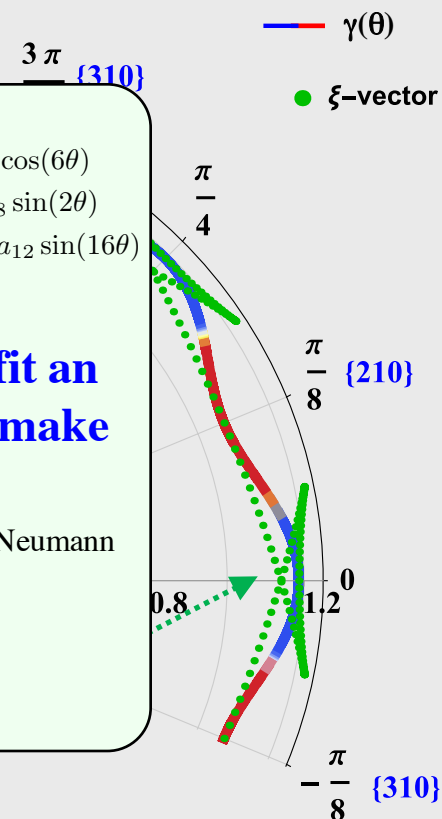


$$\gamma_{gb} = a_0 + a_1 \cos(\theta) + a_2 \cos(2\theta) + a_3 \cos(4\theta) + a_4 \cos(6\theta) + a_5 \cos(8\theta) + a_6 \cos(16\theta) + a_7 \sin(\theta) + a_8 \sin(2\theta) + a_9 \sin(4\theta) + a_{10} \sin(6\theta) + a_{11} \sin(8\theta) + a_{12} \sin(16\theta)$$

With four parameters I can fit an elephant, and with five I can make him wiggle his trunk

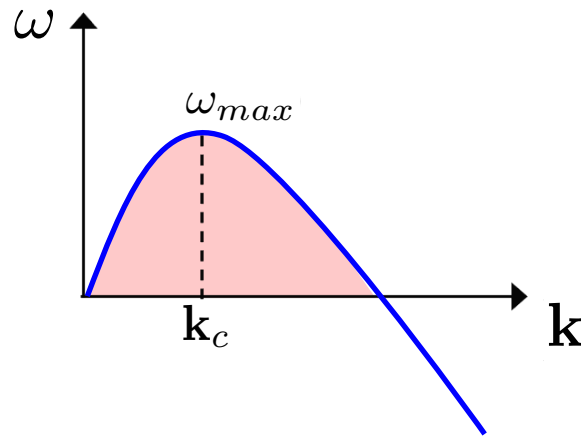
J. von Neumann

Notice the presence of ears in ξ -vector



Linear Stability

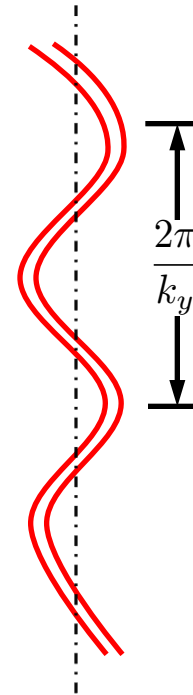
- Assume Fourier modes: $\phi = \hat{\delta}_\phi \exp [i\mathbf{k} \cdot \mathbf{x} + \omega(\mathbf{k})t]$
- Dispersion relation: $\omega(\mathbf{k}, \Gamma_o, \Gamma_1)$
- Max growth rate: $\omega_{max}(\mathbf{k}_c, \Gamma_o, \Gamma_1)$



Model parameters: $\varepsilon(\theta)$, Γ_o , Γ_1

Γ_o : Junction energy

Γ_1 : Junction interactions



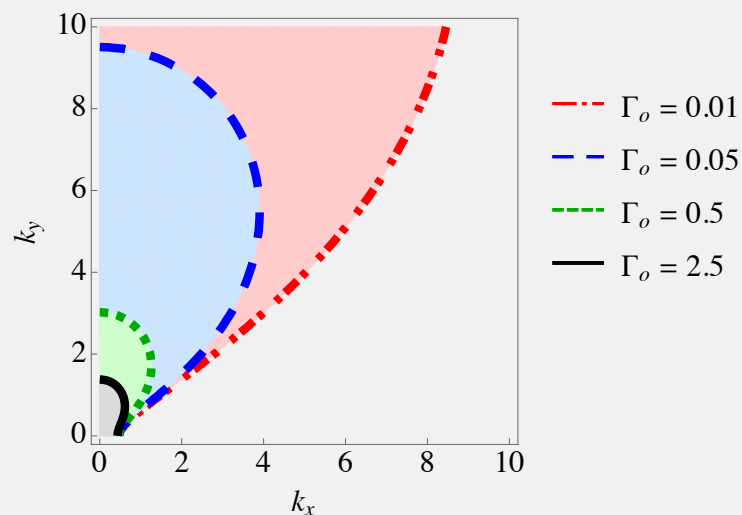
Young man, in mathematics you don't understand things. You just get used to them.

J. von Neumann

Linear Stability (cont.)

Shaded regions correspond to
unstable perturbations

Facet junction energy with no
interactions ($\Gamma_1 = 0$)

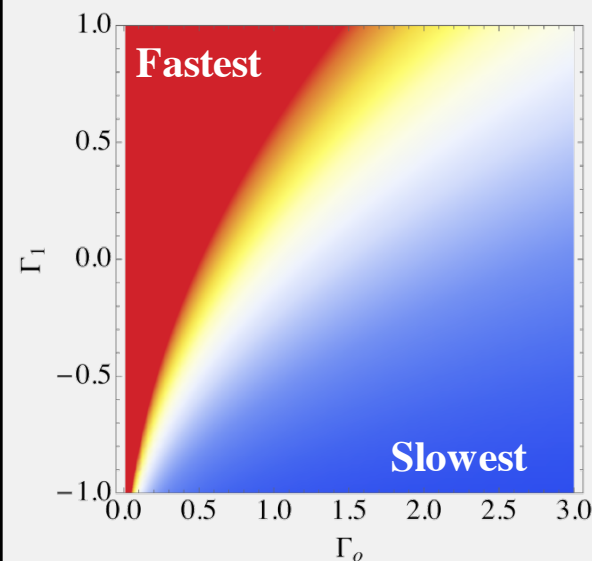


- Regions of instability decrease in size as facet junction energy increases
- Faceted GBs with large spacing between junctions

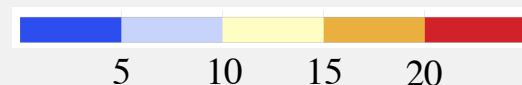
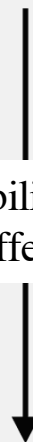
Γ_o : Junction energy
 Γ_1 : Junction interactions

Maximum growth rate

$$\omega_{max}(\mathbf{k}_c, \Gamma_o, \Gamma_1)$$

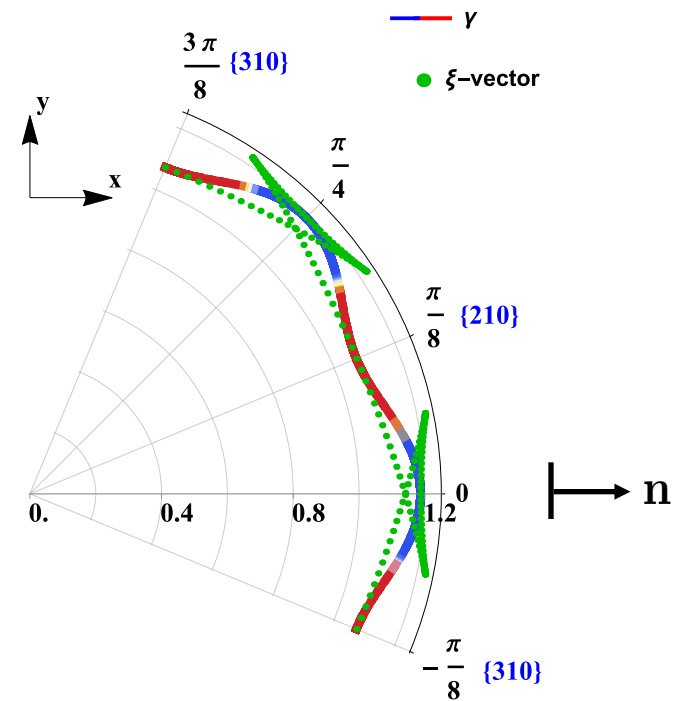
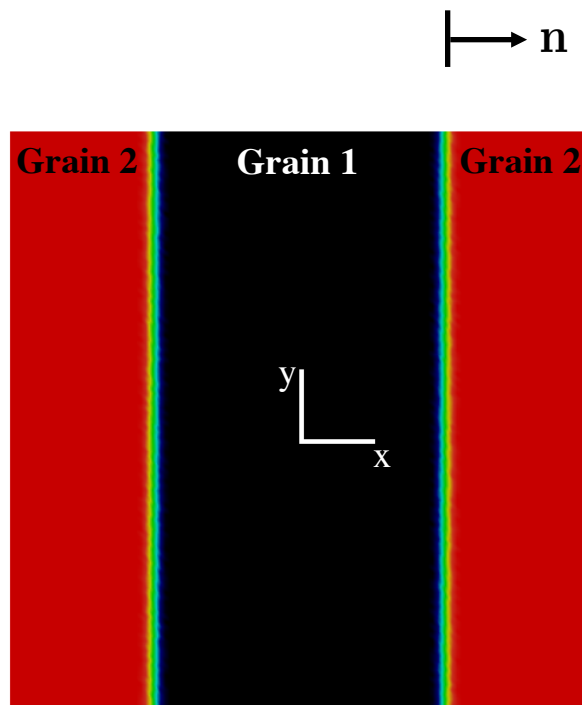


Stabilizing
effect



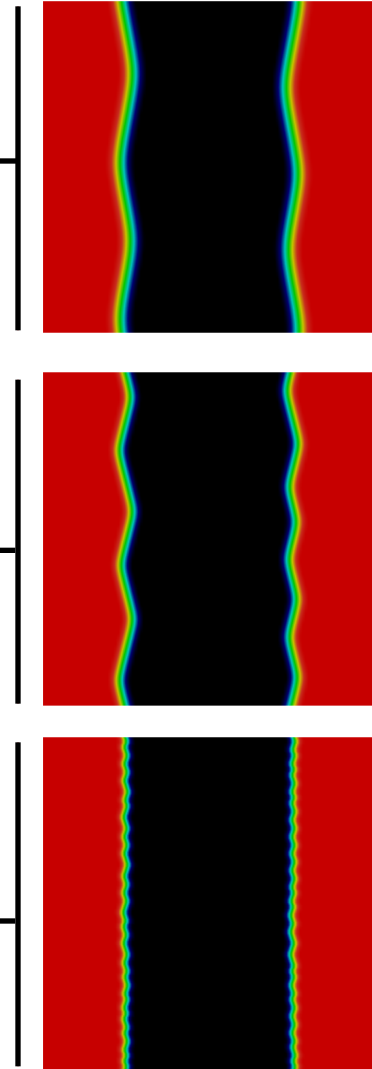
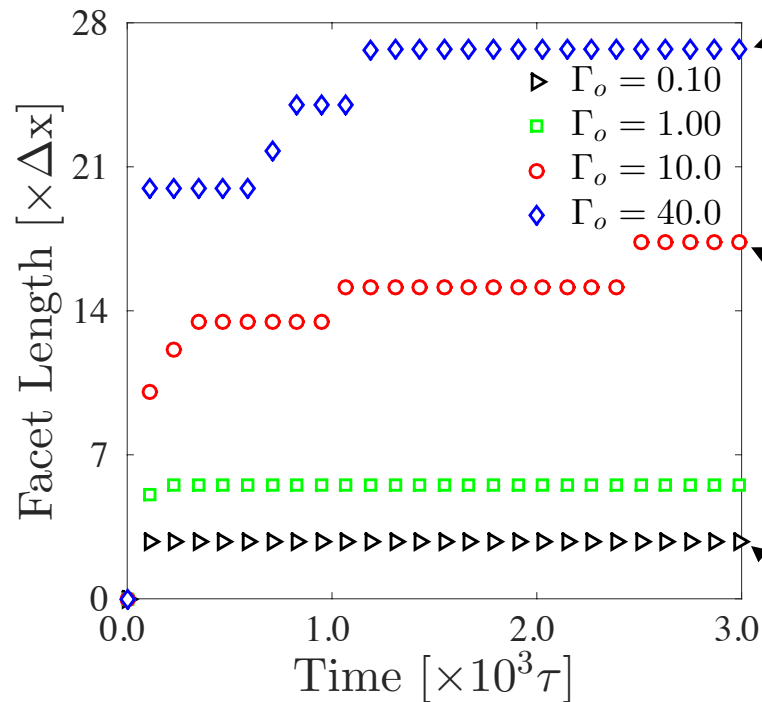
Results: A Two Grain Structure

- Vary local junction energy (Γ_0) and junction interactions (Γ_1) independently



Results: Facet Junction Energy Γ_o

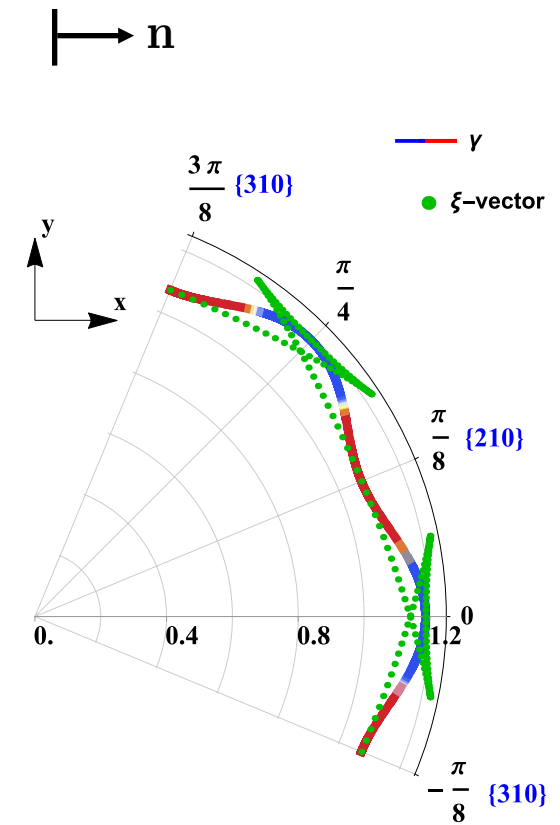
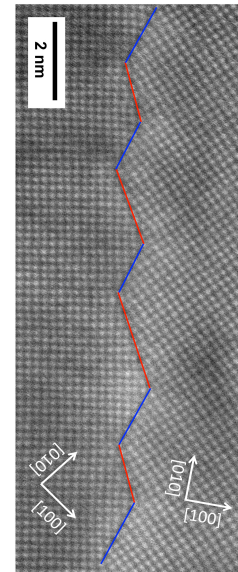
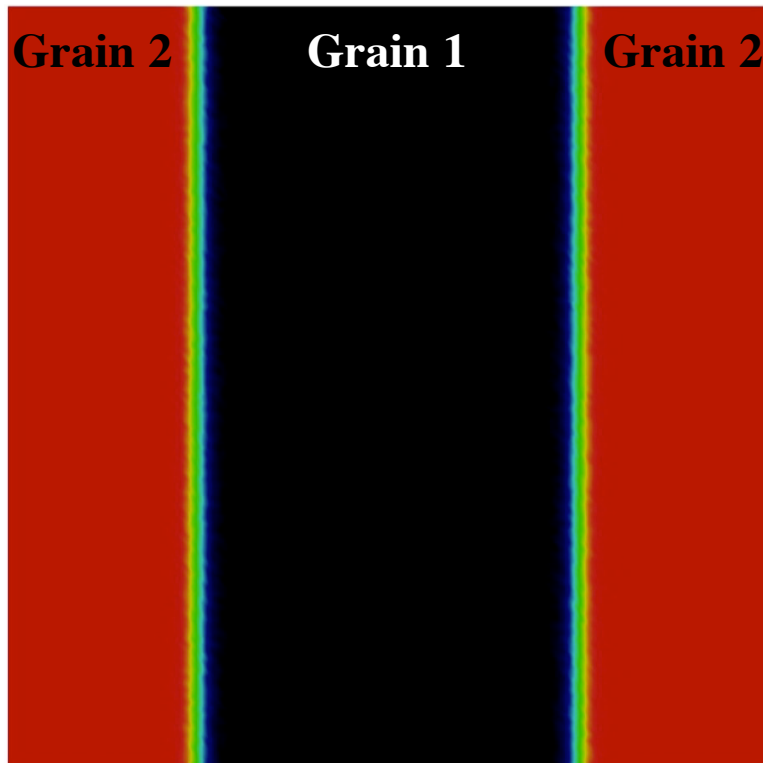
- A two-grain slab geometry
 - Facet length scale increases with (Γ_o)



Increasing facet length scale

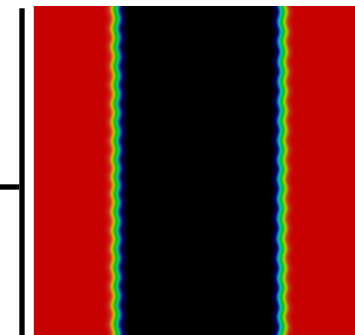
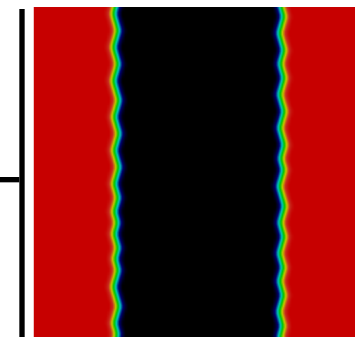
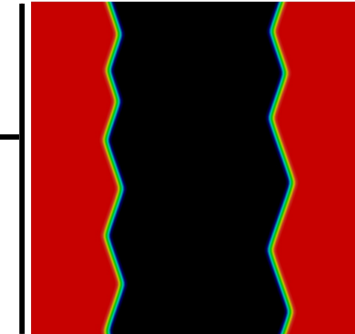
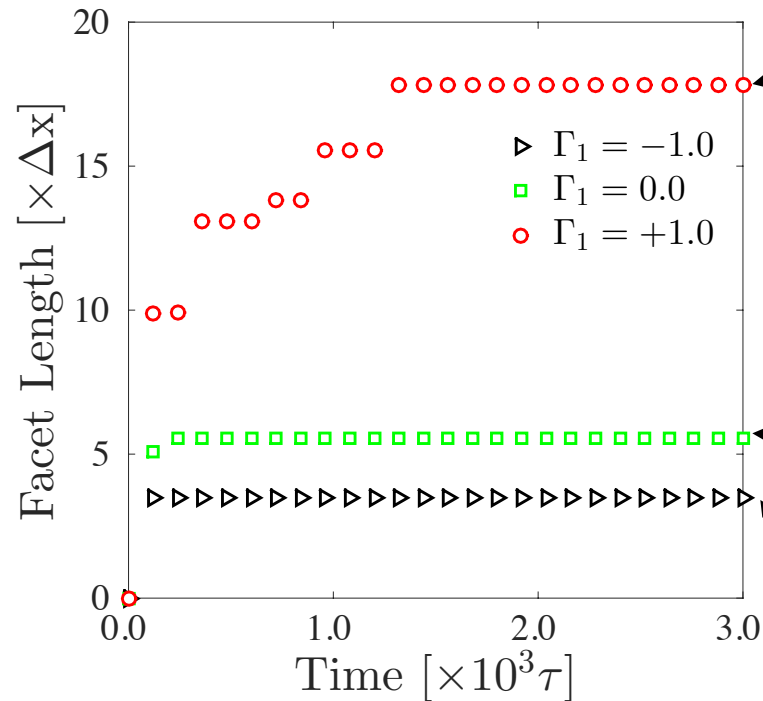
Results: Junction Interactions Γ_1

- A two-grain slab geometry
 - $\Gamma_1 > 0$

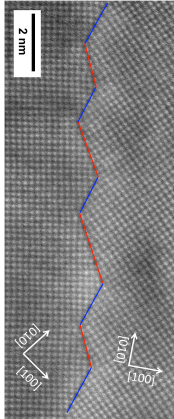


Results: Junction Interactions Γ_1

- A two-grain slab geometry
 - Negative (Γ_1) plays a stabilizing role



Increasing Γ_1



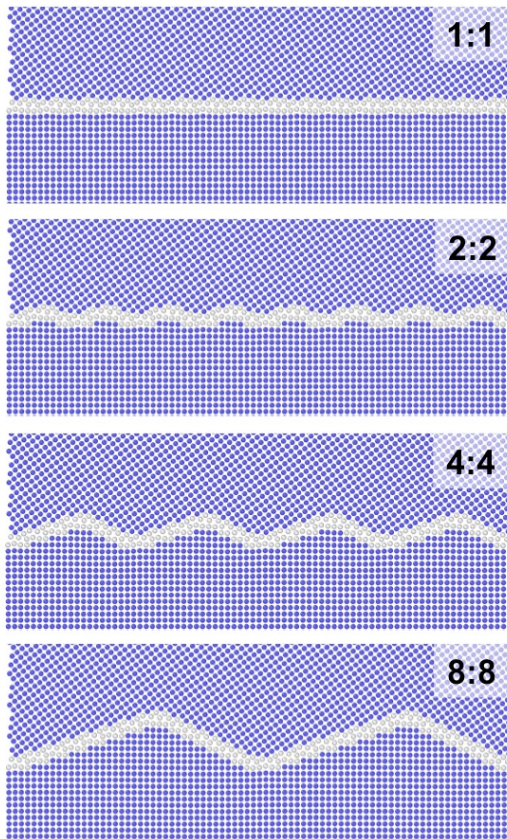
Dynamical
scaling

Facet growth: $\Lambda \propto t^{1/4}$
Normal grain growth: $\langle D \rangle \propto t^{1/2}$

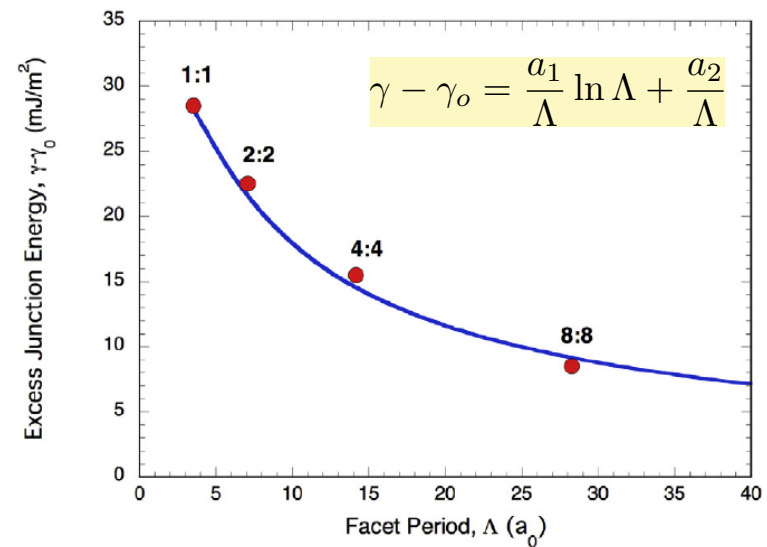
Results: Atomistics

■ Atomistic simulations

- Fix misorientation ($\Sigma 5$) and inclination (26.565° from $\{310\}$ plane)
- Vary number of facet junctions for a given system size

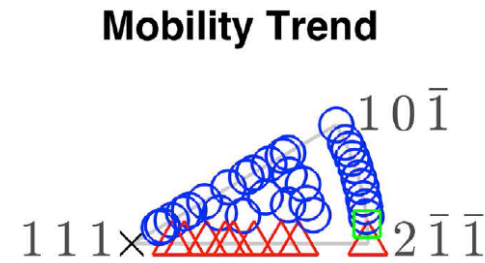
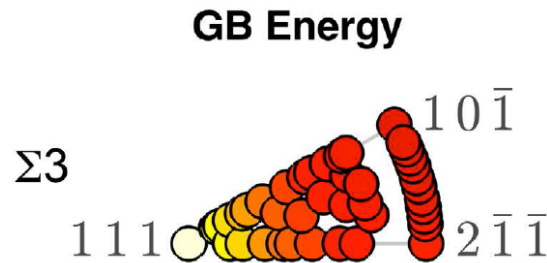


Facet coarsening is favored



Future Work

- Faceting in 3D (underway)
 - Dependence on both polar angles: $\gamma_{gb} = \gamma_{gb}(\theta_1, \theta_2)$
 - Anisotropic GB mobility: $M_{gb} = M_{gb}(\theta_1, \theta_2)$



Homer, Patala, Friedeman, Scientific Reports (2015)

Thank you

fabdelj@sandia.gov

Medlin, Hattar, Zimmerman, Abdeljawad and Foiles, Acta Mater. **124** (2017)

Abdeljawad, Medlin, Foiles, Hattar and Zimmerman, J. App. Phys. **119** (2016)

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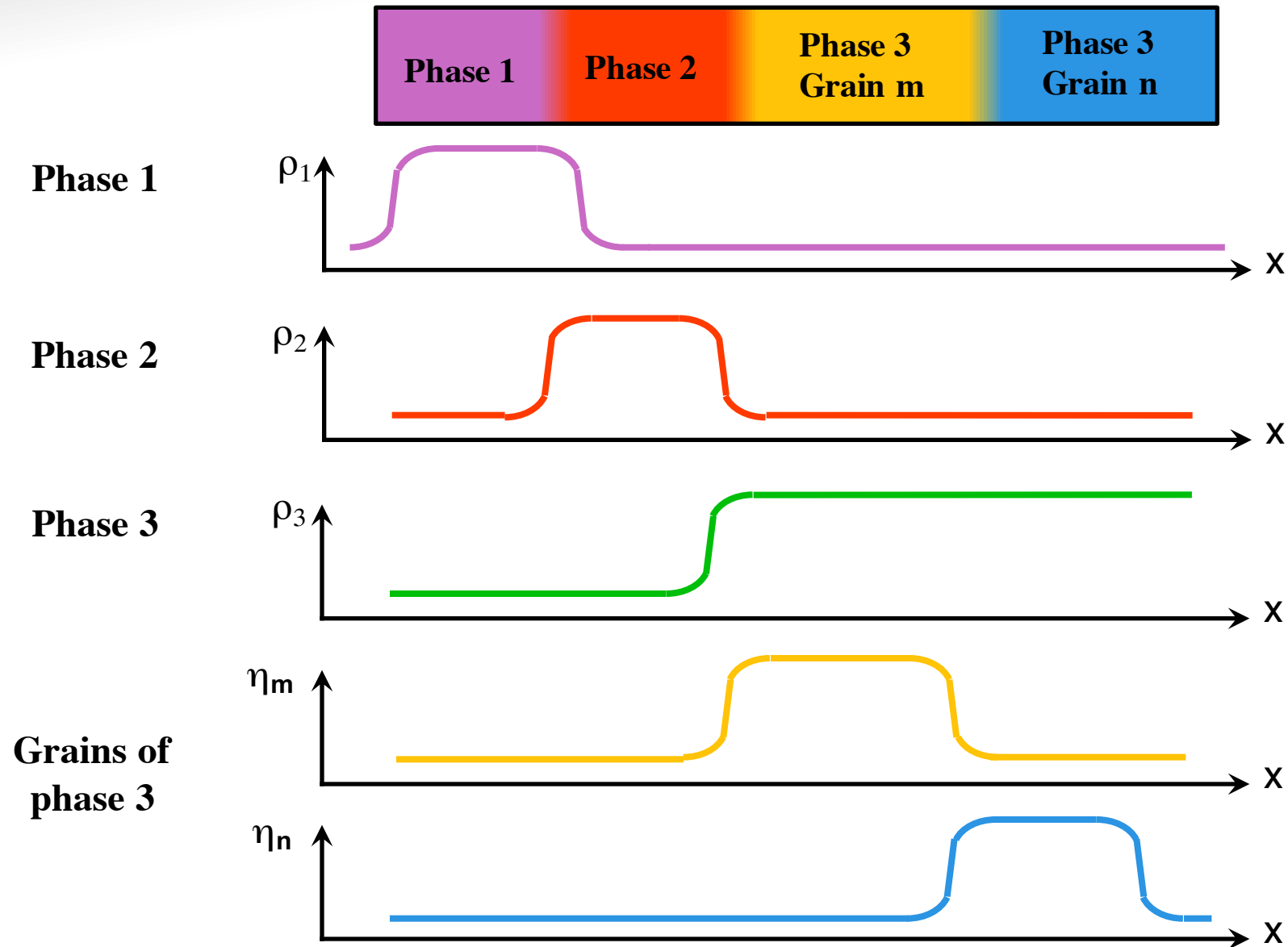


Collaboration:

- 1) Sandia National Laboratories: S. M. Foiles, N. Argibay, D. Medlin, H. Lim, B. L. Boyce, P. Lu, D. Bufford and K. Hattar
- 2) Srikanth Patala (NC State Univ.), Eric Homer (BYU)

Backup Slides

Machinery: Phase Field



Machinery: Phase Field (cont.)

- Order parameters “phase fields”
 - Concentration (alloying elements)
 - Crystallographic orientation of grains (internal interfaces)
 - Mass density (solid, vapor, liquid)
 - Displacement fields
- Coarse grained free energy
 - Bulk thermodynamics
 - Phase transitions (solidification, martensitic, etc...)
 - Multicomponent
 - Interfacial energies and thermodynamics
 - Gibbs-Thomson boundary condition (Stefan problem)
 - Anisotropy
- Dynamics driven by minimization of energy

